

Inferring the Characteristics of Ancient
Populations using Bioinformatic Analysis of
Genome-wide DNA Sequencing Data

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Abstract

In this thesis, I apply, evaluate, and develop methods for learning about past populations from genome-wide sequencing data. Specifically, I:

- apply methods based on random genetic drift between populations, to determine that pre-Holocene gene flow occurred between the ancestors of domestic cattle (*Bos primigenius*) and European bison (*Bison priscus*), and that the contribution of *Bos* genealogy to the bison lineage was less than 10%;
- use simulations to assess the impact of short genomic scaffolds when inferring past populations sizes with the pairwise sequentially Markov coalescent, and show that population size inferences can be robust for scaffold lengths as short as 100 kb;
- perform genetic sex determination of ancient DNA specimens to show that bison (*Bison spp.*) and brown bear (*Ursus arctos*) specimens are approximately 75% male, and that male-biased observations likely stem from the ecological and social structures of the populations;
- and develop a suite of software tools for processing hairpin bisulfite sequencing data, which can be used to investigate genome-wide DNA methylation levels in ancient DNA.

Declaration

I certify that this work contains no material which has been accepted for the award of any other degree or diploma in my name in any university or other tertiary institution and, to the best of my knowledge and belief, contains no material previously published or written by another person, except where due reference has been made in the text. In addition, I certify that no part of this work will, in the future, be used in a submission in my name for any other degree or diploma in any university or other tertiary institution without the prior approval of the University of Adelaide and where applicable, any partner institution responsible for the joint award of this degree. The author acknowledges that copyright of published works contained within this thesis resides with the copyright holder(s) of those works. I give permission for the digital version of my thesis to be made available on the web, via the University's digital research repository, the Library Search and also through web search engines, unless permission has been granted by the University to restrict access for a period of time. I acknowledge the support I have received for my research through the provision of an Australian Government Research Training Program Scholarship.

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Date

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Chapter 1

Introduction

1.1 Evolution and population dynamics

Evolutionary biologists wish to learn about organisms living now, and those that lived in the past. Motivations are diverse and include understanding evolutionary processes, conservation of species, or characterising the etiology of diseases. How are living things related to each other? How did their form and function come to be? What causes them to go extinct? What processes that are occurring now, have also occurred in the past? Can the past and the present tell us about the future? Such ideas have a long history in biology, starting with Darwin, who was immensely influenced by the uniformitarianism of Lyell (1835). By comparing living species with those that are extinct, we can derive hypotheses about the circumstances for extinction, and why similar species did not suffer the same fate. Using what we learn of the past we can try to predict, and hopefully pacify, current and future extinction risk.

Population parameters such as spatial distribution, age-specific mortality, sex ratios, migration rates between populations, and population size can all be informative regarding behavioural and ecological characteristics. Changes to these population parameters over time can further pinpoint responses to the environment, with regard to large-scale disruptions from geological and climatic events. Demographic parameters of past populations have often been discussed by comparing the morphology of extant species, in the context of morphological assessments of fossils (Robson & Wood, 2008). This is made possible by a wealth of reference material for hominid remains, for example, to morphologically determine a specimen's sex (Frayer & Wolpoff, 1985; Rehg & Leigh, 1999), and age at death (Dean & Liversidge, 2015; Dean, 2016).

Increasingly, genetic data are being used in addition to, or in place of, morphological data. This is particularly important where remains are fragmentary or rare, such as for Denisovans, a hominin group originally described from a finger bone and a tooth (Reich *et al.*, 2010). But genetics also offers to answer questions that were previously difficult or impossible to answer, such as determining average generation times (Moorjani *et al.*, 2016), inferring ancestral population sizes (Li & Durbin, 2011), quantifying past gene flow (Patterson *et al.*, 2012), or clarifying that distinct morphological forms are different sexes of a single species (Bunce *et al.*, 2003; Huynen *et al.*, 2003; Bover *et al.*, 2018). Like for morphological data, two complementary approaches exist for investigating past populations with genetic data: use the data from modern individuals to infer things about their ancestors; or observe the populations directly from the DNA of subfossil remains.

1.2 Inference based on modern data

1.2.1 Detecting gene flow

The ancestors of modern populations leave extensive signatures in the genomes of their descendants. Phylogenetic relationships can be used to infer population split times (Bouckaert *et al.*, 2014; Molak *et al.*, 2013) and evolutionary rates of change (Bouckaert *et al.*, 2014; Rabosky, 2014). But relationships between individuals within a population are rarely tree-like, and similarly, speciation may not be characterised by clean separation into reciprocally monophyletic groups (Mallet, 2005). To detect gene flow in past populations, a four-population test statistic (F_4) has been developed (Reich *et al.*, 2009; Patterson *et al.*, 2012), which is sensitive to even small quantities of gene flow. The principal idea of the test is that in a phylogeny, the components of random genetic drift along two distinct branches are uncorrelated, whereas if gene flow existed between the two branches then genetic drift will be correlated.

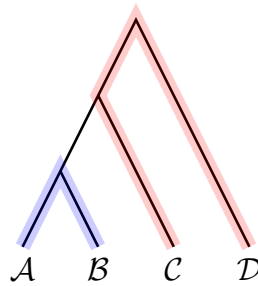


Figure 1.1: A four taxon phylogenetic tree with branches representing random genetic drift. The expected difference in allele frequencies between \mathcal{A} and \mathcal{B} (\mathcal{C} and \mathcal{D}) is due to drift occurring along the path shown in blue (red).

Consider the four populations $\mathcal{A}, \mathcal{B}, \mathcal{C}$ and \mathcal{D} in Figure 1.1, and suppose we wish to test for gene flow between \mathcal{A} and \mathcal{C} (or \mathcal{B} and \mathcal{C}) that occurred after \mathcal{A} and \mathcal{B} split. If $a, b, c,$ and d are the respective allele frequencies in populations $\mathcal{A}, \mathcal{B}, \mathcal{C},$ and \mathcal{D} , then Patterson's $F_4 = \text{cov}(a - b, c - d)$. When the four populations have a strictly tree-like relationship, then the $\mathcal{A} \text{---} \mathcal{B}$ drift is uncorrelated with the $\mathcal{C} \text{---} \mathcal{D}$ drift, so $F_4 = 0$. In contrast, if gene flow has occurred between the ancestors of \mathcal{A} and \mathcal{C} (or the ancestors of \mathcal{B} and \mathcal{C}), the excess in allele sharing between \mathcal{A} and \mathcal{C} (or \mathcal{B} and \mathcal{C}) produces a correlation between the $\mathcal{A} \text{---} \mathcal{B}$ drift and the $\mathcal{C} \text{---} \mathcal{D}$ drift, so $F_4 \neq 0$. In the latter case, non-intersecting drift paths as shown in Figure 1.1 are not a complete representation of the relationships. The sign of the test statistic

indicates whether gene flow occurred between \mathcal{A} and \mathcal{C} ($F_4 > 0$), or between \mathcal{B} and \mathcal{C} ($F_4 < 0$),

A related statistic, Patterson’s D , can be used and interpreted in the same way as the F_4 , but is normalised to have a scale between -1 and 1 (D is a correlation, while F_4 is a covariance). The D statistic is sometimes referred to as the “ABBA-BABA” test when calculated from single individuals in each of the four populations (Green *et al.*, 2010). This family of drift-based statistics are extensible, and have explicit ties to well established notions of allele frequency variation such as Wright’s inbreeding coefficient (Bhatia *et al.*, 2013; Peter, 2016).

1.2.2 Coalescent theory and population size inference

The coalescent describes convergence of lineages backwards-in-time for a sample of homologous genes. For a population with fixed size N , the neutral Wright-Fisher model has N haploid individuals in the current generation choose their parents uniformly at random from the N individuals in the previous generation (Hein *et al.*, 2005, p. 13). Hence two individuals in the current generation have the same parent, *i.e.* they *coalesce* in the previous generation, with probability $1/N$. While this is a discrete-time process (distinct non-overlapping generations), Kingman (1982b) observed that it can be approximated by a continuous-time process with time scaled to have units of N generations. This continuous time approximation simplified proofs of many theoretical results, and was shown to be robust to departures from the neutral Wright-Fisher model, such as the Moran model for diploid organisms with overlapping generations (Kingman, 1982a,b). One immediate consequence of the coalescent is that the expected time to most recent common ancestor (TMRCA) is directly proportional to N (Tavaré, 1984), so small populations have a relatively recent TMRCA compared with that of large populations (see Figure 1.2). Changes in population size through time can be accounted for (Griffiths & Tavaré, 1994; Donnelly & Tavaré, 1995), and coalescent-based inference frameworks exist to estimate past population sizes from a collection of homologous non-recombining DNA sequences (Pybus *et al.*, 2000; Drummond *et al.*, 2005; Minin *et al.*, 2008).

Recombination produces distinct gene trees (marginal genealogies) at either sides of a recombination breakpoint (Hein *et al.*, 2005, pp. 138), and the *coalescent with recombination* was introduced to describe this gene-tree-generating process in a coalescent framework (Hudson, 1983, 1990). The algorithm is relatively straightforward, and is particularly useful for simulating recombining haplotypes (Hudson, 1990, 2002). However, the relationships between the marginal genealogies can be very complicated due to their spatial interdependence

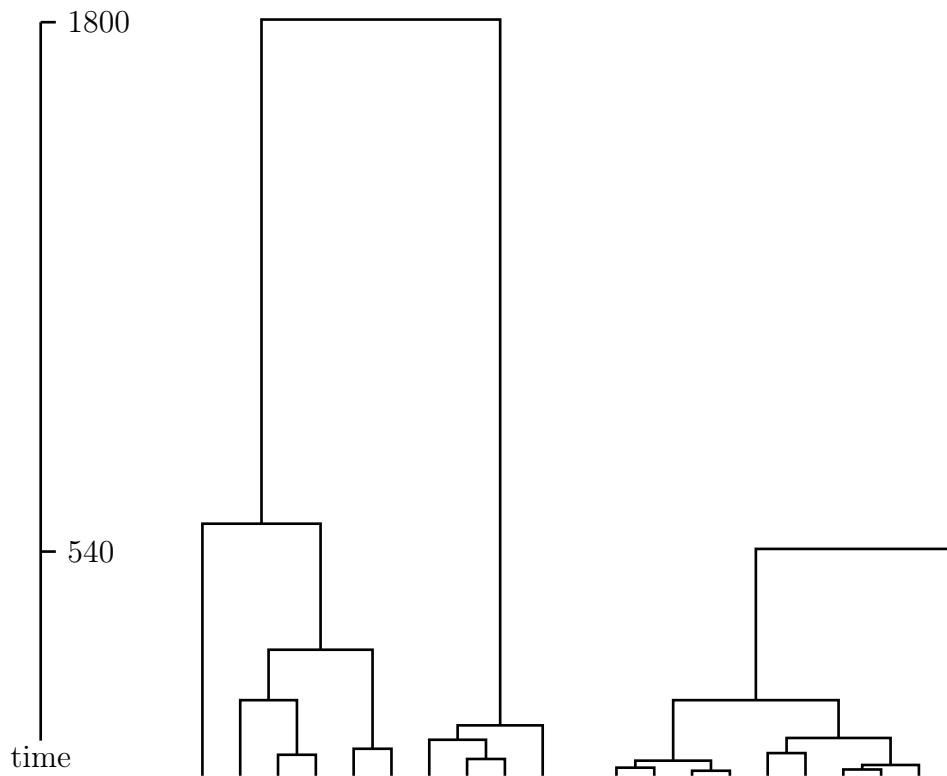


Figure 1.2: Expected coalescent times (in generations) for 10 samples from each of two Wright-Fisher populations. Left: $N = 1000$. Right: $N = 300$.

along chromosomes, termed an *ancestral recombination graph* (ARG) (Griffiths & Marjoram, 1997). Until recently, generating long sequences of genotypes using this exact process was computationally impractical, as the entire ARG must be simulated before mutations are placed onto the graph (for a memory efficient solution see Kelleher *et al.*, 2016). One workaround is the *sequentially Markov coalescent* (SMC), which generates marginal genealogies sequentially along a chromosome (Wiuf & Hein, 1999), and avoids the full ARG-generating process by making each marginal genealogy dependent only upon the last one generated (the Markov property) (McVean & Cardin, 2005; Marjoram & Wall, 2006).

While this approximation has been useful for rapidly simulating long haplotypes (Chen *et al.*, 2009; Staab *et al.*, 2015), an arguably more important application is in the generating process of a hidden Markov model (HMM). A HMM is a parameter inference framework that requires a Markovian model to describe how the data is generated (Rabiner, 1989; Durbin *et al.*, 1998), which

can be used with the SMC to estimate population parameters from whole genome sequences (Dutheil *et al.*, 2009). The SMC/HMM approach was popularised by the pairwise SMC (PSMC) program, (Li & Durbin, 2011) which infers past population sizes from a single diploid genome. Population size inference has since been extended to simultaneously use up to 12 genomes with the multiple SMC (MSMC) program (Schiffels & Durbin, 2014) and hundreds of genomes in the SMC++ program (Terhorst *et al.*, 2017).

A population bottleneck in the recent past implies that almost all gene trees for modern haplotypes will coalesce at, or more recently than, the time of the bottleneck (Hein *et al.*, 2005, pp. 104-106). So while a post-bottleneck individual's genome is the direct result of ancestral processes, the signatures of coalescent and recombination events prior to the bottleneck have been largely erased. For example, comparative analyses between modern representatives of a domestic species, and a related wild species, can reveal changes that occurred after their split and prior to domestication, but it may not be possible to accurately determine the timing of such changes.

1.3 Direct observation using ancient DNA

1.3.1 What is ancient DNA?

Ancient DNA (aDNA) refers to the DNA of a dead organism, where the DNA has not been deliberately preserved, and may thus be partially degraded. DNA can remain preserved in and on bones, teeth, coprolites, hair, soft tissue, soil, ice, or elsewhere, long after the death of the organism from which it originated. Cold and dry conditions are the best for preserving DNA (Hofreiter *et al.*, 2015; Kistler *et al.*, 2017), and permafrost holds the record for the oldest sample from which a genome has been successfully obtained, a 700 thousand-year-old horse (Orlando *et al.*, 2013). While obtaining DNA from a specimen this old is atypical, successful DNA extraction is regularly reported from samples up to and beyond the 50 thousand-year limit of radiocarbon dating (*e.g.* see sample ages in Shapiro *et al.*, 2004). This time span encompasses a number of climatic fluctuations and megafaunal extinctions (Cooper *et al.*, 2015), making aDNA well suited to studying extinctions and responses to climate change. Methods for analysing modern data can generally be applied to aDNA datasets, and datasets compiled from both modern and ancient sources. But aDNA provides the ability to directly observe past populations, in a way that is not possible with sequencing data from modern individuals alone.

1.3.2 Challenges for ancient DNA studies

When compared to DNA extracted from a living organism, aDNA is considerably more fragmented, contains single nucleotide miscoding lesions, and is usually highly contaminated with non-target DNA (Pääbo *et al.*, 1989). Fragmentation of DNA following the death of an organism may be caused by enzymatic activity, or hydrolysis of phosphodiester bonds in the DNA backbone (Pääbo *et al.*, 2004; Briggs *et al.*, 2007; Overballe-Petersen *et al.*, 2012; Dabney *et al.*, 2013). Very few long fragments remain in aDNA, as the proportion of recoverable molecules decreases exponentially with molecule length (Glocke & Meyer, 2017). Almost all fragmentation occurs soon after the death of the organism, and loss of DNA over time is most likely due to bulk diffusion out of the tissue (e.g. porous bone) (Pääbo *et al.*, 1989; Kistler *et al.*, 2017). The fragmentation process leaves single-stranded DNA protruding from the ends of otherwise double-stranded DNA molecules. Within the single-stranded portion, cytosine residues may be converted into uracil (C→U) via spontaneous hydrolytic deamination (Hofreiter *et al.*, 2001; Brotherton *et al.*, 2007; Briggs *et al.*, 2007). While this process also operates within double-stranded DNA, it is far more frequent in single-stranded DNA (Frederico *et al.*, 1990; Lindahl, 1993). Cytosine deamination results in an excess of transition substitutions in sequencing data, which to a large extent can be mitigated by removing uracils with uracil-DNA-glycosylase (UDG) prior to library construction (Briggs *et al.*, 2010). However, not all deaminated cytosines are removed by this enzyme (see DNA methylation section below), so it is common to exclude transition substitutions from certain analyses, even for data from UDG-treated libraries.

Ancient remains contain DNA that derives from sources other than the target of interest (Pääbo *et al.*, 1989; Cooper & Poinar, 2000). The DNA recovered from a subfossil is regularly dominated by microbial and fungal contaminants that colonise the sample post mortem. Additional contamination may be introduced by handling the sample during collection, during subsequent laboratory procedures, or from the laboratory reagents themselves. Separating the target of interest from contaminating sources is thus essential, particularly in the extreme case that a contaminating sequence is closely related to the target organism (e.g. human contamination of a human sample). Because modern contaminants are far less likely to contain terminal C→U substitutions, it is common to use these as indicators of authentic aDNA molecules (Skoglund *et al.*, 2014; Meyer *et al.*, 2016).

The short and damaged DNA fragments obtained from degraded specimens are poorly suited to *de novo* assembly (Nagarajan & Pop, 2013; Ekblom & Wolf, 2014; Sohn & Nam, 2018). Repetitive parts of the genome longer than the length of a single fragment cannot be traversed, so complex mammalian

genomes will only be assembled into very short contigs, with limited ability to order and orient contigs into longer scaffolds (*e.g.* see Feigin *et al.*, 2018). Hence studies of nuclear ancient DNA almost exclusively rely upon mapping reads to a reference assembly. For ancient specimens corresponding to an extant lineage, there may exist a genome reference assembled using sequences derived from a modern individual, but extinct lineages must rely on genomic resources from a less related taxon. A choice may be required between mapping reads to a low-quality reference assembly of a closely related non-model organism, or mapping to the more complete reference assembly of a distantly related model organism. Genome references for non-model organisms are typically assembled from short-read sequencing data only, and such assemblies are notoriously characterised by misassemblies and low contiguity (Earl *et al.*, 2011; Zhang *et al.*, 2012; Bradnam *et al.*, 2013; Denton *et al.*, 2014; Briskine & Shimizu, 2017). On the other hand, reads mapped to a distantly related reference tend to map uniquely only in regions with relatively high sequence homology between the target organism and the reference, such as conserved regions of the genome (Prüfer *et al.*, 2010). This produces a reference-homology bias that is exacerbated for samples with fewer or shorter endogenous reads.

1.3.3 Ancient DNA analyses

Ancient DNA can be used to detect and date gene flow from an extinct population into an extant lineage (Green *et al.*, 2010; Sankararaman *et al.*, 2012), or investigate the kinds of genetic deterioration that occur immediately prior to an extinction (Palkopoulou *et al.*, 2015; Rogers & Slatkin, 2017). For ancient specimens with modern descendents, genetic comparisons permit an investigation of how populations have changed over time, such as the progressive dilution of genetic material from archaic introgression (Fu *et al.*, 2016). Pre-domestication specimens have been used on numerous occasions to determine the timing and location of domestication events (Skoglund *et al.*, 2015; Scheu *et al.*, 2015; Caliebe *et al.*, 2017; Ottoni *et al.*, 2017; Dymova *et al.*, 2017; Daly *et al.*, 2018), which are relatively cryptic to analysis from modern genetic data due to very severe domestication bottlenecks. Another promising avenue of research is the identification of DNA methylation patterns in ancient individuals.

DNA methylation is an epigenetic mechanism that facilitates gene regulation, and has been implicated as a major contributor for cell differentiation, X-inactivation, parent-of-origin imprinting, transposable element silencing, stress response, and various diseases (Stewart *et al.*, 2016; Edwards *et al.*, 2017; Barros-Silva *et al.*, 2018; Zhang *et al.*, 2018). The identification of differential methylation between species can therefore be an indicator of functional

differences (Hernando-Herraez *et al.*, 2015). The most common form of DNA methylation in mammals, 5-methylcytosine (5mC), was initially detected in aDNA by treating extracted DNA with UDG to remove uracil residues (Briggs *et al.*, 2010). The remaining miscoding lesions were almost entirely CpG→TpG substitutions in the nuclear genome. In mammals, 5mC occurs mostly in CpG contexts (Edwards *et al.*, 2017), and is not found in the mitochondria (Mechta *et al.*, 2017). Methylated cytosines deaminate directly to thymine (5mC→T), rather than to uracil (C→U, in unmethylated cytosines), and so are not removed from DNA by UDG (Lindahl, 1979). Although only deaminated 5mC sites could be inferred, such sites accumulate in a time-dependent manner (Ehrlich *et al.*, 1986; Shen *et al.*, 1994), which implies the long-term survival of 5mC after death. Using UDG-treated samples, it is possible to computationally assess regional methylation levels (Pedersen *et al.*, 2014; Gokhman *et al.*, 2014). But this approach requires deep sequencing of the aDNA sample, and is thus limited to exceptionally well preserved samples.

The first direct evidence of post-mortem 5mC preservation was obtained by applying bisulfite sequencing to aDNA (Llamas *et al.*, 2012). Bisulfite treatment converts unmethylated cytosines into uracils but leaves methylated cytosines intact (Frommer *et al.*, 1992; Clark *et al.*, 1994). The data are mapped to a reference using bisulfite-aware software, and the methylation status can be determined with base-level precision from positions where reads contain thymines but the reference has a cytosine (Krueger *et al.*, 2012). However, bisulfite treatment is also a harsh chemical agent—once double-stranded library molecules are denatured, strand breakages can occur (preferentially in longer molecules) which make DNA unamplifiable (Munson *et al.*, 2007). Thus bisulfite treatment applied to aDNA exacerbates the difficulty of uniquely mapping reads to a reference sequence, as reads have low complexity (comprised of mostly of A, G, and T bases), and have very short average length. Hence for the majority of aDNA specimens, new approaches will be required to profile DNA methylation.

1.4 Thesis overview

1.4.1 Motivation & Aims

Genome-wide DNA sequencing data from modern and ancient individuals both provide the opportunity to learn about populations that lived in the past. Thus, advances in how we obtain, process, and analyse these data are important for being able to answer detailed questions about a wide range of organisms, and evolutionary processes more generally. In this thesis, I aim

to broaden our understanding and our ability to characterise past mammal populations. I aim to do this by: applying and extending existing methods to new datasets; evaluating the limitations of existing tools; and developing new software.

1.4.2 Early cave art and ancient DNA record the origin of European bison

It is uncontroversial that European bison (*Bison bonasus*) and American bison (*Bison bison*) are more closely related than either is to other bovids, and that they form a sister group to yak (*Bos grunniens*) (Groves & Grubb, 2011; Hassanin *et al.*, 2013). Yet European bison have a mitochondrial lineage more closely related to domestic cattle (*Bos taurus*) than to American bison (Bibi, 2013). Further, both European and American bison have fertile female offspring when crossbred with other *Bos* species such as domestic cattle. This has led to suggestions that the ancestor of European bison received female-biased gene flow from aurochs (*Bos primigenius*, the ancestor of domestic cattle), facilitating the capture of a *Bos*-like mitochondrial lineage (Verkaar *et al.*, 2004). In chapter 2, I use genome-wide nuclear SNP data from ancient steppe bison (*Bison priscus*, the ancestor of European bison) to determine the extent of gene flow, if any, between pre-Holocene *Bison* and *Bos* lineages. The ratio of two F_4 statistics (Patterson *et al.*, 2012) is used to obtain an upper bound on the quantity of gene flow, and an exact estimate is derived by simulating data and using approximate Bayesian computation (Beaumont *et al.*, 2002) to obtain a parameter estimate. Chapter 2 also identifies an extinct *Bos*-like mitochondrial lineage related to European bison, which is likewise assessed for gene flow. A hypergeometric test is then used to determine if putative introgressed *Bos* SNPs are common between the extinct and extant mitochondrial lineages.

1.4.3 Population size history from short scaffolds: how short is too short?

Two ubiquitous programs for inferring past population size fluctuations, from a single diploid genome, are based upon the SMC (Li & Durbin, 2011; Schiffels & Durbin, 2014). These programs apply an HMM to contiguous stretches of genomic information to identify changes in the local density of heterozygous sites along the genome, which indicate ancestral recombination breakpoints. Each local recombination block has its own TMRCA, which are used to infer the distribution of TMRCA, and hence estimate past population sizes.

However, data for non-model organisms are often reliant upon mapping to a low-quality reference assembly, containing tens or hundreds of thousands of ultra-short contigs or scaffolds. In [chapter 3](#), I use simulations and empirical data to assess the robustness of SMC-based population size inferences for scaffold lengths as short as 10 kb.

1.4.4 Widespread male sex bias in mammal fossil and museum collections

Sex determination from shotgun sequencing data can be performed by calculating the ratio of reads mapping to the X chromosome versus the autosomes ([Skoglund *et al.*, 2013](#); [Mittnik *et al.*, 2016](#)). For males, this ratio is half that obtained for females, due to X chromosome copy number differences. Using this approach, a significant excess of males has recently been observed in mammoth fossil remains ([Pečnerová *et al.*, 2017](#)). The segregation of sexes in mammoth herds was proposed to explain this bias, as young adult males are largely solitary and thought to be more exposed to dying in taphonomically favourable locations. [Pečnerová *et al.* \(2017\)](#) further predicted that a male bias would be found for steppe bison remains, as bison have superficially similar sex-segregated herds for most of the year.

This prediction is tested in [chapter 4](#), by calculating the sex ratio in genetically sexed subfossil bison (*Bison spp.*) remains. The sex ratio is also calculated for brown bear (*Ursus arctos*) subfossils, as they have a very different life history strategy and social structure to that of mammoths and bison, and could thus be considered a negative control. Genetic sexing from shotgun sequencing data is performed using explicit male and female binomial models, and model selection with a likelihood ratio test, which differs from previous methods that used an arbitrary threshold value for separating males and females ([Skoglund *et al.*, 2013](#); [Mittnik *et al.*, 2016](#)). This approach is applied here to shotgun data aligned to a scaffold-level reference assembly for the first time. Alternative causes for male-biased observations are considered. Using logistic regression analysis, a variety of sample-associated metadata are tested to identify possible explanatory variables for the sex ratios. The lone male model implies that males and females die in different locations, so a multivariate two-sample kernel test is implemented to assess possible spatial differences between males and females. Finally, there is a possibility that a male bias could be introduced during sample collection or curation, and such a collection bias is investigated by compiling sex ratios from databases of four large mammal collections (which correspond to individuals that have been hunted or trapped in recent centuries).

1.4.5 PP5mC: preprocessing hairpin-ligated bisulfite-treated DNA sequences

Hairpin bisulfite sequencing (HBS-seq) (Laird *et al.*, 2004; Zhao *et al.*, 2014) has the potential to produce genome-wide DNA methylation data for a range of aDNA samples, as it overcomes the low mappability of short three-state DNA sequences from traditional bisulfite sequencing. For HBS-seq, double-stranded libraries are constructed by ligating a hairpin (stem-loop) structure to one end of the DNA molecule, and a forked Illumina TruSeq adapter to the other. When a library molecule is then denatured during bisulfite treatment, the top and bottom strands remain connected because of the hairpin, and paired-end sequencing yields data for both strands. The original four-state nucleotide sequences can thus be reconstructed from HBS-seq reads, then mapped with regular DNA-seq alignment software. Only one software package currently exists for this purpose (HBS-tools) (Sun *et al.*, 2015). HBS-tools has several deficiencies, such as: erroneously trimming adapter sequences from the start of reads; ignoring potentially valuable information at the ends of reads derived from short molecules; and apparently unnecessary steps are taken during sequence reconstruction, making the software needlessly slow. In [chapter 5](#), I present PP5mC, a new software pipeline for processing HBS-seq data. The pipeline reconstructs the maximum likelihood four-state nucleotide sequence from all available sequencing information. Using simulated data, PP5mC is compared against HBS-tools with respect to computational performance, the accuracy of reconstructed sequences, and the accuracy of inferred methylation levels. As a proof of concept for aDNA, PP5mC is used to process HBS-seq data from a 50 thousand-year-old bison skull.

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Chapter 2

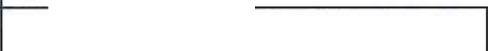
Early cave art and ancient DNA record the origin of European bison


2.1 Authorship statement

Statement of Authorship


Title of Paper	Early cave art and ancient DNA record the origin of European bison
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Principal Authors

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Contribution to the Paper	Designed experiments. Performed bioinformatics analyses: processed and analysed NGS data, phylogenetics. Analysed and interpreted results. Wrote the paper with help from all co-authors.
Overall percentage (%)	40
Signature	 Date 11.11.16

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Overall percentage (%)	40
Certification:	This paper reports on original research I conducted during the period of my Higher Degree by Research candidature and is not subject to any obligations or contractual agreements with a third party that would constrain its inclusion in this thesis. I am one of two primary authors of this paper.
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Certification:	This paper reports on original research I conducted during the period of my Higher Degree by Research candidature and is not subject to any obligations or contractual agreements with a third party that would constrain its inclusion in this thesis.
Signature	 Date 11.11.16

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By signing the Statement of Authorship, each author certifies that:

- i. the candidate's stated contribution to the publication is accurate (as detailed above);
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Signature		Date	14 November 2016

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2.2 Manuscript

ARTICLE

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Early cave art and ancient DNA record the origin of European bison

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The two living species of bison (European and American) are among the few terrestrial megafauna to have survived the late Pleistocene extinctions. Despite the extensive bovid fossil record in Eurasia, the evolutionary history of the European bison (or wisent, *Bison bonasus*) before the Holocene (<11.7 thousand years ago (kya)) remains a mystery. We use complete ancient mitochondrial genomes and genome-wide nuclear DNA surveys to reveal that the wisent is the product of hybridization between the extinct steppe bison (*Bison priscus*) and ancestors of modern cattle (aurochs, *Bos primigenius*) before 120 kya, and contains up to 10% aurochs genomic ancestry. Although undetected within the fossil record, ancestors of the wisent have alternated ecological dominance with steppe bison in association with major environmental shifts since at least 55 kya. Early cave artists recorded distinct morphological forms consistent with these replacement events, around the Last Glacial Maximum (LGM, ~21-18 kya).

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The extensive Late Pleistocene fossil record of bovids in Europe consists of two recognized forms: the aurochs (*Bos primigenius*), ancestor of modern cattle, and the mid/late Pleistocene ‘steppe bison’ (*Bison priscus*), which also ranged across Beringia as far as western Canada^{1,2}. The European bison, or wisent (*Bison bonasus*), has no recognized Pleistocene fossil record and seems to suddenly appear in the early Holocene (< 11.7 kya)^{3,4}, shortly after the disappearance of the steppe bison during the megafaunal extinctions of the Late Pleistocene^{5–7}. The Holocene range of wisent included all lowlands of Europe, and several highland areas of eastern Europe (where it was termed the Caucasian form *B. bonasus caucasicus*) but range reduction and hunting by humans brought the species close to extinction, with modern populations descending from just 12 mostly Polish individuals that lived in the 1920s (refs 8,9). Nuclear DNA sequences and the morphology of the wisent show close similarities to American bison (*B. bison*), but wisent mitochondrial DNA (mtDNA) indicates a closer relationship with cattle. This suggests some form of introgression from cattle or a related *Bos* species^{10–12}, potentially associated with the recent extreme bottleneck event.

Both aurochs and bison feature heavily in Palaeolithic cave art, with 820 depictions displaying bison individuals (~21% of known cave ornamentation¹³). The diversity of bison representations has been explained as putative cultural and individual variations of style through time, since the steppe bison was assumed to be the only bison present in Late Paleolithic Europe^{14–16}. However, two distinct morphological forms of bison (Fig. 1, Supplementary Information section) are clearly apparent in cave art: a long-horned form similar to modern American bison (which are thought to be descended from steppe bison), with very robust forequarters and oblique dorsal line, and a second form with thinner double-curved horns, smaller hump and more balanced body proportions, similar to wisent. The former is abundant in art older than the Last Glacial Maximum (LGM, ~22–18 kya), while the latter dominates Magdalenian art (~17–12 kya, see Supplementary Information section). Similarly, two distinct morphological forms of Late Pleistocene bison have been reported from North Sea sediments¹⁷.

To further examine the potential existence of a previously unrecognized fossil bison species within Europe, we sequenced ancient mtDNA and nuclear DNA from bones and teeth of 64 Late Pleistocene/Holocene bison specimens.

We reveal that the wisent lineage originated from hybridization between the aurochs and steppe bison, and this new form alternated ecologically with steppe bison throughout the Late Pleistocene and appears to have been recorded by early cave artists.

Results

New group of ancient European bison. The mtDNA sequences of 38 specimens, dated from > 50 to 14 kya and ranging from the Caucasus, Urals, North Sea, France and Italy, formed a previously unrecognized genetic clade, hereafter referred to as CladeX, related to modern and historical wisent (including the Caucasian form; Fig. 2a,b). By using the radiocarbon-dated specimens to calibrate our phylogenetic estimate of the timescale, we inferred that the divergence between CladeX and modern wisent lineages occurred ~120 (92–152) kya, likely during the last (Eemian) interglacial. Both these mitochondrial clades are more closely related to cattle than to bison, suggesting that they are descended from an ancient hybridization event that took place >120 kya (presumably between steppe bison and an ancestral form of aurochs, from which the mitochondrial lineage was acquired).

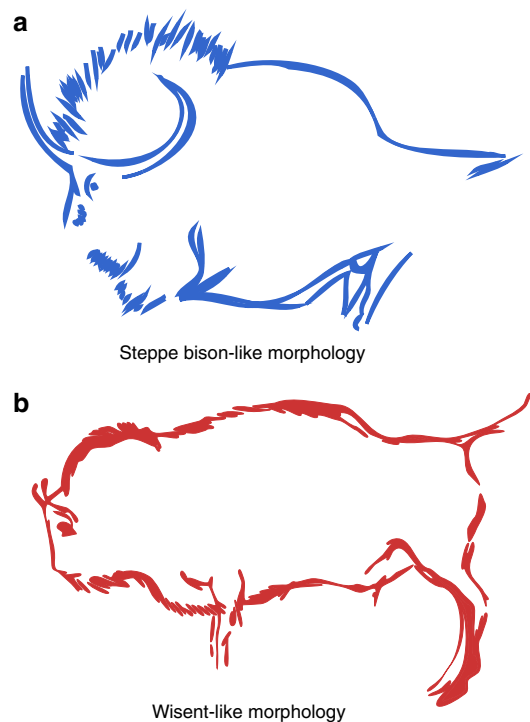


Figure 1 | Cave painting example of steppe bison-like and wisent-like morphs. (a) Reproduction from Lascaux cave (France), from the Solutrean or early Magdalenian period (~20,000 kya—picture adapted from ref. 53). (b) Reproduction from the Pergouset cave (France), from the Magdalenian period (<17,000 kya—picture adapted from ref. 54).

Hybrid origin of wisent and ancient European bison. To investigate the potential hybrid origins of wisent and CladeX, we used target enrichment and high-throughput methods to sequence ~10,000 genome-wide bovine single-nucleotide polymorphisms (SNPs) from nine members of CladeX, an ancient (> 55 kyr) and a historical (1911 AD) wisent specimen and two steppe bison (30 and >50 kyr). Principal Component Analysis (PCA) and phylogenetic analysis (Fig. 3 and Supplementary Fig. 10) of the nuclear data demonstrate that members of CladeX are closely related to the steppe bison. D-statistic¹⁸ analyses confirm a closer affinity of both CladeX and the ancient wisent to steppe bison than to modern wisent (Fig. 3b), which is explicable because of rapid genetic drift during the severe bottleneck leading to modern wisent. Concordantly, our historical wisent sample (Caucasian, from 1911) displays a signal intermediate between modern wisent and both CladeX and steppe bison (Fig. 3b(3–5),c).

The nuclear and mitochondrial analyses together suggest that the common ancestor of the wisent and CladeX mitochondrial lineages originated from asymmetrical hybridization (or sustained introgression) between male steppe bison and female aurochs (see Supplementary Fig. 20). This scenario is consistent with the heavily polygynous mating system of most large bovids¹⁹, and the observation that hybridization between either extant bison species and cattle usually results in F1 male infertility, consistent with Haldane’s Rule of heterogametic crosses^{20–22}. However, it is unclear whether hybridization took place only once or multiple times, and how and at what point after the initial hybridization event(s) the wisent–CladeX forms became distinct from the steppe bison.

To examine the extent of genetic isolation maintained through time by the hybrid forms (wisent and CladeX) from steppe bison, we characterized the genomic signals originating from either steppe bison or aurochs in the wisent and CladeX lineages.

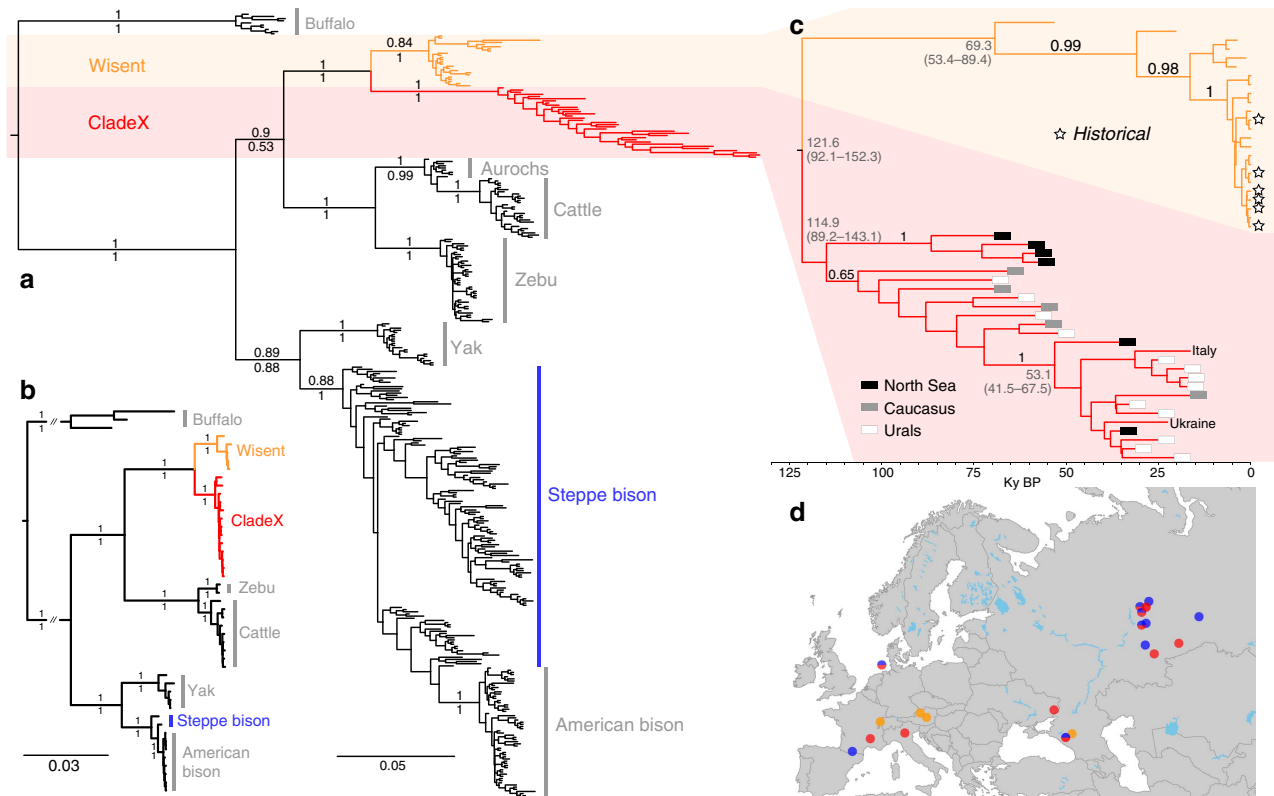


Figure 2 | Identification of CladeX. (a) Phylogenetic tree inferred from bovine mitochondrial control region sequences, showing the new clade of bison individuals. The positions of the newly sequenced individuals are marked in red for CladeX. (b) Bovine phylogeny estimated from whole-mitochondrial genome sequences, showing strong support for the grouping of wisent and CladeX with cattle (cow) and zebu. For both trees (a,b) numbers above branches represent the posterior probabilities from Bayesian inference, numbers below branches represent approximate likelihood ratio test support values from maximum-likelihood analysis and scale bars represent nucleotide substitutions per site from the Bayesian analysis. (c) Maximum-clade-credibility tree of CladeX and wisent estimated using Bayesian analysis and calibrated with radiocarbon dates associated with the sequenced bones. Dates of samples older than 50 kyr were estimated in the phylogenetic reconstruction. (d) Map showing all sampling locations, using the same colour code (red for CladeX, orange for wisent and blue for steppe bison).

Calculations of f_4 ratios²³ show the same high proportion of nuclear signal from steppe bison ($\geq 89.1\%$) and low proportion from aurochs ($\leq 10.9\%$) in both wisent and CladeX (Fig. 3d and Supplementary Table 6). Independent calculation of hybridization levels from ABC comparisons with simulated data also shows clear evidence of hybridization, with similar proportions of nuclear signal (97.2% probability that there is at least 1% aurochs ancestry and a 87.6% probability that there is at least 5% aurochs ancestry; see Supplementary Note 2 and Supplementary Tables 10 and 11). The agreement between these two methods is compelling evidence of hybridization. In addition, a greater number of derived alleles are common to both wisent and CladeX lineages (either from the imprint of steppe bison ancestry, aurochs ancestry, or from post-hybridization drift) than expected from multiple hybridization events (see Supplementary Note 2 and Supplementary Tables 8 and 9), implying that CladeX represents part of the Late Pleistocene wisent diversity. The age of the oldest genotyped specimens of CladeX (23 kyr) and wisent (> 55 kyr) confirm that the initial hybridization event (or ultimate significant introgression of steppe bison) occurred before 55 kya. Together, the long-term stability of the nuclear and mitochondrial signal in wisent and CladeX indicates that the hybrid bison lineage maintained a marked degree of genetic isolation throughout the Late Pleistocene, consistent with the different morphologies observed in the North Sea specimens¹⁷.

Hybrid and steppe Bison represent different ecological forms.

The temporal distribution of genotyped individuals reveals that wisent mitochondrial lineages (including CladeX) are only observed before 50 kya and after 34 kya, when steppe bison appears to be largely absent from the European landscape (Fig. 4). The detailed records of the southern Ural sites allow the timing of the population replacements between steppe bison and wisent to be correlated with major palaeoenvironmental shifts, revealing that the wisent was associated with colder, more tundra-like landscapes and absence of a warm summer (Supplementary Fig. 22). Stable isotope data ($\delta^{13}C/\delta^{15}N$; Supplementary Fig. 23) and environment reconstructions show that wisent were present in a more diverse environment than steppe bison, with a more variable diet, suggesting that these two taxa occupied separate ecological niches.

Discussions

Contrary to previous palaeontological interpretations, the ancestors of modern wisent were present in Europe throughout the Late Pleistocene, and the two different bison morphs depicted in Paleolithic art suggest that early artists recorded the replacement of the steppe bison by the hybrid form (including CladeX) in Western Europe around the LGM. Two bison individuals have been genotyped from European caves during this period: a 19-kyr-old steppe bison from Southern France²⁴ and a

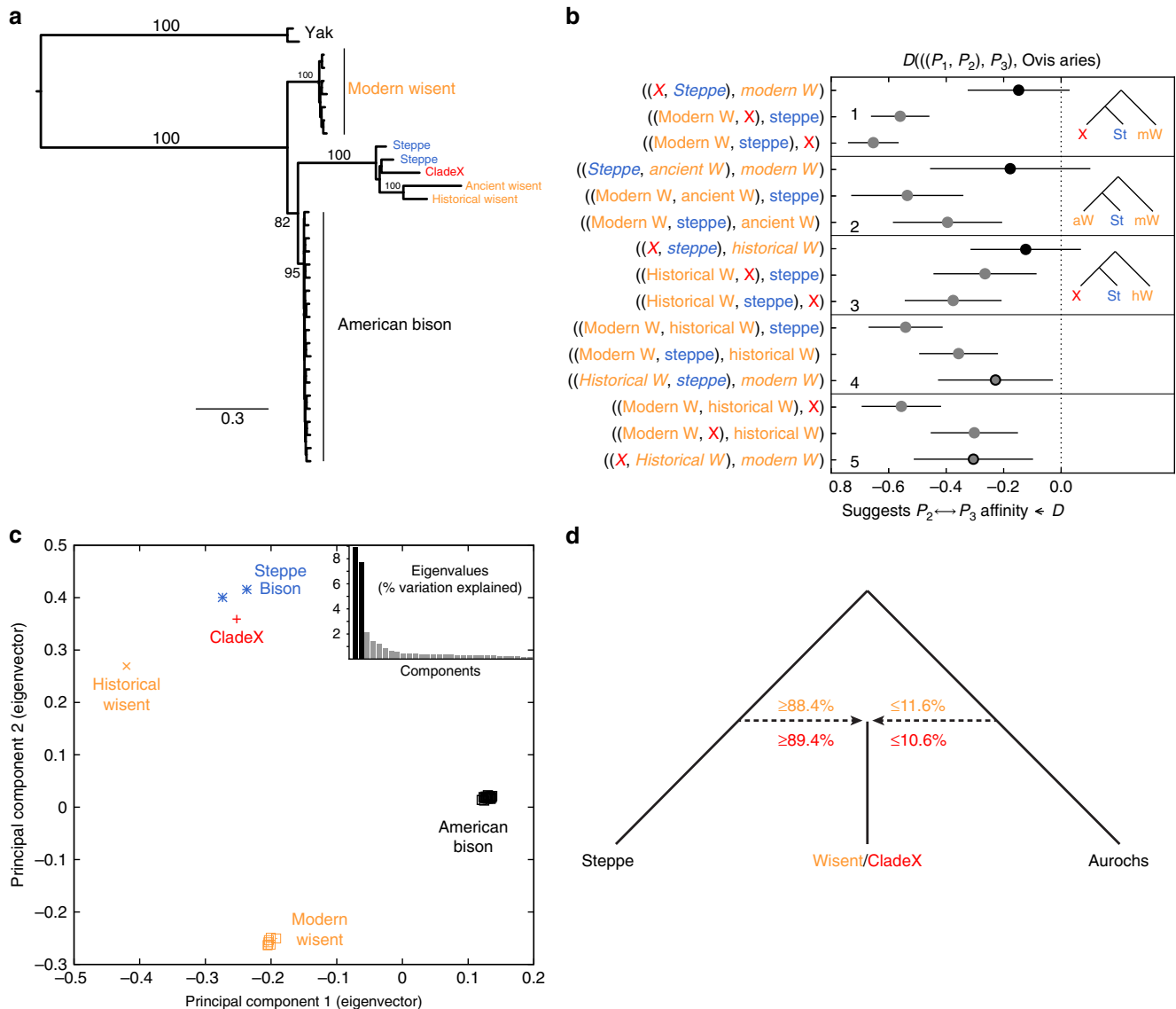


Figure 3 | Genome-wide data comparison of bison. (a) Maximum-likelihood phylogeny of modern and ancient bison from ~10,000 genome-wide nuclear sites, showing the close relationship between CladeX and steppe bison. However, a bifurcating phylogeny is not capable of displaying the complex relationships between these taxa (see Supplementary Fig. 8). Numbers above branches represent bootstrap values. (b) D-statistics from the same ~10,000 nuclear sites, using sheep as outgroup. For three bison populations, assuming two bifurcations and no hybridizations, three possible phylogenetic topologies can be evaluated using D-statistics, with the value closest to 0, indicating which topology is the most parsimonious. The topology being tested is shown on the vertical axis. Error bars are three s.e.'s (from block jackknife) either side of the data point. Data points that are significantly different from zero are shown in grey. The data point representing the topology in **a**, among a set of three possible topologies, is shown with a black outline. (c) Principal Component Analysis of ~10,000 genome-wide nuclear sites (ancient wisent not included due to the sensitivity of PCA to missing data, see Supplementary Fig. 10). (d) Proportion of steppe bison and aurochs ancestry in both wisent and CladeX lineages, calculated with f_4 ratios.

16-kyr-old wisent (CladeX) from Northern Italy (present study), corresponding to the timing of the morphological transition from steppe bison-like to wisent-like morphotypes apparent in cave art.

Combined evidence from genomic data, paleoenvironmental reconstructions and cave paintings strongly suggest that the hybridization of steppe bison with an ancient aurochs lineage during the late Pleistocene led to a morphologically and ecologically distinct form, which maintained its integrity and survived environmental changes on the European landscape until modern times. Although further analyses of deeper ancient genome sequencing will be necessary to characterize the phenotypic consequences of such hybridization, this adds to recent evidence of the importance of hybridization as a

mechanism for speciation and adaptation of mammals^{25–29} as is already accepted for plants. Lastly, the paraphyly of *Bos* with respect to *Bison*, and the evidence of meaningful hybridization between aurochs and bison, support the argument that both groups should be combined under the genus *Bos*^{12,19,30}.

