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**Title:** Auxin biosynthesis maintains embryo identity and growth during BABY BOOM-induced somatic embryogenesis

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1 **Short title:** Endogenous auxin in somatic embryogenesis

2

3 **Auxin biosynthesis maintains embryo identity and growth during BABY BOOM-induced somatic**  
4 **embryogenesis**

5

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18

19 **Author contributions:** ML, JWM, IH, AH, BC and RR performed experiments and analyzed the data. ML, JWM,  
20 IH, AH, BC, RR, GCA and KB contributed to the experimental set-up and data interpretation. ML and KB wrote  
21 the manuscript with input from all authors. KB agrees to serve as the author responsible for contact and  
22 ensures communication.

23

24 **One sentence summary:** The BABY BOOM transcription factor induces YUCCA-dependent auxin biosynthesis  
25 during somatic embryogenesis to maintain embryo identity and development.

26

27 The author responsible for distribution of materials integral to the findings presented in this article in  
28 accordance with the policy described in the Instructions for Authors  
29 (<https://academic.oup.com/plphys/pages/General-Instructions>) is Kim Boutilier.

30 **Abstract**

31 Somatic embryogenesis is a type of plant cell totipotency where embryos develop from non-reproductive  
32 (vegetative) cells without fertilization. Somatic embryogenesis can be induced *in vitro* by auxins, and by ectopic  
33 expression of embryo-expressed transcription factors like the BABY BOOM (BBM) AINTEGUMENTA-LIKE  
34 APETALA2/ETHYLENE RESPONSE FACTOR (AP2/ERF) domain protein. These different pathways are thought to  
35 converge to promote auxin response and biosynthesis, but the specific roles of the endogenous auxin pathway  
36 in somatic embryogenesis induction have not been well-characterized. Here we show that BBM  
37 transcriptionally regulates the *YUCCA3* (*YUC3*) and *YUC8* auxin biosynthesis genes during BBM-mediated  
38 somatic embryogenesis in *Arabidopsis* (*Arabidopsis thaliana*) seedlings. BBM induced local and ectopic *YUC3*  
39 and *YUC8* expression in seedlings, which coincided with increased *DR5* auxin response and indole-3-acetic acid  
40 (IAA) biosynthesis and with ectopic expression of the *WOX2* embryo reporter. YUC-driven auxin biosynthesis  
41 was required for BBM-mediated somatic embryogenesis, as the number of embryogenic explants was reduced  
42 by ca. 50% in *yuc3 yuc8* mutants and abolished after chemical inhibition of YUC enzyme activity. However, a  
43 detailed YUC inhibitor time-course study revealed that YUC-dependent IAA biosynthesis is not required for the  
44 re-initiation of totipotent cell identity in seedlings. Rather, YUC enzymes are required later in somatic embryo  
45 development for the maintenance of embryo identity and growth. This study resolves a long-standing question  
46 about the role of endogenous auxin biosynthesis in transcription factor-mediated somatic embryogenesis and  
47 also provides an experimental framework for understanding the role of endogenous auxin biosynthesis in other  
48 *in planta* and *in vitro* embryogenesis systems.

49

## 50 Introduction

51 Totipotency is the capacity of a single cell to regenerate into a complete organism (Condic, 2014).  
52 Totipotency is restricted to the zygote in sexually reproducing plants, but some asexually reproducing plants  
53 also produce embryos from vegetative cells and from unfertilized gametes (Pichot et al., 2001; Garcês et al.,  
54 2007; Schmidt, 2020). Induced totipotency refers to the ability of cells to develop into embryos when cultured  
55 *in vitro* (Fehér, 2019). Somatic embryogenesis is a type of totipotency in which vegetative (non-gametophytic)  
56 cells are induced to develop into embryos after exposure to exogenous growth regulators, in particular the  
57 synthetic auxin 2,4-dichlorophenoxy acetic acid (2,4-D), or by ectopic expression of embryo or meristem  
58 identity transcription factors (Horstman et al., 2017a; Fehér, 2019; Karami et al., 2021b). Both inducer  
59 treatments promote cell division and also reprogram cells in a multicellular explant toward somatic  
60 embryogenesis or toward pluripotent pathways resulting in callus formation and organogenesis. How both 2,4-  
61 D and transcription factors induce a subset of cells in an explant to develop specifically into somatic embryos is  
62 not known, but roles for chromatin modifications as well as for changes in expression of embryo identity genes  
63 and plant growth regulator pathway genes have been proposed (De-la-Peña et al., 2015; Horstman et al.,  
64 2017a; Wang et al., 2020; Wójcik et al., 2020).

65 2,4-D efficiently induces somatic embryogenesis in a wide range of explants in the model plant *Arabidopsis*  
66 (*Arabidopsis thaliana*). As in other plants, *Arabidopsis* somatic embryos either develop directly from the  
67 explant (Luo and Koop, 1997; Gaj, 2001; Kobayashi et al., 2010) or indirectly from embryogenic callus (Ikeda-  
68 Iwai et al., 2003; Su et al., 2009). In the direct system, fully differentiated embryos with root and shoot  
69 meristems and cotyledons develop in the presence of 2,4-D, while in the indirect system removal of 2,4-D from  
70 the culture medium is usually required to promote differentiation (patterning) of pro-embryogenic masses  
71 (PEMs), which are multicellular embryos lacking radial and apical-basal patterning (Halperin and Jensen, 1967;  
72 Gaj, 2011). Ectopic expression of specific embryo or meristem identity transcription factors also induces  
73 somatic embryo formation, but can do so in the absence of exogenous plant growth regulators (Horstman et  
74 al., 2017a). Among these are the LEAFY COTYLEDON 1 (LEC1) HAP3/CCAAT binding protein, the LEC2 B3-domain  
75 protein, and the BABY BOOM (BBM) clade of AINTEGUMENTA-LIKE (AIL) APETALA2/ETHYLENE RESPONSE  
76 FACTOR (AP2/ERF) transcription factors, which also includes the PLETHORA (PLT) proteins (Lotan et al., 1998;  
77 Stone et al., 2001; Gaj et al., 2005; Horstman et al., 2017b). Ectopic over-expression of these transcription  
78 factors in germinating seeds induces direct somatic embryo formation on above ground organs of seedlings,  
79 including the cotyledon petioles, tip and margin and the shoot apical meristem. The mechanisms driving  
80 transcription factor-induced somatic embryogenesis have not been well-studied, but like 2,4-D-induced  
81 somatic embryogenesis, are thought to require chromatin-level changes as well as deregulation of  
82 embryo/meristem identity transcription factor and auxin pathway genes (Horstman et al., 2017a; Tian et al.,  
83 2020; Wójcik et al., 2020).

84 Transcriptional activation of auxin biosynthesis genes is one of the common regulatory points downstream  
85 of 2,4-D and transcription factor-induced somatic embryogenesis. Plants synthesize auxin by different  
86 pathways (Normanly, 2010; Zhao, 2014). The major auxin in *Arabidopsis* is indole-3-acetic acid (IAA), which is  
87 mainly synthesized through the TAA/YUC pathway (Zhao, 2014). Enzymatic activity of TRYPTOPHAN

88 AMINOTRANSFERASE ARABIDOPSIS1 (TAA1) and TAA1-RELATED PROTEINS (TAR) convert TRP into the  
89 intermediate product indole-3-pyruvic acid (IPyA), which is then converted into IAA by the YUCCA (YUC) flavin-  
90 dependent monooxygenases (Stepanova et al., 2011). The Arabidopsis genome contains three *TAA1/TAR* genes  
91 and eleven *YUCCA* monooxygenase genes that are differentially expressed during plant development (Cheng  
92 et al., 2006; Cheng et al., 2007; Wang et al., 2011; Hentrich et al., 2013; Robert et al., 2013).  
93 Arabidopsis TAA/TARs and YUC proteins each function in a redundant manner, such that many of their  
94 functions only become evident in higher order mutant combinations (Cheng et al., 2006; Cheng et al., 2007;  
95 Wang et al., 2011; Robert et al., 2013).

96 Endogenous auxin, mainly IAA, is often elevated in cells or tissues undergoing 2,4-D-induced somatic  
97 embryogenesis (Michalczuk et al., 1992; Charrière et al., 1999; Pasternak et al., 2002). In the Arabidopsis direct  
98 somatic embryogenesis system, exposure of immature zygotic embryo explants to 2,4-D induces expression of  
99 *YUC1* and *YUC4* early in somatic embryogenesis, followed later by *TAA1* and *YUC10* expression (Wójcikowska et  
100 al., 2013). Single *yuc* mutants have no obvious phenotype under normal growth conditions, except the *yuc8-1*  
101 mutant, which shows reduced seed set (Cheng et al., 2006; Cheng et al., 2007; Ståldal et al., 2012). However, in  
102 2,4-D-induced somatic embryo cultures, single *yuc2* and *yuc4* mutants produce fewer embryogenic explants  
103 and fewer somatic embryos per explant compared to wild-type explants (Wójcikowska et al., 2013). In the  
104 indirect somatic embryogenesis system, where embryos develop after an initial callus phase, *YUC* gene  
105 expression (*YUC1*, *YUC2*, *YUC4* and *YUC6*) is detected late in the development of embryogenic callus and then  
106 increases after transfer of the callus to 2,4-D-free medium (Bai et al., 2013). In this system, the quadruple *yuc1*  
107 *yuc2 yuc4 yuc6* mutant shows a normal progression of somatic embryogenesis, while the *yuc1 yuc4 yuc10*  
108 *yuc11* mutant produces only a few malformed somatic embryos (Bai et al., 2013). Treatment with the YUC  
109 enzyme inhibitor yucasin drastically reduces somatic embryo formation from *Coffea canephora* explants (Uc-  
110 Chuc et al., 2020). It is clear that endogenous auxin biosynthesis has a role in 2,4-D-induced somatic embryo  
111 induction, but when and how auxin biosynthesis specifically promotes somatic embryogenesis is not known.

112 LEC and BBM/PLT transcription factors have also been shown to bind to and/or transcriptionally regulate  
113 auxin biosynthesis genes during normal plant development and under conditions that promote somatic embryo  
114 development. Ectopic LEC2 expression induces *YUC2* and *YUC4* expression early during somatic embryo  
115 development from seedlings (Stone et al., 2008), and ectopic LEC1 expression induces *YUC* gene expression  
116 during 2,4-D-induced somatic embryogenesis from immature zygotic embryos (*YUC1*, *YUC4* and *YUC10*) and  
117 from seedlings (*YUC10*) (Junker et al., 2012; Wójcikowska et al., 2013). CHOTTO1 (CHO1)/EMBRYOMAKER  
118 (EMK)/ PLT5/AIL5 binds to and transcriptionally regulates *YUC4* in the shoot apex (Pinon et al., 2013), while  
119 PLT2/AIL4 binds to and transcriptionally regulates *YUC3* and *YUC8* in the root tip (Santuari et al., 2016).  
120 BBM/AIL2 also binds to *YUC3* and *YUC8* during 2,4-D- and BBM-induced somatic embryogenesis, but it is not  
121 known if BBM also transcriptionally regulates these genes (Horstman et al., 2017b). Although auxin  
122 biosynthesis genes are downstream targets of embryo identity transcription factors during somatic  
123 embryogenesis, it is not known whether auxin biosynthesis is required to promote transcription-factor driven  
124 somatic embryogenesis.

125 Here we examined the role of YUC-dependent IAA biosynthesis in BBM-induced somatic embryogenesis  
126 from Arabidopsis seedling cotyledons. Using a combination of genetic analysis, pharmacological inhibition and  
127 cell fate analysis we show that YUC-dependent IAA biosynthesis is essential for BBM-mediated somatic  
128 embryogenesis, but that this pathway is only required after the initiation of totipotency, for the subsequent  
129 proliferation and differentiation of embryogenic cells.

130

## 131 **Results**

132

### 133 **Developmental steps in *BBM*-induced somatic embryogenesis**

134 The normal course of somatic embryogenesis in seedlings from dexamethasone (DEX)-treated *35S:BBM-GR*  
135 seeds has been described previously (Horstman et al., 2017b; Godel-Jedrychowska et al., 2020) and is  
136 summarized in Figure 1. DEX treatment induces post-translational nuclear localization of the BBM-GR fusion  
137 protein (Horstman et al., 2017b), allowing comparison of samples with and without ectopic BBM activity.  
138 Embryogenic cell divisions are observed in the cotyledons of DEX-treated *35S:BBM-GR* seedlings around day 3  
139 to day 4 of culture (Figure 1, A and B). These divisions begin at the cotyledon tip, followed by the cotyledon  
140 margin and shoot apex and are visualized as thickened, smooth, light green tissue. By days 6 to 8 of culture  
141 small embryogenic protrusions can be observed on the dividing tip (Figure 1, C and D) and by day 14 a mass of  
142 primary and secondary somatic embryos develops on the seedling cotyledon (Figure 1E).

