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# Animal Models of Head Trauma

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**Summary:** Animal models of traumatic brain injury (TBI) are used to elucidate primary and secondary sequelae underlying human head injury in an effort to identify potential neuroprotective therapies for developing and adult brains. The choice of experimental model depends upon both the research goal and underlying objectives. The intrinsic ability to study injury-induced changes in behavior, physiology, metabolism, the blood/tissue interface, the blood brain barrier, and/or inflammatory- and immune-mediated responses, makes *in vivo* TBI models essential for neurotrauma research. Whereas human TBI is a highly complex multifactorial disorder, animal trauma models tend to replicate only single factors involved in the

pathobiology of head injury using genetically well-defined inbred animals of a single sex. Although such an experimental approach is helpful to delineate key injury mechanisms, the simplicity and hence inability of animal models to reflect the complexity of clinical head injury may underlie the discrepancy between preclinical and clinical trials of neuroprotective therapeutics. Thus, a search continues for new animal models, which would more closely mimic the highly heterogeneous nature of human TBI, and address key factors in treatment optimization. **Key Words:** Traumatic brain injury, models, *in vivo*, neuronal cell death, outcome.

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## INTRODUCTION

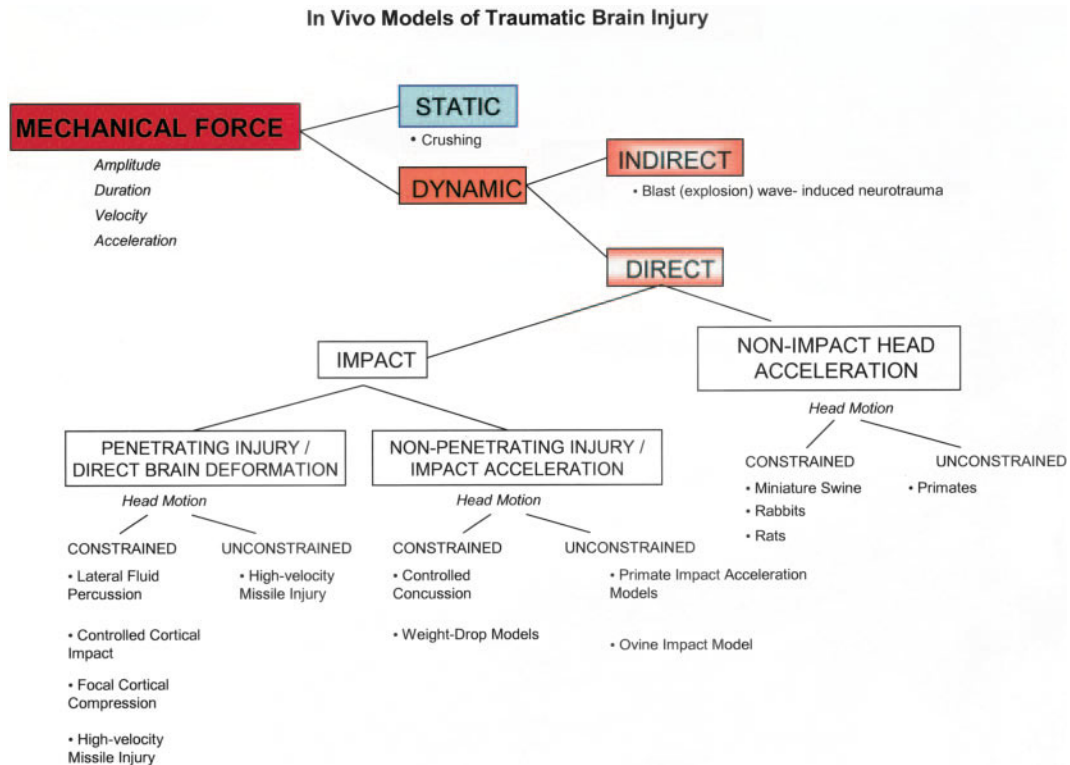
Traumatic brain injury is a complex process and consists of four overlapping phases, which include primary injury, evolution of the primary injury, secondary or additional injury, and regeneration.<sup>1</sup> Primary injury to the brain can be induced by numerous mechanisms, which include the following major categories: 1) direct contusion of the brain from the skull; 2) brain contusion caused by a movement against rough interior surfaces of the skull, and/or indirect (contracoup) contusion of the brain opposite the side of the impact; 3) shearing and stretching of the brain tissue caused by motion of the brain structures relative to the skull and each other; 4) vascular response to the impact including subdural hematoma produced by rupture of bridging blood vessels located between brain and dura mater; decreased blood flow due to increased intracranial pressure or infarction,<sup>2</sup> and brain edema caused by increased permeability of cerebral blood vessels. Diffuse axonal injury has been recognized as one of the main consequences of blunt head trauma; it is characterized by morphological and

functional damages of axons throughout the brain and brainstem and leads to diffuse degeneration of cerebral white matter.<sup>3</sup> Secondary injury mechanisms include complex biochemical and physiological processes, which are initiated by the primary insult and manifest over a period of hours to days.<sup>4</sup> It has been established that such secondary injury may significantly contribute to post-traumatic neurological disability.<sup>5</sup>

