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Effect of beeswax content on hydroxypropyl methylcellulose-based edible film properties and postharvest quality of coated plums (*Cv. Angeleno*)

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Running Head Title: BW effect on HPMC-BW films and coatings

22 **Abstract**

23 The effect of beeswax (BW) content of hydroxypropyl methylcellulose (HPMC)-BW
24 edible coatings on stand-alone film properties and on postharvest quality of coated
25 ‘Angeleno’ plums was studied. The coatings contained BW at 4 lipid content levels (0,
26 20, 40 and 60 g/100g, dry basis). Coated and uncoated plums were stored 4 weeks at 1
27 °C and transferred to 20 °C for 1 to 3 weeks. Addition of BW to the HPMC film matrix
28 reduced film mechanical resistance and oxygen barrier, and improved film moisture
29 barrier. Film mechanical properties showed a good fit with an exponential and/or linear
30 model that could provide a useful tool to predict mechanical properties with others
31 HPMC-BW composition mixtures. Coatings with BW reduced plum weight loss
32 compared to HPMC-based coatings with no BW. Plum weight loss decreased as BW
33 content increased from 20 to 40 g/100g, but above 40 g/100g BW content, weight loss
34 was not further reduced. Whereas, water vapor permeability of stand-alone films
35 decreased significantly as BW content increased to 60 g/100g. Coatings reduced plum
36 softening and bleeding, with those with lower BW content being more effective, which
37 could be related to the ability of coatings to create a modified atmosphere in the fruit.
38 Flavor was not affected by coating application. Results indicate that HPMC-BW
39 coatings with 20 g/100g BW would provide the best compromise to extend shelf life of
40 ‘Angeleno’ plums.

41

42 **KEYWORDS:** water vapor permeability; oxygen permeability; mechanical properties;
43 postharvest quality; plum.

44

45 **1. Introduction**

46 Consumer interest in health, nutrition, and food safety combined with
47 environmental concerns has renewed efforts in edible film and coating research. The
48 main function of edible films and coatings is to offer a protective barrier to moisture,
49 oxygen, flavor, aroma, etc., between the food and the environment. Additionally, edible
50 films and coatings may act as carriers of food ingredients and help improving the
51 handling characteristics of the food. Therefore, application of edible coatings to fruits is
52 a simple technology that allows reduction in fruit moisture loss and permits regulation
53 of respiration as a passive modified atmosphere packaging. In addition, coatings can
54 also act as carriers for fungicides or growth regulators and improve fruit gloss (Banks,
55 Dadzie & Cleland, 1993; Cisneros-Cevallos & Krochta, 2002).

56 Edible films and coatings are made with food-grade ingredients, generally
57 recognized as safe for human consumption. Materials used in edible films and coatings
58 include proteins, polysaccharides, and lipids (Greener-Donhowe & Fennema, 1993).
59 Among polymeric materials, cellulose is the most abundantly occurring natural polymer
60 on earth with excellent film forming properties (Bravin, Peressini & Sensidoni, 2004).
61 However, native cellulose is insoluble in water due to the high level of intramolecular
62 hydrogen bonding in the cellulose polymer. The usefulness of cellulose to form edible
63 films and coatings can be extended by the use of different cellulose derivatives. Among
64 them, hydroxypropyl methylcellulose (HPMC) yields films that are flexible, odorless,
65 tasteless, water soluble, and resistant to oils and fats (Greener-Donhowe & Fennema,
66 1986), and present good oxygen and aroma barrier properties (Miller & Krochta, 1997).
67 However, their hydrophilic nature makes them rather ineffective moisture barriers.
68 Addition of lipids to the HPMC matrix, forming composite edible films, has improved

69 film moisture barrier properties (Kamper & Fennema, 1984; Hagenmaier & Shaw,
70 1990).

71 Previous studies showed the potential of HPMC-Beeswax (BW) edible
72 composite coatings to extend the self life of plums (Pérez-Gago, Rojas & Del Rio,
73 2003; Navarro-Tarazaga, Sothornvit & Pérez-Gago, 2008a) and citrus (Pérez-Gago,
74 Rojas & Del Rio, 2002; Navarro-Tarazaga & Pérez-Gago, 2006; Navarro-Tarazaga,
75 Pérez-Gago, Goodner & Plotto, 2007; Navarro-Tarazaga, Del Río, Krochta & Pérez-
76 Gago, 2008b). However, the effectiveness of these coatings depends on fruit type and
77 cultivar. In plums cv. 'Angeleno' the coatings did not reduce weight loss, but they had
78 an important effect maintaining flesh firmness and reducing bleeding (Navarro-
79 Tarazaga, Sothornvit & Pérez-Gago, 2008a).

80 The main interest in edible films and coatings has been based on their barrier
81 properties, with most of those studies focused on improving film and coating moisture
82 barrier. The study of the effect of coating composition on coating properties has been
83 usually assessed by using stand-alone films as a model. In emulsion films, barrier and
84 mechanical properties are highly dependent on lipid content, lipid particle size and
85 viscoelasticity of the lipid (Debeaufort, Quezada-Gallo & Voilley, 1998; Pérez-Gago &
86 Krochta, 2001). However, coating performance should be also analyzed when
87 formulations are applied on the fruit, because additional factors, such as skin
88 morphology and physiology of the fruit commodity, are also important controlling mass
89 transfer of coated fruit. Not many works studying simultaneously the effect of
90 formulation composition on stand-alone film properties and postharvest quality of a
91 coated fruit have been done. Therefore, the objective of this work was to study the
92 effect of BW content of HPMC-BW coatings on postharvest quality of coated

93 'Angelino' plums and to correlate the results with the barrier and mechanical properties
94 of stand-alone films.

