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Journal:	<i>Expert Opinion on Drug Delivery</i>
Manuscript ID	EODD-2020-ST-0273.R1
Manuscript Type:	Review
Keywords:	Self-emulsifying drug delivery system, solubility, drug delivery, patents, bioavailability

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Orally administered self-emulsifying drug delivery system in disease management: Advancement and patents

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Abstract

Introduction: Oral administration of a drug is the most common, ideal and preferred route of administration. The main problem of oral drug formulations is their low bioavailability arises from poor aqueous solubility of drug. Aqueous solubility of lipophilic drugs can be improved by various techniques like salt formation, complexation, addition of co-solvent etc. but self-emulsifying drug delivery system (SEDDS) is getting more attention for increasing the solubility of such drugs. The SEDDS is an isotropic mixture of drug, lipids, and emulsifiers, usually with one or more hydrophilic co-solvents/co-emulsifiers. This system is having ability to generate oil-in-water (o/w) emulsions or microemulsions upon gentle agitation followed by dilution with aqueous phase. The SEDDSs are relatively newer, lipid-based technological innovations possessing unparalleled potential in improving oral bioavailability of poorly water-soluble drugs.

Areas covered: This review provides updated information regarding the types of SEDDS, their preparation techniques, drug delivery and related recent patents along with marketed formulations.

Expert opinion: The SEDDS has been explored for improving bioavailability, rising intra-subject heterogeneity and increasing solubility. SEDDS offers the benefit of a protective effect against the hostile environment in the gut. The unique fabrication techniques provide specific strategy to overcome the low bioavailability and poor solubility problems.

Keywords: Self-emulsifying drug delivery system, solubility, drug delivery, patents, bioavailability

Article highlights

- Conceptually self-emulsifying drug delivery systems (SEDDS) are isotropic mixtures of drug, lipids, and emulsifiers, usually with one or more hydrophilic co-solvents/co-emulsifiers.
- The SEDDS possess a great potential in oral bioavailability enhancement of poorly water-soluble drugs.
- The process of self-emulsification is dependent on diverse factors such as the nature of oil, surfactant, cosurfactant, oil/surfactant ratio, and the polarity of the emulsion.
- Drug solubility plays a pivotal role in the selection of excipients in SEDDS formulation.
- SEDDS are proving themselves as promising nanocarriers for the efficient drug delivery.

1. Introduction

Around 50% of the novel drug entity has low aqueous solubility and is facing a drug delivery obstacle. Dissolution is the rate-limiting step for less soluble drugs, hence a small increase in dissolution rate sometimes leads to increase in the bioavailability. Formulation performance depends on the rate and extent of the drugs belonging to the Biopharmaceutical Classification System (BCS) Class II [1]. Self-emulsifying drug delivery system (SEDDS) is a lipid-based formulation and an isotropic mixture of surfactants, oil phase, co-solvents and drug that form a milky emulsion with a submicrometric droplet size following mild agitation in water or gastrointestinal fluid [2]. The small globules produced increase the interfacial area allowing for a quicker release of drugs, which can increase the intestinal permeability of a number of drugs by stimulating lymphatic transport and bypassing the metabolism of the first step, thus improving drug bioavailability [2]. The SEDDS typically produces emulsion with a droplet size above 300 nm, however it may vary from coarse to micron size while self-microemulsifying drug delivery system (SMEDDS) forms transparent microemulsions with a droplet size of 100-250 nm. The self-nanoemulsifying drug delivery system (SNEDDS) contains nanoemulsion with low quantity of surfactants with droplet size below 100 nm. These are physically

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3 stable formulations as compared to the emulsions, which are sensitive and
4 metastable dispersed forms. Thus, for lipophilic drug exhibiting dissolution rate
5 limited absorption, these systems may offer an improvement in the rate and extent of
6 absorption and result in more reproducible blood-time profiles [3-8]. The prime
7 distinguished features of SEDDS, SMEDDS and SNEDDS are enlisted in **Table 1**.
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11 Different fabrication techniques, types, characterization process and
12 biomedical applications of SEDDS are depicted in Ishikawa fishbone diagram [Figure
13 1]. The SEDDS shows some merits and demerits over the conventional drug delivery
14 system, which are elaborated in Figure 2 [9-11].
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18 The SEDDSs are relatively newer, lipid-based technological innovations
19 possessing unparalleled potential in improving oral bioavailability of poorly water-
20 soluble drugs. These formulations have been shown to reduce the slow and
21 incomplete dissolution of a drug, facilitate the formation of its solubilized phase,
22 increase the extent of its transportation via the intestinal lymphatic system, and
23 bypass the P-gp efflux, thereby augmenting drug absorption from the GI tract. The
24 SEDDSs is one of the commercially feasible techniques and several products have
25 been filed as new drug application (NDA) and abbreviated new drug application
26 (ANDA). The commercially available SEDDS formulations include Sandimmune[®],
27 Neora[®] (Novartis Pharmaceuticals Corporation); Gengraf[®], Norvir[®], Depakene[®]
28 (AbbVie Inc.); Fortovase[®], Rocaltrol[®], Vesanoid[®], Accutane[®] (Roche Laboratories
29 Inc.); Agenerase[®] (GlaxoSmithKline); Targretin[®] (Ligand Pharmaceuticals/ Eisai
30 Ltd.); and Aptivus[®] (Boehringer Ingelheim Pharmaceuticals, Inc.). In totality, the
31 present review furnishes an updated compilation of wide-ranging information on
32 various requisite vistas of the self-emulsifying formulations, thus paving the way for
33 accelerated progress into the SEDDS application in pharmaceutical research.
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48 **2. Composition of SEDDS**

49 **2.1. Surfactants**

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51 It is one of the essential components in the formulation, as they promote the
52 emulsification properties. Surfactants, being amphiphilic in nature, can dissolve (or
53 solubilize) relatively high amounts of hydrophobic drug compounds. The type and
54 concentration of the surfactant showing effect on droplet size of micro- or nano-
55 emulsions. Therefore, two important factors are hydrophilic-lipophilic balance (HLB)
56 value and concentration of the surfactants [12]. The frequently utilized emulsifiers
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3 include Polysorbate 20 (Tween 20), Polysorbate 80 (Tween 80), Sorbitan mono
4 oleate (Span 80), Polyoxy-40-hydrogenated castor oil (Cremophor RH40), and
5 Polyoxyethylated glycerides (Labrafil M 2125 Cs). In selection of a surfactant, safety
6 is an important factor. Synthetic surfactants are considered to be less safe than the
7 emulsifiers, which are obtained from natural origin. Moreover, these surfactants have
8 a limited capacity for self-emulsification. Emulsifiers from natural sources are seldom
9 employed for the formulation of SEDDS. Ionic surfactants are shown to be more
10 harmful than non-ionic surfactants but may induce reversible improvements in
11 intestinal lumen permeability. Normally, to form stable formulations, the surfactant
12 concentration varies from 30-60% w/w [13].

2.2. Oils

23 The oil serves as among the most essential excipients in SEDDS formulation, as it
24 not only solubilizes the required amount of lipophilic material or promotes self-
25 emulsification, but also improves the fraction of lipophilic drug transferred through
26 the intestinal lymph system. It also improves secretion from the gastrointestinal tract
27 (GIT) based on the molecular properties of the triglyceride [14]. Medium and long
28 and chain triglyceride (MCT and LCT) oils of varying degrees of saturation have
29 been used for the fabrication of self-emulsifying preparations [15]. Mostly the
30 unmodified and raw forms of edible oils provide base as lipid vehicles, but the
31 significant challenges are faced when it fails to dissolve large amounts of lipophilic
32 drugs. Hydrolyzed or modified vegetable oils have made a significant contribution to
33 the application of the systems. In the existence of a significant amount of non-ionic
34 surfactants, such excipients produce good emulsification systems that are approved
35 for oral administration [16, 17].

36 Most of the mono-, di-, and triglycerides and their mixtures in varying
37 proportions, with or without the fatty acid esters of propylene glycol, are available
38 commercially in the purified form. Both unsaturated and saturated fatty acids have
39 been widely employed in the formulation of lipidic systems. However, the SEDDS in
40 particular are comprised of saturated fatty acids such as caproic, caprylic, capric,
41 lauric, and myristic acid. One can make the appropriate choice of these by
42 examining their composition, potential utilities, physical state, and hydrophilic-
43 lipophilic balance (HLB) [13].

2.3. Co-solvents

Relatively high concentrations (usually greater than 30% w/w) of surfactants are required for the development of optimum SEDDS, therefore the concentration of the surfactant may be decreased by the addition of the co-surfactant. This reduces the surface tension and creates a mixed micelle along with a surfactant, which gives more surface area. Also, it keeps the spontaneity of self-emulsification process. Ethanol, propylene glycol (PG) and polyethylene glycol (PEG) are few such examples [18].

Various studies on different kind of SEDDS along with their compositional account and outcome have been summarized in **Table 2**. The inclusion criteria of these studies are similarity in type of surfactant, co-surfactant used and dissimilarity in the type of developed formulations and in their applications. Katla and Veerabrahma developed losartan containing solid self-emulsifying drug delivery system (S-SEDDS) and altered it into liquid self-emulsifying drug delivery system (L-SEDDS). It was observed that L-SEDDS exhibited better self-emulsification efficiency and thermodynamic stability. The *in vivo* study has confirmed the enhancement of oral bioavailability by 2.82 folds. The SEDDS showed stability for three months at room temperature [19].

Zupancic et al. prepared various SEDDS formulations including no lipids (NL-SEDDS), short chain lipids (SC-SEDDS), medium chain lipids (MC-SEDDS), long chain lipids (LC-SEDDS) containing enoxaperin, a low molecular weight heperin (LMWH). The formulations were evaluated for drug release and mucous permeability. The MC-SEDDS and NL-SEDDS revealed good mucous permeability. The MC-SEDDS degraded in presence of pancreatic lipase whereas NL-SEDDS within 90 min showed good stability. The bioavailability of enoxaparin was found to be enhanced by 2-fold [20].

In another study, Zupancic et al. developed daptomycin (lipopeptide) containing SEDDS and performed *in vitro* digestion, permeability and enzyme degradation studies. The optimal formulation was found to be hydrolyzed within 90 min by lipase and showed better mucous permeation along with protection by α -chymotrypsin. The formulation demonstrated sustained drug release for not less than six hours. The study revealed that the payload of daptomycin has been enhanced by 5-folds. Moreover, the result showed that SEDDS comprising 8% drug complex might be tested as a potential oral drug delivery device [21].

