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1 **Concurrent Validity and Reliability of Two-dimensional Frontal Plane Knee**
2 **Measurements during Multi-directional Cutting Maneuvers**

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18

19 **ABSTRACT**

20 **Background:** Excessive knee valgus has been strongly suggested as a contributing key factor
21 for anterior cruciate ligament (ACL) injuries. Three-dimensional (3D) motion analysis is
22 considered the “gold standard” to assess joint kinematics, however, this is difficult for on-field
23 assessments and for clinical setting.

24 **Purpose:** To assess the concurrent validity and reliability of two-dimensional (2D) frontal
25 plane measurement of the knee joint in multi-directional cutting maneuvers.

26 **Study Design:** Descriptive laboratory study

27 **Method:** Seven recreational soccer players participated in this study. Participants performed
28 three trials of cutting maneuvers in three different directions (30°, 60°, and 90°) with the
29 dominant leg. Cutting maneuvers were recorded simultaneously with a video camera and a
30 Vicon™ motion capture system. Knee valgus angle from 2D and 3D measurements at initial
31 contact and at peak vertical ground reaction force (vGRF) were extracted. The Pearson’s
32 correlation was used to explore the relationship between the 2D and 3D measurements, and
33 reliability of the 2D measurements were performed using intraclass correlation coefficients
34 (ICC).

35 **Result:** Significant correlations between 2D and 3D knee valgus measurements were noted for
36 60° ($r = 0.45$) and 90° ($r = 0.77$) cutting maneuvers at initial contact. At peak vGRF, significant
37 correlations between 2D and 3D knee valgus measurements were noted for 30°, 60°, and 90°
38 cutting maneuvers ($r=0.45$, $r=0.74$, $r=0.78$), respectively. Good-to-excellent intra-rater and
39 inter-rater reliability of the 2D knee valgus measurements was observed during cutting in all
40 directions (ICCs: 0.821-0.997).

41 **Conclusion:** Moderate-to-strong correlation between 2D and 3D knee valgus measurements
42 during 60°-90° cutting maneuvers, and good-to-excellent intra-rater and excellent inter-rater
43 reliability for the 2D measurements in the present study supports the use of 2D knee valgus
44 measurements in the evaluation of targeted interventions, although the limitations of examining
45 cutting maneuvers using 2D measurement in complex movement still need to be considered.

46 **Level of Evidence:** 3

47 **Key Words:** ACL injury, sport clinical tool, injury risk screening, knee valgus, side-step cutting

48 **Clinical relevance:** This study can provide additional insight into 2D measurement using video
49 cameras as an easy and inexpensive screening tool for injury risk identification and evaluation
50 of targeted interventions.

51 **What is known about the subject:** The 2D frontal plane measurement of the knee joint has
52 been used as an easy alternative measurement, due to good validity and reliability, and has been
53 tested in jump landing and running on a treadmill.

54 **What this study adds to existing knowledge:** This study supports that the 2D frontal knee
55 measurement in the current study can be accepted as clinical tool for knee valgus evaluation
56 during cutting task. This study shows the results of concurrent and reliability tests during the
57 cutting maneuvers in multi-directions which are common tasks in sport games and practices
58 such as soccer, American football, and rugby football.

59

60 **INTRODUCTION**

61 ACL injury is a common and serious problem in sports and requires a long period of
62 rehabilitation.¹⁻³ A rate of 6.5 ACL injuries per 100,000 athlete exposures throughout various
63 athletic activities was reported in high school level. Approximately 76% of ACL injuries
64 require surgery, which consumes time and money for recovery and may ultimately reduce the
65 quality of life by increasing the risk of subsequent injuries or impairments, resulting in financial
66 hardship.^{2,4} After ACL reconstruction, 55% of injured athletes can reach return to competitive
67 level.⁵ However, athletes with ACL deficiency have greater risk of early-onset osteoarthritis of
68 the knee.⁶ Therefore, ACL injury prevention and risk screening are important.

