

Original Article

## OPTIMIZATION AND CHARACTERIZATION OF RIVASTIGMINE-LOADED NANOSTRUCTURED LIPID CARRIERS

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### ABSTRACT

**Objective:** The objective of the present study was to develop Nanostructured lipid carriers (NLCs) for improvement in the oral bioavailability of RT.

**Methods:** RT-loaded NLCs were prepared by high shear homogenization technique using fish oil and flaxseed oil respectively. The prepared RT-NLCs were characterized using a phase-contrast microscope, scanning electron microscope (SEM), atomic force microscope (AFM), Fourier transform-infrared spectroscopy (FTIR) and differential scanning calorimetry (DSC). Further, particle size, entrapment efficiency and sustained release of the drug were also studied.

**Results:** SEM results revealed that the RT-NLCs were spherical in shape with a smooth surface. AFM results confirmed the formation of spherical particle dispersions by the NLCs in nanoscale. FTIR spectroscopy and DSC analyses revealed that there is no chemical interaction between the ingredients of RT-NLCs. The particle size of the RT-NLCs was found to be exponentially decreased with the increase in a surfactant solution.

**Conclusion:** The results confirmed pronounced improvement in entrapment efficiency of optimized formulation of RT-NLCs. *In vitro*, drug release studies showed that RT-NLCs were capable of releasing the drug in a sustained manner. The experimental results showed that the NLCs are potential carriers for providing sustained delivery of rivastigmine.

**Keywords:** NLCs, Rivastigmine, SEM, AFM, DSC, FTIR

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### INTRODUCTION

Alzheimer's disease (AD) is a progressive disorder that causes brain cells to degenerate leading to dementia, cognitive and personality disabilities. Hence, it is considered as a consequential obstruction not only for patients but also for their relatives and the whole society [1]. There are diverse etiological factors for AD such as genetics, environmental factors and general lifestyles [2]. It is specified pathologically with the aggregation of amyloid and tau protein in the brain regions [3]. In the development of therapeutics for CNS diseases, one of the greatest challenges is the formulation of a drug with adequate penetration through the blood-brain barrier (BBB) [4]. As most of the drugs fail to cross the BBB, targeting drugs to the brain is an important issue for pharmaceutical research. Drug development for AD is not much noticeable so far as 98% of small-molecule drugs and 100% of all large molecule drugs are unable to cross the BBB [5].

Rivastigmine (RT), a novel acetylcholinesterase inhibitor, is used for the treatment of AD [6]. But to overcome the short half-life (1.5 h) of RT [7], a sustained-release formulation with reduced side effects and simplified dosage regimens are suggested to improve treatment adherence and compliance [8]. Many formulations were prepared for the sustained release of RT such as encapsulation in liposomes [9], emulsions and nanoparticles [10-12]. Nanoparticles are identified as one of the most favourable and versatile drug delivery systems to provide therapeutic agents while efficiently delivering them into the damaged areas of the brain [13]. Nanostructured Lipid carriers are the second-generation lipid carriers developed to overcome problems associated with solid lipid nanoparticles and are utilized in various therapeutic approaches. NLCs are considered as a standard drug carrier system due to their characteristic ability to cross the BBB [14]. When compared to other polymeric materials lipid molecules are more beneficial due to their physiological and biodegradable nature, which will reduce the adverse effects and

persistent toxicity. NLC matrix consists of a mixture of different lipid molecules that leads to deformity in the matrix to accommodate more drug molecules [15]. NLCs have several advantages such as increased solubility, permeability, bioavailability and also the capacity to improve storage stability, high loading capacity, prevention of the drug expulsion during storage, reduced adverse effects and tissue-targeted drug delivery over conventional carriers [16, 17]. New advances in the field of Alzheimer's disease research is drug delivery to the brain through NLCs. The novelty of the work lies in the successful preparation of NLCs with fish oil and flaxseed oil and loading of RT into the NLCs and their characterization studies.

### MATERIALS AND METHODS

#### Materials

Rivastigmine tartrate (RT) is commercially available as Rivamer. Fish oil and flaxseed oil was obtained from the local market, Tirupati, Andhra Pradesh, Tween 80 and other chemicals were obtained from Hi media and Merck chemical companies.

