

Context Modulated Spatial Encoding and Memory Consolidation in the Rodent Hippocampus

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I, Margot Fiona Tirole, confirm that the work presented in this thesis is my own. Where information has been derived from other sources, I confirm that this has been indicated in the work.

Abstract

The recollection of daily events is inherently personal: episodic memories are defined by the recollection of one's sense of self during a particular event, within a surrounding context. Representations of such experiences are initially encoded in the hippocampus then consolidated by their repeated reactivation in synchrony with the cortex during sleep. After consolidation, memories are less prone to interference by similar experiences.

However, a day in one's life is usually constructed from multiple episodic experiences which can span multiple contexts. Little is known about the potential interference by previous memories on the construction of novel representations when contextual features are shared. Moreover, salient episodic memories are better remembered than neutral ones in the long term. Highly rewarding, traumatic or novel experiences can lead to intrusive (e.g. Post Traumatic Stress Disorder) or extremely vivid recall (e.g. Flashbulb memories) recall, and in general longer lasting memories. This phenomenon of prioritised memory consolidation is thought to ensure the storage of relevant memories, at the detriment of less important ones, and has been shown to correlate with an overall increase in their reactivation frequency during sleep. However, the temporal dynamics of memory triage during sleep have not yet been investigated.

Recording from many hippocampal neurons simultaneously in the rat, during both sleep and the exploration of three completely new environments each session, we tracked the encoding and consolidation of feature-sharing and salience modulated representations. We provide evidence for the presence of neural patterns of activity that may support generalisation with similar past experiences, as well as differentia-

tion of the novel representation during its initial stabilisation window. Furthermore, we show that the temporal dynamics of memory triage are not uniform, and instead exhibit a cyclic (time attributed to each memory) and an amplitude (relative proportion) component.

Impact Statement

One's ability to remember important information in the long term is contingent on getting enough quality sleep. However, more than a third of American adults sleep less than 7 hours (CDC), the average Briton sleeps 6 hours and 19 minutes (Sleep Council), and a study with 13,000 participants across 12 countries worldwide reports that 51% of adults are generally dissatisfied with their sleep (Phillips 5th annual global survey). Under such pressure, memory triage is an essential process with the aim of ensuring the retention of experiences with the highest learning capital. Investigating the fundamental mechanisms of memory triage, as well as finding ways to modulate it is directly relevant to public health and education.

More recently, with lockdown measures being instated for months at a time, a growing number of reports have been made of declining memory performance, even in healthy individuals. The lack of change of context, the lack of segmentation between one's professional and personal lives, and decreased opportunities for recall (through social interactions) are directly relevant to the working framework of this thesis.

The two results chapter of this thesis will be published in scientific journals, presented in large conferences and if possible the findings disseminated to the general public.

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Contents

1	Introduction	23
1.1	What Defines an Experience - The Case for Episodic Memory	23
1.2	How to Build an Episodic Memory: Encoding	25
1.2.1	Spatial coding in the hippocampus	25
1.2.1.1	Anatomy of the Hippocampus	25
1.2.1.2	Place Cells	27
1.2.1.3	Other Neural Substrates of Space	28
1.2.2	Forming Cell Ensembles	29
1.2.2.1	The Hippocampus as a sequence learner	29
1.2.2.2	Pre-configured Ensembles	31
1.2.3	Explicit Features: Multi-Sensory Integration	32
1.2.3.1	Sensory Cues	32
1.2.3.2	Reward	34
1.2.3.3	Pain and Fear	35
1.2.4	Implicit Features: Internal States	35
1.2.4.1	Experience	35
1.2.4.2	Attention and Tasks	36
1.2.4.3	Motivation	37
1.2.4.4	Time	37
1.3	How to Build an Episodic Memory: Consolidation	38
1.3.1	The Hippocampus and Memory	38
1.3.2	The Role of Sleep	39
1.3.2.1	REM and SWS	39

1.3.3	Memory Consolidation Models	40
1.3.3.1	Standard Consolidation Model	40
1.3.3.2	Cognitive Map Theory	41
1.3.3.3	Multiple Trace Model	41
1.3.3.4	Homeostatic Model	43
1.4	How to Deal with Feature-Sharing Experiences	43
1.4.1	Segmentation of Experiences	44
1.4.2	Remapping	45
1.4.3	Pattern Completion and Separation	46
1.4.4	Schemas and Novelty Detection	48
1.4.5	Working Framework	50
1.5	How to Deal with Limited Storage: Memory Triage	51
1.5.1	Salient Memories	51
1.5.1.1	Emotion	52
1.5.1.2	Novelty	54
1.5.2	Mechanisms	54
1.5.2.1	Tagging	55
1.5.2.2	Cortical Feedback	56
1.5.3	Working Framework	58
1.6	Thesis Aims	59
2	General Methods	63
2.1	Animal Housing and Care	64
2.2	Surgeries	65
2.3	Electrophysiological Recordings	66
2.3.1	Microdrives	66
2.3.1.1	Independently Moveable Microarrays	66
2.3.1.2	Non-Independently Moveable Microarrays	67
2.3.2	Screening	67
2.3.3	Data Acquisition	68
2.4	Behaviour	69

2.4.1	Apparatus	69
2.4.2	Food Preference	69
2.4.3	Recording Protocol: Reward Experiment	70
2.5	Histology	73
3	Analysis Methods	75
3.1	Behaviour	75
3.1.1	Reward Preference	75
3.1.2	Position Data	76
3.1.2.1	Pre-Processing	76
3.1.2.2	Sleep State Detection	77
3.2	Electrophysiological Data Pre-Processing	80
3.2.0.1	Single Unit Isolation	80
3.2.0.2	Deletion of Dropped Samples	80
3.2.0.3	Single Unit Characterisation and rate map calculation	80
3.2.0.4	LFP Extraction and Filtering	84
3.3	Decoding	85
3.3.1	Detection of Candidate Replay Events	85
3.3.2	Detection of Reactivations	85
3.3.2.1	Split Events	85
3.3.3	Bayesian Decoding	86
3.3.3.1	Jump detection candidate replay events	88
3.3.4	Shuffles	89
3.3.4.1	Spike Train Circular Shift	89
3.3.4.2	Rate map Circular Shift	89
3.3.4.3	Decoded Position Bin Circular Shift	89
3.3.5	Significance Testing	89
3.3.5.1	Spearman Correlation	89
3.3.5.2	Weighted Correlation	90
3.3.5.3	Line Fitting	90

3.3.6	Scoring of Replay Events	91
3.3.7	Decoding Error	93
3.4	Analysis	95
3.4.1	Remapping Analysis	95
3.4.2	Map Stabilisation	96
3.4.3	Classification Accuracy and Decoding Errors	97
3.4.4	Significance procedure for the proportion of replay events .	100
3.4.5	Statistical tests	100
3.4.6	Summary of Data	101
4	Generalisation vs Differentiation of Novel Maps	103
4.1	Brief Introduction	104
4.2	Results	107
4.2.1	Behaviour and Remapping Between Environments	107
4.2.2	Temporal Dynamics of Map Differentiation	111
4.2.3	Temporal Dynamics of Cell Participation	116
4.2.4	Temporal Dynamics of Local and Remote Replay	119
4.3	Chapter Discussion	123
5	The effect of reward and temporal proximity to sleep on memory triage	129
5.1	Brief Introduction	129
5.2	Results	133
5.2.1	Reward Preference	133
5.2.2	Behaviour and Cell Properties	134
5.2.3	Recency and Reward Modulation of Candidate Replay Events	137
5.2.4	Recency and Reward Modulation of Awake and Sleep Replay	140
5.3	Chapter Discussion	144
6	General Discussion	147
6.1	Evidence for Proactive Interference in the Hippocampus	147
6.2	Temporal Dynamics of Memory Triage	150

Contents 15

Appendices 153

A Appendix Chapter 5 153

B Appendix Chapter 6 169

Bibliography 174

List of Figures

1.1	Anatomy of the Hippocampus	27
1.2	Schematic illustration of place cells, theta and replay	32
1.3	Memory Consolidation Models	42
1.4	Synaptic Tag and Capture	56
2.1	Schematic of the recording setup	71
2.2	Histology: Location of the drives and tetrodes	74
3.1	Sleep detection procedure	78
3.2	Example of SWS and REM epochs in the sleep pot	79
3.3	Example of rate maps from the session with the least number of cells	82
3.4	Example of rate maps from the session with most cells	83
3.5	Power Spectral Density of the LFP for low and high speeds	86
3.6	Example of bayesian decoding on the tracks	88
3.7	Decoding and shuffling procedure for replay events	92
3.8	Schematics for decoding accuracy measures	94
3.9	Schematic of the single cell remapping analysis	96
3.10	Effect of shuffles on cell distribution	99
3.11	Summary of behavioural and recording data	102
4.1	Contexts are differentiated and cells remap between them	110
4.2	Incorrect Bayesian track classification decreases over the first 5 laps and the corresponding decoded positions are biased toward the end zones	115

4.3	Cells form place fields predominantly in the first lap, and a subset of cells from past environments are active then disappear in the first couple laps	118
4.4	Past cells differ from other cells by their lower firing rates	119
4.5	Remote replay of previous experiences occur at the beginning of a novel track then abate after a few Laps. Distinct sub-population of cells participate in each replay type	122
4.6	summary schematic of findings	124
5.1	Behaviourally confirmed reward preference translates to a higher number of laps but does not increase stopping time at end zones . . .	136
5.2	Candidate replay event rate does not compensate for an increasing number of contexts to replay and is not modulated by reward	139
5.3	Awake replay is selectively modulated by recency while sleep replay is temporally modulated by both recency and reward	143
A.1	Reward Preference	153
A.2	Running speed as a function of laps	154
A.3	Reward preference, number of laps and stopping time for all 5 rats .	156
A.4	GLMM results for laps	157
A.5	GLMM results for running speed	158
A.6	GLMM results for stopping times	159
A.7	GLMM results for number of cells recorded	160
A.8	GLMM results for peak in field firing rate	161
A.9	GLMM results for Skaggs' information content	161
A.10	Classification Accuracy and Decoding Error	162
A.11	Only a major disruption of the distribution of cells on the track remove teleportation to the end zones effects - controls	163
A.12	Probability distributions of the absolute distance between real and decoded position when the track classification is accurate, for end zones and middle zone	164

A.13 Difference in percentage of cells per cm between the end zones and the middle zone. positive values indicate a higher density in the end zones. 165

A.14 Decoded position accuracy per lap 166

A.15 Between laps differences in decoded position accuracy 166

A.16 Between laps differences in track classification accuracy 167

B.1 Proportion of replay events before and after sleep for each track . . 170

B.2 Temporal evolution of the proportion of replay events during sleep: recency and reward for the most recent track 171

B.3 Temporal evolution of the proportion of replay events during sleep: reward modulation for the first two tracks 172

B.4 Distribution of stopping times 173

List of Tables

A.1	Summary statistics for reward preference tests	154
A.2	Summary statistics for the mixed Poisson model: number of laps \sim reward + (rat session), back transformed to response scale	155
A.3	Summary statistics for the mixed Poisson model: number of laps \sim reward*recency + (rat session), contrasts back transformed to re- sponse scale	155
A.4	Summary statistics for the mixed Poisson model: number of laps \sim change in reward + (rat session), back transformed to response scale	155
A.5	156
A.6	Summary statistics for the mixed Gaussian model: time spent $<$ 5cm.s ⁻¹ at end zones \sim reward + (rat session)	158
A.7	Summary statistics for the mixed Gaussian model: time spent $<$ 5cm.s ⁻¹ in middle zone \sim reward + (rat)	158
A.8	Summary statistics for the mixed Poisson model: number of cells on track \sim reward + (rat session)	160
A.9	Summary statistics for the mixed Poisson model: Peak in field firing rate \sim reward + (rat session)	160
A.10	Summary statistics for the mixed gaussian model: skaggs informa- tion \sim reward + (rat session), estimated effect on the log scale. con- trasts back transformed to the response scale.	162
B.1	Summary statistics of recency effects for each epoch.	169

Chapter 1

Introduction

1.1 What Defines an Experience - The Case for Episodic Memory

Our daily lives are constructed from episodic experiences, spanning multiple contexts. At the end of the day when we go to sleep, only some of these experiences will become long-lasting memories. Such 'episodic' memories are defined not only by a sense of self in time and space, but also by our ability to intentionally recall them.

Episodic and semantic memory are distinct forms of declarative memory: memories that can be explicitly and consciously recalled (Tulving, 1972). They differ in that semantic memory refers to the knowledge of facts: "London has a current population of 9 million inhabitants" (London Met) and is not rooted in time, space nor linked to one's sense of self. Contrary to this, episodic memories are commonly defined by "*What, Where and When?*". Before becoming long term recollections, episodic occurrences are one's experience of certain set of external and internal states, or in other terms, of a context.

Defining context

A context can be defined by four main factors 1) sensory attributes (geometry, colour, objects, smell etc.), 2) internal states (hunger, pain, attention...), 3) contingencies (a specific succession of actions will lead to reward or punishment) and

4) previous experience (degree of familiarity and knowledge about all previously listed attributes) (Kentros et al., 2004; Nadel, 2008; Smith and Mizumori, 2006). Contextual changes can occur within an unchanged physical space when an internal state or contingencies changes, while two physically distinct spaces might be bound together by the same set of rules (driving on the left hand side of the road in England and Japan). Identifying what makes two contexts distinct, and the transition point from one to another is not always straightforward, as in the example of a slowly changing probability of success on a slot machine. However, given how strongly context influences behaviour and learning, it is important to recognise when an efficient strategy in one context (stopping to analyse the situation) might prove disastrous in another (doing so in the middle of the road).

Therefore, the brain needs to strike the delicate balance between encoding and storing different contexts as separate memories, and yet be able to retain the ability to generalise across these contexts to optimise behaviour. Because we have limited memory resources, the episodes experienced in different contexts must be compared, to determine which are more important to consolidate (and which are the least important and can be forgotten). While there may be a discrepancy between whether a memory is stored and whether it can be recalled, less striking experiences have been shown to be forgotten at a faster rate than important ones (see section 1.5): we tend to remember birthdays years and decades later, while we tend to forget what we had for lunch on a regular day a few weeks ago.

Identifying the Neural Substrates of Episodic Memories

As episodic memory is a form of declarative memory, their recall can be tested verbally in humans (Tulving, 1972), or through the correct choice of action (or lack thereof) in experimental settings probing the recall of temporal order, space, or items in humans (Tulving, 1972; Watkins and Tulving, 1975), non-human primates (Beran et al., 2016), rodents (Zhou and Crystal, 2009), and birds (Clayton and Dickinson, 1998; Raby and Clayton, 2012), among other animal models. Historically, lesion studies of patients with Medial Temporal Lobe (MTL) damage - which includes damage to the Amygdala (AMY), the Hippocampus (HPC) and parahippocampal,

entorhinal and perirhinal regions - have identified this brain lobe as a prime candidate for supporting encoding and retention of memory (Scoville and Milner, 1957). More recently, through the development of neural imaging techniques and electrophysiological recordings, the temporal dynamics of episodic memory formation and consolidation can also be studied at the level of individual or large ensembles of neurons.

If episodic memories can be studied by searching for the "what, where or when" (items, space or time) or combinations thereof in the brain, the discovery of hippocampal place cells (O'Keefe and Dostrovsky, 1971) in an area identified as important for episodic memory, has strongly biased the last several decades' research towards the "where". This thesis will likewise be focused on spatial memories as a means to understanding episodic memory.

1.2 How to Build an Episodic Memory: Encoding

Before they can be consolidated for the long term, spatial experiences need to be encoded into a neural representation, such that they can be accessed across memory systems and incorporate a variety of information about the current context.

1.2.1 Spatial coding in the hippocampus

The search for a cognitive map of space, partly incentivized by the work of Tolman and colleagues which demonstrated the ability of rats to learn about shortcuts and detours after the latent learning of space (Tolman and Honzik, 1930; Tolman, 1948), led to the discovery of place cells in the hippocampus (O'Keefe and Dostrovsky, 1971).

1.2.1.1 Anatomy of the Hippocampus

Anatomically, the hippocampus is part of a recurrent circuit with parahippocampal regions and neocortical areas. Neocortical association areas - including many somatosensory areas - project to the parahippocampal areas which themselves project back to the hippocampus. The hippocampus consists of three subregions that are mainly connected uni-directionally. This connectivity is summarised as a trisynaptic loop (Andersen et al., 1971): layer II of the Entorhinal Cortex (EC) → Dentate

Gyrus (DG) → Cornu Ammonis 3 (CA3) → Cornu Ammonis 1 (CA1). Few projections opposing this flow of information exist, but include recurrent connections in CA3, and some direct projections from layers II-III of the EC to CA3 and CA1. The output of this trisynaptic loop is then fed back to the neocortical areas via the subiculum and the layers V of the EC (see Figure 1.1 for more details). Importantly, this convergence then redistribution of information from the neocortex to the hippocampus is well conserved across mammalian species (Amaral and Witter, 1989; Manns et al., 2007; Witter et al., 2000).

Regions of particular interest for the scope of this thesis with connections to the hippocampus include:

1. the Prefrontal Cortex (PFC), a region involved in decision making and memory, which can be considered to contain the Orbitofrontal Cortex (OFC) and is thought to be involved in decision making, value learning, and more recently has also been posited to form cognitive maps of state spaces (Schuck et al., 2016; Wilson et al., 2014)
2. the Ventral Tegmental Area (VTA) with its dopaminergic projections that can encode reward prediction errors (Schultz et al., 1997)
3. the Locus Coeruleus (LC) which is part of the noradrenergic system and relates to arousal, surprise, novelty and attention (Duszkiewicz et al., 2019; McNamara and Dupret, 2017; Yamasaki and Takeuchi, 2017).

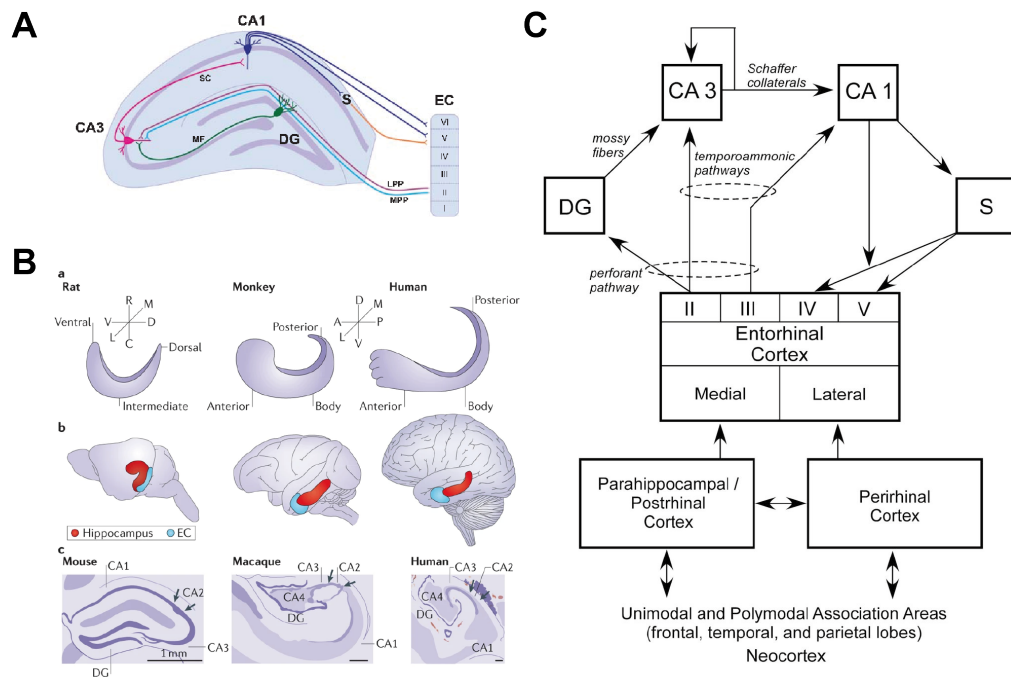


Figure 1.1: Anatomy of the Hippocampus

A: Schematic of the trisynaptic loop superimposed on a coronal slice of the dorsal hippocampus. Layer II of the EC projects to the granule cells of the DG via the the perforant paths (medial in light blue, lateral in purple), which continue and also project onto CA3. The DG projects onto CA3 via the mossy fibres. CA3 neurons then project to CA1 via the Schaffer collaterals. CA1 is the main output of the trisynaptic loop, and projects to the subiculum and deeper layers of the EC. adapted from Patten et al. (2016)

B: Schematic of the rat, rhesus macaque and human hippocampus illustrating their similarities. Top two rows: the orientation of the hippocampus and EC along the antero-posterior and ventro-medial axes. Bottom row: Nissl stained cross sections of the hippocampus revealing the conservation of the trisynaptic loop. adapted from Strange et al. (2014)

C: Schematic illustration of within MTL connections. adapted from Clark and Squirea (2013)

1.2.1.2 Place Cells

While recording pyramidal neurons in the dorsal CA1 of rats, O'Keefe and Dostrovsky (1971) made the observation that as the animal freely foraged through an environment, each neuron had a preferred firing location within the space. The locations of increased place cell firing are known as place fields: inside the place field, the cell exhibits high frequency bursting activity, and exhibit low firing activity outside of it (Fenton and Muller, 1998). Each place cell therefore codes for a

portion of the environment, which suggests that at a population level, a spatial map can be reconstituted from the combined activity of many place cells.

The importance of place cells for creating a 'cognitive map' of space is supported by various hippocampal lesion studies demonstrating that the spatial navigation abilities of rodents in the lesion group are impaired (Hollup et al., 2001; Redish and Touretzky, 1998; Zhang et al., 2004), and from the observation that the position of an animal can be accurately decoded from the spiking activity of a few tens of place cells (Davidson et al., 2009b; Fenton et al., 2008; Jensen and Lisman, 2000; Wilson and McNaughton, 1994; Zhang et al., 1998). Place cells are far from being the only spatially-tuned cells in the brain, but their high density within the hippocampal cell layer along with their ease of recording and higher spatial information content has led to decades of research linking them to memory, and making them a prime (if not the only) target to investigate spatial maps.

1.2.1.3 Other Neural Substrates of Space

Place cells are not the only discovered neurons with spatially modulated firing. For the sake of comprehensiveness, we will briefly cover the other cells that are thought to support spatial navigation:

- 1) Grid cells in the EC were discovered by Hafting et al. (2005). Like place cells, grid cells form fields in the environment, but do so in a regular hexagonal pattern covering the entirety of the space. They may support path integration (Chen et al., 2019) as the animal crosses the regularly spaced fields of each cell.
- 2) Head Direction cells, discovered by Ranck (1984) and characterised by Taube et al. (1990), are found in the subicular complex, retrosplenial cortex, the Anterior Dorsal, Lateral Mamillary thalamic nuclei (ADN, LMN), and Dorsal Tegmental Nucleus (DTN) to cite a few. HD cells fire maximally when the head of the animal is aligned with the preferred direction of the cell, and code for orientation in space.
- 3) Boundary Vector Cells discovered by Lever et al. (2009) in the subiculum have both a preferred direction and distance from boundaries, and may explain the sensitivity of place cells to geometrical constraints (see the BVC model of place cell

firing Hartley et al. (2000); O'Keefe and Burgess (1996)).

1.2.2 Forming Cell Ensembles

We have described how individual neurons can encode a subset of spatial features, but to obtain a map of space, the relational properties or transitions between cells need to also be encoded to form a representation. Knowledge of transitions between states is what ultimately constitutes a cognitive map, by allowing for trajectories to be planned and remembered.

1.2.2.1 The Hippocampus as a sequence learner

Through Hebbian learning, and more particularly Spike Timing Dependent Plasticity (STDP), place cells with neighbouring fields will tend to fire in close temporal proximity, strengthening their synaptic connections (D'Albis et al., 2015; Hebb, 1949). The asymmetry in the firing sequence between the pre-synaptic cell and the post-synaptic cell, resulting in either LTP or LTD, has been modelled to encode sequence formation and place field skewness in the hippocampus (Blum and Abbott, 1996; Mehta et al., 1997; Yu et al., 2006). Furthermore, the hippocampus displays two striking properties relating to sequences of place cell activation on shorter timescales (relevant to STDP) that may contribute to faster sequence learning and stronger representations:

Theta Sequences

Like in many areas in the brain, theta oscillations (6-10Hz in the rat) can be observed in the hippocampal Local Field Potential (LFP) during several types of behaviours including locomotion, exploratory behaviour and REM sleep. Place cell activity is directly modulated by this rhythm - as the animal moves through a place field, the spike timing of the cell will correspondingly shift from the late phase of the theta cycle to earlier phases, a phenomenon called phase precession (O'Keefe and Recce, 1993; Skaggs et al., 1996). Place cells start phase precessing very rapidly upon exploration of a novel environment (Foster and Wilson, 2006), and at a population level, the precession of each cell in conjunction with a still unelucidated temporal coordination process (Feng et al., 2015; Middleton and McHugh, 2016) leads to the

emergence of theta sequences (Buzsáki et al., 2003; Drieu et al.). Theta sequences form an ordered compressed representation of past, present and future positions of the animal on a short timescale (few hundreds of ms) and occur at each theta cycle.

Replay

The second mechanism by which the hippocampus is postulated to strengthen spatial trajectory transitions within an ensemble of place cells is the spontaneous reactivation (or replay) of place cells in a temporally compressed sequence. Unlike theta sequences, replay does not occur during the theta modulation of place cells during locomotion. Replay is the reactivation of previously experienced trajectories by place cells during periods of quiet rest/immobility, such as reward consumption and sleep. Replay events have originally been defined as occurring during Sharp Wave Ripples (SWRs), which are depolarising events in CA1 and consist of a large amplitude deflection in the LFP (the sharp wave) on top of which rides a fast oscillation (the ripple, 150-300Hz) (Buzsáki et al., 1992). The replayed trajectories can span longer distances than what is typically observed during theta sequences (Davidson et al., 2009b), and while theta sequences may support planning and allow trajectory encoding and retrieval (Dragoi and Buzsáki, 2006; Drieu et al.; Foster and Wilson, 2006; Hasselmo and Eichenbaum, 2005; Robbe and Buzsáki, 2009; Wang et al., 2015), they are tied to the current location and context. On the contrary, replay events show a greater degree of flexibility in what and how spatial trajectories can be represented, including the reactivation of trajectories in forward or reverse order, of previously experienced environments (Gupta et al., 2010; Karlsson and Frank, 2009a) and of potentially never experienced trajectories (Gupta et al., 2010). Thus, replay provides a representational flexibility that does not appear to be possible with theta sequences.

Crucially, both replay and theta sequences are necessary to stabilise spatial representations (Brandon et al., 2011; Koenig et al., 2011; Kovács et al., 2016; Theodoni et al., 2018; van de Ven et al., 2016), but also for spatial navigation (Jadhav et al., 2012; Roux et al., 2017) and memory consolidation (Ego-Stengel and

Wilson, 2009; Girardeau et al., 2009).

1.2.2.2 Pre-configured Ensembles

In 2011, Dragoi and Tonegawa (2011) reported replay of space that had not yet been experienced, and called this phenomenon *de novo* preplay. The implication of such preplay would be the pre-configuration of place cells in sequences, and experience of an environment would only serve as mapping spatial features to this pre-existing map. Preplay has been observed in a few studies since (Dragoi and Tonegawa, 2011, 2013; Farooq et al., 2019; Ólafsdóttir et al., 2015). Criticism for the existence of preplay as such comes from the difficulty to predict how long the sequence needs to be in advance, the topography of the environment that needs to be represented (e.g. linear track or open arena) and the choice of statistical methods used to quantify the significance of detected replay events. For a review and demonstration that preplay can be detected in noise when using incorrect statistics see Foster (2017); Silva et al. (2015). Furthermore, as will be described in a later section, place cells can participate in multiple representations, and preplay may be related to past, feature-sharing memories (Eichenbaum, 2015).

Evidence for some cells forming a pre-existing "backbone" structure that can later be integrated into a novel representation was presented by Grosmark and Buzsáki (2016). In this study, they identified two sub-populations of cells with differing firing dynamics: fast-firing "rigid" cells and slow-firing "plastic" cells. The first category, rigid cells, presented sequential firing (replay) prior to, during and after the novel experience. They had broader place fields and higher firing rates than the other neurons, and did not refine their spatial tuning much during the novel experience. The second category, plastic cells, only participated in replay during and after the novel maze exploration. They had more sharply tuned place fields, and increased their involvement in replay over time. Again, rigid cells could be part of previous feature-sharing memories, but this hypothesis could not be tested in Grosmark and Buzsáki (2016).

Thus far, we have described how representations of space can emerge from the combined activity of place cells. We will next investigate how these representations

may be modulated by other factors than space and lead to the representation of context.

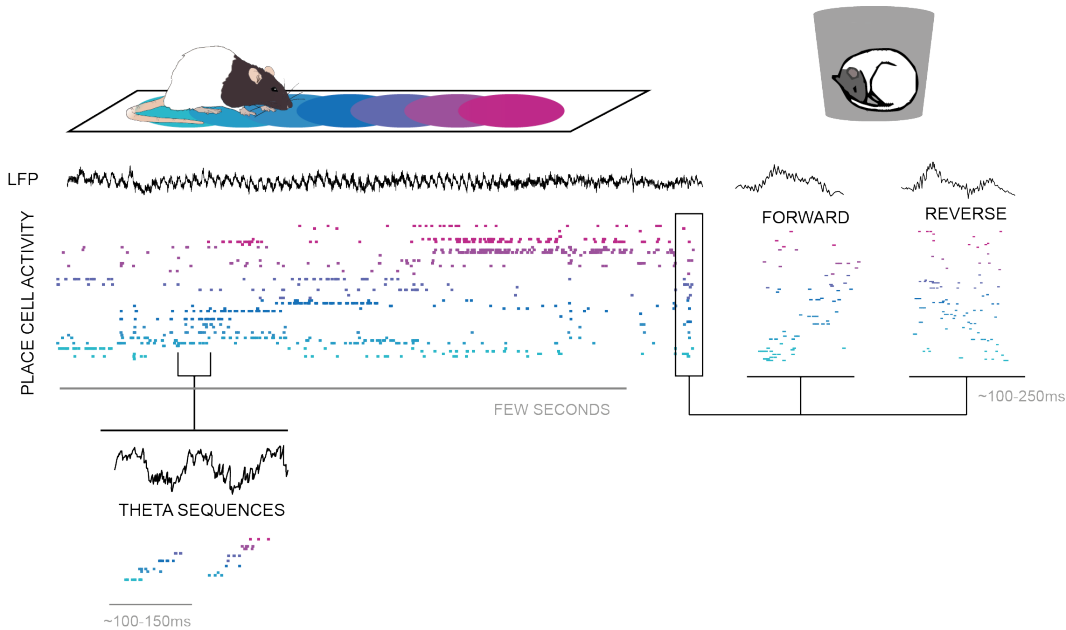


Figure 1.2: Schematic illustration of place cells, theta and replay

The preferred firing location of place cells on the track are represented as coloured disks, where each cell has its own colour. Below, the hippocampal LFP (in black) is shown as the animal runs down the track. When the animal reaches the end of the track, power in the theta band is greatly reduced. Synchronised to the LFP, the activity of place cells - ordered on the vertical axis according to their preferred firing position in space - forms a sequence over the timescale of a few seconds. Zooming on a couple of theta cycles, individual theta sequences can be observed, sweeping from previous positions to future ones. To the right, examples of forward and reverse replay events while the animal is sleeping. Sequences during theta and replay occur on much shorter timescales. Rat schematic adapted with permission from SciDraw.io (<https://doi.org/10.5281/zenodo.3926077>, <https://creativecommons.org/licenses/by/4.0/>)

1.2.3 Explicit Features: Multi-Sensory Integration

Place cells encode space through the combined inputs from neocortical and parahippocampal areas, including most sensory cortices. Early studies have focused on how the directly observable features of a context modulate place cell firing.

1.2.3.1 Sensory Cues

In the literature, the visual and geometrical (boundaries) properties of a context are the most frequently varied within an experiment, partly for their ease of ma-

nipulation, but also because changes in visual cues or boundaries elicit significant changes in the firing of place cells. Experiments testing the removal of subsets of visual landmarks from an environment show that place cells tend to be tuned to the conjunctive presence of multiple visual cues rather than that of single clues (Fenton et al., 2000; Muller and Kubie, 1987; O'Keefe and Conway, 1978; Shapiro et al., 1997). Place fields may rotate with visual cues, but do not depend on their presence (O'Keefe and Conway, 1978), and fields stay stable in the dark, given the environment has been sufficiently explored before (Quirk et al., 1990).

Fields are restricted by boundaries in the sense that they will not extend beyond an obstacle placed in the environment (Muller and Kubie, 1987). Elongation or compression of the boundaries of an environment elicits the elongation or compression of place fields accordingly (O'Keefe and Burgess, 1996). The insertion of barriers often creates a duplication of fields (Lever et al., 2002).

Olfactory cues can help stabilise maps (Save et al., 2000), disambiguate between environments (Anderson and Jeffery, 2003), and provide reliable landmarks in the absence of visual cues (Zhang et al., 2015).

Tactile cues have also been shown to help stabilise, refine and participate in the formation of spatial maps (Gener et al., 2013; Save et al., 1998). In addition to olfactory and tactile cues, idiothetic cues - self motion - can increase the stability of representations and prevent drift (Knierim et al., 1996; Ravassard et al., 2013; Sharp et al., 1995).

Non Spatial Sequence Learning

Aronov et al. (2017) recorded hippocampal and medial EC cells in rats learning to navigate a linear sequence of sounds to obtain a reward. Many of these cells formed a tuning response to a specific part of the soundscape. About 21% of these cells were place cells in physical space, and 34% were grid cells. While hippocampal non-place cells and MEC non-grid cells were more likely to be tuned to a sound frequency, this study showed that the connectivity of the hippocampus may putatively support different types of cognitive maps, but space is the most often encountered one. It also fits well with human hippocampal maps that are more prone to extend

beyond space (e.g. Schuck and Niv (2019); Tavares et al. (2015)).

We have seen that external cues can modulate spatial representations, and that the latter are robust to small changes in the environment and seem to encode for spatial context (you can recognise your backyard even after a tree has fallen). In the next sections we show that place cells indeed code for more than just space, and reflect context in a larger sense. One example is the modulation of representations by reinforcers and emotions.

1.2.3.2 Reward

The hippocampus receives indirect projections from the PFC, the OFC, and direct projections from the VTA. These regions are respectively involved in decision-making, value learning, and reward signalling, the latter through dopaminergic projections, suggesting that place cells may potentially be modulated by reward (see Kennerley and Walton (2011) for a review of PFC function and Hollerman and Schultz (1998); Schultz et al. (1997) for a review on reward and dopamine). The importance of the map-like properties of ensembles of place cells for spatial navigation (Hu and Amsel, 1995) supports the idea that some form of reward or goal coding - even if only conjunctive - might occur in the hippocampus. Place cells have been shown to over-represent reward and goal locations (Dupret et al., 2010; Hollup et al., 2001; Poucet and Hok, 2017; Sato et al., 2020) and fire in anticipation of reward at the goal locations (McKenzie et al., 2013; Poucet and Hok, 2017). However, their firing properties near reward and goal locations are confounded with changes in locomotion during approach behaviour. One recent study decoupled goal location and reward, and found no over-representation of goal locations or goal value coding in CA1 and CA3 in the absence of reward (Duvette et al., 2019).

Furthermore, calcium imaging combined with virtual reality techniques led to the discovery of cells specifically coding for reward and reward anticipation, in the CA1 and the subiculum of mice (Gauthier and Tank, 2018).

Finally, the multi-unit activity of place cell during SWRs, as well as replay event frequency are increased at reward locations (Ambrose et al., 2016; Michon et al.,

2019; Singer and Frank, 2009), which is thought to reflect behavioural performance and learning (Dupret et al., 2010; Igata et al., 2021).

1.2.3.3 Pain and Fear

The importance of the ventral HPC - medial PFC - AMY network in fear conditioning is well established (Milad and Quirk, 2012). However, few studies examine how fear alters hippocampal representations because of the exploration-limiting freezing responses that accompany fear memory retrieval. Experience of a negative reinforcer such as an electric shock in an environment caused place cells to form a new representation of the context after it was associated with fear (Moita, 2004; Schuette et al., 2020). The presence of fearful stimuli such as predator urine also caused the formation of a new representation (Wang et al., 2012). Wu et al. (2017) showed that place cells with fields in the shock zone prior to the shock experience were still recruited in replay events but not theta sequences after the animals learnt to avoid the shock zone.

While we have discussed evidence that reward and fear shape hippocampal representations, demonstrating that those maps do not only convey spatial information and can also encode contextual changes, those changes are still rooted in the presentation of external stimuli (food or a shock). We now review evidence that hippocampal representations also can incorporate implicit features.

1.2.4 **Implicit Features: Internal States**

1.2.4.1 Experience

In a novel environment, hippocampal maps evolve as the animal explores and learns about the features of the environment. Examples of this include the increasing stability of the representation with exploration, which can take multiple exposures of the animal exploring a 2D environment (Cacucci et al., 2007; Feng et al., 2015; Foster and Wilson, 2006; Frank et al., 2004; Law et al., 2016; Leutgeb et al., 2004; McNaughton et al., 1983). Hippocampal intracellular recordings revealed that novel environments elicit a large amplification of input signals to CA1 neurons, support-

ing rapid place field emergence. The following decrease of such amplification with experience is also marked by a more reliable driving of CA1 neurons by their inputs (Cohen et al., 2017).

One consequence of exploring an environment through stereotyped routes - as is the case on linear tracks and in some 2D environments- is for place fields to become directional (Battaglia et al., 2004; Markus et al., 1995; McNaughton et al., 1983; Muller and Kubie, 1987). The development of calcium imaging methods has allowed experimenters to record cells over multiple days, and to show that after the initial stabilisation period, place fields usually form coherent representations across days in rats (Kinsky et al., 2018), therefore extending findings from standard extracellular recordings (Jeantet and Cho, 2012; Thompson and Best, 1990). Finally, replay has been shown to be more frequent in novel than familiar environments (Buhry et al., 2011; Cheng and Frank, 2008).

1.2.4.2 Attention and Tasks

Parallel to those findings, place fields in mice were shown to be less stable across days than in rats (Ziv et al., 2013), and may reflect the degree of attention paid to the cues available in the environment (Kentros et al., 2004). Tracking the activity of place cells of rats executing different tasks (random or directed search) in multiple environments (low or high complexity, single or multiple cues), revealed that not only cues, but also the task and behaviour affected hippocampal representations of the same space (Dupret et al. (2010); Markus et al. (1995); O'Keefe and Speakman (1987); Wood et al. (2000), Smith and Mizumori (2006) for a review). There is an entire corpus of literature on the role of awake replay during spatial navigation and how it may support planning or retrieval based on task demands. However, given this is outside the scope of this thesis, we will not discuss the potential role(s) of awake replay further (see Findlay et al. (2021); Foster (2017); Ólafsdóttir et al. (2018); Pfeiffer (2020) for reviews).