69 Excessive knee valgus has been strongly suggested as a contributing factor of anterior
70 cruciate ligament (ACL) injuries.⁷⁻⁹ Seventy to eighty-four percent of ACL injuries occur
71 during non-contact whilst decelerating or rapidly changing direction in sporting activities.¹⁰ In
72 addition, the combination of knee valgus with poor trunk or hip control has been identified as
73 a key predictor of ACL strain including hip adduction, hip internal rotation, and ipsilateral
74 trunk leaning.^{11,12}

75 Observation of the knee valgus angle is considered a critical component for injury risk
76 assessment and often performed during functional tasks such as single-leg squat and landing
77 tasks which are typically carried out in clinical and sports settings.¹³⁻¹⁵ Three-dimensional (3D)
78 motion capture is considered as the “gold standard” to determine the quality of human
79 movement. Such a system is able to evaluate multi-planar kinematics across joints and has been
80 shown to be reliable in the assessment of many functional tasks such as landing tasks and
81 cutting maneuvers.^{16,17} However, a 3D motion system is not practical within field and clinical
82 settings due to cost, complexity and time required to perform the analysis.

83 Previous studies have developed alternative two-dimensional (2D) methods and
84 compared these with 3D methods for use in clinical settings.¹⁸⁻²¹ 2D measurement using
85 commercial cameras is one method which is relatively inexpensive and easy to apply in field
86 and clinical settings.²² 2D measurements have been used to examine dynamic knee valgus
87 using the frontal plane projection angle (FPPA), which has shown good reliability in
88 performance test such as running, drop jump, and single leg landing, which can provide
89 biomechanical measurements to assess injury risk and progression through treatment.^{18-20,23}
90 However, the use of 2D methods to assess cutting maneuvers in various directions has not been
91 reported.

92 Cutting maneuvers are frequently performed during sports training sessions. Previous
93 studies have demonstrated that different knee valgus angles were noted with different
94 directions of cutting, which are important to consider for injury risk in sporting settings.²⁴⁻²⁶
95 Therefore, the potential to apply 2D measurements to determine knee valgus angle during
96 cutting maneuvers in various directions is worthy of investigation.

97 To the best of authors’ knowledge, the use of 2D analysis to explore knee valgus angle
98 during side-step cutting maneuvers in multi-directions has yet to be reported. Therefore, the
99 purpose of the present study was to investigate the concurrent validity of 2D measurements of
100 knee valgus angle during cutting in different directions, and to explore intra-rater and inter-
101 rater reliability of the 2D measurements. The hypothesis of the study was that 2D frontal knee
102 measurement has good validity and reliability in multi-directional cutting maneuvers.

