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1 High Seroprevalence of anti-SARS-CoV-2 antibodies among Ethiopian healthcare workers

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52 **Abstract**

53 **Background**

54 COVID-19 pandemic has a devastating impact on the economies and health care system of sub-Saharan
55 Africa. Healthcare workers (HWs), the main actors of the health system, are at higher-risk because of
56 their occupation. Serology-based estimates of SARS-CoV-2 infection among HWs represent a measure of
57 HWs' exposure to the virus and a guide to the prevalence of SARS-CoV-2 in the community. This
58 information is currently lacking in Ethiopia and other African countries. This study aimed to develop an
59 in-house antibody testing assay, assess the prevalence of SARS-CoV-2 antibodies among Ethiopian high-
60 risk frontline HWs.

61
62 **Methods and findings:** A cross-sectional seroprevalence study was conducted among HWs in five public
63 hospitals located in different geographic regions of Ethiopia. Socio-demographic and clinical data were
64 collected using questionnaire-based interviews. From consenting HWs, blood samples were collected
65 between December 2020 and February 2021, the period between the two peaks of COVID-19 in Ethiopia.
66 The collected sera were tested using an in-house immunoglobulin G (IgG) enzyme-linked immunosorbent
67 assay (ELISA) for SARS-CoV-2 specific antibodies on sera collected from HWs. Of 1,997 HWs who
68 provided a blood sample, demographic and clinical data, 50.5% were female, 74.0% had no symptoms
69 compatible with COVID-19, and 29.0% had history of contact with suspected or confirmed patient with
70 SARS-CoV-2 infection. The overall seroprevalence was 39.6%. The lowest (24.5%) and the highest
71 (48.0%) seroprevalence rates were found in Hiwot Fana Specialized Hospital in Harar and ALERT
72 Hospital in Addis Ababa, respectively. Of the 821 seropositive HWs, 224(27.3%) had history of
73 symptoms consistent with COVID-19. A history of close contact with suspected/confirmed COVID-19
74 cases was strongly associated with seropositivity (Adjusted odds Ratio (AOR) =1.4, 95% CI 1.1-1.8;
75 p=0.015).

76
77 **Conclusion:** High SARS-CoV-2 seroprevalence levels were observed in the five Ethiopian hospitals.
78 These findings highlight the significant burden of asymptomatic infection in Ethiopia, and may reflect the
79 scale of transmission in the general population.

80
81 **Key words:** SARS-CoV-2, COVID-19, RBD, ELISA, seroprevalence, antibodies, Ethiopia

82
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86 funders had no role on the study design, execution, interpretation, or where these data were published.

87 **Conflict of Interest**

88 The authors declare no conflict of interest.

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102 Author summary

103 Why was this study done?

- 104 • Severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) is a global public health
105 threat, including Africa
- 106 • The actual morbidity and mortality associated with SARS-CoV-2 infection in Ethiopia
107 underestimated due to the limited molecular testing capacity.
- 108 • We have limited knowledge about the seroprevalence of COVID-19 among health
109 workers in Ethiopia.
- 110 • This study aimed to develop an in-house immunoglobulin G (IgG) enzyme-linked
111 immunosorbent assay (ELISA) for SARS-CoV-2 specific antibodies on sera collected
112 from HWs and to find out the proportion of healthcare workers who have developed
113 antibodies specific to SARS-CoV-2 from five public hospitals located in the different
114 regions of Ethiopia.

115 What did the researchers do and find?

- 116 • A cross-sectional seroprevalence study was conducted among HWs in five public
117 hospitals located in different geographic regions of Ethiopia.
- 118 • Socio-demographic and clinical data were collected from recruited and consented
119 participants using questionnaire-based interviews.
- 120 • Blood samples were collected from participants between December 2020 and February
121 2021, the period between the two peaks of COVID-19 in Ethiopia.
- 122 • The collected sera were tested using an in-house ELISA for SARS-CoV-2 specific
123 antibodies on sera collected from HWs.
- 124 • Approximately 40% of the 1,997 healthcare workers who participated in this study had
125 antibodies against SARS-CoV-2 infection.
- 126 • No association between seropositivity and study participants' age, gender, occupation,
127 and comorbid medical conditions.

128 What do these findings mean?

- 129 • The observed high seroprevalence among healthcare workers regardless of their
130 occupation suggests the cryptic but massive SARS-CoV-2 transmission in urban hospital
131 settings.
- 132 • Most of the seropositive healthcare workers in the present study were asymptomatic, and
133 might pose a threat to the most vulnerable populations such as individuals with comorbid
134 medical conditions.
- 135 • Given the low level of vaccine roll-out (1%), this study highlights the need to strengthen
136 health workers' adherence to personal protection practices such as wearing face masks to

137 protect individuals at high risk of developing severe COVID-19 illness after SARS-CoV-
138 2 infection.

