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**Urban megaprojects and water justice in Southeast Asia: Between global economies and community transitions**

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# 1 **Urban Megaprojects and Water Justice in Southeast Asia:**

## 2 **Between Global Economies and Community Transitions**

### 3 **1. Introduction**

4 Urban megaprojects continue to proliferate around the globe, with 8% of global GDP  
5 invested in this mode of development (Flyvbjerg, 2014). Within Southeast Asia, the amount  
6 of investment varies from 3% to 14% within national budgets (Asian Development Bank,  
7 2017) and urban megaprojects have been a key mode of development since the 1980s (Judd  
8 et al., 2007, p.152). These urban initiatives involve billion-dollar investments that are  
9 mandated and often financed by governments but most commonly managed by private sector  
10 developers or public-private partnerships (Flyvbjerg, 2014; Moulaert et al.,2003; Shatkin,  
11 2011).

12 Urban megaproject development is diverse but nevertheless shares some common  
13 characteristics (Kennedy 2015), including being designed and modelled based on previous  
14 projects (Percival & Waley, 2012); usually developed by architects, financiers, engineers, and  
15 planners experienced in working on other urban megaprojects (Santamaría, 2013); often  
16 developed based on international strategies; targeted as a potential market to foreign  
17 companies and individuals for renting or purchasing (Shatkin, 2008) ; and, finally, planned  
18 and built to form a global urban ‘utopia’ for the 21<sup>st</sup> century with extensive or high-rise  
19 buildings that promote a superlative urban imagery (Olds, 1995, 2011)

20 In Southeast Asia, urban megaprojects have emerged in a context of overall population  
21 growth, rural to urban migration and a shift to neoliberal modes of governance (Jones &  
22 Douglass, 2008). As Shatkin (2016) notes, the Asian urban boom has resulted in the rapid  
23 escalation of urban land values across the region. Many governments have sought to generate

24 revenue for government from land development or by distributing the profits of land  
25 development to powerful private-sector supporters (Shatkin, 2016). Although urban  
26 megaprojects rely on economic linkages with existing cities, in many cases they are fully  
27 self-contained developments, not only in terms of residential, commercial and industrial  
28 space, but also in terms of services such as schools and hospitals (Harms, 2019; Paling, 2012;  
29 Shatkin 2016, 2011). In this sense, urban megaprojects have not typically focused on solving  
30 existing urban issues but rather created urban enclaves for wealthier residents while  
31 dramatically displacing and disrupting existing economics and social relations (Datta &  
32 Shaban, 2016; Padawangi, 2019; Seto, 2011).

33 Entangled with these socio-economic transitions is the parallel radical transformation of the  
34 urban physical environment. Urban megaprojects have severe implications for environmental  
35 processes, including interruption of urban water flows and waste removal, biodiversity  
36 degradation, loss of arable landscapes, increased pollution and changes in riparian deposition  
37 and flood regimes. Most major cities in Southeast Asia are located in coastal or riparian  
38 environments (Douglass & Miller, 2018) and thus much of their populations are exposed to  
39 risks associated with extreme weather events such as floods, erosion, and sediment starvation  
40 (Arthurton, 1998; Daniere et al.2019; Douglass, 2010; Niemczynowicz, 1996). As Douglass  
41 and Miller (2018, p. 274) observe, “the pattern of rural to urban migration across Asia has led  
42 large populations to relocate to environmentally degraded slums and peri-urban areas that are  
43 unsafe for human settlement, such as landslide-prone hillsides and denuded riverbanks that  
44 experience seasonal flooding [...] the vulnerability of slum residents is exacerbated by  
45 upstream environmental damage as well as by urban mega-projects that cover cityscapes with  
46 non-porous surfaces while further pushing low-income households into high disaster risk  
47 areas”. Thus, radical urban transitions have created new geographies of disaster hazards in  
48 the region (Miller & Douglass, 2015). This situation is predicted to worsen with the

49 compounding impacts of climate change (Douglass & Huang, 2007; Plummer et al. 2018a,  
50 2018b; Robinson, 2011).

51 Water-related hazards, challenges and opportunities emerging from changing urban water  
52 geographies are unevenly experienced, with poor socio-economic urban residents  
53 disproportionately affected (Heynen et al.,2006; Ranganathan & Balazs, 2015). The outcome  
54 of today's changing urban waterscape can become an environmental injustice related to the  
55 redistribution of land and wealth both in the centre of cities and on their peripheries (Allen et  
56 al.,2017; Chu et al.,2018). According to the Global Water Partnership, a water-secure world  
57 "reduces poverty, advances education, and increases living standards" (Ait-Kadi & Arriens,  
58 2012). There is thus a need for more effective strategies and mechanisms for addressing  
59 urban water dilemmas and ensuring the accountability of actors involved in the  
60 implementation of urban megaprojects. While new approaches for managing or governing  
61 urban water are available, they are often not adapted to protecting broader environmental  
62 community values and rights (Gleick & Cain, 2004; Melo Zurita et al., 2018).

63 Despite the recognition of the challenges associated with urban megaprojects (Harris, 2017;  
64 Othman & Ahmed, 2013) and water justice (Ernstson, 2013; Joshi, 2015; Zwartveen &  
65 Boelens, 2014), thus far, there has been limited research on the water impacts and related  
66 environmental justice repercussions of urban mega-development projects. This lacuna,  
67 perhaps, is not happenstance, as the private sector-orientated development approach of urban  
68 megaprojects has meant that governance and details surrounding these projects, especially in  
69 their early stages, are either deliberately opaque or occur under minimal reporting  
70 requirements (Paling, 2012).

71 In this paper, we address this knowledge gap by developing a critical analysis of three urban  
72 megaprojects distributed across the Southeast Asian region (i.e. in Myanmar, Cambodia and  
73 Vietnam) and provide recommendations to hold future urban development accountable

74 against a range of human rights, environmental justice and sustainability measures. These  
75 findings and recommendations are relevant to cities situated in semi-aquatic, delta  
76 environments and sensitive water catchments around the world. They can guide cities, in the  
77 governance and shaping of urban megaprojects, to better engage with communities and their  
78 socio-ecological relationships with natural water systems. To inform our analysis and outline  
79 our approach, we draw on a critical literature review. We then use case study methodologies  
80 informed by available literature, current policy, field visits and earth observation  
81 technologies. The case studies are critically examined in terms of their environmental,  
82 economic, and social impacts. Finally, the case studies are discussed using the megaproject  
83 development cycle as recently defined by the UN Special Rapporteur on Water and  
84 Sanitation, Leo Heller (2019a). This paper advances work completed as part of Heller's 2018  
85 expert consultancy process (Hawken et al., 2019). Broad impacts of the current study include  
86 a conceptual shift in the way ecosystem and local communities' values are mediated in  
87 relation to urban megaprojects. This shift has implications for implementing the various  
88 stages of the urban megaproject cycle, from the macroplanning, to licensing, design and  
89 visioning through to the short- and long-term management.

90 While our paper is focused on case studies in South-East Asia, its themes and findings are  
91 relevant more broadly for Global South urban dynamics. Similar urban megaproject  
92 development trends are occurring across other parts of Asia (Hanakata and Gasco 2018;  
93 Rizzo 2019, 2020) and Latin America (Coy 2006; Jones 2007, Strauch et al 2015), as well as  
94 an emerging boom of urban megaprojects in major cities across Africa (Watson 2014, 2020;  
95 Lawanson and Agunbiade 2018). Building on water justice scholarship, we show how an  
96 environmental justice lens offers a salient approach for questioning the current dynamics of  
97 urban megaprojects in the Global South. In highlighting the injustices of current approaches,  
98 with a specific focus on water issues, we conclude the paper by offering new perspectives on

99 how such projects should be conceived and implemented. In the current form, urban  
100 megaprojects have tended to be the antithesis of good urban planning, operating for example,  
101 in contrast to the sentiments of the United Nations (2017, p. 4) New Urban Agenda, which  
102 emphasis the need for forms of urban development that “Are participatory, promote civic  
103 engagement, engender a sense of belonging and ownership among all their inhabitants.” If  
104 just and sustainable urban futures are to be realised in the Global South, a critical rethinking  
105 of the role of urban megaprojects is needed.

## 106 **2. Theoretical Framework**

107 In many ways, the rise of urban megaprojects is a symptom of what Graham and Marvin  
108 (2002) describe as “splintering urbanism”, a disruption of the “modern infrastructure ideal”  
109 that initially dominated urban governance in the post-World War II era. During this period, a  
110 belief in comprehensive state-managed public infrastructure monopolies designed to achieve  
111 a “network city” in which every household was connected to relevant urban utilities (e.g.  
112 electricity, water and sewerage). Graham and Marvin (2002) argue this integrated ideal  
113 collapsed due to governance reforms occurring since the 1980s that created “secessionary  
114 networked spaces” and the removal of infrastructure from the public sphere of democratic  
115 governance. Consequently, urban elements such as roads, streets, walkways, open spaces,  
116 recreational facilities and parks have increasingly become privatised (Ho & Douglass, 2008,  
117 p. 205). The splintering urbanism trend thereby led to the demise of holistic urban planning  
118 strategies in favour of new spatial divisions and new urban governance processes shaped by  
119 flows of international capital and informal responses by poorer socio-economic groups (Sclar  
120 2015).

121 While splintering urbanism is a recognisable global trend, it has been most acute in Global  
122 South cities (Gandy, 2004; Graham, 2010, Pieterse, 2011). As Lawhon et al (2018, p.723)

123 note, while the “modern infrastructure ideal” was a widely accepted social and political goal  
124 that sought to provide universal, uniform infrastructure in the Global South, “by the 1980s it  
125 was clear that budgets could no longer support this vision in part due to global economic  
126 trends and, more specifically, the forced adoption of structural adjustment programmes (i.e.  
127 loans provided by the International Monetary Fund and the World Bank to countries that  
128 experienced economic crises)”. The integrated city became an unfinished project (Graham  
129 and Marvin 2001) that resulted in a varied and differentiated infrastructural history in Global  
130 South cities that now house a mix of internationally financed urban megaprojects, centrally  
131 planned infrastructures and small-scale infrastructures initiated locally (Gandy, 2005;  
132 Lawhon et al., 2018).