1.2.4.3 Motivation

To the best of our knowledge, only two papers address the role of motivation on hippocampal representations. Kennedy and Shapiro (2009) showed that hippocampal representations reflected the motivational state (food or water deprived) of the animal, and this encoding was strongest in goal directed behaviours, but still present during random foraging. Carey et al. (2019) built on these observations by tracking replay rates across food and water deprivation days during goal directed behaviour. Surprisingly, replay content was shifted away from the preferred outcome (turn left/right to obtain food/water), regardless of the behaviour reflecting the motivational state of the animal.

1.2.4.4 Time

Hippocampal damage impairs learning of temporal sequences of events in both humans and rats (see Eichenbaum (2013); Ranganath and Hsieh (2016) for reviews). The discovery of hippocampal Time cells, or at least some form of temporal coding in CA1, may provide the neural basis needed for the temporal organisation of episodic memories (Kraus et al., 2013; MacDonald et al., 2013; Mankin et al., 2012; Manns et al., 2007; Mau et al., 2018; Pastalkova et al., 2008; Paz et al., 2010; Umbach et al., 2020).

We have reviewed how the rodent hippocampus generates representations of space from the activity of populations of cells, and the internal and external variables that can also be encoded into those representations to create not only a map of space but of a context. We now review how those maps are stored in the long term.

1.3 How to Build an Episodic Memory: Consolidation

1.3.1 The Hippocampus and Memory

The link between the hippocampus and episodic memories originates from accounts of patients with MTL damage. The most famous account of said link between the hippocampal formation and episodic memory, is the anterograde and temporally limited retrograde amnesia of patient H.M., as reported by Scoville and Milner (1957). The importance of their report lies in the separation of episodic memory from other cognitive functions - importantly from working memory and other types of long term memory - and pinpointing the MTL as its origin. However it is not until accounts from other patients with more focused hippocampal lesions (Rempel-Clower et al., 1996; Spiers et al., 2001; Zola-Morgan et al., 1986) and lesions in monkeys (Zola-Morgan and Squire, 1990; Zola-Morgan et al., 1994) that the role of the hippocampus specifically was established.

In humans, hippocampal lesions cause retrograde amnesia for events experienced a few years earlier, while broader MTL lesions led to retrograde amnesia of the last decades (Manns et al., 2003). It was therefore assumed that long term memories gradually become independent of the hippocampus and MTL, and it was hypothesised that they become more strongly expressed in the neocortex instead.

In rodents, hippocampal lesions induce retrograde amnesia over days or weeks (see Frankland and Bontempi (2005) for a comprehensive review). One of the strongest pieces of evidence of the gradual disengagement of the hippocampus and parallel engagement of neocortical areas after learning was the observation that *c-fos/zif268* expression (proxies of brain metabolic activity) shifted between those two brain regions when the retrieval of recent memories was contrasted with the retrieval of remote memories (Bontempi et al., 1999; Maviel et al., 2004). Conversely, mice with intact hippocampal but impaired cortical plasticity can form short term but not long term memories (Frankland et al., 2001; Hayashi et al., 2004).

Before we delve into the memory consolidation models that have been created

to try to explain how memories change locii, we examine the role of sleep in memory consolidation.

1.3.2 The Role of Sleep

Prior to H.M. and hippocampal lesion studies, the role of sleep for memory consolidation was already the focus of many studies. Research in the 1880s-1930s had already identified from personal accounts or studies with few participants that forgetting is reduced when sleep occurs sooner rather than later after learning, and that sleep deprivation was detrimental to memory retrieval (Jenkins and Dallenbach, 1924; Patrick and Gilbert, 1896; Schmidt, 1987; Van Ormer, 1933). These effects of sleep or lack thereof have since then been replicated in a myriad of studies (see Rasch and Born (2013); Sara (2017); Walker and Stickgold (2004) for reviews).

1.3.2.1 REM and SWS

In mammals, sleep is divided in stages of Rapid Eye Movement (REM) and Slow Wave Sleep (SWS), also termed Non-REM sleep (NREM). In humans, NREM has three stages, while in rodents the distinction is usually made only between SWS and REM sleep. The two stages are mainly differentiated by the frequency of the EEG oscillations and magnitude of EMG activity:

- SWS is characterised by slow delta waves (0.5-4Hz), which form alternating up and down states. During down states, neurons are hyperpolarised and the neocortex is 'silent'. During up states, neurons are depolarised and bursts of activity emerge. SWRs occur during SWS, but not REM.
- REM is characterised by an EEG signal close to that of wakefulness, with the exception of general muscle atonia and fast eye movements. Faster oscillations than delta waves are observed, such as theta (8-12Hz) in the hippocampus, and gamma waves/spindles (40-60Hz) in the cortex.

REM and SWS may contribute to memory in different ways. Mainly driven by research in humans, the Dual Process hypothesis postulates that SWS preferentially

supports the consolidation of declarative memory (of which episodic memories are a sub-type), while REM sleep is biased for the consolidation of non-declarative memories (which includes emotional aspects) (Gais and Born, 2004; Maquet, 2001; Rauchs et al., 2005; Smith, 2001). In opposition, the sequential hypothesis, mainly supported by work in rodents, but also in humans, gives complementary functions to SWS and REM. SWS leads to a potentiation of strong memories and a depotentiation of weaker ones, while REM sleep incorporates the 'surviving' memories, which are then strengthened and integrated or linked with previous networks and memories (Ambrosini and Giuditta, 2001; Giuditta et al., 1995). In this framework, the cyclic alternation of SWS and REM cycles is crucial, and has been shown to lead to better memory retrieval or performance than pure SWS sleep periods in humans and rats (Ambrosini and Giuditta, 2001; Mandile et al., 2000; Vescia et al., 1996). In an alternative viewpoint, REM sleep has been hypothesised to be primarily for forgetting (Poe, 2017).

Although the role of each stage of sleep, with their respective oscillatory rhythms and chemical balances, may still be the subject of much debate, the importance of sleep for memory consolidation processes is well established. We now give an overview of systems memory consolidation models.

1.3.3 Memory Consolidation Models

1.3.3.1 Standard Consolidation Model

In 1971, Marr was the first to formulate the idea that daily experiences would be stored in the hippocampus then transferred to the neocortex for reorganisation, through the reactivation of waking patterns (Marr, 1970, 1971). Buzsáki (1989) formalised the standard consolidation or 'two-stage' model by identifying SWRs and theta oscillations as the neural underpinnings of memory formation. In this model, sensory information about the current experience is encoded in neocortical areas, and the hippocampus integrates these incoming inputs into a neural representation, creating an initial memory trace. Concomitant reactivations of this trace in the hippocampus and cortical areas during SWRs strengthen and grow cortical traces until they are no longer dependent on the hippocampus and are integrated

with previous knowledge. In this scenario, the hippocampus is a rapid but transient learner, while the cortex is a slow but long-lasting learner. Slow integration in the cortex is viewed as a way to prevent inappropriate overwriting or degradation of previous memories, termed catastrophic interference. The discovery of the necessity for replay events during offline states (Girardeau et al., 2009; Pavlides and Wilson, 1989; Wilson and McNaughton, 1994), and in coordination with the cortex (Ji and Wilson, 2007; Maingret et al., 2016; Peyrache et al., 2009) further supports the consolidation of episodic memories during sleep via replay. In this model, all sub-types of memories are transferred to the neocortex, and no distinction is made between episodic and semantic memories.

Other models, which generally agree with the standard consolidation model, make different predictions depending on memory sub-types.

1.3.3.2 Cognitive Map Theory

In cognitive map theory, the hippocampus forms spatial, allocentric, representations of environments. These representations constitute the spatial basis of context, and continues to do so regardless of the recency of that representation (Burgess et al., 2002; O'Keefe and Nadel, 1978). Therefore even old episodic memories remain hippocampal-dependent, while semantic memories (in humans) never rely on the hippocampus.

1.3.3.3 Multiple Trace Model

Nadel and Moscovitch (1997) suggested that instead of a transfer from the hippocampus/MTL to the neocortex, all experiences are stored in both, the hippocampus acting as an index to cortical traces, and therefore memories require both structures. Furthermore, each time a context is re-experienced or retrieved, a new hippocampal trace is created leading to a ever-increasing number of traces for older memories. Hippocampal damage is therefore more likely to sever the link between the hippocampus and neocortex for recent memories than older ones.

Some evidence for semantic memories being independent from the MTL of exists in humans (Westmacott et al., 2004), while remote, highly detailed, spatial memories can still be dependent on or at least engage the hippocampus (Cipolotti

et al., 2001; Martin et al., 2005; Rosenbaum et al., 2000; Viskontas et al., 2002). That being said, an overwhelming majority of data supports a disengagement of the hippocampus/MTL for remote memories (reviews Frankland and Bontempi (2005); Fujii et al. (2000); Moscovitch et al. (2006); Rasch and Born (2013); Sutherland et al. (2020)), arguing against the cognitive map theory, and favouring the standard consolidation model.

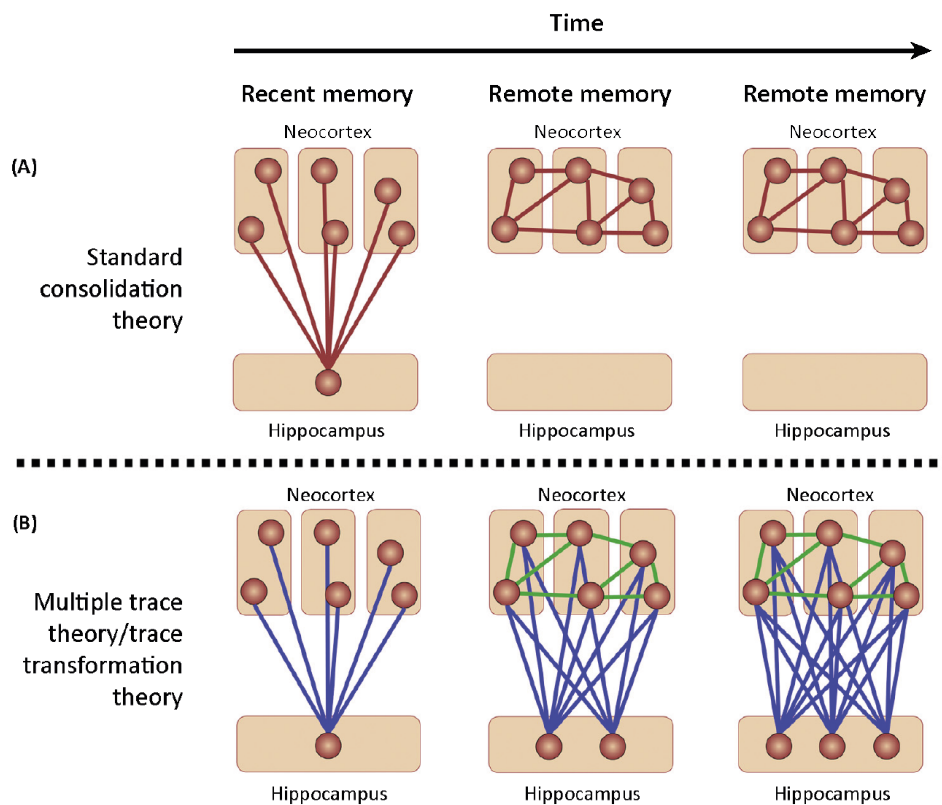


Figure 1.3: Memory Consolidation Models

A: The standard consolidation model. A representation is created in the hippocampus and is linked in parallel with all involved cortical areas. During sleep hippocampal and cortical traces are reactivated, enabling the creation of cortico-cortical connections. With time, the memory becomes independent from the hippocampus.

B: Multiple trace theory. Similar to the standard consolidation model, the memory is originally formed in the hippocampus, and reactivations lead to the strengthening of cortico-cortical traces. However, each time the memory is re-consolidated, a new hippocampal trace is integrated in this network, and these never subside, leading to an ever increasing number of traces for this memory. adapted from Barry and Maguire (2019)

1.3.3.4 Homeostatic Model

All of the above models rely on sustained synaptic potentiation, both during wake and sleep states. However, the need for synaptic downscaling or homeostasis is evident. Tononi and Cirelli (2003, 2006) hypothesised that sleep is needed to downscale the synapses back to a baseline level, before the heightened plasticity and synaptic potentiation that occurs during awake states. The homeostatic model is an independent, complementary function to that of memory consolidation models. Linear downscaling of synapses will prune weakly strengthened representations or connections, and therefore improve the signal to noise ratio for remaining memories. Downscaling of synapses is hypothesised to be mediated by slow wave oscillations and low concentrations of acetylcholine, norepinephrine, and serotonin during SWS. While there is evidence for such downscaling (Bellina et al., 2008; Bushey et al., 2011; Cirelli et al., 2005; Gilestro et al., 2009; Vyazovskiy et al., 2009), there is also some evidence against it (Chauvette et al. (2012); Grosmark et al. (2012), the literature on LTP inducing oscillations such as SWRs, spindles). Based on the available evidence, sleep is likely to be a combination of strengthening epochs (re-activation based) along with general downscaling.

1.4 How to Deal with Feature-Sharing Experiences

We have shown how the hippocampus creates a representation for experiences during awake states, integrating both spatial and non-spatial features into a map, which is then consolidated during sleep into long term memory storage through hippocampo-cortical interactions. However, we encounter multiple contexts and experiences during a single day, and in a continuous manner. We dedicate the following sections reviewing what we know about how these context and experiences may be segmented into distinct representations, and how multiple maps may interact with one another - through competition or cooperation - in awake and sleep states.

1.4.1 Segmentation of Experiences

Humans are usually able to give a temporally organised account of their daily experiences, which can only be done if they are capable of segmenting the continuous passage of time into events in the first place.

Early studies consisting of asking participants to segment sequences of daily actions (Newtson, 1973; Newtson et al., 1977) led to the hypothesis that event segmentation relied upon 1) large changes in perceptual stimuli 2) graded boundaries (more or less distinct) 3) the presence of a hierarchy in events, with higher level events being better segmented and remembered (Zacks et al., 2001).

However, because humans can segment experiences when asked to, this does not mean segmentation occurs spontaneously during daily activities. Zacks et al. (2001) tested this by showing movies to participants during fMRI. A movie was played passively first (no task), then a second time with the participants segmenting the movie. Transient changes in cortical BOLD signals correlated with event boundaries in both passive and active conditions. Although these changes may be correlated with significant changes, the involvement of non-sensory cortical areas suggests that event segmentation is part of normal perception. These result has been replicated (Swallow et al., 2009; Zacks et al., 2006), and extended to participants reading or listening to stories (Speer and Zacks, 2005; Speer et al., 2007; Whitney et al., 2009). The neural basis of event segmentation is still unknown to say the least, but from this corpus of literature we expect event boundaries to be defined by "characters and their interactions, interactions with objects, spatial location, goals, and causes" (Zacks, 2010). Another, complementary, theory is that context segmentation and identity can be explained by a hidden state inference framework (Sanders et al., 2020). The underlying theory is that an animal needs to infer the posterior probability of each hidden state (or context) given the available observations using Bayes' rule. This posterior distribution represents the confidence or uncertainty the animal has about being in a hidden state/context. A trade-off has to be struck between segmenting the observations into states/contexts to best fit the data and keeping the complexity and number of states minimal. This framework is useful for not only

addressing context segmentation - which can be done in a hierarchical manner, but also to re-frame previous findings relating to the effect of sensory cues, experience and context changes on place cell activity.

1.4.2 Remapping

What constitutes a context and how events are segmented may remain elusive, but even over the duration of one day, we usually experience multiple contexts (home, work, public transport etc..). We have shown that the hippocampus creates a representation or map for a single context, so now we review how it deals with multiple maps: a phenomenon called remapping. First of all, at a single neuron level, place cells can adapt their place field tuning in multiple ways in response to changes in or of an environment (Colgin et al., 2008; Poucet et al., 2000). Consider a pair of environments, one that has been experienced and in which a place cell has a stable place field, and a second novel environment. This place cell can:

1. become silent, by not forming a place field in the novel environment.
2. form a place field in the novel environment:
 - (a) in a similar location and keep its spatial tuning properties identical: this is the absence of remapping
 - (b) change one or more of its spatial tuning properties: commonly quantified variables are the peak location, centre of mass or peak in-field firing rate.

The final possibility is for a cell to be silent in the first environment, and become spatially tuned to features of the novel one. At a population level this creates remapping continuum, with at one extremity identical maps for two contexts - hence the contexts are not perceptually differentiated - and at the other extremity of this continuum, where the populations of cells involved in the two contexts are mutually exclusive - and the contexts they represent do not share a single feature. These two ends of the spectrum are somewhat theoretical, and in many cases the remapping data will fall along the middle section of this continuum: partial remapping. In

this case, one may observe all three types of remapping behaviour between contexts (emerge or vanish, alter place field properties), and the populations of place cells are shared between contexts. Populations of cells being (efficiently) reused between maps has multiple implications. First, maps with a substantial number of shared features need to be differentiated in a way that their consolidation does not cause catastrophic interference. Second, the degree of similarity between maps determines the likelihood for this to occur. Third, this may be beneficial to generalise across maps, and link experiences together. We now review the potential underlying mechanisms used to strike this delicate balance.

1.4.3 Pattern Completion and Separation

Pattern separation is the process of trying to orthogonalise representations (removing any overlapping components) while pattern completion is the process by which an episode can be retrieved from partial or noisy information. Pattern completion can therefore also support generalisation between environments. Models identify the dentate gyrus (DG) granule cells as the mediators of pattern separation using inputs from the EC, and CA3 and its auto-associative recurrent collaterals to mediate pattern completion through attractor networks (McClelland et al., 1995; Norman and O'Reilly, 2003; Shapiro, 1984; Treves and Rolls, 1994).

Pattern Completion

The recurrent connections between CA3 neurons and their Hebbian plasticity (Bains et al., 1999; Pavlidis et al., 2000) have been hypothesised (Ribak et al., 1985; Treves and Rolls, 1992, 1994) to resemble the properties of a Hopfield network (Hopfield, 1982). Memories are learned and stored in a set of strongly connected CA3 cells. Later on, presentation of a cue belonging to this memory excites a subset of the cells from this memory. The recurrent connections in the network then in turn activates the rest of the cell ensemble leading to recall of the memory in its entirety. Importantly, the activity of this network is 1) persistent, even after removal of the cue (Wang, 2001), 2) present properties of an attractor network. Small perturbations do not degrade the ensemble as the large number of connections will reinstate the firing pattern. Large perturbations may lead to a shift of activity towards another attractor

state. Evidence for CA3 performing pattern completion includes impaired retrieval but not encoding after knocking out NMDA dependent plasticity in CA3 or lesioning CA3 (Gold and Kesner, 2005; Nakazawa et al., 2002), and electrophysiological studies (Leutgeb et al., 2007; Nakazawa, 2017; Neunuebel and Knierim, 2014).

Pattern Separation

Activation by a cue of an auto-associative network alone would lead to the activation of any memory containing said cue, which is obviously undesirable. Having a network that separates inputs based on the available observations prior to engaging the pattern completion network is one way to avoid such an effect. The DG is suited for this as it receives the cortical inputs from the EC. It is thought that the combined input of the EC, the DG via the mossy fiber pathway and/or via the perforant path is used to regulate the excitability of CA3 neurons and respectively privilege creation of new patterns in CA3 or recall of past experiences (Leutgeb et al., 2007; Neunuebel and Knierim, 2014). The inactivation of DG inputs to CA3, including mossy fibres, impairs encoding but not recall (Lassalle et al., 2000; Lee and Kesner, 2004), while severing the direct input from EC to CA3 via the perforant path impairs recall selectively (Lee and Kesner, 2004).

There is a significant amount of evidence for the DG and CA3 being at the epicentre of pattern separation and completion - even if some debate remains as to the underlying model and potential non-linearity of these functions (see (De Almeida et al., 2007; Madar et al., 2019; Yassa and Stark, 2011)) - but they are not the only structures that have been shown to be able to do it. Examples include pattern separation in the amygdala (Gilbert and Kesner, 2002), the piriform cortex (Sahay et al., 2011; Wilson, 2009) and both completion and separation in the perirhinal cortex (Bartko et al., 2007; Gilbert and Kesner, 2003).

CA1 is neither performing pattern completion nor separation but rather reflects linear changes in inputs. That being said, alteration of place fields properties by remapping can be viewed as a form of pattern separation (perceptual change in inputs results in a change in the output), while the absence of remapping and stability of place fields in the face of overlapping features can be related to pattern

completion.

1.4.4 Schemas and Novelty Detection

As mentioned above, inputs from the the parahippocampal, postrhinal and perirhinal cortices via the EC will determine the hippocampal representation of the current context. And for each experience, the overlap in the current contextual features with previous knowledge and experiences will shape the current representation. Feature overlap can alternatively be thought of as the current context's degree of novelty. This novelty can be stimulus based (e.g. a novel object is encountered), or associative (e.g. oddball paradigm or an object being moved withing a known spatial environment). There is significant evidence that the perirhinal cortex supports stimulus novelty detection (novel vs familiar) (Brown and Aggleton, 2001; Miller et al., 1993; Xiang and Brown, 1998), with 25% of its neurons coding for novel items (Xiang and Brown, 1998) regardless of the item's behavioural relevance (Brown and Bashir, 2002; Zhu and Brown, 1995). As a consequence of the anatomical circuitry illustrated in Figure 1.1, the perirhinal novelty signals are then propagated to the hippocampal subfields. However, hippocampal neurons have been shown to rarely respond to stimulus novelty (Xiang and Brown, 1998). Instead, evidence points toward the hippocampus as coding for associative novelty such as stimulus-location mismatches or new associations of familiar objects and what is more generally is considered as contextual novelty (Brown and Aggleton, 2001). One mechanism thought to potentially underlie associative mismatch novelty in the hippocampus is a comparator model: violations of prior predictions (recall in CA3) by sensory inputs generates novelty signals (Gray and McNaughton, 1982; Lisman and Grace, 2005; Sokolov, 2003). In this model, overlap in contextual features will elicit a hippocampal recall of previous representations in CA3 in conjunction with the generation of a novelty signal in CA1. Another model of associative novelty is a familiarity mechanism, triggering hippocampal novelty signals proportionally to the amount of novelty present, without the need for recall (Bogacz et al., 2001; Henson et al., 2003). Empirically, the comparator model would be favoured if there was ev-

idence for 1) reactivation of prior contexts in CA3 and 2) novelty signals exclusive to associative mismatch novelty, while the familiarity model would be supported by 1) the absence of hippocampal recall and 2) novelty signals for any associative novelty. The comparator model is supported by a few studies which found hippocampal novelty signals solely in the presence of a contextual mismatch paradigm, and not pure associative novelty (Fyhn et al. (2002); Kumaran and Maguire (2006); Long et al. (2016), Kumaran and Maguire (2007) for a review) .

The need to retrieve prior predictions and check these against current sensory inputs by a comparator model directly relates to and calls for a link with the corpus of literature on pre-existing associative networks, termed schemas. Originating from the human literature, the definition of schemas varies across reviews and authors, but generally refer to 1) networks of neurons, sometimes posited as neo-cortical, 2) which form the basis of memory traces of acquired knowledge over a significant amount of past experiences and 3) influence memory encoding, consolidation and retrieval (Alonso et al., 2020; Bartlett and Kintsch, 1995; Fernández and Morris, 2018; Ghosh and Gilboa, 2014; Van Kesteren et al., 2012). At the encoding stage, if the current experience triggers the recall of a schema, said encoding activates, if not directly occurs, in cortical networks such as the mPFC (Cooper et al., 2019; Coutanche and Thompson-Schill, 2014). Concurrently, the hippocampus is inhibited and hippocampo-mPFC co-activity weakened (Berkers et al., 2017; Bovy et al., 2020; Roediger and McDermott, 1995; Van Kesteren et al., 2010, 2013). Conversely, if the experience is very novel and doesn't fit with previous schemas, hippocampal activity and hippocampo-mPFC co-activity are increased (Bein et al., 2014; Van Kesteren et al., 2013). Importantly, schemas have been shown to hasten memory consolidation in both humans (Van Kesteren et al., 2014) and rats (Tse et al., 2007). A study measuring IEG expression in several brain regions revealed that retrieval of schemas involves cortical areas (Prelimbic, ACC, RSC) and the hippocampus (Tse et al., 2011), while novel experiences lead to a greater amount of synaptic plasticity in the hippocampus compared to cortical areas (Lesburguères et al., 2011).

1.4.5 Working Framework

While we have models of how pattern separation, completion, associative novelty and schema retrieval may occur in and affect the hippocampus, substantially less is known about how novel representations are built when overlapping features may trigger the recall of past memories. The extent of our knowledge on this can be summarised to:

- The DG may depolarise CA3 via the mossy fibers to allow for a new representation to be encoded
- Sub-populations of CA1 rigid and plastic place cells may respectively contribute to generalisation and differentiation when building a novel representation
- Remapping / the stabilisation of novel maps is experience dependent, reflecting knowledge accumulation
- Replay of remote experiences can happen in a novel environment. This may relate to associative mismatch novelty detection and the retrieval of schemas

The presence of remote replay and rigid cells hint at the possibility that past memories may interfere with the creation of novel one, a process called proactive interference, which has been shown to be supported by the rodent hippocampus (Han et al., 1998). Whether proactive interference occurs during learning of a novel environment, and if so, what the temporal dynamics of such a process are, have been overlooked and remain open questions. We posit a framework where 1) the contextual uncertainty is high at the onset of exploration of a new environment. 2) The brain needs to determine whether this new space has previously been encountered before or not. 3) We hypothesise that the hippocampus can rapidly extrapolate from a restricted set of observations to create a coarse map of this new environment and inform behaviour appropriately. 4) This mechanism of extrapolation is dependent on a "recall and compare" of feature-sharing contexts for simultaneous generalisation and differentiation 5) Knowledge accumulation reduces this uncertainty about the context and stabilises the representation.

Within this framework, recall of previous experiences will need to occur from the very first moments of the novel experience. This requires the DG to depolarise CA3 enough for a new attractor network to be created but in an intermittent manner. Supposing that rigid cells are indeed cells representing features shared with previous experiences - possibly features most often encountered - then these high firing place cells should create a stable representation faster than plastic cells that are integrated in the network. Shared features of the context should trigger the remote replay (recall) of previous contexts, helping stabilise the new representation, and creating a link between memories needing to be generalised across during consolidation. Consequently, the need for recall is expected to decrease with knowledge accumulation.

1.5 How to Deal with Limited Storage: Memory Triage

Another challenge that comes with experiencing multiple contexts each day is the impossibility to continually accumulate and consolidate all of this information. Even in the case of hyperthymesic individuals (from the ancient Greek *hyper-* 'excessive' and *thymesis* 'remembering', an extraordinarily rare condition), one does not have a perfect memory of every day of one's life, implying that only a small fraction of experiences are consolidated. We here acknowledge that the absence of recall may not be the absence of consolidation, but it is a reasonable hypothesis that every single moment of the life of a 70-year-old might not be represented in their brain. From an ethological point of view, contexts with the highest learning value or future expected value should be preferentially consolidated over others.

1.5.1 Salient Memories

There is a growing body of evidence for salient memories, regardless of valence, to be tagged during the awake states for prioritised consolidation during sleep. The mere instruction to participants that sets of information were either "to be remembered" or "to be forgotten" is sufficient to create a difference in the recall ability

or amount of consolidation between each set (Rauchs et al., 2011). Similarly, the disclosure post-training by the experimenter to participants that an improvement in recall performance on the test phase the next day would be rewarded monetarily, led to better recall (Fischer and Born (2009) and Walter and Meier (2014) for a review on prospective memory). By separating participants into sleep-deprivation and control groups, the effect of explicit instructions 'to remember' or 'to forget' was shown to be time sensitive: a 24hr sleep deprivation nullified any memory gain from the instructions, and could not be recovered, even after two full nights of recovery sleep (Rauchs et al., 2011).

However, implicit rather than explicit instructions of what needs to be remembered are a more common occurrence in our daily lives.

An example of extreme prioritisation of salient events is the formation of "Flashbulb memories", an unusually detailed and "vivid" autobiographical memory of the circumstances surrounding a particular event (Brown and Kulik, 1977), which can be recalled even years later. The cause for the creation of flashbulb memories can be positive: fall of the Berlin Wall (Bohn and Berntsen, 2007), negative: Marmara and Loma Prieta earthquakes (Er, 2003; Neisser et al., 1996), the 9/11 events (Kvavilashvili et al., 2003, 2010), fall of the Berlin Wall (Bohn and Berntsen, 2007), or elements of surprise: a majority of UK residents had flashbulb memories of Margaret Thatcher one year after her resignation (Conway et al., 1994). We now review the factors that are known to trigger prioritised memory consolidation.

1.5.1.1 Emotion

Presentation of emotional or neutral images to participants divided in sleep or sleep deprivation groups is one of the most commonly used paradigms to test emotional memories in humans. Consistently, emotional content is better remembered than neutral items (Atienza and Cantero, 2008; Feld et al., 2014; Fischer and Born, 2009; Hu et al., 2006; Liu et al., 2008; Nishida et al., 2009; Wagner et al., 2001). When presented with a single picture, emotional components are remembered in greater detail at the expense of neutral components (Payne et al., 2008). Crucially, this prioritisation effect was also shown to be sleep dependent in these studies, with the

saliency 'tag' of emotional memories eroding as a function of waking time.

Reward

Anticipation of reward following explicit instructions increases memory retention (Fischer and Born, 2009; Oyarzún et al., 2016). As described in section 1.2.3.2, reward modulates hippocampal activity, increasing the reactivation frequency of rewarded portions of the environment (Singer and Frank, 2009), of reverse replay at higher reward sites (Ambrose et al., 2016) and of replay during sleep (Igloi et al., 2015; Michon et al., 2019). With both awake and sleep replay being necessary for memory trace strengthening and consolidation (Girardeau and Zugaro, 2011; Maingret et al., 2016), an increase in their frequency by reward suggests reward-based memory prioritisation. This prioritisation is expected to be graded within context, with a higher need for items or location closer to the reward compared to those further away (Payne et al., 2008; Singer and Frank, 2009). Braun et al. (2018) demonstrated this by asking participants to memorise virtual grid maps of object picture states, and learn to navigate these maps to find a hidden reward. After a period of sleep, object/state recall was inversely correlated with distance from the reward. The existence of ramping dopamine signals as a function of proximity to the reward in mice (Fiorillo et al., 2005; Howe et al., 2013), may support this recall gradient (Guru et al., 2020).

Fear

Just like reward anticipation bolsters recall, the threat of punishment upon failure to recall in humans triggers better memorisation of fear associated items (Murty et al., 2012; Oyarzún et al., 2016). Negative reinforcers such as pain and their consequent aversive/fear component lead to persistent fear memories (Maren et al., 2013), of which Post Traumatic Stress Disorder (PTSD) is an unfortunate example, and are consolidated with a higher priority than neutral stimuli (Cahill and Alkire, 2003; Cahill et al., 2003). Interestingly, just like reward, fear of punishment activates the mesolimbic dopaminergic system, including the VTA (Adcock et al., 2006; Carter,

2009; Delgado et al., 2011).

1.5.1.2 Novelty

Finally, anticipation of novelty and novelty itself also increases recall performance in humans (Wittmann et al., 2007). In flashbulb memories, not only is the unexpected novel event remembered in detail, but so are contiguous low-salience items/events, which would not have been memorised otherwise. This prompted studies examining whether novelty could create a ‘grace period’ for preceding and subsequent events. Indeed temporally proximal memories were also strengthened (Dunsmoor et al., 2015b; Salvetti et al., 2014) and novel environments are replayed preferentially compared to familiar ones (Kudrimoti et al., 1999; McNamara et al., 2014; O’Neill et al., 2008).

Distinct types of novelty have been postulated to exist (Duszkiewicz et al., 2019). Spatially novel environments engage VTA dopamine neurons projecting to the hippocampus (McNamara et al., 2014), while surprise and more unusual novelty engage the LC. Recently it has been discovered that the LC also has dopaminergic projections to the hippocampus, and in larger numbers than the VTA (Kempadoo et al. (2016); Takeuchi et al. (2016), reviews: Duszkiewicz et al. (2019); McNamara and Dupret (2017); Yamasaki and Takeuchi (2017)). We will describe how those projections have been postulated to lead to the presence or not of a ‘grace period’, as well as their importance for memory consolidation in more details in section 1.5.2.

We have shown how reward, punishment, novelty and motivation bias replay content and memory consolidation, determining the fate of memory traces by prioritising the consolidation of salient experiences during sleep. We now delve into the underlying mechanisms of such prioritisation, during both awake and sleep states.

1.5.2 Mechanisms

Memory triage happens in two steps: tagging during or shortly after encoding, and preferential consolidation during sleep.

1.5.2.1 Tagging

From the known factors inducing memory prioritisation during sleep, reward and novelty are both linked to dopamine release in the hippocampus (Schultz (2007) and Duszkievicz et al. (2019) for reviews). The origin of such dopamine release is either from VTA-TH+ neurons or LC-TH+ neurons. Dopaminergic projections from the VTA have been shown to help stabilise hippocampal maps and increase reactivation frequency (McNamara et al., 2014). The postulated underlying mechanism for this is the "synaptic tagging and capture (STC) hypothesis of protein synthesis-dependent long-term potentiation" (Frey and Morris, 1997, 1998). Hippocampal plasticity in the encoding stage is NMDA dependent, with postsynaptic depolarisation leading to transient LTP and tagging of the synapse.

However, the strength of the synapse decays rapidly in a few hours. Dopaminergic projections, and notably onto the D1/D5 receptors of hippocampal neurons, leads to persistent LTP in already tagged synapses, giving those synapses a comparative advantage during consolidation. Because this STC mechanism will capture any tagged synapse indiscriminately, it explains the "grace period" of rescuing temporally proximal experiences when exposed to highly novel situations, mediated by strong LC dopaminergic projections.

There is emerging evidence that dopamine may also play a role in contextual fear conditioning and extinction, but it is unclear how it would participate in STC in the encoding stage (Fadok et al., 2009; Luo et al., 2018; Pezze and Feldon, 2004). The basolateral amygdala, a key structure of the fear conditioning network, has been shown to enhance the production of LTP related genes (the immediate early gene *Arc*) in the hippocampus via noradrenergic projections (McReynolds et al., 2014). Electrically stimulating the BLA around neutral, novel objects led to better recall, likely due to an increase in coordinated plasticity-inducing oscillations (slow gamma, 30-55Hz) with the hippocampus (Bass and Manns, 2015).

Fast oscillations and reactivations are likely to help determine (or at least correlate with) the fate of memories by further strengthening synapses. However, the causality of these interactions is difficult to determine - between increased replay and

captured synapses. Nevertheless, two studies, one in humans and one in rats, show that awake replay of less often experienced contexts (Gupta et al., 2010) and of weakly learned information right after learning (Schapiro et al., 2018) may prevent the forgetting of selected experiences.

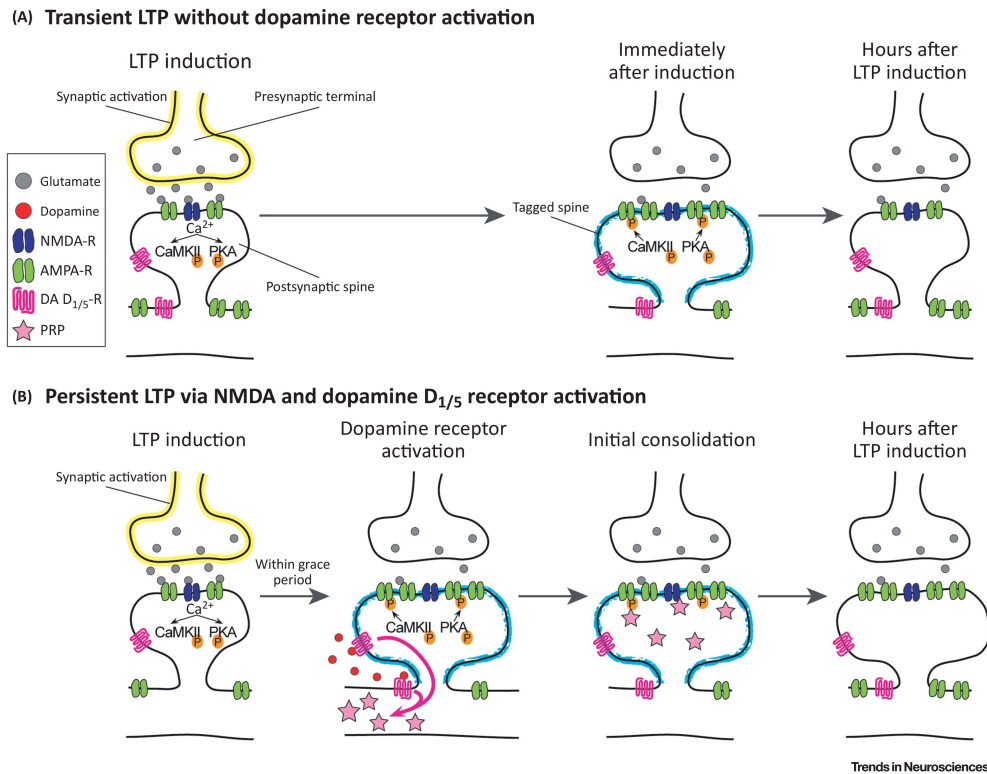


Figure 1.4: Synaptic Tag and Capture

A: Concurrent release of pre-synaptic glutamate and depolarisation of the post-synaptic synapse induces transient LTP in the postsynaptic spine. The synapse is 'tagged'. In the absence of plasticity-related proteins (PRP) this potentiation returns to baseline after a few hours.

B: Dopaminergic activation of D1/D5 receptors leads to PRP synthesis. If this occurs within the grace period after the initial transient LTP induction of the synapse, the PRPs are captured by tagged synapses maintaining LTP levels hours later.

adapted from Duzskiewicz et al. (2019)

1.5.2.2 Cortical Feedback

Synapses are therefore tagged during encoding - or shortly after - for prioritised consolidation. One may assume that the fate of each memory is then sealed. However, the consolidation stage engages a hippocampo-cortical dialogue. The content of hippocampal replay predicting subsequent cortical replay and vice versa (Roth-

schild et al., 2017), which includes the possibility for the neocortex to bias the content of hippocampal replay (Bendor and Wilson, 2012). Tagging may also occur in the neocortex during encoding, ensuring that memories that have been tagged in both structures are preferentially consolidated. Neocortical tagging would also support non-declarative memory consolidation. We now briefly review the neocortical areas potentially involved in memory triage.