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3 Sandhu et al. developed tamoxifen (TMX) and neringenin (NG) containing
4 SNEDDS formulation (TMX-NG-SNEDDS) for the treatment of breast cancer.
5 Different combination of SNEDDS were prepared and evaluated by cell line study,
6 drug release, pharmacokinetic study, and *in vivo* antitumor activity. The authors
7 reported that the formulation showed good micelle forming capacity, drug release
8 within 30 min and reduced percent of tumor burden [22].
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11 Lee et al developed thirteen formulations of 5 α -reductase inhibitor, dutasteride
12 (DTS) loaded supersaturable-SEDSS (SS-SEDSS) for improving the oral absorption
13 of DTS. A polyvinyl caprolactam-polyvinyl acetate-polyethylene glycol graft
14 copolymer, Soluplus[®] (precipitation inhibitor) was employed to develop SS-SEDSS
15 by selecting DTS: SEDSS vehicle:Soluplus[®] in 1:67.6:10 w/v/w proportion. Under *in*
16 *vivo* study, the SS-SEDSS preparation displayed 3.9- and 1.3-folds higher area
17 under the curve (AUC) values in comparison to the drug suspension and SEDSS,
18 respectively. The maximum plasma concentration of SS-SEDSS was found to be
19 2.0- and 5.6-fold greater than SEDSS and drug suspension, respectively. High
20 absorption of drug, pH dependent dissolution of formulation and 3.9-fold
21 enhancement of bioavailability as compared to drug suspension was observed. The
22 outcome suggested that the SS-SEDSS might be an effective tool to enhance the
23 physicochemical property and oral absorption of 5 α -reductase inhibitor [23]
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38 **3. Method of preparation of SEDSS**

39 **3.1. High pressure homogenizer**

40 Nano-formulation is prepared under high pressure. The formation of fine emulsion
41 depends upon the high shear stress applied. The droplet size can be explained by
42 two theories i.e., cavitation and turbulence. This method can produce nanoemulsion
43 of droplet size smaller than 100 nm. The droplet size of nanoemulsions produced by
44 high pressure homogenizers depend on sample composition, homogenizer type, and
45 homogenizer operating conditions such as energy intensity, time, and temperature.
46 High-pressure homogenization is widely used to form food, pharmaceutical, and
47 biotechnological ingredient nanoemulsions [24, 25].
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57 **3.2. High energy approach**

58 The high energy approach requires high mechanical energy by which mixture of
59 components like oil, surfactants and co- solvent are mixed to form nanoemulsion.
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3 High energy methods are extensively used to formulate nanoemulsion [26]. High
4 mechanical energy is used that provide strong disruptive forces, which break up
5 large droplets to nano-sized droplets and produce nanoemulsions with high kinetic
6 energy [27]. However, SNEDDS are based on the self-emulsification phenomenon
7 and require low energy [28].
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13 **3.3. Micro-fluidization**

14 The micro-fluidization method requires a device called Micro-Fluidizer. The positive
15 displacement pump pushes the product to the interaction chamber. This system
16 contains a small droplet channel known as micro channel. The obtained product was
17 sent through the micro channels to the impingements area, which produces very fine
18 droplets of nanoemulsion. The mixture of oil phase and aqueous phase gets into the
19 homogenizer, which yield course emulsion. It is further processed and forms
20 homogeneous, stable, transparent nanoemulsion.
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29 **3.4. Sonication method**

30 The sonication method is the very useful method for the preparation of the SNEDDS.
31 Ultrasonication is better than other high energy methods in terms of operation and
32 cleaning. In ultrasonic emulsifications, ultrasonic waves provide cavitation forces that
33 break the macroemulsion to nanoemulsion [29]. By using this method, the droplet
34 size of the emulsion decreases and a nano-sized emulsion is obtained. The droplet
35 size is reduced by the sonication mechanism [30].
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43 **4. Evaluation techniques of SEDDS**

44 **4.1. Droplet size analysis**

45 The surfactant nature and concentration determine the size of the droplet [31].
46 Droplet size is critical and possesses key importance for self-emulsification as it
47 determines the rate and extent of drug release followed by absorption. Low dilutions
48 are preferred for accurate droplet size evaluation. However, Photon correlation
49 spectroscopy is helpful for determining the droplet size of the emulsion, especially,
50 when the properties of the emulsion do not change upon infinite aqueous dilution
51 [32, 33].
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4.2. Emulsification time and Dispersibility test

The rate of self-emulsification is usually determined by keeping self-emulsifying formulations (pre-concentrate) in a capsule and it to a sufficient amount of water or bio-relevant media. The rate of dispersion is determined by visually. Light microscopy is used to observe the process of self-emulsification. The USP XXII dissolution apparatus can be used for determining the efficiency of oral nanoemulsion or microemulsion. In this case, sample formulation (1 mL) is mixed with water (500 mL) at temperature of $37\pm 1^\circ\text{C}$. For continuous agitation stainless steel dissolution paddle has been utilized with the stirring speed 100 rpm and the time is noted for the emulsion formation. The precipitation and the phase separation of resultant mixture are checked at different time intervals (2, 4, 6, 8, 12, 24 hrs). Grading system, used for evaluating the *in vitro* performance [34] is given below:

Grade A: Rapidly forming nanoemulsion, which takes time less than 1 min and gives bluish colored clear solution.

Grade B: Rapidly develop a nanoemulsion with a bluish-white color.

Grade C: Develop fine milky nanoemulsion within 2 min.

Grade D: Formation of dull, grayish colored emulsion with oily appearance that emulsifies gradually and requires more than 2 min.

Grade E: Weak emulsification resulting in large oil globules on the surface.

The time for emulsification at room temperature is indicated as self-emulsification time for the formulation. Pouton et al. analyzed the emulsification capacity of the different compositions of the Tween 85 and MCT systems via a rotating paddle to facilitate emulsification in a crude nephelometer. It assisted in the measurement of the time taken for emulsification. Once the emulsification was complete, photon correlation spectroscopy (also known as quasi-elastic light scattering or dynamic light scattering) technique was used for particle sizing. The self-emulsified systems were compared with that of homogenized systems. Light microscopy technique was used to observe the self-emulsification process [35].

4.3. Test for transmittance/turbidity measurement

Turbidimeters are used to establish, whether the dispersion attains equilibrium quickly and in a reliable time frame [36]. Orbeco-Helle turbidity meter and Hach turbidity meter have been used frequently [37, 38]. A dissolution apparatus is connected to the turbidity meter. At every 15 sec, optical clarity is observed to

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3 determine clarity of micro or nanoemulsion formed. Turbidity can also be measured
4 in terms of spectroscopic characterization of optical clarity by taking the absorbance
5 of suitably diluted aqueous dispersion at 400 nm [39].
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10 **4.4. Transmission electron microscopy**

11 The SNEDDS sample was introduced inside TEM for visual observation [40]. A drop
12 of SNEDDS sample was kept on the copper grid and 1% w/v phosphotungstic acid
13 solution was added on the grid and kept in room temperature for 5 min. The image
14 was observed with the help of TEM at an accelerated voltage of 100 kV [41].
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20 **4.5. Liquefaction time**

21 This study is performed to calculate time needed by solid SEDDS formulation to melt
22 in vivo without agitation in simulated gastric fluid (SGF) [42]. The formulation is
23 wrapped in a transparent polyethylene film and attached to a thermometer bulb,
24 which is dipped in a round bottom flask filled with SGF without pepsin maintained at
25 $37\pm 1^\circ\text{C}$.
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32 **4.6. Dynamic dispersion study**

33 This study is used to determine if drug was precipitated during dispersion, and if so,
34 what proportion of the dose was precipitated and at what rate [43]. Mohsin et al.
35 performed a dispersion study by dissolving fenofibrate in each SEDDS/SNEDDS at
36 80% saturation level based on its equilibrium solubility studies in the relevant
37 anhydrous formulation. One gram of each formulation was dropped into 100 mL of
38 water in a glass jar and kept in a dry heat incubator at 37°C for 24 h. During this 24 h
39 period, 1 mL of the dispersed sample from each container was withdrawn
40 periodically (0-24 h) and centrifuged at $2,500\times g$. A 100 μL aliquot of the resulting
41 clear supernatant was assayed by the UHPLC method. The dispersion studies
42 confirmed that the mixed glycerides can retain a high percentage of drugs in solution
43 for 24 h in the intestinal media [44].
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55 **4.7. Lipolysis test**

56 *In vitro* lipolysis model for lipid digestion have been increasingly used as tools to
57 assist in the design of self-emulsifying lipid-based formulations to enhance the oral
58 bioavailability of poorly water-soluble drugs. During *in vitro* lipolysis studies, the data
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3 generated from the pH-stat can be used to quantify the rate and extent of lipolysis,
4 and more importantly, the products of lipolysis can be examined after completion of
5 the reaction, to determine the fate of the drug; whether it is solubilized or precipitated
6 [45].
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10 11 12 **5. Types of SEDDS in drug delivery**

13 **5.1. Self-emulsifying capsules**

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15 The basic form of SEDDS is liquid and can be encapsulated in soft/hard gelatin
16 capsules. After the administration of capsules containing conventional liquid self-
17 emulsifying (SE) preparations, the droplets of microemulsion have been formed and
18 dispersed in the GIT and reached to the site of absorption. If microemulsion shows
19 irreversible phase separation, then there will be no improvement in drug absorption.
20 For managing this problem, sodium dodecyl sulfate has been added to the SE
21 formulation. This helped in creating and sustaining the supersaturated form under *in*
22 *vivo* condition. Such formulations contain less surfactant; hence reduce any side
23 effects on GIT [46].
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32 **5.2. Solid SEDDS**

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34 The SEDDS are generally designed in the liquid state, so it has to be administered
35 by soft gelatin capsules, which leads to greater manufacturing costs, lesser
36 portability, lower drug loading and poor stability. For overcoming these problems
37 solid SEDDS(S-SEDDS) has been developed, which shows greater advantages over
38 conventional SEDDS *i.e.* enhancement of solubility, bioavailability, reduced
39 production cost, improved stability and patient complains. For the fabrication of S-
40 SEDDS, liquid or semisolid ingredients are incorporated into powders by various
41 solidification methods like melt extrusion, melt granulation, nanoparticle technology
42 and spray drying. Other techniques can also be employed for the development of S-
43 SEDDS such as adsorption of liquid formulation onto the solid carriers like colloidal
44 silica, hydroxypropyl methyl cellulose (HPMC) and microcrystalline cellulose (MCC)
45 [47-52].
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56 **5.3. Self-emulsifying controlled/sustained-release pellets**

