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Chamoli Disaster: Pronounced Changes in Water Quality and Flood Plains Using Sentinel Data

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Abstract

The Himalayan rivers are vulnerable to devastating flooding caused by landslides and outbreak of glacial lakes. On 7 February 2021, a deadly disaster occurred near the Rishi Ganga Hydropower Plant in the Rishi Ganga River, killing more than 100 people. During the event, a large volume of debris and broken glacial fragments flooded the Rishi Ganga river and washed away the Rishi Ganga Hydropower plant ongoing project. This study presents the impact of the Chamoli disaster on the water quality of Rishi Ganga river in upstream near Tapovan and Ganga river in downstream near Haridwar through remote sensing data. We used five points at different locations across the two study areas and used three different indices, Normalized difference water index (NDWI), Normalized difference turbidity Index (NDTI), and Normalized difference chlorophyll index (NDCI), to analyze changes in water quality. We also compared the spectral signatures and backscattering coefficients derived from Sentinel-2 Optical and Sentinel-1 SAR data. It was evident from the water quality indices and spectral signatures that the flood plains changed significantly. Using spectral signatures and different indices, we also found that the water in the Cheema dam canal near Haridwar decreased after the Chamoli disaster event as the flood gates were closed to stop the deposit of sediments in the canal. Our results suggest changes in water quality parameters (turbidity, chlorophyll concentration, NDWI) at the five locations near the deadly site and far away at Haridwar along the Ganga river. Our study is a preliminary qualitative analysis showing changes in river flood plain and water quality after the Chamoli disaster.

1. Introduction

In the northern parts of India, the Himalayan rivers are glacier-fed and pass through the Indo-Gangetic plains (IGP) and plunge into the Bay of Bengal. These rivers, which originate in the Himalayas, are very dynamic and carry out huge amounts of eroded rocks and sediments. The coarser sediments are deposited near the river's source, and along the river, the sediments in the rivers exhibit characteristics of size of sediments. The finest sediments are deposited at the mouth of the river in the Bay of Bengal (Singh, Singh, and Müller 2007). About 500 million people, reside within the Ganga Basin, especially along the Ganga River and its tributaries (Bain et al. 2021).

The discharge of pollutants impairs water quality in rivers from factories and other human activities such as washing clothes, sewage discharge, runoff during the monsoon season (Garg, Aggarwal, and Chauhan 2020). Some of the historical holy cities (Haridwar, Allahabad, Banaras) come with their families to perform religious and ritual activities. Over the last few decades, the Government of India has been making efforts to minimize industrial discharges and reduce human activities to clean up the Ganga river (Arora, Tewari, and Singh 2013). The current Government introduced the "Namami Ganges Program" launched in 2014 to clean up the Ganga River. Similar efforts were made earlier in the last several decades, but the efforts did not improve since, at some places, the factory owners made underground channels to discharge their effluents. In recent years, attempts have been made to perform continuous monitoring at several locations (Maji and Chaudhary 2019; Santy, Mujumdar, and Bala 2020), and these studies have revealed pronounced changes in water quality parameters and metal concentrations. Prasad

and Singh (2010) considered major Indian rivers and studied sediment accumulated at the mouth of rivers along eastern and western coasts. The changes in the sediment concentrations and in mineral compositions are attributed to the changes in the surface terrain through the flow of rivers and highly dependent on the precipitation during the monsoon. The Ganga river is prone to severe flooding whenever extreme precipitation occurs, particularly during monsoon rains (Kale 2003). The coastal rivers along the coast suffer due to a surge of ocean water during the cyclone season (Whitehead et al., 2018). Kundu et al. (2001) observed pronounced changes in chlorophyll-a (Chl-a) concentrations and enhancement in the organic sediments. Singh et al. (2002) observed changes in water quality along the Gujarat coast associated with the 2001 Gujarat earthquake (Singh, Bhoi, and Sahoo 2002).