95

96 **2. Materials and methods**

97 *2.1. Materials*

98 HPMC (Methocel E15) was supplied by Dow Chemical Co. (Midland, MI,
99 U.S.A.). Refined BW (grade 1) was obtained by Brillocera, S.A (Valencia, Spain).
100 Stearic acid and glycerol were purchased from Panreac Química, S.A. (Barcelona,
101 Spain).

102 *2.2. Emulsion film and coating formulation*

103 HPMC at 5 g/100g (w/w) was prepared by initial dispersion of the cellulose in
104 hot water at 90 ± 2 °C and later hydration at 20 °C. Next, BW was added at 0, 20, 40
105 and 60 g/100g (dry basis, db). Glycerol was added as plasticizer at a HPMC:glycerol
106 ratio of 2:1 (w/w) and stearic acid was added as emulsifier at a BW:stearic acid ratio of
107 5:1 (w/w). These ratios were kept constant for all formulations. Water was added to
108 bring the mixtures to a final solid content of 7 g/100g for stand-alone films and 4
109 g/100g for coating formulations. Mixtures with all the ingredients were heated at 90 ± 2
110 °C to melt the BW and emulsions were formed by homogenization with a high-shear
111 probe mixer UltraTurrax® (Mod. T25 basic; IKA-Werke GmbH & Co. KG, Staufen,
112 Germany) for 1 min at 13,000 rpm followed by 3 min at 22,000 rpm. After cooling the
113 emulsions in an ice bath to less than 20 ± 2 °C, they were continuously stirred for
114 approximately 45 min to ensure complete hydration of the HPMC. Composition of
115 emulsion films is shown in Table 1.

116 *2.3. Film preparation*

117 The film-forming solutions were degassed and applied onto a 15 cm internal
118 diameter smooth high-density polyethylene casting plate at 30 g of total solids per plate
119 to minimize thickness variations between formulations. The plates were placed on a
120 leveled surface and dried at room conditions until films could be removed from the
121 casting surface. Three replications were prepared for each formulation.

122 *2.4. Film tensile properties*

123 Film mechanical properties were measured according to the American Society of
124 Testing and Materials Standard Method (ASTM) D882-97 (ASTM, 1997). Films were
125 conditioned 24 h at 23 ± 2 °C and $50\pm 1\%$ relative humidity (RH), cut into 50 mm x 8 mm
126 rectangular strips, and tested for tension analysis using an Instron Universal Machine
127 (Model 3343; Instron Corp., Canton, MA, USA). Load cell and cross head speed were
128 0.3 kN and 5 mm/min, respectively. Testing conditions were held constant at 23 ± 2 °C
129 and $50\pm 1\%$ RH throughout the analysis. Young's modulus (YM), maximum tensile
130 stress (TS) and elongation at break (%E) were calculated from the plot of stress versus
131 strain, considering a rectangular cross-sectional area and using the average film
132 thickness, measured at 9 random positions. Fifteen specimens from each replicate of
133 each formulation were analyzed.

134 *2.5. Film water vapor permeability*

135 A modification of the ASTM E96-80 (ASTM, 1980) gravimetric method for
136 measuring water vapor permeability (WVP) was used (McHugh, Avena-Bustillos, &
137 Krochta, 1993). Upon drying, films were chosen on the basis of lack of physical defects
138 such as cracks, bubbles, or pinholes. Two specimens from each replicate of each
139 formulation were cut and mounted on polymethacrylate test cups containing 6 mL of
140 distilled water. The specimens were analyzed with the film surface that had been
141 exposed to air during drying facing either the low RH environment ('facing up') or the

142 high RH environment ('facing down'), allowing detection of any phase separation
143 within the film. The cups were placed in a pre-equilibrated desiccator cabinet fitted with
144 a variable speed-fan. The environment within the cabinet was held constant at 23 ± 2 °C
145 and $40\pm 1\%$ RH using anhydrous potassium carbonate. Weights taken periodically until
146 steady state was achieved and the average film thickness measured at six random
147 positions were used to calculate the resulting WVP. Three replicates of each film were
148 evaluated.

149 *2.6. Film oxygen permeability*

150 Oxygen permeability (OP) of stand-alone films was measured at 23 °C and
151 $50\pm 1\%$ RH using a Systech Oxygen Analyzer (Mod. 8001; Systech Instruments;
152 Oxfordshire, UK) according to the ASTM D3985-95 standard method (ASTM, 1995).
153 Films were placed on a stainless steel mask with an open testing area of 5 cm². Masked
154 films were placed into a test cell and exposed to 98kPa N₂ + 2kPa H₂ flow on one side
155 and pure O₂ flow on the other side. OP was calculated by dividing the oxygen
156 transmission rate by the difference in oxygen partial pressure between both sides of the
157 film (1 atm) and multiplying by the average film thickness, measured at 4 random
158 positions. Three replicates of each film were evaluated.

159 *2.7. Film thickness measurement*

160 Film thickness was measured using a Mitutoyo digital micrometer (Model
161 Quickmike Series 293-IP-54; Mitutoyo Corp., Kanagawa, Japan) taking measurements
162 at random positions on the film.

