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7 **Validity of Remission Criteria in Rheumatoid Arthritis Compared to**
8 **Ultrasound-Defined Remission**

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17
18 **Abstract**

19 **Objectives:** Remission is the ultimate purpose of treatment in Rheumatoid Arthritis (RA).
20 However, even when the most stringent composite scores were used, structural damages can
21 occur. For that purpose ultrasonography (US) appears to be the best way to assess real
22 remission. Our principal aim was to investigate the validity of different RA remission scores
23 using the US as the reference. **Methods:** An analytic diagnostic study of 30 RA patients in
24 remission according to DAS28 and a control group with active RA was conducted between
25 January and October of 2018. Among them, we identified patients in remission according to
26 the SDAI, the CDAI, and the ACR/EULAR remission score. The validity of each activity score
27 for remission was calculated using as a gold standard the absence of PD signal. **Results:** All
28 patients were in remission according to DAS28 with an average score of 2.03 [1.13-2.6]. US
29 examination showed PD signals in 57% of all patients. Twenty-six patients were in remission
30 according to CDAI, a Doppler signal was detected in 58% of those cases. SDAI remission was
31 accomplished in 19 patients with PD activity in 53% of cases. For the 14 patients in remission
32 according to ACR/EULAR criteria, synovial hyper-vascularization was found in 64%.
33 Considering true remission as the absence of PD signals, the most sensitive and specific score

34 was DAS28 (93% and 68% respectively). **Conclusion:** Considering remission in RA as the
35 absence of vascularized synovitis, the DAS28 was the most sensitive and the most specific
36 score.

37 **Keywords:** Rheumatoid Arthritis, remission, ultrasonography, validity

38

39 **Advances in knowledge**

- 40 • Recent strategies have increased the potential to achieve low disease activity and
41 remission thanks to “Treat-To-Target” and “Treat to Budget” strategies.
- 42 • Various composite outcome measures exist but structural damage occurs even when the
43 most stringent ones are used.

44 **Application to patient care**

- 45 • Considering true remission the absence of PD signal, it is important to assess the validity
46 of each disease activity score in obtaining remission.
- 47 • DAS28 score seems the most valid score to assess remission when the absence of PD
48 signal is taken as reference.

49

50 **Introduction**

51 Achieving remission is the ultimate goal of treatment in Rheumatoid Arthritis (RA). In the past
52 few decades, new therapeutic modalities and recent strategies have increased the potential to
53 achieve low disease activity and remission by halting the inflammatory process. Indeed, a
54 specific strategy of treating early RA adapted to each patient and including close follow-ups
55 aiming for less disease activity and lower cost, called “Treat-To-Target” and “Treat-to-
56 Budget” are now being implemented.¹ However, the concept of remission is complex as there
57 is no consensual definition.² Taking into account clinical and biological criteria, several
58 composite scores are available in daily practice. However, even when the most stringent ones
59 are used, structural damage occurs. This is explained by the fact that some patients in clinical
60 remission do not have an absence of disease activity, but rather exhibit a low level of
61 inflammation that is not always easily detectable by clinical examination or reflected in
62 laboratory results. The DAS 28 (Disease Activity Score 28 joints) is the most calculated score
63 used in daily practice. This composite score includes objective, subjective and biological data.
64 Other scores exist but are less used: the SDAI (Simple Disease Activity Index), the CDAI
65 (Clinical Disease Activity Index), and the Boolean ACR/EULAR remission criteria. All these
66 composite indices differ when considering remission according to the cut-off used. Indeed, in

67 the same group of RA patients, the number of those in remission according to DAS28 was
68 higher compared to other remission scores such as SDAI.^{3,4} Thus, DAS28 may not be
69 considered as the most suitable to diagnose remission.

70

71 Thanks to the recent technical advances, musculoskeletal ultrasound of the joints is now playing
72 an increasingly important role in the quantification of synovitis and provides us with important
73 information for the diagnosis, the monitoring, and the management of RA.⁵ The integration of
74 this tool as an extension to clinical and biological data may be interesting to assess remission.⁶
75 In that regard, we conducted the present study to investigate the validity of different RA disease
76 activity scores to assess remission using the US as the reference.

77

78 **Methods**

79 *Study design*

80 This is an analytic, diagnostic monocentric study carried out in our Rheumatology department
81 (Mongi Slim Hospital- Tunis- Tunisia) for ten months.