143 Previously we showed that the embryo identity and BBM direct target gene *LEC1* is expressed on the  
144 cotyledon tip of DEX-treated *35S:BBM-GR* seeds as early as one day after DEX treatment and becomes more  
145 highly expressed at the cotyledon tip and margin when these tissues begin to proliferate (Horstman et al.,  
146 2017b). We followed the expression of the *WOX2:NLS-3xYFP* embryo marker to determine whether embryo  
147 identity genes that are not direct BBM targets are expressed in the same way. During the first two days of  
148 culture *WOX2:NLS-3xYFP* expression was detected in both control (mock-treated) and DEX-treated seedlings  
149 throughout the seedling, and in the cotyledon on the abaxial and adaxial surface (Supplemental Figure S1, A  
150 and B, Figure 8). The nuclear *WOX2*-YFP signal could no longer be detected in the control seedling cotyledons  
151 from day 3 onward (Supplemental Figure S1C), but was maintained and became restricted to the tip of the  
152 cotyledon in the DEX-treated seedlings (Figure 1, F and G). During day 6 to 8 of culture, *WOX2*-YFP expression  
153 was observed on the explant in the region where embryos develop and in the embryogenic growths of most  
154 DEX-treated control seedlings (Figure 1, H and I). In the *35S:BBM-GR* line used in this study, 10-15% of the  
155 seedlings do not form somatic embryos and the same proportion of seedlings lacked *WOX2*-YFP expression in  
156 the cotyledon (Supplemental Figure 1D). By day 14 of culture *WOX2*-YFP expression could only be detected in  
157 ca. 20% of these embryos (Supplemental Table S1).

158 The above data indicate that expression of the BBM direct target gene *LEC1* precedes expression of the  
159 non-target gene *WOX2*. Both *LEC1* and *WOX2* are initially expressed on the cotyledon tip, the site where  
160 somatic embryo formation is first initiated. *LEC1* is a major regulator of early and late embryo development  
161 pathways and overexpression of *LEC1* induces spontaneous somatic embryogenesis. *LEC1* also acts a pioneer  
162 factor at the *FLOWERING LOCUS C* gene by promoting an active chromatin state (Tao et al., 2017).

163 Activation of *LEC1* expression by BBM might therefore be required for promoting chromatin accessibility  
164 at BBM target loci and/or for parallel activation of early embryo development genes.

165

### 166 **BBM regulates auxin pathway genes**

167 The BBM transcription factor binds a number of key regulatory genes during 2,4-D and BBM-induced  
168 somatic embryogenesis, including genes that promote *in vitro* regeneration and meristem identity and  
169 proliferation (Supplemental Data Set S1; Horstman et al., 2015; Horstman et al., 2017b). Among the direct BBM  
170 gene targets are also a number of auxin pathway genes, including the *YUC3*, *YUC8* and *TAA1* auxin biosynthesis  
171 genes. The BBM-binding sites at these loci are shown in Figure 2A-C. To determine whether BBM also  
172 transcriptionally regulates these genes, we analyzed their expression using RT-qPCR in DEX-treated *35S:BBM-*  
173 *GR* seeds at 8, 24 and 48 h after imbibition (pre-germination). *YUC3* and *YUC8* expression was significantly  
174 upregulated in DEX-treated *35S:BBM-GR* seeds compared to DEX-treated wild-type (WT) seeds, with *YUC3*  
175 expression (48 h) lagging behind that of *YUC8* (8 h), while *TAA1* expression was not significantly regulated  
176 (Figure 2D). We therefore focused our efforts on *YUC3* and *YUC8* as candidate early auxin biosynthesis target  
177 genes.

178 Next, we examined the spatial and temporal regulation of *YUC3/YUC8* expression in *35S:BBM-GR* seeds  
179 carrying the *YUC3:erGFP* or the *YUC8:GUS* reporters. Seeds were imbibed and then cultured with or without 10  
180  $\mu$ M DEX. In WT Arabidopsis seedlings, *YUC3* is expressed in the root meristem and root-hypocotyl transition  
181 zone and *YUC8* is expressed in the root vascular tissue and meristem (Ståldal et al., 2012; Chen et al., 2014;  
182 Santuari et al., 2016) (Figure 3) BBM-enhanced *YUC3* expression was observed in the root-hypocotyl transition  
183 zone from day two of culture (Figure 3, B, C, G and H), followed by weak, but consistent ectopic expression on  
184 the proximal cotyledon margin on day 3 (Figure 3, C and H) and the entire cotyledon surface by day 4 (Figure 3,  
185 D, E, I and J). Enhanced *YUC8* expression in the hypocotyl vascular tissue was observed after one day of culture  
186 (Figure 3, L and Q), and *35S:BBM*-induced changes in hypocotyl morphology were already visible after two days  
187 of culture (Figure 3, M and R). Ectopic expression of *YUC8* was observed in the cotyledons starting from day  
188 three of culture (Figure 3, N and S). As in the root-hypocotyl, *YUC8* was also expressed in the cotyledon vascular  
189 tissue. After 6 days of culture, areas lacking *YUC3* and *YUC8* expression were observed in a region close to the  
190 cotyledon tip (Figure 3, J and T), corresponding to the first sites of somatic embryo induction in DEX-treated  
191 *35S:BBM-GR* lines (Figure 1, B and C). Notably, expression of a *YUC3:GUS* reporter that lacks the BBM binding  
192 site motif and that is not expressed in the root meristem (Chen et al., 2014) did not show altered expression in  
193 DEX-treated *35S:BBM-GR* seedlings (Supplemental Figure S2, B and C).

194 Together these analyses show that BBM transcriptionally regulates *YUC3* and *YUC8* expression early during  
195 somatic embryo induction, both in their native expression domain in the root/hypocotyl, as well as ectopically  
196 in the cotyledon. Ectopic *YUC* expression in cotyledons also coincided with the onset of ectopic *WOX2*  
197 expression (Figure 1G), suggesting a major change in cotyledon cell fate at this time point. BBM-induced  
198 *YUC3/YUC8* expression in cotyledons lagged behind *YUC3/YUC8* expression in the root/transition zone.  
199 Germination relies mainly on translation of stored mRNAs (Sano et al., 2020), and post-germination light-grown  
200 cotyledons only undergo a few cell divisions (Sano et al., 2020), thus *de novo* BBM-induced transcription in

201 cotyledons might require activation of cell division and/or reprogramming of chromatin to a transcriptionally  
202 active state, processes that are already active in the root and hypocotyl.

203

#### 204 **BBM enhances auxin response and biosynthesis**

205 The above results indicate that *YUC3* and *YUC8* are transcriptionally regulated by BBM early during somatic  
206 embryo induction. We therefore investigated whether these changes are reflected in increased auxin response  
207 and IAA levels in seedlings.

208 We used *DR5* reporters to follow the temporal and spatial dynamics of auxin response during BBM-  
209 mediated somatic embryogenesis. *35S:BBM-GR DR5* seeds were germinated with or without 10  $\mu$ M DEX and  
210 *DR5* expression followed in the explants for seven days (Figure 4). Weak *DR5* expression was observed on the  
211 adaxial and abaxial surfaces of cotyledons (Figure 4, A and D) of both DEX-treated and control seedlings after  
212 one day of culture. From day three of culture onward, *DR5* expression in the vascular tissue extended further  
213 into the root elongation zone in DEX-treated seedlings than in control seedlings (Figure 4, B and E). At this time,  
214 *DR5* expression was no longer visible in control cotyledons, but broadened and increased in intensity on the  
215 adaxial surface of cotyledons from DEX-treated samples (Figure 4, C and F), where it localized to the adaxial  
216 epidermal/subepidermal layers and the vascular bundles (Figure 4G). In the following days, *DR5* expression  
217 continued to increase in DEX-treated seedlings, especially along the cotyledon margin (Figure 4, H and I).  
218 Starting around day 4, an auxin minimum as visualized by low *DR5* expression (Figure 4, H-K) could be seen next  
219 to the cotyledon tip where embryogenic protrusions develop.

220 Auxin response reporters measure the sum of auxin signaling processes, and since BBM binds different  
221 types of auxin-pathway genes (Horstman et al., 2017b), we determined whether the enhanced *DR5* response  
222 observed in BBM overexpression lines can be explained by changes in IAA levels. WT seeds and seeds from two  
223 independent *35S:BBM-GR* lines differing in somatic embryo production rate were cultured with or without DEX  
224 for three days before measuring IAA and the IAA catabolite oxindole-3-acetic acid (oxIAA). Oxidation of IAA to  
225 oxIAA reduces auxin activity and plays an important role in maintaining auxin homeostasis (Stepanova and  
226 Alonso, 2016). Seedlings of both *35S:BBM-GR* lines treated with DEX showed higher IAA levels than the WT  
227 seedlings and *35S:BBM-GR* seedlings without DEX treatment (Figure 4L), but only the increase of IAA content in  
228 line 2 was significant compared to the WT control. The different IAA levels in these two lines might reflect the  
229 differences in penetrance of their somatic embryogenesis phenotypes (50% in line 1 and 100% in line 2).

230 The above data indicate that *BBM* overexpression induces a *de novo* auxin response on the adaxial  
231 cotyledon surface. The spatial localization of the *DR5* auxin response in DEX-treated *35S:BBM-GR* and WT  
232 seedlings started to diverge around the third day of culture, the time point at which *YUC3/YUC8* gene  
233 expression and IAA levels also increased in DEX-treated *35S:BBM-GR* cotyledons. This suggests that the  
234 enhanced auxin response observed in *35S:BBM-GR* seedlings is due, at least in part, to increased IAA  
235 biosynthesis. This increase in *YUC3/YUC8* and *DR5* expression was followed a few days later by *DR5* and  
236 *YUC3/YUC8* expression minima at the site of multicellular somatic embryo formation on the cotyledon tip.  
237 Together this data suggests that enhanced/ectopic *YUC* expression and IAA biosynthesis coincides with the



238 establishment of totipotent cell fate, but that multicellular somatic embryo development takes place in a low  
239 auxin response field.

240

### 241 **YUC3 and YUC8 are required for efficient BBM-mediated somatic embryogenesis**

242 To determine the roles of *YUC3* and *YUC8* in BBM-induced somatic embryogenesis, we generated two  
243 independent *yuc3 yuc8* double mutant lines in a *35S:BBM-GR* background using CRISPR-Cas9 mutagenesis  
244 (Supplemental Figure S3). Both independent *yuc3 yuc8* mutants contained the same *yuc3<sup>CR1</sup>* mutation, an 848  
245 bp deletion plus a 38 bp insertion that removed part of the promoter and first exon (Supplemental Figure S3, A  
246 and B). The *yuc8<sup>CR1</sup>* mutation has a 1 bp insertion downstream of and close to the translational start site (TSS),  
247 resulting in a premature stop codon (Supplemental Figure S3, A and B). The *yuc8<sup>CR2</sup>* mutant line has a 3 bp  
248 deletion at the same position as the *yuc8<sup>CR1</sup>* mutation resulting in loss of one amino acid (Supplemental Figure  
249 S3, A and B). This amino acid is not located in previously described functional domains (Supplemental Figure S3  
250 C) and might not affect the protein's function. However, both the *yuc3<sup>CR1</sup> yuc8<sup>CR1</sup>* and *yuc3<sup>CR1</sup> yuc8<sup>CR2</sup>* mutants  
251 showed the reduced seed set phenotype that was previously described for the *yuc8-1* allele (Supplemental  
252 Figure S3, D) (Ståldal et al., 2012). This suggests that the single amino acid deletion in the *yuc8<sup>CR2</sup>* allele disrupts  
253 YUC8 function. Other than the reduced seed set phenotype, neither of the two independent *yuc3<sup>CR</sup> yuc8<sup>CR</sup>*  
254 double mutant lines showed obvious phenotypic differences from WT seedlings under standard growth  
255 conditions.

256 To evaluate the effect of the *yuc3<sup>CR</sup> yuc8<sup>CR</sup>* double mutants on BBM-induced somatic embryogenesis, we  
257 cultured control *35S:BBM-GR* seeds and seeds from the two *35S:BBM-GR yuc3<sup>CR</sup> yuc8<sup>CR</sup>* lines for 14 days with  
258 10  $\mu$ M DEX and categorized the explants into three groups: explants with somatic embryos, explants with  
259 ectopic shoots but no somatic embryos, and explants without any ectopic structures (Figure 5). DEX-treated  
260 explants from both *35S:BBM-GR yuc3<sup>CR</sup> yuc8<sup>CR</sup>* lines showed a statistically significant reduction in the capacity  
261 for somatic embryogenesis (ca. 50%) compared to the DEX-treated *35S:BBM-GR* control explants (ca. 90%).  
262 Ectopic shoot formation was not affected in the DEX-treated *35S:BBM-GR yuc3<sup>CR</sup> yuc8<sup>CR</sup>* lines compared to the  
263 control. These results are in line with observations in 2,4-D-induced direct and indirect somatic embryo  
264 cultures, where mutation of different *YUC* genes was shown to be detrimental for somatic embryogenesis (Bai  
265 et al., 2013; Wójcikowska et al., 2013).

