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2 **Title: Dual-specific chimeric antigen receptor T cells and an indirect vaccine**
3 **eradicate a variety of large solid tumors in an immunocompetent, self-antigen**
4 **setting**

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1 **Translational Relevance**

2 Adoptive transfer of T cells genetically modified to express a tumor-reactive chimeric antigen receptor
3 (CAR) can mediate regression of some blood cancers. However, complete responses of a variety of
4 large solid tumors in physiologically relevant models utilizing immunocompetent mice in a self-antigen
5 setting have not been described before. In this study, we generate dual-specific CAR T cells that are
6 able to respond to a potent immunogen through their T cell receptor and to a tumor antigen through
7 their CAR. We demonstrate that adoptive transfer of dual-specific T cells together with vaccination
8 mediates eradication of tumors of diverse histological origin. This approach also led to extensive
9 expansion of dual-specific T cells and their massive infiltration of solid tumors, attributes important for
10 optimal tumor responses. This study represents a major improvement in CAR T cell therapy, highly
11 applicable to clinical translation.

12

1 **ABSTRACT:**

2 **Purpose:** While adoptive transfer of T cells bearing a chimeric antigen receptor (CAR) can eliminate
3 substantial burdens of some leukemias, the ultimate challenge remains the eradication of large solid
4 tumors for most cancers. We aimed to develop an immunotherapy approach effective against large
5 tumors in an immunocompetent, self-antigen preclinical mouse model.

6 **Experimental Design:** In this study, we generated dual-specific T cells expressing both a CAR
7 specific for Her2 and a TCR specific for the melanocyte protein (gp100). We used a regimen of
8 adoptive cell transfer incorporating vaccination (ACTIV), with recombinant vaccinia virus expressing
9 gp100, to treat a range of tumors including orthotopic breast tumors and large liver tumors.

10 **Results:** ACTIV therapy induced durable complete remission of a variety of Her2⁺ tumors, some in
11 excess of 150 mm², in immunocompetent mice expressing Her2 in normal tissues, including the breast
12 and brain. Vaccinia virus induced extensive proliferation of T cells, leading to massive infiltration of T
13 cells into tumors. Durable tumor responses required the chemokine receptor CXCR3 and exogenous
14 IL-2, but were independent of IFN-gamma. Mice were resistant to tumor rechallenge, indicating
15 immune memory involving epitope spreading. Evidence of limited neurologic toxicity was observed,
16 associated with infiltration of cerebellum by T cells, but was only transient.

17 **Conclusions:** This study supports a view that it is possible to design a highly effective combination
18 immunotherapy for solid cancers, with acceptable transient toxicity, even when the target antigen is
19 also expressed in vital tissues.

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1 INTRODUCTION

2 Adoptive cell transfer (ACT) is demonstrating exciting potential for cancer treatment. In ACT,
3 autologous tumor-reactive T cells are generated in vitro before reinfusion to patients (1). Tumor-
4 reactive T cells can be isolated from blood or tumor tissue of patients and expanded in vitro using
5 stimulation with peptides and/or cytokines (1). The most impressive results of ACT in melanoma have
6 objective responses in 52 of 93 patients (56%), with 20/93 patients achieving complete responses and
7 19 of those 20 patients with ongoing durable complete responses in excess of 5 years post-treatment
8 (2). Studies at other centers around the world have also demonstrated durable complete responses in
9 melanoma using ACT (3-5). Patients with EBV-associated lymphoproliferative disorders following
10 bone marrow transplant can also benefit from ACT, with virtually all patients achieving complete
11 resolution of disease after adoptive transfer of EBV-specific T cells (6).

12 However, despite these successes in melanoma and viral-induced malignancies, isolation of
13 autologous T cells with reactivity against other cancer types is rare (7). Nevertheless, using genetic
14 modification of patient lymphocytes, it is possible to generate tumor-reactive T cells against most
15 malignancies, including solid cancers and those of the blood (8). Two main approaches of genetic
16 modification involve genes encoding T cell receptor (TCR) or a chimeric antigen receptor (CAR). Both
17 approaches can render T cells tumor-reactive, but the CAR approach, being non-MHC-restricted, is
18 more widely applicable to a broader range of patients (9). The most advanced studies have utilized
19 CARs specific for CD19 in clinical studies targeting B cell leukemias and lymphomas. The
20 extraordinary potential of the CAR T cell approach as an effective treatment for cancer is supported by
21 very high response rates of patients in these studies (10-13).

22 Despite the successes of CAR T cell therapy against these blood cancers, efficacy against solid
23 cancers in patients has been much less. In over 80 patients suffering from a variety of solid cancers
24 including renal cell carcinoma, neuroblastoma and cancers of the colon, ovary and prostate, durable
25 complete responses have only been reported for 3 patients, all in neuroblastoma patients (8). Thus,

1 CAR T cell therapy can be effective against some blood cancers, but efficacy against common solid
2 cancers is modest, at best.

3 The vast majority of previous reports using CAR T cells in mouse solid tumor models have
4 utilized xenografts in immunodeficient mice, not expressing the target antigen in normal tissues, and
5 that do not have the full complement of immune cells (14-16). Therefore, immunoregulation, tolerance
6 induction and safety considerations do not closely represent that found in patients, making predictions
7 about treatment efficacy and safety difficult.

8 Studies using CAR T cells targeting a self-antigen in immunocompetent mice are relatively
9 rare, and have demonstrated the ability of ACT using genetically redirected T cells to inhibit growth of
10 a limited range of small tumors in mice (17, 18), but larger tumors have not responded completely. In
11 recent work, we combined ACT with a PD-1-neutralizing antibody and demonstrated enhanced
12 antitumor effects against subcutaneous or mammary tumors (19). However, responses were again
13 restricted to small tumors. Significant expansion or persistence of transferred T cells and their
14 localization to solid tumors was not observed in these previous studies.

15 The reasons for the relatively low efficacy of CAR T cells against solid cancers are not clear,
16 but could include poor persistence and expansion of transferred T cells, their low frequency of
17 localization to tumors, and an immunosuppressive tumor microenvironment. To address these
18 problems, in previous work, we initiated a novel approach to ACT involving the generation of dual-
19 specific T cells (20, 21). In dual-specific T cells, an endogenous TCR specific for a strong immunogen
20 (alloantigen, or influenza virus) provided the means for T cell proliferation (following immunization),
21 while CARs specific for folate-binding protein (FBP) or Her2 provided the means for antitumor
22 recognition and response. While CARs themselves do not generally enable robust T cell activation and
23 expansion in response to solid tumors, T cell expansion was demonstrated using the strong
24 immunogens. However, tumor responses and tumor localization of dual-specific T cells in these
25 previous mouse models was low (T cell frequency <0.25% of total cells in tumor). We also reported

1 results of a Phase I clinical study using allo-reactive dual-specific CAR T cells, in which safety was
2 demonstrated, although T cell persistence was short and T cell localization to tumors was below the
3 level of detection (22).

4 Thus, in all our previous work, we have been unable to eradicate, or even significantly inhibit,
5 large tumors using ACT. Indeed, reports of eradication of large solid tumors by immunotherapy in
6 general in syngeneic immunocompetent mice are rare (23), and reports in the orthotopic, self-antigen
7 setting, with rare exceptions, are virtually absent from the literature, and even then are restricted to a
8 single tumor type (24). The identification of a therapeutic regimen able to mediate eradication of solid
9 tumors of several different histologies in self-antigen immunocompetent mice remains a long sought
10 after goal for cancer immunotherapy.

11 In our most recent attempts to maintain the activation and expansion of CAR T cells and
12 improve their localization to tumors, we have tested another strong immunogen composed of live
13 recombinant vaccinia virus encoding an antigenic peptide. Since the antigenic peptide was not tumor
14 specific, we refer to the vaccine as “indirect”. Thus, the approach involves Adoptive Cell Transfer
15 Incorporating Vaccination (ACTIV) therapy. Here, we present a major advance in efforts to activate
16 CAR T cells against large solid tumors in a syngeneic self-antigen setting using ACTIV therapy.
17 ACTIV therapy induced expansion of CAR T cells and their localization to tumors, which led to
18 eradication of large established solid tumors of several histologies in mice.

19

20 **MATERIALS AND METHODS**

21 **Mice and cell lines**

22 The C57BL/6-CAR (CAR) transgenic mice, generated on a C57BL/6 background, express an
23 anti-Her2-CD28-CD3 ζ chimeric antigen receptor under the control of the mouse vav promoter, as
24 described previously (25). The C57BL/6-pMEL (pMEL) transgenic mice express a TCR specific for

1 human gp100 under the control of elements of the mouse TCR α and β promoters, as described
2 previously (24). C57BL/6-CARaMEL mice were generated by crossing CAR and pMEL mice.
3 C57BL/6-CARaMEL-Thy 1.1 mice were generated by crossing CARaMEL mice to C57BL/6-Thy 1.1
4 mice. C57BL/6-Her2 mice express human Her2 under the control of the whey acidic protein promoter,
5 as described previously (26). All the above mouse strains were bred on site at the Peter MacCallum
6 Cancer Center. C57BL/6-RAG1^{-/-} mice were purchased from the Walter and Eliza Hall Institute of
7 Medical Research, Parkville, Australia. Mice were ear-tagged, their numbers and their tumor
8 measurements recorded, and randomly assigned to treatment groups. Tumor size was monitored by
9 measurement along two perpendicular axes using calipers. Age- (8 -12 weeks) and gender-matched
10 mice were used within each experiment. Individual mice were humanely killed when tumors reached
11 200 mm². Experiments on mice were performed with adherence to protocol E498 of the Animal
12 Experimentation Ethics Committee at the Peter MacCallum Cancer Center and in accordance with the
13 recommendations of the Victorian Bureau of Animal Welfare, Department of Primary Industries and
14 National Health and Medical Research Council of Australia.

