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Aleksandr P. Litvin

Xiaoyu Zhang

Kevin Berwick

*See next page for additional authors*

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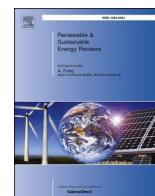
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**Authors**

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## Carbon-based interlayers in perovskite solar cells

Aleksandr P. Litvin<sup>a,\*</sup>, Xiaoyu Zhang<sup>b</sup>, Kevin Berwick<sup>c</sup>, Anatoly V. Fedorov<sup>a</sup>, Weitao Zheng<sup>b</sup>, Alexander V. Baranov<sup>a</sup>

<sup>a</sup> Center of Information Optical Technologies, ITMO University, 49 Kronverksky Pr., Saint Petersburg, 197101, Russia

<sup>b</sup> College of Materials Science, Jilin University, Changchun, 130012, China

<sup>c</sup> School of Electrical and Electronic Engineering, Dublin Institute of Technology, Dublin 8, Ireland

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### ABSTRACT

Perovskites are solution-processed, high-performance semiconductors of interest in low-cost photovoltaics. The interfaces between the perovskite photoactive layers and the top and bottom contacts are crucial for efficient charge transport and minimizing trapping. Control of the collection of charge carriers at these interfaces is decisive to device performance. Here, we review recent progress in the realization of efficient perovskite solar cells using cheap, easily processed, stable, carbon-based interlayers. Interface materials including graphene, carbon nanotubes, fullerenes, graphene quantum dots and carbon dots are introduced and their influence on device performance is discussed.

## 1. Introduction

### 1.1. Perovskite solar cells

Perovskite solar cells (PSCs) are of considerable interest due to their easy fabrication via solution processing and high photoconversion efficiency, making them ideal candidates for solar-based, renewable energy technologies. Cubic lead halide perovskites are composed of a backbone of corner-sharing  $[\text{PbX}_6]^{4-}$  ( $X = \text{Cl}, \text{Br}, \text{and/or I}$ ) octahedra with the cuboctahedral voids occupied by Cs, methylammonium (MA) and/or formamidinium (FA) cations (Fig. 1). The high defect tolerance of perovskites, due to the primary defect energy levels residing outside the bandgap, is the basis for their excellent device performance [1]. A range of technologically attractive physical properties including balanced carrier diffusion, high carrier mobility and long carrier lifetimes, enabling high performance in photovoltaic applications, have also been demonstrated [2].

Since Tsutomu Miyasaka and his co-workers first demonstrated the use of perovskites in solar cells in 2009, the power conversion efficiency (PCE) of devices based on this material has surpassed 24% [3,4]. This unprecedented growth was enabled by several key discoveries and innovations. Undoubtedly, these include the implementation of perovskite-based solid-state solar cells, better known as meso-superstructured PSCs with a p-type hole conductor [5]. A schematic diagram of this normal mesoporous architecture is shown in

Fig. 2a. It was later realized that a perovskite layer can provide both electron and hole transport. Its ambipolar nature does not require a thick layer of mesoporous scaffold. This was the impetus for the development of a planar architecture of perovskite-based photovoltaic devices [6], illustrated in Fig. 2b. Based on this premise, the next logical stage of development is the introduction of an inverted planar device architecture with organic transport layers [7,8] shown in Fig. 2c. Further details of the evolution of PSCs can be found in recent reviews [9–14].

Lead-halide perovskites can be classified as either organic-inorganic hybrid or all-inorganic, based on their A-site cation species. When organic cations MA, or FA, or their mixture, are employed as the A-site cations, they are referred to as organic-inorganic hybrid perovskites. When  $\text{Cs}^+$  ions are employed as the A-site cations, they are regarded as all-inorganic perovskites. Changing the species of the A-site cations allows optimization of the band gap: the larger the A-site cations, the narrower the band gaps. The band edge of perovskites is determined by the Pb *p* orbital and I *p* orbital [16]. A-site cations do not provide any significant contribution around the band edge, indicating that both organic-inorganic hybrid and all-inorganic perovskites have similar semiconductor properties and defect physics. Thus, the difference between the hybrid and all-inorganic perovskites stems mainly from the property of the A-site cation itself and the cation size, which is related to crystal structure stability. Since MA and FA are organic cations, and therefore thermally delicate, organic-inorganic hybrid perovskites suffer from poor thermal stability. Inorganic Cs cations can overcome this temperature issue, but their small size negatively impacts the phase

\* Corresponding author.

E-mail addresses: [litvin88@gmail.com](mailto:litvin88@gmail.com), [litvin@itmo.ru](mailto:litvin@itmo.ru) (A.P. Litvin).

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**List of abbreviations:**

|          |                                     |
|----------|-------------------------------------|
| PSCs     | Perovskite solar cell               |
| SC       | Solar cell                          |
| PCE      | Power conversion efficiency         |
| ETL      | Electron transporting layer         |
| HTL      | Hole transporting layer             |
| PL       | Photoluminescence                   |
| TAS      | Transient absorption spectroscopy   |
| CVD      | Chemical vapour deposition          |
| HOMO     | Highest Occupied Molecular Orbital  |
| LUMO     | Lowest Unoccupied Molecular Orbital |
| FF       | Fill-factor                         |
| $J_{SC}$ | Short-circuit current               |
| $V_{OC}$ | Open circuit voltage                |
| GO       | Graphene oxide                      |
| rGO      | Reduced graphene oxide              |
| CNT      | Carbon nanotube                     |
| SWNT     | Single-wall nanotube                |
| MWNT     | Multi-wall nanotube                 |
| GQDs     | Graphene quantum dots               |
| CDs      | Carbon dots                         |

|                     |   |
|---------------------|---|
| FTO                 | Fluorine tin oxide  |
| ITO                 | Indium tin oxide  |
| MA                  | Methylammonium  |
| FA                  | Formamidinium   |
| BCP                 | Bathocuproine   |
| EVA                 | Ethylene vinyl acetate  |
| OPD                 | o-phenylenediamine  |
| PCBM                | Phenyl-C61-butyrac acid methyl ester                                  |
| PC <sub>70</sub> BM | [6,6]-Phenyl-C71-butyrac acid methyl ester                            |
| IC <sub>60</sub> BA | 1',1'',4',4''-tetrahydro-di[1,4]methanonaphthaleno[5,6] fullerene-C60 |
| Spiro-OMeTAD        | 2,2',7,7'-tetrakis-(N,N-di-p-methoxyphenylamine) 9,9'-spirobifluorene |
| Li-TFSI             | Lithium bis(trifluoromethanesulfonyl)imide                            |
| TBP                 | 4-tert-butylpyridine  |
| TSHBC               | Perthiolated trisulfur-annulated hexa-peri-hexabenzocoronene          |
| PEDOT:PSS           | Poly(3,4-ethylenedioxythiophene):poly(styrenesulfonate)               |
| P3HT                | Poly(3-hexylthiophène)  |
| PMMA                | Poly(methyl methacrylate)   |
| PTAA                | Poly(triarylamine)  |

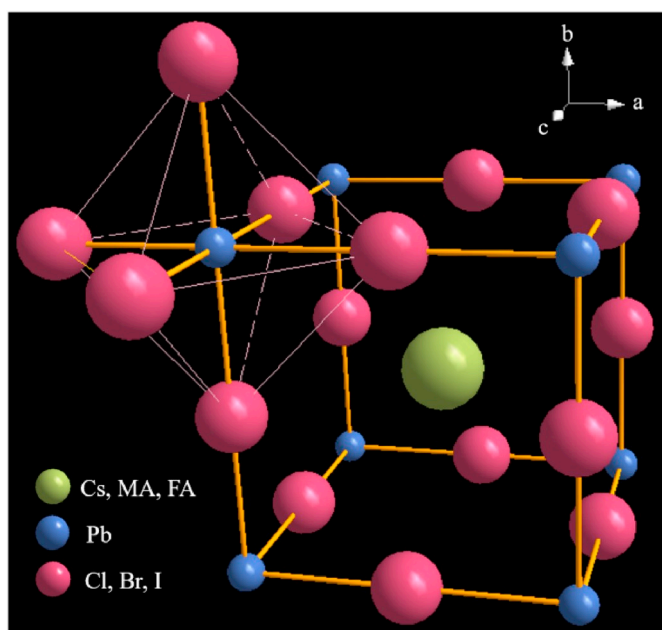


Fig. 1. Cubic perovskite crystal structure.

stability of all-inorganic perovskites.

At present, the most efficient PSCs are based on hybrid organic-inorganic perovskites. Conventional, efficient Pb-based compounds are environmentally unattractive, so lead free perovskites have attracted much attention for SC manufacture in recent years. Among lead-free materials, Sn-based organic-inorganic perovskites play an important role, since they demonstrate the highest efficiency [17–19]. The main limitation is the lower value of open-circuit voltage, which is more than two times smaller than that usually observed for Pb-based hybrid PSCs.

Hybrid organic-inorganic perovskites tend to be unstable and demonstrate sensitivity to moisture, oxygen, UV-radiation, and heating [20]. To address this, the use of inorganic perovskites is considered. Cs-based lead perovskites are known to possess higher stability as compared to their MA/FA counterparts. Rapid growth in the PCE of inorganic PSCs has occurred over the last few years [21], with highest values of over 16% for CsPbBrI<sub>2</sub> [22] and CsPbI<sub>3</sub> [23] compositions. Precise tuning of halide compounds is a promising way to further improve inorganic PSC efficiency. Wang et al. have incorporated 5% of Br ions into a CsPbI<sub>3</sub> film to get a final CsPbI<sub>2.85</sub>Br<sub>0.15</sub> stoichiometry, and the record PCE reached 17.17% [24]. Ye et al. studied CsPbI<sub>3-x</sub>Br<sub>x</sub> PSCs, where x varied from 0.3 to 0.5 [25]. The best device demonstrated a PCE as high as 18.64%. Importantly, after 1000 h of 1 sun equivalent illumination, the PCE decrease was less than 6%. However, the problem of improving the stability of PSCs through the use of inorganic compositions is far from solved. Lead-free inorganic perovskites were also considered to replace a conventional MAPI<sub>3</sub> system, however their efficiency has not yet exceeded 5% [26].

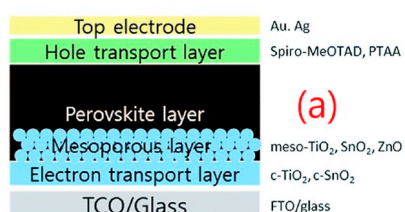
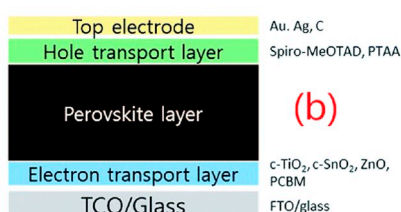
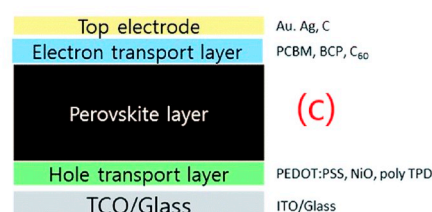
**n-i-p mesoscopic PSCs****n-i-p planar PSCs****p-i-n planar PSCs**

Fig. 2. Various perovskite solar cell architectures [15].

### 1.2. Charge transporting layers

A variety of device architectures developed quickly, a result of finding new ways to prepare the perovskite layer, optimizing the composition of the absorbing layer and developing electron- and hole-transporting layers (ETL and HTL). It is important to note the influence of auxiliary layers on the formation of a high-quality, active layer, the passivation of surface defects and the protection of the active layer from exposure while using the PSC. The layer function determines the requirements for the ETL and HTL. The energy diagram of the device should be aligned for effective extraction of the required charge carriers, while blocking charges of the opposite sign. The auxiliary layers should provide a high mobility for charge carriers of the desired type, while retaining a balance in transfer rates for both carriers. Depending on the architecture of the device, the transport layer deposited on a transparent electrode must be transparent to optical radiation over a wide spectral range. Processing of the transport layer must be cheap to keep the cost of the PSC low. The effect of the coating over the active layer must also be considered. It should not cause damage, but ideally ensure the passivation of any defects formed and the long-term stability of the device [27, 28].

Materials based on carbon nanoforms played an important role in the development of perovskite-based photovoltaics, but their potential has yet to be fully realized. The fundamental function of the auxiliary layers is the extraction and transport of charge carriers. However, it soon became apparent that carbon-based transport layers could provide additional benefits by passivating the perovskite grains and protecting the active layer from moisture [29–34]. Thus, they can be a solution to several problems in perovskite solar cells, allowing not only the efficient transport of charge carriers, but also contributing to an increase in device stability and the suppression of hysteresis [35]. Often, the properties of carbon nanomaterials can be configured as required, which offers the possibility of engineering of transport layers for both electrons and holes. In this review, we focus on the implementation of carbon nanomaterials, including graphene, carbon nanotubes, fullerenes, graphene quantum dots and carbon dots, as low-cost, stable and environmental-friendly multifunctional interlayers, enabling improved charge extraction and transport and enhanced device stability.

### 1.3. Optical processes at the interfaces

Electron-hole pairs are created by illumination of a perovskite absorbing layer. Both excitons and free carriers can be formed [36]. Perovskites possess low exciton binding energies due to high dielectric screening and the small effective masses of carriers [37]. It is assumed that under SC operating conditions, free charge carriers, possibly together with weakly-bonded excitons, form in the active layer of a PSC [36,38]. Following their formation, the carriers must be extracted by

their corresponding charge transport layers. Electrical characterization is used to determine the overall performance of the devices, which consist of a number of layers and interfaces. The efficiency of charge transfer processes between the perovskite film and charge transport layers can be measured by optical methods. The most straightforward way to estimate the efficiency of charge transfer from perovskite to charge transport layer is to track the photoluminescence (PL) decay. Xing et al. studied time-resolved PL from an MAPbI<sub>3</sub> film interfaced with Spiro-OMeTAD and PCBM, acting as a hole- and an electron-extracting layer, respectively [39]. PL spectra and decay curves of pristine perovskite films and those fabricated with charge transport layers are shown in Fig. 3. Clearly, PL quenching is accompanied by an acceleration of PL decay, indicating charge-carrier transfer to the corresponding extraction layers is occurring.

