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7 **Is There an Association between Variceal Bleed and *Helicobacter pylori***
8 **Infection in Cirrhotic Patients with Portal Hypertension?**

9 *A prospective cohort study*

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17
18 **Abstract**

19 **Objectives:** The objective of this study was to find the association of H. pylori in patients with
20 variceal bleeding as well as rebleeding in cases of cirrhosis with portal hypertension. **Methods:**
21 This was a prospective cohort of patients with bleeding esophageal varices. The primary
22 outcome was correlation between prevalence of H. pylori and the incidence of bleeding/
23 rebleeding from varices and with encephalopathy. The secondary outcome were correlation
24 between the site of bleeding with H. pylori infection and the association of pepsinogen I & II
25 and the ratio of pepsinogen I/II with bleeding. **Results:** A total of 190 patients were assessed
26 for eligibility, out of which 159 patients were included in this study. 124 out of 159 patients
27 (77.9%) had alcohol-related liver disease. 8 out of 159 patients had HBV-related liver disease.
28 7 patients with varices had neither bled at presentation nor did bleed in the follow-up period.
29 A total of 78 out of 159 (49.05%) patients were H.pylori-infected. Patients with esophageal
30 varices [Adjusted Risk (AR)=0.7] and H.pylori infection (AR=0.7) had a lower risk of variceal
31 rebleeding. Among the patients negative for H.pylori, pepsinogen I was higher in patients with
32 rebleeding (30.7 vs 14.4; p<0.001). Among H.pylori positive patients, the ratio of pepsinogen

33 I/II was higher in patients with rebleeding (2.9 vs 1.3; p=0.023). **Conclusion:** *H.pylori* infection
34 was associated with a lower risk of rebleeding in cases of cirrhosis with portal hypertension.
35 Irrespective of the status of *H.pylori* infection, rebleeding was associated with more gastric
36 acid output demonstrated by the level of pepsinogen.

37 **Keywords:** Pepsinogen; hepatic encephalopathy; gastric acid output, *Helicobacter pylori*
38

39 **Advances in Knowledge**

- 40 • In this study, it was found that the rebleeding episodes in patients with esophageal
41 varices were significantly less in patients with *H. pylori* infection.
- 42 • When the risk of rebleeding was compared between the esophageal and gastric varices,
43 the latter had higher risk of rebleeding.
- 44 • It was also documented that patients with Child-Pugh's class B and C had a higher risk
45 of rebleeding.
- 46 • The pepsinogen levels also directly correlated with the risk of rebleeding, higher levels
47 were associated with increased risk of rebleeding.

49 **Application to Patient Care**

- 50 • The above findings suggest that the routine eradication of *H. pylori* infection might not
51 be necessary in patients with bleeding esophageal varices as the presence of *H. pylori*
52 infection was found to be associated with lower risk of rebleeding.
- 53 • Higher pepsinogen levels are associated with increased acid output which in turn is
54 associated with increased the risk of rebleeding, thus it can be postulated that acid
55 reducing measures may be necessary to reduce the risk of rebleeding.

57 **Introduction**

58 *Helicobacter pylori* infection is an universal bacterial infection of mankind and remains a
59 common cause of morbidity and mortality.¹⁻³ It is now known that *H. pylori* infection is not
60 only associated with chronic gastritis and peptic ulcer disease but also mucosa-associated
61 lymphoid tissue (MALToma) lymphoma and gastric adenocarcinoma.² Bleeding from varices
62 is one of the most common cause of upper gastrointestinal (UGI) bleeding and at times fatal in
63 patients of liver cirrhosis.⁴ Esophageal varices by making the esophagogastric junction
64 patulous increase acid reflux into the oesophagus and may lead to high risks for bleeding.⁵
65 Prevalence of *H. pylori* infection in patients with Gastro-Esophageal Reflux Disease (GERD)

66 is significantly lesser than those who do not have GERD indicating possible low acid output
67 with *H. pylori*. Sakamoto et al. in their prospective analysis, proposed the possible negative
68 correlation between variceal bleeding and rebleeding with *H. pylori* infection due to a possible
69 reduction in the gastric acid output.⁴ However, the proposed negative association has not been
70 clearly established.