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58 Pellets are more advantageous than other conventional solid dosage forms. These
59 are easy to fabricate, lower GI irritations, intra- and inter-subject variability in plasma
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3 profile. Glyceryl benzoate and glyceryl palmito stearate are mostly preferred for the
4 development of sustained release pellets e.g. SE nitrendipine pellets and
5 progesterone pellets [53].
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10 **5.4. Dry emulsion**

11 It is mostly oil in a water emulsion converted into solid by various methods like
12 carrier adsorption, spray drying and freeze drying. Before use, dry emulsions are
13 dispersed in water. Emulsification of these powders occurs when it gets exposed to
14 an aqueous media. The use of toxic organic solvents can be avoided by this
15 technology and it also removes the stability issues related to contamination by
16 microbes, phase separation and creaming. For developing these types of
17 formulations, MCTs are mostly used as non-aqueous phase [54, 55].
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26 **5.5. Self-emulsifying suppositories**

27 The SE-Suppositories not only increase the GI adsorption but also improve the
28 vaginal and rectal absorption e.g. the indomethacin given orally does not achieve the
29 therapeutic plasma concentration but by vaginal or rectal route it achieves
30 satisfactory therapeutic level [56].
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36 **5.6. Self-emulsifying beads**

37 In the development of this system, the number of excipients used was very less.
38 Solvent evaporation method was mostly used for depositing the SE system onto the
39 microporous polystyrene beads, which consist of complex internal void structures
40 and prepared by copolymerization of divinyl benzene and monomer, styrene. These
41 were found to be chemically inert, biocompatible and stable over a broad range of
42 temperature, pH and humidity [57].
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50 **5.7. Self-emulsifying nanoparticles**

51 Nanoparticles (NPs) can be prepared by various methods including sonication
52 method and solvent injection method. In later technique [58], the molten lipid, drug
53 and surfactant are injected drop wise to the non-solvent system. After this, larger
54 particles were separated by filtration and the remaining filtrate is dried up to obtain
55 the NPs [59].
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6. Biomedical applications of SEDDS

Since the earth evolution, naturally occurring compounds are a great source of medicinal principles. These plant constituents are facing many hurdles in their delivery in the body like low bioavailability, low solubility, and fast release. The SEDDS has attracted more consideration due to better oral bioavailability of drug allowing dose reduction and enhancing their physio-chemical features [60]. Some of the SEDDS mediated drugs with improved oral solubility and bioavailability discussed below and summarized in **Table 3**. The inclusion criteria of the mentioned studies are the drugs showing poor solubility, less bioavailability and used for different applications.

6.1. Anti-coagulant activity

Mundada and Sawant developed SMEDDS using P-glycoprotein (P-gp) modulator excipient to elevate the systemic availability of dabigatran etexilate (DE). Researchers have taken Transcutol HP as co-surfactant, Cremophor EL as surfactant and Capmul MCM C8 as oil phase for the fabrication of SMEDDS. On the basis of MTT assay on Coco-2 cells, the DE-SMEDDS was found to be non-cytotoxic and safe. In addition, the $AUC_{0 \rightarrow t}$ of DE from DE-SMEDDS formulation showed 2.5 times higher and relative bioavailability was improved by 3.36 times more than that from drug suspension on oral administration to rats. The DE-SMEDDS demonstrated higher anticoagulant activity than product suspension [61].

6.2. Antimicrobial activity

Jalil et al. fabricated a SEDDS system containing monododecylamide-EDTA (alkyl-EDTA) and chlorhexidine (CX), which shows enhancement of antimicrobial properties. SEDDS comprising of Tween 80 (17%), Captex 300 (20%), DMSO (18%), and Cremophor EL (45%) were incorporated with alkyl-EDTA (F_A) (3% m/v). Further, formulations have been developed by selecting 1% m/v CX (F_A -CX1%) and 1.5% m/v alkyl-EDTA (F_A -ED1.5%) individually and in combination (F_A -CX1% and F_A -ED1.5%). The biocompatibility of SEDDS was evaluated by Resazurin assay. More than 85% cells were found to be viable after 4 hr. Antimicrobial properties were analyzed by *Escherichia coli* model. The outcomes of this study revealed that combination of (F_A -CX1% and F_A -ED1.5%) demonstrated 34.3- and 12.9-fold improved antimicrobial effect as compared to the 1% of F_A -CX and 1.5% of F_A -ED,

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3 respectively. The researchers concluded that combination of F_A-CX1% and F_A-
4 ED1.5% in SEDDS system improved the antimicrobial activity [62].

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6 Zaichik et al formulated vancomycin loaded SMEDDS with enhanced
7 intestinal mucosa permeating properties and increased absorption of orally
8 administered drug by enhancing the drug lipophilicity via HIP with
9 cetyltrimethylammonium bromide. The formulation exhibited better (4-8-fold) ability to
10 permeate porcine intestinal mucosal barrier. HIP with SEDDS is found to be
11 promising for oral antibiotic delivery [63].

12
13 Zaichik et al developed ciprofloxacin, a fluoroquinolone antibiotic loaded
14 SEDDS for revealing antimicrobial activity and extremely mucus permeating
15 properties through *in vitro* models. Furthermore, the antimicrobial activity of
16 formulation (F11-ciprofloxacin) containing 10% oleic acid as lipid phase, 20%
17 Labrasol, 30% Labrafil M1944 CS, 25% Cremophore EL as surfactants, and 15%
18 Transcutol as co-surfactant against *S. aureus* was found to be higher in contrast of
19 free drug. The outcome of the study suggested that SEDDS formulations might be
20 considered as an effective delivery system for treating pulmonary infections
21 conveyed by mucus dysfunction [64].

22 23 24 25 26 27 28 29 30 31 32 33 34 **6.3. Antihyperlipidemic activity**

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36 Ahsan et al. fabricated S-SNEDDS of rosuvastatin for increasing the *in vitro*
37 drug release and analyzed its anti-hyperlipidemic activity. After 14th day of treatment
38 the results of antihyperlipidemic study showed that cholesterol level was found to be
39 decreased to 33.47% followed by atherogenic index 81.28% and triglycerides
40 40.77%, however high-density lipoprotein (HDL) was increased to 118.43% [65].

41 42 43 44 45 46 47 **6.4. Antioxidant activity**

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49 The SS-SMEDDS were developed by Zheng et al to increase the solubility of
50 ellagic acid. The *in vivo* and *in vitro* antioxidant activity of SS-SMEDDS loaded with
51 ellagic acid have been found considerably higher than that of pure ellagic acid at the
52 same concentration [66].

53
54 Balakrishnan et al composed SEDDS for oral administration of a lipophilic
55 drug, Coenzyme Q₁₀ (CoQ₁₀) to improve its bioavailability and solubility. The
56 optimized SEDDS formulation consisting of 25% v/v Labrafil M 1944 CS, 65% v/v
57 Labrasol and 10% v/v Capryol 90 and exhibited least mean droplet size of 240 nm.
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3 The SEDDS formulation has significantly improved the C_{max} and AUC of CoQ₁₀ than
4 powder form ($P < 0.05$). Thus, SEDDS can be a potential oral dosage form for
5 increasing the bioavailability of CoQ₁₀ [67].
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8 Mamadou et al formulated and studied the capability of SEDDS to increase
9 permeation of resveratrol across the intestine of rat and control its pre-systemic
10 metabolism. Jejunal absorptive transepithelial fluxes (J_{ms}) and pre-systemic
11 metabolism of resveratrol released from semisolid and L-SEDDS formulations
12 were analyzed. The absorptive fluxes from the semisolid nanoemulsions and liquid
13 nanoemulsion were found to be 20.5 ± 3.1 and $28.9 \pm 2.9 \mu\text{g h}^{-1}\text{cm}^{-2}$, respectively.
14 These fluxes were found to be improved as compared to an ethanolic control
15 solution ($J_{ms} = 3.4 \pm 0.3 \mu\text{g h}^{-1}\text{cm}^{-2}$; $p < 0.05$). The results revealed that o/w
16 nanoemulsion with medium-chain lipids could be a possible preparation for improved
17 oral delivery of resveratrol [68].
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27 **6.5. Anticancer activity**

28 The SEDDS have been broadly employed for chemotherapeutic agents to
29 improve their oral bioavailability. **Table 4** enlists the different types of anticancer
30 drugs/active constituents and their pharmacokinetic effects [69-76].
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33 Cadete et al. used a self-emulsification process for formulating docetaxel
34 (DTX)-loaded nanocapsules of hyaluronic acid (HA) without the use of heat and
35 organic solvent. Researchers used A549 lung cancer cells for *in vitro* studies and
36 found effective intracellular delivery of DTX, whereas the blank nanocapsules
37 showed a very low cytotoxicity [77].
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43 Timur and co-workers fabricated doxorubicin (DOX) and LyP-1 peptide
44 containing SMEDDS for evaluating their efficacy in breast cancer. The result showed
45 significantly enhanced *in vitro* cytotoxicity in p32-expressing breast cancer cells
46 (MDA-MB-231 and 4T1), however, metastasis and tumor growth were significantly
47 reduced on intraperitoneal administration of DOX-LyP-1 SMEDDS [78].
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53 **6.6. Chronic heart failure**

54 Jiang et al prepared and analyzed SEDDS to determine the improved
55 preventive activity of curcuminoids on chronic heart failure in rats. Different
56 pathological changes were analyzed in model (coronary artery ligation) group
57 comparative to sham group. After treatment using curcuminoids SEDDS or
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suspension, these changes were inverted related to model group. In the meantime, the SEDDS (ameliorative effect) based curcuminoids was evidently well in its activity than curcuminoids suspension as witnessed by pharmacodynamic studies [79].

6.7. Antifungal activity

Kontogiannidou et al fabricated Amphotericin B (AmB) containing N-trimethyl chitosan chloride (TMC) based SNEDDS and analyzed its transportation ability through GIT. Application of this developed formulation in intestinal epithelium (Caco-2 monolayer) demonstrated its ability to promote the temporary opening of tight junction, duly assisted by TMC. The outcomes of this study suggested that combination of SNEEDS and TMC enhanced the permeation ability to enable oral delivery of AmB [80].