163 *2.8. Fruit sample preparation and coating application*

164 'Angeleno' plums were hand-harvested with an average maturity index of 14.8
165 from a local grove in Alicante (Spain) and transferred to the IVIA postharvest facilities.
166 After 1 day of storage at 1 °C, samples were selected for size, color, and absence of

167 physical damage. Plums were randomly divided into 6 homogeneous groups of 270
168 fruits each, which corresponded to 4 coating treatments, 1 water-dipped treatment, and 1
169 uncoated-untreated control treatment. Plums were immersed in either water or the
170 coating solutions for 1.5 min and drained of excess solution. Coated, water-dipped and
171 uncoated-untreated plums were dried in a drying tunnel at 45-50 °C for 3 min.

172 After drying, plums were stored 4 weeks at 1 °C and 85±5% RH (simulating
173 storage conditions at packinghouses), followed by 1 to 3 additional weeks at 20 °C and
174 90±5% RH (simulating retail handling conditions).

175 *2.9. Plum weight loss*

176 Lots of 30 plums per treatment were used to measure weight loss. The same
177 plums were weighed at the beginning of the experiment and at the end of each storage
178 period. The results were expressed as the percentage loss of initial weight.

179 *2.10. Plum ethanol and acetaldehyde contents*

180 Ethanol and acetaldehyde concentrations in juice were determined by head space
181 gas chromatography. Three replicates per treatment of 10 plums each were juiced with
182 an industrial juicer (LOMI model 4, Baelona, Spain), filtered through a cheesecloth
183 and analyzed. Five mL of juice was transferred to 10-mL vials with crimp-top caps and
184 TFE/silicone septum seals and frozen until analysis. Ethanol and acetaldehyde contents
185 were analyzed in a gas chromatograph (Thermo Fisher Scientific, Inc., Waltham, MA,
186 USA) with auto-sampler, flame ionization detector (FID) and a 1.2 x 0.32 cm (ID)
187 Poropak QS 80/100 column. A 1 mL sample of the headspace was withdrawn from
188 vials previously equilibrated in the auto-sampler incubation chamber for 10 min at 60
189 °C. The injector, column and detector temperatures were set at 175, 150 and 200 °C,
190 respectively. Helium was used as carrier gas at 28 mL min⁻¹ velocity. Ethanol and
191 acetaldehyde contents were identified by comparison of retention times and peak areas

192 with standard solutions of known concentration. Results were expressed as mg/100 mL
193 juice.

194 *2.11. Plum firmness*

195 Plum firmness was determined as the maximum force in Newton (N) required to
196 penetrate the fruit flesh. Lots of 20 plums per treatment were analyzed using an Instron
197 Universal Testing Machine (Model 3343) with a plunger of 8 mm diameter. Two tests
198 per fruit were made, one on each of the opposite cheeks. Prior to the measurement, a
199 disk of the skin of about 2 cm in diameter was removed to measure the plum firmness in
200 the flesh.

201 *2.12. Plum physiological disorders.*

202 Physiological disorders affecting plum flesh (browning, translucency, lack of
203 juiciness due to mealiness or leatheriness, and bleeding) were evaluated as described by
204 Crisosto, Gordon, & Zhiguo (1999). According to this method, fruits were cut in half
205 and visually evaluated at the mesocarp and the area around the pit. The different degrees
206 of flesh browning and translucency were rated as 1= none, 2= very slight, 3= slight, 4=
207 moderate on less than 50%; 5= severe on 50% to 75%; 6= extreme on most of the flesh.
208 Mealiness, leatheriness and bleeding were rated as 1= slight, 2= moderate, 3= severe.
209 Forty fruits per treatment were inspected at the end of each storage period.

210 *2.13. Plum sensory evaluation.*

211 Sensory evaluation was conducted by 10 trained judges (6 females and 4 males),
212 25 to 50 years old, at the end of each storage period. Panelists evaluated overall flavor
213 and firmness of plums. Flavor was rated on a 9-point scale, where 1-3 represented a
214 range of nonacceptable quality with the presence of off-flavor, 4-5 represented a range
215 of acceptable quality, and 7-9 represented a range of excellent quality. Plum firmness
216 was rated on a 7-point scale: where: 1= very soft, 4= fair, and 7= very firm. Six fruit per

217 treatment were peeled and sectioned into segments. At least 2 segments from different
218 fruits were presented to judges in trays labeled with three-digit random codes and
219 served at room temperature (25 ± 1 °C). The judges had to taste several segments of
220 each treatment to compensate, as far as possible, for biological variation of material.
221 Mineral spring water was provided for rinsing between samples.

222 2.14. *Statistical analysis*

223 A completely randomized experimental design was used to study the effect of
224 BW content on the different film properties and plum quality parameters.
225 STATGRAPHICS Plus 4.1 (Manugistics, Inc., Rockville, MD) was utilized to calculate
226 analysis of variance (ANOVA). Significance between means was determined by least
227 significant difference (LSD) at $p \leq 0.05$.

228

229 **3. Results and Discussion**

230 3.1. *Film tensile properties*

231 Figure 1 shows the effect of BW content on YM, TS and %E of the HPMC-BW
232 films. Addition of BW to the HPMC film matrix decreased TS, %E and YM, which
233 indicates that films became weaker and less stretchable. This effect can be attributed to
234 the poor mechanical resistance of lipids and the development of a heterogeneous film
235 structure, featuring discontinuities in the polymer network, that decreases the
236 mechanical resistance of the hydrocolloid polymer matrix (Shellhammer & Krochta,
237 1997; Pérez-Gago & Krochta, 2001).

