

AUSTRALIAN CASE SYSTEMS

SOME TYPOLOGICAL AND HISTORICAL OBSERVATIONS

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PREFATORY NOTE

The phonemicisation used in quoting examples in this paper has been regularised to the scheme given below. However, I have retained the voiceless symbols (p, t, etc.) or voiced symbols (b, d, etc.) as in the sources. The difference between voiceless and voiced stops is not normally significant in Australian languages.

Labials: p, m; lamino-dentals: t̪, ɲ, l; apico-alveolars: t, n, ɹ, r (flap); apico-postalveolars (retroflexes): t̠, ɲ̠, l̠, r̠ (glide); lamino-palatals: tʲ, nʲ, ɹʲ, j; velars: k, ŋ, w; vowels: i, a, u. Some languages have a 'trilled r': rr; some have a glottal stop: ʔ.

In general language names have been spelled in accordance with A.I.A.S. conventions.

Examples quoted in the text are numbered consecutively and numbers quoted in the text refer to these examples. Paragraphs are referred to by compound numbers, e.g. 2.1.

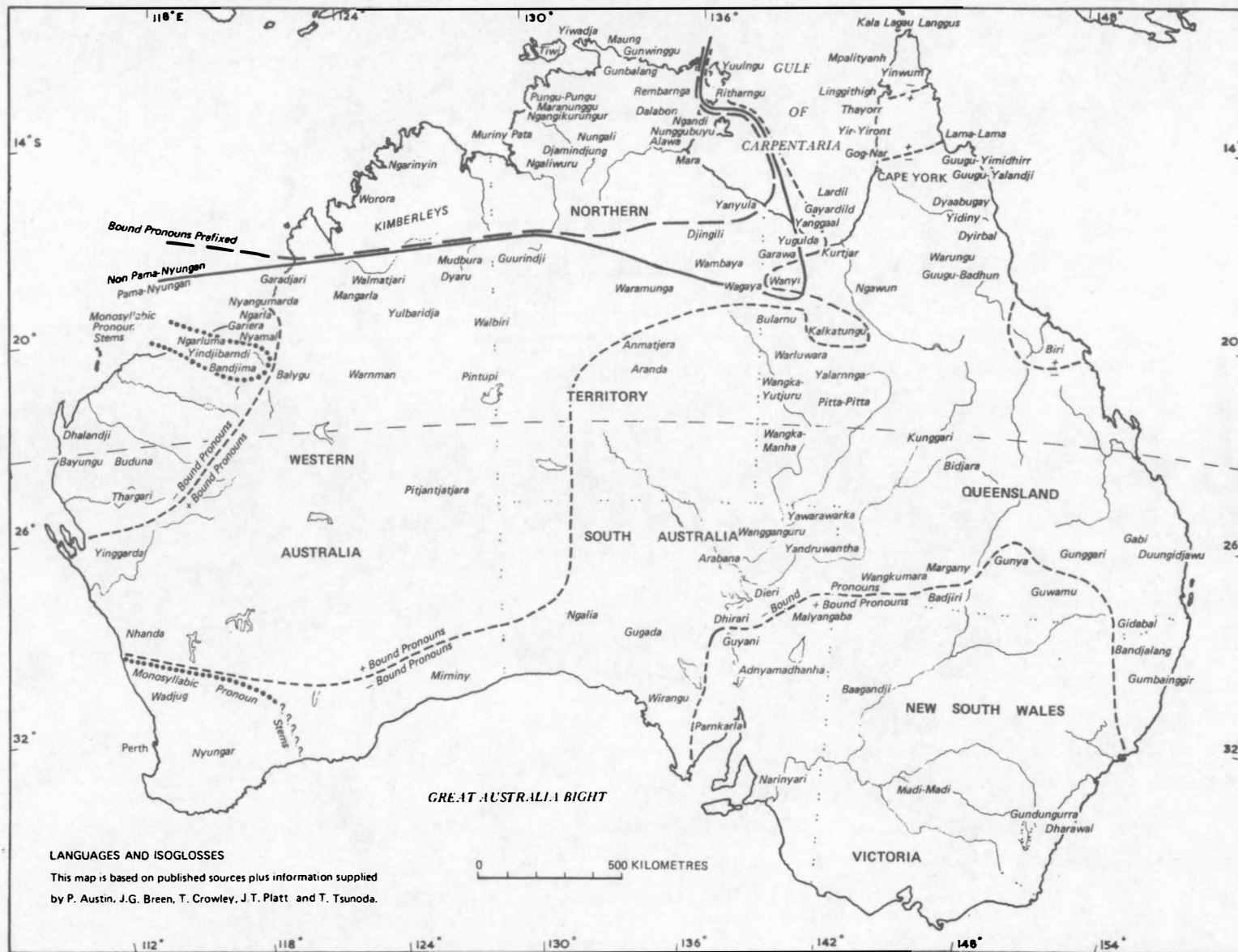
The expansion of the abbreviations for Australian states (e.g. Q. for Queensland) is available on the map.

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Notes on the Map

The map does not include Tasmania. It is uncertain whether the extinct languages of Tasmania were related to those of the mainland. Recent work by the author has tentatively established a tenuous lexical link with the western Kulin languages of western Victoria and hence indirectly with the mainland in general.



1. TYPOLOGICAL OUTLINE

1.1. The Major Syntactic Case Relations

In this paper I use the convention of labelling case relations in capitals (DATIVE, INSTRUMENTAL, etc.) and case forms in small letters (dative, instrumental, etc.). The major syntactic case relations are labelled INTRANSITIVE SUBJECT (S_1), AGENT (A), and PATIENT (P). A morphological or syntactic system identifying S_1 and A is described as 'accusative', a system identifying S_1 and P is described as 'ergative'.

In describing the systems for marking the major syntactic cases (S_1 , A and P) to be found in Australian languages, it is convenient to distinguish between the Pama-Nyungan family which covers most of the continent and the non-Pama-Nyungan family which occupy the Kimberleys and the Top End (see map). The classification of Australian languages that recognises Pama-Nyungan, etc. was based on cognate densities between lexical items. However, this classification corresponds broadly to what we would find if we classified according to cognate densities between function morphemes or according to morpho-syntactic systems. The classification in its revised form (Wurm 1972) recognises twenty-seven non-Pama-Nyungan families.

Most Pama-Nyungan languages employ an ergative system of case marking for nouns and an accusative system for pronouns. The case marking is in the form of suffixes to the last word in the noun phrase or to all primary constituents of the noun phrase. The ergative is commonly marked by allomorphs such as -lu (after vowels) and -tu (after consonants), the latter assimilating in point of articulation to some or all consonants. In some languages -ŋku is used with vowel stems of fewer than three syllables and -lu with longer stems. The accusative is usually marked by -na or nva. Many but by no means all the Pama-Nyungan languages employ cross-referencing bound pronouns. In some languages these are suffixed to the verb, in others to the first word

of the clause and in others again to a special auxiliary particle. Almost invariably these bound pronouns operate in an accusative system, the identification of S_1 and A showing up in case marking or via suppletion (as in English *she* v. *her*).

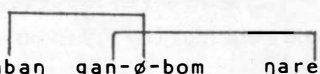
The following examples are from Pitjantjatjara (S.A., W.A., Douglas 1964, Glass and Hackett 1970). Pitjantjatjara is a typical Pama-Nyungan language with nouns operating in an ergative paradigm and free pronouns operating in an accusative paradigm. There is also a system of bound pronouns which operate in an accusative paradigm, these bound pronouns generally being suffixed to the head word of the clause (but see example 7). The choice between using bound pronouns or free pronouns or both is free at the information level. A number of factors determine which pronouns are used; the bound forms generally represent the unmarked choice but a free pronoun is used if the referent is to be emphasised. The bound pronouns are potentially cross-referencing, but we do not have the classic cross-referencing system of obligatory bound pronouns that characterises most of the non-Pama-Nyungan languages.

1. wati pika
man sick
The man is sick (Douglas: 30)
2. wati-lu tʷitʷi pu-ŋu
man-erg child hit-past
The man hit the child (Douglas: 30)
3. ŋayulu nʷaraŋka nʷina-ŋu
I there sit-past
I sat there (Douglas: 32)
4. ŋayulu papa nʷa-ŋu
I dog see-past
I saw a dog (Douglas: 58)
5. wati-lu ŋayu-nʷa nʷa-ŋu
man-erg me-acc see-past
The man saw me (Douglas: 59)
6. nʷuntulu-n yiŋa tʷukumunu
you-you old man big
You are a very old man (G & H: 96)
7. ŋayu-nʷa munta-ŋi-n wana-lku
me-acc query-me-you follow-fut
Will you follow me? (G & H: 48)

8. ka-nta-ya piŋi-lu nʷa-ku
and-you-they many-erg see-fut
And many people will see you (G & H: 48)

Note the appearance of a hierarchical principle of ordering bound pronouns in the last two examples. In general the first person bound pronoun precedes second or third and second precedes third, irrespective of grammatical function (though -n, the second person singular form for S₁ and A, is always sequence-final (G & H: 47-48). Hierarchical principles involving person, and to a lesser extent number, are fairly common in Australia, and they usually show up in the relative ordering of bound pronouns.

Typically the non-Pama-Nyungan languages lack case marking for S₁, A and P and rely instead on cross-referencing pronouns to mark the major syntactic relations. These cross-referencing systems operate either on an accusative system as in Tiwi (Bathurst and Melville Islands, N.T., Osborne 1974) or in a way that involves sufficient fusion and other sources of irregularity as to make the system synchronically unanalysable. In most of the non-Pama-Nyungan languages, the cross-referencing pronouns are prefixed to the verb. The following example is from Gunwinggu /kunwinʷku/ (N.T., Oates 1964:108), from the story of Godewele the Giant,

9. 

 9. ŋabaŋ gan-ø-bom ŋarewoneŋ ŋaɖug yabog
cheeky us-he-killed us two my sister
A 'cheeky' one killed both me and my sister

gan is not specifically *us*. ga- can refer to a first person P of any number acted on by a second person, or a non-singular first person P acted on by a third person. -n, if the combination is to be analysed, is an accusative marker, but I am dubious about whether an analysis is a valid reflection of the synchronic organisation of the prefix rather than an exercise in internal reconstruction.

Very broadly we could sum up the case marking systems for the major syntactic relations as follows:

Pama-Nyungan

	S ₁	A	P
Nouns	-ø	*-lu ¹	-ø
Pronouns	-ø	-ø	*-Nʷa ²
(Bound Pronouns	Accusative System)		

Non-Pama-Nyungan

	S ₁	A	P
Nouns and Pronouns	-∅	-∅	-∅
Bound Pronouns	Accusative System (or a system that is difficult to analyse synchronically but which can be shown diachronically to have been an accusative system)		

There are numerous exceptions to the broad summary given above; some details appear in Blake 1977. Among the Pama-Nyungan languages accusative marking often intrudes into the basically ergative system so that in some cases human nouns (e.g., Arabana, S.A., Hercus p.c.) or all animate nouns (e.g., Thargari, W.A., Klokeid 1969), or in a few instances all nouns, have accusative as well as ergative marking (e.g., Wangkumara, Q., Breen 1976a). Also in some Pama-Nyungan languages ergative marking often intrudes into the basically accusative pronoun paradigm so that some person/number combinations, especially first and second singular, have ergative as well as accusative marking. For example, the Giramay dialect of Dyirbal has a three-way contrast (S₁, A and P being separately marked) in the first and second singular (Dixon 1972:50) as has Gabi (Q., Mathew 1910:28, quoted by Dixon 1972:7). Yandruwantha (S.A.) has a three-way contrast for all singular pronouns (Breen 1976c:595) and Aranda (N.T.) has a three-way contrast on first singular only.

Ergative marking is scattered sporadically among the non-Pama-Nyungan languages with a concentration in the east, e.g., Garawa, Yanyula, Wagaya, Wambaya, Djingili and Alawa exhibit ergative marking.

It is rare for ergative marking to be found in the bound pronouns. Yugulda (Q., Keen 1972) has an ergative/nominative/accusative distinction in the first and second person and some languages in or near New South Wales, especially near the '± bound pronoun isogloss' (see map), have some ergative bound forms. Yanyula (N.T., Hale p.c.) is unusual among non-Pama-Nyungan languages in having some ergative marking in the bound pronoun system.

1.2. Dative

Almost every Australian language has a suffix that could be labelled 'dative'. In very many of these languages the form is -ku or -wu or both; where both variants occur, -ku normally appears with consonant-final stems, and -wu with vowel-final stems. The range of function may embrace: (a) the adjunct of an intransitive verb or the

complement of a semi-transitive verb;³ (b) the 'indirect object' of transitive verbs, (c) possessor, (d) purpose, (e) beneficiary and in some languages the notion of indirect cause or reason (compare English *for* in *She did it for spite*).⁴ However, in a large number of languages there is a separate genitive suffix to make the possessor; in a few languages there is a separate form to mark purpose, and in a very small number of languages there is a separate form to mark beneficiary. The indirect object of verbs for *give* is quite often expressed in the same way as P rather than by the dative, but the indirect object of verbs for *show*, *teach* and *tell* is usually in the dative.

In some languages the dative form also expresses *to* or *towards*, but more often there is a separate allative form.

In some languages, mostly the Pama-Nyungan languages of Western Australia, the form that expresses the LOCATIVE case relation competes with the dative form in expressing some of the functions listed above. In Pitjantjatjara, for example, the indirect object of the verbs for *say to*, *teach* and *show* appear in an indirect object/locative/instrumental form.

The dative plays a part in a number of interesting constructions. In some instances its use is semantically motivated; in others it is syntactically motivated.

A few languages use an intransitive-like construction to express ongoing as opposed to completed activity, and/or attempted as opposed to successfully completed activity and/or indefinite as opposed to definite P. In Kalkatungu⁵ (Q), for instance, we find contrasts such as

- 10a. ηai nʷun-ku ηaŋtamai-kin
 I you-dat look for-you
 I'm looking for you
- 10b. ηa-tu nʷini ηaŋtamai-ŋa-kin
 I-erg you find-past-you
 I've found you

Note that in 10a we have the same case marking as in an intransitive sentence, but note also the presence of the bound pronoun (-kin) for P. This cross-referencing bound pronoun could not be used in a genuinely intransitive sentence such as

11. ηai nʷun-ku iŋka
 I'm coming for you

10b represents the normal ergative construction. In Kalkatungu pronouns as well as nouns operate in an ergative system. The use of

bound pronouns in independent clauses is optional.