15 E0771 is a mouse breast cancer cell line (27), kindly provided by Dr Robin Anderson, Peter
16 MacCallum Cancer Center, Melbourne, Australia. 24JK is a methylcholanthrene-induced fibrosarcoma
17 (28), and MC38 is a chemically induced colon adenocarcinoma (29), both kindly provided by Dr
18 Patrick Hwu, Surgery Branch, National Institutes of Health, Maryland, USA. All cell lines originated
19 from C57BL/6 mice, and we had previously derived the Her2-expressing cell lines from the
20 corresponding parental cells by retroviral transduction with cDNA encoding full length human Her2
21 expressed under control of the mouse stem cell virus LTR promoter (MSCV). 24JK-Her2 and MC38-
22 Her2 were cultured in supplemented RPMI media as described previously (30), E0771-Her2 was
23 cultured in supplemented DMEM, which enhanced adherence to flasks.

24

1 **Recombinant vaccinia viruses**

2 The vaccinia virus encoding human gp100 (VV-gp100) was constructed by insertion of a
3 minigene containing the human gp100₂₅₋₃₃ epitope into the *F4L* gene encoding ribonucleotide
4 reductase, and has been described previously (31). VV-OVA and VV-Flu contained an ER-targeted-
5 SIINFEKL epitope from chicken ovalbumin and –SSLENFRAYV epitope from the influenza virus PA
6 protein inserted into the thymidine kinase locus (gene *J2R*), as previously described (32, 33). Viruses
7 were prepared from HeLa S3 cells using sucrose density gradient centrifugation as described previously
8 (34).

10 **Tumor inoculation and treatment**

11 Tumor cell lines were injected into Her2 transgenic mice. E0771-Her2 cells (5×10^5) were
12 injected into the 4th mammary fat pad to form orthotopic breast tumors. 24JK-Her2 fibrosarcoma cells
13 (5×10^5) were injected subcutaneously. MC38-Her2 cells (5×10^5) were injected either subcutaneously
14 or into the liver. For tumor rechallenge experiments, cells were injected into the corresponding
15 contralateral site of tumor-free long-term surviving (>100 days) mice.

16 Adoptive cell transfer incorporating vaccination (ACTIV) therapy consisted of a
17 lymphodepleting preconditioning regimen of 5 Gy whole body irradiation, followed approximately one
18 hour later by intravenous injection of 1×10^7 mouse splenocytes, and after a further 2 hours by
19 intravenous injection of 2×10^7 pfu vaccinia virus. Human recombinant IL-2 (Biological Resources
20 Branch, National Cancer Institute, Frederick, MD, USA and Jiangsu Kingsley Pharmaceutical, China),
21 at doses ranging from 100,000 to 500,000 IU, was administered intraperitoneally twice daily for 2 days.
22 In some experiments individual subsets of T cells were purified according to manufacturer's
23 instructions (Miltenyi Biotec) and 2.5×10^6 CD8⁺ or 5×10^5 CD4⁺ CARaMEL T cells (numbers
24 typically present in 1×10^7 splenocytes) were substituted for splenocytes.

25

1 **Microscopy, flow cytometry and cellular assays**

2 Tissues were taken from non-treated or ACTIV-treated mice and snap frozen in liquid nitrogen
3 or fixed in 10% neutral buffered formalin and embedded in paraffin. Sections were stained with a
4 primary rat antibody specific for mouse CD8 (clone YTS 169.4, BioXcell, West Lebanon, NH) or
5 mouse anti-human Her2 (clone 9G6.10, NeoMarkers, Fremont, CA). A secondary ImmPRESS HRP-
6 conjugated anti-rat or anti-mouse IgG and Mouse-on-Mouse kit was used according to manufacturer's
7 instructions (Vector Laboratories Ltd, Burlingame, CA) and counterstained with hematoxylin. The
8 extent of apoptosis in tumors was assessed by antigen retrieval in 10 mM citrate buffer (pH6.0) using a
9 pressure cooker followed by staining for cleaved caspase 3 using a specific antibody (9661, Cell
10 Signalling Technology, Danvers, MA) or a rabbit polyclonal IgG isotype control, (AB27478, Abcam,
11 Cambridge, MA, USA). Distribution of cleaved caspase 3 was visualized using a secondary
12 ImmPRESS HRP-conjugated anti-rabbit IgG and sections were counterstained with hematoxylin.
13 Sections were mounted in MM24 mounting media (Leica Biosystems, Australia) and scanned using an
14 Olympus VS120 slide scanner (Olympus, Australia) equipped a x40 (UPLANSAPO NA0.95) objective
15 and Allied Pike F-505C 16bit CCD color camera.

16 For flow cytometry, spleens were crushed in ACK lysis buffer, washed and resuspended in
17 PBS. Tumors were first dissociated using collagenase IV (1 mg/ml, Worthington Biochemical,
18 Lakewood, NJ) and DNase I (30 units/ml, Sigma, Sydney, Australia) for 30 minutes at 37°C, followed
19 by washing and resuspension in PBS/0.5% FCS. Intracellular detection of IFN- γ was performed
20 according to manufacturer's instructions (Clone XMG1.2, BD Biosciences, New Jersey, NJ).

21 To determine the ability of VV-gp100 to induce proliferation of dual-specific T cells,
22 splenocytes (2×10^6 per well) from CARaMEL mice were incubated with gp100 peptide at indicated
23 concentrations for 72 hours, before adding Alamar Blue for 4 hours and spectrophotometric analysis of
24 fluorescence. Cytokine assays were performed using either serum or tumor digest using cytokine bead

1 array (Biolegend, San Diego, CA) or ELISA according to manufacturers' instructions. Cellular
2 cytotoxicity was determined using ⁵¹Cr release assay as previously described (35).

3

4 **Neutralization of IFN- γ and CXCR3.**

5 IFN- γ was neutralized by injection of an anti-IFN- γ monoclonal antibody (clone H22 BioXCell, West
6 Lebanon, NH, USA). Injections of 200 μ g per mouse were administered on the day of ACTIV
7 treatment and 1, 4, 11 and 18 days later. CXCR3 blockade was accomplished using injections of 200
8 μ g of an anti-CXCR3 monoclonal antibody (clone CXCR3-173, BioXCell) on the day of ACTIV
9 therapy and then twice weekly for two weeks.

10

11 **Statistical analyses**

12 Tumor growth was compared using Mann-Whitney test (two groups) or one-way ANOVA (> two
13 groups) for the curves or two-sided Student's t-test for individual time points. Mouse survival was
14 compared using Mantel-Cox test. Comparisons of proliferation, cytotoxicity and T cell accumulation in
15 spleens and tumors were performed using Student's t-test. A p-value of <0.05 was considered
16 significant.

17

18 **RESULTS**

19 **ACTIV therapy induces eradication of large solid tumors.**

20 The tumor model system we employed to test ACTIV therapy against large established tumors
21 involved injection of tumor cells, gene modified to express human Her2, into transgenic mice, which
22 expressed human Her2 in normal breast and brain (26, 36). This unique system enabled testing of
23 immunotherapy in an immunocompetent mouse strain, which was subject to the normal complement of

1 immune regulatory mechanisms. In addition, the presence of the target antigen as a self-antigen in
2 normal tissues provided a physiologically relevant model enabling assessment of potential toxicities.

3 To provide a source of dual-specific T cells for ACTIV, we generated a transgenic mouse strain
4 expressing a CAR specific for Her2 in leukocytes under the control of the vav promoter (25). This
5 CAR mouse strain was bred onto the pMEL transgenic mouse strain, expressing a TCR specific for the
6 premelanosome protein, gp100 (24). This novel hybrid mouse strain, expressing both a CAR and
7 pMEL TCR in T cells, was referred to as the CARaMEL mouse strain, and provided a source of dual-
8 specific T cells.

9 Consistent with our hypothesis that ACTIV therapy would enable expansion of T cells and their
10 activation and acquisition of cytolytic ability, we first demonstrated the ability of T cells from
11 CARaMEL mice to proliferate in response to gp100 (**Supplementary Fig. S1A**). The dual specificity
12 of CARaMEL T cells was evident from their ability to secrete IFN- γ in response to both gp100 and
13 Her2 (**Supplementary Fig. S1B**). Dual-specific T cells were also able to lyse tumor cells expressing
14 either Her2 or gp100 (**Supplementary Fig. S1C**).