266

### 267 **Auxin biosynthesis is required in a narrow developmental window for efficient BBM-induced somatic** 268 **embryogenesis**

269 Auxin biosynthesis genes are direct targets of embryo identity transcription factors like BBM, LEC1 and LEC2  
270 and these proteins also control each other's expression through complex transcriptional feedback loops (Tian  
271 et al., 2020; Wójcik et al., 2020). Given the possibility that additional *YUC* genes might be directly or indirectly  
272 regulated during BBM-induced somatic embryogenesis, we used a pharmacological approach to inhibit overall  
273 YUC activity. This approach also allowed us to dissect the role of YUC-dependent IAA biosynthesis in time by  
274 performing time course inhibitor addition-removal experiments.

275 *35S:BBM-GR* seeds were cultured for 14 days in liquid medium with 10  $\mu$ M DEX to activate the BBM protein.  
276 The YUC enzyme inhibitor yucasin (Nishimura et al., 2014) or the more stable analog yucasin difluorinated  
277 analog (YDF) (Tsugafune et al., 2017) (100  $\mu$ M) were added to or removed from the cultures at different time  
278 points to determine when YUC-mediated IAA biosynthesis plays a role in BBM-induced somatic embryogenesis.  
279 After three to four days of culture, the cotyledon margins of DEX-treated *35S:BBM-GR* seedlings thicken due to  
280 increased cell division (Figure 1B). Multiple embryogenic protrusions develop from the adaxial surface of the  
281 cotyledon tip and margin around day six of culture, followed by formation of histodifferentiated somatic  
282 embryos by 10 days of culture (Figure 1, Figure 6F). By contrast, the cotyledons of DEX-treated *35S:BBM-GR*  
283 seedlings treated with 100  $\mu$ M YUC enzyme inhibitor from day 0, day 2 and day 4 onward developed into white  
284 callus-like structures, with or without white, dense amorphous structures (Figure 6, A-C, F; Supplemental Figure  
285 S4, A-C, F). By contrast, seedlings from cultures treated with YUC enzyme inhibitor from day 6 onward formed  
286 somatic embryos were similar to the control samples, except that the number of somatic embryos was greatly  
287 reduced compared to control cultures (Figure 6, D and E; Supplemental Figure S4, D and E). Continuous  
288 treatment of DEX in combination with lower YUC enzyme inhibitor concentrations also reduced somatic  
289 embryo formation in *35S:BBM-GR* seedlings, but to a lesser extent than with the 100  $\mu$ M treatment  
290 (Supplemental Figure S5, A-E). The enhanced *DR5:GFP* expression in cotyledons of four-day-old seedlings  
291 treated continuously with DEX was abolished after YUC enzyme inhibitor treatment (Supplemental Figure S5, K,  
292 L and O), suggesting that YUC enzyme inhibitor treatment reduced BBM-induced IAA biosynthesis in the  
293 cotyledon.

294 Next, we performed YUC inhibitor removal experiments to more accurately define the time point at which  
295 inhibition of auxin biosynthesis affects the progression of somatic embryogenesis. DEX and YUC enzyme  
296 inhibitor were added on day 0 of culture and then the inhibitor was removed on day 4, day 6, day 8 or day 10 of  
297 culture (Figure 6, G-J; Supplemental Figure S4, G-J). Somatic embryos developed on the cotyledons of DEX-  
298 treated *35S:BBM-GR* seedlings when YUC inhibitors were removed on or before day six, but the number of  
299 somatic embryos was reduced compared to non-treated control samples (Figure 6, G and H; Supplemental  
300 Figure S4, G and H). Somatic embryo formation could not be rescued when YUC enzyme inhibitor was removed  
301 after six days of treatment (Figure 6, I and J; Supplemental Figure S4, I and J).

302 Together these results suggest that YUC activity is essential for the normal progression of BBM-mediated  
303 somatic embryogenesis between the fourth and sixth day of culture. The YUC inhibitor concentrations that  
304 affect somatic embryo formation (25-100  $\mu$ M; Supplemental Figure S5, A-E) are higher than those that affect  
305 root development in WT plants (1-10  $\mu$ M) (He et al., 2011), but similar to the concentration range (20-100  $\mu$ M)  
306 that complemented the *YUC1* overexpression phenotype (Nishimura et al., 2014). This suggests that BBM  
307 induces relatively high IAA levels in cotyledons or that cotyledons and developing somatic embryos are less  
308 sensitive to YUC enzyme inhibition than other tissues.

309 TAA/TAR proteins convert TRP to IPyA, which is then converted to IAA by YUC proteins. The *TAA1* gene is  
310 also bound by BBM during BBM- and 2,4-D-induced somatic embryogenesis but was not transcriptionally-  
311 regulated by BBM during the first two days of culture (Figure 2, C and D). However, blocking TAA1/TAR enzyme  
312 activity in *35S:BBM-GR* seedlings with kynurenine (kyn), a chemical inhibitor of TAA1/TAR activity (He et al.,

2011) severely impaired somatic embryo formation (Supplemental Figure S5, F-J) and also abolished the BBM-induced *DR5* response (Supplemental Figure S5, M-O). This inhibitory effect was not observed when kyn was added to the medium on day 6 of culture (Supplemental Figure S6, D and E) or when kyn was removed by day eight of culture (Supplemental Figure S6, F-I), although fewer embryos developed than in the control samples. Thus *TAA1/TAR*-mediated auxin biosynthesis is also required for BBM-induced somatic embryogenesis, although the window in which *TAA1/TAR* enzymes are required is slightly broader than for *YUC* enzymes.

319

### 320 **Auxin biosynthesis is required for the maintenance of BBM-induced totipotency**

321 To determine how reduced IAA levels affect the progression of BBM-mediated somatic embryogenesis, we  
322 examined the development of auxin-inhibitor-treated explants using thin sections and embryo identity  
323 reporters.

324 *35S:BBM-GR* seeds were germinated in medium containing DEX (control) with or without *YUC* enzyme  
325 inhibitor, which was added to the cultures during (day 0, day 4) or after (day 7) the critical time point for  
326 somatic embryo development. Thin sections were made six and 12 days after the start of culture. Thin sections  
327 of DEX-treated seedling cotyledons showed that the mesophyll and vascular cells had divided prolifically during  
328 the first 6 days of culture (Figure 7A). The proliferating adaxial mesophyll cells and cotyledon tip formed a  
329 continuous mass of cytoplasm-rich cells, which are characteristic for totipotent/meristematic cells (Huang and  
330 Yeoman, 1984; Prime et al., 2000; Kurczyńska et al., 2007; Verdeil et al., 2007; Godel-Jedrychowska et al., 2020).  
331 Callus-like cells, characterized by their reduced cytoplasmic staining, were visible on the adaxial surface of the  
332 cotyledon in the same explants (Figure 7A). By day 12 of culture, the DEX-treated seedlings had formed  
333 (secondary) somatic embryos with defined apical-basal polarity (Figure 7B). When *YUC* enzyme inhibitor was  
334 added with DEX at the start of culture, the seedlings still produced cytoplasm-rich cells on the cotyledon  
335 surface, but with less overall cell proliferation compared to DEX-treated samples (Figure 7D). In addition,  
336 interspaced cell clusters formed along the adaxial surface of the cotyledon instead of the continuous band of  
337 proliferating cells observed in DEX-treated seedlings. These cell clusters became more callus-like by the 12<sup>th</sup>  
338 day of culture (Figure 7E). The cells in these callus-like clusters were covered by loosely connected epidermal  
339 cells, rather than densely packed cells in the control samples, indicating that they lost their capacity for  
340 meristematic/totipotent cell proliferation. The cotyledons of seedlings treated with *YUC* inhibitor on day 4  
341 resembled cotyledons from seedlings treated with inhibitor from day 0 onward (Figure 7C). When *YUC* inhibitor  
342 was added on day 7 of culture, somatic embryos with visible apical-basal polarity were formed on the  
343 cotyledons (Figure 7F), but the number of somatic embryos was reduced compared to the DEX-treated control.  
344 These data indicate that auxin biosynthesis is not absolutely required for the *de novo* induction of  
345 meristematic/totipotent cell proliferation, but rather is required to sustain these meristematic/totipotent cell  
346 divisions. These results also support the idea that auxin biosynthesis is also required after day 6 of culture for  
347 efficient differentiated somatic embryo formation.

348 To determine how reduced IAA levels alter embryo fate during BBM-induced somatic embryogenesis, we  
349 followed the expression of the *WOX2:NLS-3xYFP* embryo identity reporter in DEX-treated *35S:BBM-GR*  
350 seedlings that were cultured in the presence or absence of *YUC* enzyme inhibitors. *WOX2-YFP* expression in

351 seedlings treated continuously from day 0 with 100  $\mu$ M YUC enzyme inhibitor was similar to that of the control  
352 seedlings until day 4 of culture (Figure 1F, Figure 8B). The number of WOX2-YFP-positive seedlings decreased to  
353 half that of the control by day 8 of culture and to zero by day 14 (Supplemental Table S1; Figure 8B). When YDF  
354 was added on day 4 of culture, the initial proportion of WOX2-YFP-expressing seedlings on day 6 and day 8 was  
355 similar to that of the DEX-treated control, but then decreased to zero on day 14 (Supplemental Table S1; Figure  
356 8C). Likewise, when YDF was added on day 0 and then removed on day 6 of culture, the number of seedlings  
357 initially showing WOX2-YFP expression was similar to the control, but then decreased to zero by day 14 of  
358 culture (Supplemental Table S1; Figure 8D).

359 Taken together, these histology and cell fate experiments confirmed our observations on whole mount  
360 samples i.e. that YUC-dependent IAA biosynthesis is not required for the initiation of embryo identity at the  
361 cotyledon tip in BBM overexpression lines, but is required later, in a narrow developmental window between  
362 day 4 and 6 of culture, to maintain embryo identity and promote the development of embryogenic cell  
363 protrusions into histodifferentiated embryos. In the absence of YUC activity these embryogenic cells develop  
364 into callus-like structures.

365

## 366 **DISCUSSION**

367 Ectopic expression of the AINTEGUMENTA-LIKE (AIL) transcription factor BABY BOOM (BBM) induces  
368 spontaneous adventitious organ formation (pluripotency) and embryogenesis (totipotency) (Gordon-Kamm et  
369 al., 2019; Vijverberg et al., 2019). In WT plants, *in vitro* adventitious organ formation and somatic  
370 embryogenesis usually rely on exogenous auxin application, either alone or in combination with other  
371 hormones or abiotic stress treatments. A genetic relationship between BBM-like AILs and auxin in shoot and  
372 root meristem development, as well as binding and/or direct transcriptional regulation of *YUC* genes by AIL-  
373 family members has been shown (Pinon et al., 2013; Santuari et al., 2016), but neither has been described in  
374 the context of induced pluripotent or totipotent growth. Here we show that BBM regulates *YUC* gene  
375 expression and that YUC-dependent auxin biosynthesis has essential, but relatively late functions in BBM-  
376 mediated somatic embryogenesis. Our data suggest a two-step model in which BBM-induces expression of  
377 embryo identity genes like *LEC1*, *LEC2* and *FUSCA3* (*FUS3*) to establish cell totipotency (Horstman et al., 2017b),  
378 followed by induction of auxin biosynthesis to maintain embryo division and growth.

379

### 380 **Multiple roles for auxin biosynthesis**

381 Here we show that ectopic BBM expression induces expression of the canonical auxin biosynthesis pathway  
382 genes *YUC3* and *YUC8* (Figure 2D). Both of these genes are direct BBM targets in 2,4-D and BBM-induced  
383 somatic embryo cultures (Figure 2, A and B). *BBM* is expressed in the seedling root tip and throughout the  
384 zygotic embryo as early as the four-cell stage and becomes basally localized from the heart stage onward  
385 (Galinha et al., 2007; Horstman et al., 2015). Both *YUC3* and *YUC8* are expressed in the seedling root tip (Chen  
386 et al., 2014; Santuari et al., 2016) (Figure 3), and in the zygotic embryo *YUC3* is expressed in the suspensor and  
387 *YUC8* in the basal region of the embryo proper (Robert et al., 2013). This overlap in *BBM* and *YUC3/YUC8*

388 expression suggests that BBM also regulates *YUC3* and *YUC8* expression during zygotic embryogenesis and root  
389 development *in planta*.

390 Reporter analysis showed that *35S:BBM-GR* overexpression induces *YUC3* and *YUC8* expression in the root  
391 and hypocotyl, followed by expression in the cotyledons (Figure 3). The expansion of BBM-induced ectopic  
392 *YUC3/YUC8* reporter expression from the below ground to the above ground organs reflects the gradual  
393 increase in transcript levels detected by qPCR (Figure 2D). The increase in *YUC* expression in roots and  
394 cotyledons was also mirrored by increased *DR5* expression in the same organs and by increased IAA  
395 biosynthesis (Figure 4). Together these results suggest that BBM induces enhanced and ectopic auxin  
396 biosynthesis gene expression and a concomitant increase in auxin levels.