133 While the benefits of developing megaproject estates equipped with their own water supply,  
134 drainage and recreational and aesthetic systems may be a step towards a modernist integrated  
135 ideal, the patches of settlements surrounding megaprojects suffer from an increased  
136 fragmentation of water networks and systems (Graham & Marvin, 2002). Housing and urban  
137 ecological environs are displaced to make way for urban megaproject construction while  
138 labour from surrounding areas is drawn upon. This is evident in the three projects considered  
139 in this study and also in well-known projects throughout the Global South, such as the  
140 Yamuna Riverfront development in Delhi, where megaprojects are created as “zones of  
141 exceptions embedded in a calculated urban informality” (Follman 2015, p.213) . Therefore,  
142 questions of urban justice are a central concern that surround the emerging geography of  
143 urban megaprojects (Swyngedouw & Heynen, 2003).

144 Thus, while the “modern infrastructural ideal” remains a potent objective in Global South  
145 urban visions (Coutard and Rutherford 2015; Monstadt and Schramm 2017; Lawhon et al  
146 2018; Munro 2020), in material praxis it has become a secessionary project; being  
147 implemented *within* urban projects, while excluding poorer socio-economic groups that

148 *surround* those same projects. With this fragmented (or splintered) implementation of urban  
149 development, critical questions of environmental justice arise (for whom, when and to what  
150 end?) (Miller et al 2020). As Miller (2020) notes environment justice considerations are  
151 relevant “to the equity of outcomes (distribution), and the fairness (procedure) and  
152 inclusiveness (recognition) of a process”. Urban megaprojects, as we show in the paper, are  
153 problematic across all of these tenets - the goods and ills that result from the projects are  
154 unevenly *distributed* socio-economically, the *procedures* that govern their proposal and  
155 development are often opaque, and the visions are limited to (often transnational) elites,  
156 rather than local residents, whose voices shape the dynamics of the projects (i.e., problems  
157 with *recognition*).

158 The analytical focus for our paper is the environmental justice sub-field of “water justice”  
159 (Sultana et al., 2019). We are particularly concerned with how the distribution of water rights  
160 access and water-related decision-making is extremely biased within urban areas (Crow et al.,  
161 2018, Ernstson et al., 2013). Because of this imbalance, water-based livelihoods and rights in  
162 “the Global South are often threatened by bureaucratic administrations, market-driven  
163 policies, and top-down project intervention practices” (Boelens et al 2018, p. 1). The socio-  
164 ecological dynamics of urbanism in much of Southeast Asia make water (in)justice issues  
165 acute. The Bahasa Indonesian term *desakota* (“village-town”) describes the dynamic  
166 migration between country and city and the formation of extended urban systems consisting  
167 of urban and rural villages and industrial systems linked through a dynamic seasonal  
168 economy (Kelly, 2007; McGee, 1989, 2007). The term *desakota* captures the two-fold  
169 process of splintered urbanisation; on the one hand the rise of urban villages for poorer  
170 groups and on the other the implementation of large industrial complexes and urban  
171 residential or recreational megaprojects for the middle classes. This intensive mixing of  
172 formal-informal and urban-rural economies has affected environmental management and



173 ecosystem service delivery, often leading to the degradation of vernacular socio-ecological  
174 systems (Gurnell, A et al 2008, Desakota Study, 2008). Research on the political ecology of  
175 these rapidly changing areas is limited (Cairns 2018; Hawken et al., 2014) despite the  
176 reliance of local populations on urban water ecosystems for wellbeing, livelihoods, food and  
177 water security (Hawken, 2017).

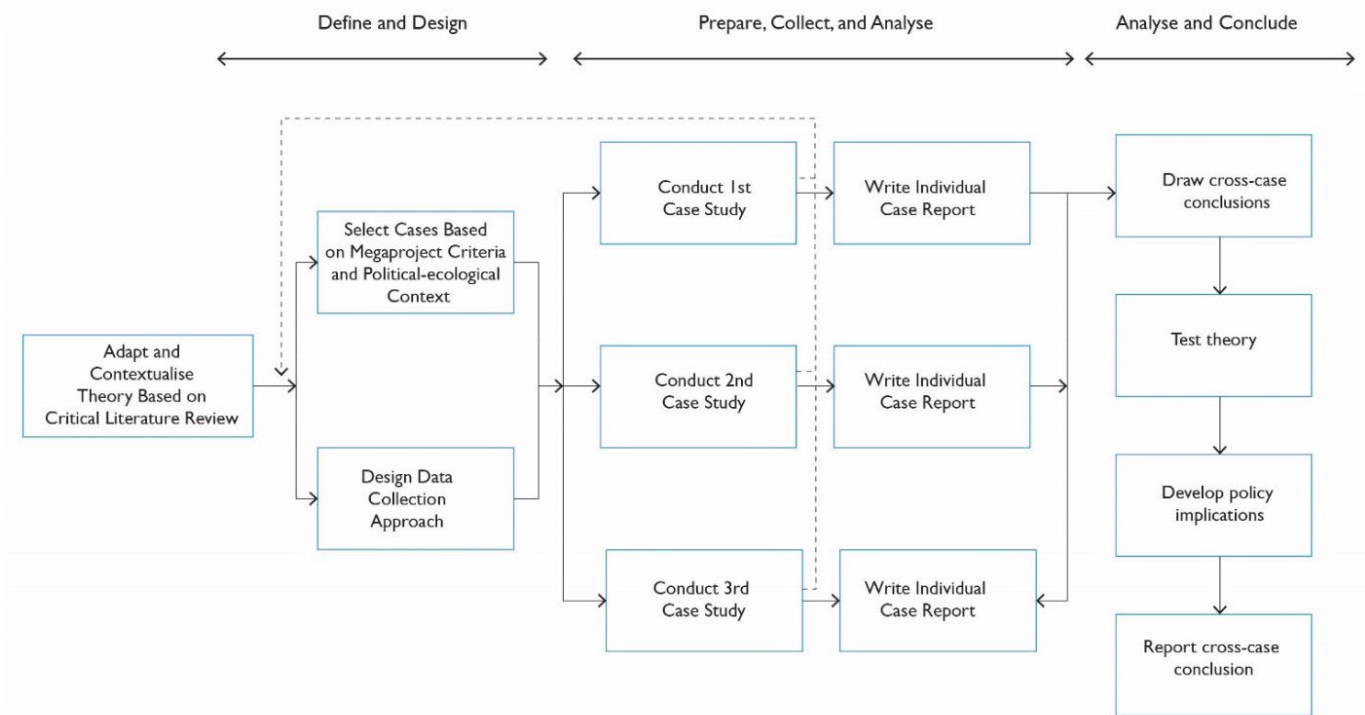
178 The monsoonal character of Southeast Asia presents further challenges for water access and  
179 quality. Despite rainfall being plentiful at certain times of the year, Southeast Asia's urban,  
180 agricultural, and industrial landscapes face intermittent water shortages (Datta & Shaban,  
181 2016; Pink, 2016). Climate change is creating more variability and uncertainty within these  
182 landscapes plus interacting with the effects of urbanisation (Roth et al., 2019). Thus, a key  
183 issue in Southeast Asia is the close relationship between urban water supply and sanitation,  
184 climates, and ecosystems. Further, global neoliberalism has assured a shift from "government  
185 to governance" in relation to water, whereby actors beyond the state, most notably  
186 nondemocratic multilateral financial institutions, are increasingly involved in shaping water  
187 outcomes (Boelens et al 2018). Thus, questions of the equity of outcomes (water  
188 distribution), questions of procedural fairness (water governance) and inclusiveness  
189 (identified water rights and concerns) are a critical concern process" are of critical concern in  
190 Southeast Asian urban megaprojects.

191 This paper argues for a greater awareness of such water justice of urban megaproject  
192 development within the development community and a greater accountability for actors  
193 responsible for megaprojects and increased access to knowledge and information and  
194 reporting avenues for local actors affected by such projects. Heller (2019b) reports that  
195 accountability and human rights are about "balancing power in order to protect the most  
196 marginalised and those living in the most vulnerable situations." The citizens of Southeast  
197 Asian *desakota* landscapes require both specific and generic governance and political

198 mechanisms that bring water related rights and services to the fore. Such mechanisms can  
199 help address the asymmetrical power balances and water injustices involved in the  
200 implementation of megaprojects. While there is a burgeoning academic scholarship on  
201 environmental and water justice, the frame is yet to be explicitly used to evaluate urban  
202 megaprojects, and the language of justice is conspicuously absent to professional practice in  
203 the urban megaproject sector. This paper, therefore, offers new vocabularies, epistemologies  
204 and empirical data to add new critical dimensions to urban megaproject debates as well as  
205 concluding with recommendations for practice and policy.

### 206 **3. Research Approach and Methods**

207 This paper adopts a multiple case study approach which is useful to establish an in-depth, and  
208 multi-faceted understanding of a complex problem (Crowe et al 2011). Our research design is  
209 set out in figure 1. and involves a five-step process involving theory development and  
210 contextualisation (1); Research design and selection of cases (2); preparation and collection  
211 of case study information including documentation, archival records, direct observation, and  
212 analysis of physical artifacts and processes (3); development of case study narratives and  
213 reportage (4) and finally (5) the cross-case-study analysis, theory and policy development  
214 using Heller's (2019) framework.



216 **Figure 1. The research design used in this paper employing a multiple-case study**  
 217 **approach. The workflow is adapted from Yin (2017).**

218 In our adopted process each individual case becomes the focus of a whole case study and  
 219 these were written-up in a summary report indicating how and why water and communities  
 220 were affected by megaproject development. Following from focused, separate case studies,  
 221 we assembled convergent evidence generated using an analytic framework (Heller 2019b)  
 222 applied across all three case studies. Finally, we developed theoretical propositions and  
 223 policy recommendations.