82

83 *Patients and controls*

84 Thirty patients with established RA, meeting the criteria of ACR 1987 and in remission
85 according to the EULAR definition ($DAS28 \leq 2.6$) were included.⁷ Inclusion criteria were RA
86 evolving for more than six months, age at the time of diagnosis greater than 16 years, and the
87 state of remission ($DAS28 \leq 2.6$) diagnosed for at least 3 months. Exclusion criteria were:
88 Patients who had a therapeutic adjustment, a flare disease, or a joint steroid injection three
89 months prior to the study date. A control group (active-RA group) was considered to compare
90 the validity of the various criteria for remission. It encompasses 37 patients with active
91 established RA ($DAS28 > 3.2$).

92

93 Written consent was obtained from the participants. This study was conducted in accordance
94 with the ethical principles of the declaration of Helsinki and approved by the Human
95 Research Ethics Committee at Mongi Slim Hospital.

96

97 *Data collected*

98 At inclusion, clinical data were recorded including the age of onset of the disease, the duration
99 of the morning stiffness, the number of night awakenings, visual analogic scale (VAS) of pain,
100 patient and physician global assessment (GPA and PhGA). The tender and swollen joints count

101 (TJC and SJC) over 66 joints were assessed by the same physician who performed the
102 investigation.

103
104 Laboratory markers including C-reactive protein (CRP) and Erythrocyte sedimentation rate
105 (ESR) levels were performed the same day. Immunologic assessment of Rheumatoid Factor
106 (RF), the anti-citrullinated peptide antibodies (anti-CCP), and the anti-nuclear antibodies
107 (ANA) was collected from the recorded data. The functional impact of the disease was assessed
108 using the Health Assessment Questionnaire (HAQ).

109
110 ***Remission definitions***
111 DAS28 is a composite RA activity score including TJC, SJC, GPA as well as the levels of ESR
112 and CRP [7]. EULAR cut-off for disease activity was used, the study group had a DAS28 ≤ 2.6 ,
113 and the control group a DAS28 > 3.2 .

114
115 Other established scores considered in our study were: the SDAI (Simple Disease Activity
116 Index), the CDAI (Clinical Disease Activity Index), and the Boolean ACR/EULAR remission
117 criteria.⁸⁻¹⁰

118
119 The CDAI includes only clinical parameters: TJC, SJC, GPA, and PhGA. The SDAI includes
120 all the latter plus the CRP levels. Remission cut-offs considered were: CDAI ≤ 2.8 or SDAI \leq
121 3.3 or the Boolean ACR/EULAR remission criteria including TJC ≤ 1 , SJC ≤ 1 , CRP $\leq 10\text{mg/l}$,
122 and GPA ≤ 10 .¹¹

123
124 ***Ultrasound assessment***
125 The US of the hands and the wrists was performed for each patient with a delay not exceeding
126 30 minutes after the clinical examination and the biological sampling. The US examination was
127 performed by a rheumatologist expert in the musculoskeletal US with at least 10 years of
128 experience. The operator was blinded to the study group and all other study findings. The
129 equipment used was Esaote MyLab 60 with a 6-18 MHz linear array probe. When using Power
130 Doppler (PD), the pulse repetition frequency was adjusted at 500-750 Hz and the receiver gain
131 was adjusted to eliminate the artifact.

132
133 Overall, 22 joints were scanned per patient: wrists, metacarpophalangeal joints (MCP), and
134 proximal interphalangeal joints (PIP) bilaterally. Wrists and MCP were studied on the dorsal

135 side and PIP on their palmar side. The semi-quantitative scale of Szkudlarek was used in Grey-
136 scale (GS) imaging evaluation for synovial hypertrophy (SH) and in Power Doppler (PD).¹¹

137

138 The sum of grades obtained for each joint and each US mode was established such it was
139 ranging from 0 to 66 for GS and DP.

140

141 We choose to not include ultrasound detection of erosions in this study as erosions primarily
142 reflect cumulative lesions related to previous history rather than ongoing inflammation.

143

144 ***Validity of various remission scores***

145 In order to study the validity of the various criteria, we considered the absence of Doppler signal
146 on the US as the gold standard to define US remission. Sensitivity, specificity, positive (VPP),
147 and negative predictive values (VPN) were calculated and compared between the different
148 remission scores using US remission as reference. Considering US remission, a new threshold
149 for quantitative scores (DAS28, CDAI, SDAI) was assessed using ROC curves. Then, the
150 validity of each score was calculated using new values.