397 We also observed that embryogenic protrusions develop in areas of low (*DR5*) auxin response (Figure 4) and  
398 low *YUC3/YUC8* expression (Figure 3). In Arabidopsis, *DR5* expression and auxin accumulation (as measured by  
399 the *R2D2* (Ratiometric version of 2D2's) reporter; Liao et al., 2015) are only reliably detected starting at the 8-  
400 cell embryo stage. This initial auxin response in the embryo proper is largely due to PIN-mediated auxin  
401 transport from the suspensor and from the surrounding maternal tissues (Friml et al., 2003; Robert et al.,  
402 2013). *YUC* and *TAA1/TAR* auxin biosynthesis genes are expressed later in zygotic embryos, in the embryo  
403 proper and suspensor from the 16-cell embryo stage onward (Stepanova et al., 2008; Robert et al., 2013). In  
404 *35S:BBM-GR* explants, *DR5* and *YUC3* are initially expressed throughout the cotyledon and *YUC8* in the  
405 cotyledon vasculature. Later, *DR5* and *YUC3/YUC8* expression is absent at the sites where *WOX2-YFP*  
406 expression is ectopically induced and where multicellular embryos emerge on the cotyledon tip and margin  
407 (Godel-Jedrychowska et al., 2020) (Figure 1, F-I, Figure 3, J and T, Figure 4, H-K). Reduced *DR5* and *YUC*  
408 expression might simply reflect a switch in development from single or few-celled embryogenic structures to  
409 larger embryogenic clusters, analogous to early pre-globular stage zygotic embryos, where neither *DR5* nor  
410 characterized *YUC* genes are expressed. Alternatively, we have shown previously that this decrease in *DR5*  
411 expression is accompanied by and requires increased callose production in plasmodesmata adjacent to sites of  
412 *WOX2-YFP* expression (Godel-Jedrychowska et al., 2020). Blocking callose production in DEX-treated *35S:BBM-*  
413 *GR* seedlings prevents the formation of an auxin response minimum and completely blocks somatic embryo  
414 development. We hypothesized that auxin accumulation must be reduced locally to allow organized embryo  
415 growth and that callose deposition in surrounding plasmodesmata prevents passive auxin re-entry into these  
416 cells. Thus, a combined action of reduced auxin accumulation, reduced local auxin biosynthesis and reduction  
417 of the size of molecules that can pass through plasmodesmata might create a low auxin field that promotes the  
418 growth of multicellular embryogenic growth protrusions. Auxin biosynthesis inhibitor experiments showed that  
419 auxin is required later for further growth of these embryogenic protrusions into differentiated embryos;  
420 blocking *YUC*-dependent auxin biosynthesis results in conversion of embryogenic cells to callus-like structures  
421 rather than somatic embryos. At this point, callose deposition and *WOX2-YFP* expression colocalize in the same  
422 cells, as embryogenic protrusions increase in size and differentiate into somatic embryos (Godel-Jedrychowska  
423 et al., 2020). Together these observations suggest a two-step dynamic and local regulation of auxin to allow 1)  
424 development of multicellular embryogenic cell clusters in a low auxin/auxin response area, followed by 2)  
425 development of these structures into histodifferentiated embryos with zygotic embryo-like auxin responses.

426

#### 427 **A positive transcriptional feedback loop for somatic embryogenesis**

428 Somatic embryo formation was completely abolished when DEX-treated *35S:BBM-GR* explants were treated  
429 continuously or before the sixth day of culture with YUC enzyme inhibitors, but the somatic embryogenesis rate  
430 in the *35S:BBM-GR yuc3<sup>CR</sup> yuc8<sup>CR</sup>* lines was only reduced to about half of the control *35S:BBM-GR* line (Figure  
431 5). This result suggests that YUC3 and YUC8 are not the only YUC enzymes required for BBM-induced somatic  
432 embryogenesis. Previously we found that BBM also binds the *LEAFY COTYLEDON1 (LEC1)*, *LEC2* and *FUS3*  
433 transcription factor genes (Horstman et al., 2017b). Ectopic *LEC1* expression was also induced in DEX-treated  
434 *35S:BBM-GR* seedlings during the first day of culture. *LEC1* and *LEC2* expression in seedlings induces  
435 respectively, *YUC8* and *YUC10* (Junker et al., 2012; Huang et al., 2015) and *YUC1*, *YUC4* and *YUC10* expression  
436 (Wójcikowska et al., 2013). *LEC2* and *FUS3* also cooperatively promote *YUC4* expression during lateral root  
437 formation (Wójcikowska et al., 2013; Tang et al., 2017). The LEC transcription factors might partly compensate  
438 for the reduced auxin biosynthesis in *yuc3<sup>CR</sup> yuc8<sup>CR</sup>* mutant lines by inducing expression of other *YUC* genes.  
439 The known positive transcriptional interactions between the BBM and LEC transcription factors and their  
440 respective target genes (Horstman et al., 2017a; Tian et al., 2020) suggest that a positive feedback loop is  
441 established that maintains both embryo identity and auxin biosynthesis during BBM-induced somatic  
442 embryogenesis.

443

#### 444 **Auxin requirement during embryogenesis**

445 In Arabidopsis, *YUC* gene expression is activated during 2,4-D-induced somatic embryogenesis in explants  
446 undergoing direct and indirect somatic embryogenesis (Bai et al., 2013; Wójcikowska et al., 2013). During 2,4-  
447 D-induced direct somatic embryogenesis from Arabidopsis immature zygotic embryo explants, overexpression  
448 of *LEC2* can compensate for treatment with a suboptimal 2,4-D concentration or for treatment with auxins that  
449 are poor inducers of somatic embryogenesis, like indole-3-acetic acid (IAA) or 1-naphthaleneacetic acid (NAA)  
450 (Wójcikowska et al., 2013). The *lec1* and *lec2* loss-of-function mutants show a severe reduction of the number  
451 of embryogenic explants in the presence of 2,4-D, as well as a shift from direct to indirect (callus-derived)  
452 somatic embryogenesis (Gaj et al., 2005). Conversely, ectopic expression of *LEC2* in the presence of an optimal  
453 concentration of 2,4-D negatively affects somatic embryo formation, as it delays and reduces embryo induction  
454 and induces callus and shoot-like structures instead of somatic embryos (Ledwoń and Gaj, 2009). Although IAA  
455 levels were not measured directly in these studies, these results suggest that tight regulation of auxin levels is  
456 required to promote somatic embryogenesis: both too little and too much endogenous or exogenous auxin can  
457 inhibit somatic embryo formation, absolutely and/or in favor of shoot or callus production (Ledwoń and Gaj,  
458 2009).

459 The above studies on 2,4-D-induced somatic embryogenesis in WT and different LEC backgrounds  
460 demonstrate a role for YUC-dependent auxin biosynthesis in promoting efficient somatic embryogenesis.  
461 However, these studies did not determine when and for which aspect of somatic embryogenesis YUC-  
462 dependent IAA biosynthesis was required. Our analyses indicated that both *YUC* expression and IAA levels  
463 increase as early as three days after BBM activation (Figure 3; Figure 4L). These changes also correspond with

464 onset of embryo marker gene expression, including *WOX2-YFP* (Figure 1). However, our pharmacological  
465 experiments using YUC enzyme inhibitors showed that YUC-TAA1/TAR-dependent IAA biosynthesis is not  
466 required at this time point for the re-initiation of totipotent growth (Figure 6). YUC-dependent IAA biosynthesis  
467 is required later, between day 4 and day 6 of culture, for the maintenance of embryo identity and for embryo  
468 growth and histodifferentiation. In explants treated continuously or up until the sixth day of culture with YUC  
469 enzyme inhibitors, cytoplasm-rich embryogenic protrusions do not progress to patterned embryos, but rather  
470 form callus-like structures (Figure 7). How does BBM-induced auxin biosynthesis maintain embryo growth and  
471 development? Recently, Karami *et al* showed that induction of cell totipotency during 2,4-D and 35S:*AHL15*-  
472 induced somatic embryogenesis does not require the auxin efflux and influx machinery (Karami et al., 2021a).  
473 Rather, auxin transport is required later, for the proper transition of embryogenic cells to multicellular embryos  
474 and for correct embryo differentiation. Similarly, it is likely that endogenous auxin supplied by BBM signaling is  
475 also required to establish the auxin gradients needed for embryo outgrowth and patterning.

476 During zygotic embryogenesis, YUC and TAA1 genes are expressed relatively late, during the transition from  
477 the globular/heart stage to the torpedo stage, where they are required for correct embryo patterning  
478 (Stepanova et al., 2008; Robert et al., 2013). TAA1/TAR and YUC genes are expressed earlier in the surrounding  
479 maternal ovule and seed coat, but maternally-supplied auxin only appears to be required for proper embryo  
480 patterning (Robert et al., 2018). Although a complete description of all *YUC* genes and other TRP-independent  
481 IAA synthesis genes during zygotic embryogenesis is currently not available, this data, together with our  
482 observations on BBM-induced totipotency suggest that YUC-dependent auxin biosynthesis is not required for  
483 the initiation of embryo identity *per se*. By contrast, TRP-independent IAA biosynthesis has been shown to be  
484 essential for early zygotic embryo viability and patterning (Wang et al., 2015). TRP-independent auxin  
485 biosynthesis genes have not been identified as direct BBM targets, but might act downstream of other BBM  
486 target genes. Recently, Li et al., (2021) described a developmental pathway in which MATERNAL EFFECT  
487 EMBRYO ARREST45 (MEE45) induces the *AIL* gene *AINTEGUMENTA*, which in turn regulates *YUC* expression in  
488 the ovule integument to control embryo size. These results are in line with our observations on the role of YUC-  
489 dependent auxin biosynthesis in maintaining embryogenic cell divisions *in vitro* and suggest that similar seed  
490 functions might be co-opted by embryo identity transcription factors like BBM in embryogenic explants.

491

## 492 **Conclusion**

493 The importance of auxin for *in vitro* somatic embryogenesis is apparent in its widespread use as an  
494 exogenous inducer and in the requirement for endogenous auxin for efficient somatic embryo production.  
495 ‘Totipotency’ transcription factors are rapidly induced in response to 2,4-D, but also induce somatic  
496 embryogenesis in the absence of exogenous auxin (Ledwoń and Gaj, 2009; Ledwoń and Gaj, 2011; Horstman et  
497 al., 2017a; Tian et al., 2020). These transcription factors also bind to and/or transcriptionally regulate auxin  
498 biosynthesis genes, making them good candidates for direct regulators of auxin biosynthesis in different  
499 somatic embryogenesis systems. We show that YUC-dependent auxin biosynthesis is required to maintain  
500 somatic embryo identity and promote growth, but not for the cell fate transition to embryogenesis. *De novo*

501 induction of both embryo identity transcription factors and auxin biosynthesis therefore ensures that  
502 embryogenic cells proliferate and develop into somatic embryos.

503

## 504 **Materials and methods**

505

### 506 **Plant material and growth conditions**

507 The *35S:BBM-GR*, *WOX8gΔ:NLS-venusYFP3* (referred to here as *WOX2:NLS-3xYFP*), *YUC8:GUS*, *YUC3:GUS*,  
508 *YUC3:erGFP*, *DR5v2:ntdTomato*, *DR5:GUS* and *DR5:GFP* lines were described previously (Benková et al., 2003;  
509 Růžička et al., 2007; Breuninger et al., 2008; Passarinho et al., 2008; Chen et al., 2014; Liao et al., 2015; Santuari  
510 et al., 2016). Due to *BBM* silencing upon outcrossing (Horstman et al., 2017b), the majority of *35S:BBM-GR* lines  
511 containing reporter constructs were made by either transforming the *35S:BBM-GR* vector to the reporter line  
512 (*YUC8:GUS* and *WOX2:NLS-3xYFP*) and then selecting highly embryogenic lines, or transforming the reporter  
513 vectors (*DR5v2*, *YUC3:erGFP*) to an existing embryogenic *35S:BBM-GR* line. In the latter case, the transgenic  
514 lines were selected based on reporter expression. For the *DR5:GUS* and *DR5:GFP* reporter lines, crosses were  
515 made with a homozygous *35S:BBM-GR* line and the progeny selected over four generations until non-silenced  
516 homozygous lines with at least 90% penetrance of embryogenic explants and 100% reporter gene expression  
517 were recovered.

518 Seeds were sterilized with liquid bleach as described previously (Horstman *et al.*, 2017). For liquid cultures,  
519 sterilized seeds were dispensed in 190 ml containers (Greiner) with 30 ml liquid ½MS-10 medium (half-strength  
520 Murashige and Skoog salts (Murashige and Skoog, 1962) with 1x MS vitamins, pH 5.8, and 1% sucrose (w/v)).  
521 The liquid cultures were stratified at 4 °C in the dark for up to 48 h before transfer to a rotary shaker (60 rpm)  
522 at 25 °C (16 h light/8 h dark cycle) for the indicated time. For solid medium cultures, sterilized seeds were  
523 cultured at 21 °C (16 h light/8 h dark cycle) on ½MS-10 medium with 0.8% (w/v) agar.

