# **Optimized Dynamic Point Cloud Compression OPT-PCC**

**Grant Agreement ID: 836192** 

# **Deliverable D5.2 Proposal to the MPEG 3DG Standardization Committee**

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# **Executive Summary**

Point clouds are representations of three-dimensional (3D) objects in the form of a sample of points on their surface. Point clouds are receiving increased attention from academia and industry due to their potential for many important applications, such as real-time 3D immersive telepresence, automotive and robotic navigation, as well as medical imaging. Compared to traditional video technology, point cloud systems allow free viewpoint rendering, as well as mixing of natural and synthetic objects. However, this improved user experience comes at the cost of increased storage and bandwidth requirements as point clouds are typically represented by the geometry and colour (texture) of millions up to billions of 3D points. For this reason, major efforts are being made to develop efficient point cloud compression schemes. However, the task is very challenging, especially for dynamic point clouds (sequences of point clouds), due to the irregular structure of point clouds (the number of 3D points may change from frame to frame, and the points within each frame are not uniformly distributed in 3D space). To standardize point cloud compression (PCC) technologies, the Moving Picture Experts Group (MPEG) launched a call for proposals in 2017. As a result, three point cloud compression technologies were developed: surface point cloud compression (S-PCC) for static point cloud data, video-based point cloud compression (V-PCC) for dynamic content, and LIDAR point cloud compression (L-PCC) for dynamically acquired point clouds. Later, L-PCC and S-PCC were merged under the name geometry-based point cloud compression (G-PCC). The aim of the OPT-PCC project is to develop algorithms that optimise the rate-distortion performance [i.e., minimize the reconstruction error (distortion) for a given bit budget] of V-PCC. The objectives of the project are to:

- 1. O1: build analytical models that accurately describe the effect of the geometry and colour quantization of a point cloud on the bit rate and distortion;
- 2. O2: use O1 to develop fast search algorithms that optimise the allocation of the available bit budget between the geometry information and colour information;
- 3. O3: implement a compression scheme for dynamic point clouds that exploits O2 to outperform the state-of-the-art in terms of rate-distortion performance. The target is to reduce the bit rate by at least 20% for the same reconstruction quality;
- 4. O4: provide multi-disciplinary training to the researcher in algorithm design, metaheuristic optimisation, computer graphics, media production, and leadership and management skills.

This deliverable is a proposal to the MPEG 3D Graphics Coding standardization committee, which was submitted on 27 June 2021 and presented to the committee on 13 July 2021 at the 4th WG7 Meeting. The proposal presents results from work undertaken as part of objectives O1, O2, and O3.

### **Table of Contents**

Ε	xecu	ıtive Summary	2
1	MF	PEG Proposal Information	4
2	In	ntroduction	5
3	Ra	ate and Distortion Models	7
	3.1 3.2 3.3	Distortion ModelsRate Models	7 8 9
4	Op	ptimization	10
5	Ex	xperimental Results	12
6	Co	onclusion	14
7	Ac	cknowledgement	15
8	Re	eferences	16

# 1 MPEG Proposal Information

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Title [V-PCC][New] Model-based rate control for video-based point cloud

compression

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**Abstract.** The Moving Picture Experts Group (MPEG) video-based point cloud compression (V-PCC) standard encodes a dynamic point cloud by first converting it into one geometry video and one color video and then using a video coder to compress the two video sequences. We first propose analytical models for the distortion and bitrate of the V-PCC reference software, where the models' variables are the quantization step sizes used in the encoding of the geometry and color videos. Unlike previous work, our analytical models are functions of the quantization step sizes of all frames in a group of frames. Then, we use our models and an implementation of the differential evolution algorithm to efficiently minimize the distortion subject to a constraint on the bitrate. Experimental results on six dynamic point clouds show that, our method achieves excellent rate control performance.

