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6 **A measure to evaluate the sensitivity to genotype-by-environment interaction in**
7 **grapevine clones**

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19 **Short title:** G×E interaction in grapevine clones

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Abstract

24 **Background and Aims:** The development of an efficient clonal selection process requires
25 the study of genotype-by-environment (G×E) interaction. This work aims to evaluate the
26 variability of the G×E interaction among genotypes and to identify the less sensitive ones.

27 **Methods and Results:** The approach involves the fitting of mixed models to yield data taking
28 into account the correlation induced by the repeated measurements of the same plot over the
29 years. A measure for comparative evaluation of the G×E interaction among genotypes is
30 proposed (Interaction Sensitivity, *IS*), based on the variance of the values of the empirical best
31 linear unbiased predictors of G×E interaction effects across environments. In all cases studied
32 significant G×E interaction variability was found, and the proposed measure to rank the
33 sensitivity to G×E interaction varied widely among genotypes.

34 **Conclusions:** The existence of a common contribution shared by all observations made in the
35 same plot was detected, independently of the lag between years. The proposed measure to
36 rank the sensitivity to G×E interaction permitted identification of stable genotypes.

37 **Significance of the Study:** This work studied G×E interaction problem in the context of
38 grapevine and proposes a measure for the comparative evaluation of the G×E interaction
39 among genotypes.

40

41 **Keywords:** *clonal selection, G×E interaction, grapevine, multi-environmental trial, mixed*
42 *models*

43

44 **Introduction**

45 Grapevine (*Vitis vinifera* L.) is one of the most important crops in the Mediterranean region
46 and it is grown in many countries worldwide. As for any crop the genetic quality of the
47 propagated materials is of utmost importance. For an ancient variety, the selection
48 methodology currently used in Portugal by the Portuguese Association for Grapevine
49 Diversity (PORVID) consists of three steps (Martins and Gonçalves 2015). The first is a
50 random prospection of plants in old vineyards of the variety's main growing regions with the
51 objective of obtaining a representative sample of the intravariety genetic diversity. To each
52 single plant (genotype) prospected a code is given and it is vegetatively propagated originating
53 a clone (a set of genetically identical plants). The second step is the planting of a large field
54 trial according to an adequate experimental design using that sample (i.e. hundreds of
55 genotypes/clones of the variety under selection) to quantify genetic diversity within the variety
56 and perform selection of groups of superior genotypes (usually the selection of the top ranked
57 7–20 clones according to the target traits for the variety, polyclonal selection). This polyclonal
58 selected material becomes available for new plantings. The third step of the methodology is
59 implemented when the objective is to undertake clonal selection. It consists of the selection
60 of a superior group of about 30–40 clones from the previous stage, in the establishment of
61 several field trials in different locations, and in the evaluation during several years (usually
62 two–four locations, if possible during 5–8 years). The main concern is to select individual
63 clones which ideally present simultaneously good performance for the target traits and low
64 sensitivity to genotype by environment (G×E) interaction. The development of an efficient
65 clonal selection process requires knowledge about this type of interaction. Despite major
66 advances concerning G×E analysis in plant breeding programs, such developments have not
67 been routinely adopted in most of the grapevine clonal selection programs worldwide.

68 The phenotypic value of an individual for a given trait is controlled by its genotypic
69 effect, the environmental effect and the G×E interaction effect. Such interaction exists when
70 the comparative performance of genotypes vary according to the environment. Lynch and
71 Walsh (1998) consider the G×E interaction can comprise two major types: (i) rank-change
72 interaction, wherein genotypes are ranked in different orders in different environments
73 (crossover interaction); and (ii) level-of-expression interaction, wherein the expression of
74 genotypic differences varies across environments, but not necessarily with any change in the
75 order of the genotype rankings. For selection purposes, rank-change interaction will generally
76 be of greater interest to study (Li et al. 2017). The objective of the breeder is often to address
77 G×E interaction either by selecting stable genotypes that are not sensitive to environmental
78 changes, or by selecting genotypes for specific environments. According to Lynch and Walsh
79 (1998) spatial aspects of the environment (such as location) tend to contain more predictable
80 features than temporal aspects (such as yearly variation). Thus, breeders have to face two
81 competing tasks. First, if there are different mega-environments (group of locations within
82 which only modest G×E interaction occurs), genotypes that are widely adaptive within each
83 mega-environment can often be found and selected. Second, if the environment has significant
84 unpredictable components (such as year-to-year variation), G×E interaction cannot be
85 exploited and, as an alternative, the breeder must try to mitigate its effects, for example, by
86 selecting genotypes which are more stable over environments. As Lynch and Walsh (1998)
87 stressed, G×E interaction is highly context-specific: is almost inevitable if genotypes are
88 studied in a sufficiently large set of environments; if genotypes are examined within a small
89 and appropriate chosen set of environments, G×E may largely disappear.

90 The subject of G×E interaction has been a research focus among biometricians and
91 quantitative geneticists since the early 1900s (Yan and Tinker 2006). With the idea that it is
92 an undesirable phenomenon which confounds genotype evaluation, much work has been
93 devoted to developing new methods to study it. In fact, there are numerous methods for
94 studying G×E interaction. Probably the simpler methods and the most intuitive ones use
95 nonparametric statistics, which are based on the idea that a genotype is stable over
96 environments if its ranks are similar over environments (Nassar and Hühn 1987). Historically,
97 however, the most widely used techniques were focused on the regression analysis of the
98 observations of the genotype on environmental indices (Finlay and Wilkinson 1963), on the
99 genetic correlations between environments (Falconer and Mackay 1996), and on the use of
100 biplots for the interpretation of G×E interactions (Kempton 1984, Yan and Tinker 2006). The
101 additive main effects and multiplicative interaction (AMMI) and the genotype main effects
102 and interaction effects (GGE) are the two main biplot analysis methods (Gauch, 2006, Yan et
103 al. 2007, Gauch et al. 2008, Yang et al. 2009). Both are based on ANOVA (treat the main and
104 interaction effects as fixed effects) and principal component analysis (PCA). The difference
105 between them is that GGE biplot analysis is based on an environment-centred PCA, whereas
106 AMMI analysis refers to a double-centred PCA. Other common approaches to assess the
107 magnitude of genotype-by-environment interaction are based on the theory of mixed models
108 (Smith et al. 2005, Yang 2007). According to Smith et al. (2005), the advantages of the linear
109 mixed models include the ease to handle incomplete data, the ability to use more realistic
110 within-trial models for error variance and the ability to assume some sets of effects (e.g.
111 variety and/or environment effects) to be random rather than fixed. Thus linear mixed models
112 have become popular for the analysis of multi-environmental trials (MET) data. Among these

113 approaches, one of the most mentioned is the factor analytic (FA) models (Piepho 1998,
114 Burgueño et al. 2008, Cullis et al. 2014, Smith et al. 2015). According to these authors, those
115 models can provide a reliable parsimonious and holistic approach for estimation of genetic
116 correlations between all pairs of trials and provide a natural framework for modelling G×E
117 patterns in complex multi-environment experiments. The use of FA models in multi-
118 environment trials is based on the use of eigenvectors from PCA and extended to
119 accommodate both additive and non-additive effects. In this approach predicted genotypic
120 effects for each environment are obtained (Smith et al. 2015).

121 As mentioned above, G×E interaction analysis in plant breeding programs have long
122 been implemented worldwide, mainly in annual crops. Commonly, potential new varieties are
123 evaluated in a large number of designed field experiments that cover a range of geographic
124 locations and years. For example, in Australia over 600 trials are conducted annually by
125 National Variety Trials (NVT) and cover a range of crops including wheat, barley, canola,
126 chick peas, faba beans, field peas, lentils, lupins, oats and triticale (Smith et al. 2015). Under
127 the grapevine clonal selection context, the evaluation of G×E interaction is also a key point in
128 the selection process. However, studies related to G × E interaction in grapevine clones are
129 scarce. In Germany, Laidig et al. (2009) studied the performance of Riesling clones at 16
130 locations but with a highly unbalanced data structure and some locations without replications.
131 In Portugal, some approaches have been conducted including graphical representation of
132 clones' ranking over environments, calculation of the coefficient of variation of phenotypic
133 values of one genotype in different environments, computation of non-parametric rank
134 measures (Martins et al. 1998, Martins and Gonçalves 2015). Another approach was the
135 quantification of G×E interaction from the genetic correlation between environments

136 (Gonçalves et al. 2016) from the fitting of a linear mixed model assuming different genetic
137 variances and different genetic correlations among sites, and using an unstructured structure
138 for genetic and error covariance matrices. These authors separated the two causes of G×E
139 interaction as Cooper et al. (1996) proposed: the heterogeneity of genetic variance between
140 environments (i.e. interaction due to scale) and heterogeneity of correlation between
141 environments (i.e. interaction due to crossover) which affects the ranking of genotypes and
142 hence selection. Concretely, in the work conducted by Gonçalves et al. (2016) the G×E
143 interaction was studied for different traits (yield, and probable alcohol and acidity of the must)
144 using different locations and years within each location. The results showed the presence of
145 G×E interaction for all the studied traits. The effect of the year was also a remarkable result.
146 Data from different years within a site were not genetically more strongly correlated than data
147 from different sites.