238 Several studies show that the effect of lipid content on mechanical properties of
239 edible composite films depends on the nature of the polymer matrix. In whey protein-
240 based films, increasing the BW content above 20 g/100g (db) significantly decreased TS
241 and YM (Shellhammer & Krochta, 1997). Furthermore, in pea starch-based films, TS

242 and %E decreased with the addition of BW above 30 g/100g (db), with no effect at
243 lower BW concentrations. In addition, the reduction of TS, even though significant, was
244 very small indicating that the main material to maintain the strength was the starch
245 matrix. However, YM of the starch film responded differently, increasing as BW
246 content increased from 0 to 30 g/100g (db), indicating that the films became stiffer
247 (Han, Seo, Park, Kim & Lee, 2006). In our work with HPMC-based films, a sharp
248 decrease in TS and %E was observed as BW content increased from 0 to 40 g/100g
249 (db), whereas YM showed a lower decrease as BW increased from 20 to 40 g/100g (db).
250 These results indicate that BW addition had an important effect on the HPMC matrix
251 reducing the mechanical resistance, with a lower impact on film flexibility.

252 Mechanical properties are important for edible films and coatings, as they reflect
253 the durability of films and the ability of coatings to maintain a continuous layer over the
254 coated product. Moreover, loss in film and coating mechanical integrity due to poor
255 mechanical properties reduces their effectiveness as a barrier to gases and water vapor
256 (Bravin, Peressini & Sensidoni, 2004). For this reason, some works in the literature
257 show mathematical equations that allow prediction of film mechanical properties as a
258 function of coating composition (i.e. plasticizer, lipid or emulsifier content). Sothornvit
259 & Krochta (2001) reported an exponential model for TS and YM and a linear
260 dependence for %E of β -lactoglobulin films as plasticizer concentration increased. This
261 exponential model was also observed for TS and YM in sodium caseinate films,
262 containing oleic acid (OA) and BW, as plasticizer content increased. However, for a
263 given OA:BW and plasticizer contents a third order polynomial relationship was
264 obtained for the variation of TS and YM parameters as the BW ratio increased (Fabra,
265 Talens & Chiralt, 2008). In our work, the results showed a good fit of TS and %E with
266 an exponential model in the BW range studied, whereas YM values fit well with either a

267 linear or an exponential model. Coefficients a, b and R^2 for film mechanical properties
268 as BW content increased are given on Table 2. The coefficients for the exponential
269 model indicate the greater effect of BW content on film %E and TS than on YM. This
270 model can provide a useful tool to predict mechanical properties with others HPMC-
271 BW composition mixtures.

272 3.2. *Film water vapor permeability*

273 Permeability of films to water vapor is an important property to be considered
274 when selecting film materials for specific commodities since it indicates their ability to
275 protect the produce from desiccation. Figure 2 shows the effect of BW content and film
276 orientation on WVP. As BW content increased from 0 to 60 g/100g (db) the moisture
277 barrier of the films increased, following an exponential behavior that is modeled on
278 Table 2. Shellhammer & Krochta (1997), however, found a sharp drop in WVP of whey
279 protein-BW emulsion films at 35 g/100g BW content (db), following a sigmoidal trend.
280 These differences in the behavior of the WVP reduction could be due to the nature of
281 the interactions between the protein (i.e. WPI) or the polysaccharide (i.e. HPMC) and
282 the lipid phase, that may affect the moisture transport properties through the film.

283 In emulsion films, lipid distribution within the polymer matrix have been shown
284 to affect the film moisture barrier (McHugh & Krochta, 1994; Pérez-Gago & Krochta,
285 2001). When a film is cast from an unstable emulsion, the hydrophilic matrix and lipid
286 may begin to separate during drying, creating a gradient of lipid concentration across
287 the film. As a result of such gradient, the same film would give two different measured
288 WVPs based on film orientation (up and down). In stable emulsions, films present a
289 homogeneous lipid distribution within the hydrophilic matrix, with no orientation effect
290 on WVP measurements. However, no orientation effect is also observed in WVP
291 measurements of unstable emulsions if the resulting film shows a complete phase

292 separation with a bi-layer structure, where the lipid forms a thin layer over the
293 hydrophilic matrix. In our work, film appearance after drying indicated some phase
294 separation, with the film side facing the plate more shiny in appearance, indicating the
295 presence of a HPMC enriched phase, and the side facing the air more dull in
296 appearance, indicating a lipid-enriched phase. Considering that film orientation did not
297 significantly influence WVP (Figure 2), but film appearance showed some phase
298 separation, the results may indicate the formation of a bi-layer film. Kamper &
299 Fennema (1984) also described a complete phase separation of HPMC-fatty acid
300 emulsions films, leading to an apparent bi-layer structure in the final film, which
301 significantly reduced film WVP.