### 524 **Chemical treatments**

525 Dexamethasone (DEX) (Sigma) was dissolved in 70% ethanol and used at a final concentration of 10 µM in  
526 all experiments. Yucasin (Nishimura et al., 2014) (Sigma), yucasin difluorinated analog (YDF) (Tsugafune et al.,  
527 2017) (provided by Hayashi lab) and kynurenine (Sigma) were all dissolved in DMSO and were added to the  
528 solid and liquid culture medium as described in the text. Mock-treated samples contained the same volume of  
529 ethanol or DMSO. The liquid medium and chemicals were refreshed every six to seven days. Analysis of somatic  
530 embryogenesis phenotypes was performed with more than three replicates with more than 100 explants per  
531 treatment. The phenotypes shown were observed in 100% of the explants.

### 532 **CRISPR-Cas9 mutagenesis**

533 To avoid *BBM* silencing upon outcrossing (Horstman et al., 2017b), *yuc3 yuc8* double mutants were  
534 generated by CRISPR-Cas9 mutagenesis directly in the *35S:BBM-GR* background rather than by crossing with T-  
535 DNA mutants. CRISPR-Cas9 mutagenesis of *YUC3* and *YUC8* was performed using the *U6-26* promoter for the  
536 single guide RNAs (sgRNAs), an *RPS5A* promoter-driven Arabidopsis codon-optimized *Cas9* gene (Fauser et al.,  
537 2014), and FAST-Red selection (Castel et al., 2019), all in vector *pICSL4723* (Weber et al., 2011; Wang et al.,  
538 2019). Two sgRNAs targeting *YUC3* and two sgRNAs targeting *YUC8* were assembled into one vector to obtain



539 *yuc3<sup>CR</sup> yuc8<sup>CR</sup>* double mutant lines. The sgRNAs and mutant genotyping primers are listed in Supplemental  
540 Table S2. The CRISPR-Cas9 vectors were transformed to a highly embryogenic *35S:BBM-GR* line. Two double  
541 *yuc3 yuc8* mutant lines, each with the same *yuc3* mutation and a different *yuc8* mutation were obtained  
542 (Supplemental Figure S3). Homozygous T4 CAS9-free *yuc3* and *yuc8* mutants were used for the analysis.  
543 Analysis of somatic embryogenesis efficiency was performed with at least two technical replicates with more  
544 than 99 explants per mutant line.

#### 545 **Transformation**

546 All constructs were transformed using the floral dip method (Clough and Bent, 1998). Transgenic T1 seeds  
547 from CRISPR transformants were selected based on FAST-Red expression (Castel et al., 2019). Transgenic T1  
548 seedlings with reporter lines were selected as described above. Homozygous mutant lines were used in all  
549 analyses.

#### 550 **Quantitative real-time RT- PCR**

551 RNA was isolated using the InviTrap Spin plant RNA mini kit (Invitex Molecular, # 1064100300) with the  
552 addition of 25  $\mu$ l Plant RNA isolation Aid (Ambion), followed by a DNase treatment (TURBO DNA-free kit,  
553 Invitrogen). cDNA was synthesized using the iScript cDNA synthesis kit (Bio-Rad) following the manufacturer's  
554 instructions. Quantitative real-time RT-PCR (RT-qPCR) was performed using a BioRad MyiQ PCR machine with  
555 the SYBR green mix as described in Horstman *et al.* (2015). Relative gene expression was calculated with the  
556  $2^{-\Delta\Delta CT}$  method (Livak and Schmittgen, 2001) using the non-DEX treated (mock) samples as calibrators and the  
557 *SAND* gene (Czechowski et al., 2005) as the reference. Three biological replicates comprising germinating  
558 seeds/seedlings were used for each treatment. Statistically significant changes in gene expression levels were  
559 determined using Student's t-test  $p < 0.05$ . The qPCR DNA primers are shown in Supplemental Table S3.

#### 560 **Histology**

561 Fresh material for sectioning was fixed overnight at 4°C in 3:1 absolute ethanol:glacial acetic acid and then  
562 dehydrated stepwise from 70 to 100% ethanol. The fixed material was infiltrated in Steedman's wax and then  
563 sectioned and stained with 0.05% Toluidine Blue (w/v) as previously described (Wrobel et al., 2011). Images  
564 were taken with a Nikon Eclipse Ni microscope with a Nikon DS-Fi1 camera and NIS Elements L software  
565 (Nikon). Nine to 12 explants per treatment were observed.

#### 566 **Microscopy**

567 Confocal laser scanning microscopy was performed as previously described (Soriano et al., 2014; Horstman  
568 et al., 2017b). Samples were fixed with 4% (w/v) para-formaldehyde, counterstained with 0.1% (v/v) SCRI  
569 Renaissance 2200 (SR2200; Musielak *et al.*, 2016) and then stored at 4 °C for up to two weeks before imaging.  
570 Fluorescence was observed using a Leica SPE DM5500 confocal microscope using the LAS AF software. SR2200  
571 was excited with the 405-nm laser line and fluorescence emission detected between 415 and 476 nm. GFP was  
572 excited with the 488-nm laser line and light emission detected between 505 and 540 nm. YFP was excited with  
573 the 488-nm laser line and detected between 517 and 597 nm. tdTomato was excited with the 561-nm laser line  
574 and light emission detected between 571 and 630 nm. Brightness/contrast adjustment was done in the LAS AF  
575 software and image cropping was done in ImageJ. Nine to 20 explants were analyzed for each treatment. The  
576 images represent the majority of the examined explants or as noted in Supplemental Table S1.

577  $\beta$ -glucuronidase (GUS) assays were performed for up to 22 hours at 37 °C, as previously described (Sieburth  
578 and Meyerowitz, 1997) using 2.5 mM potassium ferri- and ferrocyanide. GUS-stained tissues were cleared in  
579 HCG (water:chloral hydrate:glycerol, 25:55.7:8.3; w/w) and then observed using a Nikon Optiphot microscope  
580 with differential interference contrast optics. Images were recorded with a Nikon DS-Fi1 camera and processed  
581 using NIS-Elements D 3.2 software and ImageJ. Light microscopy was performed using a ZEISS Stemi SV 11  
582 microscope. The GUS assay was repeated two times with at least 40 explants examined for each timepoint. The  
583 images represent the majority of the examined explants.

#### 584 **IAA measurements**

585 Seeds from WT Col-0 and two independent *35S:BBM-GR* lines (two replicates per line) were grown for 24  
586 hours in liquid  $\frac{1}{2}$ MS-10 medium and then grown for an additional three days in the presence or absence of 10  
587  $\mu$ M DEX. IAA extraction and measurements were performed as in Ruyter-Spira *et al.* (2011) using ca. 100-250  
588 mg fresh weight per sample.

#### 589 **Accession numbers**

590 The previously published ChIP-seq data and data analysis (Horstman *et al.*, 2015) can be downloaded from  
591 the Gene Expression Omnibus (GSE52400).

592

#### 593 **Supplemental Data**

594 **Supplemental Figure S1.** Confocal images of control *35S:BBM-GR WOX2:YFP* explants.

595 **Supplemental Figure S2.** The BABY BOOM (BBM) DNA binding motif in the *YUC3* promoter is required for  
596 *YUC3* expression in root meristems and BBM-induced *YUC3* ectopic expression.

597 **Supplemental Figure S3.** CRISPR-Cas9-induced *yuc3* and *yuc8* alleles.

598 **Supplemental Figure S4.** Magnified images of 14 day-old DEX and DEX+YUC inhibitor treated *35S:BBM-GR*  
599 explants.

600 **Supplemental Figure S5.** Auxin biosynthesis inhibitors block somatic embryo formation and auxin response.

601 **Supplemental Figure S6.** TAA1/TAR auxin biosynthesis is required for BABY BOOM (BBM)-mediated somatic  
602 embryogenesis.

603 **Supplemental Table S1.** Percentage of *35S:BBM-GR WOX2:NLS-3xYFP* seedlings with YFP signal in the cotyle-  
604 don tip or growth protrusion.

605 **Supplemental Table S2.** Single-guide RNAs used for CRISPR-Cas9 mutagenesis and primers used for genotyping  
606 CRISPR mutants.

607 **Supplemental Table S3.** DNA primers used for RT-qPCR..

608 **Supplemental Dataset S1.** BABY BOOM (BBM) direct target genes as determine by chromatin immunoprecipi-  
609 tation sequencing (ChIP-seq).

610

611

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621

622 **Figures**

623

624 **Figure 1.** Overview of BABY BOOM (BBM)-induced somatic embryogenesis. A-E, Light micrographs of  
625 representative dexamethasone (DEX)-treated *35S:BBM-GR* explants. F-J, Confocal laser scanning micrographs  
626 of WOX2:YFP expression at the cotyledon tip of DEX-treated *35S:BBM-GR* explants. The day of culture is  
627 indicated above the images. Arrowheads, WOX2-YFP expression. Arrows, growth protrusions. Asterisks,  
628 autofluorescence. ad, adaxial side. ab, abaxial side. SE, somatic embryo. Scale bars: A-E, 1 mm; F-J, 100  $\mu$ m.

629

630 **Figure 2.** BABY BOOM (BBM) binds and regulates the expression of auxin biosynthesis genes. A-C, Chromatin  
631 Immunoprecipitation Sequencing (ChIP-seq) BBM binding profiles for auxin biosynthesis genes in somatic  
632 embryo tissue. The binding profiles for *35S::BBM-GFP* (upper profile) and *BBM::BBM-YFP* (lower profile) are  
633 shown. The x-axis shows the nucleotide position of DNA binding in the selected genes (TAIR 10 annotation), the  
634 y-axis shows the ChIP-seq score, and the arrowheads indicate the direction of gene transcription. Peaks with  
635 scores above 1.76 for *35S::BBM-GFP* and 3.96 for *pBBM::BBM-YFP* were considered statistically significant (\*,  
636 false discovery rate (FDR)<0.05). The ChIP-seq data was generated in Horstman *et al.*, (2015). The ChIP-seq data  
637 and data analysis can be downloaded from GEO (Gene expression Omnibus; GSE52400). The plots were  
638 generated using Integrated Genome Browser. D, The relative expression of auxin biosynthesis genes during  
639 seed germination was determined by qPCR for dexamethasone (DEX)-treated *35S::BBM-GR* seedlings using  
640 mock-treated Col-0 seeds as the calibrator and the *SAND* gene (Czechowski *et al.*, 2005) as the reference. Error  
641 bars indicate standard errors of the three biological replicates in the same genetic background. Asterisk,  
642 statistically significant change in gene expression levels, determined using Student's t-test ( $p$ <0.05).

643

644 **Figure 3.** BABY BOOM (BBM) overexpression induces ectopic expression of *YUCCA3* (*YUC3*) and *YUC8*. Images of  
645 roots, hypocotyls and cotyledons from *YUC* reporter lines in a *35S:BBM-GR* background with (solid grey line) or  
646 without (dashed grey line) dexamethasone (DEX) treatment. The day of culture is shown above the images. A-J,  
647 Confocal light scanning micrographs of *YUC3:erGFP* expression. K-T, Light micrographs of *YUC8:GUS* expression.  
648 Scale bars, 100  $\mu$ m.

649

650 **Figure 4.** BABY BOOM (BBM) expression enhances *DR5* auxin response and IAA biosynthesis. Confocal laser  
651 scanning micrographs of cotyledons or roots from *35S:BBM-GR DR5* seedlings grown without (A-C) and  
652 with (D-J) dexamethasone (DEX). D-F, H and I are images of *DR5v2:ntdTomato* cotyledons or roots. G and J  
653 are images of *DR5:GFP* cotyledons. The images in G and J are counterstained with FM4-64. K, Light image of  
654 *DR5:GUS* expression in the cotyledon of a DEX-treated *35S:BBM-GR* seedling. Samples were counter stained  
655 with SR2200 (grey, A-F, H and I) or outlined using red autofluorescence (G and J). The dashed ellipses in H, I and  
656 K indicate the *DR5* minimum. Small embryogenic protrusions are indicated with arrowheads in I and J. ab,  
657 abaxial; ad, adaxial; va, vascular tissue; asterisks autofluorescence. Scale bars, 200  $\mu$ m. L, IAA(indole acetic acid)  
658 and oxIAA (oxindole-3-acetic acid) concentrations in seedlings of wild-type (WT) Col-0 and two *35S:BBM-GR*  
659 lines grown in the absence or presence of DEX (three technical replicates, each 200mg). \*, samples that showed

660 statistically significant differences in IAA or oxIAA concentrations compared to the non-DEX treated *35S:BBM-*  
661 *GR* control (Student's t-test,  $p < 0.05$ ). Error bars represent the standard deviation of the replicates.