Examples of syntactically motivated constructions involving the dative are given in 3.3 and 3.4. See also 3.6.1 for further discussion of the construction illustrated in 10a.

1.3. Concrete Cases

Australian languages typically exhibit an allative case (*to*), a locative case (*at, near, etc.*) and an ablative case (*from*).

The INSTRUMENTAL case relation is rarely expressed by a separate case form. Most often it is syncretised with the ergative, in a minority of languages with the locative (e.g., Pitjantjatjara, W.A., Warluwara, Q.). Where it is syncretised with the ergative, the INSTRUMENTAL can usually be distinguished from A on syntactic grounds. If the language has a cross-referencing system, A but not the INSTRUMENTAL is cross-referenced.

The sense of indirect cause or reason (*He died from snakebite*) is often expressed by a separate case suffix, the 'causal'. Where there is no separate causal case form, this function is expressed by the ablative, or in some instances by the locative, instrumental or dative.

1.4. Pama-Nyungan Case Systems

The following chart is intended to give an overall impression of the Pama-Nyungan case system. It is not the system of any particular language but a generalised version to which the systems of most Pama-Nyungan languages approximate. The curly brackets indicate common syncretisms.

It is not possible to display the rather more divergent non-Pama-Nyungan systems on a chart. The principal difference is that usually they exhibit no case marking for S_1 , A and P and that the other case relations are expressed by a greater variety of forms.

12. Pama-Nyungan Case Systems

	Nouns	Pronouns
P } S ₁ }	-∅	-N _{ya}
A	-lu, -ŋku, -tu	-∅
INSTRUMENTAL } LOCATIVE } ALLATIVE } DATIVE } GENITIVE } BENEFACTIVE }	almost always syncretised -la, -ŋka, -ta various -ku, -wu various various	similar to noun locative, but different allomorphs are common various -ku, -wu, -ŋa, -ŋu, -nta, -mpa various various
ABLATIVE } CAUSAL }	-ŋuru, -ŋu!u, -ŋuŋi, etc. various	various various

1.5. Transitivity Mechanisms

Most if not all Australian languages have a productive suffix to convert intransitive verbs to transitive and some have suffixes for converting transitive verbs to ditransitive.

The most commonly encountered mechanism is a suffix for forming transitive verbs from intransitive ones with the P of the transitive verb corresponding to the S₁ of the intransitive. In Kalkatungu, for instance, we find,

13a. pi!a-pi!a iti
child return
The child goes back

13b. maŋapai-!u pi!a-pi!a iti-ntii
woman-erg child return-cause
The woman sends the child back

Kalkatungu also has the non-productive causative -ma and also a productive causative -puni for nouns and adverbs

14. maa paa yarka
food there far
The food is over there

15. maa paa yarkapuni⁶
food there far-cause
He put the food over there

-nti (~ -manti) may also be used to express a LOCATIVE, INSTRUMENTAL or CAUSAL relation through the verb,

16. tuku-yu nu-ntii-na
dog-erg lie-LOC-past
The dog lay on it
17. na-tu kunti wati-ntii
I-erg house clean-INSTR
I cleaned the house with it
18. matu-yu lai-mantii-kin
mother-erg hit-CAUSAL-you
(Your) mother hit you because of it

However, these constructions are used mostly in subordinate clauses where the noun phrase bearing the case relation is anaphorically deleted and the case marking transferred to the verb,

19. ucan caa anpa-ya maa-t^{yi} tuyi-manti-caya
wood here gather-imp food-dat cook-INSTR-purposive
Get some wood to cook the food with

Some languages have devices for expressing the DATIVE case relation in the same way as P. This enables certain intransitive verbs to be transitivised and certain transitive verbs to become ditransitive. In Pitta-Pitta (Q.) for instance, -la is used to transitivise a verb like *tiwa* (*be jealous of*) so that it can be made reciprocal, reciprocal formation applying only to transitive verbs,

20. nanyt^{ya} muyut^{yu}-ku tiwa-ya
I old woman-dat jealous-pres
I'm jealous of the old woman
21. tiwa-la-mali-ya mana pula-ka
jealous-tr-recip-pres might they two-unmarked deictic
They might be jealous of one another

It is also used to incorporate the DATIVE in the case frame of the verb of a transitive verb as a 'second object' in examples like the following,

22. nanpaka kanta-ka yanturru-na mari-lina nanyari-na
she go-past food-acc get-infin me-dat-acc
She went to get food for me

23. *nanpaka kaŋa-ka yaŋturru-na mari-la-liŋa nanYa*
she go-past food-acc get-tr-infin me-acc
She went to get me some food

2. MORPHOLOGICAL DEVELOPMENT

2.1. Nouns

2.1.1. Ergative(-Instrumental)

The ergative is commonly represented by *-lu*, *-ŋku*, and *-Tu*, one or more of these forms appearing in a given language. Where all three variants occur, *-lu* often occurs with vowel-final stems of more than two syllables, *-ŋku* with disyllabic vowel stems (very often there are no monosyllabic stems), and *-Tu* occurs with consonant stems. T assimilates in point of articulation to the final consonant of the stem.

The relationship between *-lu* and *-Tu* is determined by whether we have a hardening or softening environment. *-lu*, the weaker variant, occurs with vowel stems, i.e. with the consonant in the intervocalic leniting environment; *-Tu* occurs in the hardening environment of a consonant. The alternation between *-lu* and *-tu* is paralleled in the dative where *-wu* occurs following vowels and *-ku* following consonants, and also with the phonological filler *-wa ~ -pa* (see 2.2.2.1) where *-wa* occurs with vowels and *-pa* with consonants.⁷

The relationship between *-ŋku* and the other variants is explained by Hale (1976e) as follows.

Some Australian languages have a rule that appends a velar nasal to vowel stems. In some dialects of Anmatjera, an Arandic language of Central Australia, the velar nasal is appended to disyllabic noun stems only. If we posit **-lu* as the basic, historically underlying form of the ergative, we can account for allomorphs such as *-tu* with alveolar stems and *-ɬu* with retroflex stems by rules of hardening and assimilation. The distribution of possible final consonants in contemporary languages suggests that a greater variety of consonants could once have occurred in word-final position in many languages than is now the case. In particular, labials and velars tended to be eliminated from word-final position. This would mean that stems ending in velar nasals and exhibiting ergatives in *-ku*, would come to display an alternation: nominative in zero, ergative in *-ŋku*. If languages ancestral to those that have *-ŋku* with disyllabic vowel stems once had the Anmatjera-type rule of adding velar consonants to vowel stems, then they would have had a preponderance of velar nasal stems and, after elimination of word-final velars, a preponderance of ergatives in *-ŋku*. *-ŋku* could then have been reinterpreted as the allomorph for

disyllabic vowel stems and generalised to all such stems.⁸

In some languages (e.g. Dyaru, W.A., Tsunoda p.c.) -ŋku dissimilates to -ku if there is a nasal-plus-stop cluster in the stem (see 37, cf. Blake forthcoming).

Some languages lack the full set of variants given above. In some languages (e.g. Yalarnga, Q.) there are no consonant-final stems and so it is not surprising to find no -tu. However, many languages have generalised -lu or *ŋku. In Pitta-Pitta (Q.) -lu is the only variant of the ergative, but -ŋu from -ŋku marks S₁ and A in the future (see 54, 55). Warungu uses -tu with consonant stems but has generalised -ŋku with vowel stems to the extent that -lu occurs only with wan^u (*who*) and as an optional variant with gayana (*father*) (Tsunoda 1974:84).

The forms given above have been subject to a few phonetic changes in various languages. -ŋu (<*ŋku) occurs as the variant for disyllabic stems in Walmatjari (W.A., Hudson forthcoming) and in Kunggari (Q., Breen field notes) as well as in Pitta-Pitta as noted above. -ku (also <*ŋku) occurs in Warluwara (Q., Breen 1971) and several languages of Victoria and New South Wales. -ru (<*lu) occurs in Wangka-Manha (Q.) and in Arabana and Wangganguru (both S.A., Hercus p.c.). Vowel harmony, or more particularly harmony involving the high vowels occurs in some languages. Arabana and Wangganguru in fact have the harmonising variant -ri as well as -ru. Loss of final vowels has occurred in Cape York and in the Arandic languages of Central Australia. Thus in Aranda the generalised variant -lu appears as -l̥. In the south-west corner of the continent metathesis-cum-vowel reduction has produced ak (<*ku <*ŋku) and al (<-lu). Thus in Wadjug -al appears as the generalised variant of the ergative (O'Grady et al. 1966:132) and in Nyungan -ak appears as the ergative marker (Douglas 1976).

The ergative marker occurs in all Pama-Nyungan languages except Lardil, Yanggaal, and Gayardild (all closely related to one another and located on the Wellesley Islands and adjacent mainland at the bottom of the Gulf of Carpentaria) and Bandjima, Yindjibarndi, Ngarluma and Gariera in north-west Western Australia. However, reflexes of lu occur marking the INSTRUMENTAL in Bandjima and the INSTRUMENTAL and the A of the passive in Yindjibarndi (O'Grady et al. 1966:84-103).

In some Pama-Nyungan languages use of the ergative is optional. In these languages it is employed only when it is necessary to disambiguate a sentence. Baagandji (N.S.W., Hercus p.c.) is extreme in this respect using its ergative marker, -ru, very sparingly.

Ngarluma and the other languages referred to above as lacking the ergative marker are in fact accusative languages. The W.A. group (Ngarluma, etc.) seems to have moved from an ergative system to an accusative system by generalising 'surface intransitive' type patterns as illustrated in 10a. It is notable that in this group of languages P is marked by -ku (and other variants) which represents a syncretism of P and DATIVE. -ku is very widely distributed in Australia as a dative marker. The following example is from Ngarluma (Hale 1968:14),

24. maŋkuḷa ṭalku-ŋa yukuru-ku
child strike-past dog-acc/dat
The child struck the dog

If this hypothesis is correct, viz. that these languages have become accusative by generalising the 'surface intransitive' pattern, then what would otherwise be a remarkable coincidence, viz. the use of -ku for P as well as DATIVE in just the accusative languages, is explained.

In Lardil and the other 'Gulf' languages cited above, we find an accusative system rather than an ergative system. In Lardil itself the only language of the group on which data has been published (Hale 1965, 1967a, Klokeid 1976), the accusative is marked by (i)n, perhaps a reflex of the common Australian accusative marker *Nʷa (see below). However, in the future the accusative is marked by -kuṛ⁹ ~ -wuṛ ~ -ṛ (see 2.1.4).

I rather think that Lardil has moved from being ergative to accusative, having generalised the 'surface intransitive' type construction in the future tense in the same way as Pitta-Pitta (see 54, 55) and then having extended this construction to the non-future. There are positive signs of Lardil having been ergative, both in the forms of the first and second person singular pronouns (see discussion in 2.2.2.1) and in what Klokeid calls the cleft construction in which P is in the nominative and A in the genitive (Klokeid 1976:557-558),

- 25a. ṭaŋka kupaṛikun ṭi:nin waŋalkin
man make this-acc boomerang-acc
The man made this boomerang
- 25b. ṭi:n waŋal ṭaŋamen kupaṛiṭarkun
this boomerang man-gen make
It was this boomerang that the man made

The verb form in 25b. is not passive, but the genitive is used to mark the A of the passive.

The use of the 'surface intransitive' construction for the future tense probably requires some explanation. The construction involves using the same marking that is used for an intransitive verb and its adjunct for a two-place verb (see 10a). Thus a verb for *go* will normally be intransitive and the 'goer' will appear in the nominative. The adjunct of such a verb normally appears in a case other than the one used for the P of an unambiguously transitive verb, usually in what we call the dative. This same construction is usually used for some two-place verbs that do not involve impingement on the goal of the verb, e.g. verbs for *look for* or *like*. This often involves an awkward question of whether these verbs are simply intransitive or a special kind of transitive. If one is lucky, one finds certain formal characteristics of transitive verbs associated with them. In Kalkatungu, for instance, the verb *ŋkumai* (*to look for*) takes a distinctively transitive pronoun form in the imperative, but on the other hand it has to be transitivised like any intransitive before it can be made reciprocal. Given this mixture of characteristics it should probably be labelled something like 'semi-transitive'. What is important however is the use of intransitive-like case marking for two-place verbs that do not involve impingement on the goal and the use of this same marking where reference is to uncompleted activity or future action. In each case there is no impingement or at least no completed impingement. The intransitive marking is also used for indefinite goals (*I'm cooking tucker*), where reference is to the activity indulged in rather than specifically to activity carried out on the goal. It may be relevant to note that indefinite objects are probably more common with verbs in the imperfect or future. Think of characteristic patterns such as *We are going to hunt kangaroo(s)/We cooked the kangaroo*.

In any event we have a certain kind of 'logic' involved that opposes impingement and non-impingement so that the optional goal of a one-place verb, the goal of two-place verbs that do not involve impingement because of their inherent semantics, and the goal of any two-place verb in the imperfect or future or an indefinite goal are treated alike in terms of case marking. The 'logic' is somewhat fuzzy and indefinite in Kalkatungu. In Yugulda (Q.), to judge from Keen 1972, it is more definite but the 'logic' is slightly different in scope in that the use of the negative demands intransitive case marking. Pitta-Pitta (Q.) exhibits another version of the 'logic' (see 3.2). It is interesting to note that these examples are all from north-western Queensland and there is not much evidence of this kind of

thing reported from other areas.¹⁰ Yugulda, interestingly enough, occupied an area on the southern coast of the Gulf across the water from the other accusative languages of the area. It is not surprising then to find that Lardil makes a future versus non-future distinction in its case marking using *-kuṛ* etc. to mark the future accusative.

Whether I am on the right track in suggesting that Lardil became accusative by generalising the surface intransitive pattern to all future verbs and then generalising the $S_1A:P$ schema to the non-future remains to be seen. It is certainly a plausible line of development and it is a line of development for which there is some evidence in the case of Pitta-Pitta (see 3.2).

It is interesting to note the *-ku* (assuming Lardil *-kuṛ* contains a reflex of **-ku*) is involved in the West Australian accusative languages, in the Wellesley Island accusative languages and in Pitta-Pitta, which is an accusative neutral mixture.