71

72 Serum pepsinogen is an indirect marker of gastric acid output. It helps in quantifying the gastric
73 acid output and to establish the gastric mucosal atrophy caused by *H. pylori*. In *H. pylori*
74 negative patients, pepsinogen (PG) I correlates with the maximal acid output whereas, in *H.*
75 *pylori* positive cases, a ratio of PG I and II correlates better with the maximal gastric acid
76 output.⁵ On analysis of the results, the authors reported a direct correlation between PG I and
77 PG I/II ratio with acid secretion irrespective of *H. pylori* status, whereas there was no direct
78 correlation between PG II and acid secretion.⁵ *H. pylori*, being an ammonia-producing
79 organism may increase the incidence of hepatic encephalopathy in patients with cirrhosis.^{5,6}
80 Few reports have suggested that *H. pylori* rises the incidence of hepatic encephalopathy and
81 recommended for eradication therapy. Considering the possible negative association of *H.*
82 *pylori* infection with the incidence of rebleeding, *H. pylori* eradication to prevent the risk of
83 hepatic encephalopathy needs to be considered with utmost caution. This study was carried out
84 to determine the role of *Helicobacter pylori* infection in reducing the variceal bleeding and
85 rebleeding in patients with cirrhosis and portal hypertension.

86

87 **Methods**

88 ***Study Design***

89 This was a prospective cohort study conducted in the Department of Surgery with the approval
90 of Institute Ethics Committee (IEC) of JIPMER, Pondicherry, India. After obtaining a written
91 informed consent, the participants were included in the study and were given the freedom to
92 withdraw at any point of time during the study. All the provisions of the declaration of Helsinki
93 were followed in the study. The study was registered at www.ctri.gov.in. (CTRI ref no-
94 CTRI/2018/04/013357).

95

96 ***Inclusion and exclusion criteria***

97 All patients with a diagnosis of bleeding esophageal varices were included in this study during
98 the period from August 2016 to July 2018. Non-variceal causes of upper gastrointestinal
99 bleeding, patients on drugs that reduce acid output, like proton pump inhibitors (PPI) in the

100 past 6 weeks, patients who have received *H. pylori* eradication in the past three months were
101 excluded from the study.

102

103 ***Study Procedure***

104 Cirrhosis and portal hypertension was diagnosed using ultrasonography and UGIE
105 respectively. Liver cirrhosis was diagnosed by the presence of altered liver echoes on
106 ultrasonography.⁷ Presence of esophageal or gastric varices was taken as an indicator of portal
107 hypertension. During the endoscopy, biopsies were taken from the stomach at four different
108 sites for *H. pylori* diagnosis i.e., two from the antrum and two from the corpus.⁸ One biopsy
109 each from corpus and antrum were used for rapid urease testing (RUT) for *H. pylori*, which
110 was done with a solution prepared and standardized in our institute. One more biopsy from
111 corpus and antrum was sent for histopathological examination of *H. pylori* using Giemsa stain.
112 If fresh or altered blood was found in the stomach, biopsies were taken during subsequent
113 follow-up endoscopy as the presence of blood in the stomach is known to affect the results of
114 *H. pylori* testing.^{9,10} Patients were considered positive for *H. pylori* infection if any one of the
115 urease or histopathology was positive or both were positive. Patients were considered negative
116 for *H. pylori* only if both the tests were negative. Endoscopic variceal band ligation (EVL) or
117 sclerotherapy were done as per the standard protocol. About 5 ml of blood sample was collected
118 from the patients in fasting state by venepuncture and the serum was separated by
119 centrifugation. The samples were labelled and stored at -20°C. The samples were analyzed for
120 serum pepsinogen I and II¹¹ levels using separate ELISA kits for each of the subtypes (Ray
121 Biotech, Inc. USA). Bleeding/rebleeding was defined as either hematemesis/melena or both.⁴
122 Hepatic encephalopathy was diagnosed based on presence of clinical symptoms including loss
123 of orientation to time and place, agitation, the presence of asterixis, stupor or coma and these
124 features improving with standard treatment for hepatic encephalopathy and the absence of any
125 other cause of neurological impairment from history and also during follow-up.¹² Portal
126 hypertensive gastropathy (PHG) was defined as changes in the mucosa of the stomach in
127 patients with portal hypertension.¹³ The changes were friability of the gastric mucosa and the
128 presence of ecstatic vessels which had a mosaic pattern in endoscopy. Patients were followed
129 up for bleeding/rebleeding, incidence and development of hepatic encephalopathy at three
130 monthly intervals up to 9 months or till the end of study or patient's death whichever was
131 earlier.

