1	Title: Can hydraulic design explain patterns of leaf water isotopic enrichment in C ₃ plants?
2	Running Head: Leaf hydraulic design and water isotopes
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19 ABSTRACT

 $H_2^{18}O$ enrichment develops when leaves transpire, but an accurate generalised mechanistic 20 model has proven elusive. We hypothesised that leaf hydraulic architecture may affect the 21 degree to which gradients in $H_2^{18}O$ develop within leaves, influencing bulk leaf stable oxygen 22 isotope enrichment (Δ_L) and the degree to which the Péclet effect is relevant in leaves. Leaf 23 hydraulic design predicted the relevance of a Péclet effect to Δ_L in 19 of the 21 species tested. 24 25 Leaves with well-developed hydraulic connections between the vascular tissue and the epidermal 26 cells through bundle sheath extensions and clear distinctions between palisade and spongy mesophyll layers (while the mesophyll is hydraulically disconnected) may have velocities of the 27 transpiration stream such that gradients in $H_2^{18}O$ develop and are expressed in the mesophyll. In 28 29 contrast, in leaves where the vascular tissue is hydraulically disconnected from the epidermal layers, or where all mesophyll cells are well connected to the transpiration stream, velocities 30 within the liquid transport pathways may be low enough that gradients in $H_2^{18}O$ are very small. 31 Prior knowledge of leaf hydraulic design allows informed selection of the appropriate $\Delta_{\rm L}$ 32 33 modelling framework.

34

35 Keywords: oxygen isotope; leaf water; transpiration; Péclet effect; two-pool model

36

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46 Summary sentence: We show that leaf hydraulic design contributes to the development of
47 gradients in oxygen isotope composition within leaf water, and that *a priori* knowledge of leaf
48 hydraulic design can guide selection of appropriate leaf water isotope models.

49

Author contributions: M.M.B. and K.E.L co-designed the experiment; M.M.B., K.E.L., E.L.L.,
A.S., and D.M. conducted the experiment. M.M.B. and K.E.L. drafted the manuscript and all
authors contributed to interpretation of data, writing and editing of the manuscript.

53

54 INTRODUCTION

55 The stable oxygen isotope composition of leaf water is at the crossroads of a number of

important aspects of global cycles of carbon and water: leaf water influences the oxygen isotope

- 57 composition of both O₂ and CO₂, and so is used to determine terrestrial versus oceanic
- productivity (the "Dole effect": Dole et al. 1954; Bender, Sowers and Labeyrie, 1994) and to
- 59 constrain global carbon models (e.g. Ciais et al. 1997; Welp et al. 2011). Further, leaf water

60	imparts an isotopic signal on plant organic material that has been exploited to reconstruct past
61	climates from tree rings (Libby et al. 1979), to interpret plant regulation of water loss (Barbour
62	2007) and to predict assimilation-weighted leaf temperature (Helliker and Richter 2008). Given
63	this range in applications there is an urgent need to understand the factors controlling measured
64	variation in leaf water oxygen isotope composition (Δ_L) and to build mechanistic models for the
65	prediction of Δ_L in time and space. The contradictory evidence in the literature regarding the
66	accuracy of various modelling approaches is impeding progress (Cernusak et al. 2016).
67	The most widely applied model for characterising the enrichment of $H_2^{18}O$ at the sites of
68	evaporation relative to source water (Δ_e), the Craig-Gordon model (Craig and Gordon 1965), was
69	developed for evaporation from open bodies of water and subsequently adapted to plants
70	(Dongmann et al. 1974; Flanagan, Comstock and Ehleringer 1991). However, it was
71	demonstrated thereafter that this model tends to over-estimate Δ_e compared to measured bulk
72	leaf water (Flanagan, Comstock and Ehleringer 1991). One option to account for the discrepancy
73	between Δ_e and Δ_L is with a discrete-pool model, which considers bulk leaf water as a mixture of
74	pools of water (Leaney et al. 1985; Yakir, DeNiro and Rundel, 1989). The simplest form of this
75	model is the two-pool model, where bulk leaf water is separated into two isotopically distinct
76	pools, one in the lamina and the second in the veins. However, some measurements suggested
77	that the difference between Δ_e and Δ_L scaled with transpiration rate (Walker et al. 1989), a trend
78	the two-pool model does not predict (Barbour et al. 2000). An alternative leaf water model was
79	proposed, which describes the formation of isotopic gradients within leaves as a Péclet effect that
80	occurs as the backward diffusion of heavy water isotopologues (i.e. $H_2^{18}O$) is opposed by bulk
81	water flow to the sites of evaporation (Farquhar and Lloyd 1993). Implicit within Péclet effect
82	theory is a positive relationship between transpiration rate and the fractional difference between

83 Δ_{e} and Δ_{L} under steady state conditions (1- Δ_{L}/Δ_{e} ; Farquhar and Lloyd 1993). The two different 84 modelling approaches require either extensive data collection to assess different models or an *a* 85 *priori* knowledge of the most appropriate model.

We propose that leaf hydraulic architecture can be used to inform the choice of stable isotope 86 87 models of bulk leaf water. The relative contribution of apoplastic, symplastic and transcellular pathways (Steudle, Murrmann and Peterson, 1993; Aasamaa, Niinemets and Sober, 2005) to the 88 transpiration flux and how they interact is predicted to influence the development of gradients in 89 enrichment within leaves, and hence the isotope composition of bulk leaf water (Barbour and 90 91 Farquhar 2003). Measurements of whole leaf rehydration kinetics provide evidence of hydraulic 92 compartmentalisation, with leaves falling into three different hydraulic designs (Zwieniecki, 93 Brodribb and Holbrook, 2007):

94 Design 1: relatively weak hydraulic connections (i.e. high resistance) between veins, mesophyll95 and epidermal cells

96 Design 2: veins and epidermal cells are well connected hydraulically but the contribution of

97 mesophyll cells to transpiration is relatively restricted due to high hydraulic resistance