Changes in the PL from perovskite thin films can be used to monitor the efficiency of charge extraction by the corresponding auxiliary layer. Perovskite films formed on a TiO<sub>2</sub> layer demonstrate shorter PL lifetimes than those on an insulating substrate. Time-resolved PL measurements can be used to verify an improvement in electron transfer by modifying a conventional ETL with carbon-based nanomaterials. Fig. 4 shows PL decay from perovskite films formed on a C<sub>60</sub> self-assembled layer (a) and on graphene quantum dots (b) both deposited on top of the TiO<sub>2</sub> layer as compared to the PL decay on pristine TiO<sub>2</sub> [40,41]. In both cases, the additional reduction of PL lifetimes indicates a faster electron transfer and an improvement in the electron-extraction interface by the introduction of the carbon nanomaterial.

Ultrafast transient spectroscopy is a versatile tool for the analysis of processes taking place at perovskite/charge transport layer interfaces. In particular, femtosecond pump-probe transient absorption spectroscopy (TAS) is widely used to monitor photoinduced charge transfer from perovskite films to auxiliary layers [42]. The use of TAS allows detailed study of the processes occurring at both perovskite/ETL and perovskite/HTL interfaces [43,44]. The combination of TAS with other experimental methods allows the impact of the interface to be explored. Wang et al. combined TAS with XRD to investigate the amount of PbI<sub>2</sub> at a perovskite/ETL interface and its influence on interface passivation [43]. Transient band edge kinetics shown in Fig. 5a demonstrate a slowdown of recombination when a compact, mesoporous TiO<sub>2</sub> film is introduced between the FTO electrode and perovskite layer. This slowdown is attributed to the formation of a passivating PbI<sub>2</sub> layer at the interface and was confirmed by XRD analysis. Serpetzoglou et al. combined TAS with structural and morphological characterization to study the impact of a HTL in a PSC [44]. Accelerated recombination dynamics, shown in Fig. 5b and c, were observed in a more hydrophobic PTAA as compared to a PEDOT:PSS HTL. The use of PTAA gave a smoother interface between the HTL and perovskite that boosted the final PCE of the devices.

TAS was used to explore the incorporation of carbon nanomaterials

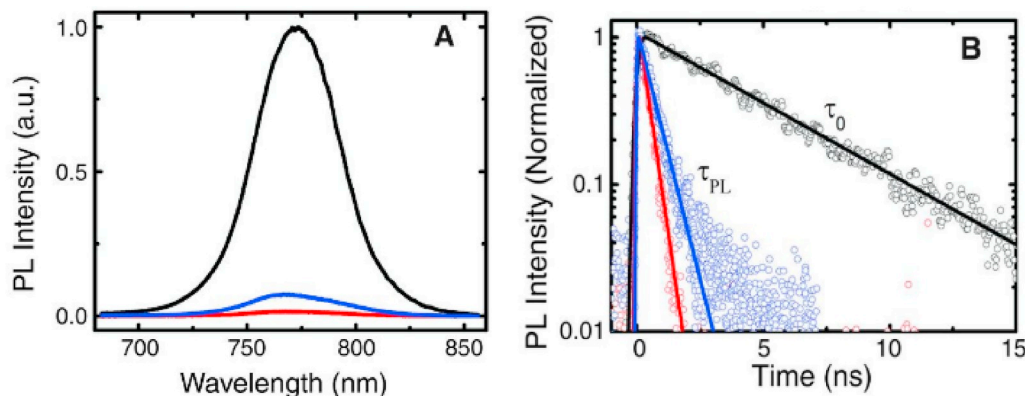


Fig. 3. PL spectra and decay curves obtained from pristine MAPbI<sub>3</sub> films and those covered by PCBM and Spiro-OMeTAD [39].

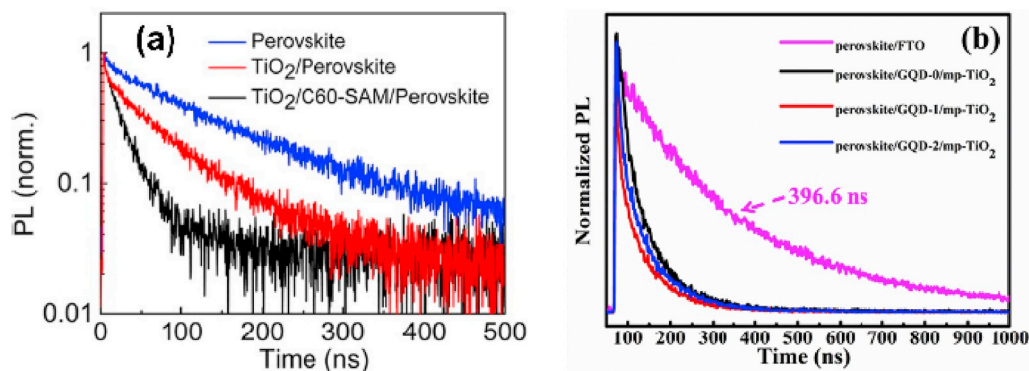


Fig. 4. PL decay from perovskite films formed on carbon nanoform modified  $\text{TiO}_2$  ETL as compared to pristine perovskite and perovskite/ $\text{TiO}_2$  [40,41].

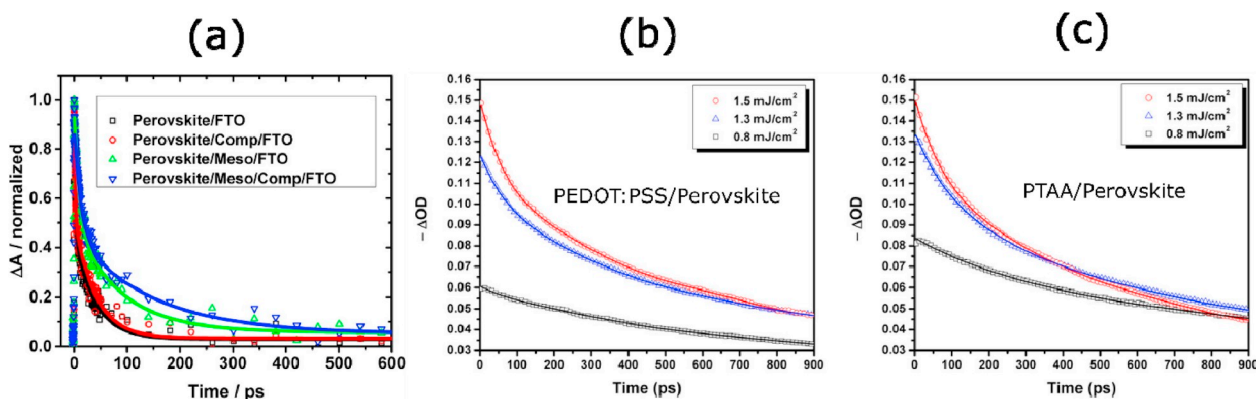


Fig. 5. Transient absorption spectroscopy reveals more efficient electron (a) and hole (b,c) extraction by the corresponding charge transfer layers [43,44].

into charge transport layers. Zhu et al. studied the  $\text{TiO}_2$ /perovskite interface with and without graphene quantum dots [45]. The normalized kinetic traces (Fig. 6 c-d) obtained from transient absorption spectra (Fig. 6 a-b) revealed significantly enhanced electron extraction when a thin layer of graphene quantum dots was introduced. The corresponding decay time constant reduced by three times for graphene quantum dots, as depicted in Fig. 6e and f.

Interfaces in PSCs play an important role in defect state formation and passivation. The properties of the bottom interlayer are crucial for the formation of a high-quality perovskite crystal film. In addition to studying the structural and morphological parameters of perovskite crystals, it is possible to study local defects by optical methods at high spectral resolution. It has been shown that PL lifetime microscopy is a versatile tool to investigate perovskite grains and boundaries in detail [46–48]. Yamashita et al. combined PL microscopy, both steady-state and transient, with photocurrent imaging spectroscopy in order to demonstrate the influence of a compact/mesoporous  $\text{TiO}_2$  interlayer on perovskite layer properties [49]. They observed that PL and photocurrent maps reflect the structural inhomogeneity caused by the initial roughness of the bottom interlayer (Fig. 7). Use of advanced experimental techniques such as time-resolved PL microscopy, nanoscale photocurrent mapping and transient absorption microscopy will allow a better understanding of the processes occurring at perovskite/carbon nanomaterial interfaces.

## 2. Graphene in PSCs

### 2.1. Graphene properties

Graphene is a 2D carbon material, in which C atoms are covalently bonded to form a honeycomb lattice structure. Graphene can be

prepared by mechanical, chemical, electrochemical, liquid phase exfoliation or by chemical vapour deposition (CVD) methods (Fig. 8). Large-area, highly-conductive graphene can be grown by CVD on transition metal substrates and then transferred onto a solid or flexible substrate. Exfoliation methods are usually more accessible but have several restrictions on flake size and quality [50]. A monolayer of graphene absorbs only 2.3% of incident UV-NIR light, making it promising for photovoltaic application as an auxiliary layer. The flexibility and outstanding mechanical strength of graphene are crucial for the creation of flexible optoelectronic devices. Despite its Young's module reaching 1 TPa, the reversible elongation of  $\text{sp}^2$  C–C bonds makes it both strong and flexible [51]. Graphene is a semi-metallic zero-bandgap semiconductor, its electrical properties are governed by  $\pi$ - $\pi$  connections between carbon atoms. The delocalized electrons originate from the out-of-plane  $\pi$ -bond, which governs the graphene conductivity. Their carrier mobility can reach  $2 \times 10^5 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$  [52]. The density of states is ultralow at the Dirac point, which is favorable for tuning the graphene work function by carrier injection [53]. Both metallic and non-metallic atoms and molecules can be used for tuning the graphene work-function and conductivity type [52]. Numerous treatment procedures have been developed for the implementation of graphene derivatives in solar cells. Exfoliated graphene oxide (GO) and its reduced form (rGO) have numerous applications in solar cell fabrication, and the reduction/oxidation degree is an additional tool to adjust graphene conductivity [34]. Table 1 lists graphene work function modifications for solar cell applications.

### 2.2. Graphene as ETL

#### 2.2.1. Normal mesoporous architecture

Graphene was first introduced as an electron collecting layer in

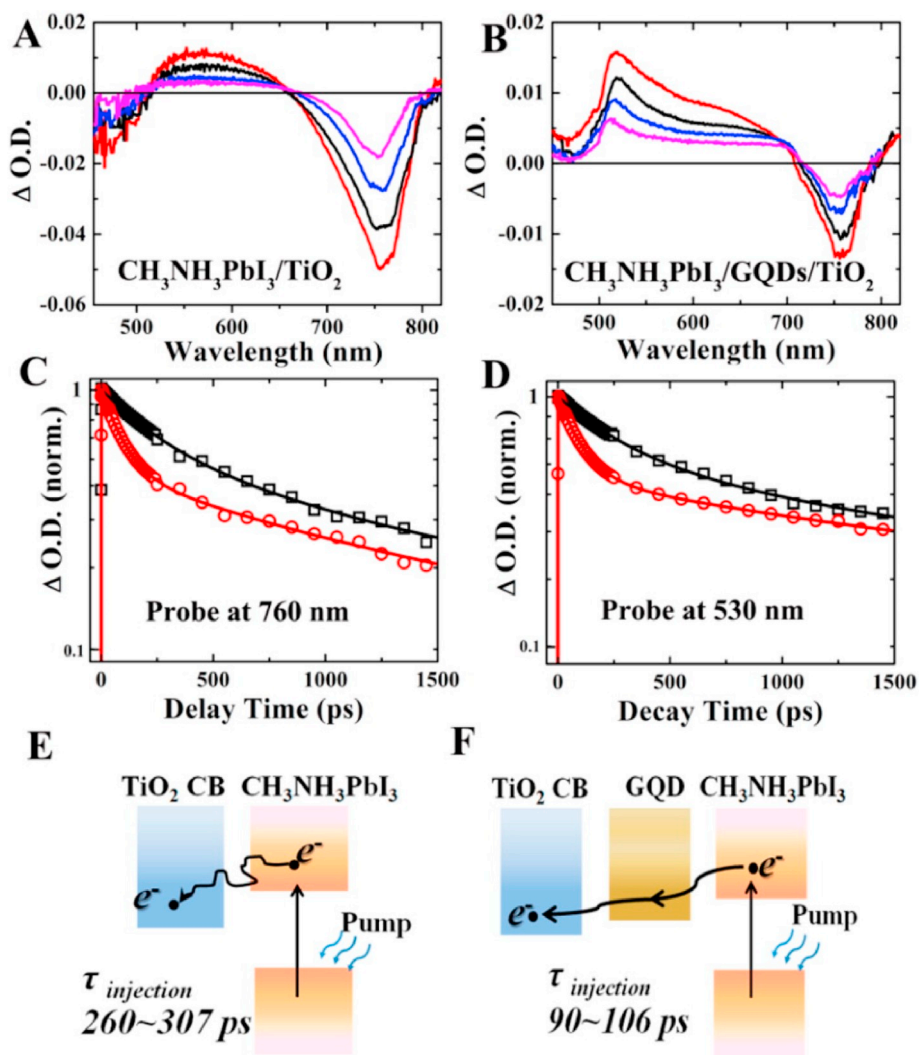


Fig. 6. TAS analysis of the  $\text{TiO}_2$  ETL modified with graphene quantum dots [45].