Among the non-Pama-Nyungan languages the ergative is not strongly represented. Many of these northern languages lack it entirely (e.g. Tiwi, Osborne 1974), or use it rather sparingly (e.g. Dalabon, N.T., Capell 1962:111). In some languages a marker is used on S_1 as well as on A, and although such a marker is sometimes reported as an ergative, probably because it is common with A, its claims to being an ergative are dubious. In Ngangikurungur (Daly River, N.T.) Hoddinott and Kofod (1976b:401-405) report the use of *ningi* as an ergative (and instrumental) form, but they note that it is not always used to mark A and that it is sometimes used to mark S_1 .

Non-Pama-Nyungan languages exhibiting an ergative, whether obligatory, optional or 'dubious', are scattered around and do not occur in a contiguous bloc. Since ergative marking is a minority feature among the world's languages, I interpret this distribution as reflecting remnants of a once ubiquitous ergative system. The argument based on the scattered distribution is not conclusive of course, but it is made more plausible when one considers that all non-Pama-Nyungan languages employ cross-referencing bound pronouns for the major syntactic cases and therefore there would be some redundancy involved in having case marking on noun phrases. I argue below that these cross-referencing bound pronouns are an innovation (see 2.3).

One weakness in this line of argument might appear to be the variety of forms marking the ergative in non-Pama-Nyungan languages. Ngandi (Arnhem Land, Heath forthcoming) appears to be one of the few with a reflex of **-lu ~ -tu*.¹¹ However, Ngandi has borrowed its form *-tu* from the neighbouring Ritharngu language as Heath (forthcoming:

chapter III) clearly demonstrates. Ritharngu is a Pama-Nyungan language of the Pama-Nyungan enclave in northeast Arnhem Land (see map). Alawa (N.T., Sharpe 1972) has forms like -ri, tʷi, -yiri, Rembarnga and Dalabon have yi?, Muriny Pata (Port Keats, N.T., Walsh 1976) has -te and -re. These may or may not turn out to be reflexes of *-lu ~ *-tu. A number of languages have forms such as nʷi-Nungali (N.T.), -ni Ngaliwuru and Djamindjung (N.T., Hoddinott and Kofod 1976a:397-401), -ni Wambaya (N.T.) and -ŋi Djingili (N.T., Chadwick 1975, 1976). Ngangikurungur (N.T., Hoddinott and Kofod 1976b:401) has niŋgi as a 'dubious' ergative cum instrumental, and forms such as ni occur in a number of Daly River languages (Tryon 1974) as an instrumental, and forms such as nʷini, nʷine, nʷinta, nʷiŋke occur as instrumental forms in the languages of the Kimberleys. It is likely that these forms are cognate and that they have as their origin the provenience suggested by Chadwick (1976) for Djingili. They are likely to reflect a third person pronoun form.¹² There are a number of possible ways this could have happened. The most likely seems to be from the use of a third person pronoun following an A noun phrase and bearing an ergative inflection. In Pitta-Pitta (Q.) for example, a language in which there are no bound pronouns, noun phrases in S₁ or A function are normally followed by a third person pronoun.¹³ In Wangkumara (Q., Breen 1976a:336-339), third person pronouns have become suffixed to nouns as class markers, the non-feminine pronoun becoming a masculine singular marker, the feminine pronoun becoming a non-masculine-singular marker. In fact the forms used in Wangkumara, which are forms common in Queensland, may be cognate with the forms under discussion.

26.	Masculine	Feminine
Nom	ŋia ¹⁴	ŋani
Erg	ŋulu	ŋanru
Acc	ŋiŋa	ŋaŋa

When these forms are used as class markers, in most cases the initial nasal is lost.

This line of argument needs further substantiation of course, but there is a plausible line of development from characteristically placing third person pronouns at the end of phrases to suffixing them and there is some similarity in form between the third person pronouns of Queensland and the 'nasal ergative' forms under discussion. The disyllabic forms that occur such as nʷiŋke may reflect the common

ergative marker in the second syllable.

2.1.2. Locative

The locative is usually represented by one or more forms that match the ergative forms in consonants but have the vowel *a* instead of *u*. Thus in Dyaru (W.A., Tsunoda p.c.) we find the ergative represented by -ŋku (for disyllabic vowel stems), -lu (for longer vowel stems) and Tu (for consonant stems), and parallel to this we find the locative represented by -ŋka (for disyllabic vowel stems), -la (for longer vowel stems) and Ta for consonant stems.

In some languages there are some discrepancies between the full set of ergative allomorphs and the locative allomorphs, but in general the evidence points to a proto-form that matches the ergative in consonants but has *a* instead of *u*, i.e. a proto-form $*la \sim *ta$, with -ŋka being derived along the same lines as -ŋku (see above).

The phonetic changes that have affected the ergative naturally affect the locative in the same way. Thus Walmatjari (W.A., Hudson forthcoming, and Malyangaba (S.A./N.S.W., P. Austin p.c.) have a locative allomorph -ŋa to match the ergative allomorph -ŋu, and Warluwara (Q., Breen 1971) has locative -ka matching ergative -ku.

Where phonetic changes such as loss or reduction of final vowels have occurred, this has had the effect of syncretising the ergative and locative. As noted in 1.3, the INSTRUMENTAL is normally expressed by the ergative (or better ergative-instrumental) case form, but in a few scattered languages it is expressed by the locative (or locative-instrumental) form. If loss or reduction of final vowels occurs, a syncretism of A, INSTRUMENTAL and LOCATIVE occurs. This has happened in Wagaya (N.T., Breen 1976b:340, the Arandic languages of Central Australia and Kurtjar (Q., Black p.c.) for example.

The generalising of one variant at the expense of others that was noted above in the discussion of the ergative is not always paralleled in the locative. Since this is not a phonetic change, the different development of the two forms is not surprising. In Pintupi (N.T., K. and L. Hansen forthcoming), -lu has been generalised as the ergative marker and -ŋka as the locative. In Pitjantjatjara an innovation has occurred in the conditioning of these allomorphs in that -lu (ergative) and -la (locative-instrumental) are used with personal proper name vowel stems, but -ŋku and -ŋka are used with common noun vowel stems.

Most Pama-Nyungan languages have forms that are fairly obviously derivable from proto $*la \sim *ta$. Kalkatungu (Q.) has locative

allomorphs $-t_i$ and $-pia$ but these presumably represent an innovation as the expected familiar forms can be found lurking on some irregular high-frequency nouns and on adverbs, e.g. *kuu-ŋka* (*at the water*), *u \dot{t} iŋka* (*behind, at the back*). Pitta-Pitta (Q.) has locative $-ina$ but the familiar forms can be found with other functions. $-ŋa$ ($<*-ŋka$ cf. future S_1 , A marker $-ŋu$ $<*-ŋku$) expresses purpose, and $-la$ expresses indirect cause or reason (*drunk from rum*), a function often covered by the ergative-instrumental or locative-instrumental in languages lacking a separate 'causal' suffix.

The common locative forms are not well represented outside the Pama-Nyungan family. Most non-Pama-Nyungan languages have an exclusively locative suffix, a few exhibit syncretism of the LOCATIVE, INSTRUMENTAL and AGENT (e.g., Alawa, N.T., Sharpe 1972) or LOCATIVE and ALLATIVE (e.g., Gunwinggu, Arnhem Land, N.T., Carroll 1976).

2.1.3. Accusative

The accusative case does not occur much with nouns though it is common with pronouns. It is used with all nominals in Pitta-Pitta (Q.), except in the future tense (see 54, 55), and it is used with all nominals in Wangkumara (Q., Breen 1976a:336-338), but in the latter instance this is the result of suffixing case-marked pronouns to nouns forming a system of class markers (see 26). As noted above, it is used in the accusative language, Lardil, but separate accusative marking is used in the future as opposed to the non-future.

A number of languages exhibit accusative marking on some classes of nominals, usually personal proper names and/or kin terms or these categories plus human nouns or plus human and animate nouns (see Silverstein 1976).

The form of the accusative is commonly $-ŋa$ or $-n^va$. If we accept Dixon's thesis that both the dental laminal and palatal laminal series of phonemes in Australian languages derive from a common laminal series, then the proto-form will be $*N^va$ where the capital represents a laminal in a proto-language having only one series of laminals.

I see no evidence in the distribution of accusative marking with nouns to suggest that accusative marking was increasing its territory (i.e., 'spreading' from pronouns) or decreasing it. In some special cases it probably spread, e.g. Wangkumara, for the rather special reason that pronouns (marked for A and P) were suffixed to nouns, and perhaps in Lardil as suggested earlier. In any case the distribution of accusative marking as we find it is natural in the sense that it is concentrated at the end of a scale that runs from inanimate through

animate, to human, to subclasses of human and this accords with patterns of accusative marking in some non-Australian languages, e.g. the use of a for definite, animate PATIENTS in Spanish, pe for human PATIENTS in Roumanian, the use of the genitive for animate PATIENTS in Russian, the accusative -(ii)g in Mongolian for human PATIENTS and the use of -ko for animate PATIENTS in Hindi.¹⁵

There do not seem to be any examples of accusative marking with nouns among the non-Pama-Nyungan languages. This is not too surprising when one considers that they make greatly reduced use of case marking for the major syntactic functions, relying instead on cross-referencing pronouns. There is clear evidence in these cross-referencing pronoun systems of accusative marking, and more particularly reflexes of -*Nva. This is discussed in section 2.3.

2.1.4. Dative

A form -ku marks the dative in a very large number of languages. Besides occurring in something like ninety per cent of the Pama-Nyungan languages, it is also fairly well represented among the non-Pama-Nyungan languages. Often there is a variant -wu following vowel-final stems, with -ku occurring on consonant-final stems.

2.1.5. Summary

The following forms then can be reconstructed as belonging to some remote proto language:

27.	ergative	-*lu	~	-*tu
	locative	-*la	~	-*ta
	accusative	-*Nva		
	dative	-*wu	~	-*ku ¹⁶

The ergative was probably once more widespread than it is now, and is likely to have been lost from languages like Ngarluma and Lardil and from most of the non-Pama-Nyungan languages.

The accusative may have lost ground in the non-Pama-Nyungan languages as they shifted the burden of syntactic case marking from the use of case suffixes to cross-referencing pronouns.

The dative is likely to have been retained in form and function from a remote proto-language, but has probably been extended to mark P in Ngarluma, P in the future in Pitta-Pitta, and it has probably been extended to marking purposive on verbs and also future tense (see Blake 1976:421-424).

The LOCATIVE relation is expressed by a distinct marker over most of Australia. The Pama-Nyungan languages tend to reflect a common proto-form, the others express the relation by a variety of forms.

2.2. Free Pronouns

2.2.1. General

As indicated in 1.1 free form pronouns in the Pama-Nyungan languages operate in an accusative paradigm whereas in other Australian languages there is usually no case marking for S_1 , A and P, either with pronouns or with nouns. The accusative is almost always marked by what is clearly a reflex of $-*N\bar{V}a$.

In some Pama-Nyungan languages we find:

- (a) Ergative as well as accusative marking on third person pronouns, particularly if the forms are demonstrative in origin. This is fairly common.
- (b) Ergative as well as accusative marking on an odd person/number combination or two. In a few instances this occurs with first or second person singular.
- (c) Ergative as well as accusative marking on all pronouns.
- (d) Ergative marking rather than accusative marking on all pronouns.

Major syntactic relations apart, the rest of the pronoun paradigm is similar to the noun paradigm in most languages but rarely exactly the same. There are usually some differences in the number of case forms and in their actual shape or phonemic form. For one thing, pronouns, certainly first and second person pronouns, are typically human and the semantic category of locative is not much required though comitative needs to be expressed.

In the area possessive-indirect object-purposive-benefactive the pronoun paradigm often shows a different number of distinctions from the noun paradigm of the same language but it is difficult to generalise. Also we find that besides $-ku \sim -wu$, forms such as $-na$, $-nu$, $-nta$, $-ta$, $-mpa$ are common. Usually the first and second person singular have a different inflection in the dative (and genitive or benefactive) from the other person/number combinations. Thus in Warluwara (Q., Breen 1971) the first and second singular forms of the dative are $\eta a\eta a$ and $yinda$, but all the other person/number combinations consist of the nominative plus $-na$. It is fairly common for the oblique cases of pronouns to be built on a stem other than the

nominative. In Gumbainggir (N.S.W., Smythe 1948), the dative paradigm runs: 1s $\eta a:n^{\vee}u\eta$, 2s $\eta i:nnu$ with the other first and second person forms suffixed by *-mba*, e.g. $\eta ali-mba$. Other oblique cases (except for the accusative) are then built on this stem, e.g. $\eta ali-mba-la$ (*we two* (inclusive) locative).

Some of the forms used to mark the dative (or genitive or benefactive) with pronouns (and in some instances nouns) look like locative allomorphs (e.g., *-nta*, *-ta*, *-mpa*) and indeed this is likely to be their source. These forms do in fact occur as locatives with nouns and pronouns in some languages, e.g. Warungu (Q., Tsunoda 1974: 180-181) $\eta ali-\eta u-nda$, the locative of *we two* (*- ηu* is the genitive). Quite independently of the forms under discussion, the locative in a number of Australian languages covers indirect object and allative functions and when one considers the fact that locatives are not going to be required very frequently with human referents it is not surprising if locative allomorphs assume dative and similar functions. *- ηu* , though not clearly related to *-* ηka ~ * $-la$* (see 2.1) is attested as a locative in a number of Cape York languages and is the locative for pronouns in Kalkatungu (Q.). *- ηa* is found in very many languages including a number of non-Pama-Nyungan languages as a dative or purposive.

2.2.2. Major Syntactic Relations

2.2.2.1. Pronoun Augmentation

The main feature of interest in the pronoun paradigm is the distribution of nominative, ergative and accusative marking, especially the distribution of the case forms with pronouns vis-à-vis their distribution with nouns.

Before discussing the free pronouns, let us look at a typical Pama-Nyungan pronoun paradigm. This example is from Warungu (Q., Tsunoda 1974:180-181). Only the nominative and accusative are shown, but there are also two dative case forms, a locative, a comitative and an ablative.