139 140 **1. Introduction**

141 Despite the total population of 1.3 billion, Africa stands out as the region least affected by the Severe
142 Acute Respiratory Syndrome-Corona-Virus-2 (SARS-CoV-2) and coronavirus disease-2019 (COVID-19)
143 pandemic. As of May 23rd, 2021¹, the total reported case number had risen to 4,748,581 with 128,213
144 reported deaths, representing 2.9% and 3.7% of global cases and deaths, respectively. The low number of
145 reported cases and deaths in Africa have been attributed to low testing capacity, younger population,
146 warmer environments, and the successful implementation of control measures². Also, pre-existing cross-
147 protective immunity due to the four other less pathogenic human coronaviruses (HCoVs)³, Bacillus
148 Calmette-Guérin (BCG)-vaccination⁴, or recent history of malaria infection may offer some protection
149 against infection or severe forms of COVID-19⁵.

150 To date, Ethiopia has performed over 2,682,758 real-time reverse transcription-polymerase chain
151 reactions (RT-PCR) tests for SARS-CoV-2 and reported 268,901 cases and 4,068 deaths since the first
152 case was detected in the country on March 13, 2020. Almost all testing have been done to confirm SARS-
153 CoV-2 infection in suspected cases and contacts, as well as both outbound and inbound travelers. Given
154 the difficulty and cost of RT-PCR-based testing in resource-limited countries like Ethiopia, mildly
155 affected or asymptomatic individuals are not usually screened, and so the number of confirmed SARS-
156 CoV-2 infections is likely vastly underestimated⁶. In this context, seroprevalence surveys are of the
157 utmost importance to assess the proportion of the population that have already developed antibodies
158 against the virus.

159 Evidence has shown that healthcare workers (HWs) are at higher risk of acquiring the infection than the
160 general population. This is because their work is likely to require close contact with SARS-CoV-2
161 infected patients at COVID-19 treatment centers, in emergency rooms and wards, and via virus-
162 contaminated surfaces. If infected, they can pose a significant risk to vulnerable patients and co-workers⁸.
163 Thus, assessing the seroprevalence of SARS-CoV-2 antibodies among HWs in Ethiopia will help us
164 understand COVID-19 spread among health care facilities and to measure the success of public health
165 interventions. It will also provide an opportunity to compare the disease trajectory in a low-income
166 setting. A report from London, UK suggested that the rate of asymptomatic SARS-CoV-2 infection
167 among HWs reflects general community transmission rather than in-hospital exposure⁹. Therefore, a
168 serosurvey of SARS-CoV-2 was conducted amongst HWs in five public hospitals to estimate the
169 Seroprevalence of SARS-CoV-2 in urban Ethiopia. We then discuss the implications of our SARS-CoV-2
170 serosurveillance for frontline healthcare workers and the Ethiopian population at large.

171 **2. Methods**

172 **Participant recruitment**

173 This cross-sectional study represents a joint effort between the Armauer Hansen Research Institute
174 (AHRI) and five public hospitals in Ethiopia, namely Gondar, Asella, Hawassa, Hiwot Fana (located in
175 Harar), and All Africa Leprosy and Tuberculosis Rehabilitation and Training Center (ALERT Center)
176 hospitals. These participating hospitals were selected because they are among the 11 hospitals located in
177 different regional states of the country, and are linked to the AHRI's Clinical Research Network. Similar
178 serosurvey studies for the remaining hospitals linked to the AHRI's CRN are ongoing. Ethical approvals
179 were obtained from all institutions and written informed consent was obtained from each participant. All
180 hospital staff (n=7,898) from all five public hospitals were invited to take part in the study through office
181 memos and notice board announcements. However, only 24.4% of them were volunteered to provide 5
182 milliliter blood and demographic and clinical data. Demographic and clinical data were obtained using a
183 structured questionnaire based on WHO SARS-CoV-2 seroprevalence studies (World Health
184 Organization (WHO, the "Solidarity II" global serologic study for COVID-19)¹⁰.

185 **Sample collection, storage, transportation, and inactivation**

186 Five milliliters of blood were collected in a serum collection tube from each participant using standard
187 procedures. Sera were separated by centrifugation and stored at -20°C until transferred to AHRI
188 laboratory in Addis Ababa, Ethiopia, in a cold box. Inactivation of infectious viruses in serum was
189 performed by incubation with Triton X-100 to a final concentration of 1% for 1 hour¹¹ and stored at -
190 80°C until testing for the presence of SARS-CoV-2 specific antibodies. Serum samples were collected
191 from December 2020 to February 2021 between the two peaks of SARS-CoV-2 transmissions in Ethiopia
192 (<https://covid19.who.int/region/afro/country/et>).