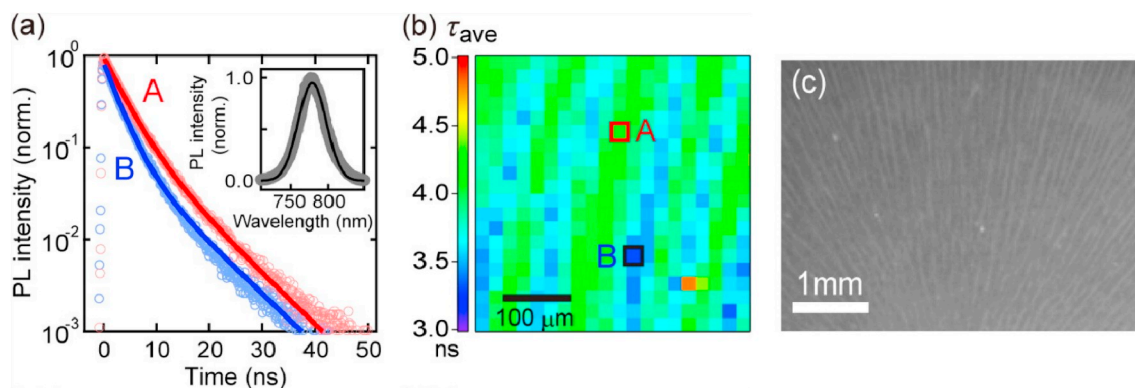


Fig. 7. PL decay curves obtained from different spatial points demonstrate different average decay times (a). The PL lifetime map (b) reflects the inhomogeneity of a bottom  $\text{TiO}_2$  interlayer (c) [49].

meso-superstructured PSCs to avoid high-temperature processing. Wang and co-workers used a nanocomposite of graphene and  $\text{TiO}_2$  nanoparticles, instead of the commonly used m- $\text{TiO}_2$ , and fabricated highly-efficient devices at temperatures below  $150^\circ\text{C}$  [69]. Following this, the use of graphene in a classic mesoporous PSC structure was thoroughly investigated. Han et al. optimized the volume fraction of rGO in rGO-m- $\text{TiO}_2$  nanocomposites and observed a reduction in interfacial

resistance at the ETL/perovskite interface. A diagram of the device is shown in Fig. 9. An appropriate work function of rGO promotes efficient charge transfer from  $\text{TiO}_2$  and perovskite to the fluorine tin oxide (FTO) electrode via the highly conductive rGO. The enhanced charge collection and transfer resulted in an improvement of all key photovoltaic characteristics [70]. Argesti et al. showed that introducing lithium-neutralized graphene oxide between the perovskite and m- $\text{TiO}_2$

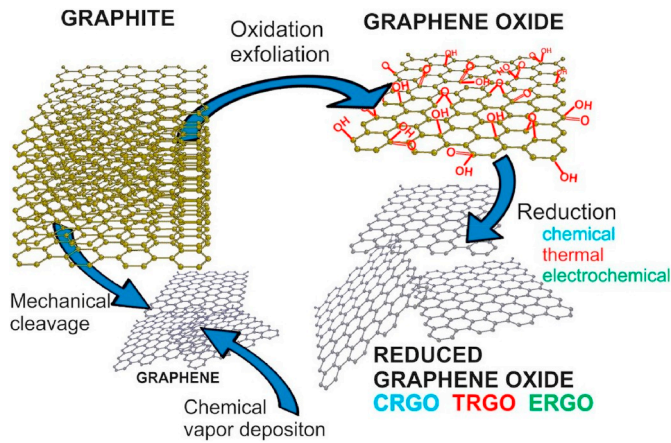


Fig. 8. Schematic illustration of possibilities for the preparation of graphene and rGO [54].

layers improves device performance and reduces hysteresis. Additional passivation of the TiO<sub>2</sub> defects leads to enhanced device stability [57]. Li-modification is a well-known method of reducing the graphene work function for better matching to the m-TiO<sub>2</sub>.

Biccari et al. investigated carrier recombination dynamics in MAPbI<sub>3</sub> films embedded in various graphene-based ETLs for mesoporous PSCs. Four samples with m-TiO<sub>2</sub>, graphene doped m-TiO<sub>2</sub> and a lithium-neutralized GO interlayer were prepared as depicted in Fig. 10. Results from both steady-state and transient PL measurements demonstrate that an additional lithium-neutralized graphene oxide (GO-Li) interlayer between the graphene-doped m-TiO<sub>2</sub> and perovskite layers causes a doubling of the efficiency of carrier collection, as compared to a conventional m-TiO<sub>2</sub> layer. An additional benefit is the improved quality of the perovskite crystal structure. The authors observed a reduction in trap density and an enhanced stability of the perovskite crystal structure, which was frozen in the tetragonal phase regardless of the temperature [71].

O’Keeffe et al. have recently shown that incorporation of graphene flakes in the m-TiO<sub>2</sub> layer also impacts hot-carrier extraction [72]. They used femtosecond transient absorption spectroscopy to show that insertion of graphene keeps the carrier temperature after device aging. Two peaks were distinguished in the photobleaching spectra (Fig. 11), which were assigned to perovskite crystals of different sizes. The relative intensities of these peaks changed after sample aging. Faster thermalization of carriers in the aged perovskite films without a graphene additive was caused by the degradation of smaller perovskite crystals. At the same time, the introduction of graphene prevents the degradation of smaller perovskite crystals, which contribute to maintaining the hot-carrier temperature.

Table 1  
Tuning the graphene work function for SC application.

| Material     | Work function | Treatment   | Role                          | SC type    | PCE, % | Ref. |
|--------------|---------------|---|-------------------------------|------------|--------|------|
| GO           | 4             | Cs <sub>2</sub> CO <sub>3</sub>                               | ETL, active layer             | organic    | 3.67   | [55] |
| rGO          | 4.3           | 1-pyrenecarboxylic acid grafting                              | ETL, active layer             | organic    | 2.85   | [56] |
| GO           | 4.3           | Li <sub>2</sub> CO <sub>3</sub>                               | ETL                           | perovskite | 11.14  | [57] |
| GO           | 4.68          | silver trifluoromethanesulfonate                              | HTL                           | perovskite | 11.9   | [58] |
| GO           | 4.8           | fuming sulfuric acid  | HTL                           | organic    | 4.37   | [59] |
| CVD graphene | 4.8-4.95      | AuCl <sub>3</sub>   | HTL                           | perovskite | 15.9   | [60] |
| rGO          | 4.84-4.88     | p -toluenesulfonyl hydrazide                                  | HTL                           | organic    | 3.63   | [61] |
| GO           | 4.89-4.99     | OsCl <sub>3</sub> , PtCl <sub>4</sub> , and AuCl <sub>3</sub> | HTL                           | organic    | 3.54   | [62] |
| rGO          | 4.95          | Ag - microwave assisted                                       | Active layer, hole extraction | organic    | 4.23   | [63] |
| rGO          | 4.97          | phenylhydrazinebased reduction                                | HTL                           | organic    | 6.71   | [64] |
| GO           | 5.2           | O <sub>2</sub> plasma treatment                               | HTL                           | organic    | 3.59   | [65] |
| GO           | 5.21          | photochemical chlorination                                    | HTL                           | organic    | 7.59   | [66] |
| GO           | 5.23          | photochemical chlorination                                    | HTL                           | organic    | 6.56   | [67] |
| GO           | 5.37          | NH <sub>3</sub>   | HTL                           | perovskite | 16.11  | [68] |

### 2.2.2. Normal planar architecture

Liu et al. have demonstrated that due to the ambipolar nature of perovskite, a high PCE can be achieved when a planar heterojunction PSC architecture is used [6]. Tavakoli et al. fabricated a three-dimensional scaffold of rGO and inserted it between TiO<sub>2</sub> and perovskite layers in a normal planar heterojunction structure [73]. They observed that rGO has a positive effect on device efficiency, stability and hysteresis suppression. As a result, a maximum PCE of 17.2% was achieved. The device demonstrated low hysteresis and better environmental stability (Fig. 12).

When ZnO nanoparticles are used as an ETL, decomposition of perovskite films during annealing can be induced by the hydroxide groups on the ZnO surface. To prevent this, rGO can be used as a shell around the ZnO nanoparticles. It was shown that the rGO layer provides ZnO surface passivation and enhances charge extraction [74]. Zhao et al.

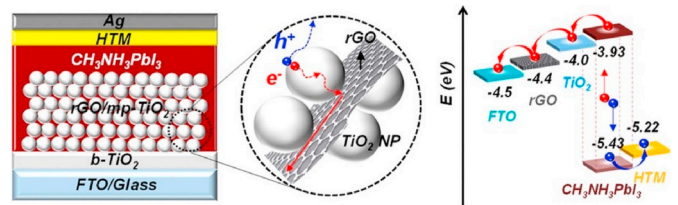


Fig. 9. Diagram of the normal mesoporous PSC with rGO-m-TiO<sub>2</sub> ETL [70].

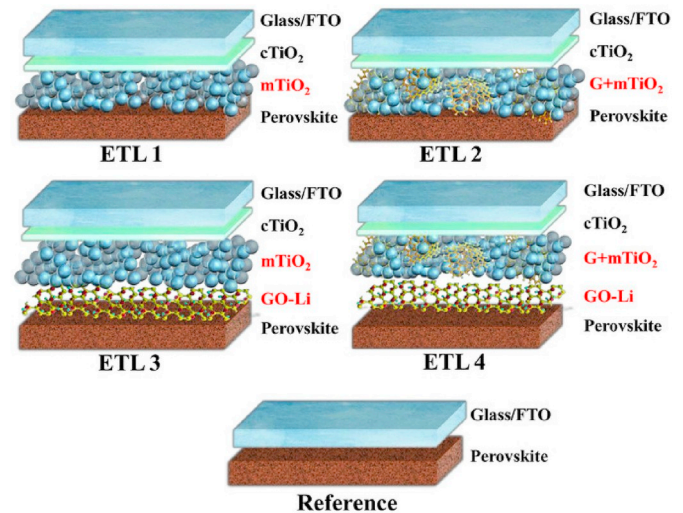


Fig. 10. The structure of the samples prepared for optimization of ETLs in planar mesoporous PSC [71].



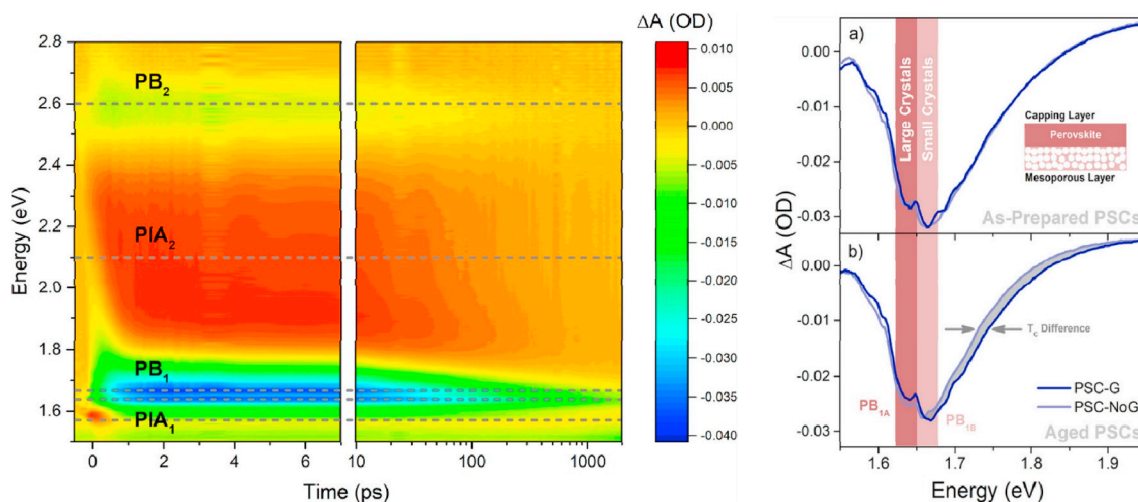


Fig. 11. TAS spectra obtained for the PSC with graphene (left) possess two areas of photoinduced absorption (PIA) and two areas of photoinduced bleaching (PB). The PB1 area is composed of two distinct peaks (right) at 1.64 and 1.66 eV, corresponding to the large and small perovskite crystals respectively [72].

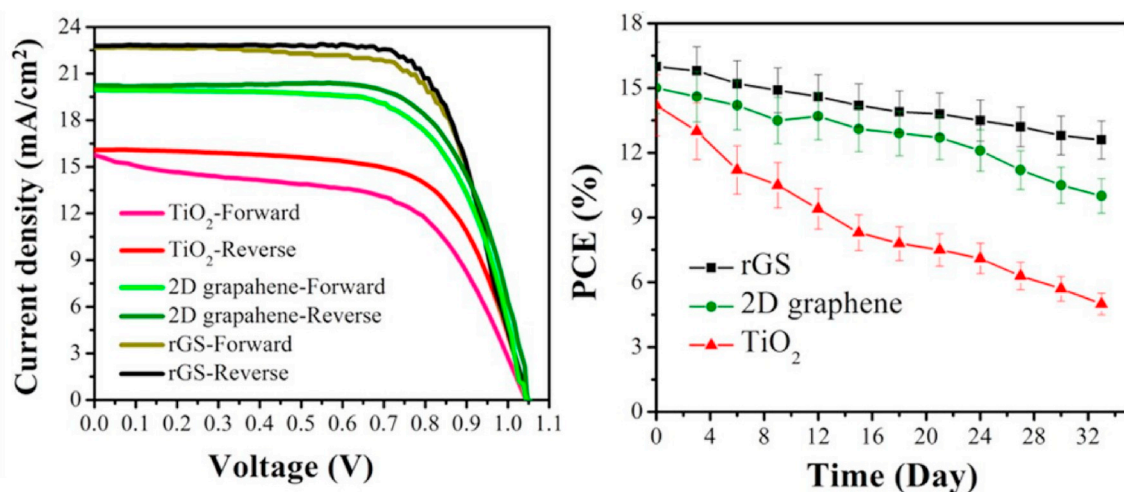


Fig. 12. The insertion of rGO-scaffold between TiO<sub>2</sub> and perovskite layers provides higher efficiency, lower hysteresis and better stability [73].

inserted naphthalene diimide-graphene into a SnO<sub>2</sub> nanocrystal ETL [75]. Modification of the SnO<sub>2</sub> ETL allowed van der Waals interaction between the ETL and perovskite film that fixed the octahedral [PbI<sub>6</sub>]<sup>4-</sup>. Improved electron extraction and compressed charge recombination resulted in a PCE of over 20%. Later, Zhu et al. tested the stability of the devices with a SnO<sub>2</sub>-graphene ETL [76]. They found that graphene incorporation promotes device stability. The device lost only 10% of its initial efficiency after 300 h storage under humid atmospheric conditions.