#### Preparation of RT loaded NLCs

In the present study, RT-NLCs were prepared using variable lipid (10%, 20%, 30%) and RT (0.5, 1.5 mg) ratios by melting emulsification coupled with high shear homogenization technique. The procedure was carried out in 9 different batches with variable drug/lipid ratios as shown in Table.1. RT-NLCs-1,2,3 contains 0.5 mg of RT, RT-NLCs-4,5,6 contains 1.0 mg of RT, RT-NLCs-7,8,9 contains 1.5 mg of RT with variable lipid concentrations of 10%,20%,30% to identify the suitable lipid ratios for better entrapment efficiency of the drug and also for sustained release of the RT present in the formulations. Briefly, in a melted lipid phase composed of (fish and flaxseed oil) an appropriate amount of RT (table 1) was added. After adding RT, the lipid mixture was kept for 5 min and then mixed with 1% hot tween80 aqueous surfactant. The hot emulsion resulted by

mixing lipid and aqueous phases at 85 °C and it was subjected to external mechanical energy by high shear homogenization at 25,000 rpm for a period of 10 min (using an Ultra Turrax® T18 Basic (IKA®, Germany)). The resultant pre-emulsion was allowed to cool down at room temperature, with the formation of aqueous dispersions of RT-loaded NLCs. The composition of each RT-NLCs and some physicochemical characteristics are presented in table 1. Finally, the dispersions of RT-NLCs were lyophilized (-55 °C, 72 h), by using a ScanVac-COOLSAFE-Freezer Dryer System equipment (Braunschweig, Germany) [17], in order to remove the excess of water and to obtain a powder of RT-NLCs.

RT-NLCs formulations have been prepared by using fish and flaxseed oil (10%, 20%, 30%) and variable RT concentration (0.5, 1.0, 1.5 mg) as shown in table 1, with surfactant Tween 80.

#### Characterization of RT-NLCs

##### Morphological and physicochemical characterization studies

##### Microscopic view of RT-NLCs

A thin layer of the diluted formulations of RT-NLCs was observed by phase-contrast microscope (Olympus No. CX41RF) [18] and photomicrographs were taken using a digital camera.

##### Scanning electron microscopy (SEM)

The morphological characteristics of RT-NLCs were investigated using a scanning electron microscope (JEOL JSM-6700F) [19]. Thin films of the sample were prepared on a carbon-coated copper grid by just dropping a small amount of the sample on the grid. The extra solution was removed using a blotting paper and then the films on the SEM grid were allowed to dry.

##### Atomic force microscopy (AFM)

The morphology of the RT-NLCs was analyzed by an atomic force microscope (AFM-SolverNext, NT-MDT, Russia) [20]. A drop of RT-NLCs suspension was placed on a thin aluminum sheet and dried at room temperature, prior to analysis of the sample in AFM.

##### Fourier transform infrared (FTIR) spectroscopy

The FTIR spectra of the RT-NLCs samples were recorded on the JASCO FT-IR5300 model spectrophotometer (Japan) [21] in the spectral range between 4000 and 400 cm<sup>-1</sup> to identify functional groups in the RT-NLCs formulations.

##### Differential scanning calorimetry (DSC)

DSC is a useful tool to monitor the thermal behavior of materials and used to derive qualitative information about the physicochemical status of the drug in NLCs [22]. Each sample was sealed in an aluminum disc and purged with air at a flow rate of 40 ml/min and maintained the temperature at 50 °C-200 °C using Mettler STAR SW-

12 equipment. The DSC spectrum of the pure rivastigmine was compared with a mixture of the RT-NLCs.

##### Measurement of zeta potential

The particle size of RT-NLCs was measured by a particle size analyzer (Horiba scientific nanoparticle SZ100 Z). For the measurement, 100 µL of the formulation was diluted with an appropriate volume of phosphate-buffered saline (PBS) at pH 7.4 and the diameter was determined. Zeta potential of the RT-NLCs was determined by using Malvern Zetasizer Nano (Malvern Instruments Ltd., UK) [23]. 1 ml of the sample was diluted to 10 ml with distilled water, 5 ml of this diluted sample was transferred to a cuvette and the zeta potential was measured. The measurement of zeta potential was based on the direction and velocity of particles under the influence of the known electric field.

