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Variably improved microbial source tracking with digital droplet PCR

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1 *Variably Improved Microbial Source Tracking with Digital Droplet PCR*

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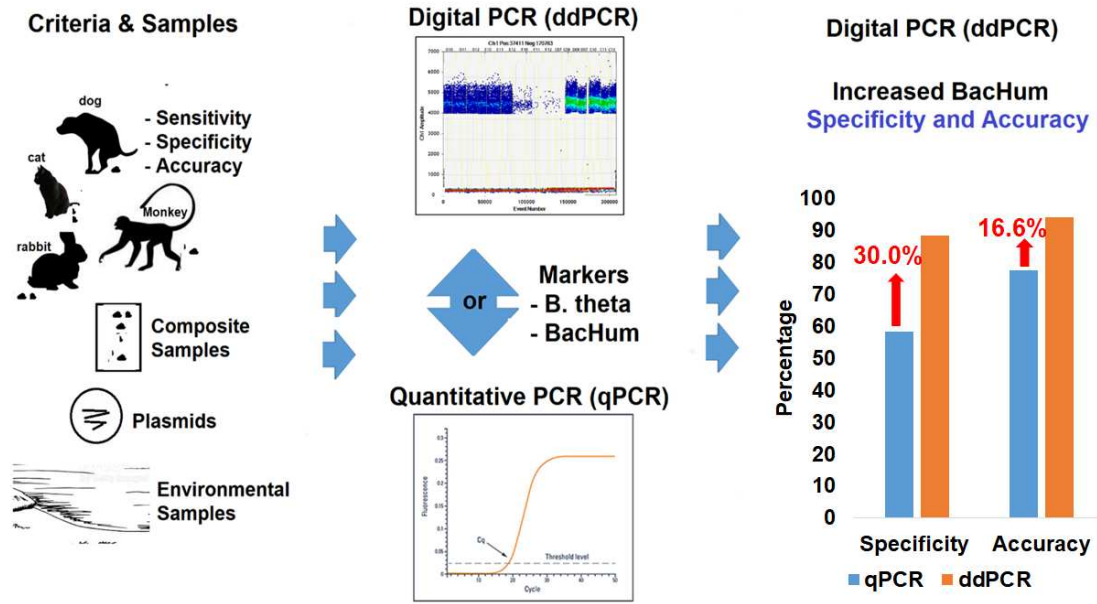
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26 **Highlights:**

- 27 1. Digital droplet (dd) PCR was validated for *Bacteroidales*-based microbial source
28 tracking
- 29 2. Sensitivity of quantitative (q) PCR for *Bacteroidales* human markers in feces was
30 superior to ddPCR
- 31 3. Assay specificity and reproducibility in feces by ddPCR were greater than or nearly
32 equal to those by qPCR
- 33 4. qPCR and ddPCR platform performance may vary with assay

34 Graphical Abstract



35

36 **Abstract**

37 This study addressed whether digital droplet PCR (ddPCR) could improve sensitivity and
38 specificity of human-associated *Bacteroidales* genetic markers, BacHum and B.theta, and
39 their quantification in environmental and fecal composite samples. Human markers were
40 quantified by qPCR and ddPCR platforms obtained from the same manufacturer. A total of
41 180 samples were evaluated by each platform including human and animal feces, sewage,
42 and environmental water. The sensitivity of ddPCR and qPCR marker assays in sewage and
43 human stool was 0.85 to 1.00 with marginal reduction in human stool by ddPCR relative to
44 qPCR (<10%). The prevalence and distribution of markers across complex sample types
45 was similar (74-100% agreement) by both platforms with qPCR showing higher sensitivity
46 for markers in environmental and composite samples and ddPCR showing greater
47 reproducibility for marker detection in fecal composites. Determination of BacHum
48 prevalence in fecal samples by ddPCR increased specificity relative to qPCR (from 0.58 to
49 0.88) and accuracy (from 0.77 to 0.94), while the B.theta assay performed similarly on both
50 platforms (specificity = 0.98). In silico analysis indicated higher specificity of ddPCR for
51 BacHum was not solely attributed to reduced sensitivity relative to qPCR. Marker
52 concentrations measured by ddPCR for all sample types were consistently lower than those
53 measured by qPCR, by a factor of 2.6 ± 2.8 for B.theta and 18.7 ± 10.0 for BacHum. We
54 suggest that differences in assay performance on ddPCR and qPCR platforms may be linked
55 to the characteristics of the assay targets (that is, genes with multiple versus single copies
56 and encoding proteins versus ribosomal RNA) however further work is needed to validate
57 these ideas. We conclude that ddPCR is a suitable tool for microbial source tracking,
58 however, other factors such as cost-effectiveness and assay-specific performance should be
59 considered.

60 **Keywords:** Microbial source tracking, digital droplet PCR, quantitative PCR, genetic
61 markers

62 1. Introduction

63 Several significant technological advances have improved the application of microbial
64 source tracking (MST) to identify origins of fecal contamination in waterways and water
65 bodies. Use of the polymerase chain reaction (PCR) to identify genetic markers in
66 environmental samples accelerated the development of cultivation-independent methods for
67 MST. PCR-based MST was improved by adoption of real-time quantitative PCR (qPCR) that
68 enabled quantification of targeted fecal sources (Dick and Field, 2004, McQuaig et al., 2009,
69 Seurinck et al., 2005, Bernhard and Field, 2000). This improvement led to the development of
70 multiple qPCR assays to determine the relative contributions from humans and various animal
71 sources of fecal contamination (Shanks et al., 2008, Shanks et al., 2009, Kildare et al., 2007).
72 However, uncertainty in qPCR has been linked to poor MST performance. For example, recent
73 MST studies using qPCR have reported challenges with quantification errors due to PCR
74 inhibition (Noble et al., 2010, Cao et al., 2012), variability in standard curves and low
75 reproducibility over time (Shanks et al., 2012), performance variability associated with
76 different sources of cellular reference materials and reagents (Cao et al., 2013), or different
77 batches of reagents from the same vendor (Sivaganesan et al., 2011), and lack of consistent
78 standard material (Cao et al., 2013). Recently, the United States Environmental Protection
79 Agency (USEPA) approved two qPCR methods as standard methods for water quality
80 assessment (USEPA, 2013, USEPA, 2012a, USEPA, 2012b) highlighting the utility of the
81 technology despite these concerns.

82 Introduction of a next-generation quantitative tool, the digital droplet PCR (ddPCR)
83 (Pineiro et al., 2012, McDermott et al., 2013, Hindson et al., 2013) promises to address some
84 limitations of qPCR and further improve the implementation of MST. The workflow for ddPCR
85 includes partitioning the reagent mix containing a DNA binding fluorescent dye into thousands

86 of individual reaction droplets in an oil emulsion followed by amplification (McDermott et al.,
87 2013). The target concentration is determined by counting the droplets that score a positive or a
88 negative for target amplification based on fluorescence of the DNA-binding dye. The
89 distribution of positive droplets is processed using Poisson statistics to generate concentrations
90 of the target in each sample without the use of a standard curve (Hindson et al., 2011).

91 Several reports indicate ddPCR is superior to qPCR in terms of sensitivity, specificity,
92 accuracy, reproducibility near the limit of detection, and an overall reduction of inhibitor
93 effects (Pinheiro et al., 2012, McDermott et al., 2013, Hindson et al., 2013, Whale et al., 2013).
94 These characteristics have led to the application of ddPCR for monitoring bacterial and viral
95 targets in multiple fields including medicine (Hayden et al., 2013), food safety (Floren et al.,
96 2015, Morisset et al., 2013) and water quality (Racki et al., 2014, Cao et al., 2015, Te et al.,
97 2015). Given its increasing application to water quality assessment (Cao et al., 2015, Te et al.,
98 2015, Nshimiyimana et al. 2018), we sought to evaluate whether ddPCR delivered significant
99 improvements relative to qPCR for performance of two *Bacteroidales* assays recently validated
100 for MST in tropical environments.

