

1 Acceptability of geothermal installations: a 2 geoethical concept for GeoLaB

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23 Highlights

- 24 - GeoLaB is conceived as the first geothermal reservoir simulator dedicated to reservoir
25 technology and borehole safety
- 26 - Transparency of research guarantees quality control of the GeoLaB projects, and is an
27 important means of exchange with stakeholders
- 28 - GeoLaB forms a platform for education and capacity building, science communication,
29 participation and dialog with stakeholders from industry, politics, administration and
30 society.
- 31 - GeoLaB allows for pioneering research, associating fundamental to applied scientific
32 challenges, bridging laboratory to field scale experiments and connecting renewable
33 energy research to social perception.

34 Keywords

35 GeoLaB; geoethics; EGS; geothermal; URL; acceptability

36 Abstract

37 The growing demand for energy, natural resources and urban expansion during the last two
38 centuries increased human interference with the geosphere far beyond geothermal usage. The
39 increasing number of large-scale projects intervening the area of life of communities raised
40 public concerns related to their environmental and social impact. Integration of public concerns
41 into such projects should therefore go beyond outreach and communication measures. It
42 requires an open approach to inclusive governance structures with respect to designing
43 research and development processes and to modify technological options. Geoethical
44 concepts emphasize that geoscientific knowledge may assist society in decision making as
45 well as in dealing with risks, user conflicts and environmental threats on local, regional and
46 global scale in order to support more sustainable practices at the intersection of human beings
47 and the geosphere.

48 In the present article, we analyse the social response to recent geothermal development and
49 identify the precondition for public acceptability of geothermal projects. On this basis, the
50 potential contribution of a GeoLaB, a Geothermal Laboratory in the crystalline Basement, to a
51 geoethic approach in geothermal research and technology development is discussed. The
52 underground research laboratory is planned as an infrastructure to answer scientific
53 challenges and to offer the necessary transparency to interact with the public. The GeoLaB
54 approach aims on transparent, tangible science and can serve to enhance mutual
55 understanding of stakeholder groups. It may increase public awareness on geothermal
56 research and potentially enhance the opportunity for public approval of planned activities. As
57 a generic site, GeoLaB can develop scientific-technological solutions for a responsible
58 exploitation of geothermal energy accompanied by sociological studies. The underground
59 research laboratory will serve as a platform for science communication, participation and dialog
60 of stakeholders from industry, politics, administration and society. This complies with the
61 comprehension of responsible research in a geoethical sense.

62 1 Introduction

63 Geothermal energy in high-enthalpy regions has been used by societies for centuries,
64 especially where geothermal manifestations occur at the surface (Cataldi et al. 1999;
65 Fridleifsson 2001). With ongoing industrial development, this energy source was considered
66 for use at a commercial scale for electricity generation (Garnish 1976; Grant, Bixley 2011;
67 DiPippo 2012). Since the 1970s, new technologies allowed for tapping geothermal energy
68 even in low-enthalpy regions down to few thousand meters of depth. At that time, first steps
69 towards reservoir generation using hydraulic fracturing were undertaken in the so-called Hot
70 Dry Rock projects (e.g. Brown 2009). The concept of Enhanced Geothermal Systems (EGS)
71 represents an advancement of Hot Dry Rock and focuses on enhancing (or engineering)
72 existing permeable structures in the crystalline basement. EGS was originally introduced at
73 the reference project of Soultz-sous-Forêts, France (Genter et al. 2010). Although still under
74 development, EGS is now considered as a major pillar for the worldwide geothermal energy
75 growth (IEA 2011). The roadmap of the International Energy Agency (IEA) (2011) foresees a
76 worldwide geothermal production of 140-160 GW_{el} in 2050 (from today 12 GW_{el}) with a portion
77 of 60% on EGS. Implementation of geothermal power plants involves high risk investment
78 during the development phases dominated by the prospecting risk. It also implies technical
79 challenges during operation, such as the mitigation of corrosion and scaling. This can impinge
80 the long-term monetary gain of a project, and it may affect the public perception of the
81 technology itself. Issues such as perceptible seismicity during reservoir development and
82 operation, radiotoxic scalings and inflow into drinkable groundwater by corrosion represent
83 possible environmental impacts and are therefore of concern to the public.

84 EGS development and related public perception are best and most completely documented at
85 Soultz. Here, the concerns about noise and induced seismicity dominate the negative
86 perception of EGS power plants (Lagache et al. 2013). While noise accompanies many
87 developing and existing technologies, the topic of induced seismicity represents a major and
88 technology specific obstacle for up-scaling geothermal heat and power production. During the
89 operational phase at Soultz, induced seismicity has been reduced to a non-perceptible level
90 after 2011 by reducing the well head pressures at the injection wells (Cuenot, Genter 2015).
91 Since at Soultz pressure is linearly related to flow rate (Schill et al. 2017), the reduction of
92 pressure has a direct impact on the economics of the power plant (e.g. Held et al. 2014). First
93 attempts to mitigate seismicity during EGS reservoir development, originating from the
94 experience in Soultz and involving progressive cyclic hydraulic stimulation, were successfully
95 applied at the follow-up projects at Landau, Insheim and Rittershoffen (e.g. Schindler et al.
96 2010; Baujard et al. 2017). Parallel to this technology, first steps towards understanding of
97 acoustic emissions during hydro-fracturing by cyclic injection were made in meso-scale
98 experiments at the Äspö Hard Rock laboratory (Zang et al. 2017). Despite these first scientific
99 achievements, perceptible seismicity and lately also radioactivity remain major subsurface-
100 related aspects and are perceived as such in the critical public debate on deep geothermal
101 energy (Figure 1). From a social scientific perspective, this may partly relate to the distribution
102 of scientific knowledge in society that itself led to a rising number of knowledge experts and
103 proto-experts (Nowotny 1993). So-called proto-expertise, i.e. scientific and technological
104 knowledge of different kinds and degrees applied in different contexts, is gained among others
105 from being confronted with different projects, institutions, or experts (Chavot, Masseran 2012).
106 Resulting novel configurations of knowledge and knowledge claims need to be addressed.



108 *Figure 1: "No geothermal power plant at Steinweiler"-manifestation of negative impacts related to geothermal*
109 *energy development on the community as seen by the citizen's action group of Steinweiler (Germany, photo: Horst*
110 *Geckeis, 4.12.2016).*

111 Past experience revealed differences in the perception of EGS projects by the different
112 stakeholders, in particular operators and local communities. The analysis of concerns that
113 have been raised in the past should be used to propose suitable technological options with
114 reduced environmental impact. It should also be used to establish a proper dialog that is going
115 beyond outreach and communication measures. Furthermore, research and development
116 efforts should be conducted to adapt technological options.

117 With the intention to develop EGS technology towards an environmentally safe technology, the
118 present paper aims at analysing the social response to recent geothermal development,
119 identifying the precondition for public acceptability of geothermal projects and evaluating the
120 potential contribution of straightforward investigations in underground research laboratories
121 like GeoLaB to a geoethical approach in the discussion on geothermal and EGS technology.

122 2 Geoethics and Underground Research Laboratories

123 Ethics is regarded in this contribution as a moral philosophy that is based on concepts of what
124 is right and wrong. If one accepts that a technical installation is neither per se right nor wrong,
125 then for geothermal applications geoethics can be viewed in terms of acceptable, responsible,
126 preferable, or desirable technologies and their antagonisms. Here, we focus on acceptability
127 on the basis of a concept defined by Renn (2015) and Benighaus et al. (2016) (c.f. chapter
128 3.1): We define technical acceptability for a technology if the risks are a very minor contribution
129 compared to the added value, whereas some risks like those dealing with certain aspects of
130 health, safety, and environment (HSE) have to be eliminated or reduced to a minimum level.
131 We write this paper in the awareness of unknown risks, the knowledge that all work and
132 operation involves risks, and that it is impossible to interact with the subsurface without any
133 hazard.

134 The awareness of risks is an important factor, which controls acceptance to a certain point.
135 Geothermal installations seem to have a rather low environmental impact, as they need e.g.
136 only a relatively small surface installation, and no severe damage is known from deep
137 geothermal installations – compared to other mining activities or power plants. Even if this is
138 rationalized, the perception might be different.

139 In the presented geoethical concept we want to focus on acceptability and acceptance, which
140 is more than a rational balancing of chances (e.g. robustness of our energy system) and risks
141 (e.g. HSE) whereas the surplus has to be significantly higher than the possible damage, as it
142 also includes the necessity of felt confidence and perception, as well as aesthetics.