15 In our initial experiments to test the efficacy of ACTIV therapy against large established
16 tumors, we injected the E0771-Her2 breast cancer cell line orthotopically into the mammary fat pad of
17 C57BL/6-Her2 mice, and began ACTIV therapy, or variations lacking individual components, two
18 weeks later when tumors were large, some in excess of 150 mm². The complete ACTIV therapy
19 regimen involved a lymphodepleting preconditioning procedure of 5 Gy irradiation, followed on the
20 same day by intravenous injection of 1×10^7 splenocytes from CARaMEL mice, which typically
21 contained approximately 2×10^6 CD8⁺ dual-specific CARaMEL T cells. Also included in the ACTIV
22 regimen was 2×10^7 plaque-forming units of live vaccinia virus (VV) encoding human gp100 (VV-
23 gp100) delivered intravenously approximately 2 hours after T cells, which was followed by

1 intraperitoneal injection of 4 doses of recombinant human IL-2 spaced approximately 12 hours apart at
2 500,000 IU per dose.

3 The growth of large tumors was significantly inhibited in all mice receiving the full ACTIV
4 regimen (**Fig. 1A**). Observations of tumor growth in individual mice demonstrated that even tumors in
5 excess of 150 mm² could respond completely to ACTIV therapy (**Fig. 1B,C**). Strikingly, over 75% of
6 tumors were eradicated, leading to long term survival (**Fig. 1D**). Tumors in mice with ACTIV therapy
7 lacking vaccine, or with pMEL T cells lacking CAR, were slowed in growth (**Fig. 1A**) but tumors
8 eventually progressed and all mice succumbed to disease with only a modest increase in survival (**Fig.**
9 **1D**). A crucial role for the interaction between CAR and Her2 antigen was also supported by the
10 observation that ACTIV therapy was less effective at inhibiting growth of Her2-negative tumors (**Fig.**
11 **1F**). The necessity for interaction between CAR and Her2 for tumor inhibition was also apparent in an
12 experiment using a mixture of Her2-positive and Her2-negative tumor cells, which demonstrated the
13 outgrowth of Her2-negative cells in ACTIV-treated mice (**Fig. 1G**). Taken together, these data
14 demonstrated that ACTIV therapy lacking specific T cells or vaccination was not able to eradicate
15 tumors. In mice receiving ACTIV therapy lacking IL-2, tumors initially responded similarly to those in
16 mice receiving the full ACTIV regimen (**Fig. 1A**), but tumors eventually progressed in the majority of
17 mice and long term survival was significantly less than mice receiving ACTIV therapy (**Fig. 1D**).

18 Since the transfer of splenocytes from CARaMEL mice contained a mixture of CAR-expressing
19 leukocytes including both CD4⁺ and CD8⁺ T cells, we next sought to determine the contribution from
20 individual leukocyte subsets. Tumors in mice receiving purified CD8⁺ CARaMEL T cells as part of
21 their ACTIV therapy responded similarly to those in mice receiving bulk CARaMEL splenocytes,
22 whereas mice receiving purified CD4⁺ CARaMEL T cells derived limited benefit (**Fig. 1E**). Similar
23 antitumor activity was observed in mice receiving ACTIV therapy incorporating either CD8⁺ T cells or
24 a combination of CD8⁺ and CD4⁺ T cells. These results indicated that CD8⁺ CARaMEL T cells, able to
25 respond through both their CAR and TCR, were necessary and sufficient for effective therapy and were

1 the predominant effector cell in splenocytes. For ease of treatment, CARaMEL splenocytes were used
2 in ACTIV therapy for subsequent experiments.

3
4 To gain further insight into the requirement for IL-2, we performed a dose titration experiment
5 including various doses of IL-2 in the ACTIV treatment regimen. Significant numbers of long term
6 surviving mice were only obtained using the higher doses of 250,000-500,000 IU twice daily for two
7 days (**Supplementary Fig. S2A,B**). A role was identified for IL-2 in enhancing the proliferation of
8 CARaMEL T cells as demonstrated *in vitro* (**Supplementary Fig. S2C**) and *in vivo* in combination
9 with vaccination (**Supplementary Fig. S2D,E**). While the individual contribution of IL-2 alone to T
10 cell infiltration of tumor is not clear, the contribution of vaccination to antitumor activity of CARaMEL
11 T cells is greater than the contribution from IL-2, as seen in Fig. 1A and Fig.1D. Thus, all tumors
12 responded to just a single round of ACTIV therapy, and the majority of tumors regressed completely.

13 14 **A range of solid tumor types respond to ACTIV therapy.**

15 To determine the efficacy of ACTIV therapy against tumors of other histological origin, mice
16 bearing subcutaneous 24JK-Her2 murine sarcoma received ACTIV therapy or control treatments. All
17 tumors in ACTIV treated mice responded, and tumors were eradicated in 6 of 7 mice (**Fig. 2A**). To
18 extend the therapy to other tumor types, MC38-Her2 mouse colon carcinomas were formed by
19 subcutaneous injection, or by injection directly into the liver to establish a discreet, large intrahepatic
20 mass. Again, ACTIV therapy eradicated tumors (**Fig. 2B-E**), whereas treatments lacking some
21 components were less effective. Similarly to ACTIV therapy against E0771-Her2 tumors, optimal
22 antitumor effects against 24JK-Her2 and MC38-Her2 were not achieved when the pMEL TCR was
23 absent from transferred T cells (**Supplementary Fig. S3**). A crucial role for the CAR in tumor growth
24 inhibition and eradication was evident from the reduced inhibition of Her2-positive tumors in the
25 absence of CAR (**Fig. 2A,B**). Further support for the role of the CAR in antitumor activity was

1 provided by the observation that the ACTIV-mediated antitumor response was not significant for Her2-
2 negative tumors (**Fig. 2F,G**). Interestingly, subcutaneous MC38-Her2 tumors responded better to VV-
3 gp100 + pMEL than to CARaMEL T cells alone, suggesting that virus-mediated inhibition of tumor
4 growth is a significant contributor to effective ACTIV therapy. Remarkably, all mice with established
5 liver tumors survived long term after ACTIV therapy with no evidence of liver disease upon necropsy,
6 whereas tumors progressed rapidly in non-treated mice, with large liver tumors and peritoneal spread
7 evident (**Fig. 2E**). Therefore, the impressive efficacy of this approach was evident across four tumor
8 model systems.

9

10 **A specific vaccinia virus, TCR and CAR are necessary for optimal effect**

11 To gain an understanding of the contribution from vaccinia virus, we substituted recombinant
12 vaccinia virus expressing gp100 (VV-gp100) with recombinant vaccinia viruses expressing either
13 ovalbumin (VV-OVA) or the polymerase acidic protein (PA) of influenza virus (VV-Flu). Although
14 VV-OVA and VV-Flu had a modest effect on tumor growth, the most effective treatment required VV-
15 gp100, which had the capacity to stimulate CARaMEL T cells through their TCR (**Fig. 3A**). This
16 indicated that specific activation and expansion of dual-specific T cells through their TCR was
17 necessary to achieve optimal antitumor activity.

18 In addition, therapy was ineffective when CAR T cells expressing an OT1 TCR specific for
19 ovalbumin (CAROT T cells) were substituted for CARaMEL T cells, providing more support for the
20 importance of the gp100-specific TCR. Furthermore, an experiment in which the CAR and TCR were
21 present on separate T cells demonstrated that both of these antigen receptors needed to be on the same
22 cell (**Fig. 3B**), further suggesting that activation and expansion of tumor-specific T cells was necessary
23 for effective therapy.

24 Indeed, when tissues were taken from treated mice, extensive proliferation of CARaMEL T
25 cells was observed in the spleens of mice receiving VV-gp100 compared to those receiving no virus

1 (Fig. 4A). Furthermore, extensive infiltration of tumor by CARaMEL T cells followed their expansion
2 in the periphery (Fig. 4B). The remarkable increase in T cell infiltrate afforded by VV-gp100 was also
3 evident from histological examination of tumors (Fig. 4C). T cell infiltration into tumor was
4 independent of CAR expression, since T cells expressing the pMEL TCR alone also accumulated in
5 tumors (Fig. 4E).

6 To gain further mechanistic insight into ACTIV therapy, we investigated the effect of therapy
7 on cellular and molecular components of the tumor microenvironment. Strikingly, tumor-infiltrating
8 CARaMEL T cells expressed high levels of intracellular IFN- γ when isolated from tumors 5 days after
9 transfer, whereas most T cells isolated from spleen expressed no IFN- γ (Fig. 4D).

10 IFN- γ was also detected in dissociated tumors (Supplementary Fig. S4A). Apoptosis of tumor
11 cells was also evident on Day 5 after ACTIV treatment (Supplementary Fig. S4B). Together, these
12 data suggested that CARaMEL T cells contributed to therapy through a robust response specifically
13 within the tumor. However, it is unknown at this stage if this was mediated solely against Her2 through
14 the CAR or against VV-gp100 through the TCR or both.

