PAIWAN PHONOLOGY AND PROTO-AUSTRONESIAN DOUBLETS

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1. PAIWAN'S IMPORTANCE IN AUSTRONESIAN STUDIES 1

Paiwan is unique among Formosan languages in showing nearly one-to-one correspondences with putative Proto-Austronesian (PAN) obstruent segments - all the other Formosan languages show extensive mergers and splits in reflexes of PAN stops. Structurally Paiwan, similarly to some of the other Formosan languages, is characterised by an extreme richness of productive derivational processes. Here as in phonology, Paiwan appears to be quite conservative, and thus is of great interest in comparative studies of morphological and syntactic characteristics of earlier stages of Austronesian. Here also Paiwan's phonological transparency suits it unusually well to such use.

This communication presents a summary of Paiwan reflexes of PAN phonemes, then shows how insights on problems such as that of PAN doublets may be gained from the examination of Paiwan dialect variants and 'pseudo-doublets'.

2. PAIWAN REFLEXES OF PAN PHONEMES

Paiwan reflexes of PAN phonemes are outlined in Figure 1. For comparison, reflexes of PAN phonemes in other Formosan languages are shown in Figure 2. Attestations of Paiwan reflexes are given in the Appendix.

FIGURE 1 Paiwan Reflexes of PAN Phonemes²

In this communication *t is used to represent the PAN segment *t $_1$ in Dahl's (1973) notation; *c represents *t $_2$ and *k $_7$; *d equates to *d $_1$ and *Z; *d $_7$ represents *d $_7$ and *d $_2$; and *d represents *d $_3$. Dahl has noted that all Formosan languages appear to have merged PAN *t $_2$ with *k $_7$, *d $_1$ with *Z, and *d $_2$ with *d $_7$.

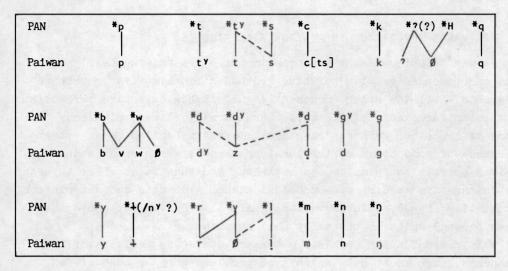
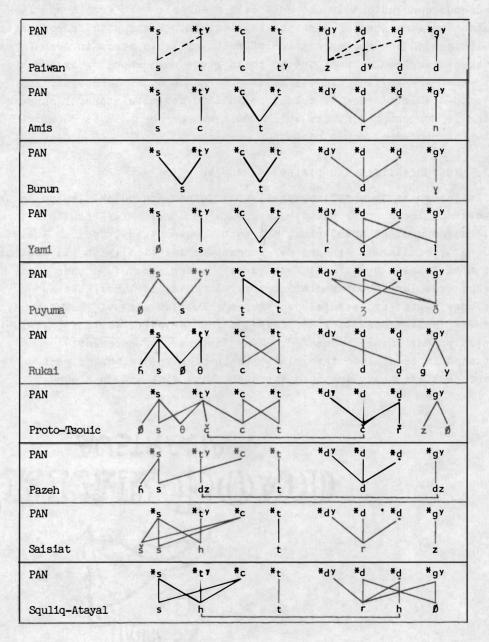


FIGURE 2
Comparison of PAN Reflexes in Selected Formosan Languages
For further discussion and attestation see Ferrell 1976.



3. PAIWAN DIALECT VARIANT FORMS

Several phenomena found in Paiwan could, despite the transparency of its regular reflexes of PAN, lead the unwary investigator to reach erroneous conclusions if the only data available were from a single dialect or consisted of a restricted lexicon. Forms with frozen affixes could lead to the reconstruction of multi-syllabic stems in 'Pre-Paiwan'; restructuring could tempt one to posit stems not demonstrably cognate with what are in fact closely related forms in other languages; and 'pseudo-doublets' (see 4. below) could lead to postulation of supposed phonemic mergers and splits which would be spurious. Even putative 'new PAN phonemes' could be set up erroneously.