148 Despite all these efforts, other approaches should be developed for a better
149 understanding and interpretation of the G×E interaction in grapevine clones. In this context,
150 there are some hurdles to overcome. One problem is related with the difficulty of field
151 experimentation with this perennial crop, which is time consuming and implies high costs. As
152 a consequence, few locations are used (frequently two to four) but the same genotypes are
153 evaluated during several years in the same location. Under such conditions, some methods
154 seeking specific adaptation, such as GGE and AMMI, are rarely applied in the grapevine
155 context. Therefore, the objective should be to select genotypes that ideally show stability (low
156 environmental sensitivity) over environments instead of attempting to select for locally-
157 adaptive genotypes.

158 This work attempts to frame the $G \times E$ interaction problem in the context of grapevine.
159 The main purpose of this work is to develop an expedient measure to easily rank genotypes
160 according to their sensitivity to $G \times E$ interaction in the studied environments. The objective is
161 to provide another criterion, besides those related to general performance of yield and quality
162 traits of the must, to support selection decisions, and to inform grapegrowers about $G \times E$
163 interaction of selected clones. The theoretical approach involves the fitting of mixed models.
164 The paper is arranged as follows: (i) analysis of yield data based on mixed models that
165 combines the information across locations and across years within the location, and
166 accommodates correlation induced by the repeated measurements of the same plot along the
167 years in the same location; the specific objective is to know if there is significant $G \times E$
168 interaction variability among the clones of the same variety in the final stage of selection; and
169 (ii) the development of a statistical measure for comparative evaluation of the $G \times E$ interaction
170 among genotypes in order to identify the less sensitive ones.

171

172 **Materials and methods**

173 *Plant material*

174 To validate the methodology proposed in this study to analyse G×E interaction, multi-
175 environmental trials of four grapevine varieties were considered: Alvarinho, Antão Vaz,
176 Aragonez and Síría. The genotypes evaluated in these trials were selected from a previous
177 stage of selection according to the yield in the varieties Antão Vaz, Aragonez and Síría. All
178 plants were free of grapevine leafroll associated virus type 3 and grapevine fanleaf virus.

179 All information about the field trials can be found in Tables 1 and 2. For each variety,
180 trials in two–three locations were available and the same genotypes were grown in all trials.
181 They were planted in the main growing regions of the varieties in Portugal, and for each
182 location, wine region, soil texture, altitude, and climate conditions are described in Table 1.
183 In all trials, the training system was a vertical shoot position and the pruning system was a
184 bilateral Royat cordon system, except for the variety Alvarinho, which was a double cordon
185 system (high and low) alternated. The trials were laid out as a randomised complete block
186 design, and the number of repetitions, number of plants per plot (experimental unit), rootstock,
187 year of grafting, and planting density of each trial are presented in Table 2. Several traits were
188 measured in these experiments, but the one under consideration to exemplify the methodology
189 proposed in this paper is the yield. For each location, yield data from 2–11 years were
190 collected. During these years, this trait was evaluated in all replicates of each field trial. That
191 is, the mass of the grapes of all plants in each plot of each genotype was taken and in the
192 statistical analysis the mean yield of each plot (kg/plant) was used for each repetition of each
193 genotype. Additionally, previously to G×E interaction analysis, a preliminary data analysis
194 for each year in each field trial was conducted to assess the broad sense heritability (the

195 proportion of phenotypic variance explained by genotypic causes), and thus, to evaluate
196 genotypic variance component associated to yield data in those trials. The high values
197 observed for this genetic parameter indicate the suitability of these field experiments to
198 perform selection (Table 2). For each variety, the years evaluated in each location and the
199 mean yield and the coefficient of variation of the mean yield phenotypic values in each
200 environment are presented in Table 3.

201 In order to analyse G×E interaction, it is desirable to have a sample of the possible
202 growing conditions to which those genotypes could be exposed to. Thus, the specific location-
203 year combination was considered an ‘environment’. It covers the specific conditions of the
204 location, such as edapho-climatic conditions, rootstock and cultural practices, and the unique
205 climatic features of the year.

206

207 *Statistical methods*

208 **Mixed models for the analysis of G×E interaction in grapevine clones.** A multi-environment single
209 stage analysis was performed. In matrix formulation, the general model can be described as
210 follows:

211

$$212 \quad \mathbf{Y} = \mathbf{X}\boldsymbol{\beta} + \mathbf{Z}\mathbf{u} + \mathbf{e},$$

213 $\mathbf{Y}_{(n \times 1)}$ is the random vector of observations (mean yield of each plot), ordered by location,
214 environment (combination location/year) and plot within each environment;

215 $\boldsymbol{\beta}_{(p \times 1)}$ is the vector of fixed effects (includes the overall mean and the main effects of the
216 environments);

217 $\mathbf{X}_{(n \times p)}$ is the design matrix of fixed effects;
 218 $\mathbf{u}_{(q \times 1)}$ is the vector of random effects (includes the effects of the blocks nested in
 219 environment, the genotypic main effects and the genotype by environment interaction
 220 effects); $q = \sum_{i=1}^r q_i$, where q_i is the number of levels of random effects factor i and r the
 221 number of random effects factors studied;

222 $\mathbf{Z}_{(n \times q)}$ is the design matrix of random effects;

223 $\mathbf{e}_{(n \times 1)}$ is the vector of random errors.

224 The vectors \mathbf{u} and \mathbf{e} are assumed mutually independent with multivariate normal
 225 distribution with vector of mean values $\mathbf{0}_{(n \times 1)}$ and covariance matrices $\mathbf{G}_{(q \times q)}$ and $\mathbf{R}_{(n \times n)}$,
 226 respectively:

$$227 \quad \text{Cov}[\mathbf{u}, \mathbf{e}] = \mathbf{0}, \mathbf{u} \cap \mathcal{N}_q(\mathbf{0}, \mathbf{G}), \mathbf{e} \cap \mathcal{N}_n(\mathbf{0}, \mathbf{R}).$$

228 Consequently, the distribution of \mathbf{Y} is multivariate normal with mean value $\mathbf{X}\boldsymbol{\beta}$ and
 229 covariance matrix $\mathbf{V} = \mathbf{Z}\mathbf{G}\mathbf{Z}^T + \mathbf{R}$, where \mathbf{Z}^T is the transpose of \mathbf{Z} : $\mathbf{Y} \sim \mathcal{N}_n(\mathbf{X}\boldsymbol{\beta}, \mathbf{V})$.

230

231 Concerning the vector of random effects $\mathbf{u}_{(q \times 1)}$, it takes the form $\mathbf{u} =$

232 $(\mathbf{u}_1^T, \mathbf{u}_2^T, \dots, \mathbf{u}_r^T)^T$ where each sub-vector corresponds to the random effects of each factor.

233 For the vector of random effects of factor i the covariance matrix is defined as $\text{Var}[\mathbf{u}_i] =$

234 $\mathbf{G}_i = \sigma_i^2 \mathbf{I}_{q_i}$, $\forall i = 1, \dots, r$, where \mathbf{I}_{q_i} is the identity matrix of order q_i ; and $\text{Cov}[\mathbf{u}_i, \mathbf{u}_{i'}] =$

235 $\mathbf{0}$, $\forall i \neq i'$. Therefore the covariance matrix of vector \mathbf{u} is defined as $\mathbf{G} = \bigoplus_{i=1}^r \mathbf{G}_i$, where \bigoplus

236 is the direct sum of matrices.

237 Concerning the vector of random errors $\mathbf{e}_{(n \times 1)}$, the simplest way to treat the problem
 238 is to assume that the elements of this vector are independent and identically distributed random
 239 variables, that is, $\mathbf{R} = \sigma_e^2 \mathbf{I}_n$ (hereafter named as model IND). It considers that random errors
 240 associated with observations made in different years in the same plot are independent random
 241 variables. With grapevine, however, this basic assumption is violated due to the sequential
 242 nature of the data on each plot over the years in the same location.