The purpose of experimental models of traumatic brain injury (TBI) is to replicate certain pathological components or phases of clinical trauma in experimental animals aiming to address pathology and/or treatment.<sup>2</sup> The design and choice of a specific model should emulate the goal of the research. For example, characterization of the biomechanics of injury may necessitate a different model than evaluation of molecular mechanisms of tissue loss, or testing the efficacy of novel therapeutic treatments.<sup>2</sup> Whereas earlier models addressed biomechanical aspects of brain injury,<sup>6,7</sup> recent models are more targeted toward improving the understanding of complex molecular detrimental cascades initiated by trauma.<sup>8,9</sup> Regardless of the goals, it is vital that the chosen experimental model satisfies the following criteria: 1) the mechanical force used to induce injury is controlled, reproducible, and quantifiable; 2) the inflicted injury is reproducible, quantifiable, and mimics components of human conditions; 3) the injury outcome, mea-

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**FIG. 1.** Schematic representation of *in vivo* experimental models of traumatic brain injury.

sured by morphological, physiological, biochemical, or behavioral parameters, is related to the mechanical force causing the injury; and 4) the intensity of the mechanical force used to inflict injury should predict the outcome severity.

Despite differing opinions regarding the preferred species used for modeling human TBI,<sup>10</sup> many investigators have accepted rodent models as the most suitable choice for neurotrauma research. Indeed, the relatively small size and cost of rodents permits repetitive measurements of morphological, biochemical, cellular, and behavioral parameters that require relatively large numbers of animals. Because of ethical, technical, and/or financial limitations, such studies are less achievable in phylogenetically higher species than rodents. However, differences between rodent and human systemic physiological and behavioral responses to neurotrauma have raised concerns about the use of rodents.<sup>11</sup> Moreover, it has been suggested that the lissencephalic rodent cortex is inappropriate for modeling the more complex changes in the anatomy of human cortex. Nevertheless, rodents remain the most commonly used animals for modeling human TBI.

The available literature<sup>2,11,12</sup> presents numerous and somewhat differing classifications of experimental TBI models. The pioneering work by Denny-Brown and Russell<sup>6</sup> differentiates two major categories of experimental brain injury: acceleration concussion and percussion concussion. Classifications of experimental TBI models defined afterward have often been based upon this

work.<sup>11,12</sup> To understand major biomechanical concepts used to design some of the most popular animal models of TBI, and weigh the pros and cons of these models, in this review we offer a different classification (FIG. 1), which incorporates the biomechanical paradigms of TBI initially proposed by Ommaya and colleagues.<sup>13,14</sup>

As shown in Figure 1, mechanical force inflicts either dynamic or static brain trauma, depending on its amplitude, duration, velocity and acceleration. The mechanical force in static models possesses defined amplitude and duration, whereas the velocity and acceleration are irrelevant. Inherently, the static models usually focus on morphological and functional processes involved in injury.<sup>15,16</sup> Crushing a cranial nerve with forceps for a defined period of time would be an example for a static CNS injury model.<sup>15</sup> On the other hand, mechanical force, with well-characterized amplitude, duration, velocity, and/or acceleration, inflicts dynamic brain injury. Dynamic brain trauma can be further subdivided into direct and indirect injury. In the case of indirect dynamic brain injury, the mechanical force is generally directed at the whole body with the kinetic energy of the oscillating pressure waves that traverse the body imparting their effects on brain tissue.

### DYNAMIC DIRECT BRAIN INJURY

Based on the existence of a direct impact and/or acceleration, direct dynamic brain injuries can be classified

as **impact** or **nonimpact/acceleration** head injury models. Both impact and nonimpact injuries can be further divided depending on the head motion at the moment of injury; namely, whether the head motion is constrained to a single plane, or the head is unconstrained and allowed to move freely.<sup>2</sup>

### **Impact brain injury: penetrating head injury/direct brain deformation**

Penetrating head injury and other direct brain deformation models are caused by the impact energy, which is delivered to the brain parenchyma through a skull perforated by a missile or a craniotomy.