3.1. IRREGULARITIES AND DIALECT BORROWING

In Paiwan as in most languages, unexplained irregularities do occur between dialects. For example, /a/ = /a/ and /u/ = /u/ regularly across all Paiwan dialect boundaries, but note Kułałáu łakay, Tjuábar łukay 'swing'. Similarly, /t/ and /s/ correspond identically in all dialects, but note Kułałáu vurati, Tjuábar vurasi 'sweet potato'. Other note-worthy examples of inter-dialect irregularities include: Kułałáu qat vuday, Qatsíłay qat vulał 'earthworm'; Kułałáu qat vuvi, Makazayazáya at vuvił 'snake'; and various dialect forms la-lapit, la-latip, la-labic, la-lacip 'bat (winged mammal)'. Many instances of apparently unconditioned inter-dialect irregularities involve the velar and post-velar stops /k ? q/, shown in three representative dialects in Figure 3.

FIGURE 3
Reflexes of Post-velar Stops in Three Paiwan Dialects
Note that in Kułałau and Tjavuałi /k ? q/ all are found, whereas
Tjałakavus lacks /q/.

Kułałáu	Tjavuáłi	Tja l ak áv us	
/2/	121	171	
7 ₉ 7 ₉	7 ₉ 7 ₉	? _Ә ? _Ә	'nope!'
/q/	/q/	/2/	The same of the same of
qadid	qadid	?adid	'bitter'
quma	quma	?uma	'field'
vaqu	vaqu	va?u	'millet'
naŋuaq	naŋuaq	naŋua?	'good'
umaq	umaq	uma?	'house'
/k/	/k/	/k/	Fig. 1. Sept.
kəd i	kəḍi	kəḍi	'little'
kuka	[vuyu]	kuka	'chicken'
məkə l	məkə∔	məkə l	'run'
iku	iku	iku	'tail'
/k/	/2/	/7/	
kasiw	?asiw	γasiβ	'tree'
ka∔at	?a∔at	?a∔a t	'bracelet'
nəka	nə?a	nə?a	'none'
t ^y amaku	t Yama?u	t Yama?u	'tobacco/cigarette
vaik	vai?	vai?	'leave'
a∔ak	ala?	a+a?	'child'

The distribution of these types of apparent irregularities among Paiwan dialects does suggest rather extensive borrowing between dialects, which would be consistent with the 'doublet' or 'dialect-borrowing' phenomenon so frequently posited in comparative Austronesian studies.

3.2. FROZEN AFFIXES

Cross-dialectal studies of Paiwan show considerable variation in the preservation of forms occurring only with 'frozen' affixes. For instance *vay (< PAN *bəγay) 'give' is found in Ku \pm a \pm áu dialect only on the affixed form pa-vay 'give', but in other dialects the stem vay is fully productive. Similarly *cəkad occurs in Ku \pm a \pm áu only in the

complex form va-cakad-an 'middle/centre', but Qatsílay dialect has cakad-an 'middle/centre'. In connection with this example, note that va- is not a productive affix in modern Paiwan.

In some cases all dialects show only affixed forms, as vaqu-an 'new'. In other instances all dialects show only affixed forms, but the frozen affix may be different in each dialect; e.g. Kułałáu va-rəqun, other dialects sa-rəqun 'snore'; Kułałáu vuliti, Qatsíłay quliti 'leucoderma'.

In all dialects a suspiciously large number of apparently irreducible stems of more than two syllables begin with a restricted number of elements. Prominent among these latter are va-, va-, vu-, tYa-, ca-, sa-, ka-, ku-, la-, li-, qa-, qu-. Occasionally there is internal evidence for suspecting these to be frozen prefixes, as in the case of Kułałau 'middle/centre' (supra): note qapulu 'trunk/stem', pulu 'haft/handle (as of hoe)'; qunəvu+ 'dusty', cəvu+ 'smoke'. But in numerous instances there is no such internal evidence for treating these as prefixed elements in Paiwan, which means that if they do in fact represent ancient prefixes, they were already 'frozen' in some stage that we can only refer to at present as 'Pre-Paiwan'.

