

COMBINATIONAL APPROACHES FOR ANTIMICROBIAL PACKAGING: NATAMYCIN AND NISIN

Rosa J. Jagus¹, Lía N. Gerschenson², Carolina P. Ollé Resa³

¹Laboratory of Industrial Microbiology: Food Technology, Department of Chemical Engineering, FI, UBA. Institute of Technology and Engineering Sciences (INTECIN). FI, UBA. Ciudad Universitaria, (1428) C.A.B.A, Argentina and Av. Paseo Colón 850, C.A.B.A., Argentina.

² Industry Department, FCEN, UBA. Member of the National Research Council (CONICET). Ciudad Universitaria, (1428) C.A.B.A., Argentina.

³ Fellow of CONICET.

ABSTRACT

Food safety is a global priority and one of the major objectives of the current food legislation. The right combination of strategies for food industrialization including the packaging step, ensures the achievement of that objective. New food products and new industrialization processes impose the need for the development of new packaging materials that assure food protection and that address the changing demands of the food industry and of the consumers. The general perception of the importance of minimizing the environmental damage has catalyzed the exploration of new bio-based packaging materials such as biodegradable and edible films because they are environmental friendly. Additionally, consumer demand for more natural foods has promoted the research about natural antimicrobials like natamycin and nisin.

In this chapter, it is reviewed the available information on antimicrobial packaging containing the natural antimicrobials natamycin and nisin simultaneously and, in particular, its antimicrobial effectiveness. According to published and new results evaluated, packaging containing natamycin and nisin is a very efficient strategy to control food contamination. Additionally, the use of biodegradable materials to produce the packaging contributes to environment protection.

Key Words (5-10): food safety, antimicrobial food packaging, natamycin, nisin, bacteria, moulds and yeasts.

29 **INTRODUCTION**

30 Food safety is a global priority and one of the major objectives of the current food legislation.
31 However, food microbiological risks are even today one of the main sources of foodborne illnesses.
32 Additionally, the greatest losses in food are attributed to microbiological alterations, which decrease
33 their shelf life (Quintavalla and Vicini, 2002).

34 The growing consumer demand for minimally processed, more natural, fresh and convenient
35 food products, as well as the continuous changes at industrial distribution levels associated with
36 globalization, carriage major challenges for food safety and quality (Realini and Marcos, 2014).

37

38 ***Packaging***

39 The adequate application of industrialization processes is essential in order to obtain safer
40 products and, packaging is a key step for its achievement.

41 New food products and industrialization processes impose the need for the development of new
42 packaging materials that assure food protection while addressing the changing demands of the
43 industry and consumers.

44 The four basic functions of traditional food packaging are protection, communication,
45 convenience and containment (Yam et al., 2005). Food packaging innovations should be discussed
46 on the basis of their scientific and technological contributions to the basic functions of traditional food
47 packaging, and also on their general contributions towards a more sustainable world, considering the
48 harmful impact of packaging waste (Han, 2014; Vanderroost et al., 2014). As a consequence,
49 packaging innovations should take into account a broad range of sustainability issues such as waste
50 prevention, efficient use of resources, process optimization and recycle, among others. Accordingly,
51 there is an increasing tendency to employ environmental friendly materials with the intention of
52 substituting non-degradable materials, thus reducing the environmental pollution resulting from waste
53 accumulation (Imran et al., 2010). To address the environmental issues, and concurrently optimized

54 the shelf-life and quality of foods, it has begun the exploration of new bio-based packaging materials
55 such as biodegradable and edible films (Burke, 2006; Tharanathan, 2003).

56 Biodegradable polymers, are defined as polymers created from raw materials of agricultural or
57 marine sources and broken down through biological or chemical reactions. They are gaining
58 popularity over plastics materials (Amass et al., 1998; Cha and Chinnan, 2004).

59 Some renewable biopolymers such as polysaccharides, proteins, gums, lipids derived from
60 animal and plant origin (Ray and Bousmina, 2005) and their combination, contribute to the
61 environmental protection while reducing residues from the agro-industry.

62 However, some limitations arise in the use of these biopolymeric films, such as their poor water
63 barrier and weak mechanical properties. Many efforts have been made by the scientist to overcome
64 this shortcoming. In this sense, modification of polymeric structure, blends of protein and
65 polysaccharide, lipid and polysaccharide or lipid and protein, among others, have been evaluated
66 (Delville et al., 2003; Sorrentino et al., 2007). If these biopolymers are edible, packaging produced
67 with them is named as edible films.