Methods

Ancient DNA samples description and processing. Samples from a total of 87 putative bison bones were collected from three regions across Europe: Urals, Caucasus and Western Europe (Supplementary Data 1).

Dating of 45 samples that yielded DNA was performed at the Oxford Radiocarbon Accelerator Unit of the University of Oxford (OxA numbers), and the Ångström Laboratory of the University of Uppsala, Sweden, for the Swiss sample (Ua-42583). The calibration of radiocarbon dates was performed using OxCal v4.1 with the IntCal13 curve³¹ (Supplementary Data 1).

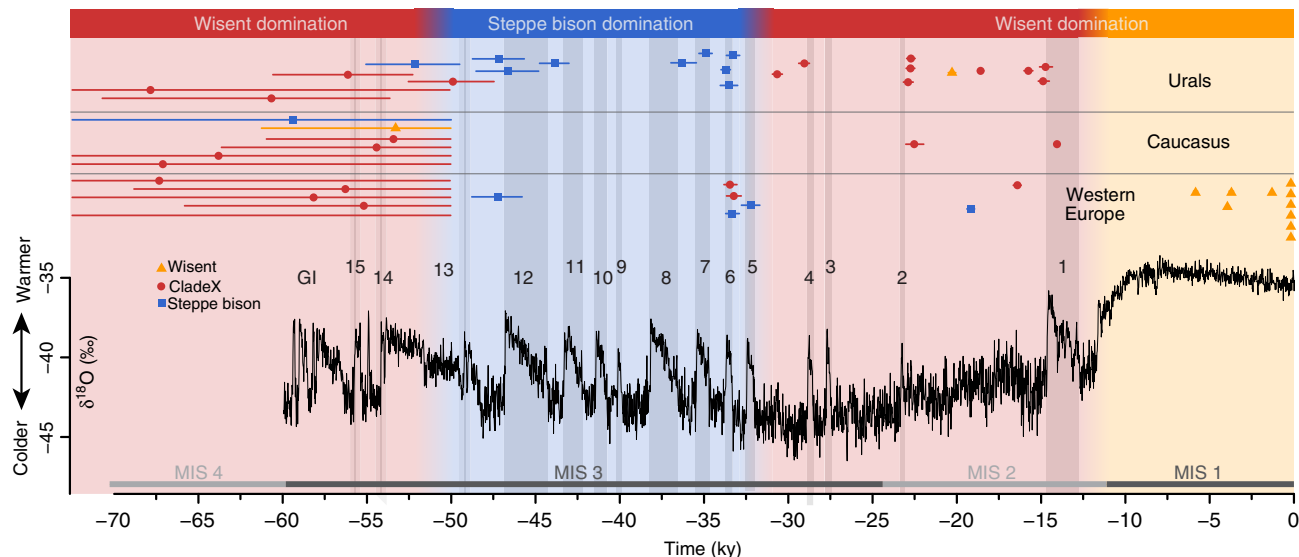


Figure 4 | Temporal and geographical distribution of bison in Europe. Individual calibrated AMS dates from the present study and published data are plotted on top of the NGRIP $\delta^{18}\text{O}$ record⁵⁵. Age ranges for infinite AMS dates are from molecular clock estimates (Fig. 2c). Greenland interstadials (GIs) are numbered in black and marine isotope stages (MIS) in grey.

All ancient DNA work was conducted in clean-room facilities at the University of Adelaide's Australian Centre for Ancient DNA, Australia (ACAD), and at the University of Tuebingen, Germany (UT) following the published guidelines³².

Samples were extracted using either phenol–chloroform³³ or silica-based methods^{34,35} (see Supplementary Data 1).

Mitochondrial control region sequences (> 400 bp) were successfully amplified from 65 out of 87 analysed samples in one or up to four overlapping fragments, depending on DNA preservation³³. To provide deeper phylogenetic resolution and further examine the apparent close relationship between *Bos* and wisent mitochondria, whole-mitogenome sequences of 13 CladeX specimens, as well as one ancient wisent, one historical wisent and one steppe bison were generated using hybridization capture with either custom-made^{36,37} (see Supplementary Note 1 for details).

In addition, genome-wide nuclear locus capture was attempted on DNA extracts from 13 bison samples (see Supplementary Table 2), using either an $\sim 40,000$ or an $\sim 10,000$ set of probes (as described in Supplementary Note 1). All targeted loci were part of the BovineSNP50 v2 BeadChip (Illumina) bovine SNP loci used in a previous phylogenetic study³⁸. Ultimately, only the 9,908 loci common to both sets were used for comparative analysis.

Genetic data analysis. *Data processing.* Next-generation sequencing data were obtained from enriched libraries using paired-end reactions on Illumina HiSeq or MiSeq machines, and processed using the pipeline Paleomix v1.0.1 (ref. 39). AdapterRemoval v2 (ref. 40) was used to trim adapter sequences, merge the paired reads and eliminate all reads shorter than 25 bp. BWA v0.6.2 was then used to map the processed reads to either the reference mitochondrial genome of the wisent (NC_014044), American bison (NC_012346—only for the steppe bison A3133) or the *Bos taurus* genome reference UMD 3.1 (ref. 41). Minimum mapping quality was set at 25, seeding was disabled and the maximum number of gap opens was set to 2 (see Supplementary Tables 2 and 3).

MapDamage v2 (ref. 42) was used to check that the expected contextual mapping and damage patterns were observed for each library, depending on the enzymatic treatment used during library preparation (see Supplementary Table 3 and Supplementary Figs 1–3 for examples), and to rescale base qualities accordingly.

Phylogenetic analyses. The 60 newly sequenced bovine mitochondrial regions (Supplementary Data 1) were aligned with 302 published sequences (Supplementary Table 4), and a phylogenetic tree was inferred using both maximum-likelihood (PhyML v3 (ref. 43)) and Bayesian (MrBayes v3.2.3 (ref. 44)) methods (Fig. 2a and Supplementary Fig. 4). The same methods were used to obtain the whole-mitogenome phylogeny of 16 newly sequenced bison (Supplementary Data 1) aligned with 31 published sequences (Fig. 2b and Supplementary Fig. 5). To estimate the evolutionary timescale, we used the programme BEAST v1.8.1 (ref. 45) to conduct a Bayesian phylogenetic analysis of all radiocarbon-dated samples from CladeX and wisent (Fig. 1c), using the mean calibrated radiocarbon dates as calibration points. All parameters showed sufficient sampling after 5,000,000 steps, and a date-randomization test supported that the temporal signal from the radiocarbon dates associated with the ancient sequences was sufficient to calibrate the analysis⁴⁶ (Supplementary Fig. 6).

Finally, phylogenetic trees were inferred from nuclear loci data using RAxML v8.1.21 (ref. 47), first from published data of modern bovine representatives³⁸ (using sheep as an outgroup; Supplementary Fig. 7) and then including five ancient samples (two ancient steppe bison, an ancient wisent, a historical wisent and a CladeX bison; Fig. 2a), which had the highest number of nuclear loci successfully called among the ~ 10 k nuclear bovine SNPs targeted with hybridization capture (see Supplementary Fig. 8).

Principal Component Analysis. PCA (Fig. 3a and Supplementary Fig. 10) was performed using EIGENSOFT version 6.0.1 (ref. 48). In Fig. 3a, CladeX sample A006 was used as the representative of CladeX, as this sample contained the most complete set of nuclear loci called at the bovine SNP loci (see Supplementary Table 2). Other CladeX individuals, as well as ancient wisent, cluster towards coordinates 0.0, 0.0 (see Supplementary Fig. 10), because of missing data.

D and f statistics. Support for the bifurcating nuclear tree (Fig. 2a) was further tested using D-statistics calculated using ADMIXTOOLS version 3.0, git $\sim 3065acc5$ (ref. 23). Sensitivity to factors like sampling bias, depth of coverage, choice of outgroup, heterozygosity (by haploidization) and missing data did not have notable influences on the outcome (Supplementary Figs 12–15).

The proportion of the wisent's ancestry differentially attributable to the steppe bison, and the aurochs was estimated with AdmixTools using an f_4 ratio²³ with sheep (*Ovis aries*) as the outgroup (Supplementary Figs S16, S17 and 3D). Again, the test was shown to be robust to haploidization.

Finally, to test whether the wisent lineages (including CladeX) have a common hybrid ancestry, or whether multiple independent hybridization events gave rise to distinct wisent lineages (Supplementary Fig. 18), we identify nuclear loci that have an ancestral state in the aurochs lineage, but a derived state in the steppe bison lineage (see Supplementary Note 2 section 'Identification of Derived Alleles'). Hypergeometric tests (Supplementary Tables 8 and 9) showed strong support for an ancestral hybridization event occurring before the divergence of the wisent lineages.

Testing admixture using ABC and simulated data. Admixture proportions were also independently tested using simulated data and an ABC approach. Nuclear genetic count data were simulated for two species trees (as described in Supplementary Fig. 19 and Supplementary Note 2 section) by drawing samples from two Multinomial distributions, where for tree topology X_1 , $n^{X_1} \sim \text{Mult}(N, p^{T.X_1})$, and for tree topology X_2 , $n^{X_2} \sim \text{Mult}(N, p^{T.X_2})$. The linear combination of these counts was then considered.

ABC was performed using the R package 'abc', with a ridge regression correction for comparison of the simulated and observed data using the 'abc' function⁴⁹. The distance between the observed and simulated data sets is calculated as the Euclidean distance in a three-dimensional space, corrected for the within dimension variability. A tolerance $\epsilon = 0.005$ was chosen so that the closest $\ell \times \epsilon$ simulated data sets are retained. For each analysis we had $\ell = 100,000$, resulting in 500 posterior samples.

We performed leave-one-out cross-validation using the function 'cv4abc' on $\ell = 250$ randomly selected simulations, and report the prediction error, calculated as

$$E_{\text{pred}} = \frac{\sum_{i=1}^{\ell} (\hat{\gamma}_i - \gamma_i)^2}{\text{Var}(\gamma_i)}$$

for each analysis. At most, the prediction error was 0.5111 s.d.'s away from zero, and so we observe that the analysis has performed well (see Supplementary Table 10).

Palaeoenvironment reconstruction and stable isotope analyses. The Urals material has the most complete sampling through time (Fig. 4 and Supplementary Fig. 22), allowing us to contrast reconstructed palaeoenvironmental proxies for the region (see Supplementary Note 3). Paleovegetation types were inferred for a convex hull of the Ural study region based on geo-referenced site locations for all genotyped ancient samples (Supplementary Fig. 21). Global maps of BIOME4 plant functional types⁵⁰ were accessed for 2,000-year time steps throughout the period from 70,000 years ago to the present day, with a $1^\circ \times 1^\circ$ latitude/longitude grid cell resolution. We also generated estimates of the annual mean daily temperature and Köppen–Geiger climate classification⁵¹ using the Hadley Centre Climate model (HadCM3)⁵². Finally, stable isotope values ($\delta^{13}\text{C}$ and $\delta^{15}\text{N}$) obtained for all the genotyped bison individuals from the Ural region were compared between steppe bison and wisent (Supplementary Fig. 23).

Cave paintings. Two consistent morphological types can be distinguished within the diversity of bison representations (see Fig. 1 and Supplementary Figs 24–27). The first type, abundant before the LGM, is characterized by long horns (with one curve), a very oblique dorsal line and a very robust front part of the body (solid shoulders versus hindquarters), all traits similar to the modern American bison. The second type, dominating the more recent paintings between 18 and 15 kya, displays thinner sinuous horns (often with a double curve), a smaller hump and more balanced dimensions between the front and rear of the body, similar to modern wisent and to some extent aurochs (see also Supplementary Note 4). The coincident morphological and genetic replacement indicate that variation in bison representations in Paleolithic art does not simply represent stylistic evolution, but actually reflects the different forms of bison genotyped in this study (that is, pre and post-hybridization) through time.

Data Availability. All newly sequenced mitochondrial control regions are deposited at the European Nucleotide Archive under the following accession numbers (LT599586–645) and all complete mitochondrial genomes at GenBank (KX592174–89). The BEAST input file (XML) is available as Supplementary Data set 2, the MrBayes input file (Nexus), including all whole-mitochondrial genomes, as Supplementary Data set 3 and the nuclear SNPs as Supplementary Data set 4 (VCF format). All other data are included in the Supplementary Material or available upon request to the corresponding authors.

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Author contributions

J.S., G.G., K.C., S.M.R., B.L., K.J.M., S.Y.W.H., M.S.Y.L., B.S., A.R. and A.C. designed experiments; P.K., G.B., R.B., J.B., E.C.-B., V.B.D., F.F., J.G., L.V.G., A.G., W.H., M.-A.J., E.H.-K., O.K., F.L., G.L., A.S., M.T., J.v.d.P., J.-D.V., L.O. and R.K. provided

samples, interpretations of results and comments on the study; K.C., S.M.R., B.L., P.B., W.H., J.K., A.L., A.v.L. and B.S. performed laboratory genetic analyses; D.C., K.D., T.H. and J.v.d.P. performed radiocarbon-dating analyses; J.S., G.G., S.Y.W.H., M.S.Y.L., J.E.D., R.D.S., A.R. and O.W. performed bioinformatic analyses; P.K. and D.A.F. performed palaeoenvironmental analyses; C.F. and G.T. provided data and interpretation of cave art; J.S., G.G., B.L., K.J.M., M.S.Y.L., J.E.D., C.G., W.H., J.F.T., L.O., R.K. and A.C. analysed the results; and A.C. and J.S. wrote the paper with help from all co-authors.

Additional information

Supplementary Information accompanies this paper at <http://www.nature.com/naturecommunications>

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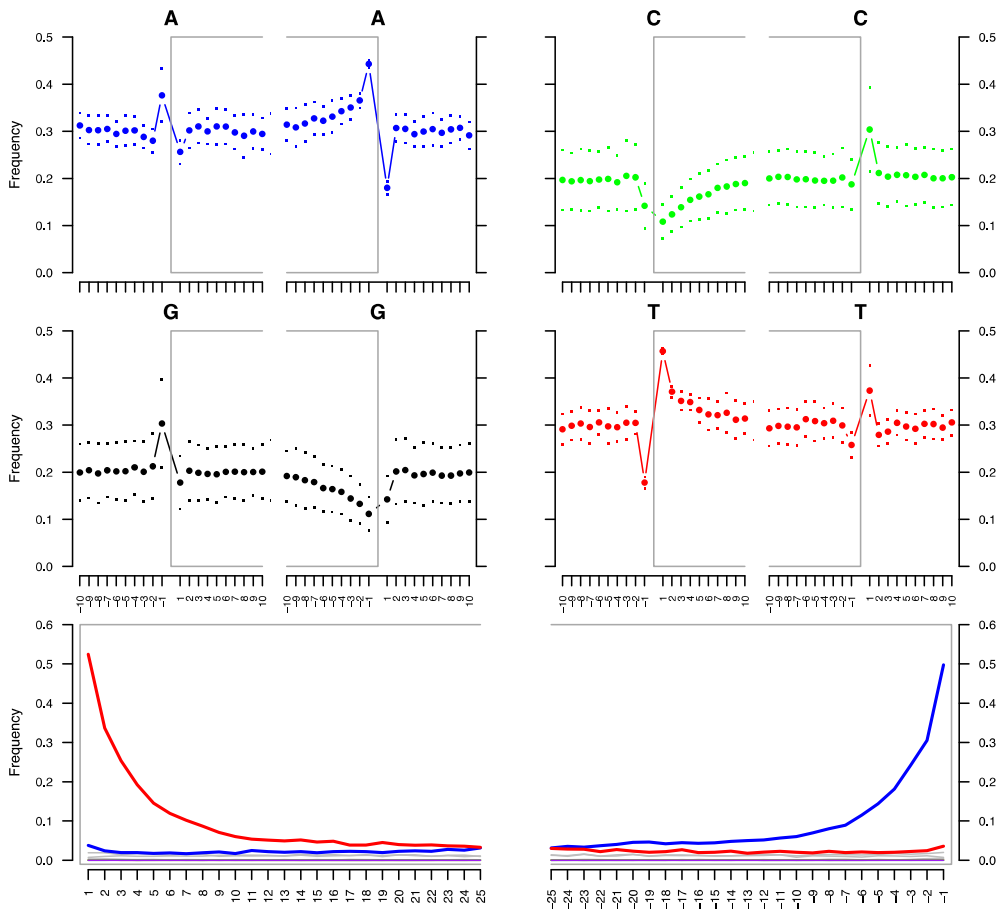
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2.3 Supplementary Information

1 **Supplementary Figures**

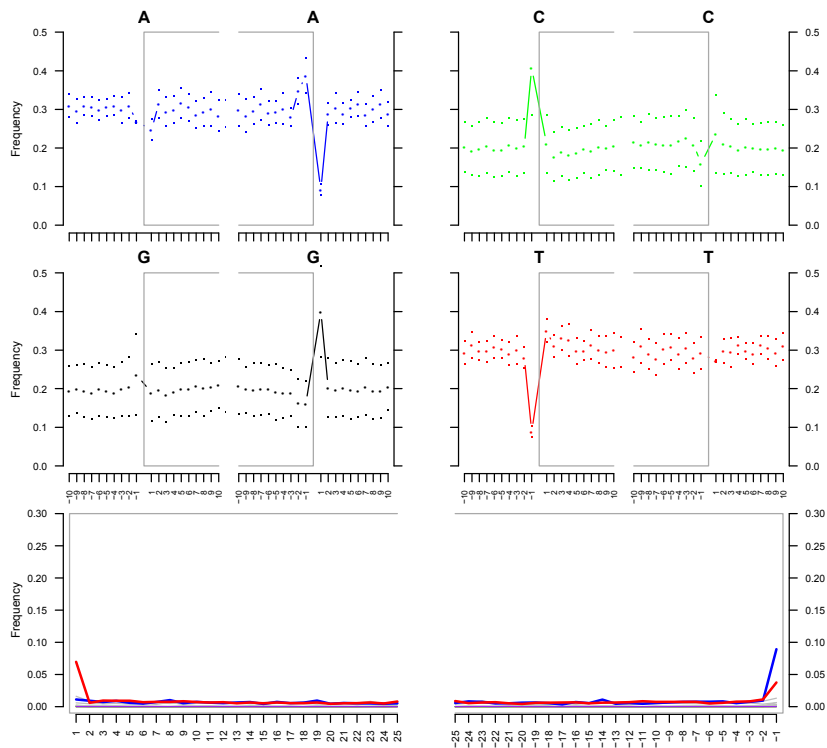
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4 **Supplementary Fig 1.** Example of damage profile (sample LE257) obtained after sequencing of the
5 whole mitochondrial genome using no treatment for the library preparation. As expected, there is an
6 excess of purines found at the genomic position preceding the mapped reads, and an excess of C>T
7 transitions at the first few positions of the reads.

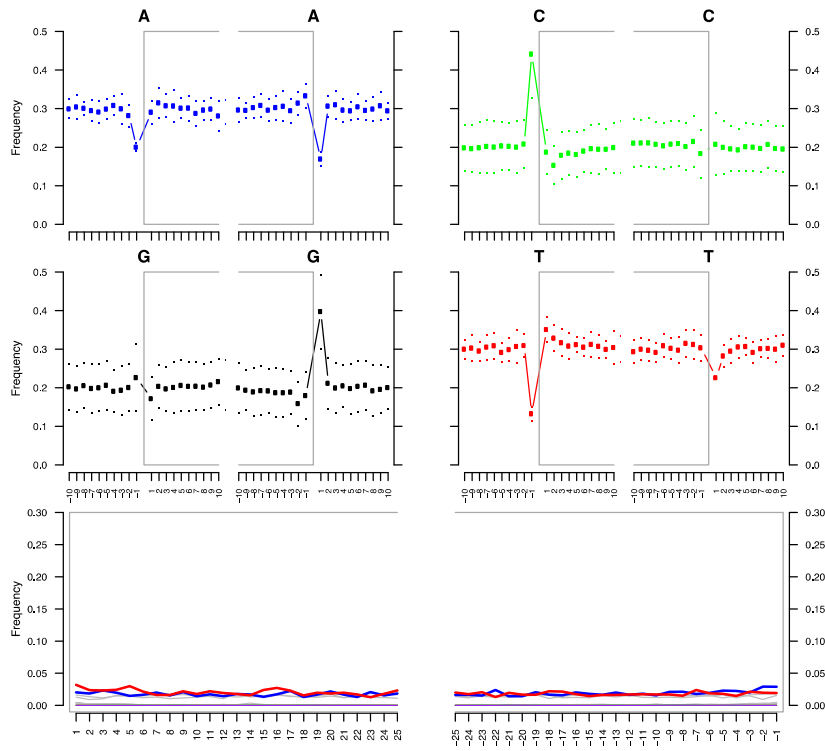
mapDamage plot for library '4093A'



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9 **Supplementary Fig 2.** Example of damage profile (sample A4093) obtained after sequencing of the
10 whole mitochondrial genome using UDG-half treatment for the library preparation. As expected, there
11 is an excess of cytosine found at the genomic position preceding the mapped reads, and an excess of
12 C>T (and complementary G>A) transitions at the first (last) position of the reads.

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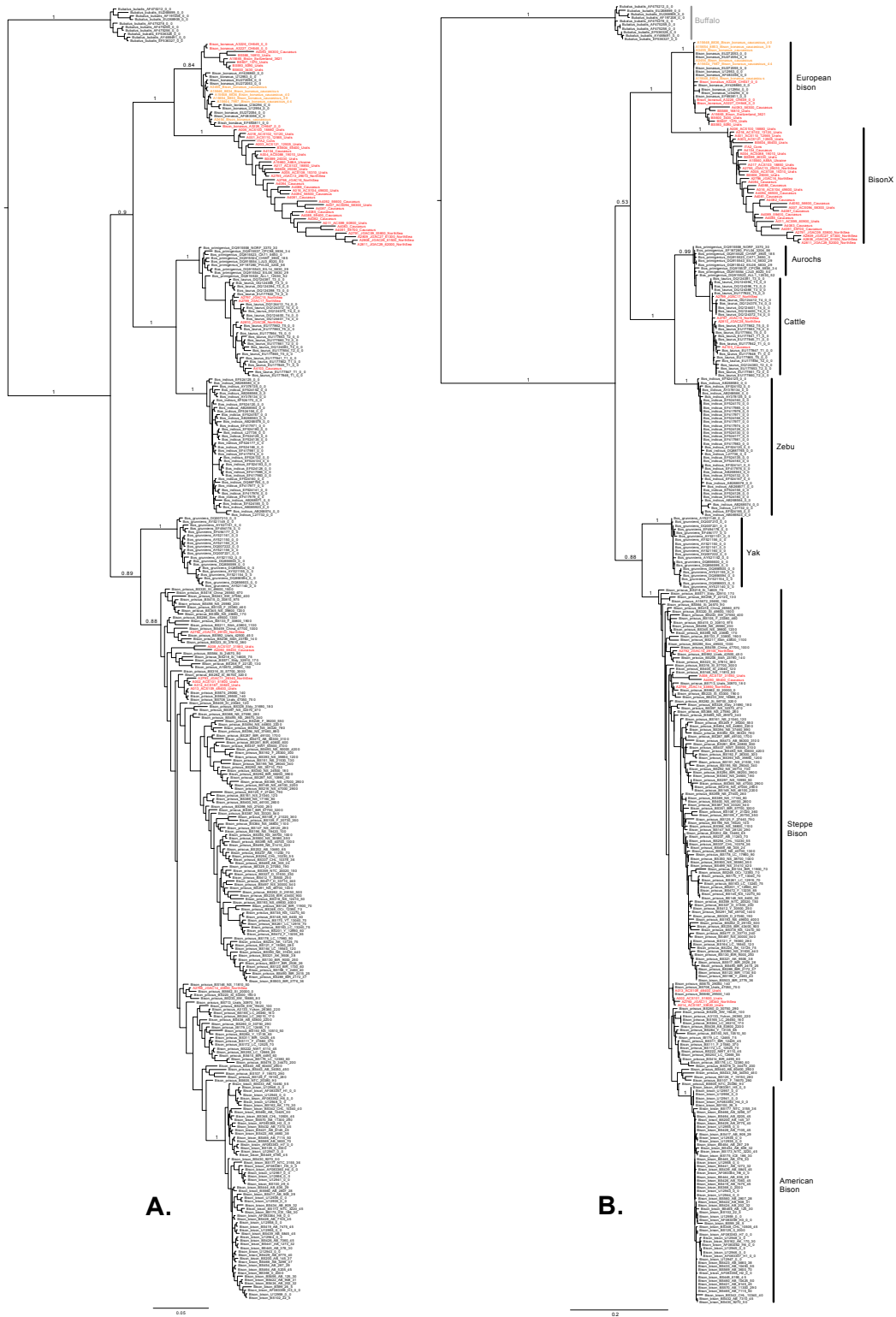


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15 **Supplementary Fig 3.** Example of damage profile (sample A18) obtained after sequencing of the
 16 whole mitochondrial genome using full USER treatment for the library preparation. As expected, there
 17 is an excess of cytosine found at the genomic position preceding the mapped reads, and no excess of
 18 C>T transitions at the start of the reads.

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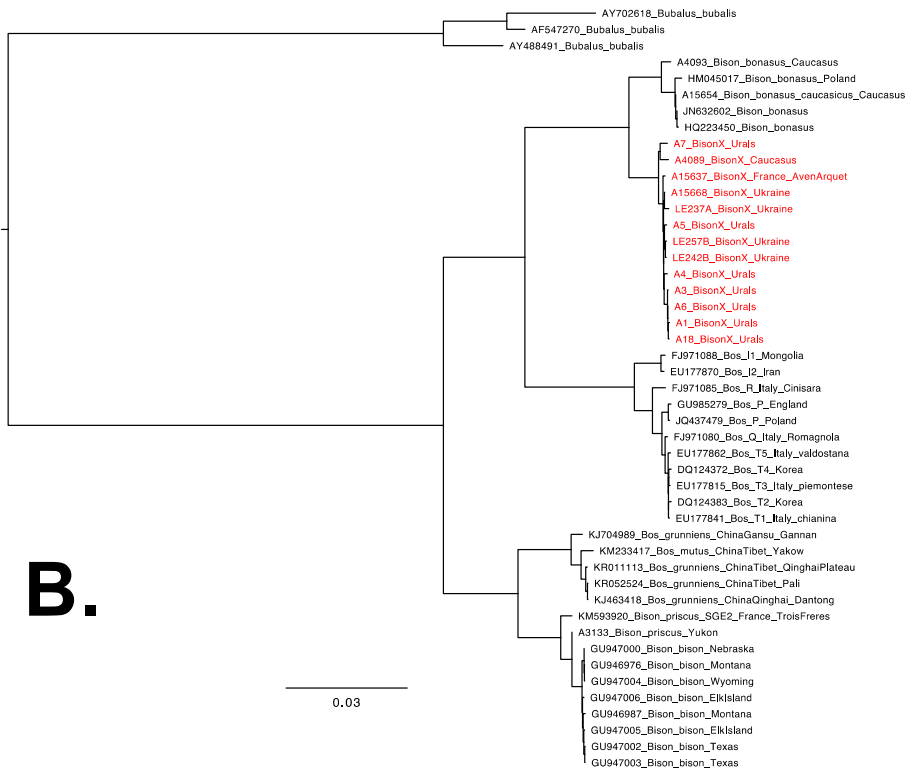
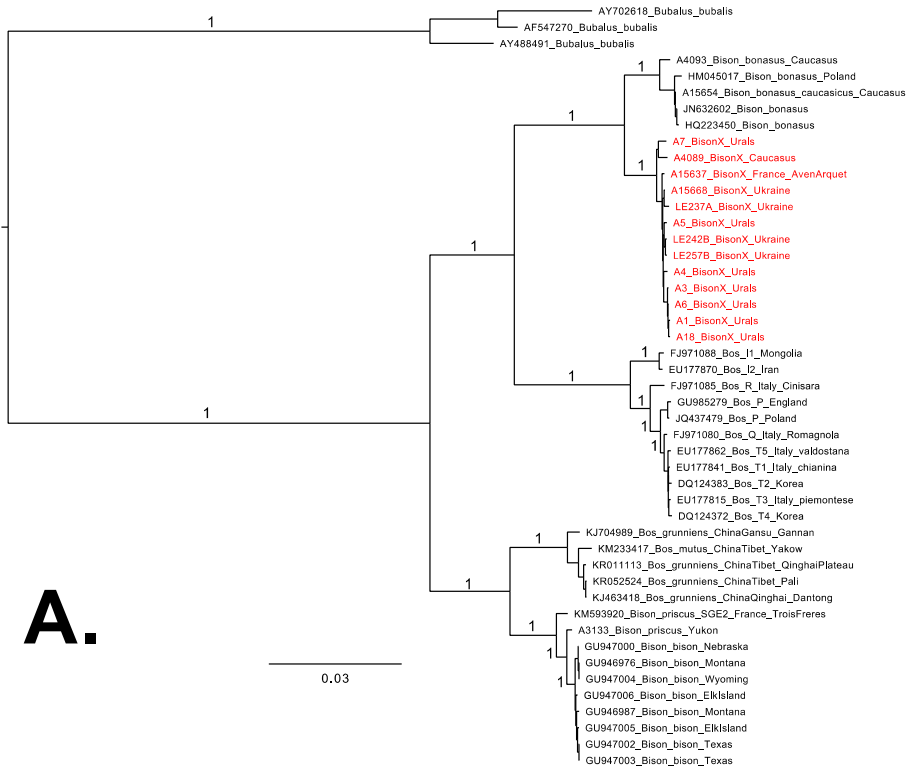
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Supplementary Fig 4. Phylogenetic trees of mitochondrial control region sequences from 362 bovid samples. **A.** Majority-rule consensus tree from MrBayes. **B.** Maximum-likelihood tree from PhyML. The 60 newly sequenced individuals are in red font, with the Caucasian bison (*B. bonasus caucasicus*) in orange. Scale bars are given in substitutions per site.



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Supplementary Fig 5. Phylogenetic trees inferred from whole mitochondrial genomes. **A.** Majority-

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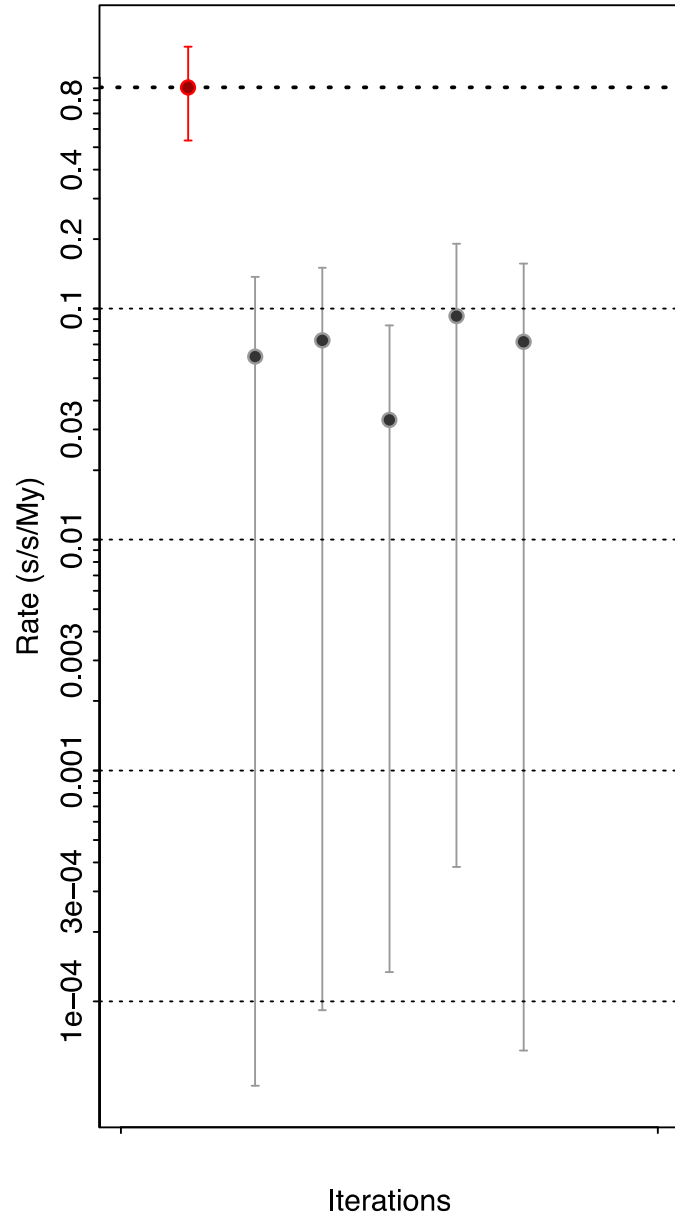
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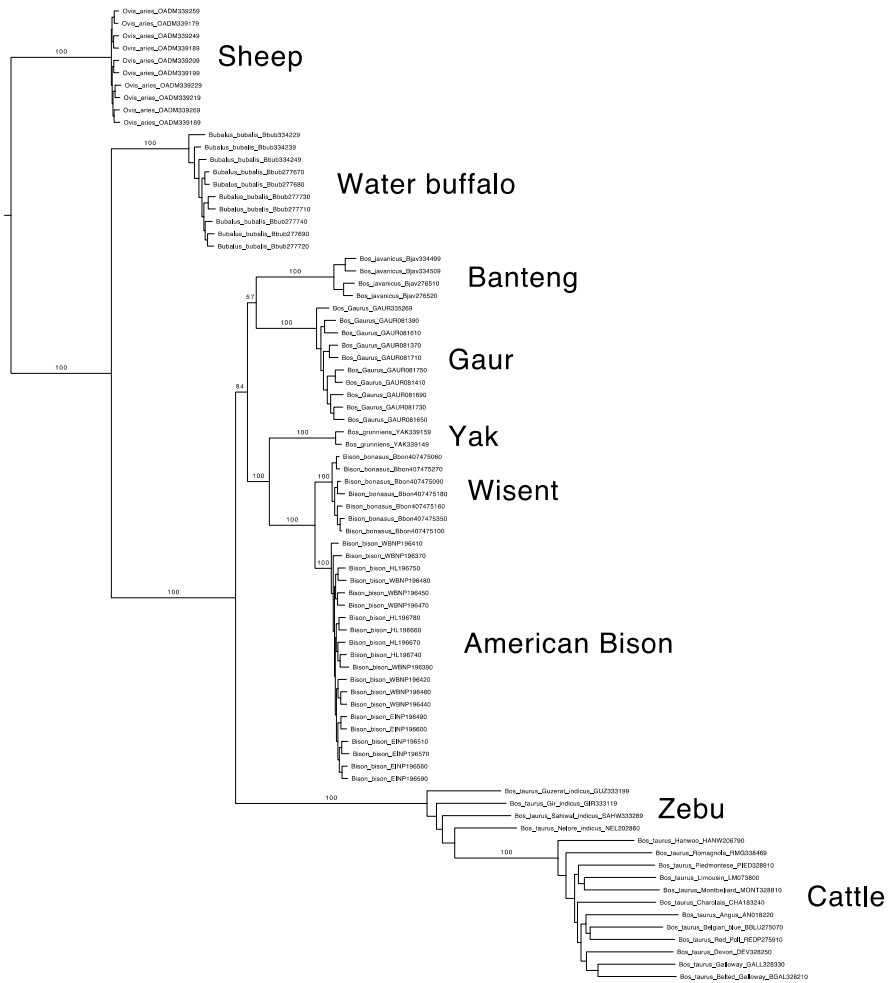
rule consensus tree from MrBayes. **B.** Maximum-likelihood tree from PhyML. CladeX bison

individuals are colored in red. Scale bars are given in substitutions per site.



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Supplementary Fig 6. Date-randomization test. The red circle and dotted line represent the mean estimate of the molecular rate obtained in the phylogenetic analysis of wisent and CladeX, calibrated using the radiocarbon dates associated with the ancient sequences. The grey lines represent the 95% HPD intervals of rates estimated with randomized dates. None of these margins overlap with the mean rate estimate from the original data set, demonstrating that the radiocarbon dates used for this study contain sufficient temporal information for calibrating the molecular clock.



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Supplementary Fig 7. Maximum-likelihood phylogeny of modern bovid species (and sheep as outgroup) from ~40k nuclear loci.



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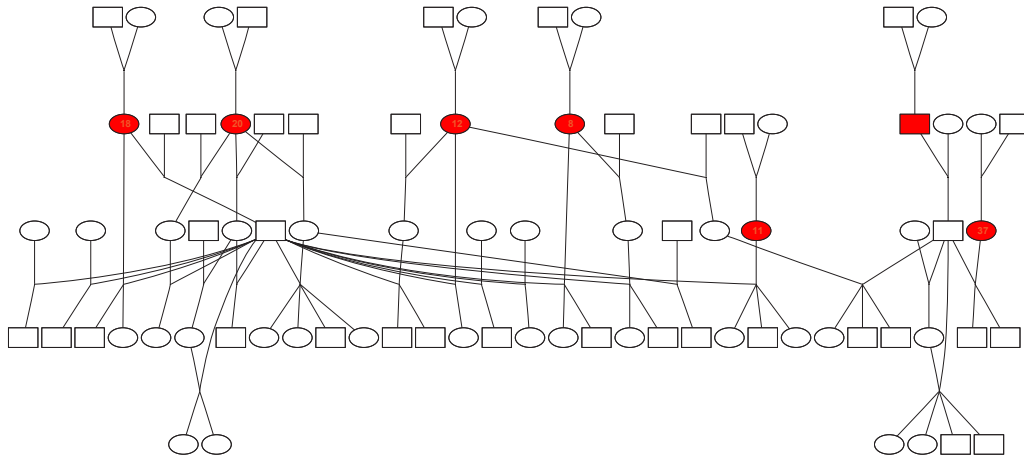
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Supplementary Fig 8. Maximum-likelihood phylogenies of modern and ancient bison (and yak as outgroup), from ~10k nuclear loci. **A.** Phylogeny including the two ancient steppe bison. **B.** Phylogeny including the three pre-modern wisent. **C.** Phylogeny including the two steppe bison and three pre-modern wisent (ancient, historical and CladX). **D.** Replicate of C. but only using transversions for the non-modern samples.



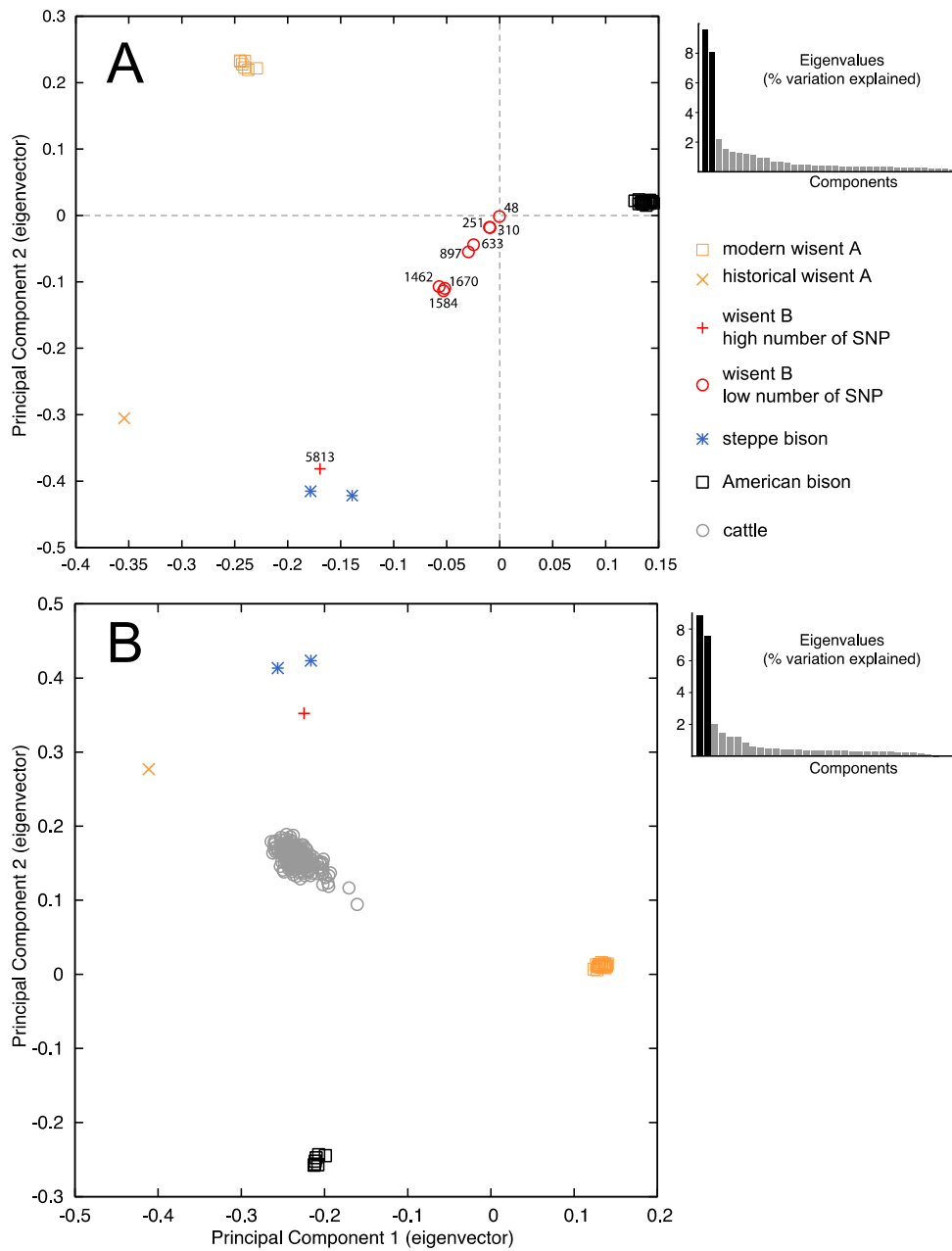
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Supplementary Fig 9. Pedigree of wisent from the Białowieża Forest (Poland), from which seven genotyped individuals (in red) were included in the present study.

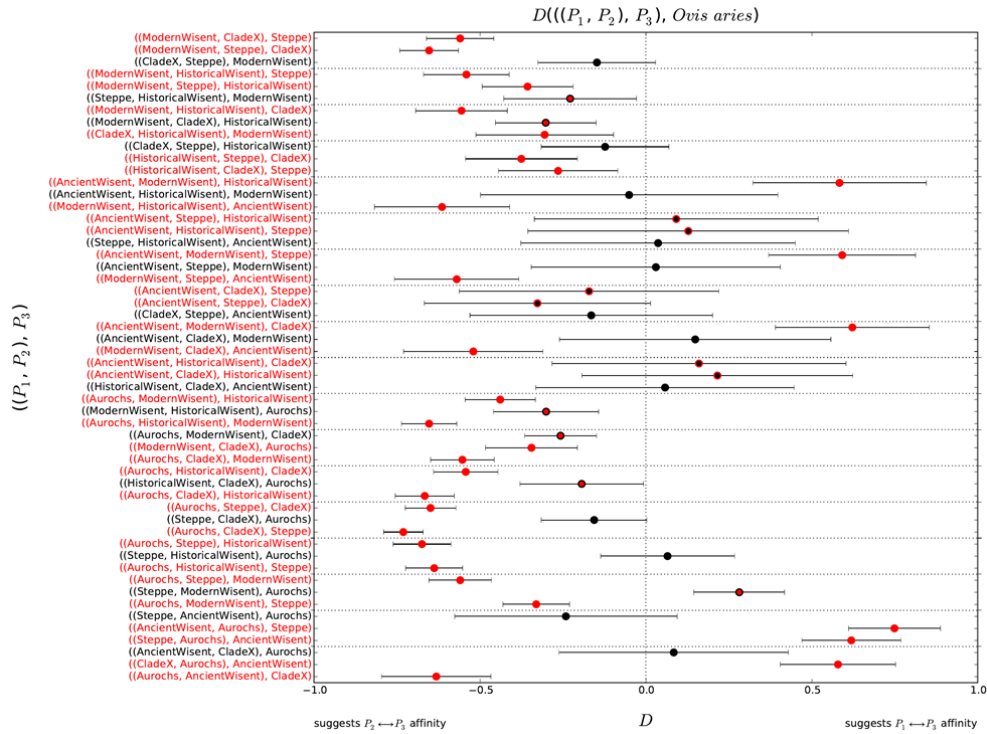
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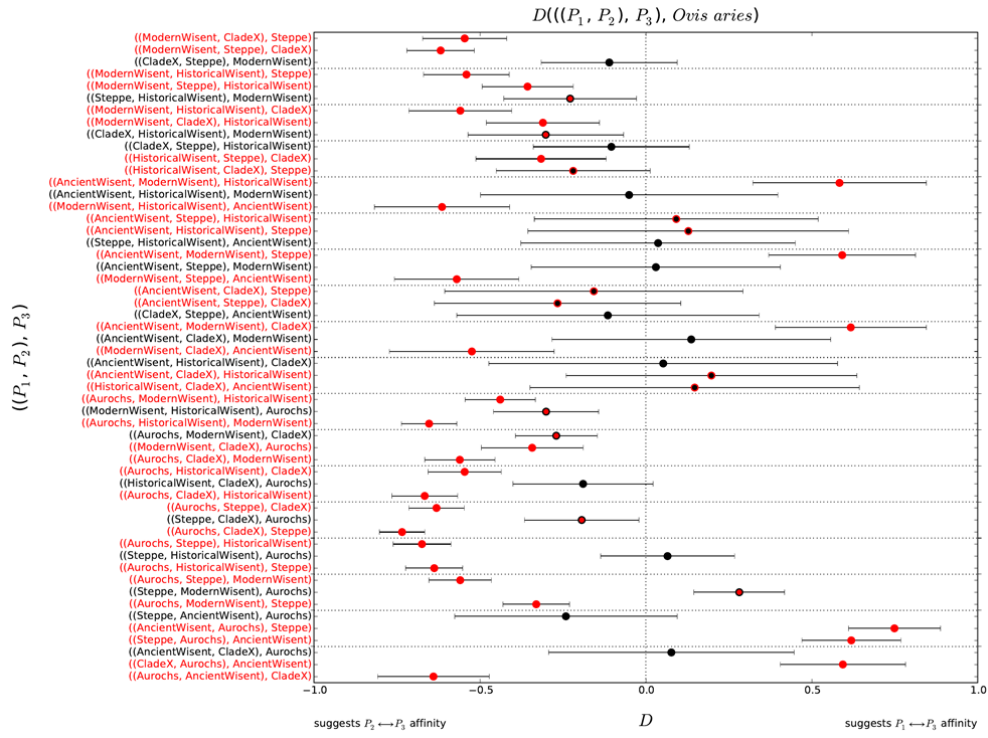
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56 **Supplementary Fig 10:** A) Principal Component Analysis for nine CladeX individuals (including
 57 sample A006), one historical wisent, one ancient wisent, two steppe bison, seven modern wisent and 20
 58 American bison. The numbers on the plot report the number of loci called for the individuals clustering
 59 towards zero coordinates (from Supplementary Table 2). Eigenvector 1 explains 9.58% of the variation,
 60 while eigenvector 2 explains 7.96% of the variation. B) Same Principal Component Analysis as Figure
 61 3C with cattle individuals from Decker et al. (2009) projected onto original components.

