

A comparative study of flows through funnel-shaped bottlenecks placed in the middle and corner

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Received: date1 / Last revision received: date2 / Accepted: date3

DOI: [10.17815/CD.20XX.X](https://doi.org/10.17815/CD.20XX.X)

Abstract Upon exiting buildings, theatres, and stadiums, which house a great number of people, egress points can act as bottlenecks, resulting in crowded exits and decreased flows. Most studies investigating flow have been conducted in either narrow bottlenecks (doors) or funnel shape bottlenecks, with the latter investigating bottlenecks placed in the middle of the walkway. This study investigates, for the first time, crowd flow through funnel-shaped bottlenecks placed in the corner of the walkway and makes comparisons with similar bottlenecks of the same length, entrance and exit width placed in the middle of the walkway. The entry width and exit width of the bottlenecks were 3 m and 1 m respectively, with lengths varying from 1 m to 4 m; they continued into a 10 m corridor. Ninety-four participants of various ages were observed moving through each of the configurations. The results indicated that using funnel-shaped bottlenecks in the middle of the walkway increased the flow rate significantly compared to the corner in bottlenecks with 2 m and 3 m lengths. This is contrary to what some other researchers have found for narrow bottlenecks placed in the middle and corner of a wall, although it is recognised that the configuration of funnel-shaped bottlenecks makes the comparison more complex and further work is required in this area. Notwithstanding these results are considered valuable for consideration when designing egress points and corridors in complex buildings such as metro and train stations.

Keywords funnel shape bottleneck · exit position · exit configuration · crowd flow

1 Introduction

The study of pedestrian movement and, in particular, an understanding of the role that bottlenecks play in emergency and non-emergency evacuation of a crowd is becoming more important as buildings and structures become more complex and house more and more people. Of particular importance in designing safe buildings, is an understanding of the impact of the location and dimensions of egress points on the characteristics of flow.

One of the most significant early studies on crowd movement was conducted by Togawa [1], who identified and presented relationships between speed and density and equations to predict evacuation time. In a similar study, Fruin [2] studied pedestrian movement in everyday circulation space, developing the concept of Levels of Service to characterise the movement in different densities and associated speeds and flows. Since then, many researchers have explored movement via controlled experiments [3–5], evacuation drills [6,7], by analysing actual footage of everyday pedestrian circulation [8,9], computer simulation and modelling [10,11], in virtual reality [12,13], and also by studying non-human organisms [14–16]. Of these methods, experimental investigation of crowd movement has proven to be the most popular, since it enables the conditions and geometries of the building components to be controlled [17].

One of the first studies that investigated exit flow in high density through bottlenecks of different widths was conducted by Muir et al. [18]. In this study, a series of controlled experiments were undertaken to understand the impact of different exit widths (between 0.6 m to 1.8 m) and different numbers of participants on evacuation from an aircraft. In another experiment, Kretz et al. [19] explored the relationship between flow rate and the width of the egress point with 80-100 young participants moving through widths which varied from 0.4 m to 1.6 m. It was concluded that, by increasing the width from 0.8 m to 1.2 m, the flow rate increased from 1.43 to 2.15 p/s [19]. Seyfried et al. [3] also undertook controlled experiments with 20, 40, and 60 young and healthy participants moving through exit points of varying widths from 0.8 m to 1.2 m and drew the same conclusion, i.e. that the flow rate increased with increasing width. It is important to note that in each of these studies, the participants were young individuals, and most were students.

More recently, Daamen and Hoogendoorn [20] attempted to understand the effect of width on exit flow during emergencies by producing different whoop signals to simulate different levels of emergency. The examined widths were 0.5, 0.85, 1, 1.1, 1.65, 2.2, 2.75 m, and the results indicated that the flow rate was higher than 2.25 p/m/s (the expected value of flow in the Dutch building code) in 13 out of the 16 experiments [20].