101 Human-associated *Bacteroidales* genetic marker assays targeting 16S ribosomal RNA
102 or functional genes have been developed for MST to identify, quantify and monitor levels of
103 human fecal pollution by qPCR (Yampara-Iquise et al., 2008, Shanks et al., 2009, Shanks et al.,
104 2010, Sauer et al., 2011, Green et al., 2014, Molina et al., 2014, Kildare et al., 2007).
105 *Bacteroidales* were preferred due to their ubiquity in human and animal guts, and because their
106 obligate anaerobic metabolism limits survival in surface water environments (Fogarty and
107 Voytek, 2005). Validation of human-associated *Bacteroidales* 16S rRNA genetic markers
108 requires testing markers against fecal samples from animals and humans to determine the
109 sensitivity and cross-reactivity of the assays. Fecal samples, and environmental water samples,

110 are often associated with high levels of inhibitors and low levels of targets (near the limit of
111 detection) leading to quantification errors by qPCR (Grgicak et al., 2010, Cao et al., 2012). In
112 this study, we compared ddPCR and qPCR-based detection and quantification of the human-
113 associated *Bacteroidales* markers B.theta and BacHum using assay conditions established by
114 previous multi-laboratory validation for qPCR (Kildare et al., 2007, Yampara-Iquise et al.,
115 2008, Odagiri et al., 2014, Nshimiyimana et al., 2017a) and conditions recommended by the
116 manufacturer for compatibility with emulsion chemistry for ddPCR. Environmental and fecal
117 composite samples were characterized to compare assay performance in complex samples and
118 we determined how the sensitivity, specificity, and accuracy of ddPCR compared to qPCR
119 using fecal samples collected from human volunteers, wild and domestic animals common in
120 Southeast Asia (Nshimiyimana et al., 2017a), and sewage of mixed origin.

121 2. Methods

122

123 2.1 Sample selection and preparation

124 A total of 180 genomic DNA (gDNA) samples were extracted from human and animal
125 fecal samples (n=105) and sewage samples (n=20) previously used in a validation study of
126 *Bacteroidales* markers for microbial source tracking in Southeast Asia (Nshimiyimana et al.,
127 2017a), environmental water samples collected in tropical urban residential areas (n=20), and
128 composite samples containing both human and animal feces (n=35). Animal fecal samples were
129 obtained from domesticated animals (cats n=10, rabbits n=10, dogs n=10 and chickens n=10);
130 wild animals (wild boars n=10, monkeys n=10, and birds n=10); while 35 human stool samples
131 were obtained from volunteers as previously described (Nshimiyimana et al., 2017a).
132 Environmental water samples were filtered onto a 0.22- μ m membrane Sterivex brand cartridge
133 filter (MilliporeSigma, Burlington, MA, USA) followed by DNA extraction, using the
134 PowerFecal[®] kit (Mo Bio, Carlsbad, CA, USA) and OneStep[™] PCR inhibitor removal kit
135 (Zymo Research Cops, Irvine, CA, USA) as described in (Nshimiyimana et al., 2017b).
136 Procedural control blanks consisted of Sterivex filters that were subjected to DNA extraction
137 and were identified as NTD (no-target detected) in all downstream analyses. The concentration
138 of each DNA sample was measured by NanoDrop nd-1000 (NanoDrop Technologies,
139 Wilmington, DE, USA) and was diluted to a concentration of 2 ng/ μ L using real-time PCR
140 grade water (Qiagen, Hilden, Germany) for use throughout this study. Composite fecal samples
141 (n=35) were prepared under a blind mixing protocol where aliquots from human and animal
142 fecal DNAs were combined by one member of the study team at a volumetric ratio of
143 approximately 1:1, while the identities and compositions of the mixtures remained unknown to
144 the rest of the team until after qPCR- and ddPCR-based quantification was complete. The

145 expected detection of markers in composite samples was determined based on marker levels
146 measured in the individual samples and taking into account dilution due to mixing.

147

148 **2.2 Construction of *B. thetaiotaomicron*-specific alpha-1-6, mannanase (B.theta) plasmid** 149 **and preparation of plasmid stock solutions**

150 A *B.theta* plasmid was constructed for this study as a qPCR standard. The TaqMan
151 qPCR assay for *Bacteroides thetaiotaomicron*-specific alpha-1-6, mannanase (average
152 concentration of *B. thetaiotaomicron* is 1.39×10^8 cells/g of human feces (Yampara-Iquise et
153 al., 2008)) was performed using the StepOnePlus Real-Time PCR System (Applied
154 Biosystems®, Foster City, CA, USA). Each 25- μ l qPCR reaction mixture contained 100 pg of
155 *B. thetaiotaomicron* (VPI 5482) nucleic acid extract, 1x TaqMan® Environmental Master Mix
156 2.0 (Applied Biosystems®, Foster City, CA, USA), 900 nM (each) of B.theta-F and B.theta-R
157 primers, and 250 nM B.theta-P probe #62 (Roche, Mannheim, Germany). The thermal cycling
158 conditions applied were 2 min at 50°C and 10 min at 95°C, followed by 45 cycles at 94°C for
159 15 s and 60°C for 1 min. The amplicons were run on 1.5% agarose gel and the 63-bp target
160 bands were extracted using a QIAquick® Gel Extraction Kit (Qiagen, Valencia, CA, USA).

161 Purified amplicons were inserted into the PCR™4-TOPO vector by use of the TA
162 Cloning® Kit for sequencing (Invitrogen, Carlsbad, CA, USA). Plasmid DNA containing the
163 target *B. thetaiotaomicron* sequences was extracted using a QIAprep Spin MiniPrep Kit
164 (Qiagen, Valencia, CA, USA). Sequences of inserted target product were analyzed by ABI
165 Prism® 3730 Genetic Analyzer in the DNA Sequencing Facility at the University of California,
166 Davis.

167 Construction of plasmid DNA containing the BacHum assay standard was previously
168 described by our laboratory (Kildare et al., 2007) and fresh plasmid DNA containing the target

169 BacHum sequences was extracted using a QIAprep Spin MiniPrep Kit for this study (Qiagen,
170 Valencia, CA, USA). DNA concentrations of plasmid standards for B.theta and BacHum were
171 measured by Qubit 2.0 Fluorometer (ThermoFisher Scientific, Waltham, MA, USA) and
172 converted to plasmid copies based on the molecular weight of plasmid and insert. Plasmid
173 DNA stock solutions were diluted to 10^8 copies/ μ l in deionized water and stored as single-use
174 aliquots at -80°C .

175 **2.3 qPCR and ddPCR assays**

176 **2.3.1 qPCR assays**

177 A Bio-Rad CFX96 (Bio-Rad, Hercules, CA, USA) (qPCR) machine was used to
178 quantify concentrations of human-associated *Bacteroidales* gene markers (BacHum and
179 B.theta). All assays were conducted in 20- μ L reactions containing 10 μ L of SsoAdvanced™
180 Universal Probes Supermix 2X (Bio-Rad, Hercules, CA, USA). Primer and probe
181 concentrations were respectively 400nM and 80nM for BacHum, and 900 nM and 250 nM for
182 B.theta based on published assay conditions (Yampara-Iquise et al., 2008, Kildare et al., 2007,
183 Odagiri et al., 2014) (Table S1). Sample DNAs were added in 2 μ l volumes containing either 4
184 ng sample DNA, or water for no template controls (NTC), diluted plasmid standards were
185 added in 1 μ L volumes, molecular quality deionized water was added to reach the final reaction
186 volume. qPCR reactions were subjected to hot start enzyme activation at 95°C for 3 min,
187 followed by 40 cycles of 95°C for 15s, and annealing at 60°C for 1 min. After each QPCR run,
188 data was examined using Bio-Rad CFX96 detection system (BioRad, Hercules, CA) with
189 fluorescence threshold set at 200 RFU and auto-determination of baseline cycle (Van De
190 Werfhorst et al., 2011). Standards and samples were analyzed in triplicate and duplicate,
191 respectively, and the coefficient of variability (CV) for crossing point (C_p) values were $\leq 5.4\%$
192 (average CV was $1.5\% \pm \text{SD } 1.9\%$) where replicate C_p values differed by $\leq 1.8 C_p$ (average ΔC_p

193 was $0.56 \pm \text{SD } 1.16$) and were thus considered to be of high quality consistent with previously
194 established criteria for qPCR assay precision (Ebentier et al. 2013 and Shanks et al. 2016).