##### Drug entrapment efficiency

The entrapment efficiency is also known as association efficiency. The RT-loaded NLCs are centrifuged at a high speed of 3500-4000 rpm for 30 min and the supernatant is assayed for non-bound drug concentration by UV-spectrophotometer. Entrapment efficiency was calculated by the following equation [24, 25].

$$\% \text{ Entrapment Efficiency} = \frac{RT_{\text{Total}} - RT_{\text{Supernatant}}}{RT_{\text{Total}}} \times 100$$

Where RT total is the weight of the total incorporated drug and RT supernatant is the free drug analyzed in the supernatant layer.

Loading capacity of the drug was calculated as follows [24, 26].

$$\% \text{ Drug loading} = \frac{\text{The amount of entrapped drug in NLCs}}{\text{The total amount of drug}} \times 100$$

## RESULTS AND DISCUSSION

### Preparation of the RT loaded NLCs

In the present study, RT-NLCs were prepared using variable lipid (10%, 20%, 30%), rivastigmine (0.5 mg, 1.0 mg, 1.5 mg) ratios by using melting emulsification coupled with high shear homogenization technique. 1% Tween 80 was used as a surfactant which yields better stabilization of the dispersion system. The formation of RT-loaded NLCs was primarily confirmed by phase-contrast microscope (fig. 1), RT showed high affinity towards the organic phase because it was dissolved in the organic solvent, acetone. The different concentrations of RT were added drop-wise to the variable concentrations of the NLCs will enhance the drug entrapment efficiency which may lead to the uptake of RT by the brain microvessel endothelial cells.

The liquid dispersions were lyophilized at -55 °C for 72 h resulting in the powder forms of RT loaded NLCs. This powder was preserved at -4 °C and used for further characterizations.

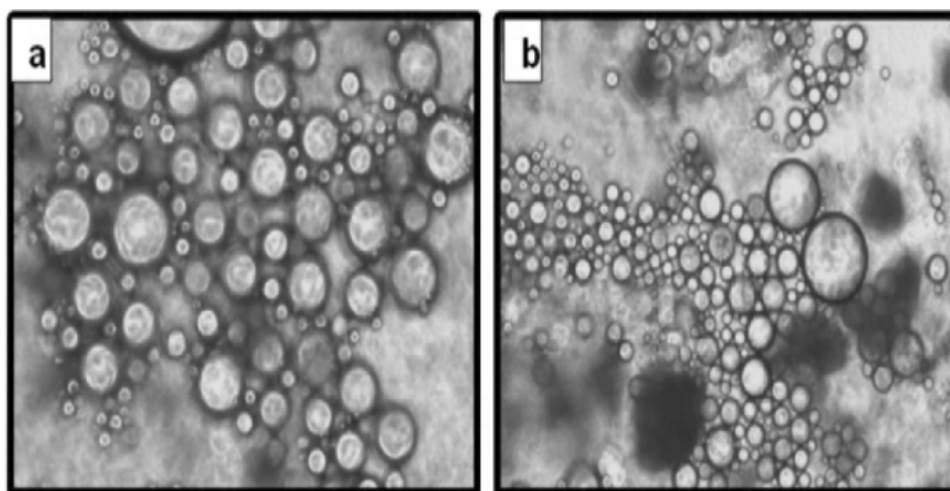


Fig. 1: Surface morphology study using phase contrast microscope in (a) NLCs1 and (b) NLCs9

### Scanning electron microscopy studies of NLCs

SEM study showed the discrete RT-NLCs with a rod, spherical shape particles (fig. 2). The spherical shape of RT-NLCs has been

reported in previous findings [27]. The size distribution of RT-NLCs indicated that they were more or less spherical in shape with an average diameter of  $2\pm 10$  nm, distributed in the range of 2–8 nm.