132

133 ***Outcome measures***

134 The primary outcome measures was the prevalence of *H. pylori* infection in patients with
135 esophageal/gastric/gastroesophageal varices due to liver cirrhosis with portal hypertension and
136 the correlation of *H. pylori* infection with the incidence of bleeding/ rebleeding from varices
137 and with encephalopathy. The secondary outcome measures included correlation of the site of
138 bleeding with *H. pylori* infection and to determine the association of PG I and PG II and PG I
139 /PG II ratio with variceal bleeding and *H. pylori* infection.

140

141 **Data collection and Statistical Analysis**

142 Data regarding various demographic and clinicopathologic variables were recorded at the time
143 of enrolment which included age, gender, ultrasonography of abdomen, findings at upper GI
144 endoscopy, grade of varices, site of varices and Child-Pugh's score at presentation. Considering
145 the prevalence of *H. pylori* infection in variceal bleeding patients ranging from 34.9% to
146 52%,^{4,13,14} with the alpha error of 5% and power of the study to be 80%, the sample size was
147 calculated to be 150. Considering a 10% drop out rate, the sample size of 165 was taken for the
148 study. The sample size was calculated using OPENEPI® software for Windows 8.

149

150 Statistical analysis was done using SPSS 19.0 software for windows. Numerical data such as
151 serum pepsinogen levels and pepsinogen ratios were expressed in the form of Median
152 [Interquartile range (IQR)] and categorical data such as gender, aetiology of cirrhosis, location
153 of bleeding varices, portal gastropathy and Child-Pugh's class were expressed in the form of
154 proportions. Numerical data were analysed using the Mann-Whitney U test or Kruskal-Wallis
155 test and categorical data were analysed using Chi-square test or Fisher's exact test. A *p* value
156 of less than 0.05 was considered statistically significant.

157

158 **Results**

159 This study was conducted from August 2016 to July 2018. A total of 190 patients were assessed
160 for eligibility and among those patients, 159 patients as per inclusion criteria were included in
161 the study. In the present study, total number of males and females was 127 and 32 respectively.
162 124 out of 159 patients (77.9%) had alcohol-related liver disease. 8 out of 159 patients had
163 HBV-related liver disease and only one patient had HCV-related liver disease. The aetiology
164 of cirrhosis in 26 patients (16.35%) was not known (idiopathic). Thirty patients were lost to
165 follow up. Eleven patients died during the course of the study. The median follow-up period
166 was 9 months. 7 patients with varices had neither bled at presentation nor did bleed in the
167 follow-up period. Therefore, the analysis was carried out with 152 patients. On univariate