143 2.1 The concept of geoethics

144 The growing demand for energy, natural resources and urban expansion during the last two
145 centuries increased human interference with the geosphere far beyond geothermal usage.
146 Limited resources, space and the ongoing climate change led to growing consciousness of
147 environmental sustainability with respect to human health and ecological awareness, and the
148 protection from man-made hazards (United Nations 2013). Being experts on issues affecting
149 our planet, geoscientists gain knowledge on systems and processes within the geosphere.
150 Geoethical concepts emphasize that this knowledge may assist society in decision making as
151 well as in dealing with risks, user conflicts and environmental threats on local, regional and

152 global scale in order to support more sustainable practices at the intersection of human beings
153 and the geosphere.

154 Geoethics deals with the ethical, social, and cultural implications of geoscientific research and
155 practice, forming a bridge between the field of geosciences, economy, sociology and
156 philosophy (Moore 1996; Peppoloni, Di Capua 2012; Peppoloni et al. 2015). Thus, geoethics
157 can provide practical solutions and useful techniques to improve the relationships between the
158 project stakeholders (scientific community, decision-makers, industry and business
159 representatives, mass media, and the public, e.g. Peppoloni, Di Capua 2015; Höppner et al.
160 2012). Measures to reach the defined geoethical goals, as suggested by Peppoloni, Di Capua
161 (2015), focus on:

- 162 • *Research and science*: Establishing codes of ethical conduct for geoscientific research
163 as well as a regulatory framework for geoscientists engaged in activities that have
164 an impact on society; guaranteeing access to data and results of public research;
165 guaranteeing quality control of results.
- 166 • *Environmental consciousness*: Setting up guidelines for best practice, and
167 environmentally-friendly and sustainable technologies in different fields; growing
168 attention to the uniqueness of each region and supporting theoretical and practical
169 innovations; attempting to renew the way environmental problems and natural
170 resources are managed.
- 171 • *Communication and knowledge transfer*: Capacity building for scholars and relevant
172 stakeholders and definition of action protocols for the proper management of the
173 relationship between geoscientists and decision-makers to guarantee a constant and
174 authoritative mutual support. A central aspect is the engagement of all relevant
175 stakeholders from the first steps of the research and innovation process to stimulate
176 an active approach to scientific learning, and to enable possible direct involvement
177 in activities of social interest.
- 178 • *Education*: The development of innovative and diversified education tools to
179 introduce geoethics to the various groups of relevant actors. Educational campaigns
180 should teach an appropriate behaviour in the management of energy and water, and
181 in the area of protection from natural hazards to include the principles of ethics and
182 research integrity in the management and implementation of national and
183 international research projects that have large environmental and social impact.

184 Infrastructures such as geoscientific research laboratories have the potential to implement the
185 four abovementioned geoethical measures into technological development. They might
186 provide arenas to discuss and design scientific-technical options to minimize environmental
187 harm, enhance quality assurance and long-term safety as well as to embrace societal
188 perspectives on and experience with geothermal energy. Thus, geoscientific *in situ*
189 laboratories can serve to enhance mutual understanding, may increase public awareness on
190 geothermal research and potentially also enhance the opportunity for public approval of the
191 planned activities.

192 2.2 [Geothermal Laboratory in the Crystalline Basement \(GeoLaB\)](#)

193 In well-established energy technology sectors, safety is monitored by independent
194 organizations such as the nuclear energy agency (NEA) of the Organization for Economic Co-
195 operation and Development (OECD), whose mission is "to assist its member countries in
196 maintaining and further developing, through international co-operation, the scientific,
197 technological and legal bases required for a safe, environmentally sound and economical use

198 of nuclear energy for peaceful purposes" (NEA 2017). In this context, the concept of
199 engineered geologic disposal has been developed for the safe long-term management of long-
200 lived radioactive waste. Throughout the development of a repository, the feasibility, safety and
201 appropriateness of the proposed system must be proven to all stakeholders before a decision
202 can be made and the development process can progress (NEA 2013). Decision making
203 requires practical demonstrations of key technical elements in order to demonstrate the
204 robustness of the proposed design as well as to establish confidence. Concerning the
205 properties of the subsurface, underground research laboratories (URLs) play an important and
206 multi-faceted role in these scientific assessments and demonstrations by providing a realistic
207 environment for characterising and testing the selected technical approaches and materials.
208 In areas such as demonstrating operational safety, acquiring geological information at a
209 repository scale and in constructional and operational feasibility, only URLs can provide
210 reliable in situ data. Moreover, URLs can deliver tangible benefits in enhancing participation
211 by the general scientific community and confidence amongst both technical and non-technical
212 stakeholders.

213 URLs are categorized into a generic and a site-specific type. Following the definition from NEA
214 (2013), a site-specific location is considered as the continuation of a site characterization
215 program when specific site information or direct access to the relevant parts of the host rock is
216 required. In contrast, the role of a generic URL is primarily aimed at increasing basic
217 understanding; it is commonly located at sites with geological properties that are similar to the
218 target formation. GeoLaB is designed as a generic infrastructure to answer scientific
219 challenges and beyond that, to offer the necessary transparency and interaction with the public
220 (Schill et al. 2016). It is conceived as a reservoir simulator disclosing the long-term challenges
221 in geothermal development. From a technical point of view, the infrastructure GeoLaB is
222 planned as a gallery with individual caverns, from which controlled high-flow experiments can
223 be conducted at a depth of ~400 m. It addresses the objective of observing, describing and
224 understanding in time and space the processes in an analogue of an EGS reservoir in a
225 complex fractured environment. Adapted to the specific requirements of reservoir technology,
226 GeoLaB serves as a scientific platform that supplies a worldwide unique infrastructure in the
227 crystalline basement to the national and international scientific community. The specific
228 scientific objectives of GeoLaB are:

- 229 1) The performance of controlled high flow rate experiments in fractured rock,
- 230 2) The integration of multi-disciplinary research to solve key questions related to the flow
231 regime under high flow rates, or higher efficiency in reservoir engineering,
- 232 3) Risk mitigation by developing and calibrating smart stimulation technologies to reduce
233 the induced seismic hazard, and
- 234 4) The development of safe and efficient borehole installations using innovative
235 monitoring concepts.

236 As a generic site, GeoLaB will serve as an interface between scientists and involved
237 stakeholder groups, by providing a platform, in which an open dialogue on geothermal energy
238 can take place between all stakeholder groups.

239 3 Analysis of the social response to geothermal development

240 Social responses to geothermal energy technology, i.e. definition and articulation of societally
241 relevant issues differ according to their spatial context, the social structure and cultural
242 background. In general, direct articulations by societal actors and issues that are translated by
243 scientists or media can be distinguished. Direct articulation can be specified further as invited

244 participation such as focus group discussions on the one hand and uninvited participation,
245 such as citizen's initiatives – a group of actors that critically evaluate geothermal technology
246 on the other hand (Wehling 2012).

247 Existing research reveals that discourses on geothermal energy are closely related to the local
248 site of the project, thus they are similar to discourses on other emerging technologies (c.f.
249 Hirschberg 2015). Discourse analyses of public debates show more complex relations and
250 semantic links between geothermal energy and other topics such as territorial sovereignty,
251 identification with locality or socio-cultural institutions or power relationships (e.g. Stauffacher
252 et al. 2015; Pellizzone et al. 2017).

253 Related to geothermal and EGS development, in the following, we first define levels of
254 acceptance, identify relevant stakeholders and the information exchange between them, and
255 finally discuss socially relevant technical challenges in the development that influence the
256 controversial discussion on the technology.

257 3.1 Levels of acceptance of large infrastructure projects

258 Generally, three acceptance levels of large infrastructure projects are identified (Renn 2015;
259 Benighaus et al. 2016):

- 260 • Level 1: Tolerance of the planned project
- 261 • Level 2: Positive attitude towards the planned project
- 262 • Level 3: Active commitment to the planned project

263 Like most large infrastructure projects, EGS plants do not require a positive attitude or
264 commitment of the plant, the tolerance of a planned project is enough to accept it (Renn et al.
265 2014a; Benighaus et al. 2016; Renn et al. 2014b). If institutions and their activities are highly
266 trusted, people can tolerate infrastructure projects without understanding their necessity. If the
267 level of trust is lower, the following minimum requirements have to be fulfilled, in order to
268 achieve at least the tolerance of an EGS project by the public or local residents (Renn et al.
269 2013; Schweizer et al. 2016; Benighaus et al. 2015):

270 *Orientation and understanding:* The tolerance of a project is contingent on the condition that
271 those affected by the project understand the reasons why it has been proposed. Local
272 residents and public need to be informed about the potential benefits and risks of geothermal
273 projects for themselves, others and the society as a whole. The description of the positive and
274 negative arguments has to be as precise as possible and should address all relevant issues
275 to get the best "orientation and understanding" of the project or planning. The argumentation
276 process should be transparent and comprehensible that the public can take its own decision
277 about the project (Sztompka 2010).