193 **Enzyme-Linked Immunosorbent Assay (ELISA)**

194 The SARS-CoV-2 spike protein Receptor Binding Domain (RBD)-containing plasmid construct was
195 cloned as described previously¹². The RBD protein was then expressed in EXPi293 cells using previous
196 methods¹². Then, the purified RBD protein was used as a target antigen to develop our in-house anti-
197 SARS-CoV-2 RBD IgG detection ELISA. We used $1\mu\text{g/ml}$ of RBD to coat the microwell plate overnight
198 at 4°C . The assay is an indirect ELISA, measuring serum IgG against RBD of spike protein SARS-CoV-
199 2, using a horseradish peroxidase-linked anti-human IgG secondary antibody (Invitrogen, USA).
200 Supplementary method shows the detail procedure description of our assay (Supplementary Method). We
201 validated this ELISA using pre-COVID-19 pandemic sera/plasma samples ($n=365$), WHO “Solidarity II”
202 plasma panels ($n=5$), and sera/plasma samples ($n=401$) collected from a cohort of mild (majority) and
203 severe COVID-19 patients confirmed by RT-PCR. Detection of RBD-specific IgG antibodies in each
204 serum sample was done in duplicate microwells of ELISA plate. In each ELISA run, we included
205 positive and negative controls. Positive and negative control samples were selected by matching their
206 optical density (OD) readouts with WHO solidarity II plasma panels developed by the United Kingdom’s
207 National Institute for Biological Standards and Control (NIBSC;20/130, single donor, high-titer
208 antibody), 20/120 (single donor, relatively high-titer antibody), 20/122 (pool of five donor samples, mid-
209 titer antibody), 20/124 (low S1, high-nucleocapsid protein antibody titer), 20/126 (low-titer antibody,
210 20/128, negative control).

211 **Optimization and validation of in-house anti-RBD IgG detection ELISA**

212 We noted background signal from the negative controls at a 1:100 dilution of serum. Of 365 pre-COVID-
213 19 sera, 30 showed optical density (OD) values comparable to the low reactive convalescent WHO
214 plasma samples. We further optimized the assay by increasing the concentration of skimmed milk powder
215 and Tween-20 in blocking buffer from 3% to 4% and from 0.05% to 0.1%, respectively, and serum
216 dilution at 1:200. Except for fourteen pre-COVID-19 samples, the background was significantly reduced
217 when re-tested false positives, which in turn increased the specificity of our assay without compromising
218 its sensitivity in WHO positive control samples and serum samples obtained from a cohort of COVID-19
219 patients.

220 Using our optimized ELISA protocol, we calculated the cut-off value for positivity using pre-COVID-19
221 pandemic sera collected between 2012 and 2018, and plasma/serum samples collected from cohort of
222 confirmed COVID-19 patients at different time points of post-onset of symptoms (dps). The definition of
223 seropositivity represents a greater than 2.5 ratio of sample OD value to the mean OD value of the negative
224 controls (Fig 1). This definition provides specificity of 97.7% (95% CI, 95.6- 99.0) (Table S1). Our anti-
225 RBD IgG detection ELISA showed a sensitivity of 67.3% (95% CI 62.3.0-72.3), 75. 8% (95% CI 61.0-
226 86.0), 100% (95% CI 84.0-100) in serum/plasma samples collected at 1-7 dps, 8-14 dps and ≥ 15 dps,
227 respectively from mostly (>90%) mild and moderate COVID-19 cases confirmed by RT-PCR (Table S2).
228 This performance is in line with those published for both in-house and commercial assays approved for
229 emergency use by the FDA¹³.

230 and <https://covid-19-diagnostics.jrc.ec.europa.eu/>].

231 **In-house IgG ELISA comparison with commercial anti-SARS-CoV-2 serologic assays**

232 We further compared the relative sensitivity and specificity of our assay with commercially available
233 SARS-CoV-2 antibody tests: one lateral flow assay (LFA) (Hangzhou Realy Tech Co., LTD) and one
234 ELISA (Beijing Wantai Biological Pharmacy Enterprise Co., Ltd) following the manufacturers’
235 instructions using randomly selected small panels (pre-pandemic; $n=40$, and COVID-19; $n=40$) from the
236 large size panels that were used for our assay validation. We found a comparable sensitivity and

237 specificity to those commercially available COVID-19 antibody detection kits depending on the sample
238 collection date (Table S3 and Table S4). We then utilized this assay to estimate the seroprevalence of
239 anti-SARS-CoV-2 spike protein RBD IgG antibodies among HWS.

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242 **Data analysis**

243 The data were double entered into REDCap Database Version 8.11. Following data verification and
244 validation, analysis was done using STATA Version 15.0. Descriptive statistics and the actual number of
245 cases were used to describe frequency outputs for categorical variables. Figures were generated using
246 GraphPad Prism Version 9.1. Cross-tabulations were performed to explore and display relationships
247 between two categorical variables. The overall seroprevalence with 95% CI for anti-SARS-CoV-2 RBD
248 IgG was calculated by dividing the number of seropositive cases divided by the total number of study
249 participants from all five hospitals. Apparent SARS-CoV-2 prevalence was stratified by the geographic
250 location of hospitals, age, sex, self-reported previous history exposure, symptoms, comorbidities, and
251 further by occupation/department where HWs are working. Bivariate logistic regression was done
252 between seroprevalence with independent variables such as sex, age, occupation, comorbidity, history of
253 close contact, and symptoms. Multivariate regression analysis was applied for those variables with a p-
254 value <0.25 in bivariate analysis to evaluate the strength of association between independent variables
255 and seropositivity, the outcome variable. A p-value of <0.05 was considered statistically significant.