224 Although there are no shortages of urban megaprojects to evaluate, scholarly literature is  
 225 currently limited to well-established projects that have generated controversy in the media  
 226 and development communities (e.g. Phu My Hung and Boueng Kak Lake). Case studies were

227 selected based on two primary criteria – definition of urban megaprojects and political  
228 ecological contexts in rapidly urbanising, semi-aquatic environments of South East Asia  
229 (Figure 2). In making this selection we aimed to test the validity of the ‘splintering urbanism’  
230 theory vis a vis urban water justice. Secondary criteria included the identification of case  
231 studies at different points in their development to understand how, when and why certain  
232 water-related human rights concerns arise (Meyer, 2001). Tertiary selection criteria include  
233 the availability of media coverage, scholarly literature review and archival GIS  
234 documentation on urban hydrology.



235

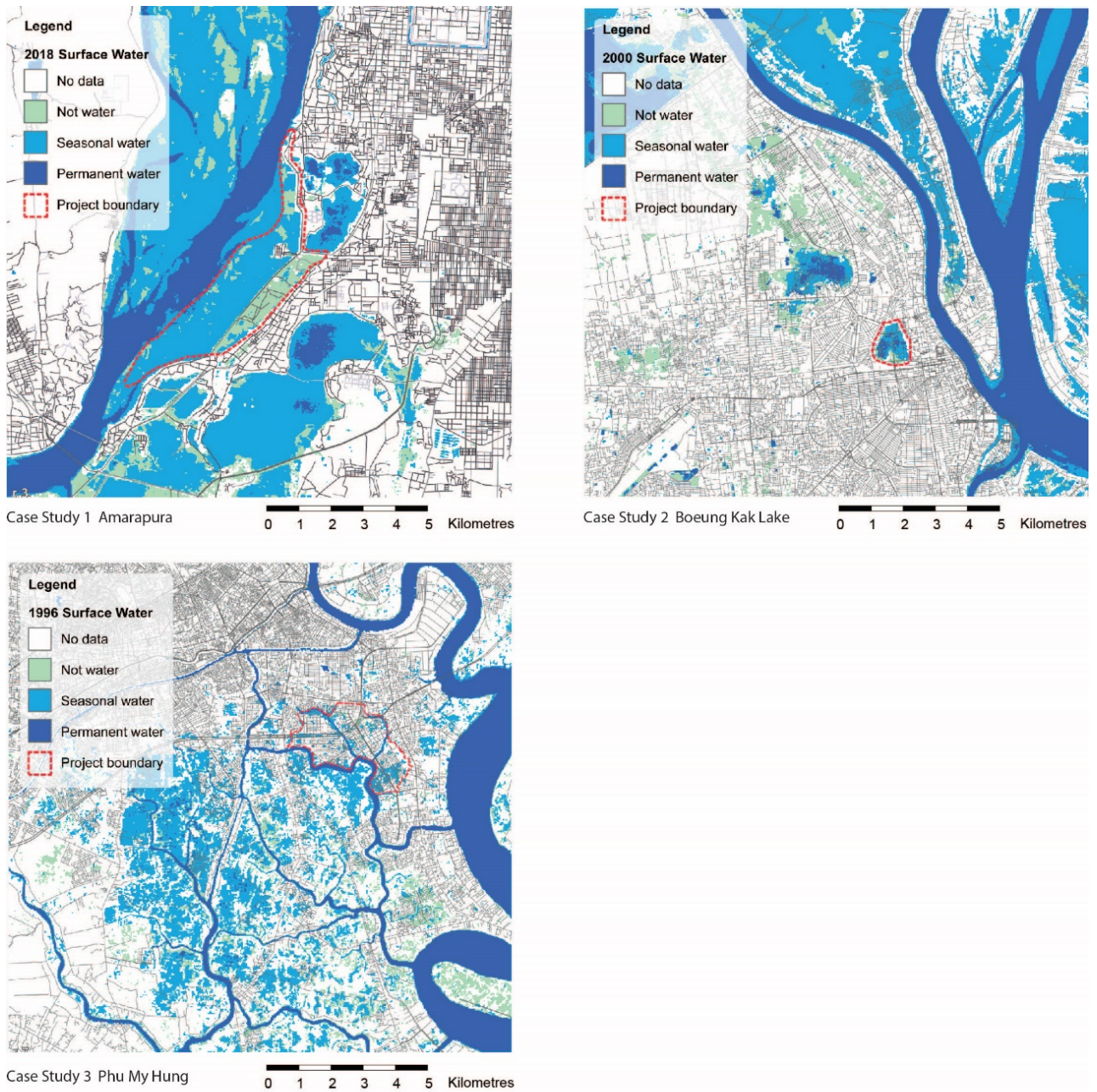
236 **Figure 2. Geographical location of the three urban megaprojects investigated in**  
237 **Southeast Asia (Amarapura, Myanmar; Boeung Kak Lake, Cambodia; and Phu My**  
238 **Hung, Vietnam). Data source: Nature Earth. <https://www.natureearthdata.com>.**

239 To assemble data on the individual case studies we carried out a critical literature review  
240 using the major scholarly databases such as scopus and web of science along with a detailed  
241 review of local and international media outlets. This research was supplemented through  
242 spatial observations carried out using remote sensing and field visits. Available open data  
243 was used to map urban megaproject sites over time. A series of global datasets were used  
244 within a Geographical Information System and the Google Earth Engine  
245 (<https://earthengine.google.com/>). The temporal analysis of Pekel et al. (2016) was used and  
246 contextualised in relation to urban datasets accessed from Open Street Map  
247 (<https://www.openstreetmap.org/>). Pekel et al. (2016) generated their data from more than 3  
248 million scenes from Landsat 5, 7, and 8 acquired between 16 March 1984 and 31 December  
249 2018. Each pixel was classified as water or non-water using an expert system to create the  
250 Joint Research Centre's Global Surface Water Dataset (JRC Global Surface Water) (Pekel et  
251 al. 2016). Annual surface water layers were used along with the “transitions” layers from the  
252 JRC Global Surface Water Dataset, to identify lost “seasonal surface water” and lost  
253 “permanent surface water”. The resulting visualisations provide insight into the current and  
254 future impacts of the three megaproject case studies (Figures 3-5).

255 The cross-case-study analysis was completed and discussed using Heller’s (2019b) multi-  
256 stage cycle that characterises the impacts of megaprojects on water and sanitation-related  
257 human rights and breaks down critical themes and pressure points. The cycle includes:  
258 *macro-planning* (i.e. how megaprojects are integrated into national development agendas);  
259 *planning and designing* (i.e. defining the practical and technical aspects of megaprojects);  
260 *licensing and approval* (i.e. the validation of megaprojects by public authorities);

261 construction (i.e. building megaprojects); *short-term operation* (i.e. operation and monitoring  
262 when projects start to fulfil their purpose); and *long-term operation* (i.e. socio-economic and  
263 ecological outcomes).

264



265

266 **Figure 3. Permanent and seasonal surface waters prior to urban megaproject**  
267 **development in Amarapura (Myanmar), Boeung Kak Lake (Cambodia) and Phu My**

268 **Hung (Vietnam). The megaproject site boundaries are outlined with a dashed red line.**  
269 **(Data sources: Open Street Map and the JRC Global Surface Water Dataset.)**

## 270 **4. Case Study Results**

### 271 **4.1 Case Study 1: Amarapura Urban Development Project, Mandalay, Myanmar**

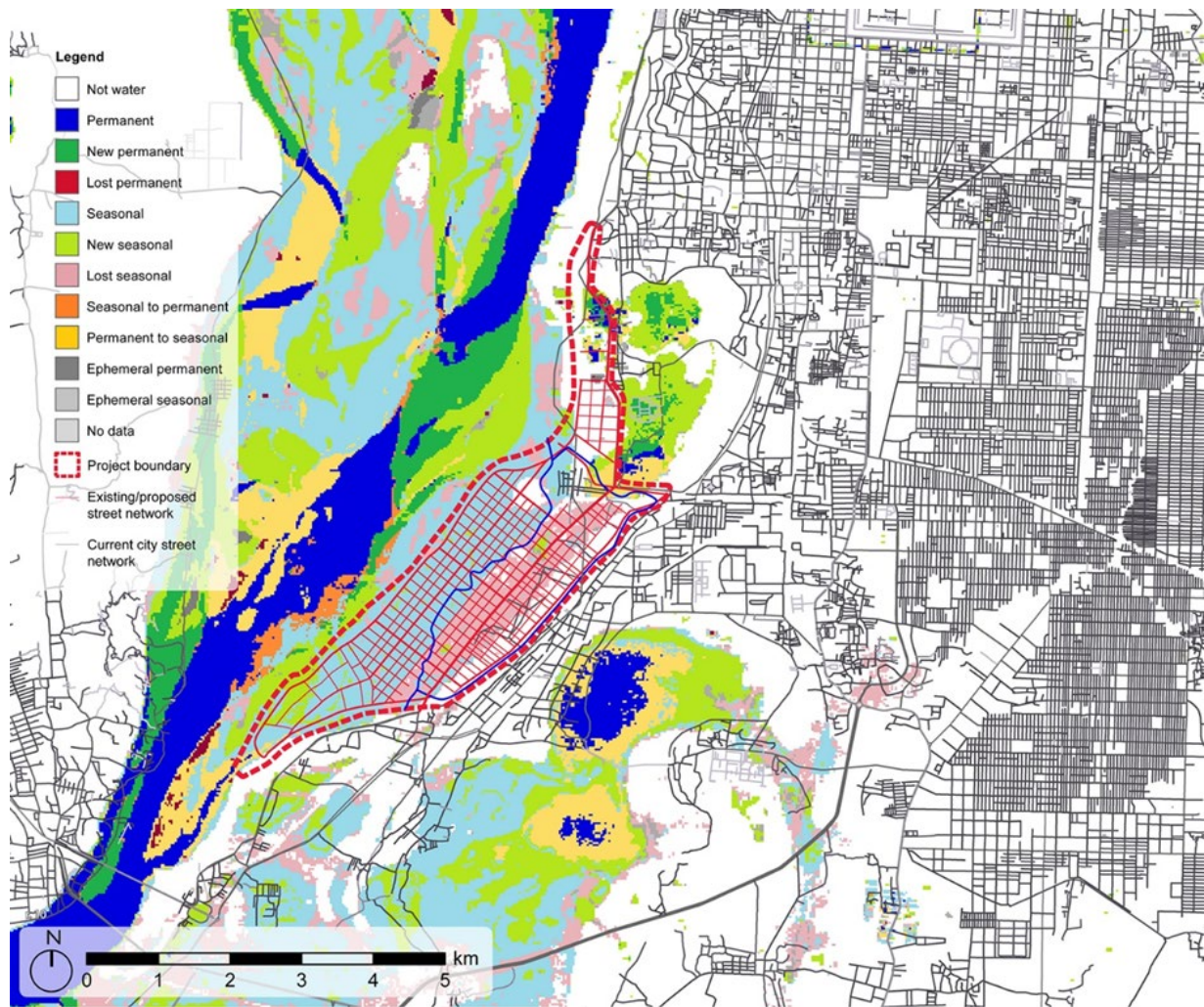
272 The first of the case studies is the Amarapura urban development project which is an 809-ha  
273 mixed-use megaproject for Shankalay Kyun Island on the Irrawaddy River, planned as an  
274 urban extension of the city of Mandalay, the second largest city in Myanmar. The project fits  
275 within the national development agenda of Myanmar and is being delivered as a public-  
276 private partnership by Mandalay Business Capital City Development Ltd. (MBCCD) and  
277 the Mandalay City Development Committee (MCDC), an administrative body of the city of  
278 Mandalay with city planning, land administration, tax collection, and urban development  
279 responsibilities.