### 2.2.3. Inverted planar architecture

An inverted planar heterojunction structure was also developed for PSCs. In this structure, graphene can be used in combination with PCBM and TiO<sub>x</sub>. Kakavelakis et al. reduced GO using a mixture of hydriodic and acetic acids and added this to a PCBM solution at various concentrations [77]. The effect of rGO incorporation was dramatic, causing a series resistance reduction, short circuit current density growth, light soaking effect repression and perovskite crystal structure stabilization. The efficiency of the modified device increased from 12.93 to 14.51%. More efficient PL quenching and accelerated recombination, as indicated by transient absorption spectroscopy, suggested improved charge carrier extraction when the rGO-modified ETL was used. As a result, the J<sub>sc</sub> value increased from 20.65 to 22.92 mA/cm<sup>2</sup>. Independent

measurements of conductivity for the two ETLs shows a fivefold higher value for the PCBM-rGO sample. This increase in conductivity allowed efficient transport of extracted electrons to the electrode, without causing hysteresis. Additionally, use of a PCBM-rGO ETL gave a smoother device, providing better contact with the electrode and reduced series resistance. A stability test, performed under solar illumination at 50% humidity, showed very fast degradation of the reference sample. The most pronounced changes were noticed for the V<sub>oc</sub> and FF values, indicating the degradation of the active layer. The device with the PCBM-rGO ETL retained a fivefold higher PCE after a 50 h stability test. Nouri et al. fabricated a series of devices with organic-free interlayers with graphene derivatives [78,79]. Li-functionalized GO was used in conjunction with sol-prepared TiO<sub>x</sub>. The GO and TiO<sub>x</sub> did not provide adequate efficiency themselves, but formed an efficient charge funnel when used together. The introduction of hydrophobic graphene derivatives is especially important for stability improvement of the inverted planar heterojunction PSCs. Kim et al. demonstrated 82% of the initial PCE after 30 days exposure to 50% humidity [80]. To realize such a stable device, they synthesized edged-selectively fluorine functionalized graphene nanoplatelets and deposited them onto a thin layer of PCBM. The device structure is shown in Fig. 13. The edge functionalization by fluorine created a superhydrophobic fluorocarbon layer which provided protection against moisture. The results obtained by

incorporation of graphene derivatives into the ETL for PSCs are listed in Table 2.

### 2.3. Graphene as HTL

#### 2.3.1. Normal architecture

Spiro-OMeTAD [2,2',7,7'-tetrakis-(N,N-di-p-methoxyphenylamine) 9,9'-spirobifluorene] is the most common hole transport material for normal mesoporous PSCs. Insufficient charge mobility often requires additional doping of Spiro-OMeTAD, and Li-TFSI [lithium bis(trifluoromethanesulfonyl)imide] and TBP (4-tert-butylpyridine) are the most common dopants used. However, these dopants reduce the environmental stability of the HTL. It was shown that TBP can lead to corrosion of an active perovskite layer, limiting the long-term stability of devices [81]. Acetonitrile is used to dissolve Li-TFSI, however any residue of this solvent in the HTL is harmful to the perovskite layer [20]. PSCs often suffer from the hygroscopic nature of Spiro-OMeTAD-based HTLs. Li-TFSI is a highly hygroscopic dopant which has a tendency to liquify in the presence of moisture [82]. Hydrophobic graphene derivatives are understood to improve the HTLs for PSCs by increasing both charge mobility and moisture stability.

In the simplest case, graphene derivatives can be placed between an active layer and Spiro-OMeTAD. In this case, graphene derivatives can provide better hole extraction, perovskite film passivation, better wettability for Spiro-OMeTAD deposition and enhanced stability [83, 84]. Graphene derivatives have been also considered to replace unstable dopants for Spiro-OMeTAD. Luo et al. investigated GO and rGO with different degrees of reduction as an interlayer between a perovskite film and dopant-free Spiro-OMeTAD [85]. The performance achieved when using rGO greatly exceeded that obtained for GO and dopant-free samples. Despite doped Spiro-OMeTAD layers demonstrating higher performance as prepared, they suffered from a rapid worsening of their performance after storage at ambient conditions [20,82]. Conversely, a slow decrease in performance was observed for both rGO and GO samples. In a similar way, Wen et al. used amino-functionalized graphene (NGs) between an active and dopant-free Spiro-OMeTAD layer [86]. They achieved a 36% PCE improvement as compared to the graphene-free sample. This improvement was attributed to perovskite trap state reduction and improved hole capture and transfer.

Cao et al. completely replaced Spiro-OMeTAD with a functionalized nanographene (perthiolated trisulfur-annulated hexa-peri-hexabenzocoronene, TSHBC) [87]. First, 20 nm of pristine TSHBC was used as the HTL, giving a PCE of 12.8%. Then, two additional homologous modifications of TSHBC with slightly shifted HOMO/LUMO (Highest Occupied Molecular Orbital/Lowest Unoccupied Molecular Orbital)

energy positions were analyzed. It was found that such a shift degrades  $V_{OC}$  and photovoltaic performance. These findings indicate the importance of precise work function tuning of graphene derivatives in order to achieve an optimal match with the perovskite film. A further increase in the PCE was achieved by incorporating highly-conductive graphene sheets into a TSHBC HTL. The addition of 20 wt% of graphene sheets resulted in a PCE of 14.0% and a noticeable enhancement in device stability. Devices with the TSHBC-graphene HTL retained more than 90% of their initial efficiency after 10 days of storage at ambient conditions.

Palma et al. compared the long-term efficiency of devices with Spiro-OMeTAD and rGO HTLs [88]. They observed a 41% reduction in the PCE for the Spiro-OMeTAD device after a 1987 h shelf life test. In contrast, the rGO-based device demonstrated a 36% increase in its PCE. The increase in PCE was attributed to a reduction of charged defects inside the active layer caused by illumination during stress test measurements. Over this period, the efficiency of the rGO device exceeded that of the Spiro-OMeTAD device.

#### 2.3.2. Inverted architecture

In the inverted PSC architecture, PEDOT:PSS [Poly(3,4-ethylenedioxythiophene):poly(styrenesulfonate)] is commonly used. Like Spiro-OMeTAD, PEDOT:PSS is hygroscopic and can accelerate PSC degradation. To address this problem, a thin layer of graphene can be fabricated before PEDOT:PSS deposition, which should provide better device stability. Lee et al. studied the PEDOT:PSS, GO, and GO/PEDOT:PSS HTLs in a planar inverted PSC [89]. GO/PEDOT:PSS HTL was fabricated by sequential spin-coating of GO and PEDOT:PSS solutions. A composite HTL provided superior device efficiency due to decreased series resistance and increased shunt resistance. The authors pointed out that matching of GO and PEDOT:PSS work functions promotes efficient hole transfer to the indium-tin oxide (ITO) electrode. GO also exhibited electron blocking and improved environmental stability. Importantly, the device with the pristine GO HTL demonstrated the highest stability. Kim et al. used  $AuCl_3$ -doped graphene as an interlayer between the ITO and PEDOT:PSS [60]. A diagram of the device and a SEM cross-section are shown in Fig. 14. Variation of the amount of  $AuCl_3$  in nitromethane solution allowed tuning of the work function of graphene. A work function increase was accompanied by a reduction of charge mobility, and the highest PCE was achieved at an intermediate  $AuCl_3$  concentration. Device stability with the modified graphene was only slightly worse than that obtained for the pristine graphene, but it was much improved as compared to the PEDOT:PSS only device. A reverse sequence of layer deposition was demonstrated in the work done by Feng and co-workers [68]. A 2-nm ammonia modified graphene oxide ( $GO:NH_3$ ) layer was spin-coated onto a pre-deposited PEDOT:PSS layer. Different mixing ratios of GO solution and ammonia were tried in order to obtain the best photovoltaic characteristics. It was found that a thin layer of graphene influences the formation of an active perovskite film. The authors observed improved crystallization and a preferred orientation order of the perovskite structure. Mixing of modified graphene and PEDOT:PSS can also have a positive effect on photovoltaic characteristics, including  $J_{SC}$ ,  $V_{OC}$ , FF, serial and shunt resistance [58].

Pristine graphene derivatives have also been considered as a replacement for PEDOT:PSS HTL. As discussed earlier, one could expect a favorable perovskite morphology using these materials. Wu et al. used a thin layer of GO as the HTL and achieved a maximum PCE of 12.4%, only slightly smaller than that obtained for the PEDOT:PSS HTL [90]. Yeo et al. achieved easily reproducible efficiencies of over 10% using rGO [91]. The improved perovskite crystallinity and charge collection was accompanied by a prolonged operational lifetime for the cell. Jokar has recently compared GO and rGO with different reducing agents as HTLs in an inverted planar PSC [92]. Hydrazine ( $N_2H_4$ ), sodium borohydride ( $NaBH_4$ ), and 4-hydrazino benzenesulfonic acid were utilized for GO reduction to obtain rGO with different oxygen contents, as shown in Fig. 15c. It was observed that formation of a perovskite layer on a GO

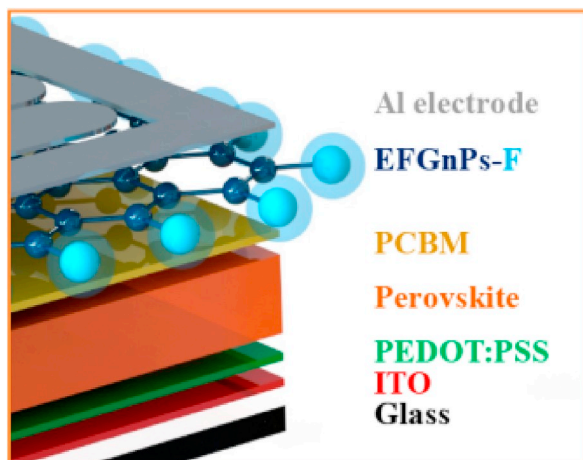


Fig. 13. The structure of the inverted planar heterojunction PSC optimized by introduction of fluorine functionalized graphene nanoplatelets [80].

**Table 2**  
Incorporation of graphene derivatives into the ETL for PSCs.

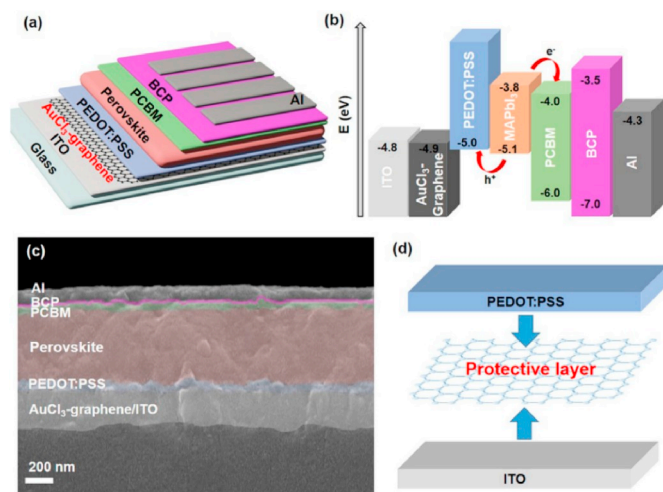
| Material        | Location   | Structure  | PCE (%) / Jsc (mA/cm <sup>2</sup> ) / Voc (V) / FF (%) | ↑PCE | ↑Stability | Improvements   | Year | Ref. |
|-----------------|--|--|--|------|------------|--|------|------|
| Graphene flakes | Blend with TiO <sub>2</sub> nanoparticles            | FTO/TiO <sub>2</sub> -Gr <sup>a</sup> /Act <sup>b</sup> /SO <sup>c</sup> /Au | 15.6 / 21.9 / 1.04 / 73                                | +    | n/i        | Low-temperature processing   | 2014 | [69] |
| rGO             | Blend with m-TiO <sub>2</sub>                        | FTO/TiO <sub>2</sub> -Gr/Act/SO/Ag   | 14.5 / 22.0 / 0.93 / 70.7                              | +    | n/i        | reduces interfacial resistance; improves charge collection   | 2015 | [70] |
| Li-GO           | on top of m-TiO <sub>2</sub>                         | FTO/TiO <sub>2</sub> /Gr/Act/SO/Au   | 11.1 / 19.6 / 0.859 / 70.3                             | +    | +          | improved short circuit current and fill factor; reduced hysteresis; enhanced stability under irradiation   | 2016 | [57] |
| Graphene, Li-GO | Graphene doped m-TiO <sub>2</sub> + Li-GO interlayer | FTO/TiO <sub>2</sub> -Gr/Act   | n/i  | n/i  | +          | carrier collection efficiency; improved perovskite crystalline quality; smaller perovskite trap density; freezes MAPbI <sub>3</sub> in the tetragonal phase  | 2017 | [71] |
| rGO scaffold    | Between TiO <sub>2</sub> and active                  | FTO/TiO <sub>2</sub> /Gr/Act/SO/Au   | 17.2 / 22.8 / 1.05 / 72                                | +    | +          | device efficiency, stability and hysteresis suppression  | 2016 | [73] |
| rGO             | ZnO@rGO core@shell                                   | FTO/ZnO-Gr/Act/SO/Au   | 15.2 / 21.7 / 1.03 / 68                                | n/i  | +          | ZnO surface passivation; enhanced charge extraction  | 2016 | [74] |
| NDI-graphene    | Blend with SnO <sub>2</sub>                          | FTO/SnO <sub>2</sub> -Gr/Act/SO/Au   | 20.16 / 22.66 / 1.084 / 82.1                           | +    | n/i        | Improved electron extraction; compressed charge recombination  | 2018 | [75] |
| graphene        | Blend with SnO <sub>2</sub>                          | FTO/SnO <sub>2</sub> -Gr/Act/SO/Au   | 18.11 / 23.06 / 1.091 / 72                             | +    | +          | Enhanced carrier; suppressed interfacial charge recombination; retention of 90% of the initial PCE after 300 h aging in 40 ± 5% humidity   | 2018 | [76] |
| rGO             | Blend with PCBM                                      | ITO/PP <sup>d</sup> /Act/PCBM-Gr/Ag  | 13.5 / 22.24 / 0.866 / 64.7                            | +    | +          | reduced series resistance and surface roughness; increased short circuit current density; reduced light soaking effect; stabilization of perovskite crystal structure after prolonged illumination | 2017 | [77] |
| Li-GO           | Between TiO <sub>x</sub> and Al                      | FTO/Gr/Act/TiO <sub>x</sub> /Gr/Al   | 10.2 / 15.6 / 0.91 / 72                                | +    | n/i        | Improved electron transport and current  | 2017 | [78] |
| Li-GO           | Between TiO <sub>x</sub> and Al                      | FTO/Gr/NiO/Act/TiO <sub>x</sub> /Gr/Al                                       | 11.2 / 17.7 / 0.96 / 61                                | -    | +          | Improved stability at ambient conditions   | 2017 | [79] |
| EFnPs-F         | Between PCBM and Al                                  | ITO/PP/Act/PCBM-Gr/Al  | 14.3 / 18.5 / 0.98 / 78                                | -    | +          | 82% of initial PCE after 30 days exposure to 50% humidity  | 2017 | [80] |

<sup>a</sup> graphene derivative

<sup>b</sup> active layer

<sup>c</sup> Spiro-OMeTAD

<sup>d</sup> PEDOT:PSS



**Fig. 14.** The schematic image, band diagram, and SEM cross-section of the inverted planar heterojunction PSC with an additional protective AuCl<sub>3</sub>-doped graphene layer [60].

surface leads to the most pronounced quenching of the PL intensity and a decrease in the PL lifetime, as compared to rGO samples (Fig. 15a and b). That was ascribed to the most efficient hole extraction by GO HTL. It was shown that the oxygen content drastically influences the hole-extraction rate. Hence, oxygen-rich GO provided the fastest hole extraction. However, the dependence of the hole-extraction rate contrasted with that observed from photovoltaic measurements. Thus, hole transfer from

the HTL to the ITO became a bottleneck, inducing parasitic charge recombination at the perovskite/HTL interface. Precise tuning of the oxygen content during rGO reduction allows a balance to be achieved between hole extraction and transfer. The results obtained by incorporation of graphene derivatives into the HTL for PSCs are listed in Table 3.