#### 2 Introduction

To get a high-quality representation of a three-dimensional object as a point cloud, a huge amount of data is required. To compress point clouds efficiently, the Moving Picture Experts Group (MPEG) launched in January 2017 a call for proposals for point cloud compression technology. As a result, two point cloud compression standards are being developed: video-based point cloud compression (V-PCC) [1] for point sets with a relatively uniform distribution of points and geometry-based point cloud compression (G-PCC) [2] for more sparse distributions. In this proposal, we focus on V-PCC for dynamic point clouds. In V-PCC, the input point cloud is first decomposed into a set of patches, which are independently mapped to a two-dimensional grid of uniform blocks. This mapping is then used to store the geometry and color information as one geometry video and one color video. Next, the generated geometry video and color video are compressed separately with a video coder, e.g., H.265/HEVC [3]. Finally, the geometry and color videos, together with metadata (occupancy map for the two-dimensional grid, auxiliary patch, and block information) are multiplexed to generate the bit stream (Fig. 1 [1]). In the video coding step, compression is achieved with quantization, which is determined by a quantization step size or, equivalently, a quantization parameter (QP).

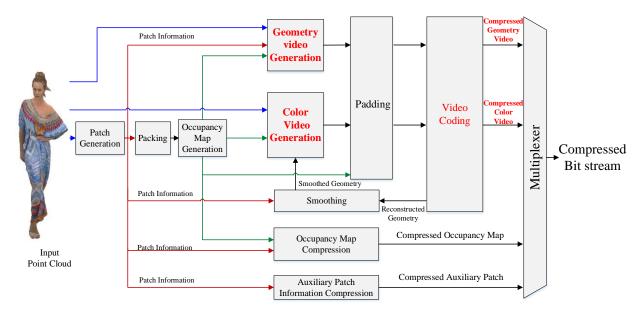


Fig. 1. V-PCC test model encoder [1].

Given a set of M quantization step sizes  $\{q_0, ..., q_{M-1}\}$  and a dynamic point cloud consisting of N frames, an optimal encoding can be obtained by determining for each frame i (i=1,...N) the geometry quantization step size  $Q_{g,i} \in \{q_0,...,q_{M-1}\}$  and color quantization step size  $Q_{c,i} \in \{q_0,...,q_{M-1}\}$  that minimize the distortion subject to a constraint  $R_T$  on the total number of bits. This can be formulated as the multi-objective optimization problem

$$\min_{\boldsymbol{Q}_{g},\boldsymbol{Q}_{c}} \left[ D_{g}(\boldsymbol{Q}_{g},\boldsymbol{Q}_{c}), D_{c}(\boldsymbol{Q}_{g},\boldsymbol{Q}_{c}) \right]$$

$$s.t. \quad R(\boldsymbol{Q}_{g},\boldsymbol{Q}_{c}) = R_{g}(\boldsymbol{Q}_{g},\boldsymbol{Q}_{c}) + R_{c}(\boldsymbol{Q}_{g},\boldsymbol{Q}_{c}) \leq R_{T},$$

$$(1)$$

where  $\mathbf{Q}_g = (Q_{g,1}, Q_{g,2,...,}Q_{g,N})$ ,  $\mathbf{Q}_c = (Q_{c,1}, Q_{c,2,...,}Q_{c,N})$ ,  $D_g(\mathbf{Q}_g, \mathbf{Q}_c)$  is the geometry distortion,  $D_c(\mathbf{Q}_g, \mathbf{Q}_c)$  is the color distortion,  $R(\mathbf{Q}_g, \mathbf{Q}_c)$  is the total number of bits,  $R_g(\mathbf{Q}_g, \mathbf{Q}_c)$  is the number of bits for the geometry information, and  $R_c(\mathbf{Q}_g, \mathbf{Q}_c)$  is the number of bits for the color information. Here  $D_g(\mathbf{Q}_g, \mathbf{Q}_c) = \frac{1}{N} \sum_{i=1}^N D_{g,i}(\mathbf{Q}_g, \mathbf{Q}_c)$  and  $D_c(\mathbf{Q}_g, \mathbf{Q}_c) = \frac{1}{N} \sum_{i=1}^N D_{c,i}(\mathbf{Q}_g, \mathbf{Q}_c)$ , where  $D_{g,i}(\mathbf{Q}_g, \mathbf{Q}_c)$  and  $D_{c,i}(\mathbf{Q}_g, \mathbf{Q}_c)$  are the geometry and color distortions of the ith frame, respectively. Similarly,  $R_g(\mathbf{Q}_g, \mathbf{Q}_c) = \sum_{i=1}^N R_{g,i}(\mathbf{Q}_g, \mathbf{Q}_c)$  and  $R_c(\mathbf{Q}_g, \mathbf{Q}_c) = \sum_{i=1}^N R_{c,i}(\mathbf{Q}_g, \mathbf{Q}_c)$ , where  $R_{g,i}(\mathbf{Q}_g, \mathbf{Q}_c)$  and  $R_{c,i}(\mathbf{Q}_g, \mathbf{Q}_c)$  are the number of bits for the geometry and color of the ith frame, respectively. In practice, problem (1) is scalarized as follows.

