

1 **The sensitivity of photosynthesis to O<sub>2</sub> and CO<sub>2</sub> concentration**  
2 **identifies strong Rubisco control above the thermal optimum**

3 Sensitivity of photosynthesis to gas composition identifies photosynthetic limitations

4

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35 **Summary**

- 36 1. The biochemical model of C<sub>3</sub> photosynthesis by Farquhar, von Caemmerer and  
37 Berry (FvCB) assumes that photosynthetic CO<sub>2</sub> assimilation is limited by one of  
38 three biochemical processes that are not always easily discerned. This leads to  
39 improper assessments of biochemical limitations that limit the accuracy of the  
40 model predictions.
- 41 2. We use the sensitivity of rates of CO<sub>2</sub> assimilation and photosynthetic electron  
42 transport to changes in O<sub>2</sub> and CO<sub>2</sub> concentration in the chloroplast to evaluate  
43 photosynthetic limitations.
- 44 3. Assessing the sensitivities to O<sub>2</sub> and CO<sub>2</sub> concentrations reduces the impact of  
45 uncertainties in the fixed parameters to a minimum and simultaneously entirely  
46 eliminates the need to determine the variable parameters of the model, such as  
47  $V_{\text{cmax}}$ ,  $J$ , or  $T_P$ . Our analyses demonstrate that Rubisco limits carbon assimilation  
48 at high temperatures, while it is limited by triose phosphate utilization at lower  
49 temperatures and at higher CO<sub>2</sub> concentrations.
- 50 4. Measurements can be assigned *a priori* to one of the three functions of the FvCB  
51 model, allowing testing for the suitability of the selected fixed parameters of the  
52 model. This approach can improve the reliability of photosynthesis models on  
53 scales from the leaf level to estimating the global carbon budget.

54

55 **Keywords:** photosynthesis, O<sub>2</sub> sensitivity, Rubisco, gas exchange, chlorophyll  
56 fluorescence, biochemical model, triose phosphate utilization

## 57 **Introduction**

58

59 Theoretical models of carbon assimilation are important tools for interpreting  
60 biochemical processes controlling photosynthesis in leaves. In particular, the model of  
61 Farquhar, von Caemmerer and Berry (1980) and its derivatives, or FvCB models, are now  
62 widely utilized for a range of applications, from predicting the rate of photosynthetic  
63 CO<sub>2</sub> exchange (*A*; acronyms are listed in Table 1) at the leaf level to large scale models of  
64 the global carbon cycle and vegetation feedbacks on climate (Farquhar *et al.*, 2001).  
65 FvCB models are also commonly used to predict scenarios that are difficult to measure,  
66 such as large-scale fluxes or photosynthesis and the carbon cycling in future climate  
67 scenarios (Sellers *et al.*, 1997; Prentice *et al.*, 2007). Modeled outputs, however, depend  
68 on input parameters, which are often assumed rather than directly determined for  
69 plants under study. This leads to the criticism that models can predict a wide range of  
70 outcomes, depending upon the input parameters selected, and that researcher's bias  
71 can lead to selective use, or "cherry-picking", of enzyme kinetics and other input  
72 parameters that enable models to fit experimental data (Ethier & Livingston, 2004).

73         Critical input parameters for the FvCB model are the kinetic constants of Rubisco,  
74 Rubisco activation state, photosynthetic electron transport rate, triose phosphate  
75 utilization (TPU) capacity, mesophyll conductance ( $g_m$ ) and day respiration ( $R_d$ ). In  
76 certain species, such as tobacco and Arabidopsis, most of these values have been  
77 empirically measured and thus inputs are relatively well known, particularly at 25°C (von  
78 Caemmerer & Quick, 2000; Bernacchi *et al.*, 2001; Bernacchi *et al.*, 2002; Evans & von  
79 Caemmerer, 2013; Walker *et al.*, 2013). For all other species, especially at temperatures  
80 other than at 25°C, input values are uncertain, leading to guesswork in model  
81 parameterization. Modeling efforts have often assumed that the species of choice have  
82 the same Rubisco kinetic properties, electron transport properties, and  $g_m$  values as  
83 tobacco or spinach. Indeed, tobacco and spinach values have been used to model  
84 photosynthetic responses in species as diverse as ferns, gymnosperms and a variety of  
85 C<sub>3</sub>, C<sub>3</sub>-C<sub>4</sub>, and C<sub>4</sub> angiosperms (see e.g. Medlyn *et al.*, 2002; Massad *et al.*, 2007; Flexas *et*

86 *al.*, 2014; Gandin *et al.*, 2014). Given the variation between species in Rubisco kinetic  
87 properties, electron transport and  $g_m$ , the reliance on a select few species for inputs is  
88 problematic, particularly when models address thermal responses, evaluate adaptive  
89 variation between species or underpin large-scale models of vegetation performance  
90 (Friedlingstein *et al.*, 2006; Sage *et al.*, 2008; Booth *et al.*, 2012). As a consequence,  
91 important information can be lost or misinterpreted, and conclusions drawn from the  
92 model may be dubious.

93 Only a few studies have measured important modeling parameters such as  
94 Rubisco kinetic properties across a wide temperature range (Fig 1a,b; Hermida-Carrera  
95 *et al.*, 2016), and these often use different methodologies, which increases variation in  
96 the estimates (von Caemmerer & Quick, 2000). These approaches include *in vitro* assays  
97 or *in vivo* gas exchange measurements of species with selectively reduced levels of  
98 Rubisco, using antisense technology (von Caemmerer *et al.*, 1994). Some of these  
99 approaches assume infinite diffusion conductances of CO<sub>2</sub> from the intercellular space  
100 to the chloroplast ( $g_m$ ), while others account for variation in  $g_m$ . Recent large species  
101 comparisons of Rubisco kinetic properties revealed significant differences even between  
102 closely related species (Orr *et al.*, 2016), highlighting that these differences are not only  
103 an artifact of differences in measurement protocols. Modeled Rubisco-limited CO<sub>2</sub>  
104 assimilation rates strongly depend on the Rubisco kinetic constants used, especially at  
105 high temperatures (Galmés *et al.*, 2016). The differences in these constants also  
106 contribute to a high variability in the temperature response of the maximum rate of  
107 Rubisco carboxylation ( $V_{cmax}$ ) and photosynthetic electron transport ( $J_{max}$ ) (Fig. 1c,d; also  
108 see e.g. Medlyn *et al.*, 2002). Values of  $V_{cmax}$  and  $J_{max}$  further depend on various factors  
109 such as growth temperature (Yamori *et al.*, 2005; Kattge & Knorr, 2007) and correct  
110 estimates of  $g_m$  (Ethier & Livingston, 2004; Manter & Kerrigan, 2004), a parameter that  
111 also greatly varies with species (von Caemmerer & Evans, 2014). The TPU limitation is  
112 often ignored altogether, and temperature responses of TPU are infrequently  
113 considered (Harley *et al.*, 1992). As a result of these issues, for effective photosynthetic  
114 modeling one is confronted with either direct determination of all the necessary

115 parameters, or selectively using published parameters that produce reasonable results.  
116 Direct determination of the parameters is not feasible for all but a few species due to  
117 cost, technical limitations, and substances such as defense compounds that may render  
118 biochemical assays impossible. Reliance on published values is also problematic given  
119 variation between species and growth conditions in the many model parameters –  
120 unless, however, there is an independent means to evaluate the effectiveness of the  
121 selected parameters.

122 Non-invasive techniques that can evaluate the robustness of model inputs are  
123 therefore desired. Some have already proven to have good utility, such as online carbon  
124 isotope discrimination and pulse-amplitude modulated chlorophyll fluorescence (Pons  
125 *et al.*, 2009; Evans & von Caemmerer, 2013). Another possible technique that has not  
126 been widely exploited is the sensitivity of  $A$  to a variation in  $O_2$  or  $CO_2$  concentration  
127 (Sharkey, 1985; Sage & Sharkey, 1987; Sage *et al.*, 1988; Sage *et al.*, 1990; Yamori *et al.*,  
128 2010).  $O_2$  and  $CO_2$  sensitivity measurements can evaluate potential limitations due to  
129 Rubisco capacity and electron transport rate, because the sensitivity response depends  
130 on the sub-process limiting photosynthesis (Sage & Sharkey, 1987). They can also  
131 identify potential TPU limitations (Sharkey, 1985; Sage *et al.*, 1988; Sage *et al.*, 1990). In  
132 addition to carbon assimilation, the effect of variation in  $O_2$  and  $CO_2$  concentrations on  
133 chlorophyll fluorescence can show responses that are characteristic of the underlying  
134 limitation. Thus, the  $O_2$  and  $CO_2$  sensitivity of parameters such as electron transport rate  
135 through PSII ( $ETR$ ) and PSII excitation pressure ( $1-qP$ ) can be used to assess  
136 photosynthetic limitations (Sharkey *et al.*, 1988; Ensminger *et al.*, 2006). Compared to  
137 using the FvCB model to estimate absolute net  $CO_2$  assimilation rates, an assessment of  
138 the  $O_2$  and  $CO_2$  sensitivities can yield robust, independent insights into biochemical  
139 limitations of photosynthesis. This is because  $O_2$  and  $CO_2$  sensitivities of  $A$  are  
140 independent of the variable parameters in the model, such as the maximum rates of  
141 carboxylation ( $V_{cmax}$ ), photosynthetic electron transport ( $J$ ), or triose phosphate  
142 utilization ( $T_P$ ), which vary between individual leaves. In addition, the parameterization  
143 of these sensitivities as a ratio minimizes the impact of uncertainties in the ‘fixed’

144 parameters not estimated by fitting the model, such as Rubisco kinetic constants, since  
145 they appear in both the numerator and the denominator.

146 Rarely have both the O<sub>2</sub> and CO<sub>2</sub> sensitivity of fluorescence and whole-leaf gas  
147 exchange been coupled to provide a comprehensive assessment of photosynthetic  
148 limitation in C<sub>3</sub> plants (see Sharkey *et al.*, 1988, for an example; however, this study  
149 appeared before the modern synthesis of fluorescence analysis improved this  
150 approach). Given the wide availability of PAM fluorometers and leaf-level gas exchange  
151 machines, it is now possible to examine in depth O<sub>2</sub> and CO<sub>2</sub> sensitivity of both gas  
152 exchange and chlorophyll fluorescence to provide a comprehensive evaluation of  
153 modeled assumptions. Here, we use sweet potato (*Ipomoea batatas* (L.) Lam.) to  
154 demonstrate how O<sub>2</sub> and CO<sub>2</sub> sensitivity can be exploited to test model  
155 parameterizations for the CO<sub>2</sub> and temperature response of photosynthesis. We show  
156 that this approach allows for *a priori* determinations of the biochemical processes  
157 limiting *A* at any given set of conditions. This information can then be used to evaluate  
158 the suitability of a set of chosen input parameters. In particular, we use our approach to  
159 assess the temperature response of photosynthesis in sweet potato, which was  
160 examined and modeled by Cen and Sage (2005), and define biochemical limitations  
161 largely independent of the choice of input parameters.

162

## 163 **Theoretical Background**

164

165 The net CO<sub>2</sub> assimilation rate (*A*) at leaf-level has been mechanistically described by the  
166 FvCB model as a function of the two competing reactions catalyzed by Rubisco, the  
167 carboxylation and oxygenation of ribulose 1,5-bisphosphate (RuBP) (Farquhar *et al.*,  
168 1980; von Caemmerer & Farquhar, 1981; von Caemmerer, 2000), as follows:

$$169 \quad A = V_c - 0.5V_o - R_d \quad (1)$$

170 where *V<sub>c</sub>* and *V<sub>o</sub>* denote the rate of RuBP carboxylation and oxygenation, respectively,  
171 and *R<sub>d</sub>* stands for the rate of mitochondrial respiration in the light. The net rate of CO<sub>2</sub>  
172 uptake is largely determined by the ratio of Rubisco carboxylation to oxygenation, which

173 depends on the CO<sub>2</sub> concentration in the chloroplast ( $C_c$ ) and is influenced by leaf  
174 temperature. The relation between Rubisco carboxylation and oxygenation is  
175 encompassed in  $\Gamma^*$ , the CO<sub>2</sub> compensation point at the site of Rubisco in the absence of  
176 mitochondrial respiration, at which photosynthetic CO<sub>2</sub> uptake is equal to  
177 photorespiratory CO<sub>2</sub> release. If RuBP supply is not limiting the carboxylation rate of  
178 Rubisco,  $A$  can be described using the RuBP-saturated carboxylation rate, which has a  
179 Michaelis-Menten form:

$$180 \quad A_c = \frac{(C_c - \Gamma^*)V_{c\max}}{C_c + K_c(1 + O/K_o)} - R_d \quad (2)$$

181 where  $C_c$  and  $O$  are the CO<sub>2</sub> and O<sub>2</sub> concentrations in the chloroplast and  $K_c$  and  $K_o$  are  
182 the Michaelis-Menten constants of Rubisco for CO<sub>2</sub> and O<sub>2</sub>, respectively. The RuBP-  
183 saturated carboxylation rate has often been termed the Rubisco-limited assimilation  
184 rate, or the RuBP-consumption-limited rate, as it is largely dependent on the maximum  
185 rate of carboxylation of Rubisco,  $V_{c\max}$  (von Caemmerer, 2000). At elevated CO<sub>2</sub>  
186 concentrations (typically above the current ambient of 400  $\mu\text{mol mol}^{-1}$ ), the  
187 regeneration rate of RuBP lags behind the consumption of RuBP by Rubisco, and hence  
188 the limitation switches from Rubisco capacity to RuBP-regeneration capacity. The  
189 regeneration of RuBP by the Calvin-Benson cycle involves a number of enzymatic  
190 processes and requires reducing power as well as ATP. The former is supplied in the  
191 form of NADPH by the photosynthetic electron transport chain of the light reactions.  
192 The RuBP-regeneration capacity at subsaturating light, or at saturating light near the  
193 thermal optimum, typically reflects the electron transport capacity ( $J$ ) in the leaf (von  
194 Caemmerer & Farquhar, 1981). At saturating light and at high CO<sub>2</sub> concentrations RuBP  
195 regeneration is controlled by the capacity of starch and sucrose synthesis from triose-  
196 phosphates to regenerate  $P_i$  for sustained ATP synthesis; these limitations have been  
197 termed TPU or  $P_i$  regeneration limitations (Sharkey, 1985; Cen & Sage, 2005). Such  
198 limitations are noted to be common in C<sub>3</sub> plants at saturating light, cooler temperatures  
199 and elevated CO<sub>2</sub> (Sharkey, 1985; Sage & Sharkey, 1987; Sage *et al.*, 1990; Harley &  
200 Sharkey, 1991).