The aforementioned studies focussed on the dimensions of the egress points and, specifically, the relationship between exit width and flow. Other studies, however, have investigated the impact of the location of exit points on the flow, i.e. directly comparing the flows for corner exit points and centrally located exit points [16,21]. For example, Shiwakoti and Sarvi [22] investigated the movement of ants from an enclosed area via a corner and centrally located exit points and then scaled and simulated the evacuation of humans from a room with the exit points similarly located. They observed that, in both experiment and simulation, changing the location of the egress point from the middle to the corner of the

1 walkway resulted in the evacuation time decreasing to less than half; the results of the
2 simulation showed that evacuation times for the first 50 pedestrians from the middle and
3 corner exits were 30.5 and 13.8 s, respectively [22]. In another study, Lin et al. [23] ex-
4 plored the effect of different levels of stimuli on the evacuation of mice through centrally
5 located bottlenecks. However, Chen et al. [21] reproduced the experiments conducted by
6 Lin et al. [23] with the same breed of mice, and exit width but, additionally, changed the
7 position of the egress point from the centre to the corner of the wall. Chen et al. [21] ob-
8 served that the evacuation from corner exits was not affected significantly by the level of
9 stimulus (mean evacuation time for each mouse was approximately 3.5 s in all conditions
10 [21]) but in the case of the centrally located exit, the evacuation time varied from 2.5 s
11 to 5.1 s as the stimulus was increased from low level to high level [21]. Both these stud-
12 ies suggested that the location of the bottleneck may impact evacuation time. However,
13 although these studies were conducted on organisms with behaviour that is considered to
14 be similar to humans, the results may not necessarily be generalisable to the evacuation
15 of humans [24].

16 More recently, Jianyu et al. [25] conducted a series of experiments with 131 partici-
17 pants (college students, mean age 18.9 years) to investigate how the width and the location
18 of exit points impacted flow. The results indicated that the flow rate through the centrally
19 located exit point was higher than that through the corner exit point, but that the difference
20 in flow rates decreased as the width of the exit points increased (from 0.6 m to 0.8 m to
21 1.0 m). In another recent study, Shi et al. [24] investigated the effect of the location of
22 the egress point and a nearby column on crowd flow. The subjects (26 healthy male and
23 24 healthy female students) participated in two scenarios in which they were instructed to
24 move at ‘normal walking speed’ and ‘slow running speed’. The results indicated that the
25 corner egress points were more efficient i.e. had higher flow capacity than the centrally
26 located egress points. For example, the flow rates for the middle and corner egress points
27 without any obstacles at ‘normal walking speed’ were 2.67 and 2.81 p/m/s, respectively;
28 the flow rates for the ‘slow run’ were 3.37 and 4.18 p/m/s, respectively.

29 It should be noted that all the aforementioned studies considered simple openings as
30 egress points i.e. doors; however, simple changes in the architecture of the egress point
31 may also increase its capacity [26, 27]. In this regard, a number of studies have inves-
32 tigated the flow through other architectural adjustments of egress points, in particular
33 funnel-shaped bottlenecks. For example, Oh and Park [28] conducted experiments with
34 mice to investigate the impact of the configuration of the funnel-shaped bottleneck placed
35 in the middle of the walkway on flow dynamics. They found that the evacuation time
36 decreased by increasing the angle of the funnel from 0 to 75° whilst the speed decreased.
37 In this study, the most efficient angle of the funnel was 45°.

38 In another study, Sun et al. [26] conducted a series of experiments involving 50 healthy
39 students (27 males and 23 females, mean age of 22 years) to understand and compare
40 flows through a narrow bottleneck with 1 m width and funnel-shaped bottlenecks of 1 m
41 narrow width where the width of entry was 5 m and the angle (between the entrance and
42 wall extension) varied (30°, 45°, 60°, and 90°). They concluded that the most efficient
43 bottleneck was the funnel-shaped bottleneck with an angle between 46° and 65°. Another
44 recent study by Pan et al. [29] investigated the impact of wheelchair users and 4 different

1 angles of funnel-shaped bottleneck on evacuation flow. The narrow point of the bottleneck
2 in each case was 1.2 m and the angles considered were 0 (i.e. no funnel), 15°, 30°, and 45°.
3 Eighty-five healthy individuals (40 females and 45 males, mean age: 22 years) including
4 0, 1, 2, 3 wheelchair users were present in different runs. The most efficient angle in
5 this study both without, and with just one, wheelchair user was 45°. They established,
6 however, by increasing the number of wheelchair users, the angle was deemed to lose its
7 benefits.