195 All qPCR and ddPCR reactions were prepared in a bench top hood fitted with a UV-
196 light for sterilization before and after use (Airclean[®] Systems, Creedmoor, NC, USA) using
197 electronic pipettes that were calibrated at the beginning of the study (Gilson Inc., Middleton,
198 WI, USA). At least two negative controls (no DNA template) were analyzed for each 96-well
199 plate. Reproducibility of qPCR conditions and absence of significant batch effects across
200 multiple 96-well plates was confirmed by close agreement of measured concentrations from
201 plasmid standards diluted to 10^3 or 10^5 copies/ μL and included on each plate (Figure S3).

202 Potential inhibition of PCR was previously assayed for undiluted samples by spiking a
203 target marker at 10^3 copies/reaction into duplicate qPCRs for each sample, and comparing the
204 measured marker concentration to the standard quantified in the absence of sample background
205 (Nshimiyimana et al., 2017a). As none of the undiluted samples were observed to cause
206 significant qPCR inhibition using either the B.theta or BacHum assay, we assumed identical
207 behavior for the diluted samples considered in this study.

208 Standard curves for qPCR were established using ten-fold serial dilutions of plasmid
209 stock solution (10^8 to 10^0 copies/ μL) for either the B.theta or BacHum target sequence.
210 Standard curves of C_p values versus target DNA concentrations for each assay were generated
211 and fit by least-squares regression. Data from the lowest concentration standard (10^0
212 copy/reaction) were excluded to improve overall model fit, and the next lowest concentration
213 standard (10^1 copy/reaction) exhibiting linearity with more concentrated standards, was
214 operationally defined as the limit of quantification (LOQ). qPCR standard curves were defined
215 for BacHum by a slope of -3.37, intercept of 37.09 and for B.theta by a slope of -3.49, intercept

216 of 35.0. High linearity ($R^2 \geq 0.99$) of the qPCR standard dilutions was consistent with best
217 practices (Shanks et al., 2016).

218 Confidence intervals of predicted target concentrations on measured C_p values were
219 calculated based on propagation of error in the standard curve (Harris, 1995). The limit of
220 detection (LOD) for BacHum and B.theta qPCR assays was determined as the highest C_p value
221 significantly different from the lowest C_p value observed in no template control (NTC) wells at
222 a 95% confidential interval as previously described (Nshimyimana et al., 2014). At least 2 NTC
223 wells were considered per 96 well plate (a total of 22 NTC wells per assay; Table S3). NTC
224 from qPCR runs that included samples (i.e. Plates #1 - #5 for each marker) did not show any
225 amplification confirming absence of contamination during sample processing, consistent with
226 NTC recommendations for *Bacteroidales* assays (Shanks et al., 2016). NTC wells on the plate
227 used for amplification of the standard curve indicated trace levels of background contamination
228 and the lowest NTC C_p values ($C_p = 35.2$ and 39.4 for B. theta and BacHum, respectively)
229 were used for the LOD calculation. LODs at the 95% confidence level were thus determined to
230 be 1.0 copies/reaction for BacHum and 3.0 copies/reaction for B.theta.

231 **2.3.2 ddPCR assays**

232 Samples were quantified using QX200™ Droplet Digital™ PCR (ddPCR) (Bio-Rad,
233 Hercules, CA, USA) following the manufacturer's recommendations to ensure appropriate
234 chemistry for emulsion PCR. B.theta and BacHum assay reactions were prepared by mixing 10
235 μL of ddPCR™ Supermix for probes (NodUTP), primers at 900 nM, probes at 250 nM and 2 μL
236 template containing either 4 ng DNA, or sterilized water for no template controls. Diluted
237 plasmid standards were added in 1 μL volumes as positive controls. Molecular grade deionized
238 water was added to bring reaction volumes to 20 μL . Each sample was loaded onto a D8™
239 cartridge (Bio-Rad, Hercules, CA, USA) with 70 μL of ddPCR™ droplet generator oil (Bio-

240 Rad, Hercules, CA, USA) and subjected to droplet generation on a QX200™ Droplet generator
241 machine (Bio-Rad, Hercules, CA, USA). Forty microliters of each resulting emulsion was
242 loaded into a 96-well plate and sealed using a PX1™ Plate Sealer (Bio-Rad, Hercules, CA,
243 USA). ddPCR assays were subjected to a thermocycle with enzyme activation at 95°C for 10
244 min; denaturation at 94°C for 30 s; annealing and extension at 60°C for 1 min; for 40 cycles,
245 followed by enzyme deactivation at 98°C for 10 min, and a continuous hold at 4°C.
246 Quantification results were read using ddPCR™ Droplet Reader Oil (Bio-Rad, Hercules, CA,
247 USA); QX200™ Droplet Reader (Bio-Rad, Hercules, CA, USA) and QuantaSoft™ software
248 (Bio-Rad, Inc., Hercules, CA, USA).

249 Quality control for ddPCR was implemented as previously described (Cao et al., 2015,
250 Huggett et al., 2013, Pinheiro et al., 2012, Nshimiyimana et al. 2018). Briefly, the number of
251 accepted droplets generated for each sample run by ddPCR in this study was $\geq 10,000$. The
252 threshold for distinguishing positive from negative droplets was determined manually as the
253 intensity in relative fluorescence units (RFU) above which no droplet signal would be expected
254 in the NTCs rounded to the nearest 100. The mean NTC signal intensity and one standard
255 deviation was 426.2 ± 34.2 (n=18) for B.theta and 556.79 ± 366.3 (n=20) for BacHum.
256 Thresholds were defined as the highest NTC droplet signal intensity observed plus two
257 standard deviations for B.theta (500 RFU) and BacHum (2000 RFU) where assay-specific
258 differences in baseline RFU can be attributed to variation in quenching efficiency of different
259 hydrolysis probes (BioRad QX200 Digital Droplet PCR manual) (Supplemental Table 1).
260 Samples were screened by the bioinformatics tool “definetherain” to confirm that individual
261 droplets could be unambiguously categorized as above or below the thresholds (i.e. no “rain
262 events”) (Jones et al. 2014). Samples were considered positive for the marker if they contained
263 three or more positive droplets.

264 For each sample, 0.12% to 99.0% of droplets generated were scored as positive.
265 Negative and positive controls run on each 96-well plate confirmed the absence of reagent
266 contamination (all NTC registered as below the detection limit), and reproducibility of plate-to-
267 plate PCR quantification conditions (Figure S1). For ddPCR, the dynamic range and limit of
268 detection were determined by the LOD95 method (Stewart et al., 2013, CODEX, 2010, Burd,
269 2010) where amplification of replicate standards of increasing dilutions (10^8 to 10^1 copies / μ L,
270 and 50, 25, 12.5, 6, 3, and 1.5 copies/ μ L) (Table 1) established the concentration threshold
271 above which 95% of PCR reactions were positive. The limit of quantification was assumed to
272 be equal to the LOD since quantification proceeds in the absence of a standard curve for
273 ddPCR.

274 **2.4 ddPCR and qPCR comparison**

275 We used multiple criteria to compare performance of ddPCR and qPCR for detection
276 and quantification of BacHum and B.theta markers including: depth of quantification,
277 precision, sensitivity, specificity, and marker distribution and concentration in composite and
278 environmental samples. The depth of quantification was defined by the assay limit of detection
279 and precision by the variance among technical replicates of plasmid standards. Assay
280 sensitivity was determined based on the number of target host samples (human stool and
281 sewage samples) testing positive for the assayed marker (B.theta and BacHum), divided by the
282 total number of host samples tested (Kildare et al., 2007). Specificity was calculated as the
283 number of non-target host samples that tested negative for the assayed marker, divided by the
284 total number of non-target samples tested (cats, rabbits, dogs, wild boars, monkeys, chicken
285 and birds) (Kildare et al., 2007). The accuracy of the assays was computed as the ratio of target
286 and non-target host samples identified correctly and the total number of samples tested (Odagiri
287 et al., 2014) and was thus a function of both sensitivity and specificity. The prevalence and

288 abundance of human markers in environmental and composite fecal samples were compared to
289 determine how the assays performed in complex samples. Composite samples were further
290 analyzed to determine the reproducibility of marker detection based on expected levels in
291 component individual samples, as described previously (Nshimiyimana et al. 2017a).