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105

106 **METHODS**

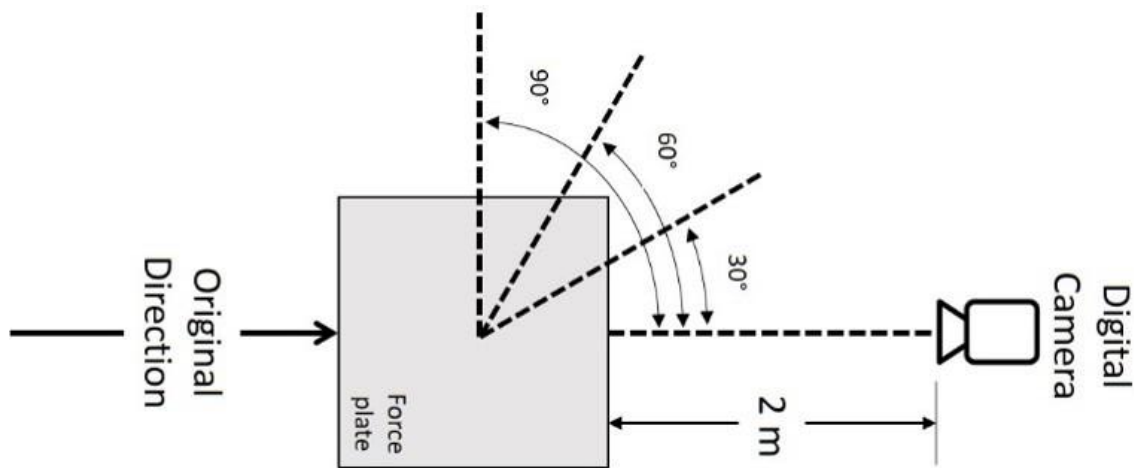
107 ***Participants***

108 All participants were university students who volunteered to participate in the study.
109 The inclusion criteria were: aged between 18 to 25 year old, regularly participated in sports
110 involving cutting maneuvers. Participants were excluded from the study if they reported a
111 history of lower extremity surgery or a history of serious injury of lower extremity within a
112 year prior to testing. The research protocol was approved by the XXX University Central
113 Institutional Review Board for Human Research (COA.No. 2020/XXX.XXXX). Before
114 testing, all participants signed an informed consent form and the protocol was explained in
115 detail.

116 ***Side-step Cutting Maneuvers***

117 Athletes performed side-step cutting maneuvers in the three different directions: 30⁰,
118 60⁰, and 90⁰ (Figure 1). The participants were instructed to stand at the starting point, run
119 forward 5 meters and perform a side-step cutting task with the dominant leg. The standardized
120 verbal command for all participants was “keep looking forward and perform a side-step cutting
121 at maximum speed”.

122 The participants performed a 5-minute warm up of lower limb dynamic stretching and
123 practiced 5 trials of side-step cutting before actual testing in each direction. Three completed
124 trials of each directional session were then measured and analyzed, and the knee valgus angles
125 from the 2D and 3D measurements were extracted at initial contact and at peak vertical ground
126 reaction force (vGRF).



127

128 **Figure 1.** Illustrations of research setting of side-steps cutting test

129

130 ***2D Measurements***

131 A commercially available digital camera (Canon EOS 1200D with a 18-55 mm lens,
132 was positioned 2 m away from the force plate at a height of 60 cm and recorded at 60 Hz.
133 Digital video footage was recorded with no optical zoom to standardized the camera image
134 between participants. Video footage was imported to Kinovea software (Version 0.9.3,
135 Kinovea Open Source Project, www.kinovea.org) and 2D knee measurement was processed.

136 The frontal plane projection angle (FPPA) was used to estimate the knee valgus angle by
137 measuring the angle between the line from ASIS to the center of patella, and the line from the
138 ASIS to the center of the ankle joints, which was then subtracted from 180° (Figure 2).¹⁸ Two
139 raters assessed the FPPA in the study. They are physical therapists who have experience in 2D
140 measurement and in ten years of orthopedic and sports physical therapy. Each rater measured
141 knee valgus angle of a data set which the information of cutting directions was encrypted by
142 code. In order to determine intra-rater reliability, the first rater measured the FPPA twice, two
143 weeks apart.



144
145 **Figure 2.** 2D measurement of the frontal plane projection angle (FPPA) during cutting
146 maneuvers