243 Let us consider vector $\mathbf{e}_{(n \times 1)}$, with $n = \sum_{j=1}^l n_j$, where n_j is the number of
 244 observations in location j , ordered by environment and plot within each location, takes the
 245 form $\mathbf{e} = (\mathbf{e}_1^T, \mathbf{e}_2^T, \dots, \mathbf{e}_l^T)^T$, where each sub-vector corresponds to the random errors for each
 246 location. For location j the error covariance matrix, $Var[\mathbf{e}_j] = \mathbf{R}_j$, $\forall j = 1, \dots, l$ and
 247 $Cov[\mathbf{e}_j, \mathbf{e}_{j'}] = \mathbf{0}$, $\forall j \neq j'$. Therefore the covariance matrix of vector \mathbf{e} is defined as $\mathbf{R} =$
 248
$$\bigoplus_{j=1}^l \mathbf{R}_j.$$

249 The next step was to define the structure for the error covariance matrix in each
 250 location, that is, the structure of \mathbf{R}_j . In the following approaches, in the same location random
 251 errors associated to different experimental units were assumed to be independent;
 252 consequently covariance different from zero was only assumed for measurements on the same
 253 experimental unit (plot).

254 In location j with p plots matrix \mathbf{R}_j takes the form $\mathbf{R}_j = \mathbf{I}_p \otimes \Sigma_{e_j}$, where \mathbf{I}_p is the
 255 identity matrix of order p , \otimes is the Kronecker product. There are several options to
 256 characterise this phenomenon with an appropriate covariance structure Σ_{e_j} . The most general

257 and complex form for Σ_{e_j} is a so-called unstructured matrix that involves separate error
258 variances for each year and separate correlations for all pairs of years. The objective, however,
259 is to choose among those that make sense in this biological context and to find a structure that
260 fits data adequately but is as simple as possible. From the specificity of the grapevine, emerges
261 the following most probable covariance structures: the compound symmetry and the first order
262 autoregressive model (when years are consecutive).

263 The compound symmetry (hereafter named as model CS): Σ_{e_j} is a matrix with
264 diagonal elements $\sigma_{e_j}^2$ (the error variance for location j) and non-diagonal elements defined as
265 $\sigma_{e_j}^2 \rho$ (ρ is the correlation between pairs of observations in the same plot of location j). This
266 structure is a parsimonious covariance model which specifies that measures at all years have
267 the same variance, and that all pairs of measures on the same plot have the same correlation.
268 The implication is that the only aspect of the covariance between repeated measures is due to
269 the plot contribution, independently of the lag between years.

270 The other matrix that makes sense to consider, when the evaluated years are
271 consecutive, is the first order auto-regressive matrix (hereafter named as model AR1). In this
272 case, matrix Σ_{e_j} has diagonal elements $\sigma_{e_j}^2$ and non-diagonal elements defined as $\sigma_{e_j}^2 \rho^{|k-k'|}$,
273 where $|k - k'|$ is the lag between year k and k' . This model specifies that measures at all
274 years have the same variance and considers that correlation between observations in the same
275 plot is a function of their lag in time: nearby observations tend to be more highly correlated
276 than observations farther apart in time.

277 The covariance model parameters were estimated by residual maximum likelihood
278 method (REML) (Patterson and Thompson 1971), with average information algorithm. For

279 nested models, models IND and CS, and IND and AR1, model selection was performed by
280 conducting a residual likelihood ratio test (REMLRT). These models were also compared
281 using the Akaike information (AIC), defined as minus twice the residual log likelihood plus
282 twice the number of variance parameters. Comparison of non-nested models (models CS and
283 AR1) was based only using AIC criterion. Lower values of this criterion correspond to a best
284 model fit.

285 Linear mixed models above described were fitted in R (R Core Team 2018), package
286 ASReml-R (Butler et al. 2018).

287

288 **A measure to select genotypes with low sensitivity to G×E interaction.** The G×E interaction was
289 assessed directly by testing the null hypothesis if the G×E variance component is zero
290 ($H_0: \sigma_{G \times E}^2 = 0$ vs $H_1: \sigma_{G \times E}^2 > 0$) by a REML ratio test (REMLRT), comparing minus twice
291 the residual log-likelihood obtained with the fitting of two models, one with the interaction
292 term (full model) and the other without it (reduced model, null hypothesis). The intravariety
293 genetic variability among the tested genotypes ($H_0: \sigma_G^2 = 0$ vs $H_1: \sigma_G^2 > 0$) was also tested
294 using a REMLRT. Under the null hypothesis that defines that a variance component is zero,
295 the asymptotic distribution of the REMLRT statistic is a 50:50 mixture of chi-square
296 distributions with zero and one degrees of freedom (Self and Liang 1987).

297

298 With the estimated covariance matrices, through the mixed model equations, the
299 empirical best linear unbiased estimators (EBLUEs) of the fixed effects and the best linear
300 unbiased predictors (EBLUPs) of the random effects were obtained as follows (Henderson
301 1975, Searle et al. 1992):

302
$$\tilde{\mathbf{u}}_{EBLUP} = \hat{\mathbf{G}}\mathbf{Z}^T\hat{\mathbf{V}}(\mathbf{y} - \mathbf{X}\hat{\boldsymbol{\beta}}_{EBLUE}), \text{ with } \mathbf{X}\hat{\boldsymbol{\beta}}_{EBLUE} = \mathbf{X}(\mathbf{X}^T\hat{\mathbf{V}}^{-1}\mathbf{X})^{-1}\mathbf{X}^T\hat{\mathbf{V}}^{-1}\mathbf{Y}.$$

303 Ideally, a breeder would prefer to select for genotypes with both high mean performance (high
 304 EBLUPs of genotypic effects) for the target traits and low sensitivity to G×E interaction (i.e.
 305 increased stability in performance over environments, which means EBLUPs of G×E
 306 interaction close to zero). Once rejected the null hypothesis for the G×E interaction, the study
 307 was focused on the EBLUPs of G×E interaction effects ($EBLUP_{G \times E}$).

308 The EBLUPs of the G×E interaction effects depend on the variance components estimates.
 309 When the estimated variance $\sigma_{G \times E}^2$ is zero, the EBLUPs of G×E are all zero; when the $\hat{\sigma}_{G \times E}^2$
 310 is higher than zero, not all the EBLUPs of the interaction are zero. For each clone there are as
 311 many EBLUPs of the interaction as the number of evaluated environments. Desiring that all
 312 these EBLUPS are close to zero and knowing that the mean of the EBLUPS converges to zero
 313 (Searle et al. 1992), then the variance of the EBLUPs of the effects of the interaction of a clone
 314 will be a measure of its sensitivity to G × E interaction. But the meaning of the values of these
 315 effects depends on the yield mean of the environment, therefore, it is desirable to define the
 316 $EBLUP_{G \times E}$ for the genotype i in the environment k as the proportion of the yield mean of the
 317 environment k ($EBLUP_{G_i \times E_k}(\%)$):

318
$$EBLUP_{G_i \times E_k}(\%) = (EBLUP_{G_i \times E_k} / \text{Overall mean environment } k) \times 100.$$

319 The variance of the values $EBLUP_{G_i \times E_k}(\%)$ across the a environments studied is the
 320 measure proposed to evaluate sensitivity of the genotype i to G × E interaction, hereafter
 321 named as Interaction Sensitivity (IS):

322
$$IS = \frac{\sum_{k=1}^a (EBLUP_{G_i \times E_k} \% - \overline{EBLUP_{G \times E}(\%)})^2}{a - 1},$$

323 where $\overline{EBLUP_{G \times E}(\%)}$ is the mean of the values $EBLUP_{G_i \times E_k}(\%)$ across the a environments
324 for the genotype i , which will be close to zero. The lower the value of IS , the lower the
325 sensitivity of the genotype to the $G \times E$ interaction. Calculating the IS for each genotype it will
326 be possible to select the less sensitive ones. In this analysis the inference to other environments
327 will be weaker as the number and diversity of studied environments is lower.

328

329 **Results**

330 *Models for the analysis of $G \times E$ interaction in grapevine clones*

331 For the four varieties studied, the results to identify the best structure of the covariance matrix
332 of the vector of random errors (matrix R) are shown in Tables 4, 5 and 6. In all studied varieties,
333 models CS and AR1 were better than the model considering independent errors among
334 observations of the same plot (IND). The latter always revealed higher values for AIC. This
335 conclusion was also supported by the results obtained with the REML ratio test comparing
336 models IND and CS and models IND and AR1. In either case, the result of the REMLRT was
337 the rejection of the model IND for any usual significance level. Comparing models CS and
338 AR1, lower values for AIC were observed for model CS in all the studied cases (Table 4),
339 thus, CS model always revealed a better fit.