256 **3. Results**

257 **Characteristics of study participants**

258 The total number of HWs in the five participating hospitals was 7,898. Of these, we enrolled 1,997
259 (24.4%) HWs [from Gondar (n=453); Assela (n=484); ALERT (n=308); Hawassa (n=414); and
260 Haromaya (n=338)] in the study. Almost half (50.7 %) of the study participants were female. The
261 majority (85.7%) of the participants belonged to the age groups 25-34 and 35-49 years with the mean age
262 34 years (range 20-60 years). Of the participants, 559 (28.3%) were nurses, 368 (18.5%) were doctors,
263 223 (11.3%) were medical laboratory personnel, 345 (17.5%) were administrative staff, and the remaining
264 24.2% (n=478) did not specify their occupation. In the cohort, 1490 (74.0%) participants were
265 asymptomatic, 507 (26.0%) had reported one or more symptoms compatible with COVID-19 during the
266 preceding 4 weeks, and 557 (29.0%) had a history of close contact with suspected or confirmed COVID
267 cases. Overall, 133 (6.7%) of the participants reported having a history of comorbid medical conditions,
268 with obesity (1.9%), asthma (1.7%), hypertension (1.5%), and Human Immunodeficiency Virus (HIV)
269 (1.3%) being the most common. These and other demographic and clinical characteristics of study
270 participants are summarized in Table 1.

271 **Seroprevalence of SARS-CoV-2 antibodies by geographic locations of participating hospitals, age, sex, healthcare cadre and clinical factors**

273 The overall seroprevalence of SARS-CoV-2 antibodies among HWs from all five studied public hospitals
274 was 833 of 1,997 (39.6.7% [95% CI 40. 37.4-41.7]). The estimated seroprevalence with 95% CI for each
275 of the participating hospitals was shown in Fig 2 and Table 1, ranging from 24.5% to 48.0%. We did not
276 find association between seropositivity and participants' demographic and clinical features given in Table
277 1, except history of contact with confirmed or confirmed COVID-19 contact. Non-significant
278 seroprevalence difference was observed between females (42.4% [95% CI 39.4-45.55]) and males (39.6%
279 [95% CI 36.6-42.7]). However, higher [48.5% [95% CI 44.3-52.6)] seroprevalence found in HWs who
280 had close contact with COVID-19 case than in HWs who reported no contact (38.1% [95% CI 35.6-
281 40.7]). Seroprevalence was similar amongst different cadres of the health system, and amongst different
282 age groups of HWs (Table 1). Slightly higher (44.4% [95% CI 36.1-52.9]) seropositivity against SARS-
283 CoV-2 was found in comorbid HWs than in HWs who had no comorbidity (40.9% [95% CI 38.7-43.3]).

284 **Factors associated with anti-SARS-CoV-2 RBD IgG antibodies positivity**

285 HWs working at Gondar (AOR=2.8, 95% CI 1.99- 3.87; p=0.001), ALERT (AOR=2.7, 95% CI 1.6-3.1;
286 p=0.001), Hawassa (Adjusted OR=2.1, 95% CI 1.5-3.2; p=0.001) and Assela (AOR=2.1, 95% CI 1.6-

287 3.1; $p=0.001$) were at higher odds of seropositivity compared to HWs working at Hiwot Fana Specialized
288 University Hospital (Table 2).

289 Association with seropositivity was further tested for correlation with gender, age, contact, morbidity,
290 previous COVID symptoms, and occupation using both bivariate and multivariate analyses. However,
291 only previous history of contact with confirmed or suspected COVID-19 case [COR 1.5 95% (1.3-1.9;
292 $p=0.0001$) and AOR 1.4 (1.1-1.8; $p=0.015$)] and having symptoms compatible with COVID-19 in
293 preceding 4 weeks [COR 1.3 (1.0-1.5)] were found to be associated with seropositivity (Table 2).

294 4. Discussion

295 Interpretation of SARS-CoV-2 serologic test results, except pan Igs Wanti ELISA, has been reported to
296 be very challenging in Africa due to pre-existing cross-reactive antibodies induced by other pathogens
297 such as non-SARS-CoV-2 human coronaviruses and malaria parasites¹⁴. Given the rapid decline of anti-
298 SARS-CoV-2 nucleocapsid antibodies as compared to the anti-RBD IgG antibody¹³, we developed and
299 optimized an in-house ELISA that detects anti-SARS-CoV-2 IgG antibodies. Our assay, unlike other
300 commercially available serologic assays, is affordable and has been validated with a large number of
301 Ethiopian sera from both pre-COVID-19 and COVID-19 patients from the same regions. Its sensitivity on
302 convalescent sera from COVID-19 patients confirmed by RT-PCR was found to be as sensitive as the
303 Wantai pan Ig ELISA (100%), and superior to Realy Tech's IgM/IgG LFA (90%). Also, our in-house
304 assay displayed 97.7% specificity in randomly selected pre-COVID-19 Ethiopian origin sera, which is
305 superior to Realy Tech (92.5 %).

306 Seroprevalence studies provide information about the extent of individuals who had exposure to the
307 virus and help to understand the future course of the pandemic and are key to providing target prevention
308 and control measures in reducing transmission and severe outcomes¹⁵. In this study, the overall
309 seroprevalence of SARS-CoV-2 spike RBD IgG antibodies among HWs was 39.6%, ranging from 24.5%
310 in the Hiwot Fana Specialized Hospital, Harar to 48.0% in ALERT Hospital located in the capital city,
311 Addis Ababa. This is not a surprise given Addis Ababa is the epicenter of SARS-CoV-2 transmission in
312 Ethiopia, and SARS-CoV-2 has been introduced 4 months later in Harar. As a result of which, it is
313 expected that a higher proportion of HWs in hospitals located in Addis Ababa, including ALERT are
314 frequently exposed to COVID-19 cases than that HWs working in hospitals located in Harar, where fewer
315 number cases and deaths had been reported.