98 Design 3: all tissues are hydraulically well connected.

99 The aim of the following study was to examine how hydraulic design may be used to explain 100 patterns of leaf water enrichment at the whole leaf level. We examined 21 C₃ species, which 101 were allocated to one of the three hydraulic designs using leaf anatomical properties visible 102 under a light microscope. The relationship between transpiration rate and $1-\Delta_L/\Delta_e$ was quantified 103 to test whether hydraulic design can be used to inform the choice of isotopic model. 104

105 MATERIALS AND METHODS

- 106 Growth Conditions
- 107 Eucalyptus amplifolia Naud., Eucalyptus botryoides Smith, Eucalyptus camaldulensis Dehnh.,
- 108 Eucalyptus globulus Labill., Eucalyptus grandis W. Hill ex Maiden, Eucalyptus occidentalis
- 109 Endl., Eucalyptus polybractea R.T. Baker, Eucalyptus stellulata Sieber ex DC., Acer x freemanii
- 110 A.E Murray Autumn Blaze (Jeffersred), Camellia sasanqua Thunb. cv Paradise Belinda, Ginkgo
- 111 *biloba* L., *Vitis vinifera* L. cv. Sultana M12 and *Wollemia nobilis* W.G. Jones, K.D. Hill & J.M.
- Allen. were purchased locally as seedlings. All seedlings except for *C. sasanqua* were placed
- into larger pots filled with locally purchased soil mix and amended with slow release fertiliser
- 114 (Osmocote, Scotts Australia Pty Ltd., Sydney, NSW, Australia). Eucalyptus parramattensis Hall,
- 115 Helianthus annuus L., Phaseolus vulgaris L., Glycine max L. Merr, Gossypium hirsutum L.,
- 116 *Triticum aestivum* L. cv Cranbrook and *Cicer arietinum* L. (cvs Amethyst, Hattrick and Kyabra)
- 117 were grown from seed in potting mix amended with slow release fertiliser (Osmocote, Scotts
- 118 Australia Pty Ltd., Sydney, NSW, Australia).

119 *P. vulgaris, G. max, T. aestivum* and *C. arietinum* were grown in a controlled environment room 120 at the Centre for Carbon, Water and Food (University of Sydney, Camden NSW, Australia) at a 121 day/night temperature of $25/17^{\circ}$ C, 14 h day period, day and night air humidity of 75% and an 122 approximate irradiance at the top of the canopy of 600 µmol m⁻² s⁻¹. *G. hirsutum* and *H. annuus* 123 (NSS sample batch) were grown in a controlled environment room with a day/night temperature 124 of $28/20^{\circ}$ C, 75% air humidity in the day and night, 14 h day period and an approximate 125 irradiance at the top of the canopy of 600 µmol m⁻² s⁻¹. *H. annuus* (SS sample batch) and *C*. 126 sasanqua were grown in a controlled environment room with a day/night temperature of 25/20°C, day and night air humidity of 70%, 16 h light period and an approximate irradiance 127 intensity of 700 μ mol m⁻² s⁻¹ at the top of the canopy. The *Eucalvptus* species, *Acer x freemanii* 128 and W. nobilis (after an initial period of growth in the controlled environment room with the 129 130 above conditions) were placed into a glasshouse in ambient conditions at the Centre for Carbon, Water and Food. All species were watered daily to maintain soil water at field capacity. Gas 131 132 exchange measurements were made when the Eucalyptus, Acer x freemanii, W. nobilis and C. 133 sasanqua seedlings were 6 months-4 years old and 4-8 weeks after germination for the rest of the species. 134

Five genotypes of *Vicia faba* L. (PBA Rana, Cairo, PBA Warda, Doza and 220d) were grown from seed under four different CO₂ and irradiance environmental conditions inside two growth environment rooms. The rooms were set to a day/night temperature of $25/17^{\circ}$ C, 75% air humidity and a CO₂ partial pressure of either 60.4 or 100.7 Pa. Differences in irradiance were imposed by covering half of the plants with shade cloth, which reduced irradiance from 600 to 200 µmol m⁻² s⁻¹. Plants were watered daily and measured when they were approximately 6 weeks old.

142

143 Gas exchange and isotope measurements

Gas exchange was recorded at one minute intervals on a LiCor portable photosynthesis system

145 (Li6400xt, LiCor Inc., Lincoln, NE, USA) fitted with either a custom-built large leaf chamber

146 (leaf area of 38 cm²; Loucos et al. 2015), 2 x 6 cm chamber (Li6400-11; LiCor Inc.) or standard

147 2 x 3 cm chamber with a red-blue LED irradiance source (Li6400-02B; LiCor Inc.). For the two

148 larger chambers a red-green-blue irradiance source was used (Li6400-18A; LiCor Inc.), which 149 had a maximum red-blue irradiance intensity of 1300 μ mol m⁻² s⁻¹.

150 Transpiration rates were varied by manipulating irradiance, CO₂ partial pressure, leaf

temperature and intercellular-to-atmospheric vapour pressure difference (VPd). Leaf temperature

varied between 21.5 and 30.7°C, CO₂ partial pressure between 5.0 and 120.8 Pa (given an

atmospheric pressure of 100.7 kPa) and irradiance was varied from 50 to 1300 μ mol m⁻² s⁻¹. VPd

us manipulated by altering flow rate, which was varied between 250 and 710 μ mol s⁻¹. For the

leaves of *V. faba* genotypes only, environmental conditions inside the LiCor chamber were

156 matched to the growth environmental conditions (see above) with a range of VPd between 0.9

157 and 4.1 kPa.

A subset of *H.annuus*, *E. grandis* and *E. parramattensis* leaves were measured under contrasting irradiance of 200 and 1200 μ mol m⁻² s⁻¹ in order to test whether irradiance influences the location of the sites of evaporation (as suggested by Buckley et al. 2017), and consequently the Péclet effective length. For these measurements, transpiration rate was varied through changes in CO₂ concentration and flow rate.