278 *Self-efficacy:* Self-efficacy and sovereignty require no restriction on personal freedom of
279 options. In geothermal development, often environmental issues are perceived as personal
280 restrictions. This includes short-term nuisances during installation of the plant such as high
281 traffic around the site location that can restrict the lifestyle habit of near residence enjoying the
282 nature and the silence. Besides temporary impairment of the lifestyle habits, long-term effects
283 such as noise, pollution or micro-seismicity impact the self-efficacy.

284 *Positive benefit/risk ratio:* Case studies on geothermal energy reveal that the acceptance of a
285 deep geothermal plant is higher, if the community and the individual people enjoy benefits
286 related to economic opportunities, sharing of property rights or lifestyle improvements
287 associated with the realization of the project. Therefore, it is important to design the planning

288 process in a way that a positive cost-benefit ratio for the residents can be accomplished. If the
289 ratio is positive, approval of the project is much more likely to happen, often on the second
290 level of positive attitude rather than mere toleration. One of the major difficulties here lies in
291 the diversity of perceptions for both, benefits and risks. In particular, the assessments and
292 perceptions of risks related to geothermal energy projects differ widely among the public and
293 experts and can cause huge conflicts.

294 *Cultural identity*: An identification with a specific project by individuals enhances the probability
295 for the approval of this project. Identification in a spatial context denotes the mental and cultural
296 fitness or matching of the proposed project with the familiar natural and social environment.
297 For example, the city of St. Gallen and its municipal utilities initialized and paid the cost for
298 exploration and amounting to CHF 160 million. The city placed much effort to improve the
299 identification of the citizens with this project (Wiemer 2014). Even when an earthquake was
300 induced during drilling operations, the support for the project remained high.

301 3.2 Identification of the stakeholders

302 Besides well-established organizations and administrative bodies, civil society initiatives and
303 individual protests form a complex stakeholder pattern in the surrounding of geothermal
304 activities. Hence, a prerequisite to design an interaction strategy for large-scale projects is to
305 know, who are the relevant stakeholders, what are their concerns, and how communication
306 can take place (VDI-Bereich Beruf und Gesellschaft (BG) 2015). The Association of German
307 Engineers (VDI) developed a guideline for early public participation in industrial and
308 infrastructure projects (VDI-Bereich Beruf und Gesellschaft (BG) 2015). The term and
309 definition of stakeholder groups comprises all public actors that may be able to influence
310 projects or undertakings planned by an organization. Knowledge of the stakeholder structure
311 allows for tailoring the interaction strategy. Depending on the kind and location of a project,
312 potential stakeholders may be competitors, customers, industry and trade associations, media,
313 scientists/experts, private-sector contract partners, non-organized actors, civil society groups,
314 licensing and supervisory authorities, communities, governments, local authorities, or political
315 actors.

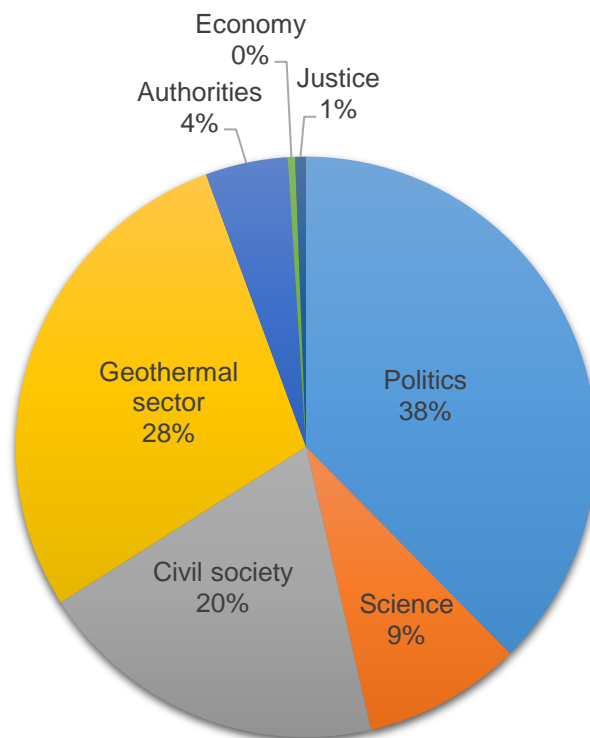
316 With increasing development of the internet over recent years, and the spread of web access
317 for everyone at any time and everywhere, the communication channels shifted and the media
318 landscape changed dramatically. New sources of information evolved and the establishment
319 of social networks significantly increased the information, communication, and mobilisation
320 potential of stakeholder groups. Hence, the German VDI standard 7000 (VDI-Bereich Beruf
321 und Gesellschaft (BG) 2015) suggests the performance of a media analysis in order to identify
322 key external stakeholders and key issues related to the project. A media analysis includes the
323 analysis of the coverage on television, in print media, online media and scientific journals, the
324 analysis and monitoring of communication on the Internet and social networks, and an analysis
325 of previous events and comparable projects. In a following step, it is suggested to carry out an
326 analysis of actors and key issues, i.e. to elaborate the project relevance, conflict potential, and
327 knowledge of the stakeholder groups, and the ways of communication between the respective
328 groups.

329 For example, Leucht (2012) conducted a stakeholder analysis to identify the relevant actors in
330 the discussion about the two geothermal projects of Landau and Bruchsal in the years 2010
331 and 2011 (Figure 2). The analysis revealed that the main participants in the discussion about
332 the topics in leading media, journals and regional newspapers came from politics, the
333 geothermal sector and the civil society. It has to be noted, that the proportions of stakeholder

334 groups are subject to fluctuations. For example, the proportion of the civil society rose
335 significantly after the sensible earthquakes in Landau in 2009.

336 The structure of the stakeholder groups strongly reflects the topics of debate regarding a
337 geothermal project. Politicians and authorities as decision-makers and initiators of surveys or
338 of arbitration procedures are main participators in discussions. People from the geothermal
339 sector such as operators of geothermal power plants play a central role as initiators and
340 beneficiaries of geothermal projects. The civil society represents a group of directly affected
341 stakeholders, which brings forward concerns about e.g. safety, economic, and environmental
342 issues. Scientists are mainly asked for education about risks.

343 The experience of Landau and Bruchsal showed that the stakeholder groups can be very
344 different depending on the respective project and location of the site and hence, the topics
345 discussed. Moreover, the stakeholder participation can evolve during different project phases.
346 This means that one has to continuously monitor the participating groups in a discourse about
347 a project in order to adapt the communication strategies and used media.



348

349 *Figure 2: Proportion of stakeholders involved in discussions about the geothermal projects in Landau and Bruchsal,*
350 *based on 500 total references in local and leading media (Leucht 2012).*

351 3.3 Analysis of information exchange and social responses to geothermal projects

352 Socially relevant issues concerning geothermal energy technology are taken up in media
353 discourse. Although several studies in the context of energy issues show a close connection
354 between public opinion and this discourse, it is not clear in how far media shape public opinion
355 and vice versa (Stauffacher et al. 2015). Analyses of media discourse on geothermal energy
356 in Germany and Switzerland revealed that relevant issues in media are mainly defined by
357 actors from industry, public authorities, politics, and science (Stauffacher et al. 2015; Leucht
358 2010). Media articles reporting on local geothermal projects also reflect positions of local

359 citizen's initiatives (Leucht 2014). Interestingly, non-governmental organisations, even when
 360 oriented towards environmental protection, do not participate in problem definition in media
 361 discourse.

362 Socially relevant issues are articulated by societal actors in the form of invited but also
 363 uninvited participation. Participatory methods in the context of research projects aim primarily
 364 at data collection and are often supported, designed or evaluated by social scientists. Thus,
 365 they construct a public or micro-public (Capurro et al. 2015) and define the context or frame,
 366 in which the public debate takes place. Thus, participatory formats often address publics in
 367 regions and places that experienced geothermal energy installations and discuss geothermal
 368 energy in the context of energy provision. However, results indicate that issues of public
 369 discourse that go beyond the frame set by scientists find their way into these participatory
 370 events (Pellizzone et al. 2017). Most visible form of uninvited participation are local citizen's
 371 initiatives, in which citizens organize themselves in order to accompany geothermal projects
 372 and pose questions relevant for local communities (Kousis 1993; Leucht 2014; Kunze, Hertel
 373 2015).