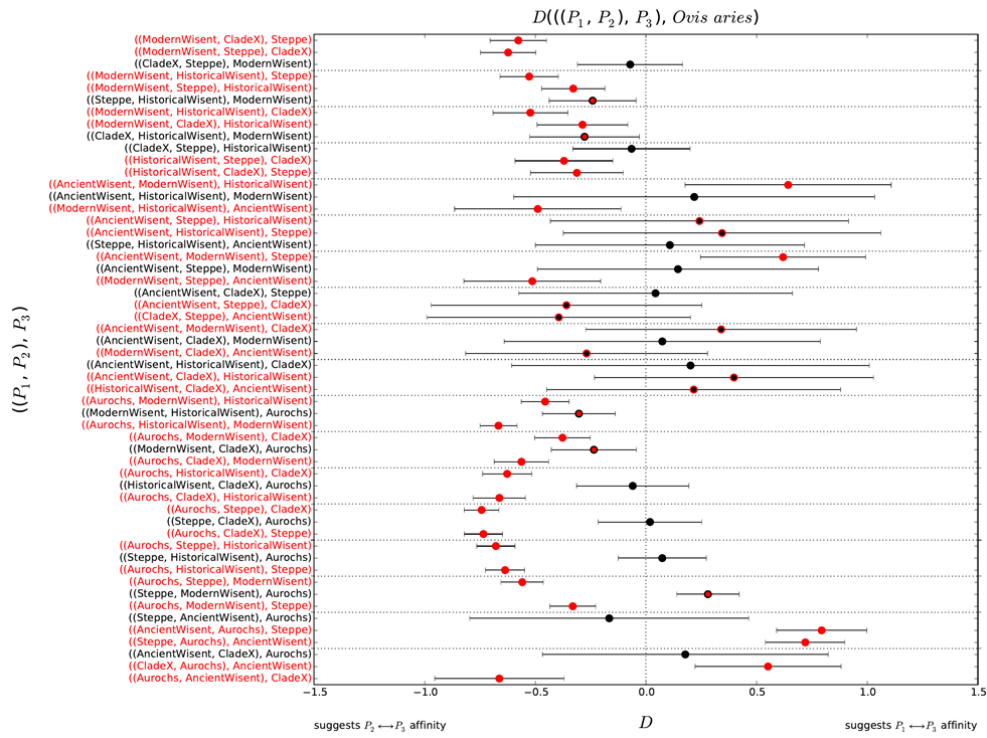
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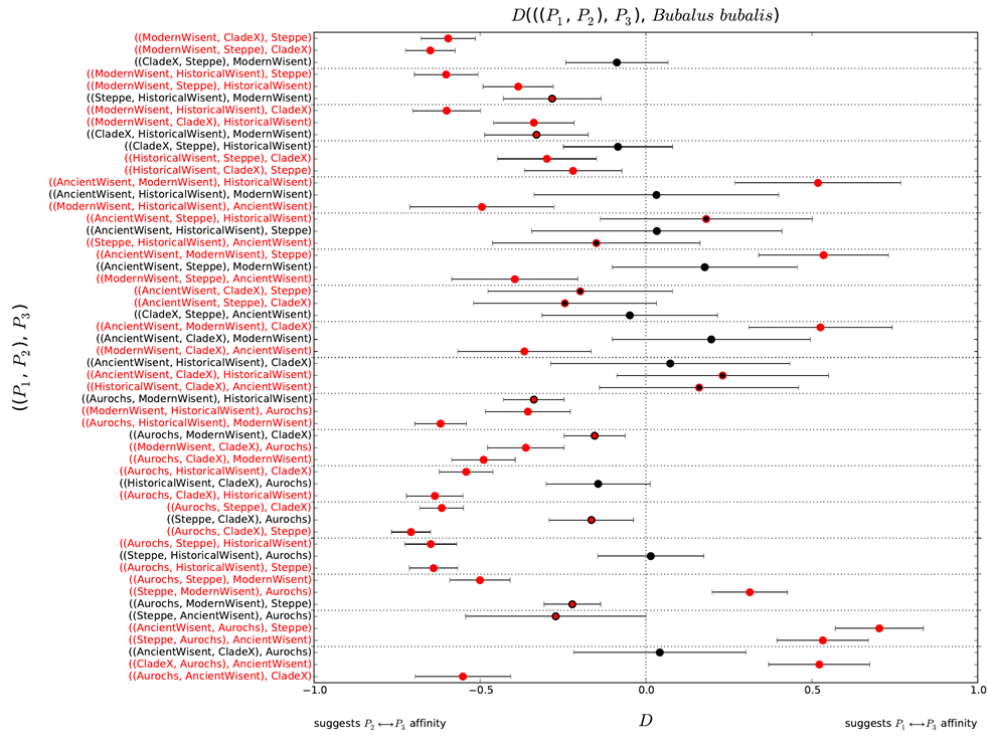
63 **Supplementary Fig 11:** Topology testing using D statistics, with sheep as outgroup. The topology
 64 being tested is shown on the vertical axis, with the most parsimonious of three possible topologies
 65 written in black. Data points that are significantly different (more than three standard errors)
 66 are shown in red. The data point representing the topology closest to zero, amongst a set of three
 67 possible topologies, is shown with a black outline. Error bars are three standard errors either side of the
 68 data point, where the standard error was calculated using a block jackknife.



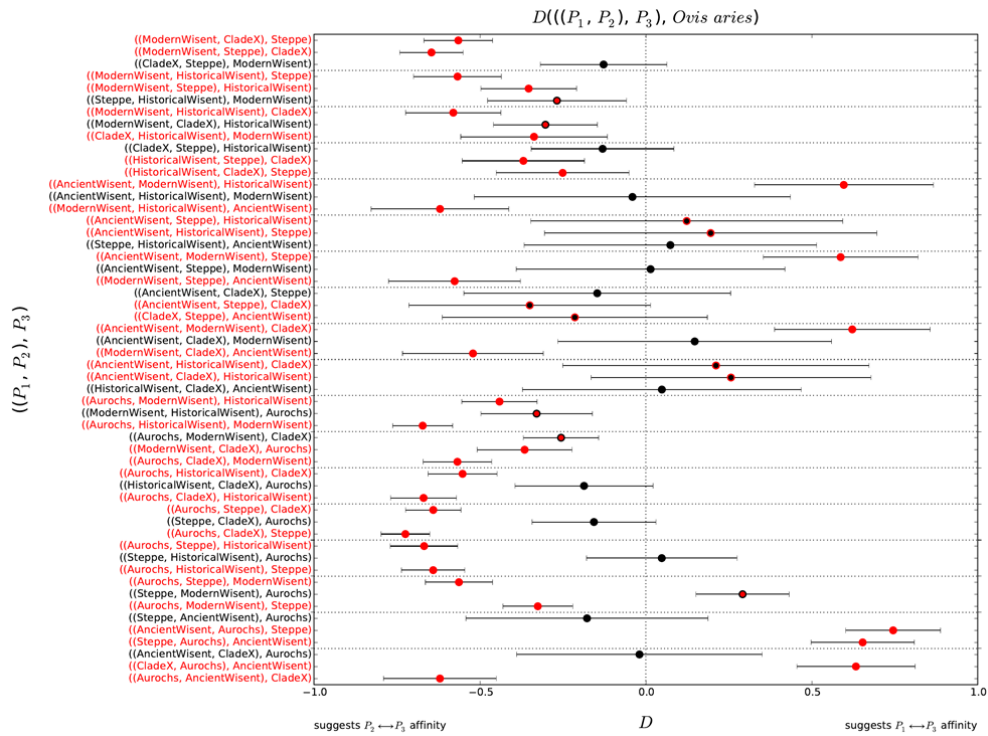
69 **Supplementary Fig 12:** Topology testing using D statistics, with sheep as outgroup. As in
 70 Supplementary Figure 11, except that sample A006 has been omitted from the CladeX group.



71 **Supplementary Fig 13:** Topology testing using D statistics, with sheep as outgroup. As in
 72 Supplementary Figure 11, except that genotypes called from read depths <2 have been omitted for
 73 extinct individuals.



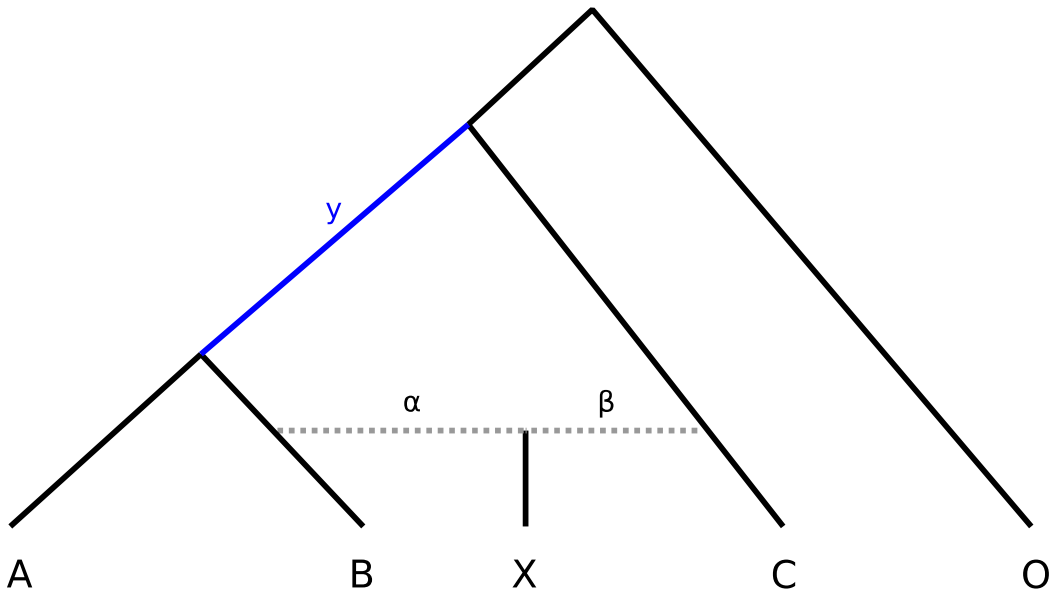
74 **Supplementary Fig 14:** Topology testing using D statistics, with Asian water buffalo as outgroup. As
 75 in Supplementary Figure 11, except the outgroup has been changed.



76 **Supplementary Fig 15:** Topology testing using D statistics, with sheep as outgroup. As in
 77 Supplementary Figure 11, except in extinct individuals, alleles have been randomly sampled from sites
 78 called as heterozygotes to simulate haploid sampling.

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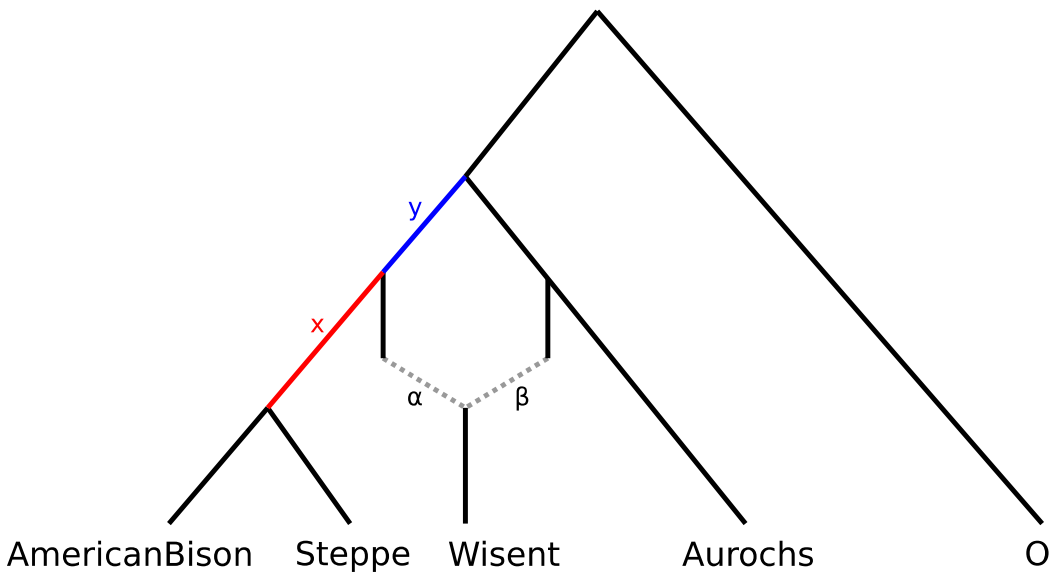
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Supplementary Fig 16: An admixture graph showing the ancestry of X, where α is the proportion of ancestry from B and $\beta=1-\alpha$ is the proportion of ancestry from C.



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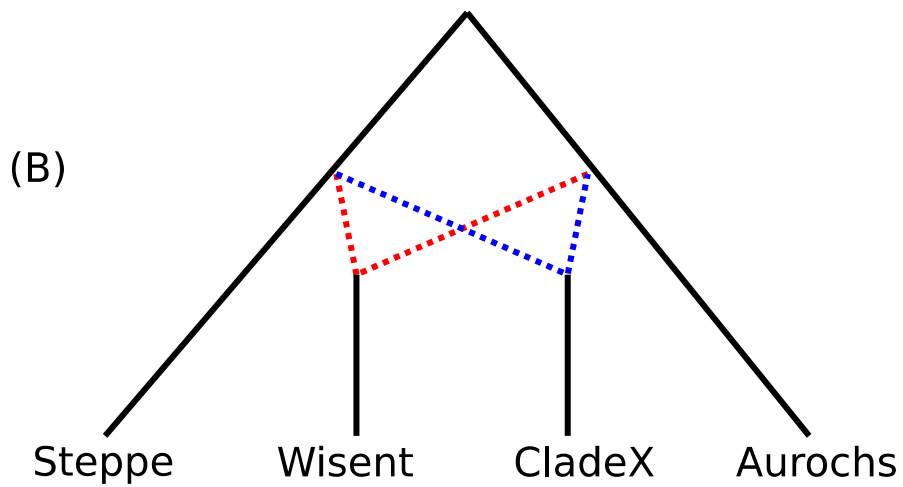
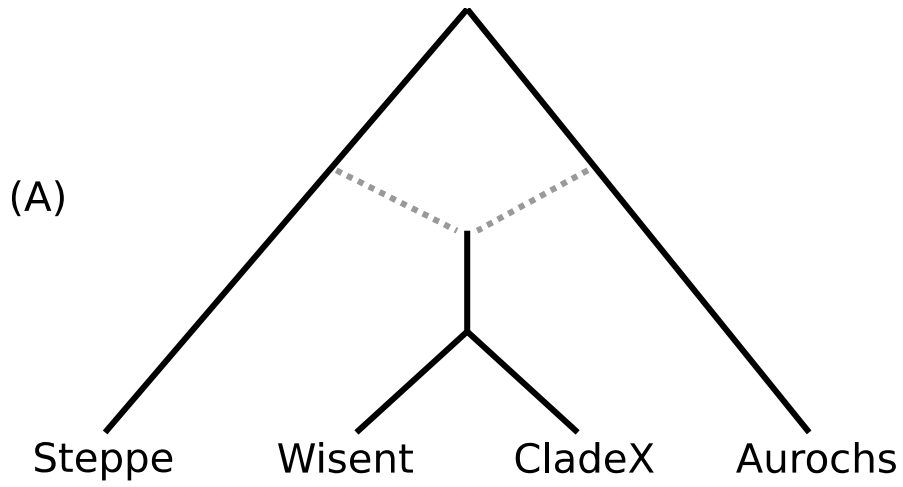
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Supplementary Fig 17: An admixture graph showing the ancestry of the wisent, where α is the proportion of ancestry from steppe and $\beta=1-\alpha$ is the proportion of ancestry from aurochs.



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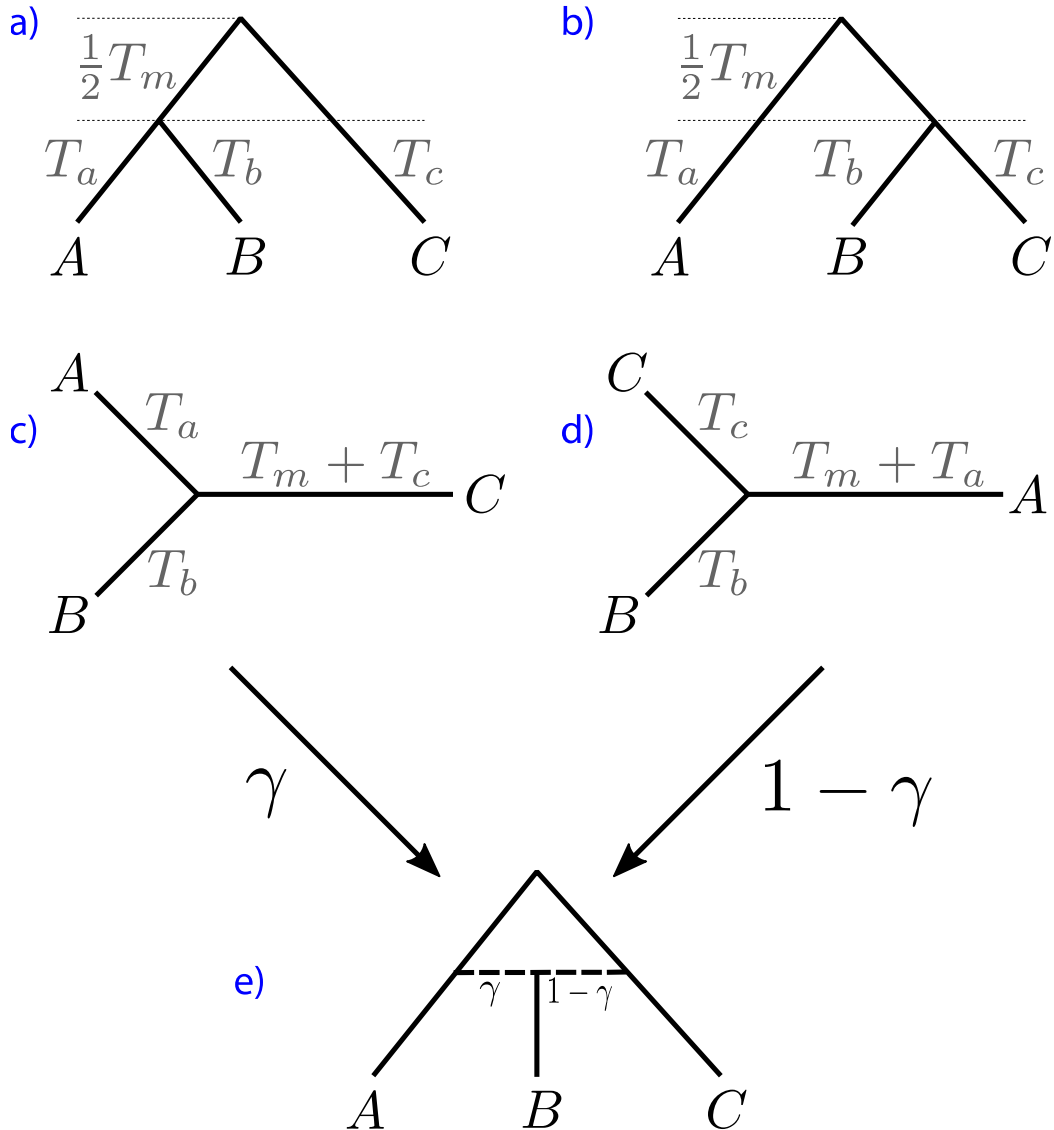
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Supplementary Fig 18: Admixture graphs representing (A) a single hybridisation event prior to the divergence of the wisent, and (B) two independent hybridisation events leading to a wisent clade and a CladeX.

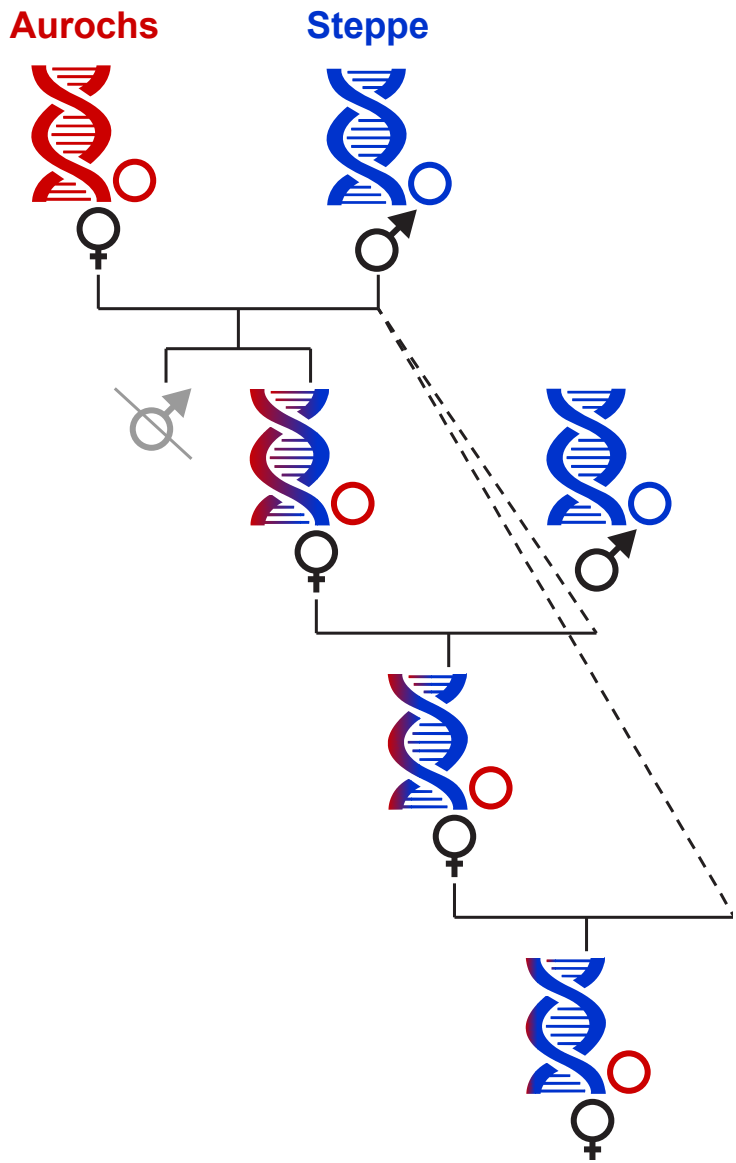
Topology X_1

Topology X_2



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Supplementary Fig 19: A hybrid species tree (e), where individual B is a hybrid of A and C lineages, has two contributing species trees, (a) topology X_1 , and (b) topology X_2 , with proportion γ from topology X_1 and proportion $1 - \gamma$ from topology X_2 . The unrooted gene trees are shown for (c) topology X_1 , and (d) topology X_2 . Branch lengths T_a, T_b, T_c and T_m have units $2N_e\mu$ generations.



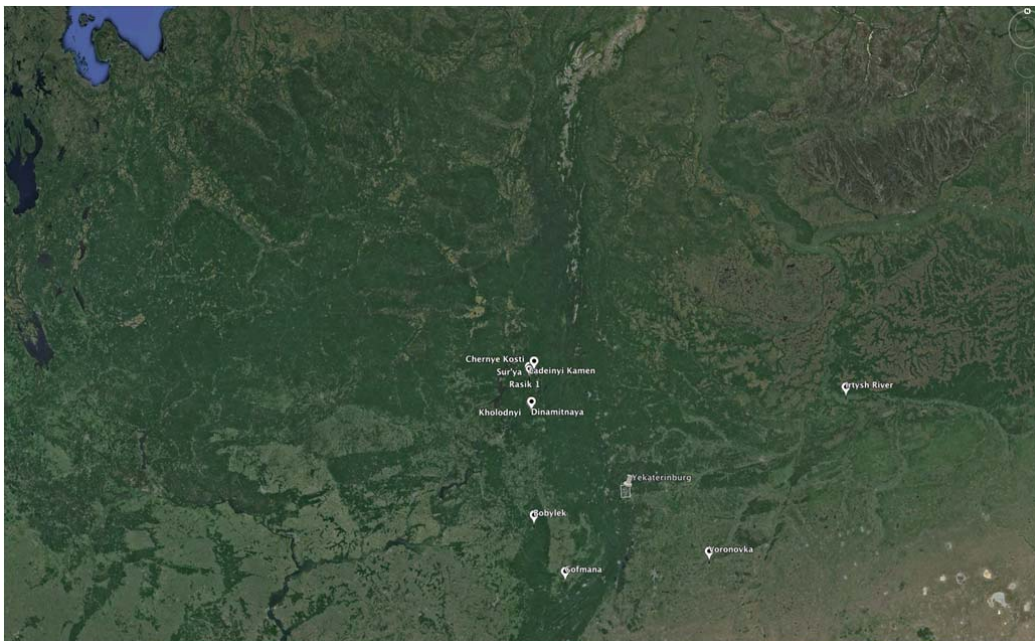
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Supplementary Fig 20. Schematic representation of asymmetrical hybridisation between female aurochs and male steppe bison, and its genetic imprint on both nuclear and mitochondrial genomes after a few generations. The coloured double helix represents the nuclear genome, while the circles represent the strictly maternally inherited mitochondrial genome.

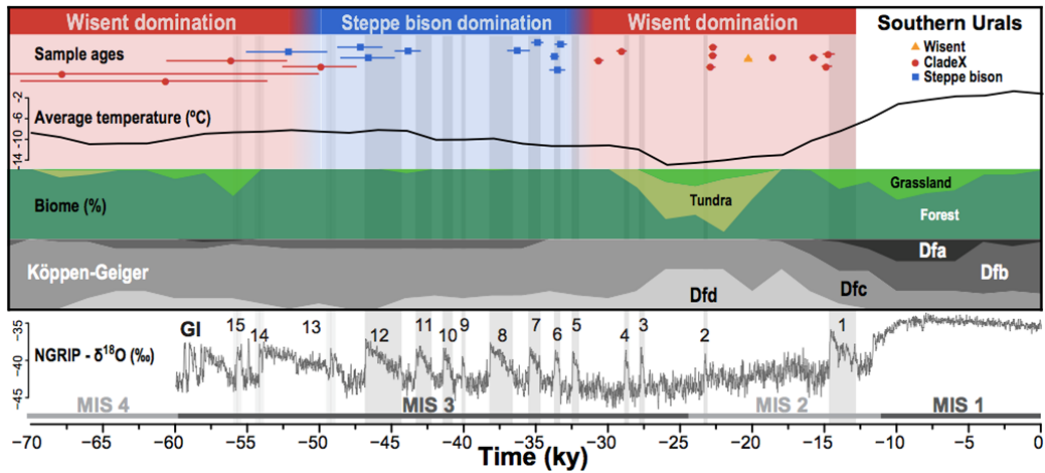
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Supplementary Fig 21. Location of all cave sites from which bison samples have been genotyped in the Ural region.



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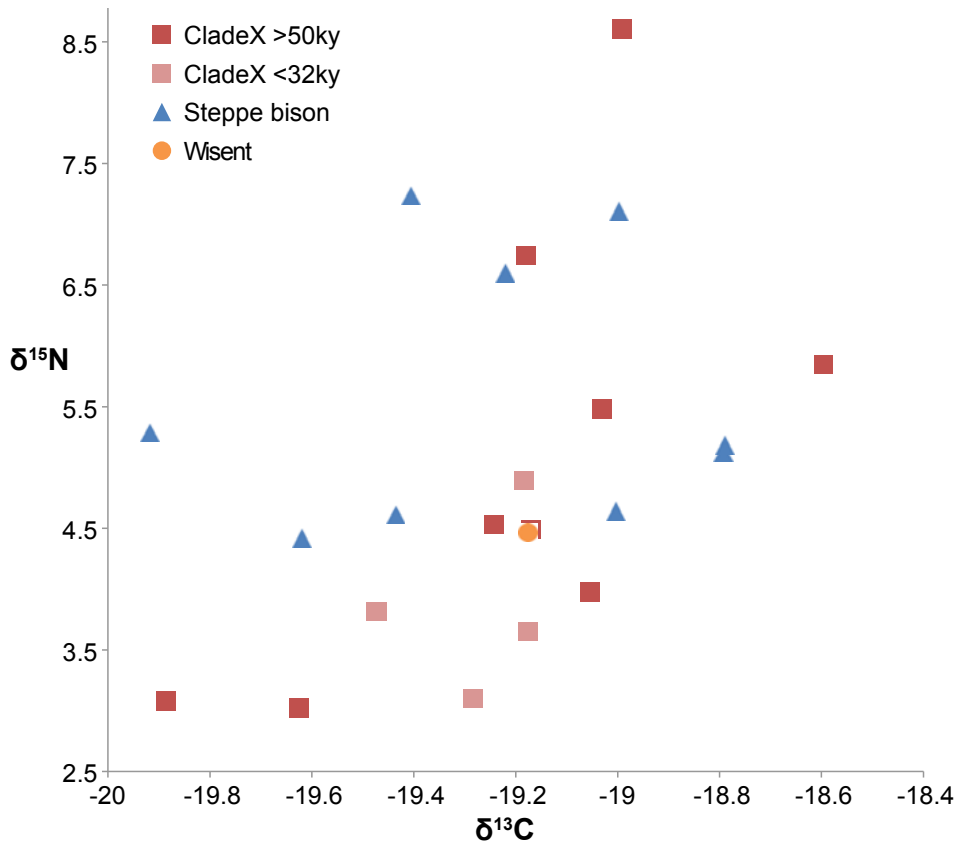
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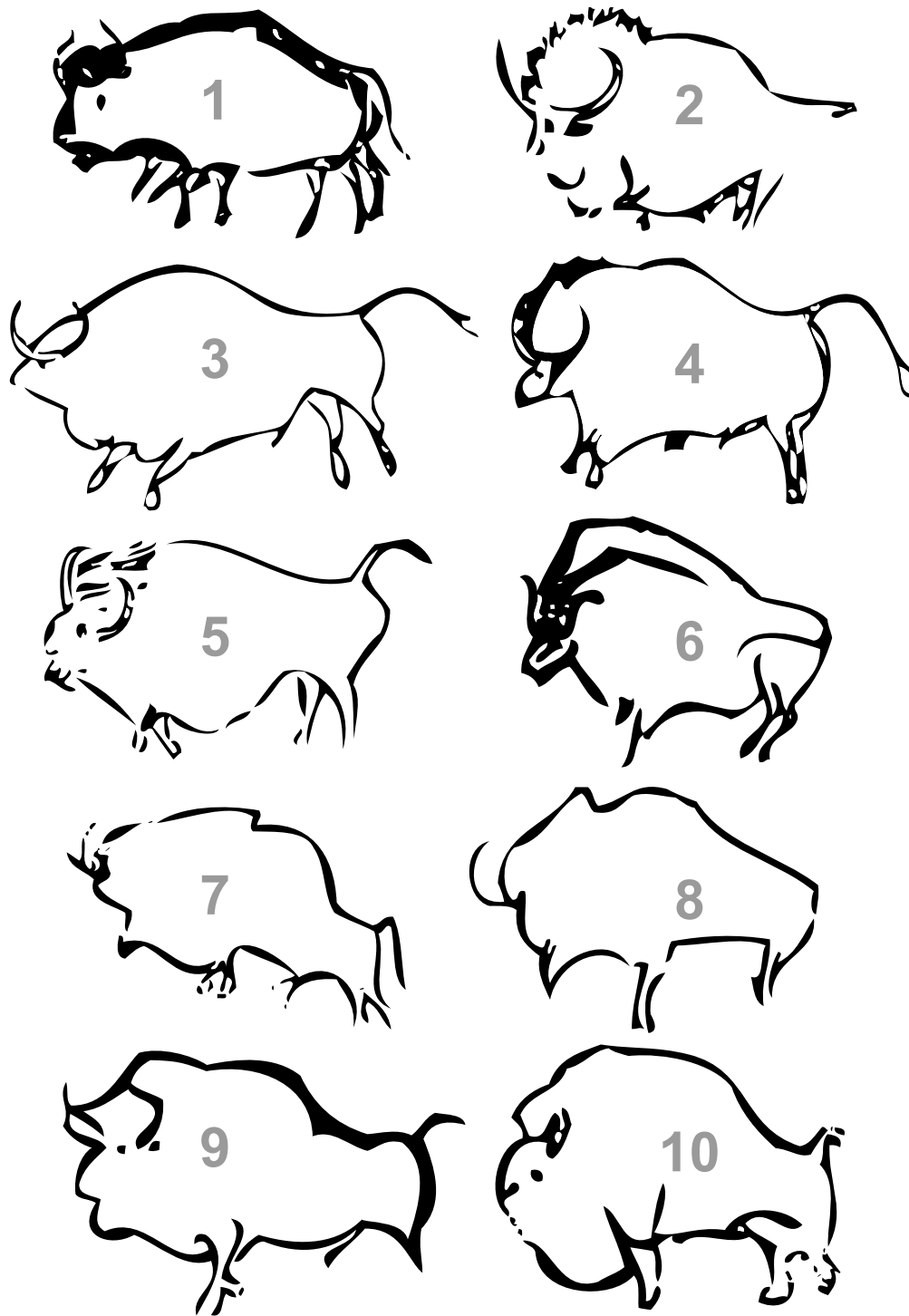
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Supplementary Fig 22. Chronology of the Urals samples showing a series of replacement patterns that correlate with climate events. Individual calibrated AMS dates are plotted on top of the NGRIP $\delta^{18}\text{O}$ record¹. Greenland Interstadials (GI) are numbered in black, and Marine Isotope Stages (MIS) in grey. Inferred average temperature, biome reconstruction and proportion of the area for different Köppen climate classes are shown for the exact region where bison were sampled in southern Urals (Köppen climate classes: D for ‘snow’, f for ‘fully humid’, then a=hot summer; b=warm summer; c=cool summer; d=extremely continental). The most recent population replacement between wisent and steppe bison occurs around 32-33 ky, when major environmental transitions are also observed: 1) Globally, as shown on the NGRIP record with the last major interglacial event (GI 5) before a long period of cold climate; but also 2) Locally, as shown on both the average temperature and biome reconstructions. In this situation, wisent are associated with a cooler climate and the presence of tundra-like vegetation. Although dating resolution is degrading for deeper time, a similar shift is apparent around 50-52 kya. Steppe bison occupied this environment in MIS 3, but have not been detected after this stage and indeed were in a severe population decline by GI 1².



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Supplementary Fig 23. Stable $\delta^{13}\text{C}$ and $\delta^{15}\text{N}$ isotope values for all genotyped bison sampled from the Ural region.



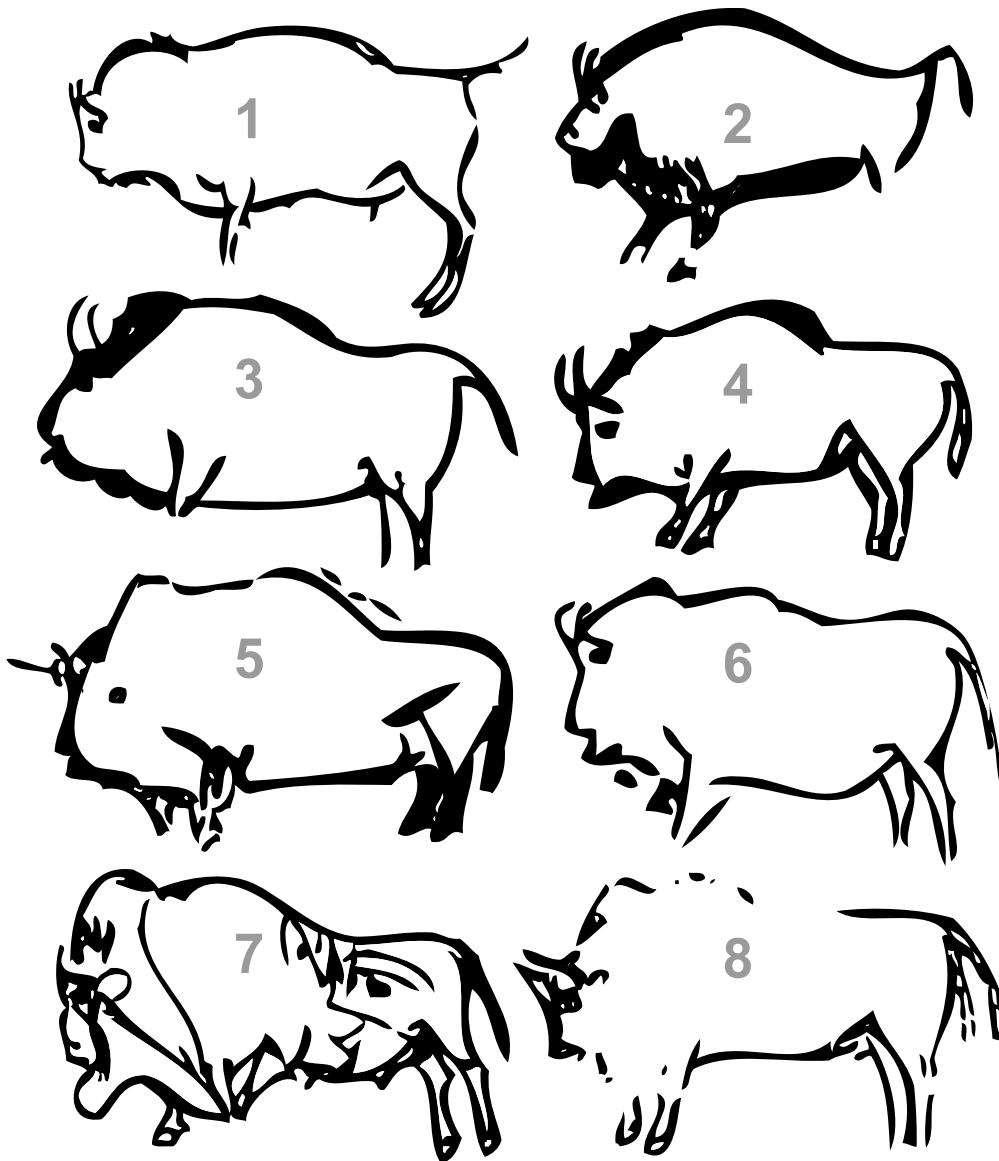
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137 **Supplementary Fig 24. Steppe-like morphologies.** In European Palaeolithic art, some bison
 138 depictions show morphological traits and anatomical details compatible with the morphology of steppe
 139 bison (or American bison ancestry). Dates are given as indication based on archaeological occupation
 140 determined for each site, or, in the absence of such dating, based on stylistic comparison with other
 141 depictions:

142 1. Grotte Chauvet-Pont d'Arc (Ardèche, France). Blurred black charcoal drawing. Aurignacian period
 143 (~35,100 ± 175 calBP. (from C. Fritz and G. Tosello)

144 2. Grotte de Lascaux (Dordogne, France). Carving. Solutrean (~22,200 ± 380 calBP) or early
 145 Magdalenian period (between ~19,300 ± 561 and ~20,597 ± 375 calBP). (adapted from A. Glory³)

- 146 3. Grotte de Lascaux (Dordogne, France). Carving. Solutrean ($\sim 22,200 \pm 380$ calBP) or early
147 Magdalenian period (between $\sim 19,300 \pm 561$ and $\sim 20,597 \pm 375$ calBP). (adapted from A. Glory³)
- 148 4. Grotte de Lascaux (Dordogne, France). Carving. Solutrean ($\sim 22,200 \pm 380$ calBP) or early
149 Magdalenian period (between $\sim 19,300 \pm 561$ and $\sim 20,597 \pm 375$ calBP). (adapted from A. Glory³)
- 150 5. Grotte du Gabillou (Dordogne, France). Carving. Early Magdalenian period ($\sim 20,597 \pm 375$ calBP).
151 (adapted from J. Gausson)
- 152 6. Grotte des Trois Frères (Ariège, France). Carving. Gravettian period (dating estimated based on
153 stylistic analysis). (adapted from H. Breuil⁴)
- 154 7. Grotte du Pech Merle (Lot, France). Painting (manganese). Gravettian period ($\sim 29,447 \pm 443$ calBP).
155 (adapted from M. Lorblanchet⁵)
- 156 8. Grotte du Pech Merle (Lot, France). Painting (manganese). Gravettian period ($\sim 29,447 \pm 443$ calBP).
157 (adapted from M. Lorblanchet⁵)
- 158 9. Grotte de La Pasiega (Cantabria, Spain). Black and red painting. Gravettian or Solutrean period
159 (dating estimated based on stylistic analysis). (adapted from H. Breuil⁴)
- 160 10. Abri du Roc de Sers (Charente, France). Carving on limestone. Solutrean period ($< 20,442 \pm 409$
161 calBP). (adapted from L. Henri-Martin)
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164 **Supplementary Fig 25. Wisent-like morphologies.** In European Palaeolithic art, some bison
 165 depictions show morphological traits and anatomical details compatible with identification of wisent
 166 ancestry. Dates are given as indication based on archaeological occupation determined for each site, or,
 167 in the absence of such dating, based on stylistic comparison with other depictions:

168 1. Grotte de Pergouset (Ardèche, France). Carving. Magdalenian period (dating estimated based on
 169 stylistic analysis). (adapted from M. Lorblanchet⁵)

170 2. Grotte du Portel (Ariège, France). Painting. Magdalenian period ($\sim 14,250 \pm 295$ calBP). (adapted
 171 from H. Breuil⁴)

172 3. Grotte de Niaux (Ariège, France). Painting. Magdalenian period ($\sim 17,000 \pm 260$ calBP). (adapted
 173 from H. Breuil⁴)

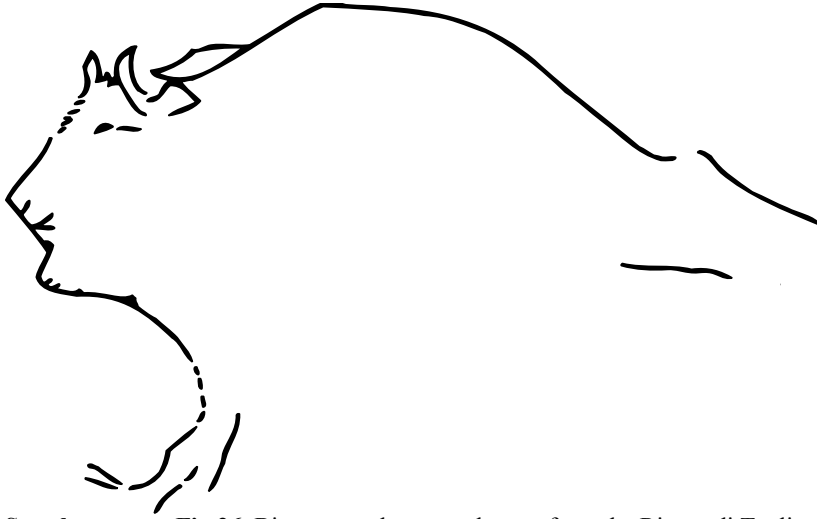
174 4. Grotte de Niaux (Ariège, France). Painting. Magdalenian period ($\sim 17,000 \pm 260$ calBP). (adapted
 175 from H. Breuil⁴)

176 5. Grotte de Fontanet (Ariège, France). Carving. Magdalenian period (between $\sim 14250 \pm 295$ calBP
 177 and $\sim 16,600 \pm 1000$ calBP). (adapted from A. Glory⁵)

178 6. Grotte de Rouffignac (Dordogne, France). Painting. Magdalenian period (dating estimated based on
 179 stylistic analysis). (adapted from C. Barrière⁶)

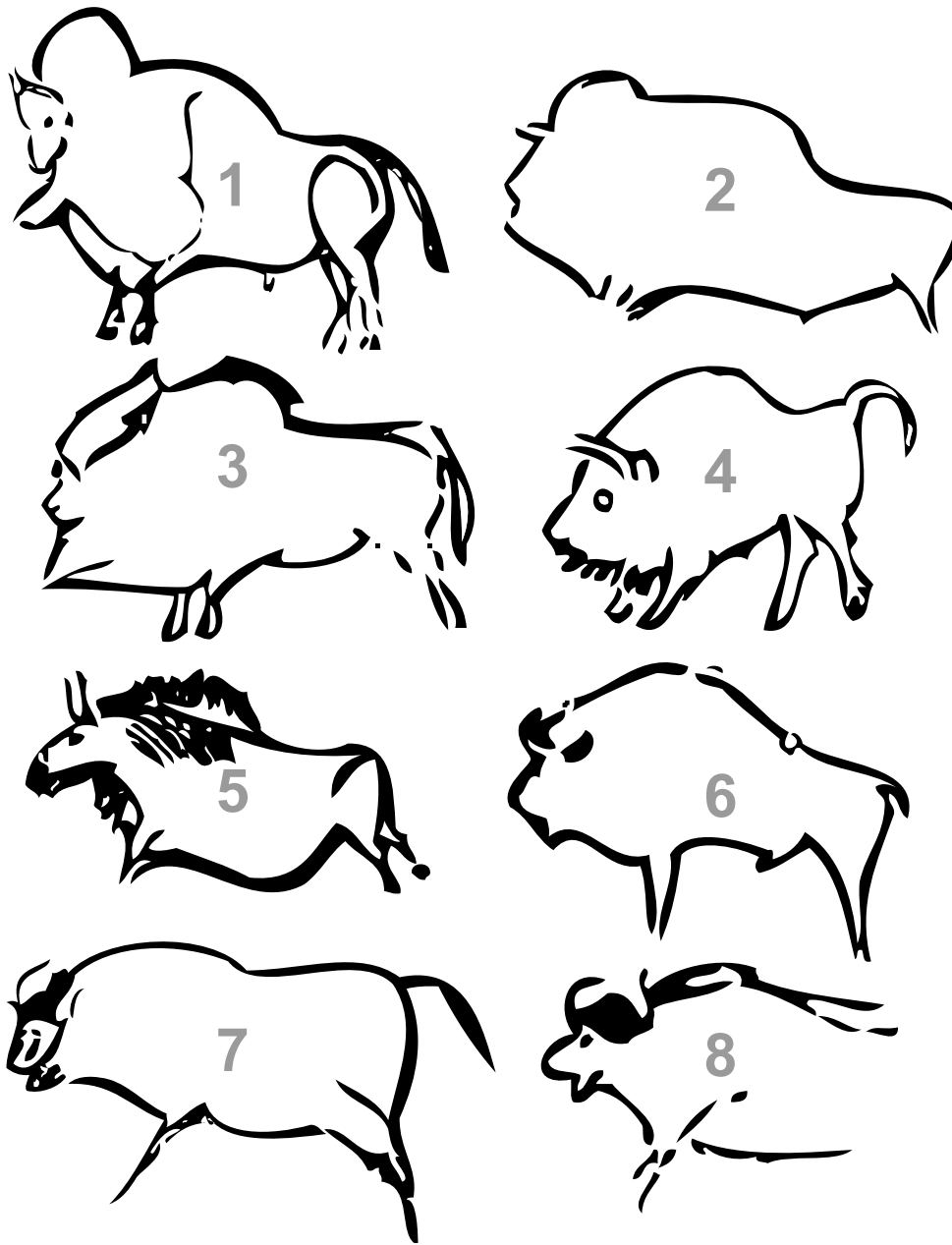
- 180 7. Grotte des Combarelles (Dordogne, France). Carving. Magdalenian period (between ~17,000 and
181 ~14,300 calBP). (adapted from H. Breuil⁴)
182 8. Grotte de Marsoulas (Haute-Garonne, France). Carving. Magdalenian period (dating estimated based
183 on stylistic analysis). (from C. Fritz et G. Tosello)

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Supplementary Fig 26. Bison carved on round stone from the Riparo di Tagliente site in Italy



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 189 **Supplementary Fig 27. Undetermined morphologies.** In European Palaeolithic art, some bison
 190 depictions show morphological traits and anatomical details that could be compatible with either bison
 191 form. These pictures illustrate the limits of cave art analyses for morphological assessment of bison
 192 forms, due to varying graphical conventions between cultures. Dates are given as indication based on
 193 archaeological occupation determined for each site, or, in the absence of such dating, based on stylistic
 194 comparison with other depictions:

195 1 Grotte de Font-de-Gaume (Dordogne, France). Black and red painting, and carving. Magdalenian
 196 period (dating estimated based on stylistic analysis). (adapted from H. Breuil⁴)

197 2 Grotte de Niaux (Ariège, France). Painting. Magdalenian period (~17,000 ± 260 calBP). (adapted
 198 from H. Breuil⁴)

199 3 Grotte des Trois Frères (Ariège, France). Carving. Magdalenian period (dating estimated based on
 200 stylistic analysis). (adapted from H. Breuil⁴)

201 4 Grotte des Trois Frères (Ariège, France). Carving. Magdalenian period (dating estimated based on
 202 stylistic analysis). (adapted from H. Breuil⁴)

- 203 5 Grotte des Trois Frères (Ariège, France). Carving. Gravettian period (dating estimated based on
204 stylistic analysis). (adapted from H. Breuil⁴)
- 205 6 Grotte de La Grèze (Dordogne, France). Carving. Gravettian period (dating estimated based on
206 stylistic analysis) (adapted from N. Aujoulat)
- 207 7 Grotte Chauvet-Pont d'Arc (Ardèche, France). Blured black charcoal drawing. Aurignacian period
208 (~35100 ± 175 calBP). (from C. Fritz-G. Tosello)
- 209 8 Grotte Chauvet-Pont d'Arc (Ardèche, France). Blured black charcoal drawing. Aurignacian period
210 (~35100 ± 175 calBP). (from C. Fritz-G. Tosello)
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Supplementary Tables

Supplementary Table 1. Primers and adapters used in this study

	Primer	Primer Sequence (5' - 3')	Length (a)
Set_A1	BovCR-16351F	CAACCCCAAAGCTGAAG	~96bp
	BovCR-16457R	TGGTTRGGGTACAAAGTCTGTG	
Set_B1	BovCR-16420F	CCATAAATGCAAAGAGCCTCAYCAG	~172bp
	BovCR-16642R	TGCATGGGGCATATAATTTAATGTA	
Set_A2	BovCR-16507F	AATGCATTACCCAAACRGGG	~184bp
	BovCR-16755R	ATTAAGCTCGTGATCTARTGG	
Set_B2	BovCR-16633F ^(b)	GCCCCATGCATATAAGCAAG	~132bp
	BovCR-16810R ^(b)	GCCTAGCGGGTTGCTGGTTTCACGC	
Set_A3	BovCR-16765F ^(b)	GAGCTTAAYTACCATGCCG	~125bp
	BovCR-16998R	CGAGATGTCTTATTTAAGAGGAAAGAATGG	
Set_B3	BovCR-16960F	CATCTGGTTCTTTCTTCAGGGCC	~110bp
	BovCR-80R ^(b)	CAAGCATCCCCAAAATAAA	
Frag1	BovCR_16738M F ^(c,d)	CACGACGTTGTA AAAACGAC ATYGTACATAGYACATTATGTCAA	~67bp
	BovCR_16810T R ^(c,d)	TACGACTACTATAGGGCGAGC CTAGCGGGTTGCTGGTTTCACGC	
Frag2	Mamm_12SE ^(d)	CTATAATCGATAAAACCCCGATA	~96bp
	Mamm_12SH ^(d)	GCTACACCTTGACCTAAC	
	GAII_Indexing_x	CAAGCAGAAGACGGCATAACGAGATNNNNNNNGAGTGACTGGA GTTCAGACGTGT	n/a
	IS4_indPCR.P5 ^(e)	AATGATACGGCGACCACCGAGATCTACACTCTTCCCTACACGACGCTCTT	n/a
	IS7_short_amp.P5 ^(e)	ACACTCTTTCCTACACGAC	n/a
	IS8_short_amp.P7 ^(e)	GTGACTGGAGTTCAGACGTGT	n/a
	P5_short_RNAblock	ACACUCUUUCCCUACACGAC	n/a
	P7_short_RNAblock	GUGACUGGAGUUCAGACGUGU	n/a
	Bison_mt1_forward ^(f)	ACCGCGGTCATACGATTAAC	
	Bison_mt1_reverse ^(f)	AATTGCGAAGTGGATTTGG	
	Bison_mt2_forward ^(f)	ATGAGCCAAAATCCACTTCG	
	Bison_mt2_reverse ^(f)	TGTATTTGCGTCTGCTCGTC	
	Bison_mt3_forward ^(f)	CGAATCCACAGCCGA ACTAT	
	Bison_mt3_reverse ^(f)	TATAAAGCACCGCCAAGTCC	

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(a): Primers are excluded from the length of PCR amplicon.