168 analysis, male gender (RR=1.86; p=0.045), gastric varices (RR=1.61; p=0.045), *H. pylori*
169 negative status (RR=1.75; p=0.009) and Child-Pugh's class B and C (p=0.001) were
170 significantly associated with rebleeding episodes (Table 1). The prevalence of *H. pylori*
171 infection among cirrhotic with portal hypertension was found to be 49.05%. In the present
172 study, patients with *H. pylori* infection were found to have lesser rebleeding episodes than those
173 patients without it [Adjusted Risk (AR) =0.682; p=0.046; CI =0.47 - 0.99](Table 2). 7 out of
174 11 patients who had encephalopathy were *H. pylori* infected [Relative risk (RR) =1.83],
175 however, this difference was not statistically significant (p=0.363). The site of variceal bleeding
176 in patients were independent of *H. pylori* status (Table 3). Among patients negative for *H.*
177 *pylori* infection, rebleeding was significantly associated with higher levels of PG I (30.7 vs
178 14.4; p<0.001). Among patients positive for *H. pylori* infection, rebleeding was associated with
179 a higher ratio of PG I/II (2.9 vs. 1.3; p=0.023)[Figure 1].

180

181 Patients belonging to Child-Pugh's class B (Adjusted Risk=6.4; p=0.001; CI=2.13-19.43) and
182 Child-Pugh's class C [Adjusted Risk=4.8; p=0.008; CI=1.52-15.25] had higher rebleeding
183 episodes compared to patients in Child-Pugh's class A.

184

185 **Discussion**

186 The presence of *H. pylori* came into interest because a few researchers have postulated that
187 mucosal inflammation resulting from *H. pylori* infection might weaken the mucosa and may
188 trigger bleeding from varices whereas a few others have suggested that by causing atrophic
189 gastritis and reducing acid output from the stomach, it might reduce variceal bleeding
190 episodes.^{4,5}

191

192 The prevalence of *H. pylori* infection among cirrhotic with portal hypertension in the present
193 study was 49.05%. Many studies have been published on the prevalence of *H. pylori* infection
194 and its association with cirrhosis and portal hypertension with conflicting results.¹⁵⁻¹⁸ Whereas
195 a few studies have found that *H. pylori* infection is more prevalent among cirrhotic when
196 compared to the general population, some have found no difference. Previous studies have
197 shown the prevalence of *H. pylori* in similar population to be 67%¹⁵ to 83.3%.¹⁶ In the present
198 study, it was found that the prevalence of *H. pylori* infection among cirrhotic was lower than
199 the general population. It has been shown in previous studies that viral cirrhotic have a higher
200 prevalence of *H. pylori* than other aetiologies of cirrhosis.^{19,20} However, in the present study,
201 the majority of the patients were alcoholic cirrhotic (78%) with associated PHG (52.9%) and

202 hence probably lower prevalence of *H. pylori*, as studies have shown that PHG does not favor
203 *H. pylori* colonization.¹³ In the present study patients belonging to Child-Pugh's class B and
204 Child-Pugh's class C had higher rebleeding episodes in comparison to Child-Pugh's class A,
205 as expected and this can act as a confounding factor when correlating with *H. pylori*. However,
206 multivariate analysis showed *H. pylori* infection as a protective factors.

207

208 In the present study, *H. pylori* infected patients were found to have lesser rebleeding episodes
209 than those patients without it [AR=0.682; p=0.046; CI =0.47 - 0.99]. This supports the theory
210 that *H. pylori* infection when present leads to gastritis and reduces acid output which is
211 protective against variceal bleeding. Sakamoto et al. in their cross-sectional study found that
212 patients with *H. pylori* infection had a significantly lower risk of bleeding from varices as
213 compared to patients without *H. pylori* infection [OR=0.475; p=0.019].⁴ It is thought that gastric
214 acid is responsible for variceal rupture and bleeding. In the present study, among *H. pylori*
215 positive individuals in whom PG I/II ratio correlates closely with gastric acid output, it was
216 found that the median of PG I/II ratio was significantly higher among patients with rebleeding
217 than those without it (2.9 vs 1.3; p=0.023). Among *H. pylori* negative individuals in whom PG
218 I correlates closely with gastric acid output, we found that the median of PG I was significantly
219 higher among patients with rebleeding than those without it (30.7 vs 14.4; p<0.001). This
220 shows that both in the presence or absence of *H. pylori* infection, patients with rebleeding
221 episodes had higher pepsinogen levels probably reflecting more gastric acid output compared
222 to those who had no rebleeding.