The water quality parameters: Chl-a and total suspended matter (TSM), coloured dissolved organic matter (CDOM), turbidity, and water clarity are important parameters to study climatic variables for lakes, coastal water, ponds, and river waters (Prasad and Singh 2010; Chang, Harrison, and Huang 2015; Garg, Aggarwal, and Chauhan 2020; Menon et al. 2011; Song et al. 2012). These parameters are also important to monitor the quality of water bodies and sources of water pollutants. Satellite data are widely being used to monitor several water parameters (suspended sediment, phytoplankton, and coloured dissolved organic matters) (Wang et al. 2021). Recently, Garg et al. (2020) observed pronounced changes in water quality along the Ganga river during the COVID-19 lockdown (Garg, Aggarwal, and Chauhan 2020) due to the decline in human activities.

In this paper, we present the abrupt changes in the water quality using Sentinel – 2 multispectral and SAR data along the confluence of the Dholi Ganga and the Alaknanda rivers up to Haridwar in the northern part of India after the deadly Chamoli disaster of 7 February 2021. Huge amount of debris and glacial fragments were deposited into the Rishi Ganga river near Tapovan, causing a change in the flood plains (Fig. 1) and water quality, clearly visible from the satellite images.

2. Data And Methods

Sentinel-2 MSI Level-1C (L1C) images were downloaded from Google Earth Engine's (GEE) open satellite image archive that helped, on the fly, bi-temporal image pre-processing in the cloud. With the help of the GEE's JavaScript coding, we performed pre-processing steps like selection and filtering of clouds for cloud-free images and suitable time range of Sentinel-2 images. Similarly, Sentinel-1 images were also downloaded from GEE for selecting the polarisations and date of images. We derived several indices for qualitative assessment of the water quality along the rivers.

2.1 Normalized Difference Water Index (NDWI):

Remote Sensing techniques provide the ease to analyze the changes over the earth surface using satellite data. Using the spectral water index, we have studied the surface water dynamics and changes after the disaster at different locations. The spectral water index is derived using various mathematical operations, ratios, differences, and normalized differences of two or more bands. Such arithmetic spectral operation

also helps in cancelling out the large portion of noise. The concept of the normalized difference water index (NDWI) was first developed by (McFeeters 1996), as:

$$NDWI = rac{(R_G - R_{NIR})}{(R_G + R_{NIR})}(1)$$

where R_G = Reflectance in green Band (Band 3 for Sentinel-2, Table 1)

R_{NIR} = Reflectance in the near-infrared (Band 8 for Sentinel-2, Table 1)

NDWI more than 0 indicates water bodies, less than 0 indicates non-water surfaces, and a negative value of NDWI represents muddy water or land surface (Xu 2006). Based on trial and error, we have found the threshold values for the water pixels.

2.2 Normalized Difference Turbidity Index (NDTI)

The Normalized Difference Turbidity Index (NDTI) is defined using Red and Green bands as:

$$NDTI = rac{(R_R - R_G)}{(R_R + R_G)}$$

2

where R_R = Reflectance in Red Band (Band 4 for Sentinel-2, Table 1) R_G = Reflectance in Green (Band 3 for Sentinel-2, Table 1).

Water shows weak reflectance in green, small in red and quasi-nell in near-infrared bands (Robin, 1995). The presence of sedimentation in water due to turbidity affects the reflectance as the spectral behaviour of turbid water is similar to that of bared soils (Guyot, 1989), depending on the concentrations of sediments. The reflection is higher in the red band compared to the green band. The positive values of NDTI indicate a higher level of turbidity, whereas the negative values indicate clean water. NDTI values vary in the range – 0.03–0.03 are similar to those of arable land with a vegetation cover (Solovey 2019).

2.3 Normalized Difference Chlorophyll Index (NDCI):

Chlorophyll-a (Chl-a) concentration of the water depends on the level of nutrients in water along with the temperature. The floodwater influences the Chl-a concentration of the river, and soon after the flood, changes in Chl-a are observed. The Normalized Difference Chlorophyll Index (NDCI) is calculated using the following Eq. (3) (Mishra and Mishra 2012):

$$NDCI = rac{(R_{VR}-R_R)}{(R_{VR}+R_R)}$$

3

where, R_{VR} = Reflectance in Vegetation Red Edge Band (Band 5 for Sentinel-2, Table 1)

R_R = Reflectance in Red (Band 4 for Sentinel-2, Table)

and

The Chal-a concentration (μ gL⁻¹) is calculated using Eq. (4) (Mishra and Mishra 2012):

Chal-a (μ gL⁻¹) = 194.325×NDCl² + 86.115×NDCl + 14.039 (4)

The lower reference limit of Chal-a 20 μ gL⁻¹ corresponds to 0.06 NDCI. NDCI_{>0.6} represents poor water quality (USEPA, 2008) as the Chal-a is greater than 20 μ gL⁻¹. The negative NDCI values show the overflow conditions with lower Chal-a concentration.