316 According to our finding, at least 4 in 10 urban Ethiopian HWs had already been exposed to SARS-CoV-
317 2 by February 2021 in Ethiopia. This result contrasts with a serosurvey in asymptomatic individuals from
318 the general population conducted in March 2020 in Addis Ababa (8.8%)¹⁶ and from the household
319 serosurveys in Jimma (2%) and Addis Ababa (5%) that were conducted during the first wave of the
320 pandemic-i.e., four months after the first COVID-19 case in Ethiopia¹⁷. Although this stark
321 seroprevalence difference between our study and these two previous studies might be explained by
322 differences in the types of assays employed, lack of personal protective equipment (PPE) and/or
323 respective cohorts, the most plausible explanation is that the sera for the present serosurveillance study
324 had been collected after the first wave of the pandemic in Ethiopia, between March 2020 and February
325 2021.

326 While the high seroprevalence rates observed among the different geographically located hospitals are
327 approaching those of high-incidence countries like Brazil¹⁸, they are in agreement with several other
328 SARS-CoV-2 seroprevalence studies from sub-Saharan Africa that, like Ethiopia, have reported much
329 lower rates of RT-PCR confirmed cases and deaths. For example, higher anti-SARS-CoV-2 antibody
330 seroprevalence has been reported in South Sudan (30% to 60.6%)¹⁹, Democratic Republic of Congo (8%-
331 36%)²⁰ and Nigeria (25%-45%)²¹ depending on the population sampled and the serological test use. Taken
332 together these studies indicate that SARS-CoV-2 has spread widely in sub-Saharan Africa²². However,
333 the majority (74.0%) of our study participants never had any symptoms compatible with COVID-19,
334 suggesting the occurrence of significant burden of asymptomatic infections and its transmissions in the
335 country, which is now, being reflected in the trend of increasing PCR positivity since January 2021. The
336 higher proportion of younger HWs (mean age of 34 years), and the fewer participants with comorbidities
337 (6.7%) may have contributed to the observed high burden of asymptomatic infection among the studied

338 HWs. Malaria, BCG-vaccination, warmer environment, and high prevalence of pre-existing cross-
339 reactivate against HCoV-229E may have also contributed³.
340 A report from Spain showed a higher (38.3%) seroprevalence of SARS-CoV-2 among HWs²³. This is
341 comparable with the present report from Ethiopia, where there were a relatively fewer severe cases and
342 deaths. Similarly, higher seroprevalence among frontline HWs has been reported in other sub-Saharan
343 African countries such as in Malawi²⁴. These findings and ours highlight the importance of asymptomatic
344 infections in the African countries. Interestingly, we found no seroprevalence differences between
345 healthcare occupations including administrative staff. The lack of a dramatic difference between front line
346 HWs and administrators may be a reflection of the frontline administrative staff are also at high risk and
347 are poorly protected, or may suggest the level of virus transmission in the general population at large as
348 previously observed in UK⁹. Nevertheless, further well-designed investigations are required to implement
349 occupation-specific public health strategies in healthcare facilities.
350 In the present study, a history of previous close contact with a suspected or confirmed COVID-19 case
351 was found to be strongly associated with seropositivity; however, this finding contradicts the observed
352 similar seropositivity between front line HWs and administrators. Similar odds of seropositivity between
353 males and females were also found although several studies elsewhere reported higher odds of
354 seropositivity in males²⁵. A similar contradictory finding was reported in the Spanish general
355 population²³.
356 Our study has several strengths. These include its use of an in-house developed assay which we optimized
357 to significantly minimize false positive responses by validating it with both pre-pandemic and pandemic
358 samples of Ethiopian origin. Most importantly, the study involved a relatively large sample size from five
359 hospitals located in different geographical locations, providing much needed information about the
360 COVID-19 pandemic in sub-Saharan Africa.
361 Despite these strengths, our study has several limitations. First, all hospital staff were invited to take part
362 in the study, and hence selection bias might have affected our results. Second, recall bias might have
363 affected the responses to the history of symptoms compatible with COVID-19, and close contact with a
364 confirmed COVID-19 case, and thereby contributed to the absence of a strong correlation between
365 seropositivity and these covariates, albeit having close contact with COVID-19 case. Third, our findings
366 are slightly affected by the accuracy of our assay, with a sensitivity of 100% in convalescent samples
367 from RT-PCR confirmed COVID-19 cases and specificity of 97.7% in pre-COVID-19 samples.
368 However, even this slight overestimation of the apparent seroprevalence associated with the assay
369 specificity is likely to be matched by the proportion of study participants who might be infected and yet
370 not produce humoral immune responses at the time of blood sample collection.
371 In conclusion, we developed an in-house IgG ELISA that meets the WHO requirements to be utilized for
372 SARS-CoV-2 serosurveillance studies. This seroprevalence study revealed a remarkably high
373 seroprevalence (40-48%) of SARS-CoV-2 among HWs in the five public hospitals; with slight
374 differences amongst hospitals, except Hiwot Fana Specialized Hospital in which relatively lowest (24.5%)
375 seroprevalence was found. We found no seroprevalence rate differences between front line HWs and
376 administrative staff, indicating the observed high seroprevalence of SARS-CoV-2 might also be a
377 reflection of the community transmission. Taken together these findings suggest extensive cryptic
378 circulation (asymptomatic transmission) of SARS-CoV-2 in Ethiopia. Whether the detected anti-SARS-
379 CoV-2 antibodies can persist adequately and confer protection from subsequent infections to those HWs
380 who had or had not received COVID-19 vaccine will require further immunological investigation.