201 Under conditions where the regeneration of RuBP directly depends upon the  
 202 rate of electron transport, the rate of  $A$  is described by:

$$203 \quad A_j = \frac{(C_c - \Gamma^*)J}{4C_c + 8\Gamma^*} - R_d \quad (3)$$

204 and when TPU capacity is limiting,  $A$  is described by

$$205 \quad A_p = \frac{(C_c - \Gamma^*)3T_p}{C_c - (1 + 3\alpha)\Gamma^*} - R_d \quad (4)$$

206 where  $T_p$  is the rate of triose phosphate use (von Caemmerer, 2000). The  
 207 photorespiratory cycle is responsible for a net release of phosphate in the chloroplast  
 208 when some fraction  $0 < \alpha < 1$  of the photorespiratory carbon is leaving the  
 209 photorespiratory pathway to be used in amino acid synthesis. The phosphate normally  
 210 used to regenerate PGA from glycerate is not needed for the fraction that remains  
 211 outside the chloroplast as amino acids and is made available for photophosphorylation  
 212 instead, stimulating  $A$  in the presence of photorespiration (Harley & Sharkey, 1991).

213 Depending on the limiting process at a given environmental condition, the actual  
 214 value of  $A$  is determined by the minimum of the three rates  $A_c$ ,  $A_j$  and  $A_p$ :

$$215 \quad A = \min\{A_c, A_j, A_p\} \quad (5)$$

216 The sensitivity of  $A$  to a change in  $O_2$  ( $OS$ ), here from 210 to 20  $\text{mmol mol}^{-1}$ , can  
 217 be experimentally estimated as:

$$218 \quad OS(A) = 1 - \frac{A_{210} + R_d}{A_{20} + R_d} \quad (6)$$

219  $A_{210}$  and  $A_{20}$  are the net  $\text{CO}_2$  assimilation rates at 210 and 20  $\text{mmol mol}^{-1}$   $O_2$ ,  
 220 respectively, and a common  $C_c$ . This measured value can now be compared to a  
 221 modeled value, assuming one of the three limitations. Substituting Eqn. 2 into Eqn. 6,  
 222 under the RuBP-saturated condition,  $OS(A_c)$  can be modeled as:

$$223 \quad OS(A_c) = 1 - \frac{A_{c210} + R_d}{A_{c20} + R_d} = 1 - \frac{\frac{(C_c - \Gamma_{210}^*)}{C_c + K_c(1 + O_{210}/K_o)}}{\frac{(C_c - \Gamma_{20}^*)}{C_c + K_c(1 + O_{20}/K_o)}} \quad (7)$$



224 This equation is now independent of one of the most critical, yet variable, parameters of  
 225 the FvCB model,  $V_{cmax}$ . Potential inaccuracies in the remaining variables such as  $K_c$  and  $K_o$   
 226 are minimized, because they appear in both the numerator and the denominator. The  
 227 same is true for potential inaccuracies in  $C_c$  due to assumed values for  $g_m$ . Over- or  
 228 underestimations of  $g_m$  will over- or underestimate  $C_c$  in both the numerator and the  
 229 denominator, which therefore has only a small impact on the measured values of  $OS$ .  
 230 Similarly, for the RuBP-limited condition the model yields:

$$231 \quad OS(A_j) = 1 - \frac{A_{j210} + R_d}{A_{j20} + R_d} = 1 - \beta \frac{\frac{(C_c - \Gamma_{210}^*)}{4C_c + 8\Gamma_{210}^*}}{\frac{(C_c - \Gamma_{20}^*)}{4C_c + 8\Gamma_{20}^*}} \quad (8)$$

232 In this case, the electron transport rate  $J$  does not fully cancel out, as  $J_{max}$  is not  
 233 independent of the  $O_2$  concentration, but remains as a constant described as  
 234  $\beta = J_{max210} / J_{max20}$ . The light-saturated value of  $J_{max}$  is higher at 21%  $O_2$  than at 2%  $O_2$ ,  
 235 although the mechanism underlying this difference is not yet fully understood (Sharkey  
 236 *et al.*, 1988; Laisk *et al.*, 2006; Yin *et al.*, 2009). Finally, the sensitivity of  $A$  to  $O_2$  under a  
 237 TPU limitation can be modeled with the following equation, which is independent of  $T_p$ :

$$238 \quad OS(A_p) = 1 - \frac{A_{p210} + R_d}{A_{p20} + R_d} = 1 - \frac{\frac{(C_c - \Gamma_{210}^*)}{C_c - (1 + 3\alpha)\Gamma_{210}^*}}{\frac{(C_c - \Gamma_{20}^*)}{C_c - (1 + 3\alpha)\Gamma_{20}^*}} \quad (9)$$

239 Similarly, the sensitivity of  $ETR$ , estimated by pulse-amplitude modulated chlorophyll  
 240 fluorescence, to  $O_2$  can be measured as:

$$241 \quad OS(ETR) = 1 - \frac{ETR_{210}}{ETR_{20}} \quad (10)$$

242 which can be compared to the modeled sensitivity. For the Rubisco and RuBP  
 243 regeneration limitations we assumed that the electron transport rate is determined by  
 244 NADPH consumption in photosynthesis and photorespiration, and therefore used  
 245  $J / V_c = 4 + 8\Gamma^* / C_c$ :

246 
$$OS(ETR) = 1 - \frac{ETR_{210}}{ETR_{20}} = 1 - \frac{(A_{210} + R_d) \frac{4C_c + 8\Gamma_{210}^*}{C_c - \Gamma_{210}^*}}{(A_{20} + R_d) \frac{4C_c + 8\Gamma_{20}^*}{C_c - \Gamma_{20}^*}} \quad (11)$$

247 Substituting Eqns. 2, 3, or 4 for the gross CO<sub>2</sub> assimilation rate ( $A+R_d$ ) in Eqn. 11 gives  
 248 the oxygen sensitivities of  $ETR$  for the Rubisco and RuBP regeneration limitation  
 249 scenario, respectively. For the TPU limitation we assumed that the regeneration of  
 250 phosphate is limiting ATP synthesis (Labate & Leegood, 1988), but that the rate of ATP  
 251 synthesis feeds back to NADPH production. In this case, linear electron transport  
 252 through photosystem II can be taken as a good estimate for ATP production and  
 253 therefore the equation above is also valid for a TPU limitation. For all three limitations  
 254 we assume that alternative electron sinks are negligible. Should alternative electron  
 255 sinks exist, however, they will likely occur at both O<sub>2</sub> concentrations. The effect on  $OS$  is  
 256 therefore minimized, as  $ETR$  in both the numerator and denominator of the calculated  
 257  $OS(ETR)$  values will be affected in parallel.

258 Likewise, an increase in CO<sub>2</sub> concentration results in variable enhancements of  $A$ ,  
 259 depending on which process is limiting (Stitt, 1991). Analogous equations to Eqns. 6 to  
 260 11 can be employed to calculate the sensitivities of  $A$  and  $ETR$  to a change in CO<sub>2</sub>  
 261 concentration ( $C_S$ ) (see Supporting Information, Notes S1). As the response of the  
 262 sensitivities to O<sub>2</sub> and CO<sub>2</sub> differ qualitatively and quantitatively, the combination of all  
 263 the sensitivities together can clarify, which limitation underlies CO<sub>2</sub> assimilation at any  
 264 given environmental condition. Figure S1 outlines some of the processes underlying the  
 265 different biochemical limitations of CO<sub>2</sub> uptake.

266

## 267 **Materials and Methods**

268

### 269 **Plant material**

270 Sweet potato plants (*Ipomoea batatas* (L.) Lam.) were grown in 20L pots in a  
 271 greenhouse under natural light, supplemented by high-pressure sodium lamps to

272 maintain a minimum photon flux density during the photoperiod of 200  $\mu\text{mol photons}$   
273  $\text{m}^{-2} \text{s}^{-1}$  (Cen & Sage, 2005). The plants were grown in sandy-loam soil and were watered  
274 regularly to avoid water stress. Fertilizer was supplied weekly as a 50:50 mixture of  
275 Miracle-Gro 24-10-10 All Purpose Plant Food and Miracle-Gro Evergreen Food (30-10-  
276 20) at the recommended dosage (22 mL of fertilizer salt per 6 L; Scotts Miracle-Gro;  
277 www.scotts.ca), and supplemented monthly with a 1 mM  $\text{MgSO}_4$  and 6 mM  $\text{CaNO}_3$   
278 solution as found in a Johnson-Hoagland's solution (Epstein, 1972).

279

### 280 **Gas exchange and chlorophyll fluorescence**

281 Leaf gas exchange and fluorescence for the  $\text{CO}_2$  responses were measured with an  
282 open-path gas exchange system (LI-6400; Li-Cor, Lincoln, NE, USA), equipped with a leaf  
283 chamber fluorometer (6400-40; Li-Cor, Lincoln, NE, USA). The response of  $A$  to  
284 intercellular  $\text{CO}_2$  concentrations ( $C_i$ ) was measured on young, fully expanded leaves at  
285 two  $\text{O}_2$  concentrations of 210 and 20  $\text{mmol mol}^{-1}$ . Photosynthetically active radiation  
286 (PAR) was set to 1500  $\mu\text{mol photons m}^{-2} \text{s}^{-1}$  at constant leaf temperatures of 15°, 20°,  
287 25°, 30°, 35° and 40°C. Leaf vapor pressure deficit (VPD) was kept between 1 and 1.5  
288 kPa, except for at 35° and 40°C, at which it was around 2.5 and 3.5 kPa, respectively.

289 Temperature responses of net  $\text{CO}_2$  assimilation rates were measured with a null-  
290 balance gas exchange system as described by Pittermann and Sage (2000), fitted with a  
291 custom-build chamber and a PAM 2100 (Heinz Walz, Effeltrich, Germany) for  
292 concomitant chlorophyll fluorescence measurements and a white LED light source for  
293 illumination (PSI, Brno, Czech Republic). The measurements were performed on a leaf  
294 area of approximately 20  $\text{cm}^2$ , which was achieved by trimming the leaves to the desired  
295 size on the day before the measurement. Temperature response measurements began at  
296 25°C and the temperature was decreased stepwise to 10°C with an acclimation time of  
297 15-20 minutes at each temperature. The leaves were then returned to 25°C for 30  
298 minutes, after which the temperature was stepwise increased to 45°C. At each leaf  
299 temperature, gas exchange and chlorophyll fluorescence parameters were measured at  
300 21% and 2%  $\text{O}_2$  in random order. Measurements were performed on separate leaves for

301 light intensities of 250 and 900  $\mu\text{mol photons m}^{-2} \text{s}^{-1}$ , and  $\text{CO}_2$  concentrations of 380 and  
302 1500  $\mu\text{mol mol}^{-1}$ .

303 Chlorophyll fluorescence was used to measure steady state ( $F_s$ ), maximum ( $F_m'$ )  
304 and minimum ( $F_o'$ ) fluorescence yields concomitantly with gas exchange. For the  
305 temperature response measurements  $F_o'$  was calculated according to Oxborough and  
306 Baker (1997). From these parameters the effective quantum yield was estimated as  
307  $\Phi_{II} = (F_m' - F_s) / F_m'$  (Genty *et al.*, 1989), from which the photosynthetic electron  
308 transport rate was calculated as  $ETR = \Phi_{II} \times 0.84 \times 0.5 \times PAR$ . The fraction of PSII  
309 reaction centers in a closed state, used as an indication of excitation pressure, was  
310 estimated as  $1 - qP = 1 - (F_m' - F_s) / (F_m' - F_o')$  (Huner *et al.*, 1996).  $NPQ$  was calculated as  
311  $F_m / F_m' - 1$  according to Bilger and Björkman (1990), with the maximum fluorescence  
312 yield  $F_m$  measured after 30 minutes of dark acclimation at 25°C before starting the  
313 temperature response in the light.

314 Mitochondrial respiration in the light ( $R_d$ ) was estimated by the Kok method from  
315 the response of  $A$  to PAR at leaf temperatures of 15°, 20°, 25°, 30°, 35° and 40°C (Kok,  
316 1948). These measurements were performed with a 6  $\text{cm}^2$  chamber with a red-blue LED  
317 light source (6400-02B; Li-Cor, Lincoln, NE, USA) attached to the LI-6400 gas exchange  
318 system to minimize diffusion leaks. The data were corrected for respiratory  $\text{CO}_2$  released  
319 under the gasket according to Pons and Welschen (2002).