15 However, in further mechanistic studies, we neutralized IFN- γ using a monoclonal antibody,
16 and found that ACTIV therapy was still highly effective against established tumors (Supplementary
17 Fig. S4C). This suggested that there were other mechanistic contributors to T cell function, and/or an
18 increased contribution from vaccinia virus in the absence of the anti-viral function of IFN- γ . Indeed, the
19 appearance of small lesions on the tails of treated mice was observed when IFN- γ was neutralized,
20 indicating enhanced viral activity.

21 Additional insight into molecular contributors to therapy was gained by blocking the T cell-
22 associated chemokine receptor, CXCR3. The survival of mice following ACTIV therapy was
23 significantly reduced after CXCR3 blockade (Supplementary Fig. S4D), suggesting that this receptor
24 was important in T cell trafficking during ACTIV therapy. Support for CXCR3 blockade acting

1 directly on T cells was provided by the observation that CXCR3 was expressed on activated
2 CARaMEL T cells (**Supplementary Fig. S4E**). In addition, a role for CXCR3 in CAR-independent
3 accumulation of T cells in tumor was supported by similar expression of CXCR3 on activated T cells
4 lacking CAR expression (**Supplementary Fig S4E**).

6 **ACTIV therapy generates a memory response in surviving mice.**

7 An important aim of immunotherapy should be to establish immune memory to protect against
8 tumor recurrence. The existence of immune memory was supported by persistence of CARaMEL T
9 cells for >100 days in lymph nodes and spleen of long term surviving mice (**Supplementary Fig.**
10 **S5A,B**). CARaMEL T cells in surviving mice also expressed high levels of CD62L and CD44,
11 consistent with a memory T cell phenotype (**Supplementary Fig. S5C**). To determine if an anti-tumor
12 immune memory response could be mediated by ACTIV therapy, we rechallenged long term surviving
13 mice with tumor cells in the contralateral site greater than 100 days following initial treatment. Mice
14 that had rejected E0771-Her2 or MC38-Her2 or 24JK-Her2 were completely resistant to rechallenge
15 with the same cells (**Fig. 5A-C**). Interestingly, surviving mice were also partially resistant to
16 rechallenge with parental tumor cell lines lacking expression of Her2, suggesting that epitope spreading
17 had occurred during the initial response leading to tumor-reactive T cells of other specificities (**Fig.**
18 **5D-F**). These data also suggested that the CAR played a role in protection against tumor rechallenge,
19 since long-term survivors of Her2-expressing tumors were totally resistant to rechallenge with the same
20 Her2-expressing tumor (**Fig. 5A-C**) but only partially resistant to rechallenge with tumor cells lacking
21 expression of Her2 (**Fig. 5D-F**).

22 To determine the relative contribution of Her2 and other epitopes to immune memory, we
23 rechallenged mice that had rejected E0771-Her2 with either MC38-Her2 or 24JK-Her2. In both cases,
24 some protection against tumor growth occurred, but was only partial (**Fig. 5G,H**). MC38 and 24JK
25 lacking Her2 expression grew at similar rates in naïve mice and those that had rejected E0771-Her2

1 (Fig. 5I,J). These data suggested that Her2-specific immune memory alone is not sufficient for
2 complete protection.

3

4 **ACTIV therapy can induce transient responses against Her2⁺ normal tissues**

5 The mouse model we used in this study provides a stringent test of treatment efficacy and
6 potential toxicities in a self-antigen setting, where the target antigen is also expressed in some normal
7 tissues, which is often the case in patients. Mice used in our study expressed human Her2 in normal
8 breast and the molecular layer of the cerebellum (17, 26). Mice receiving ACTIV therapy showed some
9 signs of transient toxicity, beginning approximately 5 days after treatment, manifested by weight loss,
10 lethargy and unsteady gait, whereas mice receiving treatments lacking VV or T cells or IL-2 did not
11 exhibit signs of toxicity.

12 In patients, toxicities following rapid regression of large tumor burdens include cytokine release
13 syndrome (CRS) (37). CRS resulting from accumulation of cytokines including interferons and IL-6,
14 can lead to hypotension, neurologic disturbances and pulmonary edema, and can be managed with
15 corticosteroids or Tocilizumab (10). However, serum levels of murine IFN- γ and IL-6 and other
16 cytokines in treated mice were relatively low (<100 pg/ml IL-6) (**Supplementary Fig. S6A**) in
17 comparison to those associated with CRS (>1000 pg/ml IL6) (38), suggesting that CRS did not play a
18 major role in the observed toxicity.

19 To provide a rigorous test of toxicity against breast, we used lactating mice, which express
20 higher levels of mammary Her2 than non-lactating mice (26). T cells were found infiltrating breast
21 tissue of ACTIV-treated mice (**Fig. 6A**) but not naive mice (**Fig. 6B**). The extent of T cell
22 accumulation correlated with a high level of Her2 expression, since a much reduced level of infiltrate
23 was present in the breasts of non-lactating mice (**Fig. 6C**), where Her2 expression was reduced
24 compared to lactating mice.

1 To gain further insight into toxicity, we recovered the brains from non-treated and ACTIV-
2 treated mice and performed immunohistochemistry to determine whether treatment provoked T cell
3 infiltration into Her2-expressing normal tissues. T cells were absent from the brains of non-treated
4 mice (**Fig. 6D**), but a T cell infiltrate was observed in the molecular layer of the cerebellum in ACTIV-
5 treated mice, which extended into the granular layer (**Fig. 6E**). T cell infiltration was also observed in
6 other areas of the brain, but to a much lesser degree (**Fig. 6F**). Since the cerebellum is important in
7 maintaining coordination, it seemed likely this cerebellar inflammation was responsible for the
8 observed unsteady gait of mice.

9 To determine the role of Her2 expression on toxicity and T cell infiltration into the brain, we
10 treated Her2⁺ tumor-bearing RAG1^{-/-} mice with ACTIV therapy. RAG1^{-/-} mice were chosen since
11 mouse tumors expressing human Her2 will grow in this immunodeficient strain, unlike in wild type
12 C57BL/6 mice which reject Her2⁺ tumors. In addition, RAG1^{-/-} mice lack expression of Her2 in the
13 brain (**Fig. 6G**), unlike Her2 transgenic mice, which express substantial amounts in the molecular layer
14 and Purkinje cells (**Fig. 6H**). A much reduced T cell infiltrate was observed in the brains of ACTIV-
15 treated RAG1^{-/-} mice (**Fig. 6I**) compared to that observed in Her2 transgenic mice, suggesting that Her2
16 expression played a role in the accumulation of large numbers of T cells in the brain.

17 Remarkably, despite the significant T cell infiltrate in the brain, ACTIV-treated Her2 mice
18 recovered fully by Day 9 after treatment, with resolution of both lethargy and unsteady movement. In
19 addition, histological examination greater than three months later demonstrated that brain tissue had
20 returned to normal, exhibiting normal appearance of the molecular layer, granular layer and Purkinje
21 cells of the cerebellum (**Fig. 6J, Supplementary Fig. S6B**). This resumption of normal tissue
22 morphology was despite the persistence of CARAMEL T cells in lymph nodes and spleen greater than
23 100 days after tumor eradication. Furthermore, no ACTIV-mediated toxicity and no associated weight
24 loss was observed in RAG1^{-/-} mice (**Fig. 6K**), despite equivalent efficacy of ACTIV therapy in RAG1^{-/-}
25 mice (**Fig. 6L**).

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DISCUSSION:

Eradication of large burdens of orthotopic solid tumors by immunotherapy in a syngeneic setting is extremely rare (23, 24), and we are not aware of any descriptions of an immunotherapeutic strategy able to eradicate established tumors of several different histologies in a self-antigen immunocompetent setting. In this study, we generated dual-specific T cells and used them in combination with an indirect viral vaccine in an immunotherapy regimen against established tumors in mice. ACTIV therapy, which included preconditioning, IL-2, dual-specific T cells and recombinant vaccinia, was highly effective in several tumor models including orthotopic breast cancer, subcutaneous sarcoma, and colon carcinoma present as large liver masses.

The dual-specific nature of the T cells enabled them to respond to tumor through a CAR, and expand in response to a vaccine through a TCR. The CAR was required for tumor eradication, since treatment using vaccine in combination with T cells lacking the CAR was unable to induce complete tumor responses. In this study, we made use of T cells from transgenic mice as a convenient source of effector cells without the need for genetic transduction. However, previous studies have demonstrated the feasibility of transducing primary T cells and manipulating their phenotype for adoptive transfer(39-41), which supports the translational potential of this approach.

We found that inclusion of CD8⁺ CARaMEL T cells alone in the ACTIV regimen was sufficient for treatment efficacy, suggesting that the mechanism was largely independent of CD4⁺ T cell help. CD4-independent CD8 T cell responses have been described previously in cancer and other disease settings (42, 43). However, although mice originally received lymphodepleting preconditioning, we cannot rule out a contribution from subsequent repopulation by endogenous CD4⁺ T cells.