340 The estimates of the covariance parameters are illustrated in Table 5. Error variance
341 heterogeneity among locations was observed from the fitting of models CS and AR1 (this can
342 be seen through the values of the random errors variance component estimates for each
343 location, $\hat{\sigma}_{eL}^2$). It changed according to the varieties and was higher for Alvarinho and Antão
344 Vaz. Additionally, depending on the varieties and location, low to moderate correlations

345 among observations of the same plot ($\hat{\rho}_L$) were found. With a time lag of 5 or more years, the
346 correlation between observations were approximately zero according to model AR1. The
347 higher correlations among observations of the same plot were observed for Alvarinho in
348 location L1, and Antão Vaz in location L2 (0.423 and 0.357, respectively). With regard to
349 genotypic and block within environment variance components estimates, lower values were
350 found for models CS and AR1 than for model IND. The opposite was observed for the G×E
351 interaction variance component estimate, accomplished by an increase in its precision (higher
352 value for the ratio $\hat{\sigma}_{G \times E}^2 / SE$). With the fitting of models CS and AR1, significant G×E
353 interaction variability was found (rejection of hypothesis $H_0: \sigma_{G \times E}^2 = 0$, $P < 0.05$) for all
354 studied cases (Table 6). The difference between residual log-likelihood of the models with
355 and without interaction effects was higher in models CS and AR1, resulting in a high value
356 for the REMLRT test statistic.

357 To sum up, variability concerning G×E interaction was detected for all the studied
358 cases, genotypic variability was also significant ($P < 0.05$), except for Antão Vaz (Table 6).

359

360 *A measure to identify genotypes with low sensitivity to G×E interaction: interaction sensitivity*
361 *(IS)*

362 The EBLUPs of G×E interaction effects resulting from model CS (the best covariance
363 structure for the matrix R for all varieties) were used to study the sensitivity to G×E interaction.

364 The results obtained for the Interaction Sensitivity (IS) and for the predicted genotypic yield
365 performance are provided in Table 7. The differences observed between the lowest and the
366 highest values for IS demonstrate that this measure permits to differentiate the behaviour of

367 clones concerning their sensitivity to G×E interaction. For example, for Alvarinho, the highest
368 value for *IS* was about 96 times superior to the lowest value (ranged from 52.45 to 5067.15).
369 The genotypic predicted yield varied from 4.29 kg/plant to 7.64 kg/plant, but the three
370 genotypes that revealed higher G×E sensitivity were the same that showed the lower yield
371 predicted genotypic performance. The less sensitive genotypes revealed an average yield
372 performance.

373 The differences between the maximum and minimum *IS* values for the clones of the
374 other varieties were lower than the range observed for Alvarinho, however, the highest values
375 for *IS* were about 10 times superior to the lowest values. The range for the yield predicted
376 genotypic values for the other varieties studied was also lower. The predicted genotypic values
377 among the tested genotypes ranged only from 3.48 kg/plant to 3.83kg/plant for Antão Vaz,
378 from 2.89 kg/plant to 3.95 kg/plant for Aragonez, and from 2.51 kg/plant to 3.31 kg/plant for
379 Síría. For these varieties, the less sensitive genotypes to G×E interaction usually revealed a
380 mean yield performance (Table 7). The complete information about *IS*, predicted genotypic
381 values, EBLUPs of the genotypic effects, and EBLUPs of the G×E interaction effects over the
382 studied environments for all studied genotypes is provided in supporting information (Tables
383 S1–S4).

384 For the four varieties studied, the EBLUPs of the effects of the G×E interaction as the
385 proportion of the environment mean ($EBLUP_{G \times E}(\%)$) for the clones with the lowest and the
386 highest *IS* values are represented in Figures 1– 4. In these figures the overall yield mean
387 obtained for each environment is also presented. It should be noted that $EBLUP_{G \times E}(\%)$ of the
388 clones with the lowest *IS* values were closer to zero. This means that those clones revealed

389 less sensitivity to G×E interaction. An opposite behaviour was observed for the clones that
390 showed the highest *IS* values. In this latter case, the oscillation around zero of $EBLUP_{G \times E} \%$
391 increased.

392 In more detail, in variety Alvarinho (Figure 1), the genotype with the highest *IS* value
393 (AI35) showed high positive G×E interaction effects of the yield in several environments,
394 with EBLUPs of the G×E interaction effects higher than 30% of the yield mean of the
395 respective environments. A peak of 306% higher than the yield mean of the environment was
396 reached in L3-1994. This means that this genotype reacted better in these environments than
397 in the other ones, but this increasing in yield due G×E interaction does not mean that it had
398 the best yield among the genotypes studied. In fact, this genotype showed the lowest genotypic
399 mean yield performance (Table 7). In other environments, however, the same genotype
400 showed negative G×E interaction effects of the yield, less 30% of the mean of the
401 environments. Therefore, this means that it reacted worse in these environments than in the
402 others. Those variations resulted in a high value for *IS*. For the clone that showed the lowest
403 *IS* value (AI1), the variation of EBLUPs of the G×E interaction effects around zero was
404 smaller, ranging from -13.2% to +9.3% of the mean yield of the environments. The same
405 behaviour was also found in the genotypes of Antão Vaz variety (Figure 2). In this case, in
406 the clone that showed the highest value of *IS* (AN40), the variation of EBLUPs of the G×E
407 interaction effects ranged from -8.2 to +33.5% of the mean yield of the environments, whereas
408 for the clone with the lowest *IS* (AN1), it varied from -4.8 to +6.3%. For the genotypes of
409 Aragonez variety (Figure 3), the one that showed the highest value of *IS* (RZ40), the variation
410 of EBLUPs of the G×E interaction effects ranged from -19 to +10% of the mean yield of the

411 environments, whereas for the clone with the lowest *IS* (RZ1), it varied from -5.6 to +3.0%.
412 Finally, for *Síria* variety (Figure 4), the genotype that showed the highest value of *IS* (CR40)
413 presented a range of EBLUPs of the G×E interaction effects from -11% to +30.5% of the mean
414 yield of the environments, whereas for the one with the lowest *IS* (CR1), it varied from -7.5%
415 to +4.6%.

416 Importantly, for the four varieties studied, there was no relation between positive or
417 negative effects of the G×E interaction and the overall yield mean of the environment (Figures
418 1–4). That is, it cannot be said that negative effects of G×E interaction always occur in ‘poor’
419 environments (with low overall mean yield) nor positive effects in ‘good’ environments (with
420 high overall mean yield), or vice-versa. Similarly, it cannot be inferred that negative or
421 positive effects of G×E interaction are dependent on the climate conditions. This latter finding
422 is drawn from the results of *Antão Vaz*, *Aragonez* and *Síria* varieties (Table 1, Figures 2–4).
423 In fact, for these field trials the temperature and precipitation varied according to location
424 (Table 1 and Figures 2–4) and no pattern associated to the signal of G×E interaction effects
425 among locations was detected. This type of variation is undesirable because it reveals the
426 inconsistency of the genotype. Additionally, no systematic signal differences of G×E
427 interaction effects and location were found. That is, over years in each location both genotypes
428 under analysis reacted with positive and negative G×E interaction effects and, thus, no
429 systematic behaviour was observed in each location (namely, all years with negative effects
430 or positive effects). This finding reinforces the idea of unpredictable behaviour of a genotype.
431 This is the most undesirable interaction, therefore genotypes revealing the highest values of
432 *IS* should not be selected.

433

434 **Discussion**

435 Numerous methodologies are used worldwide to study G×E interaction in plant breeding.
436 However, they are not currently and appropriately applied to grapevine clonal selection,
437 particularly those techniques that search for specific adaptation, such as AMMI and GGE
438 (Gauch 2006, Yan et al. 2007, Gauch et al. 2008, Yang et al. 2009). These practices are
439 focused on performing mega-environment analysis, that is, to define a group of locations that
440 consistently share the best set of genotypes across years. For this purpose the same set of
441 genotypes is tested at the same set of test locations across multiple years (Yan et al. 2007). In
442 viticulture, however, grapevine clones are studied only in a few locations and wine regions.
443 Therefore, it is difficult to perform an analysis which recommends clones for a specific region.
444 For example, in the practical examples handled in this work, which reflect what is usually
445 done in grapevine clonal selection trials, the available number of locations and the number of
446 trials in each one, do not permit to define specific adaptation. In fact, the genotypes of
447 Alvarinho were studied in three different trials in one location (Monção); with Aragonez and
448 Síria, the genotypes were evaluated in two locations, but only one trial in each one was
449 planted; and, for Antão Vaz three locations were considered with only one trial in each one.
450 But, as multiple years in each trial were evaluated, the most of the environmental contribution
451 to G×E studied is unpredictable, such as year-to-year variation (for example, average
452 temperature or rainfall during a growing season). As Lynch and Walsh (1998) mentioned,
453 under such conditions, the best approach is to attempt to average performance of the genotype
454 and to select for stability. The methodology proposed in this study responds precisely to this
455 strategy: (i) permits the prediction of the genotypic effect for each clone at a global level of
456 the environments; (ii) permits the prediction of the G×E interaction deviations for each clone

457 per environment; and (iii) takes into account that the random errors associated with
458 observations among different years in the same plot are correlated.