223

224 The site of variceal bleeding were almost similar among patients positive or negative for *H.*
225 *pylori* infection. Though gastric acid reflux into the lower oesophagus is a physiological
226 phenomenon, in case of cirrhotic, it is postulated that this refluxed gastric acid causes breaks
227 in the epithelium covering the esophageal varices causing variceal rupture and triggering a bout
228 of hematemesis. *H. pylori* by causing chronic atrophic gastritis and reducing gastric acid
229 output, in turn, reduces gastric acid reflux into the lower oesophagus and hence is protective
230 against variceal rupture. This is supported by few studies which have shown that long-term PPI
231 therapy in patients with liver cirrhosis can reduce variceal bleeding rates probably due to the
232 same mechanism.²¹

233

234 We found no difference in the risk of development of hepatic encephalopathy among those
235 with or without *H. pylori* infection. The prevalence of hepatic encephalopathy in the present

236 study was relatively low. The total number of patients who had hepatic encephalopathy in the
237 present study was 11(6.9%). On comparing, hepatic encephalopathy against *H. pylori* infection,
238 7 patients who were *H. pylori* positive had hepatic encephalopathy against 4 patients who were
239 *H. pylori* negative. However, this difference was not statistically significant. Calvet et al.
240 conducted a prospective study and found that *H. pylori* was not independently associated with
241 the development of hepatic encephalopathy.¹² Results of the present study were in concordance
242 with this study probably because patients with only overt hepatic encephalopathy were
243 included in this study, similar to the present study. A systematic review of 20 studies was
244 conducted in China to determine the association between the risk of hepatic encephalopathy
245 with that of *H. pylori* infection.²² They found that the prevalence of *H. pylori* infection was
246 higher in patients with hepatic encephalopathy than those without it.²² Considering the low
247 prevalence of hepatic encephalopathy in the present study, the effect of *H. pylori* could have
248 been masked by the lower prevalence of hepatic encephalopathy.

249

250 The strengths of the study are that it is one of the very few studies on the association of *H.*
251 *pylori* infection with variceal bleeding and the only prospective study evaluating the
252 association of *H. pylori* infection on variceal rebleeding. Limitations are that we included only
253 patients with overt hepatic encephalopathy leaving those with minimal hepatic encephalopathy
254 and subclinical hepatic encephalopathy which could have resulted in underdiagnosis of hepatic
255 encephalopathy in the study patients. The present study showed that *H. pylori* has an inverse
256 relationship with rebleeding episodes from esophagogastric varices in patients of cirrhosis with
257 portal hypertension and hence, eradication of *H. pylori* may not be recommended routinely.

258

259 **Conclusion**

260 In this prospective analytical study, it was found that the rebleeding episodes were significantly
261 lesser among those who had *H. pylori* infection than those without it. Esophageal varices
262 compared to gastric varices were found to have a significantly lesser risk of rebleeding.
263 Location of bleeding varices was not found to be significantly different between patients
264 positive or negative for *H. pylori* infection. Patients belonging to Child-Pugh's class B and C
265 and those with grade 3/4 varices had a higher rebleeding episodes. Irrespective of *H. pylori*
266 infection status, patients with rebleeding had higher level of pepsinogen indicating high gastric
267 acid output and there was no difference in the level of serum pepsinogen between patients
268 positive or negative *H. pylori* infection. The present study did not find an association between
269 *H. pylori* infection and encephalopathy. *H. pylori* infected patients were found to have

270 significantly lesser rebleeding episodes with no significant increase in the incidence of
271 encephalopathy. Hence, routine *H. pylori* eradication may not be recommended for all patients
272 with cirrhosis with portal hypertension as the present study showed *H. pylori* was protective
273 against variceal bleeding episodes and eradication of *H. pylori* might precipitate variceal
274 bleeding episodes and can only be considered for symptomatic *H. pylori* infection.

