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#### UNDERSTANDING NATURAL REGENERATION IN BURNED TROPICAL PEATLAND: A STRATEGY TO ACCELERATE THE FOREST RECOVERY PROCESS

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#### **UNDERSTANDING NATURAL REGENERATION IN BURNED TROPICAL PEATLAND:**

A STRATEGY TO ACCELERATE THE FOREST RECOVERY PROCESS

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#### ABSTRACT

39 The 2015 massive forest fires across Central Kalimantan have left large areas of burned peatlands that need to be restored, demanding substantial resources. To understand natural 40 regeneration on burned peatland and how planting might accelerate its recovery process, we 41 measured regrowth on burned peatlands with different fire frequency. Three transects were established each consisting of five 20 x 20 m<sup>2</sup> plots developed at 30 m intervals. All woody species 42 43 were recorded, and classified into three classifications as new regrowth, regrowth, and remnant 44 45 trees that survive from the last fire. In addition, additional data from fifteen 2x2 m permanent natural regeneration plots and evaluation on survival rate of 2017 planting were also analyzed. Our 46 findings suggest that the absence of remnant trees due to frequent or severe fires does not always 47 impede the emergence of new recruitments, although diversity of forest regrowth is likely to be 48 affected by its proximity to forest remnants. The floristic composition also showed a domination of 49 pioneer species, giving evidence that forest recovery is initiated. Our study indicates that the 50 combination of fire frequency, fire intensity, and proximity to remnant forest will produce different 51 degrees of forest recovery, and the result will be unique for each site We conclude that to support 52 the recovery process through planting activity, the successional stage of the designated sites should 53 be determined first. The common planting method on large areas with mixed climax-high valuable 54 trees is not beneficial unless the restoration sites have reached the later stages of succession. 55

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57 Keywords: natural regeneration, restoration, succession, tropical peatland, peatland fire

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# INTRODUCTION

Indonesian peatlands account for 14.91 million ha and contribute to more than 35% of the 60 world's peatlands (Osaki et al. 2016). However, although peatlands store a substantial amount of 61 carbon compared to other land uses and provide important hydrological services to the surrounding 62 63 areas, their presence is threatened by human disturbance, especially due to the need to clear vegetation for agricultural lands (Page et al. 2009). Osaki et al. (2016) stated that the agricultural 64 activity on peatlands in Indonesia has a long and complex historical substance, with fires playing an 65 important role in this story, although fire would not naturally occurred on peat swamp forest 66 ecosystem. Fires have been commonly used to clear peatland forests, and this such burning become 67 massive in areas whenever a long drought occurs, such as in commonplace during El Nino climatic 68 phases (Page et al. 2009; Shiodera et al. 2016). 69

From 1990 to 2015, about 61% of Indonesia's peatland forests were lost or damaged, with only 6% of virgin peatland forest remaining by 2015 (Graham *et al.* 2017). The last massive forest fire in Indonesia was in 2015, which burned 2.6 millions ha of lands, where 33% of them were peatlands (Glauber & Gunawan 2015). Noxious haze and tonnes of greenhouse gases (GHGs) were released, catching national and international attention as well as raising awareness.

This disaster spurred the needs for better efforts in peatland restoration and fire prevention. 75 76 Unfortunately, planting on such a remote and wide landscape requires a substantial amount of capital, ranging from 500 to 3500 USD per hectare (Giesen & Sari 2018). On the other hand, 77 relying on natural regeneration unlikely to be enough as it may result in low diversity (Blackham et 78 al. 2014). Moreover, unlike numerous studies on dryland or tropical forests, studies on the recovery 79 process of peatland after fire are less common or still at their early stage (Graham et al. 2017; Page 80 et al. 2009; Shiodera et al. 2016). This results in considerable uncertainties around the effectiveness 81 of current peatland restoration practice. 82