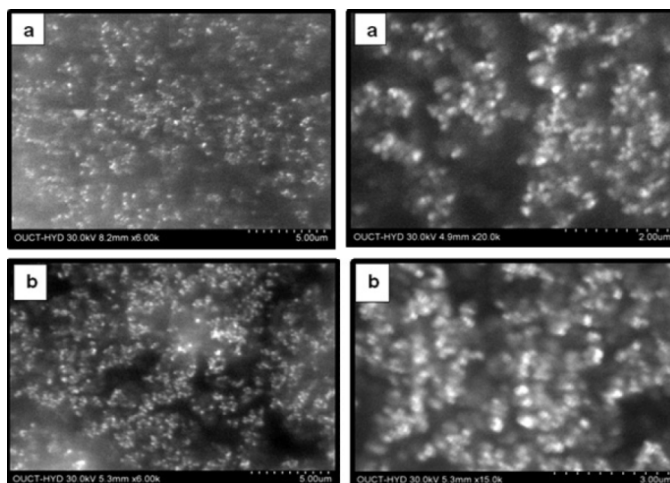


Fig. 2: Particle size distribution study using SEM in (a) NLCs1 and (b) NLCs9

### Atomic force microscopy (AFM)

The morphology of the NLCs was analyzed by AFM as shown in fig. 3. The images of formulations RT-NLCs1 and RT-NLCs9 show particles with spherical morphology (fig. 3). In the photomicrographs, the particle diameters were approximately minimum-5.868 and maximum 10.27 nm.

### Fourier transform infrared (FTIR) spectroscopic analysis of NLCs

Fourier transform infrared spectroscopic analysis confirmed the chemical interaction between RT and NLCs. The FTIR spectra of RT-NLCs at room temperature is shown in (fig. 4), the characteristic peaks of functional groups were observed at 2928, 1651, 1430, 1259, 1021  $\text{cm}^{-1}$  due to C-H stretch, C-C stretch, C-N stretch which indicates the presence of alkanes, aromatics, and aliphatic amines; there was a sharp peak at 1744.5  $\text{cm}^{-1}$  indicates the presence of esters, peak at 3379  $\text{cm}^{-1}$  was due to O-H stretching, indicates the presence of alcohols and phenols.

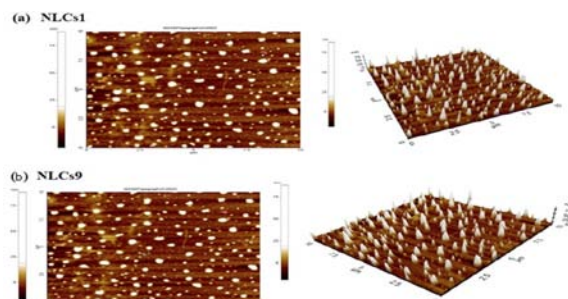


Fig. 3: Atomic force microscopic images of NLCs1 and NLCs9 2D and 3D view

### Differential scanning calorimetry of RT-NLCs

The differential scanning calorimetry was performed to investigate the melting behavior and crystalline structure of the lipid molecules and drugs in the nanoparticle preparations [28]. The allure of DSC curves reveals the endothermic peak of reference RT at 146.8 °C, NLCs showed the endothermic peaks at 110.5 °C (specific for fish

oil), and another endothermic peak at 160.24 °C (specific for flaxseed oil). The endothermic peak of RT was completely absent at 146.8 °C of RT-loaded NLCs (fig. 5), which indicates the complete solubilization or conversion of RT from crystalline to amorphous form in the matrix of NLCs. Graph C showed a peak at 153.94 °C, this indicates RT was not in the crystalline state in the formulation. This is in consonance with the previous reports [29]. The shifting of the endothermic peak indicates the interaction of the RT with the NLCs. The obtained results suggested that the RT-NLCs consisted of a homogeneous amorphous drug-polymer matrix (fig. 5).

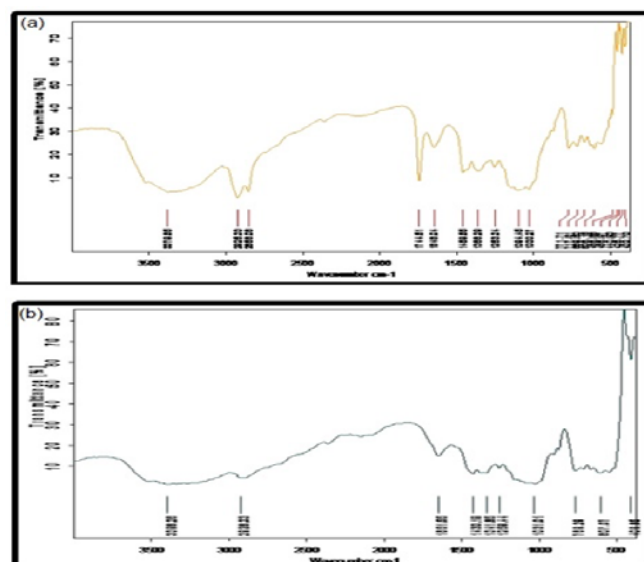


Fig. 4: FTIR spectra of (a) RT loaded NLCs and (b) RT

### Drug entrapment efficiency

The determination of the entrapment efficiency (EE%) is an essential stage in order to predict the suitability of NLCs based on omega-3 fatty acids, as efficient reservoirs for the RT. The entrapment of RT inside the lipid core was strongly associated with the RT and the flaxseed oil, fish oil concentrations. The EE% was

