#### COMPUTER APPLICATIONS TO BOOK CATALOGS AND LIBRARY SYSTEMS

#### Donald H. Stromberg

Librarians must look to the future information needs of a country expanding in population, technology and educational requirements. The "information explosion" is placing an additional strain on existing methods of providing information rapidly and economically.

A library seeking to develop a modern information retrieval program has many existing services from which to choose. The problem is to define the program that will best serve the present library users and leave room for flexible action in the future, and then to pick a combination of services that best match these objectives.

Documentation Incorporated (Doc Inc) of Bethesda, Maryland, was founded in 1952 by the late Dr. Mortimer Taube, and has been engaged in developing modern information retrieval systems for government and industry. A key concept that is now emerging is the development of mechanized or computerized data banks. This data bank concept is a plan for organizing a single set of data for producing many products. The traditional library card catalog or data bank, long the key to finding materials in the nation's libraries, today is getting competition from book catalogs. Using data bank techniques to keep a library catalog updated, Doc Inc computer systems generate printouts of the catalog which are used to produce bound books for distribution to library users. In effect, the book catalogs are carrying the traditional card catalog, literally, into the homes and offices of users instead of requiring them to trek to the library to find out if the information they want is available. The computer is used to produce several indexes (such as subject, author, and title) in various formats from a single file of data and is particularly effective if the catalog data bank is standardized.

Despite the wide variety of ways libraries catalog books, most differences are variations of the Library of Congress cataloging system. By using the LC catalog system as a basis or standard for

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building the data bank, most libraries can be provided with just the index information they need by selecting only those items that apply from the LC data bank. An LC data bank system can produce book catalogs for many libraries from the same data, with provisions for handling special information.

Having introduced the general concept of Documentation Incorporated, the following questions should be answered: (1) Why book catalogs? (2) Why use a computer to produce book catalogs? (3) Why develop a catalog data bank? Each of these questions is interrelated in terms of cost, standards, service, and advancements in technology.

## (1) Why Book Catalogs?

There are advantages and disadvantages to both book catalogs and card catalogs. However, it is interesting to note that the card catalog for all practical purposes is a little more than seventy years old and was preceded by book catalogs. In a paper, "Book Catalogs Versus Card Catalogs," by Irwin H. Pizer, the present trend back to the book catalog made possible by recent advances in computer technology is analyzed and advantages and disadvantages are compared. <sup>1</sup> To quote a final statement, "We have gained most of the advantages, have minimized a few of the disadvantages, and, indeed, can look forward like Dr. Pangloss to a computer produced catalog which will be the best possible one, in this best of all possible worlds."

Book catalogs can cut costs by eliminating the purchase or production of cards for multiple locations, the expense of card file maintenance and card cases, and the freeing of prime space now occupied by bulky card catalog cases. They offer more service by providing complete holdings catalogs in bookmobiles; cataloging and order sections; local, school, branch, and cooperating libraries; reference desks; university schools, departments, and residences; and offices of researchers. They can save staff time by eliminating card catalog maintenance activities such as typing cards and headings, sorting, filing, reviewing, and replacing. Book catalogs are as easy to use as a telephone directory—users are generally more familiar with reference books than with card files. Page scanning allows faster location of entries with similar entries and headings, quickly compared at a glance.

Finally, with advancements in computer technology, the quality of the computer final product has been greatly improved. The first advancement was the introduction of both upper and lower case characters directly printable from the computer. The second is the development of photocomposition equipment which is capable of taking

computer generated tapes and composing, with graphic arts quality, the book catalog entries. The quality of the computer produced catalog, which in the past has concerned many librarians, has greatly improved.

# (2) Why Use a Computer to Produce Book Catalogs?

Computers are high speed data processors, ideally suited for performing many repetitive clerical tasks, providing that the decisions required can be limited and explained. The scope of the required decisions and the volume of the data to be processed are key factors in deciding what data processing equipment is to be used. It is important to realize that there is a wide range of computers with varying limitations—not the least of which is the craftsman or computer specialist who puts this tool to work. Electronic Accounting Machines (EAM) card processors are quite limited and should not be confused with the memory stored-program computers that utilize tape and disc storage devices as well as punched cards. Card processors have inherent limitations when scanning, compressing, selecting, and sorting data which are required to meet the challenge of the filing rules and variations of catalog information.