Alhakamy et al formulated Bifonazole (BF)-loaded SNEDDS (BF-SNEDDS) using the mixture design and analyzed the antifungal activity against *Candida albicans*. Researchers found 26±3 mm of zone of inhibition, which indicated enhanced the antifungal activity. So SNEDDS can be used as a promising system for transdermal delivery of BF [81].

Elbahwy et al developed mucoadhesive SEDDS with extended ocular residence time of poorly water-soluble drug, Econazole. The droplet size of SEDDS was found to be <100 nm with polydispersity index <0.3. The SEDDS formulation revealed 2.5-fold greater mucoadhesive activity than plain SEDDS and sustained drug release for 8 hr without noticeable corneal adverse effect in 0.5% m/v concentration. Thus, the formulated mucoadhesive SEDDS was suggested as an effective ocular delivery system for lipophilic drug [82].

6.8. Antidiabetic activity

Agarwal and co-workers developed SMEDDS using extract of *Lagerstroemia speciosa* (SEL) leaves (SEL-SMEDDS) and evaluated its pharmacodynamic performance as antidiabetic activity. At 50 mg/kg dose, the SEL-SMEDDS formulation demonstrated a higher reduction in blood glucose level (BGL) as compared to the plain SEL formulation, however, this reduction was found to be more significant at dose of 100 mg/kg on 15th day of study [83].

EI-Bagory and co-workers prepared dapagliflozin loaded SNEDDS and converted it into S-SNEDDS using Avicel pH-101 as a biocompatible adsorbent. In

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3 diabetic albino rats, the researchers found higher hypoglycemic activity of
4 dapagliflozin containing S-SNEDDS and SNEDDS as compared to the plain drug.
5 This study proposed that S-SNEDDS could serve as an efficient nanovehicle for the
6 oral delivery of dapagliflozin for improved diabetes mellitus management [84].
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10 In another study Agrawal et al developed L-SEDDS of glipizide and converted
11 into S-SEDDS with adsorbent, Syloid® 244 FP. The optimized formulation of L-
12 SEDDS comprised of phosphatidylcholine, Transcutol P and Tween 80. The BGL
13 has been effectively regulated using S-SEDDS as compared to the pure drug *in vivo*
14 [85].
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20 **6.9. Hepatoprotective activity**

21 Oginio et al employed SS-SEDDSto increase the nutraceutical characteristics
22 of ginger extract (GE). The SEDDS of GE comprised of glycerin, lysolecithin and
23 MCT. The formulations enhanced the dissolution property of GE by creating fine
24 micelles of 110 nm size. On oral administration of GE, the relative bioavailability of 8-
25 gingerol and 6-gingerol in SS-SEDDS/GE-treated rat group was found to be 3-fold
26 greater than GE-treated group. The frequent oral administration of SS-SEDDS/GE in
27 dose of 100 mgGE/kg showed hepatoprotective action in carbon tetrachloride-
28 induced hepatotoxicity in rat [86].
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38 **6.10. Benign prostatic hyperplasia**

39 Alhakamy et al. formulated SNEDDS formulation by taking tadalafil (TDL) as
40 drug andpumpkin seed oil (PSO). The zeta potential and average globule size of
41 TDL-PSO were found to be 7.86 ± 1.21 mV and 204.8 ± 18.76 nm, respectively. TDL-
42 PSO showed reduced prostate index (36.71%) and prostate weight (35.51%) as
43 compared to that of the testosterone. As per pharmacodynamic study the
44 concentration of TDL increased 2.3-fold in TDL-PSO system in contrast to the plain
45 TDL. The outcomes of this study concluded that TDL-PSO SNEDDS could enhance
46 the effectiveness of TDL in benign prostatic hyperplasia management [87].
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55 **6.11. Hypertension**

56 Prajapat et al fabricated SMEDDS for a BCS class II drug, nimodipine. Firstly,
57 L-SMEDDS was fabricated by employing simplex lattice matrix design then the
58 optimized formulation was converted into S-SMEDDS using different adsorbents.
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3 The pharmacodynamic study revealed that optimized S-SMEDDS decreased the
4 blood pressure (BP) in rats [88].
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8 **6.12. Cardiovascular activity**

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10 Yadava et al developed a stabilized hydrogel system comprising of SEDDS to
11 enhance the bioavailability of HMG CoA reductase inhibitor, lovastatin. The AUC_{0-5}
12 of formulated hydrogel was found as 2.27-fold greater than free drug. Furthermore,
13 the maximum concentration (C_{max}) was increased around 1.42-fold [89].
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18 **7. Marketed approaches of SEDDS**

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20 Figure 3 presents some of the SEDDS products available in the market. It is
21 obvious that the SEDDS is a commercially viable system for BCS Class II and IV
22 drugs [90].
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27 **8. Patent perspective of SEDDS: Recent updates**

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29 Various methods have been developed or patented for the fabrication of drug
30 or therapeutics containing SEDDS. A description of the SEDDS related patents has
31 been presented in **Table 5** especially for the period of 1999-2020 [91-130].
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34 Wang et al 2020 invented a fabrication method for self-microemulsion of β -
35 elemene. Proposed fabrication method has utilized 3-12 parts of β -elemene, 6 parts
36 of ethyl oleate, 6-10 parts of a co-surfactant (PEG400 and/or 1, 2-propylene glycol),
37 and 10-15 parts of a surfactant (polyoxyethylene 40 hydrogenated castor oil and/or
38 Tween 80). Results have shown that ethyl oleate, polyoxyethylene 40 hydrogenated
39 castor oil and 1, 2-propylene glycol have better compatibility and can be excellently
40 dissolved as well as rapidly emulsified in different proportions. The emulsifying
41 potential of Tween 80 is low, so polyoxyethylene 40 hydrogenated castor oil is used
42 as a surfactant and PEG400 is selected as a co-surfactant [91].
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50 Zhang et al 2020 developed a solid self-microencapsulated microcapsule,
51 which uses combination of astaxanthin and quercetin so that the conventional single-
52 carrying astaxanthin mechanism can be disrupted and quercetin can inhibit the
53 external discharge effect of P-glycoprotein (P-gp) to the drug. This action of drug
54 metabolized CYP3A4 enzyme, inhibited P-gp and improved bioavailability. The
55 findings of this invention concluded that proposed system can improve the stability,
56 dissolution rate and bioavailability of the drug [92].
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Xue et al 2020 invented a self-emulsification system by using water-based epoxy resin as curing agent. Mentioned system contains amino silicone oil (1-10 parts), epoxy resin (20-30 parts), reaction auxiliary agent (0.01-5 parts), solvent (120-250 parts) and end-capping agent (1-10) parts. This system can provide outstanding curing efficiency on various water-based epoxy resins. Further, the epoxy resin film has reasonable durability including heat and chemical resistance, electrical insulation, and hydrophobicity [93].

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Chen et al 2019 developed a type of injectable self-emulsifying drug emulsion and disclosed its fabrication process along with application. Mentioned system uses surfactants with high emulsibility and low dose so that it becomes less irritant to body tissue. The outcomes have revealed that if the pre-mixing liquor of emulsion is less than 40%, then the corresponding dosage type is oil-in-water (o/w) emulsion, however, if it is more than 65%, then the subsequent dosage form is water-in-oil (w/o) emulsion. The inventors have been claimed that drugs accounting 40-60% (ideally 50%) are appropriate for slow release and can help to attain higher stability [94].

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Liu et al 2019 patented an invention of chlorogenic acid self-emulsifying composition and its application. Inventors prepared the composition by taking chlorogenic acid, a matrix material compound, emulsifier and oily phase and disclosed that for avoiding lamination or solidification, formulation should be placed at room temperature. Above composition could be administered as orally, percutaneously, nebulized inhalation system and mucosal delivery. The formulation was found effective for antiviral, antitumor and anti-inflammatory treatment [95].

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Jung et al 2019 developed SMEDDS containing ticagrelor for enhancing the bioavailability by alleviating poor solubility and low intestinal permeability of ticagrelor. Furthermore, the composition of ticagrelor enhanced the efficacy of active components and reduced their amount [97].

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Christopher et al 2019 disclosed SEDDs for oral administration of water-insoluble cannabinoids. This mentioned cannabinoid-loaded SEDDS preparation permitted the oral administration of cannabinoids to achieve their higher oral bioavailability to control or prevent a disease, condition or symptom of the disease [100].

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Xiong et al 2018 patented a research of sanguisorbin containing SEDDS. Inventors prepared the formulation by taking large amount of sanguisorbin along with

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3 0.05-0.25% of oil phase, 0.45-0.65% of surfactant and 0.1-0.3% of co-surfactant.
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5 The goal of the discovery was to resolve the prior art deficiency in order to provide a
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7 kind of SE sanguisorbin drug. The inventors found significantly improved solubility
8
9 and dissolution rate of sanguisorbin loaded SEDDS [104].

10 Zhang et al 2018 fabricated a kind of osthole SEDDS by taking 0.1-10% of
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12 osthole (an active ingredient isolated from extract of fruit *cnidii*, along with 5-45% of
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14 surfactant, 25-55% of oil phase and co-surfactant as an auxiliary material. The
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16 inventors found that osthole SMEDDS can attain 90% or more dissolution in 45 min
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18 as compared to osthole bulk pharmaceutical chemicals (less than 40% in 180min).
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20 The dissolubility of the product enhanced osthole infiltration and increased bio-use of
21
22 ostholes in the human body [103].

23 Jianget al 2018 patented an invention of asarone encapsulated SEDDS. This
24
25 system was made up of asarone, oil phase (10-70%), surfactant (30-80%) and co-
26
27 surfactant (0-30%). The inventors claimed that above prepared system significantly
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29 enhanced the drug bioavailability, increased the stability and improved the drug-
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31 eluting rate [106].

32 Hustvedt et al 2017 patented a formulation containing fatty acid like
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34 eicosapentaenoic, docosahexaenoic acid etc., free fatty acid, antioxidants, and
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36 various surfactants. The pre-concentrates are able to form SEDDS, SNEDDS or
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38 SMEDDS in aqueous solution. It can be given in the form of tablet or capsule for the
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40 treatment of any health-related problem like visual function, cardiovascular function,
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42 insulin action, immune function etc [108].