**Fluid percussion injury (FPI) models.** The FPI is one of the most frequently used direct brain deformation models. Indeed, it has been found suitable for the study of injury pathology,<sup>17,18</sup> physiology,<sup>19,20</sup> and pharmacology<sup>21,22</sup> in a wide range of species, including rats,<sup>23,24</sup> mice,<sup>25,26</sup> cats,<sup>27,28</sup> pigs,<sup>29,30</sup> rabbits,<sup>31</sup> and dogs and sheep.<sup>32</sup> In this model, the insult is inflicted by application of a fluid pressure pulse to the intact dura through a craniotomy, which is made either centrally (vertex)<sup>33,34</sup> around the midline between bregma and lambda, or laterally usually over the left parietal bone between bregma and lambda, 4.0 mm lateral to the sagittal suture<sup>35–37</sup>; the craniotomy may be in combination with or without a contralateral skull opening. Briefly, the anesthetized animals are placed in a stereotaxic frame and their scalp and temporal muscles reflected. A small craniotomy is made to allow insertion of a plastic cap that is cemented into place. The FPI device consists of a Plexiglas cylindrical reservoir filled with sterile isotonic saline. One end of the reservoir includes a transducer, which is mounted and connected to a tube that attaches through a plastic fitting to the cap cemented on the animals' skull at the time of surgery. The strike of a pendulum at the opposite end of the cylindrical reservoir generates a pressure pulse that is delivered to the intact dura and causes deformation of underlying brain. The severity of injury depends on the pressure pulse.<sup>35,38</sup> Recent studies<sup>39,40</sup> have emphasized the importance of craniotomy position in lateral fluid percussion injury. Indeed, it has been shown that when the craniotomy was positioned less than 3.5 mm away from the sagittal suture, damages of both ipsilateral and contralateral cortices could be seen by magnetic resonance imaging (MRI) and/or histological analysis. Additionally, when the craniotomy position is located farther than 3.5 mm from the sagittal suture, no contralateral injury was found.<sup>39</sup> It has been concluded that careful attention to craniotomy position should be paid aiming to increase reliability and reproducibility of this model.<sup>41</sup>

Generally, central and lateral FPIs have comparable pathobiology, although distinct differences have also been reported between the two models.<sup>11,42</sup> The lateral

FPI model inflicts primarily unilateral cortical damage, rarely involving the contralateral cortices and brainstem, whereas central FPI causes bilateral cortical alterations associated with direct axial movement of the lower brainstem.<sup>11,12</sup> The immediate physiological response to both types of FPIs comprises of changes in blood pressure (mainly a transient hypertension),<sup>23,35</sup> brief respiratory arrest,<sup>43,44</sup> elevated craniocerebral pressure,<sup>29</sup> decreased cerebral perfusion pressure,<sup>29</sup> reduced cerebral blood flow,<sup>29</sup> and increased cerebral vascular resistance.<sup>29</sup> Moreover, alterations in cerebral blood flow<sup>29,45,46</sup> and increased permeability of the blood-brain barrier have been shown in both FPI models.<sup>34,35,47</sup> The most frequently reported histopathological findings are: petechial hemorrhage in the brain parenchyma, ranging from minor to fatal confluent hemorrhage, axonal damage, subarachnoid hemorrhage, tissue tears followed by focal necrosis and cell loss, and characteristic vascular damage at the gray/white interface defined as "gliding contusion."<sup>33,35,43,48–52</sup> Both types of neuronal cell death, necrosis and apoptosis, have been found in these FPI models.<sup>52–54</sup> Moreover, central and lateral FPI models have been linked to altered ionic homeostasis, including elevated intracellular calcium<sup>55,56</sup> and tissue sodium,<sup>57–59</sup> impaired potassium equilibrium,<sup>58,60,61</sup> and decreased intracellular free magnesium.<sup>22,62–64</sup> Hyper- and hypometabolism have been also shown in both experimental models.<sup>65,66</sup> Additionally, EEG depression,<sup>23,35,67,68</sup> motor,<sup>22,33,69</sup> behavioral and cognitive deficits<sup>40,70–72</sup> have been reported after both types of fluid percussion injuries. It is noteworthy that the central FPI generates only limited injury dose response because of death due to autonomic dysfunction at hypothalamic levels. Hence, the resultant changes in bioenergetics and cerebral blood flow are limited as compared to the lateral FPI method. Conceptually, this may explain the controversial findings in the literature.

The lateral fluid percussion model provides an injury that replicates clinical contusion without skull fracture, and which shows a direct relationship between the majority of pathological alterations and injury severity. Thus, it is widely used in neurotrauma research for both mechanistic studies and for drug screening. However, the utilization of FPI models is limited by increased severity and/or increased morbidity mainly due to disproportional involvement of the brainstem and development of neurogenic pulmonary edema. These and other limitations, such as restricted biomechanical control and inability to reflect the entire complexity of human TBI, prompted the search for alternative TBI models.

**Controlled cortical impact models.** Controlled cortical impact (CCI) models are sometimes described as rigid percussion models.<sup>11,12</sup> This type of head trauma has been used in the ferret,<sup>73</sup> rat,<sup>74,75</sup> and mouse.<sup>76</sup> This model allows for better control over mechanical factors,