163 Transpiration isotopologues were measured by attaching the LiCor system to a Picarro (L1102-i; 164 Picarro Inc., Sunnyvale, CA, USA) or Los Gatos Research water vapour gas analyser (TIWA-165 45EP; Los Gatos Inc., Mount View, CA, USA) with the air entering the LiCor chamber 166 completely dried. Drying the ingoing air meant the water vapour concentration exiting the 167 chamber was entirely derived from leaf transpiration. The gas analysers recorded the oxygen 168 isotope ratio every 1-5 s (later converted to minute averages). The youngest, fully expanded 169 leaves were selected and either part or the whole leaf was enclosed in the chamber. Leaves 170 remained in the chamber until transpiration rates had stabilised but isotopes were still at nonsteady state (10 - 60 min; NSS) or the oxygen isotope composition had stabilised and isotopic 171 steady state was assumed (between 40 min and 7 h 20 min; SS), depending on the species. 172 Once the gas exchange measurements were completed leaves were collected for water extraction 173 174 or equilibration in three different ways (see Supp. Table 3). For the whole leaf samples of the Eucalyptus species, Acer x freemanii, G. biloba, V. faba genotypes, V. vinifera, C. arietinum 175 genotypes and part leaf samples of *H. annuus* (SS batch, only the leaf area within the chamber), 176 leaves were cut at the end of gas exchange and isotope measurements, photographed for 177 calculation of one-sided leaf area using ImageJ (1.45s, NIH, Bethesda, MD, USA) and the leaves 178 179 (without the petiole) were sealed in glass vials and stored at -20°C for extraction via vacuum distillation. C. sasangua and W. nobilis samples were also prepared as described above except 180 that for these samples, the primary vein and lamina tissue was placed into separate glass vials. 181 For a second set of samples from the *Eucalyptus* species and *Acer x freemanii*, whole leaves 182 183 were cut at the petiole and then the primary vein and lamina tissue were separated and sealed into separate glass vials flushed with 2% CO₂ for direct equilibration. For the remaining species, 184 the section of the leaf inside the chamber was cut away and sealed in a glass vial flushed with 185 186 2% CO₂ for direct equilibration. An individual sampling method typically took less than two 187 minutes to complete.

The Picarro and LGR analysers were calibrated following the protocol described by Simonin et
al. (2013) every 2-7 days during experimentation. A multiple linear regression was performed for
each calibration, which included a correction of the raw isotope data for any concentration
dependence.

193 Leaf water extraction and oxygen isotope analysis



201 equilibrated water samples (Song and Barbour 2016).

202

203 The Péclet Effect Model

Isotopic enrichment above source water (Δ) was expressed in per mil (‰) as:

$$\Delta = \frac{meas}{R_s} - 1, (1)$$

where R_{meas} is the measured isotopic ratio (¹⁸O/¹⁶O) of either the atmospheric water vapour, evaporative site water or leaf water, and R_s is the isotopic ratio of the source water (the isotopic composition of transpired vapour was used; Song et al. 2015). Thus calculation of Δ was expressed as (δ_{meas} is the measured or estimated isotopic composition):

210
$$\Delta = \frac{(\delta_{\text{meas}} - \delta_{trans})}{(1 + \delta_{trans})}.$$
 (2)

The steady-state model of isotopic enrichment at the sites of evaporation (Δ_e) is based on the Craig-Gordon model for evaporation from the surface of water bodies (Craig and Gordon 1965), adapted to plants (Dongmann et al. 1974) and modified for boundary layer effects (Farquhar et al. 1989);

215
$$\Delta_{\rm e} = \epsilon^+ + \epsilon_{\rm k} + (\Delta_{\rm v} - \epsilon_{\rm k})^{e_{\rm a}}/e_{\rm i}, \qquad (3)$$

where Δ_v is the isotopic enrichment of the water vapour in the leaf chamber, ε^+ and ε_k the equilibrium and kinetic fractionation factors and e_a/e_i is the ambient to intercellular mole fraction of water vapour. The equilibrium fractionation factor, ε^+ , represents the temperature dependent isotopic effect of a change in phase from liquid to vapour on Δ_e as follows, where T_l is leaf temperature in Kelvin (Bottinga and Craig 1969):

221
$$\epsilon^{+}(\%_{0}) = 2.644 - 3.206 \left(\frac{10^{3}}{T_{l}}\right) + 1.534 \left(\frac{10^{6}}{T_{l}^{2}}\right),$$
 (4)

222 ϵ_k represents the isotopic effect of diffusion on H₂¹⁸O through the stomatal (g_s) and boundary (g_b) 223 layers (Eqn. 5; Farquhar et al. 1989) incorporating the discrimination values determined by 224 Merlivat (1978):

225
$$\varepsilon_{\rm k} = \frac{28g_{\rm s}^{-1} + 18.7g_{\rm b}^{-1}}{g_{\rm s}^{-1} + g_{\rm b}^{-1}}.$$
 (5)

The steady-state formulation (Eqn. 3, but expressed relative to the Vienna Standard Mean Ocean
Water standard VSMOW) can be adapted for both steady-state and non-steady-state conditions
following Harwood et al. (1998):

229
$$\delta_e = \delta_{trans} + \varepsilon^+ + \varepsilon_k + ((\delta_v - \varepsilon_k - \delta_{trans}) \times {e_a/e_i}).$$
(6)

In Eqn. 6, δ_{trans} is the isotopic composition of transpired water and δ_v is the vapour in the leaf chamber, both relative to VSMOW. In this study, the incoming air was dry, so δ_v is entirely derived from δ_{trans} .

The Craig-Gordon model was modified (Farquhar and Lloyd 1993) to account for the observed discrepancy between measured leaf water enrichment (Δ_L) and modelled Δ_e :

235
$$\Delta_{\rm L} = \frac{\Delta_e(1 - e^{-\wp})}{\wp}, \qquad (7)$$

where \wp is the Péclet number and describes the enrichment of water flowing towards the sites of evaporation from the backwards diffusion of H₂¹⁸O:

$$238 \qquad \wp = \frac{EL}{CD}, \qquad (8)$$

where *E* is transpiration rate (mol m⁻² s⁻¹), *L* is the effective path length (m), *C* is the molar density of water (mol m⁻³) and *D* (m² s⁻¹) is the diffusivity of H₂¹⁸O in water, which varies with leaf temperature (Cuntz et al. 2007):

242
$$D = 97 \times 10^{-9} \times exp^{\left(\frac{-577}{\left((T_l + 273.16) - 145\right)}\right)}$$
. (9)

The Péclet effect predicts a positive relationship between *E* and $1-\Delta_L/\Delta_e$. For each replicate, *L* was fitted by minimising the difference between the measured Δ_L and modelled Δ_{LS} using Eqn. 7. For comparison with the two-pool model, these individual values were averaged and the mean value then used to estimate bulk leaf water.