Computers are used to produce book catalogs because they offer cost savings, flexibility, consistency, and selected retrieval. The converse of this statement is also true when the tool is applied improperly. An experienced computer specialist would not use this tool solely as a reproducing device. Similarly, a librarian would not send a catalog card to a printing firm to produce one copy. The professional should know his tools and when and how to use them. A computer pays off only when it produces the right information on demand, but what comes out depends not only on what is put in, but on how it is done.

## (3) Why Develop A Catalog Data Bank?

The concept of developing a catalog data bank is a key factor in utilizing the computer to produce book catalogs. Cost savings and standardization of catalog information are the major benefits derived from this concept. The Council on Library Resources has granted \$130,000 to the Library of Congress for a pilot program of distributing library catalog data in machine readable form. Under this grant the Library of Congress will also study the value and feasibility of providing this service to libraries on a broad scale and a continuing

basis. Successful completion of this project can reduce even further the cost of computer generated book catalogs, if the primary content of the data bank is standardized to the LC cataloging.

In adopting a cataloging standard such as LC, a library can also experience a substantial reduction in individual cataloging requirements. This is not to say that cataloging will not be required in the future, but since many libraries utilize LC catalog cards in whole or in part, cataloging can be limited to those titles that have not been processed by the Library of Congress.

Professional librarians should have little fear of automation creating unemployment. To quote from the "National Inventory of Library Needs," by Edwin Castagna, concerning the professional staff, "The national shortage in professionally trained staff to meet ALA standards in these libraries would be around 100,000. The data on present staff are for 'professional positions filled' and not professionally trained librarians." In reviewing the various reports included in the National Inventory of Library Needs, it is apparent from the deficiencies highlighted that there is good reason to limit original cataloging to those items not cataloged by LC if it does not greatly aid the use of the library collection.

## Using a Computer to Produce Book Catalogs

This section explains the process used to produce a book catalog from Documentation Incorporated's computer equipment consisting of an IBM 1410 and 1401 tape system. Figure 1 illustrates the general systems flow for computer production of book catalogs. Figures 2-7 are actual samples derived from the Baltimore County Public Library book catalog project.

Each step in the general systems flow is described briefly as follows:

The Catalog Card. The catalog card, which is normally placed in the card drawer, is the primary source of input for computer processing. This is particularly true for those titles that have already been cataloged or are processed by a national organization such as the Library of Congress. When the individual library system performs the cataloging, a standard input form should be used for recording the pertinent data. The use of a standard form with preprinted identification of each data element to be included in the computer file eliminates the need for additional machine coding and can be used in the ordering process.

To secure a book catalog, a library must provide an identification number for those titles contained in or added to its collection. The identifying number for titles cataloged by LC is the LC card

number and is used by the individual library to call the title from the data bank for the book catalog. Those titles not cataloged by LC require an identification number and processing using the standard input form.

Coding, Editing, and Proofing. The first function in the processing is that of coding and editing the catalog information for machine preparation and is only necessary for those titles not already included in the data bank master file. A typical BCPL entry that has been coded and edited is illustrated in Figure 2. The handwritten marks or "tags" identify each element of catalog information and are used throughout the computer system for selecting, formatting, and generating field descriptions. The standard input form, used by a library to catalog titles that are unique to its collection, should contain the tag identifiers.

Machine Preparation. The machine preparation function converts the written information into machine language. An 80-column Hollerith (IBM) card is the most popular form of computer input. In preparing the catalog entry for the computer system, each tagged item is key punched into a card. If the information for an item exceeds the card limit of 80 columns, a second or third follow-on card is prepared. A special code is punched to indicate that the following character is to be capitalized when required.

The conversion of written information into machine language may also be accomplished effectively using punched paper tape or optical scanning equipment. The state of the art of optical scanning still requires rigid control of the source document format and character style.

Computer Edit and Update. The computer edit and update phase of the processing system performs the file maintenance and is separate from the actual generation of the book catalog. Emphasis is placed on maintaining an accurate data bank file. The five basic steps of this phase follow:

- a. Read the punched cards and create a magnetic tape of the card information.
  - b. Sort the magnetic tape into ascending order.
- c. Edit the information to determine if the tags and machine codes are properly encoded.
- d. Update the existing master magnetic tape file with the new information.
- e. Print the proof listing of all changes to the file in upper and lower case.

The IBM 1401 performs steps (a) and (e) and the IBM 1410 performs steps (b)-(d). A new magnetic tape file is created during the updating process and reflects the latest version of the data bank file. This tape is used as input for the next cycle.