The recombinant strain of vaccinia used in this study contained a human gp100 minigene incorporated into the viral locus encoding a ribonucleotide reductase gene, effectively rendering the

1 virus deficient in this gene (31), resulting in attenuation of virulence and the potential gain of oncolytic
2 potential (44). The virus had the ability to induce activation and proliferation of CARaMEL T cells,
3 and expansion of T cells and their accumulation in tumors was demonstrated. Thus, the recombinant
4 vaccinia virus may have contributed in several ways to tumor regression, including oncolysis and
5 induction of inflammation, in addition to activation and expansion of T cells. The specific recombinant
6 VV-gp100 vaccine was necessary for tumor eradication, as evidenced by the failure of VV-OVA and
7 VV-Flu to synergize with CARaMEL T cells to induce complete tumor regressions. There are reports
8 of the safe intravenous delivery of oncolytic vaccinia virus to humans, supporting the feasibility of
9 ACTIV therapy (45). However, a range of other recombinant oncolytic viruses exist including
10 adenovirus, herpesvirus and reovirus (46), which may be effective against a variety of cancers
11 depending on viral tropism for, and ability to proliferate in, specific tumors.

12 We also determined that high dose IL-2 was necessary for tumor eradication, and a
13 lymphodepleting preconditioning step has also been shown to be necessary in previous studies using
14 pMEL T cells in the melanoma setting (24). IL-2 played a role in supporting T cell growth and
15 activation, and endogenous IL-2 produced from CARaMEL T cells seemed insufficient for durable
16 tumor eradication. Activation and expansion of CD8⁺ T cells often benefits from IL-2 secretion from
17 “helper” CD4⁺ T cells (47). However, the transferred CARaMEL T cells are largely CD8⁺, which
18 produce little IL-2, suggesting that administration of exogenous IL-2 is required for optimal antitumor
19 activity.

20 Although IL-2 has been demonstrated to be less well tolerated in humans, similar CD8⁺ T cell
21 support roles can be attributed to IL-7, IL-15 and IL-21 (41), which may provide less toxic alternate
22 cytokines for T cell support in humans. While both IL-2 and vaccination were necessary for optimal
23 antitumor effects, their administration was not sufficient for tumor eradication, which required co-
24 administration of dual-specific T cells (Fig. 1A,D, Fig. 2A,B). It is likely that the increased frequency

1 of tumor-specific T cells afforded by this approach contributed to the enhanced efficacy compared to
2 the combination of vaccination and IL-2 therapy.

3 The lymphodepleting whole body irradiation regimen enhances homeostatic engraftment of T
4 cells and induces systemic inflammatory cytokines through exposure to gut commensal bacteria
5 (48). Thus, in this study, four constituents of ACTIV therapy combined to stimulate immunity leading
6 to tumor destruction.

7 Of note was the ability of ACTIV therapy to eradicate tumors of several different histologies
8 and in different tissues. Tumors of different histologies can vary in the composition of their
9 immunosuppressive microenvironments, which can affect their response to immunotherapy (49, 50).
10 Indeed, tumors of different types and in various anatomical locations vary in their response to
11 immunotherapy (51). Our results in several models suggest that inducing a robust immune response has
12 the ability to overcome a variety of immunosuppressive mechanisms leading to the resolution of
13 established malignant disease.

14 In addition to demonstrating the outstanding efficacy of this approach, we also identified some
15 unique mechanistic insight into the role of IFN- γ . In contrast to many previous studies indicating an
16 essential role for IFN- γ in cancer immunotherapy (42), we demonstrated a reduced contribution of IFN-
17 γ to ACTIV therapy. However, we did observe enhanced activity of vaccinia virus, as seen by the
18 appearance of small lesions on the tails of mice that were administered IFN- γ -neutralizing antibody. It
19 is therefore possible that any direct decrease in T cell function mediated by reduced IFN- γ was offset
20 by enhanced activity of vaccinia virus. The appearance of viral lesions suggests at least partial
21 inhibition of interferon, but it is not clear at this stage whether neutralization of IFN- γ was complete.

22 CXCR3 is a chemokine receptor expressed on activated T cells, particularly Th1-type CD4⁺ T
23 cells and CD8⁺ cytotoxic T lymphocytes, and is involved in migration of T cells towards tumors (52)

1 and viral infected tissues (53). Here, we demonstrated the importance of CXCR3 in eradicating tumors
2 using adoptively transferred dual-specific T cells.

3 Our observations that mice that had rejected Her2⁺ tumors were resistant to rechallenge with the
4 same tumor lacking Her2 suggested that ACTIV therapy had assisted in the generation of an immune
5 response against tumor antigens other than Her2 in a process referred to as determinant- or epitope-
6 spreading (54). In addition, we observed that mice surviving after primary tumor rejection were
7 partially resistant to rechallenge with different tumors that shared Her2 expression, but not other
8 antigens, with the primary tumor. Epitope spreading has been observed previously in patients receiving
9 ACT as evidenced by the appearance of new antibody specificities (55) and increased frequency of T
10 cells specific for several candidate antigens (56). Here, we provide further support for the ability of
11 ACT to induce epitope spreading. In addition, we provide evidence that this phenomenon, induced as a
12 result of combined CAR T cell and vaccine, can potentially participate in the rejection of tumors and
13 protection from disease recurrence.

14 In this study, we targeted the tumor-associated antigen Her2 using CAR T cells, but the
15 availability of CARs of other specificities enables the extension of this approach to wide variety of
16 antigens. Furthermore, primarily for modelling purposes, we used a TCR specific for gp100, which is
17 an antigen expressed in normal human tissues including melanocytes, substantia nigra and retina. The
18 use of this TCR in the clinic would not be ideal due to potential toxicity against normal tissues. A
19 clinically safer option would be to develop TCRs specific for foreign antigens such as influenza virus.
20 In addition, the development of further TCRs would extend specificities beyond the HLA-A*0201
21 restriction of the current model.

22 Previous studies have utilized CAR-transduced virus-specific T cells bearing an endogenous
23 virus-specific TCR reactive with Epstein-Barr virus or cytomegalovirus or adenovirus (57-59). While
24 these T cells possessed activity against both viral antigens and tumor, they did not expand well after
25 adoptive transfer. In contrast, dual-specific T cells in the current study expanded extensively,

1 suggesting that expansion of transferred T cells may be better achieved using active administration of a
2 potent viral vaccine rather than relying on stimulation by endogenous reserves of persisting virus.

3 Tumor-associated antigens are often expressed by a range of normal tissues and on-target/off-
4 tumor toxicities have been observed in some previous clinical trials using CAR T cells (60-62). We
5 observed toxicity in Her2 transgenic mice receiving ACTIV therapy, manifested by weight loss,
6 lethargy and unsteady movement. Our observations of a role for Her2 expression in the brain in
7 mediating infiltration into the cerebellum suggest that an initial low level of predecessor immigrant T
8 cells react against Her2 to either create an inflammatory environment to attract more T cells, or their
9 proliferation in situ, or a combination of these events. However, toxicity was not observed in mice
10 receiving ACTIV therapy where pMEL T cells substituted for CARaMEL T cells or in RAG1^{-/-} mice
11 that lacked Her2 expression in normal tissues. This suggested that a direct response against Her2-
12 expressing normal tissues, most likely brain, was the predominant contributing factor to toxicity.

13 This mouse model in which Her2 was expressed in brain and breast provided valuable insight
14 into targeting a tumor-associated antigen using CAR T cells. We observed that large tumor burdens
15 could be eliminated despite considerable self-antigen expression, even in crucial tissues, with limited
16 transient toxicity. Thus, while the initial promise of greater specificity and less toxicity of
17 immunotherapies has yet to be realized, this study in the challenging solid tumor setting, together with
18 other studies in hematologic cancers, have identified promising ways to eradicate malignancies, albeit
19 with some toxicity (10-13). Future developments of these approaches, particularly using logic-gated
20 chimeric receptors (63, 64), with two or more specificities, may yet see truly tumor-specific
21 immunotherapies free of side effects come to fruition.

22 The wider clinical application of the approach may involve transduction of patient T cells with
23 two genes, one encoding a CAR and one encoding a TCR of known specificity. Alternatively, it may be
24 possible to design potent vaccines to use with CAR-modified viral-specific T cells to build on previous
25 approaches (58).

1 Our results support a view that it is possible to design an effective combination immunotherapy
2 approach such that tumor destruction occurs, along with tolerable side effects, when each component
3 cooperates to induce optimal effects, as seen in this study using a combination of dual-specific CAR T
4 cells and an indirect vaccine.

5
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8
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11 experiments. D.C.T. and S.E. provided important reagents, protocols and data analysis. H.M.P., J.A.T.,
12 R.W.J., M.J.S., M.W.T. and P.N. provided intellectual input into study design and data interpretation.
13 Z.Y., S.A.R. and N.P.R. provided important reagents, protocols and intellectual input.