Related to these are other suspected frozen affixes which appear to be complex in nature, notably kali-, qali-, qali-, quli-, quli-, vali-, vuli-, vuli-: kalidunudunuh 'butterfly', qalimumudan/qulipapuduan/qulipapunu 'crown of head', qalidudu 'taro (sp.)', qulimamadas 'caterpillar (sp.)', qulimamaraw 'beetle (sp.)', qulimacilu 'vine (sp.)', qulimazaw 'dizziness', qulipapa 'butterfly (sp.)', qulicacanalaw 'dragonfly', qulivanaraw 'rainbow', qulicapudus 'ants (sp.)', qulicaca 'tree (sp.)', qulivawaw 'curtain', vulicikacik 'tree (sp.)', vulinalaw 'coil up', valinalaw 'cross-legged', vulilawlaw 'whirlwind'. It will be noted that traces of several of this type of putative frozen affixes are found in many Austronesian languages from other geographical areas, and would be an interesting subject for further comparative study.

A somewhat different problem arises concerning otherwise identical forms with contrasting initial segments. Examples are: za+um 'water', ca+um 'carry water'; ledep 'to plunge into', tedep 'inside/interior'; umaq 'house', t'umaq 'inside of house'. Currently productive prefixes in Paiwan are all of the form CV- (cf. t've-za+um 'do at water', ma-si-za+um-an 'carry water'); however, these contrasting frozen forms look very much like the products of earlier paradigms. Many of the putative PAN doublets reconstructed by Dempwolff similarly differ only in initial segments and may conceivably represent similar processes since fallen into disuse in Austronesian languages. This is certainly a fruitful area for further study.

3.3. RESTRUCTURING

Various Paiwan dialects show different types of restructuring. In Kułałáu, for instance, the phonetic merging of contiguous like vowels has led to such restructuring as the following: caumumu 'ferret-badger' (< *ca-umu-umu); qaususu 'fallen leaves' (< *qa-usu-usu); +a+ak 'child (familiar term)' (< *a+a-a+ak). Compare also Kułałáu vuvu-an 'nest' (< *uvu-uvu-an) with Tjuábar ruvu, other dialects uvuvu; Kułałáu kaļaļa 'orchid', Qatsíłay ka-əla-əla. Reduplication appears to be involved with much restructuring, as seen also in the following:
Makazayazáya muzi-muzip 'animal' (< '/m/uzi-?/m/uzip, cf. Kułałáu q/m/uzi-quzip); Kułałáu +avi-+avin, other dialects +avi-avin 'shore'.

One further type of restructuring must be mentioned. This may be exemplified by Kułałáu k/in/a-cau-cau-an and k/in/a-cava-cavan, free variants for 'body (of person or animal)'. Whether one of these forms may represent dialect borrowing is a moot point; the process involved in the formation of the second form appears to be fairly straight-forward (the first form is considered to be regular inasmuch as cau 'person' is a fully productive stem, whereas there is no evidence of a separate stem *cavan). Kułałáu stress is regular on the penultimate vowel, thus cau-cau 'person' [ca.u.cá.u]; in discourse this may be realised phonetically as [caw.cáw]. Now in Kułałáu dialect final /w/becomes /v/ under affixation, thus a hypothetical root *caw plus affix /-an/ would become [cá.van]. If this latter form came to be considered to be a separate stem *cavan in certain 'frozen' complex words, then cava-cavan would represent regular reduplication of the reconstructed form.

4. PAIWAN DOUBLETS AND 'PSEUDO-DOUBLETS'

True doublets, in the sense of two words in the same dialect derived from the same source by different routes of transmission, are no doubt common in Austronesian languages. These may represent 'dialect borrowing', which is as frequently appealed to in Austronesian studies as the rubric 'ceremonial object' is invoked by archaeologists to 'explain' any artifact of which the function is unknown, or borrowings from related languages. However, the large number of so-called doublets reconstructed for Proto-Austronesian by Dempwolff and his successors makes us suspicious of the facile 'borrowing' explanation, particularly in view of the generally tenuous nature of the glosses we can assign to reconstructed proto-forms. Several types of phenomena occur in Paiwan which may serve to call closer attention to the question of doublets and related problems in Austronesian languages.

Phonologically similar forms with identical glosses occur fairly frequently within single Paiwan dialects. Examples are: regreg ~ ret^ret^ret^ret^rezrez 'cut with sawing motion', mi-regreg ~ v/ar/egveg 'blazing (fire)', limequ ~ lamequd ~ lamed 'to swallow', -selak ~ -velak ~ -velak 'to swell'. These are similar in type to free variants such as b/in/iqu ~ v/in/iqu 'banded krait', re-zelum ~ re-d*elum 'Taiwan green snake'. Such variants may in particular instances represent borrowing and thus perhaps qualify as true doublets; however, the frequency with which free variants are encountered in the world's languages makes it questionable for us to posit such variants automatically as doublets in the ordinary sense.

