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## Article

# Relationship between Plasma Concentrations of Afatinib and the Onset of Diarrhea in Patients with Non-Small Cell Lung Cancer

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**Simple Summary:** Higher afatinib plasma concentrations have been reported to be associated with the severity of diarrhea; however, the specific target plasma concentration of afatinib required to avoid severe diarrhea onset is unclear. We found that an afatinib AUC<sub>0–24</sub> of greater than or equal to 823.5 ng·h/mL and C<sub>0</sub> of greater than or equal to 28.5 ng/mL may be used as cut-off values for the incidence of afatinib-induced grade 2 diarrhea. A significant correlation between the AUC<sub>0–24</sub> and C<sub>0</sub> of afatinib was observed ( $r^2 = 0.761$ ;  $p < 0.001$ ). Therefore, we could use C<sub>0</sub> as a marker of therapeutic drug monitoring. In the current study, the median time to the incidence of grade 2 diarrhea in patients with a C<sub>0</sub> of more than 28.5 ng/mL was 16 days. Therefore, we recommend monitoring the C<sub>0</sub> of afatinib on day 8 after the beginning of afatinib therapy.

**Abstract:** We evaluated the area under the plasma concentration–time curve (AUC) of afatinib required to avoid the onset of grade 2 or higher diarrhea. The C<sub>0</sub> and AUC<sub>0–24</sub> of afatinib were significantly higher in patients with grade 2 diarrhea than in those with grade 0–1 diarrhea. The areas under the receiver operator curves were 0.795 with the highest sensitivity (89%) and specificity (74%) at an AUC<sub>0–24</sub> threshold of 823.5 ng·h/mL, and 0.754 with the highest sensitivity (89%) and specificity (74%) at a C<sub>0</sub> threshold of 28.5 ng/mL. In Kaplan–Meier analysis based on these cut-off AUC<sub>0–24</sub> and C<sub>0</sub> values, the median time to the incidence of grade 2 diarrhea was 16 days. The predicted AUC<sub>0–24</sub> of afatinib from the single point of C<sub>6</sub> showed the highest correlation with the measured AUC<sub>0–24</sub> ( $r^2 = 0.840$ ); however, a significant correlation between the AUC<sub>0–24</sub> and C<sub>0</sub> was also observed ( $r^2 = 0.761$ ). C<sub>0</sub> could be used as a marker of therapeutic drug monitoring because afatinib C<sub>0</sub> was related to AUC<sub>0–24</sub>. Therefore, afatinib C<sub>0</sub> should be monitored on day 8 after beginning therapy, and the daily dose of afatinib should be adjusted as an index with a cut-off value of 28.5 ng/mL.

**Keywords:** afatinib; diarrhea; limited sampling strategy; plasma concentration; therapeutic drug monitoring



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## 1. Introduction

Afatinib is a second-generation tyrosine kinase inhibitor and irreversible ErbB-family blocker that is used for the first-line treatment of patients with epidermal growth factor receptor (EGFR) mutation-positive non-small cell lung cancer (NSCLC) [1]. Diarrhea is a common side effect associated with afatinib treatment [2–8], and in clinical practice, the onset of diarrhea following afatinib treatment results in temporary withdrawal or discontinuation of therapy. Among EGFR-tyrosine kinase inhibitor (TKI) treatments, afatinib causes a significantly higher rate of diarrhea than erlotinib or gefitinib [9–11].

In the Japanese analysis of the LUX-Lung3 clinical trial, 75.9% of patients administered afatinib therapy required a dose reduction owing to severe side effects, 22% of which were diarrhea of Common Terminology Criteria for Adverse Events (CTCAE) grade 3 [12]. Afatinib-induced diarrhea has been reported to occur in 50–62% of patients within the first 7 days of treatment and in 71% of patients within 14 days [13]. However, the mechanisms of afatinib-induced diarrhea remain poorly understood.

To date, higher afatinib plasma concentrations have been reported to be associated with the severity of diarrhea [14–18]. Therefore, the analysis of plasma concentrations of afatinib may enable the avoidance of diarrhea onset. However, the specific target plasma concentration of afatinib required to avoid severe diarrhea onset is not clear. The area under the plasma concentration–time curve (AUC) is generally the best parameter to indicate drug exposure, and the calculation of AUC is important for assessing the relationships between drug exposure and side effects. However, the calculation of AUC is rarely used in clinical practice because it requires multiple blood sample points, which is painful and time-consuming for patients. Therefore, the plasma trough concentration ( $C_0$ ) at pre-dose is usually used to predict efficacy or toxicity, although one point of  $C_0$  may not accurately indicate afatinib exposure. Limited sampling strategies (LSSs) have been proposed to overcome these difficulties. However, the LSS for predicting the AUC of afatinib has not yet been reported.