151

152 ***Concordance between remission scores***

153 We assessed the concordance between DAS28 and the other RA activity assessment scores
154 (CDAI, SDAI, ACR/EULAR remission) based on the kappa coefficient using US assessment
155 as reference.

156

157 ***Statistical analyses***

158 The data was transcribed using Excel and analyzed using the SPSS version 12.0 for Windows.
159 We calculated simple frequencies and relative frequencies (percentages) for qualitative
160 variables. We calculated averages, standard deviations and determined the extent (extreme
161 values - minimum and maximum) for quantitative variables. Comparisons of two independent
162 series averages were made using the Mann and Whitney non-parametric test. The independent
163 series percentage comparisons were made by Pearson's Chi-2 test. Comparisons of two
164 percentages on paired series were made by the Mac Nemar test. The links between the two
165 quantitative variables were studied by spearman's rank correlation coefficient. The differences
166 were found to be significant for a coefficient of meaning $p < 0.05$. The agreement between two
167 qualitative variables was measured by Cohen's Kappa coefficient. The thresholds for
168 interpreting the kappa coefficient according to Landis and Koch were as follow k of 0–0.20

169 were considered poor, 0.20–0.40 fair, 0.40–0.60 moderate, 0.60–0.80 good, and 0.80–1
170 excellent.

171

172 **Results**

173 Overall, 67 patients with RA were included in the study. The study group (30 patients) were
174 in remission according to DAS28 for a mean period of 16 months [3-72 months]. Half
175 patients (50%) were under corticosteroids with an average dosage of 3.75 mg/day [5-10
176 mg/day]. Conventional disease-modifying anti-rheumatic drugs were prescribed alone in 80%
177 and biotherapies in 20% of patients. The study group and the control group were comparable
178 for demographic data. In the study group, rheumatoid factor and Anti-cyclic citrullinated
179 peptide antibodies positivity were found in 60% and 66% of patients respectively. Antinuclear
180 antibodies were available in 23 patients and positive in 10% of them with a mean titer of
181 1/160 [1/80-1/320].

182

183 In the active RA group (37 patients), Rheumatoid factor and Anti-cyclic citrullinated
184 peptide antibodies positivity were positive in 79,8% and 73,6% of patients respectively.
185 Antinuclear antibodies were positive in 6% of cases.

186

187 The comparison of different parameters between both groups was summarized in Table 1.

188

189 ***Ultrasonographic findings***

190 *Study group*

191 Among the 660 joints studied, SH was detected in 14% of joints and PD signals in 7% of them.
192 The most affected joints were the wrists. When considering the patient scale, synovitis was
193 present in 80% of patients and PD in 57% of them (Figure 1).

194

195 *Active RA group:*

196 Among the 814 joints studied in US, SH was found in 44%, and PD in 36% of joints with a
197 predilection for the wrists. Considering the patient scale, all of them had SH with at least one
198 vascularized joint.

199

200 When comparing the two groups, a significant difference was noted both in GS and PD mode.
201 A grade 0 was more frequent in the study group and a grade 3 was more frequent in the active

202 RA group in both modes. The Comparison of US grades between the two groups is represented
203 in Table 2.

204

205 *Validity of the different disease activity scores*

206 We assessed the validity of different remission criteria by considering as gold standard the
207 absence of any Doppler signals on ultrasound to define “real remission”.

208

209 The absence of Doppler signals was found in 13 patients in remission and in one patient in the
210 active-RA group. The DAS28 was the most sensitive (93%) and the most specific (68%). The
211 validity of the different disease activity scores was resumed in Table 3. When considering the
212 state of “real remission”, the thresholds that corresponded to the best couple sensitivity-
213 specificity were 3.2 for DAS28, 8 for CDAI and 6.5 for SDAI. The validity of each score using
214 new limits according to ROC curves was represented in Table 4.

215

216 *Correlation between the different disease activity scores and Ultrasonographic findings in* 217 *the study group*

218 In GS, synovitis was detected in 80%, 81%, 79%, and 78% using DAS28, CDAI, SDAI, and
219 ACR/EULAR remission criteria respectively. In PD, vascularized synovitis was present in
220 57%, 58%, 53%, and 64% considering remission in DAS28, CDAI, SDAI, and ACR/EULAR
221 remission criteria respectively. There was no significant correlation between any remission
222 criteria used and US findings either in GS or in PD (Table 5).