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385 **Contributors**

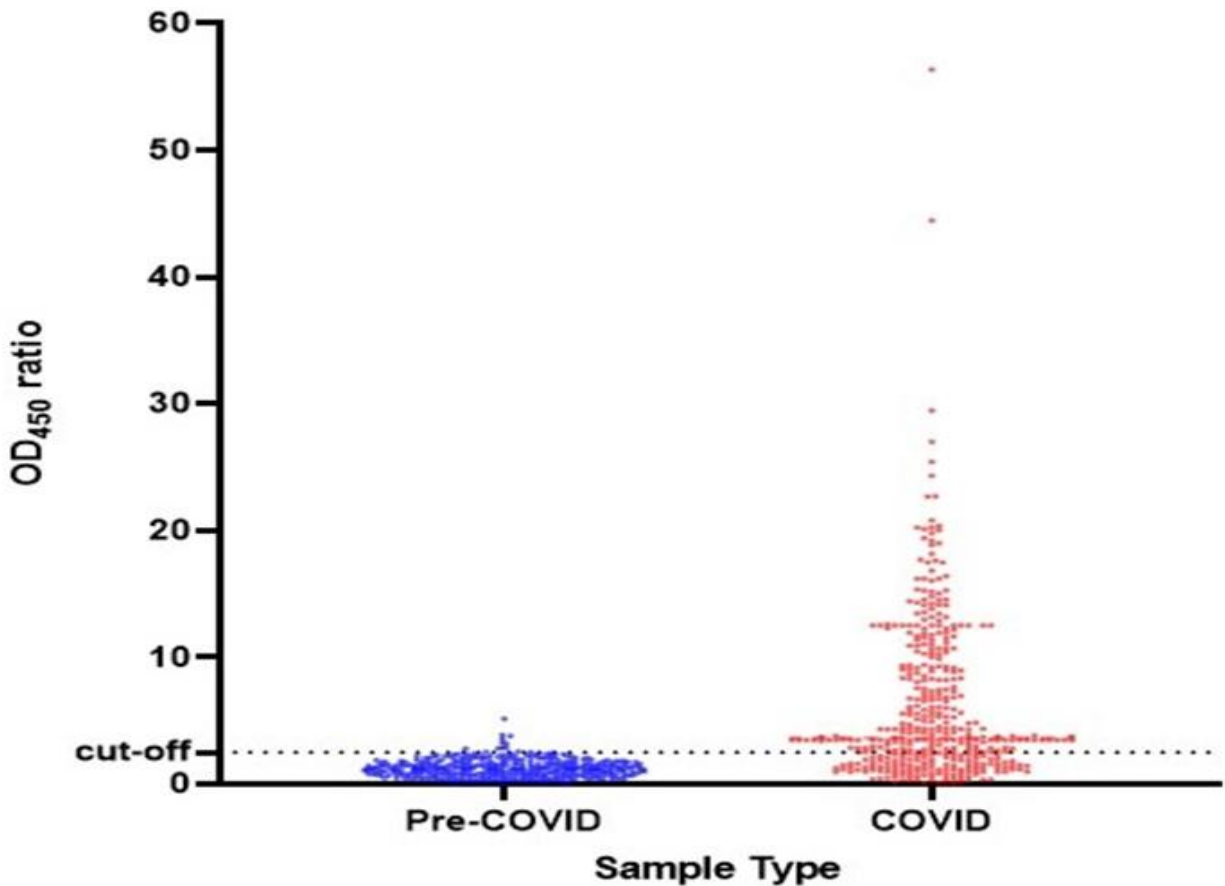
386 TG, AM, AMi MA, AA and FG conceived the study. TG, BS, AM, and AA wrote the first draft of the
387 protocol, and revised by all authors. TG, AM, MA, AA and FGT developed the serologic assay, TAB

388 purified the antigen. TG, BS, AM, AMi and AA coordinated the sample collection. BG, AS, YM, YM,
389 ZT, DK, AG, DA and ET collected the blood samples and data. BT, MO, GJ, AA, AH and DT conducted
390 the sample testing, TH cleaned the data, TH and TG analysed the data, TG accessed and verified the data
391 underlying the study and take responsibility for the data. TG and AA drafted the manuscript. All authors
392 edited the final manuscript. All authors contributed to study design, revising the protocol and the
393 manuscript for important intellectual content. TG, AA, FGT were responsible for the decision to submit
394 for publication, and approved the final submitted version of the manuscript.