$$\min_{\boldsymbol{Q}_g, \boldsymbol{Q}_c} \left[ D(\boldsymbol{Q}_g, \boldsymbol{Q}_c) = \omega D_c(\boldsymbol{Q}_g, \boldsymbol{Q}_c) + (1 - \omega) D_g(\boldsymbol{Q}_g, \boldsymbol{Q}_c) \right]$$

$$s. t. \quad R(\boldsymbol{Q}_g, \boldsymbol{Q}_c) \leq R_T,$$
(2)

where  $\omega \in [0,1]$  is a weighting factor that sets the relative importance of the geometry and color distortions. As the number of possible solutions is  $M^{2N}$ , solving the problem with exhaustive search is not feasible when M or N is large as the computation of the distortion and the number of bits requires encoding and decoding the point cloud, which is very time consuming. In this proposal, we solve the rate-distortion optimization problem (2) by first developing analytical models for the distortion and bitrate and then applying a metaheuristic based on differential evolution (DE) [4] to the analytical models. There is a need for new models as the existing ones [5,6,7] are not suitable for the rate-distortion optimization problem (2). Note also that the V-PCC standard does not give any solution to problem (2). In the latest MPEG V-PCC test model [8], for example, the QPs for the geometry and color are selected manually: one chooses the QPs of the first frame, and the QP values of the following frames are set according to some fixed rules (e.g., by using the same values for the low delay configuration).

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#### 3 Rate and Distortion Models

We propose new analytical distortion and rate models for V-PCC. For both the geometry distortion and color distortion, we used the symmetric point-to-point distortions based on the mean squared error (MSE) [9]. Moreover, for the color information, we considered only the Y (luminance) component. To compute the actual values of the distortion and bitrate, we used the latest V-PCC test model (TMC2 v12.0) [8], where the encoder settings were modified such that the QPs of the frames can be chosen arbitrarily. Note that TMC2 v12.0 relies on the HEVC Test Model Version 16.20 (HM16.20) [10] to compress the geometry and color videos. In HEVC, the set of QP values is  $\{0, ..., 51\}$ , which corresponds to quantization step sizes  $\{0.625, ..., 224\}$ . We encoded four frames of the point cloud using the low delay configuration with group of pictures (GOP) structure IPPP.

**Table 1.** Dependency between the first frame and the second frame for the *basketballplayer* point cloud. Encoding is with the low delay configuration of [8].