Our hypothesis was that the result of forest recovery over times will vary depending on the 83 fire frequency, fire intensity, and proximity to remnant forest. To assess the vegetation recovery 84 process and succession on recently burned peatland we measured their natural regeneration on sites 85 which have different fire history and proximity to the nearest remnant forest. Species composition 86 and diversity after fires were compared, and the effects of the current practice of tree planting on 87 peatland restoration was investigated. Specifically, we aimed to investigate whether the common 88 practices on peat forest revegetation were parallel with natural process of forest succession. 89 Moreover, this study was part of an ongoing vegetation survey focuses on peat swamp forest 90 succession after fire. Besides, we only examined vegetation or floristic component of peatland 91 restoration, while restoration related to the hydrological function and other components of 92 biodiversity are not covered 93

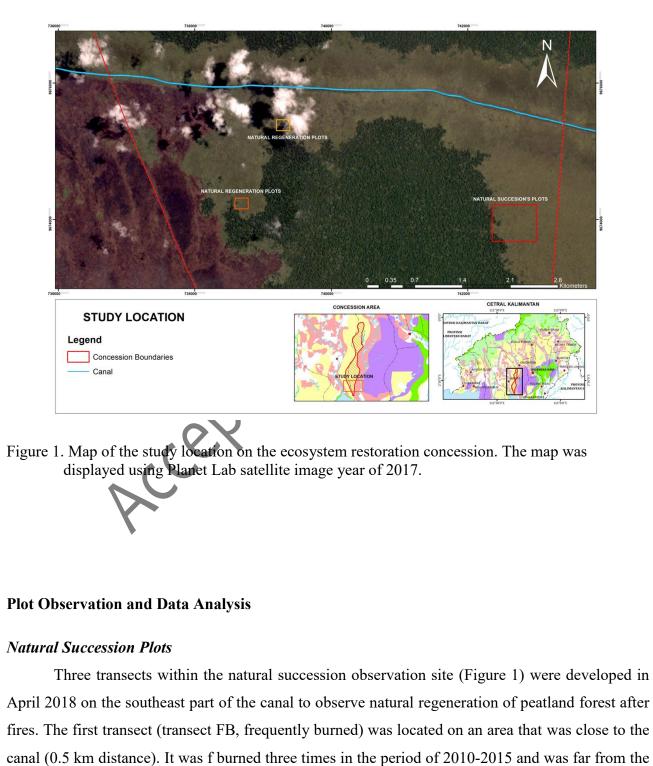
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# MATERIALS AND METHODS

96 Study Location

97 The study was conducted along the big canal on the southern area of the ecosystem restoration concession of PT Rimba Makmur Utama, also known as the Katingan-Mentaya Project, Katingan 98 District, Central Kalimantan (Figure 1, S 2°32'36.8" to S 3°01'43.6" and E 113°00'29.7" to E 99 113°18'57.4"). It is a typical degraded peatland forest mostly damaged by logging activities in the 100 late 1970s to early 2000s, and subsequent canal drainage mainly for agricultures and transportation 101 network, as well as forest fires. Peat depth on the study location ranged from 300 cm to 450 cm, 102 with annual precipitation of about 2820 mm (information was collected from the weather station at 103 Haji Assan Sampit Airport by Rossita et al. (2018)). 104

In the late 1990s, the Public Works Agency (*Dinas Pekerjaan Umum*) constructed a 24 km long canal to connect Kotawaringin Timur and Katingan District. Nowadays, about 6 km of the canal cuts across the restoration concession and has become the main cause of the surrounding peat drainage. Before the concession was granted in 2013, fires occurred almost annually along the banks of the canal. Vegetation along the canal is dominated by ferns and shrubs, with a few clumps of pioneer species.



edge) was also burned three times in between those years but was located far from the canal (1km distance) and near to the forest edge on the west side of the transect. The last transect (NB, newly burned) has never been burned before 2014 and only caught fire once in 2015. This transect is also isolated from the nearest canal and forest edge. Before the attack of frequent fires, the land cover of the three transects was a secondary forest. Details regarding the fire history of the study location can be seen in Table 1.