247

248 The Two-pool model

The simplest form of the discrete-pool model, the two-pool model assumes that bulk leaf water is the combination of two pools of water, one in the veins that is not subjected to evaporative enrichment and is therefore isotopically similar to source water and one in the lamina tissue that is influenced by evaporative enrichment (Leaney et al. 1985). The predicted oxygen isotope composition of leaf water assuming two pools (Δ_L t) is described as:

$$254 \quad \Delta_{L t} = (1 - \phi) \Delta_e, \tag{12}$$

where ϕ is the fraction of unenriched water in the veins. ϕ was assumed to be equal to $1-\Delta_L/\Delta_e$ (Song et al. 2015), but note that we use ϕ when discussing the two pool model in order to avoid potential confusion. For comparison with the Péclet effect model, the two-pool model was run using the average value of ϕ for each species.

259

260 Statistical Analysis

261 Data was graphed using Origin (Version 6.1; OriginLab Corp, Northampton, Massachusetts, USA). Significant linear relationships were defined by a *p*-value of less than 0.05. A two-sample 262 t-test was performed in Genstat (Version 16, VSN International Ltd, <u>www.vsni.co.uk</u>) to assess 263 the difference between isotopic steady state and non-steady-state estimates of $1 - \Delta_L/\Delta_e$ 264 calculated for *H. annuus*. Predictions from the two-pool and Péclet effect models were plotted 265 against measured bulk leaf water and the linear relationship was analysed using a Student's t-266 test. The null hypothesis was that the slope and intercept did not differ from a 1:1 line (i.e. the 267 268 slope was not different from 1, and the intercept not different from zero) as described in Bailey (1981), where t = (1-slope[or intercept])/standard error of the slope[or intercept].269

270

271 **RESULTS**

272 For the 21 species measured in this study, leaf anatomical properties were assessed visually using either light microscopy or published cross-sections, to place each species into one of the 273 274 three hydraulic designs suggested by Zwieniecki et al. (2007). The results are presented in Table 1, with the average values for the Craig-Gordon estimate, the bulk leaf water and effective path 275 276 length shown in Supp. Table 1. In addition, we have included another 11 species from previously published studies for which the relationship between E and $1-\Delta_L/\Delta_e$ could be determined and for 277 which the anatomical properties were available in the literature. Of our 21 species, six were 278 279 determined to be closest anatomically to Design 1 (usually no bundle sheath extension and 280 unstructured mesophyll), eight were assigned to Design 2 (usually a bundle sheath extension and mesophyll structured into spongy and palisade mesophyll layers) and seven to Design 3 (palisade 281 and spongy mesophyll cells are indistinct). Note that while Zwieniecki et al. (2007) classified 282 283 adult E. globulus leaves as Design 3, we have classified the juvenile E, globulus as Design 2 due to the presence of bundle sheath extension cells and a mesophyll structured into spongy and 284 palisade layers. Based on the hydraulic designs, we predicted a whole leaf Péclet effect would be 285 detected in Design 2 leaves only, with Design 1 and 3 leaves displaying no significant positive 286 relationship between E and $\Delta_{\rm I}/\Delta_{\rm e}$. 287

The relationships between transpiration rate (*E*) and $1-\Delta_L/\Delta_e$ in bulk leaf water (i.e. mid vein and lamina tissue combined) are shown for 18 species in Fig. 1. For these measurements the whole leaf, or a section of the lamina that remained intact (i.e. the major vein was not removed) was used, revealing that all 18 have a relationship between *E* and $1-\Delta_L/\Delta_e$ that is consistent with their

- assigned Design (Fig. 1). The species Acer x freemanii, E. camaldulensis, E. globulus, E.
- 293 grandis, E. polybractea, G. hirsutum and V. vinifera showed a significant positive relationship
- between *E* and $1-\Delta_L/\Delta_e$ (p < 0.05; Fig. 1E to 1L). The remaining species showed either no
- significant correlation between *E* and $1-\Delta_L/\Delta_e$ (p > 0.05; Fig. 1; *E. parramattensis*, *E. amplifolia*,
- 296 E. occidentalis, E. stellulata, G. biloba, G. max, H. annuus, T. aestivum, and ambient light and
- 297 CO₂ grown V. faba), or in the case of V. faba, C. arietinum and E. botryoides a significantly
- negative relationship between *E* and 1 Δ_L/Δ_e . *P. vulgaris*, while being assigned to Design 2,
- was marginally non-significant (p = 0.06; Fig. 1K).

300 Different growth and measurement conditions, and techniques for analysing leaf water isotope composition, were included in the study. For V. faba, differences in growth conditions were 301 compared (Supplementary Fig. S1), in *H. annuus* the necessity to reach isotopic steady state was 302 assessed (Fig. 1R), and three species (H. annuus, E. parramattensis and E. grandis) were used to 303 304 assess the effects of measurement irradiance on the relationship between E and 1 - Δ_L/Δ_e (Figure 2). For V. faba, no significant differences were found between genotypes, so all genotypes were 305 pooled for subsequent analysis. A significant positive relationship was found for leaves of plants 306 grown in low light, ambient CO₂ conditions (p = 0.004; Supp. Fig. 1D), while a negative 307 relationship was found for leaves grown at low light and high CO_2 conditions (p < 0.0001; Supp. 308 Fig. 1B) and no significant relationship was found for leaves grown at high light and either high 309 or ambient CO₂ concentration (Supp. Fig. 1A and C). For *H. annuus*, samples taken at isotopic 310 steady state had higher 1 - Δ_L/Δ_e values than those for which steady state was not achieved, but 311 312 in both situations there were non-significant relationships between transpiration rate and $1-\Delta_L/\Delta_e$. As shown in Fig. 2, there were no significant differences in the relationship between E and 1-313 $\Delta_{\rm L}/\Delta_{\rm e}$ for low (200 µmol m⁻² s⁻¹) and high (1200 µmol m⁻² s⁻¹) irradiance measurements for any 314