280 The project was launched in 2016 and is reported to involve investments of US\$375 million;  
281 the memorandum of understanding between MBCCD and MCDC reports a split of 75% for  
282 the private sector and 25% public (Ko, 2017). The megaproject will involve the  
283 transformation of agricultural and village landscapes into a mixed-use urban centre and a new  
284 port to increase shipping capacity on the Irrawaddy River. The development is proposed to  
285 contain apartment buildings, shopping centres, hotels, hospitals, schools, gardens and jetties  
286 (EJOLT, 2019). The 2016 master plan prepared by a local urban planning consultancy claims  
287 the project will create an “environmentally friendly system for the first time in Mandalay”  
288 (Spiral Architects & Planners, 2016). The urban planning, design and environmental  
289 strategies that might achieve this target are not specified.

290 The first 8-ha phase of the development was initiated in 2017 by MBCCD and is to include  
291 apartments, retail, private and government offices and schools. This phase is continuing  
292 despite resistance from locals and environmentalists who argue experts have advised  
293 MBCCD the project would significantly affect river flow during the monsoon season,  
294 resulting in potential increased flooding of nearby areas (Mann, 2017). The development is  
295 located within the Irrawaddy River floodplain, presenting serious environmental risks with  
296 direct implications for sanitation and water supply for existing and proposed settlements  
297 (Mann, 2017).

298 To protect the new development from flooding during the monsoon season, as well as to  
299 provide a stable foundation for the buildings, 6 m of fill is planned to be deposited across the  
300 semi-aquatic island; this process has already started (pink area in Figure 4). Many  
301 environmentalists argue this large-scale land reclamation in an alluvial flood plain will reduce  
302 the ability of the river system to cope with seasonal flooding by increasing the strength of the  
303 current during the monsoon season, resulting in the flooding of neighbouring lakes and creeks  
304 as well as riverbank locations downstream (Mann, 2017). Furthermore, high-cost  
305 engineering-based interventions for flood management in this area are delivered without  
306 reference to existing low-cost, community-based strategies, undermining those in turn  
307 (Reeder, 2019).





308

309 **Figure 4. Lost seasonal surface waters (pink) and current seasonal surface waters (light**  
 310 **blue) that will be lost should the Amarapura urban development project (Mandalay,**  
 311 **Myanmar) be built. The project footprint is indicated with the red dotted line (Data**  
 312 **sources: Open Street Map and the JRC Global Surface Water Dataset.)**

313

314 MBCCD submitted a social and environmental impact report to the government but this  
 315 report is not publicly available. A new large-scale urban extension has the potential to  
 316 exacerbate existing and create new water-based conflicts and risks. Based on past  
 317 experiences, local groups are not confident these risks will be taken into consideration in the  
 318 planning and delivery phases (Mann, 2017). Previous river dredging and construction along

319 the river has increased erosion as well as narrowed the river (Grzybowski, Lenczewski, &  
320 Oo, 2019; Pink, 2016).

321 The project proposes to create ~10,000 jobs (Ko, 2017) but is likely to disrupt current  
322 livelihoods. As with most villages in Myanmar, the economic bases of urban villages on  
323 Shankalay Khun Island are fishing and agriculture (Ko, 2017). Villages are to be removed  
324 from Shankalay Khun Island to make way for the development. The villagers have been  
325 opposing the proposed compensation and the project in general. According to the  
326 Environmental Justice Atlas, some local conflict and resistance is present (EJOLT, 2019).

327 As part of a larger plan to modernise Mandalay, the new port is intended to become the  
328 primary shipping facility on the Irrawaddy River. Construction is due to commence in 2019  
329 with a completion timeframe of 10 years under financing from the Japan International  
330 Cooperation Agency (Yin, 2008). This capturing of foreign investment for shipping  
331 infrastructure underscores the belief that economic growth can be driven by large-scale,  
332 export-oriented industrialisation (Athukorala & Waglé, 2011). Under this industrialisation,  
333 sources of local livelihoods may shift from traditional fishing and agricultural practices to  
334 port-related jobs. However, how this transition will occur is not described in the project  
335 documentation. The 2016 master plan claims the development will “lift the inhabitant’s living  
336 standards to the required international level” (Spiral Architects & Planners, 2016). This  
337 international narrative is typical of mixed-use megaprojects around the world and is received  
338 with uncertainty by locals. Often, the promise of wealth generation via megaprojects, while  
339 clearly benefiting project proponents, does not materialise for local communities in the global  
340 south (Siemiatycki, 2013; Turok, 1992). This can be seen in Muang Thong Thani in Thailand  
341 and Lippo Karawaci in Indonesia, which are vast urban projects created for the middle class  
342 but that exclude lower socio-economic classes (Shatkin 2008).

343 MBCCD have promised to return land and homes to villagers on completion of the project.  
344 They claim to have offered residents 1–6 million Kyats (US\$660–3,965) for each 100-m<sup>2</sup>  
345 land parcel. The local population is apprehensive about this offer (Mann, 2017). Many would  
346 prefer to remain in their village and are worried about being forcibly removed, arguing there  
347 have been examples of similar projects in Myanmar where villagers did not receive  
348 compensation following displacement (Mann, 2017). Government trust remains a significant  
349 challenge in Myanmar. The General Administration Department under the Ministry of Home  
350 Affairs is still controlled by the military and is widely perceived to be corrupt and involved in  
351 land grabs (Reeder, 2019). It is unclear how the developer will be held accountable for their  
352 promises of returned land and homes.

#### 353 **4.2 Case Study 2: Boeung Kak Lake development, Phnom Penh, Cambodia**

354 Boeung Kak Lake urban megaproject, now known as Phnom Penh City Centre, is a 133-ha  
355 development situated in the north of Phnom Penh's original colonial district (Kry, 2014). The  
356 development's website suggests it will "transform Phnom Penh's city landscape into a  
357 modern, sustainable commercial hub with facilities purpose-built for both local and overseas  
358 businesses and investors" (PPCC 2019). The joint venture involves Shukaku Inc., a private  
359 Phnom Penh-based real estate developer headed by Senator Lao Meng Khin of Cambodia's  
360 People Party (with a 51% stake), and the Singapore developer Kingsland Ventures (with a  
361 49% stake) (The Urban Developer, 2017). The value of the project is unknown although the  
362 land was leased by the government to private developer Shukaku Inc. for the token sum of  
363 US\$97 million in 2008 (Baliga & Chakrya, 2017). Alternative estimates suggest the site was  
364 worth 25 times the asking price, ~US\$2.5 billion based on calculations from land value rates  
365 (Vireak, 2019). Few local residents are likely to live in the project. New developments of  
366 condos in Phnom Penh, are almost exclusively bought up by the Cambodian upper class and  
367 Chinese tourists and businessmen (Ea, 2018). The development economics are not

368 transparent. Despite the land being purchased very cheaply, a prominent local architect, Van  
369 Molyvann, suggested that the high establishment costs involved in filling the lake would be  
370 uneconomical (Welsh, 2008).

371 Throughout the 20<sup>th</sup> century, large wetland systems remained within Phnom Penh's inner-city  
372 and periphery. The wetlands are the legacy of a colonial vision that transformed the  
373 vernacular wetland city into a modern and dry colonial city based on principles of European  
374 planning envisioned by the French town planner, Ernest Hebard, who was also responsible  
375 for the planning of Saigon (Ho Chi Minh City). The late 19<sup>th</sup>- and early 20<sup>th</sup>-century colonial  
376 plans were constructed on the semi-aquatic landscapes of the Mekong Delta, styled in the  
377 image of European capitals (Wright, 1987, 1991). During this time, Boeung Kak Lake was  
378 formally closed off from the dynamic river elbows and channels of the Tonle Sap River. A  
379 park was laid out on the shores of the urban lake according to the aesthetics of the day (Nam,  
380 2011).