Neural plasticity associated with the consolidation of memories, including LTP, is associated with an increase in Immediate Early Gene (IEG) expression (Alberini, 2009; Cruz et al., 2013; Minatohara et al., 2016). Mukherjee et al. (2018) systematically tested IEG expression after 13 different experiences of varying valence, salience and experience/familiarity (cocaine, sucrose, feeding post food deprivation, lithium chloride injection, saline injection, mild foot shock, novel environment) in multiple brain regions. The transcriptional signatures of each type of experience could be near-perfectly decoded and revealed shared attributes between experiences. Notably, expression levels were higher for salient experiences compared to neutral ones, with distinct expression targets depending on valence. Rewarding experiences increased gene expression in the frontal cortex (mPFC, ACC, Nucleus Accumbens, Dorsal Striatum and VTA), aversive experiences targeted the Amygdala, and the hippocampus always presented minimal IEG expression levels.

Imaging techniques (fMRI) show increased hippocampal activation for “to be remembered” compared to “to be forgotten” items (Rauchs et al., 2011). Fear of punishment activates the amygdala, the parahippocampal areas and the orbitofrontal cortex, but not the dopaminergic midbrain (Murty et al., 2012). Furthermore, while dopamine plays a crucial role during encoding, Gomperts et al. (2015) observed a decrease in the activity of reward responsive VTA neurons during NREM sleep. Therefore, the memory triage process is unlikely to rely on VTA dopamine activity during sleep. While LC neurons become silent during cortical spindles (Aston-Jones and Bloom, 1981), the activity of LC-TH+ neurons during NREM sleep has not been studied yet to the best of our knowledge.

Prioritisation of salient experiences is therefore engaging distinct cortical areas

based on valence, consistent with cortical consolidation and reorganisation, while preserving hippocampal representations. Whether neocortical activity influences memory triage during sleep remains an open question.

1.5.3 Working Framework

Memory triage allows for the retention of important memories at the cost of forgetting less relevant ones. This preferential consolidation is sleep dependent. Declarative memories, and more specifically episodic memories have been shown to be encoded by the emergence of context-specific cell ensembles in the hippocampus. During sleep, repeated reactivation of these hippocampal cell ensembles, coordinated with reactivations in distributed cortical areas allows for those representations to be consolidated as long term memories. Memory triage is a two step process: 1) relevant memories are tagged during awake states, jump-starting LTP processes. At a systems level these are observable through an increase in reactivation and ensemble coordination during wake. 2) These memories are better consolidated during sleep, as marked by an increase in reactivation frequency and a higher coherence between the hippocampus and neocortex.

Multiple factors can gate the consolidation of a memory trace. In the absence of variation in salience between memories, temporal proximity to sleep is advantageous (Payne et al., 2012; Talamini et al., 2008), most likely due to the decay in hippocampal NMDA-dependent synaptic strength with time. Salience, in the form of reward, fear/pain, or novelty bolsters synaptic LTP protein synthesis in the hippocampus. The specificity of this boost is neuromodulator and projection dependent: VTA dopaminergic projections and fear conditioning alone may be more specific than LC dopamine projections in the presence of strong novelty (novelty can also be coupled with an emotional component). Salience is therefore dampened by habituation and repetition, leading familiar experiences to not be prioritised against novel ones.

Therefore we posit that the sequential experience of different contexts of equivalent salience should lead to a decline in reactivation prevalence during sleep, as determined by the decay dynamics of NMDA dependent plasticity. Differing salience

between contexts should lead to an interplay between those temporal decay dynamics and selective synaptic strength enhancement. The magnitude of this salience (reward, punishment strength or novelty, surprise) will mediate whether an earlier, but more salient memory will be preferentially, or equally consolidated compared to a later but less salient experience.

These hypothesis can be tested behaviourally, molecularly through IEG expression or other LTP quantification methods, and at a systems level by tracking the proportions of reactivations for each context. Of note, all of the studies investigating the role of various factors on memory triage did not investigate the dynamics of prioritised consolidation during sleep other than a general increase in reactivation frequency and or strength. For example, whether consolidation of relevant memories occurs in parallel or in succession is unknown. Sleep stages (REM or NREM) may also play distinct roles in memory triage.

1.6 Thesis Aims

The focus of this thesis is on how the sequential experience of multiple contexts in a day influences episodic memory encoding and consolidation. To obtain a systems-level understanding, we chose to make use of the contextual encoding and reactivation properties of hippocampal place cells in the rat to track those memories during both wake and sleep states.

This thesis is divided into two main axes of research:

1. What are the encoding dynamics of generalisation versus differentiation of novel maps ? Investigating proactive interference in the hippocampus.
2. What are the temporal dynamics of prioritised memory consolidation ? More specifically, what are the effects of reward and temporal proximity to sleep on subsequent replay?

To this end we designed an experimental protocol that could address both questions. We recorded the extracellular signal of dorsal CA1 pyramidal neurons of rats exploring three completely novel contexts each day, followed by sleep. The salience of each novel context could be further modulated by an increase in reward quality.

The spatial component of the contexts was chosen to be variations of linear tracks to ensure that we could decode and track replay event proportions for each context given the expected number of place cells that we would be able to record from. Single unit hippocampal recordings allowed us to explore amongst other things: the precise temporal dynamics of 1) place cell formation and remapping 2) cell ensemble reactivation and replay during wake and sleep. Armed with these tools we sought to address the following questions:

1. Chapter 4: Generalisation vs differentiation of novel maps: proactive interference in the hippocampus

Novel contexts can share features - whether external (e.g. sensory) or internal (e.g. motivation) - with previous contexts. While the representation for this new context is still juvenile, proactive interference is in theory possible: recall of previous feature-sharing contexts may influence how the new experience is encoded. There is some evidence that this may be the case, through 1) the activity of a sub-population of CA1 neurons (rigid cells) almost instantly forming a backbone structure of the new representation, and these neurons may belong to previous maps, and 2) the presence of remote replay. To the best of our knowledge no one has investigated either the possibility of proactive interference in the formation of hippocampal representations, nor its associated temporal dynamics. If proactive interference exists during encoding we expect:

- (a) for place cells from previous maps and emerging cells to have different stabilisation dynamics on the novel track
- (b) The need for recall, in the form of remote replay, to decrease with exploration and stabilisation of the new representations

2. Chapter 5: The effect of reward and temporal proximity to sleep on memory triage

The presence of multiple experiences (or contexts) is required to tax memory consolidation processes, and create the need for memory triage to occur. Here

we modulate two factors known to create prioritisation, reward and recency, to investigate the temporal dynamics of memory triage during sleep, and the interaction between said factors. At the time of data collection for this thesis, reward had not yet been shown to increase replay frequency during sleep. Comparing replay event proportions for each context we will investigate:

- (a) whether memory prioritisation of salient memories occur in parallel (absence of temporal dynamics) or in an ordered fashion
- (b) the interaction between reward and temporal proximity to sleep given our experimental parameters

Those two axes of research together are expected to highlight the complex interactions between overlapping and competing memory traces, from the instant they are created to their consolidation in the long term.

Chapter 2

General Methods

2.1 Animal Housing and Care

All experimental procedures and postoperative care were approved and carried out in accordance with the UK Home Office, subject to the restrictions and provisions contained within the Animal (Scientific Procedures) Act of 1986 and the European Communities Council Directive of 24 Nov 1986 (86/609/EEC). Prior to surgery, 5 male Lister-Hooded rats (Charles River) were housed in pairs and kept at 90% of their free-feeding weight with free access to water. Their housing room was maintained at a temperature of $(22 \pm 2)^{\circ}\text{C}$, a humidity level of $(55 \pm 10)\%$, and on a 11am:11pm light:dark cycle, with 1h of simulated dusk/dawn. Following surgery, animals were singly housed under the same conditions, with their weight and condition checked daily.

2.2 Surgeries

Animals were anaesthetised in an induction chamber with isoflurane (Piramal Healthcare UK Ltd, 3-5%, O₂ flow rate of 2L per min) while analgesia was administered intra-peritoneally with an injection of Carprofen (0.1mL of 10v/v% per 100g animal weight). They were then shaved and positioned in a stereotaxic frame with ear bars, and body temperature was kept constant throughout surgery with a heating pad. Anaesthesia was maintained under isoflurane at a reduced dose (1-2.5%, O₂ flow rate of 2L per min). Surgical drapes were placed, and the surgical site cleaned with successive applications of iodine (povidine-iodine, WHO, 2009) and sterile saline. The skull was then exposed by a mid-sagittal incision along the dorsal axis, using vaseline-coated haemostatic forceps to hold the fascia and skin away from the site of interest. Successive applications of diluted hydrogen peroxide (10% in phosphate buffered saline (PBS), etching gel agent (Kerr) and 30% ethanol dilution were used to ensure cleanliness and dryness of the skull before implantation. Craniotomies were performed with a burr drill to insert the anchoring screws as well as ground and reference screws (above the cerebellum). Metabond was applied on the skull and around the screws, and left to dry. Then, craniotomies and durotomies were done above the regions of interest: HPC (AP: -3.48mm, ML: +/-2.4mm from Bregma). The implant(s) was(were) aligned vertically above the center of the craniotomies with the help of custom made attachments to the stereotaxic arms, and lowered to the desired DV coordinate as measured from the dura (HPC: 1-1,5mm DV). Sterile vaseline was placed around any remaining exposed brain within the craniotomy to protect it from dental acrylic, which was slowly applied over the skull and microdrive to hold it in place. Finally, the ground and reference wires were soldered to the microdrive and the skin was sutured. The animal was injected saline intra-peritoneally and allowed to recover in a heated cage until fully awake. All animals were given Meloxicam for three days after surgery and left to recover under careful monitoring for a week before the start of experiments.

2.3 Electrophysiological Recordings

2.3.1 Microdrives

Two types of microdrives were used for this project, each with their own advantages and limitations. While the 'Poor-lady' drives (Axona) provided greater stability and shorter building time although they tended to have a lower cell yield. On the other hand, the 'Wilson-lab' microdrives (designed in the Wilson lab, MIT) were more time consuming and difficult to build, but when used correctly, yielded a higher number of recorded cells. Their full potential has not yet been reached in this project. All animals were either implanted with: two non-independently moveable drives above left and right HPC; or with one independently moveable drive, in both dorsal hippocampi.

2.3.1.1 Independently Moveable Microarrays

We modified an existing protocol to build micro-drive arrays from Kloosterman et al (2009). The 3D CAD files for twenty-four tetrode micro-drives were customised to accommodate for bilateral HPC stereotaxic coordinates. The microdrive's body was printed using a FormLabs 3D printer, rinsed in isopropanol and cured in UV light. Supports were then detached from the base and any undesirable resin removed. 2x5mm of 15G metal cannula were cut, inserted at the bottom of the drive and fixed with superglue to create the output cannulae. Top pieces were prepared by 3D printing upper and lower rings, one of each were then superglued to custom screws (1.2mm x 14.41mm, AMT) and 14.5mm 23G cannula and left to dry. All twenty-four top pieces were then placed inside the drive body, before the oiled AMT screws were covered in either epoxy or dental acrylic, to create grooves for the screw to go up and down in. Outer polyimide tubes (HPC medical products: inner diameter 0.071" / outer diameter 0.116") were fed inside each top piece, and glued in place with epoxy, before being cut flush with the output cannulae. These provide a passage for inserted inner polyimide tubes (HPC medical products inner diameter 0.035" / outer diameter 0.053"), which were attached to the top pieces' cannulae, to go up and down. Ground and reference wires were soldered to an Elec-

tronic Interface Board (EIB), which was then screwed to the drive's body. Hardened and shielded plastic was shaped into a cone to create a faraday cage around the drive. $17\mu\text{m}$ tungsten wire was twisted (Twister, Open Ephys) and gently heated into twenty-four tetrodes (bundle of four closely spaced electrode sites), each placed inside an inner polyimide tube, secured in place with superglue, and connected to the EIB via a gold pin - ensuring electrical contact by removing the wire's insulation (scraped off by the gold pin when it was inserted into the EIB). Finally, tetrodes were cut at the desired length (HPC: 4mm) and plated with a gold solution (Neuralynx) until they reached an impedance of around 200kOhms at 1kHz (NanoZ).

2.3.1.2 Non-Independently Moveable Microarrays

Poor Lady microdrive bare frames (Axona Ltd, St Albans, UK) were fitted with two strips of 2x10 MillMax pins connected to thirty-four wires, of which thirty-two were fixed with dental acrylic around a section of the screw and two were left exposed for ground and reference. A 7mm long, 17G cannula was attached at the desired distance from the screw with the help of custom 3D-printed holders. Eight $17\mu\text{m}$ platinum-iridium tetrodes (see paragraph above) were inserted inside the cannula and wound around each of the wires, soldered and shielded with plastidip (PlastiDip UK Ltd., Hampshire, UK). The tetrodes were then cut at the desired length (HPC: 4mm) and plated with a gold solution (Neuralynx) until they reached an impedance of around 200kOhms at 1kHz (NanoZ). Custom MillMax to Omnetics 44pins adaptor were made to have the correct mapping from Poor Lady microdrives to the Neuralynx headstages that were used during experiments.

2.3.2 Screening

Animals were screened inside the sleep pot for familiarisation purposes. Based on the presence of sharp-wave ripples in the hippocampal LFP, the amplitude of spikes in the cluster space, and the number of distinct clusters, tetrodes were moved between 25-125 μm every day, or leaving 8h between screening sessions.

2.3.3 Data Acquisition

All electrophysiological recordings were made using a 96 channel digital Neuralynx system (Digital Lynx SX, Neuralynx). The animal was tethered to either two 32 channels or one 96 channels digital multiplexing headstage(s), depending on the number of microdrives and tetrodes. Neural signals were digitised at the headstage using a fixed reference - in our case the reference screw on the head of the animal - AC coupled, unity gained and multiplexed before being sent down a 5m tether to the acquisition system. A custom built 96 channel passive commutator (made by Lilia Kukovska) was magnetically attached to the ceiling to allow the experimenter to untangle the tether with minimal disturbance to the animal's behaviour. Signals were further processed in the system and sent to the Cheetah interface as 30kHz raw LFP signal, bandpass filtered LFP and single unit activity. The animal's behaviour was recorded by an overhead camera. The video feed was synchronised by the system to the neural signal, and the animal's position was tracked by setting a colour-specific intensity threshold to a green and red LEDs' signal. Manually configured TTL pulses were sent from the liquid dispensers to the system to log start and end activation times. Highpass filtered (0.1Hz) local field potentials and the position data were stored for all further analysis.

2.4 Behaviour

2.4.1 Apparatus

A recurrent problem in chronic electrophysiology experiment is the need to rebuild the apparatus as experimental designs evolve and change. We have chosen to design a setup which allows for not only a very large number of 1D and 2D maze configurations, but also for multiple mazes to be present at the same time, and for instruments - such as sensors, liquid or pellet dispensers - to be placed anywhere within the experimental room with minimal disruption. This modular maze consists of rectangular pieces of cut Medium Density Fibreboard (MDF), painted black for tracking purposes. Each piece can be attached to any other piece with removable dowels. In a subset of them, a circular hole was drilled in the middle to fit both the tubing of the liquid dispensers from below, and the liquid receptacles. Maze pieces were attached to height-adjustable tripods with velcro. Infusion pumps (dual Aladdin, WPI) were used to dispense 0.1mL liquid reward at a rate of 21.36mL/min. Custom Bonsai and an Arduino code were used to run the behavioural task by activating the pumps upon the animal's entry in regions of interest set near the reward wells.

2.4.2 Food Preference

Unlike many other studies, reward quality rather than quantity was modulated to create a contrast between environments. Prior to any electrophysiological recordings, but after surgical implantation of the microdrives, the following food preference test was made to assess each animal's preference to the different rewards that would later be used during recording sessions. Animals were placed on a (20 x 80) cm platform with two ceramic bowls filled with 15mL of pure or 1:1 dilution of a chocolate flavoured soy milk. Each trial consisted of 2 minutes where the animal could freely sample the liquids. The animal's position and time spent near each bowl was recorded using Bonsai. At the end of each trial, the animal was removed from the platform, the remaining amount of liquid was measured, the bowls were refilled and placed back in a pseudo-random manner (random number generator,

MATLAB, MathWorks) at either end of the platform (6 trials, 3 on each side). 12 trials were done for each liquid reward combination. As a positive control, water was used instead of the 1:1 dilution. The animals were exposed to all tested dilutions in their home cages beforehand.

chocolate vs 1:1 dilution	chocolate vs water
12 trials	12 trials

2.4.3 Recording Protocol: Reward Experiment

A crucial goal for this experiment was to create very distinct contexts. Black curtains were placed around the recording area in a 2m by 3m room, and a large number of textured fabrics and high contrast cues were available to choose from to create distinct visually and tactile experiences. Furthermore, large black cards could be used as moveable partitions to occlude the other mazes from the animal's sight, creating compartments within the room.

MDF maze pieces of varying lengths were assembled on top of tripods to create three 2m tracks of varying geometry within the recording area (see table 2.4.3), at a height of roughly 35cm. Cues and partitions were placed in the environment, as well as a black plastic flower pot (diameter 20cm) with a folded tall (1m) black plastic sheet as a sleeping pot, removing any visual cues from the animal's line of sight during rest sessions. The room was illuminated by concealed blue LEDs, and either chocolate or 1:1 diluted chocolate was fed into the liquid dispensers. Liquid dispensers only activated on the condition that the animal travelled the entirety of the track. At the beginning of the recording, the animal was put in the sleep pot for about 1 hour before being placed at one end of the first maze for 15 minutes. It was left free to run to get a 0.1mL reward at both ends of the track by visiting each site in turn. The animal was then put back in the sleep pot for 10 minutes, as the experimenter fitted the next track with the liquid dispensers and if necessary changed the reward. Similarly the animal ran for 15 minutes on the second track, had another 10 minutes rest session before the third track, ran for 15 minutes on the third track, and was finally placed back in the sleep pot for a longer 1h30 to 2h period. If necessary, tetrodes were adjusted at the end of the session, before unplugging the headstage.

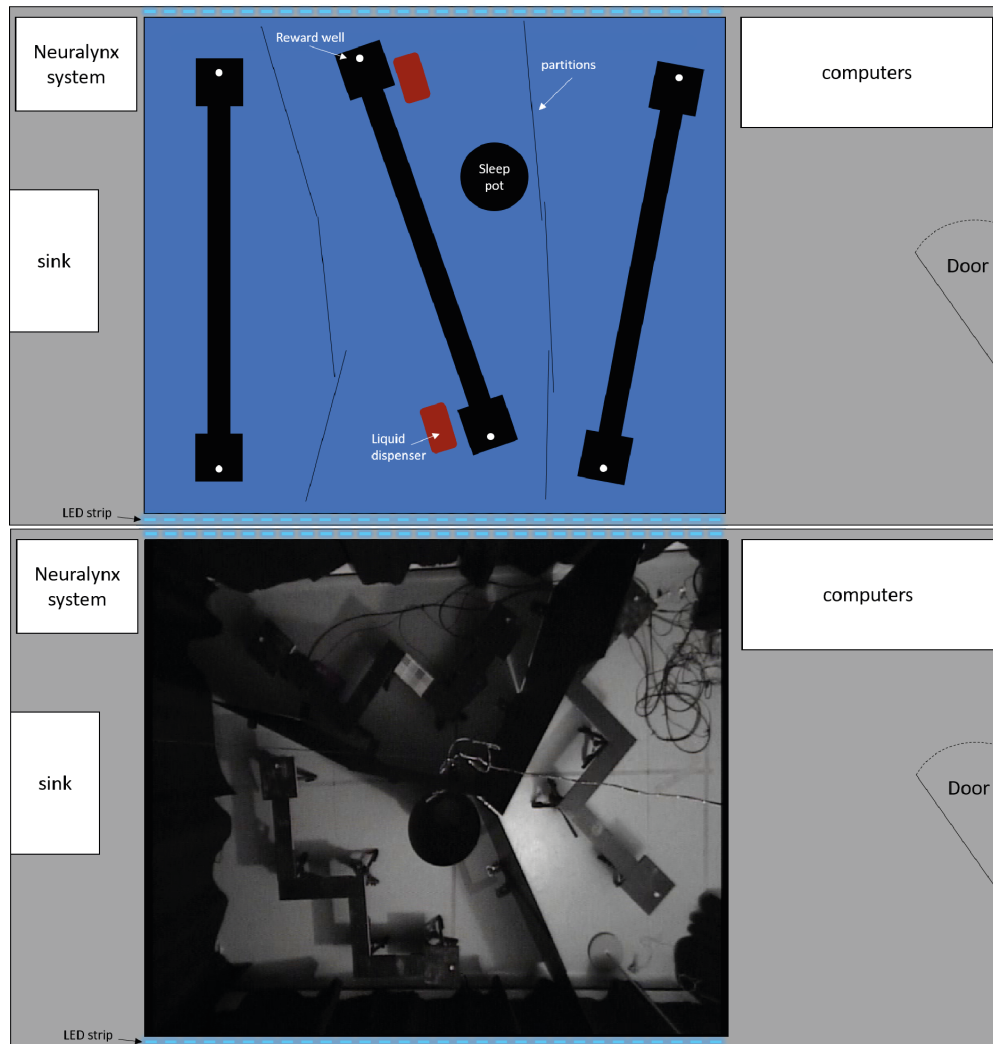




Figure 2.1: Top: Schematic of the recording setup **Bottom:** Schematic of the recording setup, with a picture of the area used for video tracking

The mazes were then cleaned with an ethanol/chlorhexidine spray, and dismantled before the next session.

Each day, two of the mazes were similarly rewarded (pure chocolate or 1:1 dilution). The order of the mazes, reward and maze shape were pseudo-randomised for each rat using MATLAB. For the rat Navi, human error led to two sets of conditions to be repeated twice (see data summary 3.11).

Day	Maze1	Maze2	Maze3	Shape
1	chocolate	diluted chocolate	diluted chocolate	
2	diluted chocolate	diluted chocolate	chocolate	
3	diluted chocolate	chocolate	diluted chocolate	
4	chocolate	chocolate	diluted chocolate	
5	diluted chocolate	chocolate	chocolate	
6	chocolate	diluted chocolate	chocolate	

2.5 Histology

Animals were anaesthetised in an induction chamber with isoflurane (Piramal Healthcare UK Ltd, 3-5%, O₂ flow rate of 2L per min, reduced to 2% after 5 minutes). Small electrolytic lesions were made at the tip of each tetrode to mark their emplacement by passing a 30mA current for 15s between tetrode wires. The tetrodes were then lifted all the way up if possible, and the animals were overdosed with an intra-peritoneal injection of pentobarbitone (Pentoject, pentobarbitone sodium). Immediately after breathing stopped, the animals were transcardially perfused with saline at a rate of 5 mL/min followed by a perfusion of 10% neutral buffered formalin, thus removing blood and fixing the tissues.

The carefully extracted brains were stored for a minimum of 24h in formalin, then placed in 30% sucrose for at least 72h for cryoprotection. They were then mounted in OCT and cut in 30 μ m slices with a LEICA CM1850 UV cryostat and mounted on slides.

The mounted slices were left to dry for at least 24h, Nissl stained (see protocol below) and imaged with a bright field microscope.

Cresyl Violet	6-12 min
dH ₂ O 1	1 minute
dH ₂ O 2	1 minute
70% EtOH	30 seconds
95% EtOH	30 seconds
100% EtOH	20-10 seconds Leave less in EtOH if understaining
Histoclear 1	1 minute
Histoclear 2	1 minute - until gluing
Glue coverslips with DPX	

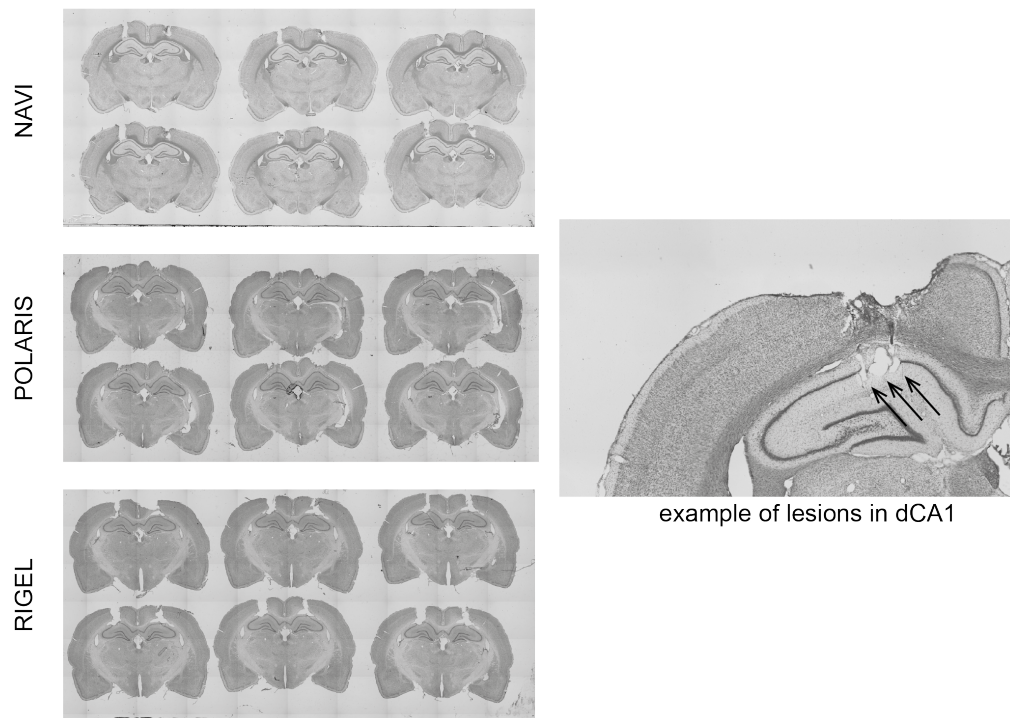


Figure 2.2: Histology: Location of the drives and tetrodes **Left:** hippocampal coronal slices for each of the rats that contributed to the ephys data. All drives were correctly positioned at the desired coordinates. Lesions and tetrode tracks are not always visible. **Right:** example of successful lesions in dorsal CA1

Chapter 3

Analysis Methods

3.1 Behaviour

3.1.1 Reward Preference

The magnitude of the reward preference of each animal for either the pure chocolate or its 1:1 diluted version was quantified by a preference index defined as:

$$\text{preference index} = \frac{\% \text{ volume consumed chocolate} - \% \text{ volume consumed 1 : 1 dilution}}{\% \text{ volume consumed chocolate} + \% \text{ volume consumed 1 : 1 dilution}} \quad (3.1)$$

with:

$$\% \text{ volume consumed } (i) = \frac{\text{volume}(\text{end of trial } i) - \text{volume}(\text{start of trial } i)}{\text{volume}(\text{start of trial } i)} \quad (3.2)$$

The preference index measure described above in equation 3.1 will detect differences in the volume consumed of each reward, while by being weighted by the total amount of reward consumed. A preference index of 1 indicates a strong preference for chocolate while an index of -1 indicates a strong preference for the 1:1 dilution.

3.1.2 Position Data

3.1.2.1 Pre-Processing

Cleaning in time and space: The raw position data was loaded into MATLAB. The instantaneous position was defined as the middle point between the largest clusters of pixels with the highest light intensity for each LED colour, giving one X,Y coordinate at each timestamp. The following cleaning procedure was then applied to each subset of tracking data: The tracking data was plotted against time, and the period of interest was manually cropped (e.g. track 1). This selection was then plotted as an X,Y scatter plot, and a polygon was drawn around the track to eliminate false detections (e.g. reflective surfaces). The refined data was once more cleaned in the time domain before a track mask was defined for the purpose of linearisation. Data during rest and sleep epochs were not linearised. Missing data points during the run or rest periods were linearly interpolated in 2D.

Track linearisation: The distance from each data point to the corresponding track mask was calculated. Points further than 50 pixels away from any part of the track were discarded. A weighted average of the distance from the track was used to determine the animal's current location. This weighted average method ensures smoother tracking around corners. Now having a linear position for each timestamp on the current track, any large jumps in position (more than 40 pixels) were removed. The position data was aligned to timestamps with an exact inter-timestamp interval of 40ms (25Hz sampling rate), and any missing points interpolated to the nearest position. Finally, the position data was converted from pixels to cm.

Rest periods: Similarly, timestamps during periods in the sleep pot were interpolated to an exact inter-timestamp interval of 40ms (25Hz sampling rate), and the instantaneous velocity of each timestamp was calculated over the entire recording. Any points where the velocity inside the sleep pot exceeded 300cm/s were removed, after which the position data was interpolated once more to the nearest position and the velocity smoothed with a 4s moving average filter.

Lap Detection: A 10th order median filter was applied to the linearised positions. Laps were segmented as trajectories from one end of the track, to the other end, and back to the first zone, regardless of whether the animal retraced its steps at any point. Because each rat had their own approach and consummatory behaviour at the end zones, the exact location of stopping to collect the reward would change (more or less far from the edge). Therefore we implemented an automatic detection of end zones for each track. The dwell map was used to calculate the average dwell time in the middle 90% of the track. From each edge of the track, the first crossing of the dwell curve with this average value was set as the boundary delimiting the end zone.

Offline periods on the tracks: To detect immobility on the track, the instantaneous velocity was smoothed with a moving average filter of length 1s. Periods where the velocity was less than $5\text{cm}\cdot\text{s}^{-1}$ were classified as immobility periods.

3.1.2.2 Sleep State Detection

Our sleep detection algorithm takes advantage of both tracking data and multi-unit activity. The smoothed instantaneous velocity was downsampled to 1Hz, and a time histogram of multi-unit activity over 60s bins was computed. Thresholds for the zscored number of spikes per time bin and zscored velocity were manually adjusted after visual inspection for each session, but the default values used were a zscore of 0 for the multi-unit count and a zscore of 4 for speed. Offline periods were defined as periods where the zscored multi-unit activity and velocity were respectively above and below their corresponding thresholds. This method was effective in detecting both SWS and REM epochs (see Figures 3.1 and 3.2), but did not provide a distinction between SWS and REM, partly due to the absence of Electromyographic (EMG) data.

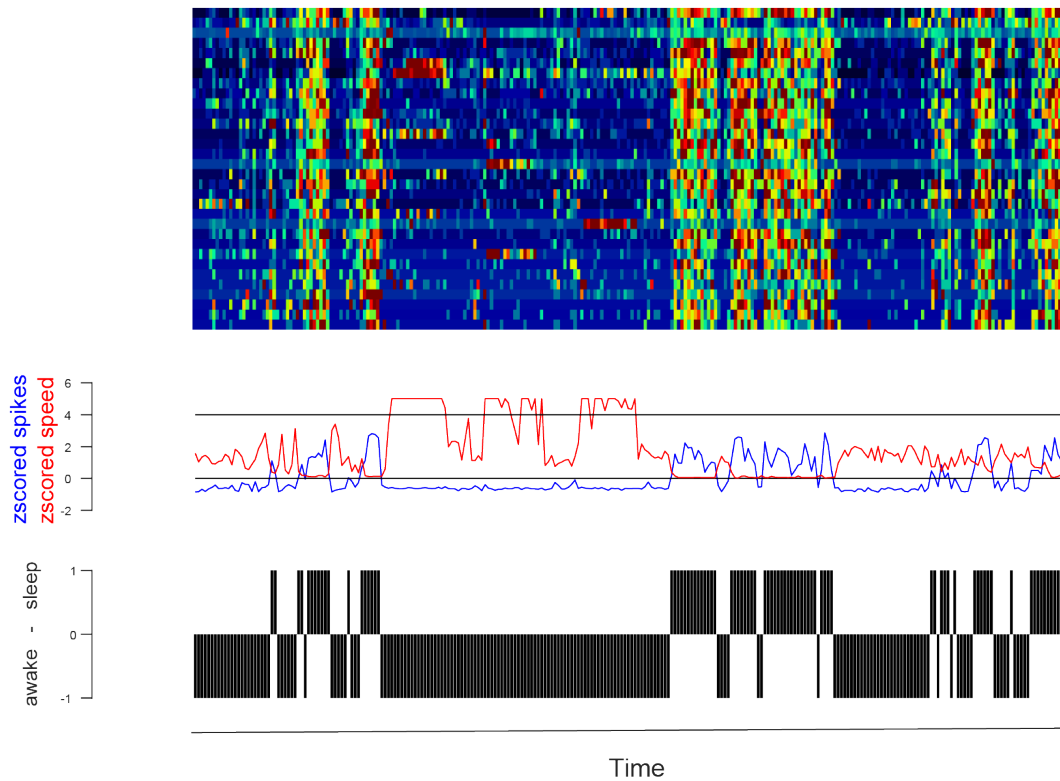


Figure 3.1: Sleep detection procedure. Example from one session.

Top: Multi-unit activity, disinhibition of the cells during offline periods are easily visible

Middle: Whenever the zscored velocity (red line) is below the threshold (0), and the score multi-unit activity (blue line) is above the threshold, sleep is detected.

Bottom: classified stages: sleep (1) or awake (-1)

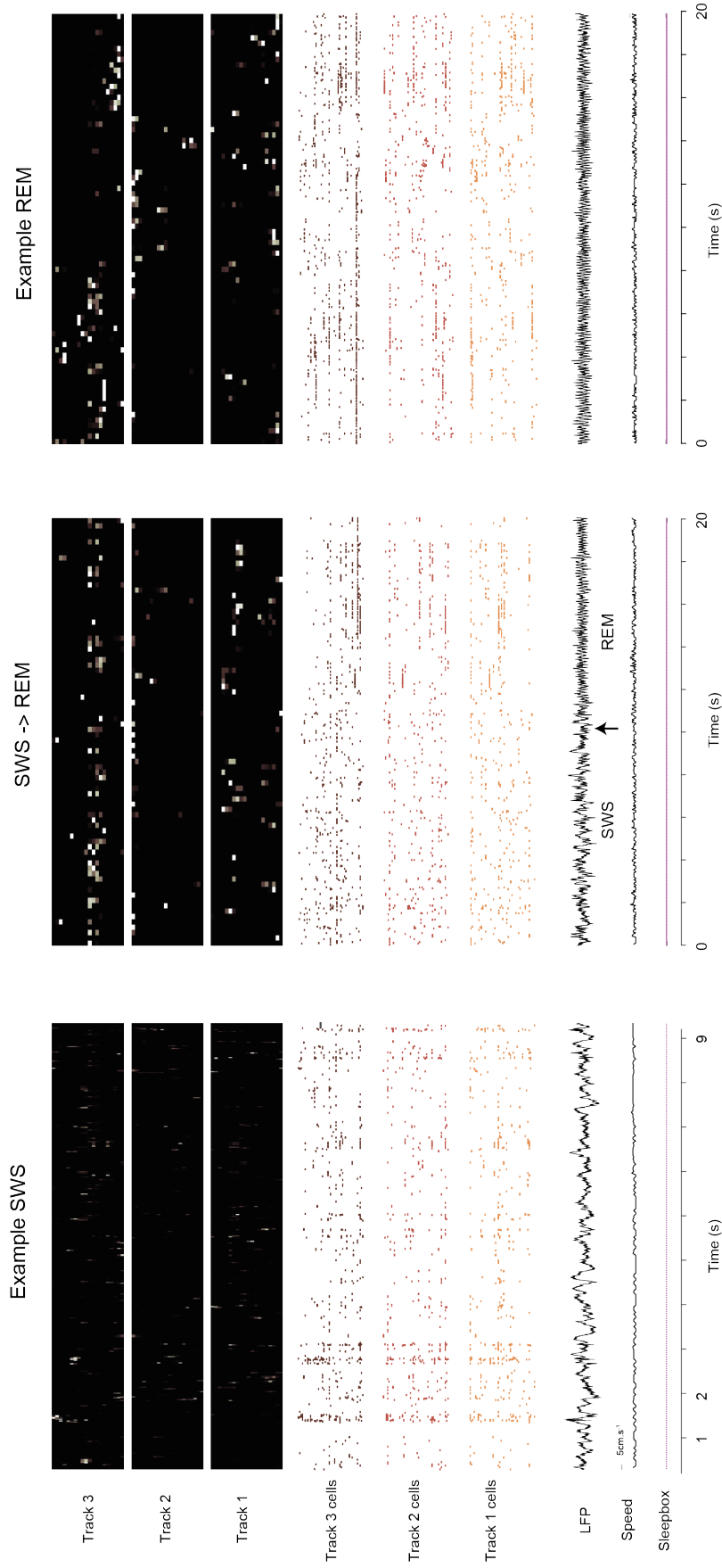


Figure 3.2: Example SWS and REM epochs in the sleep pot.

Top: Decoded probability matrices for each track. The time bins are different based on the time window at the bottom of the plot (250ms) in the plots with REM, 20ms for SWS)

3.2 Electrophysiological Data Pre-Processing

3.2.0.1 Single Unit Isolation

Custom scripts were written to convert the recorded data from .csc (Neuralynx) to .dat, a format used by the semi-automatic clustering software KlustaKwik2. This algorithm clusters similar spiking activity over the entire recording session, in a coordinate system defined by the first three principal components of the waveforms' PCA (Principal Component Analysis) We used the open source Klusta Suite package and Klustaviewa for manual classification of the clusters as noise, multi-unit activity (MUA) or single units. A subset of sessions were clustered on UCL's Myriad HPC.

3.2.0.2 Deletion of Dropped Samples

In several sessions, some samples were erroneously dropped during recording by the Neuralynx recording system. However, a log of the samples dropped is automatically generated within the acquisition system to account for these errors. Based on this, raw wideband data and classified unit data were imported in MATLAB, any data from dropped epochs were removed and the remaining wideband data corrected by linear interpolation.

3.2.0.3 Single Unit Characterisation and rate map calculation

Single unit, multi unit spiking activity and their respective waveforms were loaded into MATLAB. Pyramidal cells were identified as units with a Half Width Half Max (HWHM) value larger than $500\mu\text{s}$, and interneurons as units with an average firing rate on all tracks above 5Hz. All tracks were divided into either 2cm bins for finer rate map calculations or 10cm bins for bayesian decoding. The animal's position and each unit's spiking data were restricted to periods where the animal's velocity was between $5\text{cm}\cdot\text{s}^{-1}$ and $50\text{cm}\cdot\text{s}^{-1}$. A histogram of both datasets for each position bin were then calculated to respectively create a dwell map and a spike histogram. Dividing the spike histogram by the dwell map gives the raw firing map for each cell. Position bins where no spikes occurred were set to 0. Next, a 10 point gaussian filter of width factor 2.5 (MATLAB's `gausswin` function) was applied to smooth the

finer resolution rate maps. No smoothing was applied to the rate maps used for bayesian decoding. Spatially tuned cells were selected according to the following criteria: a HWHM of a pyramidal cell, a minimum smoothed firing peak of 0.5Hz, a minimum raw firing peak of 1Hz, an overall mean firing rate lower than 5Hz on the tracks, and a non-zero information content (Skaggs Information). This subset of cells was ordered according to their peak location on the track for following analyses.