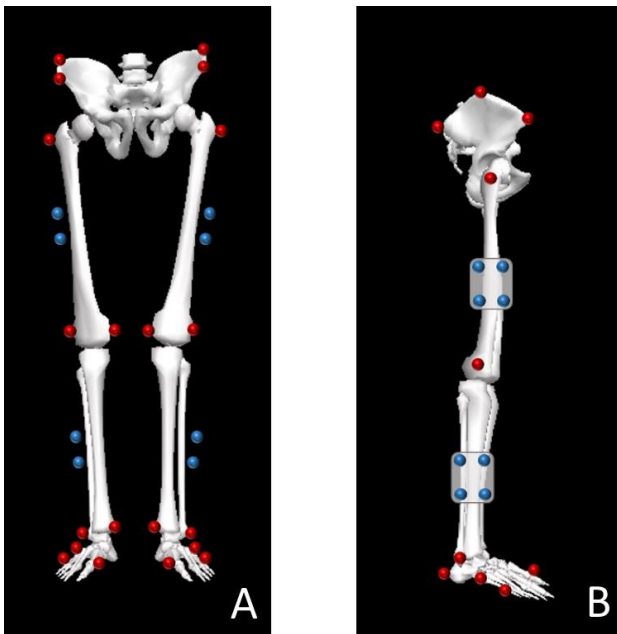
147 *3D Measurements*

148 A 10 camera Vicon™ motion analysis system (Vicon nexus 2.10) was used to record
149 three-dimensional marker coordinates at 200 Hz. Force data was collected synchronously using
150 an AMTI force platform at 1,000 Hz (AMTI-OR67, Advance Mechanical Technologies Inc.,
151 USA) which was used to identify stance phase during the cutting maneuvers. Twenty-six
152 reflective markers were attached on the bony prominences of both sides, including anterior
153 superior iliac spine (ASIS), posterior superior iliac spine (PSIS), iliac crest, greater trochanter,
154 medial and lateral femoral epicondyles, medial and lateral malleoli, distal head of the first
155 metatarsals, distal head of the fifth metatarsals, proximal head of the fifth metatarsals, and
156 heels. In addition, rigid 4 clusters of 4 markers were placed on the lateral thigh and lateral
157 shank (Figure 3 and 4).



158
159

Figure 3. 2D and 3D marker placements in anterior view



160
161

Figure 4. CAST marker model of lower extremity; A, anterior view and B, lateral view

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Kinematic and kinetic data were imported into Visual 3D software (C-Motion Inc, USA), and digitally filtered using a low pass, fourth-order Butterworth filter with cut-off frequencies of 6 Hz and 35 Hz, respectively.^{27,28} Right-hand 3-dimensional Cartesian coordinate systems were used for global and segmental axes. The pelvis segment was measured relative to the global (laboratory) coordinate system, and the hip joint center was estimated

167 using method reported by Bell et al.²⁹ Knee and ankle joint centers were estimated as the
 168 midpoint between the medial and lateral femoral epicondyles and malleoli, respectively, and
 169 the knee joint angle was calculated between the shank relative to the thigh segment. Kinetics
 170 and kinematics data were extracted and normalized into 101 data points in order to represent
 171 100% of the stance phase during side-step cutting maneuver.

172 **Statistical Analysis**

173 Three completed trials of cutting tests in each direction were processed from 7 soccer
 174 players in the study. Then, a total of 21 data sets were statistically analyzed. To determine the
 175 concurrent validity, Pearson product-moment correlation was used to assess the linear
 176 relationships between the 2D and 3D measurements of the knee valgus angle at initial contact
 177 and at peak vGRF. The strength of the correlation (*r*) was interpreted as poor (0 to 0.49),
 178 moderate (0.50 to 0.75), and strong (> 0.75).³⁰ Reliability analysis of the 2D measurements was
 179 performed using intraclass correlation coefficient (ICC). The ICC(3,1) and ICC(2,1) models
 180 were used for statistical analysis of intra-rater and inter-rater reliabilities, respectively.
 181 Reliability index of ICC were interpreted as poor (less than 0.5), moderate (0.5-0.75), good
 182 (0.76-0.9), and excellent (> 0.9).³¹