2.4 Sentinel – 2 derived Spectral signatures:

The sensors onboard the Sentinel – 2 satellite measure the radiance values correspond to the brightness of the land objects by receiving the reflected signal on the sensor. The return of the signal is the reflectance, as a function of wavelength, measured in nanometres (nm), which shows characteristics of the earth surface objects (Vuolo et al. 2016). For example, plants and vegetations reflect Near-Infrared (NIR) more than the visible Red, Green and Blue wavelengths, thus, exhibiting higher NIR spectral values (reflectance) from healthy vegetation in comparison to unhealthy vegetation (Frampton et al. 2013).

3.5 Sentinel I derived backscattering coefficients:

The backscattering coefficients data from the Sentinel-1 satellite provide information about reflective strength (Perrou, Garioud, and Parcharidis 2018). The normalized measure of the radar return is known as the backscatter coefficient, defined as per unit area on the ground (Singha et al. 2020):

So(dB) = 10. Log 10 (Energy Ratio) (5)

Where Energy Ratio = $\frac{Received energy by the sensor}{Energy reflected in an isotropic way}$

One of the essential features and advantages of radar is the microwave signals passing through gases and clouds, making it very suitable to detect water during monsoonal periods that bring about massive cloud cover. The range of backscatter coefficient in different surfaces is given in Table 3.

2.6 Chamoli Disaster:

On 7 February 2021, around 10:00 to 10:15 AM IST, the disaster occurred; due to the fall of a broken snow-covered block (boulder and gravel) from about 2500 m height that dammed the Rishi Ganga river

that suddenly created a flash flood (Table 2). Due to this disaster, the massive volume of water increased in the river, and a pronounced change in the river flood plain was observed (Meena et al., 2021). This disaster washed out dams in the Chamoli area and took more than a hundred lives. Soon after the disaster, the water appeared to be muddy near the location of the disaster, and with time the quality of the river water considerably changed in the river. Over time, water quality improved considerably. We have analyzed the pre-and post-event sentinel data to examine changes in water quality along the rivers (Fig. 1) in four sections, along the Rishi Ganga, Alaknanda and near Haridwar along the Ganga river. In Fig. 1 (c), the location of dams near the Chamoli disaster source is shown, along with faults in the region. The landslide scar is seen in Fig. 1c, where a massive discharge of debris fell into the Rishi Ganga river occurred.

Haridwar is one of the busiest locations for religious Hindu people. Anthropogenic activities have impacted the water quality of the Ganga river at several locations, and now efforts have been made by the Government of India to monitor the quality of river water under the Namami Ganges Programme (Dwivedi, Mishra, and Tripathi 2018; Kamboj and Kamboj 2019). Haridwar is a location close to the source of the river where the water is very clean, but the water quality deteriorates far away from the source region, especially in the major cities like Kanpur, Allahabad, and Varanasi.

3. Results And Discussion

The quality of water has been analyzed using various indices derived from the Sentinel-2 optical data and Sentinel-1 SAR data to evaluate the changes in the water quality of the river after the Chamoli disaster. We analyzed changes in the water index, turbidity, and Chl-a concentration at five locations (Table 4) near Tapovan and Haridwar.