275

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279 Education and Research, Pondicherry, India for statistical analysis.

280

281 **Authors' Contribution**

282 SS and VK conceptualized and designed the study. SV was responsible for the data collection.
283 SV and VB were responsible for the investigation in the study. BG, EA, SM and VK
284 contributed to the validation in the study. SM designed the methodology in the study. SV, BG,
285 EA, VK and TM were involved in data analysis and interpretation. SS, VK, VB and TM
286 supervised the work. SV drafted the initial manuscript. SS, BG, EA, SM, VK, VB and TM
287 were involved in drafting and revising the manuscript. All authors approved the final version
288 of the manuscript.

289

290 **Conflicts of Interest**

291 The authors declare no conflicts of interest.

292

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296

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- 362

363 **Table 1: Factors predicting variceal rebleeding on univariate analysis**

Characteristics	Total	Rebleed present (%)	No Rebleed (%)	Relative risk (CI)	P value*
Total (n)	152	58(38.1%)	94(61.8%)		
Male	121	51(42.1%)	70(57.9%)	1.86 (0.9-3.7)	0.045
Female	31	07(22.6%)	24(77.4%)	Ref	
Alcohol-induced cirrhosis	118	50(42.4%)	68(57.6%)	1.80 (0.9-3.4)	0.073
Non-alcohol induced cirrhosis	34	08(23.5%)	26(76.4%)	Ref	
Esophageal varices	127	44(34.6%)	83(65.4%)	Ref	
Gastric varices	25	14(56%)	11(44%)	1.61 (1.1-2.5)	0.045
Grade 1/2 esophageal varices	93	31(33%)	62(66.7%)	Ref	
Grade 3/4 esophageal varices	59	27(45.8%)	32(54.2%)	1.38 (0.9-2.1)	0.124
No PHG	70	28(40%)	42(60%)	1.13 (0.6-1.9)	0.892
Mild PHG	48	18(37.5%)	30(62.5%)	1.06 (0.6-1.9)	
Severe PHG	34	12(35.3%)	22(64.7%)	Ref	
<i>H.pylori</i> Positive	73	20(27.4%)	53(72.6%)	Ref	0.009
<i>H.pylori</i> Negative	79	38(48.1%)	41(51.9%)	1.75 (1.1-2.7)	
Child's Class A	43	03(7%)	40(93%)	Ref	<0.001
Child's Class B	82	42(51.2%)	40(48.8%)	7.31 (2.4-22.3)	
Child's Class C	27	13(48.1%)	14(51.9%)	6.87 (2.2-22)	

364 *Chi-Square test. [PHG-Portal Hypertensive Gastropathy]; CI-Confidence Interval.

365
366 **Table 2: Factors predicting variceal rebleeding on multivariate analysis**

367 Dependent variable: Presence or absence of rebleeding

	Adjusted Risk	P value*	95% Confidence Interval
Male gender	1.079	0.908	0.2955-3.9405
Alcoholic cirrhosis	1.276	0.692	0.3812-4.2715
Esophageal varices	0.714	0.009	0.5550-0.9201
Grade 3/4 varices	1.366	0.040	1.0146-1.8402
Positive <i>H.pylori</i>	0.682	0.046	0.4694-0.9926
Child's class B	6.435	0.001	2.1311-19.4315
Child's class C	4.814	0.008	1.5195-15.2538

368 *Logistic Regression Analysis

369
370 **Table 3: Correlation of various factors with *H.pylori* infection**

Characteristics	Total	<i>H.pylori</i> present	<i>H.pylori</i> absent	P value*
	159	78(49.05%)	81(50.95%)	
Male	127	62(48.8%)	65(51.2%)	0.905
Female	32	16(50%)	16(50%)	
Alcohol-induced cirrhosis	124	59(47.6%)	65(52.4%)	0.62
Non-alcohol induced cirrhosis	35	19(54.2%)	16(45.7%)	
Esophageal	133	68(51.1%)	65(48.9%)	0.237
Gastric	26	10(38.5%)	16(61.5%)	
Grade 1/2 esophageal varices	100	52(52%)	48(48%)	0.334
Grade 3/4 esophageal varices	59	26(44.1%)	33(55.9%)	
No PHG	75	40(53.3%)	35(46.7%)	0.223