223

224 *Concordance of the different RA activity scores for the assessment of remission*

225 Among patients in remission according to DAS28, twenty-six patients (87%) were in remission
226 according to CDAI, nineteen patients (63%) were in remission according to SDAI and fourteen
227 (47%) were in remission according to ACR/EULAR criteria. The agreement between DAS28
228 remission and CDAI was excellent ($k=0.88$), it was good between DAS28 and SDAI ($k=0.66$)
229 and medium between DAS28 and ACR/EULAR remission criteria ($k=0.47$).

230

231 **Discussion**

232 To our knowledge, this is the first study that investigated the validity of four different clinical
233 remission scores in RA patients using the US remission as a gold standard.

234

235 In our study, DAS28 was the most sensitive score (93%), followed by SDAI (78%), CDAI
236 (64%) and ACR/EULAR remission (36%). The DAS28 was also the most specific (68%),
237 followed by CDAI (65%), then SDAI (63%) and finally ACR/EULAR criteria (59%). In
238 previous studies, only one study assessed the validity of SDAI in RA patients compared to the
239 US. In that study, Balsa et al showed that, when the cut-off was set to 5, the sensitivity of SDAI
240 was 65.5% and the specificity was 55%¹². The specificity of this score was 74.4% when the
241 cut-off was set to 3.3.¹² The authors concluded that an SDAI \leq 3.3 seemed to be a more specific
242 criterion of true remission aimed by the different therapeutic strategies than DAS28. Indeed,
243 when the DAS28 score was used, a patient could be diagnosed in remission even when he has
244 up to five swollen joints. However, the definition is more stringent with SDAI allowing the
245 existence of either two painful or swollen joints, or one painful joint and one swollen joint.¹³

246

247 However, based on our results, we concluded that the DAS28 was more specific than the SDAI
248 and therefore even better adopted to assess true remission. Interestingly, CDAI; which includes
249 only clinical parameters; was found to be more specific than SDAI to assess remission. We did
250 not find any previous study encompassing these findings.

251

252 Among the multitude of definitions and scores proposed to assess remission, we based our study
253 on four of them, which can be easily used in daily practice. DAS28 \leq 2.6 was the inclusion
254 criteria since it is the most used in daily practice. The concordance between the different RA
255 remission scores compared with the DAS28 was excellent (0.88) for CDAI, good (0.66) for
256 SDAI and medium (0.47) for ACR/EULAR remission. In the study of Hmamouchi et al, the
257 agreement of the different scores calculated for patients in remission in the French cohort
258 ESPOIR was medium between DAS28 and SDAI remission (0.54) and poor between DAS28
259 and ACR/EULAR remission (0.44).¹⁴ In another study by Chandrashekara et al including 100
260 RA patients in remission, no agreement between DAS28 and ACR/EULAR was found ($r = -$
261 0.16).¹⁵ An overall good agreement between the different scores was noted in our study, the
262 differences with other studies could be related to patient selection.

263

264 There is no consensus on the number of joints and sites to assess by the US to evaluate RA
265 activity. Many scores have been proposed in order to evaluate remission by the US. Taking
266 into account the conclusions of the various authors, we evaluated in our work 22 joints: the
267 wrists, the MCP, and the PIP of both hands. In our study, 80 % of patients had synovitis in GS
268 and 57 % had vascularized ones.

269

270 A certain heterogeneity was found in published studies, which could be attributed to a different
271 methodology and remission criteria. However, all the studies agreed on the persistence of US
272 activity in patients in remission despite the used score. According to a systematic review by
273 Ben Abdelghani et al including 12 studies of RA in remission, synovitis was detected in 50.7%
274 to 95% in GS, and in 14.7 % to 57.4% in PD.¹⁶ The detection of this synovitis was particularly
275 important as it is this infra clinical activity that was responsible for a low-noise evolution during
276 remission.¹⁷ Not to mention that the US scoring system used was Szkudlarek instead of the
277 EULAR as our study was conducted before the validation of the EULAR score.

278

279 As SH can be seen in many other diseases and even in healthy subjects, we considered the real
280 remission state in our work, the lack of any Doppler signal.

281

282 In our study, no correlation between the DAS28 score and US score was found neither in B
283 mode nor in PD mode. Only one study assessed the link between DAS28 and US scores.
284 According to Balsa et al, a positive correlation was observed between DAS28 and PD ($r = 0.17$;
285 $p = 0.043$).¹² The differences between this study and ours are probably due to the used
286 methodologies. First, the number of patients in remission included was different (30 vs 74).
287 Also, inclusion criteria and cut-off values assessing remission were different. Finally, these
288 differences could mainly be due to the number of joints evaluated in US.