68 Active packaging is the most relevant innovative idea applied for consumer satisfaction
69 (Ahvenainen, 2003; Lee et al., 2009). It has been defined as a system in which the product, the
70 package and the environment interact in a positive way to extend the shelf life of product or to achieve
71 some characteristics that cannot be obtained otherwise (Cutter, 2002; Miltz et al., 1995). This type of
72 packaging contributes to the protection function of traditional food packaging. Active packaging sales
73 were nearly \$8.8 billion in 2011 and are expected to grow up to \$11.9 billion in 2017 (BBC, 2013).

74 Addition of antimicrobial agents reduces or prevents the growth of spoilage and pathogenic
75 microorganisms in food (Franssen et al., 2004). They can be either incorporated in foods during their
76 preparation, or applied on their surface (Kim et al., 2002). However, these operations present limited
77 benefit as they result in a rapid loss of antimicrobial activity due to a rapid decrease of active
78 concentration, resulting from interactions with food components and dilution (Ture et al., 2011).

79 Antimicrobial active packaging allows greater efficiency in food protection, offering
80 better stability of antimicrobial agents, and ensuring control of its release over a period of
81 time to maintain the product's quality and safety (Balasubramanian et al., 2009; Guiga et al.,
82 2010). In particular, edible packaging can serve as carrier of antimicrobials compounds,
83 constituting an additional stress factor to be applied for food preservation and contributing to food
84 safety (Reppas et al., 2009). Furthermore, they can also decrease the interaction of antimicrobials
85 with other additives and food components due to its support in a polymeric matrix (Campos et al.,
86 2011). Moreover, the antimicrobial active edible packaging can be a good barrier to post-processing
87 contamination during storage period (Ollé Resa et al., 2013).

88

89 ***Natural antimicrobials***

90 Over the last few years, consumer demand for foodstuff of natural origin (termed as “Bio”), high
91 quality and elevated safety, minimally processed with longer shelf-life and ease-to-eat with a fresh
92 taste and appearance, have turned out to be of cardinal importance (Sobrino-López and Martín-
93 Belloso, 2008). Accordingly, the application of chemical preservatives has been considered as a
94 disadvantage by consumers looking for high quality and more natural foods, and the use of natural
95 antimicrobial compounds from a wide variety of natural sources has been the object of abundant
96 research (Gould, 1997; Tiwari et al., 2009).

97 The applications of natural antimicrobial for food preservation relies heavily on the use of
98 multiple barriers known as “hurdle technology” to minimize the risk of microbial activity and therefore,
99 ensure food safety and improved economic benefit (Gupta et al., 2012; Leistner, 2000).

100 Among natural antimicrobials, nisin is the first antimicrobial peptide with a generally recognized
101 as safe (GRAS) category for food applications by the Joint Food and Agriculture Organization/World
102 Health Organization (FAO/WHO) Expert Committee on Food Additives, and its use in various food
103 products is allowed in several countries (Delves-Broughton et al., 1996). It exhibits antimicrobial

104 activity towards a wide range of Gram positive bacteria, including *L. monocytogenes* (Martins et al.,
105 2010).

106 Nisin is produced by strains of *Lactococcus lactis* subsp. *Lactis* and is widely used as a
107 preservative in food, including dairy products (Al-Holy et al., 2012; Fernández et al., 2014). It displays
108 inhibitory activity towards a broad range of Gram-positive organisms, including *L. monocytogenes*
109 (Martins et al., 2010). Nisin binds electrostatically to the negatively charged phospholipids and
110 increases the permeability of the membrane by pore formation, resulting in rapid efflux of essential
111 intracellular small molecules (Breukink et al., 1997). It also interferes with cell wall biosynthesis.
112 These phenomena are mediated by the ability of nisin to bind lipid II, a peptidoglycan precursor of the
113 bacterial cell wall (Bauer and Dicks, 2005).

114 Natamycin is a natural antimycotic polyene, characterized by the presence of a large
115 macrocyclic lactone ring containing a series of conjugated double bonds and one or more sugar
116 residues (Hammond and Lambert, 1978), with a molecular weight of 665.7Da. It is produced by
117 *Streptomyces natalensis* and, is currently employed in dairy-based food products to prevent yeasts
118 and moulds contamination (El-Diasty et al., 2008; Gallo and Jagus, 2006; Reys et al., 2002).
119 Natamycin has been considered as a GRAS product by the FDA (Koontz et al., 2003) and is also
120 indicated as a natural preservative by the European Union (EEC N° 235). It has been approved as a
121 food additive in over 40 countries.