374 Critical and positive aspects on geothermal projects observed in public debates are
 375 summarized in Table 1. Critical issues often concern environmental, economic and
 376 governance aspects. Environmental aspects include induced seismicity, (ground-) water
 377 contamination, and air pollution (Moser, Stauffacher 2015). Besides technical issues, such as
 378 drilling, exploitation and financial risks, economic aspects are raised, e.g. potential damage on
 379 buildings and infrastructures, (Leucht 2014; Kunze, Hertel 2015) as well as governance issues,
 380 e.g. unclear responsibilities (e.g. insurances) in case of damages (Popovski 2003; Kunze,
 381 Hertel 2015). Governance aspects concern opportunities for local and regional participation in
 382 planning geothermal facilities that are rather little (Canan 1986; Moser, Stauffacher 2015),
 383 insufficient public communication, and a lack of information on planned projects (Pellizzone et
 384 al. 2017). Concerning the interests of industry, the commitment of public institutions is unclear
 385 (Pellizzone et al. 2017). Finally, questions are posed on the issue of environmental justice
 386 including a fair distribution of benefits and risks (Canan 1986; Kousis 1993; Pellizzone et al.
 387 2017).

388 Positive aspects raised in debates on geothermal energy are the contribution to the renewable
 389 energy mix and the reduction of CO₂ emissions (Moser, Stauffacher 2015). Furthermore, the
 390 positive impact on regional development is highlighted by the proponents of geothermal energy
 391 (Pellizzone et al. 2017). Analyses of geothermal projects show that early information on
 392 projects and transparency of communication allows for a debate on and dealing with critical
 393 issues (Popovski 2003).

394 *Table 1: Positive and negative aspects brought forward in public debate on deep geothermal energy (Canan 1986;*
 395 *Kousis 1993; Popovski 2003; Krater, Rose 2012; Benighaus et al. 2015; Moser, Stauffacher 2015; Pellizzone et al.*
 396 *2017).*

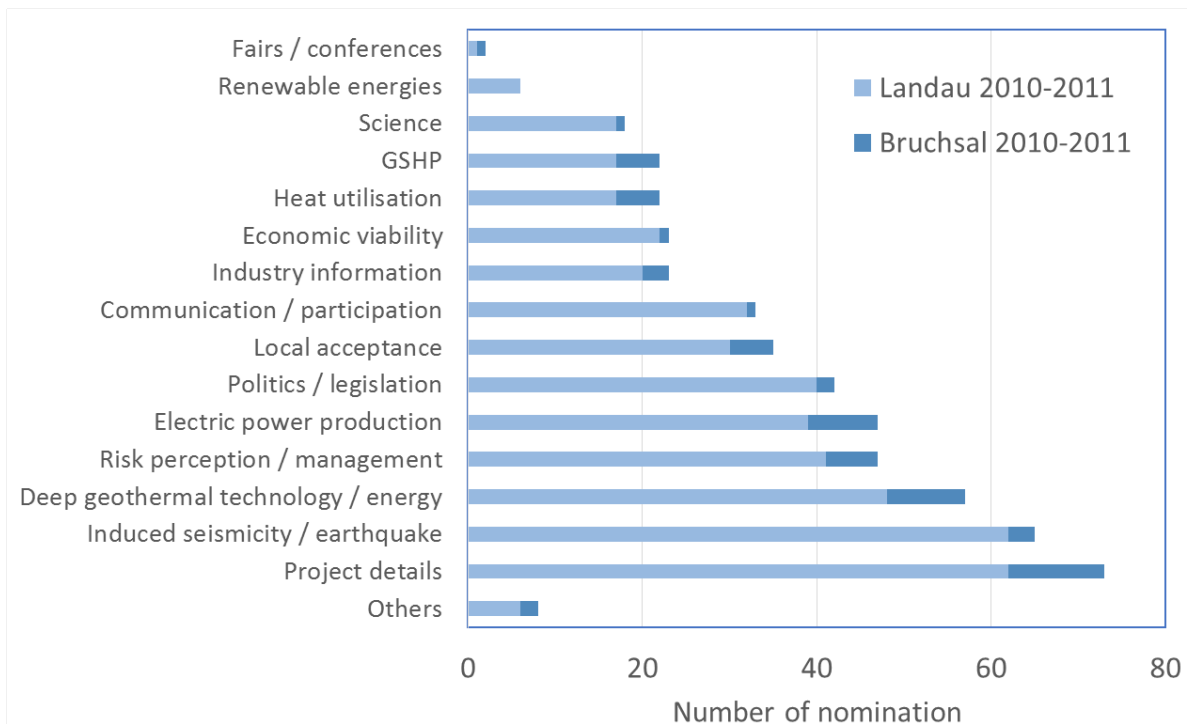
	Negative perception	Positive perception
Environment	induced seismicity, water contamination, air pollution, noise, damage of flora and fauna	contribution to the renewable energy mix, low land consumption local usage robustness of energy system reduction of CO ₂ emissions
Economy	damages of infrastructure, financial risks	economic development of regions

Governance	public participation in planning, responsibility in case of damages commitment of public institutions	public participation in planning, early and transparent information, inclusion of public concerns in planning process
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397 Pellizzone et al. (2017) point to the fact that these critical issues can be found in all public
398 debates but their relevance differs between regions and countries, along the time line. The
399 importance of issues also depends on scale and purpose of geothermal installations (Canan
400 1986; Krater, Rose 2012; Kousis 1993). Existing studies of controversies on geothermal
401 projects reveal six important aspects, on which public perception and responses depend
402 (Canan 1986; Krater, Rose 2012; Kousis 1993). Among these aspects are the experience with
403 geothermal projects, the relevance of local ecological issues, and the potential to establish
404 links to related topics in public debate, the historic-cultural context, local socio-economic
405 conditions, and trust in experts, institutions, and procedures.

406 Since GeoLaB's focus is on EGS development, in the following, we discuss selected examples
407 of site-specific demonstration projects with respect to their interaction with stakeholders. In this
408 context, site-specific means utilization related demonstration projects such as the EGS
409 reference project of Soultz, in contrast to generic that is related to an analogue site that is used
410 for research and technology development such as GeoLaB.

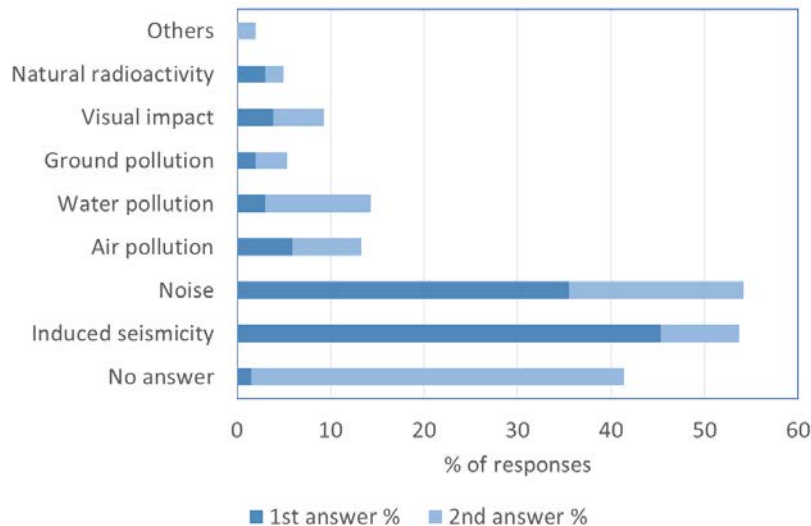
411 Identification of societal relevant issues discussed among experts, political decision makers,
412 stakeholders and residents as well as investigations of media content is a crucial step for
413 understanding the debate. Surveys, workshops, focus group discussions, and in-depth
414 interviews are often carried out in order to grasp public opinion and perception of geothermal
415 energy technology (Moser, Stauffacher 2015; Pellizzone et al. 2017). Results are usually
416 published in scientific journals and fed into the scientific debate as well as introduced into the
417 political discourse on this topic. The evaluation of the main topics nominated in leading print
418 media, journals and regional newspapers in the period between 2010 and 2011 for Landau
419 and Bruchsal (Leucht, 2012) is shown in Figure 3. The two projects in the central Upper Rhine
420 Valley represent two extremes in terms of social attention and technology, i.e. strongly
421 discussed EGS at Landau and hydrothermal from fractured reservoir at Bruchsal with little
422 public attention. For Bruchsal, the discussion on seismicity stays behind interest in deep
423 geothermal technology and electric power production.