381 Within Phnom Penh and throughout Cambodia, large-scale settlements have usually been  
382 built along levees or dykes where they could be protected from floodwaters in the low-lying  
383 monsoon landscape (Delvert 1994). However, in the colonial period, Phnom Penh's  
384 vernacular system of ponds and canals was transformed to accommodate the urban vision of  
385 European blocks and streets. A system of successive dykes was built on the wetland and  
386 backfilled to provide a dry platform. The system was severely damaged in the 1970s when  
387 the capital was evacuated by the Khmer Rouge and became more vulnerable to flooding  
388 (Mialhe et al., 2019; Pierdet, 2012; Schneider, 2011). In the 1980s and 1990s, the aquatic  
389 landscape of Boeng Kak Lake landscape served as a transitional space between the  
390 countryside and the city for rural migrants and returning refugees. Due to the urban context of  
391 the lake and damaged hydraulic systems, water pollution created health risks for this  
392 vulnerable population (Pierdet, 2012, p. 270). In the 2000s, as development speculation

393 gained pace, Phnom Penh aspired to attract global capital. Beautification schemes for the city  
394 involved a parkland vision for the lake, with echoes of Hebard's early 20<sup>th</sup>-century designs.  
395 This vision was short-lived and public land was leased privately in 2008 for a development  
396 that initially involved minimising surface water and infilling with sand dredged from the  
397 Tonle Sap and Mekong Rivers . The developers commenced dredging without environmental  
398 impact assessments on the two rivers (Anderson, 2017).

399 The drainage and hydrological systems of Phnom Penh have been dramatically transformed  
400 by megaprojects and urban development in the last few decades. Between 1900 and 2015,  
401 6,000 ha of aquatic environments have been converted to urban development projects  
402 (Mialhe et al., 2019, p. 9). The pumping of sand and sediment to infill Boeung Kak Lake  
403 submerged existing lakeside homes in mud in dramatic scenes captured by media around the  
404 world (The Economist, 2009; Anderson, 2017; Jackson, 2017; Baliga & Chakrya, 2017;  
405 Phnom Penh Post, 2008).

406 The infilling of Boeng Kok and the many wetlands within and fringing Phnom Penh have  
407 damaged three important socio-ecological functions. First, the infilling has destroyed the  
408 homes of urban villagers, who form the majority of Phnom Penh's population (World Food  
409 Program, 2019). Second, the infilling has destroyed sources of sustenance and sanitation for  
410 urban villagers including fishing, harvesting vegetables and bathing. Finally, it has  
411 exacerbated existing waste-disposal and drainage challenges for Phnom Penh (Eco-Business,  
412 2019; Kum, Sharp, & Harnpornchai, 2005) as Phnom Penh relies on its low-lying wetlands to  
413 regulate flood waters and process waste. With Boeung Kak and so many lakes now gone, the  
414 city is frequently inundated by polluted flash floods (Jackson, 2017; South China Morning  
415 Post, 2019). Although the immediate and drastic effect on Phnom Penh's vulnerable  
416 population is clear, the long-term ecological consequences of the megaproject are not well  
417 understood (Mialhe et al., 2019; Pierdet, 2012).

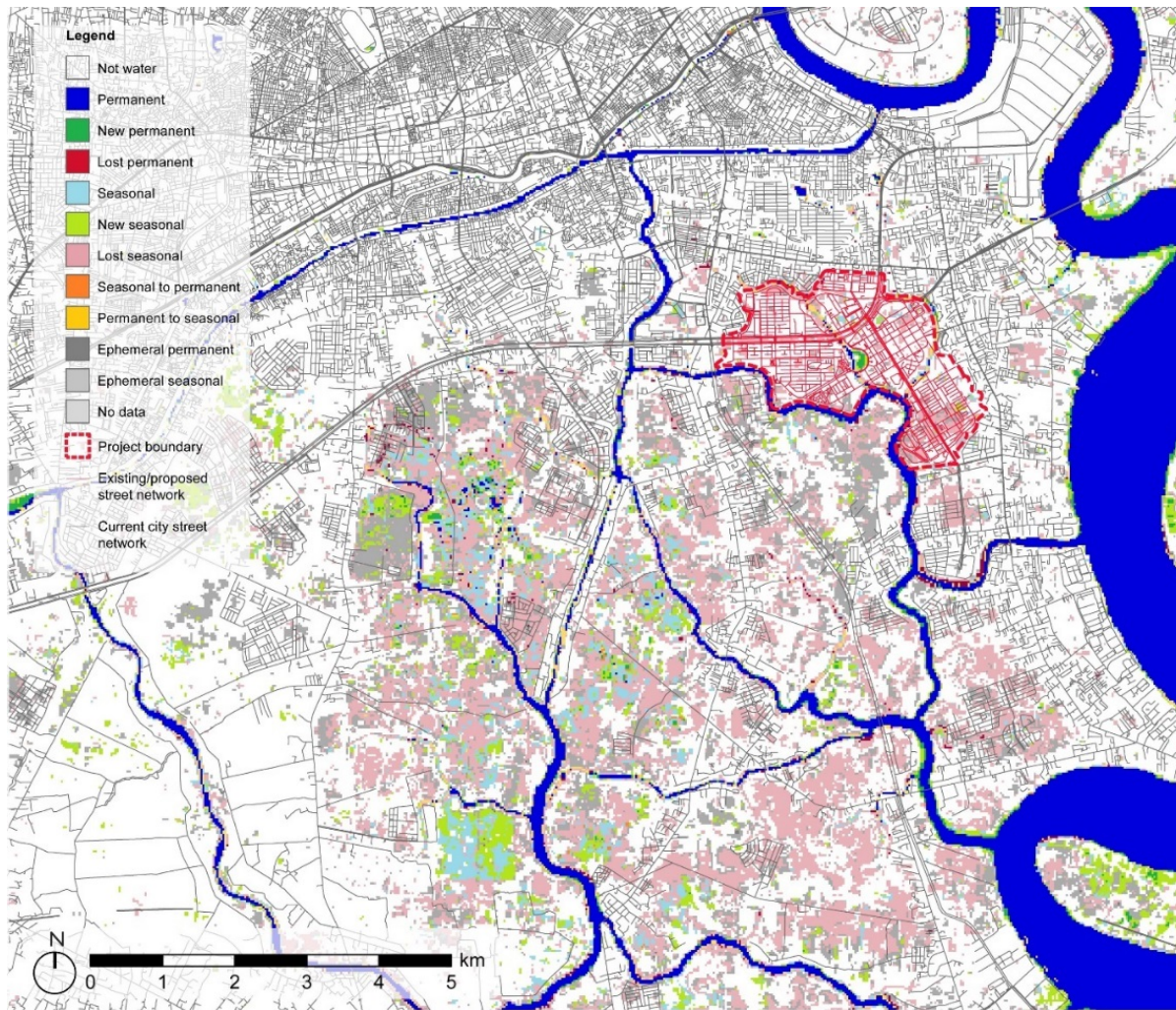
418 Human-rights agencies have described the Boeung Kak Lake project as the single largest  
419 urban displacement in Cambodia since the forced evacuation of Phnom Penh in 1975  
420 (Inclusive Development International, 2016). The project has displaced 4,000 households to  
421 relocate to the periphery of the city, far from their traditional sources of income. Residents  
422 have not been compensated adequately despite many households having demonstrated their  
423 legal rights to the land. The Boeung Kak community was excluded from the titling system  
424 when land was formalised in 2006. The Cambodian Government then granted a lease to  
425 Shukaku Inc. with the 4,000 households classified as illegal squatters on state-owned land  
426 (Inclusive Development International, 2016).

427 The World Bank's social safeguards have an established process for the resettlement and  
428 compensation of people residing on state land. This process, known as the Resettlement  
429 Policy Framework, was circumvented (Inclusive Development International, 2016; Springer,  
430 2015). Within Cambodia, lakes and wetlands are state land so a reclassification is necessary  
431 to develop them. Foreign investors often use local elites as fronts for this legal process  
432 (MacInnes, 2015; Witness, 2016). The typical outcome is large projects that address  
433 commercial real-estate imperatives and bypass the needs of the urban poor (Springer, 2015).  
434 Recourse to mediation by the residents has occurred through a range of methods, including  
435 petitions to global organisations such as the World Bank. This has awarded them some  
436 concessions, with new loans to Cambodia frozen in 2011 due to global media attention on  
437 this human-rights scandal. In 2016, the moratorium was lifted and funding for megaprojects  
438 resumed (Pye, 2016).

### 439 **4.3 Case Study 3: Phu My Hung, Ho Chi Minh City, Vietnam**

440 The Phu My Hung urban megaproject is situated along the Saigon River in the periphery of  
441 Ho Chi Minh City and contains a range of residential, commercial, recreation and business

442 facilities (Douglass & Huang, 2007; Huynh, 2015). The megaproject is part of a larger vision  
443 to expand the city to the south constituting one section of a larger development plan called  
444 Saigon South (Harms, 2016; Huynh, 2015). The project has been developed by the Phu My  
445 Hung Corporation, a joint venture between the Taiwanese Central Trading & Development  
446 Group (contributing 70% legal capital) and the Vietnamese Tan Thuan Industrial Promotion  
447 Corporation (contributing 30% legal capital) (Waibel, 2004). The project commenced in May  
448 1993, the same year that Vietnam's revised 1992 Land Law went into effect, allowing for  
449 new forms of land transfer and ultimately leading to a wildly profitable real estate market  
450 (Harms, 2016). Given the price of its residential offerings, Phu My Hung is built for elites  
451 and its target market is alluded to within its Sino-Vietnamese name that translates to  
452 "wealthy" (*Phú*), "beautiful" (*Mỹ*) and "prosperous" (*Hung*) (Harms, 2016). The project is in  
453 the short-term operation phase as defined by Heller (2019b).