122 Natamycin kills yeasts by specifically binding to ergosterol but without permeabilizing the plasma
123 membrane. It inhibits vacuolar fusion through the specific interaction with ergosterol (te Welscher et
124 al., 2008, 2010). Therefore, it is active against yeasts and moulds but not against bacteria, viruses
125 and protozoa.

126

127 ***Active antimicrobial films for the control of mixed populations***

128 Recently, the food industry and the research community showed an increasing interest in active
129 packaging supporting natural antimicrobials, with the objective of enhancing food safety. There are

130 several advantages for considering this strategy for antimicrobials application: the incorporation of
131 antimicrobials entrapped in packaging materials helps to decrease the rate of diffusion from the
132 surface to the bulk of the food product, thus assisting in the maintenance of high concentrations of the
133 active ingredient in the surface trend that is positive if the surface is the place where it is required. It
134 can also diminish the interaction with other additives and food components present in the food bulk. In
135 particular, edible matrices with antimicrobial activity constitute a promising form of antimicrobial
136 delivery in the frame of food preservation (Fajardo et al., 2010; Olle Resa et al., 2014a; Pires et al.,
137 2008; Ture et al., 2011).

138 Since food contamination is produced by mixed populations, it is necessary to use effective
139 antimicrobials for bacteria and also mould and yeasts. Several authors developed packaging
140 containing nisin or natamycin (Basch et al., 2013; Cao-Hoang et al., 2010; Fajardo et al., 2010; Ollé
141 Resa et al., 2013; Ramos et al., 2012; Ture et al., 2011). However, scarce data exist in relation to the
142 activity of these natural antimicrobials incorporated together in food packaging.

143 Therefore, the aim of this chapter is: a) to review the available information on antimicrobial
144 packaging containing the natural antimicrobials natamycin and nisin simultaneously concerning
145 physico-chemical and antimicrobial properties; b) To report recent research about the use of edible
146 film containing natamycin and nisin simultaneously as a barrier against a mixed culture in a
147 preservation process for Port Salut cheese.

148

149 **PACKAGING FORMULATION: PHYSICO-CHEMICAL PROPERTIES**

150 The first step in the production of antimicrobial films is the development of the matrix and its
151 characterization. It is also necessary to evaluate the effect produced by the incorporation of
152 antimicrobials on the properties of the film.

153 **Hanušová et al. (2010)** developed a coextruded polyamide/polyethylene film, coated by
154 polyvinylidene chloride lacquer, containing natamycin (Delvocid®, DSM, The Netherlands) and nisin

155 (Nisaplin®, Danisco A/S, Denmark). This film named INVOS, was prepared with a flexography
156 printing machine under industrial scale conditions. The final thickness of the film was $5.0\pm 0.9\ \mu\text{m}$, with
157 a concentration of 16.7% w/w of each antimicrobial. The authors studied the natamycin migration from
158 the film to distilled water at 23°C during 48 h, and informed a maximal level of migration of about
159 $0.83\pm 0.04\ \text{mg}/\text{dm}^2$. The migration of nisin was evaluated from the packaging film into acidified
160 physiological solution in the same conditions as mentioned for the natamycin migration test. The
161 maximal level observed was in this case, about $800\pm 7\ \text{IU}/\text{dm}^2$, where IU means international units.
162 The results indicated that both antimicrobials could be released from the synthetic lacquer coating on
163 the polymer packaging film.

164 Pires et al. (2008) designed an active film containing antimicrobials with a good biodegradability.
165 The authors employed cellulose derivative polymer as the matrix material, and nisin (Christin®, Crh.
166 Hansen A/S, Denmark) and natamycin (Natamax®, Danisco A/S, Denmark) as antimicrobials. They
167 applied the casting technique to produce the films, and analysed the film thickness, the mechanical
168 properties and the microscopic characteristics by scanning electron microscopy. Additionally, the
169 authors studied the diffusion of the antimicrobials contained in the film into mozzarella cheese.

170 The results indicated that the films containing nisin or its combination with natamycin, presented
171 a lower tensile strength and elongation to break than the control film (without antimicrobials). While
172 this last film showed a homogeneous structure, films containing antimicrobials presented a non-
173 uniform distribution of the antimicrobial crystals.