of the three species assessed. We conclude that data presented in Fig. 1 do not include artefacts due to changes in irradiance. A significant positive relationship between *E* and $1-\Delta_L/\Delta_e$ was observed for *H. annuus* when measurements were made at 200 and 1200 mmol m⁻² s⁻¹ irradiance (Fig. 2A), which differs from the non-significant relationships between *E* and $1-\Delta_L/\Delta_e$ for this species in Fig. 1R. However, it should be noted that the relationship in Fig 2A is strongly driven by a single point at low *E*.

The appropriateness of using a Péclet model or two-pool model for estimating leaf water isotopic 321 enrichment was assessed. For direct comparison, the species average L (for the Péclet model) and 322 323 ϕ (for the two-pool model) were applied to all replicates for each species and then the predicted leaf water enrichment was tested for deviation from a 1:1 relationship with the measured bulk 324 leaf water values. A non-significant *p*-value indicated that the regression slope and intercept 325 were similar to a regression assuming the model gave exact predictions of measured bulk leaf 326 327 water. Species that were categorised as either Design 1 or Design 3 were generally better 328 modelled using a two-pool model and those in Design 2 were generally better modelled using the Péclet effect model (Supp. Table 2). For E. amplifolia, E. botryoides, G. hirsutum, G. max, C. 329 arientinum and V. faba (except ambient CO₂ and low irradiance) neither model proved able to 330 predict bulk leaf water particularly well (Supp. Table 2). 331

Eight species, including *C. sasanqua* and *W. nobilis*, were also measured and the major vein and lamina sampled and analysed separately for stable isotope composition. A composite value for the whole leaf was estimated by combining water contents and isotope compositions. Fig. 3 shows the relationships between *E* and $1-\Delta_L/\Delta_e$, with the species separated into hydraulic design (again determined based on anatomy). There was a significant positive relationship between *E* and $1-\Delta_L/\Delta_e$ for *C. sasanqua* lamina (p = 0.02; $R^2 = 0.49$) primary vein (p = 0.0003, $R^2 = 0.82$)

and the composite estimate (p = 0.003, $R^2 = 0.69$; Fig. 2A). However, half of the C. sasangua 338 lamina samples had negative values of $1-\Delta_L/\Delta_e$ (i.e. $\Delta^{18}O_L > \Delta^{18}O_e$), despite these measurements 339 being made at isotopic steady state (or very close to). The results for *W. nobilis* were similar: the 340 lamina, vein and composite f were all significantly correlated with E (p = 0.02, $R^2 = 0.35$; p < 0.35341 0.001, $R^2 = 0.75$ and p < 0.001, $R^2 = 0.68$ respectively) despite more than half of the lamina 1-342 $\Delta_{\rm L}/\Delta_{\rm e}$ being negative (Fig. 3B). For Acer x freemanii and the Eucalyptus species, as many as half 343 of the lamina samples had a negative value of $1-\Delta_L/\Delta_e$. E. occidentalis and E. polybractea 344 showed no significant relationship between E and $1-\Delta_L/\Delta_e$, calculated either from lamina, 345 primary vein or the composite of both (p > 0.05; Fig. 3G and F). There was a significant 346 correlation between *E* and primary vein $1-\Delta_L/\Delta_e$ for *E*. *stellulata* (*p* = 0.0002; R² = 0.66; Fig. 3H) 347 but not with $1-\Delta_L/\Delta_e$ calculated from the lamina or composite of both. Both *E. camaldulensis* and 348 *E. grandis* showed significant correlations between *E* and $1-\Delta_{\rm I}/\Delta_{\rm e}$ calculated from all three 349 measurements (primary vein, lamina and composite, p < 0.001; Fig. 2D and E). For Acer x 350 *freemanii*, only $1-\Delta_L/\Delta_e$ calculated from the primary vein measurements showed a significant 351 relationship with $E (p < 0.0001, R^2 = 0.71; Fig. 3C)$. 352

353

354 **DISCUSSION**

355

356 *Is the Craig-Gordon model sufficient to predict leaf water enrichment?*

357 The motivation for development of both the two-pool and Péclet models of leaf water enrichment

358 were observations that the Craig-Gordon model over-estimated enrichment at isotopic steady

state. Early studies revealing a positive relationship between E and $1-\Delta_L/\Delta_e$, either directly

360	(Flanagan et al. 1994), or indirectly (Barbour et al. 2000; Barbour et al. 2004), have used this as
361	evidence for the relevance of using the Péclet model to predict whole leaf enrichment. However,
362	more a recent study with G. hirsutum (Song et al. 2015) found a slightly positive but non-
363	significant relationship between E and $1-\Delta_L/\Delta_e$, suggesting that the two-pool model may be more
364	appropriate. The core test of the relevance of either the two-pool or the Péclet model for leaf
365	water is that bulk leaf water is less enriched than that predicted by the Craig-Gordon model when
366	the leaf is at isotopic steady state. Among the species studied here, we found that no more than a
367	third of the values of $1-\Delta_L/\Delta_e$ were negative in 19 of the 21 species. Δ_L was always less that Δ_{es}
368	in G. biloba, T. aestivum, E. globulus, E. parramettensis, G. hirsutum, P. vulgaris, V. vinifera, G.
369	max and H. annuus, suggesting that the Craig-Gordon alone model is insufficient for predicting
370	Δ_L . Measurements of lamina isotope composition in <i>C. sasanqua</i> and <i>W. nobilis</i> indicate that Δ_L
371	$> \Delta_e$ in more than half the samples for these two species, but there were significant positive
372	relationships between E and $1-\Delta_L/\Delta_e$ for the mid-veins, lamina tissue and the calculated
373	composite bulk leaf water. Both these species were classified as Design 1 and both have very
374	low transpiration rates (< 1.5 mmol $m^{-2} s^{-1}$), and so may not have been at isotopic steady state
375	despite remaining in the leaf chamber for up to 7 hours. For these species, and potentially other
376	species with low rates of E , a more complicated non-steady state expression may be required for
377	both the two-pool and the Péclet model; a model that includes pools of hydraulically
378	disconnected water with very low turnover times. The models tested here are the simplest
379	versions, more complicated versions of the Péclet model being found in Gan et al. (2003), Ogée
380	et al. (2007) and Cuntz et al. (2007).