Skaggs information is calculated as:

$$I = \int_x \lambda(x) \log_2 \frac{\lambda(x)}{\lambda} p(x) dx$$

where I is the information rate of the cell, x is the position bins, p is the dwell map - or probability for the animal to be at each position, and $\lambda(x)$ is the firing map. The mean firing rate of the cell is then $\lambda = \int_x \lambda(x) p(x) dx$ (Skaggs et al., 1994).

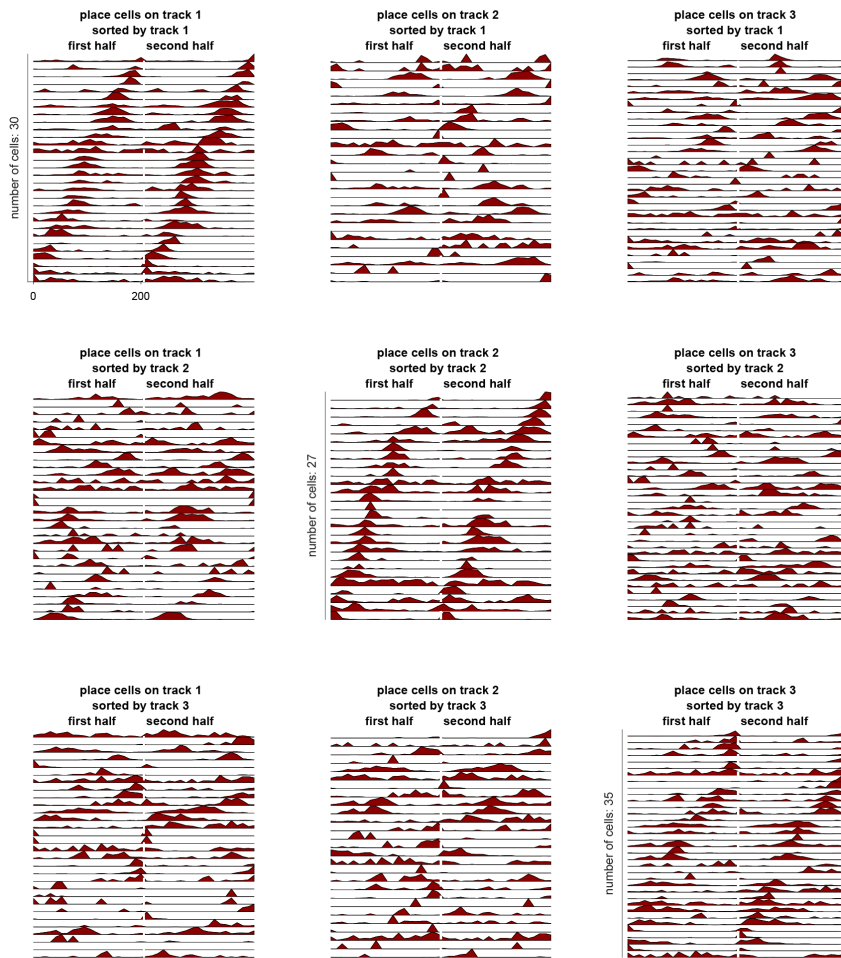


Figure 3.3: Example of rate maps from the session with least number of cells.

Normalised rate maps ordered by peak firing rate location, divided in the first and second half of exploration of each track to demonstrate within representation stability. Sorting order based on peak location of one track is applied to the rate maps of other tracks to demonstrate between representation remapping

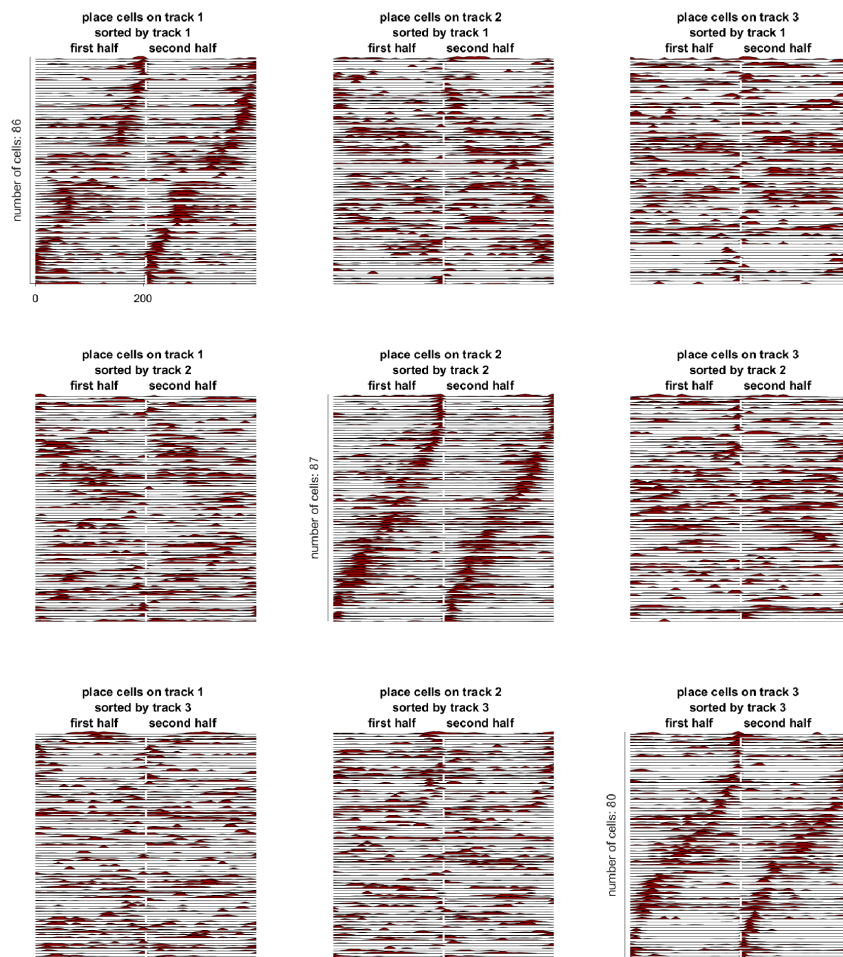


Figure 3.4: Example of rate maps from the session with most cells.

Normalised rate maps ordered by peak firing rate location, divided in the first and second half of exploration of each track to demonstrate within representation stability. Sorting order based on peak location of one track is applied to the rate maps of other tracks to demonstrate between representation remapping

3.2.0.4 LFP Extraction and Filtering

Power Spectral Density: The raw LFP signal was lowpass filtered ($< 500\text{Hz}$), downsampled to 1kHz , and whenever possible, divided into offline and online sets (see 3.1). Welch's power spectral density estimate (MATLAB's `pwelch`) of the signal using an Hanning window of 1024 samples and no overlap was calculated for each channel (and each state), and converted into dB/Hz : $power = 10\log_{10}(PSD)$.

Selection of channels: The power spectrum was divided into frequency bands:

Oscillation	Frequencies (Hz)	Behavioural state
theta	[4 12]	online
ripple	[125 300]	offline
delta	[1 4]	offline
spindle	[9 17]	offline
high gamma	[40 100]	online
low gamma	[17 40]	online

To find the peak power for each channel for each band, the median power over the band was compared to the $1/f$ decrease in power over the frequency range, as estimated by the line drawn between the minimum and maximum power values for that range. If a type of oscillation occurs on this channel, the median will be above the $1/f$ slope. This was only computed for the relevant behavioural state, e.g. online periods for theta oscillations. The channel with the highest power for each oscillation was selected for further processing. In addition to the bands described above, we also selected the channel with the largest difference in normalised theta to ripple power, which is later used to inform on our detection of candidate replay events.

Filtering: Finally, the channels with the highest signal in each of the bands detailed above were bandpass filtered with a Finite Impulse Response (FIR - MATLAB's `fir1`) zero-phase digital filter (`filtfilt`) of order $round\left(\frac{6 * \text{sampling rate}}{\text{filter width}}\right)$ and a Hamming window with a cutoff frequency at the nyquist frequency $\frac{\text{sampling rate}}{2}$. The amplitude

of hilbert transform of the filtered signal was also zscored (MATLAB's zscore).

3.3 Decoding

3.3.1 Detection of Candidate Replay Events

Similarly to (Davidson et al., 2009a), we chose to detect candidate replay events using multi-unit activity. MUA activity was binned in 1ms bins, smoothed with a gaussian kernel (gausswin) of length 41ms and width factor of 2, and then zscored. A burst was defined as periods where the zscored MUA activity and ripple envelope amplitude exceeded a threshold of 3, with its edges defined by an adaptive MUA zscore threshold of 0, 0.25 or 0.5 within 300ms of its peak. The edge threshold was iteratively increased if the MUA did not fall down to 0 within the search window, up to 0.5, after which the burst was discarded. Bursts shorter than 100ms were discarded and bursts within 50ms of another neighbouring burst were grouped together and classified as a single event. Finally, bursts occurring when the animal's velocity was above $5\text{cm}\cdot\text{s}^{-1}$ and with less than 5 spatially tuned cells active were discarded.

3.3.2 Detection of Reactivations

Reactivations were detected using the same method as candidate replay events, but with a minimum duration of 50ms instead of 100ms. They were not merged together, and no restriction was imposed on the number of neurons active.

3.3.2.1 Split Events

In an effort to optimise detection of replay events, and avoid a minority of events that were discarded due to noisy probability decoding at the beginning or the end of the event, we split candidate replay events in half. To do so, the minimum MUA activity in the middle third of the candidate replay event was used to determine a natural midpoint to split the event in two segments. Both segments were decoded and tested for significance independently following the same procedure as 'intact' candidate replay events (i.e. same criteria including minimum duration, etc.), for the exception of an adjusted p-value threshold (see scoring section).

PSD ON THE TRACKS

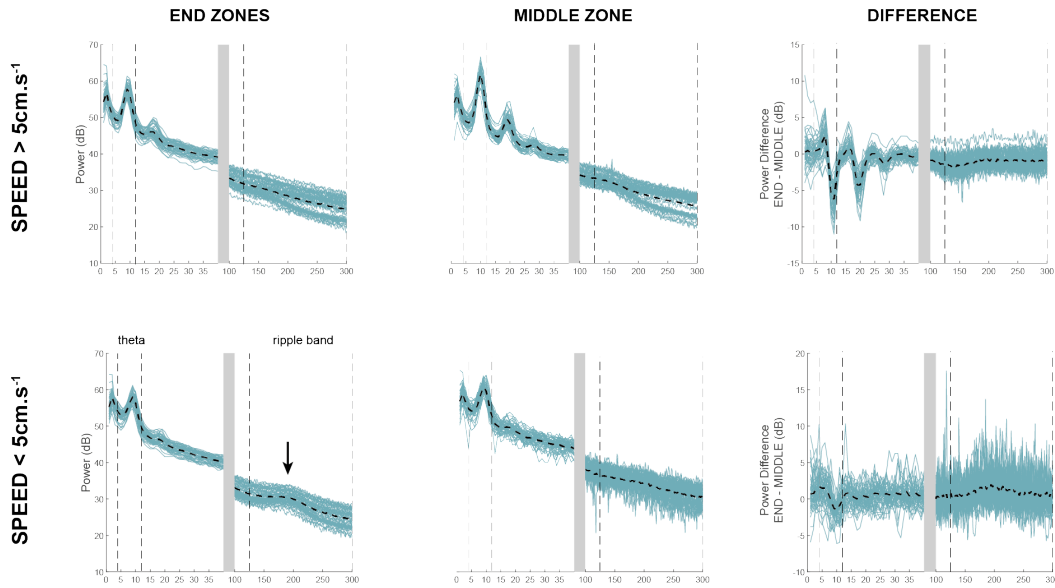


Figure 3.5: Power Spectral Density of the LFP for low and high speeds.

Top: locomotion speeds $\geq 5\text{cm.s}^{-1}$, for the end and middle zones as well as the difference between the two. Theta is at a slightly higher frequency in the middle as the rats tend to run faster there, there is no power in the ripple band

Bottom: locomotion speeds $< 5\text{cm.s}^{-1}$, for the end and middle zones as well as the difference between the two. Power in the theta band is reduced compared to higher speed, and an increase in ripple band can be seen, especially at the end zones where the rats consume the reward

3.3.3 Bayesian Decoding

Epochs where the animal was running (velocity $> 5\text{cm.s}^{-1}$) were divided into 250ms bins, while candidate replay events were divided into 20ms bins. A histogram (MATLAB's histcounts) of the spikes from cells classified as spatially tuned cells (whose fields were binned more roughly into 10cm chunks) was calculated over those binned epochs, and along with the rate maps of those cells fed into a bayesian decoding algorithm: We take Bayes formula

$$P(A|B) = \frac{P(B|A) * P(A)}{P(B)}$$

which for our purpose translates to

$$P(\text{position}|\text{spikes}) = \frac{P(\text{spikes}|\text{position}) * P(\text{pos})}{P(\text{spikes})}$$

where $P(\text{position}|\text{spikes})$ is the posterior, or the probability of the animal being at a specific position given the current observed spikes. $P(\text{spikes}|\text{positions})$ is the likelihood, or the probability of observing these spikes at a specific position. $P(\text{position})$ is the prior, or probability of occupying a specific position: which is set to 1 as we assume the animal is equally likely to be anywhere on the available tracks. $P(\text{spikes})$ is the marginal likelihood, or probability of observing this number of spikes. For cells, this follows a Poisson distribution $P(n \text{ spikes in interval } \tau) = \exp(-\lambda \tau) \frac{(\lambda \tau)^n}{n!}$, with λ the average firing rate of the cell. Hence 3.3.3 becomes:

$$P(\text{position}|\text{spikes}) = \text{constant term} * \left(\prod_{i=1}^N \text{firing maps}^n \text{spikes} \right) \exp^{-\left(\tau \sum_{i=1}^N \text{firing maps} \right)}$$

for cell $i \in 1, N$

Therefore for run epochs, τ is 250ms, while for replay events τ is 20ms. This algorithm was run separately for each track using all spatially tuned cells active on that track. Finally, the resulting estimated position $P(\text{position}|\text{spikes})$ was normalised across environments, so that the summed probability for each time bin and across all three tracks together was equal to 1. Normalising the probability sum to 1 across all three tracks together rather than each track individually, allowed us to decode both which track and where on that track the animal was based on hippocampal activity.

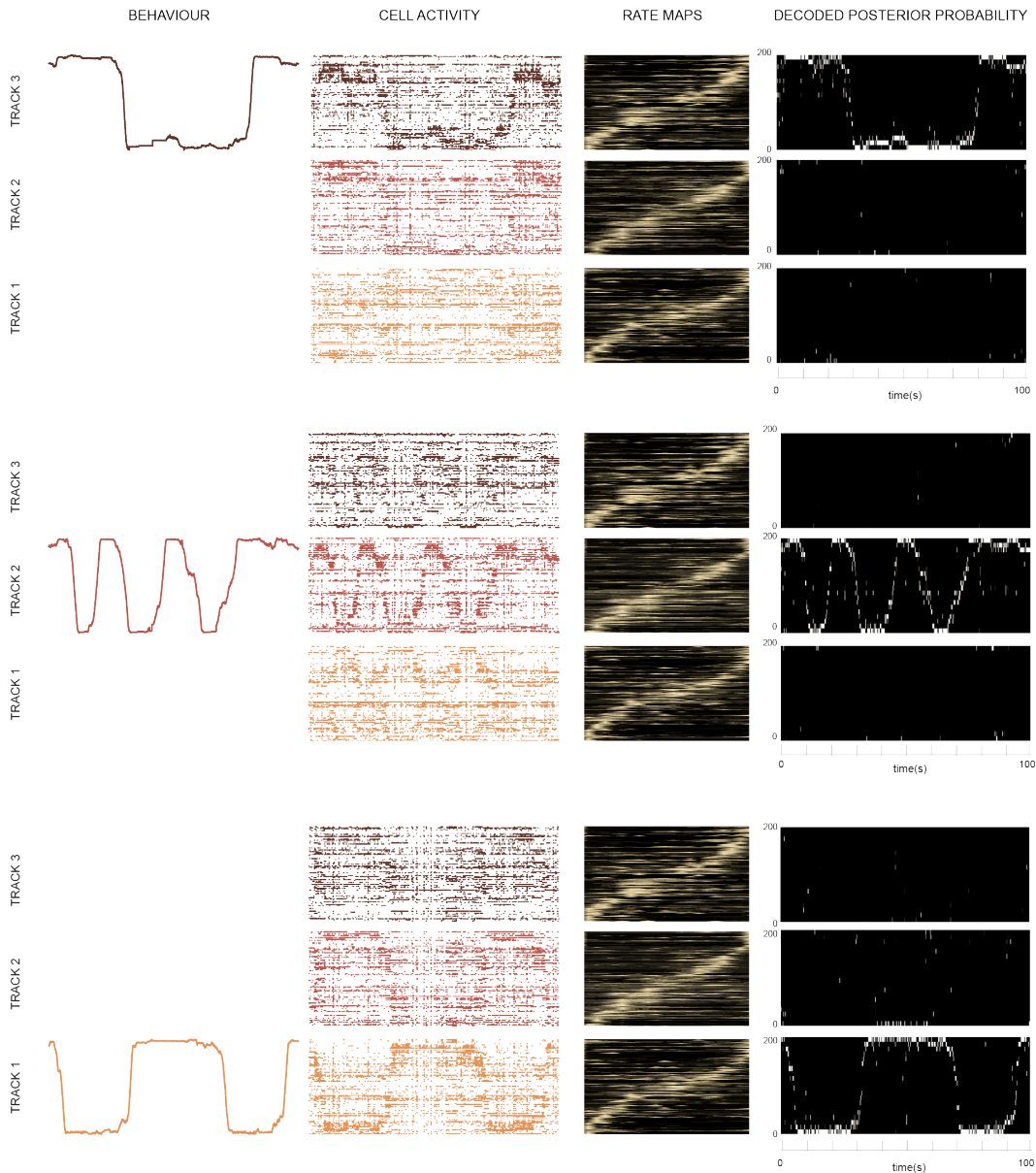


Figure 3.6: Example of bayesian decoding on each track.

The spiking activity and ratemap of each place cell is fed into a bayesian decoder to obtain a distribution of probabilities for each decoded time bin (250ms). The maximum probabilities (lighter pixels) provide an accurate representation of the behaviour on the track. For these plots, all running speeds are included, and bursts corresponding to candidate replay events can be observed.

3.3.3.1 Jump detection candidate replay events

To eliminate decoded events with very large jumps and only a few high probability decoded positions at the ends of the diagonal, we implemented a maximal jump criterion. First, very low probability bins ($p < 0.02$) were set to 0, then the maximum

decoded position for each time bin was identified. Any jumps larger than half the track that were not situated at the beginning or the end of the event (which can just be caused by an imprecise detection of even onset/offset) were identified. Events with two or more large jumps and less than 5 time bins with a maximum decoded probability > 0.02 were excluded. These criterion are quite lenient, but proved efficient at removing a large number of events which might otherwise display high correlation values.

3.3.4 Shuffles

To assess significance, the following three shuffles were run 1000 times on candidate replay events and their respective split candidate replay events.

3.3.4.1 Spike Train Circular Shift

For each candidate replay event, the spike count vectors for each cell (prior to decoding) were independently circularly shifted in time prior to decoding.

3.3.4.2 Rate map Circular Shift

Each rate map was circularly shifted in space by a random amount of position bins prior to decoding. There was a chance for a field to be split in two, with one part at one end of track and the rest at the other end of the track with this shuffle.

3.3.4.3 Decoded Position Bin Circular Shift

For each candidate replay event, the posterior probability vectors for each time bin were independently circularly shifted by a random amount.

3.3.5 Significance Testing

Candidate events and shuffled events were then scored using three different methods. The significant replay events displayed in the results chapter of this thesis were scored using a weighted correlation, but all candidate replay events were also scored using the remaining two methods as a sanity check.

3.3.5.1 Spearman Correlation

For each cell active during a replay event, only its median spike was kept for evaluating Spearman's correlation (MATLAB's corr).

3.3.5.2 Weighted Correlation

Weighted correlation is an adapted form of the Pearson's correlation, using the decoded posterior probabilities at each position i and time j as weights:

weighted mean:

$$m(x; prob) = \frac{\sum_{i=1}^M \sum_{j=1}^N prob_{ij} x_i}{\sum_{i=1}^M \sum_{j=1}^N prob_{ij}}$$

weighted covariance:

$$cov(x, t; prob) = \frac{\sum_{i=1}^M \sum_{j=1}^N prob_{ij} (x_i - m(x; prob))(t_j - m(y; prob))}{\sum_{i=1}^M \sum_{j=1}^N prob_{ij}}$$

weighted covariance:

$$cov(x, t; prob) = \frac{\sum_{i=1}^M \sum_{j=1}^N prob_{ij} (x_i - m(x; prob))(t_j - m(y; prob))}{\sum_{i=1}^M \sum_{j=1}^N prob_{ij}}$$

weighted correlation:

$$corr(x, t; prob) = \frac{cov(x, t; prob)}{\sqrt{cov(x, x; prob)cov(t, t; prob)}}$$

where x_i is the i^{th} position bin, t_j is the j^{th} time bin and $prob_{ij}$ is the probability at the position bin i and time bin j .

3.3.5.3 Line Fitting

A line-fitting algorithm similar to that of Ólafsdóttir et al. (2017) was implemented. 2D kernels representing all possible slopes between 100 cm.s^{-1} and 5000 cm.s^{-1} in 70 cm.s^{-1} increments (and their opposite, negative values) were created, given the temporal and position dimensions of the event to be scored. Those kernels were then convolved with the decoded posterior matrix, effectively testing all possible intercepts for all possible slopes. The sum of the probabilities lying within 10cm above or below each fitted is used as a goodness of fit score. The slope and intercept with the highest score defines the line of best fit. Mathematically it can be defined

as:

$$R(\text{slope}, \text{intercept}) = \frac{1}{n} \sum_{t=0}^{n-1} \text{Prob}(|\text{pos}(t) - (\text{slope} \times t \times T + \text{intercept})| \leq d)$$

where t is each time bin, prob is the decoded posterior, pos is the position bin, t the time bin, d the maximum distance from the line of fit. Line fitting of candidate replay events was run on UCL's Myriad HPC.

3.3.6 Scoring of Replay Events

To determine whether a candidate replay event is significant or not, the score of the decoded event (whether it was weighted correlation, line fitting score or spearman correlation) was compared to the corresponding score distributions of the shuffled events. If the score of the candidate event was greater than the 95th percentile of the distribution for all three shuffles then the event was considered to be significant. To account for multiple comparisons in the case of split replay events, segment scores had to be above 97.5th percentile of the shuffled distributions. If a replay event was significant for more than one track the following measures were applied:

- if both segments are significant, but each for a different track, the event was divided into two events, one for each segment
- if the whole replay event was not dividable, the bayesian bias $\sum_i \sum_j \text{prob}_{ij}$ for each significant track was used to assign the event as significant for either track:
 - for 2 tracks: if the bayesian bias for one track is larger than 60%, it is selected as significant for that track
 - for 3 tracks: the bayesian bias for one track needs to be above 40% to be selected
 - if no track can be selected as the bayesian bias does not meet the conditions above, the event was discarded as non significant

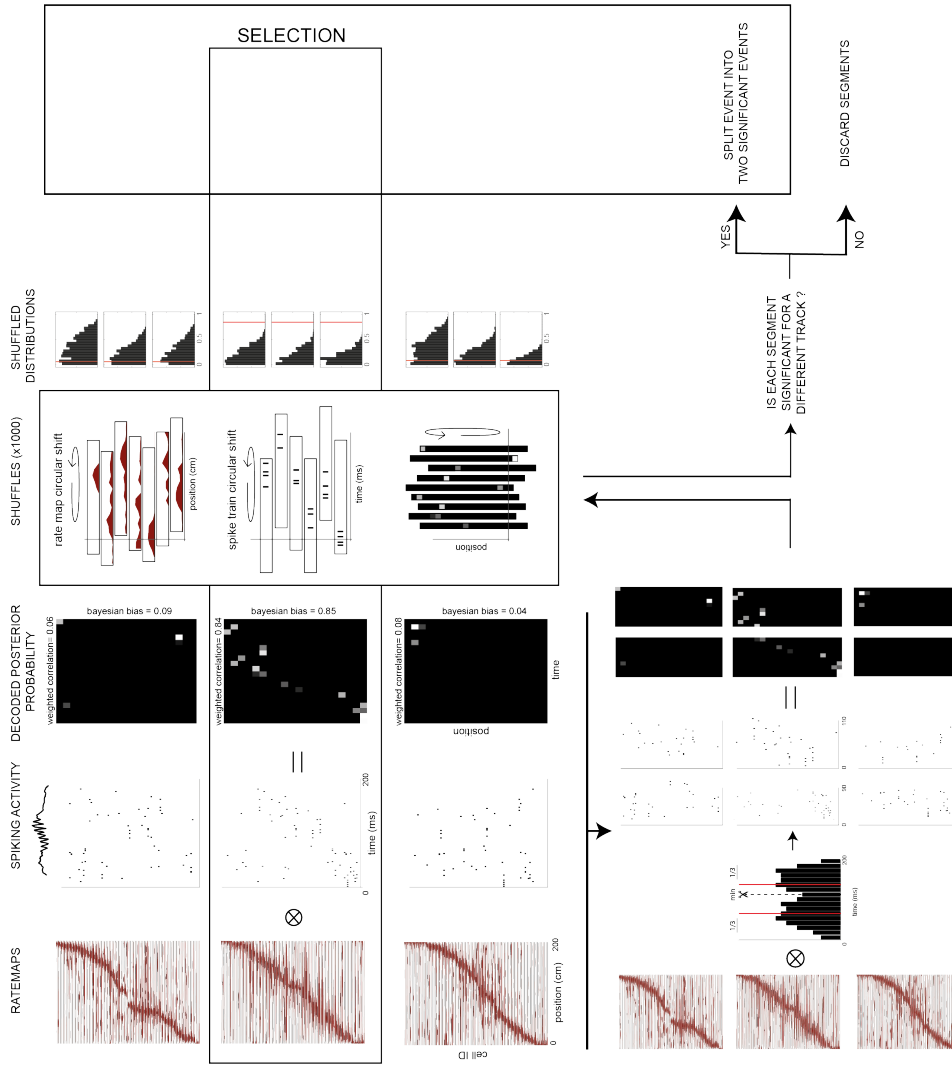


Figure 3.7: Decoding and shuffling procedure for replay events

3.3.7 Decoding Error

To assess the quality of our decoding algorithm, we used two quantification methods. The first one was aimed at determining how often the decoder correctly identified the current track, and the second method described how precisely the decoder identify the position of the animal on the current track.

Within track accuracy: percentage of small errors We compared the estimated position to the real position of the animal using a 'leave one out' procedure (van der Meer et al., 2016). Iteratively, rate maps were calculated for all laps except one (see 3.1.2.1 for lap detection), used to train the bayesian decoder along with the spiking activity (locomotion speed $\geq 5\text{cm.s}^{-1}$) of the left out lap, to estimate the location of the animal on that lap. The decoding error was then calculated as the sum of the distance from the true position to the decoded position with maximum probability (see schematic 3.8). The percentage of time bins with a decoding error $\leq 20\text{cm}$ averaged over all laps was used as the quantification measure for within-track accuracy.

Between tracks accuracy: classification accuracy The rate maps calculated from all laps on each track were used to decode the spiking activity on other tracks to provide an estimate of the position of the animal (locomotion speed $\geq 5\text{cm.s}^{-1}$). For each time bin, track identity was determined by the track with the maximum decoded probability. The percentage of time bins where the decoded track was the current track provided a measure of between tracks classification accuracy.

See Figure 3.11 for a summary of the values for these two measures for each animal, session and track.

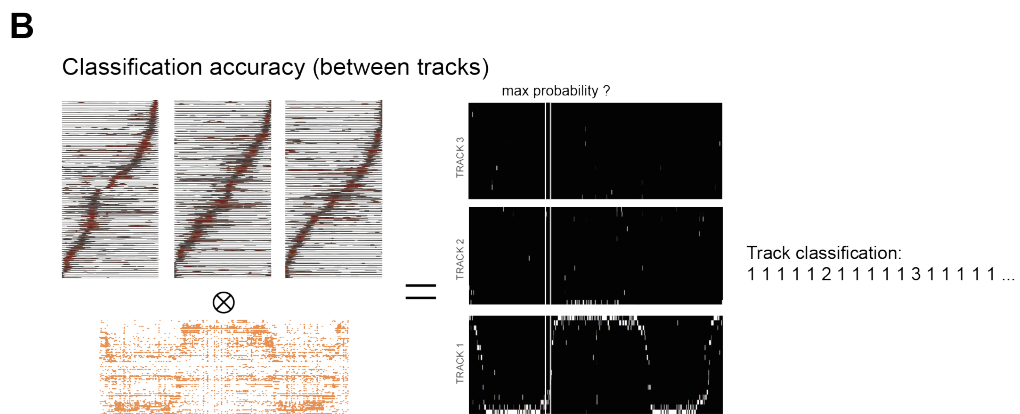
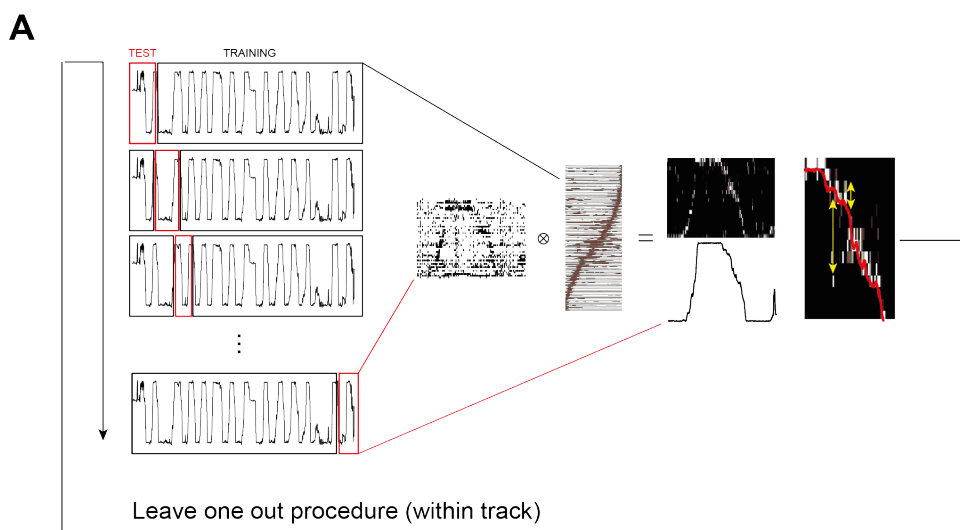


Figure 3.8: Schematics for decoding accuracy measures

3.4 Analysis

3.4.1 Remapping Analysis

Remapping analyses are usually carried out by comparing the correlation or overlap between the rate map of one cell and of another randomly chosen cell many times to create a distribution or correlations/overlap. If the correlation of the same cell's rate maps between epochs/environments/laps exceeds the 5th/95th percentile of that distribution, then it is globally remapping. Using these methods, rate remapping can only be quantified in cells that were not classified as globally remapping (Fuhs et al., 2005). In this scenario, a similar technique is used, but comparing values of the following difference metric:

$$D = \frac{\sum_x |f_1(x) - f_2(x)|}{\sum_x |f_1(x)| + |f_2(x)|}$$

where $f_1(x)$ and $f_2(x)$ are individual rate maps with their mean firing rate subtracted.

Such methods can be problematic for two reasons. 1) First, they depend on the population of cells recorded. Not only will a low number of cells will create large variations in such shuffling procedures, but also any bias in cell selection will be amplified. 2) Second. peak location and firing rate modulation cannot be individually quantified. In older studies, remapping is often visually assessed.

We designed a bootstrapping procedure to circumvent some of the issues from traditional remapping analysis methods. Our reasoning is that remapping between epochs should be assessed as a change in the selected property that exceeds the cell's intrinsic variability in the reference epoch. In other words, by creating a distribution of the values taken by said property (e.g. peak firing rate) during the reference epoch (e.g. on track 1), we can compare this distribution to the one built during the target epoch (e.g. on track 2). With this method, a cell that fires consistently with a median of 6Hz 95%CI [5-7]Hz on track 1 and 11Hz 95%CI [10-12]Hz on track 2 will be classified as peak firing rate modulated, whereas a cell that has a peak firing rate median of 6Hz 95%CI [2-15]Hz on track1 and 11Hz 95%CI [5-16]Hz on track 2

will not be classified as being rate modulated. Such an analysis can be conducted on any quantification measure of interest: peak firing rate, location, centre of mass, overlap... We implemented such an approach by resampling laps in a bootstrapping procedure. From the total number of laps N on a track, a random subset of N randomly laps (with replacement) was selected, and the rate maps of each cell as well as the desired measure (e.g. peak firing rate) were calculated from this subset of data. Repetition of this resampling a hundred times for each track led to robust parameter distributions. The medians of these distributions were compared to the 5th/95th percentiles of the other for each track pair. Either median exceeding either percentile led to the classification of the parameter being modulated.

This bootstrapping technique can also be applied in 2D, but we expect that it would require ample exploration of the environment, or stereotyped trajectories.

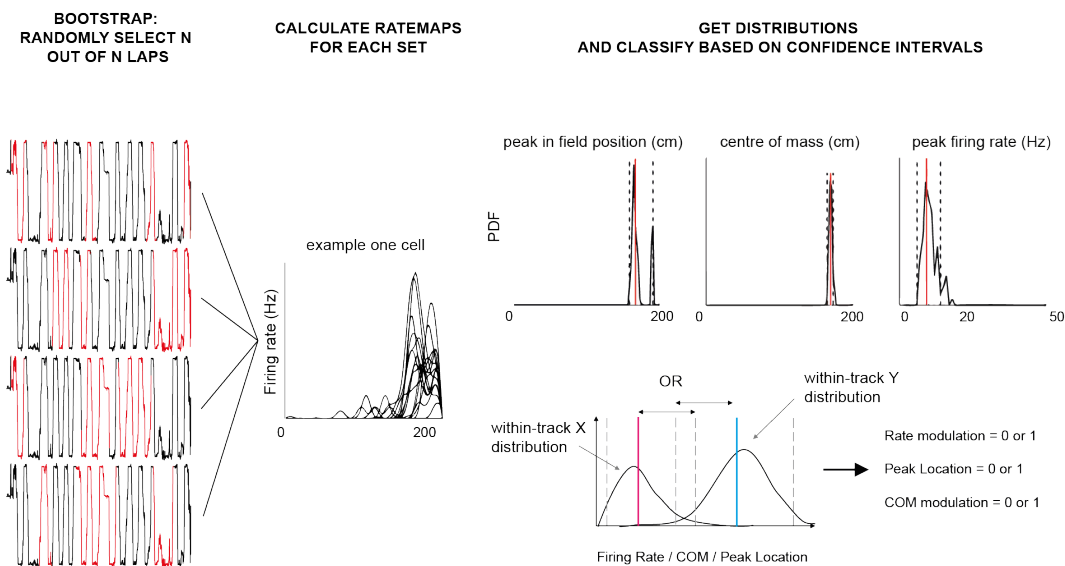


Figure 3.9: Schematic of the single cell remapping analysis

3.4.2 Map Stabilisation

To evaluate the rate of stabilisation of each representation with exploration, we computed the between-lap spearman correlation of single-lap computed rate maps. First we conducted an omnibus Friedman test, followed by post-hoc Conover tests with Holm-Sidak correction to identify when the difference between laps no longer was significant. Upon visualisation of these growth curves and based on Michon

et al. (2020), we decided to fit a sigmoid growth function:

$$\text{corr}(\text{lap}_i, \text{lap}_{i+1}) = \frac{b1}{1 + b2 \times \exp^{-(\lambda \times \text{lap}_{i+1})}}$$

where the correlation value evolves towards the asymptotic value $b1$ with an increasing number of laps, $b2$ helps set the starting value or offset of the curve, and λ is the stabilisation rate. Sigmoid curve fitting was done using R's `nls` (`nlstools` package), and the bootstrapped (ten thousand repetitions, `nlsBoot`) estimated values of the mean and confidence intervals were calculated for each between-lap correlation distribution. Between groups comparisons in the values of $b1, b2$, and λ were done using `nlme`.

Quantifying map stabilisation through correlations is only a partial assessment. Correlations track changes in the shape of place fields (location and distribution), but are agnostic to the scaling of firing rates. Place cells are known to be speed modulated (Huxter et al., 2003), and to track between-lap changes in firing rates, the rates need to be corrected for running speed first (Michon et al., 2020). This may be implemented in future work.

3.4.3 Classification Accuracy and Decoding Errors

Models

To provide a qualitative intuition of how decoding errors in position and track classification can lead to the results in chapter 4, we constructed models of three artificial decoders: an accurate decoder, a teleporting decoder and an uniform (random) decoder. For the specified number of position bins (20 in our case corresponding to 10cm bins tiling out the 2m tracks), ten thousand decoded positions were calculated for each 'real' position bin according to the specified model. The absolute distance between the decoded and real position bins were then used to generate probability distributions.

1. **Uniform:** for each position bin the decoded positions are uniformly distributed on the track
2. **Accurate Decoder:** for each position bin i , the decoded positions are a sam-

pled from a normal distribution with a standard deviation of 0.1 and mean of

- (a) $i \pm 1$ for the middle 16 bins
- (b) i for the end bins (2 bins each end)

A higher uncertainty in the decoder for the middle bins reflects what is observed in our data. We acknowledge that the parameter space has not been optimised for fitting the data and therefore other means and standard deviations for each bin may be better suited.

3. **Teleporting Decoder:** for each position bin i , the decoded positions are a sampled from a normal distribution with a standard deviation of 0 and mean uniformly distributed over all end positions bins, regardless of whether the current position bin i is in the middle or end. Therefore, middle bins are decoded at either ends, and end bins can be at the same or opposite end.

Normal distributions were truncated not to exceed the available position bin space. Confusion matrices were constructed by calculating the 2D probability distribution over combinations of decoded positions from different models.

Shuffling Controls

In chapter 4, we tested the effect of three different shuffles on decoding errors in the first few laps of exploration of a new track, aiming at selectively disrupting the distribution of cells on the current or alternate track:

1. **Cell ID shuffle:** The identity of the rate map corresponding to each spatially tuned cell was randomly shuffled for each alternate track independently, keeping the current track intact.
2. **Circular shuffle alternate tracks:** The rate map of each spatially tuned cell was circularly randomly shifted for each alternate track independently, keeping the current track intact.