302 3.3. *Film oxygen permeability*

303 Table 3 shows the OP of the HPMC-based edible films. The HPMC film without
304 BW showed the lowest OP. BW addition to the HPMC increased film OP, but no
305 differences were found as BW content increased from 20 to 60 g/100g (db). In general,
306 while addition of a lipid to a protein or polysaccharide film can reduce film WVP, such
307 addition usually increases film OP due to the lower oxygen barrier of lipids compared to
308 polar polymers. In emulsion films, several works have reported an increase in OP as the
309 lipid content increases, giving as a possible explanation the increase in the pathway for
310 oxygen transmission as the lipid content increased (Ayranci & Tunc, 2003; Han Seo,
311 Park, Kim & Lee, 2006). In our work, however, such an effect was not observed as BW
312 content increased from 20 to 60 g/100g (db), which could be related to the structure of
313 the film. Chick & Hernandez (2002) reported no effect on OP by increasing candelilla
314 or carnauba wax content to lactic acid casein-based films. This was explained by a
315 phase separation of the protein and the wax forming a bi-layer with the bottom portion
316 of the film forming solely a protein layer. As previously explained in this work, HPMC-

317 BW films showed an apparent phase separation that could have led to the formation of a
318 bi-layer film, and therefore, an increase in BW content would not affect the HPMC
319 layer structure and film OP.

320 3.4. Plum weight loss

321 Figure 3 (A) shows weight loss of coated, water dipped and uncoated plums after
322 4 weeks of storage at 1 °C plus 1 to 3 weeks of storage at 20 °C. In general, coatings
323 containing BW significantly reduced plum weight loss, whereas there were no
324 differences in weight loss between uncoated and HPMC-coated plums with no BW.
325 This indicates that in order to improve moisture barrier of ‘Angeleno’ plums coatings
326 must contain a hydrophobic compound. On the other hand, no differences were found
327 for weight loss between the control and water-dipped plums, which indicates that the
328 immersion in water was not enough to remove the natural waxes of ‘Angeleno’ plums.
329 This result contrast with the results found by Pérez-Gago, Rojas & Del Rio (2003),
330 where water-dipped ‘Autumn Giant’ plums presented higher weight loss than the
331 untreated control.

332 Weight loss decreased as lipid content increased from 20 to 40 g/100g, but above
333 40 g/100g BW content weight loss was not further reduced. This results contrast with
334 WVP of stand-alone films where an increase of BW from 40 to 60 g/100g (db)
335 significantly reduced WVP (Figure 2). Therefore, data from stand-alone films might be
336 used as preliminary screening, but factors affecting coating performance should be
337 analyzed when they are applied on the fruit. Compared to performance of stand-alone
338 films, coating performance is affected by coating distribution over the fruit surface,
339 especially whether it forms a continuous layer or penetrates into pores (Hagenmaier &
340 Baker, 1993). Fruit skin morphology (presence of hairs, thickness and type of cuticle,
341 number of stomata, lenticels, and even cracks in the lenticels) and coating physical

342 properties such as surface tension and viscosity strongly influence mass transfer of the
343 coated fruit (Hagenmaier & Baker, 1993). In our study, an increase of BW content from
344 40 to 60 g/100g (db) increased coating hydrophobicity. However, coating brittleness
345 was also increased (Figure 1), which might have featured some discontinuities, cracks
346 or holes, reducing the water barrier of the coating.

347 3.5. *Plum ethanol and acetaldehyde contents*

348 Edible coatings provide a semipermeable barrier to O₂ and CO₂, slowing down
349 fruit respiration (Hagenmaier & Baker, 1993; Baldwin, 1999) and ripening (Kader,
350 1986). This usually translates in an increase in the content of volatiles associated to
351 anaerobic conditions, such as ethanol and acetaldehyde, that depends on the oxygen
352 barrier of the coatings. In this work, plums coated with HPMC coatings containing no
353 BW (0 g/100g, db) showed the greatest levels of ethanol, while no consistent
354 differences were observed among the other treatments (Figure 3B). Acetaldehyde level
355 followed a similar behavior (data not shown). This results indicate that HPMC coatings
356 without BW provided a greater oxygen barrier than HPMC films containing BW, which
357 correlates with the lower OP values of HPMC stand-alone films compared to the OP
358 values of HPMC-BW films (Table 3). The lower ethanol and acetaldehyde contents in
359 HPMC-composite coatings as lipid content increased was previously observed in other
360 plum and citrus cultivars, and results were always attributed to the good gas barrier
361 properties of hydrocolloid polymers such as HPMC (Pérez-Gago, Rojas & Del Río,
362 2002, 2003; Navarro-Tarazaga, Sothornvit & Pérez-Gago, 2008a; Navarro-Tarazaga,
363 Del Río, Krochta & Pérez-Gago, 2008b).

364 3.6. *Plum firmness*

365 The coatings maintained firmness of coated samples compared to uncoated
366 samples when they were stored 4 wk at 1 °C followed by 2 and 3 wk at 20 °C, reducing

367 texture loss up to 53% with respect to the control depending on coating composition and
368 storage time (Figure 3C). When plums were stored 4 wk at 1 °C plus 1 wk at 20 °C, no
369 differences were found between coated and uncoated plums. However, differences
370 among treatments were found when storage time at 20 °C was extended to 2 and 3 wks.
371 Under these storage conditions, firmness of uncoated and water dipped plums was
372 significantly decreased. Whereas, among the different coating, those containing 0 and
373 20 g/100g BW reduced firmness loss compared to coatings containing 40 and 60 g/100g
374 BW (db), that did not result effective maintaining plum firmness.