A sample of the proof listing from step (e) is illustrated in Figure 3. Errors in coding and machine preparation are corrected on the proof listing, and the file maintenance cycle is repeated. The proof listing contains printed information that is not recorded on the original coded entry. This information is computer generated by identifying the tags and is used to facilitate proofing and proper filing. In comparing <u>subject terms</u> on the proof listing, tag 72001 does not contain the punctuation and capitalization that appear in tag 72002. This is a computer generated sort field and can be changed in the next update cycle if it does not conform to the required filing rules. Sort fields are generated for each major heading and are a very important feature of this system.

Computer Generated Catalogs. Once a corrected master file has been created, the generation of the book catalog becomes a mechanical process performed in the four steps that follow:

- a. Select from the master file the information required for each of the indexes.
  - b. Sort the major headings for each index.
  - c. Format for printing.
  - d. Print the indexes.

It is the decision of the individual library system that determines what indexes are necessary and the contents of each index entry. Figures 4-7 are reproductions of the actual full page IBM 1401 printout for the subject, author, and title indexes requested by the Baltimore County Public Library. Variations of the original entry (see Figure 2) are illustrated in each of the indexes. Examination will also reveal the series statement as a major heading in the title index (see Figure 7).

It is important to reiterate the flexibility that is available in applying computer systems to producing book catalogs. For example, if a library decides that the next publication of the book catalog should not have series statements as a major heading in the title index, there is no need to change any of the entries that have been incorporated in the master file. This is automatically accomplished by instructions to the computer selection program.

Overview Print and Bind. The overview phase of this process is to insure the accuracy of the final product. It is not necessary to cut, paste, or strip the computer print-out prior to making a plate for the printing. In other words, the computer print-out is the camera ready copy. Printing and binding of the final product is the same process as for other types of publications.

LC Entries and Photocomposition. The concept employed to produce book catalogs for the Baltimore County Public Library applied to producing the sample LC entries in Figure 8. The contents of the printed entry in each of the indexes are subject to decisions of

the individual library system. It is, however, more economical to print the full entry in one index and abbreviated versions of the entry in the remaining indexes.

Photocomposition enhances the appearance and reduces the number of pages required in a book catalog. Figure 9 illustrates a sample photocomposed BCPL catalog page. Photocomposition devices, which can be driven from computer generated tapes, offer the flexibility of type styles and graphic arts quality available in typesetting. This process can be justified when the number of pages and copies is substantial, or the publication is semi-permanent such as an annual or biennial cumulative book catalog.

### Looking Forward

There are many advantages to automating library systems such as simplifying the ordering and lending processes using computer techniques. Certainly computers can improve reference services by making it possible to provide demand bibliographies. However, most of us are looking to exciting future applications of computers to information processing. Wouldn't it be nice to push a few buttons on the touch-tone telephone that would bring a favorite novel beaming through your television set at home? Impractical? Today, the answer is yes, but look at the developments over the past several decades—television and video tapes, computers linked by telecommunications, touch-tone dialing systems, and last but not least, the catalog card in machine or computer form.

One major step forward is to have a convenient display of the contents of the library collections at the user's disposal and the library collection in a machine form ready to respond to inquiries. The key to matching the user's need for knowledge about the collection and the mechanics of the system is the published catalog. Advancements in technology will make this service economical on a wide basis, but there is a real need for libraries to prepare for the future; publications and educational programs will be required for the users in addition to a conversion of the physical form of the collection into a computerized data bank.

## REFERENCES

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- 2. Castagna, Edwin. "National Inventory of Library Needs." In U. S. Office of Education. Library Services Branch, in cooperation with the American Library Association, National Inventory of Library Needs. Chicago, American Library Association, 1965, p. 2.

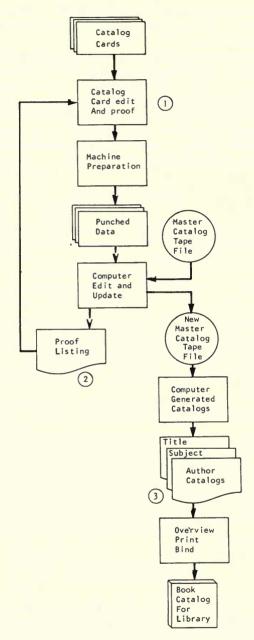


Figure 1 General Systems Flowfor Computer Production of Book Catalogs

66010238

0416 940.542 2400 B

Cole, Hugh Marshall
28002—Ardennes: Battle of the Bulge. 1965
2 9002 (United States Army in World War II: the
European theater of operations)