43 Chow et al 2016 disclosed about the formulation containing mebendazole, a
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45 benzimidazole derivative, oil, surfactant, dipolar aprotic solvent and co-solvent
46
47 prepared by micro-emulsion and co-solvency method. The formulation increased the
48
49 bioavailability by improving the solubility and drug release by 130-fold as compared
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51 to unformulated suspension. The developed formulations demonstrated high efficacy
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53 in the treatment of hyper-proliferative diseases and cancer [110].

54 Nahat et al 2015 disclosed the pharmaceutical composition incorporating
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56 rhein or diacerein and other excipients. The invention claimed that 50 mg of
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58 diacerein was found to be bioequivalent to marketed product, Art 50® and reduced
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60 the side effect i.e. soft stool. The SS-SEDDS was prepared, which lowered the side
effects of surfactant and resulted in reduction in gastrointestinal side effects [112].

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3 Liu et al 2014 developed SEDDS based novel delivery system, which
4 composed of 1-65% butylphthalide and 10-65% other essential ingredients.
5 Inventors claimed that with the increase in surfactant concentration, microemulsion
6 was formed inside GIT. The SEDDS was first emulsified than dispersed throughout
7 the GIT and resulted in lowering of mucosal irritation. Thus, the nano-or micro-sized
8 particles crossed the membrane and oil droplets moved into the blood circulation
9 leading to increase in bioavailability and stability of drug [115].

15 Khan et al 2014 disclosed about the eutectic SNEDDS formulation containing
16 CoQ₁₀, essential oil, copolymers and co-surfactants. The semisolid formulation was
17 developed and introduced inside the soft gelatin or hard gelatin capsule. It melted
18 down at body temperature losing consistency from semisolid to liquid and dispersed
19 to form nanosized droplets [116].

24 Legen et al 2013 developed SMEDDS with the help of polysorbate 80 to
25 improve the solubility of poorly soluble substance. Further, it has overcome the
26 problem related to the liquid or semisolid administration by delivering the substance
27 in hard or soft gelatin capsule [117].

31 Lin et al 2012 prepared SMEDDS, which comprised of CoQ₁₀, poorly soluble
32 excipients like hydrophilic surfactant with HLB value more than 12, hydrophobic co-
33 surfactant having HLB less than 8 and hydrophobic solvent with co-surfactant and
34 surfactant. The ratio of hydrophilic surfactant to lipophilic co-surfactant was selected
35 in the range from 30:1 to 3:1. Inventors demonstrated increased loading capacity,
36 with improved stability up to 80 days and enhanced dissolution near to 100% [118].

41 Kohli et al 2011 fabricated SNEDDS containing curcumin. Precipitation of
42 curcumin by surfactant is a common problem in curcumin-based formulations,
43 however, this problem was not observed in case of developed SNEDDS. The
44 formulation showed good loading capacity, enhanced bioavailability and better
45 stability [119].

50 Holmerg et al 2010 prepared a formulation having nitrogen oxide (NO)
51 releasing non-steroidal anti-inflammatory drug (NSAID), phospholipids, surfactants,
52 semisolid fat or oil and short chain alcohol. The formulation was in pre-concentrate
53 form, which could be enclosed in capsules, lozenges or chewable pills at the time of
54 administration. On contact with gastric fluid pre-concentrate converted into o/w
55 emulsion and it could be a better solution for preventing problems related to stomach
56 [120].

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3 Simonnet et al 2001 developed a nanoemulsion containing anionic surfactant,
4 aqueous phase and oily phase belonging to oxyethylenated derivative and
5 phosphoric acid fatty ester. The globule of oil having molecular weight more than 400
6 dalton showed the size less than 100nm. The weight ratio of the oil phase to the
7 aqueous phase varies from 2 to 10. This invention explained about the method of
8 preparation, its good transparency and uses of nanoemulsion in dermatological,
9 ophthalmic, cosmetics and topical pharmaceuticals [128].

15 Mulye et al 2000 developed a formulation, which contained cyclosporine, non-
16 ionic surfactant with HLB value more than 10 and fatty acid with carbon chain C6 to
17 C22. This system was found to overcome the problems related to solubility and
18 dissolution with advantages of high drug load and patient compliance because of
19 reduction in size of the dosage form. Leakage and brittleness could also be
20 prevented by administering it in soft or hard gelatin capsule [129].

26 In another study Bhalani et al 1999 prepared a formulation possessing
27 cyclosporine, a water insoluble drug having problem related to taste and instability.
28 For controlling such problems polar lipid SEDDS (PLSEDDS) was developed by
29 adding cyclosporine with polar lipid and surfactant, which in presence of aqueous
30 medium formed emulsion with globule size less than 50 nm. The PLSEDDS
31 demonstrated the advantage of self-stability of formulation, no need of hydrophilic
32 co-solvent or aluminum blister packaging [130].

39 9. Conclusion

41 Based on the various published studies, it can be concluded that SEDDS can
42 be an appropriate carrier for the delivery of lipophilic substances with a minimum
43 concentration of surfactant, a high drug loading potential and the necessary dilution
44 can be obtained without drug precipitation. The SEDDS can be used for the
45 development of the formulations of drug/bioactive with poor aqueous stability.
46 Further, this technique can be explored for the development of a formulation with
47 prolonged drug release by introducing appropriate polymer in composition. The
48 advancement of this technology would give rise to a new application in the area of
49 drug delivery. SEDDS has been shown to be essentially effective in enhancing oral
50 bioavailability of lipophilic products.

10. Expert opinion

Conventionally, drugs which are clinically magnificent and significant have always been difficult to handle, owing to its poor aqueous solubility or permeability which leads to lower therapeutic response (causing multiple dose regimen; also may lead to toxicity) and poor bioavailability has automatically reduced the chance of any drug to come to the market claiming it to be therapeutically safe and efficacious. Therefore, converting a drug in such a formulation which would not only reduce the dosing frequency, but also ensure to reduce the dose with maximized efficacy is an art and a challenge for formulation scientists. Approximately 40% of active pharmaceuticals are poorly water soluble. Lipid-based drug delivery systems in general and SEDDS in particular has great potential for enhancing solubility and bioavailability of poorly water-soluble drugs. Since this ability has been recognized for almost two decades, the full impact of SEDDS and its elements on the handling of these issues has been acknowledged in recent years.

Research articles and patents in various countries report many of the application and fabrication techniques of SEDDS. We have incorporated the latest patents focusing on the composition, classification and systemic optimization techniques of SEDDS. This will open the way for rapid advancement in pharmaceutical research as well as patents on SEDDS technology. The great interest in fabrication of SEDDS is to be a specific viable strategy for solving the problem with low oral bioavailability of hydrophobic drugs. Currently, oral SEDDS has received a lot of attention as a remedy to solve issues related to intra- and inter-subject heterogeneity, shortage of dose proportionality of hydrophobic drugs, and poor oral bioavailability. Some significant *in vitro* features like zeta potential, oil/surfactant ratio, droplet size, emulsion polarity and surfactant concentration play key roles in the oral absorption of SEDDS containing drug. It can be administered orally as a hard-gel capsule (HGC) or soft-gel capsule (SGC) and also boosts the bioavailability of drug to maximize solubility and reduces gastric discomfort. After the administration of formulation, drug remains trapped in the oily droplets (within the droplet or in the film of the surfactant at the interface) of the emulsion formed during the self-emulsification process in the GIT. It is also a bit troubling to claim that the medication is being extracted from SEDDS, it is more correct to say that it diffuses into the GIT media from oily droplets and in reality the mixture is established

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3 between the substance absorbed in oily droplets and the outer distributed media
4 (e.g. GIT fluids).
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6 In addition to enhancing the solubility of poorly soluble drugs, SEDDS also
7 improves the bioavailability of drugs through a number of other possible pathways,
8 such as inhibiting P-gp efflux, resistance to metabolism by cytochrome P450 family
9 enzymes in GIT and liver, as well as bypassing the hepatic first-pass effect.
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12 A significant growth in both published research papers and patents in the area
13 of SEDDS clearly demonstrates that it is an innovative delivery method for safe and
14 selective distribution of drugs and other bioactives. The SEDDS can be developed
15 by high pressure homogenization, high energy approach, sonication and micro-
16 fluidization techniques. However, these approaches yield SEDDS of different size
17 and distribution. One needs to be careful when choosing a technique. In general,
18 SEDDS are composed of oil, surfactant, co-surfactant and water. However, the
19 choice of ingredients can influence various features including size, shape, solubility
20 of drug, polydispersity, in vitro and in vivo drug release from SEDDS. Such
21 specifications should also be carefully optimized for maximum efficacy of the
22 fabricated formulations.
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25 The SEDDS as a drug carrier has been tested for a wide range of applications
26 including enhancement of oral bioavailability and solubility of drugs with low aqueous
27 solubility. From the literature review, it is very obvious that patents are coming from
28 every corner of the world in almost all directions of drug delivery utilizing SEDDS as
29 one of the choices among drug carrier options. Hence more modified version of
30 SEDDS or simplified and industry-friendly fabrication techniques are warranted in
31 near future.
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1
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3 **Funding**
4

5 This paper was not funded.
6
7

8 **Declaration of interest**
9

10 The authors have no relevant affiliations or financial involvement with any
11 organization or entity with a financial interest in or financial conflict with the subject
12 matter or materials discussed in the manuscript. This includes employment,
13 consultancies, honoraria, stock ownership or options, expert testimony, grants or
14 patents received or pending, or royalties.
15
16
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19

20 **Reviewer disclosures**
21

22 Peer reviewers on this manuscript have no relevant financial or other relationships to
23 disclose.
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**** of considerable interest**

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3 **Figure Legends**
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5 Figure 1. Ishikawa fishbone diagram depicting different fabrication techniques, types,
6 characterization process and biomedical applications of SEDDS

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8 Figure 2. Merits and demerits of SEDDS
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10 Figure 3. Some marketed products of SEDDS
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13 **Table Legends**
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15 Table 1. Comparative features of SEDDS, SMEDDS and SNEDDS
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18 Table 2. Tabular presentation of different kind of SEDDS along with their
19 compositional account and outcome
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21 Table 3. SEDDS mediated drugs with improved oral solubility and bioavailability
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23 Table 4. Different anticancer drug containing SEDDS and its pharmacokinetic action
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25 Table 5. Description of SEDDS related patents especially for the period of 1999-
26 2020 [91-130]
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Review Only