424

425 *Figure 3: Media coverage of main topics (multiple nomination) for Landau and Bruchsal based on 106 and 14*
 426 *articles for Landau and Bruchsal in leading media, journals and regional newspapers (after Leucht (2012), GSHP:*
 427 *ground source heat pumps).*

428 At Soultz-sous-Forêts, also located in the Upper Rhine Valley, where an interaction of EGS
 429 technology with the local population occurs since 1991, a differentiated shaping of public
 430 opinion has taken place over years (Lagache et al. 2013). Within an acceptability study,
 431 Lagache et al. (2013) observed that 97% of the 203 respondents knew that geothermal energy
 432 is an energy source. However, notwithstanding the 20-years duration of the project, the
 433 principle of geothermal energy was only moderately known by the local population. Indeed,
 434 only 55% of people who had been living there for less than 5 years (corresponding to the
 435 operational phase of the power plant) had some information about the geothermal plant.
 436 Regarding the level of awareness of risks associated with exposure to deep geothermal
 437 energy, 83% of the people believed that there are no risks originating from the facility that will
 438 impact their community. Among the remaining 27%, the main identified risks were induced
 439 seismicity and noise (Figure 4). Note, however, that the opinion survey had been carried out
 440 in 2012, i.e. five years after termination of reservoir development and two years after the last
 441 perceptible seismicity of the operation phase. Finally, despite online information and an
 442 average of 2000 visitors per year, the acceptability study showed that the population still felt a
 443 lack of information. Thus, it appears that also demonstration projects reach only a limited part
 444 of the public. This raises the question, if information given and the channels used are adequate
 445 to inform the local population. Thus, future approaches to link demonstration projects with local
 446 societies need to be designed differently. A new design might be inspired by generic
 447 underground research laboratories.



448

449 *Figure 4: Main nuisances related to deep geothermal energy in percentage of the 27 % of respondents that report*
 450 *nuisance communicated during the social acceptance survey at Soultz in June 2012 (modified after Lagache et al.*
 451 *2013). Note that 73 % did not report nuisances.*

452 3.4 Socially relevant technical challenges

453 Advantages of EGS such as CO₂-neutrality, base-load capability and low spatial impact of
 454 geothermal energy are thwarted by different technological challenges leading to controversies
 455 and public debate about this technology in many countries (e.g. Canan 1986; Krater, Rose
 456 2012; Kousis 1993; Carr-Cornish, Romanach 2014; Pellizzone et al. 2017; Leucht 2010, 2014;
 457 Benighaus et al. 2015; Moser, Stauffacher 2015). Issues such as perceptible induced
 458 seismicity, borehole integrity, polluting by-products, or groundwater spillovers often raise public
 459 awareness and critique. In the following, we discuss the main socially perceived technical
 460 challenges. These challenges are addressed by science in order to develop a fundamental
 461 understanding of the thermal, hydraulic, mechanical, chemical (THMC) interacting processes
 462 in the reservoir to provide generic solutions. These fundamental scientific data may be
 463 generated and discussed with a wider society within GeoLaB. In the following, main aspects
 464 will be outlined.

465 3.4.1 Induced seismicity

466 In general, induced seismicity of geothermal projects is considerably low compared to mining,
 467 surface load (dams), injection, fluid removal by hydrocarbon production and others (Grünthal
 468 2014). Nevertheless, as geothermal activities will increase in the future, its induced seismicity
 469 needs to be controlled by a full understanding of the processes involved and subsequent risk
 470 reduction measures.

471 Hydraulic stimulation, i.e. enhancement of existing flow paths, and increase of hydraulic yield
 472 from ambient conditions is a key aspect in EGS technology. Human activity can influence the
 473 effective stress, directly by pore pressure increase, or indirectly by changing the loading
 474 conditions on a fault (Ellsworth 2013). Under high differential stress conditions, hydraulic
 475 pressures required for stimulation are considerably below the tensile strength of rock. In
 476 contrast to stimulation, fracking, i.e. exceeding tensile strength of rock, will create new flow
 477 paths. The possibility of inducing seismic events is significantly higher during stimulation since
 478 the pre-stressed subsurface rock will release the stored elastic energy by sudden slippage of
 479 a fault when the effective stress exceeds the frictional strength of the fault.

480 Although the conditions of earthquake generation are principally well understood, maximum
481 energy release (maximum magnitude) and how induced seismicity at high flow rates can be
482 controlled remains a matter of debate. Traffic light systems based on local networks have been
483 regarded as most useful for reducing the hazard of induced earthquakes: if a magnitude
484 threshold is exceeded, injection operations will be adjusted to avoid earthquakes of greater
485 consequence (McGarr et al. 2015). Large magnitude events occurring during the shut-in phase
486 are under debate with first concepts that consider the on-going fluid diffusion even after shut-
487 in (Shapiro et al. 2007) and the hydraulic impact on changing the flow paths (Schoenball et al.
488 2014; Segall, Lu 2015). Therefore, applying controlled, high flow rate experiments (CHFE) in
489 GeoLaB is a prerequisite for the investigation of these effects. The observation of pressure,
490 flow and stress changes in the reservoir under various loading conditions is the key for
491 validating concepts on avoiding large magnitudes in geothermal sites.

492 3.4.2 Borehole integrity

493 Apart from induced seismicity, major environmental impacts such as pollution are often an
494 issue of borehole integrity. In crystalline rocks, well integrity is little studied, but certainly linked
495 to brittle behaviour that may lead to an extended damage zone. Boreholes in geothermal
496 applications have to fulfil different important tasks. The well has to securely withstand lithostatic
497 pressures without collapsing. During the exploitation of deep geothermal reservoirs the casing
498 itself, acts as a production and injection liner. This is the consequence of required high flow
499 rates necessitating wide pipe diameters to reduce frictional losses within the casing. Therefore,
500 the cemented casing becomes the only barrier between produced / reinjected fluid and
501 groundwater. The integrity of the borehole is therefore essential to reduce risks of groundwater
502 contamination. Within different groundwater levels, the hydraulic pressure may vary. A secure
503 separation of the hydraulic levels is then a necessity to avoid flow between different
504 groundwater levels. This would otherwise lead to mixture of different groundwater properties
505 and to a possible subsidence or rise of the surface.

506 The tightness of standard cemented and abandoned wells can be questioned. Experiments
507 show that the surface texture (e.g. roughness) of the drilled well has a significant influence on
508 the formation of mud-channels – for rough surfaces, up to 75 % of the serrations consist of
509 non-displaced mud and only 25 % are well hardened cement, creating possible leakage
510 pathways. Even under idealized cementation conditions using a cement recipe characterized
511 by a very low shrinkage, micro-annuli are formed. These micro-annuli are connected
512 throughout the whole casing in large-scale laboratory experiments (Schilling et al. 2015). In
513 this context the quality of the cemented well-bore is of particular interest. The durability of a
514 hydraulic sealing strongly depends on the success of this displacement. Different cementation
515 flaws may arise in the displacing process, especially sections with remaining mud, e.g. mud
516 channels, may significantly impede the tightness of wells. The effects of interactions between
517 cement and mud during the displacement process are not yet sufficiently understood (Abdu et
518 al. 2012; Nguyen et al. 1992). Micro-annuli, flaws or gaps, can form pathways for migration
519 and emission. Different materials can be used for abandonment (e.g. Kamali et al. 2008). The
520 long-term safe abandonment does not only depend on the long-term resistance of the single
521 materials (rock, cement, steel), but also – and possibly much more pronounced – on the long-
522 term physical, chemical and mechanical interaction of the host rock and different materials of
523 the seal, especially in contact with brine. In geothermal applications, enhanced thermal
524 stresses should be taken into account, which may cause damage of the rock – cement – casing
525 structure.

526 3.4.3 Corrosion and scaling – incorporation of radioactive isotopes

527 Related to the thermal water circuit, geothermal projects worldwide are facing scaling and
528 corrosion. The precipitation of solids due to oversaturation or redox reactions in the processed
529 brine occurs with pressure drop, temperature changes, oxygen ingress, and/or corrosion.
530 Carbonates, silica, and sulphur minerals (sulphides and/or sulphates) are the main types of
531 scaling (e.g. Mundhenk et al. 2013). From an environmentally-friendly EGS production
532 perspective, the need to control scaling is related to the incorporation of radioactive isotopes.
533 At Soultz, a general increase of the dose rate values as a function of circulated volume with
534 maximum values on the reinjection line: here the lower fluid temperature (~70°C) tends to
535 induce the precipitation of sulphates (solid solutions between barite, BaSO₄, and celestine,
536 SrSO₄) and sulphides (Galena, PbS), which are able to trap radionuclides (mainly ²²⁶Ra for
537 sulphates and ²¹⁰Pb for galena) during their formation (Cuenot et al. 2015). An inhibitor system
538 was set up (Scheiber et al. 2012), but so far no process has been established to completely
539 prevent scaling. Corrosion of construction materials is another environmentally relevant
540 concern and generally arises from the combination of elevated temperatures and the presence
541 of corrosive key species in the processed brine (MacDonald et al. 1979). Among those are
542 chloride and carbon dioxide, which are very common in geothermal waters worldwide (DeBerry
543 et al. 1978; Conover et al. 1980). However, it should be noted that not only hydrochemical
544 characteristics, but also other factors (e.g. flow conditions, temperature, and stress) contribute
545 to the harshness of an environment (MacDonald et al. 1979).