3. **Circular shuffle current track:** The rate map of each spatially tuned cell was circularly randomly shifted for the current track, keeping the alternate tracks intact.

The cell ID shuffle conserves the over-representation of cells at the ends of the track but separated the spiking activity of a cell from its rate map, and allows us to test if a subset of cells is responsible for those decoding errors. Both circular shuffles change the distribution of place fields within the specified track, while keeping the spiking activity and rate map correspondence fixed. Given more computing time, this procedure could be repeated a thousand times for each session to effectively create a distribution, or a brute force approach could be implemented to enforce a uniform (or as closely as possible) rate map distribution on each track. However the current solution was satisfactory (see Figure 3.10).

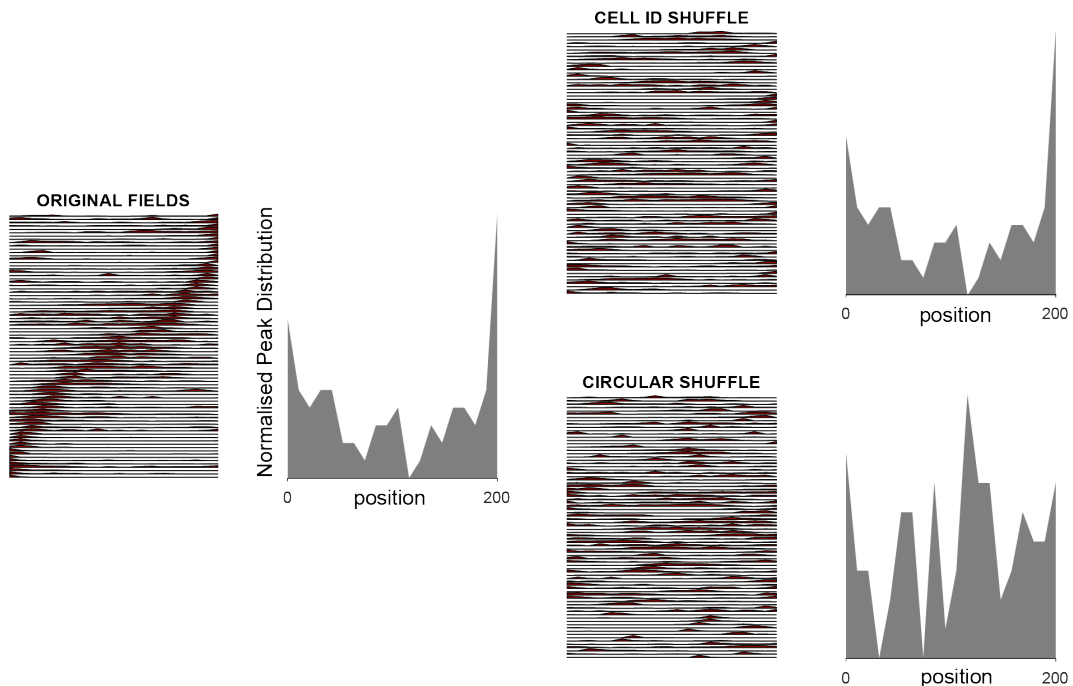


Figure 3.10: Effect of shuffles on cell distribution.

Plot of the rate maps sorted by their maximum firing rate in the original setting. The structure is disrupted in both shuffles, but the underlying distribution of peak firing rates is only disrupted in the circular shuffle condition

3.4.4 Significance procedure for the proportion of replay events

Comparing replay rates within and across sessions is non trivial. The number of detected replay events is not only dependent on the number of cells recorded, the spiking properties of those cells, and other recording or animal specific variability that are not of interest. Two approaches have been used in previous studies to circumvent those issues. The first one, used by Ambrose et al. (2016) is to fit Poisson GLMM to the replay rate with nested [sessions within rats] random intercepts. However, Ambrose et al. (2016) not only had a higher number of subjects and sessions (5 rats, 7 repetitions of each condition) than us, but also only had one track to decode from, with changes in conditions occurring within-day and track. We tried implementing a similar approach in R, but more data is required for the GLMM to provide reliable estimates. It is also unclear if it would be sufficient in compensating for small differences between tracks in the same session. The alternative approach that we implemented has been used by Carey et al. (2019), who also needed to compare replay rates between different portions of an environment, and changes in conditions occurred between days. In this case, comparing replay proportion for one section of the environment or track is more meaningful. The effect of the specified factor: reward (HIGH vs LOW) or recency (Track_M vs Track_N) was assessed by comparing the average observed difference between conditions to a bootstrapped distribution of randomly permuted labels. The zscore of the observed compared to the shuffled distribution of differences determined the significance level: $zscore(difference_{observed}) = \frac{difference_{observed} - mean(difference_{shuffled})}{std(difference_{shuffled})}$, using either a one-tailed ($normcdf(|zscore|)$) or two-tailed test ($2 \times normcdf(|zscore|)$) depending on the hypothesis. Importantly, significance testing is done across rats, not sessions.

3.4.5 Statistical tests

Statistical tests were done either using MATLAB or R, depending on the test's complexity and availability in both softwares. MATLAB was used for ttests, Mann-Whitney U, Wilcoxon tests and simple correlations, while Friedman tests, GLMMs, curve fitting and post-hoc comparisons including p-value adjustments for multiple

comparisons were done in R.

GLMM

To quantify the effect of experimentally-specified factors (reward, recency) on behavioural correlates (number of laps, speed...) we fitted GLMMs using the *afex* package in R. We used the function *mixed*, which estimates mixed models using *lme4* and calculates p-values for fixed effects. We used either Poisson models with a log link function or gaussian models using *lmer*. To establish which combination of factors best described the data, anovas were run on nested models. Estimated means and ratios were calculated with the *emmeans* package.

3.4.6 Summary of Data

Two rats (Ogma and Toliman) had a lower number of place cells recorded on the tracks and a poor decoding quality (percentage of within track errors $\leq 20\text{cm}$: 30-40%, classification accuracy: 50-60%), and therefore were excluded from any electrophysiological analyses, but were still included for behavioural quantification. See Table 3.11 below for a summary.

Rat	Day	Maze Shape	Reward	Sleep PRE (min)	Number of Laps			Sleep POST (min)	Number Spatially Tuned Cells			Track Classification Accuracy (%)			Position Accuracy (%)		
					Track1	Track2	Track3		Total	Track1	Track2	Track3	Track1	Track2	Track3	Track1	Track2
Navi	1	linear	HIGH	0	27	10	12	65	37	27	27	84	83	87	68	47	54
	2	W	LOW	18	11	9	13	64	35	27	29	87	79	77	70	65	66
	3	U	LOW	12	16	16	19	64	33	28	26	80	86	79	71	57	63
	4	inverted S	HIGH	15	17	16	12	61	30	27	35	93	92	78	73	67	62
	5	unequal U	HIGH	10	29	17	14	70	30	38	26	83	79	91	74	73	59
	6	L	HIGH	19	12	15	16	69	30	25	29	85	80	69	65	64	64
Polaris	1	L	LOW	29	9	11	11	91	48	49	53	83	85	80	68	66	71
	2	Linear	LOW	34	12	19	10	82.5	86	87	80	92	92	93	77	68	71
	3	unequal U	HIGH	37	12	13	13	62	40	44	38	86	86	91	69	68	67
	4	W	HIGH	8	11	10	15	92.5	43	40	40	85	86	89	69	68	70
	5	inverted S	HIGH	20	14	9	13	96	67	73	72	86	91	91	78	68	74
	6	U	LOW	12	12	13	11	90	55	65	54	87	86	93	67	80	69
Rigel	1	Linear	LOW	8	8	9	13	48	73	81	86	88	87	85	65	64	63
	2	unequal U	LOW	20	9	11	11	43	68	74	67	93	88	92	71	68	78
	3	W	LOW	29	13	13	11	46	52	50	57	92	93	90	75	73	75
	4	U	HIGH	39	6	9	9	48	100	72	61	77	96	90	70	74	78
	5	inverted S	LOW	26	9	13	19	33	70	71	67	90	92	93	74	78	78
	6	L	HIGH	23	15	13	25	30	62	71	58	93	86	84	69	75	78
Ogma	1	inverted S	LOW		6	9	12										
	2	Linear	HIGH		12	8	9										
	3	U	LOW		8	13	10										
	4	L	HIGH		14	15	13										
	5	W	LOW		13	11	17										
	6	unequal U	HIGH		11	13	27										
Toliman	1	inverted S	LOW		13	8	9										
	2	Linear	HIGH		15	14	14										
	3	U	LOW		13	10	15										
	4	unequal U	LOW		7	21	23										
	5	L	HIGH		25	19	30										
	6	W	HIGH		25	29	20										

Figure 3.11: Summary of behavioural and recording data

Chapter 4

Generalisation vs Differentiation of Novel Maps

4.1 Brief Introduction

While there is much theory and some evidence on how distinct regions of the hippocampal formation may contribute to differentiation and generalisation of hippocampal maps through pattern separation and completion, less is known as to how past memories may interact with novel experiences within the critical time window where they are formed.

A novel environment may have overlapping features with previous ones, whether because of its close temporal proximity, common sensory inputs, or identical task rules. One way to assess differences between their hippocampal representations is to look at place field remapping: the process by which place cells form, withhold forming, or see key properties of their place field altered - such as peak firing rate or position - when a new environment is encountered.

Geometry-morphing experiments between environments by Leutgeb et al. (2005, 2004); Lever et al. (2002), measured the remapping behaviour of place cells to show that the neural representation of highly overlapping environments become distinct with familiarity and experience. However, it was also shown that those representations resembled more closely the most familiar environment when experience on the novel one was limited (Leutgeb et al., 2004; Lever et al., 2002; Wills et al., 2005).

This initial, labile period when representations are being formed is short lived. Place fields quickly emerge and stabilise for the long term. Work by Feng et al. (2015) demonstrated that fields emerge in the first couple of laps on a linear track, and only take another few laps before stabilising. Cells are also rapidly recruited in processes that are thought to increase their co-activation and are necessary for the formation of memories: namely, theta sequences and replay. Place cells start to independently precess on the first lap of a novel environment, and precess in sequence by the second lap. Local replay has been observed as early as the first lap. Further supporting the idea that previous memories are not left in the past, awake non-local replay has been reported, whether it is of remote parts of the environment (Davidson et al., 2009b; Ólafsdóttir et al., 2017), of previous strategies (Carey et al.,

2019; Gupta et al., 2010), of never-experienced paths (Gupta et al., 2010), or of previous physically-separate environments (Karlsson and Frank, 2009a). However, these studies did not repeatedly test series of novel environments. The latter was tested in an study by Frank et al. (2004), but it did not include replay analysis.

Finally, it has been suggested that the hippocampal sub-populations of slow-firing 'rigid' and fast-firing 'plastic' cells (as described in Groszmar and Buzsáki (2016)) may respectively contribute to generalisation and differentiation of experiences. Plastic cells are recruited to build on a skeleton structure of co-activating rigid cells which exists already during sleep prior to the experience, and see their participation in replay after learning to be selectively increased.

In light of all of the findings reviewed above, we set the following aim: **Can we find evidence of hippocampal proactive interference at a systems level: the process by which previous memories shape the representation of novel experiences ?**

- Contextual uncertainty is highest at the onset of exploration of a novel environment, and may be reduced by knowledge accumulation through exploration. Therefore, if representations are initially more similar to one another because of shared environment features, and only later evolve into distinguishable entities: could this crucial period at the beginning of a new experience be the time window for generalisation between memories to occur during awake states?

Sub-Aim1: Identify the time window where the novel representation is not yet stable.

- We hypothesise that "recall and compare" of previous overlapping contexts - thus supporting differentiation and generalisation - should occur more frequently in this initial time window.

Sub-Aim2: Quantify the ratios of local to remote replay as a function of exploration.

- If rigid cells participate in generalisation, they should participate in multiple

contextual representations. We expect for place cells from previous maps (remapping) and emerging cells (cells integrated into the new representation) to have different dynamics on the novel track.

Sub-Aim3: What are the emergence and stabilisation dynamics of place cells based on their remapping properties ?

Sub-Aim4: Are rigid cells, place cells from previous representations, and do they encode most commonly encountered features ?

In this chapter we present data from a large number of novel environments, with multiple experiences being recorded each day. We take advantage of a high place cell yield to study both remapping and replay, to investigate how past and present may interact during novel map creation.

4.2 Results

We recorded dCA1 pyramidal cells in three rats while they successively explored three novel tracks per day by running back and forth to collect a small amount of liquid at the ends of the track. Each animal was exposed to a total of 18 novel environments over 6 days. The geometry of the tracks (each 2m long) was identical within days but dissimilar across. Therefore, a total of 6 different geometries were used, and bends on the track formed from 0 to 3 corners depending on the geometry (see Figure 4.1 A). Global and local cues, location within the recording room, partitions between tracks, as well as reward quality were used to create contrasting sensory environments. The animals were placed for 10 minutes in a view-shielding sleep pot between exposures (see Figure 4.1 A). None of the results in this chapter were affected by the change in reward quality between tracks. For a description of the influence of reward on memory encoding and consolidation, see 5. For a summary of the data, including the number of cells recorded, see Table 3.11.

4.2.1 Behaviour and Remapping Between Environments

First, we quantified the degree of remapping between the environments, and therefore whether the experimental protocol successfully led to the creation of distinct, yet overlapping, neural representations. We compared the rate map correlations of all cells (2cm bins) between even and odd laps, within and between environments (see Figure 4.1 B). As expected, the within-track correlation value (mean 0.77 ± 0.01) was higher than between-track (mean 0.30 ± 0.007), and the latter was comparable across all track pairs, giving a first hint that the representations were indeed neurally distinct. For a more thorough analysis, all cells with at least one place field on any track were processed through our remapping classification algorithm (see 2) and were classified as either: 1. only active on one track, 2. undergoing peak in-field rate modulation between tracks, 3. undergoing place field Centre Of Mass (COM) modulation between tracks, 4. a mixture of both (2) and (3), or 5. not modulated between tracks. In short, a bootstrapping procedure to calculate rate maps of a thousand randomly chosen subsets of laps led to a distribution of peak firing rate, COM and overlap for each cell on each track. If the median of either

track parameter distribution was above/below the 95th/5th percentile of the other, that parameter was classified as modulated.

Our recording protocol led to ample remapping between environments: 46% of cells did not create a new place field when transitioning from one track to another. Of these cells, 50% were unique to one track out of three. The remaining 54% of the total population remained spatially tuned after transitioning to a novel track. The near-entirety of cells active on both tracks underwent either peak firing rate, COM location modulation or a mixture of both: less than one percent exhibited neither form of modulation (see Figure 4.1 C). Change in COM and peak rate was comparable across track transitions and centred around zero (see Figure 4.1 D). We made use of a bayesian decoding approach (see 2) to estimate the probability of the animal being at a particular location anywhere on any of the tracks for each 250ms time bin of exploration given the cells' activity and the rate maps calculated from all laps on each track. After normalising across all three tracks, the maximum decoded probability indicated which track and at which location the decoder estimated the animal to be on. We observed an accurate track classification on 85% of all time bins, while a leave-one-out procedure using rate maps from the current track only (see 3) revealed that on 80% of time bins the decoded location was less than 20cm away from the animal's true position (see Figure A.10). Therefore, if more than three quarters of the recorded cells were shared between multiple tracks, their peak rate and COM shifted sufficiently to create distinct representations that we were then able to use to infer the animal's position accurately.

Consistent with the literature on over-representation of salient locations (Bourboulou et al., 2019; Danielson et al., 2016; Dupret et al., 2010; Gauthier and Tank, 2018; Hollup et al., 2001; Sato et al., 2020), the distribution of cells within each environment was not uniform, and place fields tended to be more densely distributed near the ends of the track. The density of fields at the end and corners was compared against the remainder of the track (any linear portion not at the ends nor within 10cm of a corner). The percentage of cells per cm at the ends was statistically higher than at corners and the rest of the track (kruskal-Wallis test followed by multiple com-

parisons with Dunn-Sidak correction, see Figure 4.1 E). There was no difference between corners and the rest of the track. Ends and corners representing a smaller portion of the track (20% and 10%-30% depending on the number of corners), there were more fluctuations in the cell density estimate, an effect further accentuated by the number of spatially tuned cells recorded on said track. In order to make stronger inferences about these effects, the percentage of cell per cm was regressed against the number of cells recorded. If for end zones there was a significant positive correlation between the two (quasibinomial glm, estimated effect= 0.01. s.e.= 0.00, t val= 3.19, $p < 0.001$), this correlation broke down for corners (quasibinomial glm, estimated effect= -0.01. s.e.= 0.00, t val= -1.55, $p=0.13$), going against the idea that low sampling may be the cause for not observing corner over-representation.

Experimental data suggests that place fields are not stable from the first lap. Rather, it takes some amount of experience not only for cells to hone their spatial tuning, but also for their coordinated firing to lead to the emergence of theta sequences and replay (Feng et al., 2015; Foster and Wilson, 2006). To assess the amount of experience needed for the representation of each track to stabilise, we calculated the Spearman correlation of all rate maps between consecutive laps. Friedman and post-hoc Conover tests revealed that after the fourth or fifth lap, there was no longer any significant difference in correlation values (see Figure 4.1 F), indicating a representative amount of experience required for representation stability - keeping in mind that any peak rate changes cannot be revealed by a such an analysis.

To summarise the findings of this section, our protocol was efficient in creating distinct yet overlapping representations between tracks, as shown by the presence of both globally remapping and track-specific cells and our ability to individually decode each track. We observed a bias in the density of place fields towards the ends of the track. Each representation took about five laps to become stable, and in the few next sections we further investigate what happens within this initial window of time.

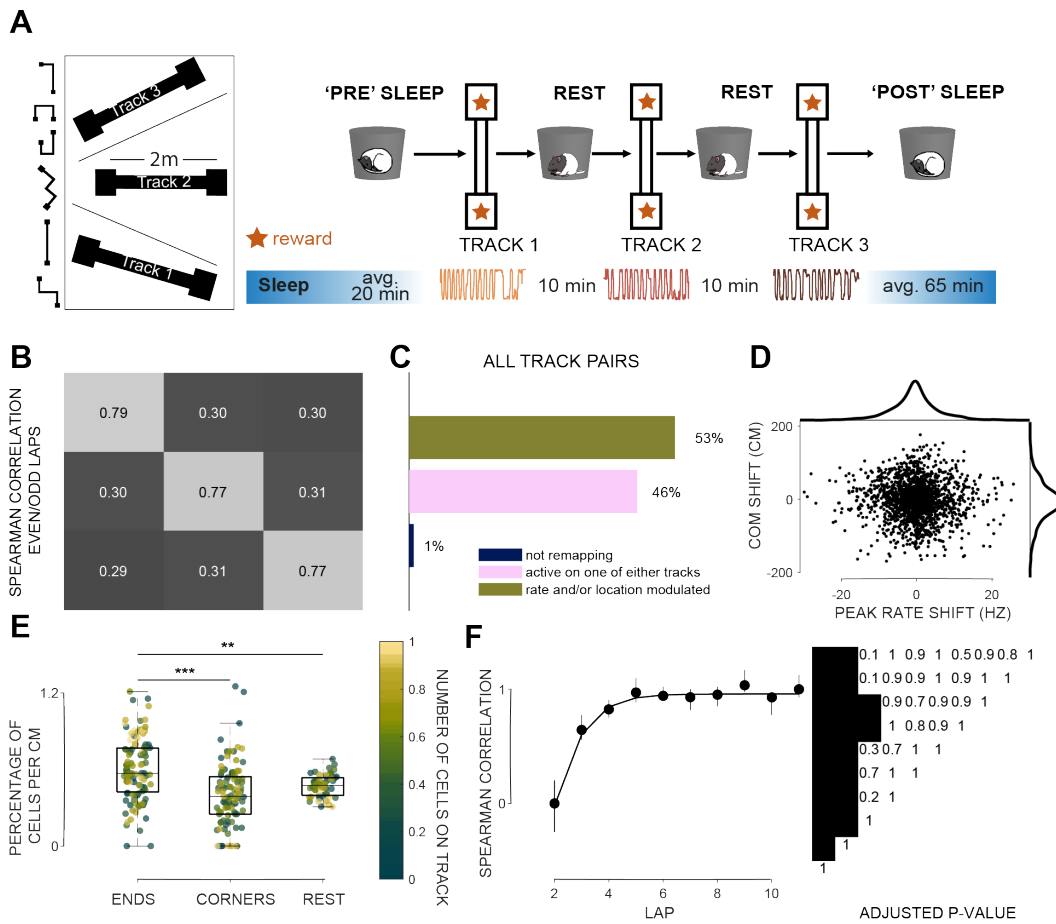


Figure 4.1: Contexts are Differentiated and Cells Remap Between Them

A: Schematic of the protocol each day.

B: Average ratemap correlation between all possible track pairs: within-track comparison of even vs odd laps on the diagonal, and between-track comparison of even vs odd laps (upper triangle) or odd vs even (lower triangle)

C: Cells active on either or both tracks for each possible track pair, categorised depending on the presence or absence of rate and peak location modulation as well as peak activity > 1Hz on track, leading to a percentage of active cells (%) for each category

D: scatter and histograms of COM shift and peak rate change for each cell spatially tuned on at least two tracks. Cells active on three tracks appear twice.

E: Percentage of cells recorded per cm on one track, divided into end zones, corners and the rest of the track

FLeft: Spearman correlation between laps for all rate maps as a function of the number of laps so far. **Right:** Holm-Sidak adjusted p-values for between lap differences in Spearman correlation, Friedman with post-hoc Conover tests

4.2.2 Temporal Dynamics of Map Differentiation

As a first approach to dissect how maps stabilise within the first few laps, we focused on our ability to decode the different tracks (250ms time bins, locomotion speed $> 5\text{cm}\cdot\text{s}^{-1}$). We focused on two types of decoding errors : wrong track classification and location errors on the current track. The percentage of incorrect track classifications (i.e. the maximum decoded probability after normalising across all tracks lies on another track than the current one being experienced) decreased during the first three laps before reaching a stable value (see Figure 4.1 F and Figure A.16). Interestingly this decay was slower at the ends of the track than in the middle (see Figure 4.2 A, Wilcoxon signed rank test $p < 0.001$), even if the rats spent more time in there. On the other hand, the percentage of accurate location decoding (decoded location is within 20cm of true location) increased over the first three laps before reaching steady state (see Figure A.15, Friedman test with posthoc Conover test and Holm-Sidak multiple comparison adjustment). From the first lap onward, end zones have a higher location accuracy than the middle portion of the track (Wilcoxon sign rank test $p < 0.001$, see Figure A.14). The ability to distinguish between tracks and being able to locate oneself within a track therefore seems to precede the stabilisation of individual rate maps.

Because all tracks shared the same geometry, the corresponding maximum decoded position on alternate tracks can be compared to that of the current tracks and the actual position of the animal for each time bin, regardless of the track classification. The start and end value of the tracks (0 to 200cm) were chosen to maximise the population vector correlation values between tracks and minimise orientation errors.

We looked at time bins with a classification error, and retrieved what was the maximum decoded position on the alternate track compared to the animal's true position. Time bins where the real position fell within the end zones or the middle zone were separately analysed.

The decoded position during track classification errors clustered near the end zones (decoded position on the track that the rat was not currently on). This was observed for both errors generated where the rat was in either the end zones or middle re-

gion of the track (see Figure 4.2 B and C). We are confident that those results are not due to the spurious inclusion of replay events: as assessed by the lack of ripple band power above $5\text{cm}\cdot\text{s}^{-1}$ (see Figure 3.5) and the replication of those results with a minimum speed threshold of $10\text{cm}\cdot\text{s}^{-1}$. Looking at the absolute distance between the real position and the maximum decoded positions on the current and alternate track (Figure 4.2 C), the decoded position on the current track was a short distance away from the real position, but the decoded position on the alternate track "teleported" the animal to either ends of the track, regardless of whether the real position was in the end zones or the run zone. A small amount of teleportation to the ends also occurred to the decoded position on the current track.

The average bayesian bias (sum of decoded probabilities on desired track divided by the sum of decoded probabilities across all tracks, see 3) of the detected track equaled 80% for incorrectly classified time bins and 90% for correctly classified time bins. There was no difference in the number of spikes emitted or active cells per time bin with classification, suggesting that - combined with a high bayesian bias - the shift of activity towards the end zones is not the result of very few cells suddenly bursting (see Figure 4.2 D). Unlike for replay analysis, track classification is not further informed by testing for the sequential firing of cells, therefore there is an increased probability of 'decoding' tracks that have not yet been experienced. To account for this, we fed our bayesian decoder the rate maps for each track in an iterative manner so that it did not have access to future representations. The proportion of time bin classifications for the current or remote (past) tracks respectively increased and decayed over the first few laps, leading to an overall decrease in errors. Remote track classification was comparable for tracks experienced right before (n-1) or 2 tracks before (n-2).

To better understand this finding and aid visualisation, we generated artificial decoded positions from three models: an accurately decoding model, a 'teleporting to the ends' model and a random decoder (see full model description 3). Combining the different models with the aim to reproduce the confusion matrices in Figure 4.2 C, we found that the data can be explained by combinations of the accurate and tele-

porting model on the current track with a combination of teleporting and random model for the alternate track in the end zones. For the middle zone the data was best explained by a combination of accurate, random and teleporting models for the current track and a teleporting model for the alternate track (see Figure 4.2 F). These mixtures of models, if they provide an acceptable qualitative description of the data, can be further refined and future work will focus on calculating an estimate of the contribution of each.

We have shown that the activity of cells during the first few laps is biased in an unexpected way, leading to windows of "teleportation" where the activity of cells, regardless of the animal's current position, resemble that of the end zones of previous tracks and sometimes the current one. This puzzling phenomenon might be the result of similarities between past representations and novel ones during the labile period of the first few laps. We hypothesised that over-representation of the end zones by place cells might be a good candidate contributing to our observation: more cells are active in these zones, which are more experienced and are characterised by a better position decoding accuracy during correct track classifications (see Figure A.12, two sample Kolmogorov-Smirnov test: $p < 0.001$). A preliminary analysis also suggests that over-representation of the end zones is already present during the first few laps (see Figure A.13), a property consistent with a previous study (Sato et al., 2020).

We shuffled our data in three different ways to test our hypothesis. The first shuffle, a cell ID shuffle of the alternate tracks, disrupts the association between the spiking activity of cells and their rate maps, while preserving the distribution of place fields on the track. The percentage of track classification errors over the first 5 laps was scaled down, but the 'teleporting to the ends' property of both the alternate and current tracks was kept intact (Figure A.11 A). The second shuffle circularly shifted the position of the place fields within each alternate track by a random amount, disrupting the distribution of place fields (Figure A.11 B). The percentage of track classification errors was again scaled down, this time removing teleportation to the ends effects on the alternate track (but not the current one). In-

stead, the distribution of decoded positions became uniform, as would be expected from a random decoder. The third shuffle was a circular shuffle of rate maps of the current track, keeping the alternate tracks unchanged (Figure A.11 C). The percentage of errors at the end zones increased, but stayed similar for the middle zone. As expected, teleportation to the ends was abolished for the current track but not the alternate tracks.

These results exclude the attribution of teleportation to the ends effects to a small set of cells and confirms the necessity of over-representation of place fields at the end zones on both tracks for it to occur.

The hippocampus may not function as a bayesian decoder, yet this approach reveals that there may be some experience-dependent confusion between tracks and positions during the first few laps, caused by similarities between representations. We further investigate how place cells change during this crucial time window in the following section.

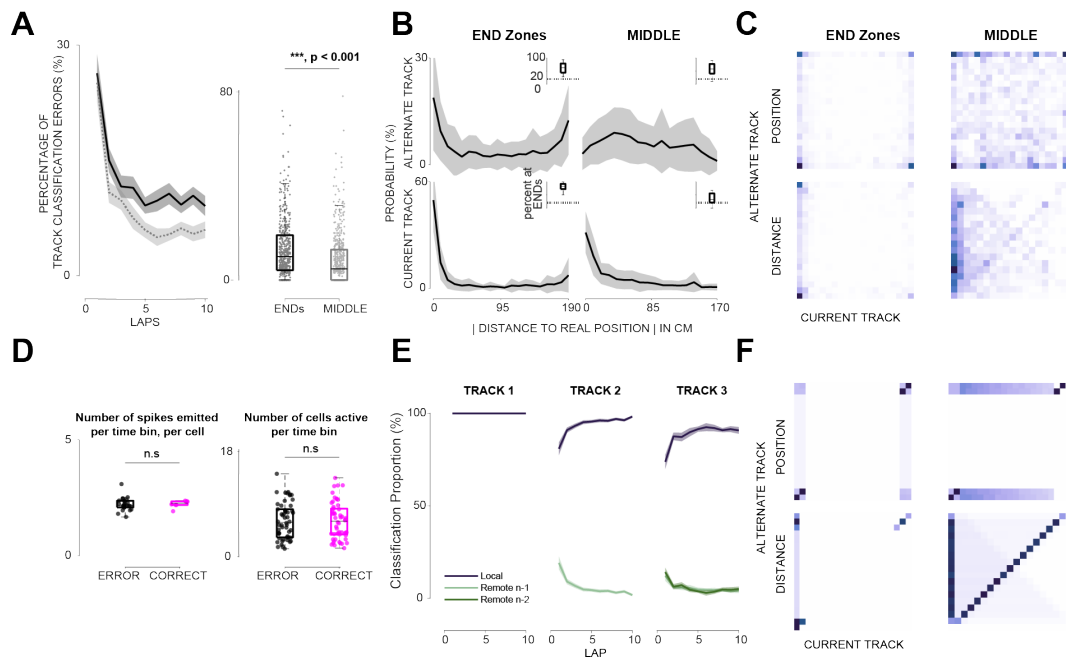


Figure 4.2: Incorrect Bayesian Track Classification Decreases over the First 5 Laps and the Corresponding Decoded Positions are Biased Toward the End Zones

A: Left: Percentage of incorrect track classification for each lap, divided by end and middle zones. Right: boxplot and raw percentage of errors across all laps for end and middle zones

B: Absolute distance between decoded and real positions during incorrectly classified time bins for end zones and middle zone, on the alternate track (track with maximum probability) and current track. Inserts: percentage of time bins where the decoded position falls within the end zones. A random distribution leads to approximately a value of 20% **C:** Top: probability matrices of decoded positions for the current versus alternate tracks, for end and middle zones Bottom: probability matrices for the distance from the real position, for the current versus alternate track

D: Left: Number of spikes emitted in an incorrectly classified time bin versus in a correctly classified time bin Right: Number of cells active in an incorrectly classified time bin versus in a correctly classified time bin

E: Proportion of time bins classified as current or previous tracks as a function of the number of laps

F: probability matrices of decoded positions for the current versus alternate tracks, for end and middle zones created from mixtures of accurate, random and teleporting to the ends models. The end zones can be represented by a combination of teleporting and random models for the alternate track, and accurate and teleporting models for the current track. The middle zone can be represented by a teleporting to the ends for the alternate track, and accurate, random and teleporting models for the current track

4.2.3 Temporal Dynamics of Cell Participation

We have shown that cells will take approximately five laps to stabilise their spatial response function on a track, and that there is a variety of responses for cells on a novel track: they can form a place field, keeping or modulating their peak rate and/or location of their place field compared to previous tracks, but they can also not form a stable place field and not participate in the novel representation. We examined the emergence pattern of place fields with experience: place fields were calculated for each cell and for each lap. Cells were then classified as either stable between consecutive laps, emerging or vanishing. Strikingly, most cells (80%) emerged on the first lap and about 70% of those remained stable from lap 2 onwards (not shown). Perhaps more interestingly, a small proportion (10-15%) of cells vanished on lap 2 (see Figure 4.3 A and E). We therefore repeated the analysis, dividing into 3 cell populations: cells that are spatially tuned on at least one previous track as well as the current one (Figure 4.3 B and E), cells that formed a place field for the first time on the current track (Figure 4.3 C and E), and cells that were spatially tuned on a previous track, but not on the current one - termed 'past cells' (Figure 4.3 D and E). While all cell categories predominantly formed place fields on the first lap, the proportion of vanishing fields on lap 2 for cells that will end up having a stable place field on the novel track was comparable to all other laps. Conversely, more than 40% of past cells vanish specifically on lap 2, revealing a sub population from previous tracks mainly active in the first lap that gets pruned from the representation afterwards. As our previous field stabilisation analysis considered all place cells at once (Figure 4.1 F), recalculating for each sub-population separately validated that fields will move to their final location over the first five laps, with the exception of past cells - which never achieve a stable representation.

To investigate what the determining factors underlying the pruning or integration of cells participating in previous representations might be, we calculated the mean and peak in-field firing rate of all three groups of cells in the first ten laps (see Figure 4.4). Strikingly, the mean and peak in-field firing rates of past cells were from the first lap much lower than of previous cells that get integrated and novel

cells (kruskalwallis test on the mean over all laps $p < 0.001$, posthoc Tukey-Cramer adjusted multiple comparisons, mean of peak in field for past cells significantly different from the other two groups $p < 0.001$). Furthermore, cells that were previously spatially tuned had higher rates than novel cells (posthoc Tukey-Cramer adjustment $p = 0.003$), and while the starting peak in field firing rate are different, they seem to converge to similar rates after 10 laps, potentially denoting a higher stabilisation rate for cells that were previously active. These differences in peak in field firing rates could be explained by the degree of overlap of shared features between the pairs of environments, with the cells tuned to strongly present features being reused, while cells tuned to more weakly present features get pruned, resulting in generalisation.

In summary, the emergence and vanishing of cells occurred primarily during the first and second lap, while stabilisation of place fields increased until reaching steady state on the fifth lap. Whether a cell will be integrated into a novel representation is therefore determined using a small amount of experience, putatively based on the strength of their tuning to current features, resulting in a population of past cells being active at the beginning of the experience of a novel track.

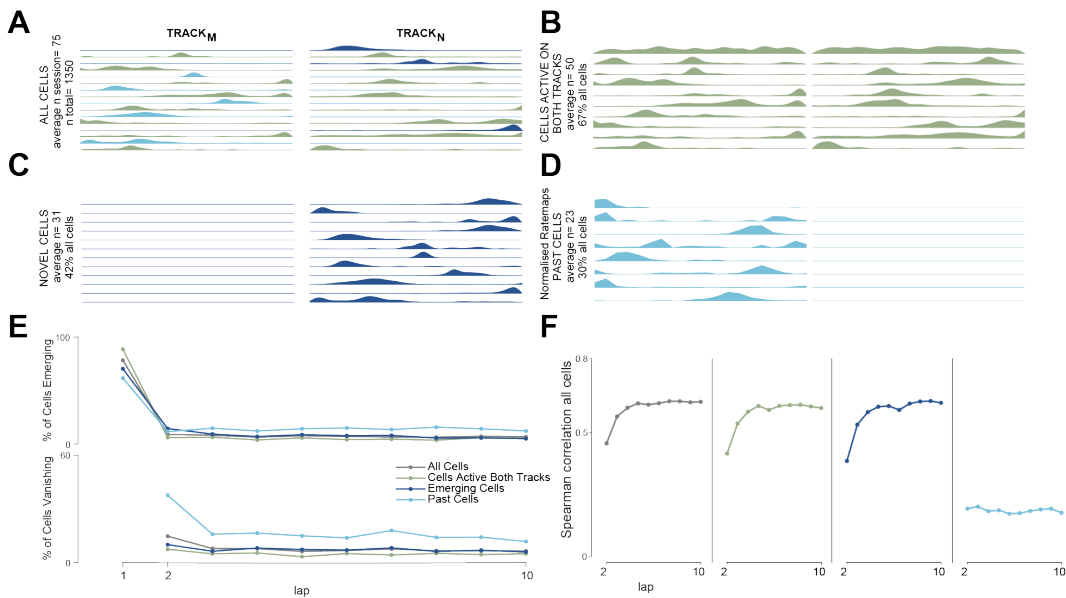


Figure 4.3: Cells Form Place Fields Predominantly in the First Lap, and a Subset of Cells From Past Environments Are Active then Disappear in the First Couple Laps

Panels (A,C,E,G): Examples of normalised rate maps in two distinct environments ($N > M$), Panels (B,D,F,H): Left, Percentage of selected cells with an emerging place field on a specific lap. Right, Percentage of selected cells which had a place field on the previous lap, and no longer do on the current lap.

A: Cells with a place field on either or both tracks

B: Cells with a place field on both tracks

C: Cells with a place field on the novel track only

D: Cells with a place field on a previous track (calculated from all laps), with a place field on some laps of the novel track, but when averaging over all laps do not show robust spatial tuning

E: Percentage of specified cell population with an emerging (top) or vanishing (bottom) place field for each lap

F: Between lap correlation of rate maps for each cell population. From left to right: all cells, cells spatially tuned on both tracks, newly tuned cells, past cells

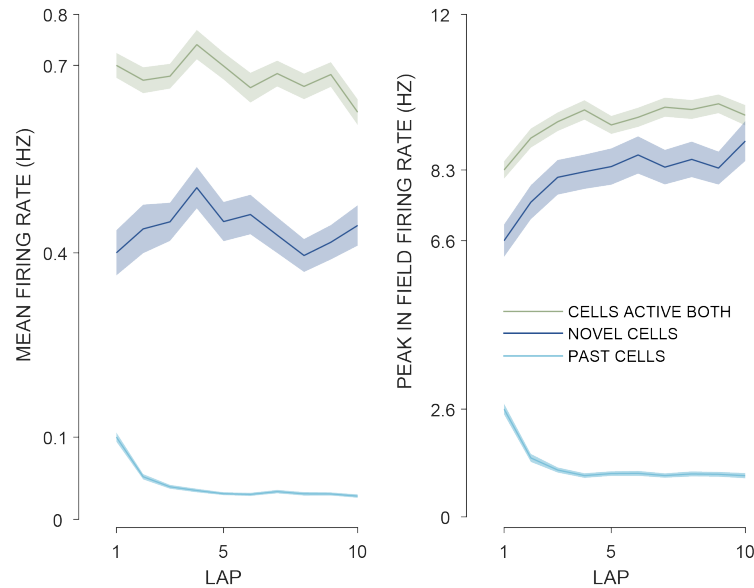


Figure 4.4: Past Cells Differ From Other Cells by Their Lower Firing Rates

Evolution of firing rates over laps for novel cells, past cells and cells active on both. **Left:** Mean firing rate for each lap, which includes periods of running and immobility.