459 Considering the context of grapevine, the repeated measurements (yearly yield
460 observations) occur at a long enough interval so that a correlation close to zero relative to
461 other variation could be acceptable and, thus, the covariance structure IND could be
462 acceptable too. However, this study showed that, even with a low level of correlation among
463 repeated measurements, CS and AR1 models were always better than IND. Comparing CS
464 and AR1 models, an advantage of CS over AR1 was observed. Therefore, the existence of a
465 common contribution, such as the soil, radicular structure, shared by all observations made
466 in the same plot was detected, independently of the lag between years. Additionally, the
467 correlation among repeated measurements varied according to location, which can be
468 explained by the specific edapho-climatic conditions of each one. Importantly, the CS model
469 showed advantages for the study of G×E interaction, which is the key issue of the current
470 study. Indeed, regarding the estimates of the parameters obtained for the different fitted
471 models, in general the G×E variance component estimate increased with CS model and as
472 well as the ratio $\hat{\sigma}_{G \times E}^2 / SE$, which reveals an increase in the precision of this estimate.
473 Consequently, with the fitting of CS model, a higher precision in the prediction of the EBLUPs
474 of the effects of G×E interaction was also observed. On the other hand, the genotypic variance
475 component estimate obtained with the fitting of the latter model was lower, because the part
476 of this component resulting from scale differences was taken into account by the heterogeneity
477 variances assumed in R matrix. Considering other perennial crops, Piepho and Eckl (2014)
478 analysed ryegrass trials with 3 harvest years and found similar results for AR1 and CS models.

479 Still regarding the statistical methodology, a model that included the effects of the
480 location (L) and the effects of the year nested in location (Y) could have been fitted in. In this
481 way, the variability of G×E interaction could have been separated into G×L and G×Y
482 interactions. This approach, however, was not followed. In previous studies conducted in
483 grapevine clonal selection trials, differences between genotype-by-location and genotype-by-
484 year within location interactions were not found (Gonçalves et al. 2016). On the other hand,
485 in the context of grapevine clonal selection trials, the number of locations and years are few,
486 and the estimation of G×L and G×Y variance components would be problematic. For this
487 reason, the study was focused on a global level of the environments (each one including the
488 effects of the local, year, cultural practices, and rootstock). As a result, a higher number of
489 environments is achieved and a more accurate and precise estimate for the G×E variance
490 component is obtained. This last issue is of the utmost importance in the context of this study
491 because the measure proposed, Interaction Sensitivity (*IS*), is based on the EBLUPs of the
492 G×E effects. In this case, the rankings of the predicted G×E interaction effects are required to
493 be as close as possible to the rankings of the true effects. And, according to Searle et al. (1992),
494 the estimates of the variance parameters have to be sufficiently precise to ensure that the
495 optimality of BLUP is maintained with EBLUP. Additionally, if the effects of the location
496 and the effects of the year nested in location are not separated in the analysis, the most correct
497 approach is to select for stability, which is precisely the objective of the proposed measure. In
498 this sense, *IS* is unbiased regarding these two components of interaction because it evaluates
499 the overall genotype sensitivity to G×E interaction.

500 The measure proposed in this study, Interaction Sensitivity, to rank the sensitivity of
501 clones to G×E interaction is expeditious and showed a wide range of variation among

502 genotypes, which reveals its ability to differentiate the genotypes concerning their sensitivity
503 to G×E interaction. It should be noted, however, that there is no guarantee that genotypes with
504 lower values for this measure could not exhibit an unexpected behaviour in a new
505 environment. There is always the uncertainty linked to the cultivation of genetically
506 homogeneous material. This type of behaviour was clearly observed through the analysis of
507 Figures 1–4. Likewise, we will not be able to infer the results obtained in the studied
508 environments to other climatic conditions. However, clones that show a more stable behaviour
509 in the studied environments may tend to be more indifferent to new environmental conditions.
510 The issue of the extrapolation of the results obtained from the environments studied to other
511 environments is also dependent from the sample of the environments studied. As Lynch and
512 Walsh (1998) mentioned, G×E interaction is almost inevitable if genotypes are studied in a
513 sufficiently large set of environments; if genotypes are examined within a small and
514 appropriate chosen set of environments, G×E may largely disappear.

515 Although the main objective at this stage of selection is to select for low sensitivity to
516 G×E interaction, it is also important to match this information with the performance of the
517 genotype to support the final selection decision. Ideally, a breeder wants to find genotypes
518 which present simultaneously good performance for the target traits and low sensitivity to
519 G×E interaction. Considering the data analysed in this study, what is desirable is to have
520 genotypes with high EBLUPs of yield genotypic effects and EBLUPs of G×E interaction
521 effects close to zero (which is reflected in a lower *IS*). The achievement of such objective will
522 depend on the genetic diversity among the evaluated genotypes and the selection criteria used
523 in the previous selection cycle. For example, if no significant yield genetic variability is found
524 among the studied genotypes, the selection criterion should be based only on the lower

525 sensitivity to G×E interaction. In contrast, if significant genetic variability is found, genotypes
526 with high EBLUPs of yield genotypic effects and low *IS* values, and genotypes with high
527 EBLUPs of yield genotypic effects and high *IS* values can be found. In this latter case, it means
528 that the genotype may be excellent in some environments and in others that might not happen
529 (the genotype effect does not always overlap the negative effect of interaction).

530 The cases studied in this work exemplify the considerations previously made. For
531 example, a narrow range of the yield predicted genotypic values was found among the
532 genotypes studied in the varieties Antão Vaz, Aragonez and Síria, which is justified because
533 those genotypes were selected from a previous stage according to the yield and its stability
534 across years. In fact, in the Antão Vaz variety, the yield genetic variance found among the 40
535 studied genotypes was not significant. In these circumstances, the selection criterion should
536 be based only on the sensitivity to G×E interaction. For Aragonez and Síria, although the null
537 hypothesis $\sigma_G^2 = 0$ has been rejected, the main selection criterion should also be focused on
538 the lower sensitivity to G×E interaction, given the narrow yield range observed among
539 genotypes. For Aragonez, however, genotypes with high predicted genotypic values are
540 among the genotypes with the least sensitivity (RZ3, RZ4, RZ9) (Table 7).

541 For Alvarinho the conditions were different. In Portugal, this variety has a high natural
542 frequency of occurrence of grapevine leafroll associated virus type 3. Thus, the selection
543 criterion from the previous stage was based on the condition to be free for this virus. As a
544 result, the genotypic predicted yield differences found in the studied trials were higher. In this
545 case, the three genotypes which are furthest from the mean yield (with the lowest EBLUPs of
546 the genotypic effects) are those with higher sensitivity to G×E interaction. Several genotypes
547 with the highest EBLUPs of the genotypic effects are ranked for *IS* from AI26 to AI32,

548 revealing sensitivity to G×E interaction (Tables 7, S1). As a consequence, their selection
549 should be viewed with caution and, above all, if selected, the information about their
550 sensitivity to G×E interaction should be provided to grapegrowers.

551 It should be highlighted that, in grapevine, G×E interaction is also found for other
552 important traits, for example, in compositional traits of the must, and the degree of G×E
553 interaction depends on the trait and variety (Gonçalves et al. 2016). For example, in the
554 aforementioned study, the highest G×E interaction was found for the yield in the case of
555 Fernão Pires variety, and for acidity in the case of the varieties Malvasia Fina and Rabo de
556 Ovelha. As a result, once detected G×E interaction, the EBLUPS of the effects of G×E
557 interaction for all the traits studied can be used to apply the proposed measure of interaction
558 sensitivity, and for each trait each genotype has an *IS* value. Hence, besides the criteria related
559 to general performances of yield and quality traits of the must, the *IS* for each trait should be
560 taken into account for final selection decisions. Usually, the final selection tries to prioritise
561 the most important traits of each variety, looking for genotypes that minimise the weaknesses
562 of the variety under selection. In practice, a table summarising the ranks of both *IS* and
563 EBLUPS of genotypic effects of the clones for the several traits evaluated should be the basis
564 for clonal selection. Alternatively, a selection index comprising all the previous information
565 could also be constructed. And, most importantly, the information about the sensitivity to G×E
566 interaction of the selected clones should be provided to grapegrowers.

567 In summary, the study of G×E interaction in grapevine clones should be strongly
568 implemented. In fact, it is inappropriate to study a clone in only one specific region taking
569 into account that it will be grown in other regions or even other countries. Finally, this new

570 approach for the study of G×E interaction in grapevine clones can also be applied to other
571 perennial species.