### 3. Fullerenes in PSCs

#### 3.1. Graphene properties

Fullerene is another carbon allotrope which finds broad application in optoelectronics. Pristine fullerenes contain a specific number (C<sub>60</sub>, C<sub>70</sub> etc.) of C-atoms, covalently bonded to three neighboring atoms. Fullerenes can be functionalized to obtain desired chemical and physical properties, with PC<sub>60</sub>BM ([6,6]-Phenyl-C61-butyric acid methyl ester) and PC<sub>70</sub>BM ([6,6]-Phenyl-C71-butyric acid methyl ester) as typical examples. Fullerene derivatives can be easily dissolved in nonpolar organic solvents, allowing the possibility of using them as an electron acceptor for semiconducting polymers in organic photovoltaics. Solution-processability and satisfactory power conversion efficiencies of these hybrid materials encouraged the growth of organic photovoltaics. Apart from the fullerene/polymer system, fullerene derivatives have been considered as an electron-accepting material which can additionally enhance charge separation and electron transfer. Fullerenes have been intensively studied as an electron-transport material for both normal and inverted SCs. It was found that the function of fullerene in SCs is not limited to direct improvement of the principal photovoltaic characteristics, they can also enhance stability and reduce J-V hysteresis.

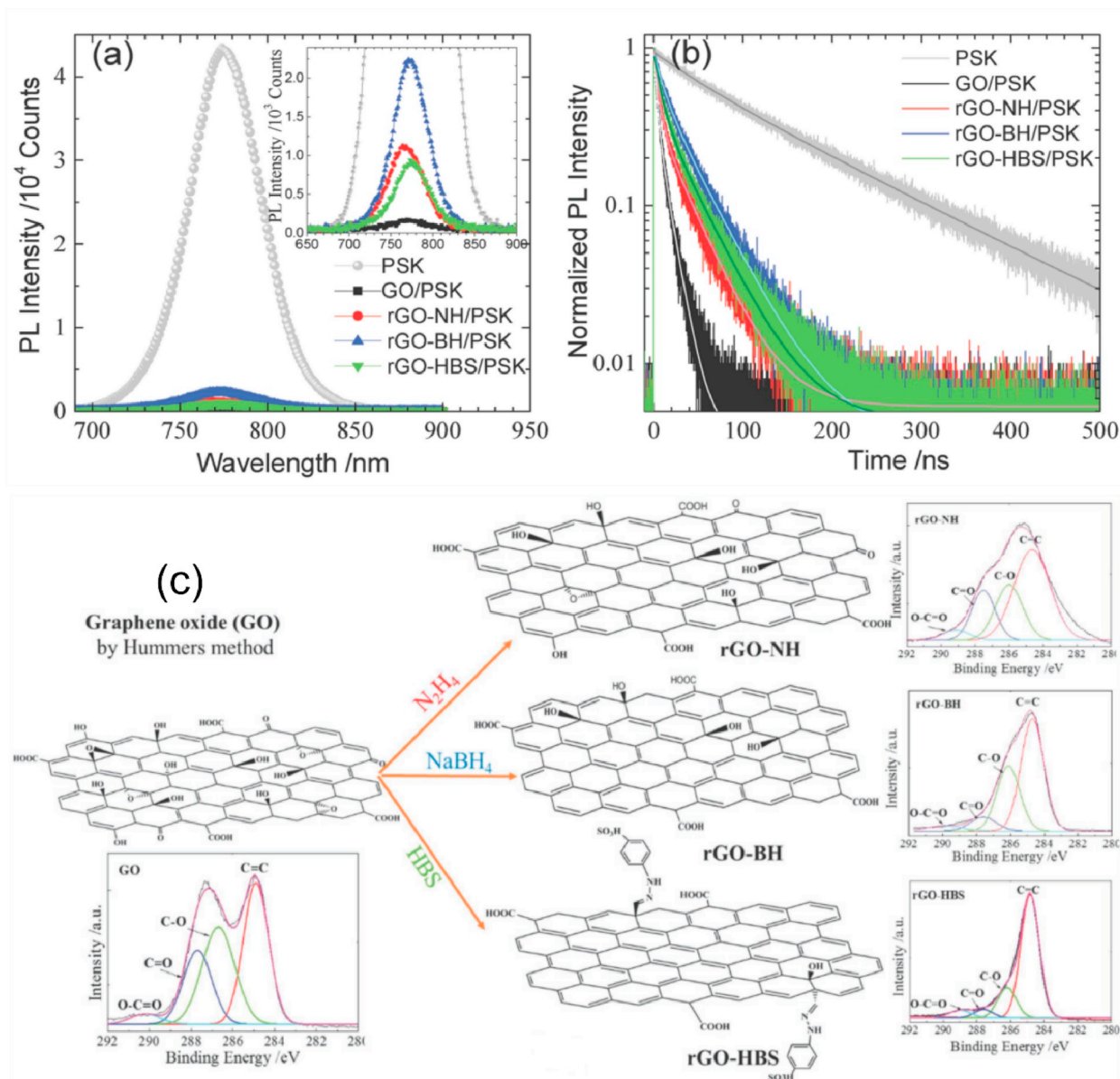


Fig. 15. Stronger quenching of PL intensity (a) and reduction of PL lifetimes (b) indicate more efficient hole extraction with GO. (c) Reduction of graphene with various reducing agents affected the oxygen content in the HTL layer of an inverted planar heterojunction PSC as confirmed by XPS measurements [92].

### 3.2. Inverted architecture

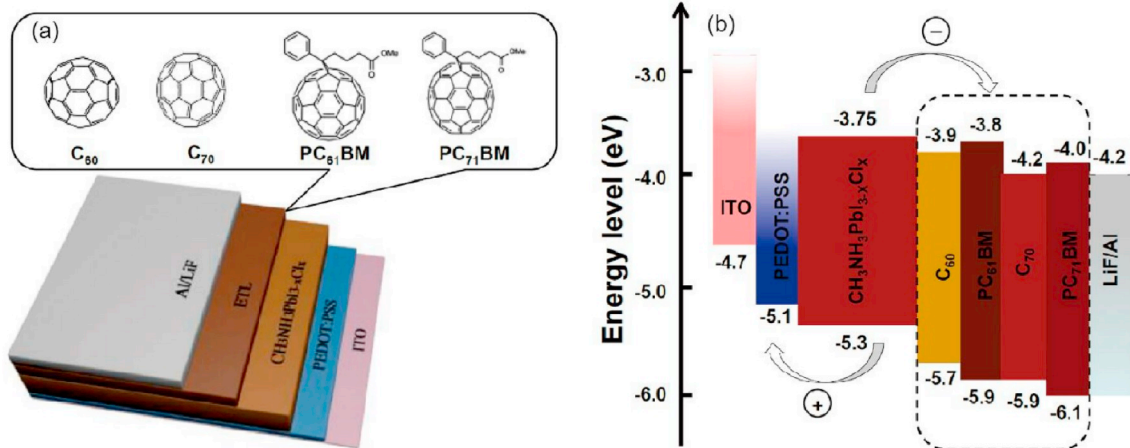
Jeng et al. demonstrated a perovskite/fullerene inverted planar heterojunction PSC for the first time in 2013 [7]. In this pioneering work, a thin layer of fullerene [ $C_{60}$ ,  $PC_{60}BM$ , or  $IC_{60}BA$  (1',1'',4',4''-tetrahydro-di [1,4]methanonaphthaleno [5,6]fullerene- $C_{60}$ )] was thermally deposited onto perovskite crystals. The planar heterojunction was sandwiched between an ITO/PEDOT:PSS and BCP (bathocuproine)/Al anode and cathode and exhibited an efficiency of 3.9% when a 25-nm layer of PCBM was used. The efficiency of these devices was increased several-fold over a few years by optimization of perovskite grain formation and fullerene deposition. An appropriate choice of fullerene derivative has also become an important issue to be studied. Liang et al. systematically studied the incorporation of  $IC_{60}BA$ ,  $PC_{60}BM$ , and  $C_{60}$  into a  $MAPbI_3$ -based planar heterojunction SC [93]. They showed that the electron mobility of the fullerene layer is in direct correlation with device performance, indicating the importance of superior electron transfer efficiency. This observation contrasted with the highest efficiency of the PBCM-based device developed by Jeng et al. [7]. To resolve

this contradiction, Zhang et al. fabricated a series of devices with  $C_{60}$ ,  $C_{70}$ ,  $PC_{60}BM$ , and  $PC_{70}BM$ , as depicted in Fig. 16 [94].  $PC_{60}BM$  and  $PC_{70}BM$  were spin-coated on top of a  $MAPbI_{3-x}Cl_x$  perovskite layer, while  $C_{60}$  and  $C_{70}$  were deposited by vacuum evaporation. It was observed that functionalized fullerenes exhibit superior efficiency to their pristine counterparts. It was speculated that solution-processed fullerenes were more suitable for perovskite passivation and ion migration blocking. They also provide adequate electron extraction and transfer.

It was also noticed that the choice of fullerene influences  $V_{OC}$ . The use of  $IC_{60}BA$  itself did not lead to the highest PCE [7,93], but the situation changes when an additional fullerene layer is deposited onto  $IC_{60}BA$ . Wang et al. showed that the formation of a double fullerene layer is especially beneficial when  $C_{60}$  is deposited on top of an  $IC_{60}BA$  layer [95]. It was proposed that tiny fullerene molecules can fill the space between grain boundaries. Acting in this manner, they can effectively passivate trap states at both the perovskite surface and boundaries. Penetration of fullerenes through the active layer pinholes was considered to produce a Schottky junction between the fullerene and

**Table 3**  
Incorporation of graphene derivatives into HTL of PSCs.

| Material              | Location                                    | Structure                          | PCE (%) / Jsc (mA/cm <sup>2</sup> ) / Voc (V) / FF (%) | ↑PCE | ↑Stability | Improvements   | Year | Ref  |
|-----------------------|---|------------------------------------|--|------|------------|--|------|------|
| GO                    | Between active and Spiro-OMeTAD             | FTO/TiO <sub>2</sub> /Act/Gr/SO/Au | 15.1 / 20.2 / 1.04 / 73                                | +    | n/i        | Improved wettability before HTL deposition; improved current, voltage, and FF  | 2014 | [83] |
| rGO-4FPN              | Between active and Spiro-OMeTAD             | FTO/TiO <sub>2</sub> /Act/Gr/SO/Au | 18.75 / 21.5 / 1.11 / 78.6                             | +    | n/i        | Improved V <sub>oc</sub> due to passivation of the under-coordinated Pb ion traps                                      | 2017 | [84] |
| rGO                   | Between active and dopant-free Spiro-OMeTAD | FTO/TiO <sub>2</sub> /Act/Gr/SO/Au | 10.6 / 16.73 / 0.91 / 61                               | +/-  | +          | Enhanced stability   | 2015 | [85] |
| NGs                   | Between active and dopant-free Spiro-OMeTAD | FTO/TiO <sub>2</sub> /Act/Gr/SO/Au | 14.6 / 23.6 / 0.94 / 65.8                              | +    | n/i        | Perovskite trap state reduction; improved hole extraction and transfer   | 2016 | [86] |
| TSHBC + Gr sheets     | HTL   | FTO/TiO <sub>2</sub> /Act/Gr/Au    | 14.02 / 21.91 / 0.97 / 66                              | -    | +          | Enhanced stability   | 2015 | [87] |
| rGO                   | HTL   | FTO/TiO <sub>2</sub> /Act/Gr/Au    | 6.62 / 11.5 / 0.95 / 60.5                              | -    | +          | Enhanced stability   | 2016 | [88] |
| GO                    | Between ITO and PEDOT:PSS                   | ITO/Gr/PP/Act/PCBM/LiF/Ag          | 9.74 / 15.75 / 0.84 / 73.6                             | +    | +          | Decreased series resistance and increased shunt resistance; environmental stability                                    | 2015 | [89] |
| AuCl <sub>3</sub> -Gr | Between ITO and PEDOT:PSS                   | ITO/Gr/PP/Act/PCBM/BCP/Al          | 15.9 / 20.59 / 1.0 / 77.2                              | +    | +          | Enhanced performance; enhanced stability   | 2018 | [60] |
| GO:NH <sub>3</sub>    | Between PEDOT:PSS and active                | ITO/PP/Gr/Act/PCBM/sBphen/Ag       | 16.11 / 22.06 / 1.03 / 71                              | +    | +          | Improved active layer structure; better matched energy-level-alignment at the perovskite interface; enhanced stability | 2016 | [68] |
| AgOTf-GO              | Blend with PEDOT:PSS                        | ITO/PP-Gr/Act/PCBM/Au              | 11.9 / 19.18 / 0.88 / 70.5                             | +    | n/i        | Improved photovoltaic characteristics  | 2015 | [58] |
| GO                    | HTL   | ITO/Gr/Act/PCBM/ZnO/Al             | 12.4 / 17.46 / 1.0 / 71                                | -    | n/i        | Improved perovskite morphology   | 2014 | [90] |
| rGO                   | HTL   | ITO/Gr/Act/PCBM/BCP/Ag             | 10.8 / 15.4 / 0.98 / 71.6                              | +    | +          | Improved performance; better perovskite crystallinity; improved stability  | 2015 | [91] |
| GO, rGO               | HTL   | ITO/Gr/Act/PCBM/Ag                 | 16.4 / 22.1 / 0.96 / 77                                | +    | n/i        | Superior efficiency; balance between hole extraction and transfer  | 2018 | [92] |



**Fig. 16.** The device structure (a) and its energy diagram (b) when different fullerene derivatives are used as an ETL [94].

hole-transporting layer, which is sensitive to fullerene LUMO and, consequently, fullerene type. This hypothesis was further confirmed by preventing possible contact between the hole- and electron-transporting layers [31].