Right: Peak in-field firing rate for each lap

4.2.4 Temporal Dynamics of Local and Remote Replay

Different populations of place fields emerge and disappear in the first five laps. Place fields that remain form a stable representation of the current track by refining their spatial tuning. As there are cells from previous representations active during this initial window of novel map creation, we looked for other neural correlates associated with previous representations. We identified the presence of remote replay (replay of previous tracks) during exploration of a novel track. More importantly, the rate of those remote replay events was not uniform over laps, and decreased from representing about half of all significant replay events in the first lap to about 20% on the fifth lap, while the proportion of local replay events symmetrically increased (see Figure 4.5 A). Remote replays from track 1 and 2 were equally likely on track 3, indicating that this remote replay is not modulated by recency. The rate of remote replay events were above noise levels, as their proportion by far exceeded that of replay events for not yet experienced tracks. Furthermore, remote replay was not the result of sparse firing from a few cells, as 1) the average number of spikes

emitted per cell was similar to that of local replay events, and 2) the number of cells involved even slightly larger than for local replay events (Wilcoxon sign rank test $p < 0.001$, Figure 4.5 D).

To account for a potentially reduced detection ability of local replay events due to unstable place fields during the first laps, on top of local replay events detected from the entire experience of the track, we included local replay events obtained from single lap generated rate maps (each of the first five laps). This procedure rescued a few local replay events, the combined number of events is shown in Figure 4.5 A. The percentage of significant replay events cells were involved in - either remote or local - was calculated for each of our place cell sub-populations (see Figure 4.5 B). Past cells preferentially participated in remote replay events (cell average remote=28%, local= 5% of events) and those active in both remote and local replay events emitted more spikes (as a percentage of the total number of spikes emitted by all cells during the replay event) during remote than local replay events. Newly tuned cells preferentially participated in local replay events (cell average local=28%, remote= 8% of events), increasing their participation over time - a trend correlated with the increasing number of local replay events. The percentage of spikes emitted by newly tuned cells active during both remote and local events was higher for local replays. Cells spatially tuned on both tracks equally participated in local and remote replay events (cell average local=36%, remote= 40% of events). Cells active in both types of replay emitted a similar percentage of spikes for each. Interestingly, these results suggest that while remote replay is the consequence of the activity of cells previously tuned on another track, local replay gradually emerges, first recruiting previously spatially tuned cells, then incorporating newly tuned cells. Furthermore, cells that are spatially tuned on both previous and the novel track do not see their activity gradually being dampened during remote replay to the advantage of local replay, but are capable of robustly participate in both representations, regardless of the current sensory inputs. Establishing a causal link between the activity of all three types of cells and the emergence and disappearance of local and remote replay is difficult. Past cells

represent a proportion of cells active on the track equivalent to that of newly tuned cells, but both populations constitute only half of cells that remap between tracks, which is potentially the main driving force for both types of replay. As a test to see whether past cells were crucial for remote replay, we abolished their activity on novel tracks by artificially removing their spikes from the data. The amount of significant remote replay events decreased but did not disappear, indicating that past cells contribute to, but do not bear the sole responsibility for the presence of remote replay events (Figure 4.5 C). Abolishing the activity of past cells did not have an impact on the amount of incorrect track classifications during exploration (locomotion speed $>5 \text{ cm.s}^{-1}$) nor teleportation to the ends effect of the previous section.

Further analysis may shed a better light on the exact contribution of each cell population to the emergence and disappearance of remote replay, and how cell ensembles reconfigure from previous representations to novel ones.

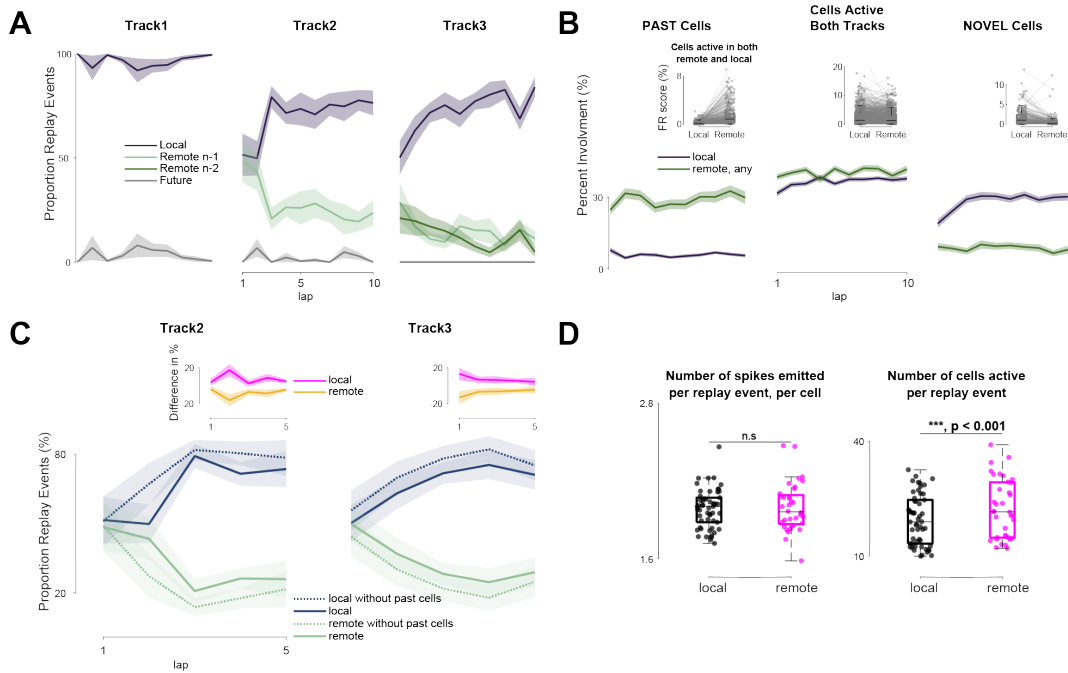


Figure 4.5: Remote Replay of Previous Experiences Occur at the Beginning of a Novel Track then Abate after a Few Laps. Distinct Sub-Population of Cells Participate in each Replay Type

A: Percentage of local, remote (one and two tracks beforehand) and future replay events during exploration of each novel track, including single lap decoded local events for the first five laps

B: Percentage of remote or local replay events cells participate in, per lap. inserts the average percentage of spikes of a replay event the cells emit. From left to right: Past cells, cells spatially tuned on both tracks and newly tuned cells

C: percentage of significant replay events classified as local or remote when the activity of past cells is abolished. Inserts: change in percentage of each replay type due to cessation of past cell activity

D: Left: average number of spikes emitted per cell in local and remote replays. **Right:** average number of cells active in local and remote replays. statistical tests: Wilcoxon sign rank.

4.3 Chapter Discussion

We recorded dCA1 extracellular data from rats running back and forth on sets of novel tracks. Exploration of each environment led to the creation of distinct hippocampal representations through remapping. We observed over-representation by place cells of the ends of the tracks, a key location where reward was delivered. Place fields took five laps before becoming stable, revealing a crucial time window for the shaping of the representation of novel tracks. We found that multiple processes were occurring during this unstable, labile period:

- The majority of incorrect track classification errors produced by our bayesian decoder happened in these first five laps. The preponderance of those errors decreased as the animal's experience of the track grew, and became stable by the third lap. The associated decoded positions were biased towards either end zone, especially on the remote track and sometimes on the current track. This phenomenon is most likely a consequence of end zones over-representation rather than the work of a few cells or low spiking activity.
- Cells that will end up forming a stable place field on the novel track predominantly emerged on the first lap, and took five laps to fully refine their spatial tuning. Intriguingly, past cells - cells from previous representations that will not end up forming a stable place field on the novel track - were spatially tuned on the first lap and then returned to sub-threshold activity (maximum firing rate lower than 1Hz at any location) shortly after.
- The division between past cells and the other cells may be predicted by their firing rates in the first lap, and the latter may be a measure of the strength of their tuning to features of the environment. This may be consistent with a BCM learning rule (Bienenstock et al., 1982), which has been successfully used to model pattern separation and completion in the hippocampus (Fuhs and Touretzky, 2000).
- Remote replay of past experiences occurred during immobility periods of the first few laps on the novel track. The proportion of remote compared to local

replay decreased with experience, as both the number of remote replay events diminished, and local replay became more frequent.

- Different sub-populations of cells were active during local and remote replay. Past cells participated in remote replay, while newly tuned cells fired during local replay. Cells that end up being part of both representations, while keeping an equal probability of participation in both types of replay events, end up participating in local replay more often, as the frequency of remote replay events decreases.

Together, these results are the first pieces of evidence for hippocampal proactive interference at a systems level. They very specific temporal dynamics of recall of past representations, cell selection and stabilisation during the creation of novel maps. Those processes are thought to enable both generalisation and differentiation.

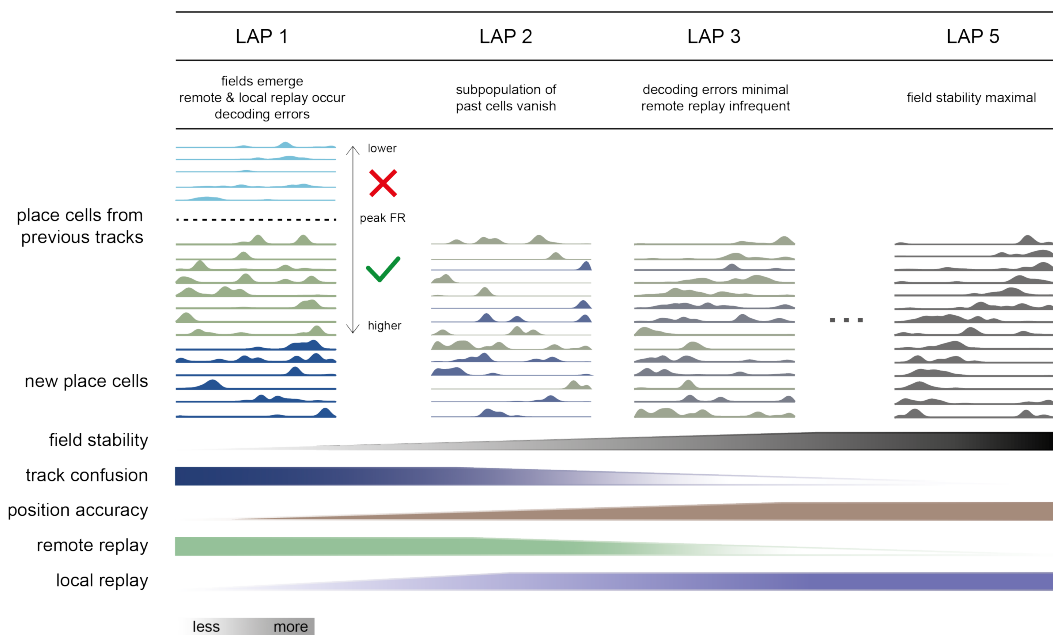


Figure 4.6: summary schematic of findings

These findings point to several research directions, and raise questions about their mechanisms and putative functions:

Could common environmental features in conjunction with overlapping cell ensembles assist generalisation across experiences?

It has been widely reported that hippocampal pyramidal cells over-represent salient

features of an environment, namely reward and/or goal as well as landmark locations (Bourboulou et al., 2019; Danielson et al., 2016; Dupret et al., 2010; Duvelle et al., 2019; Gauthier and Tank, 2018; Hollup et al., 2001; Sato et al., 2020). Overrepresentation of salient features emerges rapidly, especially when reward is involved, as shown in our data and Sato et al. (2020). If there is a function associated with the anchoring of maps to those locations, can the presence of similar features in a novel environment help create the initial scaffolding to start building the representation? Rigid and plastic cells (Grosmark and Buzsáki, 2016) are thought to respectively contribute to generalisation and differentiation. Classification of our cell populations into plastic and rigid sub-populations did not end up being done for this thesis, and therefore we have not tested whether they tend to encode most commonly encountered features. In our experiment, these would likely be the end zones with the reward ports. We hypothesise that salient landmarks might be represented by a higher percentage of rigid than plastic cells. This would entail a prioritised distribution of rigid cells to salient landmarks, which may require some learning before being categorised as such.

Is remote replay a consequence devoid of function because of place cells being active in multiple environments, or does it participate in creating new cell ensembles?

We have shown that different populations of cells are initially active in a novel environment: previous place cells that will either be incorporated into the new representation or not, and cells that were not previously spatially tuned that become place cells specific to this environment. However, a map is more than separate representations of local sub-spaces. Place cells need to be 'linked' together and all possible transitions mapped out. Theta sequences and awake replay are the two key mechanisms thought to underlie this process. Theta precession and local replay have been shown to appear as soon as the first lap on a novel linear track, while theta sequences developed with experience after the first lap (Feng et al. (2015); Foster and Wilson (2006) and our own observations of local replay emergence). Awake remote and non-local replay has previously been observed (Davidson et al., 2009b;

Gupta et al., 2010; Karlsson and Frank, 2009a; Ólafsdóttir et al., 2017). We have now shown that it is most frequent at the beginning of novel experiences. While remote replay outlasts the integration or removal of previous place cells in the new representation, the vanishing of past cells on the second lap could be linked to the emergence of theta sequences. Concurrent presence of local and non-local/remote replay may prevent catastrophic interference between memories and safekeeping of alternatives (Carey et al., 2019; Gupta et al., 2010). However, we show that there is a temporal evolution of remote replay occurrence which could not have been tested for in past studies. We posit that there may be competition between remote and local replay in the first laps to shape the activity of previous place cells (but not past cells, which are rapidly pruned from the representation) which could help generalise but also differentiate the two cell ensembles, by incorporating newly tuned cells and reordering previous place cells while keeping some of the pre-existing structure. Stabilisation of cells on lap 5 then dramatically reduces the need for remote replay for this purpose. It would be interesting to test whether the preponderance of remote replay would follow similar temporal dynamics if we recorded from wildly different environments, with different geometries, task, cues etc..

Does awake remote replay constitute active recall: would there be a behavioural advantage if there was a task ?

Ólafsdóttir et al. (2017) observed non-local replay during periods of task disengagement, while Karlsson and Frank (2009b) did not see a link between truly remote replay and longer immobility periods. Our data also goes against this view. Instead, we suggest that there may be a behavioural advantage to be able to extrapolate from a previous map what the rules of a new environment may be. In our experiment, the rats knew what they had to run full half-lap to get a reward from the moment they were placed on the track, and did not have to relearn that they cannot go back to the same end to receive a reward sooner. With such a simple behaviour we unfortunately cannot link remote replay, recall and learning. In this framework, remote replay would do more than help re-arrange cells into distinct ensembles, and also serve the cognitive function of active recall. Furthermore, it would be interesting to

study the influence of memories that have been consolidated in the long term (post sleep, across days), to test for an added recall benefit from perhaps stronger cell ensembles.

How are experiences temporally linked ?

Finally, the rates of remote replay reflected the recency of the replayed environment: the more recent the track, the higher the rate. Novelty is known to cause representations to be more excitable (Cheng and Frank, 2008; Duszkievicz et al., 2019; Li et al., 2003; Ribeiro et al., 2004), and with experience, familiarity and an increasing total number of replay events, the need to replay a track decreases (Kudrimoti et al., 1999; McNamara et al., 2014; O'Neill et al., 2008). However, a decrease in replay rates does not necessarily reflect the memory of temporal order, and how the hippocampus may be involved in the relative and absolute ordering of separate experiences remains an open question.

Chapter 5

The effect of reward and temporal proximity to sleep on memory triage

5.1 Brief Introduction

Every day we go through a multitude of experiences, and then at the end of the day we go to sleep. Sleep is crucial for long term memory, as it is thought to be a period where the newly formed memories undergo various processes, from consolidation (Diekelmann and Born, 2010; Stickgold, 2005; Walker and Stickgold, 2004), to generalisation (Stickgold and Walker, 2013; Witkowski et al., 2020), or alternatively, pruning (Li et al., 2017; Poe, 2017). As we do not remember every single minute of every day, it implies that some form of memory prioritisation must occur. Given the importance of Slow Wave Sleep (SWS) and Rapid Eye Movement (REM) sleep for memory consolidation (Gais et al., 2006; Poe, 2017; Sara, 2017; Stickgold and Walker, 2009), we expect that those states, and sleep in general, will play a role in memory prioritisation. Pre-emptive tagging and triage during awake states before sleep is most likely to also be a contributing factor (Redondo and Morris, 2011; Wang et al., 2010). We will go back to this aspect in a few paragraphs.

Exposing mice to various salient experiences has been shown to lead to unique immediate early gene (IEG) transcription signatures in various parts of the brain (Mukherjee et al., 2018). IEG transcription has long been posited to be a marker of Long Term Potentiation (LTP) (Alberini, 2009; Lanahan and Worley, 1998; Okuno,

2011) and play a role in synaptic plasticity and memory formation of recent experiences. Transcription magnitude is higher for salient compared to neutral experiences, and valence determines which brain areas have this increase in gene expression. Rewarding experiences increased gene expression in the frontal cortex (Limbic cortex, Nucleus Accumbens, Dorsal Striatum and Ventral Tegmental Area (VTA)), while aversive experiences targeted the Amygdala instead.

From a behavioural approach, salient experiences are also better recalled, whether positive or negative. Post-learning memory is enhanced for positive and negative stimuli but not neutral ones (Feld et al., 2014; Fischer and Born, 2009; Liu et al., 2008). Memories of neutral objects are prioritised based on their distance from reward (Braun et al., 2018). Contextual fear conditioning leads to persistent memories (Maren et al., 2013) sometimes leading to conditions such as Post Traumatic Stress Disorder (PTSD), and the presence of aversive stimuli enhance consolidation of emotionally arousing but not neutral stimuli (Cahill and Alkire, 2003; Cahill et al., 2003).

At a systems level, salient memories are often studied by comparing the re-activation frequency of neurons tuned to salient stimuli to that of neurons tuned to non-salient stimuli. Place cells are often used for this type of analysis as they have been shown to code for specific locations in an environment (O'Keefe and Dostrovsky, 1971; O'Keefe, 1976), allowing for the study of episodic memory. Place cells have also been shown to reactivate according to previously experienced behavioural trajectories, but in a temporally compressed manner: a phenomenon called replay (Foster and Wilson, 2006; Lee and Wilson, 2002).

We will focus on positive valence only for the rest of this chapter. A few studies have shown that reward locations are preferentially replayed or reactivated during awake states (Ambrose et al., 2016; Michon et al., 2019; Singer and Frank, 2009), and during and quiet rest/sleep states (Igloi et al., 2015; Michon et al., 2019). But reward is not the only factor that can lead to enhanced memories: experiencing a novel environment has been shown to strengthen temporally proximal memories (Dunsmoor et al., 2015a; Salvetti et al., 2014). Novel environments are preferen-

tially replayed over more familiar ones (Kudrimoti et al., 1999; McNamara et al., 2014; O’Neill et al., 2008).

Given the above evidence that memories are prioritised during sleep, what are the underlying mechanisms of memory triage and prioritisation? In awake states, both reward and novelty have been shown to trigger dopamine release in the hippocampus, respectively originating from VTA and Locus Coeruleus (LC) projections (see Duzskiewicz et al. (2019) for a review). Stimulation of dopaminergic projections from VTA to CA1 during spatial learning has been shown to improve memory and heighten reactivation frequency of novel and rewarded locations (Cheng and Frank, 2008; McNamara et al., 2014). Therefore, dopaminergic release coupled with a synaptic tagging and capture mechanism is a plausible system to tag memories during awake states and help determine the order of priority of memories during sleep.

Interestingly, during slow wave sleep (SWS) VTA-HPC coordination is diminished (Gomperts et al., 2015), and the pharmacological inhibition of dopaminergic projections does not alter the prioritisation of high over low rewards (Asfestani et al., 2020), indicating that dopaminergic projections are not required after the tagging step. Hinting at more complex dynamics than a prioritisation of memories purely based on the dopaminergic tagging of awake states, other brain structures can also influence the triage process. Indeed, coordination between the hippocampus and several cortices is elevated during SWS (Ji and Wilson, 2007; Ólafsdóttir et al., 2017; Shin et al., 2019), and may influence the content of replay (Bendor and Wilson, 2012). Further painting a complex and incomplete picture, short experiences or weakly learned information can also be prioritised during sleep (Schapiro et al., 2018), but not always (unpublished data from the Bendor Lab).

In this chapter, we present hippocampal data of latent learning of reward quality, occurring while rats ran back and forth on three successive novel environments to collect rewards prior to sleep. Building on the existing corpus of literature, we aim to address the following questions:

1. When multiple experiences need to be consolidated during sleep, are consolidation processes happening in equal measure and in parallel, or are experiences consolidated in turn?
2. Does temporal proximity to sleep play a role in the replay prioritisation of an experience?
3. Are experiences that have been replayed more during awake states replayed less during sleep, or the opposite ?

5.2 Results

We recorded dCA1 pyramidal cells in rats as they ran back and forth on linear tracks to obtain a drop of reward at each end. The identity of the liquid reward for each of the three novel tracks presented each day was pseudo-randomly allocated, drawn from a set of two rewards of distinguishable palatability (see Figure 5.1 A). All possible combinations of reward sequences were presented over the course of six recording sessions, with the exception of one rat, where human error led to the repeating of two combinations. The rats were allowed to run for 15min on each track and placed for 10min in a view-shielding pot in between exposures. This pot was also used to record neural activity during sleep at the beginning and at the end of the recording session.

5.2.1 Reward Preference

First, we behaviourally tested for a set of two rewards that would robustly lead to a preference of one reward over the other. Rats were repeatedly placed on a platform for two minutes during which they could freely sample both liquids placed pseudo-randomly at opposite extremities of the platform. After the first choice trial during which both rewards were usually sampled, the rats decisively sought out only one of the two liquids: their behaviour marked a clear preference for pure chocolate milk over its 1:1 dilution with water (Wilcoxon signed rank test $p < 0.001$ for all rats, see Figure 5.1 B for the three rats with dCA1 recordings, Figure A.3 and Table A.1 for all rats), and of chocolate over water, a liquid without calorific value and freely accessible in their home cages (Figure A.1, Wilcoxon signed rank test $p < 0.001$ for all rats). A comparison test between the 1x chocolate dilution and water was not run, but since the rats were still willing to run for the 1x dilution during recordings, we argue that this liquid was considered as rewarding, if less so than the pure chocolate. From this point onwards, we will refer to pure chocolate as the **HIGH** reward, and the 1x chocolate dilution as the **LOW** reward.

5.2.2 Behaviour and Cell Properties

To test for any changes in behaviour due to the presence of either reward we fitted three nested Generalised Linear Mixed Models (GLMM) to the number of laps on each track (one lap= traveling to one end of the track and returning back to the starting point) as a function of (1) reward on the current track, (2) reward and track presentation number (recency) without interaction and (3) reward and recency and their interaction term. A 4th model was also fitted using the difference in reward between the current and the previous track as a predictor, to test whether reward change rather than reward value best accounted for the observed behaviour. HIGH reward was a significant predictor of an increase in the average number of laps (estimated means HIGH= 15.3 and LOW= 11.6 laps respectively, $z = 4.68$, $p < 0.001$, see Figure 5.1 C) and Figure A.3 C), as well as reward difference, but only the interaction term between reward and recency was significant (no main effect of recency). See Tables A.2, A.3 and A.4 for summary of statistics. A Chi-Squared test between the nested models (1) and (3) indicated that the more complex model with interactions was not significantly better ($p = 0.06$) than the simpler model using only reward as a predictor.

This increase of a few laps for HIGH reward translated in an increase in locomotion speed on HIGH reward tracks (see Figure A.5 B and Table A.5 for summary statistics with $n=5$, same result for $n=3$ with only the rats used for ephys recordings as shown in 5.1), but reward did not influence the average time spent at the reward wells at the end of the tracks per lap (estimated mean LOW= 18.56s, HIGH= 16.57s, $t = -1.6$, d.f.= 66, $p = 0.11$, see Figure A.3 D, left). The average time spent immobile in the middle of the track per lap increased by approximately two seconds for the LOW reward tracks (estimated mean LOW= 3.36s, HIGH= 1.58s, $t = -3.35$, d.f.=88, $p = 0.001$, see Figure A.3 D, right). Recency did not influence stopping times at the end zones (estimated means $T1=18.2s$, $T2=17.8s$, $T3=16.7s$, $F = 0.52$, $p = 0.59$) nor the run zone (estimated means $T1=2.4s$, $T2=2.33s$, $T3=2.7s$, $F = 0.17$, $p = 0.84$). Consistent with previous reports, locomotion speed gradually increased with experience of each track (Feng et al. (2015); Frank et al. (2004); Mehta et al.

(2002), see A.2).

In order to quantify the effect of reward and recency on cell activity, we calculated a rate map for each recorded pyramidal cell on each track. Cells with a Half-Width at Half-Max $HWHM > 50\mu s$, a peak in-field firing rate higher than 1Hz, a mean session firing rate lower than 5Hz and a non-zero Skaggs information content were classified as spatially tuned and used for all following analyses. Neither reward nor recency were associated with a significant difference in the number of spatially tuned cells (total= 1356 cells [Navi:303/Polaris:461/Rigel:593], average of 48 cells per track, z ratio= 0.45, $p= 0.65$ see Table A.8 and Figure A.7), information content (z ratio= 1.28, $p= 0.23$), but there was a small increase in peak firing rate with HIGH reward (estimated means: 5.9Hz for LOW, 6.6Hz for HIGH, z ratio= 20.35, $p < 0.001$) which may be a by-product of the increase in locomotion speed on HIGH reward tracks.

Our protocol was successful in not only ensuring an even coverage of space but also an equivalent amount of time spent at reward sites across reward conditions, thereby guaranteeing equal replay opportunities and decoding accuracy between conditions. We did unexpectedly observe a slight increase in the number of laps for HIGH reward. However, given that all tracks were sufficiently experienced for place cells to stabilise their rate maps and for the decoding accuracy to be very high (see Chapter 4), this difference in laps experienced was unlikely to have had a significant impact on the frequency or fidelity of subsequent replay.

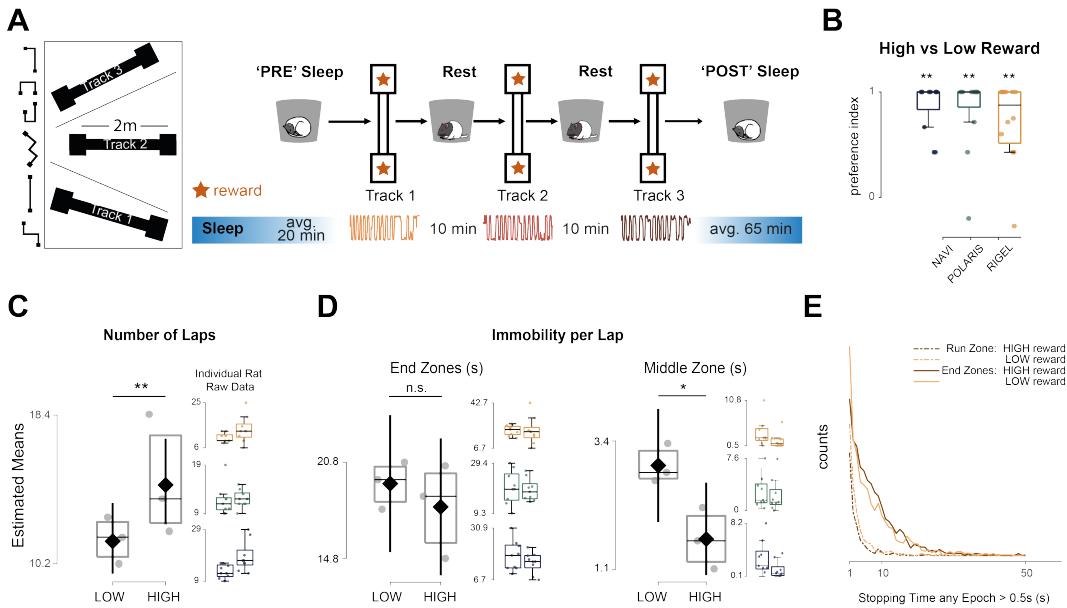


Figure 5.1: Behaviourally confirmed reward preference translates to a higher number of laps but does not increase stopping time at end zones

A: recording protocol. Each day, the rat is placed in a sleep pot before experiencing three novel tracks on which it runs back and forth to collect a drop of reward at the end. On one track, the reward will be different than on the other two tracks. This odd-one-out reward can be either High or Low (e.g. [LOW, HIGH, LOW] or [LOW, HIGH, HIGH]). All possible combinations are tested (6 combinations) pseudo-randomly across days. The rat is then placed back into the sleep pot for non REM and REM data to be collected.

B: Rats behaviourally demonstrate a preference for pure chocolate milk (High reward) over its 1x dilution with water (Low reward) when given the opportunity to freely sample either ($n=3$, Wilcoxon signed rank test $p < 0.001$ for all rats, see Table A.1). Each dot is a 2min trial, data points with a preference index near zero tend to occur in the very first trial

C: The number of laps is higher in High reward environments. Left: estimated means of poisson GLMM as a function of reward, with nested random effects Right: raw data of number of laps for each rat and session ($n=3$)

D: Reward does not modulate the time spent at the reward sites, but rats will stop more in the middle of the track on Low reward tracks. Left: estimated means from a GLMM with reward as a predictor and nested random effects. Right: Raw data for each rat and session

E: Distribution of every stopping epoch longer than half a second at either the end or middle zones, and for either High or Low rewarded tracks.

5.2.3 Recency and Reward Modulation of Candidate Replay Events

Having established how recency and reward affect behaviour in our protocol and basic place cell firing properties, we then went on to test how these factors may affect processes thought to be crucial for memory consolidation. Candidate replay events were identified based on an increase in zscored multi-unit activity (MUA) and ripple band amplitude above 3 (see full detection method based on Davidson et al. (2009a) in 3).

We normalised candidate event rates for each epoch (during sleep, on tracks, in the sleep pots between exposures) as a proportion of the candidate event rate during sleepPRE. Ratios were higher during active behaviour on the track and sleepPOST compared to quiet states during rest (Wilcoxon signrank test $p < 0.001$, see Figure 5.2 A), consistent with previous reports (Karlsson and Frank, 2009a). The period in the sleep pot after the third track and before the animal fell asleep - labelled rest3 - was included in following analyses but varied in length from 48s to 14min30s (median just under 3min).

As described in chapter 4, as the rat explore more environments, an increasing number of remote tracks are replayed during each epoch, including during active behaviour (see Figure 5.2 B). We asked whether candidate replay event rate would compensate for this phenomenon to preserve the amount of local replay (on the tracks). To detect significant replay events, we used a Bayesian inference approach to decoding neuronal ensemble activity, normalised the posterior probabilities for each time bin so that the sum of probabilities over all possible positions (all three tracks) was equal to 1, then calculated the weighted correlation of the decoded posterior probability of each candidate replay event for a given track. Events satisfying minimal jump requirements were compared against the distribution of correlation values obtained from a thousand repetitions of three shuffling methods (see 3 for replay detection analysis) 1) circular spike train shuffle 2) circular ratemap shuffle and 3) circular timebin shuffle of the posterior decoded matrix. Events that achieved significance (correlation higher than 95% of distribution) on all three shuffles were

classified as significant replay events. If an event was significant on multiple tracks, the bayesian bias (fractional sum of probability for that track) determined whether the replay event was classified as significant for 1) the only track with a bias higher than 40% or 2) multiple tracks with a bias higher than 40% and the event was not included in further analysis.

Comparing the observed difference in proportion of candidate events between track pairs to a resampled distribution of shuffled track labels (analysis and plots based on Carey et al. (2019)) indicated that there was no increase in candidate replay events from the first to the third track. As a consequence, the percentage of local replay (current track) to candidate replay event rate per epoch was lower for track two and three ($p_{T3-T1} = 0.011$, $p_{T2-T1} = 0.005$, see figure 5.2 C, middle). Candidate replay events were neither modulated by recency nor reward (see figure 5.2 C, left and right), the latter contrasting with results from Ambrose et al. (2016).

In relation to previous findings in studies varying reward quantity rather than quantity, the time spent at the HIGH reward locations is always significantly longer than at LOW reward locations. It is then argued that normalising by time or the number of SWR irons out any differences (Ambrose et al., 2016; Michon et al., 2019), as SWR or replay rate is a linear function of time. However, by looking at our own data, one can quickly assess that stopping duration does matter (see Figure B.4). In fact, the rate of candidate replay events follows a sharp increase from 1s to 7s before very slowly decreasing over the next 20s. Our dataset does not have different stopping time distributions for HIGH and LOW reward, and yet gives some evidence that replay rate is not simply a linear function of time. However, even if it may not necessarily invalidate previous findings, we argue that sampling from distant parts of this distribution is likely to affect the output of any GLMM or group comparison test in unexpected ways and should be an acknowledged confounding factor.

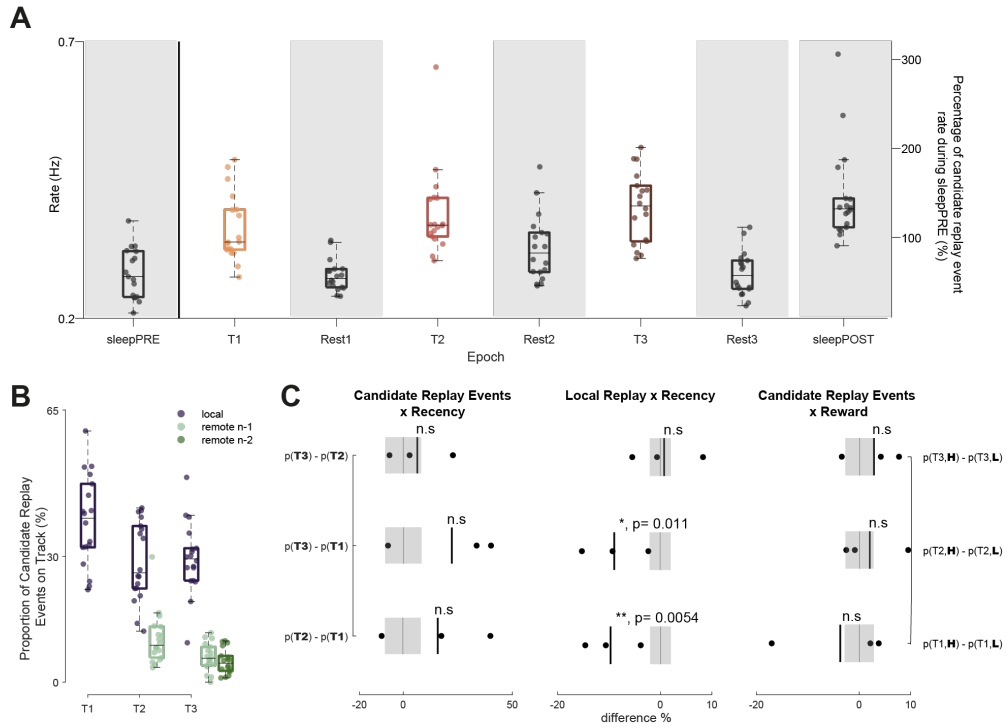


Figure 5.2: Candidate replay event rate does not compensate for an increasing number of contexts to replay and is not modulated by reward

A: Candidate replay event rate or proportion for each epoch. During sleepPRE, candidate replay event rates are shown. For the remaining epochs, event rate is normalised by the rate during sleepPRE. For one session where the animal did not sleep during sleepPRE, rates are normalised by the rate of the first track.

B: Proportion of the number of candidate replay events occurring on each track for each type of replay. As the number of tracks experienced during the day increases, more tracks are being replayed leading to a decrease in the proportion of local replay.

C: from left to right: Difference in the candidate replay events proportion between tracks pairs, difference in the proportion of local replay events between tracks pairs and difference in the proportion of candidate replay events with reward for each track. each dot is the observed average difference in proportion for each rat ($n=3$), the black line is the observed difference averaged across rats, and in grey is the bootstrapped distribution of differences obtained by re-sampling from shuffled labels (recency or reward). Asterix and p-values are obtained from a two tailed test on the zscore of observed vs resampled data.

5.2.4 Recency and Reward Modulation of Awake and Sleep Replay

We did not observe an increase in candidate replay events with recency nor reward. This observation combined with an increase in remote replay with recency led to a reduction in local replay events on the last two tracks as a result. In this section we investigate how the rate of significant replay events during awake states prior to sleep are influenced by reward and recency, and whether memory prioritisation is a function of valence, recency and awake replay.

The most prominent observation when looking at the evolution of the most replayed track over the course of a session was the prioritisation of the local track during active behaviour, and of the most recently experienced track during rest periods (see Figure 5.3 A,E and summary statistics in Table B.1). As a consequence of the presence of remote replay, there was a clear gradation with recency of how many times a track will have been replayed right before sleepPOST: the first track will have been replayed in all subsequent epochs (50+ minutes) while the third track will have only been replayed locally and in the awake period before sleep in the pot (median= 2min58s, min= 47s, max= 14min30s). By the end of sleepPOST (median= 60min, min= 30min, max= 1h35min), the overall amount of replay for the last two tracks was significantly lower than of the first (ratio T1/T2= 1.17 $p=0.003$, ratio T1/T3= 1.22 $p=0.008$, see B.1).

We then looked at the relationship between the amount of replay during awake states and sleepPOST. While there was a clear positive correlation between the total proportion of replay events during awake and sleep states for less replayed tracks (second and third tracks), this wasn't the case for the first track (Spearman correlations: track1 $\rho=0.10$, $p=0.7$, track2 $\rho=0.8$ $p<0.001$, track3 $\rho=0.65$ $p=0.003$, see Figure 5.3 B). There was also a very clear segregation based on recency, indicating that sleep replay is not a simple function of how much an environment has been replayed before, but also reflects temporal discrimination. We repeated the analysis using only local events or remote events in the awake states. Both graphs showed the same trend.

To test for recency or reward effects at varying time points, we proceeded with the same analysis as in the previous section: obtaining the zscore of the observed data relative to the bootstrapped shuffled label distributions for each comparison of interest. If multiple time points were tested in the same epoch, Holm-Sidak's multiple comparison correction was applied to the p-values. For sleepPOST, the first, middle and last time points were tested for significance in the case of recency comparisons. The first, peak difference and last time points were tested during sleepPOST when comparing reward conditions. Visual inspection revealed that recency was the main factor driving how much an environment was prioritised during sleep. Figure 5.3 E, shows that the cumulative proportion (total number of events since beginning of sleep) of replay events for the third track was significantly higher than any other track for periods up to 50-60min of sleep (mean ratio to track1= 1.72 ± 0.27 , mean ratio to track2= 1.67 ± 0.20). After 60min, the proportion of events for the first track drops, and the proportion for the second track increases (see Figure B.2). However, the number of sessions with a sleep duration longer than 60min is considerably lower (4-12), and decays rapidly with sleep duration. As a consequence, this latter observation merits some additional recordings session to be confirmed.