292 **2.5 Statistical analysis**

293 qPCR standard curves (i.e. calibration curves) were generated by linear regression of
294 standard concentrations estimated by dilution of a plasmid stock and crossing-point (Cp) values
295 obtained by measurement of the standard dilutions by qPCR. Sample concentrations were
296 reported as log₁₀ values for qPCR and for ddPCR were log-transformed prior to statistical
297 analysis unless indicated otherwise. The repeatability of quantification by ddPCR and qPCR
298 was compared by calculating the coefficient of variation from sample replicates. Pearson
299 correlation was used to compare human marker concentrations quantified by qPCR and ddPCR
300 in composite, environmental, and serially diluted plasmid DNA samples. ANOVA and Paired
301 T-test of environmental samples were used to compare the performance of qPCR and ddPCR in
302 quantifying levels of human markers in fecally polluted natural water samples. Mean values of
303 human and sewage were compared using ANOVA followed by Tukey's honest significant
304 difference (HSD) post-hoc multiple-comparisons test to determine differences in quantification
305 across assays in human and sewage samples using JMP Pro (SAS Institute Inc., Cary, NC
306 USA).

307

308 3. Results

309 3.1 Analysis of plasmid standards

310 Quantification of plasmid-borne standard dilutions by ddPCR indicated a dynamic
311 range of 1.5 to 10^4 copies/reaction for B.theta and 5.8 to 10^4 copies/reaction for BacHum
312 (Table 1) where the lower limit of the dynamic range was defined by the limit of detection with
313 95% confidence. For ddPCR, quantification of standards above 10^4 copies/reaction was not
314 possible due to saturation of droplets with positive signal. Quantification of plasmid-borne
315 standard dilutions by qPCR indicated a dynamic range of 3.0 to 10^8 copies/reaction for B.theta
316 and 1.0 to 10^8 copies/reaction for BacHum (Table 1). All ddPCR and qPCR data generated
317 passed the MIQE guidelines (Bustin et al., 2009, Huggett et al., 2013), where the efficiency of
318 qPCR amplification as determined from analysis of standard curves (Figure S2) ranged from
319 93% to 98%, falling within the range attributed to good laboratory performance of human
320 feces-associated assays (Shanks et al., 2012, Griffith and Weisberg, 2011) (Figures 2A, 2B and
321 S2).

322 3.2 Human and animal fecal samples: Sensitivity, specificity and accuracy

323 The human-associated *Bacteroidales* assays BacHum and B.theta, validated as the best
324 human markers for microbial source tracking in Singapore and Southeast Asia (Nshimyimana
325 et al., 2017a), were used to compare the performance of ddPCR and qPCR implemented on
326 platforms by the same manufacturer (Biorad). A reaction was considered to be positive if the
327 measured concentration was greater than or equal to the assay detection limit for qPCR or
328 ddPCR. In general, the prevalence of BacHum and B.theta markers as determined by ddPCR
329 were the same, or lower, than those determined by qPCR in sewage, and fecal samples from
330 humans, domestic animals, and wild animals (Figure 1). For human stool samples (n = 35)
331 ddPCR indicated 34 were positive for the BacHum marker and 30 were positive for B.theta
332 indicating a sensitivity of 97.1 and 85.7%, respectively. By comparison qPCR indicated higher

333 marker prevalence with 100% and 94.3% of samples testing positive for BacHum and B.theta,
334 respectively. In sewage samples (n=20), ddPCR indicated all samples were positive for both
335 B.theta and BacHum markers (100% sensitivity), similar to findings from qPCR (Table 2). The
336 modest reduction in sensitivity for human markers in human stool samples by ddPCR
337 compared to qPCR (BacHum: 100% to 97.1% and B.theta: 94.3% to 85.7%) was due to
338 variation in samples quantified near the detection limits of both platforms.

339 The specificity and accuracy of BacHum and B.theta assays were compared for qPCR
340 and ddPCR platforms by analysis of non-target animal samples. For B.theta, both platforms had
341 a positive cross-reaction for a single cat fecal sample out of 70 animal samples tested (Figure 1,
342 Table 2) indicating similar specificity of the ddPCR and qPCR B.theta assay. However, the
343 accuracy of the B.theta assay was slightly reduced by ddPCR (95%) relative to qPCR (97%)
344 due to the reduced sensitivity of ddPCR for the B.theta marker in human stool samples, as
345 discussed above.

346 The ddPCR assay improved the specificity and accuracy for detecting the BacHum
347 marker by reducing cross-reaction with non-target animal samples. For ddPCR 7/70 animal
348 samples tested positive for BacHum while 25/70 were positive by qPCR resulting in a
349 specificity of 0.88 by ddPCR compared to 0.58 by qPCR (Tables 2 and 3). Although ddPCR
350 showed a slightly reduced sensitivity for BacHum in human stool samples (100% by qPCR vs.
351 97% by ddPCR), sensitivity in sewage was 100%, and overall the accuracy of the BacHum
352 assay was significantly improved to 0.94 by ddPCR compared to 0.81 by qPCR due to
353 heightened specificity (Figure 1C, Tables 2 and 3). Since it is possible that differences in assay
354 detection limits could impact the comparisons of sensitivity and specificity, we conducted an *in*
355 *silico* analysis to examine assay performance as a function of the concentration identified as the
356 assay limit of detection. In order to achieve a specificity >0.88 as obtained by ddPCR the

357 selected qPCR LOD would have to be increased by over 500-fold, resulting in over 40%
358 reduction in assay sensitivity (Figure 3). This analysis suggests that the heightened specificity
359 of the ddPCR platform for the BacHum marker cannot be solely attributed to the slight
360 reduction (<10%) of ddPCR assay sensitivity relative to qPCR.

361 **3.3 Comparison of human marker prevalence and quantification in composite and** 362 **environmental samples**

363 We examined the relationship between concentrations of human markers by qPCR and
364 ddPCR using human stool, sewage, composite, environmental samples, and standards. Overall,
365 concentrations in samples determined by qPCR were significantly higher than those measured
366 by ddPCR by a factor of 18.7 ± 10.0 and 2.6 ± 2.8 for BacHum and B.theta, respectively, and
367 independent of sample type (Table 4, S2). In contrast dilutions of B.theta and BacHum plasmid
368 standards over the interval of 10 to 10^4 copies per reaction were measured at nearly the same
369 level by qPCR and ddPCR (qPCR to ddPCR ratios were 1.1 ± 0.2 for B.theta and 1.3 ± 1.2 for
370 BacHum; Tables 1 and 4). Further analysis of the diluted plasmid standards indicated good
371 agreement of qPCR measurement of BacHum and B.theta and ddPCR measurement of B.theta
372 with the concentrations predicted based on the dilution series (slope near or equal to 1.0 and
373 intercept near zero for measured versus expected values; $R^2 \geq 0.99$) (Figure 2). Significant
374 differences between ddPCR and qPCR based measurements of BacHum in samples, but not
375 standards, suggest factors related to the biological and environmental context of the marker
376 genes may influence quantification results.

377 **3.3.1 Environmental samples**

378 The concentrations of human markers (B.theta and BacHum) in twenty catchment water
379 samples were quantified by qPCR and ddPCR (Figure 4A and B). By ddPCR 8 of 20 samples

380 were positive for the B.theta marker and 9 of 20 samples positive for the BacHum marker,
381 while by qPCR all samples (20/20) were positive for both markers where 13 of 20 and 8 of 20
382 samples had quantifiable levels (>LOQ) of BacHum and B.theta, respectively. For all samples,
383 qPCR indicated significantly higher concentrations of human markers than ddPCR (Paired T.
384 test BacHum: $p < 0.0001$ and B.theta: $p > 0.0012$) by an average of 11.1-fold for BacHum and
385 8.1-fold for B.theta (Table 4). The measured concentrations of marker in catchment water
386 samples by qPCR and ddPCR strongly correlated for B.theta but not for BacHum (B.theta: $R =$
387 0.72 , $p = 0.04$, and BacHum: $R = 0.42$, $p = 0.25$) (B.theta: slope = 0.88, $R^2 = 0.53$, intercept =
388 0.95, and BacHum: slope = 1.44, $R^2 = 0.18$, intercept = 0.54) (Figure 4A and 4B).