such as velocity of impact and depth of resulting deformation, thus it offers potential advantages over the fluid percussion model, especially in biomechanical studies of TBI. The controlled impact is delivered to the intact dura by a compressed air-driven metallic piston causing deformation of the underlying cortex.<sup>74,77</sup> Briefly, the device used in rodent CCI models consists of a pneumatic cylinder usually with a 4- to 5-cm stroke, which is mounted on a cross bar so that the position of the impactor can be adjusted. The impact velocity used in the majority of studies is between 0.5 and 10 m/s depending on air pressure that drives the impactor. The depth of cortical deformation is controlled by vertical adjustment of the crossbar holding the cylinder and can be varied from between 1 and 3 mm, whereas the duration of the impact (dwell time) can be adjusted between 25 and 250 ms. It has been shown that cerebral hemodynamic responses such as elevated intracranial pressure, decreased blood and cerebral perfusion pressures,<sup>77</sup> histological<sup>75,78</sup> and cellular alterations,<sup>8,79,80</sup> as well as functional deficits<sup>76,81</sup> are related to both the depth of deformation and the velocity of the impact. Impacts inflicted at a velocity higher than 4.3 m/s (4.3–8.0 m/s) and with a depth of cortical deformation from 1.0 mm initiate widespread acute and chronic neuronal injury.<sup>78,82–84</sup> Pathoanatomical alterations, including diffuse axonal injury, have been found in white matter of the subcortical region and cerebellar folia, internal capsule, thalamic nuclei, midbrain, pons, and medulla.<sup>2,12</sup> This model also induces coma of varying length.<sup>12</sup> In general, controlled cortical impact injury produces a more focused injury compared with lateral fluid percussion, which may have implications with respect to the behavioral suppression and/or functional alterations resembling coma.<sup>85</sup> Taken together, the pathobiology of controlled cortical impact injury reproduces changes reported in clinical head injuries such as brain edema,<sup>86</sup> elevated intracerebral pressure, reduced cortical perfusion,<sup>77</sup> decreased cerebral blood flow,<sup>87</sup> neuroendocrine and metabolic changes,<sup>88</sup> and coma.<sup>12</sup> Hence this model, which replicates clinical brain injury with skull deformation and related cortical compression, is extensively used to analyze complex molecular<sup>89–92</sup> and genetic<sup>93,94</sup> mechanisms underlying neuronal cell death and resulting neurological deficits following TBI. It is also a valuable tool for developing novel therapeutic approaches for brain injuries.<sup>95–102</sup>

**Other direct brain-deformation models.** Additional experimental models have been designed to generate dynamic cortical deformation, including: a vacuum pulse of clinically relevant duration (<100 ms)<sup>103,104</sup>; microinjection of a fluid containing zymosan (an inert particulate macrophage activator), lipopolysaccharide, and latex microspheres into the brain parenchyma to induce progressive cavitation<sup>105</sup>; a mechanical suction force applied through the intact dura<sup>106</sup>; and focal cortical cry-

olesion,<sup>107</sup> among others.<sup>108,109</sup> Although these models use different forms of a mechanical force to produce cortical injury, each of them basically induces localized morphological alterations with corresponding metabolic effects. Because of the absence of extensive diffuse injuries, these models do not cause significant long-term deficits that would be of importance to clinical TBI. Nevertheless, these models are helpful in studies evaluating pathoanatomy of localized contusions and/or for quality control of treatments aimed to reduce the size of focal lesions.<sup>2</sup>

**Impact brain injury: high-velocity missile injury.** Experimental models of traumatic craniocerebral missile injury have been designed to study cerebral pathophysiology of a missile wound to the brain.<sup>110–114</sup> One of the frequently used models was developed by Carey et al.<sup>114–116</sup> using anesthetized mongrel cats. Briefly, the animals are placed in a stereotaxic frame, wherein the sloping outer wall of the right frontal sinus is removed thus allowing the missile to penetrate the intact and vertically disposed posterior sinus wall. A 2-mm, 31-mg steel sphere fired from a distance of 80 cm at either 220 or 280 m/s penetrates the right frontal bone and traverses the right cerebral hemisphere from anterior to posterior. The energy of a missile delivered to the brain varies between 0.9 and 1.4 J. This model produces vasogenic edema around the missile wound track in the injured hemisphere,<sup>114,115</sup> elevated intracranial pressure, decreased cerebral perfusion pressure, increased blood glucose, transient increase in hematocrit, and respiratory arrest. These changes are most likely energy dependent.<sup>116</sup>

Finnie's model<sup>112</sup> utilizes a 0.22-caliber firearm to inflict head wounds in a physically restrained sheep from a range of 3 m with the animal's head held in an upright position. The bullet is fired at the temporal region of the skull, and causes a right-to-left transverse wound to the brain through the temporal lobes. Morphological alterations include crushing and laceration of tissue and related hemorrhagic cavity, widespread stretch injuries to blood vessels, nerve fibers and neurons, as well as distortion and displacement of the brain.

These models are valuable in studies characterizing histopathological features of a missile wound. However, they offer less opportunity to evaluate complex molecular responses and functional outcomes after brain injury due to the size of the animal.