662

663 **Figure 5.** YUCCA (YUC)-dependent auxin biosynthesis is required for efficient BABY BOOM (BBM)-induced  
664 somatic embryogenesis. Regeneration phenotypes of 14-day-old explants from the indicated lines. The explants  
665 were categorized in three groups: explants with somatic embryos, explants with ectopic shoots and explants  
666 without any ectopic structures. Representative images are shown on the right. All seedlings were treated  
667 continuously with 10  $\mu\text{M}$  dexamethasone (DEX). Statistically significant differences in each category between  
668 the mutant lines and the *35S:BBM-GR* control line were determined using Student's t-test ( $p < 0.05$ ) and  
669 indicated with asterisks. Error bars represent standard deviation of at least two biological replicates ( $n > 227$ ).

670

671 **Figure 6.** Auxin biosynthesis is required for BABY BOOM (BBM)-mediated somatic embryogenesis. *35S:BBM-GR*  
672 seeds were grown for 14 days in the presence of dexamethasone (DEX) and imaged at the indicated time  
673 points. The YUCCA enzyme inhibitor yucasin (100  $\mu\text{M}$ ) was added or removed during the culture period as  
674 indicated (day +y or day -y). A-E, DEX-treated samples to which YUC inhibitor was added on day 0, 2, 4, 6, 8 or  
675 10. F, DEX treated control sample. G-J. DEX-treated samples in which YUC inhibitor was added on day 0 and  
676 then removed on day 4, 6, 8 or 10. Scale bars, 1 mm.

677

678 **Figure 7.** YUCCA (YUC)-dependent auxin biosynthesis is required for the formation of histodifferentiated  
679 somatic embryos. Light micrographs of thin cross sections of the cotyledons of dexamethasone (DEX) and YUC  
680 inhibitor (yucasin)-treated *35S:BBM-GR* explants fixed on the days indicated above the images. The day of  
681 culture and the yucasin treatment (100  $\mu\text{M}$ ) is shown above and in the image panels, respectively. A and B,  
682 Explants from control samples treated with DEX from day 0 until the end of the culture on day 14. Panel B is a  
683 composite of different images from the same section. C-F, Explants from samples treated with DEX from day 0  
684 to day 14, to which YUC enzyme inhibitor was added on day 0 (D, E), day 4 (C), or day 7 (F). black arrowhead,  
685 growth protrusions (A, C, D and E) and somatic embryos (B and F); ad, adaxial side; ab, abaxial side; cot,  
686 cotyledon; cotse, cotyledons of somatic embryos; v, vascular (A and D); pv, provascular tissue (B and F); dotted  
687 line, proliferating cotyledon tip. Scale bars, 200  $\mu\text{m}$ .

688

689 **Figure 8.** Auxin biosynthesis is required to maintain BABY BOOM (BBM)-induced totipotency. Confocal laser  
690 scanning micrographs of cotyledon/cotyledon tips of *35S:BBM-GR* explants grown with dexamethasone (DEX),  
691 with or without the YUCCA enzyme inhibitor YDF (yucasin difluorinated analog ~~DF~~; 100  $\mu\text{M}$ ). A, Control DEX-  
692 treated explants. B, C and D, Explants treated with DEX and YDF, which was added or removed on the days  
693 indicated by grey blocks in each row. Samples were counter stained with SR2200 (magenta). The day of culture  
694 is indicated in the panels. Yellow arrowheads, *WOX2* expression (yellow signal). Asterisks, autofluorescence. ab,  
695 abaxial side; ad, adaxial side. Scale bars, 100  $\mu\text{m}$ .