(b):².

(c): M13 (CAC GAC GTT GTA AAA CGA C) and T7 (TAC GAC TCA CTA TAG GGC GA) sequences were used as tags for primers BovCR_16738F and BovCR_16810R, respectively. This was done to obtain good quality Sanger sequences from short amplicons.

(d): One-step simplex PCRs.

(e): (Meyer and Kircher, "Illumina Sequencing Library Preparation for Highly Multiplexed Target Capture and Sequencing.")

(f): Primer pairs for use to generate DNA baits for mitochondrial DNA capture.

Supplementary Table 2. Summary of nuclear alleles detected at bovine SNP loci: NGS results and locus counts for ancient samples; locus counts for modern samples

Sample ID	Method	Mapping results for the 9908 SNP positions										Number of SNP called out of the 9908 targeted for each ancient individuals					
		Retained_reads	hits_raw	hits_unique	hits_raw_frac	hits_clonality	Mean coverage	Total	Coverage depth >=1		Coverage depth >=2		Total	Coverage depth >=1		Coverage depth >=2	
									hits_raw	hits_unique	hits_raw_frac	hits_clonality		Mean coverage	REF/REF	ALT/ALT	REF/REF
A15526		7045	1821	99	0.26	0.95	0.01	49	0	0	1	1	0	0	0	0	0
A017		1280556	3893	1289	0.00	0.67	0.13	630	0	39	88	49	0	39	0	39	0
A018		967346	3116	538	0.00	0.83	0.05	253	0	12	28	16	0	12	0	12	0
A001		656008	392937	3486	0.60	0.99	0.35	1484	2	214	523	307	2	214	2	214	2
A003		1706985	12957	3423	0.01	0.74	0.35	1569	5	201	470	264	5	201	5	201	5
A004	10k capture	240370	132883	645	0.55	1.00	0.07	315	0	28	64	36	0	28	0	28	0
A005		1736500	25788	3519	0.01	0.86	0.35	1643	7	198	464	259	7	198	7	198	7
A006		10413909	99392	22312	0.01	0.78	2.25	5690	104	2118	4755	2533	104	2118	104	2118	104
A007		3583539	23832	2841	0.01	0.88	0.29	1307	1	222	509	286	1	222	1	222	1
A15654		1700840	1227601	220913	0.72	0.82	22.28	8738	230	3976	8488	4282	230	3976	230	3976	230
A4093		9400283	62631	4478	0.01	0.93	0.45	1946	2	464	1031	565	2	464	2	464	2
A3133	Shotgun / 10k	299829433	9812523	465082	0.03	0.95	46.87	8898	321	3998	8680	4361	321	3998	321	3998	321
A875	and 40k capture	3908972	291640	234493	0.07	0.20	23.65	8433	342	3750	8144	4052	342	3750	342	3750	342
CPC98_Aurochs	From published genome							8882	4770	1808	8810	4698	1808	2304	1808	2304	1808

Supplementary Table 3. Summary statistics for NGS of whole mitochondrial genomes

Sample ID	Retained_reads	hits_raw	hits_unique	hits_raw_frac	hits_clonality	AVG_Depth	STD_Depth	AVE_Length	STD_Length	5pC>T	3pG>A	Library repair
A001	4822143	1618364	86944	0.34	0.95	432.09	224.83	80.82	37.60	0.03	0.02	
A004	5150804	2314449	220697	0.45	0.90	1152.17	541.88	84.88	36.11	0.02	0.02	
A018	3790161	1021750	24699	0.27	0.98	130.53	60.04	85.32	34.05	0.03	0.03	USER
A4089	8618722	5380606	44044	0.62	0.99	237.83	155.46	87.18	33.56	0.02	0.02	
A3133	66864927	1958	1949	0.00	0.00	11.41	6.77	93.92	29.66	0.00	0.01	
A003	985033	371605	64372	0.38	0.83	334.44	112.68	84.31	34.07	0.08	0.07	
A005	521428	262622	39121	0.50	0.85	196.95	65.76	81.59	30.96	0.05	0.09	
A006	456078	120668	44541	0.26	0.63	208.39	93.86	75.86	25.87	0.13	0.17	
A007	431113	175432	43269	0.41	0.75	192.35	85.93	71.74	24.13	0.11	0.08	Partial UDG
A4093	212315	106221	16923	0.50	0.84	73.23	31.26	70.48	24.60	0.07	0.09	
A15637	469884	4401	2621	0.01	0.40	8.85	7.22	50.41	12.17	0.41	0.35	
A15654	294965	29628	28329	0.10	0.04	170.48	89.68	98.23	34.91	0.05	0.02	
A15668	230709	3603	2842	0.02	0.21	11.07	7.80	59.61	15.06	0.07	0.06	
LE237	507023	4271	2677	0.01	0.37	9.84	5.70	58.98	23.99	0.55	0.51	
LE242	6912671	48793	35418	0.01	0.27	120.46	67.86	55.09	18.68	0.61	0.60	None
LE257	4156307	184236	28788	0.04	0.84	94.38	38.34	53.17	20.00	0.52	0.50	

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Supplementary Table 4. List of published mitochondrial control region sequences used for phylogenetic analysis. The Urals steppe bison are highlighted in red.

American bison	Bison_priscus BS146_NS_11810_50	Bison_priscus BS397_NS_32370_470	Bos_indicus AY378135_0_0
Bison_bison AF083357_H1_0_0	Bison_priscus BS147_NS_28120_290	Bison_priscus BS398_NS_27400_260	Bos_indicus DQ887765_0_0
Bison_bison AF083358_H2_0_0	Bison_priscus BS148_NS_6400_50	Bison_priscus BS400_NS_46100_2600	Bos_indicus EF417971_0_0
Bison_bison AF083359_H3_0_0	Bison_priscus BS149_NS_46100_2200	Bison_priscus BS405_SI_23040_120	Bos_indicus EF417974_0_0
Bison_bison AF083360_H4_0_0	Bison_priscus BS150_NS_10510_50	Bison_priscus BS407_NWT_55500_3100	Bos_indicus EF417976_0_0
Bison_bison AF083361_H5_0_0	Bison_priscus BS151_NS_21530_130	Bison_priscus BS412_Y_30500_250	Bos_indicus EF417977_0_0
Bison_bison AF083362_H6_0_0	Bison_priscus BS161_NS_21040_120	Bison_priscus BS414_BIR_4495_60	Bos_indicus EF417979_0_0
Bison_bison AF083363_H7_0_0	Bison_priscus BS163_LC_13240_75	Bison_priscus BS415_D_30810_975	Bos_indicus EF417981_0_0
Bison_bison AF083364_H8_0_0	Bison_priscus BS164_LC_19540_120	Bison_priscus BS418_China_26560_670	Bos_indicus EF417983_0_0
Bison_bison BS100_29_5	Bison_priscus BS165_LC_26460_160	Bison_priscus BS438_AB_53800_2200	Bos_indicus EF417985_0_0
Bison_bison BS102_22_5	Bison_priscus BS170_YT_13040_70	Bison_priscus BS440_AB_60400_2900	Bos_indicus EF524120_0_0
Bison_bison BS129_0_2000	Bison_priscus BS172_LC_12525_70	Bison_priscus BS443_AB_34050_450	Bos_indicus EF524125_0_0
Bison_bison BS162_AK_170_30	Bison_priscus BS176_LC_12380_60	Bison_priscus BS459_China_47700_1000	Bos_indicus EF524126_0_0
Bison_bison BS173_NTC_3220_45	Bison_priscus BS178_LC_17960_90	Bison_priscus BS469_AB_305_24	Bos_indicus EF524128_0_0
Bison_bison BS175_ICE_186_30	Bison_priscus BS192_F_26300_300	Bison_priscus BS472_F_13235_65	Bos_indicus EF524130_0_0
Bison_bison BS177_NTC_3155_36	Bison_priscus BS193_NS_49600_4000	Bison_priscus BS473_AB_56300_3100	Bos_indicus EF524132_0_0
Bison_bison BS200_AB_145_37	Bison_priscus BS195_NS_29040_300	Bison_priscus BS477_D_33710_240	Bos_indicus EF524135_0_0
Bison_bison BS342_CHL_10340_40	Bison_priscus BS196_NS_19420_140	Bison_priscus BS478_D_34470_200	Bos_indicus EF524141_0_0
Bison_bison BS348_CHL_10505_45	Bison_priscus BS198_Y_2460_40	Bison_priscus BS490_BIR_2415_25	Bos_indicus EF524152_0_0
Bison_bison BS368_0_2000	Bison_priscus BS201_Y_12960_60	Bison_priscus BS493_NS_50000_4200	Bos_indicus EF524156_0_0
Bison_bison BS417_AB_909_29	Bison_priscus BS202_AB_10460_65	Bison_priscus BS494_NS_44800_2200	Bos_indicus EF524160_0_0
Bison_bison BS419_AB_7475_45	Bison_priscus BS206_Sibh_23780_140	Bison_priscus BS495_NS_29570_340	Bos_indicus EF524166_0_0
Bison_bison BS421_AB_8145_45	Bison_priscus BS211_Sibh_43800_1100	Bison_priscus BS497_NS_30000_540	Bos_indicus EF524172_0_0
Bison_bison BS422_AB_908_31	Bison_priscus BS216_NS_47000_2900	Bison_priscus BS498_NS_25980_230	Bos_indicus EF524170_0_0
Bison_bison BS423_AB_4660_38	Bison_priscus BS218_SI_14605_75	Bison_priscus BS499_NS_31410_420	Bos_indicus EF524177_0_0
Bison_bison BS424_AB_202_32	Bison_priscus BS222_NWT_6110_45	Bison_priscus BS500_NS_35580_550	Bos_indicus EF524180_0_0
Bison_bison BS426_AB_7060_45	Bison_priscus BS223_SI_53300_1900	Bison_priscus BS517_BIR_2526_26	Bos_indicus EF524183_0_0
Bison_bison BS428_AB_7105_45	Bison_priscus BS224_AK_13125_75	Bison_priscus BS564_SI_24570_90	Bos_indicus EF524185_0_0
Bison_bison BS429_AB_6775_40	Bison_priscus BS233_SW_16685_80	Bison_priscus BS571_Sldy_32910_170	Bos_indicus L27732_0_0
Bison_bison BS430_9270_50	Bison_priscus BS235_BIR_43400_900	Bison_priscus BS592_Urals_42500_450	Bos_indicus L27736_0_0
Bison_bison BS432_AB_7310_45	Bison_priscus BS236_SW_19420_100	Bison_priscus BS605_NTC_20380_90	
Bison_bison BS433_AB_10450_55	Bison_priscus BS237_AB_11240_70	Bison_priscus BS660_Urals_29500_140	Aurochs
Bison_bison BS434_AB_809_32	Bison_priscus BS243_SW_37550_400	Bison_priscus BS662_SI_20000_0	Bos_primigenius DQ915522_ALL1_12030_52
Bison_bison BS439_AB_5845_45	Bison_priscus BS244_LC_26210_170	Bison_priscus BS674_Urals_29060_140	Bos_primigenius DQ915523_CAT1_5650_0
Bison_bison BS441_AB_1273_32	Bison_priscus BS248_OCr_12350_70	Bison_priscus BS708_Urals_47050_750	Bos_primigenius DQ915524_CHWF_3905_185
Bison_bison BS444_AB_636_29	Bison_priscus BS249_F_39200_550	Bison_priscus BS713_Urals_30970_180	Bos_primigenius DQ915537_CPC98_5936_34
Bison_bison BS445_AB_378_30	Bison_priscus BS253_LC_12665_65	Bison_priscus BS719_LC_12465_75	Bos_primigenius DQ915542_EIL06_5830_29
Bison_bison BS449_6195_45	Bison_priscus BS254_CHL_10230_55	European bison	Bos_primigenius DQ915543_EIL14_5830_29
Bison_bison BS454_AB_287_29	Bison_priscus BS258_F_22120_130	Bison_bonanus AF083356_0_0	Bos_primigenius DQ915554_LJU3_8020_50
Bison_bison BS456_AB_125_30	Bison_priscus BS260_D_30750_290	Bison_bonanus AY428860_0_0	Bos_primigenius DQ915558_NORF_3370_30
Bison_bison BS460_AB_10425_50	Bison_priscus BS261_LC_12915_70	Bison_bonanus EF693811_0_0	Bos_primigenius EF187280_PVL04_3204_56
Bison_bison BS464_AB_5205_45	Bison_priscus BS262_D_29150_500	Bison_bonanus EU272053_0_0	
Bison_bison BS465_AB_7115_50	Bison_priscus BS262_D_29150_500	Bison_bonanus EU272054_0_0	Cattle
Bison_bison BS466_AB_3298_37	Bison_priscus BS281_BIR_40800_600	Bison_bonanus EU272055_0_0	Bos_taurus DQ124372_T4_0_0
Bison_bison BS503_BIR_2776_36	Bison_priscus BS282_SI_56700_3200	Bison_bonanus U12953_0_0	Bos_taurus DQ124375_T4_0_0
Bison_bison BS560_AB_2807_28	Bison_priscus BS284_Y_13135_65	Bison_bonanus U12954_0_0	Bos_taurus DQ124381_T3_0_0
Bison_bison BS569_AB_3600_70	Bison_priscus BS286_Sim_49500_1300	Bison_bonanus U34294_0_0	Bos_taurus DQ124383_T2_0_0
Bison_bison BS570_AB_11300_290	Bison_priscus BS287_BIR_49100_1700		Bos_taurus DQ124388_T3_0_0
Bison_bison BS599_26_5	Bison_priscus BS289_BIR_2172_37	Yak	Bos_taurus DQ124394_T3_0_0
Bison_bison U12935_0_0	Bison_priscus BS291_NS_49700_1400	Bos_grunniens AY521140_0_0	Bos_taurus DQ124400_T4_0_0
Bison_bison U12936_0_0	Bison_priscus BS292_NS_35710_730	Bos_grunniens AY521149_0_0	Bos_taurus DQ124401_T4_0_0
Bison_bison U12941_0_0	Bison_priscus BS294_BIR_58200_3900	Bos_grunniens AY521150_0_0	Bos_taurus DQ124412_T4_0_0
Bison_bison U12943_0_0	Bison_priscus BS311_BIR_12425_45	Bos_grunniens AY521151_0_0	Bos_taurus EU177822_T3_0_0
Bison_bison U12944_0_0	Bison_priscus BS316_SI_57700_3000	Bos_grunniens AY521152_0_0	Bos_taurus EU177841_T1_0_0
Bison_bison U12945_0_0	Bison_priscus BS318_NS_12410_50	Bos_grunniens AY521154_0_0	Bos_taurus EU177842_T1_0_0
Bison_bison U12946_0_0	Bison_priscus BS320_SI_49600_1500	Bos_grunniens AY521155_0_0	Bos_taurus EU177845_T1_0_0
Bison_bison U12947_0_0	Bison_priscus BS320_SI_49600_1500	Bos_grunniens AY521156_0_0	Bos_taurus EU177847_T1_0_0
Bison_bison U12948_0_0	Bison_priscus BS321_AK_9506_38	Bos_grunniens AY521160_0_0	Bos_taurus EU177848_T1_0_0
Bison_bison U12955_0_0	Bison_priscus BS323_SI_37810_380	Bos_grunniens AY521161_0_0	Bos_taurus EU177853_T2_0_0
Bison_bison U12956_0_0	Bison_priscus BS327_D_31530_230	Bos_grunniens DQ007210_0_0	Bos_taurus EU177854_T2_0_0
Bison_bison U12957_0_0	Bison_priscus BS328_Sldy_31690_180	Bos_grunniens DQ007221_0_0	Bos_taurus EU177860_T2_0_0
Bison_bison U12958_0_0	Bison_priscus BS329_D_27060_190	Bos_grunniens DQ007222_0_0	Bos_taurus EU177861_T2_0_0
Bison_bison U12959_0_0	Bison_priscus BS337_CHL_10378_36	Bos_grunniens DQ856594_0_0	Bos_taurus EU177862_T5_0_0
	Bison_priscus BS340_NS_24500_180	Bos_grunniens DQ856599_0_0	Bos_taurus EU177863_T5_0_0
Steppe bison	Bison_priscus BS345_NS_39800_1200	Bos_grunniens DQ856600_0_0	Bos_taurus EU177864_T5_0_0
Bison_priscus A3133_Yukon_26360_220	Bison_priscus BS350_NS_38700_1000	Bos_grunniens DQ856603_0_0	Bos_taurus EU177865_T5_0_0
Bison_priscus BS105_F_23380_460	Bison_priscus BS351_BIR_57700_3200	Bos_grunniens DQ856604_0_0	
Bison_priscus BS107_F_19570_290	Bison_priscus BS359_NTC_20020_150	Bos_grunniens EF494177_0_0	Buffalo
Bison_priscus BS108_F_21020_360	Bison_priscus BS364_NS_38800_1100	Bos_grunniens EF494178_0_0	Bubalus_bubalis AF197208_0_0
Bison_priscus BS109_F_20730_350	Bison_priscus BS365_NS_47000_2900		Bubalus_bubalis AF475212_0_0
Bison_priscus BS111_F_21580_370	Bison_priscus BS387_NS_33320_540	Zebu	Bubalus_bubalis AF475256_0_0
Bison_priscus BS121_F_19360_280	Bison_priscus BS388_NS_27590_280	Bos_indicus AB085923_0_0	Bubalus_bubalis AF475259_0_0
Bison_priscus BS123_BIR_1730_60	Bison_priscus BS389_NS_17160_80	Bos_indicus AB268563_0_0	Bubalus_bubalis AF475278_0_0
Bison_priscus BS124_BIR_11900_70	Bison_priscus BS390_NS_31630_440	Bos_indicus AB268564_0_0	Bubalus_bubalis AY488491_0_0
Bison_priscus BS125_F_27440_790	Bison_priscus BS392_NS_36320_780	Bos_indicus AB268566_0_0	Bubalus_bubalis EF536327_0_0
Bison_priscus BS126_F_19150_280	Bison_priscus BS393_NS_39850_1200	Bos_indicus AB268571_0_0	Bubalus_bubalis EF536328_0_0
Bison_priscus BS130_BIR_9000_250	Bison_priscus BS394_NS_37460_890	Bos_indicus AB268574_0_0	Bubalus_bubalis EU268899_0_0
Bison_priscus BS133_F_33800_1900	Bison_priscus BS395_NS_40700_1300	Bos_indicus AB268578_0_0	Bubalus_bubalis EU268909_0_0
Bison_priscus BS145_NS_12270_50	Bison_priscus BS396_NS_23680_170	Bos_indicus AB268580_0_0	
		Bos_indicus AY378134_0_0	

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Supplementary Table 5. List of published whole mitochondrial genome sequences used for phylogenetic analysis.

American bison	Cattle	Yak
GU947000_Bison_bison_Plains_Nebraska_0	FJ971080_Bos_Q_Italy_Romagnola_0	KJ704989_Bos_grunniens_ChinaGansu_Gannan_0
GU946976_Bison_bison_Plains_Montana_0	FJ971085_Bos_R_Italy_Cinisara_0	KR011113_Bos_grunniens_ChinaTibet_QinghaiPlateau_0
GU947004_Bison_bison_Plains_Wyoming_0	EU177841_Bos_T1_Italy_chianina_0	KR052524_Bos_grunniens_ChinaTibet_Pali_0
GU947006_Bison_bison_Wood_Elksland_0	DQ124383_Bos_T2_Korea_0	KJ463418_Bos_grunniens_ChinaQinghai_Dantong_0
GU946987_Bison_bison_Plains_Montana_0	EU177815_Bos_T3_Italy_piemontese_0	KM233417_Bos_mutus_ChinaTibet_Yakow_0
GU947005_Bison_bison_Wood_Elksland_0	DQ124372_Bos_T4_Korea_0	Buffalo
GU947002_Bison_bison_Plains_Texas_0	EU177862_Bos_T5_Italy_valdostana_0	GU947003_Bison_bison_Plains_Texas_0
GU947003_Bison_bison_Plains_Texas_0	Aurochs	AY488491_Bubalus_bubalis
Wisent	GU985279_Bos_P_England_6760	AY702618_Bubalus_bubalis
JN632602_Bison_bonassus_0	JQ437479_Bos_P_Poland_1500	AF547270_Bubalus_bubalis
HQ223450_Bison_bonassus_0	Zebu	
HM045017_Bison_bonassus_Poland_0	FJ971088_Bos_I1_Mongolia_0	
Steppe bison	EU177870_Bos_I2_Iran_0	
KM593920_Bison_priscus_SGE2_France_TroisFreres_19151		

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Supplementary Table 6. f4 ratio estimates, f4(A,O,X,C) is the numerator, f4(A,O,B,C) is the denominator.

S6-A. Including heterozygotes

A	O	X	C	:	A	O	B	C	alpha	std.err	Z
AmericanBison	Ovis_aries	AllWisent+CladeX	Aurochs	:	AmericanBison	Ovis_aries	Steppe	Aurochs	0.890988	0.025788	34.551
AmericanBison	Ovis_aries	AllWisent+CladeX	Steppe	:	AmericanBison	Ovis_aries	Aurochs	Steppe	0.109012	0.025788	4.227
AmericanBison	Ovis_aries	AllWisent	Aurochs	:	AmericanBison	Ovis_aries	Steppe	Aurochs	0.884257	0.02918	30.304
AmericanBison	Ovis_aries	AllWisent	Steppe	:	AmericanBison	Ovis_aries	Aurochs	Steppe	0.115743	0.02918	3.967
AmericanBison	Ovis_aries	CladeX	Aurochs	:	AmericanBison	Ovis_aries	Steppe	Aurochs	0.893978	0.022763	39.273
AmericanBison	Ovis_aries	CladeX	Steppe	:	AmericanBison	Ovis_aries	Aurochs	Steppe	0.106022	0.022763	4.658
AmericanBison	Ovis_aries	AncientWisent	Aurochs	:	AmericanBison	Ovis_aries	Steppe	Aurochs	0.812638	0.054701	14.856
AmericanBison	Ovis_aries	AncientWisent	Steppe	:	AmericanBison	Ovis_aries	Aurochs	Steppe	0.187362	0.054701	3.425
AmericanBison	Ovis_aries	HistoricalWisent	Aurochs	:	AmericanBison	Ovis_aries	Steppe	Aurochs	0.773802	0.032319	23.943
AmericanBison	Ovis_aries	HistoricalWisent	Steppe	:	AmericanBison	Ovis_aries	Aurochs	Steppe	0.226198	0.032319	6.999
AmericanBison	Ovis_aries	ModernWisent	Aurochs	:	AmericanBison	Ovis_aries	Steppe	Aurochs	0.899149	0.031184	28.834
AmericanBison	Ovis_aries	ModernWisent	Steppe	:	AmericanBison	Ovis_aries	Aurochs	Steppe	0.100851	0.031184	3.234

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S6-B. Haploidisation by randomly sampling an allele at heterozygous sites

A	O	X	C	:	A	O	B	C	alpha	std.err	Z
AmericanBison	Ovis_aries	AllWisent+CladeX	Aurochs	:	AmericanBison	Ovis_aries	Steppe	Aurochs	0.894329	0.027147	32.944
AmericanBison	Ovis_aries	AllWisent+CladeX	Steppe	:	AmericanBison	Ovis_aries	Aurochs	Steppe	0.105671	0.027147	3.893
AmericanBison	Ovis_aries	AllWisent	Aurochs	:	AmericanBison	Ovis_aries	Steppe	Aurochs	0.88342	0.030518	28.947
AmericanBison	Ovis_aries	AllWisent	Steppe	:	AmericanBison	Ovis_aries	Aurochs	Steppe	0.11658	0.030518	3.82
AmericanBison	Ovis_aries	CladeX	Aurochs	:	AmericanBison	Ovis_aries	Steppe	Aurochs	0.912424	0.025204	36.202
AmericanBison	Ovis_aries	CladeX	Steppe	:	AmericanBison	Ovis_aries	Aurochs	Steppe	0.087576	0.025204	3.475
AmericanBison	Ovis_aries	AncientWisent	Aurochs	:	AmericanBison	Ovis_aries	Steppe	Aurochs	0.813521	0.059078	13.77
AmericanBison	Ovis_aries	AncientWisent	Steppe	:	AmericanBison	Ovis_aries	Aurochs	Steppe	0.186479	0.059078	3.156
AmericanBison	Ovis_aries	HistoricalWisent	Aurochs	:	AmericanBison	Ovis_aries	Steppe	Aurochs	0.786183	0.035363	22.232
AmericanBison	Ovis_aries	HistoricalWisent	Steppe	:	AmericanBison	Ovis_aries	Aurochs	Steppe	0.213817	0.035363	6.046
AmericanBison	Ovis_aries	ModernWisent	Aurochs	:	AmericanBison	Ovis_aries	Steppe	Aurochs	0.899281	0.032252	27.883
AmericanBison	Ovis_aries	ModernWisent	Steppe	:	AmericanBison	Ovis_aries	Aurochs	Steppe	0.100719	0.032252	3.123

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Supplementary Table 7: Bootstrap resampling of genotypes for testing topologies using D statistics. The table shows the fraction of bootstrap replicates for which the original result was not recapitulated, from 10000 bootstraps, for 10%, 20%, etc. subsets of the genotypes. A topology is considered to be simple if it either has a non-significant D statistic (see Supplementary Figure 11), or has a D statistic closest to zero with confidence intervals that do not overlap the D statistic for the other two topologies.

Most parsimonious topology	Simple topology	10%	20%	30%	40%	50%	60%	70%	80%	90%
((CladeX, Steppe), ModernWisent)	True	0.0067	0.0001	0.0	0.0	0.0	0.0	0.0	0.0	0.0
((Steppe, HistoricalWisent), ModernWisent)	False	0.0575	0.0573	0.0284	0.0036	0.0005	0.0	0.0	0.0	0.0
((ModernWisent, CladeX), HistoricalWisent)	False	0.1753	0.371	0.485	0.4427	0.3039	0.1564	0.0549	0.0072	0.0

((CladeX, Steppe), HistoricalWisent)	True	0.0182	0.0174	0.0154	0.016	0.0113	0.0072	0.0022	0.0004	0.0
((AncientWisent, HistoricalWisent), ModernWisent)	True	0.0565	0.0152	0.0042	0.0012	0.0	0.0	0.0	0.0	0.0
((Steppe, HistoricalWisent), AncientWisent)	False	0.0151	0.0039	0.0001	0.0002	0.0	0.0	0.0	0.0	0.0
((AncientWisent, Steppe), ModernWisent)	True	0.0484	0.0086	0.0014	0.0002	0.0	0.0	0.0	0.0	0.0
((CladeX, Steppe), AncientWisent)	False	0.0304	0.0142	0.0086	0.0063	0.0033	0.0025	0.0015	0.0001	0.0
((AncientWisent, CladeX), ModernWisent)	True	0.0703	0.0213	0.0062	0.0015	0.0007	0.0	0.0	0.0	0.0
((HistoricalWisent, CladeX), AncientWisent)	False	0.0184	0.0053	0.001	0.0005	0.0	0.0	0.0	0.0	0.0
((ModernWisent, HistoricalWisent), Aurochs)	False	0.0591	0.0031	0.0005	0.0	0.0	0.0	0.0	0.0	0.0
((Aurochs, ModernWisent), CladeX)	False	0.2229	0.2476	0.0824	0.0115	0.0009	0.0	0.0	0.0	0.0
((HistoricalWisent, CladeX), Aurochs)	True	0.0061	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
((Steppe, CladeX), Aurochs)	True	0.0001	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
((Steppe, HistoricalWisent), Aurochs)	True	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
((Steppe, ModernWisent), Aurochs)	False	0.1362	0.0535	0.0048	0.0007	0.0002	0.0	0.0001	0.0	0.0
((Steppe, AncientWisent), Aurochs)	True	0.0441	0.0082	0.0001	0.0001	0.0	0.0	0.0	0.0	0.0
((AncientWisent, CladeX), Aurochs)	True	0.0276	0.0058	0.0004	0.0001	0.0	0.0	0.0	0.0	0.0

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Supplementary Table 8: Hypergeometric test for shared derived steppe alleles. Steppe derived sites were filtered for coverage depth in the wisent lineages 1 and 2, for which the test was performed. In the last row, wisent represents all wisent other than CladeX.

1	2	Steppe	Derived 1	Derived 2	Common	P
Ancient Wisent	CladeX	161	111	133	108	1.72E-12
Ancient Wisent	Historical Wisent	174	115	119	108	1.37E-24
Ancient Wisent	Modern Wisent	178	124	108	95	5.12E-11
CladeX	Historical Wisent	529	448	385	370	3.09E-29
CladeX	Modern Wisent	556	469	350	326	2.79E-13
Historical Wisent	Modern Wisent	618	436	372	342	5.50E-48
Wisent	CladeX	557	357	468	332	4.18E-14

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257 **Supplementary Table 9:** Hypergeometric test for shared derived aurochs alleles. Aurochs derived
 258 sites were filtered for coverage depth in the wisent lineages 1 and 2, for which the test was performed.
 259 In the last row, wisent represents all wisent other than CladeX.

1	2	Aurochs	Derived 1	Derived 2	Common	P
Ancient Wisent	CladeX	758	20	9	4	4.11E-05
Ancient Wisent	Historical Wisent	822	22	11	8	1.01E-11
Ancient Wisent	Modern Wisent	826	25	22	12	1.49E-14
CladeX	Historical Wisent	2517	36	47	16	7.34E-20
CladeX	Modern Wisent	2580	39	73	15	1.99E-14
Historical Wisent	Modern Wisent	2845	58	83	39	2.66E-50
Wisent	CladeX	2634	93	41	15	1.58E-12

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 261 **Supplementary Table 10:** The weighted sample median \hat{M} , the weighted sample mode \hat{Mo} , and the
 262 prediction error
 263 E_{pred} , for each ABC analysis.

Trio	\hat{M}	\hat{Mo}	E_{pred}
A875, 6A, Aurochs	0.8660	0.9204	0.4534
A3133, 6A, Aurochs	0.8480	0.9172	0.4881
A875, Historical Wisent, Aurochs	0.8636	0.9323	0.4187
A3133, Historical Wisent, Aurochs	0.8646	0.9384	0.4921
All	0.8250	0.9034	0.5111

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 265 **Supplementary Table 11:** Empirical posterior probabilities for levels of hybridisation 1%-5%, for
 266 each trio.

Trio	1%	2%	3%	4%	5%
A875, 6A, Aurochs	0.9620	0.9340	0.8720	0.8400	0.8120
A3133, 6A, Aurochs	0.9600	0.9600	0.8840	0.8440	0.7980
A875, Historical Wisent, Aurochs	0.9660	0.9340	0.8860	0.8520	0.7940
A3133, Historical Wisent, Aurochs	0.9580	0.9100	0.8580	0.8080	0.7640
All	0.9720	0.9440	0.9140	0.8760	0.8760

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269 **Supplementary Note 1:**

270 **Samples, DNA extraction and sequencing**

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272 **Samples and radiocarbon dating**

273 For clarity purposes we kept the most commonly used taxonomic nomenclature of
274 bovine throughout the study. Although not yet widely accepted, it has been proposed
275 to sink the genus *Bison* into *Bos* based on the shallow time depth of their evolutionary
276 history ⁷. The validity of such genetic separation is further tested in this study.

277 Samples from a total of 87 putative bison bones were collected from 3 regions across
278 Europe: Urals, Caucasus, and Western Europe (Supplementary Data 1). As shown in
279 the Supplementary Data 1, most of the samples were from bones identified as bison or
280 bovid post-cranial samples, because cranial material is rare for this time period.

281 The main set of samples, from northeastern Europe, represents isolated bones
282 excavated from a wide variety of cave deposits throughout the Ural Mountains and
283 surrounding areas. These samples are housed at the Zoological Museum of the
284 Institute of Plant and Animal Ecology (ZMIPAE) in Ekaterinburg, Russia.

285 In southeastern Europe, bovid bone fragments were excavated in Mezmaiskaya Cave
286 in the Caucasus Mountains. Samples were obtained from the Laboratory of Prehistory
287 in St Petersburg. Additional six samples from the Caucasus are identified as
288 Caucasian bison (*B. bonasus caucasicus*, hereafter referred to as historical wisent):
289 two of them are from the National History Museum (NHM) in London, and four come
290 from hunts in the Kuban Oblast in the early 20th century (one collected by scientist
291 Viktor Iwanovich Worobjew in 1906 and three hunted during the Kuban Hunt under
292 the Grand Duke Sergei Mikhailovich of Russia), currently held at the Zoological
293 Institute of the Russian Academy of Sciences (ZIRAS - Saint Petersburg, Russia).
294 Four additional bones from the Caucasus region comes from the eastern border with
295 Ukraine and are held at the Institute of Archeology (IAKiev), Ukrainian Academy of
296 Sciences, Kiev.

297 Most western European bones come from late Pleistocene deposits on the North Sea
298 bed. These specimens, now curated by the North Sea Network (NSN) in the
299 Netherlands, were recovered by trawling operations and as such have little
300 stratigraphic information. Specimens were selected on the basis of their
301 morphological similarities with the ‘small form’ described by Drees and Post ⁸.

302 Three bones held in the collections of the Vienna Natural History Museum (VNHM),
303 and three bones held in the Museum National d’Histoire Naturelle (Paris) come from
304 central European Holocene sites.

305 Finally, one bone comes from the Monti Lessini rock-shelter site Riparo Tagliente in
306 the North of Italy, one bone comes from the Swiss site of Le Gouffre de la combe de
307 la racine in the Jura mountains (Swiss Institute for Speleology and Karst Studies,
308 ISSKA), and one bone comes from l’Aven de l’Arquet in the Gard region of France
309 (Musée de Préhistoire d’Orgnac).

310 In addition, two samples from the Beringian region were used: one sample, a steppe
311 bison astragalus from the Yukon territory (Canada), has previously been used in a
312 study of cytosine methylation in ancient DNA ⁹; and another steppe bison from
313 Alyoshkina Zaimka in Siberia.

314

315 All non-contemporaneous samples from which bison mitochondrial control region
316 sequences were successfully amplified were sent for accelerator mass spectrometry
317 (AMS) radiocarbon dating (except for seven samples from level 3 of the
318 Mezmaiskaya cave, which were expected to be older than AMS dating capabilities
319 ^{10,11}). The dating was performed by the AMS facility at the Oxford Radiocarbon
320 Accelerator Unit at the University of Oxford (OxA numbers), the Eidgenössische
321 Technische Hochschule in Zürich for a Ukrainian sample (ETH number), and the
322 Ångström Laboratory of the University of Uppsala, Sweden, for the Swiss sample (Ua
323 number). The results are shown in Supplementary Data 1, with all dates reported in
324 kcal yr BP unless otherwise stated. The calibration of radiocarbon dates was
325 performed using OxCal v4.1 with the IntCal13 curve ¹².
326 In addition, two bones identified as bison were previously dated at the Centre for
327 Isotope Research, Radiocarbon Laboratory, University of Groningen, Netherlands,
328 with infinite radiocarbon age, consistently with the dating performed at Oxford
329 (A2808-JGAC26=GrA-34533; A2809-JGAC27= GrA-34524).

330

331 **Ancient DNA extraction**

332 All ancient DNA work was conducted in clean-room facilities at the University of
333 Adelaide's Australian Centre for Ancient DNA, Australia (ACAD), and at the
334 University of Tuebingen, Germany (UT) following published guidelines ¹³.

335 University of Adelaide:

336 Samples were UV irradiated (260 nm) on all surfaces for 30 min. Sample surface was
337 wiped with 3% bleach, then ~1 mm was removed using a Dremel tool and
338 carborundum cutting disks. Each sample was ground to a fine powder using a Mikro-
339 Dismembrator (Sartorius). Two DNA extraction methods were used during the course
340 of the project (see Supplementary Data 1 for the method used for specific samples):

341 - *Phenol-chloroform method*: Ancient DNA was extracted from 0.2-0.5g powdered
342 bone using phenol-chloroform and centrifugal filtration methods according to a
343 previously published method ².

344 - *In solution silica based method*: Ancient DNA was extracted from 0.2-0.3g
345 powdered bone according to a previously published method ¹⁴.

346 University of Tuebingen:

347 Samples were UV-irradiated overnight to remove surface contamination. DNA
348 extraction was performed following a guanidinium-silica based extraction method ¹⁵
349 using 50mg of bone powder. A DNA library was prepared using 20µl of extract for
350 each sample according to ¹⁶. Sample-specific indexes were added to both library
351 adapters to differentiate between individual samples after pooling and multiplex
352 sequencing ¹⁷. Indexed libraries were amplified in 100µl reactions, followed by
353 purification over Qiagen MinElute spin columns (Quiagen, Hilden, Germany).
354

355 **Sequencing of the mitochondrial control region**

356 A ~600 bp fragment of the mitochondrial control region was amplified in one or up to
357 four overlapping fragments, depending on DNA preservation. PCR amplifications
358 were performed using primers designed for the bovid mitochondrial control region,
359 following the method described in ².

360 One-step simplex PCR amplifications using Platinum *Taq* Hi-Fidelity polymerase
361 were performed on a heated lid thermal cycler in a final volume of 25 µl containing 1
362 µl of aDNA extract, 1mg/ml rabbit serum albumin fraction V (RSA; Sigma-Aldrich,
363 Sydeny, NSW), 2 mM MgSO₄ (Thermo Fisher, Scoresby VIC), 0.6 µM of each
364 primer (Supplementary Table 1), 250 µM of each dNTP (Thermo Fisher), 1.25 U
365 Platinum *Taq* Hi-Fidelity and 1 × Hi-Fidelity PCR buffer (Thermo Fisher). The
366 conditions for PCR amplification were initial denaturation at 95°C for 2 min,
367 followed by 50 cycles of 94°C for 20 sec, 55°C for 20 sec and 68°C for 30 sec, and a
368 final extension at 68°C for 10 min at the end of the 50 cycles.

369 Multiplex primer sets A and B were set up separately (Supplementary Table 1).
370 Multiplex PCR was performed in a final volume of 25 µl containing 2 µl of aDNA
371 extract, 1 mg/ml RSA, 6 mM MgSO₄, 0.2 µM of each primer (Supplementary Table
372 1), 500 µM of each dNTP, 2 U Platinum *Taq* Hi-Fidelity and 1 × Hi-Fidelity PCR
373 buffer. Multiplex PCR conditions were initial denaturation at 95°C for 2 min,
374 followed by 35 cycles of 94°C for 15 sec, 55°C for 20 sec and 68°C for 30 sec, and a
375 final extension at 68°C for 10 min at the end of the 35 cycles. Multiplex PCR
376 products were then diluted to 1:10 as template for the second step of simplex PCR.
377 The simplex PCR, using Amplitaq Gold (Thermo Fisher) or Hotmaster™ *Taq* DNA
378 polymerase (5Prime, Milton, Qld), was conducted in a final volume of 25 µl
379 containing 1 µl of diluted multiplex PCR product, 2.5 mM MgCl₂, 0.4 µM of each
380 primer (Supplementary Table 1), 200 µM of each dNTP, 1 U Amplitaq
381 Gold/Hotmaster *Taq* polymerase and 1 × PCR buffer. The PCR conditions were initial
382 denaturation at 95°C for 2 min, followed by 35 cycles of 94°C for 20 sec, 55°C for 15
383 sec and 72°C for 30 sec, and a final extension at 72°C for 10 min at the end of the 35
384 cycles. Multiple PCR fragments were cloned to evaluate the extent of DNA damage
385 and within-PCR template diversity.

386 PCR products were then checked by electrophoresis on 3.5-4.0% agarose TBE gels,
387 and visualized after ethidium bromide staining on a UV transilluminator. PCR
388 amplicons were purified using Agencourt® AMPure magnetic beads (Beckman
389 Coulter, Lane Cove, NSW) according to the manufacturer's instructions. Negative
390 extraction controls and non-template PCR controls were used in all experiments.

391 All purified PCR products were bi-directionally sequenced with the ABI Prism®
392 BigDye™ Terminator Cycle Sequencing Kit version 3.1 (Thermo Fisher). The
393 sequencing reactions were performed in a final volume of 10 µl containing 3.2 pmol
394 of primer (Supplementary Table 1), 0.25 µl Bigdye terminator premixture, and 1.875
395 µl of 5 × sequencing buffer. The reaction conditions included initial denaturation at
396 95°C for 2 min, 25 cycles with 95°C for 10 sec, 55°C for 15 sec, and 60°C for 2 min
397 30 sec. Sequencing products were purified using Agencourt® Cleanseq magnetic
398 beads (Beckman Coulter) according to the manufacturer's protocol. All sequencing
399 reactions were analysed on an ABI 3130 DNA capillary sequencer (Thermo Fisher).

400 Mitochondrial control region sequences (>400bp) were successfully amplified from
401 65 out of 87 analysed samples. Three samples produced a mixture of cattle and bison

402 amplification products; these were identified as contaminated and removed from all
403 analyses. Sequences from two individuals did not match bovid haplotypes and were
404 identified as brown bear and elk in BLAST searches (see Supplementary Data 1). This
405 is presumably due to the source postcranial elements being morphologically
406 ambiguous and misidentified.

407

408 **Sequencing of the whole mitochondrial genome**

409 To provide deeper phylogenetic resolution and further examine the apparent close
410 relationship between *Bos* and wisent mitochondria, full mitogenome sequences of 13
411 CladeX specimens, as well as one ancient wisent, one historical wisent, and one
412 steppe bison were generated using hybridisation capture with RNA probes.

413

414 *Samples A001, A004, A018, A4089 (CladeX)*

415 *DNA library preparation*

416 DNA repair and polishing were performed in a reaction that contained 20 µl DNA
417 extract, 1x NEB Buffer 2 (New England Biolabs, Ipswich, MA), 3U USER enzyme
418 cocktail (New England Biolabs), 20U T4 polynucleotide kinase (New England
419 Biolabs), 1mM ATP, 0.1 mM dNTPs (New England Biolabs), 8 µg RSA, and H₂O to
420 38.5 µl. The reaction was incubated at 37°C for 3 hours then 4.5U of T4 DNA
421 polymerase (New England Biolabs) was added and the reaction incubated at 25°C for
422 a further 30 min. Double-stranded libraries were then built with truncated Illumina
423 adapters containing dual 5-mer internal barcodes as in ¹⁶.

424

425 *Amplification of Bos taurus mitochondrial in vitro transcription (IVT) templates*

426 RNA probes were generated from long-range PCR products of *Bos taurus*
427 mitochondrial DNA. The NCBI Primer-Blast program
428 (<http://www.ncbi.nlm.nih.gov/tools/primer-blast/>) was used to design primers to
429 amplify the *Bos taurus* mitochondrial genome (NC_006853.1) in three overlapping
430 sections: mito-1 (6568 bp), mito-2 (6467 bp), and mito-3 (5390 bp). Primer pairs
431 were designed with a high melting temperature to permit amplification with 2-stage
432 PCR and the T7 RNA promoter was attached to the 5' end of one primer from each
433 pair ¹⁸(Supplementary Table 1). Amplification of each mitochondrial section was
434 performed using a heated lid thermal cycler in multiple PCRs containing 1x Phire
435 Buffer (Thermo Fisher), 25 ng calf thymus DNA (Affymetrix, Santa Clara, CA), 200
436 µM dNTPs, 500 nM forward and reverse primers, 0.5 µl Phire Hot Start II DNA
437 polymerase (Thermo Fisher), and H₂O to 25 µl. The mito-1 and mito-2 sections were
438 amplified with a thermal cycler program of 1 cycle: 98°C for 30 sec; 26 cycles: 98°C
439 for 10 sec and 72°C for 70 sec; and 1 cycle: 72°C for 180 sec whilst the program for
440 mito-3 was 1 cycle: 98°C for 30 sec, 28 cycles: 98°C for 10 sec and 72°C for 60 sec,
441 and 1 cycle: 72°C for 180 sec. After amplification, 2 µl of each PCR was agarose gel
442 electrophoresed and the product visualized with Gel-Red (Biotium, Hayward, CA)
443 staining and UV illumination. Amplification of mito-1 and mito-2 produced a single
444 band and the PCRs for these mitochondrial sections were separately pooled and then
445 purified with QiaQuick columns (Qiagen, Chadstone Centre, VIC) following the
446 provided PCR cleanup protocol. Amplification of mito-3 produced unwanted
447 products and the correct size amplicon was size selected using gel excision followed

448 by purification with QiaQuick columns using the gel extraction protocol. Purified
449 amplicons from each mitochondrial section were quantified using a NanoDrop 2000
450 Spectrophotometer (Thermo Fisher).

451

452 *Transcription of Bos taurus mitochondrial IVT templates*

453 Each of the three mitochondrial IVT templates were transcribed using a T7 High
454 Yield RNA Synthesis Kit (New England Biolabs) in multiple reactions containing
455 150-200 ng purified amplicon, 1x Reaction Buffer, 10 mM rNTPs, 2 μ l T7 enzyme
456 mix, and H₂O to 20 μ l. The IVT reactions were incubated for 16 hours at 37°C and
457 then the DNA template was destroyed by incubating for an additional 15 min at 37°C
458 with 2U Turbo Dnase (Thermo Fisher). IVT reactions for each mitochondrial section
459 were separately pooled and purified with Megaclear spin columns (Thermo Fisher)
460 except that H₂O was used to elute the RNA instead of the provided elution buffer. The
461 elution buffer provided with the Megaclear kit was found to inhibit fragmentation in
462 the next step. Integrity of the RNA was verified on an acrylamide gel and the mass
463 quantified with a Nanodrop 2000 Spectrophotometer.

464

465 *Fragmentation of mitochondrial IVT RNA*

466 RNAs from the IVT transcription were fragmented with a NEBNext Magnesium
467 RNA Fragmentation Module (New England Biolabs) in reactions that contained 1x
468 Fragmentation buffer, 45 μ g RNA, and H₂O to 20 μ l. Reactions were incubated at
469 94°C for 10 min and fragmentation stopped with the addition of 2 μ l Stop Buffer.
470 After fragmentation, each reaction was purified with a RNeasy MinElute spin column
471 (Qiagen) by following the provided cleanup protocol except for the final elution. To
472 elute, 20 μ L H₂O was pipetted into the column and the column was heated at 65°C for
473 5 min and then centrifuged at 15,000 g for 1 min. The flow-through was transferred
474 to a 1.5 ml tube and stored at -80°C. The fragmented RNA was quantified on a
475 NanoDrop 2000 Spectrophotometer and 100 ng was visualized on an acrylamide gel
476 producing a smear in the range of 80-300 bases.