Further improvements in the fullerene-based electron-transporting layer can be achieved by fullerene doping and functionalization, enhancing charge extraction and morphology [96]. Lin et al. used a small amount of the block copolymer to improve the morphology of the ETL [97]. They found that interaction between PC<sub>60</sub>BM and the polymer leads to the formation of smaller clusters which can further aggregate into a dendritic-like structure. The improved morphology of this ETL led to a higher fill factor and a 43% enhancement in the PCE. Zwitterionic fulleropyrrolidines (C<sub>60</sub>-SB) have been developed as an additional interfacial layer for organic photovoltaics [98]. The main purpose of this layer is to eliminate the trade-off between cathode work function and its

oxidation stability. Duzhko et al. later showed that additional doping of C<sub>60</sub>-BM by tetra-n-butyl ammonium iodide (TBAI) allows further increases in the efficiency of both organic and perovskite solar cells [99].

Effective passivation of the trap states creates an additional desirable effect. The normal planar heterojunction architecture with a perovskite/fullerene junction allowed the demonstration of a hysteresis-free PSC for the first time [95]. Indeed, incorporation of fullerenes onto perovskite grains and between grain boundaries allowed both a reduction in charge trap density and prevented ion migration, which is considered to be responsible for photocurrent hysteresis [31,100]. However, the exact mechanism of photocurrent hysteresis suppression is still a topic of debate. Liu et al. have recently shown that evaporation of an ultrathin (~1 nm) layer of pristine C<sub>60</sub> allows effective electron extraction and hysteresis suppression [101]. The authors concluded that the hysteresis phenomenon should be ascribed to space charge accumulation at the

interface. A thin  $C_{60}$  layer was able to enhance carrier extraction and, hence, to block the main mechanism for photocurrent hysteresis.

### 3.3. Normal architecture

Wojciechowski et al. pointed out that slow electron transfer from the perovskite to the  $TiO_2$  can seriously limit device performance [40]. To address this problem, a monolayer of self-assembled fullerene ( $C_{60}$ -SAM) was formed on top of the compact  $TiO_2$  layer. PL decay measurements showed that the combination of  $TiO_2$  and  $C_{60}$ -SAM acts as a much more effective quencher for the perovskite film. The drastically improved ETL enabled a change in the device operation mode, making it an n-i-p heterojunction. Importantly, a dramatic increase in device efficiency was supported by reduced photocurrent hysteresis. In later work, fullerene derivatives have been used in tandem with different acceptor materials, such as ZnO [102],  $SnO_2$  [103], and  $WO_x$  [104] (see Fig. 17).

After the discovery of the superior role of fullerenes for electron extraction in a normal planar heterojunction architecture, it was necessary to address technological issues. Snaith's group applied a cross-linking technique to eliminate partial dissolution of a thin organic layer during perovskite film formation [105]. These devices, with cross-linked fullerene derivatives, became the first with a sole fullerene-based ETL for this type of PSC. This advance motivated a further search for an optimal strategy for the formation of fullerene-based ETLs. Topolovsek et al. used a self-assembled layer of siloxane functionalized fullerene on top of the FTO transparent electrode. The devices demonstrated a 15% PCE and stability under UV light exposure [106]. Collavini et al. proposed a fullerene saturation approach to form either a  $C_{60}$  or  $C_{70}$  electron transporting layer on top of the FTO electrode [107]. To prevent washing of the fullerene ETL during perovskite deposition, pre-deposited  $C_{60}$  and  $C_{70}$  transporting layers were exposed to DMF fullerene-saturated solution. These films demonstrated improved morphology and better photovoltaic characteristics. Wet-processing of the fullerene-based ETL has an undoubted technological advantage, however, it has some restrictions in terms of photovoltaic characteristics. Lin et al. have shown that the lower efficiency obtained for "wet" fullerene-based ETLs in comparison to those obtained by thermal evaporation can be ascribed to undesired crystallinity and residual solvent [108]. To solve the problem, the authors used a mixture of  $C_{60}$  and  $C_{70}$ , which is not as prone to crystallization. Additional enhancements of fill factor and  $V_{OC}$  were achieved by vacuum-drying of the ETL film

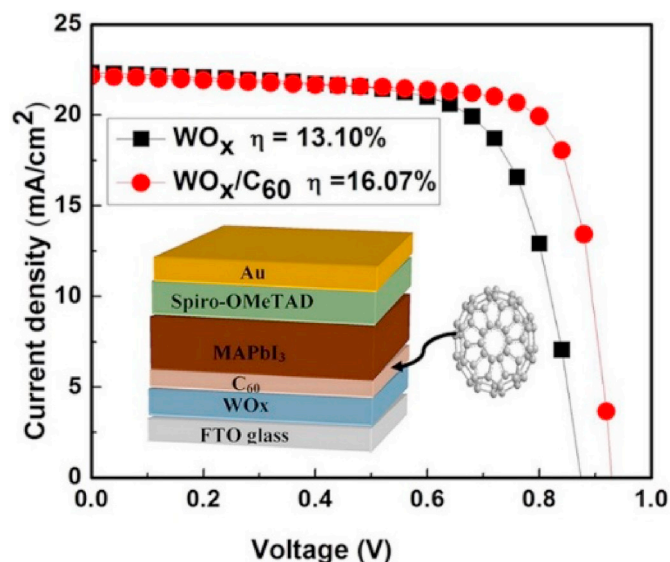


Fig. 17. The use of fullerene derivatives for optimization of electron extraction in normal planar heterojunction PSCs [104].

before perovskite layer fabrication.

## 4. Carbon nanotubes in PSCs

### 4.1. Carbon nanotubes properties

A carbon nanotube (CNT) is a cylindrical-shaped carbon allotrope which can be imagined as one (single-wall CNT) or several (multi-wall CNT) rolled graphene sheets. These sheets can be rolled at specific angles that are determined by chiral indices. Depending on the chiral index, CNTs can exhibit either metallic or semiconducting properties. Only semiconducting CNTs can be used as a charge transporting layer since metallic CNTs usually form a Schottky barrier between the semiconductor and m-CNTs [109]. High carrier mobility and long charge carrier lifetimes, mechanical and chemical stability make CNTs an attractive candidate to replace unstable, organic, hole-transporting materials in PSCs. It has been shown that CNTs can provide faster hole extraction than spiro-OMeTAD and longer hole lifetimes [110]. Currently, CNTs serve multiple functions, acting as both hole extractor and electrode, eliminating the use of highly-expensive noble metal cathodes. CNTs can be improved by coating them with polymers for better morphology, or by chemical doping, which is often used to reduce CNT resistance and enhance carrier transport.

### 4.2. Carbon nanotubes in PSCs

Different types of HTL with CNTs are listed in Table 4. In the simplest approach, CNTs can be used as an additive to well-known hole-transporting layers or p-type organic semiconductors. Cai et al. demonstrated that CNTs facilitate better morphology and crystallinity of the P3HT (poly(3-hexylthiophene)) hole-transporting layer [111]. In this way, over one order of magnitude higher conductivity was achieved by the addition of 1–2 wt% of CNTs to P3HT. Clearly, given the sensitivity of the conductivity to CNT concentration, a careful adjustment of the CNT concentration is required. The widely used Spiro-OMeTAD requires additional doping to improve its conductivity. Since these additives are known to induce premature degradation of PSCs due to their hygroscopicity, CNTs have been considered as chemically-stable replacements for Li-TFSI and other dopants. Miletić et al. utilized two differently functionalized single-wall CNTs (SWNTs) as a dopant for spiro-OMeTAD [112]. This hybrid HTL gave a slightly poorer performance but enhanced degradation resistivity. Wang et al. used multi-walled CNTs (MWNTs) in a similar manner [113]. They proposed using an acid-treatment that facilitated better MWNT dispersibility due to the enhanced  $\pi$ - $\pi$  interaction with spiro-OMeTAD. In some cases, it was found that the prior deposition of a thin doped spiro-OMeTAD layer before hybrid Spiro-OMeTAD/CNTs composite deposition protects the devices from back-electron transfer. This occurs where the perovskite and CNTs are in contact [114].

Another method of combining CNTs and spiro-OMeTAD is to transfer the CNTs first and then to deposit spiro-OMeTAD. In these devices, the CNTs usually act as both a hole-transporting material and a selective contact. Wang et al. produced a flexible solar cell using Ti-foil and CNTs

Table 4  
Different types of HTL with CNTs.

| Structure              | References |
|------------------------|------------|
| P3HT-CNTs blend        | [111]      |
| Spiro-CNTs blend       | [112,113]  |
| Spiro/spiro-CNTs blend | [114]      |
| CNTs transferred/spiro | [115–117]  |
| CNT spin-coated/spiro  | [118]      |
| Wrapped-CNTs/spiro     | [119,120]  |
| Wrapped-CNTs/PMMA      | [121]      |
| GO-CNTs/PMMA           | [122]      |
| Graphene-CNTs          | [29]       |

as electrodes [115]. Here, CNT film was first assembled on nickel foil and then transferred to the perovskite layer. Subsequently, spiro-OMeTAD was spin-coated on top of the CNT layer. They achieved a PCE of 8.31% which slightly decreased after 100 bending cycles. Aitola et al. fabricated a highly-efficient conventional solid-state PSC with a hybrid HTL/electrode [116]. This cell exhibited a PCE of 15.5%, lower than that achieved with a conventional spiro-OMeTAD/Au device (18.8%). However, using carbon materials can dramatically reduce manufacturing costs, avoiding the use of noble metals. Later, the same group performed a stability test for these devices [117]. As in the previous study, CNTs were first transferred to the perovskite absorber layer. A few drops of spiro-OMeTAD were then drop-casted. Despite the use of dopants for the spiro-OMeTAD layer, metal-free devices demonstrated superior stability: the lifetime at 60 °C in a nitrogen atmosphere was estimated to be 580 h.

An elegant approach that eliminates the necessity of using a technologically unattractive CNTs transfer process has been recently proposed by Tiong et al. [118]. SWNTs were functionalized by nitric acid and octadecylamine, as depicted in Fig. 18, and then dissolved in 1, 2-dichlorobenzene. The perovskite active layer was spin-coated by a two-step process and then a SWNT solution was added 10 s before the end of the process. After heating and annealing, doped spiro-OMeTAD was spin-coated and the device was completed by evaporation of an Au electrode. In this device, CNTs were found to have multiple functions. Beside holes extraction and transfer, the CNTs influenced the formation of perovskite grains. The increased grain size and reduction of grain boundaries allowed the device efficiency to increase and reduced J-V hysteresis. Despite the use of dopants, the device retained 81% of its initial efficiency after 45 days of storage at ambient environment and 60–90% humidity.

The high aspect ratio and strong van der Waals interactions make CNTs prone to aggregation. The necessity for careful control of morphology may hinder their technological application. To eliminate this problem, CNTs can be wrapped using different polymers. Typically, p-type conjugated polymers are used, and the  $\pi$ - $\pi$  interaction is considered to be a driving force for polymer wrapping. In one seminal study, Habisreutinger et al. deposited P3HT-wrapped SWNTs onto a perovskite layer prior to spin-coating a pristine spiro-OMeTAD layer [119]. They showed that this double-layer structure gives a higher performance than a mixture of spiro-OMeTAD and CNTs. The drawbacks of p-type conjugated polymers are their high price and instability. Mazzotta et al. have recently shown that an inexpensive non-conjugated polymer, ethylene vinyl acetate (EVA), can be also used for functionalization of both single- and multi-walled CNTs [120]. Despite the insulating nature of the pristine polymer, hybrid films fabricated from EVA-wrapped CNTs show a very high conductivity. This approach enables the fabrication of highly efficient devices, up to 17.1%, and reduces manufacturing costs by eliminating the use of very expensive conjugated polymers. In fact, expensive and unstable spiro-OMeTAD can also be replaced by a cheap insulating polymer, as first demonstrated by Snaith's group [121]. An insulating polymer matrix still allows efficient charge collection, but also provides additional structural features and

stability in humid ambients. The authors showed that a hybrid HTL based on P3HT/SWNTs-PMMA (Poly(methyl methacrylate)) demonstrates superior stability as compared to conventionally used Spiro-OMeTAD, P3HT, and PTAA (Fig. 19c). The stability of the efficiency for samples with a P3HT/SWNTs-PMMA HTL is improved after thermal treatment. These samples maintained their efficiency after 60 s under direct water flow (Fig. 19d).

An interesting concept is to combine the exceptional hole extraction and electron blocking of CNTs and GO. Wang et al. found that a bi-component hole transporting layer consisting of SWNTs and GO doubles the efficiency as compared to a cell with CNTs only [122]. Here, the additional use of an insulating PMMA matrix also improved the  $V_{OC}$  and fill factor, yielding a PCE of over 10%. Importantly, the device demonstrated superior stability in atmospheric conditions. Zhang and co-workers utilized a combination of graphene flakes and acid-functionalized MWNTs as an HTL in an inverted planar PSC [29]. Dispersion of the graphene-CNTs was obtained via ultrasonication in N, N-dimethylformamide followed by spin-coating on an ITO substrate. After perovskite layer formation, the PCBM was spin-coated and the Ag electrode was thermally evaporated. The device demonstrated an average PCE of 14.8%, higher than that obtained from a device with a more convenient PEDOT:PSS HTL.

## 5. Graphene quantum dots and carbon dots in PSCs

### 5.1. Graphene quantum dots

Graphene quantum dots (GQDs) are a very unusual member of the carbon family. GQDs usually consist of 1–2 atomic layers of graphene, with a lateral dimension of less than 10 nm. GQDs can be prepared using both bottom-up and top-down strategies, with advantages and disadvantages to both approaches. An important distinguishing characteristic of GQDs from other carbon-family members is their bandgap size-dependence due to quantum confinement effects. Due to the small size and high surface area, the physical and chemical properties of GQDs are extremely sensitive to doping, functionalization and defects. Heteroatom doping is a powerful tool for tuning their properties. Tuning can be performed either during synthesis or via post-synthetic treatment. Engineering of the GQD properties allows their wide application in photovoltaics. They are already used as part of the active and ancillary layers in hybrid, silicon and perovskite photovoltaics [123].