572

573

574 **Conclusions**

575 Nowadays clonal materials are widely used worldwide to plant new vineyards. However, the
576 clone is genetically homogenous, therefore it is likely to be sensitive to G×E interaction.

577 In order to implement a successful grapevine clonal selection, a multi-environmental trial
578 should be conducted to provide information to grapegrowers about the sensitivity to G×E
579 interaction of the available clones for planting new vineyards. The methodology proposed in
580 this work to study G×E interaction is adapted to the context of grapevine and other perennial
581 crops usually studied in few locations during several years. The existence of correlation
582 among observations made in the same plot was detected, independently of the lag between
583 years.

584 When using the proposed measure to evaluate the sensitivity to G×E interaction,
585 differences among genotypes were found. This demonstrates the usefulness of this measure
586 as an additional tool in grapevine clonal selection.

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Table 1. Description of the field trials of the four grapevine varieties studied.

Variety	Location	Portuguese wine region	Soil texture	Altitude (m)	Precipitation (mm)†	Tmax (°C)†	Tmin (°C)†
Alvarinho	Monção (A-L1)	Vinho Verde	Sandy loam	81	1465.7	27.5	4.1
	Monção - Pias (A-L2)	Vinho Verde	Sandy loam	78	1465.7	27.5	4.1
	Monção - Ceivães (A-L3)	Vinho Verde	Sandy	91	1465.7	27.5	4.1
Antão Vaz	Évora (AN-L1)	Alentejo	Slaty	259	609.4	30.2	5.8
	Palmela (AN-L2)	Península de Setúbal	Sandy	21	715.9	29.5	4.7
	Vidigueira (AN-L3)	Alentejo	Clayey	177	571.8	32.8	5.3
Aragonez	Estremoz (RZ-L1)	Alentejo	Clayey	506	609.4	30.2	5.8
	Tabuaço (RZ-L2)	Douro	Clayey	254	1073.7	28.7	2.1
Síria	Estremoz (CR-L1)	Alentejo	Clayey	506	609.4	30.2	5.8
	Pinhel (CR-L2)	Beira Interior	Sandy	590	882	24.6	1.2

†Source: <http://www.ipma.pt/pt/oclima/normais.clima/> (accessed 1-12-2019). Tmax, average maximum air temperature of the hottest month (°C); Tmin, average minimum air temperature of the coldest month (°C) over a period of 30 years (1971–2000).

Table 2. Viticultural description of the field trials of the four grapevine varieties studied

Variety	Location	Rootstock [†]	Year of grafting	Planting density (m)	RCBD Ngen/Nrep/Nplant	H ² [‡]	Number of environments [§]
Alvarinho	Monção (A-L1)	1103P	1988	3.0 × 1.25	35 / 3 / 5	0.699	20
	Monção - Pias (A-L2)	SO4	1992	3.0 × 1.25	35 / 9 / 3	0.872	
	Monção - Ceivães (A-L3)	196/17	1993	3.0 × 1.25	35 / 9 / 4	0.856	
Antão Vaz	Évora (AN-L1)	99R	1986	3.0 × 1.20	40 / 5 / 5	0.688	14
	Palmela (AN-L2)	1103P	1991	2.80 × 1.20	40 / 8 / 7	0.432	
	Vidigueira (AN-L3)	140RU	1993	3.0 × 1.20	40 / 8 / 7	0.586	
Aragonez	Estremoz (RZ-L1)	99R	1990	3.0 × 1.10	40 / 8 / 6	0.738	13
	Tabuaço (RZ-L2)	1103P	1991	2.50 × 1.10	40 / 8 / 7	0.514	
Síria	Estremoz (CR-L1)	99R	1990	3.0 × 1.10	40 / 8 / 6	0.899	10
	Pinhel (CR-L2)	99R	1986	2.50 × 1.10	40 / 5 / 4	0.777	

[†]For each trial, a single clone for the rootstock; [‡] maximum value of broad sense heritability for the yield observed in each location; [§] total number of studied environments (combination location-year). Ngen, number of genotypes per variety; Nplant, number of plants per plot; Nrep, number of replicates; RCBD, randomised complete block design.

Table 3. Environmental code and the mean yield of the four

varieties obtained in each environment.

Variety	Environmental code†	Mean yield (SE) (kg/plant)	CV (%)‡
Alvarinho	AI-L1-1990	2.433 (0.112)	27.34
	AI-L1-1991	4.380 (0.102)	13.80
	AI-L1-1992	3.373 (0.155)	27.12
	AI-L2-1995	1.662 (0.060)	21.47
	AI-L2-1996	11.372 (0.321)	16.69
	AI-L2-1997	7.406 (0.195)	15.54
	AI-L2-1998	6.230 (0.263)	24.93
	AI-L2-1999	15.565 (0.355)	13.48
	AI-L2-2001	14.780 (0.544)	21.77
	AI-L3-1994	0.587 (0.031)	31.32
	AI-L3-1995	3.035 (0.149)	29.06
	AI-L3-1996	3.595 (0.177)	29.17
	AI-L3-1997	4.592 (0.158)	20.39
	AI-L3-1998	1.991 (0.121)	36.01
	AI-L3-1999	7.485 (0.340)	26.88
	AI-L3-2000	3.853 (0.236)	35.51
	AI-L3-2001	8.766 (0.360)	24.07
	AI-L3-2002	7.156 (0.417)	34.09
AI-L3-2003	6.804 (0.341)	29.35	
AI-L3-2004	13.085 (0.546)	24.53	
Antão Vaz	AN-L1-1988	1.756 (0.048)	17.34
	AN-L1-1989	1.860 (0.057)	19.49
	AN-L1-1990	8.010 (0.114)	9.02
	AN-L2-1993	1.552 (0.050)	20.41
	AN-L2-1994	2.638 (0.068)	16.21
	AN-L2-1995	4.520 (0.103)	14.46
	AN-L2-1996	6.687 (0.167)	15.84
	AN-L2-1997	3.260 (0.086)	16.67
	AN-L2-1998	6.555 (0.135)	13.08
	AN-L3-1998	3.553 (0.078)	13.92
	AN-L3-1999	3.253 (0.070)	13.67
	AN-L3-2000	3.401 (0.080)	14.87
	AN-L3-2001	1.834 (0.072)	24.91
AN-L3-2002	2.532 (0.096)	24.01	
Aragonez	RZ-L1-1992	2.679 (0.039)	9.15
	RZ-L1-1993	4.088 (0.070)	10.80
	RZ-L1-1994	2.056 (0.058)	17.84
	RZ-L1-1995	4.720 (0.070)	9.40
	RZ-L1-1996	6.807 (0.080)	7.42
	RZ-L1-1997	5.819 (0.116)	12.60
	RZ-L1-1998	1.182 (0.036)	19.13
	RZ-L1-1999	5.559 (0.091)	10.36
	RZ-L2-1993	2.277 (0.046)	12.69
	RZ-L2-1994	2.378 (0.064)	17.04
	RZ-L2-1996	4.845 (0.090)	11.73
	RZ-L2-1997	2.027 (0.057)	17.91
	RZ-L2-1998	1.819 (0.050)	17.56
Síria	CR-L1-1992	2.674 (0.065)	15.25
	CR-L1-1993	1.958 (0.050)	16.11
	CR-L1-1994	1.584 (0.058)	23.20
	CR-L1-1995	4.630 (0.097)	13.31
	CR-L1-1996	5.844 (0.125)	13.50
	CR-L1-1997	3.471 (0.086)	15.76
	CR-L1-1998	1.308 (0.054)	25.90
	CR-L1-1999	2.444 (0.083)	21.39
	CR-L2-1988	3.224 (0.060)	11.69
CR-L2-1989	2.037 (0.056)	17.45	

† Combination of the location and year; ‡ coefficient of

variation (CV) of the mean yield phenotypic values.

Table 4. Comparison of the three models fitted to yield data of the four grapevine varieties studied

Variety	Model	lr	npar	AIC	REMLRT (<i>P</i> -value)
Alvarinho	IND	-5509.5	4	11027.0	
	CS	-5202.5	9	10423.0	614.0 (<0.001)
	AR1	-5224.9	9	10467.8	569.2 (<0.001)
Antão Vaz	IND	-3506.1	4	7020.2	
	CS	-3155.9	9	6329.9	700.4 (<0.001)
	AR1	-3167.7	9	6353.3	676.9 (<0.001)
Aragonez	IND	-1820.8	4	3649.6	
	CS	-1573.4	7	3160.9	494.7 (<0.001)
	AR1	-1711.0	7	3435.9	219.6 (<0.001)
Síría	IND	-1303.9	4	2615.9	
	CS	-1250.1	7	2514.2	107.7 (<0.001)
	AR1	-1285.7	7	2585.5	36.4 (<0.001)

Residual log-likelihood (lr), number of covariance parameters (npar), Akaike information criterion (AIC) obtained from the fitting of the models with matrix diagonal (IND), compound symmetry (CS) and first order autoregressive (AR1), and residual likelihood ratio test (REMLRT) for nested models IND and CS, and IND and AR1.