546 4 Towards transparency and tangible science

547 Science so far takes up societal concerns with geothermal energy and responds by carrying
548 out projects on both, technical solutions to socially relevant issues, and on social acceptance
549 that shall reveal public opinion. The latter often aim on improvement of unidirectional
550 communication strategies and information flow (van Douwe, Kluge 2014). A geothetical
551 approach that takes seriously into account social responsibility needs to develop approaches
552 that integrate the question of responsibility in processes and institutions of geothermal
553 development and to establish a dialogue rather than unidirectional communication. The next
554 sections will discuss concrete examples from Germany, Italy, and France on how responsible
555 processes can be developed.

556 4.1 Case studies Italy

557 Explorative engagement can work as a first step for assessing social perception of geothermal
558 energy, engaging the society in the innovation process, and collecting information in order to
559 build further communication and participative activities.

560 Two case studies assessing the geothermal potential of central and southern Italy, the VIGOR
561 (Manzella et al. 2013) and the Atlante Geotermico del Mezzogiorno projects (Donato et al.
562 2014), included investigations on social acceptance of geothermal energy in order to
563 understand how public and relevant stakeholders would have responded to eventual
564 geothermal developments. Social acceptance was investigated from the first stages employing
565 a mix of qualitative and quantitative methods in the form of focus groups and surveys. Surveys
566 allowed to reach a large number of people and the focus groups gave the opportunity to go
567 deeper into the discussion on geothermal technologies.

568 The survey was conducted engaging 400 people from two selected areas, the Provinces of
569 Viterbo and Palermo, and calibrated by a series of variables including gender, age, education,
570 place of residence, and job. The focus groups, composed of 8 to 10 people, were

571 homogeneous and were headed by a moderator and an observer. In both case studies, the
572 local experiences and values were considered. At Viterbo, where a long history of water
573 contamination by arsenic exists, a group of environmental activists that is sensitive to the issue
574 was involved in the discussion. In Termini Imerese, Palermo province, which has a very high
575 rate of unemployed people, a group of ex-FIAT workers were engaged. Further focus groups
576 involved students, local decision makers, politicians and general citizens and all of them were
577 very useful in order to describe the local community attitude towards geothermal energy and
578 also understand their demand of knowledge.

579 The results of these studies are described by Manzella et al. (this issue). They highlight the
580 concerns and needs of all relevant stakeholders. At a general level, the study revealed a
581 considerable openness towards new energy technologies, however the distrust towards the
582 elite of decision makers can undermine the support for new developments. More specific,
583 geothermal heat pumps are seen as a good opportunity, whereas for geothermal power plants
584 some concerns for the risk of water contamination have been raised by environmentalist
585 groups. People strongly ask for more information in order to participate in the innovation
586 process with awareness, showing a considerable trust for scientists and independent
587 researchers as sources of information.

588 4.2 Case study Alsace (France)

589 For the implementation of the climate plan of the Urban Community of Strasbourg (UCS),
590 located in Alsace (France) close to the German border, the realisation of 20-30% of renewable
591 energies is envisaged. In this context, In this context, geothermal possibilities started to be
592 discussed in 2007 in relation with the city of Illkirch-Graffenstaden in the south part of UCS to
593 provide geothermal heat for housing and industries. In summer 2013, following the French
594 mining law, four project proposals were submitted to the Bas-Rhin prefecture, which is
595 responsible for the examination of the case-files and the organization of public inquiries as
596 dialogue spaces. They are part of legal procedures, where citizens may express their point of
597 view regarding major projects related to urban planning or to environmentally sensitive
598 facilities. Dialogue as multidirectional activity in the frame of legal procedures is rather limited
599 (e.g. Köck 2016). However, in a public inquiry, the French law provides investigating
600 commissioners who gather citizens' contributions, valorise some of the arguments and
601 questions, solicit answers from the operators and, in a final report, consider the validity of
602 citizens' and operators' arguments and deliver a personal judgement. The investigating
603 commissioners are mandated by the administrative court. Although advisory, commissioners
604 can influence the decision-making processes himself.

605 Operators and institutions got engaged in the communication plan in autumn 2014. The
606 controversy on the proposed geothermal projects, however, started already in summer 2014,
607 when the local residents' associations of NE-Strasbourg were alerted by German neighbours
608 associated to German citizens' initiatives about risks linked to geothermal projects. Thus, at
609 the beginning of the controversy, local residents formed their own proto-expertise (Nowotny
610 1993) on deep geothermal technologies independently from the industrial stakeholders using
611 various sources, such as web sites, but also discussions with experts, scientists, or German
612 neighbours. First critics on geothermal projects were rather technical, enlightening the
613 associated risks and the limits of the measures taken to reduce them. The controversy became
614 multi-form with the release of the projects' case-files in the run-up to the public inquiries that
615 were scheduled for April-May 2015.

616 Responses to the public inquiries in spring 2015 included simple “no” or “yes”, but also well
617 supported contributions. Most feedback came from French residents and German neighbours,
618 but also from organized groups, such as associations of residents neighbouring communities,
619 environmental protection associations, political groups or town councils. Only one industrialist
620 delivered an opinion. The controversy related to five interconnected issues:

- 621 1. Sensitive and densely populated location and choice of the drilling sites according to
622 solely geological and economic interests without consultation of the residents.
- 623 2. The delay in information and its focus on strong technical issues, as well as its limited
624 distribution to the village or urban area hosting the projects.
- 625 3. Different perception of risks and the capability of risk management of the operators.
- 626 4. The lack of a clear political framework for responsibilities, in particular for compensation
627 in case of incidents.
- 628 5. Economic issues such as insurance guarantees and financial counterparty to the cities
629 hosting the projects.

630 Based on the main issues of the controversy, in their conclusions, commissioners put forward
631 the precautionary principle. Finally, the commissioners of the projects except the Illkirch-
632 Grafenstaden one plead for postponing the projects to avoid socially relevant technological
633 risks.

634 Interestingly, local print media played a secondary role in the development of the controversy.
635 Although reporting on events and stakeholders’ standpoints, they did not propose in-depth
636 information nor an oriented reading of the controversy. This role was taken over by local and
637 engaged blogs, association newsletters and municipal bulletins. In addition, residents’
638 associations organised several public meetings that attracted each several hundred
639 participants.

640 At the end of the public inquiry process, the prefecture chose to grant two projects, the Illkirch-
641 Grafenstaden and despite the precautionary opinion of the commissioners, one located at
642 Eckbolsheim (west of UCS). In the decrees authorizing the opening of mining operations, the
643 prefecture will impose the creation of an information committee that will permit regular dialogue
644 between operators and stakeholders. Furthermore, companies have promised to re-examine
645 the possibility of redistributing part of the royalties linked to the projects. In the end, the
646 prefecture and industrialists’ actors took up the concerns related to information demands and
647 financial distribution. More intricate notions such as urban, economic, political and ethical
648 issues that had been addressed during the controversy were raised but did not shape the
649 solution-oriented part of the structured discourse. This outcome may shed a light on the
650 selective functions of structured discourses and the relationships between science, economics,
651 politics, and the diverse publics.

652 4.3 Case study Carbon Capture and Storage (CCS) in Ketzin (Germany)

653 For the acceptance of underground installations one might also include the derived knowledge
654 of CO₂-underground storage sites. In Germany, different attempts have been made to get
655 acceptance for a geological storage of CO₂. Besides the accepted and implemented research-
656 project CO₂SINK (www.co2sink.org), several attempts of other storage sites did not receive
657 the same acceptance such as the Vattenfall project in Beeskow (Dütschke 2011), the research
658 project in the Altmark natural gas field (Kühn et al. 2013; Martens et al. 2012) or the RWE-
659 project in Schleswig-Holstein (e.g. Gründinger 2016). Several authors describe communication
660 and outreach as a crucial element for the perception and acceptance (e.g. Szzybalski et al.
661 2014; Haug, Stigson 2016). Similar to geothermal test sites, the local awareness and

662 acceptance of the different projects seemed to be strongly affected by the local history and
663 experience of the local society (Dütschke 2011). Projects such as in Decature (USA), Weyburn
664 (Canada) or offshore (Sleipner project - Norway) seem to encounter less resistance than the
665 projects in Altmark or Schleswig Holstein. Similar to geothermal, the regional aspect seems to
666 affect the awareness and fears of the society.

667 Additionally, the successfully implemented research driven CO₂SINK test site close to Ketzin
668 followed a different communication approach by the project leader during permission and
669 implementation of the test site. In all public hearings and discussions with the local community,
670 journalists, and politicians, probable risks and the derived risk mitigation strategies were
671 discussed right at the beginning of presentations. While marking the main identified risks,
672 additional concerns of local residents could be compared.