Zhu et al. synthesized 5–10 nm GQDs and deposited an ultrathin layer between mesoporous  $TiO_2$  and perovskite in a normal meso-structured PSC [45]. With an optimal GQD solution concentration of 0.5 mg/ml, the devices demonstrated an average PCE of 9.8%, 1.2% higher than those without GQDs. The improved PCE was attributed mainly to photocurrent enhancement due to improved electron extraction. Shen et al. has recently utilized the same PSC architecture and proposed a method for  $TiO_2$  decoration [41]. A schematic diagram of their device is shown in Fig. 20. In order to decorate the  $TiO_2$  film with GQDs, the authors soaked the mesoporous film in a GQD solution, followed by spin-coating and drying at 100 °C. The decoration led to a

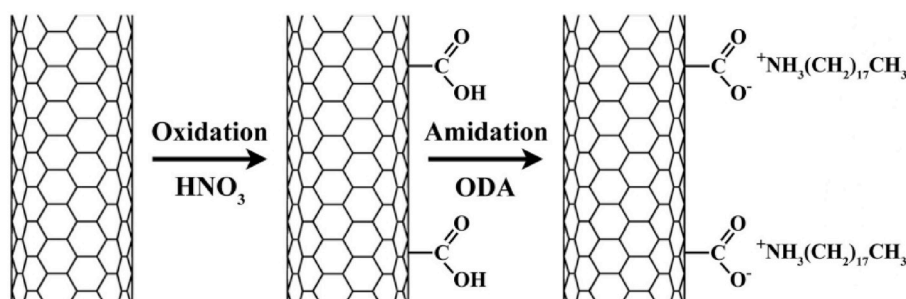


Fig. 18. Double functionalization of SWNTs [118].

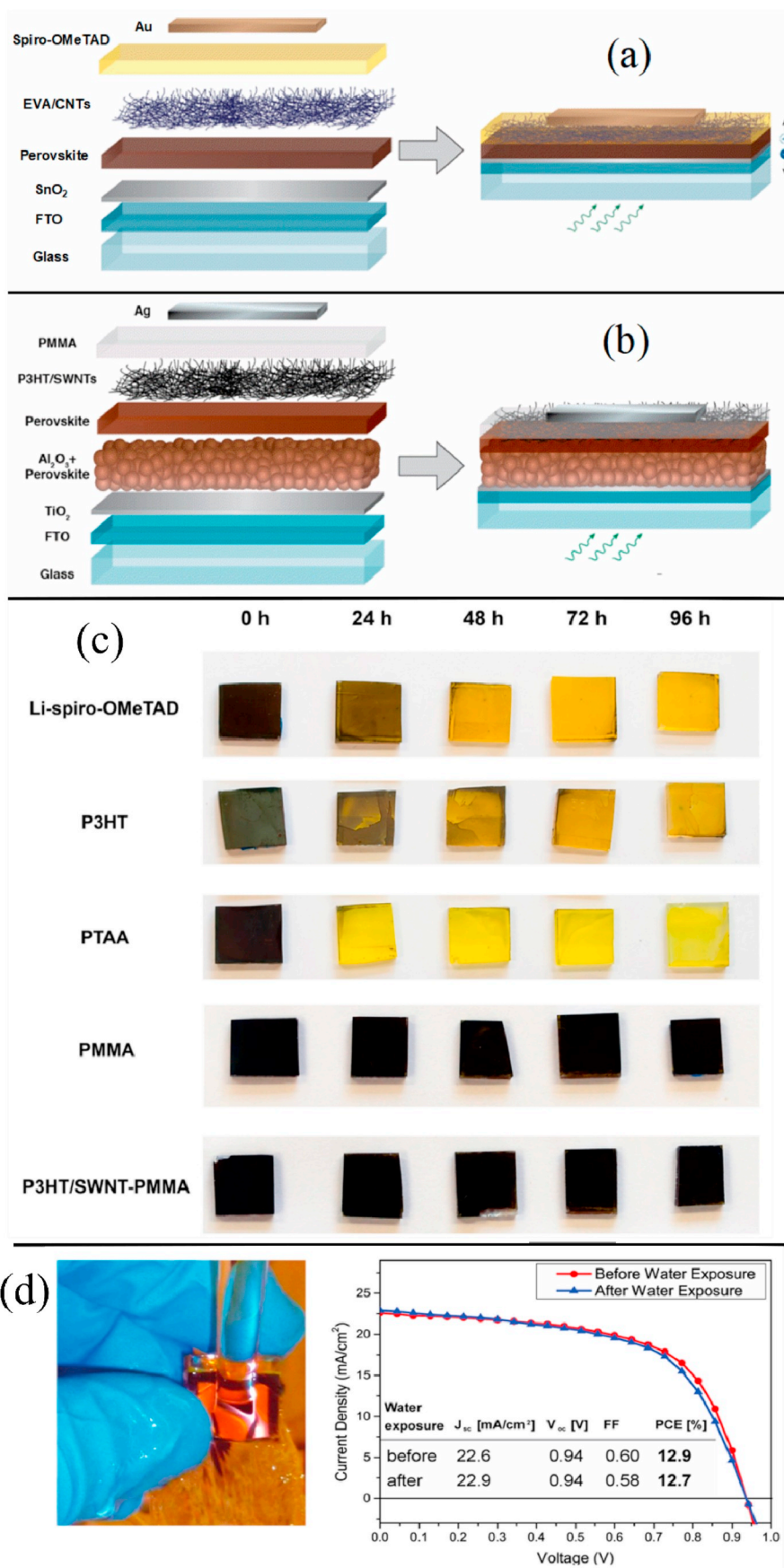


Fig. 19. Wrapping the CNTs with insulating (a) [120] and p-type conjugated (b) [121] polymer and capping by conductive (a) and insulating (b) polymer. Thermal (c) and moisture (d) stability of the PSCs with a hybrid P3HT/SWNTs-PMMA HTL [121].



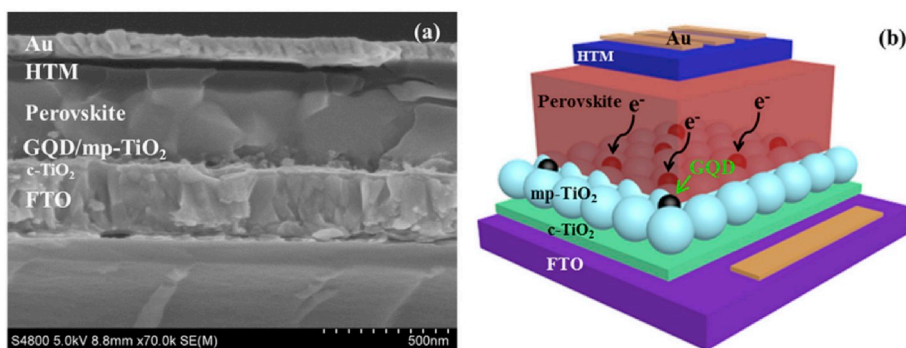


Fig. 20. Schematic diagram of the device with GQD-decorated mesoscopic TiO<sub>2</sub> ETL [41].

reduction of serial resistance, better electron extraction and higher current, boosting the efficiency from 18.57% to 20.45%.

GQDs have been shown to improve electron extraction in different

ETLs for planar normal PSCs. Yang and co-workers used low GQD doping concentrations to improve the electron extraction and conductivity of a PCBM ETL [124]. 0–1 wt% of GQDs were stirred in a PCBM

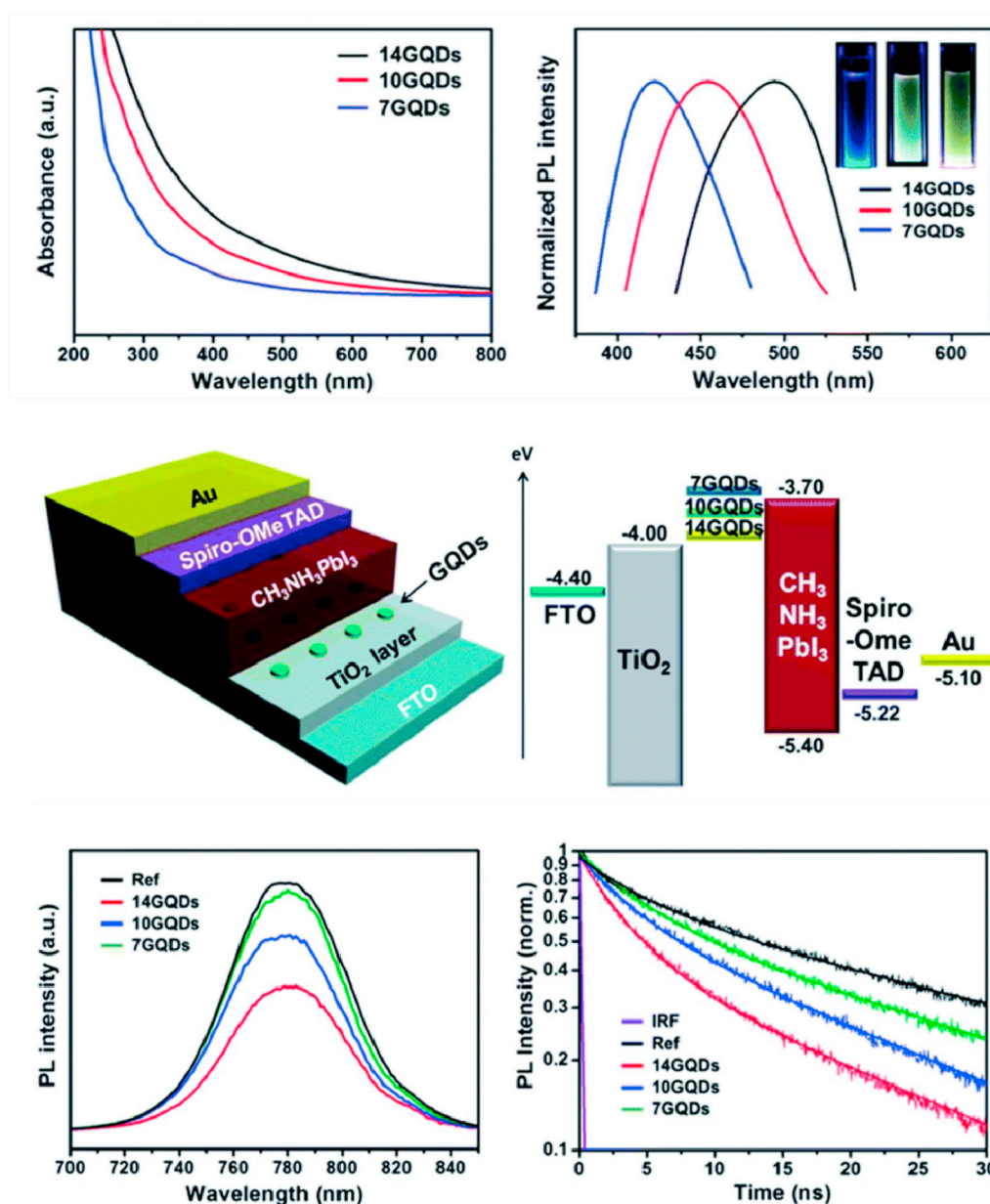


Fig. 21. Use of quantum-confinement in GQDs for adjusting their energy levels in the ETL [129].

solution and then spin-coated on to ITO glass. The authors observed that this low concentration of GQDs leads to better electron extraction, higher electron mobility and suppressed hysteresis. The PCE achieved increased from 14.68% to 17.56% and exhibited very good stability in air for 30 days. Several studies were concerned with blending GQDs with  $\text{SnO}_2$ . To modify this ETL, a small concentration of GQDs can be mixed with a  $\text{SnCl}_2 \cdot 2\text{H}_2\text{O}$  precursor [125] or with tin oxide nanoparticles [126]. 0.5–1 wt% of GQDs in a  $\text{SnCl}_2 \cdot 2\text{H}_2\text{O}$  precursor created a marked improvement in the ETL. A reduction in the work function and an improvement in conductivity were ascribed to filling the trap states and an elevated electron density in the conduction band. As a result, the device was hysteresis free and had a PCE of 20.31% [125]. Zhou et al. mixed GQDs with tin oxide nanoparticles to obtain an ETL for conventional and flexible devices [126]. Adding the GQDs led to increased photocurrent and voltage, and reduced hysteresis. A thorough optimization of the GQDs concentration gave a peak PCE of 19.6% for the rigid substrate and 17.7% for the flexible one, which retained 91% of its original efficiency after 500 bending cycles.

Various methods exist for tuning of GQD physical properties. The electronic structure of GQDs can be tuned by varying their size, due to the quantum confinement effect which arises from the change of the  $sp^2$  domains and the  $\pi^* - \pi$  gap [127,128]. Ryu et al. investigated the effect of GQD size on the performance of normal planar PSCs [129]. 7, 10, and 14 nm GQDs were prepared by chemical oxidation and cutting from carbon fibers, and their size was tuned by varying the oxidation temperature. Different-sized GQDs possess size-dependent optical properties, as shown in Fig. 21. For device fabrication, an aqueous solution of GQDs was spin-coated on top of a compact  $\text{TiO}_2$  layer. For all GQD sizes, an improvement of the photovoltaic characteristics was observed. GQDs improved the interface between the  $\text{TiO}_2$  and perovskite layers, which optimized electron extraction, decreased serial resistance, improved perovskite quality, and reduced hysteresis. The PCE of the devices increases with GQD size, demonstrating a better match of their energy levels. This observation is supported by steady-state and transient PL spectroscopy of perovskite deposited on GQDs of different sizes. The authors observed both quenching of PL intensity and a reduction in PL lifetime. The PCE reduction closely matches the reduction in PL lifetime, indicating that the enhancement of the device performance is caused by a GQDs size-dependent improvement in the ETL/perovskite interface.