375 Fruit flesh softening is related to pectin and hemicellulose degradation of cell
376 walls (Fishman, Gross, Gillespie & Sondey, 1989). Application of some postharvest
377 techniques, such as cold storage at the optimum temperature and the use of controlled
378 and modified atmosphere storage, has been effective in reducing firmness loss (Ke,
379 Rodríguez-Sinobas & Kader, 1991; Ben & Gaweda 1992). Therefore, the improvement
380 of flesh firmness of coated plums might be related with the ability of coatings to provide
381 a semipermeable barrier to O₂ and CO₂, acting as a modified atmosphere packaging
382 (Baldwin, 1999; Banks, Dadzie & Cleland, 1993; Cisneros-Cevallos & Krochta, 2002).
383 In our work, the greater ability of HPMC coatings with low BW content to maintain
384 plum firmness might be attributed to their higher capacity to modify fruit internal
385 atmosphere, which translated in higher ethanol content. Similar results were observed in
386 plums and mangos coated with cellulose-based coatings presenting different gas
387 permeabilities (Baldwin, Burns, Kazokas, Brecht, Hagenmaier, Bender & Pesis, 1999;
388 Pérez-Gago, Rojas & Del Rio, 2003; Navarro-Tarazaga, Sothornvit & Pérez-Gago,
389 2008a).

390 *3.7. Plum physiological disorders*

391 The use of low temperature during storage extends plums market life (Crisosto,
392 Gordon & Zhiguo, 1999). However, plums from some cultivars develop a lack of
393 juiciness with mealy or leathery texture, flesh browning, black cavity, flesh
394 translucency, and flesh red pigment accumulation (flesh bleeding) after prolonged cold
395 storage and/or after ripening at room temperature. These physiological disorders are
396 known as forms of internal breakdown (IB). ‘Angeleno’ plum cultivars develop IB at
397 storage temperatures of around 5 °C, whereas the optimum storage temperature is
398 around 0 °C (Crisosto, Gordon & Zhiguo,1999).

399 In this work, flesh bleeding was the main IB symptom observed. Flesh bleeding is
400 the result of anthocyanin diffusion from the cells surrounding the stone and the skin,
401 where the pigments are initially located, to the overall plum flesh. This disorder may be
402 a consequence of tissue senescence (Lurie & Crisosto, 2005) or abnormal ripening
403 (Dong, Zhou, Sonogo, Lers & Lurie, 2001) and can be prevented by controlled-
404 atmosphere storage (Lurie, Zeidman, Zuthi & Ben-Arie, 1992). Moreover, the cell wall
405 degradation that produces plum flesh softening may enhance the diffusion of
406 anthocyanins and bleeding incidence. Figure 3 (D) shows bleeding of coated and
407 uncoated ‘Angeleno’ plums after 4 weeks of cold storage and storage at 20 °C.
408 Generally, coatings were effective in reducing plum bleeding compared to the untreated
409 control. On plums stored 4 weeks at 1 °C plus 1 week at 20°C, coatings with lower BW
410 content were more effective reducing bleeding. This might be due to the internal
411 atmosphere modification produced by coatings, which also resulted on reduced plum
412 softening. However, differences on plum bleeding among coating treatments were less
413 evident when storage at 20 °C increased, which might be a consequence of tissue
414 senescence.

415 3.8. *Plum sensory evaluation*

416 Sensory evaluation was performed to see if coatings affected flavor and firmness
417 of coated plums. Flavor decreased with storage time for all treatments. However, there
418 were no differences in flavor among the different treatments (data not shown), which
419 indicates that the coatings tested did not affect ‘Angeleno’ plum flavor compared to the
420 control. Firmness was the most limiting factor of plum quality. The results showed that
421 ‘Angeleno’ plums could maintain marketable firmness up to 4 wk at 1 °C followed by 1
422 or 2 wks at 20 °C, whereas after prolonged storage at 20 °C the firmness of the samples
423 was considered unacceptable (data not shown).

424

425 **4. Conclusions**

426 HPMC-BW edible coatings in combination with low-temperature storage
427 extended marketability on ‘Angeleno’ plums by reducing water loss, flesh softening and
428 IB, without affecting the sensory quality of the fruit. No differences on weight loss
429 were observed between uncoated and HPMC-coated plums with no BW, which
430 indicates that, in order to improve moisture barrier of ‘Angeleno’ plums, coatings must
431 contain a hydrophobic compound. Weight loss decreased as lipid content increased
432 from 20 to 40 g/100g, but above 40 g/100g BW content, weight loss was not further
433 reduced. These results contrast with the moisture barrier properties of stand-alone
434 films, where an increase of BW from 40 to 60 g/100g significantly reduced WVP.
435 These differences between coating and film performance indicate that data from stand-
436 alone films may be used as a preliminary screening, but coating performance should be
437 analyzed on coated fruit. Decreasing BW content (i.e. increasing HPMC content)
438 retained plum firmness and reduced bleeding, which could be related to coatings ability
439 to provide a semipermeable barrier to O₂, acting as a modified atmosphere packaging.
440 Therefore, HPMC-BW coatings containing 20 g/100g BW (db) would provide the best

441 compromise to extend shelf-life of ‘Angeleno’ plums, by reducing plum weight loss,
442 flesh softening and internal breakdown, without affecting the sensory quality of the
443 fruit.

444

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556

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Table 1. Emulsion film and coating composition (g/100g, dry basis)

Formulation ^a	HPMC	BW	G	SA
0 BW	66.7	0	33.3	0
20 BW	50.7	20	25.3	4
40 BW	34.7	40	17.3	8
60 BW	18.7	60	9.3	12

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^a Formulation name represents BW content (g/100g, dry basis)

HPMC= hydroxypropyl methylcellulose; BW= beeswax; G= glycerol; SA= stearic acid.

Solid contents were 7 and 4 g/100g for stand-alone films and coating formulations applied to plums, respectively.