477

478 *Biotinylation of fragmented RNA*

479 Biotinylation was performed in several reactions containing 6.7 μ g each of mito-1,
480 mito-2, and mito-3 fragmented RNA, 40 μ l Photoprobe Long Arm (Vector
481 Laboratories, Burlingame, CA), and H₂O to 80 μ l in 200 μ l PCR tubes. The tubes
482 were placed in a 4°C gel cooling rack and then incubated under the bulb of a UV
483 sterilization cabinet for 30 min. Organic extractions were performed on the labelling
484 reactions by adding 64 μ l H₂O, 16 μ l 1 M Tris buffer, and 160 μ l sec-butanol to each
485 tube and shaking vigorously for 30 sec followed by centrifugation for 1 minute at
486 1000 g. The upper organic layers were discarded and the extraction repeated with an
487 additional 160 μ l sec-butanol. After the second organic layers were discarded, the
488 remaining aqueous phases were purified with RNeasy MinElute spin columns
489 following the provided reaction cleanup protocol but with a modified elution
490 procedure described in the previous step. Elutions with similar RNA were pooled and
491 then quantified with a NanoDrop Spectrophotometer 2000 and the RNA, which will
492 now be called probe, was stored at -80°C in 5 μ l aliquots at 100 ng/ μ l.

493

494 *Repetitive sequence blocking RNA*

495 RNA to block repetitive sequences in bison aDNA was transcribed from Bovine
496 HyBlock™ DNA (i.e. Cot-1 DNA, Applied Genetics Laboratories Inc., Melbourne,
497 FL) using a published linear amplification protocol¹⁹. Briefly, the HyBlock DNA
498 was polished in a reaction containing T4 polynucleotide kinase and T4 DNA
499 polymerase and purified with MinElute spin columns following the PCR cleanup
500 protocol provided. Tailing was performed on the polished DNA with terminal
501 transferase and a tailing solution containing 92 μM dTTP (Thermo Fisher) and 8 μM
502 ddCTP (Affymetrix). After tailing, the HyBlock DNA was purified with MinElute spin
503 columns as before. The HyBlock DNA was then heat denatured and the T7-A18B
504 primer (Supplementary Table 1), containing the T7 RNA polymerase promoter, was
505 allowed to anneal to the poly-T tail with slow cooling. A second-strand synthesis
506 reaction was then performed on the HyBlock DNA using DNA polymerase I Klenow
507 fragment (New England Biolabs) and the product was purified with MinElute spin
508 columns. The double stranded HyBlock DNA was transcribed using a T7 High Yield
509 RNA Synthesis Kit in multiple reactions containing 75 ng DNA, 1x Reaction Buffer,
510 10 mM rNTPs, 2 μl T7 enzyme mix, and H₂O to 20 μl. IVT reactions were incubated
511 for 16 hours at 37°C and then the DNA template was destroyed by adding 2U Turbo
512 Dnase and incubating for an additional 15 min at 37°C. The RNA was purified with
513 RNeasy MinElute spin columns as above. Purified RNA was quantified on a
514 NanoDrop 2000 and 100 ng visualized on an acrylamide gel, which produced a smear
515 80 to 500 bp in length.

516

517 *Primary mitochondrial hybridisation capture*

518 Truncated versions of the Illumina adapters were used for hybridisation capture
519 because full-length adapters reduce enrichment efficiency²⁰. For the primary
520 hybridisation capture, three Reagent Tubes were prepared for each bison library with
521 the following materials: Reagent Tube #1- 3.5 μl of 35-55 ng/μl DNA library;
522 Reagent Tube #2- 5 μl probes, 1 μl HyBlock RNA, and 0.5 μl of 50 μM P5/P7 RNA
523 blocking oligonucleotides (Supplementary Table 1); Reagent Tube #3- 30 μl
524 Hybridisation Buffer²¹: 75% formamide (Thermo Fisher), 75 mM HEPES, pH 7.3, 3
525 mM EDTA (Thermo Fisher), 0.3% SDS (Thermo Fisher), and 1.2 M NaCl (Thermo
526 Fisher). Hybridisation capture was performed in a heated lid thermal cycler
527 programmed as follows: Step 1- 94°C for 2 min, Step 2- 65°C for 3 min, Step 3- 42°C
528 for 2 min, Hold 4- 42°C hold. To start hybridisation capture, Reagent Tubes were
529 placed in the thermal cycler at the start of each program Step in the following order:
530 Step 1- Reagent Tube #1; Step 2- Reagent Tube #2; Step 3- Reagent Tube #3. For
531 each library, once the Hold cycle started 20 μl of hybridisation buffer from Reagent
532 Tube #3 was mixed with the RNA in Reagent Tube #2. The entire content of Reagent
533 Tube #2 was then pipetted into Reagent Tube #1 and mixed with the bison library to
534 begin the hybridisation capture. Hybridisation capture was carried out at 42°C for 48
535 hours.

536 Magnetic streptavidin beads (New England Biolabs) were washed just prior to the end
537 of the hybridisation capture incubation. For each library, 50 μl of beads were washed
538 twice using 0.5 ml Wash Buffer 1(2X SSC+0.05% Tween-20, all reagents Thermo
539 Fisher) and a magnetic rack. We also saturated all magnetic bead sites that could
540 potentially bind nucleic acid in a non-specific fashion using yeast tRNA, to optimise
541 the expected and specific streptavidin-biotin binding. Briefly, the beads were blocked

542 by incubation in 0.5 ml Wash Buffer 1+ 100 µg yeast tRNA (Thermo Fisher) for 30
543 min on a rotor. Blocked beads were washed once as before and then suspended in 0.5
544 ml Wash Buffer. At the end of the hybridisation capture, each reaction was added to a
545 tube of blocked beads and incubated at room temperature for 30 min on a rotor. The
546 beads were then taken through a series of stringency washes as follows: Wash 1 - 0.5
547 ml Wash Buffer 1 at room temperature for 10 min; Wash 2 - 0.5 ml Wash Buffer 2
548 (0.75X SSC + 0.05% Tween-20) at 50°C for 10 min; Wash 3 - 0.5 ml Wash Buffer 2
549 at 50°C for 10 min; Wash 4 - 0.5 ml Wash Buffer 3 (0.2X SSC + 0.05% Tween-20) at
550 50°C for 10 min. After the last wash, the captured libraries were released from the
551 probe by suspending the beads in 50 µl of Release buffer (0.1 M NaOH, Sigma
552 Aldrich) and incubating at room temperature for 10 min. The Release buffer was then
553 neutralized with the addition of 70 µl Neutralization buffer (1 M Tris-HCl pH 7.5,
554 Thermo Fisher). Captured libraries were then purified with MinElute columns by first
555 adding 650 µl PB buffer and 10 µl 3 M sodium acetate to adjust the pH for efficient
556 DNA binding. Libraries were purified using the provided PCR cleanup protocol and
557 eluting with 35 µl EB+0.05% Tween-20.

558

559 *Primary hybridisation capture amplification*

560 Amplification of each primary hybridisation capture was performed in five PCRs
561 containing 5 µl of primary captured library, 1X Phusion HF buffer (Thermo Fisher),
562 200 µM dNTPs, 200 µM each of primers IS7_short_amp.P5 and IS8_short_amp.P7
563 (Supplementary Table 1), 0.25 U Phusion Hot Start II DNA polymerase (Thermo
564 Fisher), and H₂O to 25 µl. The five PCR products were pooled and DNA was purified
565 using AMPure magnetic beads.
566

567 *Secondary mitochondrial hybridisation capture*

568 Amplified primary libraries were taken through a second round of hybridisation
569 capture using the same procedure as describe in *Primary mitochondrial hybridisation*
570 *capture* step.

571

572 *Secondary hybridisation capture amplification*

573 Indexed primers were used to convert the DNA from the secondary hybridisation
574 capture to full length Illumina sequencing libraries. Each library was amplified in
575 three PCRs containing 5 µl secondary hybridisation capture library, 1X Phusion HF
576 buffer, 200 µM dNTPs, 200 µM each of primers GAII_Indexing_x (library specific
577 index) and IS4 (Supplementary Table 1), 0.25 U Phusion Hot Start II DNA
578 polymerase, and H₂O to 25 µl. Amplification was performed in a heated lid thermal
579 cycler programmed as follows 1 cycle: 98°C for 30 sec; 10 cycles: 98°C for 10 sec,
580 60°C for 20 sec, 72°C for 20 sec; and 1 cycle: 72°C for 180 sec. The five PCR
581 products were pooled and DNA was purified using AMPure magnetic beads.

582

583 Samples A003, A005, A006, A007, A017, A15526, A15637, A15668 (CladeX),
584 A4093 (ancient wisent) and A15654 (historical wisent)

585 *DNA library preparation*

586 Double-stranded Illumina libraries were built from 20 µl of each DNA extract using

587 partial UDG treatment²² and truncated Illumina adapters with dual 7-mer internal
588 barcodes, following the protocol from²³.

589

590 *Hybridisation capture*

591 Commercially synthesised biotinylated 80-mer RNA baits (MYcroarray, MI, USA)
592 were used to enrich the target library for mitochondrial DNA. Baits were designed as
593 part of the commercial service using published mitochondrial sequences from 24
594 placental mammals, including *Bison bison* and *Bos taurus*.

595 One round of hybridisation capture was performed according to the manufacturer's
596 protocol (MYbaits v2 manual) with modifications. We used P5/P7 RNA blocking
597 oligonucleotides (Supplementary Table 1) instead of the blocking oligonucleotides
598 provided with the kit. We also incubated the magnetic beads with yeast tRNA to
599 saturate all potential non-specific sites on the magnetic beads that could bind nucleic
600 acids and increase the recovery of non-specific DNA and therefore decrease the final
601 DNA yield.

602 Indexed primers were used to convert the capture DNA to full length Illumina
603 sequencing libraries. Each library was amplified in eight PCRs containing 5 µl
604 hybridisation capture library, 1x Gold Buffer II, 2.5mM MgCl₂, 200 µM dNTPs, 200
605 µM each of primers GAII_Indexing_x (library specific index) and IS4
606 (Supplementary Table 1), 1.25 U Amplitaq Gold DNA polymerase, and H₂O to 25 µl.
607 Amplification was performed in a heated lid thermal cycler programed as follows 1
608 cycle: 94°C for 6 min; 15 cycles: 98°C for 30 sec, 60°C for 30 sec, 72°C for 40 sec;
609 and 1 cycle: 72°C for 180 sec. The PCR products were pooled and DNA was purified
610 using AMPure magnetic beads (Agencourt[®], Beckman Coulter).

611

612 *Samples LE237, LE242 and LE257 (CladeX)*

613 Target DNA enrichment was performed by capture of the pooled libraries using DNA
614 baits generated from bison (*Bison bison*) mitochondrial DNA²⁴. The baits were
615 generated using three primer sets (Supplementary Table 1, f) designed with the
616 Primer3Plus software package²⁵. All extractions and pre-amplification steps of the
617 library preparation were performed in clean room facilities and negative controls were
618 included for each reaction.

619

620 *Sample A3133 (steppe bison)*

621 DNA repair and polishing were performed in a reaction that contained 20 µl bison
622 A3133 extract, 1x NEB Buffer 2, 3U USER enzyme cocktail, 20U T4 polynucleotide
623 kinase, 1mM ATP, 0.1 mM dNTPs, 8 µg RSA, and H₂O to 38.5 µl. The reaction was
624 incubated at 37°C for 3 hours then 4.5U of T4 DNA polymerase was added and the
625 reaction incubated at 25°C for a further 30 min. Double-stranded libraries were then
626 built with truncated Illumina adapters containing dual 5-mer internal barcodes as in¹⁶
627 with the final amplification with indexed primers using Phusion Hot Start II DNA
628 polymerase to obtain full length Illumina sequencing libraries.

629

630 **Nuclear locus capture**

631 Genome-wide nuclear locus capture was attempted on DNA repaired libraries of 13
632 bison samples (as described above - see Supplementary Table 2). Two
633 different sets of probe were used (as described below), but ultimately, only the 9908
634 loci common to both sets were used for comparative analysis (see nuclear locus
635 analysis section).

636

637 Probe sets

638 *40k SNP probe set*

639 This probe set was originally designed to enrich 39,294 of the 54,609 BovineSNP50
640 v2 BeadChip (Illumina) bovine single nucleotide polymorphism (SNP) loci used in a
641 previous phylogenetic study²⁶, allowing for a direct comparison of the newly
642 generated data to published genotypes. The discrepancy in the number of surveyed
643 targets was due to manufacturing constraints, as the flanking sequences surrounding
644 certain bovine SNP were too degenerate for synthesis with the MyBaits technology.
645 Probes (MYcroarray, Ann Arbor, MI) were 121-mer long, centred on the targeted
646 bovine SNP and with no tiling, as per the original design of the BovineSNP50 v2
647 BeadChip²⁷.

648 The BovineSNP50 v2 BeadChip assay targets SNPs that are variable in *Bos taurus* in
649 order to genotype members of cattle breeds. Consequently, SNPs are heavily
650 ascertained to be common in cattle, and their use in phylogenetic studies of other
651 bovid species results in levels of heterozygosity that decrease rapidly with increased
652 genetic distance between cattle and the species of interest. Decker et al. (2009) found
653 the average minor allele frequency in plains bison and wood bison for the 40,843
654 bovine SNPs used in the phylogenetic analysis was 0.014 and 0.009, respectively.
655 Average minor allele frequencies ranged from 0.139 to 0.229 in breeds of taurine
656 cattle.

657

658 *10k SNP probe set*

659 A second set of probes was ordered from MyBaits that targeted a 9,908 locus subset
660 of the previous 39,294 bovine SNPs selected for enrichment. This smaller subset was
661 chosen to minimise ascertainment bias during phylogenetic and population analyses
662 based on their polymorphism within the diversity of available modern genotypes of
663 bison (American and European), Yak, Gaur and Banteng (total of 72 individuals). All
664 of these taxa belong to a monophyletic clade, outside of the cattle diversity, and are
665 consequently all equidistant from the cattle breeds that were used to ascertain the SNP
666²⁷, therefore reducing the impact of ascertainment bias when conducting comparisons
667 within the clade. The exclusion of monomorphic sites across species allows focusing
668 the capture on loci that are more likely to be phylogenetically informative within the
669 bison diversity. Furthermore, singleton sites (only variable for one modern individual,
670 and therefore not informative for the modern phylogeny) were retained on the
671 principle that they might capture some of the unknown ancient diversity of bison
672 when genotyping ancient individuals.

673 We designed 70-mer probes, and this short length, as well as the limited number of
674 targets, allowed for a tiling of 4 different probes for each targeted locus, within the
675 same MYcroarray custom kit of 40,000 unique probes. Among all potential 70-mer

676 sequences within the original 121-mer probe sequence set, only those containing the
677 targeted bovine SNP no fewer than 10 nucleotides from either end were retained as
678 potential probes. Four probes were then designed using the following criteria: i)
679 Estimated melting temperature closest to the average from the 40k SNP probe set; ii)
680 Optimum proportion of guanine based on the efficiency of the 40k SNP probe set; iii)
681 No two probes can be closer than 7 nucleotides from one another; iv) All ‘GGGG’
682 and ‘CTGGAG’ motifs were modified to ‘GTGT’ and ‘CTGTAG’, respectively. The
683 former change was incorporated on the recommendation from MyBaits to avoid poly
684 G stretches because their synthesis technology has difficulty with this type of motif
685 and the latter variation was included to remove a restriction site that will be used in a
686 future protocol to produce these probes from an immortalized DNA oligo library²⁸.

687

688 DNA library preparation

689 All DNA libraries were used for capture of both the mitochondrial genome and
690 genome-wide nuclear loci. See Supplementary Information “Whole mitochondrial
691 genome sequencing” for protocols.

692

693 Hybridisation capture

694 One round of hybridisation capture was performed according to the manufacturer’s
695 protocol (MYbaits v2 manual) with modifications. We used P5/P7 RNA blocking
696 oligonucleotides (Supplementary Table 1) instead of the blocking oligonucleotides
697 provided with the kit. We also incubated the magnetic beads with yeast tRNA (see
698 above) to saturate all potential non-specific sites on the magnetic beads that could
699 bind nucleic acids and increase the recovery of non-specific DNA.

700 Indexed primers were used to convert the capture DNA to full length Illumina
701 sequencing libraries. Each library was amplified in eight PCR containing 5 µl
702 hybridisation capture library, 1C Gold Buffer II, 2.5mM MgCl₂, 200 µM dNTPs, 200
703 µM each of primers GAII_Indexing_x (library specific index) and IS4
704 (Supplementary Table 1), 1.25 U Amplitaq Gold DNA polymerase, and H₂O to 25 µl.
705 Amplification was performed in a heated lid thermal cycler programmed as follows 1
706 cycle: 94°C for 6 min; 15 cycles: 98°C for 30 sec, 60°C for 30 sec, 72°C for 40 sec;
707 and 1 cycle: 72°C for 180 sec. The PCR products were pooled and DNA was purified
708 using AMPure magnetic beads.

709

710 **NGS and data processing**

711 *Whole mitochondrial genomes*

712 All libraries enriched for the mitochondrial genome were sequenced in paired-end
713 reactions on Illumina machines (HiSeq 2500 for LE237A, LE242B and LE247B –
714 MiSeq for the rest), except for A017 and A15526 from which the final concentration
715 of DNA obtained after capture was insufficient for sequencing. The mitochondrial
716 genome of the steppe bison A3133 was recovered from shotgun sequencing on an
717 Illumina HiSeq, performed in the context of another study (see Supplementary Table
718 3).

719 All NGS reads were processed using the pipeline Paleomix v1.0.1²⁹. AdapterRemoval
720 v2³⁰ was used to trim adapter sequences, merge the paired reads, and eliminate all

721 reads shorter than 25 bp. BWA v0.6.2³¹ was then used to map the processed reads to
722 the reference mitochondrial genome of the wisent (NC_014044) or the American
723 bison (NC_012346, only for the steppe bison A3133). Minimum mapping quality was
724 set at 25, seeding was disabled and the maximum number or fraction of gap opens
725 was set to 2.

726

727 MapDamage v2³² was used to check that the expected contextual mapping and
728 damage patterns were observed for each library, depending on the enzymatic
729 treatment used during library preparation (see Supplementary Table 3 and Figures S1-
730 3 for examples), and re-scale base qualities for the non-repaired libraries.

731 Finally nucleotides at the position of the bovine SNP were called using samtools and
732 bcftools, setting the minimum base quality at 30 and the minimum depth of coverage
733 at 2. Consensus sequences were then generated using the Paleomix script
734 vcf_to_fasta.

735

736 *Nuclear*

737 Nuclear DNA from historical (historical wisent: A15654) and ancient (ancient wisent:
738 A4093; CladeX: A15526, A001, A003, A004, A005, A006, A007, A017, A018;
739 steppe: A3133, A875) samples, containing HiSeq data (A3133 and A875) and MiSeq
740 data (all samples), was processed using Paleomix v1.0.1²⁹ to map reads against the
741 *Bos taurus* reference UMD 3.1³³. Paleomix was configured to use BWA v0.6.2³¹ for
742 mapping, with seeding disabled and -n 0.01 -o 2 (see Supplementary Table 2).
743 MapDamage v2³² was used to check that the expected contextual mapping and
744 damage patterns were observed for each library, and empirically re-scale base
745 qualities at the end of the fragments.

746 Variants were called using the consensus caller of samtools/bcftools v1.2³⁴ limiting
747 calls to the 9908 capture sites. Variant calls with a QUAL value lower than 25 were
748 removed. The genotypes for historical and ancient samples were merged with
749 previously published extant bovid 40k capture data²⁶, and *Bos primigenius* (aurochs)
750 sample CPC98³⁵. Only genotypes for the 9908 loci common among all data were
751 retained.

752

753 **Supplementary Note 2:**

754 **DNA analyses**

755

756 **Phylogenetic analysis**

757 *Mitochondrial control region phylogeny*

758 The 60 newly sequenced bovid mitochondrial regions (Supplementary Data 1) were
759 manually aligned, using SeaView v4.3.5³⁶. These sequences were aligned with 302
760 published sequences (Supplementary Table 4) representing the following bovid
761 mitochondrial lineages: European bison or wisent (*Bison bonasus*), American bison
762 (*Bison bison*), steppe bison (*Bison priscus*), zebu (*Bos indicus*), and cattle (*Bos*
763 *taurus*). Among these published sequences, 5 were from steppe bison collected in the
764 Urals (Shapiro et al. 2004, Supplementary Data 1).

765 The TN93+G6 model of nucleotide substitution was selected by comparison of
766 Bayesian information criterion (BIC) scores in ModelGenerator v0.85³⁷. A
767 phylogenetic tree was then inferred using both maximum-likelihood and Bayesian
768 methods (Figure 2A). Bayesian analyses were performed using the program MrBayes
769 v3.2.3³⁸. Posterior estimates of parameters were obtained by Markov chain Monte
770 Carlo sampling with samples drawn every 1000 steps. We used 2 runs, each of four
771 Markov chains, comprising one cold and three heated chains, each of 10 million steps.
772 The first 50% of samples were discarded as burn-in before the majority-rule
773 consensus tree was calculated. A maximum-likelihood analysis was performed with
774 the program PhyML v3³⁹, using both NNI and SPR rearrangements to search for the
775 tree topology and using approximate likelihood-ratio tests to establish the statistical
776 support of internal branches. Complete phylogenies inferred using both methods are
777 shown in Supplementary Figure 4.

778 *Whole mitochondrial genome phylogeny*

779 The 16 newly sequenced bison whole mitochondrial genomes (Supplementary Data 1)
780 were aligned with 31 published sequences (Supplementary Table 5) representing the
781 following bovid mitochondrial lineages: 3 wisent (*Bison bonasus*), 8 American bison
782 (*Bison bison*), 1 steppe bison (*Bison priscus*), 5 yaks (*Bos grunniens* – *Bos mutus*), 2
783 zebus (*Bos indicus*), 7 cattle (*Bos taurus*), 2 aurochs (*Bos primigenius*), and 4
784 buffalo (*Bubalus bubalis*).

785 We used the same methods as described above for the control region to align and
786 estimate the phylogeny. The HKY+G6 model of nucleotide substitution was selected
787 through comparison of BIC scores (Figures 2B and S5).

788 *Estimation of evolutionary timescale*

789 To estimate the evolutionary timescale, we used the program BEAST v1.8.1⁴⁰ to
790 conduct a Bayesian phylogenetic analysis of all radiocarbon-dated samples from
791 CladeX and wisent (Figure 1C). The GMRF skyride model⁴¹ was used to account for
792 the complex population history, and a strict clock was assumed. We found support for
793 a strict molecular clock based on replicate analyses using a relaxed uncorrelated
794 lognormal clock⁴², which could not reject the strict clock assumption.

795 Mean calibrated radiocarbon dates associated with the sequences were used as
796 calibration points. Some samples appear to be older than 55 ky: one from the Urals,
797 four from the North Sea and five from the Caucasus (Supplementary Data 1). Because

798 these dates have effectively infinite radiocarbon error margins, we allowed them to
799 vary in the analysis by treating them as distinct parameters to be estimated in the
800 model⁴³. The dated samples from Mezmaiskaya Cave are from stratigraphic layers
801 2B4 and 2B3, which lie atop of layer 3. All these lower Middle Palaeolithic layers at
802 Mezmaiskaya have 14C results beyond the radiocarbon limit, reflected in the
803 predominance of greater-than or near-background limit ages¹¹, and therefore are
804 consistent with the electron spin resonance (ESR) chronology for these levels¹⁰, which
805 suggests mean ages in the range from 53 to 73 ky BP (including error margins).
806 Consequently, for each Caucasian sample, we specified a lognormal prior age
807 distribution (mean=8,000) with an offset of 50 ky and with 95% of the prior
808 probability less than 80 ky. A similar prior distribution (mean=26,000) was used for
809 the five remaining samples that had infinite radiocarbon dates, with a 95% prior
810 probability less than 150 ky. Based on the results of all four phylogenetic analyses
811 described above, which showed strong support for the reciprocal monophyly of
812 CladeX and wisent when outgroups were included, this monophyly was constrained
813 for the BEAST runs.

814 All parameters showed sufficient sampling (indicated by effective sample sizes above
815 200) after 5,000,000 steps, with the first 10% of samples discarded as burn-in. In
816 addition, a date-randomization test was conducted to check whether the temporal
817 signal from the radiocarbon dates associated with the ancient sequences was sufficient
818 to calibrate the analysis⁴⁴. This test randomizes all dates and determines whether the
819 95% high posterior density (HPD) intervals of the rates estimated from the date-
820 randomized data sets include the mean rate estimated from the original data set
821 (Supplementary Figure 6).

822
823
824 The time to the most recent common ancestor (tMRCA) between wisent and
825 CladeX mitochondrial lineages was estimated at 121.6 kyr (92.1 – 152.3) (Figure 2C).
826 The tMRCAs for the two lineages was inferred to be 69.3 kyr (53.4 – 89.4) for wisent
827 and 114.9 kyr (89.2 – 143.1) for CladeX. Furthermore, there is some
828 phylogeographical structure within CladeX, with all individuals from the North Sea
829 forming a basal group, which existed before the population replacement with steppe
830 bison, but complete mixture of genetic diversity between all locations after re-
831 colonization. In addition, the tMRCA of the MIS 3 diversity of CladeX was estimated
832 to be about 53.1 kyr (41.5 – 67.5). This date closely matches the ages of the last
833 observed MIS 4 CladeX individuals across all sampled locations, supporting the idea
834 of a population movement and contraction of wisent individuals towards a refugium
835 during the warmer period of MIS 3 in Europe.

836

837 *Nuclear phylogeny from bovine SNP locus data*

838 Phylogenetic trees were inferred from nuclear locus data (see next section for
839 information about the data sets). First, a phylogenetic tree of modern representatives
840 of bovid species, and with sheep as an outgroup, was inferred from published 40,843
841 data²⁶ (Supplementary Figure 7). Using RAxML v8.1.21⁴⁵, the three characters
842 (genotype states AA, AB and BB) from the BovineSNP50 chip were considered as
843 different states in an explicit analogue of the General Time Reversible (GTR)
844 substitution model, with separate substitution parameters for the three possible
845 transformations. For all analyses, 20 maximum likelihood searches were conducted to

846 find the best tree, and branch support was estimated with 500 bootstrap replicates
847 using the rapid bootstrapping algorithm⁴⁶.

848 This species tree, estimated from genome-wide nuclear locus data, shows that the
849 extant bison species (wisent and American bison) are sister taxa, contrary to the
850 phylogenetic signal from the maternally inherited mitochondrial genome. This
851 topology also clearly shows the paraphyletic status of the genus *Bos* (banteng, gaur,
852 yak, zebu and cattle), as it also includes the genus *Bison* (wisent and American bison).

853

854 Using the same method, we reconstructed the phylogeny of bison with the inclusion
855 of five pre-modern samples (for which the highest number of nuclear loci were called
856 amongst the ~10k nuclear bovine SNPs). When only the two steppe bison specimens
857 are included they form a sister-lineage to modern American bison (Supplementary
858 Figure 8A). Similarly, when the steppe bison and pre-modern wisent (including
859 ancient, historical and CladeX) are included, all five pre-modern specimens form a
860 clade most closely related to American bison (Supplementary Figure 8C). However,
861 when only the pre-modern wisent is included, the three specimens (ancient, historical
862 and CladeX) form a clade that is most closely related to modern wisent
863 (Supplementary Figure 8B). These conflicting results reflect the complex non-tree
864 like relationships among the modern and pre-modern taxa, and are consistent with the
865 hybridisation origin of wisent/CladeX and the severe bottleneck in the recent history
866 of the wisent. Hence, we used population genomics statistics to study this nuclear
867 locus dataset (see next section). Finally, these topologies are robust to the removal of
868 transitions (see Supplementary Figure 8D), a minimum depth of 2 for variant calling,
869 and haploidisation (data not shown).

870

871 **Genome wide nuclear locus analysis**

872 Captured nuclear loci corresponding to bovine SNPs for ancient samples were
873 analysed with published genotypes from modern populations: 20 American bison
874 were selected on the criterion that they do not display any detectable signal of recent
875 introgression from cattle (unpublished data); 2 Yak (*Bos gruniens*); 10 water buffalo
876 (*Bubalus bubalis*); and 10 Sheep (*Ovis aries*). Additionally, 7 modern wisent were
877 selected (among 50 sequenced –⁴⁷) as non-related individuals on a known five-
878 generation pedigree (as shown in Supplementary Figure 9).

879

880 *Principal Component Analysis*

881

882 PCA (Figures 3A and S10) was performed using EIGENSOFT version 6.0.1⁴⁸. In
883 Figure 3A, CladeX sample A006 was used as the representative of CladeX, as this
884 sample contained the most complete set of nuclear loci called at the bovine SNP loci
885 (see Supplementary Table 2). Other CladeX individuals, as well as ancient wisent,
886 cluster towards coordinates 0.0, 0.0 (see Supplementary Figure 10), most likely due to
887 missing data.

888

889 *Topology testing with the D statistic*

890

891 For three bison populations, assuming two bifurcations and no hybridisations, there
892 are three possible phylogenetic topologies. For this simple case, the D statistic is
893 expected to be significantly different from zero for exactly two of the three topologies,
894 and not significantly different from zero for the most parsimonious topology. We
895 therefore calculate a D statistic⁴⁹ for each of these three topologies, using the sheep
896 (*Ovis aries*) as an outgroup.

897 When D statistics for the set of three topologies do not indicate zero for one topology
898 and non-zero for the other two, the true phylogeny is not treelike. However, the most
899 parsimonious topology may still be apparent when considering only small amounts of
900 introgression from populations of similar size. The interpretation of a most
901 parsimonious tree topology is not valid where confidence intervals around the D
902 statistic closest to zero, contain one or more of the other D statistics.

903 In this manner, the D statistic was used to indicate the most parsimonious topology
904 for phylogenies including CladeX, ancient wisent, historical wisent, modern wisent,
905 steppe bison and aurochs (Supplementary Figure 11). D statistics were calculated
906 using ADMIXTOOLS version 3.0, git~3065acc5⁵⁰.

907 Following concern over the limited amount of data for CladeX, particularly in
908 samples other than 6A, we calculated the D statistics with sample 6A omitted from
909 the analysis (Supplementary Figure 12). The most parsimonious topologies match in
910 both cases.

911 Sensitivity to other factors were also investigated, such as setting a bovine SNP site
912 coverage depth threshold of two (Supplementary Figure 13), changing the outgroup to
913 *Bubalus bubalis* (Asian water buffalo, Supplementary Figure 14), and haploidisation
914 by randomly sampling an allele at heterozygous sites (Supplementary Figure 15).
915 None of these factors had notable influences on the outcome.

916 We also considered that the obtained topologies may have been caused by the small
917 number of observed loci. To determine how sensitive the topology testing was
918 missing data, we performed bootstrap resampling of the locus calls on decreasingly
919 sized subsets of the data (Supplementary Table 7). For 10,000 bootstraps, we counted
920 how often we obtained a result other than shown in Supplementary Figure 11.

921 For this bootstrap, a topology is considered to be simple if: (1) It has a D statistic
922 which, uniquely amongst the set of three, is not significantly different from zero, or (2)
923 All three are significantly different from zero but one has a D statistic closest to zero,
924 with confidence intervals that do not overlap the D statistic for the other two
925 topologies.

926 For simple topologies, we counted how often the bootstrap replicate suggested a
927 simple topology that did not match the most parsimonious topology in Supplementary
928 Figure 11. For non-simple topologies, we counted how often the result suggested any
929 simple topology. In both cases, a lack of support for any simple topology (such as
930 multiple topologies having a D statistic not significantly different from zero) was not
931 counted.

932 This bootstrapping shows that the D statistics are robust to the small number of
933 observed genotypes.

934

935

936 *Admixture proportion determination using an f4 ratio*

937

938 The proportion of the wisent's ancestry differentially attributable to the steppe bison
939 and the aurochs, was estimated with AdmixTools using an f4 ratio, as described in ⁵⁰
940 with sheep (*Ovis aries*) as the outgroup. For the admixture graph shown in
941 Supplementary Figure 16, the admixture proportion, α , is the ratio of two f4 statistics.

$$\alpha y = F_4(A, O; X, C)$$

$$y = F_4(A, O; B, C)$$

$$\alpha = \frac{\alpha y}{y} = \frac{F_4(A, O; X, C)}{F_4(A, O; B, C)}$$

942 For the estimation of admixture proportions using an f4 ratio, it is intended that the
943 ingroup A, while closely related to B, has diverged from B prior to the admixture
944 event. However, in the context of steppe ancestry for wisent, no such population
945 matching ingroup A was available. The admixture graph for wisent is shown in
946 Supplementary Figure 17.

$$\alpha y = F_4(\text{AmericanBison}, O; \text{Wisent}, \text{Aurochs})$$

$$x + y = F_4(\text{AmericanBison}, O; \text{Steppe}, \text{Aurochs})$$

$$\alpha \approx \frac{\alpha y}{x + y} = \frac{F_4(\text{AmericanBison}, O; \text{Wisent}, \text{Aurochs})}{F_4(\text{AmericanBison}, O; \text{Steppe}, \text{Aurochs})}$$

947 Where α in Supplementary Figure 17 is approximately determined by the f4 ratio for
948 small branch lengths x . The f4 ratio we calculate therefore represents a lower bound
949 on the proportion of steppe bison present in the wisent populations. The steppe
950 ancestry was found to be at least 0.891, with a standard error of 0.026 (Supplementary
951 Table 6-A).

952 Sensitivity to haploidisation was checked by randomly sampling an allele at
953 heterozygous sites (Supplementary Table 6-B), which had no notable influence on the
954 outcome.

955

956 *Hypergeometric test for shared derived alleles*

957

958 To test whether the wisent lineages (including CladeX) have a common hybrid
959 ancestry (Supplementary Figure 18A), or whether multiple independent hybridisation
960 events gave rise to distinct wisent lineages (Supplementary Figure 18B), we identify
961 nuclear loci which have an ancestral state in the aurochs lineage, but a derived state in
962 the steppe lineage (see next section 'identification of derived alleles'). Under the
963 assumption of a single hybrid origin, we expect a common subset of derived steppe
964 alleles to be present in the various wisent lineages. In contrast, multiple hybridisation
965 events would result in different subsets of derived steppe alleles being present in
966 different wisent lineages. Likewise, we expect the subset of derived aurochs alleles to
967 indicate either one, or multiple hybridisation events.

968 If the total number of derived steppe alleles is s , the number of derived steppe alleles
969 observed in one wisent lineage is a , and the number in a second wisent lineage is b ,
970 then under model B, the number of sites which are found to be in common is a
971 random variable $X \sim \text{HGeom}(a, s-a, b)$. Where HGeom is the hypergeometric

972 distribution, having probability mass function:

$$P(X = k) = \frac{\binom{a}{k} \binom{s-a}{b-k}}{\binom{s}{b}}$$

973 For the number of derived steppe alleles in common between two wisent lineages, c ,
974 we calculate $P(X \geq c)$. This indicates the likelihood of having observed c or more
975 derived steppe alleles in common, if independent hybridisation events gave rise to
976 both wisent and CladeX lineages.

977 Likelihoods were calculated for steppe derived alleles on all pairwise combinations of
978 wisent lineages (Supplementary Table 8), and then repeated for derived aurochs
979 alleles (Supplementary Table 9). This provides strong support for an ancestral
980 hybridisation event occurring prior to the divergence of the wisent lineages.

981 We note that parallel genetic drift may also result in a pattern of alleles observed to be
982 derived in the steppe lineage and the wisent lineages, however this is only a
983 confounding factor where the parallel drift occurred in the post hybridisation lineage
984 common to wisent and CladeX in Supplementary Figure 18A. Therefore, this only
985 confounds the determination of genomic positions from a specific parent population,
986 not that the wisent and CladeX lineages have shared ancestry post hybridisation.
987 Alleles under strong selection following distinct hybridisation events would also be
988 shared between lineages more often than if they were randomly distributed. We
989 consider this situation unlikely, as it would require that the same alleles were
990 randomly introgressed repeatedly, and then a strong selective advantage of the alleles
991 at all times and in all environments.

992 Although we cannot reject the hypothesis that the modern European bison morph may
993 be recent, and only appeared after the LGM as an adaptation to the Holocene
994 environment in Europe, it would mean that the *Bos* mitochondrial lineage has been
995 maintained in the steppe bison diversity throughout the late Pleistocene, and that only
996 individuals carrying this mitochondrial lineage survived in Europe. Therefore, a
997 hybrid origin of the European morph prior to 120 kyr, and maintained during the late
998 Pleistocene, is more parsimonious with the current data.

999

1000 *Identification of derived alleles*

1001

1002 The identification of a derived allele in the B lineage of Supplementary Figure 16, for
1003 the above analysis, can be performed in a simple way. If the ancestral allele is fixed in
1004 both C and the outgroup O, and the derived allele is fixed within B, then the site may
1005 be readily identified as derived. However, such fixed alleles are likely to be rare,
1006 especially in large populations, and therefore in limited number in our 10K SNP
1007 subset. Furthermore, a steppe bison derived allele observed in a wisent population
1008 may not be fixed in the wisent, as the population may also contain the ancestral allele
1009 from the aurochs lineage.

1010 Relaxing the criterion of allele fixation in any lineage, we identify differential
1011 ancestry using the difference in allele frequencies between populations. An ancestral
1012 site is one in which the allele frequency closely matches that of the outgroup and a
1013 derived site has an allele frequency differing from the outgroup.

1014 For the admixture graph in Supplementary Figure 16, where population X has
 1015 ancestry from both B and C lineages, with outgroup O, we define an allele frequency
 1016 shift in B, analogous to a derived state, if

$$1017 \hat{F}_2(C, O) < \hat{F}_2(X, C) \text{ and } \hat{F}_2(C, O) < \hat{F}_2(X, O),$$

1018 where $\hat{F}_2(M, N)$ is an unbiased estimate of $(m - n)^2$, for populations M and N with
 1019 population allele frequencies m and n at a single locus, as in Appendix A of⁵⁰.
 1020 Similarly, we define the allele frequency shift in B to have the same shift in X if, in
 1021 addition to the shift in B:

$$1022 \hat{F}_2(B, X) < \hat{F}_2(B, C) \text{ and } \hat{F}_2(B, X) < \hat{F}_2(B, O) \text{ and}$$

$$1023 \hat{F}_2(B, X) < \hat{F}_2(X, C) \text{ and } \hat{F}_2(B, X) < \hat{F}_2(X, O) \text{ and}$$

$$1024 \hat{F}_2(C, O) < \hat{F}_2(B, C) \text{ and } \hat{F}_2(C, O) < \hat{F}_2(B, O).$$

1025 By observing a shared allele frequency shift instead of shared fixed alleles, we obtain
 1026 greater sensitivity to the phylogenetic signal that is specific to one ancestral lineage.
 1027 As for fixed derived alleles, the specific sites showing an allele frequency shift are
 1028 identified, and can then be compared between multiple daughter populations.

1029

1030 *Admixture proportion determination using ABC and simulated data*

1031 As the f4 ratio test is giving an upper limit to the amount of aurochs introgression
 1032 (due to the branch length uncertainty shown in Supplementary Figure 17), we
 1033 independently test the admixture proportions using simulated data and an ABC
 1034 approach.

1035 Approximate Bayesian Computation (ABC) is a likelihood-free methodology
 1036 employed when calculating likelihood functions is either impossible or
 1037 computationally expensive⁵¹. The methodology relies on being able to efficiently
 1038 simulate data, and then compare simulated data to observed data. When simulated
 1039 data is sufficiently close to the observed data, the parameters used to simulate the data
 1040 are retained in a posterior distribution.

1041 Consider a single locus, which for three individuals A, B, and C, two different
 1042 genotypes are observed. The three possible patterns that can be observed are AB, BC,
 1043 and AC, denoted by the tree tips with shared state. The observed pattern results from a
 1044 single mutation somewhere on the gene tree, where the position of the mutation
 1045 relative to the internal node defines which pattern is observed. For example, from the
 1046 un-rooted gene tree in Supplementary Figure 19c, if a mutation occurs on the branch
 1047 between C and the internal node, the pattern AB is observed. We assume the relevant
 1048 time scales are short enough that multiple mutations at a single locus are rare (infinite
 1049 sites model⁵²).

1050 Under the assumption of neutral and independent mutations, the number of fixed mu-
 1051 tations accumulating on a branch is Poisson distributed with mean $\mu \times t$, where μ is
 1052 mutations per locus per generation, and time t is in units of $2N_e$ generations^{53,54}. The
 1053 counts $\mathbf{n} = (n_{ab}, n_{bc}, n_{ac})$, of observed site patterns AB, BC, and AC, are random
 1054 variables, which for topology X_1 (Supplementary Figure 19c),

$$n_{ab} \sim \text{Pois}(T_m + T_c),$$

$$n_{bc} \sim \text{Pois}(T_a),$$

$$n_{ac} \sim \text{Pois}(T_b),$$

1055 and topology X_2 (Supplementary Figure 19d),

$$n_{ab} \sim \text{Pois}(T_c),$$

$$n_{bc} \sim \text{Pois}(T_m + T_a),$$

$$n_{ac} \sim \text{Pois}(T_b),$$

1056 where $\mathbf{T} = (T_a, T_b, T_c, T_m)$ are branch lengths in units of evolutionary time of $2N_e\mu$
 1057 generations, and the total number of observed patterns is $N = n_{ab} + n_{bc} + n_{ac}$. Thus
 1058 for a locus where two genotypes are observed, the probability of patterns AB, BC,
 1059 AC, is given by $\mathbf{p}^T = (p_{ab}^T, p_{bc}^T, p_{ac}^T)$, where for topology X_1 (Supplementary Figure
 1060 19c),

$$P(\text{AB}|\mathbf{T}, X_1) = p_{ab}^{T, X_1} = (T_m + T_c)/(T_m + T_c + T_a + T_b)$$

$$P(\text{BC}|\mathbf{T}, X_1) = p_{bc}^{T, X_1} = T_a/(T_m + T_c + T_a + T_b)$$

$$P(\text{AC}|\mathbf{T}, X_1) = p_{ac}^{T, X_1} = T_b/(T_m + T_c + T_a + T_b)$$

1061 and for topology X_2 (Supplementary Figure 19d),

$$P(\text{AB}|\mathbf{T}, X_2) = p_{ab}^{T, X_2} = T_c/(T_m + T_c + T_a + T_b)$$

$$P(\text{BC}|\mathbf{T}, X_2) = p_{bc}^{T, X_2} = (T_a + T_m)/(T_m + T_c + T_a + T_b)$$

$$P(\text{AC}|\mathbf{T}, X_2) = p_{ac}^{T, X_2} = T_b/(T_m + T_c + T_a + T_b).$$

1062 We simulate site pattern counts for each of the two species trees in Supplementary
 1063 Figure 19 by drawing from a Multinomial distribution, where for tree topology X_1 ,
 1064 $\mathbf{n}^{X_1} \sim \text{Mult}(N, \mathbf{p}^{T, X_1})$, and for tree topology X_2 , $\mathbf{n}^{X_2} \sim \text{Mult}(N, \mathbf{p}^{T, X_2})$.

1065 Given a collection of site pattern counts from a hybrid tree with hybridisation
 1066 parameter $\gamma \in [0, 1]$ (Figure S19e), we expect that the combined site pattern counts
 1067 will be a linear combination of the counts for the different topologies X_1 and X_2 . This
 1068 assumption is reasonable for a large number of total observations N . The simulated
 1069 counts, \mathbf{n}^γ , of site patterns for the hybridised tree is then given by

$$\begin{aligned} \mathbf{n}^\gamma &= \gamma \mathbf{n}^{X_1} + (1 - \gamma) \mathbf{n}^{X_2} \\ &= (n_{ab}^\gamma, n_{bc}^\gamma, n_{ac}^\gamma). \end{aligned}$$

1070 As branch lengths are not known (μ , N_e and number of generations are all unknown),
 1071 we use uninformative priors for the branch lengths. Furthermore, we only require
 1072 relative branch lengths, so branch lengths \mathbf{T} used for simulation were scaled such that
 1073 $T_b = 1$. Hence we can meaningfully simulate counts of site patterns \mathbf{n}^γ under
 1074 hybridisation, for comparison to observed site pattern counts.

1075 We perform ABC using the R package ‘abc’, with a ridge regression correction for
 1076 comparison of the simulated and observed data using the “abc” function⁵⁵. The
 1077 distance between the observed and simulated data sets is calculated as the Euclidean
 1078 distance in three-dimensional space. A tolerance $\epsilon = 0.005$ was chosen so that the
 1079 closest $\ell \times \epsilon$ simulated data sets are retained. For each analysis we had $\ell = 100000$,
 1080 resulting in 500 posterior samples.

1081 We performed leave-one-out cross-validation using the function “cv4abc” on
 1082 $\ell' = 250$ randomly selected simulations, and report the prediction error, calculated as

$$E_{\text{pred}} = \frac{\sum_{i=1}^{\ell'} (\hat{\gamma}_i - \gamma_i)^2}{\text{Var}(\gamma_i)}$$

1083 for each analysis. At most the prediction error was 0.5111 standard deviations away
 1084 from zero, and so we observe that the ridge regression has performed well (see
 1085 Supplementary Table 11).

1086 Similarly, on inspection of the cross-validation plots, we observe that the ridge
 1087 regression performs well for γ , as the true simulated values of γ are well estimated by
 1088 the ridge regression correction. Hence the correction has strengthened the parameter
 1089 inference methodology when compared to a simple rejection algorithm.

1090 We avoid reporting sample means due to the heavy negative skew in the posterior dis-
 1091 tributions of γ , and hence report the median (the most central ordered observed value)
 1092 and mode of each distribution. The mode is estimated using a kernel density estimate
 1093 of the posterior distribution. Not all simulated data is equally ‘close’ to the observed
 1094 data, and the median and mode are weighted according to these distances⁵⁶.

1095 The weighted posterior median was between 0.8250 and 0.8660, and the weighted
 1096 posterior mode was between 0.9034 and 0.9384. These measures of centre indicate
 1097 evidence for some non-zero level of hybridisation from the Aurochs genome.
 1098 Evidence against hybridisation must be indicated by overwhelming support for either
 1099 $\gamma = 0$ or $\gamma = 1$ (no mixing of the tree topologies). However, these values lie on either
 1100 end of the support for the prior distribution of γ , and hence any resulting posterior
 1101 distribution for γ . There- fore, classical highest probability density (HPD) intervals
 1102 cannot be used to indicate uncertainty in the estimates of these measures of centre, as
 1103 any interval of density less than 100% will result in zero and one being artificially
 1104 omitted by construction. This is not evidence for or against hybridisation, but rather a
 1105 consequence of the way in which we calculate HPD intervals.

1106 Supplementary Table 11 gives empirical posterior probabilities for different levels of
 1107 hybridisation. For example, the first column gives the empirical posterior probability
 1108 of observing at least 1% hybridisation. This is found for each trio by calculating the
 1109 total proportion of posterior samples where $0.01 \leq \gamma \leq 0.99$. In general, for some
 1110 percentage of hybridisation α , Supplementary Table 11 reports

$$\left[P\left(\frac{\alpha}{100} \leq \gamma \leq 1 - \frac{\alpha}{100}\right) \right]$$

1111 for $\alpha = 1\%$, 2% , 3% , 4% and 5% , from the posterior distribution of γ .

1112 As there is no accepted value of γ for which we can claim that significant
 1113 hybridisation has occurred, we leave it to the reader to consider what they consider to
 1114 be a significant level of hybridisation, and to find the appropriate probability.
 1115 However, if one considers 1% hybridisation to be significant, then the observed data
 1116 indicates that the data has between a 95.80% and 97.20% chance of being from a
 1117 hybridised topology. Similarly, if one considers 5% hybridisation to be significant,
 1118 then the observed data has between a 76.40% and 85.00% chance of being from a
 1119 hybridised topology.

1120

1121 **Asymmetrical hybridisation**

1122 In this study, we show that wisent and CladeX are of hybrid origin, certainly between
1123 ancient aurochs and steppe bison forms. This is consistent with the population
1124 structure of most bovids, where a single bull usually breeds with different females of
1125 multiple generations. As explained in⁵⁷, this usually results in asymmetrical
1126 hybridization when males of one species (steppe bison here) dominate males of the
1127 other species (aurochs here), therefore preferentially mating with female aurochs, as
1128 well as their offspring, potentially over several generations. In addition, male F₁
1129 hybrids are usually sterile or sub-fertile, increasing the amount of steppe bison
1130 genomic contribution to the offspring. As illustrated in Supplementary Figure 20,
1131 after just a few generations, this mating process results in individuals that are
1132 essentially steppe bison for their nuclear genome, but with an aurochs mitochondrial
1133 genome (strictly maternally inherited), which is the result that we obtained from the
1134 genotyping of historical and ancient wisent individuals (including CladeX).
1135

1136 **Supplementary Note 3:**

1137 **Paleoenvironment reconstruction and stable isotope analyses in the Ural region**

1138

1139 The Urals are a well sampled region, with the highest number of genotyped bones
1140 through time (Figure 5 and S22). We generated a convex hull based on geo-referenced
1141 site locations for all genotyped ancient samples collected from the Urals
1142 (Supplementary Figure 21). We used the HadCM3 global circulation model and
1143 BIOME4 model to reconstruct paleoclimate and environmental conditions for the Ural
1144 region throughout the period from 70,000 years ago to the present day.