3.1 Changes in water indices derived from Sentinel-2 datasets

After the Chamoli disaster, the water quality downstream of the Rishi Ganga was severely affected. Due to the huge amount of water flow from the source instantaneously flash flood occurred associated with the Chamoli disaster, the flood plains of the Rishi Ganga, Alaknanda and Ganga rivers near Haridwar increased. Pronounced changes in water quality (Table 4) along the river using various indices of NDWI, NDTI and NDCI are clearly observed during pre-and post-events for the Tapovan (Fig. 2) and Haridwar (Fig. 3)

We considered five locations (Fig. 2, Table 4), the first point near the Rishi Ganga hydro-power plant and points 2 and 3 are in the Rishi Ganga river and point 4 near the Tapovan hydro-power plant and point 5 after the power plant. These five locations are considered based on the post-event impact of the Chamoli disaster on nearby hydro-power plants and river flood plains. The points are sampled along the gradient of the river. Qualitative changes are clearly seen at these locations after the flash flood event. The changes are also evident from the (Fig. 4) for Tapovan. Similarly, we also analyzed water quality changes at Haridwar in the Ganga river and Cheema dam canal (Fig. 3). Changes in NDWI, turbidity and Chl-a

concentration are seen after the flash floods. There are some noticeable changes in NDWI values at Tapovan and Haridwar locations (Fig. 4) as the water level increased after the flash floods. There is also evidence of changes in water turbidity at locations near Tapovan (close to the source of the massive flash floods). The increase in water turbidity at points 2–5 at Tapovan was due to a massive discharge of debris in the river. The turbidity of water near Haridwar increased at points 1–4 but declined at point 5 as it was located at Cheema dam canal; the gate was closed after the event to avoid the deposit of debris and sediments. The Chl-a concentration was also computed using 660 and 690 nm, which explains the Chl-a concentration in the water near Tapovan that has increased at four locations due to the debris content in the water containing fragments of vegetation. On the other hand, Chl-a concentration in the water near Haridwar decreased at most locations as there was an increase in water levels (Fig. 4).

Figure 4 (A) shows the changes in NDWI on 26 and 29 Jan and 8 and 10 Feb 2021. In Tapovan, the NDWI values at each point (1-5) are positive before the flood, indicating the presence of clear water. The water quantity is highest at point 4 on 26 January 2021. In Haridwar, points 1-4 shows a negative NDWI except point 5. At Haridwar, wide flood plain is seen, after the disaster, pronounced changes in the flood plain are observed. The negative values at points 1-4 indicate the low-level water in the river. Point 5 shows relatively high-value NDWI on 29 January 2021, shows high water level in the river. After the flood, a negative decline in NDWI values is seen at locations of Tapovan. Such changes are due to the mixing of dust and gravel at these locations. Due to the rise in the muddy water conditions, on 29 January 2021 water conditions are found similar to Tapovan. Whereas Haridwar, a positive shift is observed, which is due to flood-like conditions. However, point 5 shows negative values as the channel gates were closed at Rishikesh.

Figure 4(B) shows the NDTI at various locations during pre- and post-events. At Point 1 close to Tapovan, NDTI is relatively higher prior to the flood, but here we found a decline in NDTI values. However, at Points 2–5 at Tapovan, NDTI values increased significantly on 10 February 2020. In Haridwar, the results are found similar to Tapovan except point 5. On 29 January 2021, NDTI is lower at point 1–4, but a significant rise is observed on 8 February 2021. However, at point 5, the changes show a decline in turbidity due to decreased water quantity. In Fig. 4 (C), we have shown the changes in NDCI at these locations, NDCI values are not uniform, similar to NDWI and NDTI. The NDCI values depend on the Chl-a concentration and the flow rate of the water. At Tapovan, NDCI values at points at Point 1, 3 and 5 are positive on 26 January 2021, whereas it shows a negative at points 2 and 4 due to the higher flow rate of water at these locations. However, just after the flood, due to muddy conditions, the water flow rate changed along with the change in the quality of the water. An increase in NDCI is seen at location 2–5 at Tapovan, but a decline is observed at points 1 and 5 on 10 February 2021 (Fig. 4C). We have followed approach of Garg, Aggarwal, and Chauhan (2020) to show changes in NDTI of the river water.

In Haridwar, the NDCI values on 29 January show positive due to slow flow rate and higher Chl-a concentration (Fig. 6) compared to Tapovan (Fig. 5) except at location 5. However, on 8 February 2021, the NDCI values changed at each point in Haridwar. Sudden decline in NDCI values is observed due to

flood and higher flow rate of water, but the closed channel on 8 February shows higher Chal-a concentration.

3.2 Spectral reflectance and backscattering coefficients

The spectral reflectance of Sentinel-2 provide information about the sensitivity of the sediments load in the river water after the disaster. The changes in the concentrations of different river water parameters near the source and the downstream provide information about the rise or drop in water level and mixing of the different types of sediments in the river water and the sources along the river paths.

