A Fractional Order Proportional-Integral-Derivative Controller for Series Continuous Stirred Tank Reactor System

Yang WANG, Dongjin XU*, Huan YANG, Changwu ZHU

Abstract: For series continuous stirred tank reactor system (CSTR), it is a complex problem to finetune the fractional order proportional-integral-derivative controller (FOPID). To solve the problem, this paper presents a parameter tuning method based on intelligent optimization genetic algorithm (GA) and integral time absolute error (ITAE). Firstly, the series CSTR system was mathematically modelled by vectorized modules, and an FOPID control system was established. Meanwhile, the intelligent optimization GA was introduced under the ITAE rule, and the empirical PID control parameters were taken as the initial values for iteration, aiming to enhance the effect of the search for optimal solution. To verify its superiority, the FOPID controller optimized by GA was compared with intelligent optimization GA and empirical PID controller through simulation. The results show that the optimized FOPID system achieved much better control effect and stronger anti-interference performance than the contrastive methods.

Keywords: continuous stirred tank reactor (CSTR); fractional order proportional-integral-derivative controller (FOPID); genetic algorithm (GA); integrated time and absolute error (ITAE)

1 INTRODUCTION

Proportional-integral-derivative controller (PID) is one of the most widely used process control strategies in industries like metallurgy, chemical industry, electric power, and machinery, thanks to its low requirement for system model, simple operation, high reliability, and strong robustness [1-5]. Fractional calculus is way more complex than integral calculus, which can identify commonly used systems. The design and implementation of fractional order PID is the core issue of the current research on fractional calculus. The combination between fractional order controller and integral order controller can enhance control flexibility by adding two parameters of integrator and derivator [6].

For a system, the optimal control is to identify the best control law under certain constraints, and optimize the value of the given performance index. There are multiple integral metrics to evaluate the performance of the control system, namely, integral error (IE), integral square error (ISE), integral time square error (ITSE), integral absolute error (IAE), and integral time absolute error (ITAE). Among them, the ITAE is a widely applied comprehensive index, reflecting the speed, stability, and accuracy of the control system [7]. The standard form of the optimal transfer function for the ITAE has been widely applied in engineering. Based on the ITAE design criteria [8], a compound controller called linear quadratic regulator (LQR) was designed, which achieved excellent result on missile control.

Continuous stirred tank reactor (CSTR) is one of the common equipment for polymerization in chemical production. Featured by low cost, strong heat exchangeability, and stable product quality, the CSTR boasts wide application and great research value in chemical industry [9, 10]. The reactions in the CSTR system are strongly nonlinear. Therefore, CSTR system simulation has always been adopted to verify the effectiveness of nonlinear control methods. Hence, the study on the CSTR system has important theoretical significance and academic value [11]. Due to the strong nonlinearity, the system cannot be controlled accurately or robustly with controllers designed based on traditional theories [12, 13].

To solve the problem, this paper combines the PID controller, which is immensely popular in the industrial field, with genetic algorithm (GA) to design a fractional order PID (FOPID) that stabilizes the CSTR system through ITAE minimization, and determines the control parameters [14].

2 PRINCIPLE OF THE CSTR SYSTEM

Fig. 1 illustrates the structure of a three-tank CSTR system. Before entering nearby lakes, the wastewater flow is processed through the CSTR system. In the reactors, the hazardous chemical A is converted into the acceptable chemical B to the maximum possible degree. Simple first-order reaction takes place under isothermal condition: $A \xrightarrow{k} B$. The concentration of A at the inlet of the first

 $A \longrightarrow B$. The concentration of A at the linet of the first reactor, in the first reactor, in the second reactor, and in the third reactor is denoted as C_{A0} , C_{A1} , C_{A2} , and C_{A3} , respectively (kmol/m³); the reactor rate constant is K (min⁻¹); the mean residence time is τ (min).