1145

1146 We used the HadCM3 global circulation model to reconstructed paleoclimate proxies
1147 for the Ural region. The HadCM3 consists of linked atmospheric, ocean and sea ice
1148 models at a spatial resolution of 2.5° latitude and 3.75° longitude, resampled at a 1° x
1149 1° latitude/longitude grid cell resolution⁵⁸. The temporal resolution of the raw data is
1150 1,000 year slices back to 22,000BP and 2,000 year slices from 22,000 to 80,000BP⁵⁸
1151 We used these palaeo-climate simulations to derive estimates of annual mean daily
1152 temperature and Köppen-Geiger climate classifications⁵⁹ throughout the period from
1153 70,000 years ago to the present day. We intersected each grid cell in the Ural study
1154 region (n = 51) with the derived climate estimates, at each point in time, using
1155 ArcGIS 10. We calculated the mean temperature for the region and change in the
1156 proportion of the study region represented by four Köppen climate classes, each
1157 differing temperature: Dfa (hot summers), Dfb (warm summers), Dfc (cool summers),
1158 Dfd (continental temperatures). These are shown in Supplementary Figure 22.
1159 Interestingly, our reconstructions for the Urals show a decrease in area with hot and
1160 warm summer conditions (Dfa and Dfb) after 35kya.

1161

1162 BIOME4 was used to infer paleovegetation types. BIOME4 is a coupled
1163 biogeographical and biogeochemical model that simulates the distribution of 28 plant
1164 functional types (PFT) at a global scale⁶⁰. Model inputs for each grid cell are monthly
1165 climate (mean annual temperature, mean annual precipitation and mean annual
1166 sunshine hours), atmospheric [CO₂], and soil texture class. Ecophysiological
1167 constraints determine which PFT is likely to occur in each grid cell. A coupled carbon
1168 and water flux model calculates the leaf area index that maximizes net primary
1169 production (in gC m⁻² year⁻¹) for each PFT. Competition between PFTs was
1170 simulated by using the optimal net primary production of each PFT as an index of
1171 competitiveness. Global maps of BIOME4 PFTs were accessed at the same spatial
1172 and temporal resolution as the paleoclimate data ([http://www.bridge.bris.ac.uk/
1173 resources/simulations/](http://www.bridge.bris.ac.uk/resources/simulations/)). We grouped PFTs into three categories: Grassland (PFT
1174 identify numbers = 18-20); Tundra (ID = 22-26); and Forest (ID = 7-11). For each
1175 grid cell in the Ural study region, at each point in time, we determined whether the
1176 dominant PFT was grassland, tundra or forest. Interestingly the vegetation shift
1177 between an all forest-like landscape to a landscape represented by a large proportion
1178 of tundra and grassland-like vegetation occurred after 35kya, which coincides with a
1179 decrease in hot and warm summer conditions (see above).

1180 These results from the paleovegetation and climate inferences agree with previous
1181 landscape reconstructions of the region: In the Middle Urals, where almost all the
1182 samplings sites were located, the areas covered with arboreal vegetation underwent

1183 changes during MIS3. Spruce and birch open forests were widespread during
1184 coolings, and spruce and birch forest-steppe with occurrence of pine formed during
1185 warmings. Mesophilic meadows dominated by forbs and grasses were also prevalent
1186 during warm climatic events (Lapteva, 2008; 2009; Pisareva and Faustova, 2008). In
1187 the south, where one of the sites (Gofmana) is situated, steppe landscapes dominated
1188 by Asteraceae, Artemisia, and Poaceae were widespread. Spruce, birch and pine
1189 forests covered the areas along the rivers (Smirnov, Bolshakov, Kosintsev et al.,
1190 1990). The following was reconstructed for the territory of the Irtysh River: forest-
1191 steppe landscapes with pine (*Pinus* s/g *Haploxyylon*) and spruce forests, as well as
1192 meadows with a predominance of *Cyperaceae* and *Poaceae* and small quantities of
1193 *Artemisia* and *Chenopodiaceae* (Araslanov *et al.* 2009).

1194 During MIS2, periglacial forest-steppes dominated by herbaceous communities were
1195 typical of the Last Glacial Maximum. Larch, pine and birch covered the river-valleys.
1196 Herbaceous vegetation was dominated by goosefoot, sagebrush and grass (Grichuk
1197 2002). Periglacial forest-steppes with arboreal vegetation, including pine-birch forests
1198 and small quantities of spruce have been reconstructed for the Last Glacial
1199 Termination. Areas covered with sagebrush-goosefoot steppes with small quantities of
1200 grass were widespread (Lapteva, 2007).

1201 At later stages of MIS2, periglacial forb-grass forest-steppes with pine, birch and
1202 small quantities of spruce have been reconstructed for the Sur'ya 5 and Rasik 1 sites
1203 ⁶¹. Periglacial steppes dominated by *Artemisia*, *Rosaceae*, *Chenopodiaceae*,
1204 *Cichorioideae* and *Poaceae* have been reconstructed for the Voronovka site. *Pinus*
1205 *sylvestris* and *Betula pubescens* with occurrence of spruce (*Picea*), oak (*Quercus*) and
1206 *tilia* covered the river-valleys ⁶².

1207 The palynological analyses and landscape reconstruction suggest that both bison
1208 forms inhabited semi-open landscapes of forest-steppe type, where arboreal
1209 vegetation was represented by birch, spruce, pine and sometimes larch, while steppe
1210 and meadow herbaceous communities were observed. However, only CladeX
1211 (specifically from the Gofmana site, during MIS 3, Rasik 1 and Sur'ya 5, and
1212 Voronovka sites, during MIS2) also inhabited steppe-like landscapes, showing a more
1213 diverse ecological niche than steppe in this region.

1214 In addition to the paleo-climate and -vegetation reconstructions, stable isotope values
1215 ($\delta^{13}\text{C}$ and $\delta^{15}\text{N}$) obtained for all the genotyped bison individuals from the Ural
1216 region were compared between steppe bison and wisent (Supplementary Figure 23).
1217 Wisent individuals displayed more diverse stable isotope ratios than the steppe bison
1218 individuals. This observation is consistent with feeding in more diverse vegetations
1219 communities, which correlates well with the reconstructed paleo-environments for the
1220 region in the time periods they are found.

1221

1222 Modelled paleo-climate and -vegetation reconstruction at the sampling locations in
1223 the southern Urals suggest drastic shifts, which coincide in time with the observed
1224 population replacements between steppe bison and wisent. More specifically, between
1225 14 and 31 kya wisent were likely to exist in environmental condition characterised by
1226 relatively cold average temperatures, open landscapes with tundra-like flora, and the
1227 absence of warm summers. Although modern wisent are found today in wood-like
1228 habitats, it has been suggested that they are living in sub-optimal habitat, and
1229 paleodiet reconstructions have placed ancient wisent in tundra-like environments, in
1230 agreement with our observations ⁶³.

1231
1232 Interestingly, the steppe bison was only recorded when forest vegetation was inferred
1233 to dominate the landscape, adding to the evidence that this form of bison might not
1234 have been exclusively steppe-adapted ^{63,64}.
1235

1236 **Supplementary Note 4:**

1237 **Cave painting**

1238 The present survey, placing wisent across Europe (from the Urals/Caucasus to
1239 Ukraine/Italy) during MIS2 and late MIS3, suggests that depictions of bison in
1240 European Palaeolithic art, such as cave painting, carving and sculptures, are likely to
1241 include representations of wisent. Paleolithic art representations have often been used
1242 to infer the morphological appearance of steppe bison, sometimes in great detail
1243 ^{64,4,65-67}. And until now, the steppe bison (i.e., direct ancestor of modern American
1244 bison) has always been assumed to be the unique model present at the time of cave
1245 painting, and therefore, the diversity within the representations of bison was mainly
1246 explained by putative cultural and individual variations of style through time ⁶⁸⁻⁷⁰.
1247 However, in the vast diversity of bison representations (820 pictures representing
1248 20.6% of all known cave ornamentation, according to ⁷¹), two consistent
1249 morphological types can be distinguished (see Fig 1 and Fig S24-27). The first type,
1250 abundant prior to the last glacial maximum, is characterized by long horns (with one
1251 curve), a very oblique dorsal line and a very robust front part of the body (solid
1252 shoulders versus hindquarters), all these traits being similar to the modern American
1253 bison. The second type, dominating the more recent paintings between 18 and 15 kya,
1254 displays thinner sinuous horns (often with double curve), a smaller hump and more
1255 balanced dimensions between the front and the rear of the body, similar to the modern
1256 wisent lineage, and to some extant the *Bos* lineage. The imposing figure of the steppe
1257 bison, with its high hump and long horns stepping out the head profile, certainly was a
1258 very strong influence on the artists painting in the cave in Europe before the last
1259 glacial maximum. However, later generations thoroughly depicted the slender shape
1260 of the more recent form of bison. Considering the geographical and temporal
1261 distribution of genotyped steppe bison and wisent presented here, particularly the
1262 ~16,000 years old wisent B individual from Northern Italy, it is likely that the variety
1263 of bison representations in Paleolithic art does not just come from stylistic evolution,
1264 but actually represents different forms of bison (i.e., pre and post-hybridisation)
1265 through time.
1266

1267 **Supplementary References**

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1454 and Inhabitants of Arctic and Subarctic (Eds. A.A. Velichko and S.A. Vasil'ev).
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1456
1457
1458

2.4 Supplementary Data 1: sample details

List of all ancient and historical individuals used in the study. Previously published sequences are shown with their GenBank numbers.

DNA result:

Dloop ≥ 400 bp of the mitochondrial control region; sanger sequencing

WMG whole mitochondrial genome; RNA-probe capture and next generation sequencing

nSNP nuclear single nucleotide polymorphism; probe capture and next generation sequencing

***Calibrated dates:** 95% intervals are reported after calibration with Ox-Cal v4.2 and the IntCal13 curve (in black) or from the tip date sampling result of the BEAST analysis of Dloop for dates beyond C14 limits (in grey).

	Sample ID	DNA result	Genotype	AMS date		Calibrated dates*	
				Oxdate	Oxerr	Low	High
Urals	A001	Dloop + WMG + nSNP	CladeX	12565	55	14510	15152
	A003	Dloop + WMG + nSNP	CladeX	12505	55	14321	15075
	A004	Dloop + WMG + nSNP	CladeX	19010	80	22586	23155
	A005	Dloop + WMG + nSNP	CladeX	15310	70	18400	18750
	A006	Dloop + WMG + nSNP	CladeX	18880	90	22490	22992
	A007	Dloop + WMG + nSNP	CladeX	58300	2900	53641	70691
	A011	Dloop	CladeX	>60900		50081	93622
	A016	Dloop	CladeX	49600	1200	47459	52529
	A017	Dloop + nSNP	CladeX	18850	90	22472	22963
	A018	Dloop + WMG + nSNP	CladeX	13120	60	15485	16000
	BS599	Dloop	CladeX	26330	120	30349	30930
	BS604	Dloop	CladeX	55400	1800	52281	60568
	BS606	Dloop	CladeX	25000	100	28741	29366
	A002	Dloop	Steppe bison	51800	1300	49495	55047
	A008	Dloop	Steppe bison	31560	210	33001	34022
	A013	Dloop	Steppe bison	48400	900	44811	48515
	A014	Dloop	Steppe bison	33820	260	35452	36951
	BS592	AY748756 (Dloop)	Steppe bison	42500	450	43012	44745
	BS660	AY748766 (Dloop)	Steppe bison	29500	140	33433	33980
	BS674	AY748775 (Dloop)	Steppe bison	29060	140	32880	33660
	BS708	AY748793 (Dloop)	Steppe bison	47050	750	45665	48725
	BS713	AY748795 (Dloop)	Steppe bison	30970	180	34514	35288
	BS588	Dloop	Wisent	16810	65	20059	20491
	A012	X	Contamination				
	A015	X	Contamination				
	A4081	Dloop	CladeX	N/A			
	A4082	Dloop	CladeX	N/A			
	A4083	Dloop	CladeX	N/A			

	Sample ID	DNA result	Genotype	AMS date		Calibrated dates*	
				Oxdate	Oxerr	Low	High
Caucasus	A4084	Dloop	CladeX	N/A			
	A4085	Dloop	CladeX	N/A			
	A4087	Dloop	CladeX	N/A			
	A4088	Dloop	CladeX	N/A			
	A4089	Dloop + WMG + nSNP	CladeX	>59400		50027	93399
	A4091	Dloop	CladeX	>59700		50019	93566
	A4092	Dloop	CladeX	>56600		50030	63620
	A4094	Dloop	CladeX	>56500		50025	60951
	A4104	Dloop	CladeX	12160	40	13906	14186
	A4090	Dloop	Steppe bison	>59400			
	A4093	Dloop + WMG + nSNP	Wisent	>56300		50020	61245
	A4103	Dloop	Cow				
	A4098	Dloop	Brown bear				
	A4086	X					
	A4095	X					
	A4096	X					
	A4097	X					
	A4099	X					
	A4100	X					
	A4101	X					
	A4102	X					
	A15644	Dloop	Wisent	Historical (hunted in 1906)			
	A15646	Dloop	Wisent	Historical (hunted in early 20th century)			
A15648	Dloop	Wisent	Historical (hunted in 1910)				
A15654	Dloop + WMG + nSNP	Wisent	Historical (hunter in 1911)				
A3454	Dloop	Wisent	Historical				
A3455	Dloop	Wisent	Historical				
A15668	WMG + nSNP	CladeX	13573	36	16182	16547	

	Sample ID	DNA result	Genotype	AMS date		Calibrated dates*	
				Oxdate	Oxerr	Low	High
	A15660	Dloop	CladeX	18630	220	21962	23012
	LE237A	WMG	CladeX	18630	220	21962	23012
	LE242B	WMG	CladeX	18630	220	21962	23012
	LE247B	WMG	CladeX	18630	220	21962	23012
	A2791	Dloop	CladeX	>53800		50066	92727
	A2795	Dloop	CladeX	29010	160	32789	33652
	A2798	Dloop	CladeX	29230	150	33043	33804
	A2808	Dloop	CladeX	>61500		50028	65808
	A2809	Dloop	CladeX	>61300		50037	73370
	A2811	Dloop	CladeX	>62000		50036	68812
	A2792	Dloop	Steppe bison	29100	150	32904	33700
	A2793	Dloop	Steppe bison	28340	130	31687	32767
	A2796	Dloop	Steppe bison	43850	650	45791	48765
	A2797	Dloop	Cow				
	A2799	Dloop	Cow				
	A2810	Dloop	Cow				
	A2800	Dloop	Elk				
	A2801	X	Contamination				
	A2794	X					
Western Europe	A2802	X					
	A2803	X					
	A2804	X					
	A2805	X					
	A2806	X					
	A2807	X					
	BS593	Dloop	Wisent	5090	60	5707	5940
BS600	Dloop	Wisent	3430	50	3577	3831	
BS607	Dloop	Wisent	1370	50	1227	1369	

Sample ID	DNA result	Genotype	AMS date		Calibrated dates*	
			Oxdate	Oxerr	Low	High
A3226	Dloop	Wisent	Historical			
A3227	Dloop	Wisent	Historical			
A3228	Dloop	Wisent	Historical			
A15665	Dloop	Wisent	3621	31	3843	3990
A15526	Dloop + nSNP	CladeX	13600	60	16179	16638
A15637	WMG + nSNP	CladeX	>48000			
SGE2	KM593920 (WMG)	Steppe bison	15880	70	18940	19387
Beringia	A875	nSNP	>50000			
	A3133	WMG + nSNP	26360	220	30092	31044

Sample ID	Origin	Field ID	Museum ID	Type
A001	Rasik 1 (ZMIPAE)	ACS110	888/117	Pelvis fragment
A003	Voronovka (ZMIPAE)	ACS121	1871/01	Humerus
A004	Rasik 1 (ZMIPAE)	ACS88	888/1705	Metacarpal
A005	Ladeinyi Kamen (ZMIPAE)	ACS108	929/1	Femur
A006	Sur'ya 5 (ZMIPAE)	ACS100	994/714	Metatarsal
A007	Sur'ya 3 (ZMIPAE)	ACS94	884/19	Metatarsal
A011	Sur'ya 5 (ZMIPAE)	ACS99	994/715	Metatarsal
A016	Gofmana (ZMIPAE)	ACS104	1111/2	Humerus
A017	Sur'ya 5 (ZMIPAE)	ACS103	994/475	Upper mandible
A018	Sur'ya 5 (ZMIPAE)	ACS102	994/315	Radius
BS599	Kholodnyi (ZMIPAE)		816/163	Tibia
BS604	Sur'ya 5 (ZMIPAE)		994/37	Astragalus
Urals BS606	Kholodnyi (ZMIPAE)		816/168	Bone fragment
A002	Sur'ya 5 (ZMIPAE)	ACS101	994/435	Metacarpal
A008	Dinamitnaya (ZMIPAE)	ACS107	878/28	Metacarpal
A013	Rasik 1 (ZMIPAE)	ACS109	888/2271	Tibia
A014	Bobylek (ZMIPAE)	ACS187	528/42256	Tibia
BS592	Chernye Kosti (ZMIPAE)		887/3	Femur
BS660	Sur'ya 5 (ZMIPAE)		994/252	Metapodial
BS674	Kholodnyi (ZMIPAE)		816/166	Phalanx
BS708	Rasik 1 (ZMIPAE)		888/47	Femur
BS713	Irtys River (ZMIPAE)		915/166	Metatarsal
BS588	Sur'ya 5 (ZMIPAE)		994/716	Metapodial
A012	Sur'ya 5 (ZMIPAE)	ACS91	994/1003	Metacarpal
A015	Yurovsk (ZMIPAE)	ACS89	577/7	Femur
A4081	Mezmaiskaya, level 3	M3M N1		Long Bone
A4082	Mezmaiskaya, level 3	M3M N2		Long Bone
A4083	Mezmaiskaya, level 3	M3M N3		Long Bone

Sample ID	Origin	Field ID	Museum ID	Type
A4084	Mezmaiskaya, level 3	M3M N4		Long Bone
A4085	Mezmaiskaya, level 3	M3M N5		Long Bone
A4087	Mezmaiskaya, level 3	M3M N7		Long Bone
A4088	Mezmaiskaya, level 3	M3M N8		Long Bone
A4089	Mezmaiskaya, level 2B4	M3M N9		Long Bone
A4091	Mezmaiskaya, level 2B4	M3M N11		Long Bone
A4092	Mezmaiskaya, level 2B4	M3M N12		Long Bone
A4094	Mezmaiskaya, level 2B3	M3M N14		Long Bone
A4104	Mezmaiskaya, level 1-3	M3M N24		Long Bone
A4090	Mezmaiskaya, level 2B4	M3M N10		Long Bone
A4093	Mezmaiskaya, level 2B3	M3M N13		Long Bone
A4103	Mezmaiskaya, level 1-1	M3M N23		Long Bone
A4098	Mezmaiskaya, level 2A	M3M N18		Long Bone
A4086	Mezmaiskaya, level 3	M3M N6		Long Bone
Caucasus A4095	Mezmaiskaya, level 2B2	M3M N15		Long Bone
A4096	Mezmaiskaya, level 2B2	M3M N16		Long Bone
A4097	Mezmaiskaya, level 2A	M3M N17		Long Bone
A4099	Mezmaiskaya, level 2A	M3M N19		Long Bone
A4100	Mezmaiskaya, level 2A	M3M N20		Long Bone
A4101	Mezmaiskaya, level 1C	M3M N21		Long Bone
A4102	Mezmaiskaya, level 1C	M3M N22		Long Bone
A15644	Kuban Oblast (ZIRAS)		7987	Skull
A15646	Kuban Oblast (ZIRAS)		8834	Skull
A15648	Kuban Oblast (ZIRAS)		8836	Skull
A15654	Kuban Oblast (ZIRAS)		8853	Skull
A3454	Caucasus (NHM)		92.3.15.2	Tooth
A3455	Caucasus (NHM)		92.3.15.1	Tooth
A15668	Vinnicki oblast, Ukraine (Vinnytsia)	367BP	Gp-673 (3)	Skull

Sample ID	Origin	Field ID	Museum ID	Type
A15660	Amvrosievka, Ukraine (IAKiev)	A88a	A-88 KB XXIII	Mandible
LE237A	Amvrosievka, Ukraine (IAKiev)	A89a	A-89 KB VI B	Mandible
LE242B	Amvrosievka, Ukraine (IAKiev)	A89b	A-89 KB 1	Mandible
LE247B	Amvrosievka, Ukraine (IAKiev)	A93a	A93 K4 b/33	Mandible
A2791	North Sea bed deposit (NSN)	JGAC09		-
A2795	North Sea bed deposit (NSN)	JGAC13		-
A2798	North Sea bed deposit (NSN)	JGAC16		-
A2808	North Sea bed deposit (NSN)	JGAC26		-
A2809	North Sea bed deposit (NSN)	JGAC27		-
A2811	North Sea bed deposit (NSN)	JGAC29		-
A2792	North Sea bed deposit (NSN)	JGAC10		-
A2793	North Sea bed deposit (NSN)	JGAC11		-
A2796	North Sea bed deposit (NSN)	JGAC14		-
A2797	North Sea bed deposit (NSN)	JGAC15		-
A2799	North Sea bed deposit (NSN)	JGAC17		-
A2810	North Sea bed deposit (NSN)	JGAC28		-
A2800	North Sea bed deposit (NSN)	JGAC18		-
A2801	North Sea bed deposit (NSN)	JGAC19		-
A2794	North Sea bed deposit (NSN)	JGAC12		-
Western Europe A2802	North Sea bed deposit (NSN)	JGAC20		-
A2803	North Sea bed deposit (NSN)	JGAC21		-
A2804	North Sea bed deposit (NSN)	JGAC22		-
A2805	North Sea bed deposit (NSN)	JGAC23		-
A2806	North Sea bed deposit (NSN)	JGAC24		-
A2807	North Sea bed deposit (NSN)	JGAC25		-
BS593	Steiermark, Austria (VNHM)		H-65-5-2	Femur
BS600	Steiermark, Austria (VNHM)		H-65-5-4	Femur
BS607	Oberösterreich, Austria (VNHM)		H-79-48-1	Femur

Sample ID	Origin	Field ID	Museum ID	Type
A3226	Schönbrunn Zoo, Austria (MNHN)	BV/58	AC-1894-214	Tooth
A3227	Western Europe (MNHN)	BV/7	AC-1894-230	Tooth
A3228	Western Europe (MNHN)	A68	AC-1894-239	Tooth
A15665	Gouffre de la combe de la racine, Switzerland (ISSKA)		165-10.03	Skull
A15526	Riparo Tagliente, Italy	ITA2	US 352, RT 91 953/3 4884	
A15637	Aven de l'Arquet, France (Orgnac)	A24671		Metacarpal
SGE2	Grotte des Trois-Frères, France	SGE2		
Beringia				
A875	Alyoshkina Zaimka, Siberia (PIN)		3658-131	Metacarpal
A3133	Irish Gulch, Yukon, Canada (YPP)		YT03_204	Astralagus

	Sample ID	C14 ID	Extraction method	Isotope values	
				del13	del15
	A001	OxA-14558	PheChlo	-19.887	3.08
	A003	OxA-14948	PheChlo	-18.993	8.608
	A004	OxA-14545	PheChlo	-19.055	3.976
	A005	OxA-14556	PheChlo	-19.171	4.491
	A006	OxA-14550	PheChlo	-19.244	4.532
	A007	OxA-14548	PheChlo	-19.474	3.816
	A011	OxA-14549	PheChlo	-19.185	4.896
	A016	OxA-14554	PheChlo	-19.176	3.653
	A017	OxA-14553	PheChlo	-19.031	5.484
	A018	OxA-14552	PheChlo	-19.627	3.025
	BS599	OxA-12992	PheChlo	-18.597	5.845
	BS604	OxA-12991	PheChlo	-19.285	3.104
Urals	BS606	OxA-12990	PheChlo	-19.181	6.745
	A002	OxA-14551	PheChlo	-19.436	4.614
	A008	OxA-14555	PheChlo	-19.406	7.238
	A013	OxA-14557	PheChlo	-19.918	5.289
	A014	OxA-14559	PheChlo	-18.998	7.111
	BS592	OxA-12986	PheChlo	-18.793	5.122
	BS660	OxA-12987	PheChlo	-18.791	5.185
	BS674	OxA-14559	PheChlo	-19.004	4.642
	BS708	OxA-12985	PheChlo	-19.621	4.421
	BS713	OxA-12989	PheChlo	-19.221	6.602
	BS588	OxA-12122	PheChlo	-19.176	4.464
	A012		PheChlo		
	A015		PheChlo		
	A4081		PheChlo		
	A4082		PheChlo		
	A4083		PheChlo		

	Sample ID	C14 ID	Extraction method	Isotope values	
				del13	del15
	A4084		PheChlo		
	A4085		PheChlo		
	A4087		PheChlo		
	A4088		PheChlo		
	A4089	OxA-19197	PheChlo		
	A4091	OxA-19199	PheChlo		
	A4092	OxA-19200	PheChlo		
	A4094	OxA-19124	PheChlo		
	A4104	OxA-20368	PheChlo		
	A4090	OxA-19198	PheChlo		
	A4093	OxA-19201	PheChlo		
	A4103		PheChlo		
	A4098		PheChlo		
	A4086		PheChlo		
Caucasus	A4095		PheChlo		
	A4096		PheChlo		
	A4097		PheChlo		
	A4099		PheChlo		
	A4100		PheChlo		
	A4101		PheChlo		
	A4102		PheChlo		
	A15644		Silica		
	A15646		Silica		
	A15648		Silica		
	A15654		Silica		
	A3454		PheChlo		
	A3455		PheChlo		
	A15668	ETH-66330	Silica		

	Sample ID	C14 ID	Extraction method	Isotope values	
				del13	del15
	A15660	Indirect date	Silica		
	LE237A	Indirect date	Silica (UT)		
	LE242B	Indirect date	Silica (UT)		
	LE247B	Indirect date	Silica (UT)		
	A2791	OxA-19368	PheChlo		
	A2795	OxA-19372	PheChlo		
	A2798	OxA-19374	PheChlo		
	A2808	OxA-19376	PheChlo		
	A2809	OxA-19377	PheChlo		
	A2811	OxA-19326	PheChlo		
	A2792	OxA-19370	PheChlo		
	A2793	OxA-20370	PheChlo		
	A2796	OxA-19373	PheChlo		
	A2797		PheChlo		
	A2799		PheChlo		
	A2810		PheChlo		
	A2800		PheChlo		
	A2801		PheChlo		
	A2794		PheChlo		
Western Europe	A2802		PheChlo		
	A2803		PheChlo		
	A2804		PheChlo		
	A2805		PheChlo		
	A2806		PheChlo		
	A2807		PheChlo		
	BS593		PheChlo		
	BS600		PheChlo		
	BS607		PheChlo		

Sample ID	C14 ID	Extraction method
A3226		PheChlo
A3227		PheChlo
A3228		PheChlo
A15665	Ua-42583	Silica
A15526	OxA-29834	Silica
A15637	OxA-32490	Silica
SGE2	UCIAMS-144544	Silica
Beringia A875	OxA-29064	PheChlo
A3133	OxA-22141	PheChlo

Isotope values
del13 del15

Chapter 3

Population size history from short scaffolds: how short is too short?

3.1 Authorship statement

Statement of Authorship

Title of Paper	Population size history from short genomic scaffolds: how short is too short?
Publication Status	Submitted for publication; available on preprint server
Publication Details	Graham Gower, Simon Tuke, Adam B Rohrlach, Julien Soubrier, Bastien Llamas, Nigel Bean and Alan Cooper, Population size history from short genomic scaffolds: how short is too short? bioRxiv 382036; doi: https://doi.org/10.1101/382036

Principal Author

Name of Principal Author (Candidate)	Graham Gower		
Contribution to the Paper	Designed the study; performed simulations; processed empirical data; interpreted results; wrote and edited the manuscript.		
Overall percentage (%)	75		
Certification:	This paper reports on original research I conducted during the period of my Higher Degree by Research candidature and is not subject to any obligations or contractual agreements with a third party that would constrain its inclusion in this thesis. I am the primary author of this paper.		
Signature		Date	12/10/2018


Co-Author Contributions


By signing the Statement of Authorship, each author certifies that:


- i. the candidate's stated contribution to the publication is accurate (as detailed above);
- ii. permission is granted for the candidate to include the publication in the thesis; and
- iii. the sum of all co-author contributions is equal to 100% less the candidate's stated contribution.


Name of Co-Author	Simon 'Jono' Tuke		
Contribution to the Paper	Designed the study; performed mixed-effects modelling; interpreted results; edited the manuscript.		
Signature		Date	12/10/2018

Name of Co-Author	Adam B. Rohrlach		
Contribution to the Paper	Designed the study; interpreted results; edited the manuscript.		
Signature		Date	15/10/2018

Name of Co-Author	Julien Soubrier		
Contribution to the Paper	Supervised work; interpreted results; edited the manuscript.		
Signature		Date	15 / 10 / 2018

Name of Co-Author	Bastien Llamas		
Contribution to the Paper	Supervised work; interpreted results; edited the manuscript.		
Signature		Date	

Name of Co-Author	Nigel Bean		
Contribution to the Paper	Supervised work; designed the study; interpreted results; edited the manuscript.		
Signature		Date	15/10/2018

Name of Co-Author	Alan Cooper		
Contribution to the Paper	Supervised work; interpreted results; edited the manuscript.		
Signature		Date	12.10.18

3.2 Manuscript

Population size history from short genomic scaffolds: how short is too short?

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Abstract

The Pairwise Sequentially Markov Coalescent (PSMC), and its extension PSMC', model past population sizes from a single diploid genome. Both models have been widely applied, even to organisms with scaffold-level genome reference assemblies of limited contiguity. However it is unclear how PSMC and PSMC' perform on short scaffolds. We evaluated `psmc` and `msmc`, implementations of the PSMC and PSMC' models respectively, on simulated genomes with low contiguity, and compared results to those from fully contiguous data. Simulations with scaffolds from 100 Mb to 10 kb revealed that `psmc` maintains high consistency down to lengths of 100 kb, while `msmc` output is consistent down to 1 Mb. The discrepancy is not due to differing models, but stems from an implementation detail of `msmc`—homozygous tracts at the ends of scaffolds are discarded, making `msmc` unreliable for low contiguity genomes. We recommend excluding data that are aligned to shorter scaffolds when undertaking demographic inference.

Introduction

The process of joining (coalescing) and splitting (recombining) lineages backwards-in-time for a sample of homologous sequences is described by the coalescent

with recombination (Hudson, 1990). An important consequence of recombination is that there can be many distinct genealogies, known as marginal genealogies, at different locations along the sequence (Griffiths & Marjoram, 1997). The sequentially Markov coalescent (SMC, McVean & Cardin (2005)) models recombination as a Poisson process left-to-right along the sequence, approximating the coalescent with recombination by treating the marginal genealogy on the right of a recombination as a modification of the marginal genealogy on the left of the recombination. In this sense the approximation is a Markovian process along the sequence, and substantially reduces model complexity for long sequences compared to the full coalescent with recombination (Wiuf & Hein, 1999).

The Pairwise Sequentially Markov Coalescent (PSMC) uses a special case of the SMC approximation, restricted to pairs of sequences, to estimate the distribution of coalescent times within a single diploid genome (Li & Durbin, 2011). PSMC scans along a contiguous segment of the genome and considers marginal genealogies, using their distinct pairwise coalescent times as the unknown states in a hidden Markov model (HMM). To enable parameter estimation, continuous time is approximated by a finite partition of time intervals, and transition probabilities are inferred by Baum-Welch iteration of the forward-backward algorithm. Each genotype at consecutive genomic coordinates provides a new observation for the HMM, a homozygote or a heterozygote, with their emission probabilities determined by the pairwise coalescent time at the current locus, and the genome-wide mutation rate. The population size in a given time interval is inversely proportional to the rate of coalescence, as inferred by maximising the fit of the model to both the HMM transition matrix and the emission probabilities.

The Multiple Sequential Markov Coalescent (MSMC, Schiffels & Durbin (2014)) is an extension to PSMC, and models the distribution of first-coalescent times of two or more haploid sequences. If used with only two haploid sequences, MSMC closely matches the PSMC model, with the exception that it implements SMC' (Marjoram & Wall, 2006), a refinement of SMC incorporating recombinations that immediately coalesce back to the same lineage. For this reason the MSMC model, when applied to a diploid genome, is referred to as PSMC'. Compared to PSMC, the genome wide recombination rate is more accurately estimated under the PSMC' model, but population size estimates are qualitatively similar (Schiffels & Durbin, 2014).

Other approaches for inferring population size histories typically require either phased genotypes, multiple individuals, or both (Dutheil *et al.*, 2009; Gutenkunst *et al.*, 2009; Sheehan *et al.*, 2013; Boitard *et al.*, 2016; Terhorst *et al.*, 2017). However, in small scale studies of non-model organisms, it is

common for only one individual, or a few individuals, from a single population to be sequenced, and genotypes are unlikely to be phased. Population size history, particularly in the recent past, can also be estimated from the length distribution of tracts of identity-by-descent (Palamara *et al.*, 2012), identity-by-state (Harris & Nielsen, 2013), or runs of homozygosity (MacLeod *et al.*, 2013). While potentially useful for a single diploid individual, such approaches are not readily applicable to short scaffolds, where such tracts may be broken across scaffold boundaries. In contrast, PSMC and PSMC' are very attractive as they require only diploid genotypes for a single individual, which need not be phased.

By using the sequentially Markovian approximation, PSMC and derived methods implicitly assume that genomic information is contiguous. While initially applied to human datasets, which have very high contiguity, PSMC and PSMC' have since been applied to many non-model organisms where the contiguity of genomic sequences may be poor (Zhao *et al.*, 2013; Dobrynin *et al.*, 2015; Mays *et al.*, 2018; Kozma *et al.*, 2016; Feigin *et al.*, 2018). In particular, demographic history is regularly inferred from a *de novo* assembly as part of genome sequencing projects. Due to time and funding constraints, genome assemblies are often constructed from only short read sequencing data, and assembled into contigs or short scaffolds. These cannot be ordered or oriented with respect to one another (violating the SMC model), nor anchored to physical chromosomes. Where sequencing data is aligned to such assemblies, the genomic information used for population size inference inherits the low contiguity of the assembly. While small gaps in coverage along a scaffold can be handled gracefully, the HMM must be applied separately to each distinct scaffold, and it is not clear what the length threshold is to obtain robust population size inferences.

Results and Discussion

Simulations

To assess the impact of reference genome contiguity on population size estimates, we simulated genomes for populations with three different demographic histories: a constant population size; a bottleneck; and recovery following a bottleneck (Fig. 1A). For each demographic scenario, we simulated 10 independent populations and sampled 20×100 Mb haploid chromosomes, representing 10 diploid genomes from each population. New datasets were then created by fragmenting each genome into equally sized scaffolds at four distinct lengths, 10 Mb, 1 Mb, 100 kb, and 10 kb. Population size histories were

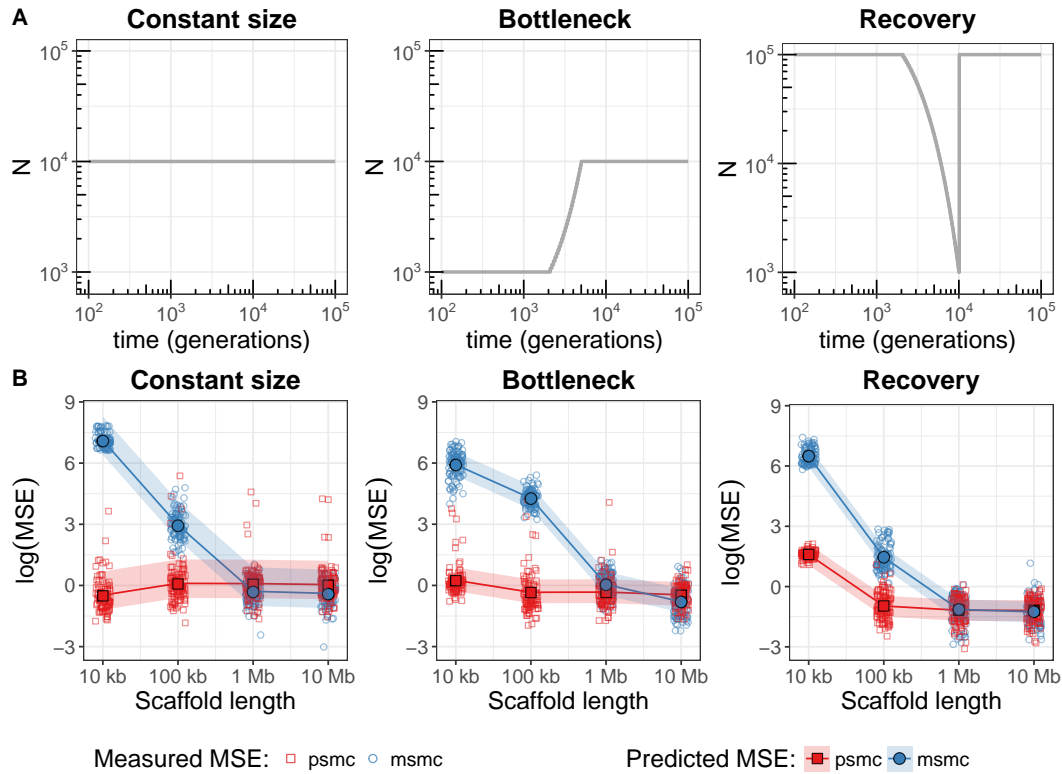


Figure 1: **A)** Simulated population size histories. **B)** Mean squared error (MSE) of population size inferences from simulations shown immediately above. Larger values indicate a loss of fidelity in the population size estimate. Small hollow markers indicate MSE for distinct simulated individuals (100 Mb per individual; 10 individuals each from 10 populations), with red squares for `psmc` and blue circles for `msmc`. Data from each simulated individual was artificially fragmented to emulate genome sequences aligned to a scaffold-level reference assembly. At each scaffold length, MSE was calculated by comparing to inferences from unfragmented (100 Mb) scaffolds (see methods). Large solid markers and lines show predicted MSE from a linear mixed effect model, with 95% prediction intervals based on simulation.

then inferred for all fragmented and unfragmented datasets using `psmc` (Li & Durbin, 2011) and `msmc` (Schiffels & Durbin, 2014), implementations of PSMC and PSMC' respectively.

Mean squared error

In measuring the error of estimates, Li & Durbin (2011) compared population size inferences to the values that were simulated, but excluded time intervals in the recent and distant past. Population size estimates are expected to be unreliable for times outside a certain range since a typical genome contains relatively few breakpoints corresponding to recombination events in the very recent or very distant past. However, excluding temporal intervals requires advance knowledge of where the method may lose resolution, and this is dependent upon the population size history itself.

To quantify estimation error, we used inferences from the unfragmented datasets as the 'truth', not the values that were simulated. A loess smooth function (Cleveland *et al.*, 1992) was fitted to the unfragmented inferences for each simulated population, separately for `psmc` and `msmc`, using population size estimates from all individuals in a given population. Then for each simulated individual, the mean squared error (MSE) was measured between estimates from the fragmented datasets and the loess function for the corresponding population. The MSE was weighted, in discrete time intervals, using the inverse of the sample variance in estimates from the unfragmented datasets (the same individuals as used for the loess fit). This was done to avoid measuring error caused by limited genomic information about the recent and ancient past.

Comparisons of the MSE at each fragmentation level (Fig. 1B) suggest that shorter scaffolds do indeed result in population size estimates that are not consistent with those for longer scaffolds. Qualitatively, `msmc` appears to decline in fidelity at scaffold lengths between 1 Mb and 100 kb for all demographic scenarios, whereas `psmc` declines in fidelity only in the Recovery scenario, at scaffold lengths between 100 kb and 10 kb.

Mixed effects model

To determine if the observed differences were significant, we fitted a linear mixed-effects model separately for each demographic scenario. The fixed effects were scaffold length and estimation program (`psmc` vs. `msmc`), and a random intercept was necessary to account for the repeated measures of each individual at multiple scaffold lengths. Both scaffold length and estimation program were

found to be significant predictors of MSE in all demographic scenarios. Two-way interactions between scaffold length terms and estimation program were also significant in all scenarios.

Empirical data

Arguably, the simulated population history scenarios are unrealistic. Simulated data also provides the best possible case in terms of missing data in that there is none. To gauge the impact of using a scaffold-level assembly with real data, we artificially fragmented chromosome 1 from a high coverage human genome, HG00419, a Southern Han Chinese female ([The 1000 Genomes Project Consortium, 2015](#)). Population size histories were again estimated using `psmc` and `msmc`, for each of the fragmented and unfragmented datasets (Fig. 2).

Both programs produced largely the same demographic history when processing long scaffolds, although `msmc` did not estimate population sizes for time intervals as far into the past as `psmc` (3 Mya vs. 10 Mya). For 10 kb scaffold lengths, inferences from `msmc` are substantially different to those using longer scaffolds, and a small departure is also discernible in the recent past for 100 kb scaffolds. Estimates from `psmc` have noticeably poorer resolution at the 10 kb scaffold length, but are remarkably consistent for longer scaffolds.

The data conversion script provided with `psmc` (`fq2psmcfa`) ignores scaffolds having fewer than 10000 genotype calls by default. This excluded most of the 10 kb scaffolds, due to the presence of one or more missing genotypes. Disabling this filter to retain all scaffolds only marginally improved population size estimates, and only in more ancient time intervals (results not shown). We considered the possibility that with 10 kb scaffolds, `psmc` might still closely recapitulate the results from longer scaffolds if provided with more information. To this end chromosome 2 was also partitioned into 10 kb scaffolds and appended to the chromosome 1 data (doubling the information to ~500 Mb in total). However, the additional information did not alter the result.

`msmc` discards homozygous tracts at the ends of scaffolds

An input file for `msmc` contains lines that specify the coordinate of a heterozygote site and its distance from the previous heterozygote on the same scaffold. Nothing is specified for coordinates after the last heterozygote, and the scaffold is implicitly truncated here. For short scaffolds this causes substantial information loss. Indeed, short scaffolds may contain no heterozygote sites at all, and input files for such scaffolds are empty.

To determine if truncation was a major cause of the different behaviour between `psmc` and `msmc`, we ran `psmc` on 10 kb scaffolds that were artificially

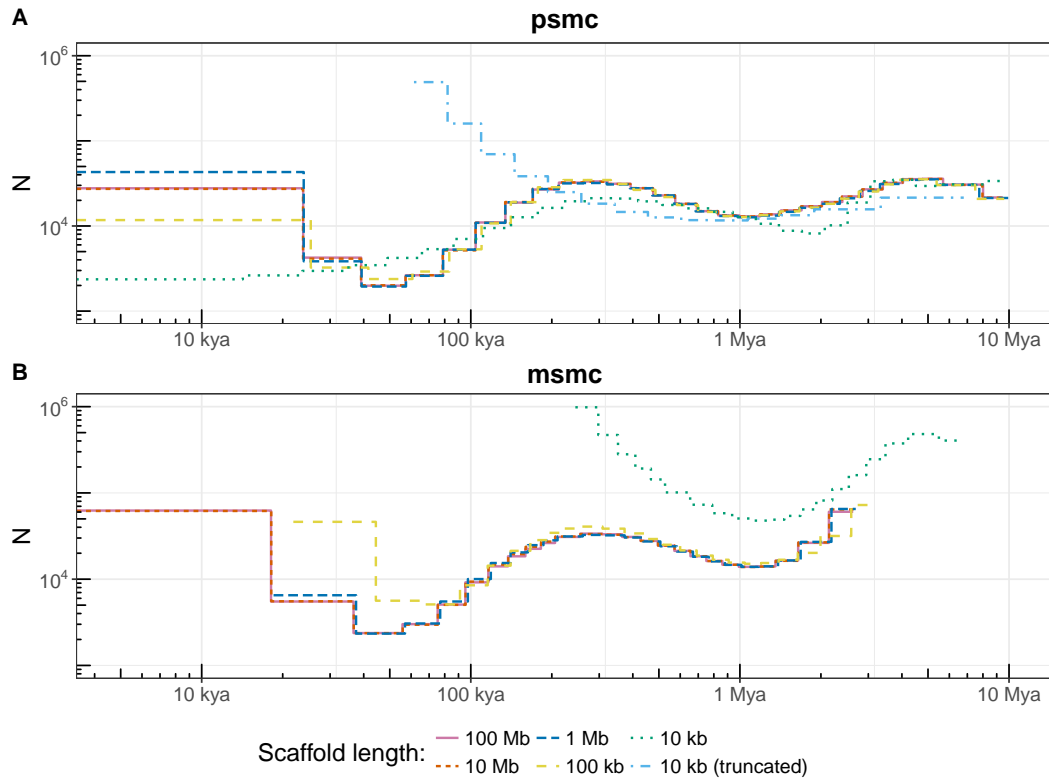


Figure 2: Population size history of HG00419, a Southern Han Chinese individual ([The 1000 Genomes Project Consortium, 2015](#)), inferred by **A**) `psmc` and **B**) `msmc`. Empirical data was artificially fragmented to emulate genome sequences aligned to scaffold-level reference assemblies. Population size inferences from `psmc` are consistent down to 100 kb scaffold lengths, with loss of resolution at 10 kb. For `msmc`, stable inferences can be made down to 1 Mb, but fidelity at 100 kb is poor in the recent past, and at 10 kb even broad demographic trends are difficult to discern. Input data to `psmc` for the ‘10 kb (truncated)’ line style had trailing homozygous sites removed from all scaffolds, to match the information content of `msmc` input. Plots were scaled to real time using a 25 year generation time and $1.25e-8$ mutations per base per generation. kya: thousand years ago; Mya: million years ago;

truncated to match the information available to `msmc`. Scaffolds containing no heterozygotes were omitted. This output ('10 kb (truncated)' in Fig. 2A), shows a similar trend to that for `msmc` on 10 kb scaffolds, although differences remain.

Marginal genealogies with recent coalescent times have accumulated few mutations, so corresponding regions of the genome contain mostly homozygote genotypes. Truncation increases the proportion of heterozygotes, hence recent coalescent times appear older. On short scaffolds, all marginal genealogies are near a scaffold end, so inferences from short truncated scaffolds are more strongly biased to not observe recent coalescent events. Since the population size for each time interval is inversely related to the rate at which pairs of haplotypes coalesce, the smaller number of observations of high homozygosity genomic tracts also means that population size inferences are biased upwards. Both artefacts are noticeable, particularly in the more recent time bins, for `psmc` with artificially truncated 10 kb scaffolds (Fig. 2A) and for `msmc` with 10 kb and 100 kb scaffolds (Fig. 2B).

Conclusion

Reasonable parameter inference in a hidden Markov model relies on observations leading up to, and following, transitions in state. For PSMC, this corresponds to having sufficient sequence contiguity to observe genomic tracts on both sides of historical recombination breakpoints. The chance that a short scaffold will contain a tract covering a recombination breakpoint depends not only on the completeness of the reference assembly, but also the sparsity of breakpoints.

Several factors contribute to breakpoint density, including population size, the per base recombination rate, and recombination hotspots. A population suffering a recent and very severe bottleneck will give rise to mostly recent pairwise coalescent times, and few recombination breakpoints, both of which are poorly represented within short scaffolds. Our simulations considered a mammalian recombination rate (3.125×10^{-9} per base per generation) and population size histories that are relevant to many taxa. This suggests that PSMC inference can be reasonable from scaffolds as short as 100 kb for a wide range of datasets.

Scaffold level reference assemblies are unlikely to contain equally sized scaffolds, as evaluated here. Generally, a scaffold-level assembly contains tens of long scaffolds and tens of thousands of short scaffolds. In such cases, it is reasonable to exclude scaffolds shorter than 100 kb when running `psmc`, and scaffolds shorter than 1 Mb for use with `msmc`. However, we caution that this guideline may be too optimistic for severely bottlenecked populations or

genomic data aligned to a very low quality reference assembly.