Accordingly, in this study, we calculated the target  $AUC_{0-24}$  of afatinib to avoid the onset of CTCAE grade 2 or higher diarrhea. In addition, we developed a model to predict the  $AUC_{0-24}$  of afatinib using an LSS. Subsequently, we investigated whether the predicted  $AUC_{0-24}$  of afatinib from  $C_0$  alone could provide an accurate approximation of the actual  $AUC_{0-24}$ .

## 2. Materials and Methods

### 2.1. Patients and Protocols

Thirty-one Japanese patients with EGFR mutation-positive NSCLC (15 women and 16 men) who were hospitalized from October 2014 through December 2020 were consecutively enrolled in this study. The grade for diarrhea was determined based on CTCAE version 4.0. Three patients (2 women and 1 man) were excluded because of withdrawal due to CTCAE grade 3 diarrhea just after beginning and before blood sampling for afatinib pharmacokinetics. Patient characteristics at the start of afatinib therapy are listed in Table 1. The study protocol was approved by the Ethics Committee of Akita University School of Medicine (approval no. 790), and all patients gave written informed consent. This study was performed in accordance with the guidelines of the Declaration of Helsinki.

An initial dose of 30 or 40 mg afatinib (Giotrif; Boehringer Ingelheim, Tokyo, Japan) was orally administered once daily at a designated time (11:00 a.m.). On day 15 after beginning afatinib therapy, whole blood samples were collected just prior to ( $C_0$ , 24 h after the 14th administration) and at 1, 2, 4, 6, 8, 12, and 24 h after the 15th administration of afatinib. Plasma was isolated by centrifugation at  $1900\times g$  for 15 min and was stored at  $-80\text{ }^\circ\text{C}$  until analysis. For the 15 days prior to plasma sampling, nurses managed the administration of afatinib for hospitalized patients.

**Table 1.** Demographic and clinical characteristics of patients prior to afatinib therapy.

Characteristics	Number or Values	
Total number	28	
Female:Male	13:15	
Age, years	$67.4 \pm 7.7$	(51–86)
Body weight, kg	$57.3 \pm 9.4$	(35.3–78.3)
Body surface area, $\text{m}^2$	$1.59 \pm 0.16$	(1.23–1.93)
Body mass index, $\text{kg}/\text{m}^2$	$22.7 \pm 1.5$	(19.8–25.8)

Table 1. Cont.

Characteristics	Number or Values	
Laboratory test values		
White blood cell, $\times 10^3/\text{mm}^3$	$5.7 \pm 1.4$	(3.7–10.4)
Red blood cell, $\times 10^4/\text{mm}^3$	$422 \pm 43$	(342–498)
Hemoglobin, g/dL	$12.6 \pm 1.7$	(8–15)
Platelets, $\times 10^4/\text{mm}^3$	$238 \pm 59$	(122–366)
Aspartate aminotransferase, IU/L	$22.4 \pm 5.4$	(12–39)
Alanine aminotransferase, IU/L	$16.9 \pm 5.6$	(8–30)
Alkaline phosphatase, IU/L	$314 \pm 218$	(115–1336)
Lactate dehydrogenase, IU/L	$219 \pm 92$	(135–601)
Serum albumin, g/dL	$3.8 \pm 0.4$	(2.8–4.6)
Total bilirubin, mg/dL	$0.5 \pm 0.2$	(0.3–1.1)
Serum creatinine, mg/dL	$0.69 \pm 0.21$	(0.43–1.30)
eGFR, mL/min/1.73 m <sup>2</sup>	$82.4 \pm 21.4$	(43.6–125.5)
Stage IV:IIIb:IIb		26:1:1
Tumor history, adenocarcinoma:other		28:0
EGFR mutation, exon 19 deletions:exon 21 L858R:other		16:7:5
Initial dose, 30 mg:40 mg		7:21
Diarrhea (grade 1:2): no diarrhea		23 (14:9):5

Data are presented as number or mean  $\pm$  standard deviation (range).