320

### 321 **$\text{O}_2$ and $\text{CO}_2$ sensitivity measurements**

322 We estimated chloroplastic  $\text{CO}_2$  concentrations ( $C_c$ ) from the measured  $C_i$  values by  
323 assuming a  $g_m$  of 0.5  $\text{mol CO}_2 \text{ m}^{-2} \text{s}^{-1} \text{ bar}^{-1}$  at 25°C using the relation  $C_c = C_i - A / g_m$ . For  
324 temperatures other than 25°C, we adjusted  $g_m$  with an Arrhenius-type temperature  
325 dependency scaling constant ( $c$ ) of 11.81 and an activation energy ( $\Delta H_a$ ) of 29.17  $\text{kJ mol}^{-1}$   
326 (Scafaro *et al.*, 2011). To obtain values of  $A$ ,  $ETR$  and  $1 - qP$  for the same value of  $C_c$  at  
327 different  $\text{O}_2$  concentrations, individual  $C_c$  response curves were smoothed with a  
328 quadratic Savitzky-Golay function and interpolated to 10  $\mu\text{mol mol}^{-1} C_c$  intervals using  
329 the OriginPro software package (OriginLab Corporation, Northampton, MA, USA).

330 Temperature responses were treated similarly to obtain values at common  
331 temperatures for the calculation of O<sub>2</sub> and CO<sub>2</sub> sensitivities. Figure S2 shows sample  
332  $A/C_c$  and  $ETR/C_c$  response curves treated this way. Maximum values of the  $ETR/C_c$  curves  
333 were determined at 21% and 2% O<sub>2</sub> to approximate  $\beta$  and estimate the effect of the O<sub>2</sub>  
334 concentration on  $J$ . A value of  $\alpha = 0.30$  was used for the sensitivity calculations involving  
335 a TPU limitation, which was obtained by fitting Eqn. (9) to our data at 25°C. We have  
336 applied this value of  $\alpha$  to all temperatures, since an accurate fit was not possible at high  
337 temperatures due to a lack of TPU limitation. Using different values of  $\alpha$  affects the  
338 goodness of the fit, but does not affect the conclusions drawn from the analysis (see Fig.  
339 S3). We used the seven sets of Rubisco kinetic parameters ( $K_c$ ,  $K_o$  and  $\Gamma^*$ ) outlined in Fig.  
340 1 to calculate  $OS$  and  $CS$ , consisting of *Nicotiana tabacum* (Bernacchi *et al.*, 2001),  
341 *Spinacia oleracea* (Jordan & Ogren, 1984) and *Atriplex glabriuscula* with  $\Gamma^*$  from *S.*  
342 *oleracea* (Badger & Collatz, 1977; Brooks & Farquhar, 1985), as described in Medlyn *et*  
343 *al.* (2002), as well as *N. tabacum* (Bernacchi *et al.*, 2002), a ‘model plant’ (von  
344 Caemmerer, 2000), *Arabidopsis thaliana*, and *N. tabacum* (Walker *et al.*, 2013).

345

## 346 Results

347

348 Figure 1 shows the variability between studies and species in the thermal response of  
349 four major inputs into the FvCB model ( $\Gamma^*$ ,  $K_m$ ,  $V_{cmax}$ , and  $J_{max}$ ). Using these inputs,  
350 responses of  $OS$  and  $CS$  to chloroplast CO<sub>2</sub> concentration were first modeled assuming  $A$   
351 is limited by Rubisco carboxylation capacity ( $A_c$ ), RuBP regeneration capacity ( $A_j$ ) or TPU  
352 capacity ( $A_p$ ; Fig. 2). Despite the large variation in the values of the input parameters  
353 (Fig. 1), the  $OS$  and  $CS$  responses to  $C_c$  were similar for a given biochemical limitation  
354 (Fig. 2), demonstrating the ability of the  $OS$  and  $CS$  methodology to minimize impacts of  
355 parameter variation. We then compared modeled with measured sensitivities, since  
356 correspondence can identify the underlying biochemical limitations controlling  $A$ . For  $C_c$   
357 values between 200 and 400  $\mu\text{mol mol}^{-1}$ , the measured data correspond to modeled  
358 sensitivities for electron transport limitation in each of the four panels in Fig. 2,

359 providing solid support that electron transport capacity limits  $A$  at this range of  $\text{CO}_2$ . At  
360  $C_c$  values below  $100 \mu\text{mol mol}^{-1}$ ,  $OS(A_c)$  and  $OS(A_j)$  are both similar to the observed  $OS(A)$   
361 response (Fig. 2a-b); however,  $CS(ETR_c)$  and  $CS(ETR_j)$  are distinctly different, with the  
362 measured values of  $CS(ETR)$  only aligning with  $CS(ETR_c)$  (Fig. 2c-d). Since the  
363 correspondence has to be simultaneously present in all four scenarios shown in panels  
364 a-d of Fig. 2, we can therefore conclude that below  $100 \mu\text{mol CO}_2 \text{ mol}^{-1}$  measured  $OS(A)$   
365 follows the modeled values of  $OS(A_c)$  rather than  $OS(A_j)$ ; a Rubisco capacity limitation is  
366 therefore present. Above  $550 \mu\text{mol mol}^{-1}$ , the modeled sensitivities assuming a TPU  
367 limitation best fit the observed responses, indicating TPU capacity limits  $A$  at elevated  
368  $\text{CO}_2$ . At  $\text{CO}_2$  concentrations where one limitation transitions into the next, values of  
369 measured  $OS$  and  $CS$  are typically intermediate between two modeled limitations  
370 (hatched areas in Fig. 2). Under these conditions the underlying limitation differs  
371 between the two  $\text{O}_2$  concentrations when measuring  $OS$  (or  $\text{CO}_2$  concentrations when  
372 measuring  $CS$ ). A more detailed description of this situation is provided in the  
373 Supporting Information, Notes S2.

374 To further support our evaluation of the conditions, under which RuBP  
375 regeneration is limiting  $A$ , we assessed  $C_c$  response curves of  $ETR$  relative to its  
376 maximum value and the  $C_c$  response of excitation pressure ( $1-qP$ ) in leaves. Relative  $ETR$   
377 values are expected to be near 1 when  $ETR$  is limiting (indicated as green shaded regions  
378 of the responses in Fig. 3, defined here as being within 5% of the absolute maximum  
379 value of  $ETR$ ), but decline as limitations elsewhere feedback onto electron transport  
380 capacity. With increasing temperature, the  $C_c$  range where relative  $ETR$  is near 1  
381 expands and shifts to higher  $\text{CO}_2$  concentrations. A similar pattern emerges from the  
382 response of  $1-qP$  to  $C_c$  (Fig. 4). The term  $1-qP$  is a measure of the imbalance between the  
383 energy supply from light and the energy consumption by RuBP regeneration. Its values  
384 should be minimal when the PSII turnover rate limits  $ETR$ , and increase when rates of  
385 NADPH consumption are lower than potential rates of NADPH production feedback on  
386 the linear electron transport rate, as seen under the Rubisco and TPU limitations. The  
387 minimum values of  $1-qP$  (taken as the values falling into the lowest 1% and indicated in

388 green; Fig. 4) coincide well with the ranges of CO<sub>2</sub> concentrations where relative *ETR* is  
389 approximately 1 (Fig. 3). These results also agree with the CO<sub>2</sub> ranges determined to be  
390 electron transport limited from the analysis of *OS* and *CS* (Fig. 2).

391 Figure 5 shows the pattern of limitations for the *A/C<sub>c</sub>* responses at six different  
392 temperatures predicted from *OS* and *CS*, as well as the *A/C<sub>c</sub>* responses at 21% and 2% O<sub>2</sub>  
393 used to derive these limitations. In all cases a Rubisco limitation at low CO<sub>2</sub>  
394 concentrations transitions to a RuBP-regeneration limitation at mid-level CO<sub>2</sub>  
395 concentrations, which is followed by a TPU limitation at high CO<sub>2</sub> concentrations. This  
396 agrees with the order that is dictated by the FvCB model (Gu *et al.*, 2010). Similar to  
397 what was observed with the maximum rates of *ETR* and 1-qP, the *C<sub>c</sub>* concentration at  
398 which one limitation transitions into the next is increasing slightly with increasing  
399 temperature up to 35°C. Increasing the temperature from 35° to 40°C more than  
400 doubles the *C<sub>c</sub>* range where *A<sub>c</sub>* is limiting (Fig. 5). The TPU limitation at 35°C was  
401 restricted to only the highest *C<sub>c</sub>* and was not apparent at any CO<sub>2</sub> concentration at 40°C.

402 The thermal responses of gross CO<sub>2</sub> assimilation (*A+R<sub>d</sub>*), *ETR*, and *NPQ* at a sub-  
403 saturating light intensity of 250 μmol m<sup>-2</sup> s<sup>-1</sup> were examined to further evaluate RuBP  
404 regeneration limitations (Fig. 6). At a CO<sub>2</sub> concentration of 380 μmol mol<sup>-1</sup> *A+R<sub>d</sub>* declines  
405 above 25°C, whereas at a CO<sub>2</sub> concentration of 1500 μmol mol<sup>-1</sup> no substantial decline  
406 was observed between 25° and 43°C (Fig. 6a). The *ETR* stayed constant between 20° and  
407 35°C and values were equivalent for all CO<sub>2</sub> and O<sub>2</sub> combinations. *ETR* declines above  
408 35°C at 380 μmol mol<sup>-1</sup> CO<sub>2</sub> / 2% O<sub>2</sub>, but does not decrease until above 40°C when  
409 measured at 380 μmol mol<sup>-1</sup> CO<sub>2</sub> / 21% O<sub>2</sub>, and 43°C at 1500 μmol mol<sup>-1</sup> and 21%,  
410 respectively (indicated by the arrows). The non-photochemical energy dissipation  
411 parameter *NPQ*, shown in Fig. 6c, describes in relative terms how much of the absorbed  
412 light is quenched as heat before PS II and therefore does not contribute to *ETR*. *NPQ*  
413 stays low until much higher temperatures when the leaf is exposed to 1500 μmol mol<sup>-1</sup>  
414 CO<sub>2</sub> as compared to 380 μmol mol<sup>-1</sup> CO<sub>2</sub> (at either 21% or 2% O<sub>2</sub>). Combined, these two  
415 observations demonstrate that the plant maintains a constant RuBP-regeneration  
416 capacity up to at least 43°C given sufficient electron acceptors for RuBP consumption.

417 At a light intensity of  $900 \mu\text{mol m}^{-2} \text{s}^{-1}$ ,  $A$  is insensitive to changes in  
418 photorespiration caused by changes in  $\text{CO}_2$  or  $\text{O}_2$  concentrations at temperatures below  
419  $22^\circ\text{C}$ , indicating a TPU limitation (Fig. 7a). At  $1500 \mu\text{mol CO}_2 \text{mol}^{-1}$  air,  $A$  is insensitive to  
420 change in  $\text{O}_2$  concentration up to near  $32^\circ\text{C}$ . Similarly, at 2%  $\text{O}_2$ ,  $A$  does not increase with  
421 a step change in  $\text{CO}_2$  from 380 to  $1500 \mu\text{mol mol}^{-1}$  at temperatures below  $32^\circ\text{C}$ ,  
422 consistent with a TPU limitation. However, at both oxygen concentrations  $A$  is  
423 stimulated by this increase in  $\text{CO}_2$  concentration at  $32^\circ\text{C}$  and above (Fig. 7a). Under non-  
424 photorespiratory conditions, Rubisco uses RuBP for carboxylation rather than  
425 oxygenation reactions. Therefore, if  $A$  can be increased at 2%  $\text{O}_2$  by increasing the  $\text{CO}_2$   
426 concentration, it means that the rate of RuBP regeneration can be increased to match  
427 an increased rate of RuBP consumption by Rubisco. When Rubisco is limiting in  $\text{C}_3$   
428 plants,  $\text{CO}_2$  increase typically stimulates the carboxylation rate in its role as a substrate,  
429 which in turn can allow the RuBP regeneration rate to increase. These results  
430 demonstrate that at 2%  $\text{O}_2$ , RuBP regeneration capacity is not a limitation for  $\text{CO}_2$   
431 uptake at high temperatures.

432 An increase in  $\text{CO}_2$  concentration decreases  $ETR$  at low temperatures, while at  
433 high temperatures  $ETR$  is higher at 1500 than at  $380 \mu\text{mol mol}^{-1} \text{CO}_2$  (Fig. 7b). At 2%  $\text{O}_2$ ,  
434  $ETR$  starts to decrease above  $34^\circ\text{C}$  at  $380 \mu\text{mol mol}^{-1} \text{CO}_2$ , whereas at  $1500 \mu\text{mol mol}^{-1}$   
435  $\text{CO}_2$  it does not decrease until  $42^\circ\text{C}$  (Fig. 7b). This result is mirrored in 1-qP, which starts  
436 to increase from a minimum value at  $34^\circ\text{C}$ ,  $40^\circ\text{C}$  and  $43^\circ\text{C}$  under the 380/2%, 380/21%  
437 and 1500/2% conditions, respectively (Fig. 7c). Again, the pattern of  $ETR$  at the four  
438 different gas mixes indicates that RuBP-regeneration is not limiting at high temperatures  
439 under  $380 \mu\text{mol mol}^{-1} \text{CO}_2$  and 21%  $\text{O}_2$ .