389 3.3.2 Composite samples

390 The prevalence of the BacHum and B.theta markers across 35 composite samples
391 comprised of DNA from human feces plus a variable mixture of DNA from sewage and animal
392 feces was similar for ddPCR and qPCR, with several exceptions. Five composite samples
393 showed divergent results for presence/absence of the B.theta marker; two samples were
394 ddPCR-positive/qPCR-negative for B.theta while three samples were ddPCR-negative/qPCR-
395 positive. Similarly, four composite samples that were ddPCR-negative for the BacHum marker
396 were positive by qPCR. Since all composite samples were comprised of DNA previously
397 analyzed individually by ddPCR and qPCR, the expected marker occurrence in sample
398 mixtures was compared to observations from each platform to assess the reproducibility of
399 findings in complex mixtures (Table 2). Analysis of composite samples by ddPCR yielded the
400 expected marker incidence in 34 of 35 samples (97%) for BacHum and 31 of 35 samples for
401 B.theta (89%). QPCR analyses were similar to ddPCR for BacHum with all 35 composite
402 samples reflecting expectations (100%) and more variable for B.theta with 26 composite
403 samples reflecting expectations (74%). Concentrations of human markers (BacHum and

404 B.theta) in composite fecal samples determined by qPCR were significantly higher than by
405 ddPCR by a factor of 26.6-fold and 3.5-fold, respectively (Table 4), and for each marker,
406 concentrations determined by the two PCR technologies were strongly correlated (B.theta:
407 slope = 0.98, $R^2 = 0.64$, intercept = 0.47 and BacHum: slope = 0.75, $R^2 = 0.75$, intercept = 2.11
408 and B.theta: $R = 0.80$, $p < 0.0001$ and BacHum: $R = 0.85$, $p < 0.0001$) (Figure 5A and 5B).
409

410 4. Discussion

411 The penetration of ddPCR as a platform for quantitative PCR holds promise to improve
412 genetic marker detection and quantification in complex environmental samples. In this study
413 we sought to address first, whether implementation of ddPCR according to the manufacturer's
414 recommendations for droplet emulsion chemistry supported good performance for assays
415 surveying the distribution of the human-associated *Bacteroidales* markers B.theta and BacHum
416 in complex fecal and environmental samples. Secondly, we asked whether the assays adapted
417 for ddPCR improved the detection and quantification of BacHum and B.theta relative to qPCR
418 using conditions previously established in multi-laboratory studies and considering depth of
419 quantification, performance in complex samples, measurement precision, dynamic range,
420 reliance on external standards, assay sensitivity, and specificity (Table 5).

421 4.1 Expected versus observed outcomes of assay performance

422 The qPCR method has demonstrated inconsistencies and errors in the detection of
423 human fecal pollution at low concentrations in environmental samples (Grgicak et al., 2010,
424 Cao et al., 2012, Harwood et al., 2014). Recent work has shown ddPCR to have a high
425 sensitivity and specificity, estimating target numbers without the use of a standard curve
426 (Hindson et al., 2011, Hindson et al., 2013, Hayden et al., 2013, Yang et al., 2014, Cao et al.,
427 2015, Te et al., 2015). Therefore, our initial expectation was that ddPCR would emerge as the
428 superior platform for microbial source tracking for both assays considered. Our findings for the
429 most part support this initial hypothesis with ddPCR showing improved specificity and
430 accuracy relative to qPCR for detection of the BacHum marker with high sensitivity and
431 reproducibility (Tables 3 and 5, Figure 3), and showing improved reproducibility for detection
432 of B.theta marker in fecal composite samples despite modestly reduced sensitivity relative to
433 qPCR. However, in several criteria qPCR, as implemented in the current study, was superior to

434 ddPCR including the precision of replicate measurements, the ability to quantify $>10^4$ copies of
435 template, and increased sensitivity for the human marker in fecal and environmental samples
436 (Table 5). Despite high agreement of quantification results for plasmid standards for both
437 BacHum and B.theta markers and for the B.theta marker in all sample types (Table 5),
438 unexpectedly high variation between qPCR and ddPCR measurements of BacHum marker in
439 complex samples (ave. 18.7-fold higher by qPCR) raised significant questions about the
440 reliability of BacHum quantification and identification of the best-performing platform.

441 **4.2 Assay performance across platforms and markers**

442 Comparison of assay sensitivity, specificity and marker concentrations revealed high
443 agreement between qPCR and ddPCR platforms for the B.theta assay. In contrast, significant
444 differences between platforms was observed for the BacHum marker where qPCR results
445 indicated higher sensitivity, lower specificity, and 18.7-fold higher overall concentrations of
446 BacHum relative to ddPCR in fecal and environmental samples. Notably, variable assay
447 performance was not observed during quantification of plasmid standards, suggesting that
448 assay-specific differences in performance may be related to sample complexity. Variable
449 performance of B.theta and BacHum assays on the two platforms may be explained by the
450 nature of the different platforms used (qPCR vs. ddPCR), by the conditions used in each assay,
451 or by the properties of each assay's DNA target.

452 4.2.1 Gene Copy Number.

453 Assay-specific variation in marker quantification on ddPCR and qPCR platforms may
454 be expected based on the way each platform interacts with multiple-copy genes that are
455 genetically-linked (Wang et al., 2016). The B.theta assay target is expected to occur as a single-
456 copy in the genome (Yampara-Iquise et al., 2008) while the 16S rRNA gene targeted by the
457 BacHum assay may be multi-copy in certain members of the *Bacteroidales* (up to 6

458 operons/genome, (Klappenbach et al., 2000)) although whether these operons occur with close
459 proximity in the genome cannot be determined since BacHum primers target the taxonomic
460 order-level, and thus an unknown diversity of genome-types. While qPCR is expected to
461 independently quantify multi-copy genes co-occurring on the same DNA fragment, the droplet-
462 based analysis of ddPCR would be expected to score neighboring multi-copy genes together as
463 a single count, underestimating the true copy number and potentially affecting determination of
464 presence/absence for low-abundance targets. Thus, for multi-copy genes that co-occur in the
465 same gene neighborhood, ddPCR may provide lower concentration estimates than qPCR
466 (Wang et al., 2016) and lower apparent sensitivity and cross-reactivity for targets near the limit
467 of detection. As the BacHum marker is associated with a multi-copy rRNA operon,
468 undercounting of separate ribosomal targets that occur on the same DNA fragment may thus
469 contribute to the reduced estimates for BacHum marker concentration by ddPCR relative to
470 qPCR in complex samples. Plasmid standards would not be influenced by this assay-specific
471 difference as plasmids are purified in a size-selective manner to contain a single target gene
472 copy.

473 4.2.2 PCR reagent composition.

474 Master mix composition may also contribute to differences in assay performance on
475 qPCR and ddPCR platforms. We also note that PCR inhibition is unlikely to play a major role
476 in our study as all templates previously tested negative for significant PCR inhibition
477 (Nshimiyimana et al. 2017a). Assay conditions for ddPCR were selected to be compatible with
478 the emulsion PCR platform, per the manufacturer's recommendations. For the B.theta assay,
479 which had identically high specificity across ddPCR and qPCR platforms, identical
480 concentrations of primers and probes were used for both platforms. In contrast, for BacHum the
481 primer and probe concentrations recommended for ddPCR were higher than those previously

482 optimized for the qPCR assay (Kildare et al., 2007). Typically, increased concentrations of
483 template DNA or oligonucleotides are associated with increased probability of
484 (mis)hybridization with reduced specificity and increased sensitivity of PCR assays (Innis and
485 Gelfand, 1990, Wang et al, 2014); however, we observed higher specificity for the BacHum
486 ddPCR assay despite higher concentrations of primer and probes. We thus attribute this
487 heightened specificity to the ddPCR platform, and not to the elevated primer and probe
488 concentrations, which would be predicted to have the opposite effect.

489 4.2.3 Abundance of closely related non-target sequences for ribosomal RNA versus
490 protein-coding marker genes.

491 Co-occurring non-target sequences may inflate estimates of target abundance when the
492 fidelity of primer and probe hybridization is compromised. This effect may be assay-specific
493 depending on the extent of closely related genetic diversity in primer and probe binding sites.
494 The BacHum primers target a phylogenetically variable region of the 16S rRNA gene and
495 closely related non-target organisms are expected to co-occur in complex samples. In contrast,
496 the B.theta assay targets a conserved protein-coding gene, where less fine-scale phylogenetic
497 diversity may be expected at the primer and probe binding sites. Thus, the B.theta assay may be
498 less vulnerable to small changes in PCR conditions that affect the fidelity of primer and probe
499 hybridization, while such shifts in fidelity may enable amplification of non-exact matches for
500 BacHum. It is possible that the qPCR platform and reagents in this study yielded less stringent
501 binding of primers and probes than the ddPCR platform. Reduced specificity of the BacHum
502 qPCR assay in animal fecal samples relative to ddPCR supports this notion. If true, reduced
503 specificity of BacHum primer and probe binding during qPCR may explain the large variation
504 between qPCR and ddPCR measurements observed in this study in complex samples. Plasmid
505 standards would not be susceptible to this assay-specific difference since plasmid-borne

506 standards reflect a single target DNA sequence and would not have closely related diversity at
507 the target sequence.