Materials and Methods

Simulations

Simulations were performed using `scrm` (Staab *et al.*, 2015), with mutation rate $\mu = 1.25 \times 10^{-8}$ per base per generation and recombination rate $\mu/4$ per base per generation (Schiffels & Durbin, 2014). Simulation output was artificially fragmented during conversion to `psmc` and `msmc` input formats, using a custom Perl script. Demographic inferences were obtained from `psmc` v0.6.5-r67 and `msmc` v1.0.0 for all inputs. Both `psmc` and `msmc` were run with the same time bin parameter (`-p 1*2+15*1+1*2`), although we note that each program calculates time boundaries for the discrete bins differently, so a completely fair comparison is not possible. Scripts used for simulation, format conversion, and running `psmc/msmc` are available from <https://github.com/grahamgower/psmc-error-analysis/>.

Mean squared error

For each simulated population history scenario and each estimation program, estimates from the unfragmented datasets were used to fit a loess function of log population ($\log(N)$) against log time ($\log(t + 10)$). The offset of 10 was based on a sensitivity analysis and the smallest non-zero time. An optimal value for the loess smoothing parameter was selected by maximising the corrected AIC (AICc) (Hurvich *et al.*, 1998). Mean squared error for individual i in population j was calculated as

$$MSE_{ij} = \frac{1}{k} \sum_{m=1}^k (n_{ijm} - \tilde{n}_{.jm})^2 / var_j(m),$$

where the sum extends over all k time intervals, n_{ijm} is the log of the population size estimate in interval m , and $\tilde{n}_{.jm}$ is the prediction for the m th time interval from the loess function fitted for the j th population. The variance step function $var_j(m)$ at time interval m , for the j th population, was calculated by splitting time on a log scale into 10 even-width bins and calculating the variance in each bin.

Mixed effects modelling

Scatter-plots of MSE against scaffold length indicated a cubic relationship between MSE and $\log(\text{scaffold length})$. This was confirmed by comparing residual plots for linear, quadratic, and cubic models. To help numerical consistency of the fitting process, we performed a location scaling of $\log(\text{scaffold length})$.

Bivariate analysis of each of the predictors— $\log(\text{scaffold length})$, estimation program, population history scenario, sample ID, and population ID—were used for variable selection. Only $\log(\text{scaffold length})$, estimation program, and population history scenario had a significant relationship with MSE.

The linear mixed effects model was fitted using the `lme4` package (Bates *et al.*, 2015) in R (R Core Team, 2017). The fixed effects were $\log(\text{scaffold length})$ and estimation program. Up to two-way interaction terms were considered for each of the cubic $\log(\text{scaffold length})$ terms with estimation program. To account for repeated measures from each simulated individual due to multiple levels of fragmentation, we included random effects. Both random intercepts and random slopes were considered.

All significance testing was performed using the `lmerTest` package (Kuznetsova *et al.*, 2017). All assumptions of the linear mixed-effects models were assessed and regarded as reasonable. The 95% prediction intervals were based on simulation with the `merTools` package (Knowles & Frederick, 2016).

Empirical dataset

We downloaded the cram alignment file for HG00419, aligned to assembly GRCh38DH, from The 1000 Genomes ftp server, and called genotypes with `samtools -q20 -Q20 -C50 ... | bcftools call -c` The resulting vcf was partitioned into scaffolds of a specific size by modifying the chromosome name and position to which each genotype call corresponded, and was performed separately for each of the scaffold sizes 100 Mb, 10 Mb, 1 Mb, 100 kb, and 10 kb. Input for both `psmc` and `msmc` were filtered to exclude sites with less than half, or greater than double, the mean depth (54.76). The vcf was converted to `psmc` input format with `vcfutils.pl` (distributed with `samtools`) and `fq2psmcfa` (distributed with `psmc`), then `psmc` was run with time bin parameter `-p 4+25*2+4+6`. The same vcf was converted to `msmc` input format with `bamCaller.py` and `generate_multihetsep.py`, both distributed with `msmc-tools`, then `msmc` was run with parameters `-R -p 15*1+15*2`. The time bin parameters for both programs were chosen to be suitable for inferring human demography (Li & Durbin, 2011; Schiffels & Durbin, 2014).

Author Contributions

GG, JT, ABR, JS, BL, and NB designed the study. GG performed simulations and processed the empirical data. JT calculated the MSE and performed mixed effects modelling. All authors interpreted the results. GG wrote the manuscript with feedback from all coauthors.

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Chapter 4

Widespread male sex bias in mammal fossil and museum collections

4.1 Authorship statement

Statement of Authorship

Title of Paper	Widespread male sex bias in mammal fossil and museum collections
Publication Status	Unpublished and unsubmitted work written in manuscript style

Principal Author

Name of Principal Author (Candidate)	Graham Gower		
Contribution to the Paper	Performed sex determination; logistic regression analysis; kernel test; interpreted results; wrote and edited the manuscript.		
Overall percentage (%)	80		
Certification:	This paper reports on original research I conducted during the period of my Higher Degree by Research candidature and is not subject to any obligations or contractual agreements with a third party that would constrain its inclusion in this thesis. I am the primary author of this paper.		
Signature		Date	12/10/2018

Co-Author Contributions

By signing the Statement of Authorship, each author certifies that:

- i. the candidate's stated contribution to the publication is accurate (as detailed above);
- ii. permission is granted for the candidate to include the publication in the thesis; and
- iii. the sum of all co-author contributions is equal to 100% less the candidate's stated contribution.

Name of Co-Author	Lindsey Fenderson		
Contribution to the Paper	Preparation of bison samples for sequencing; mapped sequencing data.		
Signature		Date	13/10/2018

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Contribution to the Paper	Supervised work; interpreted results.		
Signature		Date	15/10/2018

Name of Co-Author	Alan Cooper		
Contribution to the Paper	Supervised work; interpreted results; wrote and edited the manuscript.		
Signature		Date	12.10.18

4.2 Manuscript

Widespread male sex bias in mammal fossil and museum collections

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Abstract

The sexing of subfossil material using relatively low coverage high-throughput DNA sequencing methods was recently used to show a male-biased sex ratio in mammoth remains and predict the same pattern for steppe bison (Pečnerová *et al.*, 2017). We genetically sexed subfossil remains of 186 Holarctic bison (*Bison spp.*) and 91 brown bears (*Ursus arctos*), and found that approximately 75% of both are male, very similar to the ratio observed in mammoths (72%). We found no evidence for differences between the sexes with respect to: DNA preservation, sample age, material type, or spatial distribution. However, bison and brown bear remains preserved in caves exhibited a different sex ratio to other sedimentary deposits. We also examined ratios of male and female specimens from four large museum collections of hunted and trapped mammals and again found a strong male bias with the species-averaged percentage greater than 50% in almost all mammalian orders. We suggest: (1) wider male geographic ranges can lead to considerably increased chances of detection in fossil studies, and (2) sexual dimorphic behaviour or appearance can facilitate a bias in fossil and modern mammal collections towards males, or the more visually striking sex. These findings reveal a sex bias on a previously unacknowledged scale, which have major implications for a wide range of studies of museum material that require specimens to be an unbiased representation of their population.

Introduction

Most mammal species have a sex ratio of 1:1 at birth (Karlin & Lessard, 1986), but this may shift demographically according to differential patterns of mortality between the sexes across various life stages. A variety of factors

have been identified that may affect sex ratios in mammal populations from birth to adulthood, including competition for mates and local resources, or the physiological condition of mothers (Trivers & Willard, 1973; Charnov, 1975; Karlin & Lessard, 1986). The sex ratios in natural populations are helpful in evaluating the impact of these and other factors, and to illuminate aspects of life history and comparative demographics within and across species. However, it is important that field-based studies of sex ratios capture real, rather than biased, information for both sexes. Pečnerová *et al.* (1) recently demonstrated that males are over-represented in the fossil record of mammoths, and suggested that this also may be the case for the fossil record of other female herd-based mammal species, such as bison. To explore the extent of this problem we examined the relative representation of males and females in the fossil record of Late Pleistocene and Holocene bison (*Bison* spp.) and brown bears (*Ursus arctos*), as well as in museum collections of a range of extant mammals.

Morphological sex determination of fossil and subfossil remains is generally reliable only where sexual dimorphism is apparent, but has been widely used despite this limitation (Fraye & Wolpoff, 1985; Rehg & Leigh, 1999). However, it is also possible to genetically sex subfossil specimens using ancient DNA, either by direct PCR of a sex-linked gene or more powerfully via shotgun sequencing data (Skoglund *et al.*, 2013; Mittnik *et al.*, 2016). In the latter approach, mammalian sex may be inferred by calculating the ratio of the number of reads that map to the Y versus X chromosomes (Skoglund *et al.*, 2013), although because many genome reference assemblies lack a Y chromosome it is often better to calculate the ratio of reads mapping to the X versus non-sex chromosomes (Mittnik *et al.*, 2016). Because females have two X chromosomes, and males have only one, the X chromosomal *read dosage* is approximately double in females compared with males. Read dosage for both X and Y has also been evaluated for ancient DNA in conjunction with nuclear SNP capture data (Fu *et al.*, 2016). The use of read dosage is very convenient for ancient DNA studies, as the method requires relatively little sequencing effort, and is typically generated as part of routine DNA quality screening.

The read dosage approach was recently used to show that male specimens are over-represented in Holarctic mammoth remains (Pečnerová *et al.*, 2017). This was suggested to result from the “lone male model”, originally proposed to explain the excess of young adult males in the Hot Springs mammoth assemblage (Agenbroad & Mead, 1987). This model proposes that after sub-adult males are expelled from their familial group, they lose the protection of a large herd and experienced group leaders, and consequently engage in riskier behaviour or enter more dangerous territory. As a result, the excess of males is caused by segregation of sexes due to their social behaviour leading to

differential mortality, including at taphonomically favourable sites which preserve fossils (such as bogs and tarpits, and river crossings upstream of fluvial deposits). Morphological age profiling has provided support for this model at specific mammoth mass death sites (reviewed by Haynes, 2017), but it has not previously been suggested as a more widespread pattern across the fossil record. Furthermore, the model is not readily falsifiable without profiling age at death, and other possible causes for a male bias also remain untested.

To investigate this issue further, we examined large collections of two other Late Pleistocene Holarctic megafauna, bison (*Bison* spp.) and brown bears (*Ursus arctos*) from across Europe, Beringia, and North America, along with the original mammoth dataset (Pečnerová *et al.*, 2017) and a small dataset of the extinct Balearic bovid *Myotragus balearicus*. Most of the specimens were collected by the authors either directly from the field (most of the North American samples) or from existing museum collections (most of the European and Russian samples), providing some level of control against collection biases. We used these datasets to investigate a number of aspects of sample taphonomy and collection activities that might influence their observed sex ratios.

Late Pleistocene bison thrived on the vast mammoth steppe, leaving a substantial fossil record across Eurasia and North America. Modern bison are polygynous and gregarious, forming large herds comprised mostly of female adults and young of both sexes. Adult males are solitary or form small bachelor groups, joining with the female groups for only 1-2 months of the year. Similar structures have been implied for Pleistocene steppe bison (Guthrie, 1989), and this has led to predictions that, like mammoth, steppe bison remains would also exhibit a pronounced male bias (Pečnerová *et al.*, 2017). We examined this by genetically sexing 188 subfossil bison specimens from across Europe, Beringia, and North America, mostly recovered from alluvial sediments.

Both modern and Late Pleistocene brown bears have a Holarctic distribution, and individuals are typically either solitary or form small family groups, only congregating in large numbers under atypical circumstances of highly abundant food. Dispersal of extant brown bears is density dependent (Støen *et al.*, 2006), with more than one third of females and 80-90% of males, dispersing before adulthood (Støen *et al.*, 2006; Zedrosser *et al.*, 2007). Given that brown bears are facultative carnivores, both their ecology and social structure are clearly different to mammoths and bison and provide an additional test of biased sex ratios. We genetically sexed 92 brown bear subfossils from Europe, Russia, and North America, recovered from caves and alluvial sediments.

Table 1: Male and female sample counts. †Mammoth data is from (Pečnerová *et al.*, 2017).

	Bison			Brown Bears			†Mammoths
	all	postcrania	non cave	all	Alps	non Alps	
Males	139	72	135	58	8	50	67
Females	47	31	39	33	16	17	26
Total	186	103	174	91	24	67	93
% male	74.73	69.90	77.59	63.74	33.33	74.63	72.04
Unassigned	2	0	2	1	0	1	5

Results

Shotgun sequencing data were used to confidently assign sex to 186 subfossil bison and 91 brown bear specimens from across Europe, Beringia, and North America using the ratio of reads mapping to the X chromosome versus non-sex chromosomes (Methods, Table 1). A pronounced male sex bias close in size to that of mammoths (72%) was observed across all bison (75%) and the vast majority of the brown bear specimens (75%) (Table 1). Interestingly, in the small sets of cave-preserved bones a contradictory signal of female bias was observed for both bison (5 males, 8 females), and brown bears from the Alps (8 males, 16 females). The dominance of female brown bears has previously been noted for Austrian caves (Döppes & Pacher, 2014), and is thought to relate to behavioural differences in the Alps region where female bears hibernate in caves, whereas males do not. Outside of the Alps, both male and female brown bears hibernate, and a strong male sex bias was observed in cave sites (50 males, 26 females) while open sites showed a more equal ratio (8 males, 7 females).

To test whether additional information about the samples could explain the excess male ratio we used an intercept-only logistic regression, as a null model, for comparison with logistic regression models containing explanatory variables. Intuitively, this null model can be interpreted as ‘there is a fixed ratio of males to females’, while the alternative models that we construct should be interpreted as ‘the sex ratio changes as the explanatory variable changes’. Alternative models were compared to the null using a likelihood ratio test. Logistic regression models with univariate predictors of sex were constructed for a variety of explanatory variables (Table 2).

Table 2: Logistic regression models with sex as the dependent variable. The row corresponding to an intercept-only model shows p-values for the intercept term, which tests the null hypothesis that there is a 1:1 male to female ratio. All other cells contain p-values from likelihood ratio tests, comparing a logistic regression model of the form ‘sex \sim X’, where X is a single explanatory variable, to the intercept-only model above it. Material1 consists of factors such as tooth, leg, astragalus, foot, petrous, other skull, vertebrae, flat bone, horn. Material2 collapses factors from Material1 into crania and non-crania. [†]Mammoth data is from (Pečnerová *et al.*, 2017).

Explanatory variable	Bison			Brown Bears			[†] Mammoths
	all	postcrania	non cave	all	Alps	non Alps	
Intercept-only	<i>1.31E-10</i>	<i>8.80E-05</i>	<i>8.51E-12</i>	<i>0.00973</i>	0.110	<i>0.000122</i>	<i>4.21E-05</i>
Cave/non-cave	<i>0.00176</i>	<i>0.00646</i>		0.367		<i>0.0399</i>	
Material1	0.618	0.634	0.716	0.264	0.758	0.0695	
Material2	0.227		0.245	0.594	0.671	0.590	0.132
¹⁴ C age	0.768	0.534	0.614	<i>0.0122</i>	0.133	0.174	0.992
Latitude	0.954	0.657	0.682	0.619	0.494	<i>0.0244</i>	
Longitude	0.490	0.527	0.965	<i>0.0171</i>	0.708	0.417	
Altitude	0.676	0.802	0.847	<i>0.0157</i>	0.158	0.911	
Alps/non-Alps				<i>0.000363</i>			
Endogenous	0.707	0.790	0.941	0.137	0.521	0.439	
GC ratio	0.312	0.625	0.468	0.723	0.386	0.168	
DNA fragment length	0.237	0.343	0.705	0.352	0.717	0.514	
5' deamination (C→T)	0.558	0.681	0.644	0.162	0.446	0.148	

Bison

For the bison, only the type of site (cave versus non-cave) was found to be significantly better than the intercept-only model, due to the female bias in the 12 cave specimens noted above. We searched for site specific factors that might contribute to differential mortality of males and females, but rejected univariate models with the following explanatory variables: latitude, longitude, and altitude. Univariate models may not reveal differences that arise only when jointly considering latitude and longitude, so we implemented a Gaussian kernel two-sample test (Gretton *et al.*, 2012), to look for more complex spatial differences between the sexes. This multivariate test has good sensitivity to test such differences (see Supplementary Information), but was unable to reveal any sex specific patterns for bison remains.

To examine whether larger bison might generate a ‘trophy’ collection bias

we searched for an increase in the proportion of male bone samples where sexual dimorphism is more apparent (e.g. skulls). Due to the small sample size of many types of bone used for DNA extraction, we also collapsed the categories into either ‘crania’ or ‘postcrania’ with teeth placed into the crania category as they are regularly taken from full or partial skulls. Neither the model containing all bone categories, nor collapsed categories, was significantly better than the null.

Brown bears

While several variables (^{14}C age, longitude, and altitude) explained the brown bear male sex bias better than an intercept-only model (Table 2), these are all related to the strong female bias in the Alps cave samples ($p=0.0003$). Outside of the Alps region, the only variables significantly better than an intercept-only model (Table 2) were latitude and cave sites. The male bias was more extreme at lower latitudes, which is consistent with the lone male model as female home ranges are larger in higher latitudes due to food scarcity, particularly after emerging from dens (Bunnell & Tait, 1981). Interestingly, brown bear bones found in caves outside the Alps showed a male bias, suggesting the female hibernation behaviour in the Alps may indeed be producing the female sex bias, while elsewhere males dominated caves as preferred denning sites.

The kernel two-sample test applied to bison was also applied to brown bears, which identified the sex specific spatial distribution caused by sites in the Alps. However, when applied to only brown bear remains outside the Alps, no spatial differences between the sexes could be identified.

Mammoth

We also reanalysed the mammoth samples from the previous study (Pečnerová *et al.*, 2017) for comparison, using our methods for consistency. Of 98 samples, 93 were unambiguously assigned to a sex (Table 1). We evaluated the two variables given, material type and ^{14}C date, as possible explanations of the sex ratio. Neither were significantly better than an intercept-only model (Table 2).

Myotragus

We sexed nine bones of the fossil dwarf bovid *Myotragus balearicus* from several different Mallorcan deposits (Balearic Islands, Spain). Larger bones were deliberately chosen from available collections (as part of another study Bover *et al.* submitted) in an effort to identify specimens with good DNA preservation. All nine bones were found to be male, suggesting the deliberate choice of

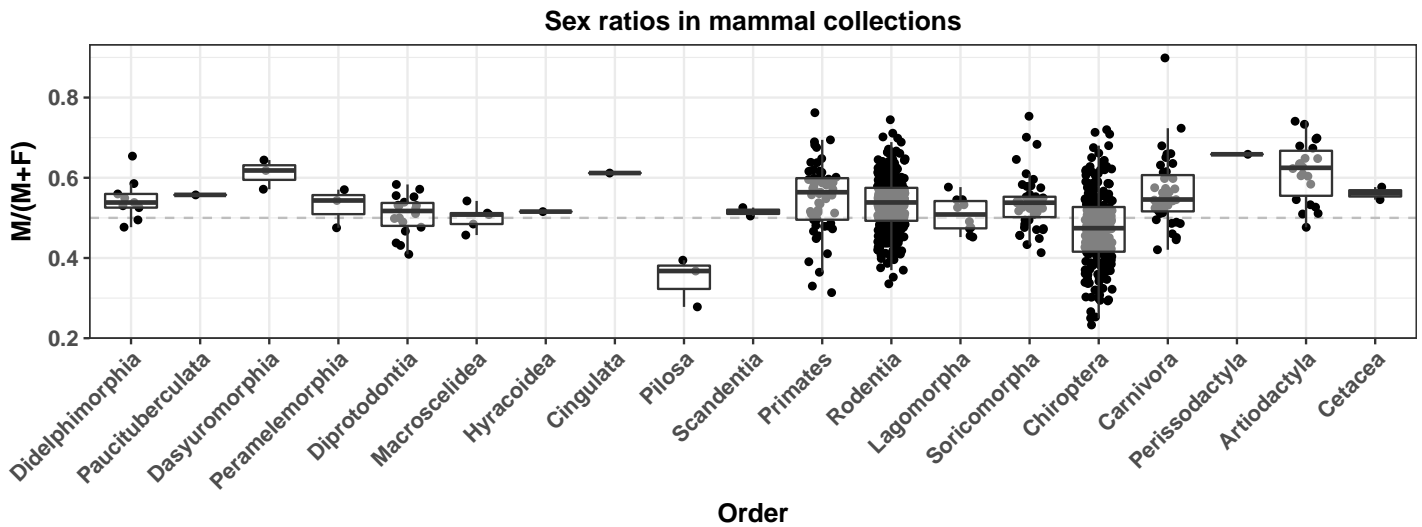


Figure 1: Boxplot showing the proportion of males samples for distinct species in modern mammal collections, grouped by order. Black dots represent the proportion of males for a single species, and are jittered horizontally. Only species with more than 100 sexed samples were included.

large bones in medium-small size species can result in a substantial male bias for taxa that have obvious sexual size dimorphism.

Modern mammal collections

To further explore the potential for biases in museum collections we counted male and female samples in the online databases of large mammalogy collections from: the American Museum of Natural History (AMNH), New York; the Natural History Museum (NHM), London; the Smithsonian Institution National Museum of Natural History (USNM), Washington; and the Royal Ontario Museum (ROM), Ontario. These specimens of modern and historical mammal samples were obtained during the past few hundred years, largely from hunted or trapped individuals. Many were sexed at the time of collection, or subsequently, based on preserved genitalia, or clearly distinguishing secondary sexual characters (such as antlers for most deer species). The ratio of males was calculated for each species represented by more than 100 individuals (Fig. 1). The male ratio, averaged across species, was greater than 1:1 in most mammalian orders, with notable exceptions for Chiroptera (bats) and Pilosa (sloths and anteaters). However, there was extreme variability across taxa, which may result from the method of collection (hunting vs. trapping),

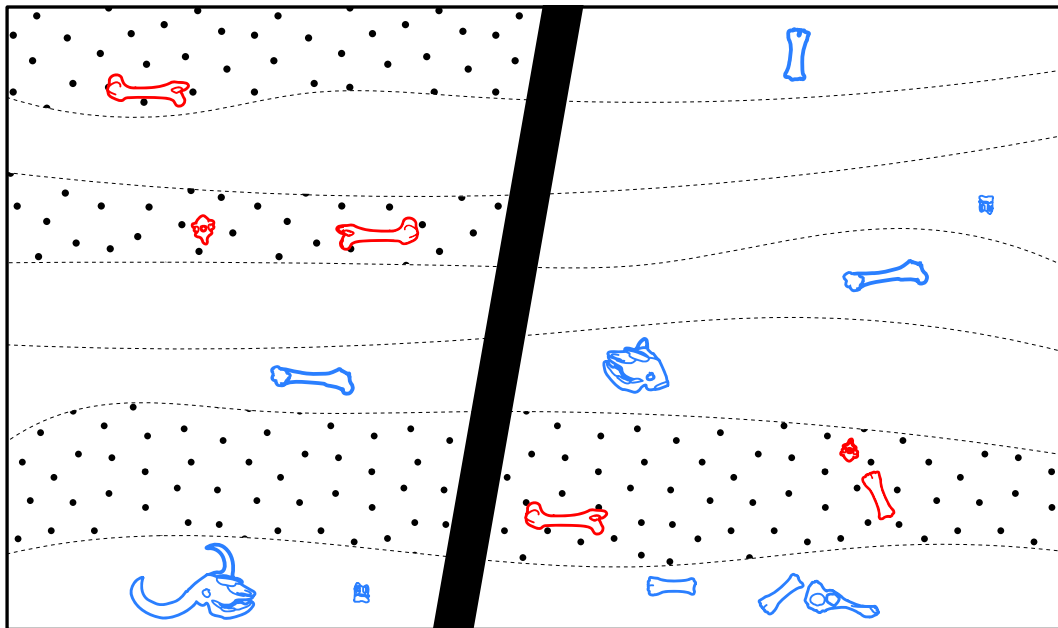


Figure 2: Hypothetical cross sections from two dig sites, with female bones in **red** and males in **blue**. Female home ranges (shown with polkadots) vary over time, and this is reflected vertically in the different sedimentary layers. For the site shown on the left, both male and female dominated zones encompass the site regularly, so a sampling episode here would yield equal proportions of males and females. On the right, female dominated zones have irregular/infrequent interaction with the site, so sampling here would make females appear rarer than males.

or the source of the samples (zoo vs. wild).

Discussion

A bias towards males appears to be a pervasive feature in both subfossil and live-collected mammal collections, and could be due to a range of plausible explanations. Perhaps the simplest explanation of the male sex bias in the subfossil datasets is a taphonomic artefact, where male bones in sexually dimorphic data such as bison are larger or denser and more likely to be better preserved or identified as likely to contain ancient DNA. If this was the case, male bias might be expected to correlate with factors associated with post-mortem DNA preservation, such as sample age, average DNA fragment length, and cytosine deamination rate. Greater bone density might also be expected to inhibit microbial intrusion, and thus increase the proportion of endogenous DNA (host species versus microbial DNA). No such trends were observed here

(Table 2), and thus it is reasonable to conclude that DNA preservation is equal between the sexes.

Given the evidence of equal post-mortem preservation, the observed male bias could relate to differences in either deposition rates or collection activities. Regarding the latter, we found no evidence of a decreased male sex bias in smaller skeletal elements where sexual dimorphism is less readily apparent, suggesting that size-biased collecting or sampling is unlikely to be a major driver of the observed sex ratios in bison or brown bears. Consequently, our data would appear to support a biased male deposition rate in both bison and brown bears, consistent with the landscape ranging hypothesis proposed for mammoth (Pečnerová *et al.*, 2017), where male deaths are more broadly distributed. This bias is expected to be particularly strong for female-herding taxa, where female ranges are potentially clustered geographically. While the latter will change distribution over time, random sampling across the landscape is still more likely to locate male remains (Fig. 2). A corollary of this model is that locations dominated by large female groups should be encountered occasionally, yielding female biased ratios for such sites. The only such site we observed was brown bears in the Alps (for which a behavioural explanation is available), however, there are very few sites for which we have multiple samples in our dataset. The female-biased sex ratios observed for bats may derive from collections dominated by sampling of single roosts, which at certain times of the year may be inhabited only by one sex, particularly maternity colonies (Kunz, 1982).

Cave sites appear to provide different sex biases from open alluvial systems, possibly related to behavioural traits such as the differential denning activities for bears in the Alps and elsewhere. For example, the dominance of male brown bears in cave sites outside the Alps may reflect the ability of males to drive off females from preferred denning locations such as caves. While the lone male model is consistent with the observed data, it technically only applies to herd animals and also probably can only be differentiated from the landscape ranging model, where males simply have bigger ranges, by determining the age at death for specimens. The lone male model predicts that the age at death will be younger for male than for females, due to lack of experience and herd protection. Age at death can be measured morphologically from tooth eruption and wear, and in mammoths by dating the enamel layers of tusks. However, large collections of subfossil teeth, preserving ancient DNA are unlikely to be readily available. Certain methylomic loci can be used to indicate age in humans (Horvath & Raj, 2018), so cytosine methylation in ancient DNA (Llamas *et al.*, 2012) could be used to age subfossil specimens. Currently, without detailed age of death data, it remains challenging to support

the lone male model over the landscape ranging model.

Collection bias

Where we deliberately sampled thicker and larger *Myotragus balearicus* bones to maximise DNA preservation in a warm climate, all were found to be male ($n = 9$), indicating that this bias can potentially affect subfossil collections. It is highly likely that a similar collection bias affects modern mammalian collections arising from mostly hunted and trapped individuals. For modern mammals, this bias need not only be driven by deliberate selection of large ‘impressive’ male specimens, but could also be due to other factors such as hunters or trappers avoiding females tending young because of legislation or other motivation. At the same time, museum collections do not only represent the choices of collectors and hunters. Museum curators may act judiciously to select materials for accession with a goal of representing both sexes (as well as representing different localities, times, or ages) for species in their collections, a factor that may in fact counteract, to some extent, any tendency for extreme male bias in some collections. Whatever the cause, the pervasiveness of male over-representation in mammal collections requires attention. The use of museum specimens as the major platform for comparative anatomy, morphological variability, ontogenetic development, parasitology, stable isotope chemistry, stomach contents, and many other aspects of biology in mammalian species (McLean *et al.*, 2016) raises the question of the extent that previous studies may be impacted by an undetected male bias.

We have not examined the extent of male bias in modern bird collections, but suspect that the remarkable sexual dimorphism in colour in many bird species may lead to similar male bias, as males typically exhibit more visually striking plumage. However, data available for the extinct moas of New Zealand suggest a different pattern for ratite birds, where sex roles are reversed. Moa exhibit pronounced reverse sexual size dimorphism, with females two or more times heavier than males (Bunce *et al.*, 2003). Fossil remains of four different moa species show heavily female-dominated sex ratios across two different deposits, with suggestions that female territoriality led to an increased death rate near watering holes (Allentoft *et al.*, 2010). Importantly, this provides a further indication that differential sexual morphology and behavioural ecology of large vertebrates, rather than sex *per se*, may be important drivers of sex ratios observed in the fossil record.

Conclusion

We observed a substantial excess of male bison and brown bear subfossils across a range of Late Pleistocene Holarctic deposits, consistent with a landscape ranging hypothesis. The female-herd structure of bison, like mammoths, explains the high ratio of male subfossils as females are expected to be clustered geographically, and therefore more heterogeneous on the landscape. In the case of brown bears, the lack of a herd structure leads to a more equal distribution of subfossil remains in open sites but a pronounced male sex bias in cave sites, which may reflect preferred denning sites. Within caves in the European Alps a reversed situation is observed, potentially due to a lack of male hibernation in caves.

Regardless of the actual mechanisms, a substantial male sex bias exists in both the subfossil record and modern mammalian collections. The biases are highly taxon specific, and are likely to differ between collections. This has implications for studies that assume their samples are representative for the whole of the population under consideration, such as comparisons of taxa or studies of factors such as bone dietary isotopes where sexes differ in their behaviour or distribution. Our results suggest that sex biases are ubiquitous in collections, and should not be ignored. The routine application of genetic sexing will allow the possible confounding effects of cryptic sexual dimorphism to be identified when working with subfossils or museum collections.

Materials and Methods

Laboratory procedures

All ancient DNA work was performed in the purpose-built isolated ancient DNA facility at the University of Adelaide's Australian Centre for Ancient DNA following previously published guidelines (Cooper & Poinar, 2000; Shapiro & Hofreiter, 2012). DNA was extracted from bison samples using either a phenol-chloroform or in house silica based method as described in (Soubrier *et al.*, 2016). Brown bear samples were extracted using a phenol-chloroform based extraction protocol (Bray *et al.*, 2013) or an in-house silica-based protocol (Dabney *et al.*, 2013). Double-stranded Illumina sequencing libraries were built from 25 μL of DNA extract following the partial uracil-DNA-glycosylase (UDG) treatment protocol (Rohland *et al.*, 2015), modified to include the use of dual 7-mer internal barcode sequences as per (Soubrier *et al.*, 2016). The libraries were pooled and sequenced using paired-end reactions on an Illumina MiSeq, NextSeq, or HiSeq.

Alignment and filtering

Demultiplexed reads were mapped using the Paleomix pipeline (Schubert *et al.*, 2014) configured to use BWA-aln (Li & Durbin, 2009) with typical ancient DNA parameters (-1 16384 -o 2 -n 0.01). Alignments were subsequently filtered to exclude those with mapping quality lower than 30, and fragments longer than 100 bp. We considered only samples with at least 5000 reads mapped to the nuclear genome, and subsampled down to approximately 20000 reads for sex determination.

Bison

Bison reads were mapped to a composite cattle reference assembly formed by concatenating the assembly UMD3.1 (Zimin *et al.*, 2009), with the Y chromosomal sequence from Btau4.6.1 (Elsik *et al.*, 2009). As very few reads map to this Y sequence, we were unable to do genetic sexing using counts of reads mapping to the Y chromosome vs. counts of those mapping to the X chromosome as in (Skoglund *et al.*, 2013). We instead counted reads mapping to the X chromosome vs. the autosome, in an approach similar to (Mittnik *et al.*, 2016).

We counted the reads that mapped to the X chromosome, N_X , and the reads that mapped to the autosome, N_A , using `samtools idxstats` (Li, 2011). Assuming reads are drawn from the genome uniformly along its length, the observed ratio $R_X = N_X/(N_X + N_A)$ can be predicted from the length of the X chromosome, L_X , and the length of the autosome, L_A . Conditional on the sex, the expected ratios are,

$$\begin{aligned} p_{XY} &= \mathbb{E}[R_X \mid \text{sex} = XY] = L_X/(L_X + 2L_A) \quad \text{or} \\ p_{XX} &= \mathbb{E}[R_X \mid \text{sex} = XX] = L_X/(L_X + L_A). \end{aligned}$$

The likelihood of the male ratio p_{XY} given the observed counts N_X and N_A can thus be described using the Binomial probability mass function,

$$\mathcal{L}(p_{XY} \mid N_X, N_A) = \frac{(N_X + N_A)!}{N_X! N_A!} p_{XY}^{N_X} (1 - p_{XY})^{N_A},$$

and similarly for the female ratio. We determined if one sex fit the data best using a likelihood ratio test (LRT), requiring that the LRT result in a p-value < 0.001 for one or the other sex, in order that a sex be assigned. Further, we considered

$$M_X = \begin{cases} 0.5 R_X/p_{XY} & \text{for males;} \\ 1.0 R_X/p_{XX} & \text{for females;} \end{cases}$$

depending on the result of the LRT, to cluster males near 0.5 and females near 1.0. We did not assign a sex to samples that had $0.6 < M_x < 0.8$, under the assumption that they violated both male and female models. Our Python code implementation for the sex assignment is available from <https://github.com/grahamgower/sexassign>.

Mammoths

Mammoth sexing was done using the same method as for bison. Read counts N_x and N_A were taken from Supplementary Table 1 of (Pečnerová *et al.*, 2017), which also lists material type and ^{14}C age for each sample. L_x and L_A were derived from the African elephant reference loxAfr4. A total of 398 360 mapped reads were reported for sample L285, which is likely missing a digit. We appended a zero, placing this sample into the male range, which matches the inferred sex from (Pečnerová *et al.*, 2017).

Bears

Brown bear reads were mapped to the polar bear reference UrsMar1.0 (Liu *et al.*, 2014), a scaffold-level reference assembly. For sex determination, we counted reads that mapped to X-linked scaffolds as N_x , and applied the same method as for Bison. Only scaffolds longer than 1 Mbp were used in calculations of N_x , N_A , L_x , L_A .

A list of UrsMar1.0 X-linked scaffolds (Table S1) was obtained by mapping all UrsMar1.0 scaffolds to the dog reference CanFam3.1 (Lindblad-Toh *et al.*, 2005), with minimap2 (Li, 2018). The default mapping parameters were used (`minimap2 CanFam3.1.fasta UrsMar1.0.fasta > aln.paf`), which provides an approximate alignment lacking base-level precision. We retained only UrsMar1.0 scaffolds having more than 100 kbp cumulative ‘approximate’ matches to the CanFam3.1 chrX, resulting in 28 putatively X-linked scaffolds comprising 102 Mbp of sequence.

Model violations

While care was taken to minimise contamination from exogenous sources, such model violations may yet occur due to sample cross-contamination. Other factors that may contribute to sample specific model violations include chromosome translocations, aneuploidy, and unanticipated post-mortem preservation artifacts that (dis)favour one chromosome over another.

Systematic model violations may also be present, such as due to reference assembly errors, or post-mortem preservation artifacts. Inactivated copies of

chromosome X are heavily methylated, which may lead to additional post-mortem DNA fragmentation compared to the active copy and hence fewer reads mapping from the inactivated chromosome. Conversely an inactivated chromosome is condensed into heterochromatin, which may facilitate greater post-mortem preservation than the active copy.

We note that the UrsMar1.0 assembly was derived by sequencing a male, and thus Y-linked scaffolds may be present, while the CanFam3.1 assembly was derived by sequencing a female and thus lacks a chrY. This leaves open the possibility that the pseudoautosomal region (PAR) on Y-linked UrsMar1.0 scaffolds could have mapped to CanFam1.0 chrX. The dog PAR region is ~ 6.6 Mbp (Young *et al.*, 2008), small compared with the size of chrX, but this could yet artificially inflate R_x values for males.

Nonetheless we observed a clear separation of R_x values into two cohorts, with few intermediate values, suggesting model violations are rare, or do not notably influence sex determination.

GLM

Logistic regression models were implemented in R (R Core Team, 2017) using the `bayesglm` function with default parameters, from the `arm` package (Gelman *et al.*, 2008). For categorical variables with three or more levels, we constructed multiple models, each with different reference levels, to verify this did not have a notable influence on the outcome.

Testing spatial distribution

We implemented the two-sample kernel test described by (Gretton *et al.*, 2012) with a Gaussian kernel, and obtained a p-value by comparing the test statistic to 1000 permutations. The Gaussian kernel $k(x, y) = \exp(-d(x, y)/\sigma)^2$, where $d(x, y)$ is the great circle distance between x and y , has a scaling parameter σ , which was chosen to maximise the test statistic in each permutation. More details regarding the test statistic, and validation of its performance for spatial data, can be found in the Supplementary Information. Our R code implementation for the kernel test is available from <https://github.com/grahamgower/kernel-test>.

Mammalian databases

For mammalian species listed in the PanTHERIA WR05 database (Jones *et al.*, 2009), we downloaded sample information from three museum databases: the American Museum of Natural History (AMNH) (American Museum of Natural

History, 2018); the Natural History Museum, London (NHM) (London Natural History Museum, 2014); and the Royal Ontario Museum (ROM) v11.5 (Royal Ontario Museum, 2018). In addition, samples for 38 species were manually downloaded from the Smithsonian Institution National Museum of Natural History (USNM) (Smithsonian National Museum of Natural History, 2018). We excluded juveniles and hybrids, and sex ratios were calculated only for species represented by more than 100 sexed samples.

Author contributions

GG performed sex determination and statistical analyses. LF, AS, ALvL, and HH processed samples. GG, KMH, KJM, BS, and AC interpreted the results. GG, KMH, and AC wrote the manuscript with input from all coauthors. GG wrote the supplementary material.

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4.3 Supplementary Information

Table S1: Putatively X-linked scaffolds of the polar bear, identified by mapping the *UrsMar1.0* reference to the dog reference *CanFam3.1*.

KK498507.1
KK498524.1
KK498558.1
KK498591.1
KK498592.1
KK498613.1
KK498620.1
KK498621.1
KK498625.1
KK498626.1
KK498633.1
KK498654.1
KK498655.1
KK498666.1
KK498668.1
KK498669.1
KK498670.1
KK498681.1
KK498702.1
KK498740.1
KK498766.1
KK498779.1
KK498782.1
KK498829.1
KK498842.1
KK499341.1
KK499355.1
KK499613.1

Testing for differences in spatial distribution

In mammoths and bison, large groups are comprised predominantly of mature females and sub-adults (of both sexes), while most mature males are excluded from the group by an oligarchy. Excluded males are solitary, or form minor groups, and may inhabit more marginal locations compared to those inhabited by the larger groups. Pečnerová *et al.* (2017) hypothesised that an excess of male samples is observed for mammoths (and will be observed for bison), because their social structure gives rise to differences in the modes of death for males and females. This implies either taphonomic differences between sexes due to differing habitats, or simply differences in their spatial extent. In either case, inter-sample distances within sexes should be smaller than for the population as a whole, and this should be discernible from the fossil record.

To test for differences in spatial distribution between males and females, it is possible to apply univariate tests, separately to latitude and longitude. However, the Kolmogorov-Smirnov test, Cramér-von Mises test, and similar two-sample univariate tests are known to be conservative tests that perform poorly on many datasets. In addition, a univariate test may not reveal differences that arise only when jointly considering latitude and longitude. We note also that the spatial distributions for both bison and brown bear samples are multimodal, with population centres in Europe and North America. Thus we sought a multivariate two-sample test, which is adequate for testing non-symmetric multimodal distributions. The kernel two-sample test described in Gretton *et al.* (2012) can be readily applied to high dimensional data, and has few assumptions on the data itself.

We implemented the kernel test in R (R Core Team, 2017), and our code is available from <https://github.com/grahamgower/kernel-test>. The test statistic that we used is

$$T(\mathbf{X}, \mathbf{Y}) = \frac{1}{m^2} \sum_{i,j=1}^m k(x_i, x_j) - \frac{2}{mn} \sum_{i,j=1}^{m,n} k(x_i, y_j) + \frac{1}{n^2} \sum_{i,j=1}^n k(y_i, y_j).$$

Which can be interpreted as the distance between the two probability distributions that produced samples \mathbf{X} and \mathbf{Y} . Where $\mathbf{X} = x_1, \dots, x_m$, and $\mathbf{Y} = y_1, \dots, y_n$, are the coordinates of male and female samples, respectively (e.g. x_i is the latitude and longitude for the i th male sample). The kernel function $k(u, v)$ measures similarity of two individuals u and v , which for our

purposes is 1 for two samples with identical locations, and decreases towards 0 as their distance increases. The kernel function must be *positive definite* in order that it embed the sample distances into an Hilbert space. Both Gaussian and Laplacian kernel functions are known to be appropriate choices, and we evaluated both. For the Gaussian kernel, this is

$$k_G(u, v) = \exp\left(- (d(u, v)/\sigma)^2\right),$$

and for the Laplacian kernel,

$$k_L(u, v) = \exp(-d(u, v)/\sigma).$$

Where $d(u, v)$ is the distance between individuals u and v . Both kernels have a scaling parameter σ (known as the bandwidth), which we chose to maximise the test statistic, as inspired by: <https://normaldeviate.wordpress.com/2012/07/14/modern-two-sample-tests/>. In each case, the test statistic has a single maxima with respect to σ , and maximisation was done using the `optimise` function in R (R Core Team, 2017).

We evaluated two metrics, the Euclidean distance, $d_E(u, v)$, and the great-circle distance (as traveled on the surface of a sphere), $d_{gc}(u, v)$. If lat_u and lon_u are the latitude and longitude for sample u , then

$$\begin{aligned} d_E(u, v) &= \sqrt{(\text{lat}_u - \text{lat}_v)^2 + (\text{lon}_u - \text{lon}_v)^2}, \\ d_{gc}(u, v) &= \cos^{-1}(\sin(\text{lat}_u) \sin(\text{lat}_v) + \cos(\text{lat}_u) \cos(\text{lat}_v) \cos(\text{lon}_u - \text{lon}_v)). \end{aligned}$$

The significance of the test statistic, $T(\mathbf{X}, \mathbf{Y})$, was evaluated with a permutation test. I.e. the male/female labels were randomly reassigned to new individuals, keeping the total number of males and females the same, then the test statistic was recomputed. A null distribution was obtained by repeating this procedure many times. In each permutation, the scaling parameter σ was reestimated.

To determine how well the kernel test performs compared to various other two-sample tests, we simulated spatial distributions with two population centres by drawing random latitudes and longitudes from a mixture of two multivariate normal distributions. The sample counts, means, and covariance matrices, were taken from the data observed for European and American bison, and we did 1000 simulations under each of two distinct scenarios: (1) male and female locations were drawn from the same distribution (same mean and covariance

Table S2: Proportion of simulations in which a two-sample test rejected the null hypothesis, from 1000 simulations, at a specified false positive rate $\alpha = 0.05$. The configuration highlighted in bold was used for the results reported in the main text.

Test	Type 1	Power
Kolmogorov-Smirnov (lat)	0.040	0.063
Kolmogorov-Smirnov (lon)	0.059	0.109
Cramér-von Mises (lat)	0.042	0.056
Cramér-von Mises (lon)	0.060	0.071
Cramér test	0.050	0.061
Energy distance (d_E)	0.049	0.058
Energy distance (d_{gc})	0.045	0.112
kernel test ($k_G, d_E, \sigma = median$)	0.043	0.135
kernel test ($k_G, d_{gc}, \sigma = median$)	0.043	0.170
kernel test ($k_L, d_E, \sigma = median$)	0.044	0.186
kernel test ($k_L, d_{gc}, \sigma = median$)	0.042	0.335
kernel test (k_G, d_E)	0.038	0.661
kernel test (k_G, d_{gc})	0.034	0.956
kernel test (k_L, d_E)	0.038	0.678
kernel test (k_L, d_{gc})	0.035	0.947

matrices); and (2) male and female locations were drawn from different distributions (same mean, but different covariance matrices). Using a prespecified false positive rate $\alpha = 0.05$, the actual false positive rate was estimated by counting how often a test rejected the null for scenario (1), and the relative power of the tests was established by identifying how often the null was rejected under scenario (2) (see **Table S2**).

The Energy distance (Szekely & Rizzo, 2004) test was performed using the `eqdist.test` function from the `energy` R package, and the Cramér test (distinct from the Cramér-von Mises test) was calculated with the `cramer.test` from the `cramer` R package (Baringhaus & Franz, 2004). The Kolmogorov-Smirnov test used the `ks.test` function from base R (R Core Team, 2017). The Cramér-von Mises test was implemented in R following the description from Anderson (1962). Except the Kolmogorov-Smirnov test, all tests use permutations to obtain a p-value, and are expected to have false positive rates close to the prespecified value. We note that the `kernlab` R package (Karatzoglu *et al.*, 2004) also implements a two-sample kernel test, but we were

unable to obtain reliable results for our test cases.

The results of **Table S2** suggest that the power of the kernel test, for this data, is sensitive to the choice of metric, and the kernel bandwidth, but not the kernel function (Laplacian or Gaussian). Using a bandwidth based on the median distance between individuals is much faster than maximising the test statistic. It is plausible that a fixed bandwidth could be chosen which attains similar power to a variable bandwidth, although it is not clear how this might be chosen in a way that performs well for different types of data. We note that both the Energy distance and Cramér tests have the same form as the test statistic we used for the kernel test (Sejdinovic *et al.*, 2012), and it might be possible to improve their power by transforming the pairwise distance matrix used for these tests.

In the main text, we report kernel test results for a Gaussian kernel, great-circle distance, and variable bandwidth chosen by maximising the test statistic. This configuration is shown to be a good choice compared to several alternatives. Many other choices of kernel function are possible, and our investigation was far from exhaustive. We also chose only one specific scenario with which to evaluate the relative power of the two-sample tests. However, the scenario was chosen by mildly perturbing our empirical dataset, in order to make the evaluation as realistic as possible.

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based sexing provides clues about behavior and social structure in the woolly mammoth. *Curr Biol*, **27(22)**:3505–3510.e3. <http://dx.doi.org/10.1016/j.cub.2017.09.064>

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Chapter 5

PP5mC: preprocessing hairpin-ligated bisulfite-treated DNA sequences

5.1 Authorship statement

Statement of Authorship

Title of Paper	PP5mC: preprocessing hairpin-ligated bisulfite-treated DNA sequences
Publication Status	Unpublished and unsubmitted work written in manuscript style

Principal Author

Name of Principal Author (Candidate)	Graham Gower	
Contribution to the Paper	Designed and implemented the software; performed simulations; interpreted results; wrote the manuscript.	
Overall percentage (%)	100	
Certification:	This paper reports on original research I conducted during the period of my Higher Degree by Research candidature and is not subject to any obligations or contractual agreements with a third party that would constrain its inclusion in this thesis. I am the primary author of this paper.	
Signature		Date 19/10/2018

5.2 Manuscript

PP5mC: preprocessing hairpin-ligated bisulfite-treated DNA sequences

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Abstract

Ligation of a hairpin adapter onto double stranded DNA enables simultaneous sequencing of the top and bottom strands. This is a useful precursor step to bisulfite sequencing as the original four nucleotide states can be recovered prior to mapping, in addition to the cytosine methylation status. PP5mC is a collection of tools written in C for processing hairpin-ligated bisulfite-treated sequencing data prior to analysis. We provide shell scripts implementing a basic pipeline for use on Unix workstations, and a more sophisticated pipeline written in Python for compute clusters using the Slurm job manager. Pipeline stages include: reconstruction of original nucleotide sequences from paired-end reads (`foldreads`); alignment to a reference, PCR deduplication, and indel realignment; recording nucleotide pairing statistics for positions upstream, within, and downstream of aligned reads (`scanbp`); and counting methylated/unmethylated cytosines at all CpG, CHG, and CHH contexts covered by alignments (`mark5mC`). PP5mC also includes a read simulator (`simhbs`) for hairpin and regular non-hairpin bisulfite sequencing, which we use to show that `foldreads` reconstructs sequences with greater accuracy, and is an order of magnitude faster, than HBS-tools, the only comparable software.