Recency being the main driving force for replay prioritisation, we focused on reward effects on the proportion of replay events for the current track, the most recent during rest or the third track only during sleep. In contrast with previous studies Ambrose et al. (2016); Michon et al. (2019), we did not see an effect of reward on the number of local replay events, nor of the most recent track during rest epochs. However, to our surprise given those initial findings, but in accordance with Braun et al. (2018); Feld et al. (2014); Michon et al. (2019) the HIGH rewarded tracks were prioritised during sleepPOST. Prioritisation only lasted the initial 20-30min of sleep (see Figure 5.3 F, Figure B.2), after which the proportions were no longer different between reward conditions. Since this first-pass analysis does not take into

account potential interaction effects with past reward, we plotted the cumulative proportion of replay events for the third track as a function of reward history (Figure 5.3 G). Interestingly, it seems that the combination [HIGH-HIGH-LOW] has proportions more comparable with that of HIGH ending combinations after 30min: potentially pointing to more complex factors than just reward or recency. Interactions due to the temporal ordering of rewards require more sophisticated analyses, and is an interesting future direction of this work.

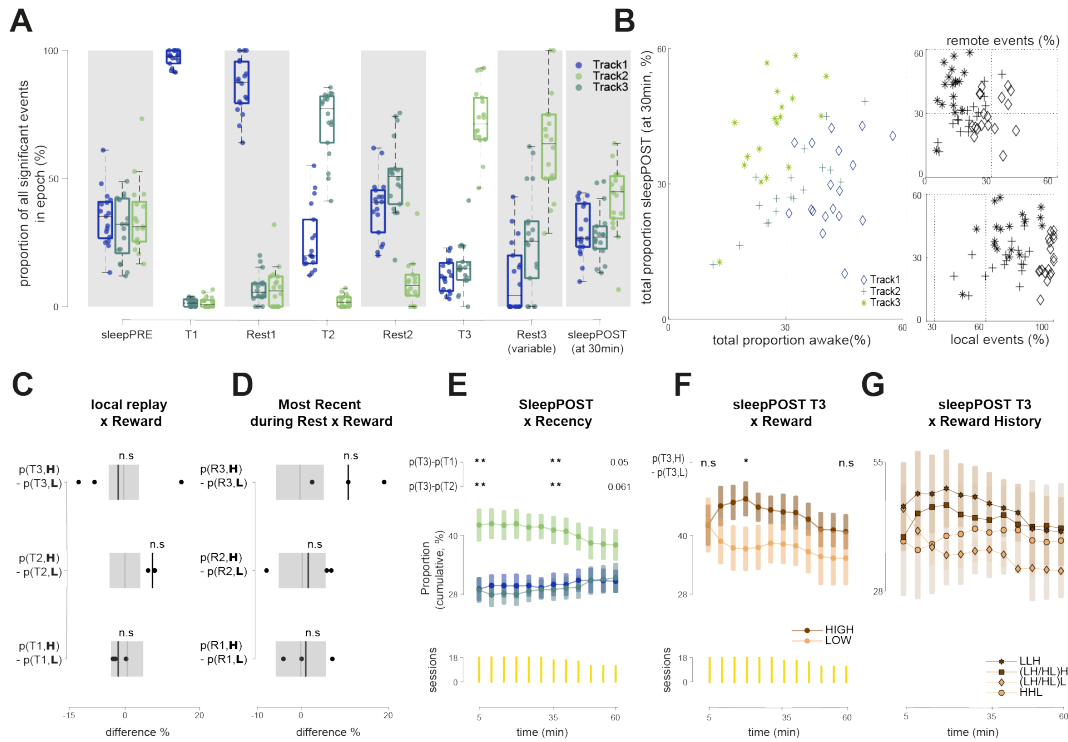


Figure 5.3: Awake replay is selectively modulated by recency while sleep replay is temporally modulated by both recency and reward

A: Proportion of the total number of significant replay events during an epoch, for each track.

B: Left: Scatter plot of the proportion of replay events during awake epochs (includes rest epochs) versus sleepPOST. **Bottom right:** scatter plot of the proportion of local replay events during awake epochs (track epochs only) versus sleepPOST. **Top right:** scatter plot of the proportion of remote replay events during awake epochs (includes rest epochs) versus sleepPOST.

C: Proportion of replay events during sleepPOST as a function of reward history. The six possible combinations are grouped as a function of the third track's reward, and the sum of rewards on the previous two tracks. There is no linear correlation with reward history (Spearman correlation, $p=0.81$)

D: from left to right: difference in the proportion of local replay events with reward, difference in the proportion of the most recently experienced track during rest periods with reward, difference in the proportion of replay events for the third track with reward and recency. Each dot is a rat average ($n=3$), black lines indicate the average across rats, and grey rectangles represent the corresponding bootstrapped distribution.

E-G: Cumulative proportion of replay events as a function of time spent sleeping during POST and the corresponding number of sessions contributing to each time point. **E:** for each track based on proximity to sleep

F: for the most recent track (Track 3) based on reward quality

G: based on four types of reward successions: 1) when there is one high reward track and it closest to sleep 2) the most recent track has a high reward, but a previous track also had a high reward, 3) the most recent track has a Low reward and a previous track had a high reward and 4) the most recent track has the only low reward in the session.

5.3 Chapter Discussion

We have presented our findings from a corpus of analysis aimed at dissecting the effects of reward and recency on memory prioritisation during sleep. Reward and recency were modulated while keeping novelty constant between environments. The use of reward quality instead of quantity minimised differences in behaviour and exploration between reward conditions.

Candidate replay event and replay event rates are unaffected by reward during awake states

Contrasting with Michon et al. (2019) and Ambrose et al. (2016), we did not observe an increase in candidate replay events or significant replay events on the tracks in the presence of the HIGH reward compared to the LOW reward. The preference for the HIGH over the LOW reward was behaviourally demonstrated in this study, and the absence of a need to learn reward locations is also present in Ambrose et al. (2016), and therefore are unlikely to be the reason for this discrepancy. Although we did not look at forward and reverse replay rates in this chapter, the increase in reverse replay rate and lack of change of forward replay rates reward found by Ambrose et al. (2016) would still result in an overall increase in replay rate. The main differences between those two studies and ours are 1) a possible greater preference magnitude/saliency for the HIGH reward in the other manipulations, 2) unaccounted effects due to longer waiting times at the HIGH reward sites, 3) differences in significance testing for replay events: we used a robust set of criteria by including 3 different shuffles and 4) awake replay only codes for direct reward changes or comparisons within an environment and not reward magnitude.

Multiple environments decreases the reactivation capital of experiences later in the day

The exploration of multiple tracks during the same recording session revealed the absence of compensation of candidate replay event rate with the growing number of environments to replay. As a consequence, tracks presented later in the session

were replayed less often prior to sleep compared to earlier tracks, which could mean weaker representations. The quality (or fidelity) of the different representations prior to sleep is an important future direction of this work.

Experiences are consolidated in sequence during sleep, in the reverse order of presentation

During both awake and sleep states, the most recent or local track was always preferentially replayed. During sleepPOST, this prioritisation lasted for the first half-hour of sleep, with cumulative effects lasting up to 50min. Despite a smaller amount of data available after one hour of sleep, the amount of replay for the second track then increases, also sustaining replay for the third track, at the detriment of the first track. Longer sleep recordings would give the evidence necessary to confirm this reverse temporal ordering of experiences. Reward further increased the prioritisation of the most recent track over the other tracks - but seemingly only for the time window associated with that environment's preferential consolidation. Additional, longer sleep recordings might also inform whether reward acts a prioritisation booster only for the currently prioritised track or in a continuous fashion. Separating sleep into SWS and REM epochs may also create some insight on why prioritisation of the most recent track ends when it does.

Temporal proximity or rescuing of less replayed environments?

For the least replayed environments, we observed a positive correlation between the total amount of replay during awake states and during sleep. This correlation was not conserved for the first track, which, even by the end of sleepPOST, was overall replayed more than the other two. However, the amount of awake replay did not solely determine which track was prioritised during sleep. The presentation order of the context or temporal distance from sleep - factors near-indistinguishable in our experiment - was the main driver determining the amount of replay of an environment during sleep. Those two processes are consistent with previous studies in humans demonstrating that temporal proximity to sleep is beneficial while a

longer wake period between encoding and sleep is detrimental (Payne et al., 2012; Talamini et al., 2008). Local and remote replay may not serve the same purpose, nor present the same reconstruction quality. We hypothesise that remote replay may be play a role in both generalisation and preventing catastrophic interference between experiences (see chapter 4), and this may be at the expense of eroding/simplifying the original representation. Assessing the quality of each track's representation over the course of the session may further inform why the most recent track is prioritised.

Open Questions:

We wish to end this chapter with a list of open-ended questions, some of which can be addressed with further analysis on this data set, others requiring the acquisition of new data.

- Is the temporal window of preferential prioritisation a function of the average number of experiences one goes through between sleep periods?
- How is the balance between ensuring the consolidation of salient experiences (during SWS) and generalisation (putatively during REM) struck? Is the interleaving of states arbitrary or dependent on some amount of consolidation ?
- Is prioritisation also reflected by a targeted increase in the fidelity of replay sequences of an environment prior to and during sleep?

Chapter 6

General Discussion

This thesis sought to address how the experience of multiple contexts influence episodic memory encoding and consolidation in the rat. We chose a systems level approach and made use of the known contextual mapping and reactivation properties of hippocampal place cells to modulate the similarity and salience of spatial contexts. Recording from many hippocampal neurons simultaneously, during both sleep and the exploration of three completely new environments each session, has given us unprecedented insights into episodic memory formation and consolidation. Notably, we have provided evidence for the presence of neural patterns of activity that may reflect generalisation and disambiguation between contextual representations in the awake states, giving the first systems-level account of how past experiences can shape new ones. Furthermore, taxing memory resources with multiple new experiences each recording session revealed the temporal dynamics of prioritised memory consolidation during sleep. Together, these findings highlight the complex interactions between memory traces in wake and sleep states.

6.1 Evidence for Proactive Interference in the Hippocampus

Proactive interference is the influence of previously learned experiences on the acquisition of new ones. While interference is often associated with a deficit in performance, this thesis focuses solely on its underlying implication: previous experiences can shape the encoding of new representations in the presence of shared

features, which create ambiguity. This process may serve both generalisation and differentiation purposes, and as a consequence have an overall positive or negative effect on behavioural performance.

In our experimental setup, in which each spatial context was defined by both distinctive (visual, tactile, gustatory) and shared (geometry, gustatory, task) features, we demonstrated that neural correlates of previous experiences could be observed during the stabilisation period of novel environments. More specifically, place cells from past environments not only formed place fields in that period, but also actively participated in the recall of these past contexts. The cells associated with previous contexts were either pruned or integrated and reorganised into the new representation on the timescale of a few complete exploration runs of the environment by the rat.

Replay of the current experience is thought to help strengthen and stabilise the hippocampal representation (Brandon et al., 2011; Koenig et al., 2011; Kovács et al., 2016; Theodoni et al., 2018; van de Ven et al., 2016), while remote replay is thought to be better suited for the prevention of catastrophic interference (Carey et al., 2019; Gupta et al., 2010). We showed that remote replay is most frequent in the first few laps when the new representation is being refined and stabilised. This suggests that remote replay plays a role in generalising and differentiating between contexts, although our experiment was not designed to provide causal evidence. Given that the task was the identical across contexts in our experimental design (running back and forth between the ends of the track to collect rewards), there is by definition no context-dependent task learning. Consequently, we do not have a behavioural readout of the animal's ability to disambiguate feature-sharing contexts, and the link between behavioural performance, replay frequency and other neural correlates cannot be made on that level. However, such context-dependent tasks have been considered in the design stage of this thesis, through the learning of different reward locations on the track for example, but this implementation would have been at the cost of longer recording sessions - the length of which are limited by our animal license - and an initial concern for the stability of cells over extended periods

of time.

Controlling for shared features between environments along with contextually distinct task requirements would provide the means to gather evidence for or against improved behavioural performance when some previous knowledge of shared features has already been acquired. Our findings call attention to the role of remote replay in the generalisation and disambiguation of contextual information. The presence of remote replay during our paradigm which modulates associative novelty provides additional evidence for the hippocampus being an associative mismatch novelty detector (comparator model, see General Introduction). Indeed, it points to a recall of feature-shearing representations in the hippocampus, perhaps triggered by pattern completion in CA3. It is however also possible that remote replay may concurrently be triggered by the retrieval of cortical schemas (running back and forth on linear tracks), which in turn may lead to replay of similar experiences in the hippocampus. As the rats experienced more and more linear tracks over the six days of recordings, it is likely that the schema related to the task (running back and forth) based on an abstract context (linear tracks with varying sensory features) progressively evolve over that period. We did not attempt to analyse how the amount of experience (session number) may affect the hippocampal dynamics of representation stabilisation or remote replay rate. Another interesting research avenue would be to understand the link between schema retrieval and remote replay of specific experiences. In this study - with the limitation of not being able to decode experiences from previous days - we observed remote replay of temporally adjacent experiences. If a schema is retrieved in cortical areas, one could posit it would trigger the reactivation of the corresponding 'schema' or 'concept' cells in the hippocampus (Baraduc et al., 2019; Quiroga, 2012). However, as a consequence of their abstractness these cells will in theory be active in all contexts related to the schema, and therefore trigger the reactivation of any of these representations. From our study, we speculate that within a wake episode, the temporally adjacent experiences - with highly potentiated synapses - will be the representations more likely to be reactivated, and not those from previous days. This can not be tested without

being able to record the same cells over multiple days.

Causal manipulations of remote replay are needed to determine which of these functions it serves. If remote replays contributes to a greater generalisation between memories, targeted online disruption of these events, would lead to slower learning rates, equivalent to *de novo* learning rates, while remote replay enhancement - perhaps through the targeted stimulation of cortical traces associated with meaningful shared features - would improve learning rates.

If remote replay is required to prevent catastrophic interference, an online blockade of such events would reveal changes in hippocampal representations and inappropriate behaviour (retroactive interference) would emerge when the animal is put back in previously experienced contexts. Future work may also focus on rigid cells (Grosmark and Buzsáki, 2016): place cells that have been defined by their slower firing rate properties, broader place fields and putative involvement in generalisation mechanisms. For this thesis, we did not classify pyramidal cells as rigid or plastic, but an analysis to identify whether rigid cells are in fact cells that participate in previous contexts, and whether they tend to represent more-commonly encountered features would be a first step to identify neural correlates of generalisation. Tracking the activity of ‘generalising’ cells during SWS and REM may help resolve some of the debates about of the function of these sleep stages, as well as what a generalised representation looks like at a systems level.

6.2 Temporal Dynamics of Memory Triage

Stemming from the need to efficiently consolidate all the different contexts experienced between periods of sleep, memory triage is the process ensuring that the most relevant memory traces are preferentially consolidated and end up being better remembered. Salient features such as pain, reward or novelty have been shown to be ‘tickets’ for prioritised memory consolidation. Temporal proximity to sleep is also a deciding factor, with experiences closer to sleep ending up being better remembered. Replay of experiences is one of the mechanisms underlying memory consolidation, and the amount of replay for contexts associated with any of these

prioritisation tags has been shown to be higher than for other contexts during wake and sleep. Awake replay has been shown to be modulated by reward (or reward prediction) within environments (Ambrose et al., 2016; Bhattarai et al., 2020; Michon et al., 2019; Singer and Frank, 2009), in line with prioritised replay models (Matar and Daw, 2018). Strikingly, varying reward between contexts did not lead to the same effect, with an absence of awake replay increase in high reward contexts. In line with another recent study (Roscow et al., 2019), our findings suggest that awake replay may only encode reward prediction errors within a context rather than reward magnitude.

To the best of our knowledge, no study has looked at the temporal consolidation dynamics of memory prioritisation during sleep. Our data shows that memory triage is not a uniform process, with each context being replayed at a constant rate throughout sleep according to its priority ranking. Instead, consolidation seems to occur sequentially, with the context at the top of the priority list being replayed preferentially for a set amount of time, then the second, and so on. Temporal proximity to sleep was the main priority factor in our experimental setup, with reward acting as a boosting effect. Changing the amount of time between contexts and rewards (quantity, MFB stimulation, cocaine...) would of course alter these results by re-ordering the prioritisation list accordingly to the salience and the decay in synaptic potentiation with wake time. The temporal dynamics of memory triage therefore have a cyclic component (time attributed to each memory) and an amplitude (relative proportion) component. The amplitude might be salience modulated while the cyclic frequency may be regulated by the alternation of SWS and REM bouts, and/or the ‘memory capital’ that needs to be consolidated (shorter cycles when more traces need to be consolidated).

Our analysis could benefit from the construction of predictive models (GLMMs) to better assess the interactions between prioritising factors. However, to do so, recording from more animals is needed. Given more time, it would be interesting to investigate the prioritisation parameter space further: testing different levels of reward, varying the number and familiarity of the recorded environments, shortening

or extending the amount of time between the contexts. Inclusion of context-specific tasks as a means to assess memory performance would be yet another asset to build a detailed model of memory triage.

In addition to increasing the replay frequency of prioritised contexts, memory triage may also support building and storing more detailed representations of the experience, or prioritised elements within the representation. Developing recording bias-free methods to quantify the fidelity of representations during wake and sleep states is an important direction for future work.

Finally, the role of the cortex in memory triage is unknown. It is known that memory consolidation is dependent on bidirectional hippocampo-cortical interactions (Girardeau et al., 2009; Ji and Wilson, 2007; Maingret et al., 2016; Pavlides and Wilson, 1989; Peyrache et al., 2009; Wilson and McNaughton, 1994). While hippocampal traces are potentiated and tagged during wake states (Duszkiewicz et al., 2019; Frey and Morris, 1997; McNamara et al., 2014), so are cortical ones (Lesburguères et al., 2011), and therefore the cortex may also ‘vote’ for which memory will be prioritised in subsequent replay events. Likewise, the cortex may also provide feedback to the hippocampus to indicate which representation has been replayed enough, and it is time for the next context to be prioritised.

Appendix A

Appendix Chapter 5

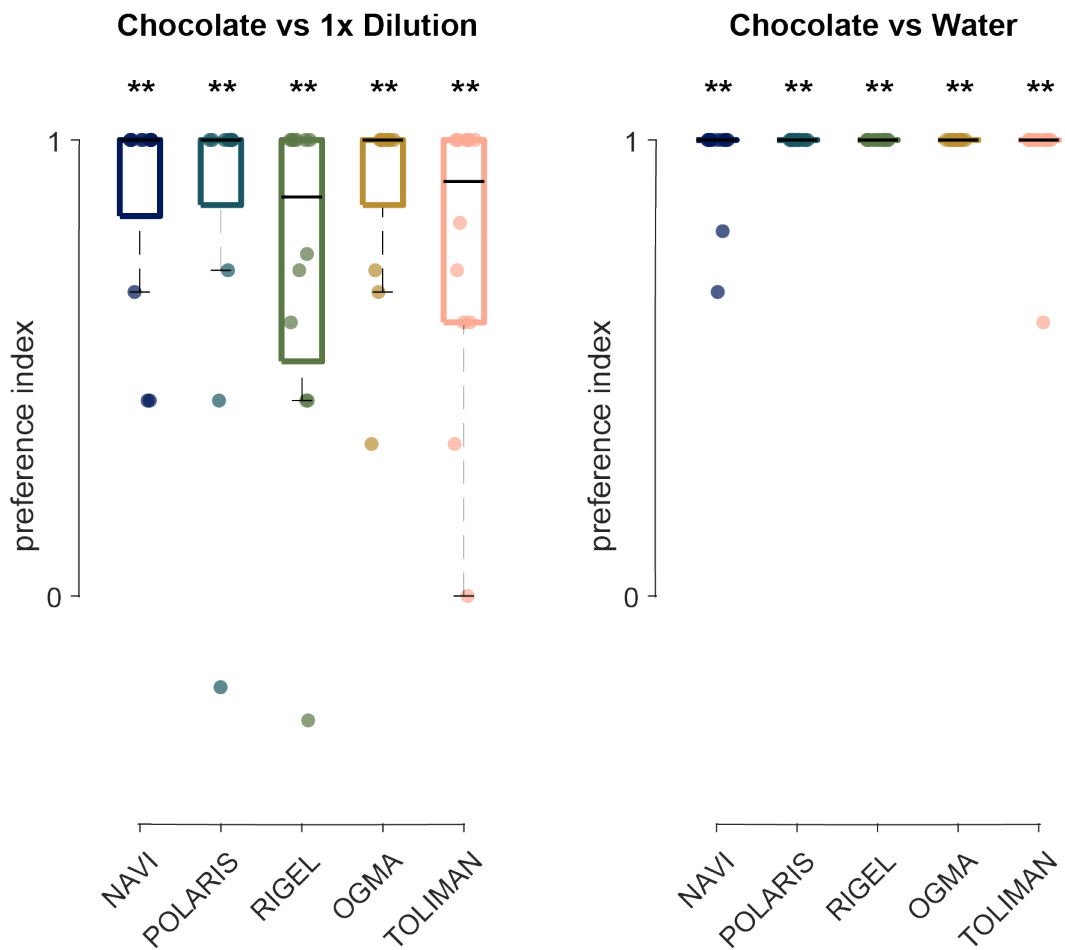


Figure A.1: Reward Preference: **LEFT** boxplot and individual trial data of the preference index for each rat. A value of 1 indicates a strong that the chocolate reward was exclusively consumed on that trial, and a value of -1 that the 1x dilution was exclusively consumed. p-values of the Wilcoxon signed rank test (***) indicates $p < 0.001$) **RIGHT** Similarly, preference index for chocolate over water

Test	Rat	Preference Index	signed rank	z value	p value
Chocolate vs 1x Dilution	NAVI	0.87	78	3.06	** 0.002
	POLARIS	0.828	76.5	2.94	** 0.003
	RIGEL	0.72	74	2.77	** 0.005
	OGMA	0.89	78	3.08	** 0.002
	TOLIMAN	0.75	66	2.95	** 0.003
Chocolate vs Water	NAVI	0.95	78	3.08	** 0.002
	POLARIS	1	78	3.08	** 0.002
	RIGEL	1	78	3.07	** 0.002
	OGMA	1	78	3.08	** 0.002
	TOLIMAN	0.96	78	3.08	** 0.002

Table A.1: Summary statistics for reward preference tests

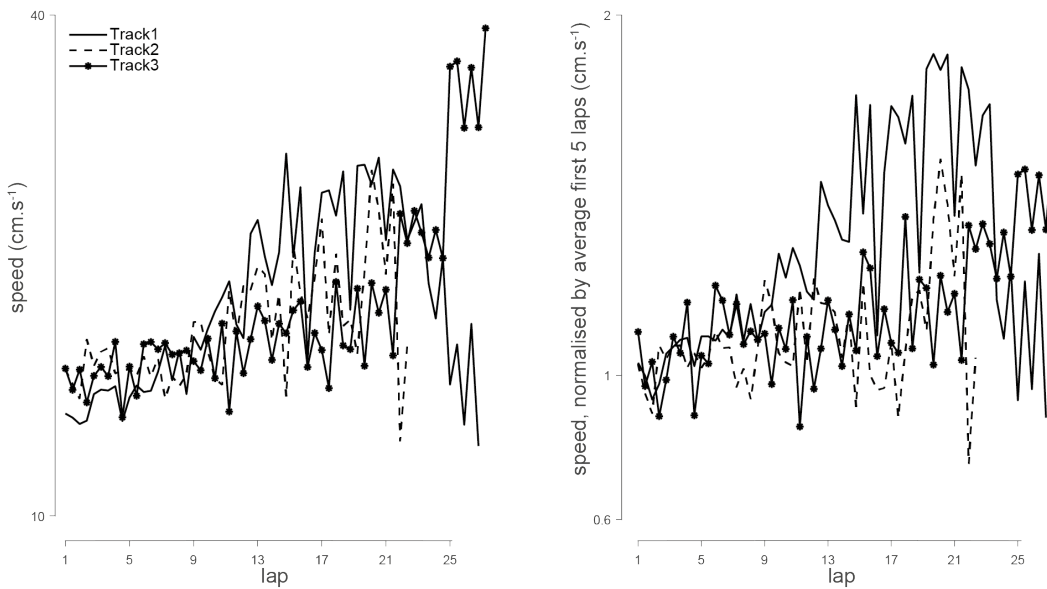


Figure A.2: Left: Median running speed per lap, averaged over all rats Right: Median running speed per lap, normalised by the mean running speed during the first 5 laps for each track, and averaged over all rats

	Est.	S.E.	z val.	p value
(Intercept)	2.58	0.06	38.85	*** < 0.001
reward.L	0.19	0.04	4.68	*** < 0.001

Contrast	Ratio	Lower CI	Upper CI	S.E.	z ratio	p value
HIGH/LOW	1.32	1.17	1.48	0.07	4.68	*** < 0.001

Table A.2: Summary statistics for the mixed Poisson model: number of laps \sim reward + (rat|session), back transformed to response scale

	Est.	S.E.	z val.	p value
(Intercept)	2.58	0.07	39.46	*** < 0.001
reward.L	0.20	0.04	4.57	*** < 0.001
recency.L	0.08	0.05	1.52	0.13
recency.Q	0.04	0.05	0.83	0.41
reward.L:recency.L	0.02	0.08	0.21	0.83
reward.L:recency.Q	0.19	0.08	2.39	* 0.02

Contrast	Ratio	Lower CI	Upper CI	S.E.	z ratio	p value
HIGH T1/LOW T1	1.45	0.17	1.08	1.94	3.19	** 0.006
HIGH T3/LOW T1	1.64	0.18	1.25	2.15	4.62	*** < 0.001
HIGH T3/LOW T2	1.40	0.14	1.09	1.78	3.46	** 0.002
HIGH T1/LOW T3	1.32	0.13	1.03	1.70	2.79	* 0.02
HIGH T3/LOW T3	1.50	0.16	1.14	1.97	3.75	*** < 0.001

Table A.3: Summary statistics for the mixed Poisson model: number of laps \sim reward*recency + (rat|session), contrasts back transformed to response scale

	Est.	S.E.	z val.	p value
(Intercept)	2.61	0.06	45.56	*** < 0.001
reward diff.L	0.19	0.07	2.78	** 0.006
reward diff.Q	-0.01	0.08	-0.13	0.89
reward diff.C	-0.22	0.09	-2.38	* 0.017

Contrast	Ratio	Lower CI	Upper CI	S.E.	z ratio	p value
(H-H)/(L-L)	1.47	1.07	2.02	0.20	2.88	* 0.01
(H-H)/(H-L)	1.33	1.03	1.72	0.14	2.67	* 0.02

Table A.4: Summary statistics for the mixed Poisson model: number of laps \sim change in reward + (rat|session), back transformed to response scale

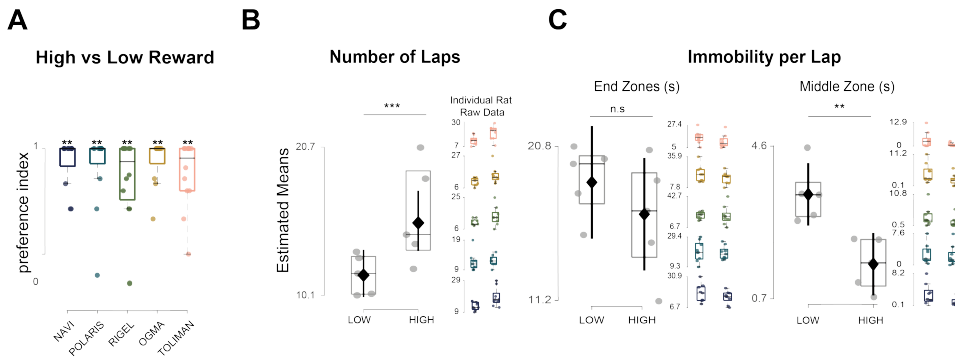


Figure A.3: **A:** boxplot and individual trial data of the preference index for each rat for pure chocolate vs its 1:1 dilution. **B-C:** Left plots: boxplot of the raw data, estimated means and their 95% confidence intervals for the number of laps for each rat ($n=5$) in each condition. Right plots: boxplot of the number of laps per environment across conditions, for each rat. **B:** output of the mixed poisson model number of laps \sim reward + (rat|session). **C, left:** stopping time at the end zones **C, right:** stopping time in the middle of the track

	Est.	S.E.	t val.	d.f	p value
(Intercept)	12.28	0.40	30.90	3.99	*** < 0.001
reward.L	1.01	0.24	4.19	60.55	*** < 0.001
recency.L	0.62	0.29	2.17	52.95	* 0.034
recency.Q	0.24	0.29	0.83	52.98	0.41
reward.L:recency.L	-	0.46	-	80.01	0.97
	0.02		0.04		
reward.L:recency.Q	1.22	0.45	2.69	79.95	** 0.009

Contrast	Ratio	Lower CI	Upper CI	S.E.	d.f.	t ratio	p value
HIGH T1/LOW T1	2.15	0.50	3.80	0.64	77.85	3.35	** 0.006
HIGH T3/LOW T1	3.01	1.45	4.58	0.60	63.34	4.99	*** < 0.001
HIGH T3/LOW T2	1.8	0.29	3.31	0.58	62.89	3.08	* 0.01
HIGH T3/LOW T3	2.11	0.46	3.77	0.64	78.40	3.31	** 0.007

Table A.5

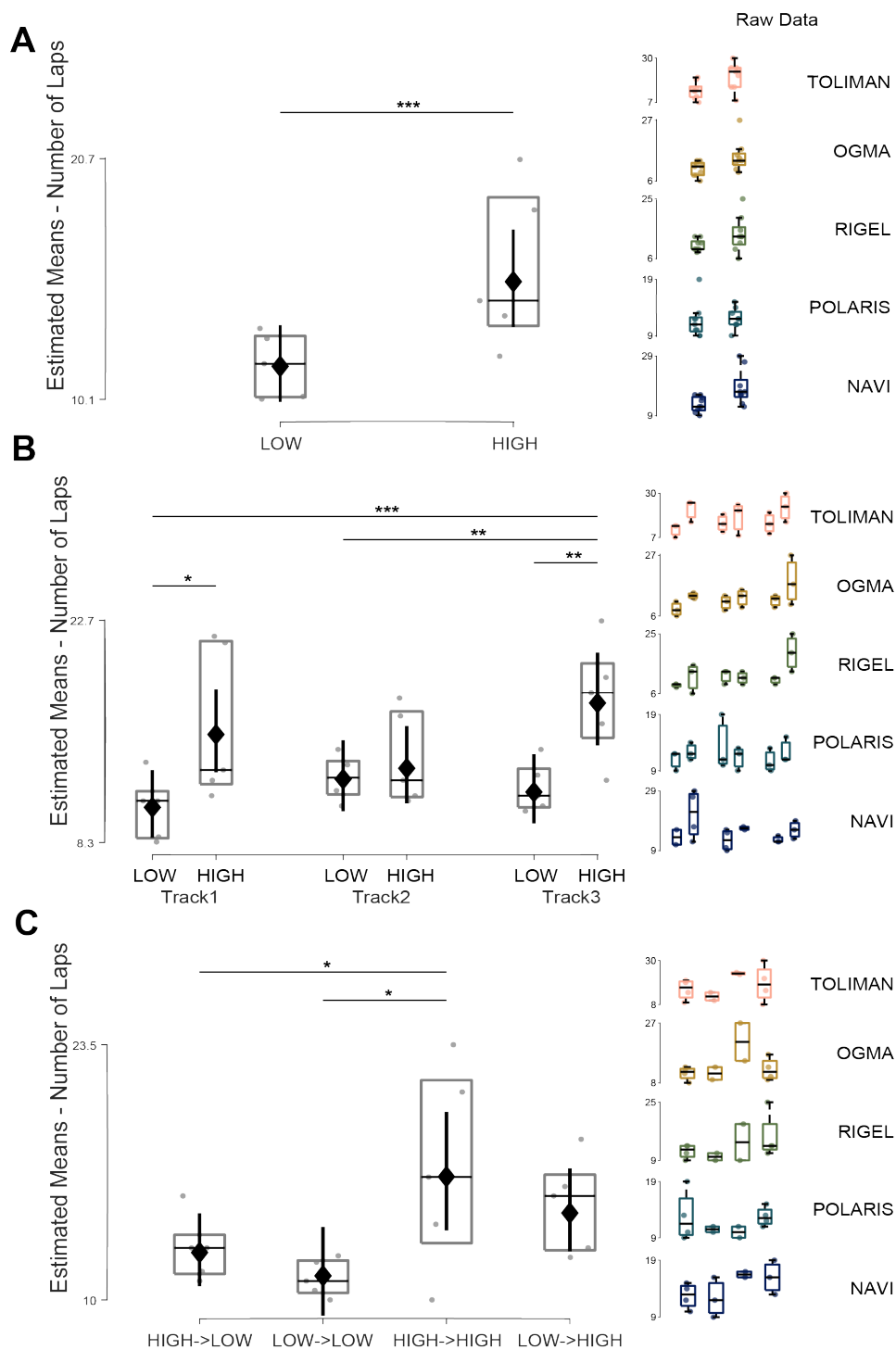


Figure A.4: Left plots: boxplot of the raw data, estimated means and their 95% confidence intervals for the number of laps for each rat ($n=5$) in each condition. Right plots: boxplot of the number of laps per environment across conditions, for each rat. **A:** output of the mixed poisson model number of laps \sim reward + (rat|session). **B:** output of the mixed poisson model number of laps \sim reward*recency + (rat|session). **C:** output of the mixed poisson model number of laps \sim change in reward + (rat|session)

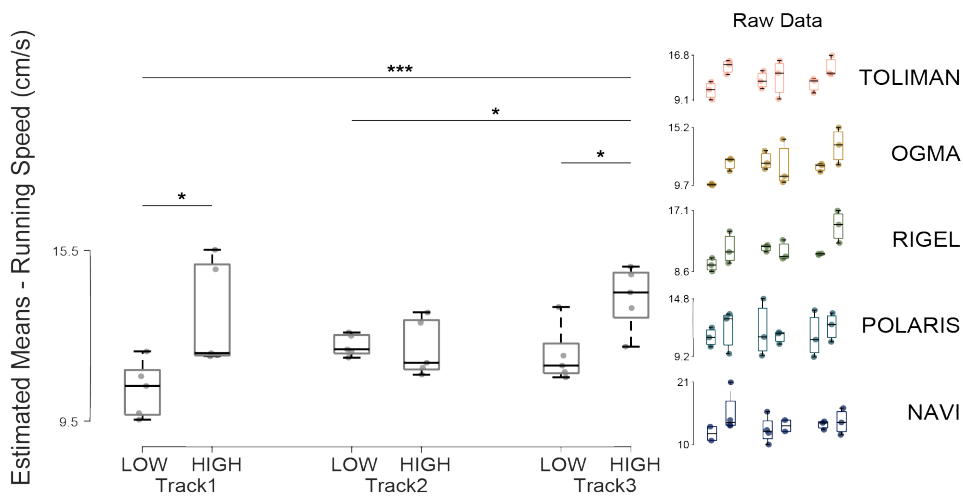


Figure A.5: Left plots: boxplot of the estimated means for running speed ($v > 5\text{cm.s}^{-1}$) for each rat ($n=5$) for each condition following the mixed gaussian model running speed \sim reward*recency + (rat|session) . Right plots: boxplot of the running speed per environment across conditions, for each rat.