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580 **Table 2.** Values of a and b coefficients and R² in the relationship between TS, YM,
581 E, and WVP of HPMC-based films

Tensile property	Model	a	b	R ²
YM (MPa)	Exponential ^a	0.006	275.6	0.948
	Linear ^b	-1.32	274.6	0.946
TS (MPa)	Exponential	0.033	15.2	0.995
E (%)	Exponential	0.050	70.7	0.998
WVP ^c (mm / kPa h m ²)	Exponential	0.014	7.3	0.990

582

^a $y=be^{-ax}$

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^b $y=b+ax$

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^c Model for WVP was obtained with the average values for ‘up’ and ‘down’ orientations, since WVP was not significantly affected by film orientation.

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EM= elastic modulus; TS= maximum tensile stress; E= elongation at break; WVP= water vapor permeability.

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596 **Table 3.** Effect of beeswax content on oxygen permeability of HPMC-based films

Formulation ^a	Oxygen permeability (mL μm^2 day KPa)
0 BW	232 \pm 43 a
20 BW	311 \pm 42 b
40 BW	293 \pm 39 b
60 BW	337 \pm 67 b

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^a Formulation name represents beeswax content (g/100g, dry basis)

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Results reported were tested at 23°C and 50%RH.

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Means with different superscripts denotes significant difference ($p < 0.05$). n= 3.

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




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602 **Figure 1.** Effect of beeswax (BW) content on mechanical properties of HPMC-BW
603 stand-alone edible films. Bars represent LSD values ($p < 0.05$). $n = 15$.

604

605 **Figure 2.** Effect of beeswax (BW) content on water vapor permeability (WVP) of
606 HPMC-BW stand-alone edible films. () up (film side exposed to air during
607 drying faced to the lower RH during analysis; () down (film side exposed to air
608 during drying faced to the higher RH during analysis). Bars represent LSD values
609 ($p < 0.05$). $n = 3$.

610

611 **Figure 3.** Weight loss (A), ethanol content in juice (B), firmness (C) and bleeding (D)
612 of ‘Angeleno’ plums, uncoated (), water dipped (), or coated with
613 hydroxypropyl methylcellulose (HPMC)-based coatings containing 0 (), 20 (), 40 () and 60 () g/100g of beeswax (BW), and stored 4 weeks at 1
615 °C plus 1, 2 and 3 weeks at 20 °C. Bleeding was rated as 1= slight, 2= moderate, 3=
616 severe. Within each storage time, means with the same letter are not significantly
617 different ($p < 0.05$). Number of replications for weight loss, ethanol, firmness, and
618 bleeding were 30, 3, 20, and 40, respectively.

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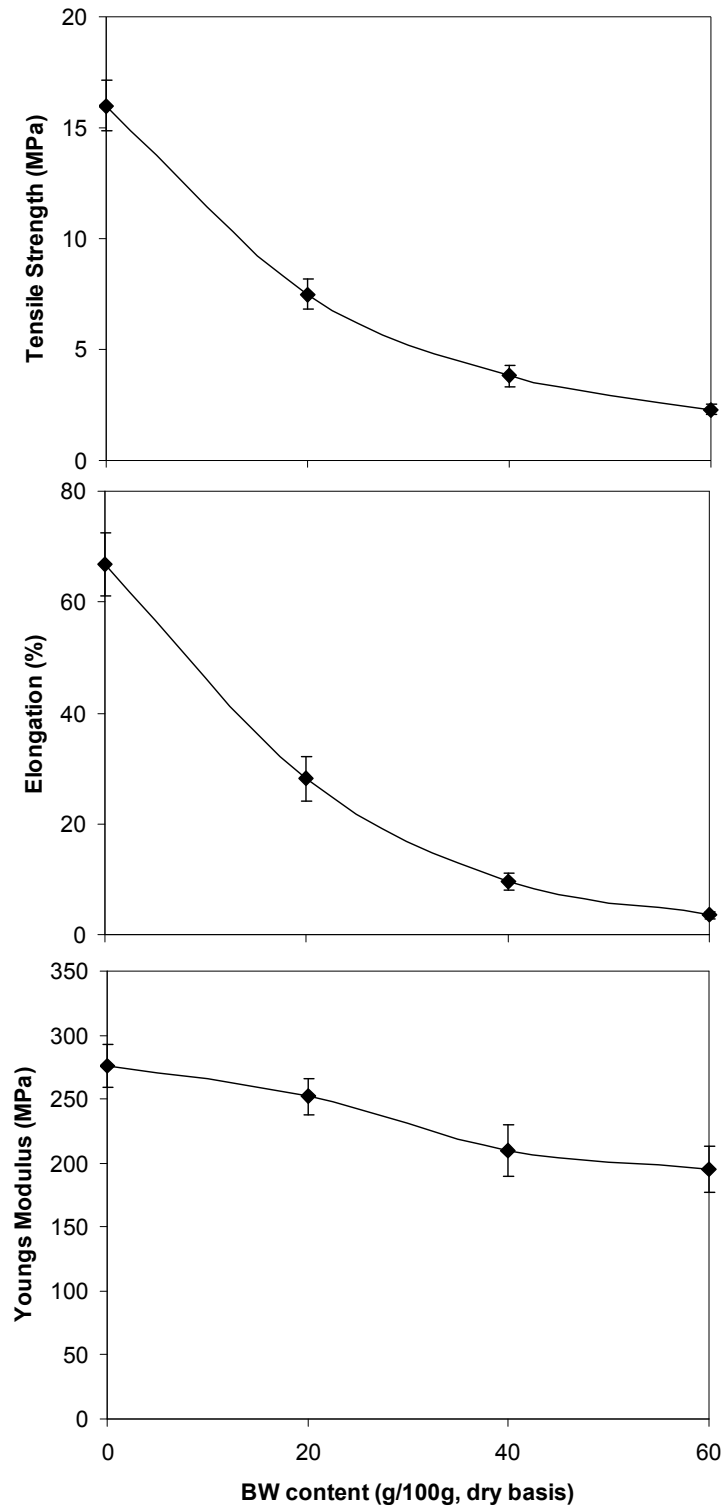
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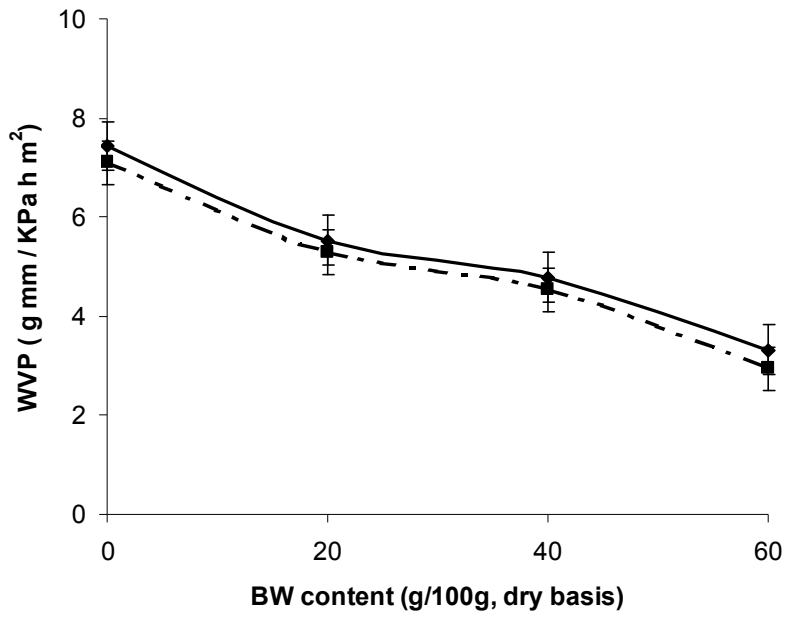
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<Figure 2>