2.1 Mathematical Model

According to the material balance and reaction kinetics, the following equations can be established for the three-tank CSTR system:

$$\frac{\mathrm{d}C_{A1}}{\mathrm{d}t} = \frac{C_{A0} - C_{A1}}{\tau} - kC_{A1} \tag{1}$$

$$\frac{\mathrm{d}C_{A2}}{\mathrm{d}t} = \frac{C_{A1} - C_{A2}}{\tau} - kC_{A2} \tag{2}$$

$$\frac{\mathrm{d}C_{A3}}{\mathrm{d}t} = \frac{C_{A2} - C_{A3}}{\tau} - kC_{A3} \tag{3}$$

Since the system is isothermal, the heat balance equation was not taken into account. The system can also be described by state equation:

$$\begin{cases} \dot{x}(t) = Ax(t) + Bu(t) \\ y(t) = Cx(t) + Du(t) \end{cases}$$
(4)

where, $u = (u_1, u_2, ..., u_p)^T$ and $y = (y_1, y_2, ..., y_p)^T$ are input and output vectors, respectively; matrices A(t), B(t), C(t), and D(t) are dimensionality compatible matrices [15]. This depiction method is often referred to as the internal description method, because it focuses on the internal state variables of the system.

Let $k = 0.5 \text{ min}^{-1}$ and $\tau = 2 \text{ min}$. According to Eq. (1) to Eq. (3), $u(t) = C_{A0}$; $x(t) = y(t) = (C_{A1}, C_{A2}, C_{A3})^T$, the CSTR system can be described as the following state equation:

$$\begin{cases} \dot{x}(t) = \begin{bmatrix} -1 & 0 & 0 \\ 1/2 & -1 & 0 \\ 0 & 1/2 & -1 \end{bmatrix} x(t) + \begin{bmatrix} 1/2 \\ 0 \\ 0 \end{bmatrix} u(t) \\ y(t) = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix} x(t) + \begin{bmatrix} 0 \\ 0 \\ 0 \end{bmatrix} u(t)$$
(5)

The transfer function of the system can be expressed as:

$$G(s) = \begin{bmatrix} \frac{0.5}{s+1} \\ \frac{0.25}{s^2 + 2s + 1} \\ \frac{0.125}{s^3 + 3s^2 + 3s + 1} \end{bmatrix}$$
(6)

The discrete model of the system can be obtained as:

$$\begin{cases} x_{k+1} = \begin{bmatrix} 0.9048 & 0 & 0\\ 0.04524 & 0.9048 & 0\\ 0.001131 & 0.04524 & 0.9048 \end{bmatrix} x_k + \begin{bmatrix} 0.04758\\ 0.00117\\ 1.933 \cdot 10^{-5} \end{bmatrix} u_k \\ y_k = \begin{bmatrix} 1 & 0 & 0\\ 0 & 1 & 0\\ 0 & 0 & 1 \end{bmatrix} x_k + \begin{bmatrix} 0\\ 0\\ 0\\ 0 \end{bmatrix} u_k \end{cases}$$
(7)

2.2 Open-loop Simulation

Our model was constructed with vectorized modules, because the initial conditions of C_{A1} , C_{A2} , and C_{A3} will be lost if the simulation is conducted with the transfer function, and the simulation with linear time invariant (LTI) or linear parameter varying (LPV) system modules is too complex.

In Simulink, many modules support vectorized input, i.e., several signals could be organized into one signal with the Mux module, in which each component represents an original signal. After passing through the integrator module, the compound signal can output a vectorized signal, in which each component is the integral of an original signal. Such a system model is simple, error-free, and easy to maintain [15].

Following this train of thoughts, the basic model of the CSTR system was constructed (Fig. 2). The open-loop simulation results are shown in Fig. 3. It can be seen that, at t = 0, the C_{A1} , C_{A2} , and C_{A3} were 0.4, 0.2, and 0.1 kmol/m³, respectively; after the step increase of the inlet concentration C_{A0} of 1.8 kmol/m³, the C_{A1} , C_{A2} , and C_{A3} values all gradually rose with time through the isothermal reaction in the CSTR system. The result did not meet the requirement of consuming component A in waste water stream to the maximum possible degree.





2.3 Closed-loop Simulation

Based on the open-loop simulation system, a feedback controller was added to form a closed-loop control system (Fig. 4). The feedback controller is a PI controller to regulate the concentration C_{A3} of A in the product leaving the third reactor. The concentration C_{A3} was kept near the preset value C_{A3}^{set} by adjusting the concentration C_{A0} of A at the inlet of the first reactor.