28. Warungu Pronouns

		Nominative S ₁ , A	Accusative P
Sing.	1	ŋaya	ŋanʷa
	2	yinta	yina
	3	nʷula	nʷunʷa
Dual	1	ŋali	ŋalinʷa
	2	yupala	yupalanʷa
	3	pula	pulanʷa
Plural	1	ŋana	ŋananʷa
	2	yura	yuranʷa
	3	tʷana	tʷananʷa

It will be noted that while in the non-singular the accusative is added to the nominative, in the singular the accusative contrasts with a nominative suffix added to a monosyllabic stem. If one examines these nominative suffixes to singular pronouns in a range of languages one finds that they seem to consist of

- (a) a syllable resembling an ergative marker;
- (b) a syllable -pa or -wa;
- (c) a syllable resembling an accusative marker.

Warungu probably falls into category (a) although this is not immediately obvious. In many languages however the nominative consists of a form such as ŋaŋu, or in a smaller number of languages, ŋaypa or ŋanʷa.

In a recent paper Dixon (1977) suggests that at an earlier stage Australian languages allowed monosyllabic words but then at a later stage they ceased to tolerate them - this is the situation in a majority of contemporary languages. He suggests that at an earlier stage the singular pronouns operated with ergative and accusative marking and that when monosyllabic words became proscribed the S₁ forms were augmented by extending the ergative form to cover S₁ as well as A or by augmenting with a syllable -pa (widely used in Australia as a phonological filler) as suggested earlier by Hale. In this way he seeks to account for the appearance of an 'ergative looking' marker

on the S_1 form of pronouns. If the ergative form was extended to embrace S_1 , an accusative system resulted; if -pa was added, a three-way system of marking resulted.

There seems to be no doubt that the singular pronouns have been augmented. Dixon's argument concerns only first and second person where the proto-forms are reflected almost ubiquitously, but I think that the argument can be applied to the fairly widespread third person singular forms (see examples in 34. below). Moreover, I think that -N^ya can be added to the list of morphemes used as augments (see below). However, I wonder if an early proto-language had ergative as well as accusative marking for first and second person singular. This seems to involve positing a rather unusual proto-language. It may be that in some instances the ergative form of nouns was simply extended to cover both S_1 and A with pronouns. There is some evidence to support Dixon's position. In some languages that have retained the use of monosyllabic words, we find a system nearly identical to that posited by Dixon for the proto-language. For example, in Gabi (SE. Q., Mathew 1910:208, quoted by Dixon 1972:7) we find,

29. Gabi

	first singular	second singular
S_1	ŋay	ŋin
A	ŋayd ^y u	ŋindu
P	ŋana	ŋina

Also in the related language, Duungidjau (SE. Q., Wurm 1976) we find much the same thing,

30. Duungidjau

	first singular	second singular
S_1	ŋai	ŋin
A	ŋad ^y u	(ŋ)indu
P	ŋan ^y a	(ŋ)ina

No language seems to have a form of ergative provenience marking S_1 but not A. However, whether the ergative once functioned with singular pronouns or was extended from nouns to cover S_1 and A, the same distribution would result. It would not normally happen that a language would extend an ergative from nouns to S_1 pronouns without embracing A pronouns.

Almost without exception bound pronoun systems operate accusatively for all person/number combinations and they presumably reflect the earlier system operating with free pronouns. This would argue against Dixon's suggestion that the free singular pronouns had a distinct A form.

Dixon's list of augments can probably be extended to include -*N ν a. Consider the following forms from Nyungar (SW. W.A., O'Grady et al. 1966:131) and Kunggari (Blackall, Q. - not to be confused with Gunggari, Roma, Q., Breen field notes).

31. Nyungar

	first singular	second singular
S ₁	ŋan ν a	n ν ini
A	ŋat ν u	n ν untu
P	ŋan ν a	n ν ini

32. Kunggari

	first singular	second singular
S ₁	ŋa ν a	yina
A	ŋa ν u	yinti
P	ŋa ν a	yina

Perhaps the ergative systems that have resulted in these cases (at least with first and second singular) could be taken as supporting Dixon's putative proto-system. The 'accusative looking' form for S₁ would be seen as an extension of the accusative for the phonological reasons, the ergative would be seen as 'original'.

How common the use is of -*N ν a as an augment can only be answered after some problems of historical phonology are solved. A number of languages in south-west Queensland have forms such as ŋan ν i (first person S₁), (y)ini (second person S₁) and since some have ŋani for first person plural where other languages have ŋana, it is likely that ŋan ν i derives from ŋan ν a by regular sound change.

There are a number of phonological problems involved in deriving some attested forms from the proto-forms. Dixon suggests ŋay + tu¹⁷ as the proto-sequence for the first person and n ν un or ŋin + tu for the second. These allow the straightforward derivation of forms such as ŋayt ν u, ŋat ν u or ŋa ν u and n ν untu or ŋintu. Certainly lenition of N ν to y has occurred in some languages; see for instance the Warungu

second person singular, dual and plural forms in 28. Similarly vowel reduction has occurred producing forms such as Warungu yinta from *Nʸintu and yupala from *Nʸumpalu. However, although a form such as ɲayu in Garawa (Q., Furby 1972) probably derives from ɲay + tu via ɲaTʸu, a form such as Warungu ɲaya could derive from ɲay + tu via ɲaTʸu > ɲayu > ɲaya (cf. yinta) or by simply repeating the vowel of ɲay. This is a common augmenting principle among the world's languages and is attested in Australia, e.g. assimilating English words to vernacular phonemotactic patterns. A similar doubt arises with a not uncommon form for the second person, viz. nʸini. Is the i an assimilated a, nʸini reflecting *Nʸin + Nʸa, or is nʸini derived from nʸin by repeating the vowel? There are also problems in sorting out some of the stems. Is the second person Nʸin, Nʸun, ɲin or ɲun? What is the significance of y in ɲay, an element which does not appear in the dual or plural? What is the significance of -n in Nʸin etc., another element that does not appear in the dual or plural? However, the problem of the stems is not directly relevant to the question of the development of the case marking.

If we accept that forms like ɲaTʸu, nʸinti etc. reflect ergative morphemes in their second syllables, this has important consequences for the non-Pama-Nyungan languages. Since these lack case marking in most instances for the major syntactic functions, the question of the relationship between this system and the Pama-Nyungan system arises. As we noted in 2.1.1, a scattered minority of non-Pama-Nyungan languages exhibit ergative marking on nouns and pronouns and this scattering probably represents the relics of a widespread if not ubiquitous system. Further evidence of former ergativity can be found in the pronoun forms for the singular in some of these northern languages. Consider the following,

33.

	First Person	Second Person
Tiwi	ɲia	ɲiɲta
Gunbalang	ɲayi	ɲuta
Yiwadja	ɲabi	ɲuyi
Djingili	ɲaya	nʸama
Worora	ɲayu	ɲunʸdʸu
Gunwinggu	ɲaye	ɲuda
Maranunggu (Daly R.)	ɲanʸ	nina
Pungu-Pungu (Daly R.)	ɲetʸe	kene

These examples have been chosen more or less at random. It would have been possible to select a sample specifically to bring out the number of 'ergative looking' forms to be found among the non-Pama-Nyungan languages, but as it is one can see a sprinkling of forms that probably reflect the ergative marker. There is no suggestion that these reflect an ergative system however, only that they reflect an ergative marker. They could well reflect a marker that had been generalised to S_1 from A or from the noun paradigm.

In 2.1.1 I suggested that Lardil may have moved from being ergative to accusative. It is worth recording that the S_1 , A pronouns for first and second singular are η ata and η y η ki respectively. These seem likely to reflect the ergative marker in the second syllable.

The following table gives examples of singular pronouns in S_1 , A and P forms for a number of Pama-Nyungan languages. The first dual is given also as an example of a disyllabic stem where the putative augmentation did not take place.

The suggested lines of development outlined above account for most of the forms that appear. Dyaabugay illustrates another feature not mentioned above, namely the use of the plural stem n yura for the singular stem, a change reminiscent of the replacement of *thou* by *you* in English and a change that occurs sporadically over the continent.

The table has an eastern Australian bias but the deficiency is made good in example 40 where a number of Western Australian forms are quoted. In some of the Western Australian languages augmentation has occurred more than once as can be seen by inspecting Table 40. This additional augmentation is discussed in the text following 40.

34.

		1s	2s	3s	1du
Warluwara Q.	S_1	η ana	yipa	yiwa	η ali (inc)
	A	η ana	yipa	yiwa	η ali
	P	η ana	yina	yin η a	η alina
Pitta-Pitta Q.	S_1	η an η t η a	(y)inpa	\underline{n} uwa-	η ali
	A	η atu	(y)intu	\underline{n} ulu-	η alilu
	P	η ana	(y)ina	(y)ina-	η alina
Bidjara Q.	S_1	η aya	yinda	\underline{n} ula	η ali
	A	η aya	yinda	\underline{n} ula	η ali
	P	η ad η una	yuna	\underline{n} u η una	η alin η ana

		1s	2s	3s	ldu
Garawa Q.	S ₁	ɲayu	ninʏdʏi	nʏulu	ɲali
	A	ɲayu	ninʏdʏi	nʏulu	ɲali
	P	ɲana	niɲa	∅	ɲalinʏa
Yalarnnga Q.	S ₁	ɲia	ɲawa		ɲali
	A	ɲaɬu	ɲulu		ɲalu
	P	ɲia	ɲawa		ɲali
Kalkatungu Q.	S ₁	ɲai	nʏini		ɲali
	A	ɲaɬu	nʏinti		ɲaliyɪ
	P	ɲai	nʏini		ɲali
Ngawun Q.	S ₁	ɲayu	yuntu		ɲali
	A	ɲayu	yuntu		ɲali
	P	ɲana	yira		ɲalinta
Dyaabugay Q.	S ₁	ɲawu(ɲku)	nʏura		ɲanʏtʏi (non sg)
	A	ɲawu(ɲku)	nʏura		ɲanʏtʏi
	P	ɲanʏa	nʏuranʏ		ɲanʏtʏinʏ
Duungidjau Q.	S ₁	ɲai	ɲin	yo:(ru)	ɲa:m
	A	ɲadʏu	(ɲ)indu	yo:(ru)ndu	ɲa:mbu
	P	ɲanʏa	(ɲ)ina	yo:ɲa	ɲa:mma
Warungu Q.	S ₁	ɲaya	yinda	nʏula	ɲali
	A	ɲaya	yinda	nʏula	ɲali
	P	ɲanʏa	yina	nʏunʏa	ɲalinaʏa
Dharawal NSW	S ₁	ɲayagaɲ	nʏindigaɲ		ɲalgaɲ (inc)
	A	ɲayagaɲga	nʏindigaɲga		ɲalgaɲga
	P	ɲayagaɲ	nʏindigaɲ		ɲalgaɲ
Madi-Madi Vic.	S ₁	yidi	ɲindi		ɲali
	A	yidi	ɲindi		ɲali
	P	yinan	ɲinan		ɲalin

		1s	2s	3s	ldu
Narinyari	S ₁	ɲapi	ɲinti	kitʷi	ɲel
SA	A	ɲati	ɲinti	kili	ɲel
	P	ɲan	ɲum	kin	lam

2.2.2.2. Free Pronouns in an Ergative Paradigm

As noted earlier the free pronouns in some languages operate in an ergative paradigm. The set of languages exhibiting this feature is as follows:

(a) Warnman, Dyaru, Yulbaridja, Walmatjari, Walbiri, Pintupi (a roughly contiguous set extending over an area in the northern W.A. and into N.T.).

(b) Dharawal and some related languages as in Eades 1976 (south coast of N.S.W.).

(c) Rembarnga, Ngandi (Arnhem Land N.T.), Muriny Pata (Port Keats N.T.).

(d) Kalkatungu, Yalarnnga, (Kunggarl?) (western Q.).

In some instances at least it is possible to find evidence to suggest that the ergative pronoun paradigm is an innovation. Warnman (W.A., O'Grady et al. 1966:136-7) has a set of free form pronouns that consist of a stem *para* to which forms marking person and number are suffixed,

35.

	1st Person	2nd Person
Sing	para-∅	para-ɲku
Dual	para-∅-kutʷara	para-ɲku-kutʷara
Plural	para-∅-waʃa	para-ɲku-waʃa

This system seems to have derived from an earlier auxiliary or catalyst particle plus a bound pronoun. Catalyst particles to which bound pronouns are attached are a feature of the area and -ɲku is not only synchronically the second person S₁, A bound pronoun in Warnman, it is the S₁, A bound pronoun in a number of Western Desert languages. It seems fairly clear that a combination of catalyst particle plus bound pronoun has usurped the position of an earlier free pronoun. If this is so, it is not surprising to find that the new forms operate in an

ergative paradigm since this would have been the only paradigm available as a model when the earlier free pronouns fell into disuse.

The following example illustrates the use of the 'new' free pronouns and the cross-referencing pronouns. The free pronouns are optional.

36. para- \emptyset -lu- η a- η ku para γ i t γ inka- η a para- η ku-ku
 pronoun-I-erg-I(S)-you(P) boomerang make-past pro-you-dat
 I made a boomerang for you.

In other languages in the area that have ergative pronoun paradigms, there is evidence that the free pronouns for the major syntactic functions have been recreated probably on the basis of oblique forms. This is in fact Hale's suggestion regarding Walbiri (Hale 1973b:340),

'The process of destressing and cliticising pronouns eventually became an obligatory rule and, subsequently, independent pronouns were re-created from other sources available to the language, such as oblique forms of pronouns like those found in possessives or in other functions not normally subject to cliticization.'

The evidence is largely the discrepancy between the actual shapes of the bound forms and the shapes of the free forms (as pointed out by Hale), plus the regularity of the ergative affixation. Consider the following forms from Dyaru (W.A., Tsunoda p.c.) where both these features are evident,

37. Dyaru Pronouns

(a) Free Forms

		S ₁ , P	A
Sing.	1	ŋatʷu	ŋatʷu-ŋku
	2	nʷuntu	nʷuntu-ku
	3	nʷantu	nʷantu-ku
Dual	1 inc	ŋali	ŋali-ŋku
	ex	ŋatʷara	ŋatʷara-lu
	2	nʷunpula	nʷunpula-lu
	3	nʷanpula	nʷanpula-lu
Plural	1 inc	ŋalipa	ŋalipa-lu
	1 ex	ŋanampa ~ ŋanimpa	ŋanampa-lu ~ ŋanimpa-lu
	2	nʷuraa ~ nʷuraŋa	nʷuraa-lu ~ nʷuraŋa-lu
	3	nʷantu	nʷantu-ku

(b) Bound Forms

		S	P
Sing.	1	-ŋa	-yi
	2	-n	-ŋku
	3	-∅	-∅
Dual	1 inc	-li	-alinʷ
	ex	-liya(r)	-yiraŋ ~ -yaraŋ
		-npula	-ŋkuwuka
		-wula	-wula(y)anu ~ -wulanʷ
Plural	1 inc	-liwa	-alinʷpa ~ -ŋalipa
		-ŋalu	-(ŋ)animpa ~ (ŋ)anampa
		-nta(lu)	-nʷura
		-lu	-(y)anu

The discrepancy between the bound forms and the free forms can be gathered from an inspection of the table. Note that some of the accusative bound forms are marked by -nʏ(-), clearly a reflex of the accusative marker that is found over most of Australia. The allomorphs of the ergative marker on the free pronouns are distributed according to the principle operating with nouns: -ŋku occurs with disyllabic stems (dissimilating to -ku if there is a nasal stop cluster in the stem) and -lu occurs with longer stems.

