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Post-mortem Plasma Cell-Free DNA Sequencing: Proof-of-Concept Study for the "Liquid Autopsy"

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Recent genomic studies on cancer tissues obtained during rapid autopsy have provided insights into the clonal evolution and heterogeneity of cancer. However, post-mortem blood has not been subjected to genetic analyses in relation to cancer. We frst confrmed that substantial quantities of cell-free DNA were present in the post-mortem plasma of 12 autopsy cases. Then, we focused on a pilot case of prostate cancer with multiple metastases for genetic analyses. Whole-exome sequencing of postmortem plasma-derived cell-free DNA and eight frozen metastatic cancer tissues collected during rapid autopsy was performed, and compared their mutational statuses. The post-mortem plasma cell-free DNA was successfully sequenced and 344 mutations were identifed. Of these, 160 were detected in at least one of the metastases. Further, 99% of the mutations shared by all metastases were present in the plasma. Sanger sequencing of 30 additional formalin-fxed metastases enabled us to map the clones harboring mutations initially detected only in the plasma. In conclusion, post-mortem blood, which is usually disposed of during conventional autopsies, can provide valuable data if sequenced in detail, especially regarding cancer heterogeneity. Furthermore, post-mortem plasma cell-free DNA sequencing (liquid autopsy) can be a novel platform for cancer research and a tool for genomic pathology.

Evidence of the clonal evolution of cancer is rapidly accumulating, and tumor heterogeneity is now recognised as a critical issue in the era of personalised cancer medicine^{[1,](#page-10-0)[2](#page-10-1)}. To precisely evaluate the clonal evolution and heterogeneity of cancer, sequence analysis of multiple cancer lesions is essential. Considering the difculties associated with sampling multiple cancer tissues from living patients, particularly from those who have undergone chemoor immunotherapy, autopsies are highly valuable. In fact, several rapid autopsy studies have led to important findings in the fields of prostate cancer, pancreatic cancer, renal cell carcinoma, and breast cancer^{[3](#page-10-2)-6}. However, the genotypes of cell-free DNA (cfDNA) in post-mortem blood samples have never been analyzed in relation to cancer.

Circulating cfDNA from cancer patients contains tumor DNA (circulating tumor DNA); therefore, sequencing analyses of cfDNA from cancer patients provide information about genomic changes in tumors within a patient without surgical resection or tissue biopsy^{7[,8](#page-10-5)}. The identification of cancer-associated gene mutations in

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Table 1. Details of autopsy cases in which post-mortem plasma was sampled. CNS: central nervous system; MDS: myelodysplastic syndrome; AML: acute myeloblastic leukaemia; GVHD: graf-versus-host disease.

plasma now comprises the main part of the "liquid biopsy," a novel method for cancer detection and monitor-ing^{7[,8](#page-10-5)}. Analyses of cfDNA are expected to provide an overview of the somatic changes that occur in multiple cancer clones involving various organs, including primary and metastatic sites. cfDNA sequencing might thus help to overcome the sampling bias associated with spatial heterogeneity^{9–11}. However, it is often difficult to determine the origin of mutant cfDNA. One approach to solving this problem is comparing somatic mutations in cfDNA with those from multiple tumor sites $9,12,13$ $9,12,13$ $9,12,13$.

In the present study, we analyzed cfDNA in post-mortem plasma by next-generation sequencing. We further assessed the feasibility of post-mortem cfDNA sequencing and examined the relationship between the mutational status of cfDNA and systemic tumor heterogeneity in a pilot case of prostate cancer. Therefore, we discuss the signifcance of post-mortem plasma sequencing, the concept of "liquid autopsy," and the potential of liquid autopsy data to expand the applications of liquid biopsies.

Results

Acquisition of cfDNA from post-mortem blood. Real-time PCR-based assays on cfDNA extracted from 12 post-mortem plasma samples revealed the presence of abundant cfDNA (Table [1\)](#page-2-0). The cfDNA samples showed a fragmented pattern with integral multiples the size of a nucleosome plus linker DNA (\sim 167bp), which is the typical size distribution of cfDNA. Large genomic DNA from normal cells, which makes it difficult to detect somatic mutations with low allele frequencies in cfDNA, was scarcely detected in the cfDNA samples (Fig. [1\)](#page-3-0). In our case series, the presence of residual cancer and time to autopsy did not seem to afect the amount of cfDNA in post-mortem plasma ($P = 0.6301$ and 0.3083, respectively).