## 2.2. Analytical Methods

Plasma concentrations of afatinib were measured by high-performance liquid chromatography (HPLC) and ultraviolet methods, as previously described [19–21]. Following the addition of gefitinib (5 ng/10  $\mu\text{L}$  methanol) as an internal standard to a 200- $\mu\text{L}$  plasma sample, the plasma sample was diluted with 800  $\mu\text{L}$  water and vortexed for 30 s. This mixture was applied to an Oasis hydrophilic lipophilic balance extraction cartridge (1 mL, 30 mg) that had been activated previously with methanol and water (1.0 mL each). The cartridge was then washed with 1.0 mL water and 1.0 mL of 60% methanol in water and eluted with 1.0 mL of 100% methanol. Eluates were dried by vortex-vacuum evaporation at 70 °C using a rotary evaporator (AS-ONE CVE-2AS; Osaka, Japan). The resulting residue was then dissolved in 20  $\mu\text{L}$  methanol and vortexed for 30 s; 20  $\mu\text{L}$  of the mobile phase was added to the sample, and the sample was vortexed for another 30 s. A 20- $\mu\text{L}$  aliquot of the sample was then processed by HPLC. The calibration curve of afatinib in plasma was linear over the concentration range of 5 to 250 ng/mL. The limit of quantification of afatinib for this assay was 5 ng/mL. The coefficients of variation and accuracies for intra- and interday assays at the concentration range of 5 to 250 ng/mL were less than 12.4% and within 11.3%, respectively.

## 2.3. Pharmacokinetic Analysis

Pharmacokinetic analysis of afatinib was carried out using the standard noncompartmental method with WinNonlin (Pharsight Co., Mountain View, CA, USA; version 5.2). The total area under the observed plasma concentration–time curve (AUC) and the partial AUC from 6 to 12 h (AUC<sub>6–12</sub>), which are estimates of enterohepatic circulation, were calculated using the linear trapezoidal rule. The maximum plasma concentration ( $C_{\text{max}}$ ) and minimum plasma concentration ( $C_{\text{min}}$ ) of afatinib were obtained directly from the profile.

## 2.4. Statistical Analyses

The estimated glomerular filtration rate (eGFR) was calculated for each patient according to the following formula:  $\text{eGFR} = 194 \times \text{serum creatinine (mg/dL)}^{-1.094} \times \text{age}^{-0.287} \times \text{body surface area (m}^2\text{)}/1.73$  ( $\times 0.739$  for women). Shapiro–Wilk tests were used to assess distributions. The clinical characteristics of patients at baseline before afatinib therapy were expressed as the number or mean value  $\pm$  standard deviation (SD) (range). The Spearman’s rank correlation coefficient test was applied to assess correlations between the

$AUC_{0-24}$  of afatinib and clinical characteristics of the patient. Pharmacokinetic parameters of afatinib and the clinical characteristics of patients at the onset of diarrhea were expressed as median values (quartile 1–quartile 3). Pharmacokinetic parameters of afatinib or the clinical characteristics of patients between the two grade groups of afatinib-induced diarrhea classified by CTCAE were compared using the Mann–Whitney test. Receiver operating characteristic (ROC) curves were used to determine the best cut-off values for predictive factors, which had a minimum distance from the upper left corner to the point on the ROC curve. The Kaplan–Meier method and log-rank test were adopted to estimate and compare the cumulative incidence of grade 2 diarrhea. Multiple linear regression analysis of the  $AUC_{0-24}$  best estimates against afatinib concentrations at various time points (independent variables) was performed to develop the prediction formula for estimating individual  $AUC_{0-24}$  values. This analysis produced the following prediction formula:  $AUC_{0-24} = A_0 + A_1 \times C_1 + A_2 \times C_2 + \dots + A_n \times C_n$ , where  $A_n$  is the coefficient and the number of samples is variable. The predictive performance of the LSS was determined by the bootstrap method [22]. We generated 1000 bootstrap samples only once to reduce the variability of results for all regression analysis methods. The distribution of the misclassification rate obtained during all bootstrap runs was used to estimate the 95% confidence interval (CI).

Results with  $p$ -values less than 0.05 were considered statistically significant. Statistical analyses were performed with IBM SPSS Statistics 27.0 for Windows (SPSS IBM Japan Inc., Tokyo, Japan).

### 3. Results

#### 3.1. Patient Characteristics

The characteristics of patients before afatinib therapy are listed in Table 1. The mean ( $\pm$  SD) age of patients was  $67.4 \pm 7.7$  years, and the means ( $\pm$ SDs) of body weight, body surface area, and body mass index were  $57.3 \pm 9.4$  kg,  $1.59 \pm 0.16$  m<sup>2</sup>, and  $22.7 \pm 1.5$  kg/m<sup>2</sup>, respectively. There were no patients with serious renal or hepatic dysfunction before afatinib therapy. The numbers of patients with stage IV, IIIb, and IIb adenocarcinoma were 26, 1, and 1, respectively. The types of *EGFR* mutations were as follows: exon 19 deletions in 16 patients, exon 21 L858R in 7 patients, and other in 5 patients.