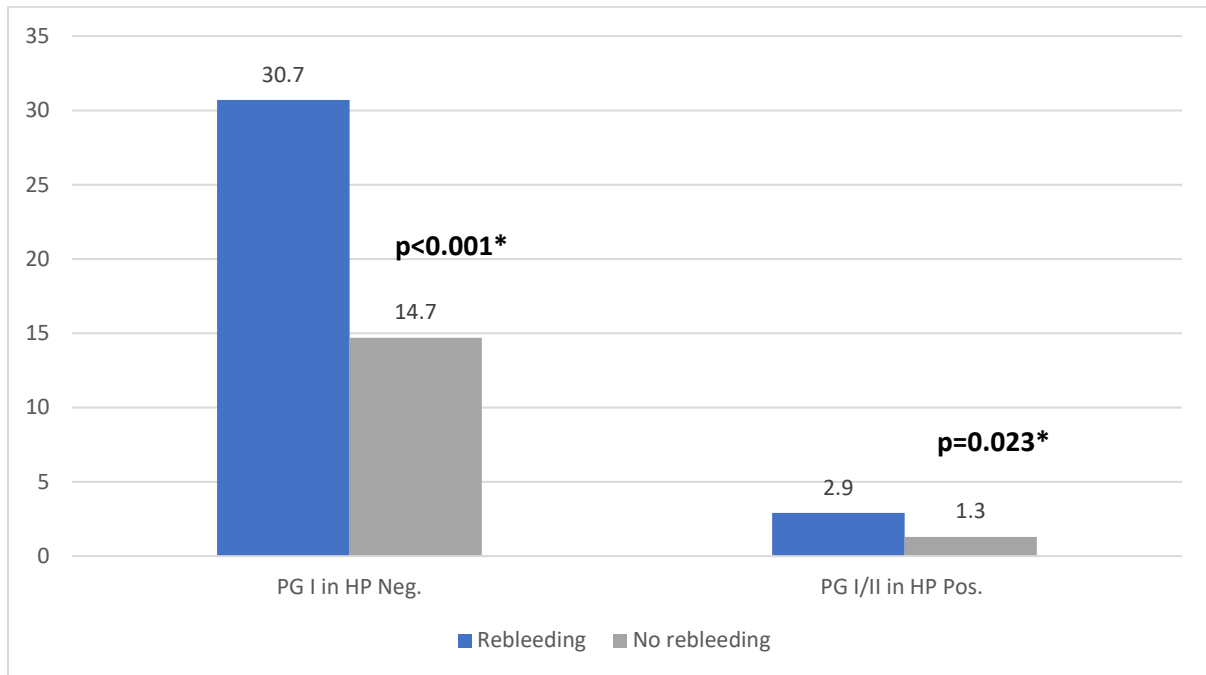
Mild PHG	49	19(38.8%)	30(61.2%)	
Severe PHG	35	19(54.3%)	16(45.7%)	
Child's Class A	48	31(64.6%)	17(35.4%)	0.026
Child's Class B	83	37(44.6%)	46(55.4%)	
Child's Class C	28	10(35.7%)	18(64.3%)	

371 *Chi-Square test

372 [PHG- Portal Hypertensive Gastropathy]

373

PG
Levels
in
ng/ml



374

375 **Figure 1:** Comparison of Pepsinogen I and Pepsinogen I/II ratio amongst patients with
376 rebleeding against those without it.

377 HP Neg. - *Helicobacter pylori* uninfected; HP Pos.- *Helicobacter pylori*-infected

378 *Kruskal –Wallis test

379