Dyaru then seems to have formed a new series of free forms for S₁, A and P and to have modelled them on the noun paradigm with one form for A and another for S₁/P. The same line of argument can be followed with the other languages listed under (a) above.

Of the languages noted under (b), namely those languages of the south coast of N.S.W. treated by Eades (1976), it is generally true that the free pronouns exhibit ergative allomorphs distributed as for nouns, at least insofar as there is evidence available. There are also systems of bound pronouns in use, and all in all it seems as if the suggestion made about Dyaru could be repeated in the case of these languages.

In one language of this area, Gundungurra, we find a system the same as that described above for Warnman. The free pronouns consist of a base gula- to which bound pronouns are suffixed,

38.	Sing.	1	gula-ŋga
		2	gula-nʏdʏi
Dual	1 inc	1	gula-ŋa
		1 ex	gula-ŋgulaŋ
		2	gula-mbu
Plural	1 inc	1	gula-mbanʏan
		ex	gula-mbanʏila
		2	gula-mban <u>du</u>

The bound pronouns exist independently of their use with -gula. Presumably this system has the same genesis as that proposed for the Warnman system.

Of the non-Pama-Nyungan languages exhibiting an ergative pronoun paradigm, Rembarnga, Ngandi and Muriny Pata, Ngandi can easily be accounted for. Heath (forthcoming b) demonstrates convincingly that

Ngandi has borrowed its ergative marker from the neighbouring Pama-Nyungan language, Ritharngu. The use of this ergative marker with pronouns reflects a situation in which an introduced functional morpheme is generalised to all nominals.

In the case of Rembarnga (McKay 1975) and Muriny Pata the only evidence of possible innovation lies in the fact that the bound pronouns operate in an accusative system and this presumably would reflect the earlier free pronoun paradigm.

As for the remaining languages, Kalkatungu exhibits a discrepancy between the shape of its bound pronouns and its free ones, so one would expect that the free forms represent a relative innovation. Yalarnga has virtually no bound pronouns. Kunggarl may or may not exhibit an ergative pronoun paradigm. It certainly does in the first and second singular (see 32) but the information available on the other person/number combinations is incomplete.

On balance then one could say that since bound pronoun paradigms probably reflect an earlier system and since bound pronouns operate in an accusative system, the free pronoun paradigms were once accusative. We have also seen that in the case of some of the languages with ergative pronoun paradigms, there are additional reasons for believing the ergative system to be a comparative innovation.

2.3. Bound Pronouns

If we consider bound pronouns in Australian languages, we can classify languages into four types along a *seriation* scale thus:

- (a) languages with no bound pronouns
- (b) languages with bound pronouns that are transparently abbreviated forms of the free pronouns
- (c) languages with bound pronouns that are not simply abbreviated forms of the free pronouns
- (d) languages with bound pronouns that exhibit fusion and various irregularities in transitive clauses (where the bound pronouns for A and P are juxtaposed)

2.3.1. Languages with no Bound Pronouns or 'Abbreviated' Free Pronouns

It is interesting to note that most of the languages lacking bound pronouns are to be found in a contiguous area running from the Great Australian Bight in South Australia to the Gulf of Carpentaria in Queensland (see map). This suggests that the process of developing

bound pronouns or dropping them is subject to diffusional pressure, but leaves the question of whether the 'boundless' languages are ones which have not developed bound pronouns or whether they are ones which have lost them. One cannot apply stratigraphical arguments to the distribution of 'bound' and 'boundless' languages in the way one can with the distribution of lexical items. Since the form of lexical items is, in almost all cases, arbitrary, a discontinuous distribution as opposed to a continuous one must normally be interpreted in terms of the continuous area representing an innovation and the discontinuous one representing a conservative form. However, with the choice between 'bound' and 'boundless' languages we are not dealing with an arbitrary expression-content relationship, rather it is the case that a language can develop bound pronouns from free ones (where else could they come from?) and it can also lose bound pronouns, as has been the case in Europe, for instance. In any case, the stratigraphical evidence is ambiguous since there are discontinuous 'boundless' areas (NW. W.A., tip of Cape York) as well as discontinuous 'bound' areas.

Gavan Breen points out that if the boundless languages had lost bound pronouns, one would expect to find vestigial evidence of this and in fact there seems to be practically none (but see below). There are one or two instances of isolated bound pronouns, e.g. Yalarnnga *-nu* marking the S_1 or A of imperatives, but often, as in this case, their transparent relation with free forms suggests innovation.

One can see the force of this argument if one considers developments in Europe. Pronouns functioning as S_1 and A (subject pronouns) were suffixed to verbs, became unstressable, and in many cases monophonemic, and were supported by optional free forms for the purposes of emphasis, contrastive stressing and the like. Thus in Latin the first person singular (at least in some active tenses) was represented by *-m* as in *amabam* (*I used to love*), with *ego* available when the first person singular was to be stressed. In French, *ego* appears as a proclitic /*ʒə*/, which has become unstressable, so that the disjunctive *moi* has to be introduced to allow the first person singular to be emphasized, *moi, je t'aime* (*I love you*). In general an older layer of bound pronouns shows up as a set of verb inflections, and even in English, the European language to have lost most of its inflections, a third person singular form is retained in *he comes*, etc. where *-s* reflects a pronoun, ultimately a demonstrative (being an Anglian form of Germanic **-θ<I.E.*-t*). In sum it appears that one can expect bound pronouns, if they are lost at the expense of a set of free forms, to disappear gradually, leaving vestiges on the verb or wherever they were affixed.

On the other hand one cannot dismiss entirely the possibility of a kind of pidginisation involving the sudden and complete loss of bound pronouns. In Kalkatungu, for instance, where bound pronouns are optional in non-dependent clauses (at least with most tense/aspect markers), informants will normally omit them for the benefit of a struggling European linguist. One can imagine circumstances involving inter-tribe bilingualism in which a pidginised form of the language ousted an earlier, morphologically irregular paradigm, a process which can be observed in a number of present day Aboriginal communities where the natural transmission of the language to the younger generation is suffering from competition with English.

However, when one considers that those languages bordering on the boundless area for the most part exhibit bound pronouns that are patently abbreviated free forms, it supports the contention that the development is largely, if not entirely, a one-way development from 'boundless' to 'bound'.

Breen (p.c.) claims specifically in the case of Badjiri (Q.) that it borrowed the system of bound pronouns but not the forms from the neighbouring Gunya (Q.). Similarly, Heath (forthcoming) demonstrates that Ritharngu, a Pama-Nyungan language of the Pama-Nyungan enclave in north-east Arnhem Land, developed bound pronouns under the influence of Ngandi, a neighbouring non-Pama-Nyungan language which makes extensive use of cross-referencing bound pronouns. Ritharngu developed the bound pronouns from its own free pronouns and is the only Pama-Nyungan language of the Arnhem Land enclave to have developed such forms.

One area where there does appear to have been a kind of loss of bound pronouns is northern Cape York where the former bound forms are suffixed to the free forms obligatorily and hence no longer function as bound pronouns. In Mpalityanh, for example, the first person singular S_1 , A form is *ayu-ŋ*. *-ŋ* is a reflex of a bound form of the pronoun. Independently of this the initial velar nasal of the stem **ŋayu* has been lost as part of a general 'initial dropping' change. Similarly in Yinwum, first dual inclusive is *le-l*, where *le* reflects **ŋali* with loss of the initial syllable and *-l* ultimately reflects the same stem having been used as a bound form (Hale 1976b:30). In Yinggarda (W.A., O'Grady et al. 1966:118) the first person S_1 , A bound pronoun has become permanently suffixed to the free form. *-ŋa* remains as a means of indicating first singular, but the free form is no longer **ŋaŋa* but *ŋaŋaŋa*.

To clarify the preceding generalities the following examples are presented. The first is from Narinyari (S.A., Yallop 1975:40) and illustrates a language in which the bound pronouns are patently reduced forms of the free ones.

	1st Person	2nd Person	3rd Person
	free/bound	free/bound	free/bound
Sing.	ŋapi/-api	ŋinti/-inti	kitʷi/-itʷi
Dual	ŋel/-aŋel	ŋurl/-uŋurl	keŋk/-eŋk
Plural	ŋurn/-arn	ŋuni/-uŋun	kar/-ar

Narinyari is one of the few languages in which ergative forms appear, thus

	Nominative	Ergative
	free/bound	free/bound
1 Sing.	ŋapi/-api	ŋati/-ati
3 Sing.	kitʷi/-itʷi	kili/-ili
3 Dual	keŋk/-eŋk	keŋkul/eŋkul

The few examples of ergative bound forms occur in eastern Pama-Nyungan and where they occur in general they reflect the comparatively recent derivation of the bound forms from the free forms.

In general, bound pronouns in eastern Pama-Nyungan languages bear a transparent relation to their free counterparts. Capell gives a number of examples from New South Wales languages in his *New Approaches* (Capell 1956:16-17).

2.3.2. Languages with Bound Pronouns Distinctly Different from the Free Pronouns

The following table is presented mainly to illustrate languages in which there is some discrepancy between the shape of the bound pronouns and the shape of the corresponding free ones. However, it has been extended to cover most of the Pama-Nyungan languages of Western Australia and of the adjacent parts of the Northern Territory and South Australia, since a number of interesting factors can be found within this range. Only the first and second person singular has been shown. In general there is a closer correspondence between free forms and bound forms in the other person/numbers.

40. Western Pama-Nyungan Pronouns

		Free				Bound		
		S ₁	A	P	DAT	S ₁ , A	P	D
Ngarla	1s	ɲaya	nayi					
	2s	nʸinpa						
Nyamal	1s	ɲatʸa			ɲatʸuku	-ɲa		
	2s	nʸunta						
Bailko (Balygu)	1s	ɲaɬa	ɲaɬalu			-ɲa	-tʸa	-tʸu
	2s	nʸinta				-npa		
Bandjima	1s	ɲaɬa	ɲaɬa	ɲatʸu	ɲatʸu			
	2s	nʸinta	nʸinta	nʸinku	nʸinku			
Yindjibarndi	1s	ɲayi	ɲayi	ɲayu	ɲayu			
	2s	nʸinta	nʸinta	nʸinku	nʸinku			
Ngarluma	1s	ɲayi	ɲayi	ɲatʸu	ɲatʸu			
	2s	nʸinta	nʸinta	nʸinku	nʸinku			
Dhalandji	1s	ɲaɬa	ɲaɬa	ɲaɬana	tʸuɬi			
	2s	nʸinta	nʸinta	nʸintana	nʸintama			
Buduna	1s	ɲaya						
	2s	ɲi						

		Free				Bound		
		S ₁	A	P	DAT	S ₁ ,A	P	D
Bayungu	1s	ḡaṡa	ḡaṡa	ḡaṡaṡa	ḡaṡaṡu			
	2s	nʸinta	nʸintalu		nʸintaṡu			
Thargari	1s	ḡaḑa	ḡaḑa	ḡaḑaṡa	ḡanayi			
	2s	nura	nura	nuraṡa	nuraba			
Yinggarda	1s	ḡaṡaṡa	ḡaṡaṡa	ḡaṡa		-ḡa		
	2s	nʸinta	nʸintalu	nʸintaṡa	nʸintaṡu	-npa	-nta	-ḡu
Nhanda	1s	ḡayi	ḡayi	ḡayiṡa	ḡayu	-wa	-na	-tʸ
	2s	nʸini	nʸini	nʸiniṡa	nʸiniyu	-nʸtʸV, -nʸa	-ḡṡa	-nʸku
Wadjug	1s	ḡanʸa	ḡatʸu	ḡanʸa				
	2s	nʸini	nʸuntu	nʸini				
Nyungar	1s	ḡanʸ, ḡetʸ	ḡanʸ, ḡetʸ	(ḡ)anʸ	(ḡ)anʸ			
	2s	nʸintak	nʸintak	nʸininʸ	nʸinak			
		nʸunak	nʸunak	nʸunanʸ	nʸunak			
East Mirniny	1s	ḡaṡu	ḡaṡu	ḡaḡaṡa	ḡaḡiya			
	2s	ḡuḡṡu			ḡuḡṡiya			
Gugada	1s	ḡayulu	ḡayulu	ḡayunʸa	ḡayugu			
	2s	nʸura	nʸura	nʸuranʸa	nʸuramba			

		Free				Bound		
		S ₁	A	P	DAT	S ₁ , A	P	D
Ooldean (Ngalia)	1s	ɲayulu	ɲayulu	ɲayunʔa	ɲayuku	(-ɲa)		
	2s	nʔura	nʔura	nʔuranʔa	nʔurampa			
Pitjantjatjara	1s	ɲayulu	ɲayulu	ɲayunʔa	ɲayuku	-ɲa	-ɲi	-tʔu
	2s	nʔuntulu	nʔuntulu	nʔuntunʔa	nʔuntuku	-n	-nta	-nku
Pintupi	1s	ɲayunʔa	ɲayulu	ɲayunʔa	ɲayuku	-ɲa	-ɲi	-tʔu
	2s	nʔuranʔa	nʔuralu	nʔuranʔa	nʔuraku	-n	-nta	-ɲku
Yulbaridja	1s	ɲayu	ɲayulu	ɲayu	ɲayuku	-ɲa	-tʔa	-tʔu
	2s	nʔuntu	nʔuntulu	nʔuntu		-n	-nta	-ɲku
Nyangumarda	1s	ɲatʔu	ɲatʔulu		ɲatʔuku	-ɲV	-nʔi, -nʔa	-tʔi, -tʔu
	2s	nʔuntu				-n	-ntV	-ɲu
Garadjari	1s	ɲatʔu	ɲatʔulu		ɲatʔuku	-ɲa	-nʔa	-tʔa
	2s	nʔuntu	nʔuntulu			-n	-nta -ɲgu	-ɲgu
Mangarla	1s	ɲayu	ɲayunɲku	ɲayu	ɲayuku	-ɲa	-nʔa	-tʔa
	2s	nʔuntu	nʔuntunɲku	nʔuntu	nʔuntuku	-n	-na	-ɲka
Walbiri	1s	ɲatʔu(lu)	ɲatʔu(lu(lu))	ɲatʔu(lu)	ɲatʔuku	-ɲa	-tʔu	-tʔu
	2s	nʔuntu(lu)	nʔuntu(lu(lu))	nʔuntu(lu)	nʔuntuku	-n pa	-ɲku	-ɲku