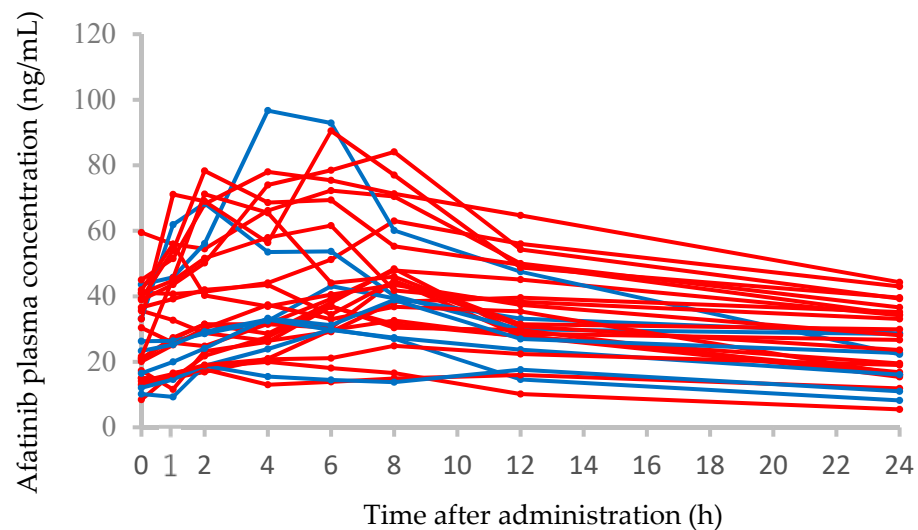
#### 3.2. Afatinib Plasma Concentration–Time Profiles and Correlations between the $AUC_{0-24}$ and Clinical Characteristics

Plasma concentration–time profiles from 0 to 24 h after the administration of afatinib on day 15 after the beginning of therapy in 28 patients are shown in Figure 1. The median (range)  $C_0$ ,  $C_{max}$ , and  $AUC_{0-24}$  of afatinib at the steady state on day 15 in seven patients receiving 30 mg/day afatinib therapy were 23.3 (10.2–43.6) ng/mL, 38.9 (18.8–96.7) ng/mL, and 662 (357–1225) ng·h/mL, respectively. In 21 patients receiving 40 mg/day afatinib therapy, the steady-state median (range)  $C_0$ ,  $C_{max}$ , and  $AUC_{0-24}$  of afatinib were 30.4 (8.5–59.5) ng/mL, 47.9 (17.7–90.5) ng/mL, and 848 (289–1480) ng·h/mL, respectively. There were no significant differences in the  $C_0$ ,  $C_{max}$ , and  $AUC_{0-24}$  of afatinib between patients receiving 30 and 40 mg/day doses. The interpatient variabilities (coefficients of variation) in afatinib  $C_0$  at 30 and 40 mg/day doses were 50.8% and 46.6%, respectively. The correlations between the  $AUC_{0-24}$  of afatinib and clinical characteristics of patients are shown in Table 2; however, there were no significant correlations.

#### 3.3. Comparisons of Afatinib Pharmacokinetic Parameters or Clinical Characteristics between Patients with Grade 2 or Grade 0–1 Diarrhea

Comparisons of the pharmacokinetic parameters of afatinib or clinical characteristics of patients according to diarrhea grade (2 versus 0–1) are shown in Table 3. There were no patients with grade 3 diarrhea. The  $C_{max}$ ,  $C_0$ ,  $C_{min}$ ,  $AUC_{0-24}$ , and  $AUC_{6-24}$  of afatinib in patients with grade 2 diarrhea were significantly higher than those in patients with grade 0–1 diarrhea; however, there were no significant differences in the clinical characteristics of patients between the two groups. In addition, there were no significant differences in the

$C_{\max}/C_{\min}$  ratio and  $AUC_{6-24}/AUC_{0-24}$  ratio, which is the enterohepatic circulation rate, of afatinib between the two groups (Table 3).



**Figure 1.** Plasma concentration–time profiles of afatinib in 28 patients administered afatinib at 30 mg/day (blue solid line) or 40 mg/day (red solid line).

**Table 2.** Comparison and correlations of afatinib  $AUC_{0-24}$  with clinical characteristics of patients.

Characteristics	Median $AUC_{0-24}$ (Range), ng·h/mL	<i>p</i> -Value
Female	848 (574–1480)	0.205
Male	753 (289–1366)	
		Correlation Coefficient ( <i>r</i> )
		<i>p</i> -Value
Age	0.037	0.850
Body weight	−3.480	0.070
Body surface area	−2.540	0.192
BMI	−0.050	0.799
Laboratory test values		
White blood cell	0.115	0.561
Red blood cell	−0.293	0.130
Hemoglobin	−0.289	0.136
Platelets	−0.151	0.444
Aspartate aminotransferase	0.287	0.138
Alanine aminotransferase	−0.171	0.386
Alkaline phosphatase	−0.365	0.056
Lactate dehydrogenase	0.241	0.217
Serum albumin	−0.002	0.991
Total bilirubin	0.119	0.546
Serum creatinine	−0.070	0.724
eGFR	−0.107	0.587

$AUC_{0-24}$ , area under the plasma concentration–time curve from 0 to 24; eGFR, estimated glomerular filtration rate.