440 Temperature responses of  $OS$  and  $CS$  were calculated using data presented in  
441 Fig. 7. At a  $\text{CO}_2$  concentration of  $380 \mu\text{mol mol}^{-1}$  the measured  $OS(A)$  aligns with the  
442 modeled values of  $OS(A_p)$  below  $17^\circ\text{C}$ , above which it transitions to values expected  
443 under a  $A_j$  or  $A_c$ -limitation (Fig. 8a).  $OS(ETR)$  transitions from a  $A_p$ -limitation below  $20^\circ\text{C}$   
444 to a  $A_j$ -limitation between  $25^\circ$  and  $30^\circ\text{C}$ , above which it most closely aligns with the  $A_c$ -  
445 limitation (Fig. 8b). Similarly, at 21%  $\text{O}_2$ , the  $CS(A)$  calculated from a shift in  $\text{CO}_2$



446 concentration from 380 to 1500  $\mu\text{mol mol}^{-1}$  indicates a transition from a  $A_p$  to a  $A_j$ -  
447 limitation between 30° and 40°C and then to a  $A_c$ -limitation above 40°C (Fig. 8c).  
448 Measured values of  $CS(A)$  at 2%  $O_2$  closely approximate modeled values of  $CS(A_p)$  below  
449 25°C, and are equivalent to  $CS(A_j)$  at 30° before rising towards modeled  $CS(A_c)$  above  
450 40°C (Fig. 8d). Equivalent figures for a light intensity of 250  $\mu\text{mol m}^{-2} \text{s}^{-1}$  are displayed in  
451 the Supplemental Information (Fig. S4). Here, measured values intermediate between  
452 two limitations can be assigned to one single limitation: values intermediate between  
453  $CS(A_c)$  and  $CS(A_j)$  have to be viewed as  $A_c$ -limited at 380  $\mu\text{mol mol}^{-1}$ , whereas values  
454 intermediate between  $CS(A_j)$  and  $CS(A_p)$  have to be viewed as  $A_j$ -limited, which follows  
455 from the order of limitations along a  $CO_2$  gradient dictated by the FvCB model (Gu *et al.*,  
456 2010; also see Supporting Information, Notes S2). Similarly, intermediate values of  $OS$   
457 can be assigned to one single limitation due to the order of limitations dictated along an  
458  $O_2$  gradient. At 2%  $O_2$  we can exclude the masking effect of photorespiration and  
459 attribute a decline in  $A$  directly to a decrease in the RuBP consumption capacity rather  
460 than an increase in photorespiration. This unambiguously demonstrates that the rate of  
461 Rubisco carboxylation is sensitive to temperatures above around 35°C.

462 Figure 9 integrates the observations above into a 3D response of the biochemical  
463 limitations of  $A$  as a function of  $CO_2$  and temperature. At low temperatures, a TPU  
464 limitation can be observed as the yellow colored portion of the response surface at  
465 elevated  $C_c$  values. The  $CO_2$  concentration where  $A$  is TPU limited declines to near 300  
466  $\mu\text{mol mol}^{-1}$  at 15°C. At high temperatures TPU was not found to be limiting even at the  
467 highest  $CO_2$  concentrations. Rubisco controls  $A$  at low  $C_c$  throughout the temperature  
468 range measured. The range of  $CO_2$  concentrations, in which Rubisco is limiting expands  
469 with increasing temperature from below 120  $\mu\text{mol mol}^{-1} CO_2$  at 15°C to near 280  $\mu\text{mol}$   
470  $\text{mol}^{-1} CO_2$  at 35°C. Above 35°C there is a steep increase in the  $CO_2$  concentration below  
471 which Rubisco is limiting, coinciding with a sharp drop in  $A$ . Electron transport is limiting  
472 at the interface between the Rubisco and TPU limitations and is the dominating  
473 limitation over  $A$  below the thermal optimum at a measuring light intensity of 1500  
474  $\mu\text{mol photons m}^{-2} \text{s}^{-1}$  and  $C_c$  values corresponding to the current atmospheric  $CO_2$

475 concentrations outside the leaf ( $C_a$ ). Above the thermal optimum Rubisco is limiting at  
476 ambient  $C_a$  (Fig. 9).

477

## 478 **Discussion**

479

480 Using revised gas exchange and chlorophyll fluorescence analysis of  $O_2$  and  $CO_2$   
481 sensitivity of  $A$  and  $ETR$ , we have generated for the first time a three-dimensional  
482 landscape of photosynthetic limitations in  $C_3$  plants as a function of  $CO_2$  and  
483 temperature. Large differences in the parameters entered into the model had only a  
484 minor effect on the predicted  $OS$  and  $CS$  values, demonstrating that the  $CO_2$  response of  
485  $OS$  and  $CS$  is more dependent on the properties of the FvCB model itself rather than the  
486 selected inputs. Because our method provides an assessment of the biochemical  
487 limitations that is entirely independent of the variable parameters and largely  
488 independent of the values chosen for the fixed parameters, the  $OS$  and  $CS$  analysis  
489 minimizes the vulnerability of the model predictions to mismatches between assumed  
490 and actual input values. As such, an  $OS$  and  $CS$  analysis can provide a robust,  
491 complimentary evaluation of photosynthetic limitations when coupled with FvCB  
492 simulations.

493 Our analysis of sweet potato gas exchange supports prior observations in the  
494 literature, namely that  $A$  is limited by TPU at cooler temperatures and elevated  $CO_2$ , and  
495 that TPU capacity can limit  $A$  at current atmospheric  $CO_2$  concentrations below about  
496  $20^\circ C$ . We also observed that Rubisco capacity limits  $A$  across a broad range of  
497 temperatures at low  $CO_2$  (below a  $C_c$  of  $150 \mu mol mol^{-1}$ ). These observations  
498 demonstrate an ability of our analysis to replicate well-described observations. Our  
499 analysis clarifies two areas of long-standing uncertainty that have been debated in the  
500 recent literature. First, under high light conditions, RuBP regeneration capacity is the  
501 effective limitation over  $A$  at the photosynthetic thermal optimum and  $CO_2$   
502 concentrations from 380 to above  $1000 \mu mol mol^{-1}$ , contrasting prior studies that  
503 implicate Rubisco as a leading limitation at the thermal optimum (e.g. Cen & Sage,

504 2005). This conclusion is supported by the *OS* and *CS* analysis, as well as the response of  
505 *ETR* and 1-qP to changes in CO<sub>2</sub> concentration and temperature.

506 Second, the decline of *A* above the thermal optimum has been argued to either  
507 reflect a limitation of the capacity to regenerate RuBP, or heat-induced lability of  
508 Rubisco activase (Salvucci & Crafts-Brandner, 2004; Schrader *et al.*, 2004; Wise *et al.*,  
509 2004; Cen & Sage, 2005; Hikosaka *et al.*, 2006; Makino & Sage, 2007; Sage & Kubien,  
510 2007). Here, the evidence supports a limitation in Rubisco capacity to consume RuBP  
511 above the thermal optimum, as shown by the *OS* and *CS* analysis and the thermal  
512 stability of the RuBP regeneration capacity at low light. In addition, at high temperatures  
513 we have shown a strong *CS* in the absence of photorespiration, which contradicts a  
514 limitation in the supply of RuBP. This observation demonstrates the heat lability of  
515 Rubisco capacity under non-photorespiratory conditions, which is a response that likely  
516 remains unchanged under photorespiratory conditions. The limitation in Rubisco  
517 capacity is predicted to extend to relatively high CO<sub>2</sub> levels (2x ambient) at temperatures  
518 near 40°C, and to concentrations as high as 1500 μmol mol<sup>-1</sup> at temperatures around  
519 45°C, as demonstrated by the results of *CS(A)* at 21% O<sub>2</sub> (Fig. 8c). Our predictions also  
520 contrast model outcomes with commonly used parameters that frequently place a  
521 Rubisco or RuBP regeneration limitation at low and a TPU limitation at high  
522 temperatures (see e.g. *A/T* model parameterization in Bernacchi *et al.* 2013).

523 Our results show that above 35°C, *A* has to be limited either by the supply of  
524 Rubisco's other substrate, CO<sub>2</sub>, or by a decrease in *V*<sub>cmax</sub>. Mesophyll conductance, and  
525 therefore the supply of CO<sub>2</sub>, tends to increase with temperature, ruling out the first  
526 possibility (von Caemmerer & Evans, 2015; but see Bernacchi *et al.* 2002 for a decline in  
527 *g*<sub>m</sub> at high temperatures). Loss of Rubisco capacity by direct thermal inactivation of the  
528 active site is also unlikely given *V*<sub>cmax</sub> of fully activated enzyme increases with  
529 temperature to above 50°C (Laidler & Peterman, 1979; Crafts-Brandner & Salvucci,  
530 2000). *In vivo*, Rubisco is kept in its active state by Rubisco activase, a AAA+ chaperone  
531 that removes RuBP and other sugar phosphates that tightly bind to decarbamylated  
532 Rubisco catalytic sites (Portis, 2003). A decline of *A* at temperatures above 35°C is

533 consistent with the heat lability of Rubisco activase and its activity failing to keep pace  
534 with the deactivation of Rubisco at those temperatures (Law & Crafts-Brandner, 1999;  
535 Crafts-Brandner & Salvucci, 2000; Salvucci & Crafts-Brandner, 2004). This cause of  
536 Rubisco deactivation was disputed previously, because earlier studies did not rule out  
537 the possibility that the activase lability occurred in response to limitations in electron  
538 transport capacity (Sage & Kubien, 2007). Cen and Sage (2005) attributed a deactivation  
539 of Rubisco observed at high temperatures to a regulatory feedback on Rubisco from  
540 limitations in TPU and RuBP regeneration capacity. Because our sweet potato plants and  
541 growth conditions were identical to those used in their study, we conclude that the  
542 differences between the respective predicted limitations are not biological, but reflect  
543 different analytical approaches. This highlights the risks of selecting various kinetic  
544 parameters from the literature to obtain a good fit of the model to the data, as was  
545 done by Cen and Sage (2005), and emphasizes the need for analytical approaches such  
546 as OS and CS that are insensitive to modeled inputs not derived from the species under  
547 study.

548

#### 549 **Implications for fitting the FvCB model to measured data**

550 Estimating parameters of the FvCB model by fitting the model to  $A/C_i$  curves is  
551 influenced by how an observer assigns individual measurements to different segments  
552 fitted by the model. Without *a priori* knowledge of where the cut-off point between  
553 data points used to fit the Rubisco-limited function and points used to fit the RuBP-  
554 regeneration limited function, an incorrect assignment of measured values to the  
555 limiting processes can have a large impact on estimated parameters, such as  $V_{cmax}$ ,  $J$  and  
556  $R_d$  (Manter & Kerrigan, 2004; Dubois *et al.*, 2007). TPU limitation is often assumed to  
557 only occur at very high  $CO_2$  concentrations or is neglected altogether, leaving TPU-  
558 limited measurements assigned to the RuBP-limited segment and thus often  
559 erroneously influencing estimates of  $J$ . This study shows that the range of where  $CO_2$   
560 assimilation is TPU limited may be significant and, especially at lower temperatures,  
561 should not be overlooked. Depending on growth and measurement conditions, any one

562 of the limitations might be missing, e.g. an RuBP regeneration limitation can control  $A$  at  
563 all  $C_i$  at low light (Sharkey *et al.*, 2007). Any fitting approach to determine the FvCB  
564 model parameters can now be supported by the presented  $O_2$  and  $CO_2$  sensitivity  
565 measurements, which can objectively assign data points to specific segments to be  
566 fitted by the individual functions and thereby complement the chosen fitting approach.

567 Similarly, choosing inappropriate temperatures responses for model parameters  
568 can lead to assigning functions of the FvCB model to temperature ranges, over which  
569 the assigned limiting process is not actually limiting, causing problems when modeling  $A$ .  
570 Our analysis of  $OS$  and  $CS$  can be used to avoid these issues. For sweet potato we  
571 demonstrate a Rubisco limitation at ambient  $C_a$  and higher temperatures (Fig. 9); any  
572 model fit that results e.g. in a TPU limitation for this region implies a problem with that  
573 particular parameter set and its temperature response used in the FvCB model.  
574 Mesophyll conductance is likely the source of many errors in modeling related to  
575 incorrectly assigning biochemical limitations, as the temperature response of  $g_m$  is  
576 highly variable between species and typically not derived from data measured on the  
577 plant of study (von Caemmerer & Evans, 2015). Assumed values of  $g_m$  also affect our  
578 calculations of  $OS$  and  $CS$  and it is preferable to have them directly measured. Similar to  
579 the impact of the fixed parameters, however, the parameterization of  $OS$  and  $CS$  as a  
580 ratio minimizes the effect of uncertainties in  $g_m$  and setting  $g_m$  to low values and even  
581 infinite does not change the overall conclusion drawn from the model (Fig. S5).

582

### 583 **Choosing strategies to improve crop yield by increasing photosynthetic $CO_2$ uptake**

584 Providing sufficient food for the world's growing population is one of the big challenges  
585 humanity will face in the near future. While there is not always a strong link between  
586 photosynthetic  $CO_2$  uptake and improved crop yield, in general it seems to be beneficial  
587 to increase  $A$  to obtain a higher plant biomass (Long *et al.*, 2006). Many strategies to  
588 increase  $A$  have been proposed, from altering Rubisco kinetic properties to reduce  
589 photorespiration, over improving the thermotolerance of Rubisco activase, the  $CO_2$   
590 diffusion into the chloroplast, and boosting photosynthetic light use efficiency, to

591 enhancing the capacity of carbon utilization (discussed, e.g., in Ort *et al.*, 2011; Betti *et*  
592 *al.*, 2016; Yamori *et al.*, 2016). Many of these approaches will work better under some  
593 environmental conditions than others, and our results will help narrow down strategies  
594 that will be successful. For example, one might want to improve the capacity for TPU to  
595 make plants assimilate more CO<sub>2</sub> in cold climates, manipulate aspects that result in  
596 higher  $V_{\text{cmax}}$  to enhance plant performance in hot growth environments, or improve light  
597 harvesting and photosynthetic electron transport in plants that grow close to their  
598 photosynthetic optimum. As climate is becoming more unpredictable and volatile,  
599 understanding the photosynthetic limitations for a given environmental condition  
600 becomes highly valuable to address various limitations and produce climate-resilient  
601 plants.