improved as the fish oil content has been increased to a maximum value of 30% (e. g. from 82% for RT-NLCs-1 prepared with 10% fish oil to 94.6% for RT-NLCs-9 prepared with 30% fish oil) (fig. 6). These results could be justified by the high solubility of RT into the NLCs which contain the liquid fish oil reservoir. In other words, a

less ordered arrangement of the NLCs is accompanied by high entrapment efficiency. The EE of RT-NLCs-3,6,9 of 30% fish oil showed more RT entrapment than RT-NLCs of 1,4,7 prepared by 10% fish oil. Such type of EE in NLCs was reported in earlier studies.

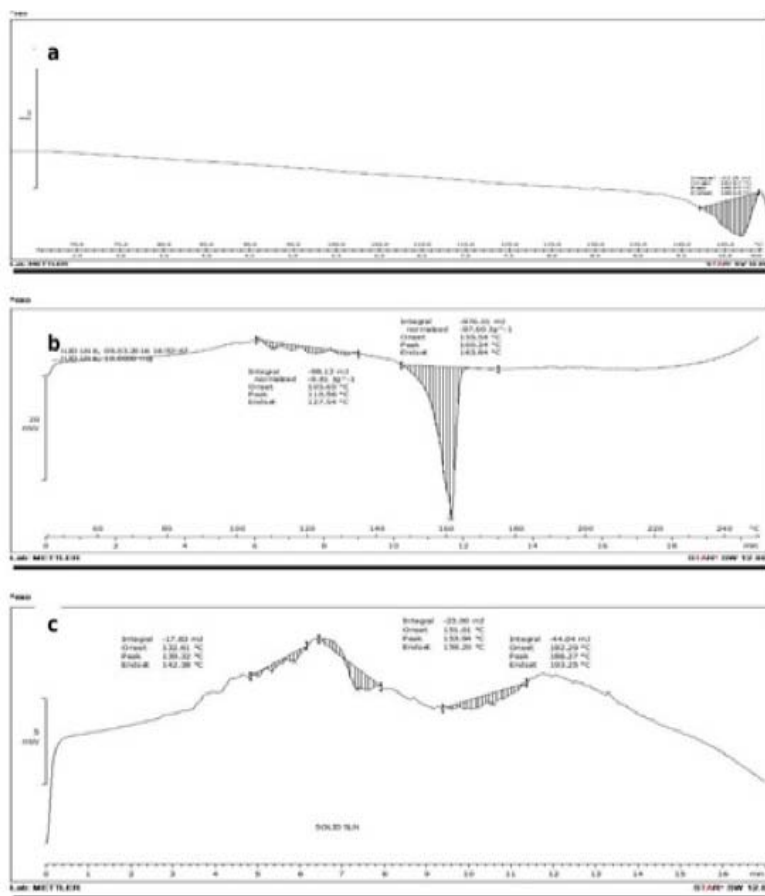


Fig. 5: DSC thermograms exhibiting the peak transition onset temperature of (a) RT (b) RT-NLCs1 (c) RT-NLCs9

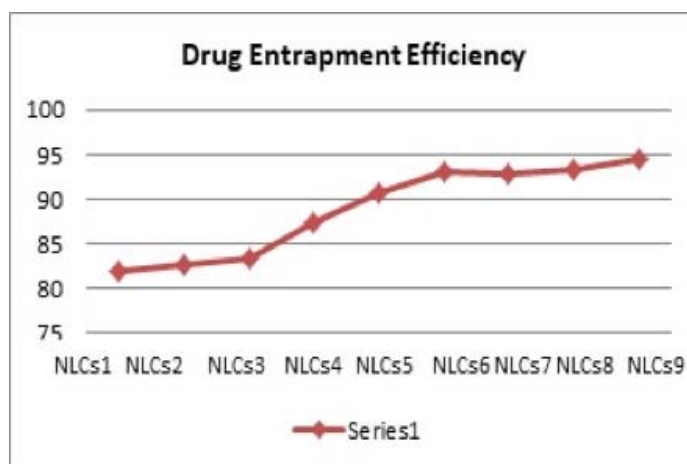


Fig. 6: Drug entrapment efficiency of RT-NLCs

**Particle size and zeta potential**

The particle size of RT-NLCs (1 and 9) showed a considerably smaller size with an average diameter of 1 to 100 nm of RT-NLCs (fig. 7). From the results, it was found that the zeta potential values