### 3.4. ROC Analysis and Kaplan–Meier Curves of Afatinib for the Incidence of Grade 2 Diarrhea

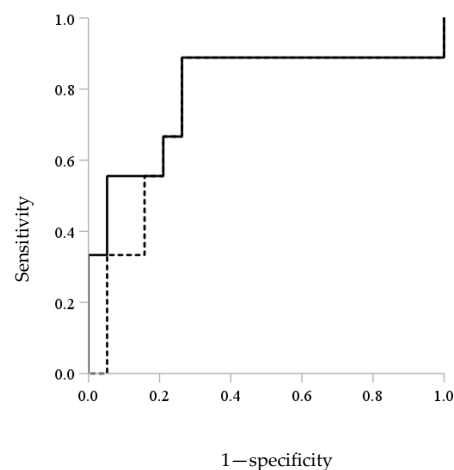
ROC analysis showed the discrimination potential of the  $AUC_{0-24}$  or  $C_0$  of afatinib for the incidence of grade 2 diarrhea (Figure 2). The areas under the ROC curves were 0.795 with the highest sensitivity (89%) and specificity (74%) at an  $AUC_{0-24}$  threshold of 823.5 ng·h/mL and 0.754 with the highest sensitivity (89%) and specificity (74%) at a  $C_0$  threshold of 28.5 ng/mL. Kaplan–Meier analyses for times to the incidence of grade 2 diarrhea based on these cut-off values of  $AUC_{0-24}$  (823.5 ng·h/mL) and  $C_0$  (28.5 ng/mL) of afatinib are shown in Figure 3. In patients with an  $AUC_{0-24}$  of greater than or equal to 823.5 ng·h/mL and a  $C_0$  of greater than or equal to 28.5 ng/mL, the median (95% CI) time

to the incidence of grade 2 diarrhea was 16 (8–24) days. There was a statistically significant difference in the median time to the incidence of grade 2 diarrhea between patients with an  $AUC_{0-24}$  of greater than or equal to 823.5 ng·h/mL and less than 823.5 ng·h/mL or a  $C_0$  of greater than or equal to 28.5 ng/mL and less than 28.5 ng/mL (each  $p = 0.009$ , Figure 3).

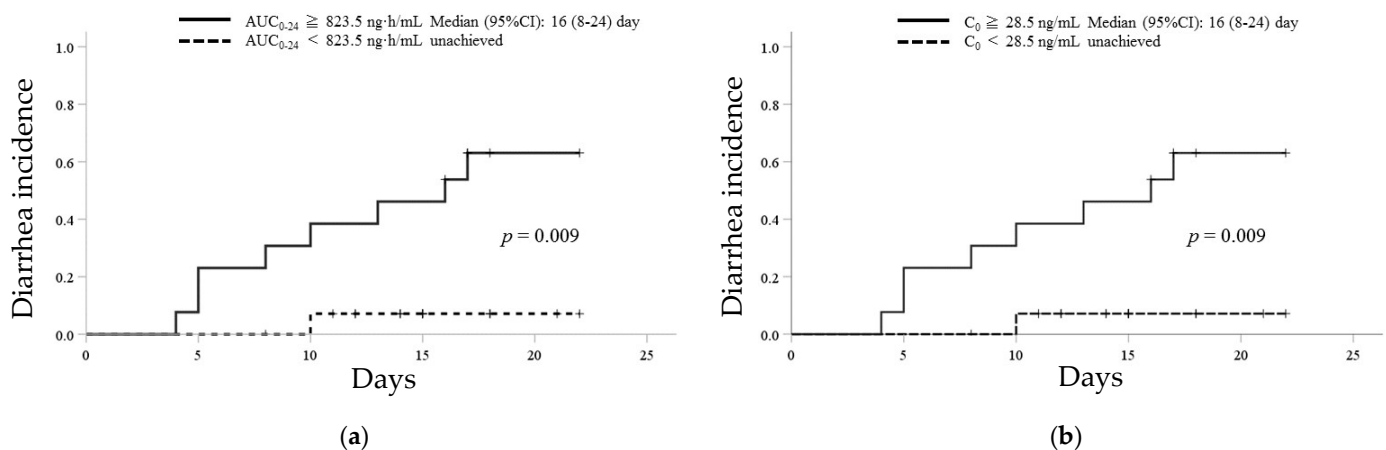
**Table 3.** Comparison of pharmacokinetics of afatinib and characteristics between patients with grades 2 and 0–1 diarrhea.