602

603 **Benefits to modeling photosynthesis from the leaf level to the global scale under**  
604 **future climates**

605 By providing a robust assessment of the biochemical limitations controlling  $A$ , the O<sub>2</sub>  
606 and CO<sub>2</sub> sensitivity approach used here overcomes the vulnerability to mismatches  
607 between assumed and actual input values for a given species, and thus provides an  
608 independent check of the predictions arising from FvCB simulations. Small errors in  
609 photosynthesis estimates on the leaf scale can result in large uncertainties of global  
610 estimates of carbon uptake, which makes the response of the terrestrial carbon cycle to  
611 changes in CO<sub>2</sub> concentration and temperature one of the least understood processes in  
612 earth system models (Rogers, 2014). Understanding which biochemical process is  
613 limiting for a given environmental condition is an important step towards improving the  
614 representation of photosynthetic processes in these models. For example, assuming a  
615 Rubisco limitation at high temperatures will predict an increase in  $A$  with rising [CO<sub>2</sub>],  
616 whereas assuming a TPU limitation will not. Assigning the biochemical limitation  
617 correctly will therefore increase the confidence in the accuracy of the used parameter  
618 values to predict  $A$  for situations for which direct measurements may not be available,

619 such as when modeling future climates. The analysis of *OS* and *CS* provides a useful tool  
620 to do just that.

621

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625

## 626 **Author contributions**

627 F.A.B. and R.F.S. planned and designed the research. F.A.B. performed the experiments  
628 and analyzed the data. F.A.B. and R.F.S. wrote the manuscript.

629

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853

854 **The following Supporting Information is available for this article:**

855

856 **Fig. S1** Schematic representation of some of the processes that affect the rate of CO<sub>2</sub>  
857 uptake.

858 **Fig. S2** Sample  $A/C_c$  and  $ETR/C_c$  responses

859 **Fig. S3** Impact of the chosen value of  $\alpha$  on  $OS$  and  $CS$ .

860 **Fig. S4** Temperature response of modeled and measured sensitivities of  $A$  and  $ETR$  to a  
861 change in O<sub>2</sub> or CO<sub>2</sub> concentration at a light intensity of 250  $\mu\text{mol m}^{-2} \text{s}^{-1}$ .

862 **Fig. S5** The impact of the assumed  $g_m$  value on estimating biochemical limitations from  
863 O<sub>2</sub> or CO<sub>2</sub> sensitivities.

864

865 **Notes S1** Derivations of the O<sub>2</sub> and CO<sub>2</sub> sensitivities of  $ETR$

866 **Notes S2** Estimation of CO<sub>2</sub> ranges for which intermediate values can be expected



867 **Tables**

868

869 **Table 1**

870 List of acronyms, definitions and variables

Acronym/Variable	Definition	Unit
1-qP	PSII excitation pressure	
<i>A</i>	Net CO <sub>2</sub> assimilation rate	μmol m <sup>-2</sup> s <sup>-1</sup>
<i>A<sub>c</sub></i>	Rubisco limited CO <sub>2</sub> assimilation rate	μmol m <sup>-2</sup> s <sup>-1</sup>
<i>A<sub>j</sub></i>	RuBP regeneration limited CO <sub>2</sub> assimilation rate	μmol m <sup>-2</sup> s <sup>-1</sup>
<i>A<sub>p</sub></i>	Triose phosphate utilization limited CO <sub>2</sub> assimilation rate	μmol m <sup>-2</sup> s <sup>-1</sup>
<i>C<sub>a</sub></i>	CO <sub>2</sub> concentration outside the leaf	μmol mol <sup>-1</sup>
<i>C<sub>c</sub></i>	CO <sub>2</sub> concentration in the chloroplast	μmol mol <sup>-1</sup>
<i>C<sub>i</sub></i>	CO <sub>2</sub> concentration in the intercellular air space	μmol mol <sup>-1</sup>
<i>CS</i>	CO <sub>2</sub> sensitivity	
<i>CS(A)</i>	CO <sub>2</sub> sensitivity of <i>A</i>	
<i>CS(ETR)</i>	CO <sub>2</sub> sensitivity of <i>ETR</i>	
<i>ETR</i>	Rate of photosynthetic electron transport (estimated by fluorescence)	μmol m <sup>-2</sup> s <sup>-1</sup>
<i>ETR<sub>c</sub></i>	Rubisco limited electron transport rate	μmol m <sup>-2</sup> s <sup>-1</sup>
<i>ETR<sub>j</sub></i>	RuBP regeneration limited electron transport rate	μmol m <sup>-2</sup> s <sup>-1</sup>
<i>ETR<sub>p</sub></i>	Triose phosphate utilization limited electron transport rate	μmol m <sup>-2</sup> s <sup>-1</sup>
<i>g<sub>m</sub></i>	Mesophyll conductance	mol m <sup>-2</sup> s <sup>-1</sup>
<i>J</i>	Rate of photosynthetic electron transport (estimated by gas exchange)	μmol m <sup>-2</sup> s <sup>-1</sup>
<i>J<sub>max</sub></i>	Maximum rate of photosynthetic electron transport	μmol m <sup>-2</sup> s <sup>-1</sup>
<i>K<sub>c</sub></i>	Michaelis-Menten constant of Rubisco for CO <sub>2</sub>	μmol mol <sup>-1</sup>
<i>K<sub>m</sub></i>	Michaelis-Menten constant of Rubisco for CO <sub>2</sub> in the presence of O <sub>2</sub>	μmol mol <sup>-1</sup>
<i>K<sub>o</sub></i>	Michaelis-Menten constant of Rubisco for O <sub>2</sub>	mmol mol <sup>-1</sup>
<i>NPQ</i>	Non-photochemical quenching	
<i>O</i>	Oxygen concentration	mmol mol <sup>-1</sup>
<i>OS</i>	O <sub>2</sub> sensitivity	
<i>OS(A)</i>	O <sub>2</sub> sensitivity of <i>A</i>	
<i>OS(ETR)</i>	O <sub>2</sub> sensitivity of <i>ETR</i>	
<i>R<sub>d</sub></i>	Mitochondrial respiration	μmol m <sup>-2</sup> s <sup>-1</sup>
RuBP	Ribulose 1,5-bisphosphate	
<i>T<sub>p</sub></i>	Maximum rate of triose phosphate utilization	μmol m <sup>-2</sup> s <sup>-1</sup>
TPU	Triose phosphate utilization	
<i>V<sub>c</sub></i>	Rate of RuBP carboxylation	μmol m <sup>-2</sup> s <sup>-1</sup>
<i>V<sub>cmax</sub></i>	Maximum rate of Rubisco carboxylation	μmol m <sup>-2</sup> s <sup>-1</sup>
<i>V<sub>o</sub></i>	Rate of RuBP oxygenation	μmol m <sup>-2</sup> s <sup>-1</sup>
<i>α</i>	Fraction of photorespiratory carbon used for amino acid synthesis	
<i>Γ*</i>	CO <sub>2</sub> compensation point in the absence of mitochondrial respiration	μmol mol <sup>-1</sup>

871

872 **Figures**

873

874 **Figure 1**

875 Variability of temperature responses of some commonly used parameters of the FvCB  
876 model. (a) CO<sub>2</sub> compensation point in the absence of mitochondrial respiration ( $\Gamma^*$ ); (b)  
877 Michaelis Menten constant of Rubisco for CO<sub>2</sub> in the presence of O<sub>2</sub> ( $K_m = K_c(1+O/K_o)$  ).  
878 The temperature responses of both  $\Gamma^*$  and  $K_m$  are derived from either *in vitro* (Badger &  
879 Collatz, 1977; Jordan & Ogren, 1984) or *in vivo* (Brooks & Farquhar, 1985; von  
880 Caemmerer, 2000; Bernacchi *et al.*, 2001; Bernacchi *et al.*, 2002; Walker *et al.*, 2013)  
881 measurements of Rubisco kinetics. (c)  $V_{cmax}$ ; and (d)  $J_{max}$  of a selection of herbaceous  
882 plants. Hereby, some authors describe the temperature response with an Arrhenius-type  
883 equation, while others use a peaked function.

884

885 **Figure 2**

886 CO<sub>2</sub> response of modeled and measured sensitivities of the gross CO<sub>2</sub> assimilation rate  
887 and *ETR* to a change in O<sub>2</sub> or CO<sub>2</sub> concentration at 25°C. (a) O<sub>2</sub> sensitivity of *A*; (b) O<sub>2</sub>  
888 sensitivity of *ETR*; (c) CO<sub>2</sub> sensitivity of *A*; (d) CO<sub>2</sub> sensitivity of *ETR*. The O<sub>2</sub> sensitivity  
889 was estimated by a change in O<sub>2</sub> concentration from 21 to 2%. The CO<sub>2</sub> sensitivity was  
890 estimated by a change in CO<sub>2</sub> concentration of 30  $\mu\text{mol mol}^{-1}$ . Lines show modeled  
891 sensitivities for  $A_c$  (red),  $A_j$  (green) and  $A_p$  (yellow) as the averages of seven published  
892 and commonly used sets of Rubisco kinetic parameters and the colored shaded areas  
893 denoting the range between the minimum and maximum of all values obtained. Hatched  
894 areas indicate the CO<sub>2</sub> ranges of where measured sensitivities will show values  
895 intermediate of two limitations due to limitation shifts when varying the O<sub>2</sub> or CO<sub>2</sub>  
896 concentration (see Supporting Information, Notes S2, for further details). Closed circles:  
897 measured sensitivities;  $n = 5 \pm \text{SE}$ . The inserts in (c) and (d) show the same data of the  
898 main figure drawn to a different scale for clarity.

899

900

901 **Figure 3**

902 The CO<sub>2</sub> response of the photosynthetic electron transport rate (*ETR*) measured by  
903 chlorophyll fluorescence at six different measurement temperatures (15°, 20°, 25°, 30°,  
904 35° and 40°C), as percentage of the maximum *ETR*. Black lines denote the averages and  
905 shaded areas the SE of 3 to 5 measurements. The lines and areas shaded in green show  
906 the range of chloroplastic CO<sub>2</sub> concentration (*C<sub>c</sub>*) for which *ETR* is within 5% of the  
907 maximum *ETR*. Solid squares denote the averages of the measured values from which  
908 the CO<sub>2</sub> responses were derived.

909

910 **Figure 4**

911 The CO<sub>2</sub> response of the excitation pressure of PSII (1-qP) at six different measurement  
912 temperatures (15°, 20°, 25°, 30°, 35° and 40°C). Black lines denote the averages and  
913 shaded areas the SE of 3 to 5 measurements. The lines and areas shaded in green show  
914 the range of chloroplastic CO<sub>2</sub> concentration (*C<sub>c</sub>*) for which 1-qP is within 0.01 of the  
915 minimum value of 1-qP. Solid squares denote the averages of the measured values from  
916 which the CO<sub>2</sub> responses were derived.

917

918 **Figure 5**

919 CO<sub>2</sub> response of the CO<sub>2</sub> assimilation rate (*A*) at six different measurement temperatures  
920 (15°, 20°, 25°, 30°, 35° and 40°C). Solid lines show *A/C<sub>c</sub>* curves at 21% O<sub>2</sub>, dashed lines at  
921 2% O<sub>2</sub>. The colored bars indicate the range of limitations estimated by the sensitivity of *A*  
922 and *ETR* to O<sub>2</sub> and CO<sub>2</sub>. Red: *A<sub>c</sub>* limited range; green: *A<sub>j</sub>* limited range; yellow: *A<sub>p</sub>* limited  
923 range. *n* = 3 to 5 ± SE. Symbols denote the average of the measured values from which  
924 the CO<sub>2</sub> responses were derived (solid squares: 21% O<sub>2</sub>; open circles: 2% O<sub>2</sub>).

925

926 **Figure 6**

927 Temperature responses of *A<sub>net</sub>+R<sub>d</sub>* (a), *ETR* (b), and *NPQ* (c), measured at a light intensity  
928 of 250 μmol m<sup>-2</sup> s<sup>-1</sup> and two different CO<sub>2</sub> concentrations [380 (blue circles) and 1500  
929 (red squares) μmol mol<sup>-1</sup> CO<sub>2</sub>] and O<sub>2</sub> [21% (solid symbols) and 2% (open symbols) O<sub>2</sub>].

930 For clarity the temperature response of *NPQ* at 1500 / 2% is not shown, as it closely  
931 follows the response of *NPQ* at 1500 / 21%.  $n = 3$  to  $5 \pm SE$ . Arrows indicate the  
932 temperature, at which *ETR* starts to decrease (b) and *NPQ* starts to increase with  
933 increasing temperature (c).