673 Similar to hearings in the context of geothermal installations, the main concern in CCS seems
674 to be related to the value of the private property and the security of associated adjustments in
675 the case of damage. The Ketzin communication strategy could also be tested for research
676 driven projects such as GeoLaB. As a resulting aspect, the public confidence and reliability in
677 the operating entity seem to be of importance both in geothermal and CCS.

678 4.4 Need for novel approaches in geothermal research

679 Similar incidents like the abovementioned case studies of Italy, France, and Germany show
680 that there are fundamental shortcomings in communication and integration of societal
681 perspectives already in the planning phase of geothermal projects, although first concepts
682 already exist. Currently, the social component of projects is often dominated by a passive
683 analysis of stakeholders and opinions although measures to form an open dialogue and
684 discussion would be needed. A deficient and asymmetric communication between project
685 initiators and relevant stakeholders leads to time-delay in information and knowledge
686 generation resulting in unmanageable self-reinforcing tendencies of proto-expertise and
687 opinion formation partly on the basis of questionable unsubstantiated sources of information.
688 Such self-reinforcing tendencies can only be prevented by novel approaches following a
689 geoethical concept.

690 4.4.1 Interaction with stakeholders and the public

691 A central aspect of acceptability is seen in the early involvement of and consultation with the
692 local actors in the planning phase as foreseen by law (VDI-Bereich Beruf und Gesellschaft
693 (BG) 2015). With the construction and operation phase, the communication must extend to the
694 larger public, scientific and educational institutions, and industry representatives. Furthermore,
695 it could be useful to install an advisory council involving stakeholders and an ombudsperson
696 independent from project developers. During all project phases, the interaction with
697 stakeholders and public has to be collected and evaluated as regards transparency and
698 fairness. The results then have to be incorporated in the further planning of implementation
699 and operation. An integrated analysis of the interaction with the public has to be conducted as
700 a coherent system in order to identify all lines of conflict of the project. This includes both, the
701 relational social positions of the local public, but also the positions of the project leaders and
702 designers (Bleicher, David 2015; Gross 2009). In this context, the attitude of the local public
703 towards the project cannot be reduced to rational information, i.e. knowing. Cultural and social
704 factors may be of major importance. They can be addressed by an integrated interaction
705 concept (Gross, Bleicher 2013). It must be assumed that all actors are in a state of not knowing
706 with respect to uncertainty, i.e. all uncertainties related to the project can never be completely
707 transformed to certainty, part of the knowledge remains stochastic and occasional deviations

708 will occur (Gross 2010). Public concerns cannot be exclusively addressed on the basis of
709 technical or natural scientific knowledge alone (Benighaus et al. 2016). The transparency of
710 planning and dealing with an emerging technology and large-scale infrastructure projects
711 allows the public to assess the knowledge and uncertainty of the technology. A scientific
712 approach in a laboratory open to actors of a wider society allows an openness of research
713 processes and evaluation of research results that is recommended in the case of emerging
714 technologies (Benighaus et al. 2016).

715 4.4.2 Real World Experiments and Responsible Research & Innovation

716 As a basis for quality control within the sociological analyses, the concept of "Real World
717 Experiments" and "Responsible Research & Innovation", RRI, should be applied during the
718 project. Real World Experiments and RRI are concepts for analysing and designing societal
719 processes with experimental character (Gross et al. 2005) and are based on the four quality
720 criteria: 1) Anticipation, 2) Reflexivity, 3) Inclusion and 4) Responsiveness (Stilgoe et al. 2013).
721 These criteria refer to the anticipation of potentially unknown advantages, disadvantages, and
722 knowledge gaps of a new technology, the reflection on the role(s) and interests of natural and
723 social scientists involved into the project, an active consideration and inclusion of concerns of
724 the local population, and the open communication of the possibility to stop the project.

725 5 GeoLaB – a novel geoethical approach

726 The need for new communication strategies and dialogue between project developers,
727 researchers, and stakeholder groups in a geoethical sense can be best implemented in a large-
728 scale geoscientific project like the underground research laboratory GeoLaB, which at the
729 same time can serve as a platform for social science. In the following, we will discuss the
730 contribution of GeoLaB to the goal of geoethics to provide geoscientists with practical solutions
731 and useful techniques in their contact with society (Peppoloni, Di Capua 2015). The role of
732 GeoLaB concerns the establishment of an ethical framework and a guarantee for access to
733 data and results of public research including quality control for major debated issues in
734 geothermal development. GeoLaB's core goal is related to setting up environmentally friendly
735 and sustainable technologies with attention to the uniqueness of each region and supporting
736 theoretical and practical innovations. Furthermore, the concept of open platform for the
737 engagement of all relevant stakeholders by exchange of knowledge and experience between
738 the worlds of professionals, researchers, industry, authorities and the public will be detailed.
739 This goes along with the development of education tools based on exchange of experience
740 among educators and users, to stimulate an active approach to scientific learning and a
741 possible direct involvement in activities of social interest.

742 In the following, we relate activities in GeoLaB to the main concepts of geoethics, research
743 and science, environmental consciousness, and communication and knowledge transfer. A
744 basic geoethical education in creating ethical consciousness towards natural resources is an
745 integral part of the education of young researchers.

746 5.1 Research and science

747 As commonly accepted among scientists, research and science benefit from the establishment
748 of an ethical framework and a guarantee for access to data and results of public research
749 including quality control. Interestingly, this is also requested by stakeholders with respect to
750 geothermal energy development. For example, participants of focus groups in Germany and
751 Switzerland demand scientific research to get a more detailed understanding of an emerging
752 technology in an open, transparent process (Benighaus et al. 2015).

753 GeoLaB is conceived as an open multidisciplinary research platform accessible for national
754 and international research groups interlinking physical sciences and engineering,
755 environmental, geo- and social sciences. The worldwide uniqueness of the infrastructure is
756 supposed to attract international research to ensure research diversity and generate synergies
757 necessary for the complex issues associated with the development of a sustainable new
758 technology. A long-term establishment of competences in specific socially relevant research
759 topics might be achieved. Furthermore, the research infrastructure is planned to be owned by
760 research institutes and/or governmental institutions to ensure that the operation is not
761 associated with monetary gains.

762 GeoLaB will be accompanied by a virtual reality project. This “Virtual GeoLaB” will implement
763 the recommendation by the National Science Foundation to build adequate long-term data
764 infrastructures for large and complex scientific projects. A virtual reality concept for a complex
765 scientific project such as GeoLaB is novel, but it can be supported by the long-term experience
766 in virtual reality projects (Bilke et al. 2014).

767 The scientific program of GeoLaB is defined by a number of key experiments in particular
768 controlled high flowrate experiments. During these tests the “Virtual GeoLaB” can provide on-
769 line access to experimental data and will serve as a persistent data repository of the key
770 experiments. Due to the expected large amount of experimental data a visual support in a time-
771 spatial context, where data are linked to their geometric position and duration (e.g. Jahn et al.
772 2017), will guarantee both, fast and long-term data access. Furthermore, “Virtual GeoLaB” will
773 include experiment related conceptual and numerical model information. In this respect, data
774 availability from one platform is needed for model calibration and validation purposes. In
775 addition to geometric information, “Virtual GeoLaB” will also incorporate possible
776 parameterizations including statistical information. In summary, the “Virtual GeoLaB” concept
777 will provide a permanent visual documentation of the real GeoLaB implementation as well as
778 supporting experimental design and analysis.

779 5.2 Environmental consciousness

780 With respect to the environmental consciousness, the advantages of geothermal energy are
781 evident, since geothermal is capable of supplying base load from a huge potential that can be
782 operated in a sustainable and decentralized manner. Requiring only little space at surface it is
783 optimally suited for densely populated areas as a nearly emission free energy source that
784 provides security of supply for both, electricity and heating power. In central Europe, the largest
785 geothermal potential resides in the crystalline basement rock with important hotspots in
786 tectonically stressed areas.

787 The implementation of this generally environmentally friendly and sustainable technologies
788 with attention to the uniqueness of each region and supporting theoretical and practical
789 innovations is in line with the development of EGS technology towards non-perceptible
790 seismicity, borehole integrity and minimization of scaling. Among these fundamental
791 challenges, GeoLaB will address mainly reservoir technology and borehole safety. The specific
792 objectives of GeoLaB are 1) to perform CHFES in fractured rock, 2) to integrate multi-
793 disciplinary research to solve key questions related to flow regime under high flow rates, or
794 higher efficiency in reservoir engineering, 3) risk mitigation by developing and calibrating smart
795 stimulation technologies without creating seismic hazard, and 4) to develop save and efficient
796 borehole installations using innovative monitoring concepts. Planned experiments will
797 significantly contribute to our understanding of processes associated with increased flow rates
798 in crystalline rock.

799 The experiments in GeoLaB will be continuously monitored from multiple wells, drilled from the
800 underground laboratory or from the surface. The application and development of cutting-edge
801 tools for monitoring and analysing will yield fundamental findings, which are of major
802 importance for safe and ecologically-sustainable usage of geothermal energy and further
803 subsurface resources.