Table 1. Fire history on the three transects from 2010 to 2018. Fire and hotspot data were analyzed
from Landsat 5, 7, 8 and Sentinel 2 satellite images. Each image on each year were
displayed on composite mode using similar band combination of SWIR, NIR and Green,
and hotspot historical data acquired from National Institute of Aeronautics and Space of
Indonesia (LAPAN) website were overlaid.

Years	Fire History ( $\sqrt{1}$ symbol means there was fire in the conspecific year)			
1 0 0 1 5	Transect FB	Transect FBF	Transect NB	
2010	$\checkmark$	V	-	
2011	-		-	
2012	$\checkmark$	V	-	
2013	-		-	
2014	-		-	
2015			$\checkmark$	
2016	-	-	-	
2017	-	· -	-	
2018	- ~ `	-	-	

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Five 20 m x 20 m plots were established on each transect (

Figure 2). Each plot was located 30 m away from each other. In total, 15 observation plots (0.6 ha) were measured in this study. Within each plot, all woody plants were counted and measured in terms of their bole diameter (if plant height is less than 1.3 m) or diameter at breast height (dbh, if plant height is 1.3 m or more). Local names were identified on the field by a welltrained local botanist and their scientific names were identified using the guide book of PT Rimba Makmur Utama. During the observation, all transects were covered by shrubs and ferns with patchy pioneer tree species (

Figure 2). Both ferns and shrubs are typical species that emerge on peat ecosystem after fire
such as *Stenochlaena palustris* and *Cyperus rotundus* with a height of more than 2 m.



- Figure 2. Layout of observation plots within transects and view of land cover from transect FB (left), FBF
  (middle), and NB (right) observed with drone DJI Phantom pro 4 in April 2018
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Analysis was then conducted by dividing all woody plants into three classes: (1) new 152 regrowth, which includes all woody plants with height  $\leq 150$  cm that were assumed to emerge later 153 after fire, (2) regrowth, which includes all woody plants with height > 1.5 m and dbh < 10 cm that 154 were assumed to emerge soon after the fire in 2015. (3) survivor, which includes the remaining trees 155 that survived from the last fire with  $dbh \ge 10$  cm. Species composition, density, species richness 156 (Shannon's diversity index), and species evenness (Pielou's evenness index) were analyzed to 157 examine the structure and composition of the existing natural regeneration and stage of the 158 succession process. Bray-Curtis dissimilarity index and NMDS ordination were also calculated to 159 understand the pattern of species composition among transects and acquire the notable species 160 within each study site. All analyses were performed using R version 3.4.0 with vegan package 2.4-3 161 (Oksanen et al. 2017). 162

## 163 *Natural Regeneration Plots*

In total, fifteen 2 m x 2 m permanent plots were established within the ecosystem restoration concession area of PT Rimba Makmur Utama, which was distributed on the southern part of the canal (Figure 1, natural regeneration plots). Plots were located on degraded peatland that burned almost annually before 2015. The last fire incidence was in 2014. In 2015, these plots were established, and all seedlings less than 1.5 m in height were recorded every six months. The trend of natural regeneration composition from year to year was then analyzed to examine the typical species that appear after fires on peatland forest.

### 171 Tree Plantings on Degraded Peatland

As an ecosystem restoration concession, the concession is responsible for planting activity on their areas, and the result is monitored periodically. In January 2017, in total, 19,670 seedlings of local tree species were planted. About 2.5% of seedlings were monitored and the survivor rate was calculated in 9 months and 17 months after planting.

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### **RESULTS AND DISCUSSION**

### 178 Species Composition on Different Sites

Fires that attacked the study area resulted in the low density of remaining trees, as shown in 179 Figure 3. Transect FB likely received a higher degree of fire incidence as only 5 trees/ha were left 180 on this site, while transects FBF and NB have higher density and more diverse remnant tress 181 (Figure 4). We suspected that proximity to main canal influences the intensity of fire, where fires 182 normally start from the surrounding canal. Transect FBF has the highest density and more surviving 183 tree species, possibly due to the lower severity of fire on this site, as well as its proximity to the 184 forest edge. In addition, based on our observation, limited number of surviving trees indicates that 185 those native climax-species are mostly not equipped with natural mechanism to survive under fires, 186 as fire is not a natural phenomenon in tropical pear swamp environment unlike in dry sclerophyll 187 forests where fires could occur naturally. The only protection is the wet and inundated peat 188 environment that mostly absent when peat was drained. 189