174 The quantification of natamycin in the cheese slices that were in contact with film containing
175 only this antimicrobial, indicated that natamycin diffused from the film within 3 days of contact with the
176 cheese, maintaining its concentration in the cheese during the storage period. Additionally, cheese
177 samples in contact with film containing both antimicrobials, showed higher concentration of natamycin
178 in the mozzarella cheese along the storage. The authors hypothesized that the nisin included in the
179 film matrix, could be embedded into the polymer chains, increasing the space between them and as a
180 consequence, facilitating the diffusion of the natamycin molecules to the cheese. On the contrary,

181 based on chromatographic studies, nisin was not detected in sliced cheese in contact with films
182 containing one or two antimicrobials, indicating a strong interaction between nisin and the film matrix.
183 The most abundant bibliographic information about packaging containing natamycin and nisin
184 involves edible films. **Pintado et al. (2010)** developed a whey protein isolate based edible film,
185 containing glycerol or sorbitol as plasticizer. Nisin (Nisaplin®, Danisco A/S, Denmark) and natamycin
186 (Natamax® Salt, Danisco Beaminstewr Ltd., UK) were incorporated as antimicrobials with a final
187 concentration in the film solution of 50 IU nisin/ml and 0.002 or 0.005 g of natamycin/ml. They used
188 hydrochloric acid or malic acid to decrease the solution pH. Film solution was homogenized and
189 aseptically spread on 90 mm diameter disposable plates. The plates with film solution were dried at
190 23°C and 50% relative humidity. Afterwards, the films were peeled out from the plates and stored
191 under these conditions. Film thickness, water vapor permeability (WVP), mechanical and rheological
192 properties were evaluated. The authors informed that the designed edible films could be a carrier of
193 the two antimicrobials without compromising the mechanical properties of the films.

194 **Ollé Resa et al. (2014a)** prepared control edible films with a mixture of starch, glycerol and
195 water (2.5:1:46.5 in weight). For preparing the film with antimicrobials, part of the water was replaced
196 by natamycin (Delvolid® Salt, DSM, The Netherlands) and nisin (Nisin®, DSM, The Netherlands)
197 solutions for obtaining a final concentration of 9.25 mg natamycin/dm² of film and 2.31 mg nisin/dm² of
198 film respectively. In all cases, after starch gelatinization, the slurry was dispensed in plates and dried
199 at 37 °C during 48 h in a convection chamber. Afterwards, films were peeled off from plates, and
200 equilibrated to a water activity of 0.575 before characterization.

201 The authors compared the control film with the film containing antimicrobials in relation to their
202 physicochemical properties, roughness and hydrophobicity. They observed that the addition of
203 antimicrobials lowered the stress at break and increased the strain at break. This phenomenon can be
204 attributed to the plasticizing action of the antimicrobials (Ramos et al., 2012). Also the Young modulus
205 diminished in the presence of these antimicrobials and the authors attributed this trend to nisin action.

206 **Basch et al. (2013)** observed that nisin addition in a film of tapioca starch and

207 hydroxypropylmethylcellulose, produced a decrease in the Young modulus. Ollé Resa et al. (2013)
208 informed that the presence of natamycin in tapioca starch films did not significantly change this
209 parameter.

210 Non significant differences between water vapor permeability values of films with or without
211 antimicrobials were reported in this study.

212 Since the contact angle is the most common measure of wettability or surface hydrophobicity
213 (Muscat et al., 2013), the authors studied this parameter and observed that the presence of the
214 antimicrobials in the designed films increased the contact angle, indicating an increase in the surface
215 hydrophobicity and also a reduced wettability. The roughness value, which is related to the surface
216 irregularities, presented significant differences for control and antimicrobial films and this behavior
217 was attributed to the presence of nisin. Also La Stora et al. (2008) informed an important increase of
218 the surface roughness in a polyethylene film with the presence of nisin.