We also observed negative relationships between *E* and $1-\Delta_L/\Delta_e$ for *C. arientinum*, *E. botryoides* and *V. faba* (for plants grown and measured at high CO₂ concentrations and both high and low

light levels). We currently lack an explanation for these unexpected observations, but clearly the Péclet effect is not relevant for these species and growth conditions. The two-pool model also needs to be modified to account for negative relationships; a higher value of ϕ when transpiration rates are low.

We conclude that the Craig-Gordon model is insufficient to explain observed variation in Δ_L , and that either the two-pool model (for leaf hydraulic designs 1 and 3) or the Péclet effect model (for hydraulic design 2) are required to predict Δ_L . Figure 4 shows that the Craig-Gordon model over-estimates Δ_L by 4.8‰ on average, and by as much as 12.5‰. In contrast, using an appropriate, hydraulically-informed, model results in an average difference between measured and modelled values of just 0.01‰.

393

394 Considering hydraulic design and leaf water isotopes

Using rehydration kinetic measurements, Zwieniecki et al. (2007) proposed three hydraulic 395 396 designs for leaves with differing pathways for water movement and levels of mesophyll water connectedness. These hydraulic designs imply differences in the proportion of the transpiration 397 stream moving within the apoplastic and symplastic pathways. We have previously suggested 398 399 that the relative importance of apoplastic and symplastic pathways is relevant to leaf water isotopes (Barbour and Farguhar 2003). Apoplastic water movement is assumed to be dominated 400 by bulk flow (Strugger 1943) and as the Péclet effect describes the ratio of advection to 401 402 convection in mass flow, it is likely that apoplastic pathways will allow isotopic gradients to form. In contrast, symplastic water transport through plasmodesmata and transcellular transport 403 404 across cellular membranes may not allow isotopic gradients to form. This is because aquaporins

405 and the pores of plasmodesmata are thought to be too small to support bulk flow (Schaffner 1998; Fricke 2000). The pore of an aquaporin is thought to be only large enough for a single 406 water molecule to move through (Schaffner 1998), and it is unlikely that isotopic gradients could 407 be formed for molecules moving in single file. If a high proportion of the transpiration stream 408 moves from the xylem to the sites of evaporation through aquaporins, isotopic gradients may not 409 form in the cytoplasm or, as we suggested, may be poorly described by Péclet effect theory. 410 However, if any water molecules move counter to the net flow, isotopic gradients may form 411 (Barbour and Farquhar 2003). Additionally, it is unclear what role, if any, the vacuole may have 412 413 in the development of isotopic heterogeneity. As the vacuole can contain a large proportion of cellular water, how this pool of water is involved in the transpiration stream may have a 414 significant effect on isotopic composition at the whole leaf level. 415

Relating pathways of water transport to water isotopes, we suggest that liquid water transport in 416 417 the apoplast would allow the development of Péclet-type gradients in enrichment if the ratio between water velocity times characteristic length and $H_2^{18}O$ diffusivity in water were of the 418 appropriate order of magnitude (see Eqn 13). We assume that water transport through 419 420 aquaporins would not allow isotopic gradients to form across membranes (Barbour and Farquhar 2003) and that transport in the vapour phase will not allow a gradient in enrichment to develop in 421 the liquid phase. Therefore, the ability to detect a Péclet effect at the whole leaf level will 422 depend on the contribution of apoplastic and symplastic pathways to water movement. 423 For a given transpiration rate, the velocity at which water moves through specific pathways 424

425 within leaves is related to differences in hydraulic resistance and the cross sectional area

426 perpendicular to direction of flow (Barbour and Farquhar 2003). The Péclet effect is determined

427 by \wp , the product of the velocity of water movement $(v, m s^{-1})$ and the distance from the

428 evaporation sites (l, m) in ratio to the diffusivity of H₂¹⁸O in water $(D, m^2 s^{-1})$, variable with 429 temperature) (Barbour and Farquhar 2003):

$$430 \quad \wp = \frac{vl}{D} \qquad . \tag{13}$$

A significant Péclet effect occurs when there is a high velocity, a long diffusional distance or a
combination of both. Presence of a Péclet effect implies a low resistance pathway from veins to
evaporation sites that has a small cross-sectional area perpendicular to the direction of flow.
These characteristics are consistent with water transport in the apoplast (Rockwell, Holbrook and
Stroock, 2014; Buckley 2015). On the contrary, low velocity would suggest a high resistance
and/or a large cross sectional area perpendicular to the direction of flow, and in these instances
isotopic gradients may not form (i.e. no Péclet effect).

The detection of a whole leaf Péclet effect is likely to be related to how variation in mesophyll 438 hydraulic resistance and cross sectional area of liquid transport pathways affect the velocity of 439 water movement, and to some extent, how the distance to the evaporation sites varies with leaf 440 anatomy. Stomatal density and the ratio of densities on abaxial and adaxial leaf surfaces is likely 441 442 to influence this distance and may be relevant for the development of Péclet gradients within leaves. We note that Larcher et al. (2014) observed a positive relationship between stomatal 443 density and Δ_L/Δ_e , but point out that this study assumed that a Péclet effect was present in all 444 genotypes and species which we have shown here may not be the case. 445

In Design 2 leaves, water primarily moves from the xylem to the epidermis through bundle

sheath extensions then along the epidermal cells towards the nearest stoma because there is a

- 448 high degree of hydraulic resistance between the xylem and the mesophyll. In this situation,
- liquid water transport through the lamina is likely dominated by apoplastic flow (e.g. Rockwell,