$Q_{g,1}$	$Q_{g,2}$	$D_{g,1}$	$D_{g,2}$	$R_{g,1}$	$R_{g,2}$	$Q_{c,1}$	$Q_{c,2}$	$D_{c,1}$	$D_{c,2}$	$R_{c,1}$	$R_{c,2}$
11	11	0.306637	0.320112	126160	97880	11	11		0.000146696		520040
14	11	0.348734	0.316439	103632	101040	14	11	0.00015884	0.0001459	490024	544440
18	11	0.418704	0.323274	92360	108104	18	11	0.000174033	0.00014684	368144	562112
22	11	0.504359	0.334045	74720	113936	22	11	0.000189179	0.000147952	280640	575800
28	11	0.620279	0.309088	64576	119544	28	11	0.000209897	0.000145801	214840	604040
18	18	0.418704	0.434988	92360	70112	18	18	0.000174033	0.000172132	368144	263520
22	18	0.504359	0.446426	74720	72096	22	18	0.000189179	0.000170755	280640	274608
28	18	0.620279	0.447552	64576	76344	28	18	0.000209897	0.000170923	214840	298392
36	18	0.824124	0.442594	53760	81296	36	18	0.00023497	0.00017086	164272	314856
44	18	1.05653	0.441371	46240	87352	44	18	0.000268242	0.000170745	127312	330416
28	28	0.620279	0.63062	64576	48088	28	28	0.000209897	0.000207024	214840	135616
36	28	0.824124	0.671394	53760	49208	36	28	0.00023497	0.000209702	164272	145872
44	28	1.05653	0.673557	46240	54880	44	28	0.000268242	0.000210265	127312	163088
56	28	1.388	0.67004	39520	59568	56	28	0.000312829	0.000210444	100992	176344
72	28	1.79778	0.647573	34480	64152	72	28	0.000366755	0.000208479	80304	188928
44	44	1.05653	1.03645	46240	31152	44	44	0.000268242	0.000269072	127312	69952
56	44	1.388	1.11769	39520	34504	56	44	0.000312829	0.000278791	100992	78960
72	44	1.79778	1.14647	34480	38784	72	44	0.000366755	0.000274503	80304	91600
88	44	2.33344	1.13333	29840	42840	88	44	0.000436296	0.000269361	64464	103600
112	44	3.21416	1.12562	26240	46504	112	44	0.000526625	0.000267529	51088	112536

#### 3.1 Distortion Models

In [6], the geometry distortion  $D_g$  and color distortion  $D_c$  are modeled as functions of the geometry and color quantization step sizes of the first frame  $(Q_{g,1}$ , and  $Q_{c,1}$ , respectively) according to

$$\begin{cases} D_g = \alpha_g Q_{g,1} + \delta_g \\ D_c = \alpha_c Q_{c,1} + \beta_c Q_{g,1} + \delta_c, \end{cases}$$
 (3)

where  $\alpha_g$ ,  $\delta_g$ ,  $\alpha_c$ ,  $\beta_c$ , and  $\delta_c$  are model parameters. In this paper, we extend this model by including the quantization step sizes of all frames. For simplicity, we assume that the number of frames N is equal to 4. To study the effect of the quantization in the first frame on the distortion in the second frame, we fixed the quantization steps of the second frame and varied those of the first frame. Table 1 shows that the effect of the quantization step of the first frame on the distortion of the second frame is very small for both geometry and color. We observed

the same phenomenon for the other frames. Consequently, we propose the following distortion models for the ith frame

$$\begin{cases} D_{g,i} = \alpha_{g,i} Q_{g,i} + \delta_{g,i} \\ D_{c,i} = \alpha_{c,i} Q_{c,i} + \beta_{c,i} Q_{g,i} + \delta_{c,i}, \end{cases}$$
(4)

where  $\alpha_{g,i}$ ,  $\delta_{g,i}$ ,  $\alpha_{c,i}$ ,  $\beta_{c,i}$ , and  $\delta_{c,i}$  are model parameters. The overall distortion is then modeled as

$$D = \frac{1}{4} \left( \sum_{i=1}^{4} \omega D_{g,i} + (1 - \omega) D_{c,i} \right)$$
 (5)