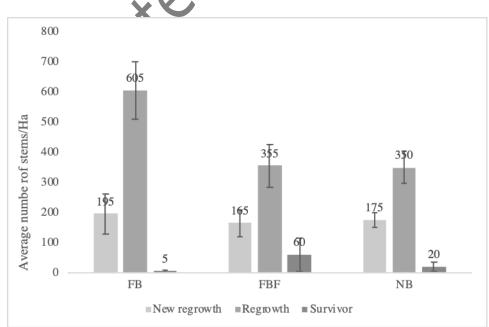
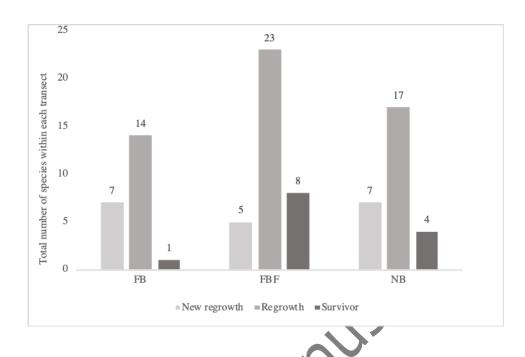


Figure 3. The average density of woody species on different locations (transect FB: frequently
burned, close to canal, far from forest; transect FBF: frequently burned, far from canal,
close to forest edge; NB: only burned once in 2015, far from forest and canal), divided by

three size classes: new regrowth, regrowth, and survivor. Error bars indicated standard error.



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Figure 4. Number of species on different locations (transect FB: frequently burned, close to canal,
 far from forest; transect FBF: frequently burned, far from canal, close to forest edge; NB:
 only burned once in 2015, far from forest and canal), segregated by three size classes: new
 regrowth, regrowth, and survivor

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It is likely that the availability of survivor trees does not guarantee the emergence of new 206 recruitments, although Cleary and Priadjati (2005) stated that the presence of remnant trees might 207 be important to accelerate the succession process. Three years after the last fire incidence in 2015, 208 recruitments of woody species were abundant on the three transects. Even though only a small 209 number of remaining trees were present on transect FB, recruitments on this site were very dense 210 (in total 800 recruitments/ha appeared after the 2015 fire) compared to transect FBF and transect 211 NB. On the other hand, although higher recruitment density can be found on transect FB, the 212 213 density itself is not parallel to the species diversity, which is relatively low at transect FB. The 800 recruitments/ha on this transect were composed of 21 species only, while transects FBF and NB 214 contained 28 and 24 species of recruitments, respectively. 215

Table 2. Shannon's diversity index (H') and Pielou's evenness (E') on different locations (transect
FB: frequently burned, close to canal, far from forest; transect FBF: frequently burned,
far from canal, close to forest edge; NB: only burned once in 2015, far from forest and
canal), segregated by three class of size: new regrowth, regrowth, and survivor

	Site	New regrowth	Regrowth	Survivor
Η'	FB	1.5	1.8	0.0
	FBF	1.1	2.6	1.8
	NB	1.2	2.1	1.4
	All sites	1.5	2.5	2.1
E'	FB	0.8	0.7	0.0
	FBF	0.7	0.8	0.9
	NB	0.6	0.7	1.0
	All sites	0.6	0.7	0.9

This indicates that the density of the remnant trees after fire might not affect the emergence 221 of new recruitments on peatland forest, as a source of seeds might come from various sources. 222 Seedbanks were likely absent due to frequent fires, but sources of seeds were possibly supplied 223 from the nearest sites by their dispersal agents. Therefore, proximity to the remnant forest is 224 expected to play an important role to increase diversity of these recruitments. This is supported by 225 higher Shannon' diversity index (Table 2) on transect FBF compared to other transects. This is 226 consistent with Chazdon (2008) who stated that the nature of forest recruitments after disturbance is 227 often determined by features of its local landscape. 228