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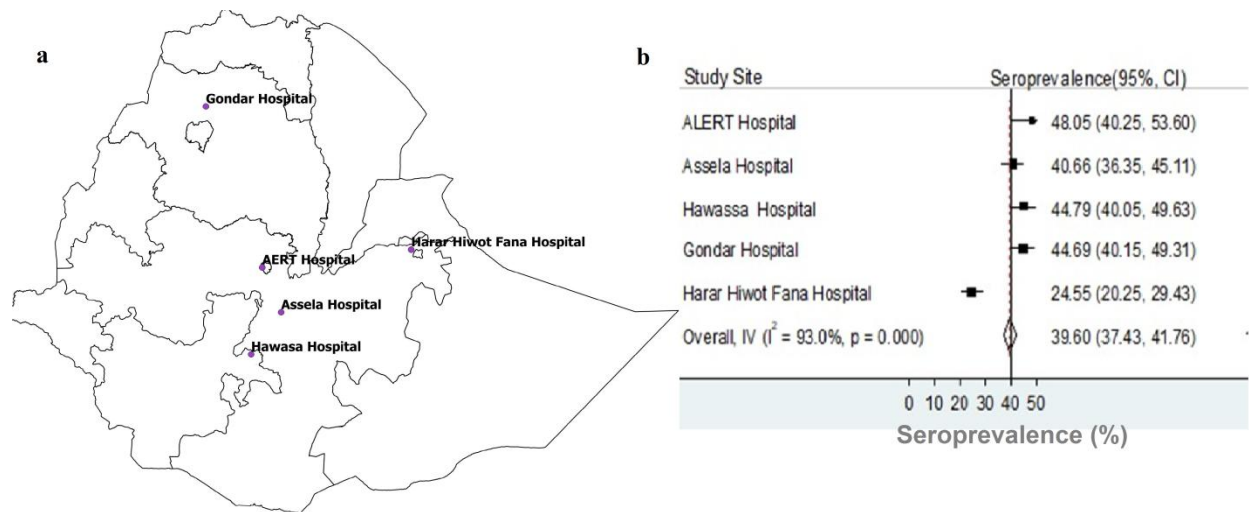
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Figure 1. Validation of the SARS-CoV-2 RBD specific IgG antibody detection ELISA. The value on the y-axis represents the ratio of OD₄₅₀ nm to the average mean OD₄₅₀ nm of the negative controls. The broken black line represents the cut-off value (2.5). We tested a total of 405 serum/plasmas samples collected from cohort of mild and moderate (93.6%) and severe Ethiopian COVID-19 patients confirmed by RT-PCR (represented in red color). Of these 325 samples were collected during 0-7 days post-onset of symptoms (dps); 52 were collected during 8-14 dps, and 17 were collected within 15-28 dps (Table S2). We also tested serum/plasma samples collected from 365 Ethiopian individuals before the global COVID-19 pandemic, represented in blue color (Table S1).

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Figure 2. A map of Ethiopia showing the location of the five hospitals from which a total of 1997 healthcare workers enrolled between December 2020 and February 2021 (a), and the corresponding seroprevalence of severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) (b). The y-axis of Fig 2b represents the study hospitals. The x-axis of Fig 2b shows crude seroprevalence rates (%) with 95% confidence intervals estimated by dividing the number of participants tested seropositive for immunoglobulin G (IgG) antibodies elicited against the receptor binding domain (RBD) of the spike protein of SARS-CoV-2 to the total number of participants who provided sera and were tested.

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504 **Table 1.** Participant characteristics with interview data (n=1,997) and seroprevalence, Ethiopia, 2021.

Characteristics	N	%	Crude seroprevalence (%), 95% CI
Gender			
Male	980	49.3	39.6 (36.6-42.7)
Female		51.7	42.37(39.4-45.5)
Age (in years)			
19-24	169	8.8	44.0(36.7-51.7)
25-34	918	46.0	41.6(38.4-44.8)
35-49	792	39.7	39.7(36.4-43.0)
≥50	115	5.8	41.7(33.00-51.0)
Morbidity			
Yes	133	6.7	44.4(36.1-52.9)
No	1864	93.3	40.9(38.7-43.3)
COVID-19			
Symptomatic	507	26.0	39.9(37.4-42.4)
Asymptomatic	1490	74.0	45.2(40.9-49.5)
Contact			
Yes	557	29.0	48.5(44.3-52.6)
No	1362	71.0	38.1(35.6-40.7)
Hospitals			
ALRET	308	15.4	48.1(40.3-53.6)
Asella	484	24.2	40.7(36.4-45.1)
Gondar	453	22.6	44.7(40.12-49.3)
Hawassa	414	20.7	44.8(40.05-49.)
Hiwot Fana	338	17.0	24.6(20.3-29.4)
Occupation			
Doctor	368	18.7	40.5(35.6-45.6)
Nurse	559	28.3	41.9(37.7-45.8)
Lab Tech	223	11.3	46.2(39.7-52.8)
Administrator	345	17.4	39.1(34.1-44.4)
Others	478	24.2	43.5(38.7-48.4)

506 N is the total number of participants included in each category.

507 % indicates proportion of participants that fell within each category.