Let C_{AD} be the disturbance concentration, and C_{AM} be the operation concentration changed by the controller. Then, the concentration C_{A0} of A at the inlet of the first reactor can be calculated as:

$$C_{A0} = C_{AM} + C_{AD} \tag{8}$$

With proportional and integral functions, the feedback controller adjusts C_{AM} according to the difference between C_{A3} and C_{A3}^{set} :

$$C_{AM} = 0.8 + K_C \left(E + \frac{1}{\tau_I} \int E dt \right)$$
(9)

where, 0.8 is the bias correction value of the controller, i.e., the C_{AM} value at t = 0. The difference between C_{A3} and C_{A3}^{set} can be expressed as:

$$E = C_{A3}^{\text{set}} - C_{A3} \tag{10}$$

Defining $E_I = \int E dt$:

$$\frac{\mathrm{d}E_I}{\mathrm{d}t} = E \tag{11}$$

On this basis, a PID control system was established for wastewater treatment in the CSTR system (Fig. 5).



Figure 5 PID control of CSTR system

To simulate the dynamic behavior of the system, a step change for concentration disturbance was given $C_{AD} = 0.2$ kmol/m³ at time t = 0. The simulation results of the PID control with $K_p = 30$, $K_i = 6$, and $K_d = 0$ ($G_C(s) = 30 + 6 / s$) are presented in Fig. 6.



3 ITAE ALGORITHM FOR FOPID 3.1 FOPID

Optimal control aims to find the optimal control scheme from a class of acceptable control schemes, such that the system moves from the initial state to the target state, while achieving the optimal performance [16]. The ideal transmission function of the PID can be expressed as:

$$G_{\rm c}(s) = K_p + \frac{K_i}{s} + K_d s \tag{12}$$

Proposed by Prof. Podlubny, the FOPID generally adopts the format of $PI^{\lambda}D^{\mu}$, and the transfer function of:

$$G_{\rm c}(s) = K_p + \frac{K_i}{s^{\lambda}} + K_d s^{\mu} (\lambda > 0, \, \mu > 0)$$
⁽¹³⁾

where, λ and μ are integral order and differential order, respectively.

Hence, when $\lambda = \delta = 1$, the transfer function $G_c(s)$ FOPID = $G_c(s)$ PID. Therefore, the FOPID controller is a generalization of the integer order PID controller. With more tunable parameters, the generalization makes the controller more flexible and enables it to control several real-world processes more accurately.

In the actual FOPID, the steady-state error cannot be eliminated at $\lambda < 1$, for the integral link is approximated by a filter. Thus, the integrator was reconstructed as [17]:

$$G_{\rm c}(s) = K_p + \frac{K_i s^{1-\lambda}}{s} + K_d s^{\mu}$$
(14)

The Oustaloup method was employed approximate the calculus operators in the controller [18]:

Step 1. Determine the range of approximate frequency band (ω_b , ω_h) and approximate order *N*;

Step 2. Compute the zero poles ω_k , ω_k and gain K by:

$$\omega'_{k} = \omega_{b} \left(\frac{\omega_{h}}{\omega_{b}}\right)^{\frac{k+N+(1-\alpha)/2}{2N+1}}$$
(15)

$$\omega_k = \omega_b \left(\frac{\omega_h}{\omega_b}\right)^{\frac{k+N+(1+\alpha)/2}{2N+1}}$$
(16)

$$K = \omega_{\rm b}^a + \omega_h^{-a} \tag{17}$$

Step 3. Derive the integer-order rational transfer function of fractional Laplace operator s^{α} by:

$$G(\mathbf{s}) = K \prod_{k=-N}^{N} \frac{1 + \mathbf{s} / \omega'_k}{1 + \mathbf{s} / \omega_k}$$
(18)

After approximating integer-order transfer function with fractional-order transfer function, the authors designed a fractional-order transfer function module through Simulink module encapsulation.

3.2 ITAE Optimization

Under certain conditions, the IE is the common objective function to minimize or maximize an index through a control task:

$$J_{IAE} = \int_0^\infty |e(t)| \mathrm{d}t, J_{ITAE} = \int_0^\infty t |e(t)| \mathrm{d}t$$
(19)

where, t is time; e(t) is error.

The optimization method (19) aims to reduce the influence of large initial error on the value of performance index, and to highlight the influence of recent response. It is a good control approach for servo systems [19]. The purpose is to obtain the optimal controller parameter $K = (K_p, K_i, K_d, \lambda, \mu)$ to minimize the ITAE [20, 21]:

$$\underset{(K_p, K_i, K_d, \lambda, \mu)}{\text{minimize}} f = \sum_{t=0}^{\infty} t \left| e(t) \right|$$
(20)

Note that the minimum ITAE (J_{ITAE}) does not mean that the overshoot $\delta\%$ is minimized. In some cases, $\delta\%$ does not meet the design requirements, although the adaptation value *J* is very small.