Extremely common in Paiwan are what I shall call 'pseudo-doublets' - phonologically similar forms with related but not identical glosses, occurring within the same dialect. Consider the following sets: ziwziw 'wave something back-and-forth', diwdiw 'signal by waving a burning brand'; kalus 'a ditch, furrow', ma-qalu 'deeply furrowed (terrain, or sow's belly)'; mu-labus 'escape from inside', ma-lavut 'escape; become lost to someone'; v/al/uŋavuŋ 'pin-feathers', p/al/uŋapuŋ-an 'down, small feathers'; +aqic 'scar (from burn)', vakic 'scar (of wound)'.

If some of the preceding sets of 'pseudo-doublets' may be suspected of representing phoneme split/restructuring or dialect borrowing, such sets as the following can hardly be explained away in this manner:

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caqav 'cover, lid'
taquv 'cap (of mushroom)'
+aquv 'cover for millet beer jug (leaf or cloth)'
-akav 'protect, ward off attack'
-akav 'loose covering (as roof, vine)'
-aub 'to cover (as water covers ground)'
-cauv 'to cover (as with blanket)'
-cukav 'large, removable cover (as for cook-pan)'
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Nor do the Paiwan hesitate to invent new 'pseudo-doublets', as evidenced by such terms as puday 'maize' (cf. paday 'rice', paday 'discoloured peanut kernel'). It is amusing to speculate on the dilemma of an unsuspecting comparativist faced with a seeming PAN *a = Paiwan /u/ correspondence (PAN *pag'ey 'rice' > Paiwan puday 'maize' < *'grain' < *'rice'!) if paday had happened to have dropped from the Paiwan lexicon before being recorded by linguists!

5. CONCLUSIONS

'Dialect borrowing' has for many years been the catch-phrase for brushing aside the problem of the large number of putative doublets reconstructed for PAN. Data from an actual Austronesian language, Paiwan, have shown that similar forms may in fact represent various phenomena including (1) true dialect borrowing (probably a small percentage of cases), (2) free variants, (3) restructuring, (4) frozen or no-longer-productive affixes (such as zalum 'water', calum 'carry water', which may represent earlier paradigms), (5) 'pseudo-doublets', and (6) analogously invented forms. Many putative PAN doublets may in fact turn out to be the result of processes similar to these; at any rate the question should be examined further.

Awareness of the possibilities of processes similar to these having been at work in PAN should raise a healthy note of caution for Austronesian phonological reconstructions. Working either from a limited lexical base or with a naïve phoneme-to-phoneme bias could easily lead an investigator to erroneous conclusions with regard to correspondences with PAN. The investigator could also easily fall into the trap of positing 'new PAN phonemes', based upon different reflexes in daughter languages, of forms which were in fact not paradigmatically identical in Proto-Austronesian and/or the daughter languages in question.