### **Impact brain injury: nonpenetrating/closed head injury**

**Controlled concussion models.** There are various closed head impact models, which have been designed to replicate the biomechanics and pathobiology of human concussive and diffuse brain injury.<sup>117–119</sup> This type of brain injury is difficult to reproduce with fluid percussion, cortical impact, or focal brain contusion models

because injuries generated using these techniques are associated with focal axonal damage rather than causing diffuse axonal injury. Indeed, skull fracture, subdural-, subarachnoid-, and intracerebral hemorrhages, as well as convulsions, frequently have been found to accompany injuries produced by these methods. Moreover, many of these animal models failed to induce a graded, reproducible range of injury outcomes through alteration of the mechanical force. Histopathological alterations these techniques induced were restricted to the lower brainstem, and were not comparable with morphological changes seen in the human brain following injury.<sup>2, 120</sup>

Tornheim and colleagues<sup>121,122</sup> developed a method with cats subjected either to a blow that was delivered to the coronal suture by a Remington humane stunner<sup>121</sup> or to an oblique lateral impact.<sup>123</sup> The blunt craniocerebral trauma generated skull fractures, epidural hematoma, subdural and subarachnoid hemorrhages, and brain contusions.<sup>123</sup> This method also initiated metabolic changes,<sup>124</sup> edema,<sup>121</sup> and decreased regional cerebral blood flow.<sup>122</sup> Although this model has been particularly valuable for studies of cerebral contusion and consequent edema, it has not been widely used in research focusing on molecular and cellular mechanisms of post-traumatic neuronal cell death. The limited utilization may be explained by the frequent hemorrhages that accompany the injury.

The rat model of moderate head concussion designed by Goldman et al.<sup>125</sup> utilizes a pendulum falling on the skull midline of animals anesthetized with halothane, approximately 9 mm anterior to the coronal suture. The optimum applied force and angle of the impact is adjusted using a nomogram interrelating the animal's body weight and desired injury severity. For example, in rats weighing 330-430 g, the selected angle values are ranging from 60° to 85° delivers impact energy to the skull varying from 1.62-1.89 J. This model is uncomplicated by skull fractures and contusions, with a histopathology including patchy neuronal degeneration in numerous forebrain locations at 48 h after trauma. The Goldman method generates early increase in cerebrovascular permeability, decrease in cerebral blood flow, and elevation of intracerebral pressure.<sup>125</sup> Direct relationship between the severity of injury and the duration of unconsciousness also has been demonstrated.<sup>126</sup> This model provides a reproducible range of mild to moderate severity of injury, which depends upon pendulum stroke, impact load, and animal body weight. Because this model does not cause skull fractures or subdural-, subarachnoidal-, and intracerebral hemorrhages, and replicates physiological and morphological changes that are comparable to those shown in human TBI, it is recommended for investigating the mechanisms of brain injury and corresponding therapy.

**Impact acceleration models (unconstrained).** In early impact acceleration models, anesthetized primates

were injured by a 1-kg mass impact piston striking the animal's head at a designated location on the skull.<sup>127,128</sup> Head motion in these models was constrained only by the neck, which allowed close reproduction of the acceleration-deceleration force seen in human head injury. The injury severity was causally related to the velocity, mass, and contact area of the impactor, as well as the type of interface material. These methods were successful in replicating some of major characteristics of human TBI such as short-term loss of consciousness, as well as histopathological, systemic, and cerebral metabolic responses.<sup>7</sup>

The ovine head impact model was developed to study diffuse axonal injury resulting from a graded traumatic insult.<sup>129,130</sup> Briefly, the anesthetized sheep is placed in the sphinx position with the head positioned on a support to allow free rotational and lateral movement after impact. The impact is generated using a humane stunner that is aimed at the left temporal region of the unrestrained skull. The discharge of a blank cartridge, which is inserted in a chamber behind the proximal end of the bolt, propels a captive bolt from the muzzle of the stunner; the muzzle velocity depends on the predetermined charge.<sup>129</sup> This model induces widespread axonal injury in the hemispheric white matter, central gray matter, brainstem, and cerebellum, as well as around cerebral contusions. The degree of axonal injury is strongly correlated with systemic and cerebrovascular responses.<sup>129</sup>

One of the shortcomings of the fully unconstrained injury models is the lack of injury reproducibility, particularly in terms of outcome. Thus, aiming to increase the reproducibility of the outcome parameters, other models have been designed with restricted head motion during and after impact.<sup>131</sup> In these models, the head motion is simply confined to a single plane, thus improving outcome reproducibility without completely restricting head motion. In general, the unconstrained impact acceleration models demonstrate a high degree of variability due to lack of accurate control over biomechanical forces related to impact and head dynamic response.<sup>2</sup> Hence, the pathophysiological response to injury in these models is inconsistent, albeit useful for morphologic characterization of injury.