450 Holbrook and Stroock 2014; Buckley 2015) with associated isotope gradients. A possible explanation for the high mesophyll resistance in Design 2 leaves is a low expression of 451 aquaporins in the plasma membranes of mesophyll cells. These features combine to produce high 452 velocity for liquid transport within the low resistance and small area pathways in the apoplast of 453 the xylem, bundle sheath extension and epidermal cells. Gradients in enrichment are likely 454 within the apoplast of bundle sheath extension and epidermal cells in Design 2 leaves. These 455 gradients could then propagate from the bundle sheath extension cells through the adjacent 456 mesophyll cells via establishment of local isotopic equilibria. Note that this local equilibrium 457 458 does not rely on isotope gradients forming through membranes and their associated aquaporins. Rather, over time water in the hydraulically-disconnected mesophyll cells would exchange and 459 isotopically equilibrate with water in the adjacent bundle sheath extension and epidermal cells. 460 By comparison, species with leaves fitting in Design 3 classification presumably have high 461 462 expression of aquaporins, allowing water to rapidly traverse the mesophyll, while also being transported through the bundle sheath extensions around the xylem. In these leaves, the high 463 expression of aquaporins may preclude the development of gradients in enrichment between the 464 sites of evaporation and the veins, so water in the mesophyll will have an isotopic composition 465

similar to the evaporative sites within the leaf and hence no Péclet effect. In leaves with limited

hydraulic connections between the veins and either the epidermis or the mesophyll (Design 1),
the low velocity water transport through high resistance pathways would also preclude formation
of isotope gradients within the mesophyll.

470

472 *Can hydraulic design explain differences between leaves in the relevance of the Péclet effect?*

Combining the use of variation in H₂¹⁸O with leaf hydraulics to understand water movement 473 through leaves seems obvious and yet these two techniques are rarely measured simultaneously 474 (but see Kahmen et al. 2009; Ferrio et al. 2012; Loucos et al. 2015). Here we show a novel link 475 476 between leaf hydraulic designs constrained by different leaf anatomy (Zwieniecki et al. 2007) and the patterns of isotopic enrichment at the whole leaf level, suggesting that we are closer to 477 reconciling the contrasting results across the literature. For instance, by identifying that only 478 species Design 2 leaf anatomy will have a clear Péclet effect at the whole leaf level we offer an 479 explanation for why some species have exhibited Péclet effects and others not. 480 Focusing on Design 2 leaves, the anatomical features of these species may allow the formation of 481 482 a Péclet effect in the bundle sheath extensions and apoplastic pathway. As shown in Fig. 1, species placed into Design 2 were found to have a significant relationship between E and $1-\Delta_L/\Delta_e$ 483 (except P. vulgaris which was marginally non-significant Fig. 1K, and E. polybractea when 484 485 main veins and lamina tissue were sampled separately Fig. 3F). In support of these results, our analysis of the best fit model for the data of each species reveal that the Péclet model is a better 486 487 fit for species with Design 2 leaves than the two-pool (Supp. Table 2). This contrasts to data for leaves from Design 1 or Design 3, in which generally the two-pool model provided equal or 488 better fit than the Péclet effect (Supp. Table 2). Indeed our isotope results show that Design 1 489 and 3 leaves, in which a Péclet effect is not expected to form across the whole leaf, have no 490 relationship between *E* and $1-\Delta_L/\Delta_e$ (Fig. 1). 491

492 The lack of a Péclet effect at the whole leaf level does not necessarily exclude a localised Péclet493 effect occurring in the veins and associated tissue, as suggested by the significant positive

494	relationship between <i>E</i> and $1-\Delta_L/\Delta_e$ for primary vein samples of <i>G</i> . <i>hirsutum</i> (Holloway-Phillips
495	et al. 2016). The results presented here support the notion that the positive relationship between
496	<i>E</i> and 1- Δ_L/Δ_e occurs strongly in the primary veins (6 of 8 species in Fig. 3, <i>p</i> < 0.05) and is
497	therefore likely to occur in lower order veins. However, even when there was a significant
498	relationship between E and $1-\Delta_L/\Delta_e$ in leaf sections containing a primary vein, this was not
499	enough to drive a significant positive relationship between E and $1-\Delta_L/\Delta_e$ in the composite leaf
500	estimate (e.g. Acer and E. polybractea). For the Eucalyptus species where there was a significant
501	leaf composite E and $1-\Delta_L/\Delta_e$ relationship, there was also a significant relationship between E
502	and $1-\Delta_L/\Delta_e$ in the lamina (which contained minor veins; Fig. 3). Design 1 leaves are expected to
503	have low velocity of liquid transport due to either low transpiration rates (e.g. gymnosperm
504	species and C. sasanqua) or an absence of low resistance pathways (i.e. no bundle sheath
505	extensions) meaning that water transport from the veins to the stomata likely occurs through the
506	entire mesophyll with large associated cross sectional area. In both cases, we suggest this will
507	result in no detectable whole leaf Péclet effect. For Design 3 leaves, water movement through the
508	well-connected mesophyll will greatly increase the cross-sectional area for flow, resulting in a
509	slower velocity across the whole leaf and, combined with a dominance of aquaporin transport
510	that doesn't allow gradients to develop, no Péclet effect is detectable at the whole leaf level.
511	Combining hydraulic design and isotope theory, our results suggest that the main pathway in
512	which a Péclet effect may form is located in the apoplastic pathways, including through the
513	bundle sheath extensions. However, we stress that the link between isotopic patterns and leaf
514	hydraulics has yet to be tested in a wide range of environmental conditions and we have relied on
515	leaf anatomy to assess hydraulic design. A more rigorous test of the relationship would include
516	measurements of leaf rehydration kinetics to determine hydraulic design. Finally, stomatal

density (Larcher et al. 2014) and the presence of stomata on both leaf surfaces could influence
the strength of the observed Péclet effect for Design 2 leaves; this would be an interesting area
for future studies.