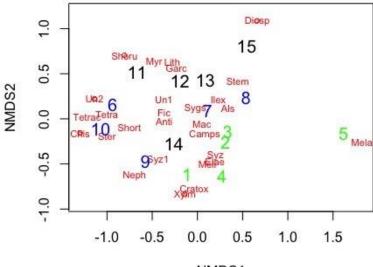
229 Table 3. Bray Curtis dissimilarity index among the three transects

Bray Curtis Dissimilarity Index	FB	FBF
FBF	0.610	
NB	0.607	0.467

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Bray-Curtis dissimilarity index calculation among the three transects also indicated that 231 transect FB was the least similar compared to the other two transects, while transects FBF and NB 232 share more similarities (Table 3). The NMDS ordination displayed clearer segregation by showing 233 that transect FB tends to segregate from the rest of the transects. This transect was characterized 234 with more long-lived pioneer species, such as Melaleuca leucadendron, Melicope lunu-ankenda, 235 Syzygium sp, and Macaranga pruinosa, while transects FBF and NB were also rich with other 236 generalist and late successional species, such as Alstonia scholaris, Ficus spp., and Nephelium 237 238 mangayi. Unlike other researchers who reported that burned peatland forests in Kalimantan were normally dominated by pioneer species especially Combretocarpus and Cratoxylum species 239 240 (Blackham et al. 2014; Graham et al. 2017; Shiodera et al. 2016), we did not find any of these species on our study sites. However, we confirmed that our study sites were still at the early stage of 241 242 forest succession as most species that were supposed to be present in undisturbed peat swamp forests as mentioned by Mirmanto (2010) were absent. Moreover, Mirmanto (2010) also reported 243 that at least 2,000 trees/ha with more than 30 species could be found within 0,25 ha area of burned 244 peatland. This indicated that the density of regrowth on our study site was still relatively low 245 although the species richness (especially on FBF) demonstrated a valuable sign of recovery. 246



NMDS1

Figure 5. Non-metric multidimensional scaling (NMDS) ordination with stress value < 0.2, showing</li>
 that this ordination displays a fair representation of species composition on each plot.
 Plots 1-5 are plots on FB transect, while plots 6-10 and 11-15 are located on FBF and NB
 transects, respectively

Moreover, our study indicates that frequency of fires is not the only determining factor on 253 forest recruitment on this study site, although according to Shiodera et al. (2016), intense and 254 repeated fires reduce the ability of forests to regenerate. The combination of fire frequency, fire 255 intensity, and proximity to remnant forest will produce different degrees of forest recovery, and the 256 result will be unique for each site (Graham et al. 2017). In our case, frequent fires might not impede 257 new recruitments. However, proximity to the nearest forest edge might impact the diversity of 258 regrowth. This is because proximity to forest remnant plays an important role in producing seeds to 259 ensure the continuous emergence of recruitments. For example, despite receiving frequent fires in 260 the last nine years, transect FBF recruits more diverse regrowth compared to transect NB which was 261 burned just once in 2015. Another study on ex Mega Rice Project in Central Kalimantan also stated 262 that natural regeneration on isolated degraded peatlands resulted in slow and patchy regrowth with 263 low diversity (Blackham et al. 2014). 264

# Vegetation Recoveries Over Times and Impact on Peatland Restoration

Based on Table 4, it can be seen that new recruitments after fires on the three transects were dominated by pioneer species, which seeds are mostly dispersed by wind or birds, or sourced from dormant seedbank within the peat layer. Only few resproutings were found and mostly appeared from *Ficus* spp. It is supported by Chazdon (2008) that initial succession is normally composed of long-lived pioneer species that change slowly over times. Moreover, Table 4 also displayed a

phenomenon that most recruitments are not conspecific to the remaining trees that survive after fire. 272 For example, the presence of a few Dipterocarp trees on transect NB is not followed by the 273 emergence of seedlings from these species. Once again, our study showed that abundant mother 274 trees will not give a substantial advantage on recruitments unless they are able to regenerate. The 275 presence of climax species such as Shorea spp., will not likely support initial forest recovery as 276 these species are not able to produce continuous seeds for regeneration due to limited pollination 277 (Ghazoul 2005), and if they are able, seedlings of climax species might find it hard to survive due to 278 extreme heat and sun radiation on a typical open peatland. 279