Clinical history and pathological features of a pilot autopsy case in which extensive genetic analysis was performed (Case 1). A 56-year-old male presented with elevated serum prostate-specific antigen (PSA). The prostatic needle biopsy revealed adenocarcinoma (Gleason score, $5 + 4 = 9$), which was ERG-negative by immunohistochemistry. Radiographically, multiple bone metastases were detected. The patient received maximum androgen blockade therapy and cytotoxic chemotherapy, which were both inefective. Later, multiple liver and lymph node metastases developed. The patient died 22 months after the initial presentation due to liver dysfunction. Afer written informed consent was obtained from family members, an autopsy was performed 1 h and 21 min after death. At autopsy, the liver was enlarged (5605 \times *g*), with numerous cancer nodules. Further, osteogenic changes were observed in nearly all vertebrae. Generalised lymphadenopathy was also noted. Histopathological examination revealed small foci of residual adenocarcinoma with degenerative changes in the prostate. Moreover, metastatic foci in the liver, bone, lymph node (cervical, hilar, paraaortic, peripancreatic, etc.), adrenal gland, and lung were identifed. All metastases were composed of poorly diferentiated carcinoma that grew in solid nests and sheets (Fig. [2\)](#page-4-0).

Whole-exome sequencing of multiple tumor samples and cfDNA. We selected Case 1 as a pilot case for further genomic analyses because substantial cfDNA was present in the post-mortem plasma and multiple metastases could be evaluated. Somatic mutations that were detected by the WES of eight frozen tumor tissues and the post-mortem plasma cfDNA are shown in Supplementary Table 1. WES of tissues detected 553 somatic mutations. The median number of mutations was 161. We classified these mutations into eight major categories based on commonality (common in 8, 7, 6, 5, 4, 3, and 2 samples and unique to 1). Ten, the "unique to 1" category was classifed into eight subcategories according to the location of the metastases (Table [2\)](#page-5-0). We designated the "common in 8" category as "trunk mutations". The mutations in other categories were considered "subclonal" mutations," which accumulated during the clonal evolution of the cancer. In this specifc case, approximately 80% of the subclonal mutations belonged to the "unique to 1" category.

Figure 1. Size distributions of cell-free DNA (cfDNA) extracted from post-mortem plasma. cfDNA extracted from 12 post-mortem plasma samples was analyzed using the Agilent 2100 Bioanalyzer DNA 1000 kit (Agilent Technologies, Santa Clara, CA). The electropherograms showed a fragmented pattern with integral multiples the size of a nucleosome plus linker DNA, which is the typical size distribution of cfDNA.

WES of the post-mortem plasma cfDNA was successful and detected 344 somatic mutations, of which 160 were shared with at least one of the metastases. Importantly, nearly all (99%) trunk mutations ("common in 8") were detectable in the plasma cfDNA. *UBR2* mutation, which was fltered out due to low number of reads in the cfDNA, was the only exception. Detectability of the subclonal mutations was rather low and varied among categories (Table [2\)](#page-5-0).

Figure 2. Pathologic features of the prostatic cancer case. (**a**) Prostate core needle biopsy. Histologically, adenocarcinoma with solid and glandular structures was observed. The tumor cells were negative for ERG based on immunohistochemistry analyses (inset). (**b**) Prostate at autopsy. Macroscopically, the prostate was of normal size (inset). Histologically, scattered foci of cancer with degenerative changes were present. (**c**) Liver at autopsy. The liver was extremely enlarged with numerous cancer metastases. (**d**) Histology of the liver metastasis. The metastatic lesion was predominantly composed of poorly differentiated carcinoma growing in solid nests. (**e**) Vertebrae at autopsy. Whitish and sclerotic changes were observed in almost all vertebrae, suggestive of osteogenic metastases. (**f**) Histology of the vertebral metastasis. Tick trabeculae were formed, and the intratrabecular spaces were flled with cancer cells. (**g**,**h**) Histology of the lymph node metastases. Poorly diferentiated carcinoma composed of atypical cells with enlarged nuclei growing in sheets was observed.