	Est.	S.E.	t val.	d.f.	p value
(Intercept)	17.57	1.29	13.57	4	*** < 0.001
reward.L	-1.41	0.87	-1.61	66.23	0.11

Contrast	Ratio	Lower CI	Upper CI	S.E.	d.f.	t ratio	p value
HIGH/LOW	-1.99	-4.48	0.48	1.24	66.31	-1.60	0.11

Table A.6: Summary statistics for the mixed Gaussian model: time spent $< 5\text{cm.s}^{-1}$ at end zones \sim reward + (rat|session)

	Est.	S.E.	t val.	d.f.	p value
(Intercept)	2.47	0.26	9.34	88	*** < 0.001
reward.L	-1.26	0.37	-3.35	88	*** 0.001

Contrast	Ratio	Lower CI	Upper CI	S.E.	d.f.	t ratio	p value
HIGH/LOW	-1.78	-2.83	-0.72	0.53	84	-3.35	** 0.001

Table A.7: Summary statistics for the mixed Gaussian model: time spent $< 5\text{cm.s}^{-1}$ in middle zone \sim reward + (rat)

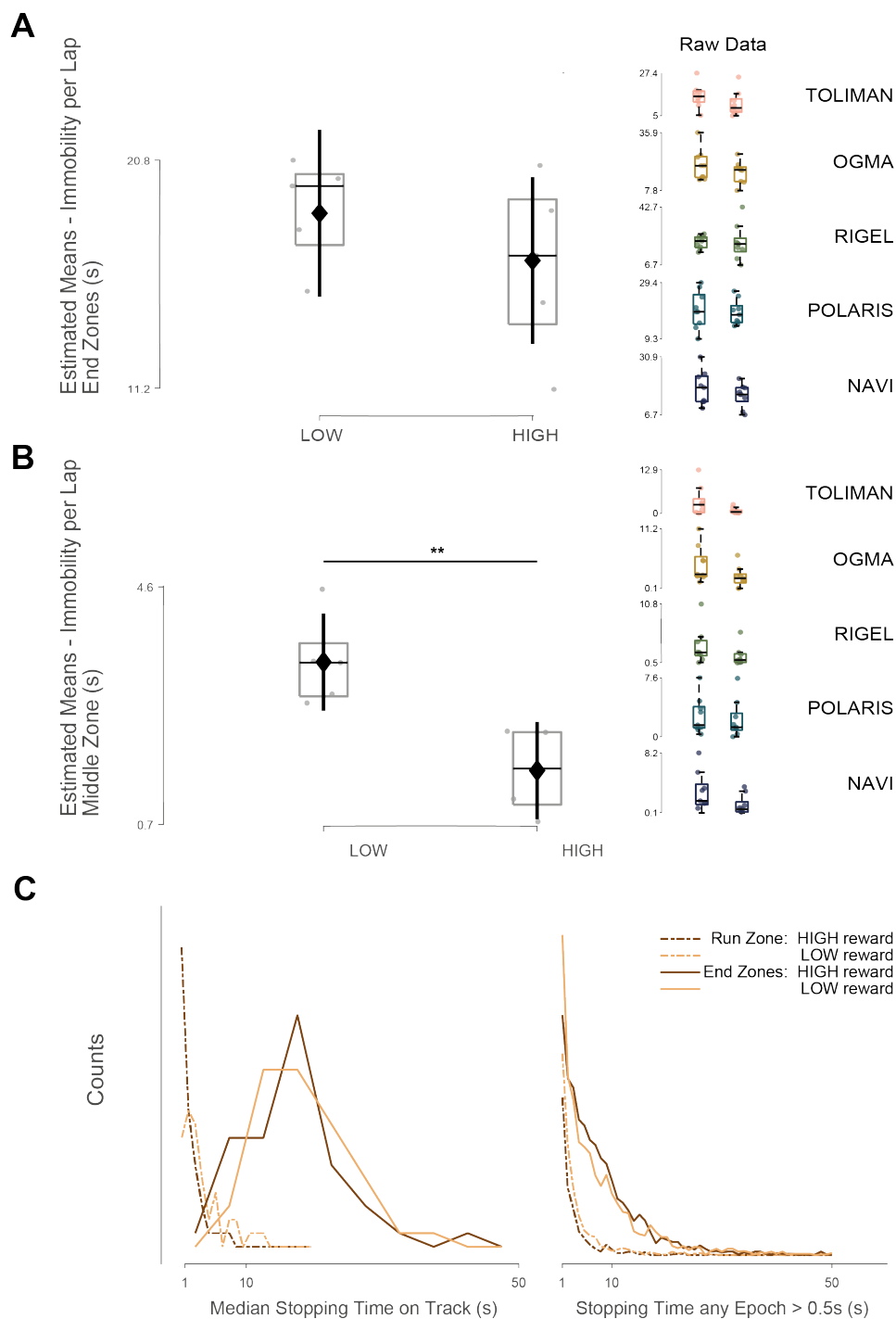


Figure A.6: Left plots: boxplot of the estimated means for time spent immobile ($v < 5\text{cm}\cdot\text{s}^{-1}$) for each rat ($n=5$) for each condition. Right plots: boxplot of the time spent immobile per lap across conditions, for each rat. **A:** output of the mixed gaussian model time spent immobile per lap at end zones \sim reward + (rat|session). **B:** output of the mixed gaussian model time spent immobile per lap in middle zone \sim reward + (1|rat). **C,** Left: Count distributions of median stopping time per lap. Right: Count distributions of stopping times ($> 0.5\text{s}$) at end and middle zones, for LOW or HIGH reward

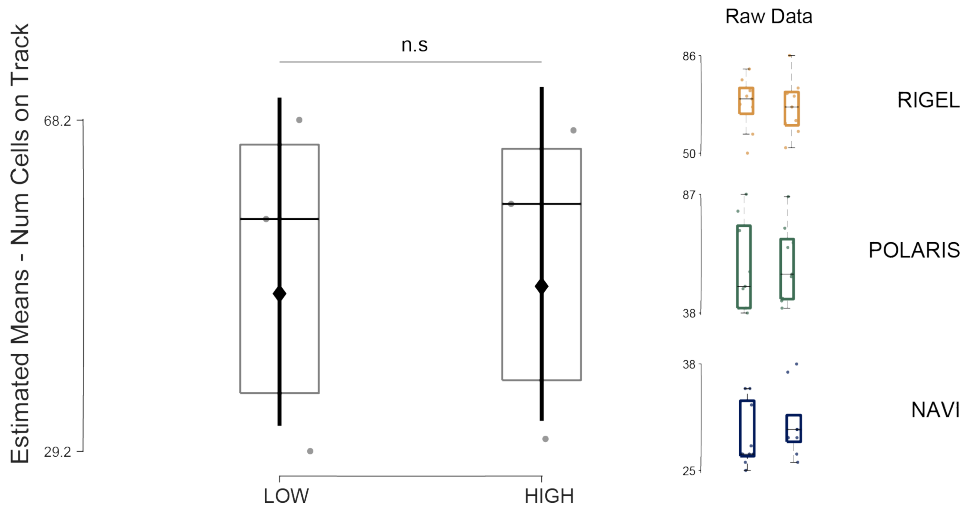


Figure A.7: Left plots: boxplot of the estimated means for the number of spatially modulated cells for each rat ($n=3$) for each condition following the mixed Poisson model: number of cells \sim reward + (1|rat) . Right plots: boxplot of the number of cells per environment across conditions, for each rat.

	Est.	S.E.	z val.	p value
(Intercept)	3.87	0.20	19.36	*** < 0.001
reward.L	0.01	0.02	0.45	0.45

Contrast	Ratio	Lower CI	Upper CI	S.E.	z ratio	p value
HIGH/LOW	1.01	0.94	1.1	0.04	0.45	0.65

Table A.8: Summary statistics for the mixed Poisson model: number of cells on track \sim reward + (rat|session)

	Est.	S.E.	z val.	p value
(Intercept)	4.14	0.01	287	*** < 0.001
reward.L	0.06	0.003	20.35	*** < 0.001

Contrast	Ratio	Lower CI	Upper CI	S.E.	z ratio	p value
HIGH/LOW	1.10	1.09	1.11	0.005	20.35	*** < 0.001

Table A.9: Summary statistics for the mixed Poisson model: Peak in field firing rate \sim reward + (rat|session)

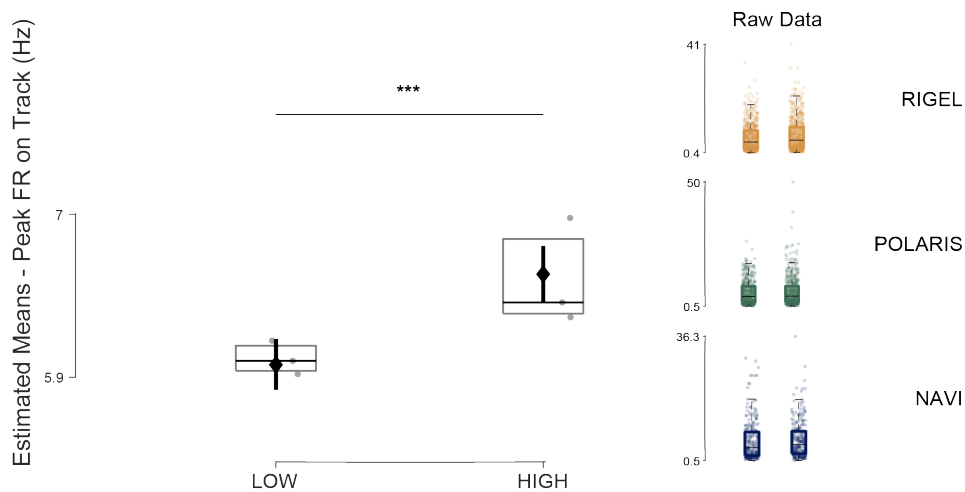


Figure A.8: Left plots: boxplot of the estimated means for the peak in field firing rate for each rat ($n=3$) for each condition following the mixed Poisson model: peak firing rate \sim reward + $(1|\text{rat})$. Right plots: boxplot of the peak firing rate per environment across conditions, for each rat.

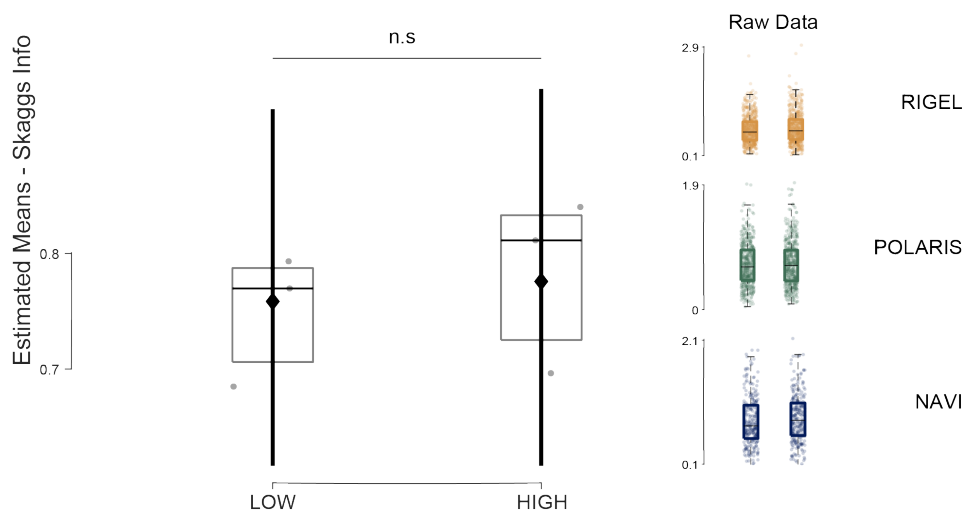


Figure A.9: Left plots: boxplot of the estimated means for the skaggs information of spatially modulated cells, for each rat ($n=3$) and condition following the mixed gaussian model: skaggs information \sim reward + $(1|\text{rat})$. Right plots: boxplot of skaggs information content of cells per environment across conditions, for each rat.

	Est.	S.E.	t val.	p value
(Intercept)	-0.27	0.04	-5.15	*** < 0.001
reward.L	0.015	0.013	1.18	0.23

Contrast	Ratio	Lower CI	Upper CI	S.E.	z ratio	p value
HIGH/LOW	1.02	0.98	1.06	0.019	1.18	0.23

Table A.10: Summary statistics for the mixed gaussian model: skaggs information \sim reward + (rat|session), estimated effect on the log scale. contrasts back transformed to the response scale.

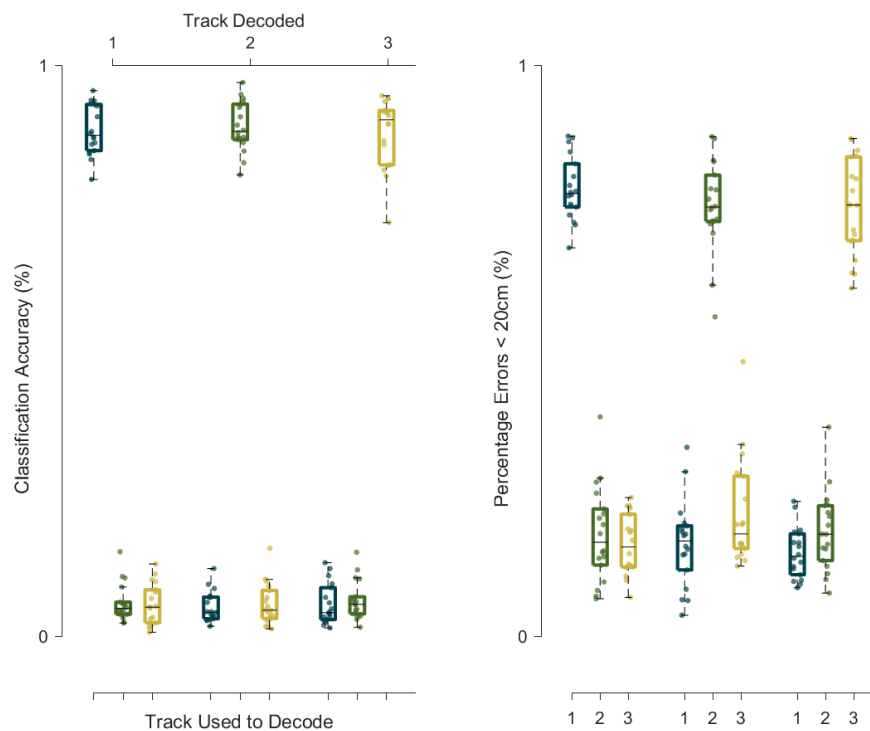


Figure A.10: Classification Accuracy and Decoding Error: **LEFT** Percentage of time bins during exploration ($v > 5\text{cm}\cdot\text{s}^{-1}$) where the maximum decoded probability falls on the current track. For each track, ratemaps from all three environments were used in turn. A high classification accuracy when using the current track's ratemaps but a low classification accuracy when using those of other tracks indicates that the representations are distinct enough for us to attribute replay events (for example) to one particular environment over the others. **RIGHT** Percentage of time bins with a distance between the real and decoded positions smaller than 20cm. Again, the decoder does not have a mirror representation of the current track when using alternate tracks, as is shown by the higher number of bins with a small distance when using the current track's ratemaps.

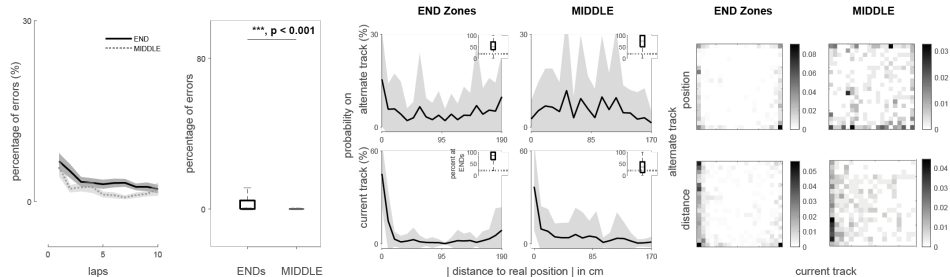
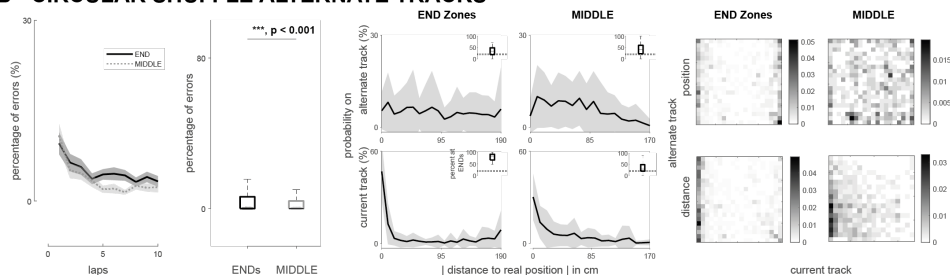
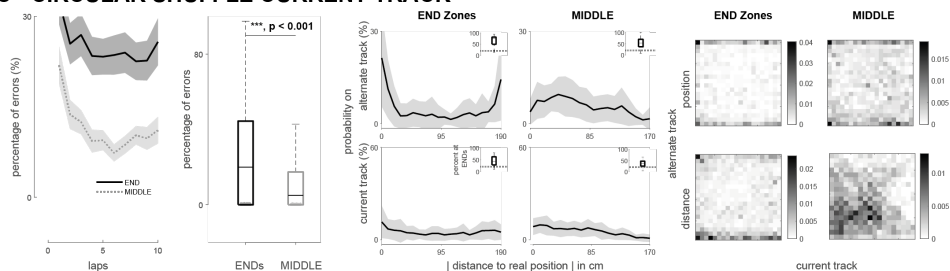
A - CELL ID SHUFFLE**B - CIRCULAR SHUFFLE ALTERNATE TRACKS****C - CIRCULAR SHUFFLE CURRENT TRACK**

Figure A.11: Only a major disruption of the distribution of cells on the track remove teleportation to the end zones effects. For each panel, Left: percentage of errors for the middle and end zones as a function of the number of laps. Center: histogram of the absolute distance from decoded to real position in cm when there is a classification error, for the current and alternate tracks depending if the real position is in the end zones or middle zone. Right: probability matrix of decoded positions on the current track versus the alternate track (top) and for distance to real position (bottom)

A: Cell ID shuffle. The identity of the ratemap corresponding to each spatially tuned cell was randomly shuffled for each alternate track independently, keeping the current track intact

B: Circular ratemap shuffle. The ratemap of each spatially tuned cell was circularly randomly shifted for each alternate track independently, keeping the current track intact

C: Circular ratemap shuffle of the current track. The ratemap of each spatially tuned cell was circularly randomly shifted for the current track, keeping the alternate tracks intact

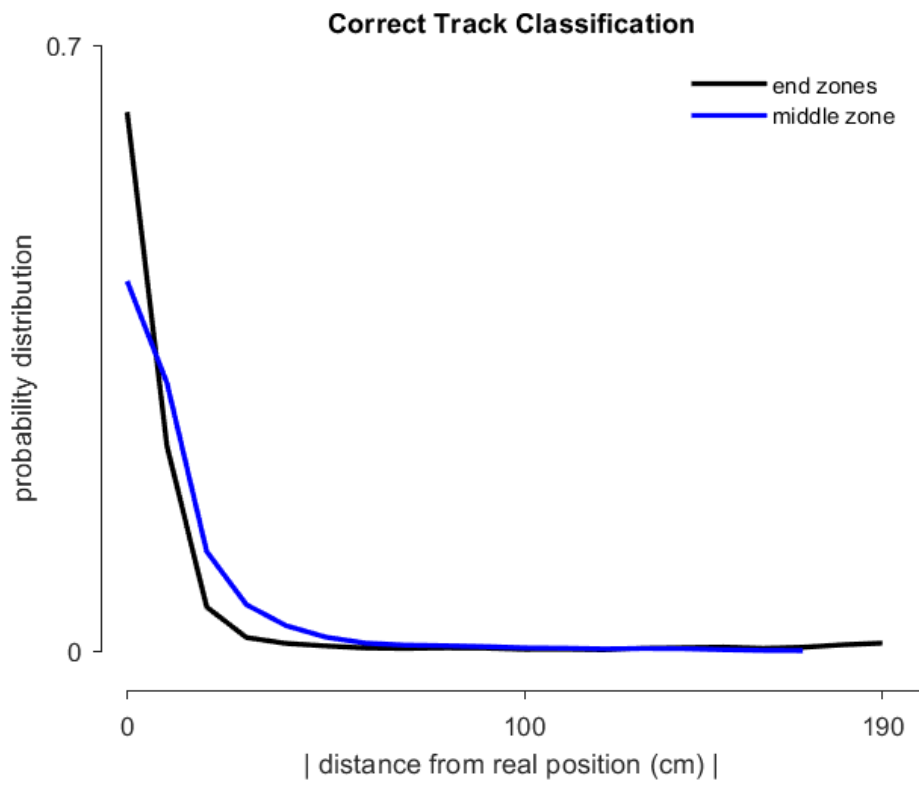


Figure A.12: Probability distributions of the absolute distance between real and decoded position when the track classification is accurate, for end zones and middle zone

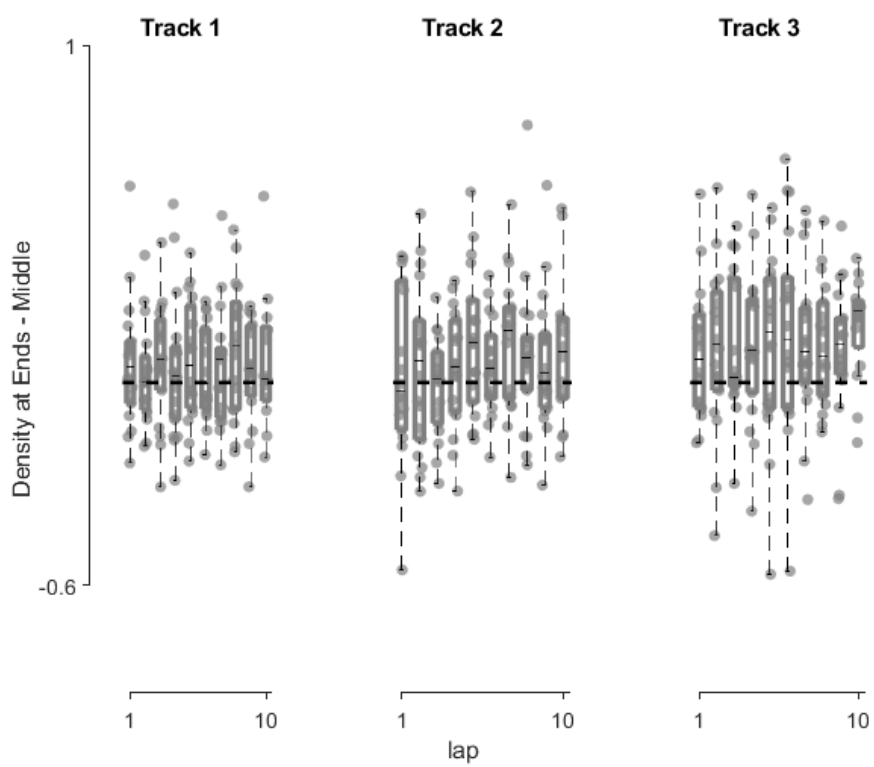


Figure A.13: Difference in percentage of cells per cm between the end zones and the middle zone. positive values indicate a higher density in the end zones.

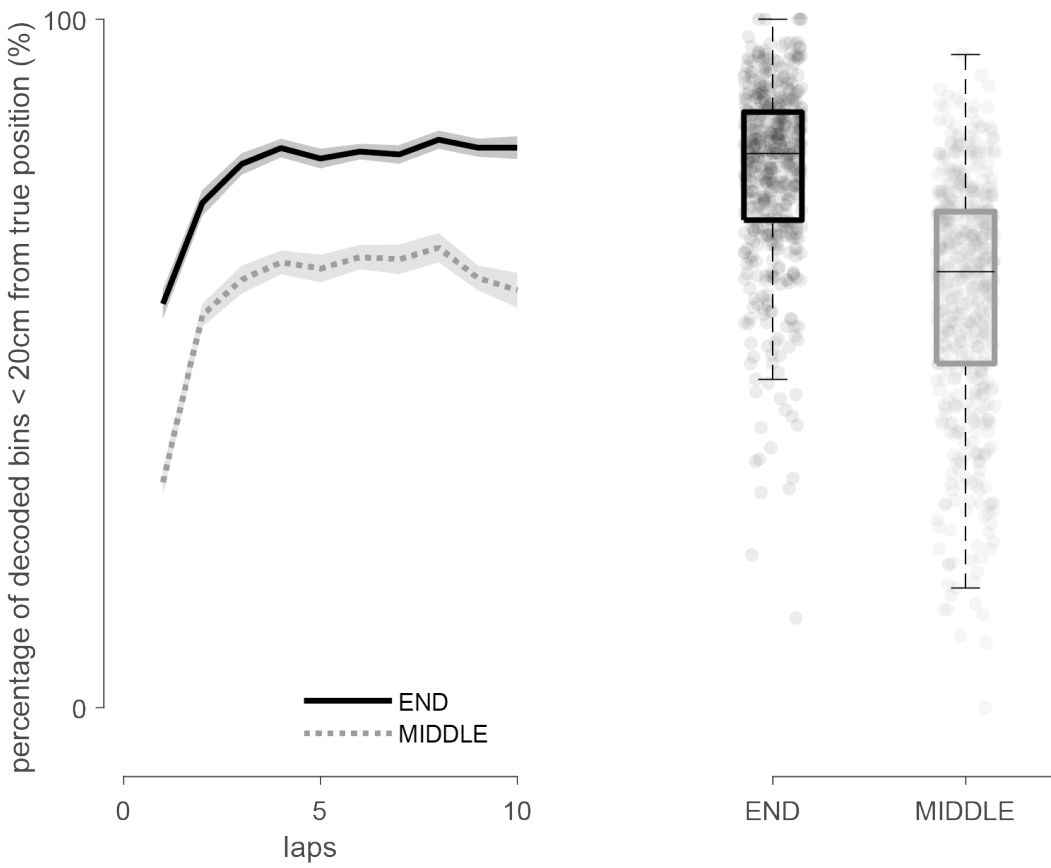


Figure A.14: Left: percentage of decoded bins within 20cm of the animal’s true position as a function of the number of laps on a track, for end and middle zones. Right: boxplot and raw data to the left aggregated over the first 10 laps

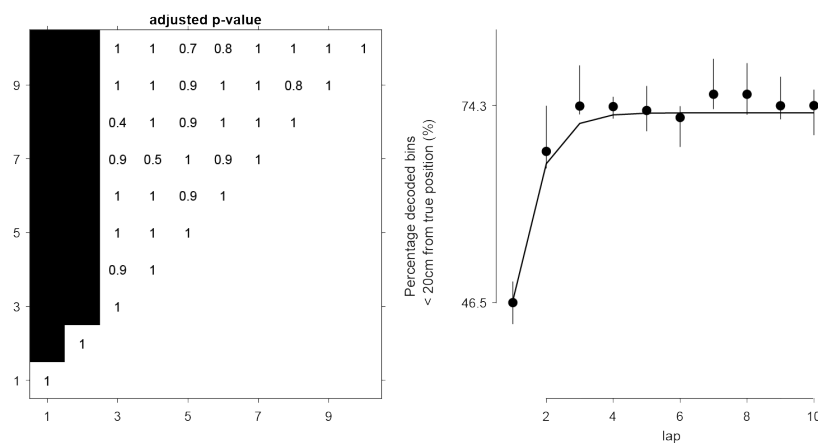


Figure A.15: Left: Holm-Sidak adjusted p-values for between lap differences in the percentage of small position errors, Friedman with post-hoc Conover tests Right: percentage of small position errors for each lap

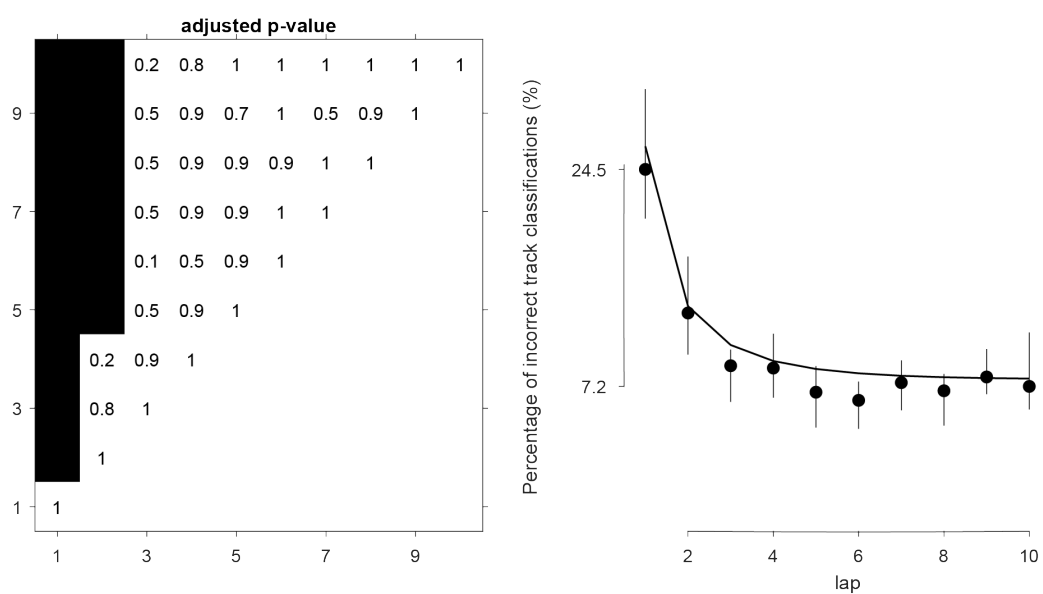


Figure A.16: Left: Holm-Sidak adjusted p-values for between lap differences in the percentage of track classification errors, Friedman with post-hoc Conover tests
Right: percentage of track classification errors over laps

Appendix B

Appendix Chapter 6

Epoch	Comparison	z-score	p-value	tail
Sleep PRE	T2-T1	-1.04	0.29	two-tailed
	T3-T1	-0.21	0.82	two-tailed
	T3-T2	0.84	0.39	two-tailed
Track1	T3-T2	0.02	0.98	two-tailed
	T1-T2	6.23	<0.001	one-tailed
	T1-T3	3.26	<0.001	one-tailed
Rest1	T3-T2	0.02	0.98	two-tailed
	T1-T2	6.23	<0.001	one-tailed
	T1-T3	3.26	<0.001	one-tailed
Track2	T1-T3	2.24	0.012	one-tailed
	T2-T1	4.41	<0.001	one-tailed
	T2-T3	6.50	<0.001	one-tailed
Rest2	T1-T3	3.92	<0.001	one-tailed
	T2-T1	1.6	0.053	one-tailed
	T2-T3	5.61	<0.001	one-tailed
Track3	T2-T1	0.34	0.72	two-tailed
	T3-T1	6.14	<0.001	one-tailed
	T3-T2	5.64	<0.001	one-tailed
Rest3	T2-T1	1.52	0.12	two-tailed
	T3-T1	5.71	<0.001	one-tailed
	T3-T2	3.85	<0.001	one-tailed
sleep POST	T2-T1	-0.12	0.91	two-tailed
	T3-T1	3.27	<0.001	one-tailed
	T3-T2	3.37	<0.001	one-tailed

Table B.1: Summary statistics of recency effects for each epoch.

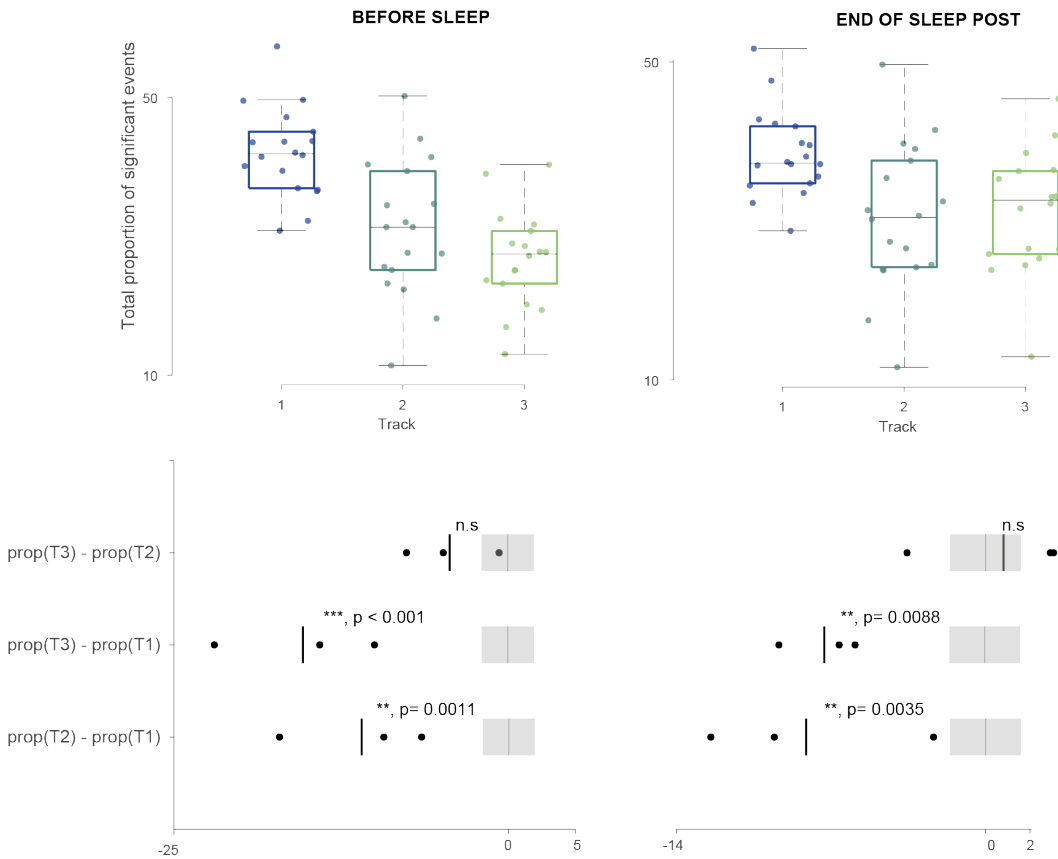


Figure B.1: **Top row:** Boxplot and session data of the proportion of replay events for each track calculated over all session until designated epoch
Bottom row: difference in proportions between track pairs. the black line indicates the observed difference, the grey rectangles are the mean and standard deviations of the bootstrapped label-shuffled distributions. Significance is denoted by an asterisk
Left: up to just before sleep, including Rest3, Right: at the end of the sleep period

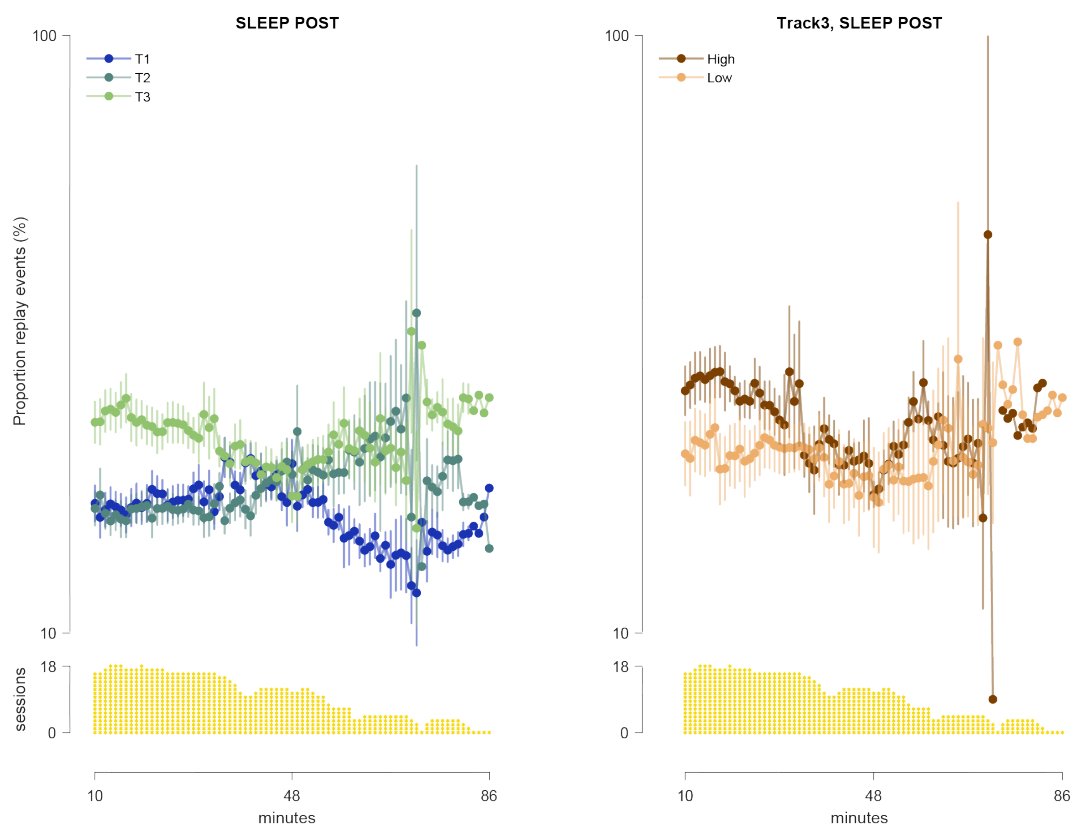


Figure B.2: Top: proportion of significant replay events during sleepPOST with a moving average of 20min and step size of 1min Left: grouped by recency, Right: Track3 grouped by reward.

Bottom: Number of sessions contributing to each time point

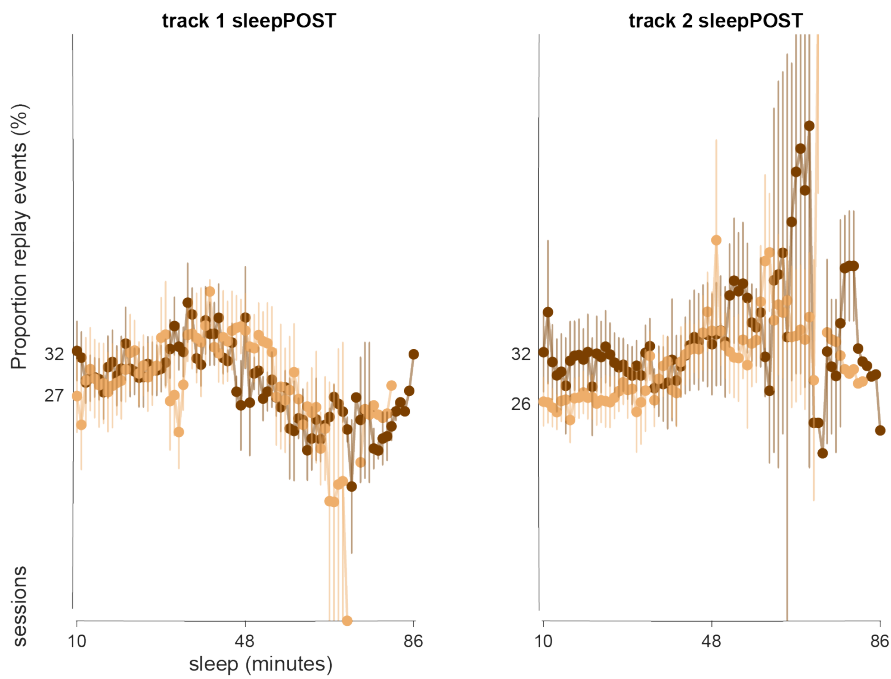


Figure B.3: proportion of significant replay events during sleepPOST with a moving average of 20min and step size of 1min Left: Track1, grouped by reward Right: Track2, grouped by reward.
The number of sessions is similar to B.2

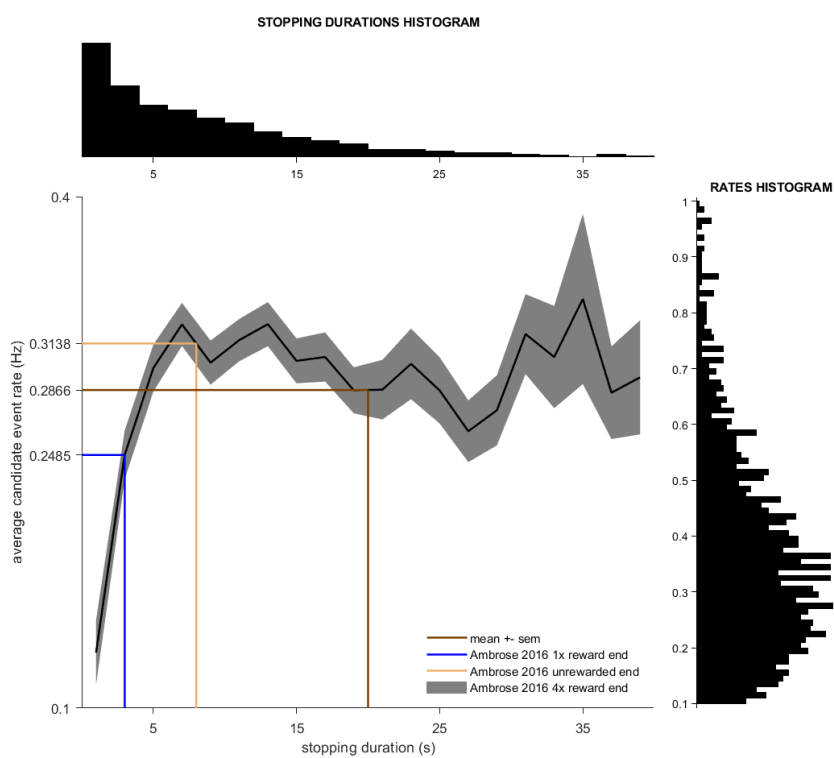


Figure B.4: main plot: average candidate event rate as a function of the stopping duration on the tracks (2s bins). Super-imposed, mean stopping times from Ambrose et al. (2016) for different reward conditions

Top: histogram of stopping durations (>1s). **Right:** histogram of candidate event rate per stopping epoch (>1s)

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