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1 **FIGURE LEGENDS**

2 **Figure 1. ACTIV therapy mediates regression of established orthotopic breast tumors. (A)** Mice
3 bearing established E0771-Her2 mammary tumors received the treatments listed and tumor growth
4 monitored. **(B)** Tumor growth in individual mice receiving ACTIV therapy from panel (A). **(C)**
5 Example of a mouse bearing a tumor in excess of 150 mm² before (left panel) and after (right panel)
6 ACTIV therapy. **(D)** Survival of tumor-bearing mice from panel (A) after receiving the treatments
7 listed (A-D, n=7 mice for CARaMEL + IL-2 and untreated, and 8 mice in all other groups,
8 representative of 3 experiments). **(E)** Tumor growth in mice receiving purified CD4⁺ or CD8⁺
9 CARaMEL T cells or splenocytes that had undergone a mock purification process (E, n=4 for the
10 CD8⁺ and CD4⁺ CARaMEL group, n=5 for the CD8⁺ CARaMEL group and n=6 mice for other
11 groups, representative of 3 experiments). **(F)** Tumor growth in mice bearing either Her2-positive or
12 Her-2-negative E0771 tumors that were treated with ACTIV therapy or untreated (n=6 mice per group).
13 **(G)** Mice received either tumor cells expressing Her2 (5×10^5) or not (5×10^4), or a mixture of Her-
14 positive (4.5×10^5) and Her-2-negative (5×10^4) cells. All groups, except non-treated, received human
15 recombinant IL-2 and 5 Gy irradiation according to the standard ACTIV treatment detailed in Materials
16 and Methods. Data is displayed as the mean with error bars representing the SEM. Significance
17 determined by one-way ANOVA (A, E) and Mantel-Cox test (D) *p<0.05, **p<0.01, ***p<0.001,
18 ****p<0.0001.

19
20 **Figure 2. A variety of solid tumors respond to ACTIV therapy. (A)** Tumor growth in mice bearing
21 established (14-day) subcutaneous 24JK-Her2 fibrosarcomas receiving ACTIV therapy (5 Gy
22 irradiation + VV-gp100 + CARaMEL + IL-2) or treatment where pMEL T cells substituted for
23 CARaMEL T cells (n=7 mice per group, representative of two experiments). **(B)** Tumor growth in mice
24 bearing established (14-day) subcutaneous MC38-Her2 colon carcinomas (n=5 for the CARaMEL
25 group and n=6 mice for the rest of the groups, representative of two experiments and **(C)** Survival of

1 mice bearing established (11-day) MC38-Her2 subcutaneous tumors. **(D)** Representative pictures of
2 mice bearing MC38-Her2 liver tumors before treatment (left panel) or 7 days later after receiving no
3 treatment (center panel) or 100 days post ACTIV treatment (right panel)(arrow points to large hepatic
4 tumor) (C and D, n=9 mice for ACTIV-treated, n=10 for untreated). (E) Survival of mice bearing
5 established (11 day) MC38-Her2 liver tumors. (F) Tumor growth in mice bearing subcutaneous 24JK
6 tumors lacking Her2 expression and either ACTIV-treated or non-treated (n=6 mice per group). (G)
7 Tumor growth in mice bearing subcutaneous MC38 tumors lacking Her2 expression and either
8 ACTIV-treated or non-treated (n=6 mice per group). All treated groups of mice received human
9 recombinant IL-2 and 5 Gy irradiation according to the standard ACTIV treatment detailed in Materials
10 and Methods. Data is displayed as the mean with error bars representing the SEM. Significance
11 determined using one-way ANOVA (A,B) and Mantel-Cox test (C) **p<0.01, ***p<0.001,
12 ****p<0.0001.

13
14 **Figure 3. Specific vaccinia virus and dual-specific T cells are required for optimal anti-tumor**
15 **activity. (A)** E0771-Her2 tumor growth in mice receiving ACTIV therapy (5 Gy irradiation + VV-
16 gp100+CARaMEL+IL-2) or treatments containing viruses lacking gp100 (n=6 mice per group). **(B)**
17 Mice received either T cells expressing the Her2-specific CAR and an ovalbumin-specific TCR
18 (CAROT T cells) instead of CARaMEL T cells, or a mixture of T cells from CAR mice and pMEL
19 mice (pMEL+CAR, 1×10^7 of each), in which the Her2-specific CAR and the gp100-specific TCR
20 were not expressed in the same cell (n=5 mice for VV-gp100 treated group, n=6 for all other groups).
21 All treated mice in this figure received IL-2 and 5 Gy irradiation. Data is displayed as the mean with
22 error bars representing the SEM. Significance determined by one-way ANOVA *p<0.05, **p<0.01.

23
24 **Figure 4. ACTIV therapy leads to expansion of T cells and their accumulation in tumors. (A)**
25 Splens and **(B)** E0771-Her2 tumors, were taken at the listed time points and subjected to flow

1 cytometric analysis. The percentage of CD8⁺/Thy1.1⁺ live cells is presented (For A, n=3 mice for Day
2 3, n=6 mice for all other groups. For B, n=6 mice per group. Representative of 3 experiments). (C)
3 Representative sections of tumors from mice treated with ACTIV therapy (lower panel) or therapy
4 lacking vaccinia (upper panel) stained with anti-CD8 (brown) (scale bar = 50 μm) (Representative of
5 tumors from 3 mice, 3 sections per mouse). (D) Representative flow cytometry plots gated on
6 CD8⁺/Thy1.1⁺ from spleens and tumors of mice receiving either ACTIV therapy (lower panel) or
7 therapy lacking vaccinia (upper panel) stained with anti-IFN-γ and anti-CD44 (n=3 mice per group).
8 (E) Representative flow cytometry plots of dissociated tumors from mice receiving Thy1.1⁺ T cells
9 possessing both the CAR and pMEL (CARaMEL) or the pMEL TCR alone (pMEL). All treated mice
10 in this figure received IL-2 and 5 Gy irradiation. Data is displayed as the mean with error bars
11 representing the SEM. Significance determined using Student's t test (two-sided) (a,b) **p<0.01,
12 ***p<0.001, ****p<0.0001.

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15
16 **Figure 5. Surviving mice are resistant to tumor rechallenge.** Mice that had rejected (A) E0771-
17 Her2, (B) MC38-Her2, (C) 24JK-Her2 following ACTIV therapy were rechallenged with E0771-Her2
18 or MC38-Her2 or 24JK-Her2 respectively and tumor growth compared to that in naïve mice (control)
19 (n=4 mice per group). Mice that had rejected (D) E0771-Her2, (E) MC38-Her2, (F) 24JK-Her2 were
20 rechallenged with E0771 or MC38 or 24JK cells (lacking Her2) respectively and tumor growth
21 compared to that in naïve mice (control) (n=4 mice per group). Mice that had rejected E0771-Her2
22 were rechallenged with (G) MC38-Her2 or (H) 24JK-Her2 and tumor growth compared to that in
23 control naïve mice (n=5 mice per group). Mice that had rejected E0771-Her2 were rechallenged with
24 (I) MC38 (lacking Her2) or (J) 24JK (lacking Her2) and tumor growth compared to that in control

1 naïve mice (n=5 mice per group). Data is displayed as the mean with error bars representing the SEM.
2 Significance determined by Mann-Whitney test. *p<0.05, **p<0.01, ***p<0.001, ****p<0.0001.

3

4 **Figure 6. Dual-specific T cells accumulate in Her2-expressing normal tissues.** (A)-(C) CD8-stained
5 (in brown) breast tissue taken from (A) lactating mice receiving ACTIV therapy or from (B) naïve mice
6 or from (C) non-lactating mice receiving ACTIV therapy. (D) Section of cerebellum from control
7 E0771-Her2 tumor-bearing mice and (E) cerebellum from ACTIV-treated mice and (F) cerebrum from
8 ACTIV-treated mice all stained with anti-CD8. (G) and (H) cerebellums from RAG1^{-/-} mice or Her2
9 transgenic mice respectively stained with anti-Her2. (I) CD8-stained cerebellum from RAG1^{-/-} mice 5
10 days after ACTIV treatment. (J) Cerebellum of Her2 transgenic mouse >60 days after ACTIV therapy
11 and tumor rejection. (K) body weight of E0771-Her2 tumor-bearing RAG1^{-/-} or Her2 mice receiving
12 ACTIV therapy or non-treated. (L) E0771-Her2 tumor growth in either RAG1^{-/-} or Her2 mice receiving
13 ACTIV therapy or non-treated. (A) – (J) representative of 3 sections from each of 3 mice. (K) and (L)
14 RAG1^{-/-} control=7 mice, RAG1^{-/-} ACTIV=8 mice, Her2 control=8 mice, Her2 ACTIV=6 mice. All
15 treated mice received the full ACTIV therapy regimen including human recombinant IL-2 as described
16 in Material and Methods. Data is displayed as the mean with error bars representing the SEM.
17 Significance determined using one-way ANOVA. **p<0.01, ****p<0.0001, ns – not significant. Scale
18 bar = 50 µm.