Figure 2 A Coded Catalog Card

	TRANS WORD	COLS COL COLS COLS	77-11	Dewey Decimal Number 04162 00 00 * 0940.542,	Author Initial 04242 00 00 * oC3	2400b 00 00 * oCole, Hugh, Mershall,	Short Title 28001 00 00 * pardennes_battle_of_sthe_bulges	28002 00 00 * OArdennes: Battlerofathe, Bulge.s	Series Statement 29001 00 00 * ounitedistates_army_sin,worldswar_sli_the_seuropean,theater	01 01 oof,operations,	29002 00 00 * United, States, Army, Jn, World, Var, II; 7 the, European, theater	01 01 oof,operations,	Publication Date 48002 00 00 * 01965*1	Series Statement 56002 00 00 * o(United,States_Army3ln,WorldsWar,II:7the,European,theater	01 01 cofjoperations)2	Subject Terms 72001 00 00 * gardennes; battle20f3the1944519456	
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Figure 3 Computer-generated Prooflisting of Catalog Card

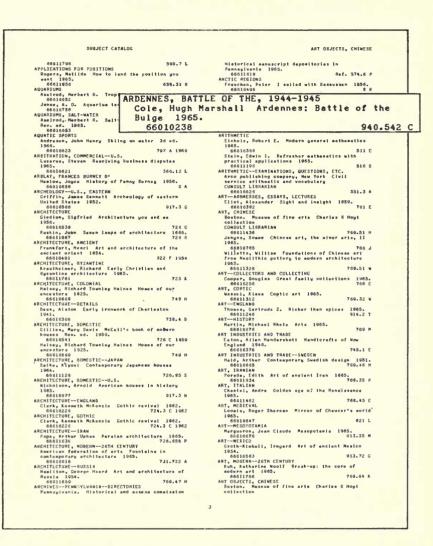


Figure 4
Subject Entry in Subject Index

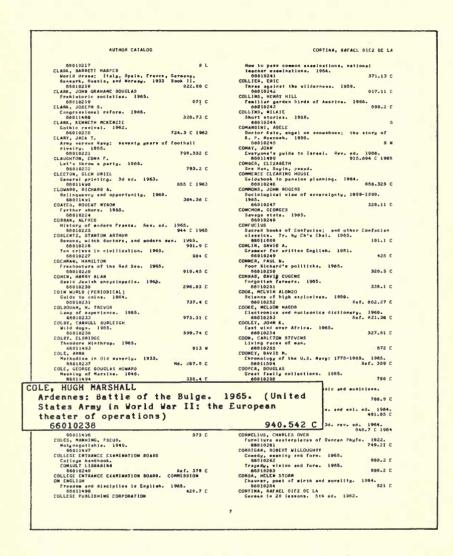


Figure 5
Author Entry in Author Index

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Mars, Norbert Louis 1968.	"	88011074	
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Bloom, Irving J. 1986. 66011178	Ref. 072.097 E	ACHARTIM PECHNICULE	727.6 F 1086
AMERICAN NOTEBOOK	WINSHEY &	Jansa, A. O. 1984. 24. 66610758	
Hamburger, Philip Paul 1968.		66616758	898.7 J
66616616	917.3 H	ARARESQUE	
AMERICAN POETRY SINCE 1948		Household, Geoffrey 1258.	
Blagancher, Stephen 1965. 88611262	811.69 8	ARCHAEOLOGY IN THE HOLY LAND	
AMERICAN FOETRY		Kanyon, Kathlean Nory 2d ed. 196	6.
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86611843	811.99 E	ARCHAEOLOGY OF THE CINEHA	
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Figure 6
Title Entry in Title Index



Figure 7 Series Entry in Title Catalog

FISHLOCK, DAVID Metal colouring. Teddington, R. Draper, 1962. xii, 393p. illus. (part col.) 23cm. Includes bibliographies. TS653.F53 64-35743 STATE UNIVERSITY OF AGRICULTURE CKLAHOMA. AND APPLIED SCIENCE, STILLWATER. SCHOOL OF CIVIL ENGINEERING. Research publication. Stillwater, 19 no.in v. illus. 29cm. Issued by the school under the university's shorter form of name: Oklahoma State University. TA7.045 64-35744 UNITED NATIONS. OFFICE OF PUBLIC INFORMATION The International Court of Justice. [4th ed.] New York, United Nations (1963[ 41p. illus. 20cm. =United Nations publications. Sales no.:63.I.11" 64-54693 JX1971.6.U47

Figure 8
Sample Computer Print of L. C. Entries

#### AUTHOR CATALOG

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