Table 2. Mutations identifed by whole-exon sequencing and their detectability in cfDNA.

The composition of the mutations detected in the plasma cfDNA is shown in Fig. [3a.](#page-6-0) Mutations identified in at least one of the eight frozen metastases accounted for 47% of the cfDNA mutations, most of which were subclonal mutations. The mutations categorized as unique to A, B, N2, L1, or L2 were also detected in cfDNA, and each comprised 1.5%, 0.3%, 4.7%, 6.1%, and 0.3% of the mutations, respectively. Of note, some cancer-associated gene mutations identifed in a single metastatic lesion, such as those in *BRCA2* (c.1318delC, unique to L1), *APC* (c.6053–6071delCAGTTTGTTTCTCAAGAAA, unique to L1), *FBXW7* (c.365C>A, unique to L2), and *PTEN* $(c.650T)$ G, unique to N2), were also detectable in plasma cfDNA. A large fraction (53%) of somatic mutations in cfDNA were cfDNA-specifc mutations that were not detected in any of the exome-sequenced metastases (Fig. [3a](#page-6-0)).

Next, we evaluated VAFs of mutations detected in cfDNA. These tended to correlate with the number of metastatic samples that shared the mutations (Fig. [3b](#page-6-0)). The VAFs of cfDNA-specific mutations were generally low. However, there were some cfDNA-specifc mutations that were detected at relatively high VAF (>0.1) in the plasma. We also investigated the contribution of tumor DNA from diferent lesions to post-mortem plasma cfDNA by comparing the VAFs of mutations that were unique to a single metastasis. Mutations exclusively detected in a liver metastasis (L1 or L2) had higher VAFs in cfDNA compared to those from metastases of the adrenal gland, bone, or lymph node $(P < 0.0001$; Fig. [3c\)](#page-6-0).

Sanger sequencing of formalin-fixed paraffin-embedded metastases. In Case 1, more than 50 metastatic lesions were identifed in routinely sectioned FFPE specimens. Assuming that clones harboring cfDNA-specifc mutations were present somewhere in the body, we performed Sanger sequencing of the represent-ative genes using the DNA extracted from 30 FFPE metastases (Fig. [4a](#page-7-0)). The cfDNA-specific mutations assessed by Sanger sequencing included *LILRA1* (c.830T > C), which was detected in two lymph node and two liver metastases. Mutations from extremely minor clones, such as those of *PRKAR1A* (c.996T>G) and *BCL11B* (c.391G>A), were also identifed in the cfDNA. For example, a *BCL11B* mutation was detected in only one of the lymph node metastases and a *PRKAR1A* mutation was detected in only one of the liver metastases (Fig. [4b](#page-7-0)). None of the remaining fve cfDNA-specifc mutations that were analyzed by FFPE-based Sanger sequencing was detected in a metastatic lesion. To determine whether the mutations that we initially designated as trunk mutations were truly "trunk", we investigated the distribution of clones harboring representative mutations such as *NOS3* (c.1259A>G), *RGAG1* (c.2708G > C), and *PRDM16* (c.346G > T). The *NOS3* mutation was identified in all 30 FFPE metastases that were Sanger sequenced, whereas some of the metastases harbored wild-type *RGAG1* and *PRDM16*.

Discussion

Concerted eforts for the genomic analyses of cancer have led to the conclusion that cancer is heterogeneous, consisting of multiple clones harboring different sets of genetic changes. The concept of tumor heterogeneity has become increasingly important in the era of precision medicine. Although understanding the molecular variation between tumors is essential for both the development and clinical use of targeted therapies, sampling bias has hindered precise evaluations in this feld. Tis inevitably occurs with biopsy specimens, and even in surgically-resected tissues. Because only a small portion of cancer is sent for sequencing, genotypic assessment to capture systemic tumor heterogeneity is difcult, if not impossible, in living patients. In the present study, we confrmed the potential utility of plasma cfDNA sequencing to overcome the limitations of targeted tissue sampling. The findings are in accordance with those of previous liquid biopsy studies that assessed how systemic cancer heterogeneity is reflected in the plasma cfDNA of the living cancer patients^{9[,12](#page-10-8),13}. Most importantly, in our pilot case, almost all trunk mutations involved in cancer initiation were identifed by WES of the plasma cfDNA. In addition, we successfully demonstrated that mutations associated with extremely minor subclones could also