		Free				Bound		
		S _i	A	P	DAT	S _i ,A	P	D
Mudbura	1s	ɲayi	ɲayi	ɲayinʔa		-ɲa	-yi	
	2s	nʔuntu	nʔuntu	nʔununʔa		-n	-ɲku	
Gurindji	1s	ɲayu	ɲayu	ɲayinʔ		-ɲa	-yi	
	2s	nʔuntu	nʔuntu	nʔuntu		-n	-ɲku	
Dyaru	1s	ɲatʔu	ɲatʔunʔku	ɲatʔu		-ɲa	-yi	
	2s	nʔuntu	nʔuntuku	nʔuntu		-n	-ɲku	
Walmatjari	1s	ɲatʔu	ɲatʔunʔu	ɲatʔu	ɲatʔuwu	-ɲa	-tʔa	-tʔi
	2s	nʔuntu	nʔuntunʔu	nʔuntu	nʔuntuwu	-n	-nta	-ɲu

It is interesting to note that of the languages illustrated, only Bandjima, Yindjibarndi, Ngarluma, Wadjug, Nyungar and Mirniny have pronoun systems in which the oblique case marking is suffixed to a monosyllabic stem in the first and second singular, i.e. only these languages pattern like the Pama-Nyungan languages of eastern Australia as described in 2.2.2.1. All the rest have disyllabic stems. Contrast the A and P forms in Nhanda and Wadjug,

	A	P
Nhanda (disyllabic)	ŋayi	ŋayi- <u>na</u>
Wadjug (monosyllabic)	ŋa-tʷu	ŋa-nʷa

Note in particular the distribution of the 'monosyllabic stems' on the map. They are all peripheral. Note too the distribution of languages with bound pronouns and those without bound pronouns and see the correlation between monosyllabic stems and lack of bound pronouns. No language in the area covered has bound pronouns and monosyllabic stems (though some have disyllabic stems and no bound pronouns):

	✓	✓	✓	x
disyllabic stems	+	+	-	-
bound pronouns	-	+	-	+

The first person disyllabic stems involved are ŋatʷV-, ŋaŋa-, ŋayi-, ŋayu- and ŋatʷu-. It is fairly clear that these are ergative in origin. The possibility that they derive from a dative and locative source (unlikely on semantic/syntactic grounds), a possibility raised by the similarity of some of the forms with some dative and locative forms, is ruled out by the clearly ergative shape of the second person nʷuntu (nʷunta being the only other variant).

What appears to have happened is that analogy has operated on the first and second singular to bring them into line with the other person/number combinations of the pronoun paradigm and indeed into line with all other nominals. With other nominals the case suffixes would have been attached to the nominative, as they still are, whereas in the first and second singular there would have been an actual nominative suffix so the other case suffixes would have been attached to a stem.

41a. Putative earlier system

	S ₁ , A	P (standing for rest of paradigm)
first singular	ŋa-tʷu	ŋa-nʷa
first dual	ŋali-∅	ŋali-nʷa

41b. System after assumed reshaping

	S ₁ , A	P
first singular	ŋatʷu-∅	ŋatʷu-nʷa
first dual	ŋali-∅	ŋali-nʷa

I doubt whether this represents an innovation made at a stage that we might think of as proto-western-Pama-Nyungan but rather an innovation that diffused from a non-peripheral area. The peripheral languages with monosyllabic pronoun stems are quite similar overall to the languages with disyllabic pronoun stems, certainly not a significantly different substratum (though that is not to say that they lack substratum features entirely). In the case of the other feature that is distributed geographically in much the same way as disyllabic stems, viz. bound pronouns, we find that similar languages sometimes fail to share the feature. Ooldean (Ngalia), Gugada and Pitjantjatjara are quite similar, but only Pitjantjatjara has bound pronouns. Ooldean does exhibit some instances of -ŋa (first person singular). As I stated earlier, I believe that the bound pronoun principle was probably spreading rather than receding, but the considerable discrepancy between the bound and free forms is disturbing.

A number of other developments must have followed the introduction of disyllabic stems in the singular. As noted in 2.2.2.2, a number of the northern languages under consideration developed an ergative system of pronouns. On the evidence available to me it is not clear whether Nyangumarda, Garadjari and Mangarla have completely ergative paradigms; Mangarla appears to have mostly a three-way system. Pintupi has developed an ergative system with -nʷa generalised to S₁. A number of languages in the area have generalised -nʷa to S₁ with some classes of nominals. This development is not isolated. Pitjantjatjara and some adjacent languages have transferred -lu from the noun paradigm to the pronoun paradigm, extending it from A to S₁ and A, an interesting development in light of the putative augmentation discussed in 2.2.2.1.

However, the main reason Table 40 was presented was to illustrate

the discrepancy between the shape of the bound pronouns and the free pronouns. In almost all the languages in the area that have bound pronouns, the first person singular S_1 , A bound form is $-ŋa$. There is virtually no possibility of relating this to $ŋa$ via sound changes. Warluwara (Q., Breen 1971), a Pama-Nyungan language that does not employ bound pronouns, has $ŋaŋa$ as its first person singular S_1 , A free form, and so does Yanyula (N.T., Kirton 1964), a non-Pama-Nyungan language on the southern coast of the Gulf of Carpentaria. These languages may retain a relic of the free form from which $-ŋa$ is derived. Warluwara is not far from the periphery of the languages under discussion and indeed is contiguous with Kalkatungu which can be shown to be an outlier of western Pama-Nyungan. Yanyula is some distance to the north but Yanyula and Warluwara share strikingly similar pronoun paradigms (Breen p.c.) though geographically separated and typologically quite different.¹⁸ As can be seen from the table, Mirniny has $ŋaŋa-ŋa$ as the free P form for first person. This too looks like a relic of the form from which $ŋaŋa$ must have been derived. There is no need to posit $ŋaŋa$ as having occurred in proto-western-Pama-Nyungan nor indeed directly in the history of any one language in which it is now found. All that needs to be posited is a form $*ŋaŋa$ in a language in which bound pronouns developed. Loss of the first syllable of a disyllabic stem and retention of the second syllable is very common in the formation of bound pronouns from free ones. It must be remembered that $ŋaŋa$ is disyllabic. In explaining the development of disyllabic stems in western-Pama-Nyungan, earlier monosyllabic stems were posited. We need to posit $*ŋaŋa$ as a source of $ŋa$ and $*ŋay+lu$ as a source of the disyllabic (and monosyllabic) stems. The continuous distribution of both these features is strongly suggestive of diffusion. There is no theoretical difficulty in positing these as sources occurring independently at some stage of the history of the area.

The first person bound form for P is represented by $-tʷa$, $-ŋi$, $-nʷa$, $-tʷu$ and $-yi$. $-nʷa$ is simply a reflex of the accusative marker (see Capell 1962:80 re Garadjari). $-tʷu$ is a dative in origin. Note that it is distinctively so in Yulbaridja for instance and represents a syncretism of P and DATIVE in Walbiri. Its ultimate origin is plausibly $*ku(*ŋay + ku > ŋatʷu)$. Compare the corresponding second person forms. $-yi$ is a dative form also and occurs only in some of the languages where P and DATIVE are syncretised in the bound forms. The evidence is the parallel appearance of $-ku$ with the other person/number combinations and the parallel vowel shift and lenition of the

S₁, A form (* $\eta\text{at}^{\vee}\text{u} > \eta\text{at}^{\vee}\text{l} > \eta\text{ayl}$) at least in Mudbura.¹⁹ -t \vee a in form is plausibly a locative; compare the parallel form -ta with the second person. If this assumption is correct, it suggests a system in which P was marked by a locative in the first and second singular. - η i is even more difficult to explain but the Mirning free form dative $\eta\text{a}\eta\text{iya}$ (alongside accusative $\eta\text{a}\eta\text{a}\eta\text{a}$) is suggestive. Where it occurs, it is paralleled by -ta in the second person.

Of the second person forms, -n for S₁, A is a not unexpected reflex of *N \vee Vn, and -npa is simply -n plus -pa, a phonological filler added in some languages that do not allow final consonants (cf. Hale 1973b). The P form -nta is interesting in that it looks as if it might reflect the locative *-la (cf. 2.1.2, 2.2.1). - η ku occurs only where there is a syncretism of P and DATIVE, but note that -nta and the corresponding first person forms contrast with dative forms in a number of languages.

2.3.3. Languages with Fused, etc. Bound Pronouns

The final stage of the development of bound pronouns can be illustrated from a number of non-Pama-Nyungan languages particularly in Arnhem Land. In a majority of these northern languages the bound pronouns are prefixed to the verb or to the auxiliary verb. In transitive clauses the pronouns representing A and P are usually contiguous.

In Maung (Goulburn Is. N.T., Capell and Hinch 1970:73-76) the S₁ forms for first and second singular are ηa and an respectively, but in a clause where first singular is A and second P or vice versa we do not find these two morphemes. Instead for 1s \rightarrow 2s (where \rightarrow = 'acting on') we find gun and for 2s \rightarrow 1s we find ηan . ηan is not $\eta\text{a} + \text{an}$ since the -n upon closer inspection turns out to be a marker of P function for first and second person, i.e. it is an accusative marker presumably reflecting *-N \vee a. Maung exhibits a number of principles in the formation of its bound pronoun complications. Where A and P are both first or second person, the person of P only is marked. This is true of the example just quoted. gu- is to be glossed as second person in P function. Where A and P are third person, P precedes A but if a first or second person actant is involved that person precedes a third person. There are a number of neutralisations, e.g. ηanin or $\eta\text{a}+\text{ni}+\text{n}$ consists of a first person marker, or plural marker and -n, the accusative marker, but it is used not only for 2 pl \rightarrow 1 pl but also for 2 pl \rightarrow 1s. Moreover, there are a number of phonological constraints operating that force a number of morphophonemic changes. The total effect of the person hierarchy, suppletion, neutralisation, morphophonemic changes, and

the constraint on specifying the person of A in combinations involving first and second person is to render the system synchronically unanalysable. The only reasonable synchronic analysis is to assume that a speaker of a language like this learns off by heart the finite set of forms involved. On the other hand an internal reconstruction of the system can easily be carried out.

I will not present the Maung data in full. The reader is referred to Capell and Hinch or to Blake 1977 where data in Maung, Yiwadja, Rembarnga and Gunwinggu is displayed and partially analysed.

There is no doubt that in these languages and in some other languages of Arnhem Land, e.g. Nunggubuyu and Ngandi, the representation of combinations of A and P by bound pronouns is quite opaque and represents the end of a line of development from the exclusive use of free forms via transparently abbreviated bound pronouns.

It is important for the overall argument presented here to note that in most of the non-Pama-Nyungan languages one finds clear reflexes of *-NVa. Ngandi is an exception in that -ku has been generalised at the expense of *-NVa (see Heath forthcoming:section 3.6).

Some non-Pama-Nyungan languages are not opaque in the way I have described above. In some of the Daly River languages, for example (Tryon 1974), the S₁, A pronoun is prefixed to the auxiliary verb and the P pronoun suffixed. Usually there is some fusion of the S₁, A pronoun and the auxiliary and discrepancies between the shape of free forms and their bound counterparts.

2.3.4. Summary

The evidence suggests that with few exceptions there has been a development from having independent pronouns only to using obligatory cross-referencing bound pronouns with free forms being used only for emphasis. The languages lacking bound pronouns are almost all to be found in a swath running from the Great Australian Bight in South Australia to Cape York. This represents the most conservative area typologically. This area is flanked, particularly to the east (most especially in and near to New South Wales) by languages exhibiting bound pronouns that show a clear relationship with the free forms. Most of the Pama-Nyungan languages of Western Australia have a bound pronoun system that is not clearly related to the system of free forms especially in the first and second singular. The non-Pama-Nyungan languages often have complicated systems of obligatory cross-referencing bound pronouns, complicated in that there is no clear

relationship between the A and P bound forms and the S_1 bound forms and between the free (S_1 , A, P) forms. Where this relationship has been obscured by morphophonemic constraints, hierarchical rules, person or number neutralisation rules, etc., it is possible in every reported case to demonstrate that an accusative system underlies the irregularities.

3. SYNTAX

The presence of ergative morphology raises a number of interesting syntactic questions. Since in an ergative system of marking it is P that is identified with S_1 one wonders if P is identified with S_1 in syntactic rules. One wonders if P is in some sense more closely tied to the verb than A, the latter being a peripheral constituent like the A in a passive construction. And one wonders if there is an active/passive distinction in these ergative languages.

Because of lack of data it is not possible to pursue this question in detail covering a wide number of languages. I will confine myself to a few selected examples.

3.1. Walbiri

Walbiri (N.T.) a western Pama-Nyungan language illustrated in a number of papers by Hale, seems not to be syntactically ergative though it has a fully ergative case marking system operating with both nouns and pronouns (see example 40). In fact Walbiri seems to have rules that identify A with S_1 and rules that make no exclusive identification of either A or P with S_1 .

For example, in indirect commands it is S_1 and A that must be supplied as covert constituents of the subordinate clause (Hale 1968: 36-37),

42. η arka- η a- \emptyset η aru- η u wanti-n ν t ν a-ku
man-I-him tell-past fall-gerund-complementiser
I told a man to fall (duck down)

43. η arka- η a- \emptyset η aru- η u wawiri panti- η in ν t ν a-ku
man-I-him tell-past kangaroo spear-gerund-complementiser
I told a man to spear a kangaroo

Similarly with the following constructions, it is S_1 and A that must be understood as covert constituents of the subordinate clause under identity with the P of the main clause (Hale 1968, and p.c.).