934

### 935 **Figure 7**

936 Temperature responses of  $A_{\text{net}}+R_d$  (a), *ETR* (b), and 1-qP (c), measured at a light intensity  
937 of  $900 \mu\text{mol m}^{-2} \text{s}^{-1}$  and two different  $\text{CO}_2$  concentrations [ $380$  (blue circles) and  $1500$   
938 (red squares)  $\mu\text{mol mol}^{-1} \text{CO}_2$ ] and  $\text{O}_2$  [ $21\%$  (solid symbols) and  $2\%$  (open symbols)  $\text{O}_2$ ].  $n$   
939 =  $3$  to  $5 \pm SE$ .

940

### 941 **Figure 8**

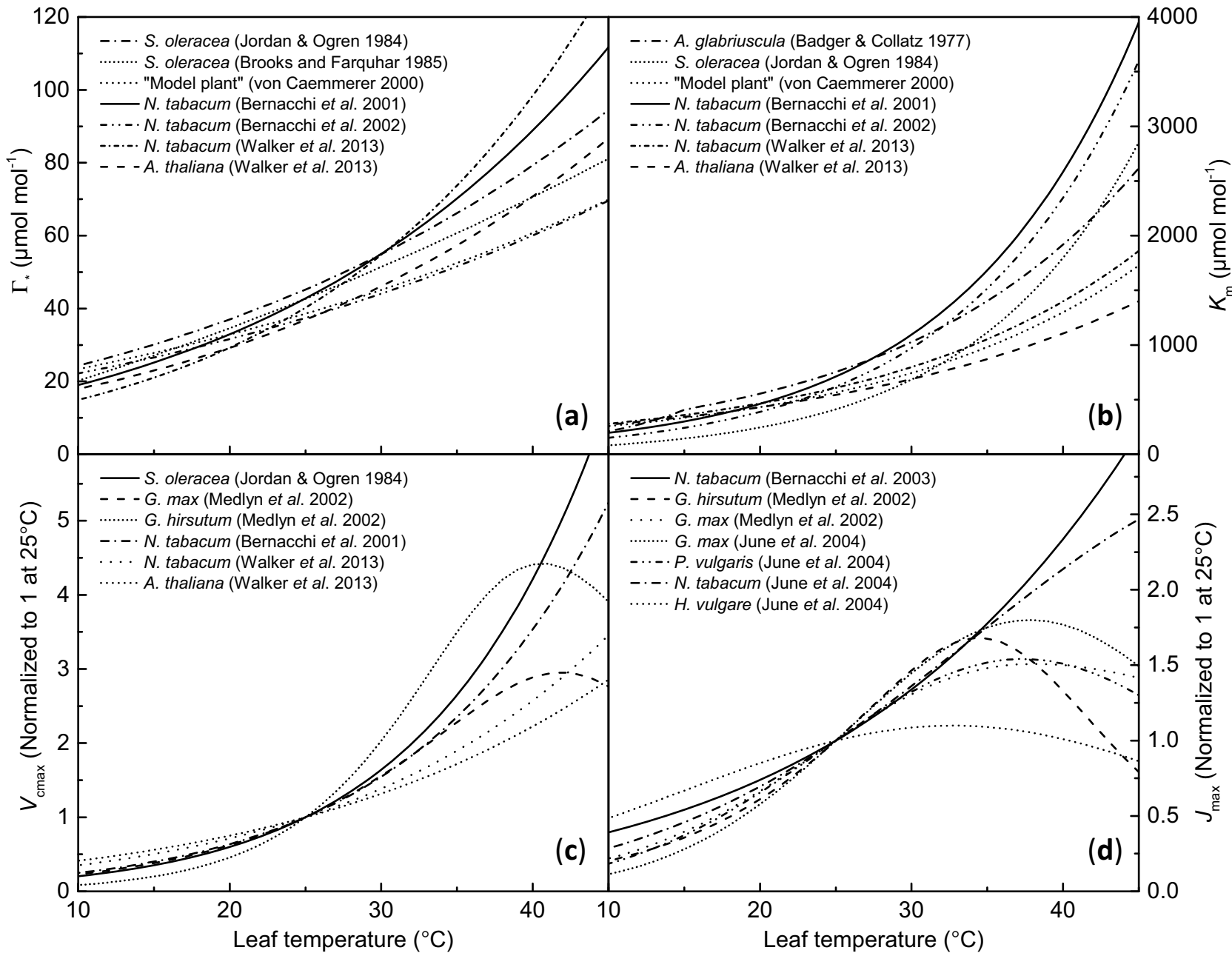
942 Temperature response of modeled and measured sensitivities of the gross  $\text{CO}_2$   
943 assimilation rate and *ETR* to a change in  $\text{O}_2$  or  $\text{CO}_2$  concentration at a light intensity of  
944  $900 \mu\text{mol m}^{-2} \text{s}^{-1}$ .  $\text{O}_2$  sensitivities of *A* (a) and *ETR* (b) at a  $\text{CO}_2$  concentration of  $380 \mu\text{mol}$   
945  $\text{mol}^{-1}$ , estimated by a change in  $\text{O}_2$  concentration from  $21$  to  $2\%$ .  $\text{CO}_2$  sensitivities of *A* at  
946  $21\%$   $\text{O}_2$  (c) and  $2\%$   $\text{O}_2$  (d), estimated by a change in  $\text{CO}_2$  concentration from  $380$  to  $1500$   
947  $\mu\text{mol mol}^{-1}$ . Lines show modeled sensitivities for  $A_c$  (red),  $A_j$  (green) and  $A_p$  (yellow) as  
948 the averages of seven published and commonly used sets of Rubisco kinetic parameters  
949 at the  $C_c$  corresponding to the measured values of  $C_c$  for each temperature. The colored  
950 shaded areas denote the range between the minimum and maximum of all values  
951 obtained. Closed circles show the measured sensitivities estimated from the  
952 temperature responses shown in Figure 7a and b.

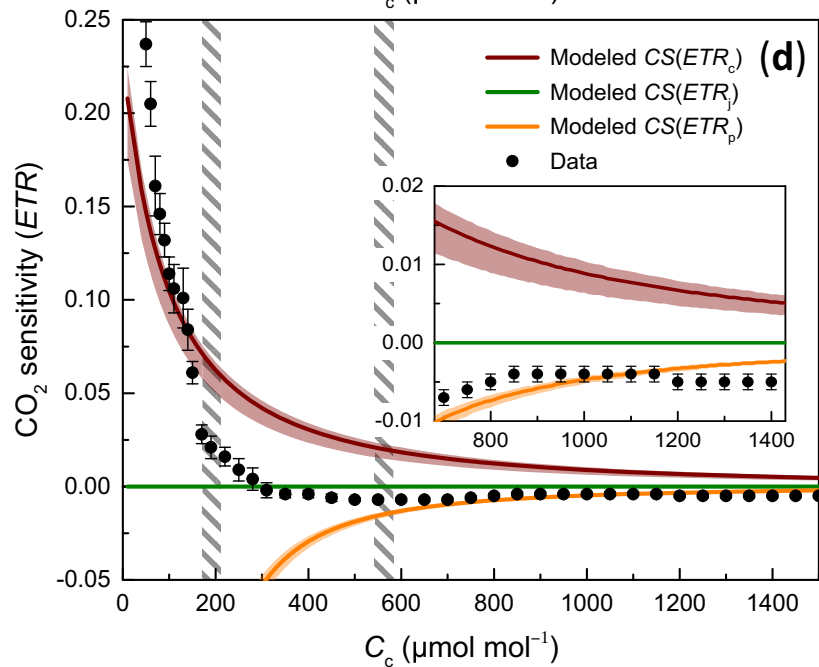
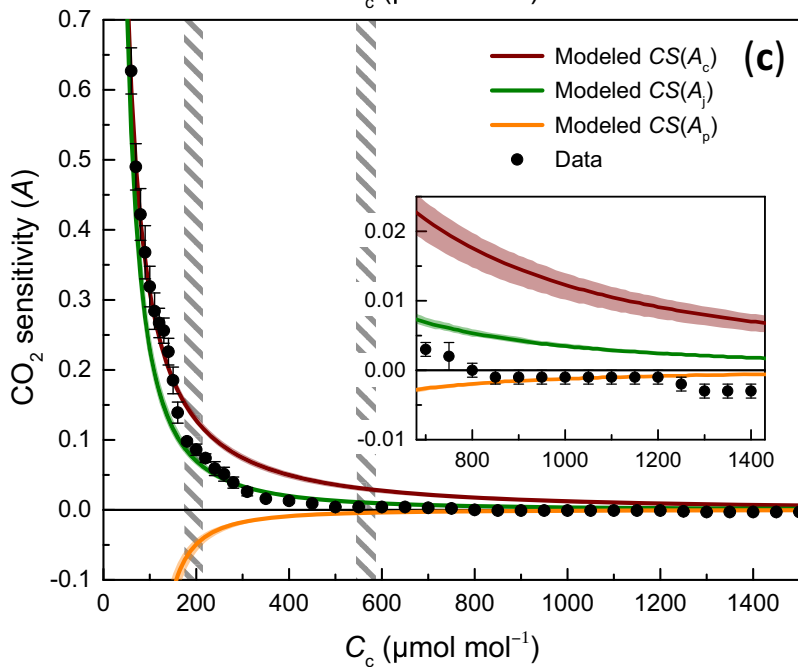
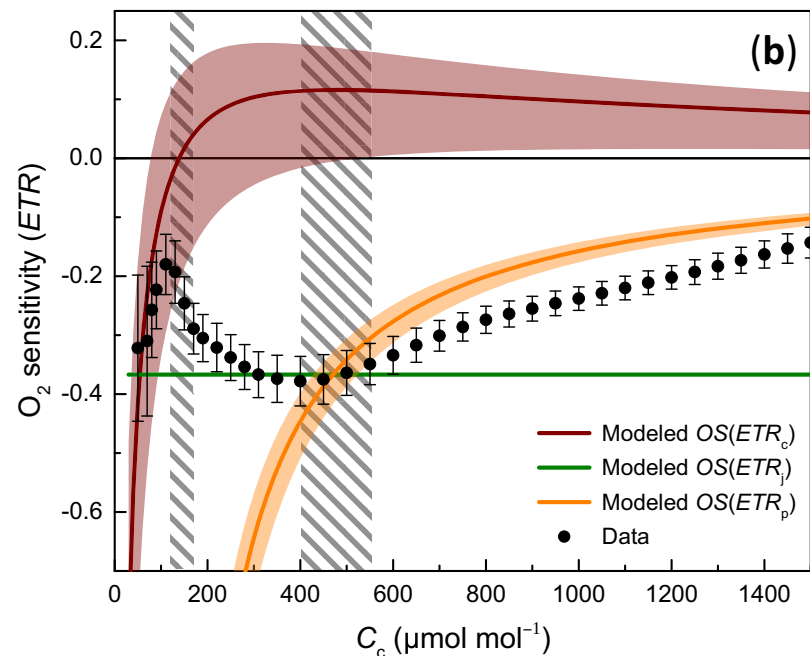
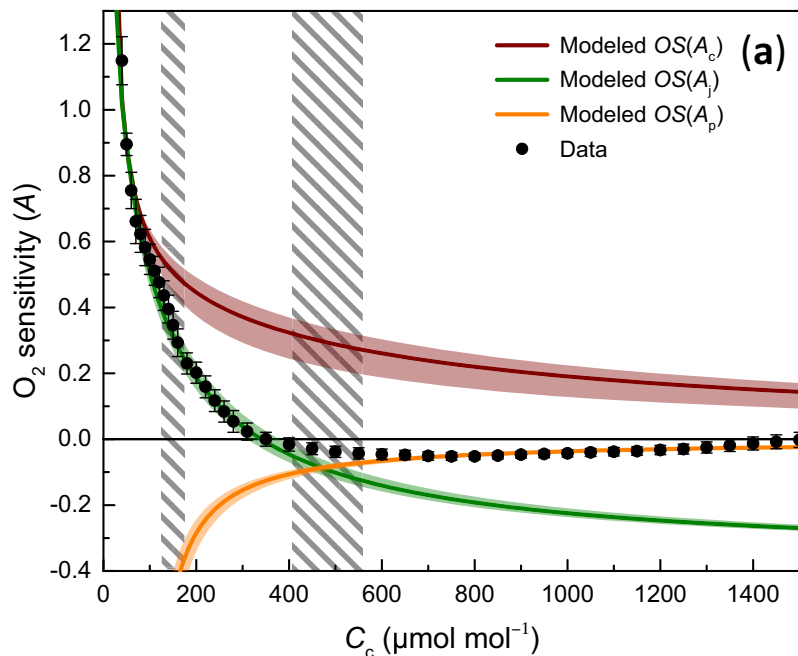
953