#### Rate Models

As the number of bits of the first frame is only determined by its own quantization steps  $(Q_{q,1},$  $Q_{c,1}$ ), it can be modeled as in [6]

$$\begin{cases} R_{g,1} = \gamma_{g,1} Q_{g,1}^{\theta_{g,1}} \\ R_{c,1} = \gamma_{c,1} Q_{c,1}^{\theta_{c,1}} \end{cases}$$
 (6)

where  $\gamma_{g,1}$ ,  $\gamma_{c,1}$ ,  $\theta_{g,1}$ , and  $\theta_{c,1}$  are model parameters. To obtain the rate model for the second frame, we first ignore the impact of the first frame on the second frame and use the basic model

$$\begin{cases} R_{g,2} = \gamma_{g,2} Q_{g,2}^{\theta_{g,2}} \\ R_{c,2} = \gamma_{c,2} Q_{c,2}^{\theta_{c,2}} \end{cases}$$
(7)

where  $\gamma_{g,2}$ ,  $\gamma_{c,2}$ ,  $\theta_{g,2}$ , and  $\theta_{c,2}$  are model parameters. However, Table 1 shows that the number of bits of the second frame increases when the quantization steps of the first frame increase. To take this dependency into account, we update the model as

$$\begin{cases}
R_{g,2} = (\varphi_{g,(1,2)} \cdot Q_{g,1} + 1)\gamma_{g,2} Q_{g,2}^{\theta_{g,2}} \\
R_{c,2} = (\varphi_{c,(1,2)} \cdot Q_{c,1} + 1)\gamma_{c,2} Q_{c,2}^{\theta_{c,2}}
\end{cases}$$
(8)

where  $\varphi_{g,(1,2)}$  and  $\varphi_{c,(1,2)}$  are the impact factors of the first frame on the second frame. Similarly, we first assume that the number of bits of the third and fourth frames are independent of the quantization steps of the other frames and model them as

$$\begin{cases} R_{g,3} = \gamma_{g,3} Q_{g,3}^{\theta_{g,3}} \\ R_{c,3} = \gamma_{c,3} Q_{c,3}^{\theta_{c,3}} \end{cases}$$

$$\begin{cases} R_{g,4} = \gamma_{g,4} Q_{g,4}^{\theta_{g,4}} \\ R_{c,4} = \gamma_{c,4} Q_{c,4}^{\theta_{c,4}} \end{cases}$$
(10)

$$\begin{cases} R_{g,4} = \gamma_{g,4} Q_{g,4}^{\theta_{g,4}} \\ R_{c,4} = \gamma_{c,4} Q_{c,4}^{\theta_{c,4}} \end{cases}$$
(10)

where  $\gamma_{g,3}$ ,  $\gamma_{c,3}$ ,  $\theta_{g,3}$ ,  $\theta_{c,3}$ ,  $\gamma_{g,4}$ ,  $\gamma_{c,4}$ ,  $\theta_{g,4}$ , and  $\theta_{c,4}$  are model parameters. Then we update the models as

$$\begin{cases}
R_{g,3} = \prod_{i=1}^{2} (\varphi_{g,(i,i+1)} \cdot Q_{g,i} + 1) \gamma_{g,3} Q_{g,3}^{\theta_{g,3}} \\
R_{c,3} = \prod_{i=1}^{2} (\varphi_{c,(i,i+1)} \cdot Q_{c,i} + 1) \gamma_{c,3} Q_{c,3}^{\theta_{c,3}}
\end{cases}$$
(11)

$$\begin{cases}
R_{g,4} = \prod_{i=1}^{3} (\varphi_{g,(i,i+1)} \cdot Q_{g,i} + 1) \gamma_{g,4} Q_{g,4}^{\theta_{g,4}} \\
R_{c,4} = \prod_{i=1}^{3} (\varphi_{c,(i,i+1)} \cdot Q_{c,i} + 1) \gamma_{c,4} Q_{c,4}^{\theta_{c,4}}
\end{cases}$$
(12)

where  $\varphi_{g,(i,i+1)}$  and  $\varphi_{c,(i,i+1)}$  (i=2,3) are the impact factors of the *i*-th frame on the (i+1)-th one. Finally, we use (6), (8), (11) and (12) to build the rate model as  $R=\sum_{i=1}^4 R_{g,i}+R_{c,i}$ .