8 In a more extensive study on crowd flow through funnel-shaped bottlenecks, Tavana
9 and Aghabayk [27] conducted a series of experiments with a wide age range of partic-
10 ipants (10 to 70 years old). They compared the flow through a narrow bottleneck of 1
11 m width and funnel-shaped bottlenecks of different lengths (1-4 m) and widths (2-4 m),
12 and hence different angles, and concluded that the funnel-shaped bottleneck with a 26.6°
13 angle was the most efficient.

14 To summarise, the aforementioned studies have demonstrated that funnel-shaped bot-
15 tlenecks could indeed improve the crowd flow characteristics in an evacuation compared
16 to simple openings. The potential benefits of funnel shape bottlenecks have also been
17 recognised by Helbing et al. [4]. However, until now, all studies on funnel-shaped bot-
18 tlenecks have considered the egress point to be centrally located. Furthermore, age is an
19 important factor in crowd evacuation and may affect the flow rate [30], and it is important
20 to note that most studies to date have been conducted by recruiting young participants
21 (mostly students).

22 It is important, therefore, to further investigate how the architecture of egress points
23 impacts the capacity of exit. This study will, therefore, investigate the flow through bot-
24 tlenecks placed in the corner and compare it with those ones placed in the middle of the
25 walkway for a more diverse sample of participants.

26 **2 Methodology**

27 **2.1 Experimental Design**

28 The main aim of this study was to open a new area of research on funnel-shaped bot-
29 tlenecks and investigate the flow rates through the mentioned bottlenecks placed in the
30 middle and corner of a walkway for a mixed age group.

31 The experiments were held on Thursday 26th October 2017 at 10 am in the School of
32 Civil Engineering, at the central campus of the University of Tehran. The experiment day
33 was sunny, and the temperature was 24° C.

34 The test area was designed to be 6 m wide and was bordered with tables and chairs.
35 The bottlenecks were adjusted with stands (with 1.6 m height) which were taped in 0.6
36 m, 1.1 m, and 1.6 m height to prevent pedestrians from going outside the path.

37 In total, 8 different funnel-shaped bottlenecks were investigated, four of which were
38 centrally located in the 6 m wide walkway, Fig. 1(a), with the other four placed in the
39 corner, Fig. 1(b). The large width and the small width of all bottlenecks were 3 m and 1
40 m, respectively, and the length varied from 1 m to 4 m in 1 m intervals (which changed