19

Figure 1

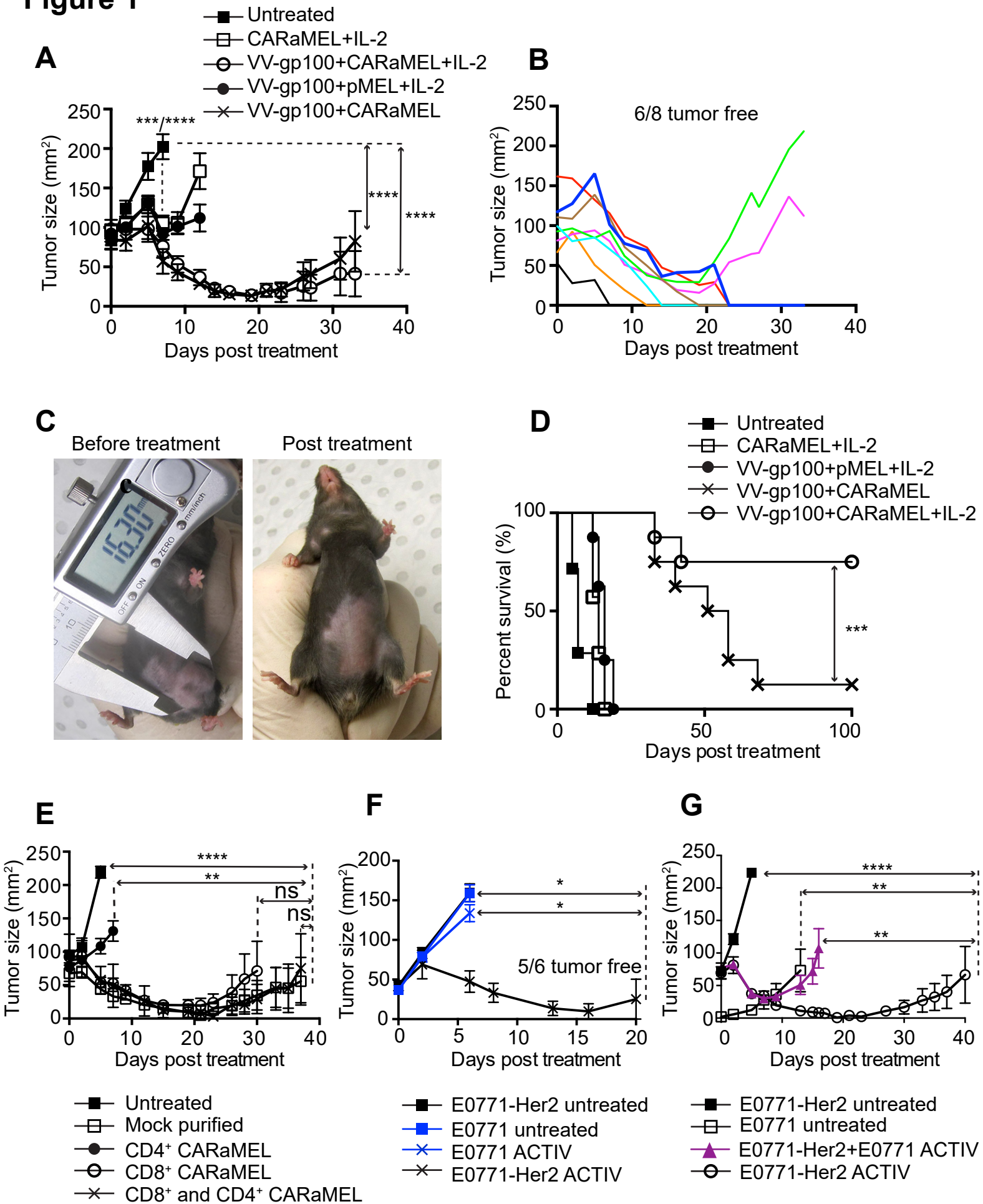


Figure 2

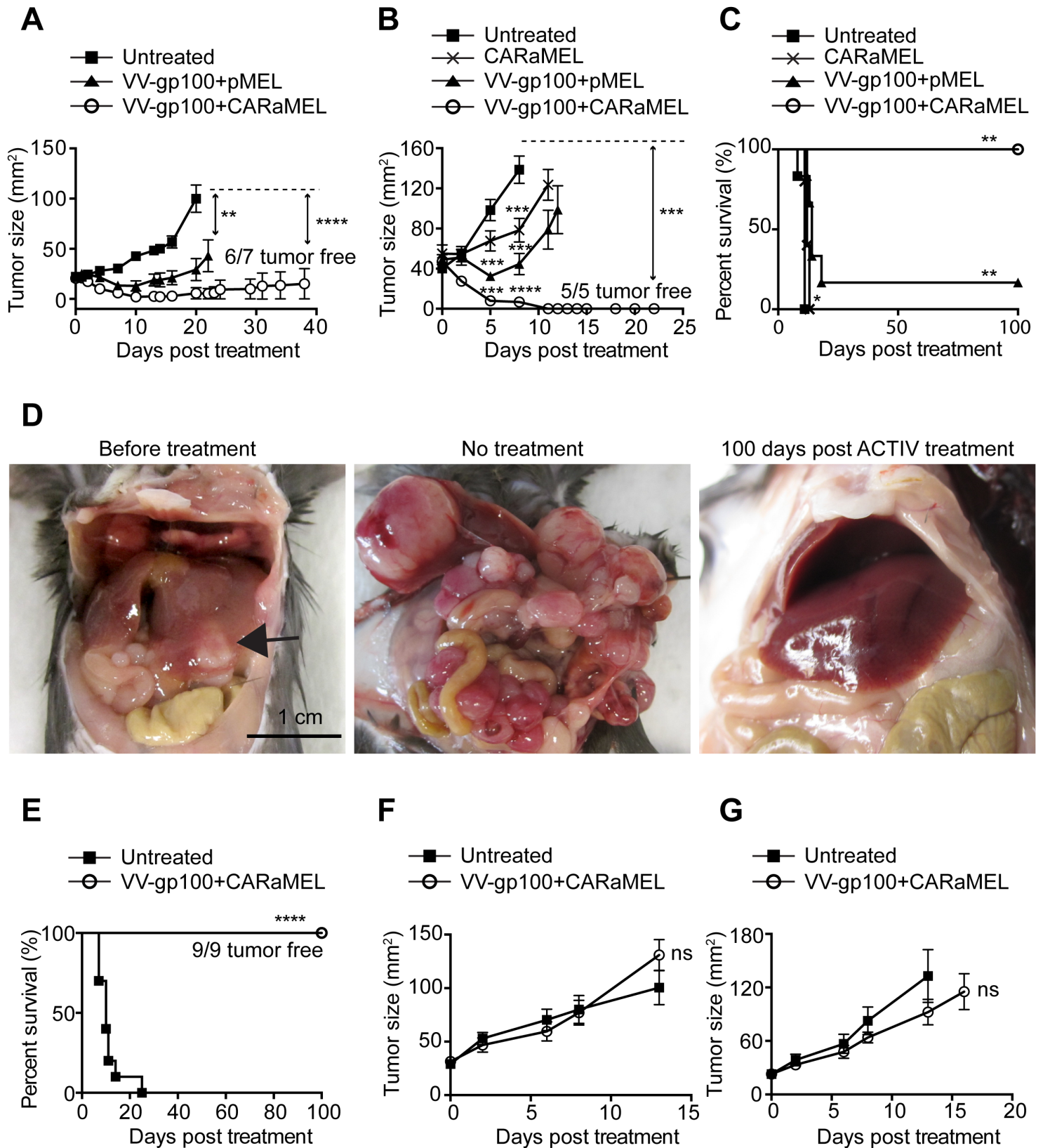


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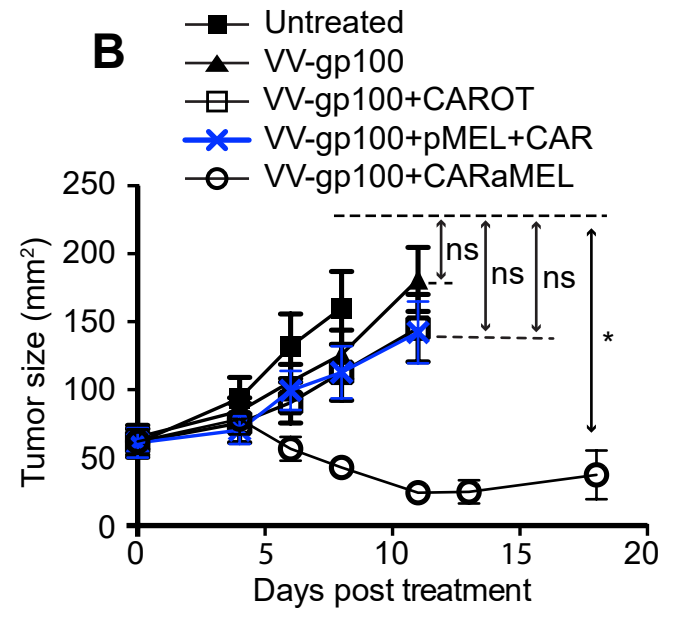
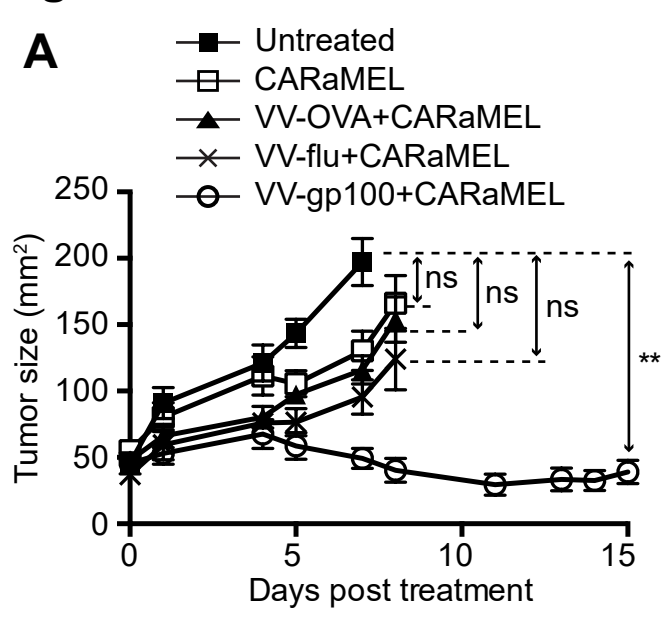