Parameters/Characteristics	Grade 2 Diarrhea	Grade 0–1 Diarrhea	<i>p</i> -Value
	Median (Quartile 1–Quartile 3)	Median (Quartile 1–Quartile 3)	
$C_{max}$ (ng/mL)	78.0 (47.9–84.1)	38.9 (32.8–55.0)	0.017
$C_0$ (ng/mL)	38.9 (33.1–42.0)	21.0 (15.0–29.8)	0.032
$C_{min}$ (ng/mL)	28.1 (24.8–34.4)	16.5(14.5–25.0)	0.046
$C_{max}/C_{min}$ ratio	2.20 (1.90–2.70)	2.20 (1.75–2.45)	0.657
$AUC_{0-24}$ (ng·h/mL)	1225 (891–1344)	666 (580–863)	0.013
$AUC_{6-24}$ (ng·h/mL)	787 (672–950)	500 (424–592)	0.007
$AUC_{6-24}/AUC_{0-24} \times 100$ (%)	71.7 (67.8–73.3)	73.6 (69.7–75.8)	0.389
Daily dose, 30 mg:40 mg	1:8	6:13	0.249
Female:male	6:3	7:12	0.142
Age, years	65.0 (62.0–71.0)	67.0 (63.5–73.5)	0.693
Body weight, kg	50.5 (46.7–56.0)	56.2 (53.2–64.3)	0.085
Body surface area, m <sup>2</sup>	1.54 (1.41–1.57)	1.60 (1.54–1.73)	0.109
BMI, kg/m <sup>2</sup>	22.9 (22.8–23.3)	23.0 (21.3–23.4)	0.694
Laboratory test values			
White blood cell, $\times 10^3/\text{mm}^3$	5.3 (4.0–6.9)	5.4 (4.1–6.0)	0.825
Red blood cell, $\times 10^4/\text{mm}^3$	405 (384–430)	413 (372–455)	0.640
Hemoglobin, g/dL	12.1 (11.5–12.4)	12.5 (11.5–13.6)	0.403
Platelets, $\times 10^4/\text{mm}^3$	21.4 (16.6–23.1)	23.7 (20.6–27.2)	0.210
Aspartate aminotransferase, IU/L	21 (18–22)	19 (18–28)	0.730
Alanine aminotransferase, IU/L	15 (11–28)	18 (14–25)	0.362
Alkaline phosphatase, IU/L	241 (211–419)	261 (226–290)	0.825
Lactate dehydrogenase, IU/L	174 (156–191)	176 (160–217)	0.980
Serum albumin, g/dL	3.4 (3.3–3.6)	3.7 (3.4–3.9)	0.311
Total bilirubin, mg/dL	0.5 (0.4–0.7)	0.5 (0.4–0.6)	0.439
Serum creatinine, mg/dL	0.67 (0.56–0.70)	0.74 (0.66–0.85)	0.110
eGFR, mL/min/1.73 m <sup>2</sup>	78.5 (62.3–97.3)	74.1 (65.9–82.6)	0.539

Data are presented as number or median (quartile 1–quartile 3).  $C_{max}$ , maximum plasma concentration;  $C_0$ , pre-dose concentration;  $C_{min}$ , minimum plasma concentration;  $AUC_{0-24}$  and  $AUC_{6-24}$ , area under the plasma concentration–time curve from 0 to 24 h and 6 to 24 h, respectively; eGFR, estimated glomerular filtration rate.



**Figure 2.** Receiver operator curve (ROC) analysis of the discrimination potential of  $AUC_{0-24}$  (solid line) and  $C_0$  (dashed line) of afatinib for the incidence of grade 2 diarrhea.



**Figure 3.** Kaplan–Meier analysis for time to the incidence of grade 2 diarrhea based on the cut-off values of  $AUC_{0-24}$  (823.5 ng·h/mL) and  $C_0$  (28.5 ng/mL) of afatinib. Kaplan–Meier curves for the incidence of grade 2 diarrhea in patients with (a)  $AUC_{0-24}$  of greater than or equal to 823.5 ng·h/mL (solid line) and less than 823.5 ng·h/mL (dotted line) and with (b)  $C_0$  of greater than or equal to 28.5 ng/mL (solid line) and less than 28.5 ng/mL (dotted line).