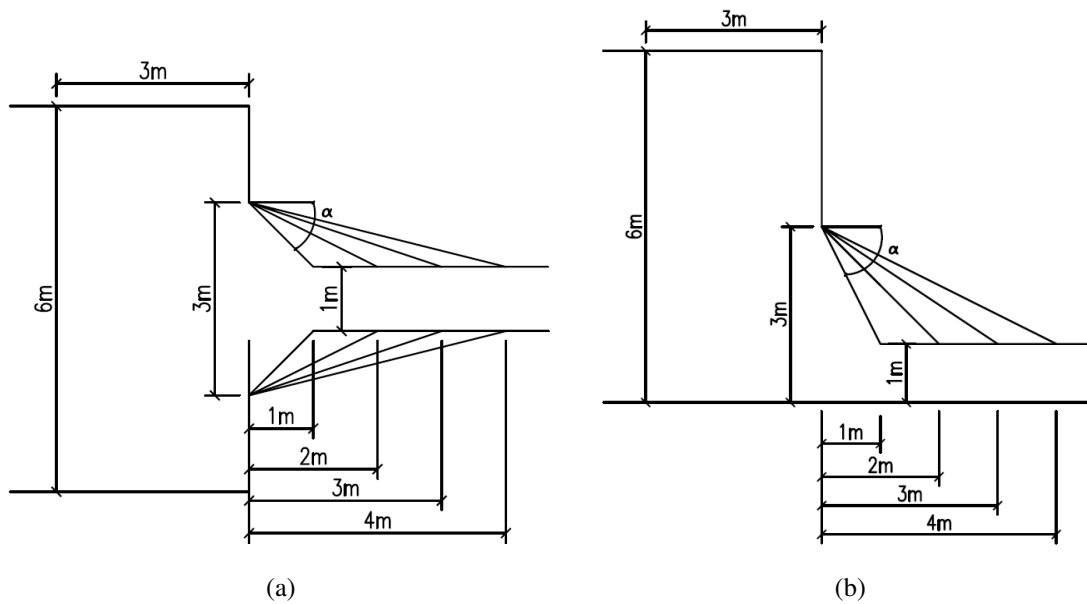


Figure 1 a) Funnel-shaped bottlenecks placed in the middle b) Funnel-shaped bottlenecks placed in the corner

1 the angle of the approach). For the centrally located bottlenecks, the angles were 45,
 2 26.6, 18.4, and 14 degrees for 1, 2, 3, and 4 m bottleneck widths respectively, and for the
 3 corner bottlenecks, the angles were 63.4, 45, 33.7, 26.6 degrees, for the 1, 2, 3, and 4 m
 4 lengths of the bottlenecks, respectively. All bottlenecks were connected to a 1-metre wide
 5 corridor which continued for 10 m.

6 The experiment was performed in two parts. The first set of trials involved evacuation
 7 via the centrally located bottlenecks. The participants were then given a break for a rest
 8 to prevent tiredness, after which time evacuation through the bottlenecks placed in the
 9 corner was investigated. Three runs were performed for each configuration i.e. in total 24
 10 trials were recorded.

11 The experiment was recorded using a camera with HD quality in 60 frames/ second.
 12 The camera was mounted on an 8 m high crane. Specific points on the ground with
 13 known locations were used for calibrating and adjusting the location of the camera. After
 14 recording, the movies were converted to 30 frames/ second. Fig. 2 shows a screenshot of
 15 the experiment.

16 2.2 Participants and Procedures

17 The participants were healthy individuals who were recruited from family and friends
 18 of the experiment's organisers via an advertisement that explained the procedure of the
 19 experiment. During the recruitment process, a deliberate attempt was made to involve
 20 persons across a wide age range as might be expected in many typical evacuation contexts.
 21 Ninety-four individuals, i.e. 43 (45.7%) males and 51 (54.3%) females, aged 10-70 years



Figure 2 Screenshot of the Experiment

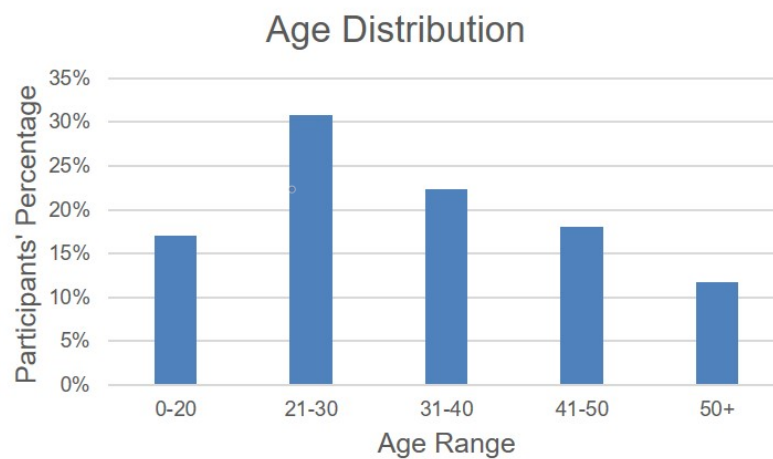


Figure 3 Age Distribution of Participants

1 volunteered to participate in this experiment. The age distribution of the participants is
2 shown in Fig. 3. A professor, a graduate student, and three technical staff were present to
3 manage the experiment.

4 In order to facilitate tracking, each individual was given a hat with indicators before
5 the experiment, and participants were asked to walk at their normal speed i.e., to suppose
6 that they were going to work, school, etc., on a typical day. Prior to the experiment,
7 participants were asked to evacuate via a 1 m wide bottleneck to become familiar with
8 the experimental setup and procedures. At the beginning of each trial, individuals were
9 located approximately 3 m distance from the egress point with a density of 3.1 persons/
10 m². This density was chosen to simulate a high-density evacuation as suggested in a study
11 by Seyfried et al. [3].