508 **4.3 Tradeoffs between assay sensitivity and specificity**

509 While further work is necessary to validate the above models to explain the different
510 behaviors of the two assays on the ddPCR and qPCR platforms, taken together gene copy
511 number and expected abundance of closely related non-target sequences, may help to explain
512 the high reproducibility of plasmid standard quantification and B.theta specificity estimates
513 across qPCR and ddPCR platforms and the elevated BacHum marker levels measured by qPCR
514 in complex samples.

515 Consideration of results from this study in light of qPCR results obtained from a
516 previous study conducted by our group reveals a potential tradeoff between specificity and
517 sensitivity for the BacHum assay that is not evident for the B.theta assay. In Nshimiyimana et al
518 2017, qPCR analyses indicated that BacHum and B.theta were the best performing markers for
519 MST based on observed specificities (91% and 98%, respectively) and sensitivities (65% and
520 69%, respectively) for human fecal material (Nshimiyimana et al 2017). In the current study,
521 which has employed both qPCR and ddPCR, the sensitivity of the BacHum and B.theta assays
522 were markedly improved for both platforms, with unchanged high specificity for the B.theta
523 assay. In contrast, the specificity of the BacHum assay was significantly reduced by qPCR
524 implemented in the current work although specificity remained similar when determined by
525 ddPCR. qPCR analyses from the two studies employed identical thermal cycling parameters
526 and concentrations of primers and probes, with nearly-identical profiles of human and animal
527 fecal materials (modified in this study by a standardized template dilution across samples and
528 excluding Myna Birds due to prior non-detection of Bacteroidales DNA). These qPCR analyses
529 were conducted in separate laboratories, using different master mix reagents, on qPCR

530 machines from different manufacturers, and with a lower baseline threshold observed in the
531 current work. Lower limits of marker detection achieved in this study by qPCR, may explain
532 part of the increased sensitivity for both markers, however would not explain the decreased
533 specificity of the qPCR assay for BacHum while B.theta specificity remained high.

534 An in silico analysis of increased limit of detection set point for presence/absence
535 determination in this study (Figure 3) did not restore BacHum assay specificity to levels
536 observed previously by qPCR (Nshimiyimana et al 2017) or via ddPCR (this study), indicating
537 that variations in BacHum assay specificity across qPCR systems and between qPCR and
538 ddPCR was likely influenced by additional unknown factors, which could potentially be related
539 to the nature of the DNA targets as discussed in the previous section. Notably, in this study the
540 ddPCR platform was able to simultaneously deliver both high sensitivity (97%) and specificity
541 (88%) for the BacHum marker suggesting reduced impacts from potential trade-offs between
542 sensitivity and specificity.

543 **4.4 Comparison to earlier studies**

544 Previous studies employing ddPCR and qPCR have observed similar results as reported
545 here for limit of detection, dynamic range, assay precision, and variability of qPCR and
546 ddPCR-based measurements of the same targets. The technical reproducibility of B.theta and
547 BacHum marker concentrations in replicates as measured by qPCR and ddPCR and the limits
548 of detection for ddPCR are within the range of earlier studies for different assays (Morisset et
549 al., 2013, Whale et al., 2013, Cao et al., 2015). Similarly, qPCR demonstrated a wider linear
550 dynamic range than ddPCR for serially diluted standards in two recent studies targeting
551 different microbial marker sequences with application to water quality monitoring in
552 California, USA (Cao et al., 2015) and Singapore (Te et al., 2015).

553 Observed trends differ for similar comparisons of qPCR and ddPCR-based
554 measurements of the same targets. A tropical study quantifying *Microcystis* demonstrated that
555 qPCR measurements were 1.3-fold to 6.8-fold (average 2.8-fold) higher than ddPCR (Te et al.,
556 2015). In contrast, in two different studies of gene quantification in clinical samples
557 concentrations measured by qPCR tended to be 7-fold (up to 30-fold) lower (Hindson et al.,
558 2013) than those determined by ddPCR (Taylor et al., 2015). Such studies, like ours, were
559 based on qPCR assays that met the MIQE (2009) standards, raising the distinct possibility that
560 significant variability can exist between qPCR and ddPCR platforms. Further work is needed to
561 understand how assay-specific factors, such as multi-copy gene linkage and properties of the
562 DNA targets, including closely-related complexity, may lead to differences in platform
563 performance.

564 Overall the performance of ddPCR and qPCR validates the utility of either platform for
565 MST using B.theta. For the BacHum marker, the ddPCR platform was validated while variation
566 across qPCR platforms suggest trade-offs between assay sensitivity and specificity that should
567 be taken into consideration for multi-laboratory studies. Given the importance of source
568 identification at low concentrations of target in environmental samples and uncertainties that
569 may be associated with qPCR standard curves, the ddPCR performance was more consistent in
570 this study and thus would be preferable to qPCR for MST (Table 5). However, the application
571 of ddPCR for MST should be based on consideration of the performance of the selected genetic
572 marker, expected levels of target in water samples to be processed, and other factors such as
573 cost-effectiveness.

574

575 **5.5 Conclusions**

- 576 • Digital droplet PCR implemented according to the manufacturer's recommendations for
577 primer and probe concentrations is a suitable platform for microbial source tracking
578 using the human-*Bacteroidales* markers BacHum and B.theta.
- 579 • Performance similarity between qPCR and ddPCR platforms for sensitivity, specificity,
580 and detecting human markers in composite samples indicate both methods can be used
581 with similar confidence for presence/absence determination of the B.theta marker.
- 582 • Similar or higher reproducibility of ddPCR-based marker detection with enhanced
583 specificity for BacHum and quantification independent of a standard curve, prone to
584 systematic errors, make ddPCR attractive for MST.
- 585

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599 **Appendix A. Supplementary data.**

600 Supplementary data related to this article have been submitted in a different file.

ACCEPTED MANUSCRIPT

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811 *Cryptosporidium* oocysts in faecal samples. *International Journal for Parasitology* 44,
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- 813
- 814

815 **Table1.** Quantification of plasmid stock solution dilutions containing targeted markers BacHum or B.theta by qPCR and ddPCR methods

Expected concentration ¹	qPCR (log copies/reaction)						ddPCR (log copies/reaction)						
	Copies/ reaction	log copies/ reaction	BacHum	SD	% CV	B.theta	SD	% CV	BacHum	SD	% CV	B.theta	SD
10 ⁸	8.0	7.4	0.01	0.22	7.7	0.08	1.12	TNTC	ND	ND	TNTC	ND	ND
10 ⁷	7.0	7.1	0.16	2.35	7.6	0.17	2.29	TNTC	ND	ND	TNTC	ND	ND
10 ⁶	6.0	6.2	0.02	0.33	6.0	0.04	0.59	TNTC	ND	ND	TNTC	ND	ND
10 ⁵	5.0	5.3	0.02	0.45	4.8	0.03	0.64	TNTC	ND	ND	TNTC	ND	ND
10 ⁴	4.0	4.1	0.09	2.33	3.9	0.02	0.56	3.6	0.05	1.49	4.0	0.04	1.09
10 ³	3.0	2.9	0.05	1.90	2.8	0.04	1.32	2.8	0.04	1.47	2.6	0.02	0.65
100	2.0	1.8	0.06	3.57	1.9	0.00	0.08	2.0	0.07	3.18	2.0	0.00	0.26
50	1.7	ND	ND	ND	ND	ND	ND	1.8	0.02	1.20	1.6	0.03	1.65
25	1.4	ND	ND	ND	ND	ND	ND	1.5	0.03	2.22	1.4	0.01	0.76
12.5	1.1	ND	ND	ND	ND	ND	ND	1.2	0.02	1.66	1.1	0.02	2.23
10	1	0.76	0.02	4.78	1.2	0.03	2.82	1.1	0.06	5.72	0.9	0.02	2.10
5.8	0.7	ND	ND	ND	ND	ND	ND	0.7***	0.03	3.53	ND	ND	ND
3.5	0.5	ND	ND	ND	ND	ND	ND	0.77	0.40	54.4	0.4*	0.01	2.40
1.5	0.2	ND	ND	ND	ND	ND	ND	0	0	0	0.2**	0.06	3.50

816 **Notes:** ¹Copy numbers in standards were calculated based on plasmid molecular weight, measured concentration in stock solution, and
817 dilution.