Figure 3. Evaluation of somatic mutations detected in cell-free DNA (cfDNA) by whole-exome sequencing. (a) Composition of mutations detected in plasma cfDNA. The classification of mutations was based on whole-exome sequences from eight frozen metastatic tumor tissues (Table [1](#page-2-0)). This figure is drawn using Excel for Mac (16.16.18) and PowerPoint for Mac (16.16.18), Microsof Cooperation, Redmond, WA, USA. (**b**,**c**) Variant allele frequencies (VAFs) of mutations detected in cfDNA. Box plots indicate the VAF values of mutations classifed by category. Each box shows the median (central line), inter-quartile range (IQR; box), and $\pm 1.5 \times$ IQR (whiskers). Mutations classifed as "unique to one" (**b**) were subdivided by tumor sample and are shown in panel (**c**).

be detected in the plasma. Considering that the number of mutations shared by plasma cfDNA and at least one of the metastases ($N=160$) was nearly equal to the median number of mutations detected in cancer tissues from eight metastatic sites ($N=161$), we can conclude, at least for this specific case, that the sensitivity of plasma cfDNA sequencing is equivalent to or higher than that associated with sequencing a single tumor site when the whole-body mutational landscape of cancer must be examined.

Rapid autopsy is an efective method to investigate the genetic heterogeneity and clonal evolution of cancer. In rapid autopsy, it is generally recommended that the prosector snap-freezes as many cancer samples as possible so that clonal evolution and tumor heterogeneity can be precisely assessed by subsequent genetic analyses such as WES and RNA-seq. However, sampling and sequencing every tumor site is laborious and fnancially challenging. Consequently, the rapid autopsy program is feasible only at large institutions with sufficient resources.

The present study, based on a pilot case, exposes the limitations of sampling multiple frozen tissues to assess systemic cancer heterogeneity. Our fndings suggest that post-mortem plasma cfDNA sequencing, or "liquid autopsy," is an efective alternative approach (Fig. [5](#page-8-0)). In combination with FFPE-based targeted sequencing, the clones harboring mutations identifed in post-mortem plasma cfDNA can be systemically mapped. Further, post-mortem blood, which is usually disposed of during conventional autopsies, can provide valuable data if sequenced in detail. The preservation of post-mortem blood alone is therefore of value because its genotype can be compared to that of previously obtained biopsies and surgically-resected specimens.

Liquid autopsy also has the potential to elucidate the diferential contribution of DNA from diferent tumor sites to cfDNA. In this case, mutations detected in liver metastases tended to be highly represented in cfDNA compared to those in metastases involving other organs. However, given that no mutations detected in one liver metastasis (L3) were identifed in cfDNA, the degree to which tumor DNA contributes to cfDNA could also difer a

 $\mathsf b$

PRKAR1A c.996 T>G. p.N332K

inMMMMMnn

MMMMMMM

BCL11B c.391 G>A, p.T131A

WT

WT

Lymph node 6 **Mutant** Lymph node 7

Figure 4. Sanger sequencing of the DNA extracted from formalin-fxed parafn-embedded metastases. (**a**) Systemic distribution of clones harboring representative cell-free DNA (cfDNA)-specific mutations ($N=8$) and mutations commonly detected in eight frozen metastases ($N=3$). WT, wild type; NA, data not available due to unsuccessful sequencing. This figure is drawn using Excel 2016 and PowerPoint 2016, Microsoft Cooperation, Redmond, WA, USA. (**b**) One of the cfDNA-specifc mutations, *PRKAR1A* (c. 996T>G), was identifed in one of the liver metastases (Liver 10). Other metastatic nodules, even those that were in close proximity (Liver 11, 12, and 13), harbored wild-type *PRKAR1A*. Another cfDNA-specifc mutation, *BCL11B* (c. 391G>A), was identifed in one of the paraaortic lymph node metastases.

among lesions in the same organ. It should be noted that not all somatic mutations from all known tumor sites were detected in post-mortem cfDNA. The detectability of tumor DNA in cfDNA and the divergence of their VAFs might thus be afected by a variety of factors including tumor size, vascularity, and patterns of invasion. Further investigation is warranted to identify the factors that control the organ-specifc contribution of tumor DNA to cfDNA.