3 Results

The aim of this study was to investigate the flow rate through the bottlenecks with different configurations and placed in different locations (corner and middle) of the walkway. This parameter can easily determine the efficiency of an egress point by showing the number of people who are able to pass the egress point during a time interval. To calculate the flow rate, a hypothetical line was considered at the end of the bottlenecks, i.e. just before the participants exited the bottleneck and entered the corridor, where the width was 1 m. The flow rate in bottlenecks placed in the middle and the corner was calculated thus Eq. 1:

$$J = N/\Delta t = \frac{N}{t_n - t_1} \quad (1)$$

Where:

N is the number of individuals passing the line,

t₁ is the time that the first pedestrian passed the line,

t_n is the time that the last pedestrian passed the line.

The results for the bottlenecks placed in the middle are shown in Tab. 1. It can be seen that increasing the bottleneck length from 1 m to 2 m (with the associated decrease in angle from 45° to 26.6°) resulted in a slight increase in flow from 2.13 p/m/s to 2.28 p/m/s (approximately 7%). Subsequent increases in length (and associated reductions in angle) resulted in reductions in the flow (to 2.02 p/m/s at length 4 m (14 ° angle)). However, the flow rate in the bottleneck with 3 m length (18.4° angle) was greater than the bottleneck with 1 m length (45° angle).

Length (m)	1	2	3	4
Angle (Degree)	45	26.6	18.4	14
Flow (p/m/s)	2.13	2.28	2.21	2.02

Table 1 Average flow for bottlenecks placed in the middle

The results for the bottlenecks located in the corner are presented in Tab. 2.

Length (m)	1	2	3	4
Angle (Degree)	63.4	45	33.7	26.6
Flow (p/m/s)	1.99	1.97	2.03	2.08

Table 2 Average flow for bottlenecks placed in the corner

Here we find a different trend, i.e. for bottlenecks placed in the corner, there was a slight decrease in flow (from 1.99 p/m/s to 1.97 p/m/s) with an increase in length from 1 m to 2 m (reduction in angle from 63.4° to 45°), followed by increases in flow with further increases in length to 3 and 4 m (angles of 33.7° and 26.6° respectively).

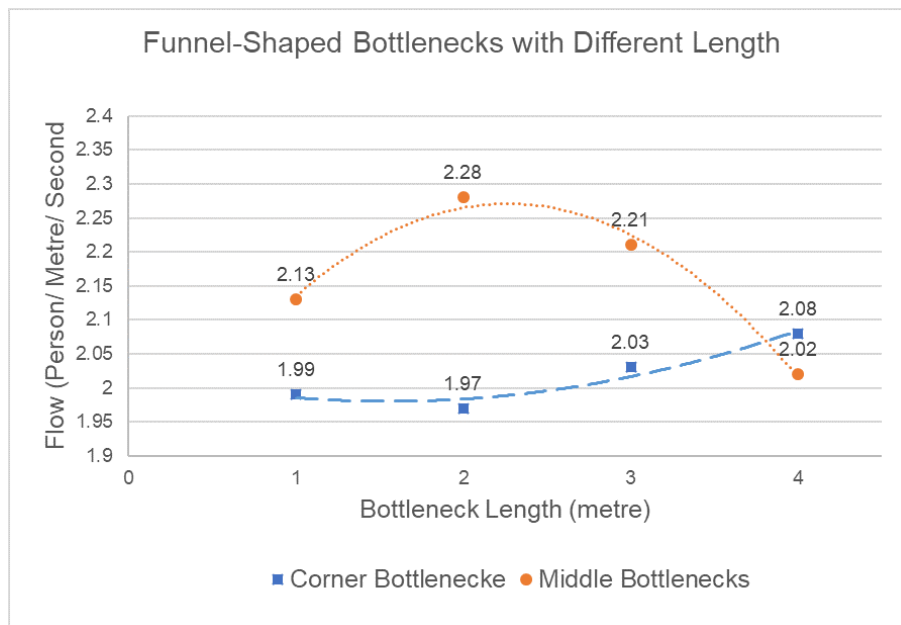


Figure 4 Diagram of flow vs. length of bottleneck for bottlenecks with different lengths

1 Fig. 4 compares the flow rate through bottlenecks in the middle and corner (orange
 2 circle represents middle bottlenecks and corner bottlenecks shown with blue square) for
 3 different lengths.