Table 5. Covariance parameters estimates (and respective standard errors (SE)) obtained from the fitting of the models IND, CS and AR1.:

Model	Covariance parameters estimates	Variety			
		Alvarinho	Antão Vaz	Aragonez	Síria
IND	$\hat{\sigma}_G^2$ (SE)	0.936 (0.246)	0.041 (0.015)	0.054 (0.015)	0.056 (0.017)
	$\hat{\sigma}_b^2$ (SE)	0.233 (0.046)	0.506 (0.083)	0.177 (0.029)	0.145 (0.029)
	$\hat{\sigma}_{G \times E}^2$ (SE)	0.883 (0.090)	0.072 (0.021)	0.046 (0.010)	0.084 (0.014)
	$\hat{\sigma}_e^2$ (SE)	3.684 (0.087)	1.830 (0.044)	0.775 (0.018)	0.757 (0.021)
CS	$\hat{\sigma}_G^2$ (SE)	0.797 (0.215)	0.013 (0.012)	0.037 (0.014)	0.040 (0.016)
	$\hat{\sigma}_b^2$ (SE)	0.161 (0.037)	0.468 (0.078)	0.164 (0.027)	0.138 (0.028)
	$\hat{\sigma}_{G \times E}^2$ (SE)	0.808 (0.077)	0.100 (0.018)	0.065 (0.009)	0.093(0.014)
	$\hat{\sigma}_{eL1}^2$ (SE)	1.251 (0.139)	1.842 (0.112)	0.819 (0.030)	0.741 (0.024)
	$\hat{\rho}_{L1}$ (SE)	0.423 (0.072)	0.089 (0.046)	0.273 (0.024)	0.142 (0.020)
	$\hat{\sigma}_{eL2}^2$ (SE)	5.862 (0.223)	2.496 (0.108)	0.702 (0.030)	0.875 (0.067)
	$\hat{\rho}_{L2}$ (SE)	0.087 (0.023)	0.357 (0.027)	0.284 (0.029)	0.110 (0.075)
	$\hat{\sigma}_{eL3}^2$ (SE)	2.571 (0.092)	1.026 (0.042)		
AR1	$\hat{\sigma}_G^2$ (SE)	0.842 (0.224)	0.019 (0.012)	0.048 (0.014)	0.054 (0.017)
	$\hat{\sigma}_b^2$ (SE)	0.168 (0.038)	0.464 (0.077)	0.170 (0.028)	0.144 (0.029)
	$\hat{\sigma}_{G \times E}^2$ (SE)	0.805 (0.078)	0.091 (0.017)	0.058 (0.009)	0.090 (0.014)
	$\hat{\sigma}_{eL1}^2$ (SE)	1.247 (0.130)	1.850 (0.113)	0.813 (0.025)	0.735 (0.022)
	$\hat{\rho}_{L1}$ (SE)	0.394 (0.066)	0.106 (0.055)	0.232 (0.019)	0.120 (0.021)
	$\hat{\sigma}_{eL2}^2$ (SE)	5.840 (0.219)	2.479 (0.096)	0.697 (0.027)	0.882 (0.067)
	$\hat{\rho}_{L2}$ (SE)	0.056 (0.028)	0.438 (0.020)	0.271 (0.024)	0.116 (0.075)
	$\hat{\sigma}_{eL3}^2$ (SE)	2.607 (0.089)	1.029 (0.041)		
	$\hat{\rho}_{L3}$ (SE)	0.305 (0.021)	0.258 (0.029)		

$\hat{\sigma}_G^2$ – genotypic variance component estimate; $\hat{\sigma}_b^2$ – block nested in environment variance component estimate; $\hat{\sigma}_{G \times E}^2$ - genotype by environment interaction variance component estimate; $\hat{\sigma}_e^2$ - random errors variance component estimate for model IND; $\hat{\sigma}_{eL}$ - random errors variance component estimates for each location for models CS and AR1; $\hat{\rho}_L$ – correlation estimates between observations in the same plot across years for each location (in AR1 model in two consecutive years)

Table 6. Residual likelihood ratio tests for genotype×environment (G×E) interaction and genotypic (G) variance components.

Variety	Modelo	<i>REMLRT</i> _{G×E} (P-value)	<i>REMLRT</i> _G (P-value)
Alvarinho	IND	238.5 (<0.001)	228.5 (<0.001)
	CS	253.0 (<0.001)	176.7 (<0.001)
	AR1	257.2 (<0.001)	212.2 (<0.001)
Antão Vaz	IND	15.9 (<0.001)	24.3 (<0.001)
	CS	57.1 (<0.001)	1.8
	AR1	51.4 (<0.001)	4.3
Aragonez	IND	35.0 (<0.001)	93.3 (<0.001)
	CS	97.7 (<0.001)	21.4 (<0.001)
	AR1	71.0 (<0.001)	56.3 (<0.001)
Sírria	IND	64.6 (<0.001)	46.1 (<0.001)
	CS	95.9 (<0.001)	17.0 (<0.001)
	AR1	81.2 (<0.001)	37.6 (<0.001)

Residual maximum log-likelihood ratio test (*REMLRT*_{G×E}) ($H_0: \sigma_{G \times E}^2 = 0$ vs $H_1: \sigma_{G \times E}^2 > 0$) and for the intravariety genetic variability among the tested genotypes (*REMLRT*_G) ($H_0: \sigma_G^2 = 0$ vs $H_1: \sigma_G^2 > 0$) according to the fitted models with matrices diagonal (IND), compound symmetry (CS) and first order autoregressive (AR1)

Table 7. Interaction sensitivity (*IS*) for each genotype and variety, listed from the lowest (AI1, AN1, RZ1, CR1) to the highest (AI35, AN40, RZ40, CR40) sensitivity to G×E interaction, and predicted genotypic value (PGV) of the yield (kg/plant) for each genotype and their respective ranking number (rank) and prediction standard error (PSE[§])