696

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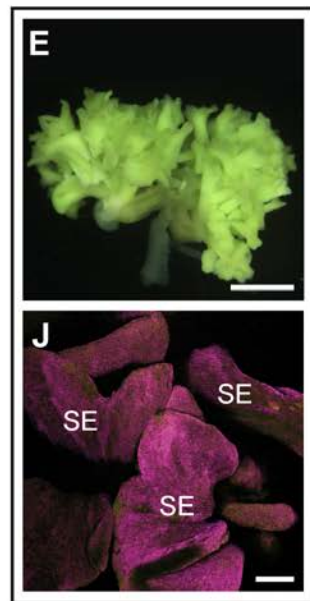
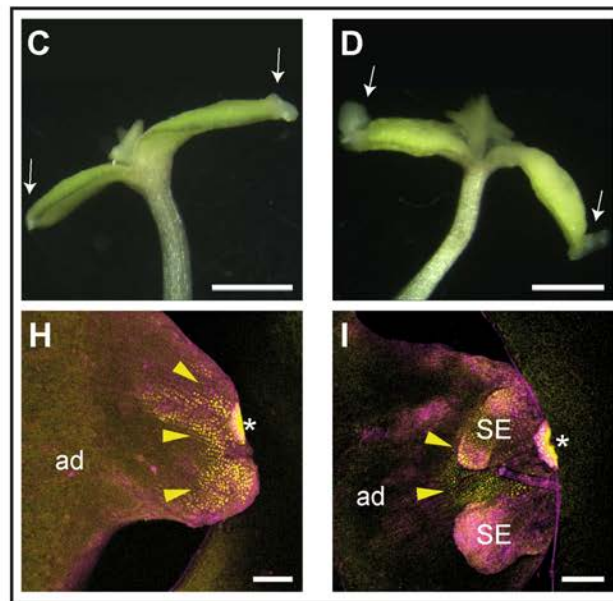
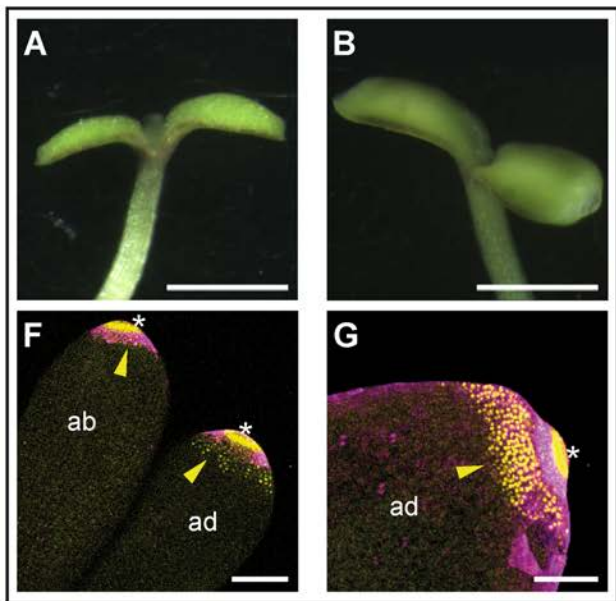
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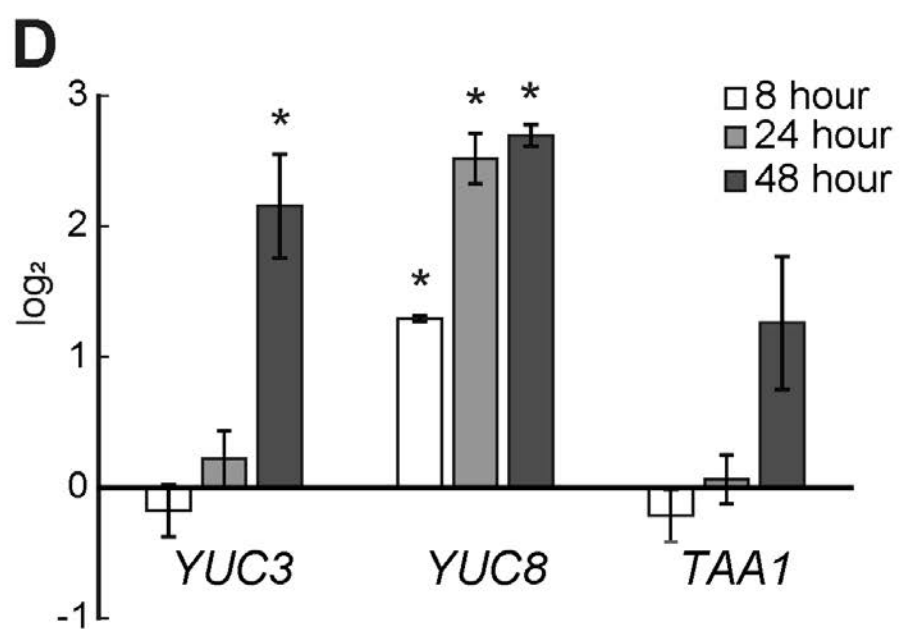
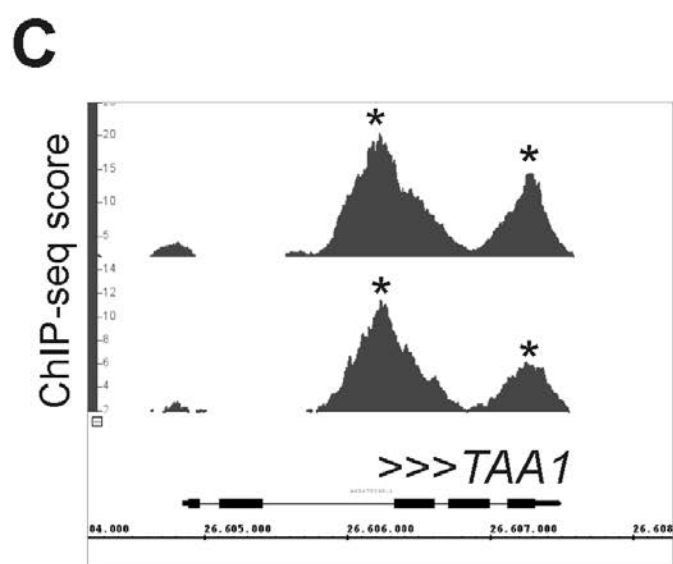
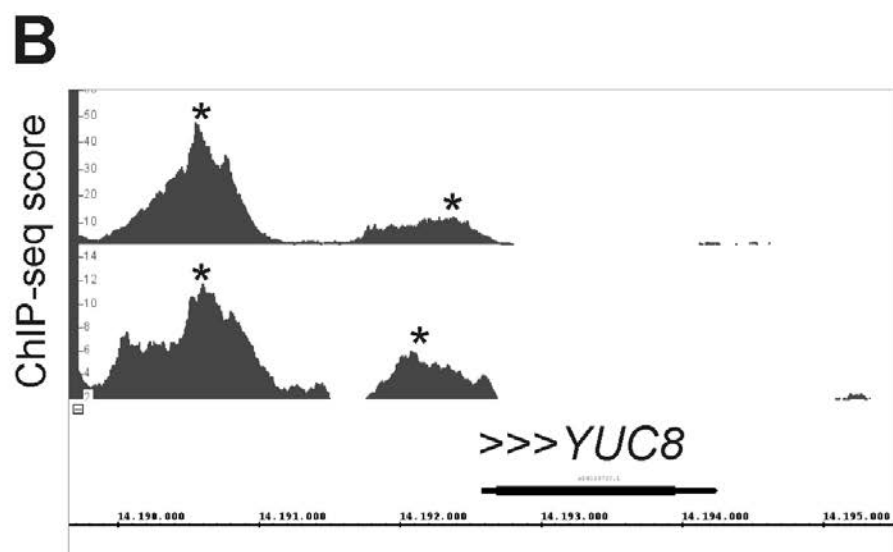
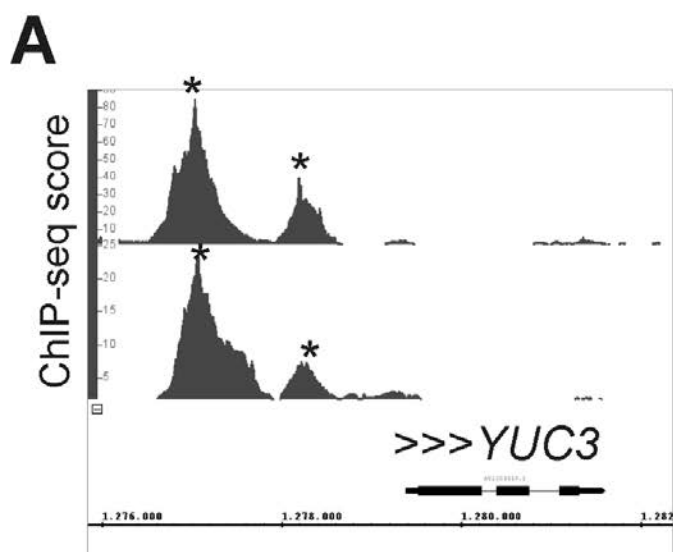
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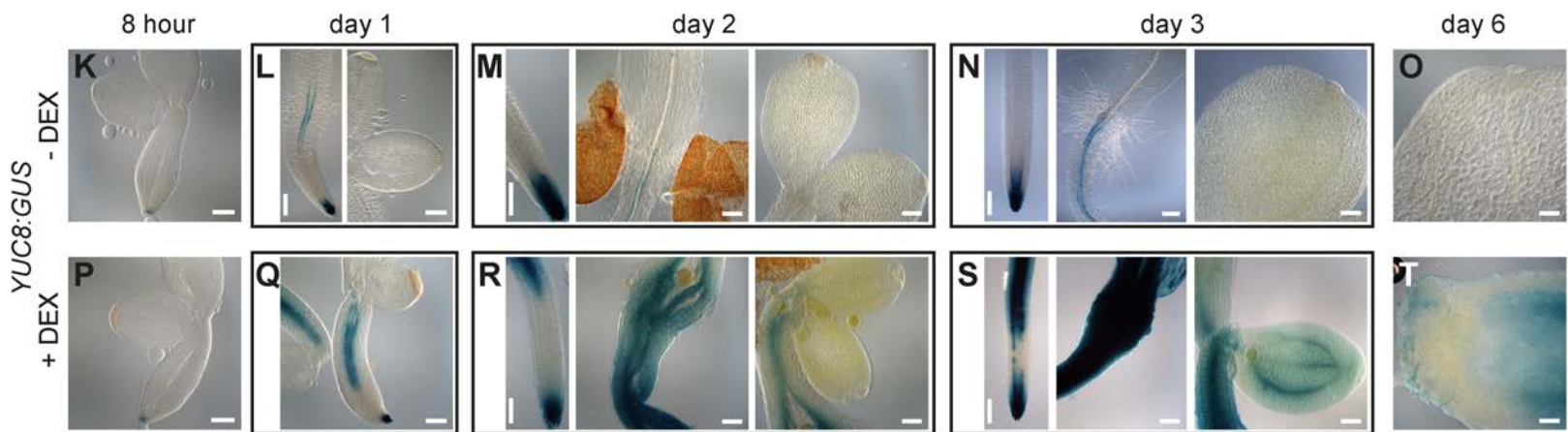
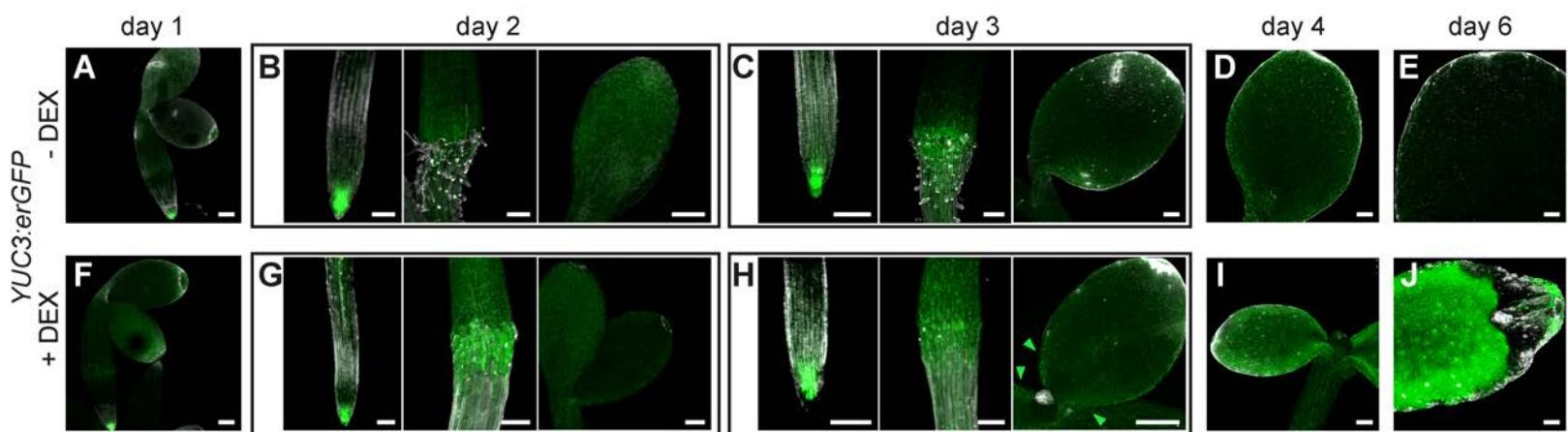
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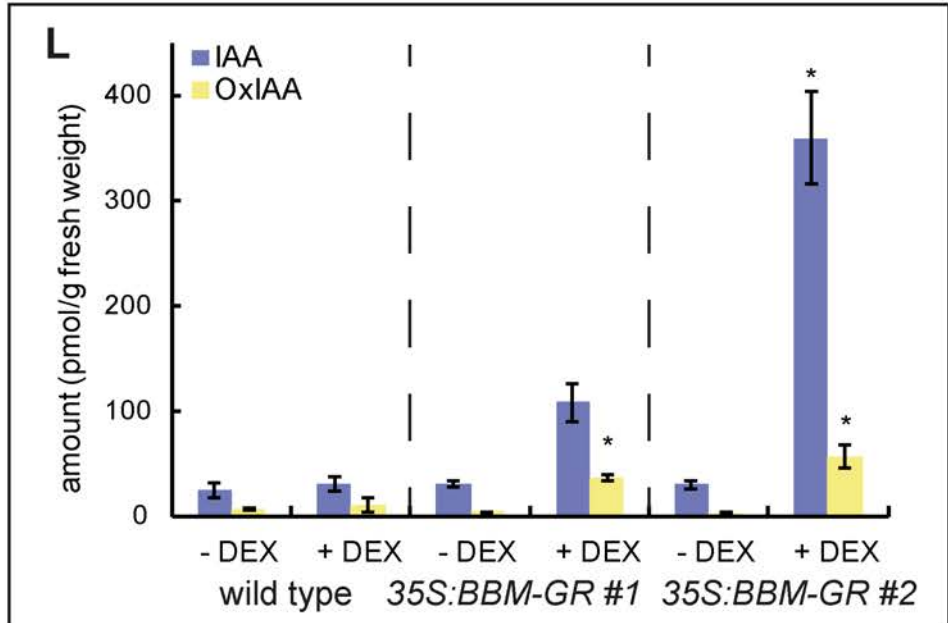
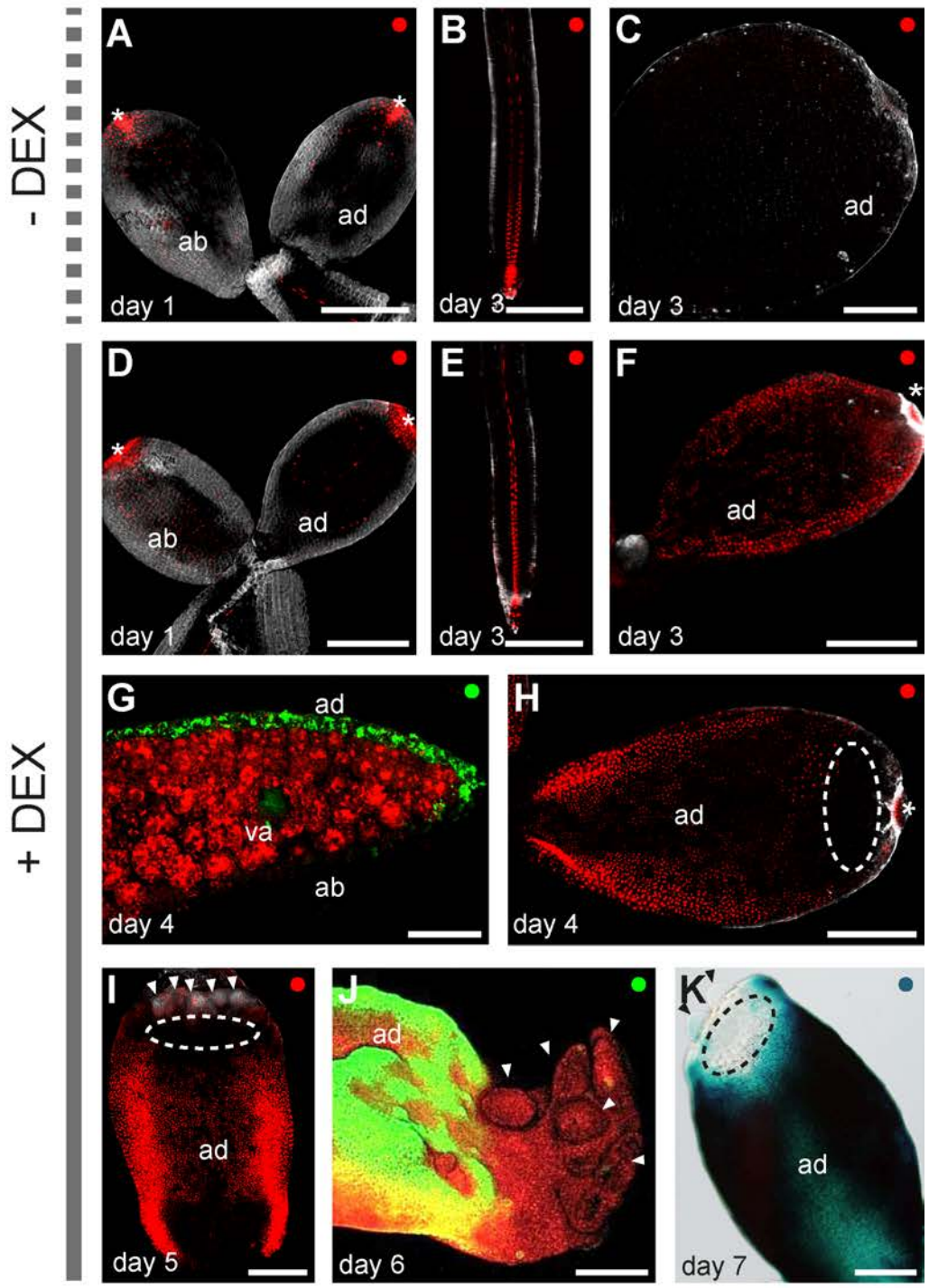
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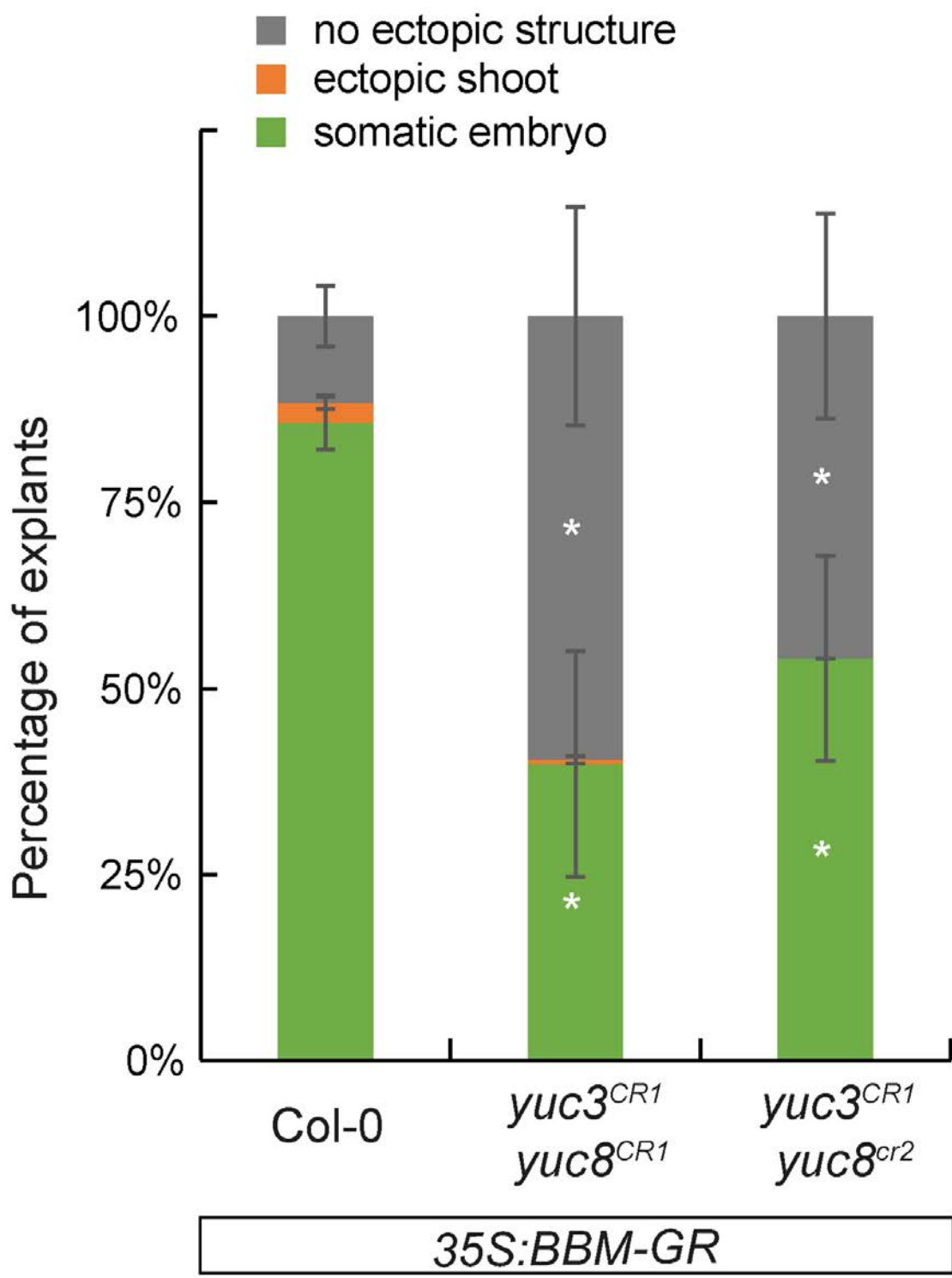