Graphical Abstract

988x315mm (96 x 96 DPI)

Table 1. Comparative features of SEDDS, SMEDDS and SNEDDS

Features	SEDDS	SMEDDS	SNEDDS
Appearance	Turbid	Optically clear	Optically clear
Size	>300 nm	100-250 nm	<100 nm
Concentration of surfactant	30–40%	40–80%	40–80%
Concentration of oil	40–80%	>20%	>20%
HLB value of surfactant	<12	>12	>12
Category as per Lipid formulation classification system	Type II	Type IIIB	Type IIIB

Table 2. Tabular presentation of different kind of SEDDS along with their compositional account and outcome

Type of SEDDS	Composition	Features	Cell line study/in vivo study	Outcome	Reference
Solid-SEDDS	Losartan, Labrasol, Labrafil M1944 CS, Lauroglycol 90, Labrafaclipophile WL1349, Transcutol P, Labrafil M2125, Cremophore, Campul, Tween 80, Oleic acid, Mannitol, Neusilinsylsya, Stearyl amine, Neusilin, Sylysia 350	Globule size- 142.51±3.46 nm; PDI - 0.254±0.01; Zeta potential (ZP)- +16.66±0.47	The study was performed in male wister rat. Losartan suspension was taken as control and S-SMED-N (Neusilin) as test. Pharmacokinetic parameters like C _{max} , T _{max} , AUC _{total} etc were determined using Kinetica software.	The C _{max} and AUC _{total} were found to be 7.79±0.54 µg/mL and 39.57±5.31 µg/ml/hr, respectively. These values were statistically evaluated. The values were observed to be much higher than the losartan suspension levels. It showed 2.82-fold increase in bioavailability as compared to losartan suspension.	[19]
SEDDS	Enoxaparin, Float-a-lyse, Captex 8000, CapmulPG-8 EP/NF, Peceol, Labrafil M 1944 CS, Maisine, Labrasol, Transcutol HP, Mygliol 840, Cremophore, Triacetin, Propylene glycol, Sesame oil, Cetrimonium bromide, Dodecylamine hydrochloride, Olive oil, Benzalkonium chloride, Fluorescein daiacetate, Lipase, Bile salts Azure hydrochloride, Sodium deoxycholate and Sodium cholate in 1:1 ratio	The droplet sizes of LC (Long chain lipids) 10, MC (Medium chain lipids) 10 and NL (No lipids) 9 were found to be 60.20±37.7, 38.2±6.2 and 44.7±12.46 nm, respectively. The PDI value of all was found in between 0.31-0.52.	In vivo research was conducted in 6 male Sprague- dawley rat groups, One group was treated with enoxaparin injection and others with oral administration. The enoxaparin sample was analyzed using Biophen [®] heparin anti-Xa kit.	There is an increase in 2-fold of anti-Xa activity of oral enoxaparin as compared to enoxaparin aqueous solution Hence, the absolute bioavailability was found to be 2.25% and 2.02%, respectively.	[20]

SEDDS	Daptomycin, Capmul MCM EP, Dermofeel MCT, Cremophore RH 40, Cremophore EL, Benzalkonium chloride, Cetrimonium bromide, Dodecyl amine hydrochloride, Lipase, Bile salts, α -chymotrypsin, Float-a-lyser.	Droplet size 36 \pm 5 - 274 \pm 151 nm, PDI- \leq 0.3.	-	-	[21]
SNEDDS	Tamoxifen (TMX), naringenin (NG), Labrafil 1944 CS, Caproyl-90, Labrasol, Transcutol P, Corn oil acconon C6, Soyabean oil, Sunflower oil, Sesame oil, PEG 400, Acrysol EC-35, Tween 80, Acconon CC-6, Transcutol HP.	Globule size 53 and 73 nm; Emulsification time 1-3 min.	<p>Cell line study: By PBS (pH 7.4) MCF-7 cells were washed and 100 μL of TMX (5 mg/mL in PBS), incubated for 4 hrs. Formazon crystals were formed and it was thawed in DMSO (100 μL), absorbance was checked by microplate reader at 570 nm.</p> <p>In vivo antitumor activity: Breast cancer was induced in female wister rats using 7, 12-dimethyl benz-anthracene (DMBA) with dose of 45 mg/kg for three weeks consecutively. Animals were separated and divided into different groups. After 10 weeks of DMBA dosing, drug was</p>	<p>Cell line study showed that after 24 hrs of incubation, TMX-SNEDDS and TMX-NG-SNEDDS showed 6.5 and 22-fold increased cytotoxicity, respectively.</p> <p>In vivo study The tumor size was estimated to be 15% for TMX-NG-SNEDDS, which was smaller than from other formulations. The Kaplan-Meier scenario indicated species reproduction in the case of TMX-NG-SNEDDS. The TMX-NG suspension and TMX-SNEDDS displayed 80% and 40% mortality, respectively.</p>	[11]

			administered once in 3 days to one group and positive control as saline given to another group orally. For 30 days tumor growth was observed and survival rate was monitored for 60 days. Percent tumor burden was determined by Kaplan-Meier curve.		
Super saturable- SEDDS	Dutasteride (DTS), Transcutol HP, Capryol, Cremophore EL, Soluplus, Kollicoat MAE 30 DP (Methacrylic acid ethylacrylate copolymer), Hypromellose 2910, Kollidon 90F, Acetonitrile, Finasteride and Methanol.	The particle sizes of F1-F3 and F4-F13 formulation were found to be 130 nm and 90-110 nm, respectively. The PDI was less than 0.3 and the drug content was 97.6-105.7%.	Male Sprague-Dawley rats were taken and segregated in three different groups and fasted for 16 hrs. 1 mL (0.2%) of methylcellulose (MC) suspension, which contains DTS was given to the first group, conventional SEDDS is given to the second group and S-SEDDS is administered to the third group with dose of 2 mg/kg.	SEDDS and SS-SEDDS showed significant increase in plasma level (within 3 hrs) as compared to drug suspension (12 hrs). $AUC_{(0-24hrs)}$ of S-SEDDS was 3.9-fold more than the drug suspension and 1.3-fold higher than SEDDS. The C_{max} of SS-SEDDS was found to be 2.0 and 5.6 folds higher than SEDDS and drug suspension, respectively.	[23]

Table 2. SEDDS mediated drugs with improved oral solubility and bioavailability

Indication	Bioactives/ Drugs	References
Anti-coagulant	Enoxaparin	[57]
Antibiotic	Daptomycin, Vancomycin, Ciprofloxacin	[38-60]
Anti-hyperlipidemic	Atorvastatin calcium	[61]
Antioxidant	Alpha-mangostin, Coenzyme Q ₁₀ , Resveratrol	[63-65]
Anticancer	Enoxaparin, Diindolylmethane-14 (DIM-14), 1, 1-bis (3'-indolyl)-1-(p-substituted phenyl) methanes (DIM-P), Erlotinib, Paclitaxel, E804, Lycopene	[66-73]
Chronic heart failure	Curcuminoids	[76]
Antifungal	Econazole	[79]
Anti-diabetic	Glipizide	[82]
Hepatoprotective	Gingerol	[83]
Benign prostatic hyperplasia	Dutasteride	[84]
Hypertension	Nimodipine	[85]
Cardiovascular activity	Lovastatin	[86]

Table 3. Different anticancer drug containing SEDDS and its pharmacokinetic action

Drug/Active constituent	Use	Excipients	Size (nm)	Dose (mg/kg)	Species/ Cell lines	Pharmacokinetic effect	Reference
Enoxaparin	Tumor targeting ligands		91-102 nm	-	Human epithelial colorectal adenocarcinoma and human breast adenocarcinoma cell lines	<ul style="list-style-type: none"> • High stability in albumin and serum plasma • Insignificant hemolytic activity • Higher uptake on both cell lines than uncoated SEDDS 	[69]
DIM-P	Nonsmall-cell lung cancer	Labrafil 1944, TPGS, Enova oil, Eudragit, Cremophor EUL, Mannitol, L30 D55	64-292	20 and 3.33	Labrador retriever dogs and Sprague dawley rats	<ul style="list-style-type: none"> • C_{max} and AUC_{0-t} increased to 2.49 and 3 times, respectively in rats as compared to the native approach • C_{max} and AUC_{0-t} increased 2 and 2.92 times, respectively, in dogs as opposed to native treatment 	[70]
DIM-14	Nonsmall-cell lung cancer	TPGS, Labrafil, Enova oil,	230-246	3.33	Labrador retriever dogs	<ul style="list-style-type: none"> • C_{max} and AUC_{0-t} increased to 1.8 and 2.4 times, respectively, as compared to native approach 	[71]
E804	Chronic myelocytic leukemia	Solutol HS 15, PEG 400, Capmul MCM	16.8-140	50	Beagle dogs	<ul style="list-style-type: none"> • In contrast to the E804 aqueous suspension, C_{max} and AUC_{0-t} improved to 6.3 and 9.8 times, respectively 	[72]
Paclitaxel	Breast, prostate, and lung cancer	Labrasol, Sesame oil, sodium deoxycholate	<100	10	Rabbits	<ul style="list-style-type: none"> • Compared to PTX-suspension, C_{max} and AUC_{0-t} increased to 3.99 and 2.7 times, respectively 	[73]

Erlotinib	Nonsmall-cell lung cancer	Transcutol HP, Aerosil200, Labrafil M2125CS, Labrasol, Dextran 40	150-250	20	SD rats	<ul style="list-style-type: none"> • In comparison to erlotinib, dextran-based S-SEDSS showed C_{max} and AUC_{0-t} increased to 2.4 and 2.1 times, respectively • In comparison to erlotinib, Aerosil-based S-SEDSS showed C_{max} and AUC_{0-t} increased to 4.2 and 3.5 times, respectively 	[74]
Lycopene	Prostate cancer	Gelucire, Cremophor RH, Tween 85, LCT	37	50	Female landrace pigs	<ul style="list-style-type: none"> • In comparison to Lycovit, C_{max} and AUC_{0-t} have increased to 2.85 and 2.3 times, respectively 	[75]

Table 4. Description of SEDDS related patents especially for the period of 1999-2020 [91-130]