44. *ɲarka-ɲku waɲa pantu-ɲu paŋka-nʏtʏa-kura*
man-erg snake spear-past run-gerund-complementiser
The man speared the snake as it was moving quickly
45. *ɲarka-ɲku waɲa pantu-ɲu kuɖa yaɭki-ɲinʏtʏa-kura*
man-erg snake spear-past child bite-gerund-complementiser
The man speared the snake as it was biting the child

Walbiri does not have anything like a passive construction. In English rules for the well-formedness of complex sentences often make reference to the notion of grammatical (i.e. derived or surface) subject. The grammatical subject is S_1 or A in the unmarked case, but it can embrace P if the passive construction is used. The constructions illustrated above operate strictly with reference to S_1 and A, more particularly, with reference to the identity of S_1 and A in the subordinate clause and P in the main clause. If one wants to say something like *The man speared the kangaroo that was being followed by the dogs* then another construction must be used,

46. *ɲarka-ɲku wawiri pantu-ɲu kutʏa-lpa maliki-ɭi puɖa-tʏa*
man-erg kangaroo spear-past comp-aux dog-erg follow-past
The man speared the kangaroo as it was being followed by the dogs

This latter structure is exemplified in Hale 1976 (d) and he refers to it as the 'adjoined relative clause'. It appears to be a construction in which there might be no actant common to the main and subordinate clauses (in which case it corresponds to English sentences of the type X did Y while W did Z) or it might involve identity of reference between any of the actants of the main clause and any of the actants of the subordinate clause. Where there is this co-reference, the co-referent noun phrase in one clause or the other is anaphorically deleted or represented by a pronoun:

47. *ɲatʏulu-ɭu-ɲa yankiri pantu-ɲu, kutʏa-lpa ɲapa ɲa-ɲu*
I-erg-I emu spear-past comp-aux water drink-past
I speared the emu which was/while it was drinking water
48. *yankiri-ɭɭ kutʏa-lpa ɲapa ɲa-ɲu, ɲula-ɲa pantu-ɲu*
emu-erg comp-aux water drink-past that-one-I spear-past
ɲatʏulu-ɭu
I-erg
The emu which was drinking water, that one I speared

This construction does not seem to be oriented either accusatively or ergatively.

3.2. Pitta-Pitta

In the non-future Pitta-Pitta (W.Q.) employs ergative and accusative marking for all nominals. In the future, a single form represents S_1 and A, while P is represented by a dative (-ku) or the accusative (-na). Roth (1897) records -ku, but the main informant consulted by Breen and myself uses -ku or na.

49. palku nu-wa-ka kaṅṭa-ya-nu
man he-nom-deictic go-pres-hither
The man comes
50. piḷi-ka palku-lu nu-lu-ka kulparri-na
kill-past man-erg he-erg-deic emu-acc
The man killed the emu
51. palku-nu nu-nu-ka kaṅṭa-∅
man-fut he-fut-deic go-fut
The man will go
52. piḷi-∅ palku-nu nu-nu-ka (nu-ku-ka) kulparri-ku
kill-fut man-fut he-fut-deic he-dat-deic emu-dat
The man will kill the emu

These examples illustrate the system for marking S_1 , A and P in the non-future and future. The word order is fairly flexible, but a preferred order is VS_1 and VAP, especially if the actants are represented by pronouns. The use of a third person pronoun cross-referencing S_1 and A is practically obligatory, and a third person pronoun cross-referencing P is employed occasionally (see bracketed item in 52 above) if P is definite.

Clearly the case marking system in the non-future is neutral rather than ergative or accusative, while in the future it is, at least in terms of identification of S_1 and A, an accusative system. It is not too surprising then to find that the syntax is neutral.

Consider for example the following sentences:

56. *na-tu lai-na pi|a-pi|a iŋka-tʷin*
 I-erg hit-past child go-participle
I hit the child as he was walking along
57. *na-tu lai-na pi|a-pi|a kanʔari-i ŋita-i-nʷin*
 I-erg hit-past child knife-dat steal-a/p-part
I hit the child for stealing the knife
58. *na-tu watiŋtʷii-na pi|a-pi|a tuku-yu itʷa-nʷin*
 I-erg carry-past child dog-erg bite-part
I carried the child who got bitten by the dog

In 58 *pi|a-pi|a* represents the P of the main clause and the P of the subordinate clause. In 57 *pi|a-pi|a* represents the P of the main clause and the A of the subordinate clause. Note that in 57, the verb is marked by a suffix *-i*, which I have glossed as a/p for anti-passive. In the anti-passive construction A is marked by the nominative and P by the dative. The term 'anti-passive' (see Silverstein 1976) captures the analogy with passive constructions. In both, the case relation occupying the nominative case form is demoted to a more peripheral case slot and a case relation previously occupying a non-nominative slot is promoted to the nominative slot. This construction is used in Kalkatungu in *-nʷin* clauses, and in a number of other types of subordinate clause, whenever the A of the subordinate clause is co-referent with an actant of the main clause. It can be considered a device to facilitate deletion, since the use of this construction enables the case relations of anaphorically deleted actants to be recovered. If one considers 57 then it might seem that the anti-passive is a device for ensuring that only actants in the nominative are deleted. Indeed it may well have been motivated originally by such a consideration, but in 57, the case relations can be determined from the case marking of the overt actant. If there were no anti-passive in the language, then a nominative noun phrase in the complement would indicate a deleted A. And of course it must be remembered that many Australian languages work quite happily without an anti-passive. The fact that there is a choice between the unmarked construction and the anti-passive, means that a subordinate clause with no overt actants can be uniquely interpreted, for instance:

59. *na-tu lai-na pi|a-pi|a nanʷi-i-nʷin*
 I-erg hit-past child see-a/p-part
I hit the child for looking

60. *ŋa-tu ɭal-ŋa piɭa-piɭa nanʋi-nʋin*
I-erg hit-past child see-part
I hit the child for being seen

Languages without the anti-passive either allow ambiguous structures ranging over the two possibilities or they provide entirely separate constructions for the two possibilities.

Before going any further I should point out that the anti-passive marker is permanently affixed (and therefore non-functional) to independent verbs in Kalkatungu. This point is taken up again in 3.6.1.

From a consideration of the -nʋin construction, Kalkatungu appears to be a mirror image of English. S_1 and P are identified in the unmarked construction (56, 58) and A is treated specially as it is in the case marking. However, if we consider the favourite construction, further complications arise. This construction, so termed because of its high functional load and high frequency, is used principally to express purpose and indirect commands, but also to provide complements for noun phrases. It differs from the -nʋin construction principally in that the subordinate clause contains at least one bound pronoun suffixed to a particle which I will call the complementiser. A typical purposive example would be,

61. *ɪŋka-ŋa nʋini ucan-ku a-ni aŋpa-i?*
go-past you wood-dat comp-you gather-a/p
Did you go to collect firewood?

-ni is a bound pronoun representing second person singular and it co-references nʋini in the main clause. The -i on the verb is the anti-passive marker. The case marking in the dependent clause is in accordance with the anti-passive construction, -ni being the A and appearing in the nominative, while ucan, which is the P, is marked by the dative. Note however that the bound pronouns operate in an accusative paradigm only. -ni represents S_1 and A and is opposed to -kin the form for P.

For the most part, only one actant can appear as a bound pronoun in the complement clause of the favourite construction. The actant to be chosen for representation as a bound pronoun in the case of a transitive complement is determined by a person hierarchy rule, first person taking precedence over second and third, and second taking precedence over third. If both actants are third person, A takes precedence over P. If one actant is first singular and the other

third plural, then both may appear as bound pronouns suffixed to the complementiser.

For example, a sentence such as *He came here to hit me* would be translated into Kalkatungu as

62. *nauna iŋka-na a-ŋi(-)la*²⁰
hither go-past comp-me-hit
He came here to hit me

whereas *We went there to hit them* would be translated as

63. *pauna iŋka-na ŋata ina-a a-ti la-i*
thither go-past we they-dat comp-we hit-a/p
We went there to hit them

The anti-passive construction is used in the subordinate clause where A and only A is represented by a bound pronoun and where it co-references S_1 or P in the main clause. Thus it is used in 61 ($S_1 = A$) and 63 ($S_1 = A$) but not in 62 where the bound pronoun represents P. The following sentence illustrates its use where the A pronoun co-references P ($P = A$),

64. *nʷini ŋkai-na ucan-ku a-ni aŋpa-i?*
you send-past wood-dat comp-you gather-a/p
Did he send you to collect firewood?

So far everything appears to operate on an ergative principle, but since in the case where we are dealing with third person actants, it is A rather than P that must be represented by a bound pronoun in a transitive complement, A rather than P is being identified with S_1 .

In sum, Kalkatungu appears to have:

(a) an active/passive-like distinction that involves identifying S_1 and P in the unmarked case;

(b) a principle that operates on the basis of grammatical subject. In the *-nʷin* and certain other constructions P of a main clause can be co-referent with S_1 , P or A, but if with A, the anti-passive must be used (57);

(c) a principle that operates on the basis of an underlying subject (S_1 and P), viz. the principle that determines whether the anti-passive is to be used in the favourite construction and the 'lest' construction (not illustrated);

(d) a principle that identifies S_1 and A, viz. the principle that requires that these actants be represented by bound pronouns in the

favourite construction and the 'lest' construction (subject to the person hierarchy).

3.4. Dyirbal

Dyirbal (NE.Q.), the only Australian language which has been described in any syntactic detail (Dixon 1972), appears to be ergatively oriented in its syntax.

In Dyirbal, nouns operate in an ergative paradigm and first and second person pronouns operate in an accusative paradigm. Dixon characterises the language as one in which the syntax operates consistently on an ergative principle, even though the morphological case marking is partly ergative and partly accusative (1972:128-130).

Dixon presents sentences such as (1972:130),

65. bayi yaɾa banin^{Yu} baŋgun d^{Yu}gumbiɾu balgan
the man come-past the-erg woman-erg hit-past
The man came and was hit by the woman

66. bayi yaɾa banin^{Yu} bagun d^{Yu}gumbilgu balgalɾan^{Yu}
the man come-past the-dat woman-dat hit-a/p-past
The man came and hit the woman

In 65 a complex sentence is formed on the basis of the actant common to the two clauses (bayi yaɾa) being S_1 in the first clause and P (deleted or covert) in the second. In 66 a complex sentence is formed on the basis of the common actant being S_1 in the first clause and A in the second. Note however that in 66, an anti-passive construction must be used in which A is in the nominative (but deleted in our example) and P in the dative, with the verb being marked by the anti-passive marker -ŋay.

The general principle is that where A is co-referent with a major actant of another clause in the same sentence, the clause (or clauses) with a co-referent A must be anti-passivised (see Heath forthcoming a). Dyirbal is ergative in its syntax in that A is specially treated. It operates largely in terms of S_1 and P being treated as a grammatical subject with A being promoted to that syntactic slot under certain co-reference conditions. One could compare English sentences such as *I persuaded him to go*, *I persuaded him to eat the cake* and *I persuaded him to be fumigated by a health officer*. Where S_1 and A in the infinitival complement are co-referential with the 'persuadee', the unmarked active construction is used in the complement. Where P is

co-referent with the 'persuadee', the passive must be used in the complement to promote P to the grammatical subject position.

3.5 Summary

As stated at the beginning of the syntax section of this paper, it is not possible to say much about the syntax of Australian languages in general. The type represented by Walbiri, i.e. a type in which there is nothing akin to a passive rule and where there are syntactic rules based on S_1 and A and neutral syntactic rules is probably widespread. Languages with an anti-passive are not too widespread. Yidin^y, Djaabugay and Warungu, three languages whose territory was contiguous with or close to Dyirbal territory exhibit anti-passive constructions though they are not closely related to Dyirbal. Anti-passive constructions also appear to be a feature of Bandjalang (N.S.W., Q., Crowley p.c.), Yalarnga (Q., author's and Breen's field notes), Kala Lagau Langgus (Torres Strait Q., Bani and Klokeid 1976), and probably, or at least possibly, Guugu-Yalandji (Q., R. Hershberger 1964). Pitta-Pitta does have an anti-passive in independent sentences, see 3.6.2.

The accusative Pama-Nyungan languages both Lardil and the other 'Gulf' accusative languages and Ngarluma and the other accusative languages of NW. Western Australia have passive constructions. Ngarinyin and some other non-Pama-Nyungan languages of the Kimberleys also have a passive construction.

3.6. Some Suggested Historical Developments

It is interesting to speculate whether the ergative elements represent an older layer in these systems or whether the accusative elements represent an older layer or whether there is movement in both directions, from ergative to accusative, or from accusative to ergative. There is also the possibility that there is movement to or from a neutrally oriented system.

In two cases it is possible to detect the direction of change. In Kalkatungu the anti-passive has been generalised in non-dependent clauses and in Pitta-Pitta it has been generalised in at least one type of dependent clause.

3.6.1. Kalkatungu

In Kalkatungu the -i that features in examples such as 57, 59, 61, 63 and 64 as an anti-passive marker occurs regularly on non-dependent verbs in the present and past tense.

67. mirampa ŋa-tu ɭal-ŋa (stem ɭa-)
 possum I-erg kill-past
I killed the possum

Kalkatungu does employ a construction in which A appears in the nominative and P in the dative. This is used to indicate uncompleted activity (see 10) and it is also used where there is a predictable indefinite P and in *drink water*, *cook tucker*, etc. However, -i appears regularly on the verb in this construction also. Since -i does not have any function with independent verbs, I have interpreted the anti-passive function with dependent verbs as representing the older usage. There is one very plausible reason why the anti-passive marker might have been generalised. In the nature of things -i will appear on over ninety per cent of dependent verb tokens. One often has occasion to use patterns such as: X went to do such-and-such, X told Y to do such-and-such, but rarely does one need to use patterns such as: X went to be verbed by Y, or X told Y to be verbed by Z. Remember that the anti-passive is used when A in a dependent clause co-references S₁ or P in a governing clause. Under these circumstances the verb forms in -i must have been very common and so it is not too surprising that they were generalised to main verbs as the regular form. This may not be a correct explanation, it may be that there was a usage of the anti-passive in main clauses and that the -i was generalised from this construction. It is to be expected that -i would have been used in the construction in which A is marked by the nominative and P by the dative. One way or another the unmotivated use of -i with independent verbs must represent a relative innovation.