183

184 **RESULTS**

185 Seven male soccer players, all with >4 yrs experience of soccer playing voluntarily
 186 participated. The characteristics of the participants are shown in Table 1. The Pearson's
 187 correlation coefficients between 2D and 3D measurements of the FPPA at initial contact
 188 showed a significant strong correlation during 90° cutting (*r* = 0.77, 95% CI: 0.34 - 0.89), with
 189 60° cutting showing a significant but poor correlation (*r* = 0.45, 95% CI: -0.07 - 0.83), and 30°
 190 cutting showing no significant correlation. For the FPPA at peak vGRF a significant correlation
 191 was seen between 2D and 3D analyses in all directions of cutting, with 90° cutting showing a
 192 strong correlation (*r* = 0.78, 95% CI: 0.19 - 0.87), 60° cutting showing a moderate correlation
 193 (*r* = 0.74, 95% CI: 0.31 - 0.89), and 30° cutting showing a poor correlation (*r* = 0.45, 95% CI:
 194 -0.14 - 0.81), Table 2. The FPPA measurements demonstrated good-to-excellent intra-rater
 195 reliability at initial contact (ICCs: 0.821-0.937) and at peak vGRF (ICCs: 0.970-0.987). In
 196 addition, the inter-rater reliability index showed excellent reliability at initial contact (ICCs:
 197 0.974-0.987) and at peak vGRF (ICCs: 0.989-0.997), Table 3.

198 **Table 1.** Characteristics of participants (n = 7)

Characteristics	Mean (±SD)
Gender (male / female)	7 / 0
Age (years)	23 (0.81)
Experience (years)	4
Height (cm)	169.25 (4.57)
Mass (kg)	57 (7.75)
BMI (kg/m ²)	20.54 (1.5)
Leg dominance (% Right)	100

199 SD: standard deviation; BMI: Body Mass Index

200

201 **Table 2.** Pearson’s correlation coefficients of 2D and 3D knee valgus measurements at initial
 202 contact and at peak vGRF phases

Time event	Angle of cutting direction	r	95% CI	p
IC	30°	-0.02	-1.56, 0.58	0.533
	60°	0.45	-0.07, 0.83	0.034*
	90°	0.77	0.34, 0.89	0.002*
Peak vGRF	30°	0.45	-0.14, 0.81	0.046*
	60°	0.74	0.31, 0.89	0.003*
	90°	0.78	0.19, 0.87	0.008*

203 * Statistically significant correlation ($p \leq 0.05$);

204

205 **Table 3.** Intra-rater and inter-rater reliabilities of 2D knee valgus measurements at initial
 206 contact and at peak vGRF phases.

Time event	Cutting direction	Intra-rater ICC (95% CI)	Inter-rater ICC (95% CI)
IC	30°	0.937 (0.631 – 0.989)	0.974 (0.847 – 0.995)
	60°	0.821 (-0.04 – 0.969)	0.983 (0.899 – 0.997)
	90°	0.925 (0.564 – 0.987)	0.987 (0.926 – 0.988)
Peak vGRF	30°	0.987 (0.926 – 0.998)	0.994 (0.968 – 0.999)
	60°	0.970 (0.828 – 0.995)	0.989 (0.934 – 0.998)
	90°	0.978 (0.875 – 0.996)	0.997 (0.981 – 0.999)

207

208 DISCUSSION

209 The purpose of this study was to determine the concurrent validity and reliability of 2D
 210 frontal knee measurements during multi-directional cutting maneuvers. To explore the
 211 concurrent validity, knee valgus angles at initial contact and at peak vGRF were captured with
 212 2D and 3D measurements, simultaneously. Moreover, the intra-rater and inter-rater reliabilities
 213 of the 2D measurements of knee valgus were determined. The findings of the present study
 214 showed that there were statistically significant correlations between 2D and 3D measurements,
 215 and the reliability indices of 2D measurement showed good-to-excellent intra- and inter-rater
 216 reliability at initial contact and at peak vGRF.