520

521 *Conclusions*

The most appropriate model for predicting whole leaf lamina isotopic enrichment has remained 522 elusive. Evidence in support of the two most commonly used models, the Péclet model and the 523 524 two-pool model, has been found in the literature but until now there has been no way to determine when a particular model is suitable. We demonstrate that the Péclet effect is relevant 525 at the whole leaf level for less than 50% of sampled species. We relate these findings to the leaf 526 hydraulic designs proposed by Zwieniecki et al. (2007), in which only species from Design 2 527 528 exhibit a detectable Péclet effect at the whole leaf level. It was generally found that for species with no correlation between E and $1-\Delta_L/\Delta_e$, the two-pool model was equal to or better than the 529 simplest version of the Péclet model at predicting bulk leaf water enrichment. 530

531

532 **Conflict of interest statement:** The authors have no conflicts of interest to declare.

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712 **Table 1.** List of species divided into the three hydraulic designs based on citations providing anatomical cross sections. The presence

713 (Y) or absence (N) of bundle sheath extension cells (BSE) is indicated in the labelled column. Similarly, the presence (Y) or absence

(N) of a significant relationship between transpiration rate and the fractional difference between the Craig-Gordon estimate for

- rts evaporative enrichment and the measured bulk leaf water isotopic enrichment is indicated in the column "Péclet". Anatomical
- notation: MR = multi-veined reticulate, MP = multi-veined paralleled, SV = single veined, P = palisade cells, S = spongy cells, Indist.
- 717 = indistinguishable, unstructured = no obvious mesophyll structure. For *C. sasanqua* and *W. nobilis*, more than two thirds of $1 \Delta_L/\Delta_e$
- 718 were negative, thus the whole leaf Péclet effect theory used in this study is not applicable (marked N*).

Species	Vein	BSE	Mesophyll	Citation	Design	Péclet	Citation
	structure						
C. sasanqua	MR	N	P+S	John et al. 2013	1	N*	Current study
G. biloba	MP	Y	unstructured	Zwieniecki et al. 2007	1	Ν	Current study
T. aestivum	MP	Ν	Indist. P+S	Jahan 2016	1	Ν	Current study
V. faba	MR	Ν	P+S	Current study	1	N, except	Current study
						low light and	
						ambient CO ₂	
						grown leaves	
C. arietinum	MR	Ν	Indist. P+S	Current study	1	Ν	Current study
W. nobilis		Ν	P+S	Burrows and Bullock	1	N*	Current study
				1999			
6 conifer species		Ν	unstructured	Roden et al. 2015	1	Ν	Roden et al. 2015
P. radiata	SV	Ν	unstructured	Roden et al. 2009	1	Ν	Song et al. 2013
P. rigida	SV	Ν	unstructured	Bostrack and Sparrow	1	Ν	Song et al. 2013
				1969			
Acer x freemanii	MR	Y	P+S	Current study	2	Y	Current study
E. camaldulensis	MR	?	P+S	Sefton et al. 2002	2	Y	Current study
E. globulus	MR	Y	P+S	James et al. 1999	2	Y	Current study

E. grandis	MR	Y	P+S	Sefton et al. 2002;	2	Y	Current study
				Santos et al. 2008			
E. polybractea	MR	Y	P+S	Current study	2	Y	Current study
G. hirsutum	MR	Y	P+S	Current study	2	Y	Current study
P. vulgaris	MR	Y	P+S	Morison et al. 2007,	2	Y/N	Current study
C				Current study			
V. vinifera cv.	MR	Y	P+S	Current study	2	Y	Current study
Sultana M12				-			
A. rubrum	MR	Y	P+S	Zwieniecki et al. 2007	2	Ν	Song et al 2013
F. sylvatica		Y	P+S	Van Wittenberghe et	2	Y	Ferrio et al. 2009
v				al. 2012			
V. vinifera cv.	MR	?	P+S	Tomás et al. 2014	2	Y	Ferrio et al. 2012
Grenache							
E. amplifolia	MR	Y	P only	Current study	3	Ν	Current study
E. botryoides	MR	Y	P, S?	Current study	3	Ν	Current study
E. occidentalis	MR	?	P+S	Sefton et al. 2002	3	Ν	Current study
E. stellulata	MR	Ν	Indist. P+S	Current study	3	Ν	Current study
E. parramattensis	MR	Y	Indist. P+S	Current study	3	Ν	Current study
G. max	MR	Y	Indist. P+S	Pérez Chaca et al.	3	Ν	Current study
				2014;, Junior et al.			•
				2009			
H. annuus	MR	Y	P+S	Current study	3	Ν	Current study

720 Figure Captions

Figure 1. The relationship between transpiration rate and the fractional difference between the

722 Craig-Gordon estimate (Δ_e) and measured leaf water stable oxygen isotope composition (Δ_L) for

the lamina and whole leaf samples of 18 species. The species are separated into the three

hydraulic designs as designated in Table 1. Additionally, in panel R datasets collected in isotopic

steady state and non-steady state are shown.

Figure 2. The relationships between transpiration rate and the fractional difference between the

727 Craig-Gordon estimate (Δ_e) and measured leaf water stable oxygen isotope composition (Δ_L) for

leaves of three species exposed to high (1200 μ mol m⁻² s⁻¹; circles) and low (200 μ mol m⁻² s⁻¹;

squares) irradiance during gas exchange and transpiration isoflux measurements.

Figure 3. The relationship between transpiration rate and the fractional difference between the Craig-Gordon estimate (Δ_e) and measured leaf water stable oxygen isotope composition (Δ_L) for the major vein, lamina and composite samples of 8 selected species. The 8 species are separated into the three hydraulic designs as designated in Table 1. Note that the x-axis scale differs between panels A and B, and panels C to H.

Figure 4. The relationships between measured and modelled leaf water ¹⁸O enrichment for leaves of three hydraulic designs, using the Craig-Gordon model (A) and hydraulically-informed models (B). In (B), hydraulic designs 1 and 3 use the two-pool model (Eqn 7, with an average value of ϕ for each species), and hydraulic design 2 leaves use the Péclet model (Eqn 12, with an average value of *L* for each species.



Figure 1.







Figure 4