219

220 **PACKAGING FORMULATION: ANTIMICROBIAL ACTIVITY**

221 Hanušová et al. (2010) tested the antimicrobial capacity of non-biodegradable INVOS film
222 containing natamycin and nisin, against selected microorganisms, on agar media and on two
223 traditional Czech cheeses. They observed that the tested film was able to inhibit *Penicillium*
224 *expansum*, *Fusarium culmorum* and also *Lactobacillus helveticus* in agar media. When the authors
225 tested the Blatácké zlato cheese packaged in the INVOS film, they observed that the aerobic
226 sporforming bacteria *Bacillus cereus*, present in the surface of the cheese, were inhibited during 28
227 days of storage at 23°C. Also the INVOS film prevented the growth of *Penicillium expansum* in the
228 surface of the cheese. These results proved that the antimicrobials natamycin and nisin could be
229 released from the tested film, and could inhibit sensible microorganisms. On the contrary, the total
230 bacteria count increased on the surface of the cheese packaged with the film without antimicrobials
231 and stored in the same condition.

232 However, the INVOS film was inefficient in Olomoucké tvaruzky, a surface ripened cheese, to
233 inhibit *Listeria monocytogenes* AW2007, originally isolated from this type of cheese. Moreover, the
234 authors observed that the antimicrobial nisin released from the film inhibited the culture
235 microorganisms responsible for the ripening process. As a consequence, the cheese did not ripe and
236 remained hard at the end of the storage.

237 Pires et al. (2008) evaluated the antimicrobial capacity of a biodegradable cellulose derivative
238 polymer based film, containing natamycin and nisin. The authors studied the *in vitro* efficacy against
239 *Staphylococcus aureus*, *Listeria monocytogenes*, *Penicillium* sp. and *Geotrichum* sp. They spread 0.1
240 ml of suspension of each microorganism tested onto the adequate media. Circular samples of the
241 antimicrobial film and of the control film were placed over the culture media. Afterwards, the plates
242 were incubated at $35\pm 2^{\circ}\text{C}$, 24-48 h or $23\pm 2^{\circ}\text{C}$ and 3-5 days for bacteria and moulds, respectively.
243 The diameter of the halos or its absence indicated the antimicrobial efficacy of the films.

244 The films containing nisin or both antimicrobials presented a zone of inhibition of 2.7 cm in the
245 case of *S. aureus*. These films also inhibited *L. monocytogenes*, but the inhibition was only visible in
246 the contact area between the film and the culture media. Since the formation and the size of the halo
247 depends on the diffusion of the antimicrobial into the culture media and the microorganism growth
248 rate, the authors hypothesize that the difference observed between the two microorganisms tested,
249 could be attributed to the unequal diffusion of the antimicrobial (nisin and natamycin) and sensitivity of
250 each microorganism. The antimicrobial film produced halos of 4.8 and 2.3 cm in diameter when tested
251 the effectiveness against *Penicillium* sp. and *Geotrichum* sp. respectively.

252 Additionally, the authors also investigated the film antimicrobial activity in refrigerated sliced
253 mozzarella cheese, considering that the product could be contaminated as a consequence of a poor
254 hygienic control of the equipment during the slicing process. They placed the films (control and
255 antimicrobial film) between two slices of cheese, sealed the system in bags of polyethylene/polyamide
256 laminate, and stored at 12°C . The samples were analysed at different times between 0 and 15 days,
257 for *Staphylococcus* sp., moulds and yeasts, and psychrotrophic bacteria. The authors informed that

258 the antimicrobial film was able to inhibit moulds and yeasts growth through 9 days of storage,
259 improving the shelf life of the cheese in 6 days compared with the control film. However, after 12 days
260 of storage no difference between this film and the control film was observed. Also, the antimicrobial
261 film extended the lag phase of psychrotrophic bacteria for 6 days, but did not produce a decrease in
262 count along the storage. Since nisin is effective against gram-positive bacteria, and the group of
263 psychrotrophic bacteria include also gram-negative ones, it is possible to expect a low efficiency.
264 Moreover, the antimicrobial film was not able to inhibit *Staphylococcus sp.* growth. In this case, the
265 authors attributed the inefficacy of the film to the insufficient diffusion of nisin from the film into the
266 cheese.