Alvarinho		Antão Vaz		Aragonez		Síría	
<i>IS</i> (rank)	<i>PGV</i> (rank) (PSE=0.220)	<i>IS</i> (rank)	<i>PGV</i> (rank)/ (PSE=0.115)	<i>IS</i> (rank)	<i>PGV</i> (rank) (PSE=0.115)	<i>IS</i> (rank)	<i>PGV</i> (rank) (PSE=0.118)
52.45 (AI1)	6.49 (18)	9.81 (AN1)	3.63 (28)	7.80 (RZ1)	3.58 (19)	16.95 (CR1)	2.76 (31)
60.15 (AI2)	6.27 (23)	12.43 (AN2)	3.73 (12)	9.29 (RZ2)	3.45 (35)	22.47 (CR2)	2.91 (21)
75.39 (AI3)	6.12 (24)	12.75 (AN3)	3.62 (32)	13.78 (RZ3)	3.68 (7)	23.35 (CR3)	2.85 (23)
79.28 (AI4)	6.66 (16)	12.93 (AN4)	3.69 (22)	14.68 (RZ4)	3.62 (11)	23.46 (CR4)	2.57 (38)
89.97 (AI5)	6.33 (21)	13.86 (AN5)	3.62 (31)	14.72 (RZ5)	3.56 (22)	23.57 (CR5)	2.75 (34)
105.44 (AI6)	6.35 (20)	16.06 (AN6)	3.63 (29)	15.41 (RZ6)	3.48 (28)	23.68 (CR6)	2.82 (29)
134.44 (AI7)	6.04 (25)	17.95 (AN7)	3.83 (2)	15.52 (RZ7)	3.57 (20)	25.08 (CR7)	3.01 (15)
135.00 (AI8)	6.50 (17)	19.63 (AN8)	3.64 (25)	16.03 (RZ8)	3.59 (16)	25.22 (CR8)	2.93 (20)
147.13 (AI9)	6.31 (22)	20.21 (AN9)	3.71 (17)	17.22 (RZ9)	3.84 (2)	30.03 (CR9)	2.71 (37)
173.69 (AI10)	6.86 (14)	21.56 (AN10)	3.79 (3)	18.28 (RZ10)	3.34 (39)	30.32 (CR10)	3.02 (14)
204.71 (AI11)	5.89 (27)	22.59 (AN11)	3.70 (19)	18.39 (RZ11)	3.60 (13)	30.50 (CR11)	2.85 (24)
211.86 (AI12)	6.88 (13)	22.75 (AN12)	3.69 (20)	18.84 (RZ12)	3.58 (18)	31.98 (CR12)	2.51 (39)
212.67 (AI13)	5.77 (28)	26.16 (AN13)	3.55 (35)	19.05 (RZ13)	3.66 (9)	33.98 (CR13)	2.75 (32)
257.12 (AI14)	6.47 (19)	27.53 (AN14)	3.66 (23)	20.18 (RZ14)	3.45 (36)	37.34 (CR14)	3.15 (5)
276.94 (AI15)	6.97 (12)	28.30 (AN15)	3.77 (5)	21.15 (RZ15)	3.34 (37)	40.68 (CR15)	2.85 (27)
323.48 (AI16)	5.96 (26)	28.58 (AN16)	3.48 (40)	21.32 (RZ16)	3.48 (29)	41.03 (CR16)	2.74 (36)
330.85 (AI17)	7.06 (10)	28.60 (AN17)	3.62 (30)	22.68 (RZ17)	3.46 (32)	41.95 (CR17)	2.74 (35)
468.93 (AI18)	6.84 (15)	30.14 (AN18)	3.51 (38)	23.46 (RZ18)	3.52 (27)	42.41 (CR18)	2.76 (30)
503.38 (AI19)	7.08 (9)	31.09 (AN19)	3.71 (16)	23.78 (RZ19)	3.46 (31)	42.94 (CR19)	2.94 (18)
517.98 (AI20)	6.99 (11)	32.34 (AN20)	3.59 (34)	26.06 (RZ20)	3.95 (1)	46.38 (CR20)	2.75 (33)
531.68 (AI21)	5.52 (30)	32.58 (AN21)	3.53 (36)	26.53 (RZ21)	3.55 (24)	49.10 (CR21)	3.04 (12)
543.30 (AI22)	7.13 (7)	34.08 (AN22)	3.72 (14)	27.64 (RZ22)	3.54 (25)	49.22 (CR22)	2.85 (25)
587.17 (AI23)	5.68 (29)	34.66 (AN23)	3.75 (10)	29.78 (RZ23)	3.80 (3)	53.95 (CR23)	2.51 (40)
625.74 (AI24)	5.35 (31)	34.88 (AN24)	3.52 (37)	30.39 (RZ24)	3.53 (26)	54.60 (CR24)	2.85 (26)
627.82 (AI25)	5.28 (32)	35.09 (AN25)	3.61 (33)	30.90 (RZ25)	3.48 (30)	56.69 (CR25)	3.03 (13)
644.16 (AI26)	7.13 (8)	35.39 (AN26)	3.72 (13)	31.01 (RZ26)	3.65 (10)	62.76 (CR26)	2.89 (22)
700.57 (AI27)	7.55 (3)	40.34 (AN27)	3.75 (7)	31.22 (RZ27)	3.61 (12)	65.47 (CR27)	3.10 (7)
819.01 (AI28)	7.46 (5)	43.02 (AN28)	3.77 (4)	31.26 (RZ28)	3.45 (33)	66.30 (CR28)	3.04 (11)
829.26 (AI29)	7.31 (6)	44.42 (AN29)	3.50 (39)	35.06 (RZ29)	3.56 (23)	70.79 (CR29)	3.23 (2)
914.74 (AI30)	7.62 (2)	45.86 (AN30)	3.74 (11)	36.82 (RZ30)	3.59 (14)	71.13 (CR30)	2.97 (17)
937.57 (AI31)	7.64 (1)	46.17 (AN31)	3.64 (26)	40.29 (RZ31)	3.56 (21)	72.65 (CR31)	3.19 (3)
982.68 (AI32)	7.47 (4)	50.52 (AN32)	3.76 (6)	41.46 (RZ32)	3.71 (6)	73.93 (CR32)	3.07 (9)
2459.84 (AI33)	4.69 (33)	51.45 (AN33)	3.69 (21)	44.17 (RZ33)	3.77 (5)	79.56 (CR33)	3.05 (10)
4204.49 (AI34)	4.41 (34)	51.51 (AN34)	3.65 (24)	45.19 (RZ34)	3.34 (38)	80.51 (CR34)	3.00 (16)
5067.15 (AI35)	4.29 (35)	58.64 (AN35)	3.70 (18)	53.21 (RZ35)	3.59 (15)	81.57 (CR35)	3.18 (4)
		64.37 (AN36)	3.75 (9)	53.23 (RZ36)	3.58 (17)	95.28 (CR36)	2.94 (19)
		73.11 (AN37)	3.71 (15)	53.85 (RZ37)	3.45 (34)	95.90 (CR37)	3.10 (8)
		80.04 (AN38)	3.83 (1)	72.11 (RZ38)	3.67 (8)	112.21 (CR38)	3.14 (6)
		80.91 (AN39)	3.75 (8)	74.00 (RZ39)	2.89 (40)	114.03 (CR39)	3.31 (1)
		106.74 (AN40)	3.63 (27)	89.34 (RZ40)	3.77 (4)	176.34 (CR40)	2.84 (28)
	Overall mean <i>PGV</i> =6.41		Overall mean <i>PGV</i> =3.67		Overall mean <i>PGV</i> =3.56		Overall mean <i>PGV</i> =2.92

[§] For each variety, PSE is the same for all genotypes because the design is balanced - all genotypes were evaluated in the same number of environments and repetitions.

1 **Figure 1.** EBLUPs of the effects of the G×E interaction as the proportion of the environment mean
2 [$EBLUP_{G \times E}$ (%)] over the studied environments [after the environment code, in brackets, is presented the
3 overall mean yield of the environment, kg/plant (pt)], for the genotypes with the highest (AI35) (●) and the
4 lowest (AI1) (●) sensitivity to G×E interaction in Alvarinho variety.

5
6 **Figure 2.** EBLUPs of the effects of the G×E interaction as the proportion of the environment mean
7 ($EBLUP_{G \times E}$ %) over the studied environments [after the environment code, in brackets, is presented the overall
8 mean yield of the environment, kg/plant (pt)], for the genotypes with the highest (●) and the lowest (●)
9 sensitivity to G×E interaction in Antão Vaz variety.

10
11 **Figure 3.** EBLUPs of the effects of the G×E interaction as the proportion of the environment mean
12 ($EBLUP_{G \times E}$ %) over the studied environments [after the environment code, in brackets, is presented the overall
13 mean yield of the environment, kg/plant (pt)], for the genotypes with the highest (●) and the lowest (●)
14 sensitivity to G×E interaction in Aragonez variety.

15
16 **Figure 4.** EBLUPs of the effects of the G×E interaction as the proportion of the environment mean
17 ($EBLUP_{G \times E}$ %) over the studied environments [after the environment code, in brackets, is presented the overall
18 mean yield of the environment, kg/plant (pt)], for the genotypes with the highest (●) and the lowest (●)
19 sensitivity to G×E interaction in Síría variety.

20

21 **Supporting information**

22 **Table S1.** List of Interaction Sensitivity (*IS*) ranked from the lowest to the highest, predicted genotypic values
23 (PGV) of the yield (kg/plant), EBLUPs of the genotypic effects as the proportion of the yield overall mean
24 (*EBLUP(G)* (%)) and EBLUPs of the G×E interaction effects as the proportion of the environment mean
25 (*EBLUP(G×E)* (%)) over the studied environments for each genotype in the Alvarinho variety.

26

27 **Table S2.** List of Interaction Sensitivity (*IS*) ranked from the lowest to the highest, predicted genotypic values
28 (PGV) of the yield (kg/plant), EBLUPs of the genotypic effects as the proportion of the yield overall mean
29 (*EBLUP(G)* (%)) and EBLUPs of the G×E interaction effects as the proportion of the environment mean
30 (*EBLUP(G×E)* (%)) over the studied environments for each genotype in Antão Vaz variety.

31

32 **Table S3.** List of Interaction Sensitivity (*IS*) ranked from the lowest to the highest, predicted genotypic values
33 (PGV) of the yield (kg/plant), EBLUPs of the genotypic effects as the proportion of the yield overall mean
34 (*EBLUP(G)* (%)) and EBLUPs of the G×E interaction effects as the proportion of the environment mean
35 (*EBLUP(G×E)* (%)) over the studied environments for each genotype in Aragonez variety.

36

37 **Table S4.** List of Interaction Sensitivity (*IS*) ranked from the lowest to the highest, predicted genotypic values
38 (PGV) of the yield (kg/plant), EBLUPs of the genotypic effects as the proportion of the yield overall mean
39 (*EBLUP(G)* (%)) and EBLUPs of the G×E interaction effects as the proportion of the environment mean
40 (*EBLUP(G×E)* (%)) over the studied environments for each genotype in Síría variety.

41