	Transect FB	Transect FBF	Transect NB
New Regrowth	Alstonia scholaris	Campnosperma coriaceum	Alstonia scholaris
	Melicope lunu-ankenda	Alstonia scholaris	Campnosperma coriaceum
	Campnosperma coriaceum	Ficus spp.	Melicope lunu-ankenda
	Macaranga pruinosa	Nephelium mangayi	Syzygium spp. 2
Regrowth	Melicope lunu-ankenda	Elaeocarpus acmocarpus	Alstonia scholaris
	Melaleuca sp.	Macaranga pruinosa	Melicope lunu-ankenda
	Campnosperma coriaceum	Campnosperma coriaceum	Ficus spp.
	Alstonia scholaris	Tetractomia obovata	Syzygium spp. 2
Survivor	Campnosperma coriaceum	Tetractomia obovata	Myristica iners
	X9	Alstonia scholaris	Shorea teysmanianna
	, C	Campnosperma coriaceum	Shorea uliginosa
	~	Elaeocarpus acmocarpus	Tetractomia obovata

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As the forest recovery process starts with colonization (Chazdon 2008), the key to peatland 282 vegetation recovery after fires is to enable vegetation colonization as soon as possible, and this 283 depends on the availability of regeneration sources (seeds or resproutings). Unfortunately, heavily 284 degraded peatlands are commonly dominated by high and dense ferns and shrubs that impede other 285 woody species to grow (Page et al. 2009). Given this condition, only pioneer species are able to 286 287 grow and supply continuous seeds for further colonization (Hapsari et al. 2018; Shiodera et al. 2016). Only when this condition is achieved, late successional species might then be able to emerge 288 289 dispersed by birds or bats, and bring the recovery process to the next stage.

This finding is supported by our observation on 15 2x2 m permanent plots of natural regeneration (
Figure 6). These plots were burned almost at an annual basis, with the last fire incidence
being in 2014. From this figure, several pioneer species (*Melaleuca leucadendron, Melicope lunu-ankenda, Syzygium* sp.) dominated the whole study area. However, in the third year, late
successional and generalist species (*Campnosperma coriaceum, Alstonia scholaris, Ctenolophon parvifolius*) started to appear, although pioneer species still dominated.

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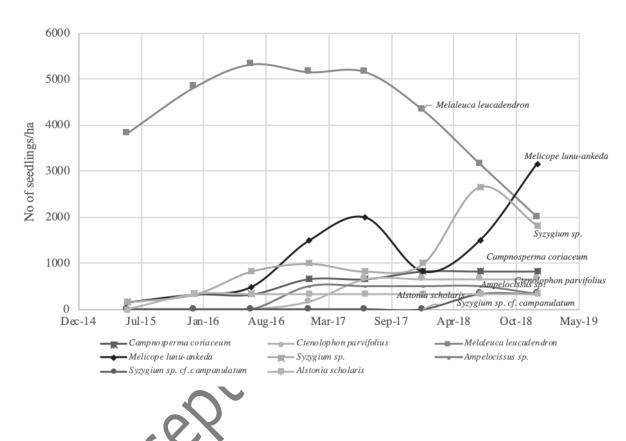
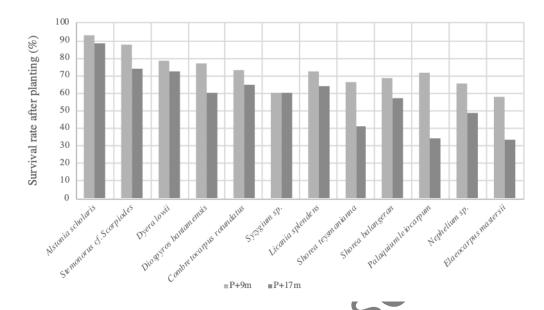


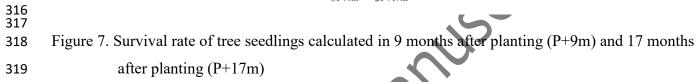
Figure 6. Trend of recruitments on the smaller natural regeneration permanent plots, observed from
 2015 to 2018. The last fire attack was in 2014, and before that year, all plots were almost
 annually burned.