4 It is clear that in all configurations, except for the bottleneck of 4 m length, the flow
 5 through the centrally located bottlenecks was more efficient than through the respective
 6 corner bottlenecks. The change in flow between the middle and corner bottlenecks were
 7 -6.6%, -13.5%, -8.1% and +3% for lengths of 1, 2, 3 and 4 m respectively. The greatest
 8 difference between the centrally placed and corner bottlenecks occurs in the condition of
 9 highest flow and lowest flow for the middle and corner bottlenecks respectively, i.e. at
 10 bottlenecks which are 2 m in length.

11 To investigate the significance of the differences in pedestrian flow for different dimen-
 12 sions within each configuration of the bottlenecks, i.e. corner and middle, the ANOVA test
 13 was used. The analyses were conducted in IBM SPSS Statistics (V 26) with a 95% level
 14 of confidence. For the centrally located bottlenecks, there were significant differences be-
 15 tween the bottlenecks of different lengths (P -value= 0.018 <0.05). The Bonferroni post
 16 hoc tests revealed that the flow rate in the centrally located bottleneck with 2 m length was
 17 significantly greater than the flow rate in the bottleneck with 4 m length (P -value =0.022
 18 <0.05). Therefore, it can be concluded that by adjusting the angle, a higher flow rate can
 19 be achieved. On the other hand, for the corner bottlenecks, although the flow rate slightly
 20 increased with increases in length beyond 2 m, these differences were not significant (P =
 21 0.186).

22 To check the significance of the differences between corner and middle configurations
 23 of the bottleneck, T-test was used on each pair of bottlenecks of the same length, entry
 24 and exit width. The results of the test show that for 2 m and 3 m length, the flow rate in the

1 middle bottlenecks was significantly greater than that in the corner bottlenecks (P-value =
2 0.011 and 0.046, respectively). On the other hand, no statistically significant differences
3 were observed between the middle and corner bottlenecks for 1 m and 4 m lengths (P-value
4 = 0.063 and 0.179, respectively).

5 **4 Discussion**

6 As mentioned previously, a number of experimental studies, involving both non-human
7 organisms and human participants, and computer simulations have been conducted to
8 explore the flow characteristics through bottlenecks of different configurations and di-
9 mensions. Experimental studies, involving mice or ants, usually found that the relocation
10 of the bottlenecks from the middle of a wall to the corner increased the efficiency of the
11 bottleneck [22, 31, 32]. The study by Shi et al. [24], which involved human participants
12 evacuating at both ‘normal’ walking speed and ‘slow running’ speed, also established
13 that the flow rate through the corner bottlenecks was higher than the equivalent bottle-
14 neck placed in the middle. However, some modelling studies have found different and, in
15 some cases, opposite results of the influence of the location of egress points on evacuation
16 parameters [33, 34].

17 In a similar story to investigate the effect of changing the narrow bottlenecks to funnel-
18 shaped bottlenecks on crowd evacuation, Oh and Park [28] explored the evacuation of
19 mice and then simulated that to extract crowd evacuation; they found that by changing
20 the configuration from narrow bottlenecks to funnel-shaped bottlenecks, the efficiency
21 of the bottleneck increased. These results have been confirmed in experimental studies
22 with human participants conducted by different researchers [26, 27, 29]. It should be
23 mentioned, however, that in all these studies, the funnel-shaped bottlenecks with human
24 participants were located in the middle of the walkway.