**Figure 5. Phu My Hung, Ho Chi Minh City, Vietnam. The lost seasonal wetlands within and surrounding Phu My Hung are apparent in this figure and highlighted in pink within the legend. Megaprojects such as Phu My Hung displace vernacular ecosystems within their boundaries stimulating informal development surrounding the megaprojects. Such landscapes often house the construction workers who build and maintain the middle class megaprojects in a classic desakota pattern.**

454 The development was constructed on wetland environments of interlacing rivers and canals  
 455 (Douglass & Huang, 2007). The development filled in the canals and the seasonal wetland  
 456 systems while maintaining a central canal and ornamental lake (Figure 5). The canal is an  
 457 economic asset for advertising and selling waterfront properties (Duy et al. 2013). Beyond



458 the celebrated “before and after” narrative (Harms, 2016), there are many concerns associated  
459 with the radical transformation of the area. As Douglass and Huang (2007, p. 27) note, “the  
460 longer term ecological impacts of building a massive city on swampland have not been  
461 assessed.” A recent opinion piece in *Mekong Eye* summed up this quandary: “They’ve  
462 allowed development to be led by investors seeking to profit from cheap, low-lying land that  
463 instead should be left open for flood reserves. They’ve been remiss in maintaining and  
464 expanding the city’s drainage infrastructure and even allowed development to fill in existing  
465 canals” (Quynh, 2018).

466 The Phu My Hung District was already at high risk of flooding owing to its low-lying  
467 topography (Duy et al. 2018). The infilling of swamplands to make way for development has  
468 only exacerbated the situation (Duy et al., 2017; Huynh, 2015). The development is thus  
469 considered to be “both the culprit and bearer of [the flooding] problem” (Huynh, 2015, p.  
470 133). The Phu My Hung development acts as a barrier to the efficient drainage of the more  
471 established parts of the city and further rapid urban expansion and climate change are  
472 projected to accelerate the flooding problem for Ho Chi Minh City as a whole (Bangalore et  
473 al. 2019; Duy et al., 2017; Lasage et al. 2014; Leitold & Diez, 2019).

474 The Phu My Hung development is a quintessential example of privatisation of public space  
475 and governance. Day-to-day governance is administered by the Phu My Hung Customer  
476 Service Center (CSC). The CSC is the one-stop-shop to help residents deal with “problems  
477 that happen in the neighbourhood such as electricity or water interruption, disturbing noise,  
478 security-related events” (Le & Le, 2018). The space is policed by a 600-strong private urban  
479 force (Kim, 2016). Residents have more interactions with private corporate management that  
480 they do with government authorities (Le & Le, 2018). Corporate management is not only  
481 focused on internal governance but also exclusion. As Douglass and Huang (2007, p. 23)  
482 note, “Managed by a corporate entity with state support, one of [the] principal intentions of

483 PMH [Phu My Hung] is to keep the teeming city of eight million people — most of whom  
484 are classified as low-income — held back by hired guards, surveillance, gates within gates  
485 and all manner of rules about what residents can and cannot do.” This exclusion approach is  
486 problematic on a number of levels. For one, the narrative that the development emerged from  
487 an empty “wasteland” or “swampland” is a false one. The development ultimately relied on  
488 displacing “cash-poor but culturally rich” urban agricultural communities (Harms, 2016). The  
489 outskirts of Phu My Hung have also been transformed from a rural to urban landscape with  
490 an influx of thousands of residents into its surrounds over the past few years.

## 491 **5. Discussion: Linking Water Related Human Rights to the Urban**

### 492 **Megaproject Development Cycle**

493 Urban megaprojects are global infrastructures that reconfigure local environments as products  
494 suited for global capitalist investment (Sassen, 2018a, 2018b) but true project costs are  
495 concealed behind promotional visions (Flyvbjerg, 2014; Hirschman et al., 2015). The three  
496 Southeast Asian cases examined here all demonstrate the theory of splintering urbanism as  
497 put forward by Graham and Marvin (2002) whereby projects network global elites while  
498 isolating local communities through environmental degradation and exclusion from resources  
499 (Wiig & Silver, 2019).

500 Across all three projects, it is apparent that water features as an abstract infrastructure or  
501 obstacle: providing global export opportunities for the port of the future Amarapura  
502 development; a “vacant” open space for a high-rise development in Boeung Kak; and an  
503 aestheticized middle-class backdrop to condominiums for Phu My Hung. The present  
504 functions of the water systems on the sites are not considered and neither are cross-boundary  
505 impacts on surrounding areas (Table 1). Project impacts occur at multiple spatial and  
506 temporal scales and it is therefore essential that project stakeholders and observers are

507 engaged with the human and environmental costs of all phases of these projects (Table 1).  
508 Although spectacular and tragic moments have captured the attention of the global media, a  
509 working knowledge of such case studies is essential if future urban megaprojects are to be  
510 implemented to generate prosperity and wellbeing for local communities. This long-term  
511 perspective is joined by an equally expansive spatial dimension. As is evident from the three  
512 case studies, urban megaprojects are a particularly severe form of “self-induced shock”,  
513 transforming river systems, displacing communities and causing wide-ranging hydrological  
514 repercussions through flooding, degradation of sanitary conditions in local wetlands, reduced  
515 biodiversity and increased pollution loads on fragile ecological systems (Douglass 2007,  
516 2010). Urban megaprojects can be understood to be symptomatic of broader structural and  
517 political issues of urban development, such as opaque international financial flows, neoliberal  
518 policy agendas, and splintered urban process. We nevertheless offer potential alternatives for  
519 these projects to better integrated into broader sustainable and just city visions, avoiding the  
520 segregation, exclusive splintering and mass displacement that often characterises their  
521 implementation. We also emphasise that large community-based initiatives do exist in  
522 relation to megaprojects, even at the scale of whole river and delta systems (Bruijn et al  
523 2015). Whether these can be viewed as ‘next generation’ megaprojects, or, ‘alternative modes  
524 of development’, is worthy of further research (Bornstein 2010).

525

526 **Table 1.** Analysis of megaproject stages for the three Southeast Asian case studies according to Heller’s (2019a) typology, including: description  
 527 of each stage, potential limitations, impacts on water security, strategies for improving water security, and proposed accountability measures.

Megaproject stage	Stage characteristics and potential limitations	Impacts on water security	Strategies for improving water security	Accountability measures based on Heller (2019a) and Othman and Ahmed (2013)
<b>Macroplanning</b>	<ul style="list-style-type: none"> <li>–Integration of megaprojects in the national development agenda</li> <li>–Determination of the legal and policy frameworks applicable to megaprojects</li> <li>–Limited stakeholder involvement</li> </ul>	<ul style="list-style-type: none"> <li>–Water and human rights agendas are typically not part of the urban national development agenda</li> <li>–Inadequate communication with and coordination and inclusion of community stakeholders</li> </ul>	<ul style="list-style-type: none"> <li>–Valuing water, social and ecological targets in initial project costing and feasibility analysis</li> <li>–Development of clear economic, sustainability and social targets</li> <li>–Broader consultation process</li> <li>–Selection of financial instruments that value water security</li> <li>–Consideration of alternatives to megaprojects</li> <li>–SDGS and NUA to be used as visioning tools to generate new multi-dimensional value.</li> </ul>	<ul style="list-style-type: none"> <li>–Use of Sustainable Development Goals as a guiding framework for megaprojects (CPH City &amp; Port Development, 2019, (Sterling et al., 2020).</li> <li>–Explicit incorporation of impact assessments that integrate water security (Klijn, Bruin, Hoog, Jansen, &amp; Sijmons, 2013; Lee &amp; Tan, 2016).</li> <li>–Funders and sponsors of the megaprojects to establish a reasoned enabling environment for accountability (Karlsson-Vinkhuyzen et al.,2018, Dang et al., 2020) .</li> </ul>
<b>Licensing and approval</b>	<ul style="list-style-type: none"> <li>–Approval of megaprojects by public authorities</li> <li>–Authorization for actors involved to undertake the next phases</li> </ul>	<ul style="list-style-type: none"> <li>Degradation of communities begins through evictions and land-banking</li> </ul>	<ul style="list-style-type: none"> <li>–Global licensing procedures requires water security measures</li> <li>–Regional and global databases on urban megaprojects</li> </ul>	<ul style="list-style-type: none"> <li>–License predicated on achieving water security targets (Overduin et al., 2017, Erfani et al.,2015)</li> <li>–License periodically reassessed and renewed</li> </ul>
<b>Planning and designing</b>	<ul style="list-style-type: none"> <li>–Practical and technical aspects defined</li> <li>–Designation of roles and responsibilities for actors involved</li> <li>–Ex-ante assessment and participatory processes</li> </ul>	<ul style="list-style-type: none"> <li>–Exclusion of community diversity</li> <li>–Lack of provision for maintenance of current alternative hydrological systems</li> </ul>	<ul style="list-style-type: none"> <li>–Consideration of alternative development models</li> <li>–Long- and short-term scenario planning</li> </ul>	<ul style="list-style-type: none"> <li>–Oversight from community and environmental stakeholders (Lien &amp; Hou, 2019, Maher et al., 2019).</li> <li>–Impact mitigation a requirement in planning and design (Klijn, Bruin, Hoog, Jansen, &amp; Sijmons, 2013).</li> </ul>