Figure 4

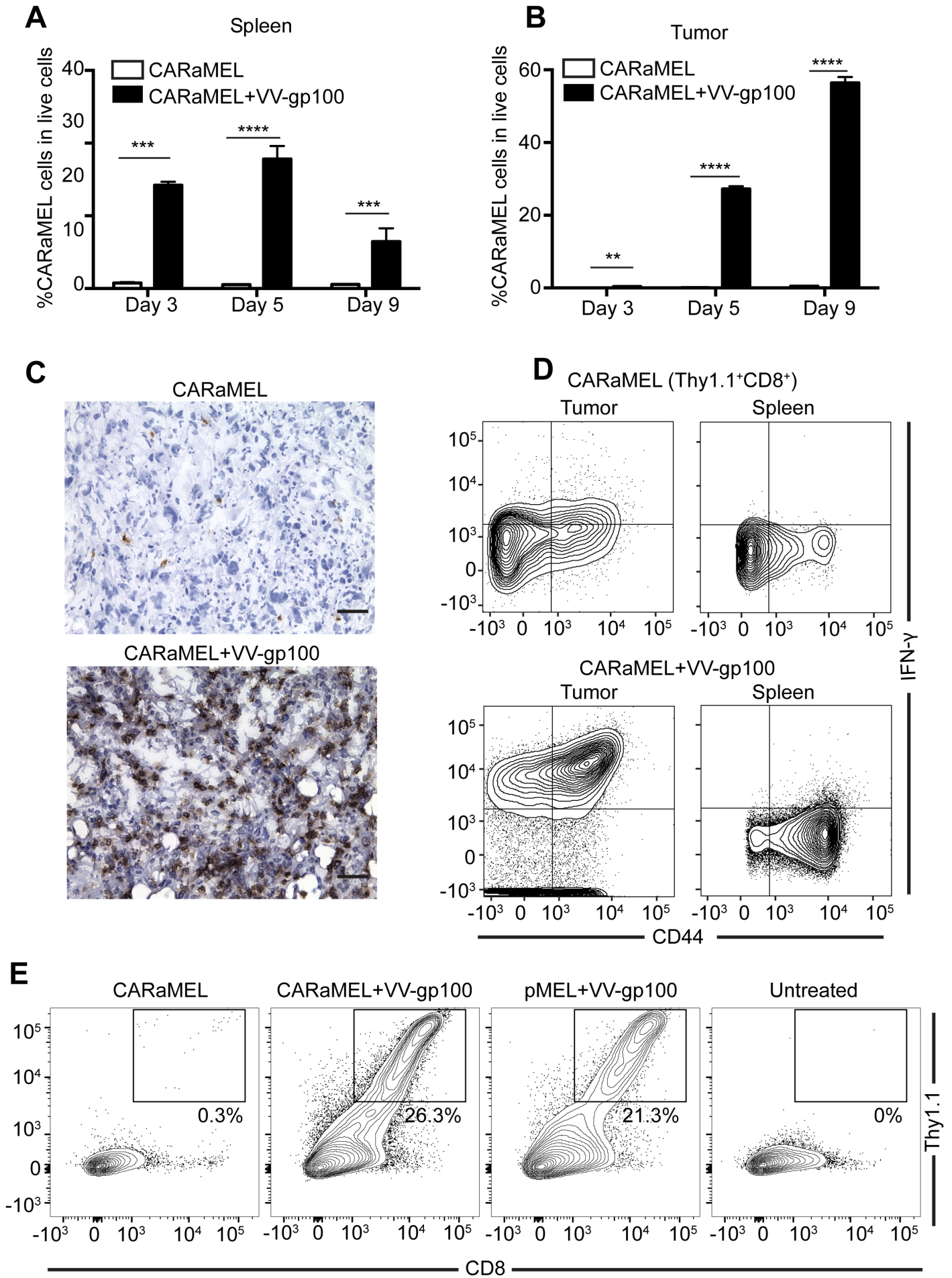


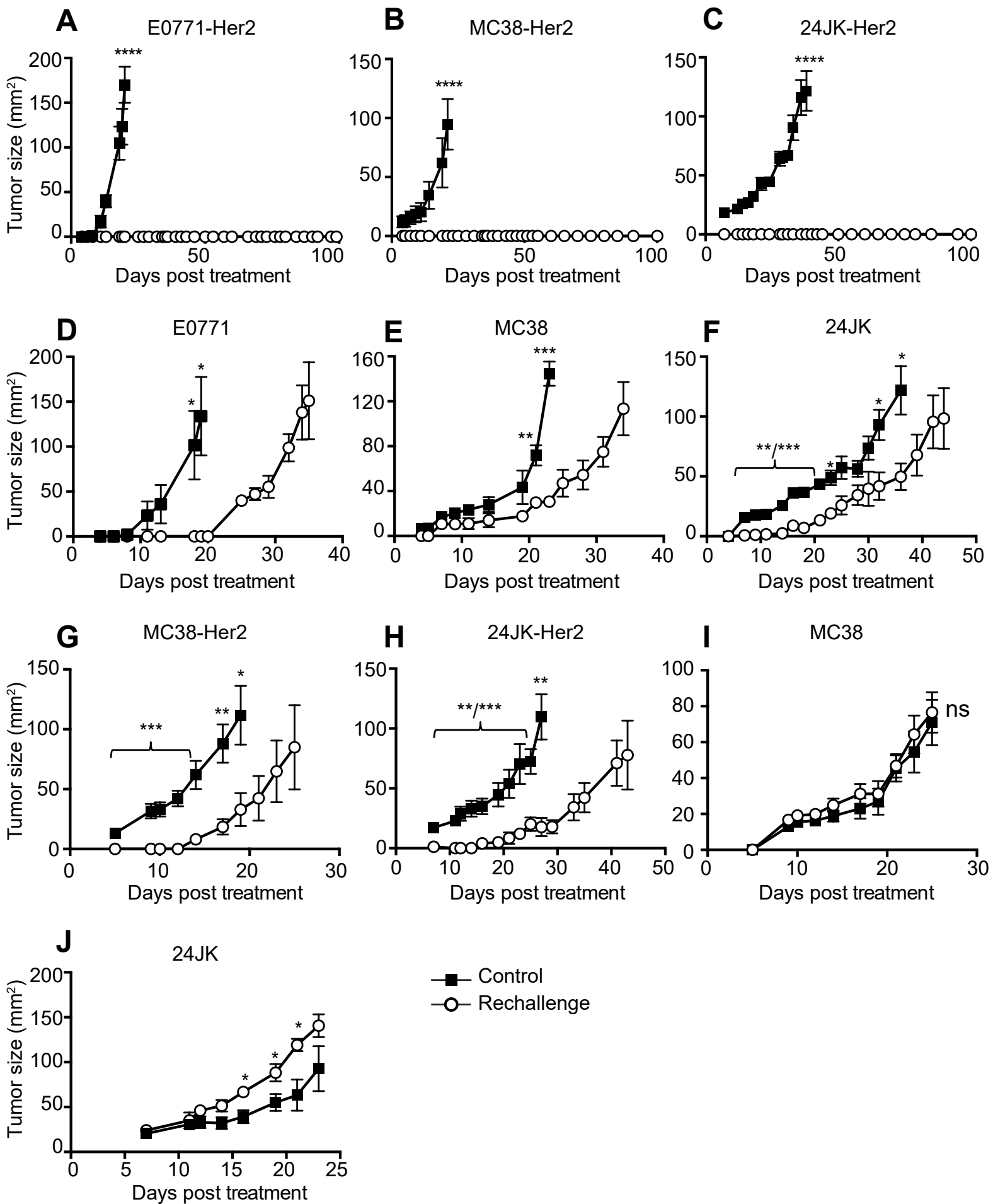
Figure 5

Figure 6