217 Cutting maneuvers require a sudden change of direction after running and involves
 218 translation and reorientation into new direction of travel.³² This study used the frontal plane
 219 projection angle (FPPA) from 2D measurement which has been reported to be highly
 220 influenced by hip and knee joint rotations in the transverse plane.³³ The present findings
 221 confirmed a poor correlation of 2D and 3D knee valgus measurements at initial contact during
 222 cutting maneuvers at 30° and 60° and peak vGRF at 30°. This further supported by Schurr et
 223 al.³⁴ who found a poor correlation ($r = 0.31$) in the frontal plane knee angle between 2D and
 224 3D analyses during a single-leg squat. In addition, Maykut et al.²⁰ considered knee valgus
 225 angles during running on treadmill and also showed a poor correlation between 2D and 3D
 226 analyses ($r = 0.158$). Maykut et al. suggested that the difference of sampling frequencies may
 227 explain the non-significant correlation between the 2D and 3D measurements, when using 60
 228 Hz for the 2D measurement and 240 Hz for the 3D measurement.

229 However, the current study did show a significant correlation at initial contact and at
230 peak vGRF during cutting maneuvers. At peak vGRF the correlations were strong ($r=0.78$),
231 moderate ($r=0.74$), and poor ($r=0.45$) for cutting maneuvers at 90° , 60° , and 30° , respectively,
232 with correlation at initial contact being strong ($r=0.77$), moderate ($r=0.45$), and very poor ($r=-$
233 0.02) for 90° , 60° , and 30° , respectively. Both Maykut et al.²⁰ and Schurr et al.³⁴ reported peak
234 knee abduction angles and knee angle displacements in frontal plane, respectively, while the
235 current study reported values at initial contact and peak vGRF. Therefore, the different time
236 events could be a possible reason for differences seen with previous studies.

237 McLean et al.³³ demonstrated a moderate correlation between 2D and 3D
238 measurements. McLean et al. investigated 35° and 55° cutting and side-jump tasks in healthy
239 male and female collegiate basketball players, and reported moderate correlations $r = 0.58$ and
240 $r = 0.64$ for the 35° and 55° cutting and side jump, respectively. The current study showed
241 strong correlations in 90° cutting, moderate correlations at 60° , and poor correlation at 30°
242 cuttings (Table 2). The difference seen could be due to the difference in tasks explored. Schurr
243 et al.²⁰ and Maykut et al.³⁴ studied single-leg squat and running, respectively. Regarding multi-
244 directional cutting maneuvers, Dos santos et al.²⁴ stated that there was a relationship between
245 directions and biomechanical demands. Greater hip abduction and knee valgus angles were
246 observed as the angle of directional change increases. The current findings indicate that knee
247 valgus screening using 2D measurements for 60° and 90° cuttings could be considered as a
248 suitable assessment for use in clinical settings, and may be useful as an injury screening tool to
249 help health professionals observe frontal knee projection during cutting. However, comparing
250 results of 2D knee valgus between studies should be interpreted with caution due to previous
251 limitations reported when examining 2D measurements, and further work is required to explore
252 the clinical utility of such measures in term of knee valgus magnitude.³⁵

253 In addition, 2D knee valgus measurements in this study showed good-to-excellent intra-
254 rater and excellent inter-rater reliabilities. This suggests that 2D knee valgus measurement of
255 the current study is fit for repeated measurements in clinical evaluation. The method of 2D
256 testing used in this study is highly reliability and is acceptable for assessing before and after
257 providing targeted intervention such as neuromuscular training and corrected cutting training.

258 Application of the findings to other sport tasks and to female athletes should be
259 performed carefully. It would be interesting to perform a future study in which more
260 participants are recruited to investigate limb dominance.

261

262 CONCLUSION

263 The current study demonstrated that concurrent validity of 2D and 3D knee valgus
264 measurements is moderate-to-strong when considering 60° and 90° cutting maneuvers. Poor
265 correlation was observed in 30° cutting maneuver. The 2D measurement of the FPPA is good-
266 to-excellent intra-rater and excellent inter-rater reliabilities. This suggests that 2D knee valgus
267 measurements could be used as an easy and inexpensive screening tool for injury risk
268 identification and evaluation of targeted interventions such as neuromuscular training and
269 corrected cutting training. In clinical application, knee valgus screening using 2D
270 measurements for 60° and 90° cuttings could be performed and considered as a suitable
271 assessment.

272

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279

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