Model parameters	$QP_{g,1}$	$QP_{g,2}$	$QP_{g,3}$	$QP_{g,4}$	$QP_{c,1}$	$QP_{c,2}$	$QP_{c,3}$	$QP_{c,4}$
	30	30	30	30	40	40	40	40
$\alpha_{g,1}, \delta_{g,1}; \alpha_{g,2}, \delta_{g,2}; \alpha_{g,3}, \delta_{g,3}; \alpha_{g,4}, \delta_{g,4}; \alpha_{c,1}, \beta_{c,1}, \delta_{c,1}; \alpha_{c,2}, \beta_{c,2}, \delta_{c,2};$	36	36	36	36	30	30	30	30
$lpha_{c,3}, eta_{c,3}, \delta_{c,3}; lpha_{c,4}, eta_{c,4}, \delta_{c,4}$	38	38	38	38	28	28	28	28
	30	30	30	30	40	40	40	40
	36	36	36	36	30	30	30	30
	38	38	38	38	28	28	28	28
$\gamma_{g,1}, heta_{g,1};\gamma_{c,1}, heta_{c,1};$	17	25	33	41	17	25	33	41
	33	25	33	41	33	25	33	41
$\gamma_{g,2}, heta_{g,2};\gamma_{c,2}, heta_{c,2};$	17	41	33	41	17	41	33	41
$\gamma_{g,3}, \theta_{g,3}; \gamma_{c,3}, \theta_{c,3};$	17	25	49	41	17	25	49	41
$\gamma_{g,4}, heta_{g,4};\gamma_{c,4}, heta_{c,4}$	19	24	29	34	19	24	29	34
	34	24	40	37	34	24	40	37
	27	41	37	45	27	41	37	45
		17	37	45	27	17	37	45

Table 2. QP settings to determine the model parameters

#### 3.3 Model Parameters

To determine the parameters of the distortion models, we first encode the point cloud for three different sets of quantization steps  $(\boldsymbol{Q}_g, \boldsymbol{Q}_c)$  and compute the corresponding actual distortions and number of bits for each frame. Next, we solve the resulting system of equations to find  $\alpha_{g,i}$ ,  $\delta_{g,i}$ ,  $\alpha_{c,i}$ ,  $\beta_{c,i}$ ,  $\delta_{c,i}$  (i=1,...,4). To determine the parameters of the rate models, we encode the point cloud for eight more sets of quantization steps and use linear regression in (7), (9), and (10) to estimate the parameters  $\gamma_{g,i}$ ,  $\theta_{g,i}$ ,  $\gamma_{c,i}$ ,  $\theta_{c,i}$  (i=1,...,4). Finally, the impact factors  $\varphi_{g,(1,2)}$ ,  $\varphi_{g,(2,3)}$ ,  $\varphi_{g,(3,4)}$ ,  $\varphi_{c,(1,2)}$ ,  $\varphi_{c,(2,3)}$ , and  $\varphi_{c,(3,4)}$ , are empirically set to

$$\begin{cases} \varphi_{g,(1,2)} = \varphi_{c,(1,2)} = 0.004 \\ \varphi_{g,(2,3)} = \varphi_{c,(2,3)} = 0.0015 \\ \varphi_{g,(3,4)} = \varphi_{c,(3,4)} = 0.0010. \end{cases}$$
(13)

Table 2 shows the QP settings used to compute the parameters of the distortion and rate mod els.

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# 4 Optimization

To solve the rate-distortion optimization problem (2), we apply a DE variant to the analytical models derived in Section 3. Unlike the standard DE algorithm, this variant decreases the crossover rate with time and uses a random scaling factor. The decrease in crossover rate at runtime increases the exploitation pressure at the end of the run [11]. The randomization of the scaling factor is motivated by the experimental observation that a certain degree of randomization is beneficial [11].

The details of the implemented algorithm are as follows. A candidate solution (agent) for problem (2) is denoted by  $\mathbf{x} = (\mathbf{Q}_q, \mathbf{Q}_c) = (x_1, x_2, ..., x_{2N})$ .