267 As previously mentioned, bibliographic information about antimicrobial packaging containing
268 natamycin and nisin, is fundamentally constituted by edible films. Pintado et al. (2010) evaluated
269 through a diffusion type assay, the antimicrobial activity of whey protein film containing natamycin and
270 nisin, against spoilage and pathogenic microorganisms isolated from cheese surface. They informed
271 that *Yarrowia lipolytica* and *Penicillium* spp. were inhibited by the film containing both antimicrobials,
272 being the zones of inhibition independent of the acid used to lower the pH of the film solution. On the
273 contrary, *Listeria monocytogenes* presented a higher inhibition zone when the film contained malic
274 acid (3.3mm) instead of hydrochloric acid (0.6mm). No inhibitory effect was observed against
275 *Pseudomonas aeruginosa*, which is nisin resistant (Thomas et al., 2000). The authors compared the
276 film containing the two antimicrobial with films containing each antimicrobial alone. They observed
277 that there was a differentiated target and an independent action of each antimicrobial, and concluded
278 that the designed films presented a positive effect against *L. monocytogenes*, *Y. lipolytica* and
279 *Penicillium* spp., and proposed to evaluate the effectiveness of these films for wrap cheese.

280 Ollé Resa et al. (2014b) studied the effectiveness of natamycin and nisin supported together in
281 tapioca starch films (namely NANI), against *Saccharomyces cerevisiae* and *Listeria innocua*, present
282 in a model system and in a Port Salut cheese. Moreover, they compared the performance of the
283 tested microorganisms as simple and mixed culture.

284 The authors applied the agar diffusion method to determine the antimicrobial effect of the film
285 with and without antimicrobials (NANI and CONTROL), against the tested microorganisms in an agar
286 food model. They observed that the film CONTROL could not inhibit the microorganism growth at the
287 interface of the film and the agar, indicating that starch and glycerol did not exert an antimicrobial
288 effect. On the contrary, when the antimicrobial film was tested in TSYE agar (tryptone soya with yeast
289 extract, unrestricted agar), two halos were formed. The authors informed that the smallest diameter
290 corresponded to the inhibition of bacteria growth and the largest to the one of yeast, being both
291 diameters similar to that observed for simple cultures of each microorganism. Therefore, they
292 concluded that the bioavailability of one antimicrobial is not affect by the presence of other
293 antimicrobial.

294 Also, the authors evaluated the effectiveness of the designed films in a commercial Port Salut
295 cheese. The cheese was inoculated with the mixed culture of *S. cerevisiae* and *L. innocua* by
296 spreading on its surface. Afterwards, the films CONTROL and NANI were placed on the inoculated
297 cheese and stored at 25 °C. Additionally, a cheese with a direct application of a solution containing the
298 same antimicrobial concentrations as the film was evaluated. The film without antimicrobial could not
299 inhibit the microorganisms present in the cheese surface. However, for *L. innocua*, the film NANI and
300 the direct application, showed counts lower than 10 CFU/ml till the end of the storage (196 h).
301 Furthermore, these treatments decreased the initial *S. cerevisiae* count reasuming afterwards the
302 growth, but at the end of the storage, the film NANI was the most effective one.

303 When the researchers studied the effectiveness of the antimicrobial film to prevent the post-
304 processing contamination of the model system (barrier properties of the film) by a mixed culture, they
305 observed that both films (CONTROL and NANI), prevented the contamination of the agar along the
306 entire storage period. However, only the film NANI inhibits the growth of *S. cerevisiae* and controls the
307 growth of *L. innocua* on the film, providing a safer product for the consumer. Additionally, in order to
308 simulate a contamination along different stages of food storage, the authors developed an assay in
309 which the inoculum was dispensed on the agar at 0 d and 5 d of film-agar contact. The initial and

310 surviving number of viable cells at different storage times after inoculation was evaluated in the films.
311 The results showed that natamycin present in the film NANI was effective in preventing an external
312 contamination of *S. cerevisiae* even after 5 d of contact at 25 °C. On the other hand, the efficacy of the
313 nisin present in film NANI, changed with the contact period, being extremely effective when the
314 contamination was produced initially. However, when contamination occurred after 5 d of contact, the
315 bacteria presented an initial reduction of counts followed by a regrowth. Also, all the films prevented
316 the contamination of the agar.

317

318 **RECENT DEVELOPMENTS CONCERNING ANTIMICROBIAL EDIBLE FOOD PACKAGING** 319 **CONTAINING NATAMYCIN AND NISIN**

320 Although there is information about barrier properties of edible films containing antimicrobials in
321 model systems, scarce data exist in relation to the behavior of the films containing natamycin and
322 nisin in real foods and against a mixed culture.

323 Jagus, Gerschenson and Ollé Resa evaluated these properties for an edible tapioca starch film,
324 containing natamycin and nisin, in a commercial cheese. The usefulness of films proposed as barriers
325 was tested against a mixed culture.