**Impact acceleration models (constrained).** Marmarou's weight drop model<sup>132,133</sup> is one of the most frequently used constrained rodent models of impact acceleration head injury. The trauma device consists of a column of brass weights falling freely by gravity from a designated height through a Plexiglas tube. After exposing the animal's skull by a midline incision, a stainless steel disc (10 mm in diameter and 3 mm in depth) is rigidly fixed with dental cement to the animal's skull centrally between lambda and bregma fissures. The rats are then placed on a 10-cm deep foam bed and the impact generated by dropping the brass weight onto the stainless

steel disc. This method has been shown to produce graded brain injury in both rats and mice, where the injury severity is directly related to the mass and the height from which the brass weight is released.<sup>132,134,135</sup> The impact that is induced by a fall of a 450-g weight from a 2-m height causes a mortality rate of 44% with 12.5% incidence of skull fracture. Because the death is primarily caused by respiratory depression, mechanical ventilation after the impact significantly lowers the mortality rate.<sup>132</sup> Mathematical calculations show that this mass-height combination generates a brain acceleration of 900 G and a brain compression gradient of 0.28 mm.<sup>132</sup> Morphological findings include an absence of supratentorial focal brain lesions, with petechial hemorrhages only being present in the brainstem after severe 2-m level injury. Histopathology shows widespread and bilateral damage of the neurons, axons, dendrites, and microvasculature.<sup>133,136,137</sup> It is noteworthy that this model causes massive diffuse axonal injury, particularly in the corpus callosum, internal capsule, optic tracts, cerebral and cerebellar peduncles, and the long tracts in the brainstem.<sup>133</sup> The fact that pathomorphological alterations following 2-m injury are more extensive than after 1-m injury, indicates graded neuronal injury in response to impact acceleration.<sup>133,138</sup> Reduced cerebral blood flow and elevated intracerebral pressure has been shown as a result of loss of cerebral autoregulation during the first 4 h after the impact.<sup>139,140</sup> Diffusion-weighted magnetic resonance imaging showed that there is a development of a vasogenic edema immediately after weight-drop impact followed by more widespread and slower edema formation due to a predominantly cellular swelling.<sup>141</sup> Moreover, the Marmarou model has been shown to induce motor and cognitive deficits,<sup>142-144</sup> similar to those shown after fluid percussion injury and controlled cortical impact. Cellular and molecular responses to injury generated by this model have been widely analyzed. Alterations in cerebral energy metabolism include reduced ATP, GTP, and nicotinic enzymes (nicotinamide adenine dinucleotide, nicotinamide adenine dinucleotide phosphate),<sup>145</sup> as well as decreased N-acetylaspartate (its reduction has been accepted a marker of neuronal dysfunction/loss)<sup>146</sup> starting at 2 h after injury. Impact acceleration-induced injury also induces a highly significant reduction in free magnesium concentration, cytosolic phosphorylation ratio, and increases the rate of mitochondrial oxidative phosphorylation, with pH values close to control level.<sup>142</sup> Additionally, the weight drop TBI model activates proinflammatory mediators/modulators,<sup>147-149</sup> calpains and caspases,<sup>150,151</sup> and induces release of cytochrome *c*<sup>150</sup> and proapoptotic Bcl-2 family members<sup>151</sup> from the mitochondria into the cytosol. Consequently, this model induces both apoptotic and necrotic types of neuronal cell death. Although the biomechanics of the impact produced by this model is not fully and

strictly controlled, it is inexpensive, easy to perform, and capable of producing graded diffuse axonal injury, hence its popularity.

In the Marmarou model, there is a possibility of a "second hit" induced by the weight after rebounding from the skull of the animal resting on the flexible sponge. Moreover, although the weight is enclosed within a Plexiglas tube, a slight left- and- right movement occurs during its fall; this may potentially lateralize the impact, leading to uneven distribution of the impact energy and increased variability of outcome measures. Because of these shortcomings, we designed a highly controlled and reproducible rat model of diffuse traumatic brain injury.<sup>152</sup> Our device consists of an air-driven high-velocity impactor that is targeted to contact a steel disc cemented onto the rodent skull. The impactor is of the same diameter as the steel disc, which is 10 mm in diameter. A molded, gel-filled base (Handstands, Taiwan) supports the animal's head and decelerates after impact. The middle diameter of the gel is 1.7 cm, whereas its compressibility is approximately 64 kPa/mm. To ensure precise and even contact with the steel disc on the animal's skull, the 14-cm-length impactor can be manually lowered onto the steel disc such that the two surfaces meet, whereas a laser beam guide is used to confirm that the two surfaces are parallel and in contact with each other. Having established this point of contact, the impactor is retracted to a 4-cm fixed distance above the steel disc. Impact is then initiated wherein the velocity of the impactor is constant (3.25 m/s). The distance the impactor travels after contacting the steel disc determines the injury severity and is under user control. Force of impact is controlled and recorded on a personal computer connected to the device through a PowerLab (Stoelting, Wood Dakem, IL). Compared with Marmarou's weight-drop device, our device enables control of the velocity as well as of the well time, during which the impactor is in contact with the animal's head.<sup>152</sup> Additionally, the laser-beam incorporated in our device enables precise centralization of the hit, thus prevent uneven delivery of impact energy to the head.