818 Abbreviations: CV: coefficient of variation, ND: Not determined; TNTC: positive droplets were Too numerous to count;

819 All averages represent triplicate samples with asterisks denoting exceptions based on duplicate (*), n=6 (**), and n=9 (***) samples.

820 *Italic font* represent values obtained below the detection limits determined at 95% confidence: qPCR BacHum = 1.0 copies/reaction; qPCR
821 B.theta = 3.0 copies/reaction; ddPCR BacHum = 5.8 copies/reaction; ddPCR B.theta = 1.5 copies/reaction.

822 **Table 2.** Performance of human-associated *Bacteroidales* assays as revealed by qPCR and
 823 ddPCR quantification of human fecal samples and domesticated and wild animal fecal samples,
 824 and environmental and composite samples.

Sample category	Percentage of positive samples				n
	qPCR		ddPCR		
	BacHum	B. theta	BacHum	B. theta	
Humans					
Sewage	95	100	100	100	20
Human Stool	100	94.3	97.1	85.7	35
Domesticated Animals					
Rabbits	60	0	50	0	10
Dogs	10	0	0	0	10
Cats	100	10	0	10	10
Chickens	80	0	20	0	10
Wild Animals					
Monkeys	0	0	0	0	10
Wild boards	0	0	0	0	10
Myna birds	0	0	0	0	10
Environmental (water)	100	100	45	40	20
Percentage of agreement (Observed vs. Expected detection)					
Composite Fecal	100	74.3	97.1	88.6	35

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844 **Table 3.** Human assay performance metrics calculated using BacHum and B. theta qPCR and
 845 ddPCR concentrations

Assay performance metric ^a	Sample Type	qPCR		ddPCR	
		BacHum	B.theta	BacHum	B.theta
Sensitivity	Sewage (n=20)	0.95	1.00	1.00	1.00
	Human stool (n=35)	1.00	0.94	0.97	0.85
Specificity	Animals (n=60) ^b	0.58	0.98	0.88	0.98
Accuracy	Sewage, human stool and animals (n=105)	0.77	0.97	0.94	0.95

846

847 **Notes:** ^aSensitivity = TP/(TP+FN); Specificity = TN/(TN+FP); Accuracy =
 848 (TP+TN)/(TP+TN+FP+FN) as described in Kildare et al 2007 and Odagiri et al 2014. (TP: true
 849 positive; TN: true negative; FP: false positive; FN: false negative)

850 ^bMynah Birds (n = 10) were excluded from specificity calculation as their feces tested negative
 851 for the Bacteroidales universal marker (BacUni) in Nshimyimana et al 2017 and they were
 852 confirmed to be negative for B. theta and BacHum by qPCR and ddPCR in this study.

853

854 **Table 4.** Comparison of qPCR and ddPCR based on measurement of human-associated B. theta and BacHum markers across sample
 855 types to standards measured by Qubit fluorometry at high concentration followed by dilution. Average and median reported are
 856 BacHum & B.theta marker concentrations determined by qPCR divided by the concentration determined by ddPCR.

Type of samples	Average (SD)	Median (Range)
BacHum		
Human (n = 35)	26.8 (11.6)	24.7 (1.3-44.1)
Sewage (n = 20)	14.9 (5.1)	16.4 (4.8-21.1)
Composite (n = 35)	26.6 (9.4)	28.9 (0.6-38.7)
Environmental (n = 20)	11.6 (3.6)	12.3 (2.9-18.5)
Standards ^b (n = 4)	1.3 (1.2)	1.0 (0.5-3.2)
All Data (n = 114)	18.7 (10.0)	18.5 (0.1-38.7)
B.theta		
Human (n = 35)	3.3 (2.3)	2.7 (0.4-10.4)
Sewage (n = 20)	2.8 (1.6)	3.7 (0.8-7.6)
Composite (n = 35)	3.5 (1.8)	3.7 (0.0-6.4)
Environmental (n = 20)	8.1 (5.1)	11.1 (1.8-15.0)
Standards ^b (n = 4)	1.1 (0.2)	1.0 (0.9-1.3)
All Data (n = 114)	2.6 (2.8)	3.1 (0.0-14.6)

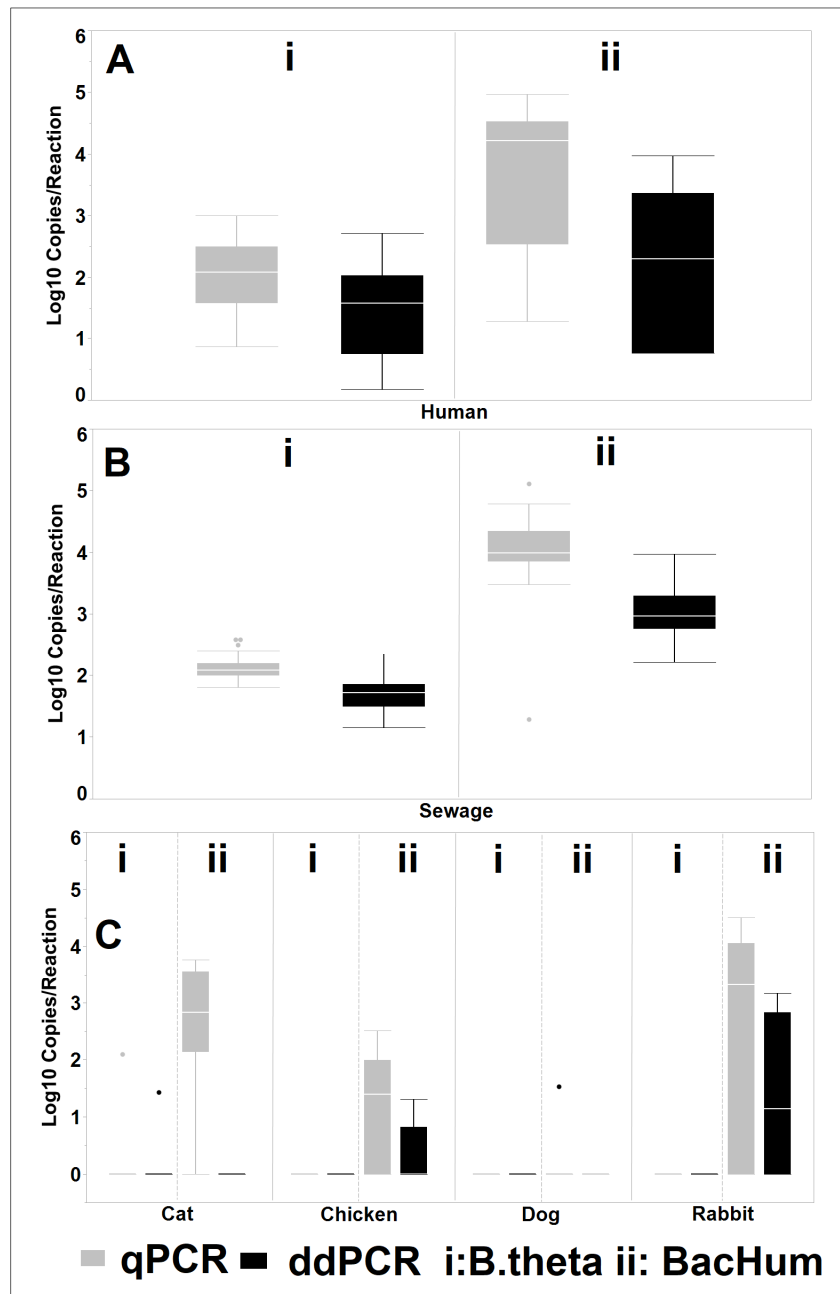
857 ^a Marker concentrations in samples were measured in copies/reaction. Average, standard deviation, median, max and min
 858 were determined for ratios of qPCR- to ddPCR-measured concentrations for each sample within each sample type.