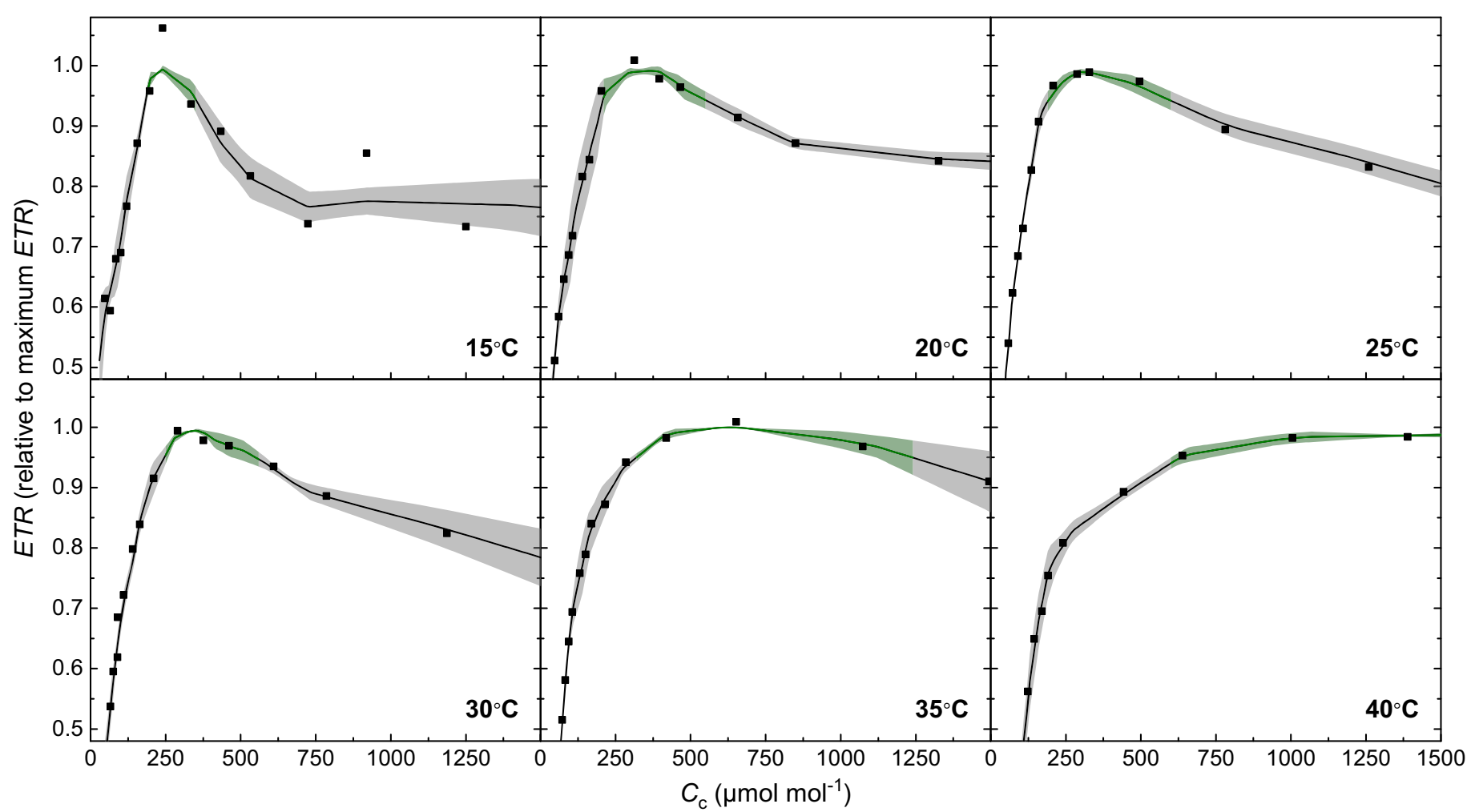
### 954 **Figure 9**

955 Measured rates of  $\text{CO}_2$  assimilation (*A*) in response to chloroplastic  $\text{CO}_2$  concentration  
956 ( $C_c$ ) and leaf temperature. The color overlay indicates the process limiting *A* at any given  
957 condition, as determined by our sensitivity analysis derived from  $\text{CO}_2$  response curves at  
958 six different leaf temperatures. Red: *A* is limited by Rubisco ( $A_c$ ); green: *A* is limited by

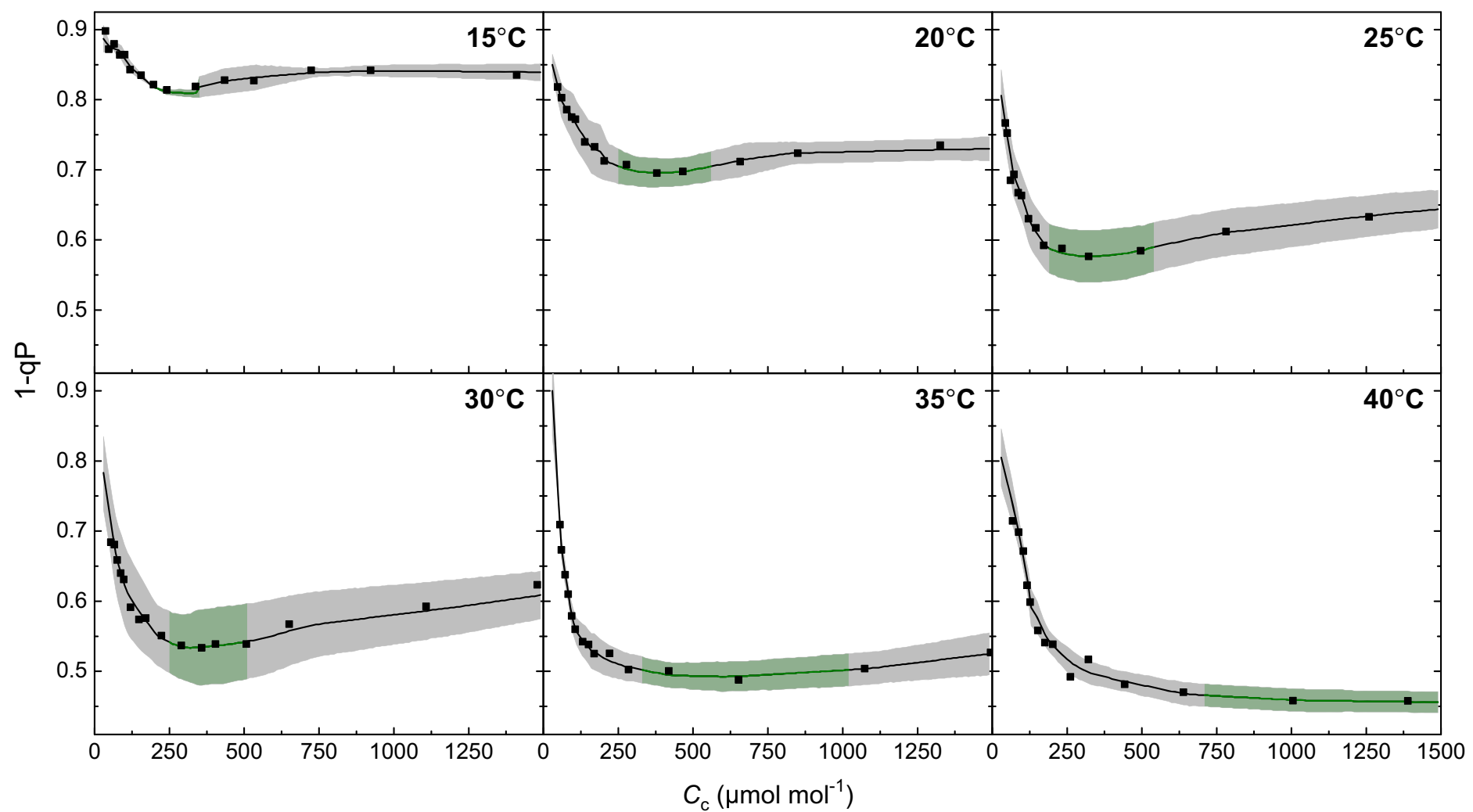
959 RuBP regeneration ( $A_j$ ); yellow:  $A$  is limited by TPU ( $A_p$ ). The black line denotes  $A$  at the  
960  $C_c$  observed at an ambient  $C_a$  of  $400 \mu\text{mol mol}^{-1}$  for the measurements reported here.