326 The films were prepared with and without antimicrobials. Starch (Industrias de Maiz S.A.,
327 Argentina), glycerol (Mallinkrodt) and water (2.5:1:46.5 w/w) were mixed to obtain the control film (C).
328 For antimicrobial film (FNANI) preparation, part of the water was replaced by the antimicrobial solution
329 to obtain a final concentration of 9.25 mg natamycin/dm² of film (Delvolid® Salt, DSM, The
330 Netherlands), and 2.31 mg nisin/dm² of film (Nisin®, DSM, The Netherlands). The assay was
331 performed using Port Salut (La Serenísima®, Argentina) cheese samples.

332 The application technique was adapted from the one designed by Ollé et al. (2014a). Briefly,
333 disks of 1.0 cm diameter were cut from films C and FNANI, and brought in contact with the surface of
334 the cheese. Then, 10 µl of the mixed culture (*Sacharomyces cerevisiae* CBS 1171, strain collection
335 SC and *Listeria innocua*, CIP 80.11), containing 10⁶ CFU/ml each, were dispensed on the film disk. A

336 commercial film (Cryovac ®, Sealed Air Argentina S.A.) named CF and a direct application (DA) with
337 a solution containing the same antimicrobial concentrations as film FNANI were also assayed.

338 To evaluate the barrier activity of the films it is necessary to know whether or not the films allow
339 the passage of pollution into the cheese, and if simultaneously, this film can reduce or eliminate
340 contamination that occurred on its surface during storage. With this objective, samples (cheese with
341 and without treatments) were incubated at 25 °C for 168 h and, the viability of the microorganisms in
342 the film and in the cheese were periodically evaluated. Enumeration of colonies was performed in
343 agar YGC (Biokar Diagnostics, France) and agar Oxford (Biokar Diagnostics, France), and
344 microorganism's growth was expressed as log CFU/ml. Determinations were made in duplicate in two
345 separate experimental runs.

346 Survival of *S. cerevisiae* present in a mixed culture, inoculated on the surface of various films
347 and stored at 25 °C, is shown in **Figure 1**. Microbial analysis for the films is presented in **Figure 1a**.
348 The films without antimicrobials (C and CF) allowed the growth of yeast on its surface. On the other
349 hand, the film containing natamycin and nisin (FNANI) had fungicidal effect from the first 24 hours and
350 until the end of storage (216 hours). Other authors have previously reported the ability of films based
351 on different hydrocolloids and containing natamycin for acting as a barrier to external mycotic
352 contaminations. Ollé Resa et al. (2013), studied a tapioca starch based film containing natamycin and
353 observed that the preservative was available to prevent an external contamination of *S. cerevisiae*
354 and that the antimycotic effect exerted by the films depended on the natamycin content. Also Ramos
355 et al. (2012) studied the efficacy of films produced from whey protein isolate containing natamycin as
356 antimicrobial agent and observed that the natamycin incorporated in the film led *Y. lipolytica* to
357 depletion within 3 h of storage at 30 °C.

358 Microbial analysis for Port Salut cheese (with and without film) is presented in **Figure 1b**. Only
359 for comparison, a cheese without inoculum and without film (CH), and an inoculated cheese without
360 film (ICH), were evaluated. The inoculated cheese without film (ICH) showed an initial yeast count of
361 4 log cycles, increasing 3 log cycles in 48 hs. The CH shows yeast counts below 10 log CFU/ml until

362 72 hours of storage; from that time and on, the yeast resumed the growth achieving at the end of
363 storage a value of 6 log cycles, a level similar to ICH trend that revealed the presence of native flora.
364 Covered cheeses with films C and CF showed a yeast count similar to cheese CH, indicating that
365 these films did not allow the passage of contaminant yeast. However they did not exert any
366 antimicrobial effect on native yeast present in cheese evaluated. The cheese covered with film FNANI
367 presented a count lower than 10 log CFU / ml throughout the trial, without allowing the growth of
368 native flora. Instead, the cheese with the direct application of antimicrobials (DA) produced the
369 reduction of yeast counts immediately to less than 10 log CFU/ml, maintaining this value until 168
370 hours. Finally, yeast resumed growth reaching a value of 2.5 log cycles at 216 hours.