APPENDIX

Paiwan Reflexes of Proto-Austronesian

- A.l. *p = Paiwan /p/: *panaq 'arrow/shoot' /panaq/; *piliq 'choose' /piliq/; *punay 'dove' /punay/; *sipi 'dream' /səpi/; *tYapaw 'roof' /tapaw/ 'hut'; *siyup 'suck' /siup/ 'inhale'.
- A.2. *t = /tY/: *tia+ 'belly' /tYia+/; *tud2uq 'drop/leak' /tYuzuq/; *pitu 'seven' /pitYu/; *[]utaq 'vomit' /utYaq/; *qətut 'flatulence' /qətYutY/.
- A.3. *t = /t/: *t *ut *u 'breasts' /tutu/; *t *uqu+ 'carry on head' /tuqu+/; *wat *u 'dog' /vatu/; *it *a 'one' /ita/; *bə *vat *vat/ 'seed'.
- A.4. *c = /c/: *cu+u 'roast' /cu+u/; *calina 'ear' /calina/; *maca 'eye' /maca/; *kucu 'head-louse' /kucu/; *kayac 'bite' /kac/; *[d₁z]əkəc 'adhere' /d'əkəc/.
- A.5. *s = /s/: *sapuy 'fire' /sapuy/; *sapat 'four' /sapat'/; *kasiw 'tree' /kasiw/; *daqis 'forehead' /d'aqis/; *(kus)kus 'nail/claw' /k-a+-uskus-an/.
- A.6. *k = /k/: *kaən 'eat' /kan/; *kali 'dig' /kali/; *likud₂ 'back' /likuz/; *ta+ək 'cook' /tYa+ək/ 'cook for journey'; *a+ak 'child' /a+ak/.
- A.7. *q = /q/: *qauq 'bamboo' /qau/; *qud2an 'crustacean' /quzan/; *liqay 'neck' /liqu/; *bayaqan 'molar' /vaqan/; *tayaq 'plane/whet' /ta-taq/; *pə+uq 'full' /pə+uq/.
- A.8. *b = /v/: *biyaq 'arum' /viaq/ 'leaf (sp.)'; *bət'uy 'satiated' /ma-vətu/; *lubaŋ 'hole' /luvaŋ/; *labaw 'rat' /ku-lavaw/; *laba 'big' /mə-lava/ 'wide'. [NOTE: words ending in /-v/ are fairly common in Paiwan, but I have thus far not positively linked any of these with PAN *-b.]
- A.9. *b = /b/: *blbi 'duck' /bibi/; *cabcab 'beat' /cabcab/ 'slap'.
- A.10. *-w = /-w/: *babaw 'above' /vavaw/; *cakaw 'steal' /cakaw/; *lanaw 'blow-fly' /la-lanaw/ 'house-fly'; *qag'aw 'sun' /qadaw/.
- A.11. *w = /v/: *wat^u 'dog' /vatu/; *t^iwa 'nine' /siva/ [NOTE /s/for *t^v.]

- A.12. *w = /Ø/: *walu 'eight' /alu/; *(w)ada 'exist/be present' /m-aza/; *luwaq 'spit out/vomit' /pa-də-luaq/ 'make gagging sound'.
- A.13. *d₁ = /d^y/: *d₁anaw 'lake' /d^yanaw/; *d₁u^yi 'thorn' /d^yui/; *lud₁aq 'spittle' /lud^yaq/ 'betel spittle'; *qan^yud₁ 'float/drift' /qa+ud^y/.
- A.14. *Z = $/d^{\gamma}$: *Zilaq 'tongue' $/d^{\gamma}$ ilaq/ 'lick'; *Zəyami 'straw/ stubble' $/d^{\gamma}$ ami-a/ 'rice-straw'; *qu[Z/d₁]a+ 'rain' /qud 'a+/.
- A.15. *d₂ = /z/: *d₂atay 'flat' /ma-zatYa/ 'level'; *d₂aya 'upland' /zaya/; *tYad₂u 'hiccup' /tazu(q)/; *qud₂ip 'live/life' /q-m-uzi-quzip/ 'animal'; *satad₂ 'bring' /satYaz/ 'return something'; *likud₂ 'back/ behind'. [NOTE exceptionally /z/ from *d₁ and from *Z in: *d₁amay 'light/torch/resin' /zama-n/ 'torch'; *Zalaŋ 'rare/expensive' /pa-zaŋa!/ [metathesis].]
- A.16. *d = /d/: *dusa/*dewsa 'two' /dusa/; *uday 'worm' /qa-ty-uday/
 'earthworm'; *kudkud 'rasp' /kudkud/ 'weeding hoe'; *pa[n][]da+
 'pandanus' /panuda+/. [NOTE exceptionally /z/ from *d: *wada 'exist/
 be present' /m-aza/; *da-dam 'dark' /zam-zam/ 'pitch-dark'.]
- A.17. *g $^{\gamma}$ = /d/: *pag $^{\gamma}$ ay 'rice-plant' /paday/; *bag $^{\gamma}$ aq 'inform/ communicate' /vadaq/; *qapəg $^{\gamma}$ u 'gall' /qapədu/; *pig $^{\gamma}$ a 'how many' /pida/; *qug $^{\gamma}$ iŋ 'charcoal' /qiduŋ/ [metathesis].
- A.18. *g = /g/: *gacəl 'itch' /gacəl/; *garuc 'scratch' /garuc/ 'comb'; *gəmgəm 'fist' /gəmgəm/; *[t]agəraŋ 'ribs' /t'ageraŋ/ 'trachea'.
- A.19. *H = /Ø/: *bəHi[] 'woman' /va-vai-an/; *qumaH 'field' /quma/; *amaH 'father' /kama/.
- A.20. *y = /y/: *[]acay 'die' /pacay/; *sapuy 'fire' /sapuy/; *bəyay 'give' /vay/; *gayaŋ 'spear' /gayaŋ/.
- A.21. *\dagger = /\dagger/: *\dagger imatek 'leech' /\dagger imatek'; *\dagger acong 'vegetable' /\dagger acong '\dagger acong '\dagger acong '\dagger acong '\dagger acong acong '\dagger acong '\dagger acong acong acong '\dagger acong acong acong acong '\dagger acong acon
- A.22. *r = /r/: *girin 'growl' /girin/; *garuc 'scratch' /garuc/ 'comb'; *[t]agəran 'ribs' /t 'ageran/ 'trachea'.
- A.23. *q = /r/: *kəyət 'to cut off' /kərət'/ 'to cut ramie'; *qayut' 'allowed' /qarut/ 'portion'; *wiqi 'left (hand)' /viri/; *[]uyit'a 'squid' /sərita/. [NOTE also doublets: *t'i+ay 'light' /tə+ar/ 'light', /ma-si+ar/ 'squint from brightness'.]