<b>Construction</b>	<ul style="list-style-type: none"> <li>-Initiation of major landfill operations and construction of projects on platforms</li> <li>-Transformation from wetland systems to dry plateaus</li> <li>-Construction of new housing and built environment</li> </ul>	<ul style="list-style-type: none"> <li>-Displacement or disruption of communities and social networks</li> <li>-Disruption of ecosystem services</li> <li>-Depletion or removal of water resources</li> <li>-Reduced access to water for agriculture</li> <li>-Disruption of aquatic food sources</li> </ul>	<ul style="list-style-type: none"> <li>-Mitigation measures implemented to limit damage in natural and social systems</li> <li>-Careful project phasing to ensure sustainability and maintenance of social and ecological systems</li> </ul>	<ul style="list-style-type: none"> <li>-Monitoring of physical impacts on lands and natural resources (Lee &amp; Tan, 2016).</li> <li>-Monitoring of social conflicts and updating of global database (Sheng &amp; Thuzar, 2012).</li> </ul>
<b>Short-term operation</b>	<ul style="list-style-type: none"> <li>-Operation of the project after construction</li> </ul>	<ul style="list-style-type: none"> <li>-Impacts of pollution or flooding</li> <li>-Sanitation dysfunction with overflowing sewers</li> <li>-Exclusion of local populations from the built project</li> </ul>	<ul style="list-style-type: none"> <li>-Presence of a contingency plan for water security risks and adverse outcomes</li> </ul>	<ul style="list-style-type: none"> <li>-Monitoring of onsite and offsite impacts (Lee &amp; Tan, 2016).</li> <li>-Assessment of possible gaps between expectations and outcomes (Silva et al. 2019)</li> </ul>
<b>Long-term operation</b>	<ul style="list-style-type: none"> <li>-Operation of the project after an extended period</li> <li>-Potential deterioration of infrastructure</li> </ul>	<ul style="list-style-type: none"> <li>-Long-term negative environmental degradation</li> <li>-Shocks to water security through changed or deteriorating conditions</li> <li>-Increase in poverty through disruption of livelihoods and community exclusion</li> <li>-Increase in the value of land and properties, continual displacement through gentrification</li> </ul>	<ul style="list-style-type: none"> <li>-Adaptation of project requirements at later stages of the project life cycle due to the evolving needs of stakeholders</li> <li>-Adaptation of project to improve environmental performance</li> <li>-Financial mechanisms implemented for the long-term maintenance of environmental infrastructure</li> </ul>	<ul style="list-style-type: none"> <li>-Consideration of alternative development models (Hawken 2017, Orueta et al., 2008, Hodson et al 2009, La Loggia et al 2020, Schuetze et al., 2013).</li> <li>-Reporting on project success against national and global benchmarks (Bulkeley 2005, Choi et al 2016).</li> <li>-Environmental monitoring (Lee &amp; Tan, 2016).</li> <li>-Monitoring of social conflict (Sheng &amp; Thuzar, 2012, Temper et al. 2018b).</li> </ul>

529 One definition of megaprojects is that they are “trait making” rather than “trait taking”  
530 (Hirschman et al. 2015). In regard to this definition, we can ask what human rights and water  
531 justice issues are set in motion by different project development stages. Heller’s megaproject  
532 cycle (2019a) presents an important set of milestones for fostering accountability as projects  
533 unfold. In some instances, megaprojects form part of a broad geopolitical strategy such as the  
534 Amarapura’s links to China’s “One Belt One Road” transnational infrastructure. In others,  
535 they form part of an *ad hoc* development strategy (e.g. Boeung Kak) or conform to a clear  
536 plan that is later modified (eg Phu My Hung). However, all three projects did not integrate  
537 broad concepts of water security as part of the strategic decision-making process, nor were  
538 there efforts to engage with affected publics. Questions of public participation are critical  
539 here, as urban megaprojects are exemplars changing “spaces of government” (Chilvers and  
540 Kearnes 2015a). There is ultimately a need to shift megaproject focus from targeting specific  
541 (wealthy) socio-economic *markets* as their driving concern, towards a greater engagement  
542 with publics that are living in or near proposed urban megaprojects sites. As Selin and  
543 Sadowski (2015, p. 221) note “humans design values into city structures and through them  
544 forge social orders”. Therefore, there is a clear need for these projects to engage with  
545 meaningful forms of public engagement (cf. Chilver and Kearnes 2015b; Cook and Melo  
546 Zurita 2019) to ensure they are responding to needs and aspirations of urban residents.

547 We argue that given the inertia of the megaproject mode of development it is essential that  
548 they be addressed through a multi-stakeholder approach engaging those “within” and  
549 “outside” the project ambit. In doing this we reference the research of Maddaloni et al. (2017)  
550 who place megaproject stakeholders in two categories: primary and secondary. Primary  
551 stakeholders include those who sponsor, work on, or formally buy into the project. Secondary  
552 stakeholders include those who compete with the project, are affected by, or are involved in  
553 the communicative infrastructure that implicitly validates or challenges the project. The

554 empowerment of secondary stakeholders, far from de-politicizing the projects, stimulates  
555 action from such communities. Such secondary stakeholder actions can make megaproject  
556 injustices visible through either their transgression or compliance, highlighting social,  
557 political, or ecological standards and expectations. Through building secondary stakeholder  
558 linkages and community coalitions, megaprojects can be halted, realigned, or  
559 comprehensively transformed (Temper et al., 2018).

560 Community building programs in Taiwan offer an example of nationwide, neighbourhood  
561 scale initiatives in which residents are enabled so as to contribute ideas and participate in  
562 processes of urban change. Beginning in Taipei in the 1990s, under a Mayor elected on a  
563 platform of 'Citizen-ism', the programs provided grants as well as support and knowledge  
564 building services, for local residents to improve their neighbourhood environment and  
565 strengthen neighbourhood identities (Lien & Hou, 2019). In contrast the emergence of  
566 community based urban development and more inclusive state and civil society partnerships  
567 in other Asian cities has been a long and patchy process, involving development focussed  
568 states who have traditionally held little regard for environmental or social consequences of  
569 megaprojects (Cho & Kriznik, 2017).

570 In order to achieve stability in megaproject delivery over long timeframes, coalition building  
571 and deal making between different sides and levels of government is required (Daamen &  
572 Vries, 2013; Stone, 1993). Community involvement in megaproject decision making is  
573 however extremely rare and when devolution occurs, large corporations are most often the  
574 beneficiary, leading to conflict between communities and government agencies (Harris, 2018;  
575 Hesse, 2018). Community partnership agreements that are embedded in and accountable to  
576 megaproject governance structures can provide communities with a role in decision making.  
577 They offer some alternative to intractable, uneven, power conflicts, operating under  
578 superficial urban growth engine positions, in which poorer residents are invariably either

579 forcibly displaced, unable to transition financially, or exposed to environmental hazards  
580 (Cain, 2014; Carr, 2019; Janssen-Jansen & Veen, 2017).

581 Current megaprojects, which are viewed as successful from a financial and economic  
582 perspective, are used as models for future developments and this is clear in the  
583 macroplanning stage when a range of international examples and planning and finance  
584 approaches are identified for the future development. The narrow visioning of projects by  
585 states in developing regions such as Southeast Asia, is at once the result of a lack of critical  
586 research, and the result of a lack of available information on megaprojects. Although there is  
587 a wealth of information on master-planned enclaves, there is little information on alternative  
588 modes of investment available. Global neoliberal funding bodies such as the Asian  
589 Development Bank and World Bank have an obligation to go beyond positive, high-level  
590 reports to present systematic evidence of the benefit of varying models of development and,  
591 more fundamentally, foreign direct investment. Foreign direct investment brings wealth to  
592 developing Southeast Asian cities, but this wealth is concentrated and not evenly distributed  
593 (Huang and Dennis 2016). Inclusive models of wealth creation and urban service distribution  
594 can be envisioned at the macroplanning stage (Hawken, 2017). The choice of financial  
595 instruments is critical to the success of the project in integrating surrounding areas and  
596 generating inclusive dividends. Land value capture and development charges (Merk et al 2012)  
597 are two such instruments that can help finance infrastructure and ensure public investments  
598 and private wealth generation are equitable. It is in the macroplanning stage that alternative  
599 financial development models can be identified, and the legislative frameworks instituted for  
600 their implementation.

601 Following the macroplanning stage and project vision development urban megaprojects enter  
602 the licensing stage when critical permissions are granted from local authorities. There may  
603 also be accreditation and licensing from international finance organisations such as the Asian



604 Development Bank. This stage is complex and despite, or perhaps because of the  
605 establishment of standalone public-private partnerships, there is frequently an opaque  
606 licensing process. Furthermore, the licensing process often bypasses local communities. This  
607 has consequences for the psychological health of local communities. All three case studies  
608 reviewed in this paper have involved public-private partnerships and created uncertainty for  
609 local communities regarding their households, livelihoods and broader environment. Some,  
610 such as the Boeung Kak development, have transgressed state and international law during  
611 the licensing and approval stage. Licensing of all three projects involved conversion of public  
612 land to private land and the appropriation of small landholders. Water and sanitation are two  
613 rights linked to a broader set of human rights (such as land title and access to housing) and  
614 what can be called “the environmental commons”. The establishment of megaprojects is  
615 often reliant on bypassing such rights. Therefore, the appraisal and consideration of human  
616 rights to water and sanitation must be a precondition for granting license or approval (Heller,  
617 2019b).

618 Regional and global databases on urban megaprojects operating beyond the levels of the  
619 investor and the state can be developed to engage with a broader range of human rights and  
620 environmentally focused stakeholders (Temper et al. 2018a). The burgeoning open data  
621 movement could support such transnational infrastructure for licensing and approval  
622 (Hawken et al., 2020a, 2020b). Open data can be used to foster greater accountability and to  
623 promote projects to potential investors, assuring them of due process and economic  
624 safeguards and sources of marketing potential. Such access to information facilitates  
625 participation and access to remedy downstream impacts should problems occur.

626 Following the licensing stage, the planning and designing stage is critical for the ongoing  
627 sustainability of urban megaprojects. A growing range of planning and design approaches  
628 exist, but these tend to approach urban development from singular perspectives (e.g. human

629 rights, environmental, or engineering and risk perspectives; Hoekstra, Buurman, & van  
630 Ginkel, 2018). The conceptual and applied knowledge links between these sources of  
631 knowledge need to be strengthened. A range of approaches such as sponge cities, water-  
632 sensitive cities and low-impact development hold promise for the planning and design of  
633 semi-aquatic and floodable environments. Within Asia planning concepts such as “Sponge  
634 Cities” integrate the natural water cycle into urban developments through constructed  
635 ecologies, green infrastructure and porous development materials and elements (Jiang,  
636 Zevenbergen, & Ma, 2018; Palazzo, 2019; Radhakrishnan, Pathirana, Ashley, Gersonius, &  
637 Zevenbergen, 2018). This primarily decentralised engineering approaches needs to be better  
638 integrated with social concerns and could be expanded in scope and ambition so that they are  
639 able to better deliver at the scale of megaprojects.