- Choose a population size NP, an interval I for the scaling factor, and a number of iterations n.
- Build a population of NP agents  $x^{(1)},..., x^{(NP)}$  such that each component  $x_i^{(j)}$ , i = 1,...,2N; j = 1,...,NP, is randomly chosen in the set of quantization steps  $\{q_0,...,q_{M-1}\}$  and  $R(x^{(j)}) \leq R_T$  for j = 1,...,NP.
- FOR k = 1 to n
  - o If  $k < \frac{2}{3}n$ , set the crossover rate to CR = 0.9; otherwise, set CR = 0.1;
    - FOR j = 1 to NP

Step 1: Select randomly from the population three different agents a, b, c that are also different from  $x^{(j)}$ 

Step 2: Select randomly an index r such that  $1 \le r \le 2N$ 

Step 3: Compute a candidate new agent  $y^{(j)}$  as follows:

- For each  $i \in \{1, ..., 2N\}$ , choose a random number  $r_i$  according to a uniform distribution in (0,1). Choose a scaling factor w randomly in I.
- If  $r_i \le CR$  or i = r, then set  $y_i^{(j)} = a_i + w \times (b_i c_i)$ ; otherwise, set  $y_i^{(j)} = x_i^{(j)}$
- If  $y_i^{(j)} < q_0$ , set  $y_i^{(j)} = q_0$ . If  $y_i^{(j)} > q_{M-1}$ , set  $y_i^{(j)} = q_{M-1}$ .

Step 4: If  $D(\mathbf{y}^{(j)}) < D(\mathbf{x}^{(j)})$  and  $(\mathbf{y}^{(j)}) \le R_T$ , note j.

**END FOR** 

FOR j = 1 to NP, replace  $\mathbf{x}^{(j)}$  by  $\mathbf{y}^{(j)}$  if j was noted in Step 4. END FOR

#### END FOR

• Select the agent from the population that gives the lowest distortion D and round the components of this agent to the nearest values in the set  $\{q_0, \dots, q_{M-1}\}$ .

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**Table 3.** Accuracy of the proposed rate and distortion models.

Point	Target	Model	Model	Actual	Actual	SCC		RMSE		
cloud	Target bitrate	bitrate	distortion		distortion	Rate	Distortion	Rate	Distortion	
Cloud	omate	omate	uisioriioli	omate	distortion	model	model	model	model	
	65	65.21	30.52	63.21	30.59					
	125	125.04	18.76	123.71	18.78					
soldier	165	171.80	15.17	172.37	15.90	0.9976	0.9984	21.16	0.33	
solutei	210	205.38	13.57	224.01	13.45	0.7770	0.9904			
	265	263.64	11.69	293.58	11.78					
	365	355.23	9.94	393.14	10.22					
	65	65.04	23.17	68.32	23.68					
	125	124.85	16.82	129.83	17.40			5.64		
queen	165	171.66	14.89	170.03	15.52	0.9984	0.9977		0.45	
queen	210	207.36	14.00	204.68	14.41	0.7704	0.9911		0.75	
	265	265.68	13.00	272.96	13.15					
	365	356.95	12.07	366.55	12.09					
	65	66.87	12.72	65.85	13.18					
	125	128.59	7.67	128.69	7.78					
loot	165	168.82	6.37	177.53	6.55	0.9967	0.9989	24.72	0.24	
iooi	210	200.39	5.70	223.29	5.72				0.27	
	265	265.51	4.81	282.94	5.01					
	365	366.18	4.05	418.73	4.28					
	30	30.20	12.34	27.72	12.07	0.9980		6.27		
	65	66.81	7.64	57.45	7.74		0.9988			
basketballplayer	125	128.62	5.79	120.93	5.81				0.13	
o asia to an prayer	105	168.45	5.31	161.44	5.30		0.7700		0.15	
	210	209.84	5.00	206.07	4.94					
	265	265.31	4.72	269.77	4.63					
	90	88.74	19.47	84.38	19.81					
	180	181.99	11.06	160.63	11.30					
redandblack	270	272.13	8.70	260.27	8.41	0.9844	0.9974	52.28	0.55	
readmoner	360	364.78	7.55	341.36	7.19	3.70 <del>11</del>	0.7717	52.20	0.55	
	480	484.93	6.70	516.77	5.96					
	640	647.51	6.08	766.76	5.14					
	180	176.09	49.78	162.63	47.25					
	270	268.93	38.66	251.10	37.42	0.9980				
longdress	360	364.74	33.00	350.09	31.96		0.9980	0.9990	24.52	1.40
ionguress	480	489.00	28.85	487.61	28.03			0.9990	24.32	
	640	639.86	25.83	681.42	24.62					
	840	850.50	23.33	884.63	22.60					
		Aver	age			0.9955	0.9984	22.43	0.51	