of the RT-NLCs1 was -21.1 mV and NLCs9 was -16.4 mV (fig. 7) which imparts good stability of the RT-NLCs dispersion. Zeta potential is a significant tool in predicting the stability of NLCs during storage. Zeta potential values of more than +30mV or less than -30mV are considered enough for the stabilization of NLCs [30, 31].

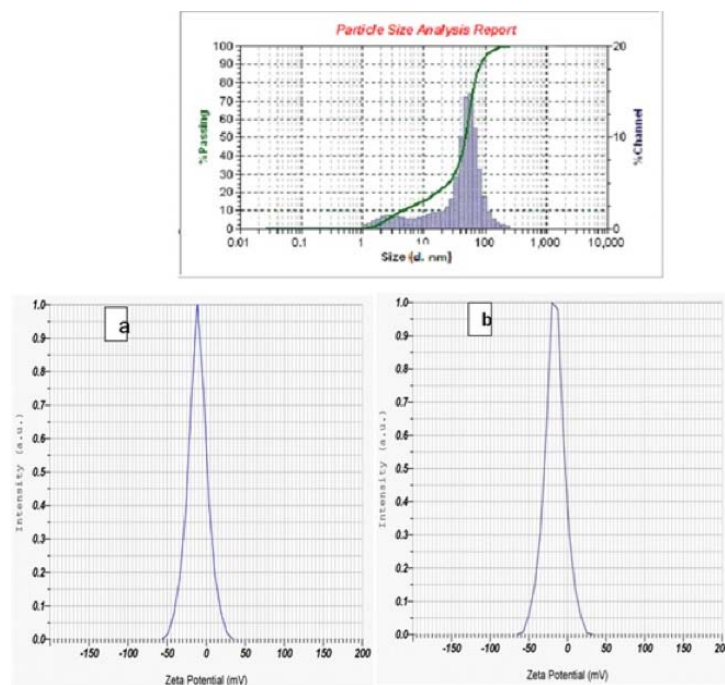


Fig. 7: Particle size analysis (upper image) and zeta potential of (a) NLCs1 (b) NLCs9

The first generation lipid nanoparticles, solid lipid nanoparticles, had limited drug loading capacity and drug explosion during storage and these led to the emergence of second-generation nanoparticles, nanostructured lipid carriers (NLCs) [32]. NLCs have several advantages over nanoemulsions, liposomes, etc., as they show high drug loading capacity, prevents drug explosion during storage, more flexibility, and possible usage for oral delivery [32]. In this context, atorvastatin loaded NLCs [32], amphotericin B [33], triamcinolone acetonide [34], nisoldipine [35], carbamazepine [36], agomelatine [37], etc., have been developed and showed successful drug delivery. Considering these, in the present study, we developed rivastigmine loaded novel NLCs using appropriate concentrations of fish and flaxseed oil by melting emulsification coupled with high shear homogenization technique for better and prolonged drug delivery. The formation of NLCs was confirmed by phase-contrast microscopy. Particle size, SEM and TEM study confirms nano-sized discrete rod, spherical particles with smooth surface areas. FTIR spectroscopic analysis revealed the presence of functional groups in RT-NLCs. The amorphous nature of the RT-NLCs was found by DSC. Particle size analysis and zeta potential showed the average size of the RT-NLCs was 1 to 100 nm and -16.4 mV which indicates the stability of RT-NLCs. All our results indicate the possible and potent use of NLCs for drug delivery, especially for challenging targets such as neurons and could be used to treat AD.

#### CONCLUSION

All the experimental evidence indicated the possible usage of rivastigmine loaded nanostructured lipid carriers (RT-NLCs) for targeted and controlled/sustained release of drug to the brain, which would offer several advantages including an increase in drug bioavailability, drug retention capacity at the target site, improving the adherence capacity to the designated target and sustaining drug release depots.

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Nil

#### AUTHORS CONTRIBUTIONS

All the authors have contributed equally.

#### CONFLICTS OF INTERESTS

Declared none

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