25 This study is the first study, therefore, to measure the differences between the crowd
26 flow through funnel-shaped bottlenecks placed in the middle and corner of a walkway. By
27 comparing the results of flow rates in the corner and middle funnel-shaped bottlenecks, it
28 can be concluded that in three of the four adjustments the middle bottlenecks had a higher
29 flow rate than those of equivalent length placed in the centre. In just one adjustment (the
30 bottlenecks of 4 m in length), the corner bottleneck performed better. A T-test indicated
31 that for the 2 m and 3 m lengths, the flow rate through the bottlenecks placed in the
32 middle was significantly greater than the flow through the respective bottlenecks placed
33 in the corner (P= 0.011 and P = 0.046 respectively). On the other hand, the observed
34 differences between the flow rates for the middle and corner bottlenecks for 1 m and 4 m
35 lengths were not significant (P= 0.063 and 0.179, respectively).

36 It is not possible to fully explain the reasons for these differences. It is important,
37 however, to recognise that, although the entry width and exit width of the respective bot-
38 tlenecks in the middle and corner were equal, and the area within the funnel was also
39 equal for a given length, the adjustments were different, i.e. the bottlenecks placed in
40 the middle had a sloping entry to the narrow part of the bottleneck on both sides, whilst
41 the bottlenecks in the corner had a sloping entry to the narrow part of the bottleneck on

1 just one side and a direct entry alongside the wall on the other side. The more efficient
2 flow in the centrally located funnel-shaped bottlenecks may simply be because there was
3 more opportunity and space for the crowd to be more organised to enter the funnel from
4 both sides compared to the respective cases in which the funnel was located in the corner.
5 In comparison, a jam was observed to occur on one side of the funnel-shaped bottleneck
6 placed in the corner and the efficiency of the egress point decreased. By increasing the
7 length of the entrance for corner bottlenecks, more people were able to enter the bottle-
8 neck at a given time and indeed, the jam occurred inside the bottleneck whilst the borders
9 had an influence on organising evacuees to exit. Therefore, rather than struggling to enter
10 the bottleneck and overflowing the jam before the entrance, participants could organise
11 their position in the bottleneck and overall, the crowd was organised more efficiently. As
12 a result, the efficiency of the corner bottlenecks increased slightly with increased funnel
13 length, although these differences were not found to be statistically significant.

14 **5 Conclusion**

15 In public places and structures with users both in daily commute and emergencies the
16 egress points can act as bottlenecks and may cause congestion. It is, therefore, important
17 to explore ways in which the flow and, consequently, the efficiency of bottlenecks can be
18 increased.

19 This study, conducted at the University of Tehran, is the first study to directly com-
20 pare the efficiency of funnel-shaped bottlenecks placed in the middle and corner of the
21 walkway. It compared the flow of 94 volunteers (aged 11-70) through 4 funnel-shaped
22 bottlenecks which varied in length from 1 to 4 m; the entrance and exit widths were 3 m
23 and 1 m respectively.

24 The results show that the flow through the centrally located funnel-shaped bottlenecks,
25 for all the funnels apart from 4 m length, was higher than the flows through the respective
26 bottlenecks placed in the corner. For bottlenecks of 2 and 3 m lengths, these differences
27 were significant. Besides, increasing the length of the bottleneck located in the middle
28 and corner of the walkway showed different trends.

29 This research investigated the flow rates through funnel-shaped bottlenecks with the
30 same entry, exit width and length that were placed in the middle and corner of the walk-
31 way. Although the area within the funnels placed in the middle and the corner was the
32 same, the configurations of the bottlenecks were different, and this may have contributed
33 somewhat to the efficiency of the bottlenecks. It is suggested that further research is
34 needed to fully appreciate how the location and configuration of the funnel-shaped bot-
35 tleneck impacts flow capacity, i.e. further research should explore funnel-shaped bottle-
36 necks with two sloped sides and how their capacity is impacted by their location on the
37 walkway. Furthermore, the efficiency of funnel-shaped bottlenecks with a wider range of
38 angles should be explored.

39 This study provides insights into the crowd flow and suggests further research on
40 funnel-shaped bottlenecks placed in different locations. The results should be of inter-
41 est and valuable for architectures, urban designers, and fire safety engineers to design

1 corridors and egress points in complex structures e.g. metro stations.

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