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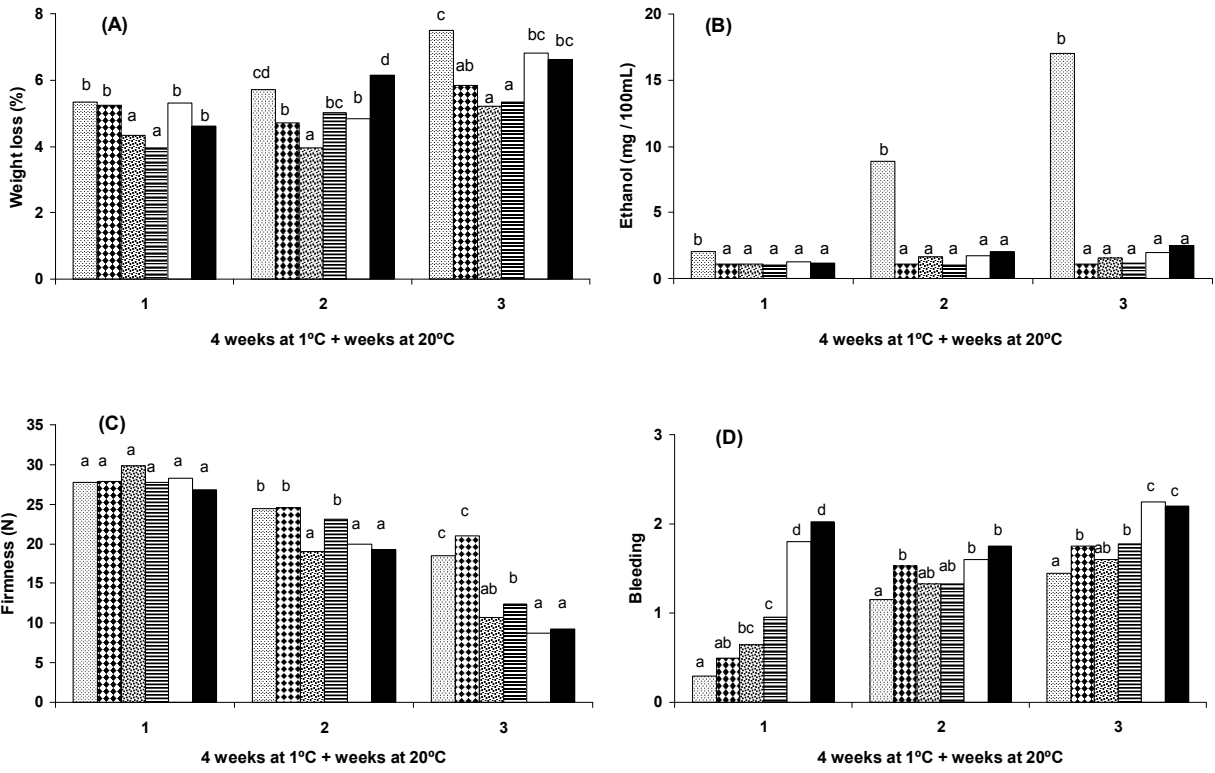
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<Figure 3>