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519 **Table 2.** Odds ratios (OR) of seropositivity by general characteristics of study participants, Ethiopia, 2021.

Variable	Adjusted OR (95% CI)	p-value
Hospital		
Hiwot Fana	1	
ALERT	2.7(1.6-3.1)	0.0001
Assela	2.2 (1.6-3.1)	0.0001
Gondar	2.8(2.0- 3.9)	0.0001
Hawassa	2.2 (1.5-3.2)	0.0001
Sex		
Male	1	
Female	1.1(0.92-1.4)	0.222
Age (in years)		
19-24	1.27(0.9-1.9)	0.226
25-34	1.1(0.9-1.5)	0.254
35-49	1	
>=50	1.2(0.8-1.8)	0.479
Contact		
No	1	
Yes	1.4 (1.1-1.8)	0.015
COVID-19		
Asymptomatic	1	
Symptomatic	1; (0.8-1.2)	0.785
Occupation		
Doctor	1	
Nurse	1.0 (0.8-1.4)	0.809
Lab Technician	1.3 (0.9-1.9)	0.131
Administration	1.01 (0.8-1.5)	0.766
Others	1.3(0.9-1.7)	0.150

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544 **Table S1.** The Specificity of RBD IgG ELISA among pre-COVID-19 pandemic sera (n=365)

Negative Samples	Total number tested	Negative	Specificity (%)	95% CI
Pre-COVID-19 pandemic sera	364	350	97.7	95.6-99.0
NIBS UK adults pooled plasma	1	1		
Total	365	351	97.7	95.6-99.0

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546 **Table S2.** Sensitivity of RBD IgG ELISA among cohort COVID-19 patients (n=405) confirmed by RT-PCR.

Sample collection	Total N Tested	Positive	Sensitivity (%)	95% CI
1-7dps	336	226	67.3	62.0-72.3
8-14dps	52	39	75.0	61.0-86.0
15-21dps	13	13	100.0	75.29-100.0
NIBSC UK COVID-19 convalescent plasma	4	4	100.0	-
Total Convalescent samples s	17	17	100.0	84.2-100

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549 **Table S3. Percentage of positive specimens (n=40) from patients who tested positive for SARS-CoV-2 by**
 550 **DAA_n RT-PCR**

Assay	Sample collection	IgM		IgG		Pan igs	
		%	95% CI	%	95% CI	%	95%
In-house ELISA ^a							
	1-7 dps ^b	na	na	73.3	44.9- 92.2	na	na
	8-14 dps			86.7	59.5- 98.3		
	14-21 dps	na	na	100.0	69.1-100.0	na	na
Wantai ELISA							
	1-7 dps	na	na	na	na	66.7	38.4-88.2
	8-14 dps					86.7	59.5- 98.3
	14-21 dps	na	na	na	na	100.0	69.2-100.0
REALY LFA ^c							
	1-7 dps	80.0	51.9- 95.7	80.0	51.9- 95.7	na	na
	8-14 dps	86.7	59.5- 98.3	80.0	51.9- 95.7		
	15-28 dps	90.0	55.5-99.0.	90.0	55.5-99.8	na	na

551 ^adps=days post symptoms; ^a ELISA=Enzyme-Linked Immunosorbent Assay; ^b na=not applicable; ^{bc} LFA=Lateral
 552 Flow Assay

553 **Table S4. Specificity of RBD IgG ELISA in pre-covid plasma/serum specimens (n=40) collected before**
 554 **COVID-19 pandemic**

Assay	Specificity (%)	95% CI
In-house ELISA	97.5	86.8- 99.9
Wantai ELISA	100.0	89.72-100.0
Realty LFA	92.5	79.6-98.4

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556 **Supplementary Method**

557 Microtiter plates were coated with purified recombinant proteins of receptor binding domain of the spike protein of
 558 SARS-CoV-2 (100 µl/well) diluted in phosphate buffered saline, PBS (pH 7.4) at concentration 1 µg/mL and
 559 incubated overnight at 4 °C. Next day, excess unbound antigen was removed and thereafter microtiter plates were
 560 blocked with 300 µl/well of 4% skimmed milk with PBS plus 0.1% Tween-20 (w/v) for 2 hours at room
 561 temperature (RT). Following blocking step, microtiter plates washed 3X with PBS plus 0.05% Tween-20 (PBST)
 562 and thereafter 100 µl/ml of serum sample diluted at 1:200 in blocking buffer was added and incubated at RT for 60
 563 min. Following incubation and 5X washes with PBST, 100 µl/well of horseradish peroxidase-conjugated anti-human
 564 immunoglobulin G (IgG) (Invitrogen, USA) diluted at 1:5000 in blocking buffer was added and incubated for 1 h
 565 at RT. After 5X washes, the reaction was visualized by adding 75 µl/well 3,3',5,5'-Tetramethylbenzidine (TMB)
 566 liquid substrate (BioRad, USA) and incubating at RT in the dark for 10-15 min. The reaction was then stopped with
 567 75 µl/well TMB stop solution. The optical density (OD) was measured at 450 nm filter on ELISA LT-45000
 568 microplate reader. Each sample was tested in duplicate.