Figure 7 shows an increase in reflectance from Sentinel-2 in the wavelength range 442.7-864.7 nm after the event close to the Tapovan at all the locations where the solid line refers to pre-event and dotted lined refers to post-event spectral reflectance. The increase in the reflectance is due to an increase in sediment concentrations in the river waters. Higher values of spectral signatures in higher wavelengths (1400 to 2200 nm) is witnessed, which is associated with the increase in TSM in the river water due to fall of large volume of debris at the source and afterwards large discharge of sediments in the river water. An increase in water level and turbidity in the river water are clearly evident from Figs. 5 and 6, which reflects what is observed in the spectral reflectance of Tapovan. Similarly, we also studied the spectral reflectance near Haridwar at five locations (Table 4), the spectral reflectance (Fig. 7) shows opposite variations at locations near the Tapovan. The contrasting variations in the spectral signature close to Haridwar appear to be related to the locations before the event; it is evident from the water indices (Figs. 5 and 6) that the water level increased due to the excessive discharge of water near the Haridwar. The location (Point 5) near the Cheema dam canal shows changes in the spectral signature due to the decline in the water level. The apparent vegetation exposed over time due to the floodwater draining after the closure of the dam gate enhanced the reflectance in the wavelength range 700-865nm. Similarly, the backscattering coefficient (σ°) from Sentinel-1 SAR data shows large concentrations of sediments in the water, surface roughness, and different types of surfaces.

Figure 7 shows backscattering coefficient at different locations (Points 1–5) in Tapovan and Haridwar. In Tapovan, point 1 shows no change in backscattering coefficient (the point close to the Rishi Ganga dam), points 2 and 5 show positive shifts in backscattering as both points are located near the dam; hence, the flow of increased water attributes to waves in the river which can result in higher backscatter signal returns. Locations (points) 3 and 4 do not exhibit contrasting shifts in the backscatter signals. The postevent SAR imagery shows a similar trend in the backscatter in Tapovan.

In Haridwar, the positive shifts are seen at locations (points 1, 2, 3 and 4) where negative shifts are observed at location 5. The variability of the backscatter of SAR signals in the water shows changes in the floodplain after the flash flood. Also, the standard deviation after the flood is low, with a positive shift in Haridwar and a negative shift at Tapovan indicating an increase in the flood plain. The decline in backscatter signal at location point 5 in Haridwar is due to the closure of the flood gates near Rishikesh just after the disaster, which resulted in the decline of the amount of water into the Cheema dam canal.

4. Conclusions

Using spectral reflectance from Sentinel-2 data, we have computed water quality parameters at the two locations, near the source and at Haridwar prior and after the Chamoli disaster event and observed pronounced changes. We also analyzed the backscatter coefficient from the Sentinel-1 SAR data before and after this deadly event. The pronounced changes in the backscatter coefficient are associated with the large volume of water flow in the river and huge amount of sediment concentrations. Our results show gualitative changes in various indices associated with the water guality parameters at five locations (Table 4) in two places, Tapovan (near the source) and another close to Haridwar. The sharp changes at five locations in Tapovan and Haridwar, clearly show an increase in the flood plains due to excessive water flow and water quality parameters associated with the high amount of debris in the river water. The changes in water level after the Chamoli disaster is likely due to a slope failure (Meena et al. 2021). The fall of glacial fragments from the top of the glacier (around 2000 meters) in the valley was observed at the source location, that lead to sudden surge of huge amount of water, which is still a mystery among scientists. This is an interesting question, "how such a huge amount of water in the river started flowing up to Haridwar?". Our results show contrast in the spectral reflectance in Haridwar compared to Tapovan which is attributed due to higher turbidity near the source of disaster, and through the course of the river, the turbidity decreases as sediments are deposited along the river before reaching Haridwar. However, the level of water increases at Haridwar, resulting in higher values of NDWI. The results discussed in the present study is based on multi spectral remote sensing data. The area is located in a very rough terrain, and also being close to the border of China, relevant data even river (water) related information is classified by the Government of India. Due to absence of ground data, the results discussed in the present study cannot be validated. It is difficult to comment on the accuracy of the analyzed observations as it requires local validation to verify the observed parameters. Additionally, the accuracy of the observations can be improved with very high spatial, spectral, and temporal resolution images, which can help in water quality studies in the future.