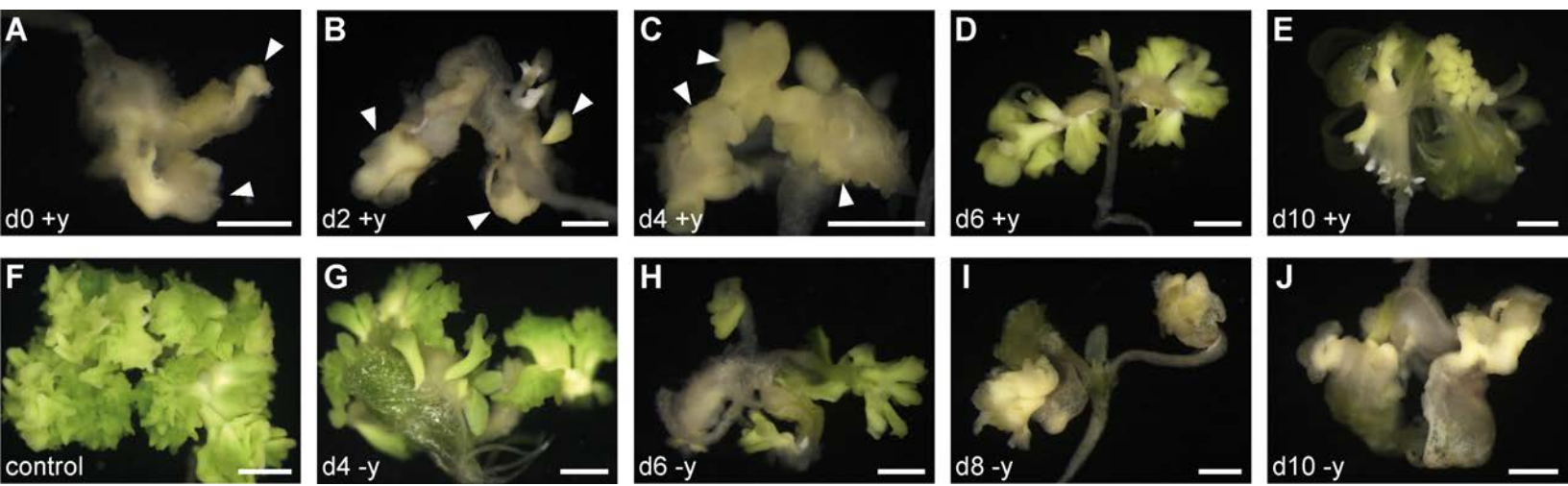


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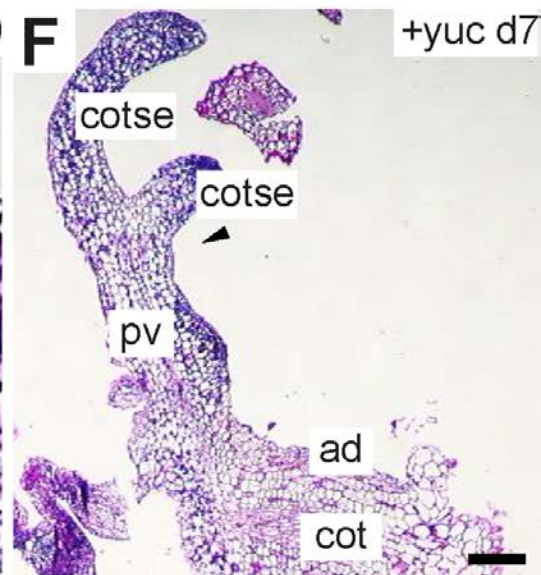
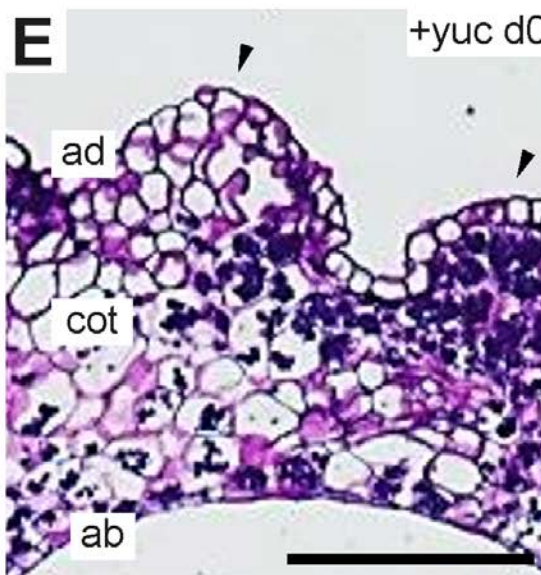
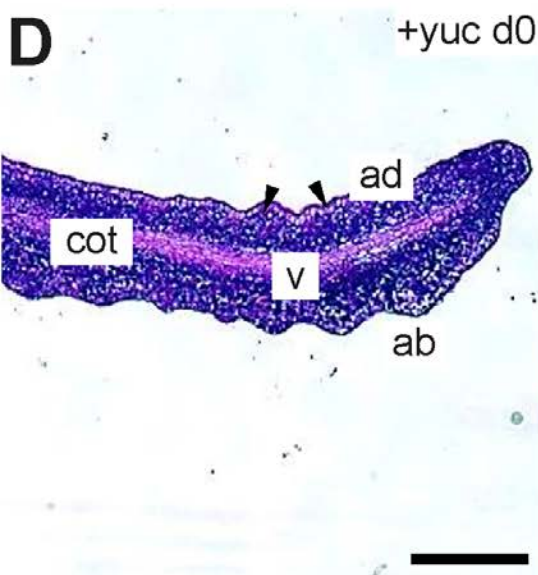
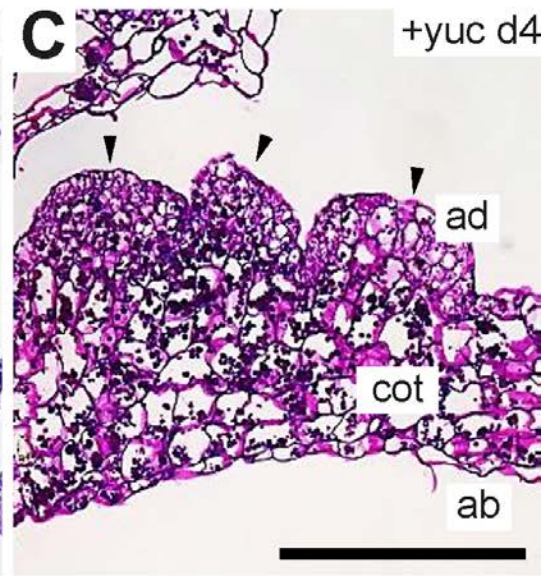
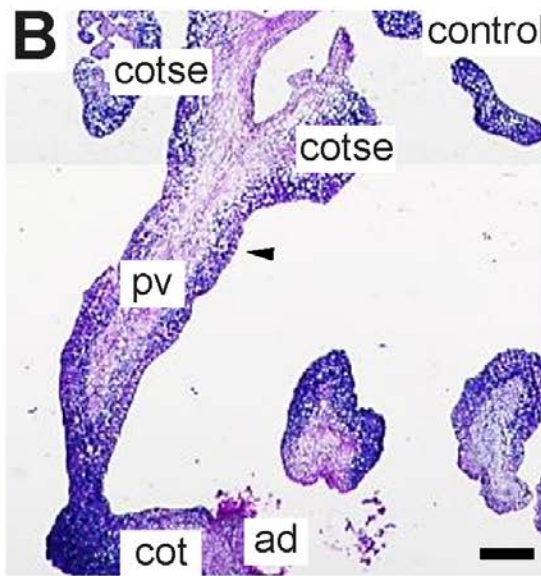
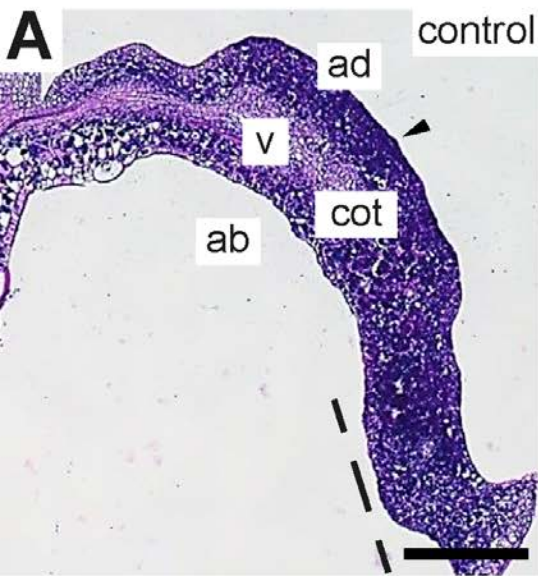


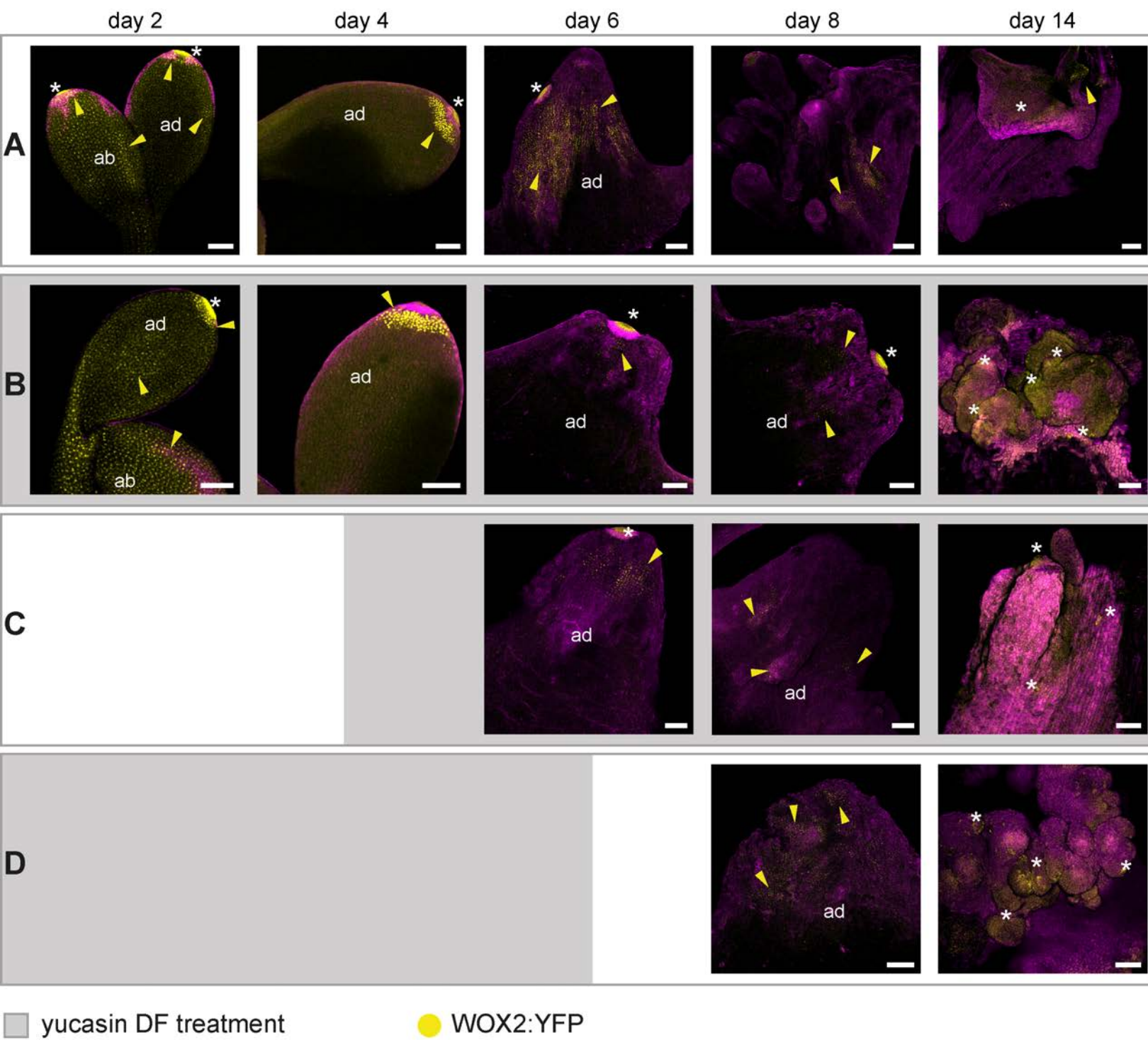




day 6

day 12





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