# 5 Experimental Results

We first study the accuracy of the proposed distortion and rate models. The bitrates and distortions were computed for the quantization steps obtained as solutions of the optimization problem (2) for a given target bitrate. In the DE algorithm, the number of iterations and the size of the population were set to 200 and 50, respectively. The interval I was [0.1, 0.9]. As in Section 3, we used the symmetric point-to-point distortions and considered only the luminance component. The weighting factor  $\omega$  in (2) was set to 0.5. To compute the actual distortion and bit rates, we used TMC2 v12.0 [8] and encoded the first four frames of the point cloud for the IPPP GOP structure. Table 3 shows the results for six dynamic point clouds (longdress, redandblack, loot, soldier, queen, basketballplayer) [12,13]. The bitrates are expressed in kilobits per million points (kbpmp). We observe that the bitrates and distortions computed by our models have a high squared correlation coefficient (SCC) and a low root mean squared error (RMSE) with the actual values computed by encoding and decoding point clouds. This shows that our models are accurate.

**Table 4.** Bit allocation accuracy.

Point cloud	Target bitrate	Bitrate I	Distortion	BE
	65	63.21	30.59	2.75%
	125	126.51	19.12	1.21%
soldier	165	174.36	15.56	5.67%
solalei	210	213.68	13.69	1.75%
	265	275.48	11.87	3.95%
	365	375.18	10.20	2.79%
	65	70.16	23.66	7.93%
	125	125.39	17.59	0.32%
anom	165	172.79	15.45	4.72%
queen	210	204.68	14.41	2.54%
	265	267.73	13.22	1.03%
	365	366.50	12.09	0.41%
	65	65.26	12.95	0.41%
	125	129.43	7.81	3.54%
loot	165	177.57	6.53	7.62%
iooi	210	209.90	5.80	0.05%
	265	283.20	5.02	6.87%
	365	409.71	4.32	12.25%
	30	27.72	12.07	7.61%
	65	60.72	7.50	6.58%
basketballplayer	. 125	122.02	5.78	2.38%
buskeibuiipiayei	105	161.42	5.32	2.17%
	210	206.94	4.96	1.46%
	265	265.79	4.64	0.30%
	90	83.85	19.83	6.83%
	180	162.06	11.24	9.97%
redandblack	270	253.76	8.47	6.01%
тешиниониск	360	361.63	7.02	0.45%
	480	520.08	5.93	8.35%
	640	737.76	5.19	15.28%
	180	157.70	48.20	12.39%
	270	250.05	37.46	7.39%
longdress	360	348.55	31.90	3.18%
ionguress	480	486.96	28.07	1.45%
	640	665.42	24.99	3.97%
	840	890.33	22.66	5.99%
	Average			4.65%

Table 4 shows the bit allocation accuracy of the proposed method. The bit allocation accuracy is evaluated with the bitrate error (BE)

$$BE = \frac{|R_{actual} - R_{target}|}{R_{target}} \times 100\%, \tag{12}$$

where  $R_{actual}$  and  $R_{target}$  are the actual bitrate computed by the method and the target bitrate, respectively. The largest BE for the proposed method was 15.28% (*redandblack*, 640 *kbpmp*). Moreover, the average BE of the proposed method was only 4.65%.

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## 6 Conclusion

We proposed analytical distortion and rate models for V-PCC that include the geometry and color quantization steps of all frames in a group of frames. Then, we used the models and a DE variant to efficiently select the quantization steps for a given target bitrate. Experimental results show that the proposed optimization technique allows excellent rate control performance.

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