It is worth noting in passing that proto-Kalkatungu *ɭ developed to *y so that -yi or -i²¹ can plausibly be derived from *-li, -li being a common reflexive or reflexive/reciprocal marker in Pama-Nyungan languages and the anti-passive in the adjacent language Yalarnga and in Pitta-Pitta, immediately to the south of Yalarnga.

Kalkatungu uses some dependent verb forms as independent verbs. For example, -nʏtʏaya the infinitive or purposive form, can occur as a dependent verb as in

68. ŋata ŋaini iŋka tʏipulʏu-u mani-i-nʏtʏaya
 we later go duck-dat get-a/p-purposive
We will go later to get ducks

or as an independent verb as in

69. mirampa caa ŋa-tu waku la-nʏtʏaya
possum here I-erg skin hit-future
I'm going to skin the possum

When it is used as a dependent verb the anti-passive principle operates, however when it is used as an independent verb, there is no alternation between passive and unmarked forms. The unmarked form is the only form that is used. This would be the form required if it were dependent with its A co-referencing a governing A.

The favourite construction is also used as a non-dependent verb form and it is always the non-anti-passive form that is employed,

70. ŋata-i kuʔu a-ti itʏa
we-erg egg (comp)²²we eat
We will eat the eggs

In sum there is no choice between having -i or not having it in independent clauses. Where dependent verb forms are used as independent verbs, -i is not used. With ordinary independent verbs, -i is almost always used.²³

The construction illustrated in 70 is interesting that the bound pronouns assume a cross-referencing rather than a co-referencing potential.

3.6.2. Pitta-Pitta

In Pitta-Pitta -li is suffixed to the verb to indicate activity that is potentially rather than actually directed towards P. In the '-li construction' A is in the nominative and P is marked by -ku, which I will gloss as dative, or alternatively by the accusative -ŋa. -ku, besides marking P in the '-li construction' marks the complement of certain verbs such as yaʔa- (*to like*) and manuwanʏtʏi- (*to be wild with*). There is also a suffix -ŋa covering the possessive and purposive functions.

In Roth (1897) -li is illustrated as providing a means of expressing a transitive verb in the absolute sense, i.e. with no P (op. cit. 23, author's phonemicisation),

71. ʔatʏi-li-ya ŋanʏtʏa
eat-a/p-pres I
'I am at-eating, at dinner, etc.'

This usage is confirmed by the best available informant, but she also produces sentences such as:

72. piŋi-li-ya ŋanʔtʔa in-ku
hit-a/p-pres I you-dat
'I feel like to hit you'
73. patʔa-li-ka nuwaka in-ku
bite-a/p-past he you-dative
He was very wild with you

In purposive complement clauses, the verb is always suffixed by *-li* and then further suffixed by *-ŋa* the suffix used to express purpose (or possession) with nouns. Consider the following sentences,

74. kaŋʔa-ka-yaŋu ŋanpaka ŋinpa-ma-li-ŋa
go-past-hither she run-around-li-purpose
She came to have a run around
75. kaŋʔa-ka-yaŋu ŋanpaka ŋupu-ŋa piŋi-li-ŋa
go-past-hither she spouse hit-li-purpose
She came to hit her spouse
76. kaŋʔa-ka-yaŋu ŋanpaka ŋupu-wara-lu piŋi-li-ŋa
go-past-hither she spouse-her-erg hit-li-purpose
She came so her spouse could hit her

Note in particular that *-li* occurs in 75 where we would expect a syntactically motivated anti-passive in a language with such a feature, and also in 74 and 76 where we would not expect an anti-passive. Since the use of *-li* is significant with non-dependent verbs but not significant with dependent verbs, it seems that the usage with non-dependent verbs is older. If we note also that the form involved is *-li*, which is the reflexive-reciprocal marker in a number of Pama-Nyungan languages, and if we consider that the anti-passive marker is the same as the reflexive-reciprocal in a number of Australian languages, then there is reason to believe that here we are dealing with an anti-passive marker that has been generalised. As we observed above in the case of Kalkatungu a syntactically motivated anti-passive is in the nature of things likely to be used in an overwhelmingly large number of subordinate clause tokens. It would be subject to considerable pressure from each new generation of language learners to extend it at least to all transitive verb tokens in dependent clauses.

The anti-passive marker in Yalarnga, the language immediately to the north of Pitta-Pitta, is *-li*. The present reflexive-reciprocal

marker in Pitta-Pitta is *ma-li*, doubtless from *ma+li*, *ma* being a common 'verb morpheme' in Australian languages, appearing as a transitiviser in some languages and as an intransitiviser in others. The *-li* of Pitta-Pitta, the *-li* of Yalarnga, the *-yi* (< *-li*) of Kalkatungu and the reflexive-reciprocal *-li* of a number of other Pama-Nyungan languages undoubtedly reflect a proto-form **-li*, though that does not rule out the possibility of its being borrowed from language to language in one function or another. It is likely that the reflexive and/or reciprocal function antedates the anti-passive function. It is interesting to compare the development of passives in Europe from reflexive constructions, in Latin for example, and independently in Romance and Scandinavian.

4. GENERAL SUMMARY

If one accepts the series of suggestions put forward in this paper, and it must be remembered that some of them are rather speculative and intuitive and not based on rigorous reconstruction, then the following picture emerges.

First of all we have a language in which nouns operate in an ergative paradigm and pronouns in an accusative paradigm. Then cross-referencing bound pronouns are introduced and finally the case marking for the major syntactic functions is lost in favour of the bound pronouns.

	Nouns	Pronouns	Bound Pronouns
Stage 1	ergative	accusative	
Stage 2	ergative	accusative	accusative
Stage 3			accusative

A fourth stage could be added in which the bound pronouns become unanalysable.

The first stage is represented by languages in a swath running from the Bight to the Gulf and probably embracing the area from the Bight to Perth (see map). The next stage is represented by the eastern Pama-Nyungan languages that have bound pronouns. A slightly more advanced stage is represented by the western Pama-Nyungan languages that have bound pronouns. The most advanced stage is represented by the non-Pama-Nyungan languages, most of which have lost their case marking.

The fact that the cross-referencing pronouns operate in an accusative paradigm is taken to be simply a reflection of the fact that the bound pronouns are derived from free pronouns which operated

in an accusative paradigm. The free pronouns that operate in an ergative paradigm are interpreted as having developed an ergative paradigm after the bound pronouns were derived.

Anti-passive constructions, which signify a type of ergative syntactic system, i.e. one that is ergative in the sense that English is accusative, are concentrated among the languages lacking bound pronouns. This may be because languages with co-referencing bound pronouns would place a smaller functional load on the ergative/anti-passive distinction and render the anti-passive more liable to succumb to the pressures described with reference to Kalkatungu and Pitta-Pitta (3.6.1, 3.6.2).

It is interesting to note that Pama-Nyungan, the family that covers most of the continent, appears to be the most conservative family. Naturally since this family covers such a vast area compared to the other families in the north, it represents the area of lowest diversification. This means that the area of lowest diversity is typologically the most conservative. It has been suggested that Pama-Nyungan spread over its present area comparatively recently. Glottochronology, for what it is worth, yields a time depth of 5,000 years (Wurm 1970:18). A comparison of morpho-syntactic diversification in Pama-Nyungan and Indo-European suggests that if Indo-European provides any kind of measuring stick, then a figure of 5,000 or a little less is about right for Pama-Nyungan. One way or another Pama-Nyungan must be of an age considerably less than the period of time Man has been in Australia (+40,000 years) and certainly less than proto-non-Pama-Nyungan. Thus we are left with a mystery. If Pama-Nyungan was part of a language pattern showing the diversity of present day non-Pama-Nyungan less the effects of 5,000 years, how is it that this relatively conservative member happened to be the one that was spread? Or did it undergo some kind of pidginisation in the course of its spreading, which presumably must have been at the expense of other languages? Or again, is this line of thought quite wrong? Does Pama-Nyungan represent the pattern that was once ubiquitous, and is it that the non-Pama-Nyungan languages for reasons unknown have been subject to relatively accelerated typological change?

NOTES

1. I represent the ergative as being basically -lu on the suggested reconstruction of Hale's (1976d:414). See also 2.1.1.
2. I represent the accusative as deriving from -Ny_a on the suggestion of Dixon's (1970) that *n* and *ny* derive from a single proto-laminal.
3. I describe verbs which require only S₁ as 'intransitive' and any actant that occurs optionally as in English *He waits for her* is described as an adjunct. Some verbs take two actants but differ from transitive verbs in that they take the same case marking as an intransitive verb with S₁ and an adjunct. I describe these as 'semi-transitive with S₁ and a complement'.
4. The functions listed here are categorised semantically. In writing a general paper it is not possible to determine which distinctions are made syntactically. Where the term DATIVE is used it refers broadly to these functions lumped together.
5. Examples from Kalkatungu, Yalarnga, Wangka-Manha or Pitta-Pitta, unless otherwise ascribed, are from my field notes.
6. The extra -i which appears un glossed on *puni* and on *nti* in examples 16, 17 and 18 appears on virtually all independent transitive verbs in Kalkatungu. It is discussed in 3.6.1. It appears to be an original anti-passive marker that has been generalised. In dependent clauses, it functions as an anti-passive and hence may appear or not in accordance with the conditions described in 3.3.
7. An example of the -wa ~ -pa alternation can be observed in examples 73 and 74 where the Pitta-Pitta forms for *he* and *she* occur

<i>he</i>	ɲu-wa-ka
<i>she</i>	ɲan-pa-ka

-ka is the unmarked member of a set of three deictic suffixes. These forms are left unanalysed in the glosses of Pitta-Pitta sentences for the sake of simplicity.

8. Hale labels his hypothesis as 'highly speculative' and indeed there are a number of points that still require explanation. In commenting

on this section of the draft, Hale drew my attention to the fact that in Nyangumarda (W.A.), -tʷu is used with consonant stems. The same is true of Yulbaridja (W.A.). In Kalkatungu (Q.), -t̥u is used after vowel stems. The origin of these variants is one matter still requiring explanation.

9. -kuɾ etc. derive historically from -*kuru (Hale p.c.).
10. The 'surface intransitive' pattern is used in Baagandji (N.S.W., Luise Hercus p.c.) and in Pitjantjatjara (S.A., W.A., Platt 1976).
11. Yanyula (N.T.) has ergative forms that reflect -*lu (Hale p.c. See also Kirton 1977).
12. Heath (forthcoming b:3.3) suggests that in Mara an old masculine noun-class prefix -ŋa has been specialised as an ergative-instrumental. Mara is a non-Pama-Nyungan language of the Northern Territory (see map).
13. The third person pronouns in Pitta-Pitta obligatorily incorporate a deictic marker. Third person pronouns incorporating the unmarked deictic -ka are very commonly used with S₁ and A and sometimes with P if P is definite.
14. A number of Queensland languages exhibit a masculine (strictly: non feminine) stem Nʷu- ~ Nʷi and a feminine stem Nʷan-. More commonly Nʷu- occurs for third person in general. The vowel alternation of ŋi-, ŋu- is difficult to explain. On the evidence here it looks like vowel harmony i > u / _Cu or assimilation to laminal consonants (u > i), perhaps dependent on the presence of two laminals, *Nʷiya, *NʷiNʷa.
 However, over a range of languages we find forms such as ŋu, nʷu, ŋi, nʷi, ŋi, ŋu, ni, nu as third person pronoun roots, as class markers in classifying languages and as ergative markers. Some rigorous reconstruction is required to demonstrate if some or all of them are cognate. Vowel harmony involving the high vowels is common in Australia and independently of the forms under discussion there are cognates involving apical:laminal correspondences.
15. See Comrie 1976 from which some of the examples are taken.

16. -*ku is probably the original form. There are some languages that have -ku with both C and V stems.

17. Dixon uses the voiced symbols: b, d, etc.

18. The pronoun paradigms for Warluwara and Yanyula respectively are as follows:

		Warluwara (Breen 1971:182)	Yanyula (Kirton 1964:140)
Sing.	1	ŋaŋa	ŋaŋa
	2	yipa	yenta
	3	yiwa	m. yiwa, yiyo, yila f. anta
Dual	1 inc.	ŋali	ŋali
	ex.	ŋayara	ŋaɬara
	2	yipala	yimpala
	3	wula	wola
Pl.	1 inc.	ŋapala	ŋampala
	ex.	ŋanu	ŋanu
	2	wuru	yiru
	3	yanu	alo, alowa

In both languages, ŋaŋa is dimorphemic:

	Warluwara	Yanyula
Nom.	ŋaŋa	ŋaŋa
Dat.	ŋaɬa	ŋaɬa
Acc.	ŋaŋa	

In Yanyula, -ŋa serves as the bound form for first person. The similarities between Warluwara and Yanyula extend to the pronoun inflections:

		First Dual Inclusive	
		Warluwara	Yanyula
Nom.	ŋali	ŋali	ŋali
Dat.	ŋaliŋa	ŋaliŋa	ŋaliŋa
All.	ŋalikaɭu	ŋaliwalu	ŋaliwalu

19. Hale (p.c.) points out that in Ngarluma, for instance, there certainly seems to have been a development from **ḡayku* to *ḡatʷu* since the accusative/dative of the first singular is *ḡatʷu* while *-ku* is the accusative/dative suffix for polysyllabics and consonant-final stems.

20. Kalkatungu does not allow words of one syllable (or one mora). *ḷa*, the root for *hit* or *kill* is monosyllabic. When it is not suffixed, it must be augmented to *ḷaa* [*ḷa:*]. However, when it follows a combination of complementiser and bound pronoun, it may be cliticised to the combination. *a-ḡi ḷa* may be pronounced [*aḡi ḷá:*] or [*áḡiḷa*]. The bracketed hyphen in the notation indicates optional cliticisation.

21. The anti-passive suffix can be written as *-i* or *-yi* according to one's views of phonology. Morphophonemically it is *-yi* however one writes it and I suggest that this *-y-* is a reflex of **l* rather than **y*.

22. Since *a* does not function as a complementiser here, it needs to be glossed as auxiliary particle or something similar.

23. One exception would be interrogative sentences. In these *-i* is often omitted, e.g.

ḡaka-ti ḡin-ti ḷaa
what-loc you-erg hit
What did you hit him for?

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