289

290 The strength of our study was that we assessed remission scores that are easily used in current
291 practice. Moreover, a control group was included to calculate the validity of the different RA
292 activity scores.

293

294 However, our work suffered from some limitations. The Duration of remission set to 3 months
295 at inclusion may be considered insufficient since we have demonstrated the persistence of US
296 synovitis in these patients. In literature, the minimum duration of remission varied from 3 to 18
297 months.¹⁸ When the remission was prolonged to 6 and 12 months, PD was observed in 47,1%
298 and 12,4% of patients respectively.¹⁹ Another limitation was that the performance of the US
299 examination depended on the technical characteristics of the device but also on the operator. In
300 our study, a single operator performed all the US scanning. It would be interesting to perform
301 the US assessments on 2 separate occasions and having a second sonologist perform the US
302 assessments for a better validity of our results. However, our operator was an expert in the US,

303 and good intra and inter-observer reproducibility of the PD had been confirmed by several
304 studies making its use by a single operator reliable. Another limitation concerns corticosteroids'
305 use in the remission group. However, the majority of them were treated with low dosages (<7.5
306 mg/day) and were in remission for 16 months. Finally, we had chosen to consider the absence
307 of PD in the US as a state of "real remission".

308

309 Our choice was based on the literature results, which showed the evidence that subclinical
310 disease (persistent PD signal in clinical remission) is predictive of acute flares in RA and even
311 of future structural damage. It is a very stringent criterion. However, this choice could be
312 discussed since, on one hand, there is no clear consensus of the definition of ultrasound
313 remission until now.

314

315 On the other hand, the implementation of the US in everyday practice as well as in follow-up
316 has been reconsidered these last years. Indeed, some authors do not currently support the routine
317 use of US assessment as part of an enhanced Treat-to-Target strategy recommended by
318 EULAR.²⁰⁻²² Based on the results of the ARCTIC trial US tight control strategy did not show
319 additional effect compared to the conventional tight control strategy.²² The results of the TaSER
320 study are in line with these findings. Indeed, aiming a total power Doppler joint count ≤ 1 as
321 part of the US Treat-to-target strategy led to more intensive treatment with no better clinical or
322 imaging outcomes when compared to the DAS28-driven strategy (DAS28 <3.2).²³ Indeed,
323 raising the level of requirement in treating RA using the US as a reference did not augur well
324 with the Treat to Budget concept. More studies are needed to assess the role of the US in
325 evaluating disease activity and tailoring treatment in patients with RA.²²

326

327 **Conclusion**

328 DAS28 followed by CDAI seemed to be the most specific scores to assess remission in RA
329 since they are the closest to the concept of absence of inflammatory activity compared to other
330 composite scores when considering true remission as the absence of PD signals in the US. We
331 believe that these findings could be the basis for further research with a larger sample to draw
332 effective conclusions.

333

334

335 **Authors' Contribution**

336 SM, YM, KO, SK, SC and LZ conceptualized and designed the study. SM and YM collected
337 the data. KA, AF, MS, LS, KO, SK, SC, KS, LZ and AL analyzed and interpreted the data.
338 KS performed the statistical analysis. YM performed the literature review and drafted the
339 manuscript. SM edited the manuscript. All authors approved the final version of the
340 manuscript.

341

342 **Conflict of interest**

343 All authors do not declare any conflicts of interest in this work.

344

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347

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417

418 **Table 1:** Demographic and clinical characteristics of patients and control group

Variable	Patients (n=30)	Control (n=37)	p
Age	48 ± 8,98 [33-67]	52.4 ± 10,3 [30-70]	0.38
Sex ratio (M/F)	0.20	0.15	0.5
Disease duration,years	8 ± 4,9 [1-23]	10 [0,5-38]	0.12
Night awakenings(mean)	1 [0-1]	1.23 [0-4]	0.001
Morning stiffness(minutes)	2 [0-30]	37.7 [0-240]	0.001
Tender joint count (0-28)	0 [0-1]	7 [0-27]	0.001
Swollen joint count (0-28)	0.3 [0-9]	6 [0-17]	0.001
VAS pain (0-100)	6 [0-10]	57 [10-100]	0.012
GPA (0-100)	3 [0-5]	5 [20-100]	0.025
ESR (mm/H)	16.7 ± 10,4 [2-40]	46 ± 25 [15-110]	0.001
CRP (mg/l)	3.1 ± 3,7 [0-19]	16.8 ± 15 [5-59]	0.005
DAS-28	2.03 [1,1-2,6]	5.2 [3,11-8,6]	0.001
HAQ	0.12 [0-1]	1.7 [0-2,62]	0.001