Figure 5. Scheme of liquid autopsy. The concept of liquid autopsy is illustrated and compared to conventional autopsy and rapid autopsy. FFPE, formalin-fxed parafn-embedded; WES, whole exome sequencing; WGS, whole genome sequencing. This figure is drawn using PowerPoint 2016, Microsoft Cooperation, Redmond, WA, USA.

Tis work represents a pilot liquid autopsy study. Post-mortem plasma sampling was performed in a small number of cases, and the genetic analysis data were based on a single case. Technical improvements and standardization of plasma sampling, preservation, sequencing, and other variables are definitely needed. There are limitations to our study, especially regarding interpretation of the results. First, the feasibility of WES using post-mortem plasma cfDNA needs to be validated in a large cohort of cases. Although the amount of cfDNA was successfully quantified in 12 cases, proving its abundance, the quality of the DNA was not assessed. The time limit for plasma sampling afer death is a critical issue that requires further investigation. Factors such as post-mortem clot formation surely afect the blood aspiration process. Afer death, an increase in cellular DNA and cfDNA degradation may occur, resulting in poor sequencing quality. It is also of interest how post-mortem cfDNA difers from cfDNA from living patients. In our study, blood samples were not obtained from the patients before death and, thus, we could not address this issue. Sequential analyses of liquid biopsy samples and a liquid autopsy sample will be informative. It was difficult to identify the factors governing the detectability of tumor mutations in the plasma based on this single case study. Detection patterns of mutations and divergence in their VAFs may vary between the cases, and can be dependent on the primary site of cancer. It is also possible that a specifc set of mutated genes are involved in the contribution of DNA from diferent tumor sites to cfDNA. A gene-specifc approach to elucidate the clinicopathological signifcance of mutated genes in cfDNA is warranted. Although the application of liquid autopsy is discovery-related in nature, we expect that future related studies could provide data that will improve the interpretation of results obtained by liquid biopsy and refne clinical sequencing in living patients.

In summary, post-mortem plasma cfDNA sequencing provides valuable data that cannot be obtained by conventional testing methods, including information on cancer heterogeneity, the systemic distribution of subclones, and the contribution of tumor DNA to cfDNA. We believe that liquid autopsy can be a novel platform for cancer research and a tool to evolve the feld of genomic pathology, as it might enable us to narrow down the clones that significantly contribute to patient death. The results of our study suggest that, even in living patients, plasma cfDNA sequencing can yield a large amount of data regarding the mutational status of cancer. The findings broaden the possibilities for liquid biopsy in various clinical contexts.

Methods

Post-mortem plasma sampling. We sampled post-mortem plasma from 12 autopsy cases that were enrolled in the Akita Rapid Autopsy Program (ARAP) (Table [1\)](#page-2-0). Afer obtaining written informed consent from family members, autopsies were performed by the Virchow method. The venous blood was sampled from the inferior vena cava when the heart was removed from the mediastinum. Blood samples were immediately processed to isolate plasma by centrifugation at $3,000 \times g$ for 12 min at 4 °C, and the plasma samples were stored at −80 °C until use. The protocol was approved by the institutional review board of Akita University.

cfDNA extraction from post-mortem plasma. For cfDNA extraction, post-mortem plasma samples were centrifuged at 16,000 \times *g* for 10 min at 4 °C to remove cell debris. Cell-free DNA was extracted from 1 mL plasma into 60 µL elution bufer using a QIAamp DNA Circulating Nucleic Acid Kit (QIAGEN) according to the manufacturer's instructions. Eluted cfDNA was quantifed by real-time PCR of human LINE-1 sequences as described in our previous study 14 .