Inventors/ Assignee	Patent number	Year of patent	Composition	Reference
Wang Yancai, Guo Juan, Yan Beibei, et al.	CN11113 5143A	2020	Ethyl oleate, surfactant, co-surfactant, β -elemene	[91]
Zhang Chaoyan, Zhou Ying, Li Xueyan et al.	CN11126 4860A	2020	Astaxanthin, Quercetin, Cinnamon oil or Castor oil, Tween 80, Polyoxyethylene hydrogenated castor oil 40, Polyethylene glycol 400	[92]
XueRuizhi	CN11123 4178A	2020	Amino silicone oil, Epoxy resin, Reaction auxiliary agent, Solvent, End-capping agent	[93]
Chen Dexiang, Dong Lichun	CN10952 8652A	2019	Oil phase (30-50%), Emulsifier (5-10%) and Pharmaceutical aqueous solution (40-60%)	[94]
Liu Yuling, Chen Xiaoguang, Zhang Jie et al.	CN11017 9750A	2019	Chlorogenic Acid, Oil phase, Emulsifier	[95]
Anavi-Goffer S	US201900 60300A1	2019	One CB2 receptor modulator, Self-emulsifying vehicle, Active agents (one antipsychotic agent, one GPR55 modulator, one anti-inflammatory agent)	[96]
Jung-Won Cho, Na-Guk, Lee Hong-ki, et al.	KR10200 7731B1	2019	Ticagrelor, Oil phase (Caprylic acid glycerides), Surfactant (Polyoxyethylenesorbitan fatty acid), Co-surfactant (Diethylene glycol monoethyl ether and Tetraglycol)	[97]
Chang-Shan, HsuWei-Hua Hao, Jong-Jing Wang et al./Innopharmax Inc	US201902 75006A1	2019	Hydrophilic drug, Solvents Surfactants, hydrophilic carriers.	[98]
Mandip Sachdeva, Ketankumar Patel, Arun Rishi/Florida Agricultural and Mechanical University	US101728 38B1	2019	Cell cycle and apoptosis regulatory protein-1, Lipidic excipient, Surfactant, Organic solvent (Dimethyl acetamide)	[99]

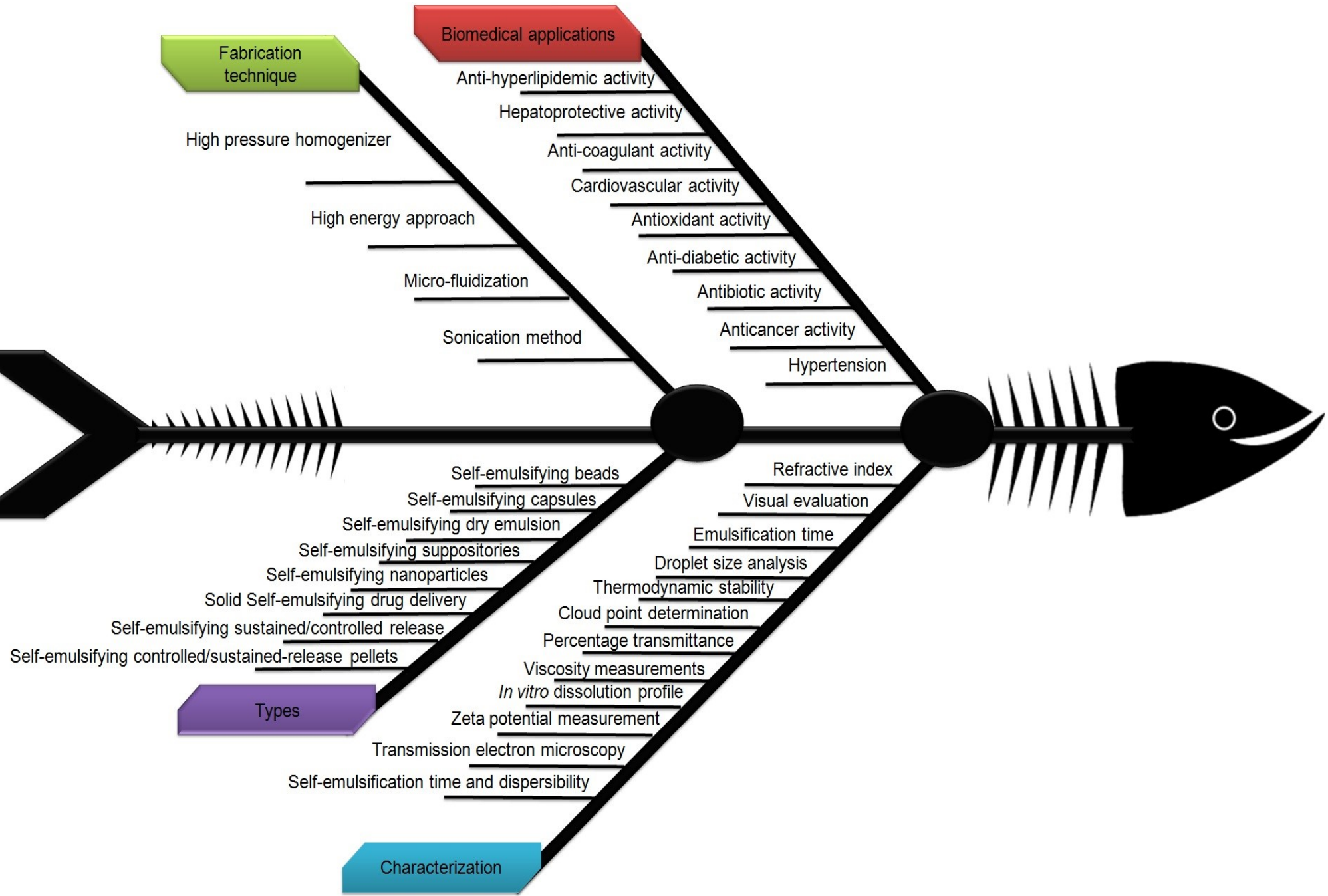
1 2 3 4 5 6	Christopher Diorio/ Pharmacannis Labs LLC	US201900 15346A1	2019	Cannabinoid, Lipophilic carrier with surfactant and solubilizing properties, Oil-soluble antioxidant, Water-soluble antioxidant, Carrier	[100]
7 8 9	Michael A. Zeligs Irwin C. Jacobs/BioResponse LLC	US104415 69B2	2019	Diindolylmethane, Essential oil, Lauroyl polyoxyl-32 glyceride, Propylene glycol caprylate, Polysorbate 80 or Tocopherol PEG 1000 succinate, Lecithin	[101]
10 11 12 13 14	Michael A, Zeligs Irwin C, Jacobs/ BioResponse LLC	US991896 5B2	2018	Diindolylmethane, Caprylocaproyl polyoxyl-8 glyceride, Lauroyl polyoxyl-32 glyceride, Phosphatidyl choline or lysophosphatidyl choline, Oleoyl polyoxyl-6 glyceride, Poloxamer	[102]
15 16	Zhang Xiaofei Guo, Qiuting Shi Yajun, Zou Junbo et al.	CN10855 3417A	2018	Osthole, Oil phase, Surfactant and Co-surfactant	[103]
17 18 19	Xiong Yongai, Zeng Yan	CN10766 1287A	2018	Sanguisorbin, Oil phase (0.05-0.25%), Surfactant (0.45-0.65%), Co-surfactant (0.1-0.3%)	[104]
20 21	Yumna Shabaik, Jim Jiao, Chetan Pujara/Allergan Inc	US201800 36233A1	2018	Oil, A poorly water-soluble drug, and One or more surfactants	[105]
22 23 24	Jiang Shuguang, Xu Xiaochang, Wang Senyi	CN10893 8566A	2018	Oily phase (10-70%), Surfactant (30-80%), Co-surfactant (0-30%)	[106]
25 26	Doron Friedman	WO20180 11808A1	2018	Cannabinoid or a mixture of cannabinoids, Terpene, Emulsifier	[107]
27 28 29 30 31	S.O. Hustvedt, P.H. Olesen, G. Berge, et al./ Pronova Biopharma Norge AS	US953296 3B2	2017	Eicosapentaenoic acid (EPA) 25%, Docosahexaenoic acid (DHA) 75%, Antioxidant, Super-disintegrant, Nonionic surfactant (Polysorbate 20, Polysorbate 40), Cationic surfactant (Quaternary ammonium compounds), Zwitterionic (dodecyl betaines) and solvent.	[108]
32 33 34	Guy Derrieu, Disma Giovanni Mazzola, Giancarlo Mazzola	WO20172 11909A1	2017	Hydrophilic phase, Oily phase, Ionic polymer, Anionic surfactants, Cationic surfactants	[109]
35 36 37 38 39 40	D.S. Chow, Gupta P, Qi Y, et al./ The University of Houston System	US201003 10611A1	2016	Benzimidazole derivative (Methyl 5-benzoyl benzimidazole-2-carbamate), Oil (Propylene glycol dicaprylocaprate or caprylic triglyceride or capric triglyceride (19-56.5%), Dipolar aprotic solvent (dimethylsulfoxide, 5-10%) and Surfactant.	[110]