Availability: <https://github.com/grahamgower/PP5mC>

Introduction

DNA methylation plays an important role in the regulation of gene expression in eukaryotes, particularly with regard to transposon silencing, cell differentiation, and stress response pathways (Jeon *et al.*, 2015; Edwards *et al.*, 2017; Zhang *et al.*, 2018). To profile the genome-wide occurrence of 5-methylcytosines (5mC), with base-level precision, sequencing libraries may be treated using sodium bisulfite prior to amplification and sequencing (Urich *et al.*,

2015). Bisulfite treatment converts unmethylated cytosines into uracils, which are converted into thymines during subsequent PCR ($C \rightarrow T$); but cytosines methylated at their 5' position are protected from conversion, and the cytosine is retained by PCR ($5mC \rightarrow C$). Once sequenced, data are typically aligned to a reduced complexity reference, with cytosines translated into thymines, whereupon the methylation status is determined by considering the base composition of alignments in conjunction with the untranslated reference sequence (Krueger *et al.*, 2012). Compared with data from ordinary DNA sequencing experiments, bisulfite-treated sequencing data has lower information content, which decreases the proportion of reads that can be mapped to a unique reference position (Krueger *et al.*, 2012).

Laird *et al.* (2004) introduced the use of a hairpin adapter for bisulfite sequencing projects, which enables the sequencing of both top and bottom strands of the DNA molecule simultaneously. By computationally folding the sequence back together at the hairpin, the original molecule may be reconstructed for use with regular mapping software, while also recording the methylation status. This approach was recently modified for use with high-throughput sequencing (Zhao *et al.*, 2014), where paired-end libraries are produced by ligating an Illumina Y-adapter on one end of the molecule, and ligating a hairpin adapter on the other end (**Figure 1**). As the ligation process can result in molecules with Y-adapters on both ends, Zhao *et al.* (2014) used a hairpin containing a biotinylated thymine to enrich the library for hairpin-containing molecules. This depletes the concentration of molecules without hairpins, but does not remove them altogether, likely because sequence similarity between molecules can cause daisy chaining. Molecules with hairpins on both ends cannot be amplified, and are lost during subsequent PCR.

To date, hairpin bisulfite sequencing (HBS-seq) has not been widely used, possibly because regular bisulfite sequencing (BS-seq) provides adequate results for many experiments, but HBS-seq is now being considered for single-cell epigenomics (Kelsey *et al.*, 2017) due to its fidelity in assessing hemimethylation (Xu & Corces, 2018). Another potential application of HBS-seq is ancient DNA, for which BS-seq has already been used to show that 5mC signals can be recovered post-mortem with base-level precision (Llamas *et al.*, 2012). However, for source DNA molecules that are short (e.g. < 50 bp), such as those obtained from subfossil remains, reads are already challenging to map (uniquely) to the genome (Li & Freudenberg, 2014; Prüfer *et al.*, 2010). Reducing the sequence complexity with bisulfite treatment compounds this problem, making the approach unappealing for all but the best-preserved samples. HBS-seq provides a distinct advantage in this respect, as it permits alignment using all four nucleotide states, possibly extending epigenetic analyses to a

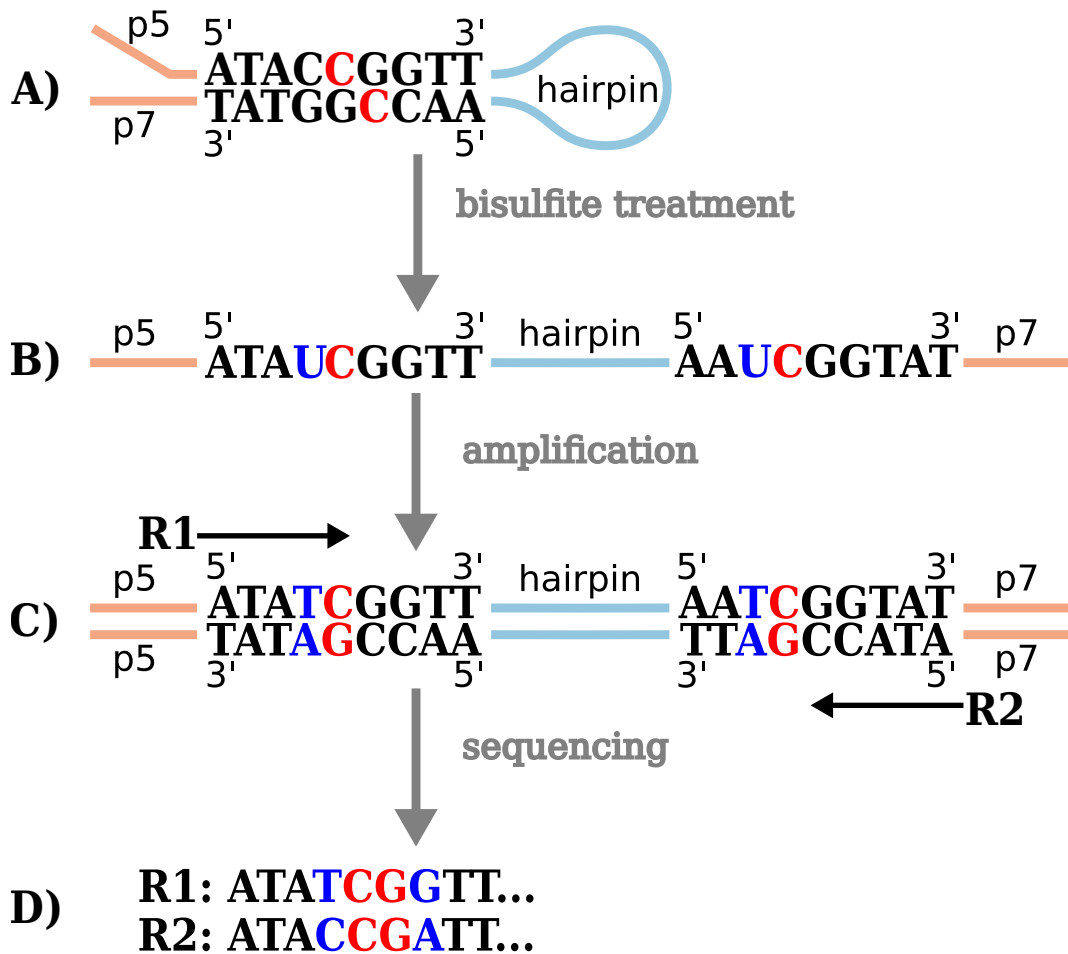


Figure 1: Flow chart of hairpin bisulfite sequencing protocol. **A)** Hairpin and Illumina Y-adaptor are ligated to target molecule. Methylated cytosines are shown in red. **B)** Bisulfite treatment denatures the double stranded molecule and converts unmethylated cytosines to uracils (shown in blue). The connection between top and bottom strand is ensured by the hairpin. **C)** Library molecules are amplified by PCR for sequencing, with the polymerase incorporating thymines instead of uracils. R1 is the top strand sequence (left-to-right) immediately to the right of the p5 sequencing primer, whereas R2 is the bottom strand sequence (right-to-left) immediately to the left of the p7 sequencing primer. **D)** Paired-end sequencing produces R1 and R2 that have sequence identity, up to bisulfite conversion differences. As a visual aid, positions corresponding to cytosines in the original molecule are also coloured in (C) and (D).

wide range of subfossil and museum samples.

The use of forked Y-adaptors, in conjunction with ancient DNA, has been reported to result in interrupted palindrome sequence artefacts (Star *et al.*,

2014). Palindromes are exactly what are expected from HBS-seq, except artefactual sequences would lack the hairpin sequence, and following the model of [Star *et al.*](#), sequences would be complementary only near the ends of the molecules. For HBS-seq to be applicable to ancient DNA, interrupted palindromes must be excluded, either in the laboratory, or in software.

Currently, only one publicly available tool exists for processing HBS-seq data. HBS-tools ([Sun *et al.*, 2015](#)), developed for [Zhao *et al.* \(2014\)](#), aligns read one (R1) to read two (R2) using a gapped alignment algorithm (Needleman-Wunsch). As R1 should match R2 up to bisulfite conversion differences, gapped alignment ought to be unnecessary. However, HBS-tools erroneously matches adapter sequences—which must be specified by the user—to the beginning of reads, rather than the end. Consequently, coincidental matches to the adapter can occur in one or a few bases at the start of a read, resulting in trimming and making subsequent gapped alignment between R1 and R2 necessary. This undesirable behaviour can be avoided by specifying an empty adapter sequence.

Despite removal of a few bases at the beginning of reads, HBS-tools performs adequately for reads derived from long inserts. Indeed [Zhao *et al.*](#) size selected library molecules between 400–600 bp, presumably avoiding many molecules not containing a hairpin. However, for ancient DNA, where median fragment lengths are on the order of 50 bp, desirable library molecules would have length ~ 129 bp (assuming a 29 bp hairpin, as used by [Zhao *et al.*](#)). Due to the long tailed distribution of ancient DNA fragment lengths (roughly lognormal, see [Renaud *et al.*, 2014](#)), non-hairpin library molecules are more difficult to exclude using size selection, so they must be excluded during read processing. Furthermore, 2x100 or 2x150 paired-end sequencing of libraries derived from short molecules will frequently read through the hairpin and into the other strand, providing additional base calls for some nucleotide positions, which are not considered by HBS-tools during sequence reconstruction. Finally, HBS-tools depends upon a closed source component (`cross_match` from [Gordon *et al.*, 1998](#)), preventing modifications and a more detailed assessment.

Here, we present PP5mC for preprocessing HBS-seq data. It uses a straightforward sequence reconstruction approach, matching nucleotides between R1 and R2 according to their position in the reads. An explicit probabilistic model is used for base calls and quality scores, and base calls following the hairpin sequence are considered when they are present. The source code is freely available online and is distributed under a permissive MIT license.

Materials and Methods

foldreads

The `foldreads` program attempts to ‘fold’ paired-end HBS-seq reads at the hairpin sequence, reconstructing the original nucleotides from the homology between R1 and R2. We first search R1 for the hairpin sequence, and R2 for the reverse complement hairpin sequence. If the position of the hairpin differs between R1 and R2, the reads are discarded. This scenario can arise due to polymerase slippage on poly-A and poly-T homopolymers, which are common in bisulfite-treated sequences. If no hairpin sequences are found, R1 and R2 are searched for trailing Y-adaptor sequences. If adaptors are present, this indicates no hairpin was contained in the library molecule, and reads are discarded on the assumption that they derive from non-canonical HBS-seq molecules.

The position of the hairpin indicates the length of the molecule to be reconstructed. When the molecule length is short relative to the read length, the position of the hairpin is known, and valid bases follow the hairpin sequence.

```
R1 -> ---s1---hairpin---s3---
      ---s4---hairpin---s2--- <- R2
```

In this case, `foldreads` matches the top strand to the bottom strand (*s1* to *s4* and *s2* to *s3*). These sequences are complementary, and errors stem from the sequencing platform. Properly paired nucleotides are one of A/T, T/A, C/G, or G/C (top/bottom strand). Once matched, two sequences remain, one upstream of the hairpin and one downstream.

```
R1 -> ---s1---hairpin
      hairpin---s2--- <- R2
```

This now corresponds to what is observed for long molecules, as the hairpin is absent from reads, or perhaps partially present. In any case, `foldreads` then matches *s1* with *s2*. They both correspond to the same strand of the original DNA fragment, but may have mismatches resulting from bisulfite conversion of cytosines. Differences may also arise during library amplification or sequencing. C/C and G/G indicate methylated cytosines on the top and bottom strands respectively. T/C and G/A are also valid pairs, indicating unmethylated cytosines on the top and bottom strands respectively. Note that C/T and A/G are not valid because strand orientation following Y-adaptor/hairpin ligation is maintained throughout (**Figure 1**).

To identify the hairpin and Y-adaptor sequences, and to match sequences $s1/s4$ and $s2/s3$, `foldreads` calculates the most probable base from the FASTQ quality scores, using the model described in [Renaud *et al.* \(2014\)](#). For matching $s1$ to $s2$, the model was extended to permit differences due to bisulfite conversion. The number of mismatches between top and bottom strands is calculated by summing posterior base-error probabilities over all bases in the output sequence. If there are too many mismatches, the read pairs are discarded. We determine the mismatch threshold for different sequence lengths using the Poisson approximation to a binomial distribution, as used by BWA-aln, assuming a 1% sequencing error rate, with the threshold at 4% of the Poisson distribution's tail ([Li & Durbin, 2009](#)).

Sequences reconstructed by `foldreads` are output in FASTQ format ([Cock *et al.*, 2010](#)), with lower case letters in the sequence designating a methylated cytosine (c), or a methylated cytosine on the opposite strand (g). Quality scores for the reconstructed sequences are derived from the posterior base-error probability as in [Renaud *et al.* \(2014\)](#). Additional fields after the read name in the FASTQ file are used to indicate the hairpin sequence, the original read sequences, and their quality scores.

Alignment

The sequence alignment/map (SAM) format ([Li *et al.*, 2009](#)), does not permit mixed upper and lower case nucleotides in the SEQ(uence) field ([The SAM/BAM Format Specification Working Group, 2018](#)), thus methylation status cannot be directly encoded here. However, when reads are aligned with BWA-mem ([Li, 2013](#)) using the `-C` flag, any text in the FASTQ file following the read name is appended verbatim to the optional SAM fields for that read's alignment, allowing information to be stored (and sorted) with the alignments. Hence the additional fields in the FASTQ file output by `foldreads` follow the format required for optional SAM fields.

scanbp

During (H)BS-seq library preparation, molecules with single stranded overhangs are 'polished' prior to adaptor ligation, typically removing 3' overhangs and repairing 5' overhangs. For 5' overhangs containing guanines, this repair step will result in unmethylated cytosines being incorporated on the other strand, which are subsequently bisulfite converted. Plots showing the empirical frequency of 5mC vs. C along the reads are known as M-bias (methylation bias) plots ([Hansen *et al.*, 2012](#)), and can help to identify which parts of the reads should be used to infer methylation status.

In ancient DNA, unmethylated cytosines may spontaneously deaminate into uracils, and when sequenced, this has a similar effect to bisulfite conversion (C→T substitutions). Single-stranded DNA suffers deamination at a higher frequency than does double-stranded DNA, and [Briggs *et al.* \(2007\)](#) showed that the frequency of observed C→T substitutions increases towards the ends of molecules, where single-stranded DNA prevails after post-mortem fragmentation. In addition, [Briggs *et al.*](#) reported an excess of purines immediately 5' of read mapping locations, suggesting a fragmentation bias 3' of depurinated sites. These characteristic patterns of DNA damage are now routinely assessed for the purpose of authenticating the source of DNA in ancient DNA studies ([Llamas *et al.*, 2017](#)).

Using the original R1 and R2 sequences from the optional SAM fields of a sorted alignment, `scanbp` measures the frequency of all sixteen possible nucleotide pairs in reconstructed molecules. Nucleotide frequencies are calculated for each position within the molecule, plus positions upstream and downstream of the alignment. Plots based on this information can be used to simultaneously observe both M-bias and post-mortem damage profiles.

`mark5mC`

Methylation calls are made by `mark5mC`. By moving sequentially along each contig in the sorted alignment, C and 5mC counts are produced for each CpG, CHG, and CHH context, on both strands of the reference sequence. Only reference positions covered by alignments are printed. User-specified parameters indicate how many bases at either end of the reads should not be considered, as identified from M-bias plots.

`simhbs`

The simulator `simhbs` can produce regular BS-seq data, HBS-seq data, and palindromic artefactual reads following the model proposed by [Star *et al.* \(2014\)](#), but with bisulfite conversion. Molecules are drawn from a user-specified reference sequence, bisulfite converted, adapters ligated, and sequencing error (substitutions) applied. The length of the molecules are lognormally distributed, with user specified μ and σ parameters representing the mean and standard deviation of $\log(\text{read length})$. Sequencing errors can be specified as a mean error rate, with the probability of sequencing error at each position in each read drawn from a normal distribution, and reflected in the quality scores.

Alternately, an empirical sequencing profile can be used, whereupon the quality scores for a read are modelled as a multivariate Normal (MVN) distribution, with means and covariance matrix estimated from external FASTQ

Table 1: Recovery and mapping of molecules from simulated paired-end reads for each of three molecule types: hairpin ligated (HBS); hairpin missing (BS); and interrupted palindrome (PAL). Two distinct fragment length distributions were simulated: a short length representing ancient DNA ($\mu = 4.0$, median length ~ 55 bp); and a longer length where many molecules exceeded the read length ($\mu = 5.0$, median length ~ 150 bp). For each combination of molecule type and length distribution, 100 000 2x150 paired-end reads were simulated. The original pre-bisulfite molecules were recovered using HBS-tools’ `hbs_process/hbs_mapper` and PP5mC’s `foldreads`. Recovered molecules were aligned with BWA-mem and Bowtie1, using default parameters, and we calculated the percentage of simulated molecules that mapped to within 10 bp of the originating location.

mol. type	read length		recovered %		BWA-mapped %		Bowtie-mapped %	
	μ	σ	HBS-tools	PP5mC	HBS-tools	PP5mC	HBS-tools	PP5mC
HBS	4.0	0.25	99.996	100.000	94.138	94.173	93.253	97.117
BS	4.0	0.25	0.000	0.000	0.000	0.000	0.000	0.000
PAL	4.0	0.25	1.562	0.326	1.497	0.319	0.000	0.000
HBS	5.0	0.40	99.827	99.983	97.866	98.351	97.510	99.244
BS	5.0	0.40	0.015	0.000	0.000	0.000	0.000	0.000
PAL	5.0	0.40	28.253	15.994	19.985	10.793	2.383	2.826

files. This method of constructing an empirical error profile produces quality scores that reflect the characteristics of the sequencing technology and chemistry. For Illumina data, sequencing errors are more likely towards the ends of reads, and tend to be highly correlated. Different error profiles may be specified for R1 and R2.

Results & Discussion

Empirical data

We extracted DNA from the petrous bone of a 50 thousand-year-old steppe bison, and constructed an HBS-seq library following the protocol of [Zhao *et al.* \(2014\)](#), with three modifications. Firstly, the DNA extract was treated with a cocktail of uracil-DNA-glycosylase and endonuclease VIII (UDG/endoVIII) prior to library construction, which cleaves single-stranded overhangs at uracil nucleotides when they are present ([Briggs *et al.*, 2010](#)). This was done to mitigate the possible confounding effect of post-mortem cytosine deamination on methylation calls. Secondly, we ligated a methylated hairpin, so that incomplete conversion of unmethylated cytosines during bisulfite treatment would

have no impact on the hairpin sequence. The hairpin was otherwise the same sequence as in [Zhao *et al.*](#), with a biotin-modified thymine for enrichment with streptavidin beads. Finally, we size-selected for 200–400 bp library molecules, to discard molecules without a hairpin. The resulting library was sequenced using a 2x150 kit on an Illumina NextSeq.

Using `foldreads`, we successfully reconstructed molecules from 77.63% of reads. Examples of canonical HBS-seq molecules, of varying lengths, are shown in **Supplementary Figure S1**. By visual inspection of reads discarded by `foldreads`, we identified several different types of non-canonical HBS-seq library molecules. Displaced hairpins were observed in 4.29% of molecules—the hairpin in R1 had a different position to the hairpin in R2, resulting from polymerase slippage during amplification (**Supplementary Figure S2.A**). We found that 2.92% of observed hairpins had a deletion adjacent to the biotinylated thymine (**Supplementary Figure S2.B**). Deletions elsewhere in the hairpin were uncommon, suggesting biotinylated bases contributed to a synthesis issue during hairpin manufacture, or perhaps during library amplification. For the remaining discarded reads, R1 did not match R2 directly, but they were reverse complements, and the lack of a hairpin sequence in these reads suggested that most were regular BS-seq molecules (**Supplementary Figure S2.C,D**). However, some resembled the interrupted palindromes described by [Star *et al.*](#) (2014) for ancient DNA libraries constructed with Y-adapters (**Supplementary Figure S2.E,F,G**). The proportion of palindromic artefacts was unclear, as molecules with short palindrome segments were challenging to distinguish from non-hairpin BS-seq molecules.

Nucleotide pairing frequencies from `scanbp` indicated various compositional biases in and around the ends of reconstructed molecules (**Supplementary Figure S3**). The proportion of methylated cytosines increased towards the ends of the molecules, as previously reported for some BS-seq libraries ([Hansen *et al.*](#), 2012). This is possibly caused by ligation biases, as the opposite pattern, an increase in unmethylated cytosines towards terminal positions, was anticipated as a side effect from polymerase fill in of 5' overhangs during end polishing.

While characteristic post-mortem damage patterns were not visible within the reads due to UDG/endoVIII treatment, we did observe a marked increase in the frequency of C/G pairs immediately 5' of the molecule, and a corresponding increase for G/C pairs immediately 3' of the molecule. This pattern likely resulted from the removal of uracils by UDG, and subsequent cleavage by endoVIII, in single-stranded overhangs ([Briggs *et al.*](#), 2010). Deamination of unmethylated cytosines into uracils on single-stranded overhangs is a defining characteristic of post-mortem degradation, and hence this supports the

Table 2: Accuracy of pre-mapping base reconstruction and post-mapping C/mC calls. Simulations are the same as those presented in Table 1. Reads were simulated to contain methylated cytosines in a CpG context, and unmethylated cytosines elsewhere, with a 98% bisulfite conversion efficiency. Methylation calls for a given cytosine context were used to calculate the false positive (FP) mC call rate (where an mC should be called, but was not) and the false negative (FN) mC call rate (where a C should be called, but was not).

mol. type	read length		correct bases %		FP mC call rate %		FN mC call rate %	
	μ	σ	HBS-tools	PP5mC	HBS-tools	PP5mC	HBS-tools	PP5mC
HBS	4.0	0.25	99.942	99.977	0.062	0.012	2.046	2.047
HBS	5.0	0.40	99.500	99.784	0.118	0.106	2.047	2.042

authenticity of the DNA as deriving from a non-modern source.

Simulations

To compare the performance of PP5mC and HBS-tools, we simulated reads derived from molecules with two different length distributions, a 98% bisulfite conversion rate, and an empirical sequencing error profile taken from an Illumina NextSeq run. BS-seq, HBS-seq, and interrupted palindromes were simulated. Then we evaluated both pipelines on each simulated dataset by counting the number of original molecules recovered, and the number that were subsequently mapped (**Table 1**). The two tools had similar efficacy for the recovery of hairpin-ligated molecules, and the exclusion of molecules without hairpins ligated. PP5mC excluded more interrupted palindromes, although both tools had trouble with this artefact for longer molecules. We note that the initial portion of an interrupted palindrome corresponds to a real endogenous sequence but the putative process generating these artefacts will erase the methylation state on one strand, so exclusion of such molecules is certainly desirable.

PP5mC uses BWA-mem (Li, 2013) as its default mapper, while HBS-tools has Bowtie1 (Langmead *et al.*, 2009) as its default, and we mapped reads recovered from both pipelines using both mappers, in order that fair comparisons be made between the two pipelines. While **Table 1** suggests that Bowtie1 may be a more appropriate mapper for this application when considering the proportion of hairpin reads mapped, and the proportion of palindromic reads excluded, we caution that our simulated dataset is not the most appropriate for evaluating alignment software. Bowtie1 performs end-to-end alignment of reads, without considering indels, whereas BWA-mem is indel aware and can

also ‘soft clip’ reads at either end, to align only part of a read. Soft clipping behaviour likely drives the differences observed for palindromic reads, and we did not simulate indels (neither true differences from the reference, nor sequencing errors), which would certainly skew results in favour of BWA-mem. Reads that align to multiple locations are also treated differently by the two mappers, which may account for the differences with hairpin reads. As Bowtie1 does not calculate mapping quality scores (Li *et al.*, 2008), post-alignment filtering could not be used to improve concordance between the mappers.

From the same simulations, we assessed the proportion of correctly reconstructed bases for sequences that were successfully reconstructed from hairpin-containing molecules. In addition, we evaluated the accuracy of methylation calls, following alignment with HBS-tools’ and PP5mC’s default mappers (**Table 2**). PP5mC had more accurate base reconstruction, and five-fold lower rate of falsely calling a methylated cytosine for short molecules, but only a small difference between pipelines was found for long molecules. As expected, the ability of PP5mC to use the additional base calls following the hairpin sequence is of much greater utility when molecules are short relative to the read length. In contrast, the frequency of erroneously calling an unmethylated cytosine was almost indistinguishable between the two pipelines, as these errors were dominated by the simulated 2% of unmethylated sites that weren’t bisulfite converted. This highlights the fact that, like BS-seq, accuracy may be limited by biochemical inefficiencies, and not software choice.

To compare the computational efficiency of the pipelines’ read reconstruction stages, we modified HBS-tools to exit once the original molecules had been recovered. HBS-tools took 161 seconds to process 100 000 pairs of simulated hairpin reads, with 96 Mb peak memory usage, while PP5mC’s `foldreads` took only 2.46 seconds to do the same, with 14 Mb peak memory usage.

Conclusion

Our initial focus for PP5mC was on applicability to short ancient DNA molecules. However, we have shown that PP5mC is equally useful for long molecules, and vastly outperforms HBS-tools in computation time. These factors, and our read simulator, ought to remove existing barriers to the adoption of HBS-seq in new studies.

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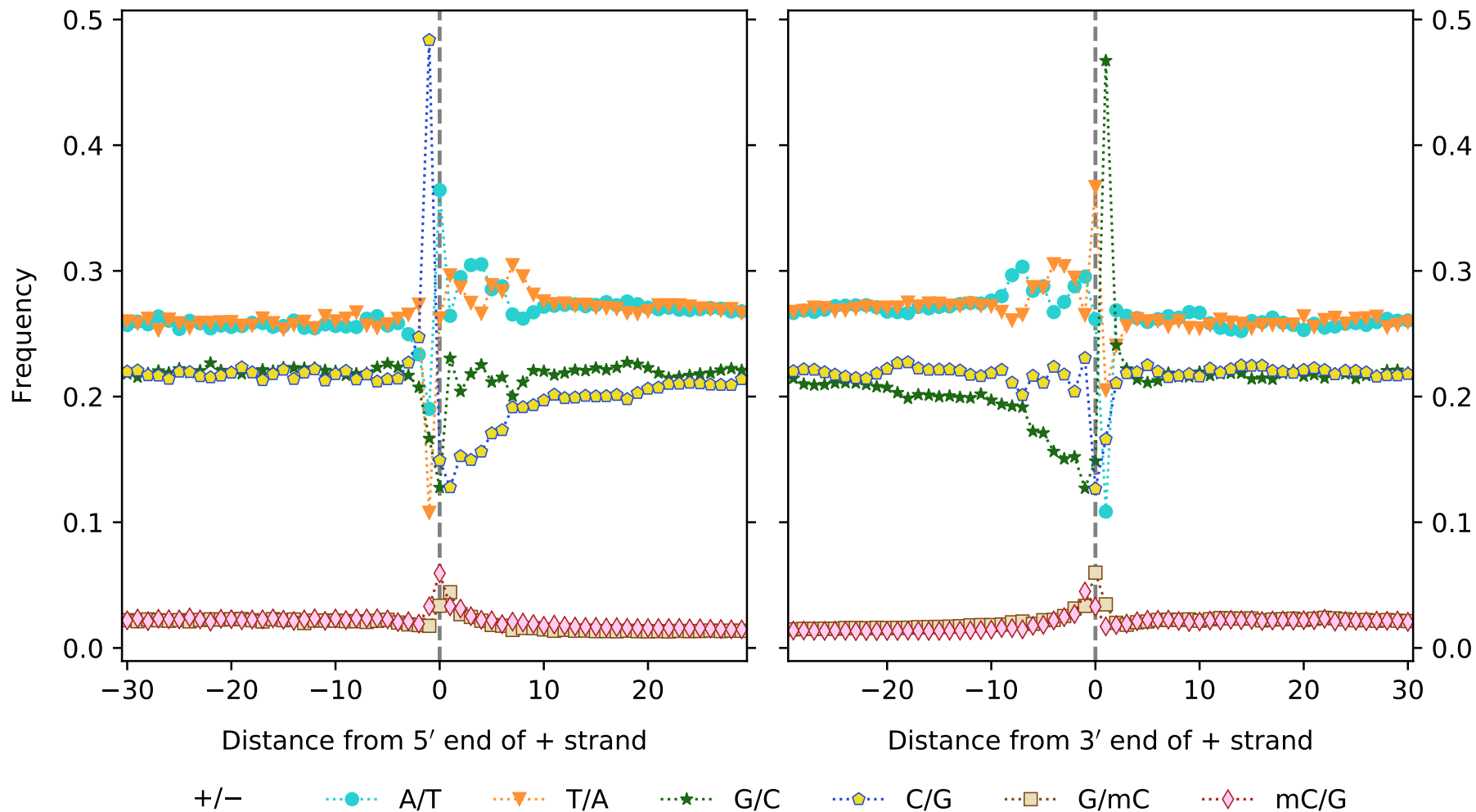
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5.3 Supplementary Figures

Base pair frequencies



Supplementary Figure S3: Frequencies of base pairs observed in an HBS-seq library prepared from a 50 thousand-year-old bison petrosal (sample ACAD16132). Nucleotides in the top/bottom strands of **Figure 1** are represented here as +/− strands. Frequencies were calculated for each position within the reconstructed molecules, as a function of the distance from either end. Vertical gray lines correspond to the first and last base pairs within the reconstructed molecule. From each molecule's alignment to the genome reference, the frequencies upstream of the 5' position and downstream of the 3' position, were calculated using the adjacent read pileup. Several different processes contribute to the compositional biases that are apparent in and around the ends of the reconstructed molecules. These include: post-mortem deamination of cytosines, post-mortem fragmentation, UDG/endoVIII treatment, end repair, and ligation biases. In particular, the C/G (G/C) peak immediately 5' (3') of the molecules derive from post-mortem deamination of unmethylated cytosines to uracils in single-stranded overhangs, and the subsequent cleavage of uracil bases by UDG/endoVIII. We note that the overall GC % observed is likely higher than the true GC content of the individual, because short, GC-rich, DNA fragments may be preferentially amplified during PCR.

Chapter 6

Discussion

6.1 Research summary

A variety of approaches exist to investigate demographic and evolutionary processes with genetic data. This can be done from the relationships between extant lineages and using the signatures of ancestral populations that remain in the genomes of their descendants. It is also possible to directly observe past populations, or observe populations more closely related to those of interest, using aDNA. These approaches are complementary and in this thesis I considered both when applying, evaluating, and extending methods to understand past mammal populations. By applying drift-based statistics to modern and ancient data, I detected and quantified gene flow in the ancestors of European bison. I assessed the robustness of two popular methods for estimating past population sizes. I extended methods for genetic sexing of ancient remains and applied this to bison and brown bear specimens, and collated data from online databases to discern sex ratios in large mammal collections. Finally, I designed and implemented new software to process HBS-seq data, which promises to broaden the availability of DNA methylation profiles for ancient samples, thus expanding the potential for demographic and functional analyses.

6.2 Primary outcomes

6.2.1 European bison ancestry

In [chapter 2](#) ([Soubrier *et al.*, 2016](#)), we identified that while modern European bison (*Bison bonasus*) are descended from steppe bison (*Bison priscus*), they also derive a non-zero proportion of ancestry from aurochs (*Bos primigenius*), though no more than $\sim 10\%$. A similar result was obtained for a 22 thousand-year-old bison specimen that also possessed a *Bos*-like mitochondrial lineage. This suggests that the gene flow was ancient, and could not have been caused by potential Holocene interactions with domestic cattle. Nor could it be an artefact of 20th century conservation practices following the extinction of European bison in the wild. Further, these results indicate that introgression occurred after the split of European and American bison, but likely predates the split of the two *Bos*-like bison mtDNA lineages, *i.e.* 120–240 thousand years ago. So bison and aurochs must have had range and habitat overlap at times during the Late Pleistocene. This highlights the value of using ancient DNA to investigate gene flow in a severely bottlenecked population, with potentially confounding effects of recent human activities.

6.2.2 PSMC and MSMC with short scaffolds

The papers that introduced PSMC (Li & Durbin, 2011) and MSMC (Schiffels & Durbin, 2014) have a combined total of over 1300 citations (Google Scholar; accessed 16 Oct 2018). Inferring past population sizes is very popular because large-scale demographic changes can often be viewed as an indirect result of changes in environmental factors such as temperature and precipitation. While these tools have undoubtedly already been applied to data comprised of short scaffolds, the accuracy of such results is far from obvious. The SMC model and HMM inference are both non-trivial statistical frameworks, so using simulations to assess the behaviour of PSMC and MSMC is essential in understanding their limitations. In [chapter 3](#), population size inference is shown to be consistent from genomic scaffolds as short as 100 kb when using PSMC, and 1 Mb when using MSMC. Users of either tool can now be confident that simply excluding the shortest scaffolds in their dataset will produce the most robust estimates. If only ultra-short scaffolds are available, perhaps from short-read *de novo* assembly, then PSMC may still be useful, as it can reproduce major demographic shifts despite a divergence from the consensus inferences for sub-100 kb scaffolds.

6.2.3 A male bias is ubiquitous in mammal collections

In [chapter 4](#), I showed that approximately 75% of bison and brown bear sub-fossil remains are male, very similar to the ratio observed for mammoth remains (Pečnerová *et al.*, 2017). One possible explanation for this is that male and female bones have a different preservation potential, perhaps due to intrinsic differences in bone density between the sexes. But an assessment of preservation-related attributes for each sample indicated no differences between male and female remains in this respect. The selection of larger *Myotragus balearicus* specimens, on the assumption they would be more likely to yield DNA, resulted in only males being sampled. Deliberate collection of large samples resembles trophy sampling by hunters, where large impressive-looking males may be preferred targets. Notably, mammalian museum collections derive a substantial proportion of samples from individuals that were hunted or trapped in recent centuries. By surveying four large databases of mammal collections, we found that most species are not represented by a 1:1 sex ratio, and when averaged across species, most orders were male biased.

Extreme differences in the number of males versus females are almost certainly caused by ecological or behavioural characteristics. Barnosky (1985) suggested that exclusively male Irish elk samples found at one site resulted from winter deaths in a seasonally sex-segregated population. Similarly, sex

segregation has been proposed to explain the overabundance of young male mammoths in some assemblages (Haynes, 2017), the hypothesis also advocated by Pečnerová *et al.* (2017). Extant bison populations have segregated sexes for most of the year too, and with the weight of these examples, it is natural to consider whether this is the ultimate cause of sex-biased observations in all herding mammals. In contrast, brown bears are mostly solitary, and so sex segregation in and of itself is unlikely to be the causative agent.

Genetic sexing of aDNA specimens from shotgun and SNP data is increasingly routine. The methods are effective, simple to apply, and the results can be insightful. The explicit binomial models I developed and used for sex determination are not always necessary, as approximate methods perform well (Skoglund *et al.*, 2013; Mittnik *et al.*, 2016). However, an ad-hoc approach may yield false confidence in a sex assignment, whereas model selection via a likelihood ratio test will indicate when the data are insufficient to confidently distinguish the sexes. My approach can be rigorously applied to samples for which very small numbers of reads have been sequenced, and does not rely on sufficiently large numbers of both sexes in order to obtain a threshold value for sex assignment.

6.2.4 More efficient processing of HBS-seq data

Methylomes of ancient specimens are, in principal, an excellent resource for learning about past populations. Methylation levels at specific loci have been associated with ontological age (Horvath & Raj, 2018), environmental exposure (Bind *et al.*, 2014; Metzger & Schulte, 2017), and nutrition (Gokhman *et al.*, 2017). But DNA methylation remains largely unexplored in aDNA studies. This predominantly reflects the difficulty of obtaining methylomes from ancient samples. A little-used approach for profiling DNA methylation is HBS-seq (Laird *et al.*, 2004; Zhao *et al.*, 2014), which has a distinct advantage for aDNA compared with traditional bisulfite-sequencing protocols. But HBS-seq reads must be preprocessed using specialised software prior to mapping, and the only pipeline currently available, HBS-tools (Sun *et al.*, 2015), was not designed with the limitations of aDNA in mind. PP5mC, a new HBS-seq data processing toolkit, was presented in chapter 5. The toolkit includes a read simulator for HBS-seq reads, regular bisulfite sequencing reads, and artefactual reads that may exist in aDNA HBS-seq libraries. HBS-tools and PP5mC were compared using simulated reads, which showed that PP5mC is: 65× faster than HBS-tools at processing reads; reproduces the original methylation levels with greater accuracy; and excludes a greater proportion of artefactual reads. PP5mC was successfully applied to HBS-seq data generated for an ancient bison specimen. As HBS-seq libraries for aDNA can contain

a variety of different molecules, not all desirable, a tool is provided to assist with visually inspecting HBS-seq reads, which may be valuable for optimising HBS-seq protocols.

6.3 Synthesis

The detection of gene flow between the ancestors of European bison and cattle may not be entirely surprising—their hybrid offspring is often fertile, and the mtDNA are discordant with the nuclear phylogeny—but genomic evidence of this had not been confirmed until recently (Soubrier *et al.*, 2016; Gautier *et al.*, 2016). This result adds to a growing body of genomic studies identifying interspecies gene flow in mammals. Besides the high-profile introgression of Neandertal and Denisovan genetic material into non-African humans (Green *et al.*, 2010; Reich *et al.*, 2010), gene flow has also been detected between wild mammals, such as between polar and brown bears (Miller *et al.*, 2012), chimpanzees and bonobos (Manuel *et al.*, 2016), among multiple felids (Li *et al.*, 2015; Figueiró *et al.*, 2017); and during domestication, such as in dogs (Skoglund *et al.*, 2015), pigs (Bosse *et al.*, 2014), goats (Daly *et al.*, 2018), and between many *Bos* species (Wu *et al.*, 2018). Hybridisation is increasingly being recognised as an important force in genome evolution (Sankararaman *et al.*, 2014, 2016; Schumer *et al.*, 2018; Ivancevic *et al.*, 2018; Runemark *et al.*, 2018), because so called *hybrid incompatibilities* can result in very different patterns of ancestry in functional versus non-functional parts of the genome. Incompatibilities need not be the only cause for these patterns, which may also be driven by differences in mutational load of each of the parent lineages (Harris & Nielsen, 2016).

Demographic parameters of a population serve to quantify how a species interacts with its environment. Population size changes that are concomitant with the arrival of humans, the extinction of a prey, or extreme climate fluctuations, are highly suggestive (Shapiro *et al.*, 2004; Campos *et al.*, 2010; Lorenzen *et al.*, 2011; Miller *et al.*, 2012; Cooper *et al.*, 2015). Of course, correlation is not causation, so temporal associations must be interpreted cautiously, and interpretations should be tested using other means if possible (Metcalf *et al.*, 2014). Population structure can also produce signals of population size change (discussed below), which may lead to a very different interpretation on the impact of environmental conditions.

A standing assumption is that populations ancestral to living species behaved similarly to their extant descendents. This assumption is often made by necessity (we can directly observe the behaviour of living animals only), and it is important that the data we obtain for past populations are consistent with

living relatives. Male-biased sex ratios in bison and brown bear remains, while initially surprising, are consistent with what we know about sex-segregation, and differences in male and female home ranges, for extant populations.

6.4 Limitations and future directions

6.4.1 Models for the origin of European bison

The detection of aurochs gene flow into the ancestors of European bison does not permit the conclusion that the *Bos*-like mtDNA lineage was introgressed. The mtDNA is a single locus, and incomplete lineage sorting could also produce a discordance with the species tree. This has been advocated as the explanation for this mtDNA discordance (Massilani *et al.*, 2016; Grange *et al.*, 2018), but it remains difficult to distinguish this hypothesis from introgression without obtaining aDNA from aurochs and steppe bison specimens corresponding to the putative period of gene flow.

Grange *et al.* (2018) have criticised the work discussed in chapter 2 (Soubrier *et al.*, 2016). In particular, they show using principal components analysis that our SNP capture data do not cluster with bison sequences from other studies (Gautier *et al.*, 2016; Węcek *et al.*, 2017). This is concerning, and may result from our SNP ascertainment and enrichment strategy, which targeted sites that are polymorphic in domestic cattle, and used RNA baits derived from domestic cattle sequences. Combined with the subsequent mapping of reads to the cattle reference genome (bison and cattle had a common ancestor ~ 1.2 million years ago (Wu *et al.*, 2018)), these factors could have introduced a substantial bias towards observing cattle genotypes. Even so, the comparison is not entirely fair, as our data presented in Grange *et al.* (2018) was processed using a different pipeline and a different genotype calling model, compared with the rest of the data, which could also contribute to batch-related effects. Nevertheless, aurochs gene flow into the ancestors of European bison has been confirmed elsewhere (Gautier *et al.*, 2016; Węcek *et al.*, 2017), and shotgun sequencing data for many of the specimens from chapter 2 will soon be available (van Loenen *et al.*, in prep.), which will allow further exploration of the nature and timing of the introgression.

6.4.2 SMC-based population size inference

In chapter 3 I demonstrated that short reference scaffold lengths limit the accuracy of SMC-based analyses only mildly. However, severe limitations on the interpretability of results derived from these methods remain. To interpret

the output from PSMC and MSMC, this output must be rescaled to represent real time and effective population size, using the average generation time, and the mutation rate of the organism under study (Li & Durbin, 2011; Schiffels & Durbin, 2014). For rescaling SMC-based output, it is preferred to use a mutation rate corresponding to a long-term average, and aDNA can be used to obtain an estimate (Fu *et al.*, 2014; Skoglund *et al.*, 2015), but there remains an unexplained discordance between mutation rates estimated over long timescales compared with estimates from *de novo* mutations (Scally & Durbin, 2012; Moorjani *et al.*, 2016a). Similarly, the long term average generation time should be used, and has been calculated for humans (Moorjani *et al.*, 2016b), but in absence of this information the generation time for an extant population is typically substituted.

Population structure is the elephant in the room with regard to population size inferences. Irrespective of the method, it is not the census population size which is inferred, but N_e , the *effective* population size (Wright, 1931). This is the size of an idealised Wright-Fisher population, which does not exist in general, and which has the same amount of genetic diversity as the population under study. A reduction in N_e compared with the census population size can arise in a panmictic population due to variation in the number of offspring between individuals or sexes (Wright, 1931). Wahlund's principle suggests that the partial or complete isolation of demes within a population will result in individuals with lower genetic diversity than the population size indicates; in contrast, migration between demes can artificially increase the observed diversity at the population level (Crow & Kimura, 1970, pp. 54–55). As population structure is often cryptic, particularly in unobserved past populations, this can be problematic for population size inferences. The coalescent-based models used by PSMC and MSMC have been shown to produce identical results for real population size changes, and for populations with a fixed size but fluctuating migration between many demes (Leblois *et al.*, 2006; Heller *et al.*, 2013; Mazet *et al.*, 2015, 2016; Chikhi *et al.*, 2018).

It is not only coalescent-based methods that are affected, however, as approaches using site frequency spectra to infer population size (Gutenkunst *et al.*, 2009; Kamm *et al.*, 2018) are also potentially vulnerable to population structure (Städler *et al.*, 2009). Differences in the respective susceptibilities to this problem may, to some extent, drive differences in the results obtained for the two classes of population size inference methodologies (Beichman *et al.*, 2017). Resolving the issue caused by population structure remains an open problem, but in some cases it may be detectable. As migrations between demes are often sex-biased, it may be possible to distinguish between population structure with migrations, and true population size changes, by looking

at the concordance between population size inferences from the X chromosome, and inferences from autosomes. However, this is not always possible. For example, I contributed to a project (Feigin *et al.*, 2018) where I performed population size inference for the extinct marsupial wolf (*Thylacinus cynocephalus*), which has no close living relatives. In this case, I conservatively excluded X-linked scaffolds from analysis based on homology to a distantly related X chromosome, but these scaffolds were not a reliable representative of X chromosome data. We were careful to consider population structure as a possible explanation for the population size inferences presented.

6.4.3 Drivers of male-biased sex ratios

The lone male model advocated by Pečnerová *et al.* (2017), and differences in home range between sexes, are related hypotheses that are both consistent with the observed sex ratios. But neither is readily falsifiable with the data at hand. No difference in the spatial distributions of males and females were identified for bison or brown bears in chapter 4, but this is likely due to temporal blurring of the distributions obtained from heterochronous specimens. We might more confidently accept the lone male model for mammoths and bison if age-at-death profiling reveals that a large proportion of male samples are young adults. DNA methylation is a promising source of data to assess ontogenic age, which we anticipate will illuminate future sex-ratio analyses.

Some methylomic loci exhibit changes in their methylation level in an age-dependent manner throughout the life of the individual, which can be used for age determination (Horvath, 2013; De Paoli-Iseppi *et al.*, 2017), and this has been successfully applied to 4000 year-old human hair (Pedersen *et al.*, 2014). As the number of age-informative sites in the methylome is likely small (*e.g.* five sites identified in Koch & Wagner, 2011), shotgun sequencing may be an expensive route to this information. Smith *et al.* (2014) used methyl-binding domain (MBD) enrichment, and bisulfite treatment to investigate methylation levels in Iron Age barley. But MBD-based enrichment produces results that are unacceptably biased towards molecules containing methylated CpGs and longer molecules containing a greater number of CpGs (Seguin-Orlando *et al.*, 2015). Bisulfite-treated PCR amplicon sequencing (Llamas *et al.*, 2012; Smith *et al.*, 2015), or an RNA bait-set comprised of all methylation-state combinations, may be the most effective and cost-efficient ways to survey many samples at a small number of loci.

6.4.4 Prospects for paleo-epigenetics

It remains to be seen whether the use of HBS-seq will be revolutionary for studying paleo-epigenetics, or merely an incremental improvement. Regardless, more work is needed to make full use of HBS-seq data. Post-mortem deamination of a methylated cytosine results in a T/G pair of nucleotides being observed, which is identical to that obtained from bisulfite conversion of an unmethylated cytosine. Hence the inference of methylation levels is confounded with post-mortem damage in degraded samples, resulting in overall hypomethylation compared to pre-mortem levels. The extent of hypomethylation will likely vary depending on the preservation conditions of the sample, such as temperature and humidity. No current method for detecting differential methylation from bisulfite-sequencing data considers such methylome-wide differences. Some work has been done already to translate regional CpG→TpG substitution levels from UDG treated aDNA data into pre-mortem methylation levels (Gokhman *et al.*, 2014), which may be adaptable to HBS-seq data from ancient remains. Alternately, new statistical models will need to be developed to identify differentially methylated regions that account for stochastic hypomethylation.

Ancient DNA extracted from bone is potentially derived from a mixture of distinct cell types (osteoblasts, osteoclasts, and osteocytes). Because DNA methylation is involved in cell differentiation and gene regulation, methylation levels at some loci can differ between cell types (Meissner *et al.*, 2008). It may be possible to determine the extent of cell-type heterogeneity in ancient samples using HBS-seq data (Titus *et al.*, 2017). This would be interesting to understand how different cell types contribute to DNA preservation, but may also be necessary to distinguish within sample, and between sample, variability of methylation levels.

6.4.5 PP5mC computational performance

Like any high-throughput sequencing dataset, HBS-seq datasets can be very large. CPU-time and memory consumption required for processing the data are thus important factors for consideration. The long-running components of PP5mC (`foldreads`, `scanbp`, `mark5mC`) have all been designed to use memory conservatively, and to avoid needless computation. However, the implementations are currently single threaded, which can limit overall throughput when sample-level parallelism is insufficient to use all the available compute resources (*e.g.* when there are fewer samples than CPU cores). Adding multithreading support to `foldreads` ought to be simple and effective, as it processes each read independently and is thus in the class of algorithms known as *embarrass-*

ingly parallel. Langmead *et al.* (2018) provide a recent discussion of strategies for scaling multithreaded applications that process reads independently from FASTQ files. Both `scanbp` and `mark5mC` process indexed BAM files. Thus parallelism could be trivially obtained by working on each chromosome separately, or on fixed-size blocks within chromosomes, then combining the separate results into a single output file. `simhbs` is also single-threaded, with much CPU-time being spent on sampling multinomially distributed quality scores for empirical error profiles. Simulation of 100 000 2x150 bp sequences takes less than seven seconds on an i5-3320M processor, which ought to be sufficient for most purposes. If not, separate `simhbs` invocations can be run independently, one for each CPU-core available, and the output combined into a single file using standard UNIX tools. PP5mC already provides a clear speed advantage over its only competitor, but if there were sufficient interest, multithreading support could be added with relatively little effort.

6.5 Concluding remarks

Detection of gene flow, and the use of coalescent-based inference frameworks, can provide detailed information about how populations are related, how these relationships have changed over time, and the timing of these changes. Sequencing data from ancient specimens are increasingly available, and should be integrated with high-quality modern datasets wherever possible. Shotgun data are preferred over data enrichment for specific loci, unless guarantees can be made that sites targeted for enrichment, and the enrichment process itself, do not produce or contribute to artificial relationships between samples. The robustness of available tools is an important consideration when applying them to data with characteristics not assessed in their original publication, and caution is recommended when applying even established methods, as there may be multiple interpretations that are consistent with the results. Similarly, new tools must be compared against existing software to confirm that genuine advancements are being made. Analysis of the same data using different methods can sometimes produce different results, so complementary investigation of different samples, and new types of data, is recommended to elicit much greater confidence in any conclusions.

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