419 VAS : Visual Analogue Scale, GPA : Patient Global Assessment, CRP : C-Reactive Protein, RF ;Reumatoid Factor, ACPA :
 420 Anti-Citrullinated Peptide Antibodies, DAS-28 : Disease Activity Score, HAQ :Health Assessment Questionnaire

421

422 **Table 2:** Comparison of ultrasound grades between the study and the control group

	Study group (n=660)	Control group (n=814)	p
GS			
Grade 0 n,(%)	571 (86)	460 (56)	0.001
Grade 1 n,(%)	52 (8)	146 (18)	0.001

	Grade 2 n,(%)	31 (5)	154 (19)	0.001
	Grade 3 n,(%)	6 (1)	56 (7)	0.001
PD	Grade 0 n,(%)	616 (93)	518 (64)	0.001
	Grade 1 n,(%)	17 (3)	89 (11)	0.001
	Grade 2 n,(%)	17 (3)	114 (14)	0.001
	Grade 3 n,(%)	10 (0.1)	93 (11)	0.001

423 GS: Grey Scale, PD: Power Doppler

424

425 **Table 3:** Reliability of the different scores of remission in the study group

Remission score	Sensitivity	Specificity	PPV	NPV
DAS28 (%)	93	68	43	97
CDAI (%)	92	65	37	97
SDAI (%)	90	63	33	97
ACR/EULAR (%)	83	59	17	97

426 DAS28: Disease activity score 28 joints, CDAI: clinical disease activity index, SDAI: simplified disease activity
427 index, ACR/EULAR: American college of rheumatology/ European league against rheumatism, PPV: positive
428 predictive value, NPV: negative predictive value.

429

430 **Table 4:** Validity of the different scores of remission after ROC curve thresholds in the study group

Remission score (new thresholds)	Sensitivity	Specificity	p
DAS28=3,2 (%)	100	65,4	0,0001
CDAI=8 (%)	100	79,1	0,0001
SDAI=6,5 (%)	85,7	79,2	0,0001

431 DAS28: Disease activity score 28 joints, CDAI: clinical disease activity index, SDAI: simplified disease activity
432 index

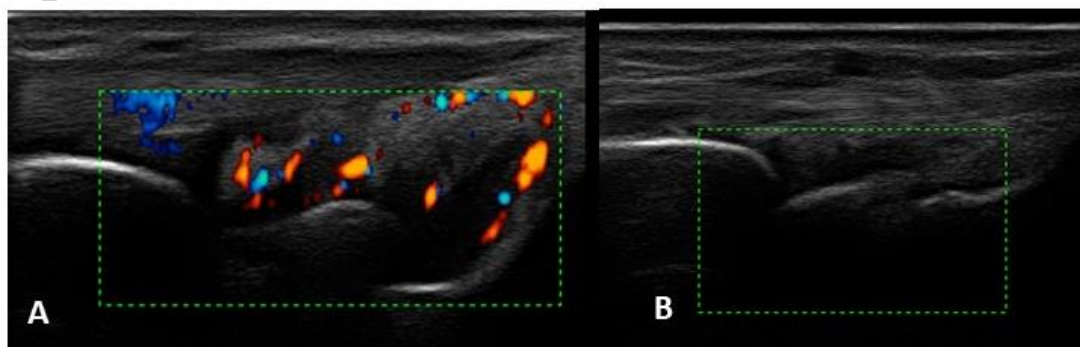
433

434 **Table 5:** Correlation between remission scores and ultrasonography scores in the study group

	Grey scale			Power Doppler		
	Mean score	correlation r	p	Mean score	Correlation r	P
DAS28	4.4	-0.209	0.268	2.7	-0.258	0.169
CDAI	4.5	0.104	0.319	2.6	0.251	0.217
SDAI	3.9	0.990	0.687	2.3	-0.036	0.884
ACR/EULAR	5.8	-	-	3.9	-	-

435 DAS28: Disease activity score 28 joints, CDAI: clinical disease activity index, SDAI: simplified disease,
436 ACR/EULAR: American college of rheumatology/ European league against rheumatism. activity index

437



438

439 **Figure 1:** Ultrasound in clinical remission: power Doppler mode (A): persistent PD, (B): PD

440 signal abolished.