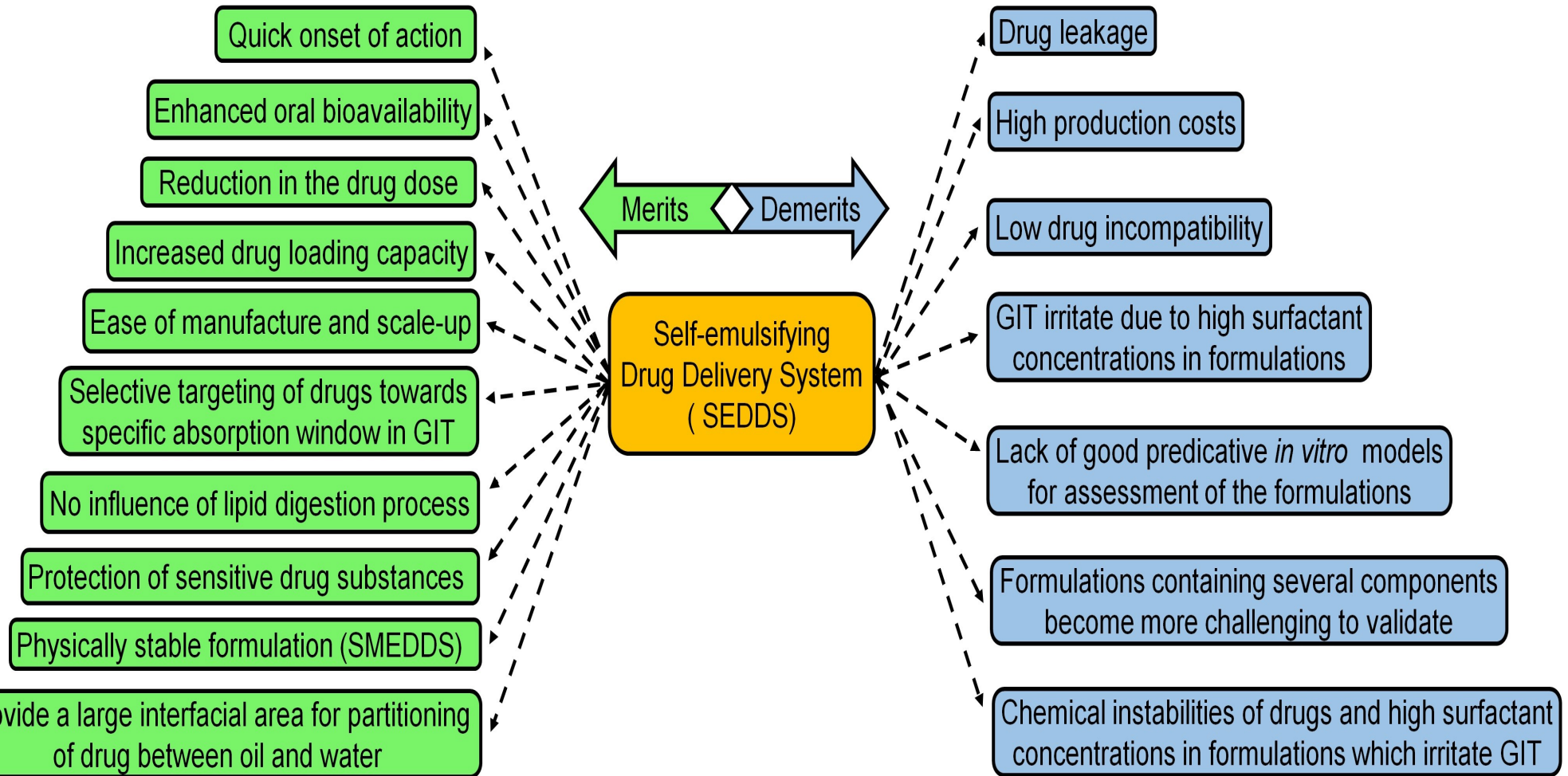
Emadeldin Hassan/ Pharmaceutics International Inc	US201503 20864A1	2015	Poorly water-soluble drug,One surfactant,One polar lipid	[111]
P. Nahat, P. Mandaogade, G.K. Jain, et al./ Wockhardt Ltd.	US201501 64851A1	2015	Diacerein (10-90%), Labrafil (1-70%), polyoxyethylene glycerol esters of fatty acid (10-90%), Methylcellulose (2-50%), PEG 40 hydrogenated castor oil (5-70%).	[112]
Mohamed Skiba	WO20150 22454A1	2015	Cyclodextrins,Oily or oleaginous substance,Antioxidant	[113]
H. Yesim karasulu, Sebnemapaydin, Evrengundogdu et al.	WO20151 42307A1	2015	Rosuvastatin,Surfactants, Co-surfactants	[114]
Z. Liu, L. Yang, H. Yang, Y et al./ CSPC Zhong Qi Pharmaceutical Technology (Shijiazhuang) Co., Ltd	US200803 19056A1	2014	Butylphthalide, Ethoxypolyoxyethylene glyceride, Polyoxyethyleneoleate, Liquid lecithin, Polyoxyethylene castor oil, Coconut oil, Polyethyleneglycol glyceride, Almond oil oleate, Polyethyleneglycol glycerin ester, Polyoxyethylene glycerin trioleate, Polyoxyethylenesorbitanoleate, Polyethyleneglycol-8 glycerin caprylate	[115]
M.A. Khan, S. Nazzal/Jarrow Formulas, Inc	US201202 69792A1	2014	Coenzyme Q10 (CoQ10) (70%), Volatile essential oil (peppermint oil, peppermint oil, menthol, anise oil and lemon oil), Surfactant and co-solvent, co-polymer of vinyl acetate and vinylpyrrolidone, Microcrystalline cellulose (MCC), Maltodextrin.	[116]
I. Legen, J. Kerc, P. Jurkovic/ LEK PharmaceuticalsD	US201003 31356A1	2013	Polyoxyethylenesorbitan fatty acid ester emulsifier, Co-emulsifier (glyceryl mono- or di-fatty acid esters) (2.5:1) (3.5:1), Oil (caprylic or capric triglyceride oil).	[117]
J. Lin/ Catalent Australia Pvt Ltd	US200602 75358A1	2012	Coenzyme Q10, Lipophilic co-surfactant, Hydrophilic surfactant, Lipophilic solvent (one or more than one).	[118]

C. Kohli, S. Chopra, S. Arora, R, et al./ ArbroPharmaceuticals Ltd., Jamia Hamdard (Hamdard University)	US201102 94900A1	2011	Curcuminoid (1-10%), Propyleneglycol monocaprylate (25-33%), Polyoxyethylene or Polyethoxyl derivative of a vegetable oil (35-45%), one or more co-surfactant (8-16%)	[119]
C. Holemborg, B. Siekmann/Nicox S.A	US773666 6 B2	2010	No-NSAIDS, Short chain alcohol (ethanol, propylene glycol or glycerol, Phospholipid (egg lecithin), Semi-solid fat or oil.	[120]
Arvind Kumar Bansal, Bhushan Munjal, Sarsvat Babulal Patel	WO20100 10431A1	2010	Curcuminoids,Lipid carrier system,Fatty acid, Surfactants	[121]
Sara Abelaira, Mariela Paula Becher, Juan Francisco Gel et al.	WO20081 42090A1	2008	Tipranavir,Vitamin E TPGS,One or more pharmaceutically acceptable solvents	[122]
Jody Firmin Voorspoels	US200701 04740A1	2007	(3R,3aS,6aR)-hexahydrofuro[2,3-b]furan-3-yl(1S,2R)-3-[[[4-aminophenyl)sulfonyl](isobutyl) amino]-1-benzyl-2-hydroxypropylcarbamate, Salts, Esters, Polymorphic and pseudopolymorphic forms	[123]
Zhentao Liu, Liying Yang, Hanyu Yang/Shijiazhuang Pharma Group Zhongqi Pharmaceutical Technology (Shijianzhuang) Co Ltd	EP178763 8A1	2007	Butylphthalide,Emulsifying agent,Excipient	[124]
Gregory Lambert, Alain Razafindratsita, Jean-Sébastien garrigue et al./Novagali SA Yissum Research Development Company of Hebrew University of Jerusalem	EP148063 6B1	2007	One or more taxoid(s), Vitamin E TPGS, One co-solvent selected from propyleneglycol and ethanol, One or more bile salts, Tyloxapol.	[125]
Sophie Cote, Gilbert Gaudel, Maria-Teresa	EP149814 3A1	2005	Taxoid and at least one amphiphilic surfactant, Labrasol®	[126]

Peracchia/Aventis Pharma SpA				
Simon Benita, Jean-Sébastien Garrigue, NeslihanGursoy et al./Novagali SA Yisum Research Development Company of Hebrew University of Jerusalem	EP134049 7A1	2003	One or more therapeutic agents,Vitamin E TPGS, Co-solvent,Bile salts, Surfactant	[127]
J.T. Simonnet, O. Sonnevile, S. Legret/L'Oreal (Paris, FR)	US627415 0B1	2001	Oily phase (vegetable oil, animal oil, mineral oil, silicon oil, synthetic oil), aqueous phase, anionic surfactant (Oxyethylenated derivatives and phosphoric acid fatty esters), one neutralization agent (organic bases and inorganic bases), one ionic amphiphilic lipid (alkylsulphonic derivatives and anionic amphiphilic lipids) (0.01-5%), transparency improving additives (glycols, lower alcohols and sugar) (5-20%) and active ingredients.	[128]
N. Mulye/Pharmasolutions, Inc.	US605728 9A	2000	Cyclosporin, Non-ionic surfactant (HLB greater than 10), Aqueous mediun.	[129]
V.T. Bhalani, S. Patel/ Watson Laboratories, Inc	US585840 1	1999	Lipophillic drug (Cyclosporin), Surfactant (polysorbate 80), Glyceryl fatty acid ester, Polyethylene glycol, Polyglycolyzed glycerides (HLB 10 to 16).	[130]

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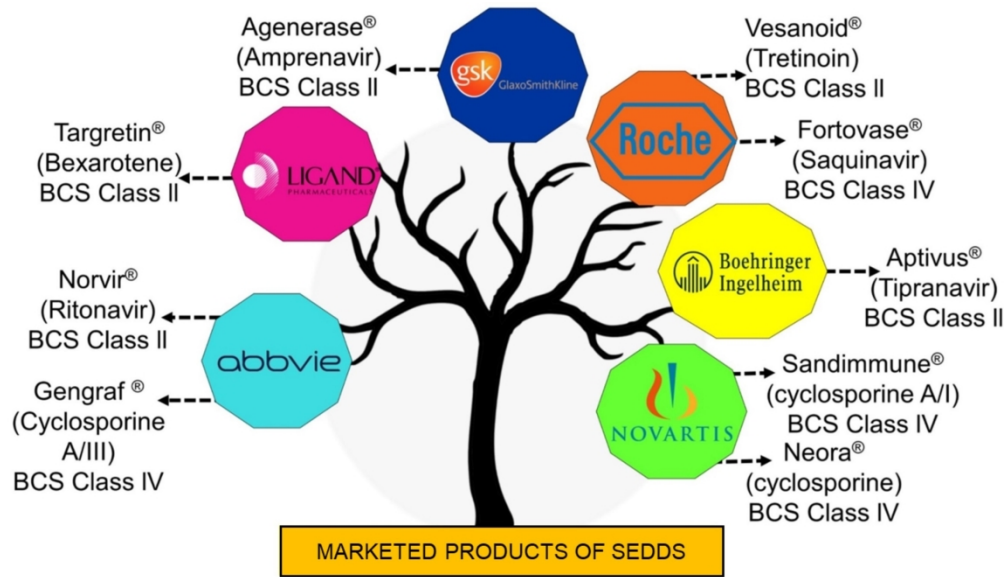


Figure 3. Some marketed products of SEDDS

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