371 **Figure 1**

372 Survival of *L. innocua* present in a mixed culture, inoculated on the surface of various films and
373 stored at 25 °C, is shown in **Figure 2**, and microbial analysis for the films is presented in **Figure 2a**.
374 The films without antimicrobials (C and CF) allowed the growth of the bacteria on its surface,
375 presenting a bacteria count of 7 log cycles at the end of the storage. Conversely, film containing
376 natamycin and nisin (FNANI) presented a bactericidal effect throughout the experiment. Basch et al.
377 (2011) have previously reported the antimicrobial activity of edible films based on tapioca starch and
378 HPMC and containing nisin. They reported that this film produced a rapid decrease of the inoculated
379 *L. innocua*, reaching at the end of the storage a population 5 log cycle lower than the films without
380 antimicrobials.

381 Microbial analysis for Port Salut cheese (with and without film) is presented in **Figure 2b**. Again,
382 a cheese without inoculum and without film (CH), and an inoculated cheese without film (ICH), were
383 tested. The inoculated cheese without film shows an initial bacterial count of 4.5 log cycles which
384 increased its value acquiring at the end of storage a value of 7 log cycles. The CH shows bacterial
385 counts below 10 log CFU/ml over the entire storage. This result demonstrates the good quality of the
386 cheese, showing absence of *Listeria* spp. Covered cheeses with films CF, C and FNANI showed
387 similar bacterial count to that obtained for the cheese CH without inoculum and without film (less than

388 10log CFU/ml throughout all storage), indicating that these films did not allow the passage of
389 contaminant bacteria. The cheese with the direct application of antimicrobials (DA) reduced the count
390 of *L. innocua* immediately and kept it lower than 10log CFU/ml up to 48 hours of storage. Then, the
391 bacteria resumed its growth reaching a value of 3 cycles log and maintaining that value until the end
392 of storage.

393 **Figure 2**

394 These results indicate that all films (CF, C and FNANI) acted as a barrier to post-processing
395 contamination. This means that the presence of these films prevented the access of the
396 contaminating microorganism to the food. However, it is noteworthy that only the film containing both
397 antimicrobials (FNANI) inhibited the development of mixed culture throughout the entire storage.
398 Additionally, this film inhibited the growth of yeasts originally present in the cheese studied. These
399 results are demonstrating that the film FNANI is an extremely effective method to control the
400 population of microorganisms present on both sides of the film that is, between the cheese surface
401 and the film and on the surface of the film, enabling to offer the consumer a safer product.

402 Since edible films are consumed with food, it is important that they are free of microorganisms,
403 which highlights the film containing natamycin and nisin as the most appropriate edible film. In the
404 case of commercial film, it is removed at the time of cheese consumption, but this process can result
405 in contamination of the food if the packaging film does not have adequate microbiological status, fact
406 that allow to conclude that the barriers capability of the film is not enough to ensure the
407 microbiological safety of the cheese.

408

409 **CONCLUSION**

410 The overall results presented in this chapter indicate that the inclusion of natamycin and nisin in
411 the packaging modifies the physico-chemical properties of the materials, trend that must be
412 considered because it can compromise the packaging performance. According to bibliography, the
413 inclusion of these antimicrobials gives origin to an efficient hurdle to control microbial contamination

414 during process or post-process. New results reported showed that an edible packaging based on
415 tapioca starch is a very interesting and efficient method to control microbial food contamination
416 produced by mixed cultures of *Saccharomyces cerevisiae* and *Listeria innocua* in cheese during post-
417 processing.

418

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557

558 **Figure 1:** Effectiveness of different treatments applied on Port Salut cheese against an external
559 contamination by a mixed culture containing *Saccharomyces cerevisiae*. Commercial film (CF), edible
560 Control (C), film containing natamycin and nisin (FNANI), solution containing natamycin and nisin
561 (direct application, DA), cheese without inoculum and without film (CH), and inoculated cheese
562 without film (ICH). a: microbiological analysis of the films evaluated, b: microbiological analysis of the
563 Port Salut cheeses.

564

565

566 **Figure 2:** Effectiveness of different treatments applied on Port Salut cheese against an external
567 contamination by a mixed culture containing *Listeria innocua*. Commercial film (CF), edible Control
568 (C), film containing natamycin and nisin (FNANI), solution containing natamycin and nisin (direct
569 application, DA), cheese without inoculum and without film (CH), and inoculated cheese without film
570 (ICH). a: microbiological analysis of the films evaluated, b: microbiological analysis of the Port Salut
571 cheese.

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