### 3.5. Prediction Formulae to Estimate the Afatinib $AUC_{0-24}$

The derived prediction formulae and  $r^2$  values for the estimation of the  $AUC_{0-24}$  of afatinib with a single point and with the best two-point combinations are shown in Table 4. Although a significant correlation between the  $AUC_{0-24}$  and  $C_0$  of afatinib was observed ( $r^2 = 0.761$ ;  $p < 0.001$ ), the predicted  $AUC_{0-24}$  of afatinib from the single point of  $C_6$  showed the highest correlation with the measured  $AUC_{0-24}$  (predicted  $AUC_{0-24} = 14.0 \times C_6 + 214.6$ ,  $r^2 = 0.840$ ;  $p < 0.001$ ). In addition, the predicted  $AUC_{0-24}$  of afatinib from the two points of  $C_0$  and  $C_6$  showed the highest correlation with the measured  $AUC_{0-24}$  (predicted  $AUC_{0-24} = 10.6 \times C_0 + 9.1 \times C_6 + 135.4$ ,  $r^2 = 0.911$ ;  $p < 0.001$ ).

**Table 4.** The prediction formulae derived using the multiple linear regression approach to estimate the  $AUC_{0-24}$  of afatinib.

Sampling Numbers	Sampling Time (h)	Prediction Formula for $AUC_{0-24}$	Predicted versus Observed $AUC_{0-24}$		Slope		Intercept 95% CI *	$p$ *
			$r^2$	$p$	95% CI *	$p$ *		
One-point	0	$22.3 \times C_0 + 215.9$	0.761	<0.001	17.7 to 28.6	0.001	85.5 to 331.2	0.005
	1	$16.4 \times C_1 + 286.1$	0.712	<0.001	12.2 to 21.8	0.001	143.7 to 411.0	0.001
	2	$14.5 \times C_2 + 276.9$	0.691	<0.001	10.6 to 19.7	0.001	110.3 to 433.1	0.012
	4	$13.7 \times C_4 + 263.4$	0.762	<0.001	10.5 to 18.0	0.001	112.1 to 410.0	0.007
	6	$14.0 \times C_6 + 214.6$	0.840	<0.001	11.4 to 17.3	0.001	81.7 to 334.6	0.004
	8	$17.5 \times C_8 + 75.9$	0.899	<0.001	15.6 to 20.1	0.001	−25.0 to 159.6	0.108
Two-points †	12	$23.8 \times C_{12} + 11.9$	0.916	<0.001	21.6 to 26.7	0.001	−75.2 to 84.7	0.770
	6	$10.6 \times C_0 + 9.1 \times C_6 + 135.4$	0.911	<0.001	6.1 to 16.5 5.6 to 12.3	0.003 0.001	38.8 to 228.5	0.022

$AUC_{0-24}$ , area under the plasma concentration–time curve from 0 to 24 h;  $C_n$ , plasma concentration at  $n$  h after afatinib administration. \* Calculated using the bootstrap method. † Best sampling point.

## 4. Discussion

In the current study, the  $AUC_{0-24}$  and  $C_0$  of afatinib in patients with grade 2 diarrhea were significantly higher than those in patients with grade 0–1 diarrhea. We found that an afatinib  $AUC_{0-24}$  of greater than or equal to 823.5 ng·h/mL and a  $C_0$  of greater than or equal to 28.5 ng/mL may be used as cut-off values for the incidence of afatinib-induced grade 2 diarrhea. In addition, because afatinib  $C_0$  is related to  $AUC_{0-24}$ , we could use  $C_0$  as a marker of therapeutic drug monitoring. Therefore, we monitored afatinib  $C_0$  on day 8 after the beginning of therapy to arrive at a steady state [14], and the daily dose of afatinib should be adjusted as an index with a cut-off value of 28.5 ng/mL. In the current study, the median time to the incidence of grade 2 diarrhea in the patients with a  $C_0$  of more than



28.5 ng/mL was 16 days. Therefore, we recommend monitoring the  $C_0$  of afatinib on day 8 after the beginning of afatinib therapy.

A higher afatinib  $C_0$  has been reported to be related to the severity of diarrhea [15]. In a previous study (the LUX-Lung trials) [15], the median  $C_0$  values of afatinib in patients with grade 2 or 1 diarrhea following the administration of 40 mg/day afatinib were reported to be 31.6 and 25.2 ng/mL, respectively. In addition, the median  $AUC_{0-24}$  values of afatinib in patients with grade 2 diarrhea in the LUX-Lung trials [14] and our current study were 1320 and 1225 ng·h/mL, respectively. Thus, the results obtained from the current clinical study were similar to the results of the LUX-Lung trials. To date, studies have suggested that female sex, low body weight, and reduced renal function are associated with higher afatinib exposure [23]. However, in an analysis using data pooled from seven clinical studies, the risk factors of afatinib-induced diarrhea were found to be older age, female sex, and low body weight (less than 45 kg) [24]. Therefore, patients with low body weight seem to be at risk of afatinib exposure-dependent diarrhea. Similar to the results of these previous studies [23,24], our current findings also showed that patients with lower body weight tended to have higher afatinib  $AUC_{0-24}$  ( $p = 0.070$ ) and to develop grade 2 diarrhea ( $p = 0.085$ ); however, the results were not significant. Therefore, afatinib therapy with a dose escalation strategy by therapeutic drug monitoring based on the target concentration of 28.5 ng/mL from a low dose of 20–30 mg/day for patients with a low body weight may be recommended to enable the administration of continuous treatment without interruption due to diarrhea.