3.3 GA Optimization [21]

The GA was adopted to compute the ITAE. The algorithm is a parallel random search optimization strategy mimicking the genetic mechanism and biological evolution in nature [22]. Our optimization problem can be described with a mathematical programming model:

$$\begin{cases} \min f(K) \\ \text{s.t.} X \in R \\ R \subseteq U \end{cases}$$
(21)

where, $K = (K_p, K_i, K_d, \lambda, \mu)^T f(K)$ is the objective function; *R* is the set of feasible solutions, i.e., the set of solutions satisfying the constraint *K*.

In the GA, decision variables K constitute the solution space of the problem. The optimal solution to the problem is searched for by traversing chromosomes K. Hence, the search space of the problem consists of all chromosomes *K*. Inspired by biological evolution, the GA searches for the optimal solution through genetic operations: genetic operators (selection operator, crossover operator, and mutation operator) are applied to the population to obtain a new generation of population.

The key steps of the GA are as follows:

Step 1. Initialization:

Set the counter of iterations to t = 9; configure the maximum number of iterations *T*; initialize the population P(0) with *M* random individuals.

Step 2. Individual evaluation:

- Calculate the fitness of each individual in group P(t). Step 3. Selection:
- Apply the selection operator to the population. Step 4. Crossover:
- Apply the crossover operator to the population.

Step 5. Mutation

Apply the mutation operator to the population. Through all genetic operations, obtain the next-generation population P(t+1).

Step 6. Termination

If $t \le T$, then make t = t + 1, return to Step 2; If t > T, output the individual with the highest fitness as the optimal solution, and terminate the calculation.

4 EXPERIMENTAL ANALYSIS

4.1 Intelligent Algorithm Simulation

Before simulation, a step change for concentration disturbance was given $C_{AD} = 0.2 \text{ kmol/m}^3$ at time t = 0; the PID parameters were empirically configured as $K_p = 30$, $K_i = 6$, and $K_d = 0$. Then, the Simulink model was constructed as shown in Fig. 7. The ITAE was set to min f(K) = 0.21226122.



Figure 7 GA optimization of PID control of CSTR ITAE

Taking $K_p = 30$, $K_i = 6$, and $K_d = 0$ as initial values, the parameters were adjusted in the range of, $K_p \in (0, 40)$, $K_i \in (0, 10)$ and $k_d = 0$ through the T = 40min simulation. The GA-based ITAE optimization program was compiled on MATLAB, and used to start the Simulink simulation of

the PID control system. After 56 iterations, the

convergence condition was satisfied. The final results were $K_p = 18.8673$, $K_i = 6.2527$, $K_d = 0$, i.e.:

$$G_{\rm c}(s) = 18.8673 + \frac{6.2527}{s} \tag{22}$$

The ITAE index was min f(K) = 0.1594933. Fig. 8 records the dynamic behavior of the system.

Then, the modules of FOPID were designed according to the approximation method of calculus operators inspired by Oustaloup method. Then, the FOPID-based CSTR ITAE optimization was simulated (Fig. 9).







Figure 9 FOPID-based CSTR ITAE optimization

Taking $K_P = 30$, $K_i = 6$, and lam = 1 as initial values, and $K_d = 0$ and mu = 0.9999 as fixed values, the simulation time was set to T = 40 min, and the other parameters were configured as $K_p \in (0, 40)$, $K_i \in (0, 10)$ and $\lambda \in (0, 2)$. The MATLAB program of GA-based ITAE optimization was used to start the simulation of the FOPID control system. The convergence condition was satisfied after 32 iterations. The final results were $K_p = 18.3443$, $K_i = 6.1619$, and lam = 1.0039, i.e.:

$$G_{\rm c}(s) = 18.3443 + \frac{6.1619}{s^{1.0039}}$$
(23)

The ITAE index was min f(K) = 0.1584535. Fig. 10 records the dynamic behavior of the system.