Doping is another versatile approach to adjust GQDs properties. Tetsuka et al. demonstrated the design of GQDs which allows continuous tuning of their energy levels as shown in Fig. 22 [130]. Nucleophilic substitution and the dehydration reaction of amine moieties were used

to obtain a set of nitrogen-functionalized GQDs.  $\text{NH}_2$ , OPD- (*o*-phenylenediamine), and DAN-GQDs (diaminonaphthalene) have their LUMO level close to 4.0 eV and have been tested as an electron transporting interlayer. In their devices,  $\text{TiO}_2$  was covered by a thin layer of GQDs by spin-coating from a DMF dispersion and dried at 100 °C for 10 min. Ultrafast spectroscopy showed accelerated electron extraction. This allowed an improvement of the PCE of the fabricated devices from 6.77 to 7.79%.

## 5.2. Carbon dots

Carbon dots (CDs) are a rather wide class of carbon material. CDs are mostly spherical, with a characteristic size of less than 10 nm. The structure of CDs may vary, depending on the synthesis method, combining crystalline and amorphous components [131]. Their poorer crystallinity and different shape distinguish them from GQDs, which, in principle, can be considered as a sub-type of CDs [131,132]. For both CDs and GQDs, functionalization with complex groups or heteroatom doping may play a key role in the determination of their physical properties [133]. In CDs, the functionalization layer is usually disordered, can have a thickness of few nanometers, and must be considered as an entire structure with a carbon core [131].

CDs have been utilized as either an HTL or an ETL in normal and inverted PSCs. Jin et al. immersed a substrate with  $\text{FTO}/c\text{-TiO}_2/m\text{-TiO}_2$  into a CD solution to make an improved ETL in a mesoporous PSC [134]. A schematic of their device is shown in Fig. 23. They showed that embedding CDs facilitates electron transport, increasing the PCE to 16.4%. CDs exhibit strong absorption in the UV spectral region and can additionally serve as a protective filter. Indeed, the authors mentioned the improved device stability under full sunlight illumination. Li et al. used a solution-precipitated composite of  $\text{TiO}_2$  and CDs to fabricate an effective ETL for a planar PSC by one-step deposition [135]. The CD concentration played an important role, at 10 wt % both the open circuit voltage and short-circuit current improved, and the enhanced PCE of their best device reached a value of 18.9%. The modified ETL demonstrated better electron extraction, as confirmed by time-resolved PL and TAS analysis (Fig. 24). The PL lifetime reduced from 4.64 to 2.64 ns for  $\text{MAPbI}_{3-x}\text{Cl}_x$  films on  $\text{TiO}_2$  and CDs/ $\text{TiO}_2$  ETLs, respectively. Nano-second TAS measurements revealed differences in both photoinduced absorption and photoinduced bleaching peaks for the two samples. The photobleaching peak was attributed to relaxation of the excited state and free carrier recombination. The authors pointed out that the longer time constant, observed for the CD-modified samples, allows additional

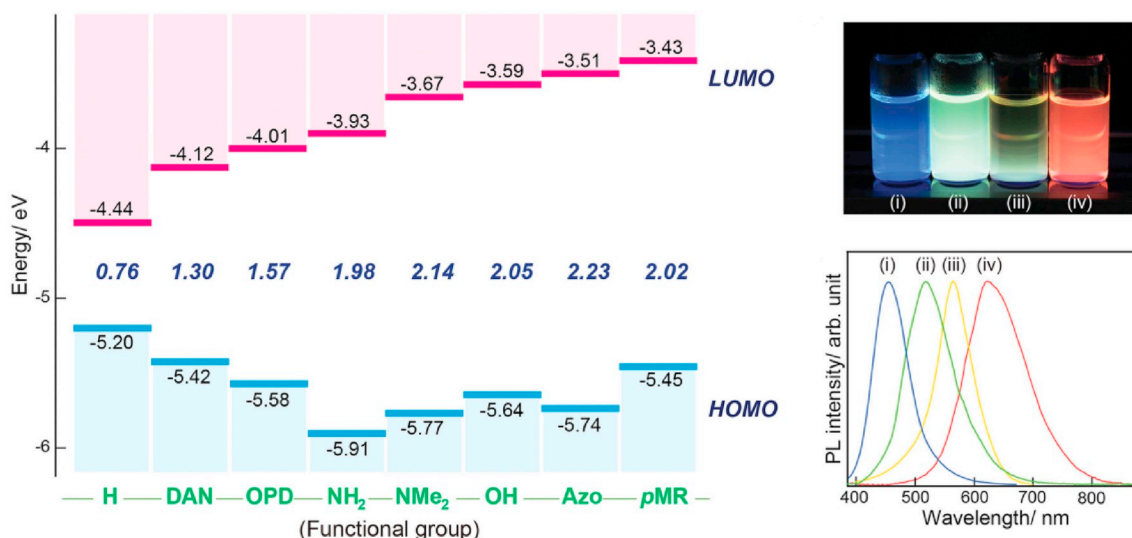


Fig. 22. Nitrogen doping allows continuous tuning of the GQDs energy levels position [130].

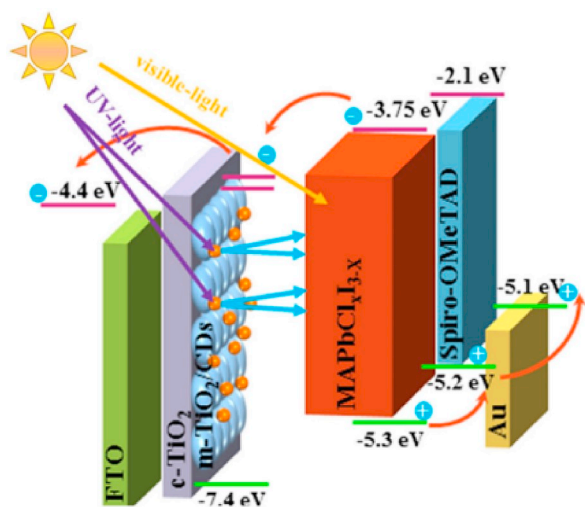


Fig. 23. An FTO substrate with compact and mesoporous  $\text{TiO}_2$  was immersed in CDs solution to promote electron transport and block UV radiation [134].

time for the extraction of excited carriers.

Paula et al. compared the efficiency of CDs and doped spiro-OMeTAD as an HTL in a normal mesoscopic PSC [136]. CDs were spin-coated on perovskite film from an isopropanol solution, giving a 3.0% PCE, much lower than that obtained with spiro-OMeTAD (8.06%). A more effective way to produce a CD-based HTL for PSC was proposed by Li and co-workers, who used S,N co-doped CDs during PEDOT:PSS synthesis [137]. Since they used an inverted structure, optimizing the HTL allowed better contact with the perovskite absorber and reduced the interfacial trap state density. The PCE increased from 15.41 to 18.03% and the device also showed improved atmospheric and illumination stability. We should point out that both GQDs and CDs have been used in

a mix with perovskite precursors to fill the grain boundaries, that is, however, not considered in this Review [138–140]. Some results obtained using GQDs and CDs are listed in Table 5.

## 6. Conclusion and future outlooks

In this Review, we summarize a versatile approach to using carbon nanomaterials as charge transporting layers in perovskite photovoltaics. As the interfacial layer, carbon nanoforms perform a multiplicity of roles including:

- Electron/hole extraction and transfer
- Control of perovskite grain morphology
- Moisture protection
- Current hysteresis suppression
- Charge-selective electrode

The use of carbon nanomaterials in perovskite solar cells is attractive for many reasons. Firstly, numerous studies demonstrate an improvement in the photovoltaic characteristics of PSCs due to enhanced charge extraction and transfer. In many cases, carbon-based nanomaterials exhibit superior operating parameters to those obtained using standard polymeric materials. Secondly, many carbon nanomaterials allow straightforward and continuous tuning of their properties. This characteristic can be applied to precisely select the Fermi level position, solubility, morphology and other key characteristics for a better match with the perovskite layer and electrodes, to serve as both an electron- and hole-transporting material. Thirdly, carbon nanomaterials offer the possibility of using low-cost and environmentally-friendly materials. Last, but not least, the impressive PSC stability obtained using carbon nanomaterials removes an important barrier to large scale commercial adoption.

Important issues remain. For instance, several useful approaches have been developed for tuning the Fermi level position in rGO and GO,

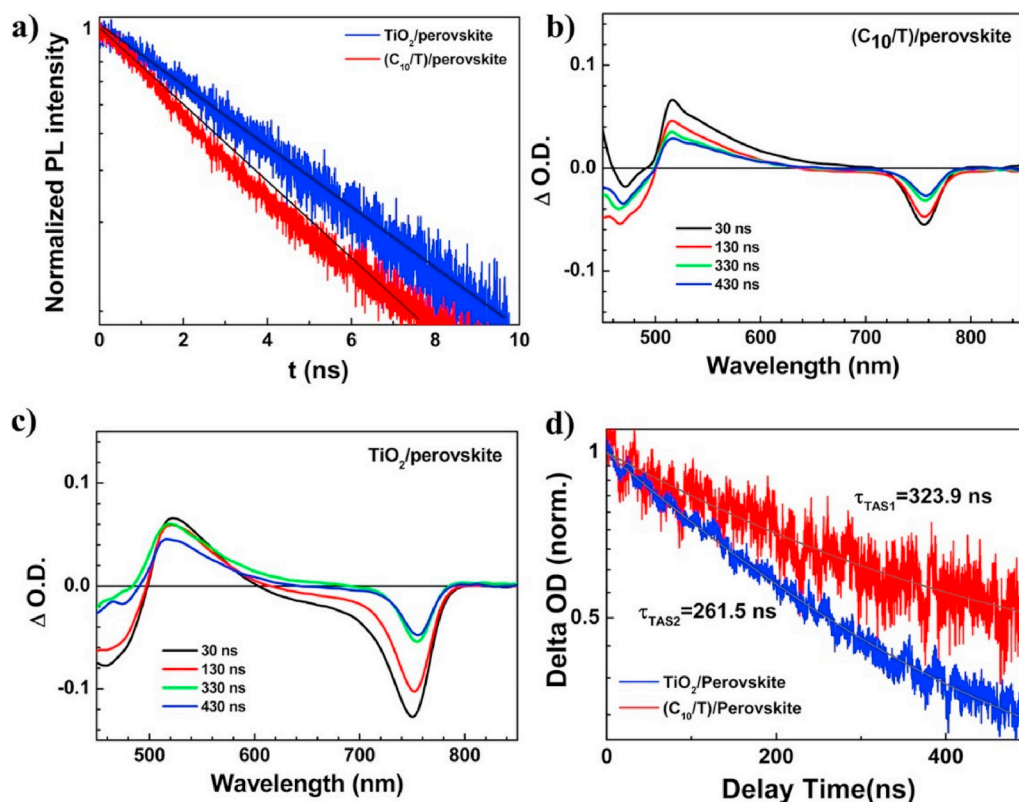


Fig. 24. PL and TAS analysis demonstrating enhanced performance of CD-modified ETL [135].

**Table 5**  
Improvement of PCE of PSCs with GQDs and CDs.

| Material | Role | PSC type        | Preparation   | PCE improvement                                    | Year | Ref.  |
|----------|------|-----------------|---|--|------|-------|
| GQDs     | ETL  | Normal meso     | Ultrathin layer of GQDs deposited between perovskite and the mesoporous titanium dioxide  | from 8.81% to 10.15%                               | 2014 | [45]  |
| GQDs     | ETL  | Normal meso     | A DMF dispersion of Nitrogen-Functionalized GQDs was spin-coated on top of the TiO <sub>2</sub>   | From 6.77% to 7.79%                                | 2016 | [130] |
| GQDs     | ETL  | Normal meso     | mp-TiO <sub>2</sub> film was soaked with GQD solution for 10 s before spin coating. After spin coating for 20 s at 2000 r.p.m/min, the substrate was heated at 100 °C for 30 min. | From 18.57% to 20.45%.                             | 2018 | [41]  |
| GQDs     | ETL  | Normal planar   | Blend with PCBM   | from 14.68% to 17.56%                              | 2017 | [124] |
| GQDs     | ETL  | Normal planar   | Blend with SnCl <sub>2</sub> ·2H <sub>2</sub> O precursor   | From 17.91 to 20.31                                | 2017 | [125] |
| GQDs     | ETL  | Normal planar   | Blend with tin oxide nanoparticles  | From 16.7% to 19.6% for rigid, 17.7% for flexible  | 2019 | [126] |
| CDs      | ETL  | Normal meso     | FTO/c-TiO <sub>2</sub> /m-TiO <sub>2</sub> were immersed in the CDs solution and kept in the dark for different times   | From 14.61% to 16.40%                              | 2017 | [134] |
| CDs      | ETL  | Normal planar   | Blend with TiO <sub>2</sub>   | from 12.7% to 17.6%                                | 2017 | [135] |
| CDs      | HTL  | Normal meso     | Spin-coated on perovskite   | From 0.71 (no HTL) to 3.00; 8.06 with spiro-OMeTAD | 2016 | [136] |
| CDs      | HTL  | Inverted planar | S,N co-doped CNDs were used during PEDOT:PSS synthesis  | From 15.41% to 18.03%                              | 2019 | [137] |

allowing their use for hole- and electron-extraction, with a perfect match to the device energy diagram. Unfortunately, fabrication of high-quality thin films of these graphene derivatives is not straightforward. The CVD method cannot be applied for large-scale manufacturing. So GQDs and CDs enjoy a technological advantage, however, the methods available for tuning their properties are at present, immature technologically. Only fullerenes are effective for hysteresis reduction, with the exact mechanism still a matter of debate. Determination of the exact mechanism of hysteresis suppression will allow the use of other carbon nanomaterials in a controlled way to create stable and hysteresis-free devices. Similar considerations apply to the influence of various factors on the stability of PSCs in ambient conditions. While impressive preliminary results constitute an important step towards stable devices, it is only by discovery of the exact mechanisms of device degradation that we can hope to engineer carbon nanomaterials to counter this phenomenon. To summarize, several aspects of the behavior of these material systems need to be understood before carbon nanomaterials can be applied to perovskite photovoltaics.

Control of the interfaces within perovskite solar cells can lead to high-surface-area charge-separating junctions, bringing device performance closer to the theoretical limit. One possibility is to employ a group of carbon-based films as the recombination layer in multi-junction solar cells, providing a progression of work functions to allow matched hole and electron currents to meet and recombine. A perovskite solar cell could be integrated with infrared-bandgap semiconductors, such as PbSe or PbS quantum dots, to harvest a wider range of photons from the solar spectrum. With the aid of cheap, easily-synthesized, and stable carbon interface materials, the performance of solution-processed perovskite photovoltaics will continue to improve as their cost is reduced, ultimately allowing large scale commercialization.

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