640 The national scale 2.2 billion Euro ‘Room for the River’ program in the Netherlands was  
641 established to protect urban areas from floods, now and into the future, by restoring  
642 floodplains. However, in parallel, it seeks to achieve other benefits such as increased  
643 ecological and aesthetic values as well as improving urban access, development and  
644 recreational opportunities. The program was delivered in partnership with local, regional, and  
645 national government agencies along with the private sector and local community involvement.  
646 There is a long history of organisational partnerships and water engineering in the  
647 Netherlands for this program to lean on. Such a programs allowed testing and innovation with  
648 a mixed centralized–decentralized governance approach to achieve wide ranging  
649 environmental, social and economic benefits. Now these lessons are being applied to  
650 subsequent programs (Rijke, Herk, Zevenbergen, & Ashley, 2012).

651 The “Room for the River” water infrastructure megaproject achieves the stated goals of the  
652 case studies of raising international standing, improving quality of life and attracting  
653 investment. Further the “Room for the River” project is linked to, or supports, the evolution

654 of multiple large-scale urban developments. The program seeks to protect, adapt and shape  
655 urban areas so they can confidently grow. It has received numerous international accolades  
656 and contributed to the country's skills exports. This example complements the development  
657 of more integrated, cooperative and stable governance settings (Bruijn, Bruijne, &  
658 Heuvelhof, 2015) and thus a safer and more attractive investment environment. If viewed  
659 strategically from a governance perspective, megaprojects can provide the opportunity for  
660 testing and implementing more inclusive, multi-level approaches to dealing with  
661 environmental challenges and urban development. Equally, in the case an urban megaproject  
662 in Hong Kong, Kumaraswamy, Wong, & Chung (2017) find a similar need to expand the  
663 strategic scope beyond immediate project sponsors. By identifying and incorporating multi-  
664 stakeholder value within short-term and long-term aspirations, megaprojects can achieve  
665 more meaningful and sustainable outcomes.

666 Prior the commencement of megaproject construction, sites are typically cleared of existing  
667 communities. Urban geographer and chronicler of urban megaprojects in Ho Chi Minh City,  
668 Erik Harms, has said of Phu My Hung and development in Ho Chi Minh City that "The basic  
669 story is that people don't want to be evicted from their homes" (Tatarski, 2017). Staging of  
670 projects needs to occur so that alternative housing is provided to minimise disruption to  
671 livelihoods and communities. These processes can be modelled on development practices in  
672 large housing estates in places such as Berlin, where residents are progressively transitioned  
673 to new housing on-site (van and Karien, 2005). Occasionally, megaproject tactics are  
674 designed to maximise disruption and construction may be brought forward prior to licensing  
675 and approval. This is the case of Beoung Kak, the most violent of the three cases analysed,  
676 but this also applies to Phu My Hung, where construction remains a violent expropriation of  
677 space with established urban systems catering to a narrow economic segment of society. A  
678 more inclusive and people-centred approach to construction must invert the linear, end-of-

679 pipeline development sequence of such projects (Sanderson, 2019, p. 150) where housing and  
680 compensation for local populations are considered after project design. Rather than starting  
681 with a final, master-planned vision, a preferred approach is to incrementally link the  
682 development with the financial means of local populations and wider sustainability goals,  
683 human rights and ecological resilience (Hawken 2017). A remaking of how the public  
684 engages with these projects is needed (Chilvers and Kearnes 2015b). The benefits and  
685 potential for including secondary stakeholders or indirect stakeholders such as community  
686 groups, NGOs, professional bodies, trade associations and media has been documented by  
687 Maddalonie et al. (2017) and Kuraswamy et al. (2017). Such approaches need to be clearly  
688 advocated for, and further documented. The Environmental Justice Atlas (2020) has  
689 documented 3251 global socio-environmental conflicts between large, often ‘mega’ projects  
690 and communities. As Temper et al. (2018a, 2018b) suggests, the socio-ecological cost of such  
691 projects is staggering.

692 As observed in the three case studies presented in this paper, typical megaproject  
693 shortcomings such as a lack of measurable targets, lack of accountability mechanisms and  
694 lack of resources allow the original development agenda to change and diverge from intended  
695 project outcomes, thereby affecting quality and safety. Megaprojects are well known to be  
696 “over budget, over time, under benefits, over and over again” (Flyvbjerg 2011, p.1, 2017) but  
697 more significantly guidelines regarding public benefits are often absent or vague at the  
698 project commencement. If clear targets relating to water quality, environmental performance  
699 and ecosystem balance are not established, they cannot be measured effectively and are  
700 therefore unachievable (Barnett and Parnell 2015). Issues with water access and quality may  
701 become apparent at the short-term operation stage of the megaproject cycle and perhaps as  
702 early as the construction stage. Pollution of drinking water, dysfunctional sanitation systems  
703 and upstream or downstream flooding or water shortage may become apparent such as in Phu

704 My Hung and Boeung Kak. Access to remedy becomes even more important at this stage as  
705 the human and ecological health impacts of a megaproject development are realised.

706 Moving from the short-term operation to long term operation reveals ongoing structural  
707 changes within urban areas beyond urban megaproject boundaries. Megaprojects, as opposed  
708 to smaller and more conventional projects, are designed to change the structure of society  
709 (Hirschman et al, 1995). The first and potentially most severe long-term impact of  
710 megaprojects is for them to serve as models for further projects. Harms (2016) suggests this  
711 was the case for Phu My Hung, which was ostensibly the model for Thu Thiem, which  
712 resulted in 14,600 households being evicted from their homes. Ongoing monitoring of  
713 projects and the long-term accessibility and affordability of urban megaprojects and  
714 associated ecosystem services are critical for the human rights success of such projects.

715 Access to remedy must be available for locals transitioning to other areas or to new lives  
716 within megaprojects (Heller, 2019b).

717 Finally, dynamic challenges such as climate change make the future impacts of megaprojects  
718 difficult to model and predict. Recent modelling of the Mekong River Delta shows that  
719 flooding will become more extensive with larger areas of the city inundated (Kulp & Strauss,  
720 2018, 2019; Lu & Flavelle, 2019). Megaprojects may remain as bastions of privilege in the  
721 compromised mother city or they may be exposed to increased risks and disasters. If this  
722 eventuates, developers of megaprojects have a responsibility for the safe and just  
723 decommissioning of projects (Cook & Bakker, 2012; Romero-Lankao & Gnatz, 2016; Storch  
724 & Downes, 2011).

## 725                    **6. Conclusion**

726    Urban megaprojects are often publicly positioned as economic benefactors for cities with  
727    governments and developers framing them as delivering wealth and new technologies to  
728    urban regions (Harms, 2016; Roy & Ong, 2011). The reality of these projects is often quite  
729    different, with large-scale development approaches destabilising local populations rather than  
730    addressing their needs (Padawangi, 2019). The costs and benefits of such projects are  
731    difficult to ascertain according to leading experts such as Flyvbjerg (2007), who states that  
732    the cloud of misinformation generated can mask the true value of such projects. Megaprojects  
733    require vast amounts of land and resources (e.g. water) and often involve insufficient  
734    environmental assessments (Altshuler & Luberoff, 2004; Flyvbjerg, 2014). Megaprojects  
735    often limit or interrupt supply and access to such resources for vulnerable people, even as  
736    they produce new economic opportunities for some in a pattern of splintering urbanism.

737    Urban megaprojects are on the increase and remain an attractive option for developers,  
738    investors and an emerging middle class within Southeast Asia and globally. Considering the  
739    prominence of this development model, it is unacceptable that there is so little information or  
740    recourse when these projects do not deliver on their promises. This paper has mapped the  
741    patterns and distinctive features of water related urban megaprojects in Southeast Asia with  
742    the aim of improving future projects through each stage of the development cycle, with a  
743    particular focus on human rights and water justice. The theoretical approaches and practical  
744    insights set out in this study demonstrate a range of methods for improving the delivery of  
745    such projects and managing long-term impacts within a social-ecological context.

746    We offer five points derived from the discussion above, as useful takeaways for those  
747    concerned with policymaking on water justice and megaprojects. Firstly, those involved need  
748    to be aware of their responsibilities and accountability at each stage of the urban megaproject

749 development process as clearly defined by Heller (2019a) and elaborated in this paper. This  
750 includes educating other stakeholders on the various water justice risks involved at each stage  
751 of the process. Secondly, water needs to be considered in the foreground rather than being  
752 relegated to an invisible background. Frequently the liquid margins and interstices of cities  
753 are seen as sites to fill and annex without mapping their ecological or social values. Most  
754 pertinently this includes identifying the stakeholder communities reliant on water-based  
755 ecosystem services, such as drinking, sanitation, and vulnerable to possible future  
756 megaproject related disservices, such as flooding. Thirdly megaproject reporting and  
757 monitoring needs to clearly establish and link globally relevant urban development  
758 mechanisms and frameworks, such as the SDGs and NUA, making special reference to the  
759 water focused targets and aims embedded within the mechanisms. Fourth, policymakers and  
760 stakeholders need to consider and identify the relevant authorities for recourse should the  
761 project not go ahead as planned. These may include regional or civic authorities that can exert  
762 soft diplomatic pressure. Finally, the dangers and dynamic nature of megaproject  
763 development in semi-aquatic environments makes consideration of the risk of future failure a  
764 necessity. With sea-level rise, urban subsidence and climate related disasters intensifying in  
765 coastal and low-lying areas around the world, strategies for adaptation, resilience and  
766 modification are vital considerations.

767 We do not see these recommendations as a panacea to the many social and environmental  
768 problems that urban megaprojects present. Rather we view them as a starting point whereby  
769 questions of, and discussions around, environmental justice and water justice can become  
770 integral considerations that shape urban megaproject praxis. In their current form, urban  
771 megaprojects are by and large, major drivers of social-economic segregation and ecological  
772 destruction. There is ultimately a need to re-orientate their implementation logic to catalyse  
773 city improvements for *all* urban residents. Here it is worth referencing the “paradigm shift”

774 advocated by the United Nations (2017, p.5 ) New Urban Agenda, which argues for “just,  
775 safe, healthy, accessible, affordable, resilient and sustainable cities and human settlements to  
776 foster prosperity and quality of life for all.”

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