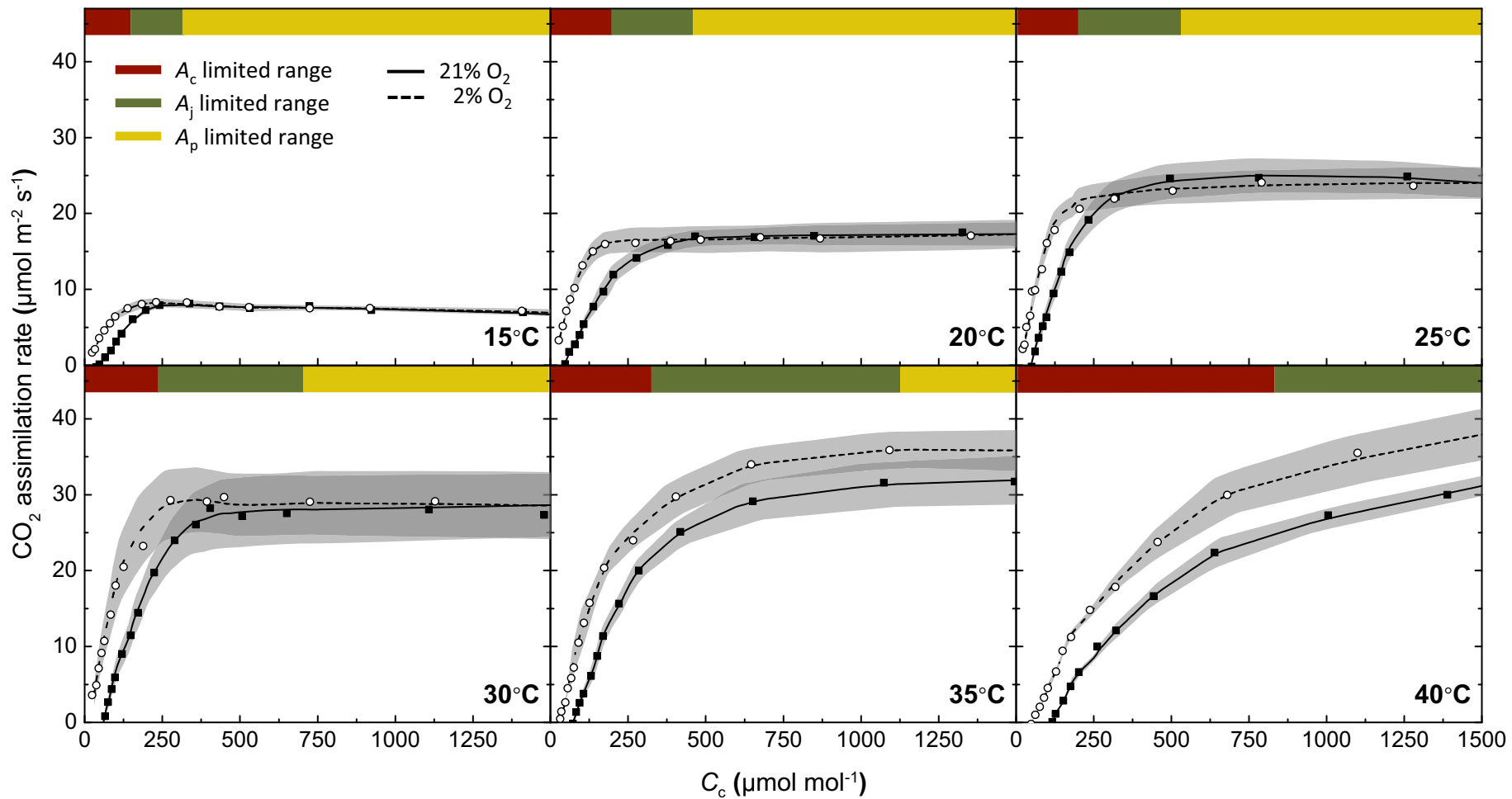


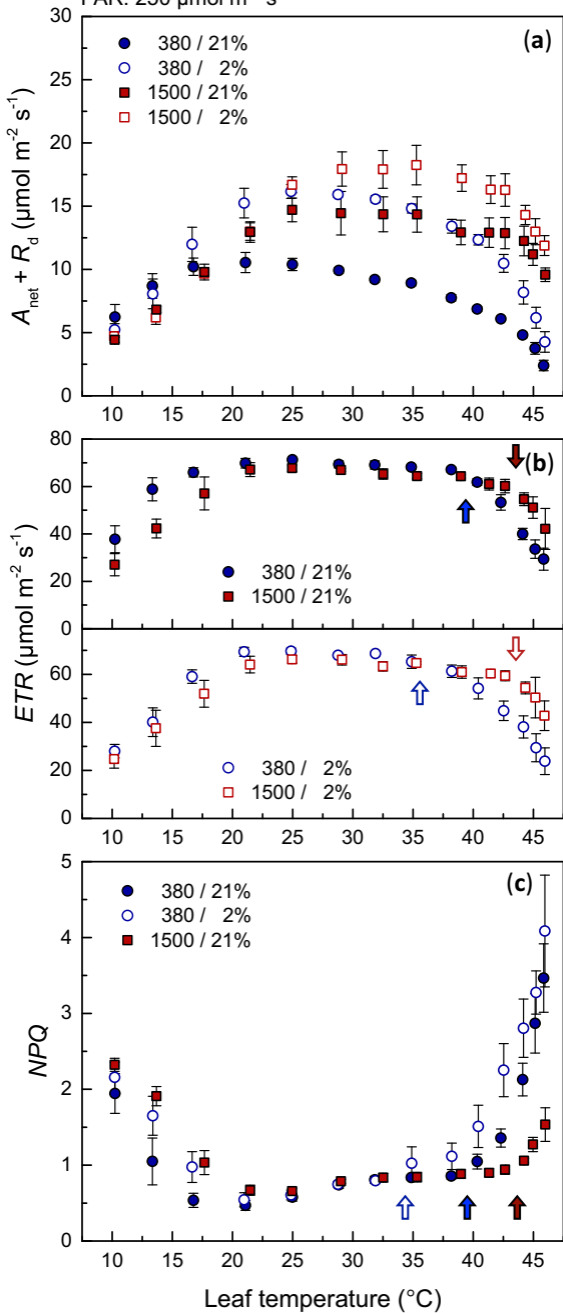


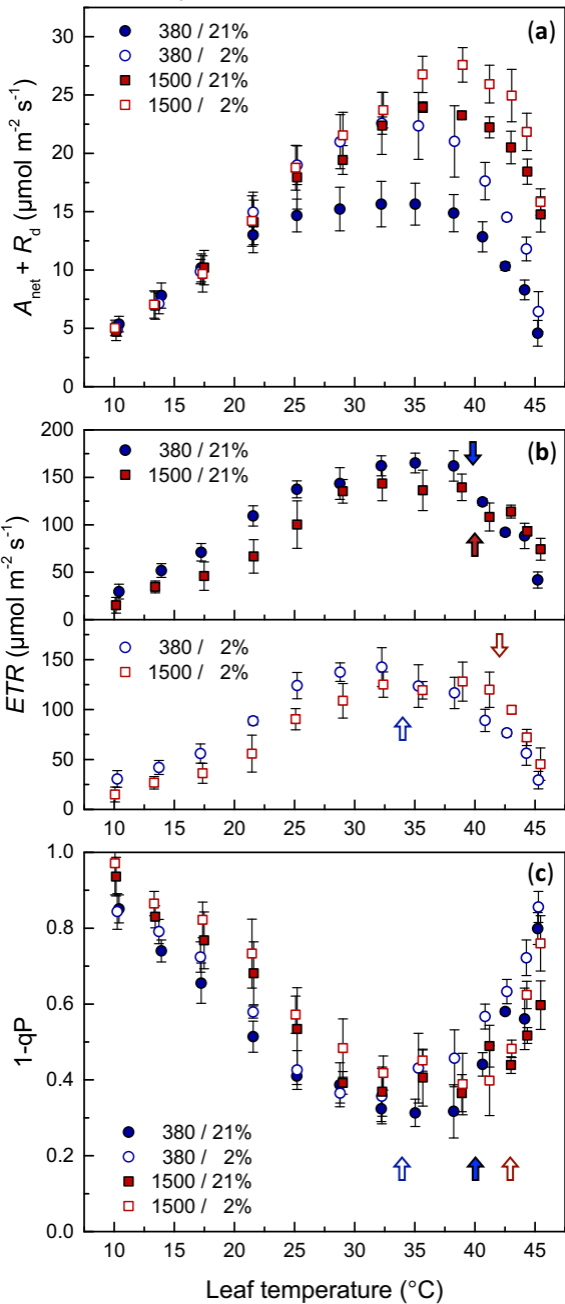


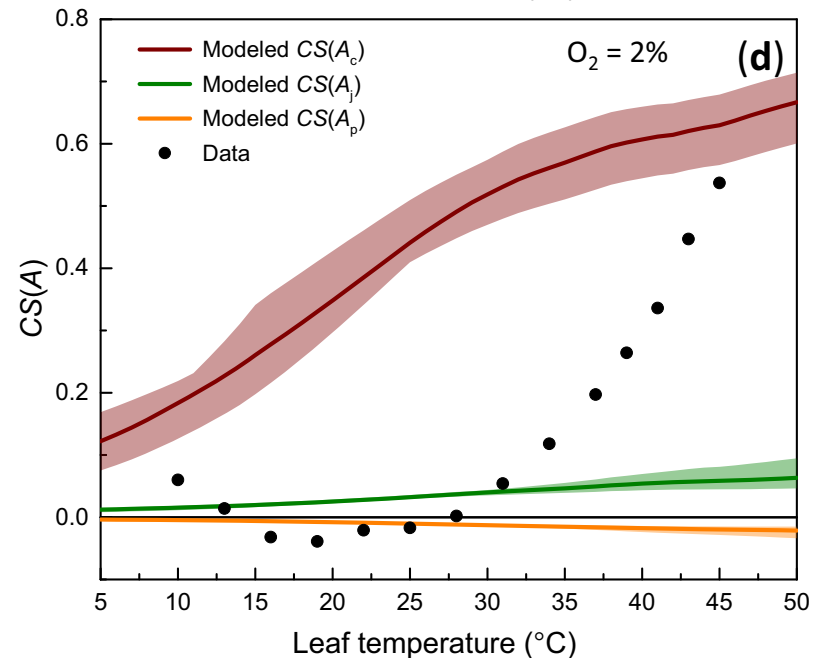
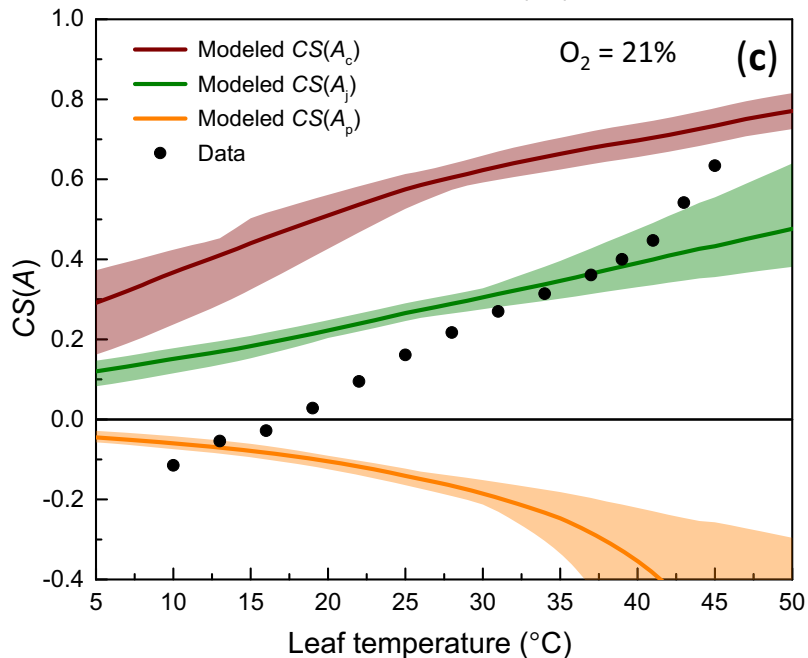
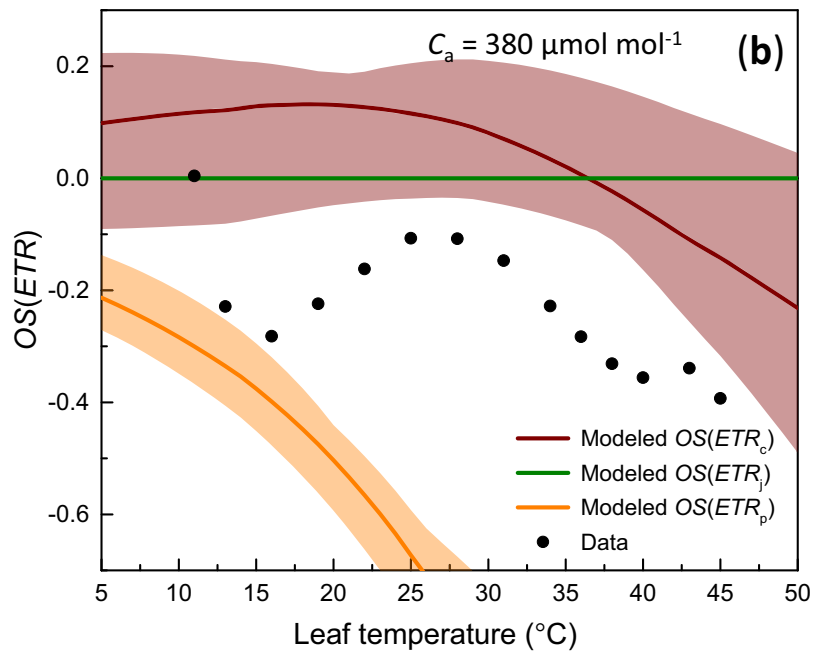
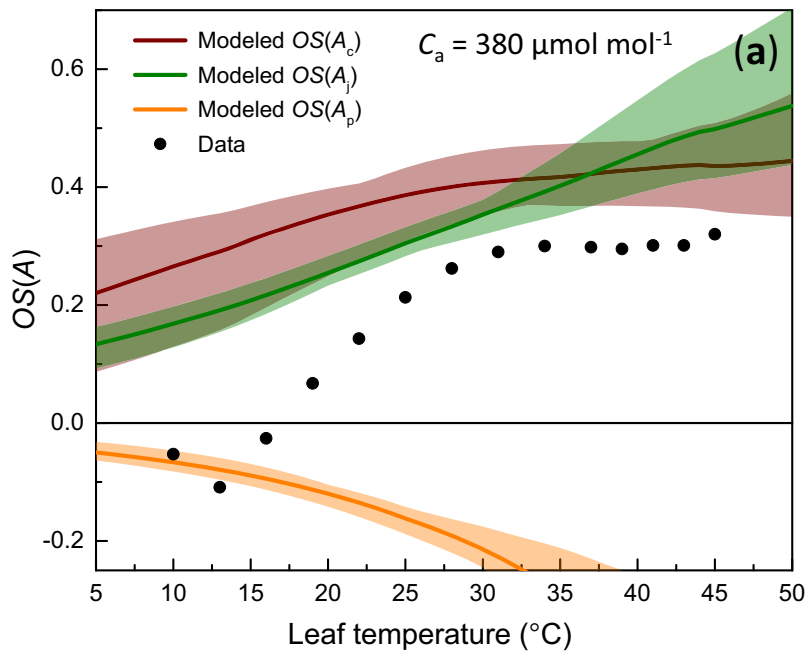


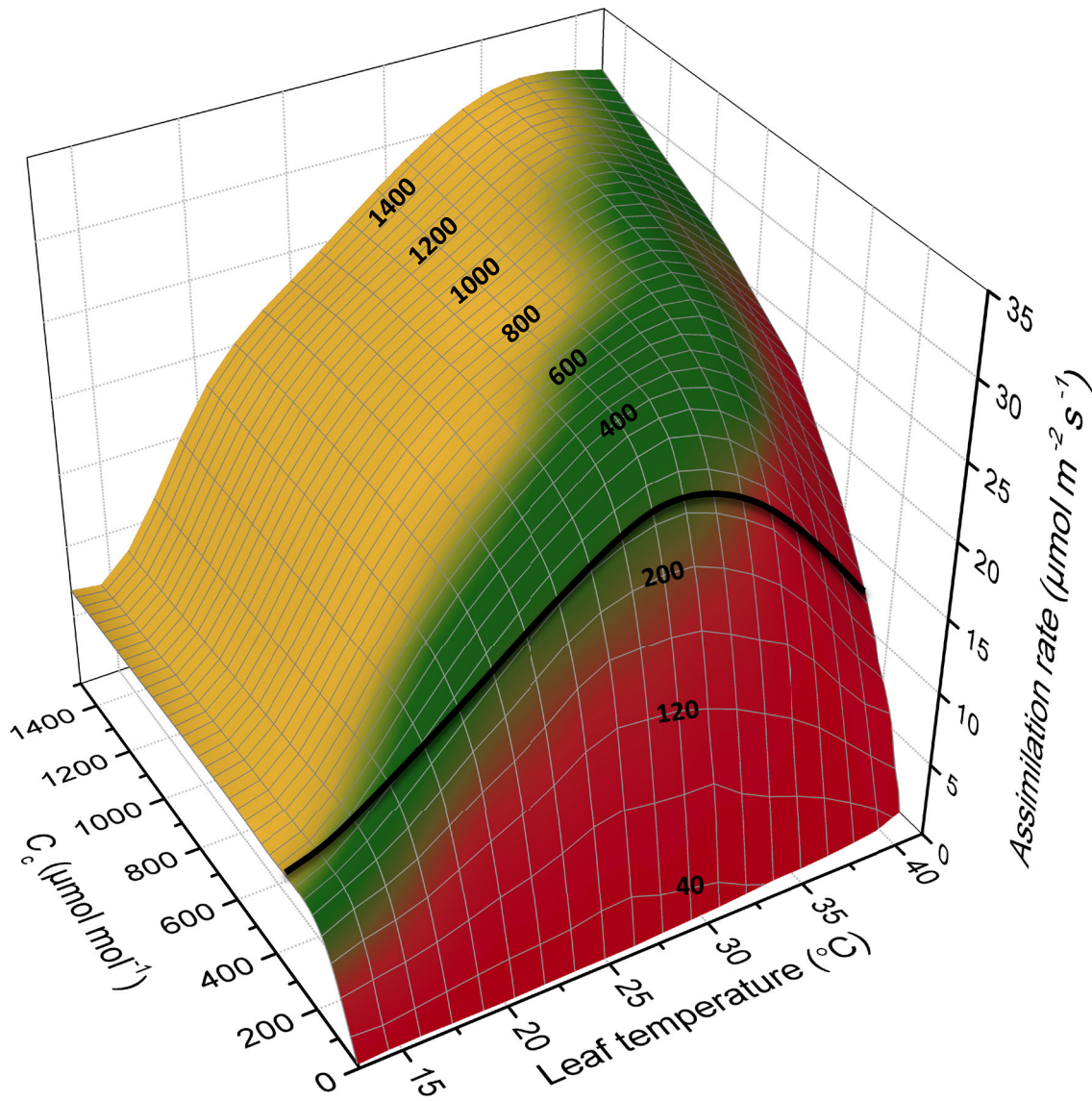




PAR: 250  $\mu\text{mol m}^{-2} \text{s}^{-1}$ 

PAR: 900  $\mu\text{mol m}^{-2} \text{s}^{-1}$ 





- $A_c$  – limited range
- $A_j$  – limited range
- $A_p$  – limited range

—  $A/T$  response at an ambient CO<sub>2</sub> concentration of  $C_a = 400 \mu\text{mol mol}^{-1}$