804 GeoLaB is an analogue site representative of the world's most widespread geothermal
805 reservoir rock, the crystalline basement. It is designed as a generic URL adjacent to the Upper
806 Rhine Graben. Its advantageous geothermal conditions with about 10 geothermal projects in
807 operation or development are well-known. Temperatures in the central part range from 75 °C
808 to nearly 150 °C at a depth of 2000 m. Hydrothermal circulation along faults accounts for 75-
809 85% of the temperature anomalies (Baillieux et al. 2013) and allows for EGS development. Its
810 most prominent geothermal hotspot in Germany represents the uniqueness of this region.

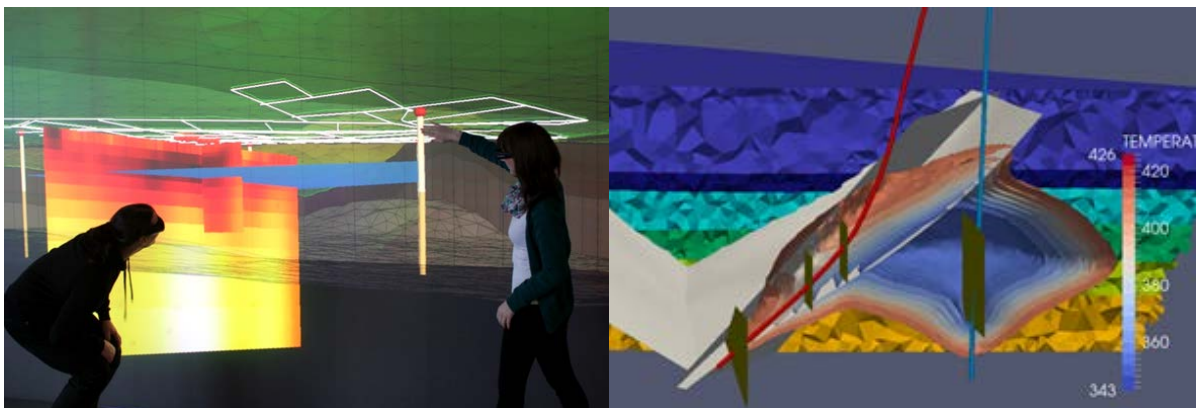
811 5.3 Communication, capacity building and knowledge transfer

812 Public engagement aims at eliminating the asymmetry in terms of knowledge and
813 communication between the organisations on the one hand, and the stakeholder groups on
814 the other. In the context of increasing plurality of interest groups with competing interests, e.g.
815 when environmental issues compete with economic interests and individual concerns (VDI-
816 Bereich Beruf und Gesellschaft (BG) 2015), clear, transparent and comprehensible information
817 is needed. Therefore, early involvement of stakeholders with different roles in the innovation
818 process may prevent proto-expertise from acquiring a life of its own. In this respect, geoethics
819 employs concepts of open platforms for the engagement of all relevant stakeholders by
820 exchange of knowledge and experience between the worlds of professionals, researchers,
821 industry, authorities and the public. According to our analyses, such a platform needs to offer
822 the possibility for open discussion on technical aspects such as site selection and risks, but
823 also on related aspects such as regulatory and economic issues.

824 Tools of knowledge transfer of the GeoLaB laboratory are an integral part of the communication
825 strategy. Knowledge transfer in the broadest sense can be seen as a way of capacity building
826 not only for scholars but for all relevant stakeholders. Capacity building can be described as
827 the process of helping local actors to acquire and use information relevant to a project (OECD
828 2012). Access to information and understanding how to use information are defined as
829 "knowledge" (Burns, Fazekas 2012). The goal of capacity building is to find better and more
830 efficient ways for different actors to access and use knowledge in local educational contexts in
831 order to achieve desired outcomes. The education concept must increasingly respond to new
832 societal, economic and individual needs. Stakeholder analyses reveal a major proportion of
833 civic stakeholders to discourse about large-scale projects. Hence, it is the local level that is
834 most challenged by these developments. Educational and social activities may include:
835 summer schools, guided tours, blogs in the social media, open access publication, public
836 relations, documentation, brochures, and material for visits of school classes, etc. In line with
837 the "Virtual GeoLaB", the Earth-Systems-Knowledge-Platform (ESKP) is an example for
838 communication of context and background on a variety of geoscientific questions and
839 challenges such as natural hazards, climate change, pollutants in the environment and
840 renewable energies resources. The web-platform (<http://www.eskp.de/>) provides information
841 prepared by scientists who are experts in the field and therefore stands as an independent
842 objective source of information. GeoLaB will be integrated in this established platform.

843 Due to the complexity of data and information on manifold environmental processes and
844 systems - we are developing novel methods and technologies for knowledge building and

845 transfer. In addition to more and more automated procedures – experts’ knowledge and
846 experience should be supported at maximum by information technology. Scientific visualization
847 is an example for facilitating the perception of experts (Figure 5). Visual analytics is a key to
848 the comprehension of complex systems. This applies for both, scientists and non-scientists. It
849 is not only a tool for researchers to gain better understanding of complex systems behaviour
850 (Bilke et al. 2014), it particularly supports public understanding and acceptance of geothermal
851 energy exploitation. Visual methods are particular important for geoscientific applications as
852 they are “hidden” in the subsurface.



853

854 *Figure 5: Visualization of a shallow geothermal installation (Borehole Heat Exchanger – BHE elements) in real*
855 *context of an urban quartier planning (left), Computer simulation of a deep geothermal system including the*
856 *complexity of physical processes during heat transfer and able to predictions (right) (Source: VISLAB*
857 www.ufz.de/vislab)

858 With its connection between fundamental and applied science combined with its international,
859 multidisciplinary approach, GeoLaB might offer ideal conditions for a high quality,
860 comprehensive education of scientists. This will result in higher competence of scientists in
861 societal highly discussed questions. The platform character can train them in “system thinking”
862 and to interact with the public, stakeholders and decision makers. It might build awareness for
863 the social impact of their scientific work and for their responsibility within their community and
864 society. Hand in hand with the sensitization of geoscientists for societal issues, the active
865 exchange of experience between scientists and project owners and relevant stakeholder
866 groups can stimulate an active dialogue and create a shared level of communication.

867 6 Conclusion

868 The G7's declaration at the Elmau Summit on June 8th 2016 committed to a decarbonized
869 world economy by the end of this century. The build-up of an economy based on renewable
870 energies and sustainable development in the light of COP21 requires a concerted action and
871 convergence on subjects in science and public. In this context, the forecasted savings of CO₂
872 emissions by nearly 70% from heating of building represents a major challenge in Germany.

873 In a low oil price environment, interest in geothermal development complementing other
874 renewable energy forms and sustainability cannot prevail if solely driven by economic
875 concerns. Political will, social acceptance and consciousness motivated by a concern for
876 environmental consequences would have to be a major driving factor for the implementation
877 of COP21. Decision making on the necessary techniques relies broadly on public opinion
878 spread through media and internet that will be taken up in politics and authorities. Hence, an
879 overall expertise among all stakeholders is required for scientific reasons and public insights.
880 Research on central topics of the energetic transition have to be strengthened in the scientific
881 community by multidisciplinary approaches. Transferring long-term experience from

882 established industrial branches like oil and gas to central issues of geothermal development
883 can be used as a starting point for new pioneering projects.

884 In this context, GeoLaB is aimed as the first reservoir simulator for geothermal reservoir
885 technology and borehole safety. Real scale experiments and cutting-edge research in
886 crystalline rock next to thermal hotspots will contribute to the environmentally safe
887 development of geothermal energy as renewable energy source. Although being
888 representative for EGS reservoirs in the crystalline basement, results gained in GeoLaB
889 experiments are to a certain extent transferable to other potential EGS sites, hence fostering
890 progress in world-wide safe EGS development. With its unique geothermal laboratory setting,
891 GeoLaB allows for pioneering research, associating fundamental to applied scientific
892 challenges, bridging laboratory to field scale experiments and connecting renewable energy
893 research to social perception.

894 GeoLaB is conceived as an integrative project: It aims at the development of scientific-
895 technological solutions for a responsible exploitation of geothermal energy accompanied by
896 sociological studies. Providing open access to data and results using different scientific and
897 non-scientific platforms, GeoLaB is seen a central part of transparent communication. This
898 concept guarantees quality control of the GeoLaB projects, but it is also an important means
899 of exchange with stakeholders. Beyond the scientific and technological orientation of the
900 infrastructure, GeoLaB forms a platform for science communication, participation and dialog of
901 stakeholders from industry, politics, administration and society. This complies with the
902 comprehension of responsible research in a geoethical sense.

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