This model of TBI induces brain edema that begins 20 min after injury and peaks at 24 h after trauma, as shown by wet weight/dry weight ratios and diffusion-weighted magnetic resonance imaging. The permeability of the blood brain barrier is increased up to 4 h after injury as evaluated using Evans blue dye. Moreover, brain free magnesium concentration and cytosolic phosphorylation potential are significantly reduced, as demonstrated by phosphorus magnetic resonance spectroscopy at 4 h after injury. Manganese-enhanced magnetic resonance imaging, and intracerebral injection of a fluorescent vital dye (Fluoro-Ruby, Molecular Probes, Inc., Eugene, OR) showed diffuse axonal damage at 24 h and 7 d after injury. Morphological evidence of apoptosis and caspase-3 activa-

tion is also found in the cerebral hemisphere and brainstem at 24 h after trauma. Taken together, this model successfully replicates major biochemical and neurological changes of diffuse clinical TBI.

### Nonimpact head acceleration models

Movement of the brain within the skull rather than a direct impact to the head has been accepted as the major etiological factor in human closed head injuries. Indeed, it has been demonstrated that rapid rotation of the head is one of the main causes of diffuse brain injury.<sup>153</sup> Thus, the purpose of nonimpact head acceleration models is to replicate this condition. The rotational acceleration via shearing mechanisms causes diffuse axonal injury accompanied by various pathophysiological and behavioral changes.<sup>154</sup> The inertial effect, which depends upon the brain mass, determines the extent of tissue deformations.<sup>155</sup> Hence, acceleration head injury models place special attention on the scaling relationship between the brain mass and acceleration.<sup>156</sup> Numerous head acceleration models have been developed using nonhuman primates,<sup>157,158</sup> pigs,<sup>159,160</sup> rabbits,<sup>161</sup> and rats<sup>162</sup>; whereas some of these models constrain the motion of the head, others permit free head motion. The recently developed models constrain the head by using various fixation mechanisms<sup>159–162</sup> because the fully unconstrained head has been shown to increase the variability of the outcome parameters.

The nonhuman primate model uses a pneumatic shock tester to generate a nonimpact, controlled, single rotation, which displaces the head 60° within 10–20 ms.<sup>157</sup> The resulting rotational acceleration is biphasic, with a long acceleration phase followed by a short deceleration phase. The duration of coma, degree of neurological deficit, as well as the intensity of diffuse axonal injury localized in the subcortical white matter, upper brainstem, and cerebellum, are causally related to the mechanical force applied via coronal rotation of the head.<sup>163</sup> This method reproduces the range of impaired consciousness experienced in brain injured humans and induces widespread axonal damage similar to that found in human brains after severe TBI.<sup>158,163</sup>

Smith et al.<sup>160</sup> developed a head acceleration model, which induces diffuse brain trauma in miniature swine via head rotational acceleration. Briefly, the head is secured to a pneumatic actuator through a snout clamp. Triggering the pneumatic actuator produces linear motion that is further converted to angular motion through a linkage assembly directly mounted to the device.<sup>160,164</sup> The center of head rotation is positioned close to the skull base for coronal plane rotation, or at C-2 for axial plane rotation. Activation of the device rapidly rotates the animal's head over the designated angular excursion of 110° in 20 ms, with the peak acceleration at approximately 6 ms. The ensuing rotational acceleration of the

head has a biphasic course, with a predominant deceleration phase.<sup>160,165</sup> Both coronal and axial rotations induce diffuse axonal injury mainly located in the hemispheric white matter and brainstem. Accumulation of amyloid precursor protein, a marker for diffuse axonal injury,<sup>166,167</sup> was shown in the white matter of injured animals at 3–10 days after injury.<sup>168</sup> Moreover, prolonged coma occurs immediately after axial rotation of the head, but not after coronal plate rotation.<sup>169</sup> The severity of coma is linearly related to the applied kinetic energy, as well as with the extent of axonal injury in the brainstem.<sup>160,169</sup> Acute reductions in the neuronal marker, N-acetylaspartate, and in intracellular free magnesium levels, persisting for up to 7 days after trauma,<sup>165,170</sup> are also induced by the Smith model as demonstrated by nuclear magnetic resonance spectroscopy. The changes in intracellular metabolism caused by diffuse axonal damage in the white matter occur in the absence of alterations in pH and phosphocreatine/inorganic ratio; the concentrations of ATP and lactate also remain close to normal values.<sup>170</sup>

The nonimpact acceleration injury models using nonhuman primates and miniature swine most closely replicate the complex pathobiology of human traumatic brain injury; hence, they offer valuable information on morphological, cellular, and molecular responses to diffuse brain injury. However, their use is difficult for most laboratories due to the cost and size of the animal, as well as the sophisticated technical requirements.<sup>11</sup> Moreover, the models of acceleration head injury using large experimental animals lack reliable functional outcome tests, which are critical to the preclinical evaluation of neuroprotective treatments.

### INDIRECT DYNAMIC BRAIN INJURY

Although exposure to blast overpressure that is generated during an explosion has been considered to damage primarily organs containing air or containing structures with different densities (ear, lungs, intestine, etc.),<sup>171</sup> recent clinical and experimental data show that peripheral blast trauma, without direct head injury, causes significant brain damage with consequent cognitive and/or motor system deficits. This brain injury is most likely induced through afferent hyperexcitability, increased synthesis and release of various neurotransmitters and autacoids, and/or kinetic energy transfer of the blast overpressure to the CNS.<sup>172,173</sup> The induction system routinely used in blast exposure models consists of a cylindrical metal tube that is closed at one end. The anesthetized rats are fixed individually in special holders designed to prevent any movement of their body in response to the blast. The blast over- and under-pressure waves are then generated either by detonation of plastic explosive or compressed air in the closed end of the tube.