Declarations

Acknowledgement

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Data availability statement

The data that support the findings of this study are available from the corresponding author, [Ramesh P. Singh(rsingh@chapman.edu)], upon reasonable request.

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Tables

Bands (wavelength region)	Central Wavelength (nm)	Resolution (m)
Band – 1 (Coastal aerosol)	443	60
Band – 2 (Blue)	490	10
Band – 3 (Green)	560	10
Band – 4 (Red)	665	10
Band – 5 (Vegetation red edge)	705	20
Band – 6 (Vegetation red edge)	740	20
Band – 7 (Vegetation red edge)	783	20
Band – 8 (NIR)	842	10
Band – 8A (Vegetation red edge)	865	20
Band – 9 (Water Vapor)	945	60
Band – 10 (SWIR - Cirrus)	1375	60
Band – 11 (SWIR)	1610	20
Band – 12 (SWIR)	2190	20

Table 1: Sentinel-2 Image Band information and their details.

Table 2: Sentinel-2 Image acquisition date information.

Sentinel-2 Image	Date of acquisition
Tapovan pre-event image	26th January, 2021
Tapovan post-event image	10th February, 2021
Haridwar pre-event image	29th January, 2021
Haridwar post-event image	8th February, 2021

Table 3: Backscatter coefficients range from Sentinel-1 (Stendardi et al. 2019).

Backscatter coefficients range	Different surfaces
Very high backscatter (above -5dB)	· Man-made objects (urban)
	· Terrain slope towards the radar
	· Very rough surfaces
	· Steep radar angle
High Backscatter (-10 dB to 0 dB)	· Rough surfaces
	· Dense vegetation (forest)
Moderate Backscatter (-20 dB to -10 dB)	· Medium level of vegetation
	· Agricultural crops
	· Moderately rough surfaces
Low backscatter (below -20 dB)	· Smooth surfaces
	· Calm water
	· Roads
	· Very dry soil (sand)

Table 4: Coordinates of point locations in Tapovan and Haridwar area (We choose several locations in sandbars to evaluate the changes in flood plain of the rivers)

Tapovan			
Locations	Longitude	Latitude	Information
1	79.698613	30.480198	Near Rishi Ganga HPP
2	79.689000	30.487527	Near confluence of Dhauli Ganga and Rishi Ganga rivers
3	79.640840	30.493237	In Flat area in Dhauli Ganga river before Tapovan HPP
4	79.627324	30.494189	Near Tapovan HPP
5	79.620662	30.503136	After Tapovan HPP
Haridwar			
Locations	Longitude	Latitude	
1	78.240363	30.014908	In sand bar of Ganga river
2	78.239470	30.004769	In sand bar of Ganga river
3	78.233768	29.997711	In sand bar of Ganga river
4	78.227105	29.991905	In sand bar of Ganga river
5	78.222917	29.976677	In Cheema Dam Canal



Study area locations (A) Haridwar (B) Tapovan, and (C) Source area of Chamoli disaster with the location of dams, past earthquakes, faults, and extent of the flood. Note: The designations employed and the presentation of the material on this map do not imply the expression of any opinion whatsoever on the part of Research Square concerning the legal status of any country, territory, city or area or of its

authorities, or concerning the delimitation of its frontiers or boundaries. This map has been provided by the authors.



Figure 2

Changes in water quality indices are seen at five locations (Table 3) for Tapovan (A) Pre-event, (B) Postevent.



Changes in water quality indices at various locations for Haridwar (A) Pre-event (B) Post-event.



Changes in (A) NDWI, (B) NDTI, and (C) NDCI for five locations (Points 1 – 5, Table 3) in Tapovan and Haridwar.



Change detection in water quality indices are seen at five locations (Table 3) for Tapovan.



Change detection in water quality indices at at five locations (Table 3) for Haridwar.



Changes in (A), (B) Sentinel -2 spectral signatures and (C) backscatter in Sentinel-1 SAR data at, Tapovan and Haridwar.