DNA extraction from tissue samples. During the Case 1 autopsy, tissues from multiple metastases were sampled and snap-frozen. Genomic DNA in eight snap-frozen tissues from metastatic lesions (A, adrenal gland; B, vertebral bone; N1, cervical lymph node; N2, paraaortic lymph node; N3, peripancreatic lymph node; L1, L2, and L3, liver) was extracted using a QIAamp DNA Mini Kit (QIAGEN, Hilden, Germany) according to the manufacturer's instructions. In addition to tumor tissues, germline DNA was obtained from myocardial tissues to serve as control samples for sequencing analyses. Systemic organs were removed and fxed in formalin and routinely sectioned to prepare parafn-embedded specimens for histopathological evaluation. For Sanger sequencing, we selected 30 formalin-fxed parafn-embedded (FFPE) metastases for macrodissection and subsequent DNA extraction. DNA was extracted using a PicoPure DNA Extraction Kit (Life Technologies Corp., Carlsbad, CA, USA).

Whole-exome sequencing and identification of somatic mutations. Whole-exome sequencing (WES) libraries were prepared using an Agilent SureSelect system and Human All Exon Kit v5.0 (Agilent Technologies, Santa Clara, CA) according to the manufacturer's instructions. For cfDNA, a sequencing library was prepared using a combination of a KAPA Hyper Prep Kit (KAPA Biosystems, Wilmington, MA) and a SureSelect system as described in our previous study¹⁴. Massively parallel sequencing was performed on the Illumina HiSeq. 2500 (Illumina, San Diego, CA) platform using a read length of 2×100 bp.

Paired-end reads were aligned to the human reference genome (GRCh37) using the Burrows-Wheeler Aligner (BWA) for tumor DNA, cfDNA, and matched germline DNA samples. Afer probable PCR duplications, for which we removed paired-end reads aligned to the same position, pileup files were generated using SAMtools^{[15](#page-10-11)} and a program developed in-house^{[16](#page-10-12)}. To identify somatic point mutations and short indels in cfDNA, stringent confidence filtering conditions were used as previously described^{[14](#page-10-10)}, including (i) >8 reads supporting a mutation and (ii) tumor variant allele frequency >0.02 . For somatic mutation calling of tumor samples, filtering conditions were as previously described¹⁶. The mutations identified in the cfDNA were compared to those identified in the metastases. We defned "cfDNA-specifc mutations" as mutations not detected in any of the eight metastases sequenced.

Sanger sequencing of formalin-fxed parafn-embedded metastases. PCR amplifcation was carried out using DNA extracted from FFPE metastatic samples, and the primers designed to amplify the mutated regions were randomly selected from the list of cfDNA-specifc mutations (Supplementary Table 2). After measuring DNA concentrations, PCR amplification was performed using the T100 Thermal Cycler (Bio-Rad; Hercules, CA). PCR products were sequenced on an Applied Biosystems 3730xl DNA Analyzer (Life Technologies, Carlsbad, CA). The sequencing data were analyzed using Sequence scanner software 2 (Life Technologies, Carlsbad, CA).

Statistical analysis. The relationship between the time to autopsy and the amount of cfDNA in post-mortem plasma was calculated using the Spearman's rank correlation test. The significance of differences in variant allele frequencies (VAFs) was tested using Welch's t-test. All tests were two-sided and P-values <0.005 were considered statistically signifcant. All statistical analyses were performed using R (Version 3.3.1, R Development Core Team).

Ethical issues. All methods were performed in accordance with relevant guidelines and regulations. Tis study complies with the Declaration of Helsinki and ethical approval was obtained from Akita University, Faculty of Medicine, Ethics Committee. The data were analysed anonymously. Written informed consent from family members was obtained in all the autopsied cases analysed. The permission to use the autopsy samples for this study was obtained from the director of Akita Rapid Autopsy Program.

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Author contributions

Erina Takai, Daichi Maeda, Shinichi Yachida and Akiteru Goto conceived and designed the study. Zhuo Li., Yukitsugu Kudo-Asabe, Akiko Nakamura, Rumi Nakamura, Misato Kirikawa, Yukinobu Ito and Makoto Yoshida performed tissue sampling and experiments. Takamitsu Inoue and Tomonori Habuchi collected clinical data. Erina Takai, Daichi Maeda, Shohei Ikoma, Hiroto Katoh, Tatsuhiro Shibata, Shumpei Ishikawa, Shinichi Yachida and Akiteru Goto interpreted the data. Yasushi Totoki, Hiromi Nakamura and Mamoru Kato performed the gene analyses. Erina Takai and Daichi Maeda wrote the draf of the report. All authors revised the manuscript.

Competing interests

The authors declare no competing interests.

Additional information

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