Figure 10 Dynamic behavior of the system in FOPID simulation

It can be seen that the ITAE value varied with time. The time-varying property must be noted in actual simulation. Because the FOPID was simulated by Oustaloup method, the initial value of the μ cannot be taken as 1. Thus, this parameter was initialized as 0.9999 to prevent simulation error. Tab. 1 compares the indices between three control systems, namely, empirical PID, intelligent PID, and intelligent FOPID.

Table 1 Comparison	between three	control s	ystems
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System	K_p	K_i	K_d	λ	μ	T/\min	ITAE	Number of iterations
Empirical PID	30	6	0			40	0.21226122	
Intelligent PID	18.8673	6.2527	0			40	0.1594933	56
Intelligent FOPID	18.3443	6.1619	0	1.0039	0.9999	40	0.1584535	32

For empirical PID, the values of K_p , K_i and K_d must be given in advance, which adds to the difficulty in implementing the control system. Even if the values are given, the ITAE remains fixed, making it impossible to judge whether the system performance is optimal or not.

For intelligent PID, the values of K_p , K_i and K_d need to be determined by an intelligent algorithm based on ITAE. When the GA was adopted for optimization, it took 56 iterations to converge to the optimal solution.

For intelligent FOPID, five adjustable parameters were added, including K_p , K_i , K_d , λ and μ . The addition makes the controller design more flexible, and more efficient in handling real-world processes [23]. The additional degrees

of freedom allow the controller to better adapt to dynamic changes [24]. When the GA was adopted for optimization, it took only 32 iterations to converge to the optimal solution, resulting in a relatively low ITAE.

4.2 Analysis on Concentration Control Index

Fig. 11 compares the step responses of A concentrations obtained by the three control systems.

From Fig. 11, the peak, overshoot, adjustment time, and attenuation ratio of C_{A1} , C_{A2} , C_{A3} and C_{AM} under each control system were extracted programmatically. The results are displayed in Tab. 2 to Tab. 5.

As shown in Tab. 2 to Tab. 5., within the allowable range of peak and overshoot, intelligent controls achieved a shorter adjustment time and a larger attenuation ratio than empirical control, and thus a much better control effect.

Under basically the same peak and overshoot, intelligent FOPID had a longer adjustment time and a larger attenuation ratio than intelligent PID for C_{A1} , C_{A2} and C_{AM} , but the differences were within one order of

magnitude. Thus, the two control systems realized comparable effects.

For the key control object C_{A3} , intelligent FOPID reduced the adjustment time by 3.55 min compared to intelligent PID, and increased the attenuation ratio by 0.34% compared to the latter. Hence, intelligent FOPID significantly improved the control effect from the level of intelligent PID.



Comparison of C_{A3} concentration under three control systems







Figure 11 Comparison of A concentrations

Table 2 Performance indices of the three control systems on CA1								
Concentration responsePeak T_p / \min Overshoot $M / \%$ Adjustment time T_a / \min Attenuation ratio $\varphi / \%$								
C_{AIJ}	1.29	14.04	11.11	6.66				
C_{AII}	1.41	15.38	7.98	10.05				
C_{AIF}	1.34	15.54	8.36	10.26				

Table 3 Feriorinance indices of the time control systems of CA	Table 3 Performance	indices	of the three	control s	svstems	on (2A2
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Concentration response	Peak T_p / \min	Overshoot $M / \%$	Adjustment time T_a / min	Attenuation ratio $\varphi / \%$
C_{A2J}	1.96	9.94	11.64	4.96
C_{A2I}	1.98	11.46	8.58	7.81
C_{A2F}	2.13	11.64	8.90	8.11

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Concentration response	Peak T_p / \min	Overshoot $M / \%$	Adjustment time T_a / \min	Attenuation ratio φ / %
C_{A3J}	2.61	7.54	8.13	3.81
C_{A3I}	3.18	8.95	8.58	6.34
Сазғ	2.92	9.27	5.03	6.68

Table 5 Performance indices of the three control systems on CAM

Concentration response	Peak T_p / \min	Overshoot M / %	Adjustment time T_a / min	Attenuation ratio φ / %
C_{AMJ}	0.00	33.30	15.37	14.76
C_{AMI}	0.00	33.29	9.78	19.29
C_{AMF}	0.01	33.34	10.19	19.54

4.3 White Noise Simulation

(C_{A1},C_{A2},C_{A3},C

/(kmol/m²)

In the above simulations, a step change for concentration disturbance was given $C_{AD} = 0.2 \text{ kmol/m}^3$ at time t = 0. That is, the input C_{AD} of the closed-loop system was kept constant at 0.2 kmol/m³. In practice, however, the value of C_{AD} may oscillate about the set point. Hence, a white noise was added to the input end with the intensity of 0.0001. Then, the three control systems were applied again under the same parameters as above, with the simulation time of 40 min.