- A.24. *y = /Ø/: *yumaq 'house' /umaq/; *uyac 'vein' /uac/; *qat'iya 'salt' /qatia/; *kiyim 'send' /kim/ 'search for'; *buyuk 'rotten' /ma-vuk/; *baqəyu 'new' /vaqu-an/; *ikuy 'tail' /iku/; *qəcəluy 'egg' /qəcilu/; *sapay 'lay mats' /sapa/; *layay 'sail' /la-laya/ 'banner'.
- A.25. *I = /[/: *laba 'big' /ma-lava/ 'wide'; *lima 'five' /lima/; *lubaŋ 'hole' /luvaŋ/; *qulu 'head' /qulu/; *[d_Z]alan 'road' /dYalan/; *buluq 'bamboo (sp.)' /vuluq/ 'spear'; *ŋilu 'rheumatic pain' /ŋilu/ 'pain'; *gacəl 'itch' /gacəl/; *capəl 'patch' /capəl/. [Note exceptional *l = / $\rlap/$ D/: *kilac 'lightning' /kiac/.]
- A.26. *m = /m/: *maca 'eye' /maca/; *yumaq 'house' /umaq/; *d2a+um 'water' /za+um/; *sa[1]um 'odour' /sa-lum/ 'ripe/fragrant'.
- A.27. *n = /n/: *wana+ 'right (hand)' /nava+/ [metathesis]; *panaq' shoot/arrow' /panaq/; *kaən 'eat' /kan/.
- A.28. * $\eta = /\eta/$: * η ilu 'rheumatic pain' / η ilu/; * $[\eta]$ ag 'an 'name' / η adán/; *bayəqa η 'molar' /vaqa η /; * η quza η /.

PAIWAN PHONOLOGY AND PROTO-AUSTRONESIAN DOUBLETS

NOTES.

- 1. Grateful acknowledgement is given to the Centre National de la Recherche Scientifique, Paris, under whose auspices I carried out fieldwork in Taiwan in 1968-1970; and to the National Science Foundation for grants #GS-28818 and #BNS72-05374 for fieldwork in the summers of 1971 and 1972.
- 2. Paiwan data in this paper represent Kulalao [kulalau] dialect unless otherwise noted.
- 3. Studies in phonologically more complex languages such as Atayalic are not yet far enough along for us to be truly certain at this point that all Formosan languages do in fact share all these mergers, or that the latter are not shared with any extra-Formosan languages. To the extent that these mergers may be shared by all Formosan languages (and only by Formosan languages), that the Formosan languages may form a discrete Austronesian subgroup would be strongly indicated.
- 4. Blust (1970:148, note 13) mentions that over 10% of Dempwolff's PAN lexicon represents doublets of other reconstructions. Blust further cautions against unwarranted proliferation of phonemes, and notes that doubleting is 'extremely common' in living Indonesian languages.

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