The animals are usually subjected to a blast wave with mean peak overpressure of between 154 and 340 kPa on the nearest surface of the animal's body, whereas the pressure is sustained for varying durations of time.<sup>173,174</sup> Swelling of neurons, an astroglial response, and myelin debris in the hippocampus has been found following moderate blast injury in animals.<sup>173</sup> Immunohistochemical findings have demonstrated significant damage to the neuronal cytoskeleton in layers II–IV of the temporal cortex, in the cingulate gyrus and the piriform cortex, the dentate gyrus, and the CA1 region of the hippocampus over 7 days after blast exposure.<sup>174</sup> Moreover, development of oxidative stress, alterations in antioxidant enzyme defense systems,<sup>173</sup> increased nitric oxide metabolism, and subsequent cognitive deficits<sup>175</sup> have been shown. These findings suggest a resemblance between the blast-induced neuronal dysfunction and that found in direct traumatic brain injury. Indeed, it has been reported that the rodent blast exposure model of indirect neurotrauma can induce many features of brain damage observed in victims of an explosion.<sup>176,177</sup> Hence, the experimental rodent model can be a useful tool to elucidate mechanisms of delayed neuronal dysfunction following blast exposure, and to test potential therapeutics.

### COMBINED NEUROTRAUMA MODELS

Hypoxia, ischemia, hypovolemia, and hypotension frequently accompany traumatic brain injury in animals<sup>178–180</sup> and humans.<sup>181–183</sup> The combination of these pathological conditions aggravates functional outcome and increases mortality.<sup>181–183</sup> Thus, experimental models that combine traumatic brain injury and secondary insults have been designed using rats,<sup>178,184,185</sup> mice,<sup>186</sup> cats,<sup>28,187</sup> and swine.<sup>188,189</sup> In the TBI-hypoxia models, fluid percussion,<sup>178,190,191</sup> controlled cortical impact<sup>185,192,193</sup> or impact-acceleration<sup>194,195</sup> TBI models are used to inflict neurotrauma, followed by hypoxic conditions (pO<sub>2</sub> approximately 30–40 mm Hg or FiO<sub>2</sub> 10–13%) to induce secondary hypoxia. On the other hand, models that combine mechanical brain injury and hypovolemia and/or hypotension inflict brain injury using one of the above-mentioned experimental TBI models with subsequent hemorrhage, which decreases the mean arterial pressure to 30–50 mm Hg.<sup>188,189,196</sup> Combined neurotrauma models are valuable tools to evaluate the consequences and mechanisms of secondary insults after TBI.

Taken together, no single *in vivo* model of traumatic brain injury can be recommended as a gold standard for neurotrauma research. Because each animal model offers certain benefits, but also limitations, the choice must be made based on specific research aims and objectives.<sup>2,11</sup> Utilization of multiple animal models has also been recommended to characterize vital mechanisms involved in

the pathobiology of traumatic brain injury.<sup>11</sup> Moreover, it has been shown that some physiological and biochemical factors, which might be potentially involved in secondary injury following CNS trauma, show species-specific properties<sup>197,198</sup>; hence, using the two models/two species paradigm in *in vivo* neurotrauma research may increase the consistency and reliability of the results.

### CONCLUSION

Experimental models of TBI are of vital importance in the identification of the complex mechanisms leading to both necrotic and apoptotic neuronal cell death after brain injury.<sup>21,53,199</sup> A variety of morphological, cellular, molecular, and behavioral changes have been characterized across experimental models and across laboratories, such as changes in ionic homeostasis (calcium, magnesium, sodium, and potassium), generation of free radicals, inflammatory/immune response, release of excitatory amino acids, and alterations in multiple neurotransmitter/neuromodulator systems.<sup>4,200,201</sup> These results prompted the development of various therapeutic strategies, such as administration of magnesium, glutamate antagonists, immunomodulators, antioxidants, and antiapoptotic agents, among others.<sup>202–205</sup> However, pharmacokinetic and pharmacodynamic studies using animal models, as well as studies evaluating central penetration of systemically administered drugs after experimental TBI, are rarely conducted.<sup>206</sup> Further development of more complex animal models of traumatic brain injury is necessary to reproduce the complete pathobiology of human TBI. These models, which would incorporate hypoxia, ischemia, and other potentially relevant factors of clinical head injury, would be useful in testing combination therapies that prevent various factors of the secondary injury cascade, or single compounds that modulate multiple mechanisms of the cascade.<sup>204,207</sup> Moreover, there is a need for a reliable and reproducible animal model that reproduces development/age- and gender-dependent responses to traumatic brain injury.

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