The ITAE optimization GA algorithm was used to recalculate the performance of PID and FOPID systems, where as $K_p \in (0, 40)$, $K_i \in (0, 10)$, $K_d \in (0, 10)$, $\lambda \in (0, 2)$, and $\mu \in (0, 2)$. The performance indices of the systems are compared in Tab. 6.

Table	6 Com	narison	ofr	erformance	indices
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Types of control	K_p	K_i	K_d	λ	μ	T / \min	ITAE	Number of iterations	
System	30	6	0			40	0.3557007		
Empirical PID	30	6	0.99			40	0.3557007	1	
Intelligent PID	39.8375	9.9708	7.6099	1.0517	0.7046	40	0.1984620	58	

Compared with the simulation in 4.1, the addition of white noise activated the K_d in the control system, which improves the dynamic features of the system by prewarning and suppressing any shift in the bias during the response [22]. The intelligent PID terminated the task after only one iteration. The results of this control system were:

$$G_{\rm c}(s) = 30 + \frac{6}{s} + 0.99s \tag{24}$$

where, 100 is the filter coefficient. The ITAE value did not change through the simulation.

The intelligent FOPID completed the task in 58 iterations, with a lower ITAE:

$$G_{\rm c}(s) = 39.8375 + \frac{9.9708}{s^{1.0517}} + 7.6099s^{0.7046}$$
(25)

Fig. 12 compares the concentration step responses of the three control systems:



Figure 12 Concentration step responses under white noise

As shown in Fig. 12, the three control systems achieved stable performance, and controlled the C_{A3} value at system outlet excellently. From Fig. 12, the peak, overshoot, adjustment time, and attenuation ratio of C_{A3} under each control system were extracted. The results are displayed in Tab. 7.

 Table 7 Performance indices of the three control systems on Case

Concentration response	Peak T_p / \min	Overshoot $M / \%$	Adjustment time T_a / \min	Attenuation ratio $\varphi / \%$				
C_{A3J}	2.5	7.26	8.5	3.72				
C_{A3I}	2.4593	7.1259	8.5	3.91				
C_{A3F}	2.1886	5.7111	6.7375	3.31				

As shown in Tab. 7, GA did not achieve obvious optimization effects on the peak, overshoot, adjustment time and attenuation ratio during the optimization of PID ITAE, but converged to a suboptimal solution compared with the results in 4.1. By contrast, all these performance indices were significantly improved through FOPID. The FOPID clearly outshined intelligent PID in various indices, especially in anti-interference effect. Compared with the results in 4.1, the values of two additional adjustable parameters P_d and μ were also greatly improved.

CONCLUSION 5

This paper designs a three-tank CSTR system for waste water treatment, and developed intelligent PID and intelligent FOPID based on ITEA optimization and GA. Simulink and MATLAB simulations were carried out to acquire the control parameters, step features, and response performance indices of empirical PID, intelligent PID, and intelligent FOPID. The comparison shows that intelligent FOPID clearly outperformed the contrastive methods, especially in anti-interference effect. The future research will verify if the proportional coefficient can be extended to the fractional order.

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Contact information:

Yang WANG,

Chemical Engineering Institute, Yangzhou Polytechnic Institute, Yangzhou 225127, China E-mail: 305744045@gq.com

Dongjin XU,

(Corresponding author) Unconventional Hubei Province Collaborative Innovation Center, Yangtze University, Wuhan 430100, China The Branch of Key Laboratory China National Petroleum Corporation for Oil and Gas Production, Yangtze University, Jingzhou 434023, China E-mail: xdj1001@yangtzeu.edu.cn

Huan YANG

Department of Petroleum Engineering, College of Engineering and Applied Science, University of Wyoming, Laramie, WY 82071-2000, USA E-mail: hyang3@uwyo.edu

Changwu ZHU

Chemical Engineering Institute, Yangzhou Polytechnic Institute, Yangzhou 225127, China E-mail: 21013536@qq.com