Approximately 85% of afatinib is excreted into the bile as unchanged drug [15]. The biliary secretion of afatinib into the gut may directly induce diarrhea. Therefore, we evaluated the biliary secretion of afatinib using the  $AUC_{6-24}/AUC_{0-24}$  ratio, which is the enterohepatic circulation rate. The results showed that there were no significant differences in the  $AUC_{6-24}/AUC_{0-24}$  ratios of afatinib between patients with grade 2 or grade 0–1 diarrhea. Therefore, afatinib-induced diarrhea does not seem to be caused by the stimulation of the gut via the biliary excretion of afatinib. In addition, there were no significant differences in the  $C_{max}/C_{min}$  ratio, which indicated the rate of absorption of afatinib, between patients with grade 2 and grade 0–1 diarrhea. Non-absorbed afatinib from the gut did not appear to contribute to diarrhea directly. By contrast, afatinib-induced diarrhea has been reported to be caused by the activation of apical membrane chloride ( $Cl^-$ ) channels in the intestinal epithelia rather than direct damage to the epithelium [25,26]. Therefore, further studies are necessary to determine the mechanisms mediating the onset of afatinib-induced diarrhea.

Overall, our current findings showed that afatinib exposure, including  $AUC_{0-24}$  and  $C_0$ , was important for the prediction of grade 2 diarrhea onset.

To the best of our knowledge, no reports have validated an LSS for the prediction of the  $AUC_{0-24}$  of afatinib. Our results showed that  $C_6$  was the best single predictor of the  $AUC_{0-24}$  of afatinib, and an equation using samples measured at two specific points ( $C_0$  and  $C_6$ ) could best be used to approximate the  $AUC_{0-24}$  of afatinib. However, in outpatients, blood sampling for  $C_6$  after the administration of afatinib is difficult. Although the coefficient of determination ( $r^2$ ) between the predicted  $AUC_{0-24}$  of afatinib at the single point of  $C_0$  and the measured  $AUC_{0-24}$  was lower than that at the single point of  $C_6$  ( $r^2 = 0.761$  and  $0.840$ , respectively), the 95% CI of the slopes and intercepts of the formulae obtained by bootstrap analysis also indicated acceptable accuracy and robustness for the prediction of  $AUC_{0-24}$  using the single point of  $C_0$ . Therefore, the predicted  $AUC_{0-24}$  of afatinib with  $C_0$  alone was able to approximate the real  $AUC_{0-24}$ . Consequently, the assessment of outpatients using an index of afatinib  $C_0$  with a cut-off value of 28.5 ng/mL is also possible. In the LUX-Lung 3 and 6 trials, median progression-free survival was similar between patients who received a reduced dose of afatinib and those who did not [27]. Similarly, in the real-world setting, time to treatment failure and time to progression did not change with the daily afatinib dose [28,29]. Furthermore, in a phase I study of afatinib plus bevacizumab, the recommended dose was set at 30 mg/day [30]. Therefore, it is

important to adjust the dose of afatinib without hesitation because such adjustments are unlikely to affect efficacy. Our results can be used as an indicator for dose reduction owing to adverse effects.

Our results should be interpreted within the context of the study limitations. Unfortunately, in the current study, treatment with afatinib for patients with grade 3 diarrhea was halted before blood sampling for afatinib pharmacokinetics on day 15. Therefore, further studies are needed to determine the relationships between afatinib-induced grade 3 diarrhea and afatinib plasma concentrations. After beginning afatinib therapy, we may need to confirm the afatinib  $C_0$  at an early time on day 8 after the beginning of therapy to reach a steady state.

## 5. Conclusions

Afatinib  $AUC_{0-24}$  of greater than or equal to 823.5 ng·h/mL and  $C_0$  of greater than or equal to 28.5 ng/mL could be used as cut-off values for the incidence of afatinib-induced grade 2 diarrhea. In addition, because the afatinib  $C_0$  was related to  $AUC_{0-24}$ , we could use  $C_0$  as a marker of therapeutic drug monitoring. Accordingly, we suggest monitoring the afatinib  $C_0$  on day 8 after the beginning of therapy to reach a steady state and adjusting the daily dose of afatinib as an index with a cut-off value of 28.5 ng/mL.

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