The evidence that only pioneer species are able to secure the stand initiation process asks a 304 question to the common technique of vegetation restoration on degraded peatland forest in 305 Indonesia. Common practice normally involves line or blanket planting on a large area with a mix 306 of pioneer and climax species regardless of their ability to produce continuous seed sources and 307 resprouting ability for rapid colonization. Planting also normally prioritizes high economic value 308 species or rare species which are beneficial only when they are purposed for enrichment planting 309 after the first stage of the successional phase (stand initiation) is achieved. A paleocological study 310 by Hapsari et al. (2018) stated that floristic composition in degraded peat-swamp forest in Sumatra 311 can passively recover, and this is marked by initial domination of rapidly generating trees such as 312

Gnetum, Calophyllum, Sapotaceae, and Ficus to assure tree colonization and finally enable other
late successional species to naturally establish either dispersed by bats or birds.

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Our examination in 9 and 17 months after planting various mixed species, showed that pioneer species had a much higher survival rate and so dominated the revegetation (including species such as *Alstonia, Combretocarpus*, and *Syzygium*), and only a few late successional-high valuable tree species (such as *Dyera, Diospyros,* and *Shorea*) were found (Figure 7). Moreover, our findings showed higher overall survival rates compared to another trial planting experiment by Tata (2017), and slightly lower rates compared to a study by Lampela *et al.* (2017).

We suggest that planting late successional as well as high valuable tree species, without 326 examining first which the successional stage the site is in, is unnecessary. Although some species 327 with high commercial value are able to grow on the initial phase of forest recovery, however, as 328 previously mentioned, it will not give any beneficial value if those species are not able to produce 329 continuous regeneration for stand initiation process. However, late successional or climax species 330 could still be incorporated in the initial planting but with smaller number compared to the pioneer 331 species. Again, these climax species are beneficial for enrichment planting only, where the planting 332 purpose is to increase species diversity on a site that has passed the first phase of the successional 333 process. Therefore, to increase the effectiveness of the forest recovery process on recently burned 334 peatland, planting rapidly regenerating or pioneer species to ensure stand colonization is highly 335 recommended. 336

#### **CONCLUSION**

Although this study only showed initial results of the ongoing survey on peat forest 339 sucession, we found that the interaction of fire frequency, fire intensity, and proximity to remnant 340 forest produce different degrees and patterns of forest recovery on degraded peatlands. Frequent or 341 342 severe fire attacks might reduce the presence of survivor or mature trees that could supply new regrowth; however, our study suggests that the lack of remnant trees does not always impede the 343 emergence of new forest regrowth. Moreover, the diversity of forest regrowth is likely affected by 344 proximity to the nearest forest remnant. As species composition during the initial stage of forest 345 recovery in all transects and permanent natural regeneration plots were dominated by pioneer 346 species (such as Melaleuca leucadendron, Melicope lunu-ankenda, Syzygium sp, Macaranga 347 pruinose and Alstonia scholaris), the colonization process on our study site is likely ongoing. 348

We conclude that to ensure the forest recovery process, forest colonization with species that 349 can produce continuous species accumulation, either by sprouting or producing seed sources, needs 350 to be addressed first. The common method of restoration practice using expensive species that 351 cannot guarantee continuous self-regeneration is unbeneficial unless the restoration sites have 352 reached the later stage of succession. The successional stage of the designated area should be 353 determined first, as planting should focus on species that meet the needs of the successional stage 354 on the designated sites. Thus, restoration activity is always site-specific. Besides, to ensure forest 355 recovery, vegetation restoration on peatland should be parallel with hydrological restoration and fire 356 prevention. 357

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