859 ^b Plasmid dilutions at 10 to 10⁴ copies/reaction were measured by ddPCR.

860 **Table 5.** Comparison of ddPCR and qPCR for microbial source tracking using Human-
 861 associated *Bacteroidales* markers BacHum and B.theta

Comparison of ddPCR and qPCR	qPCR	ddPCR	Superior platform
• Precision of replicate standard testing	CV (0.08 to 4.8) %	CV (0.26 to 5.7) %	qPCR
• Upper limit of detection (copies per reaction)	10 ⁸	10 ⁴	qPCR
• Limit of detection (LOD) for BacHum and B.theta, respectively (copies per reaction)	1.0 and 3.0	5.8 and 1.5	qPCR
• Limit of quantification (LOQ) for BacHum and B.theta, respectively (copies per reaction)	11.0 and 12.0	5.8 and 1.5	ddPCR
• LOD base reproducibility of presence/absence results in fecal composite samples for BacHum and B. theta, respectively	100% and 77%	97% and 89%	qPCR & ddPCR
• Prevalence of markers in environmental samples	100%	40-45%	qPCR
• Specificity for BacHum and B.theta, respectively	58% and 98%	88% and 98%	ddPCR
• Sensitivity for BacHum and B.theta in human feces, respectively	100% to 94%	97% to 85%	qPCR
• Quantification dependent on error-prone standard curve	Dependent	Independent	ddPCR

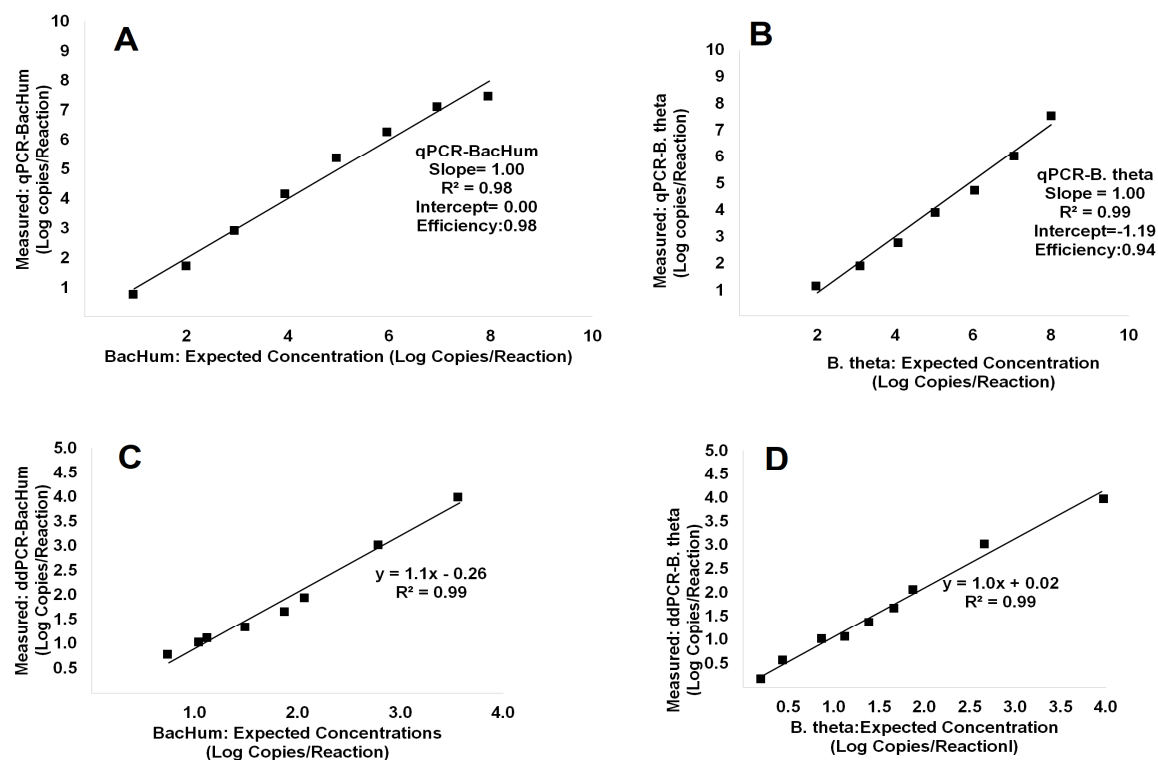
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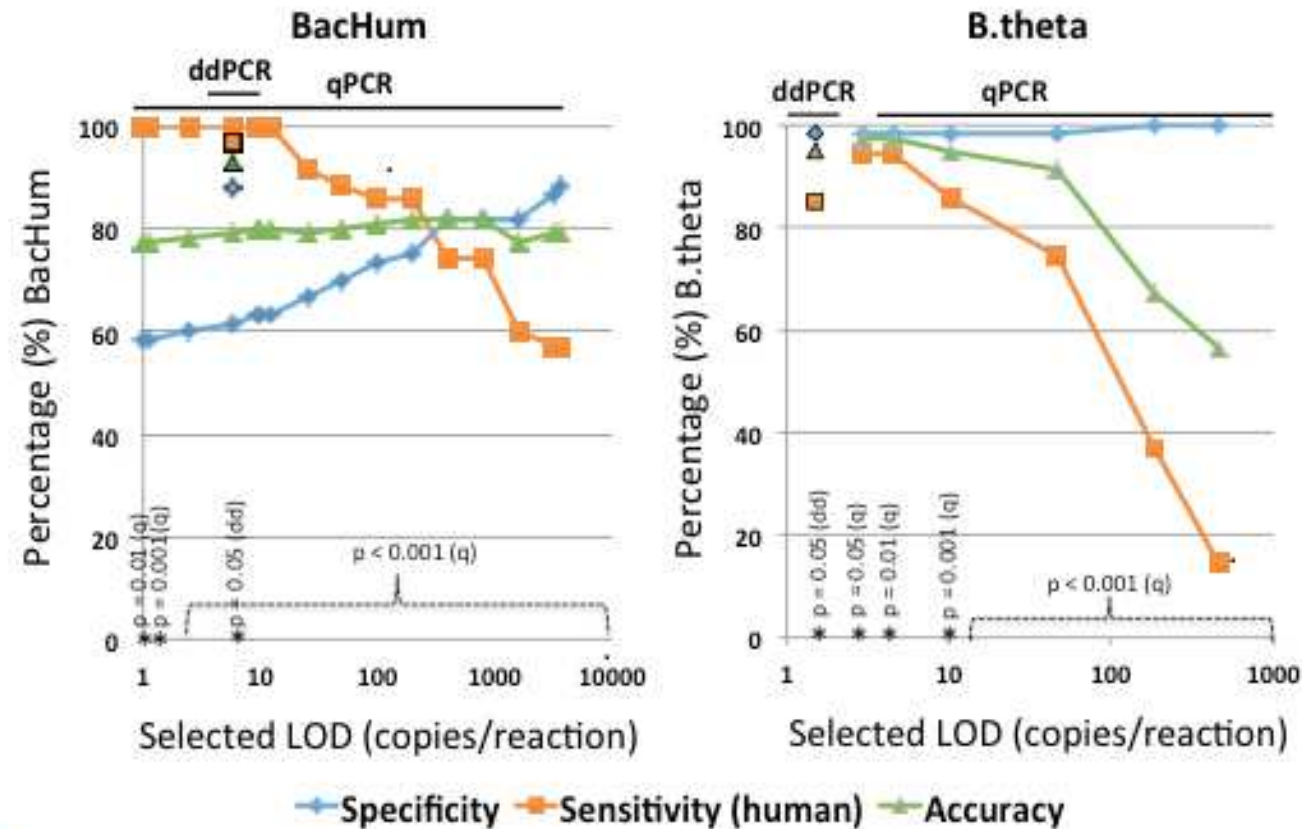
Figure 1. Distribution of concentrations of human-associated *Bacteroidales* markers *B.theta* (bt) and BacHum (bh) in human stool (Figure 1A), sewage (Figure 1B), and domesticated animal fecal samples (Figure 1C) as quantified using ddPCR (black) and qPCR (dark grey). Box and whiskers plots depict median and quartile distributions with outliers plotted as single points. All individual assay limits of detection were below 1 log₁₀ Copies/Reaction.

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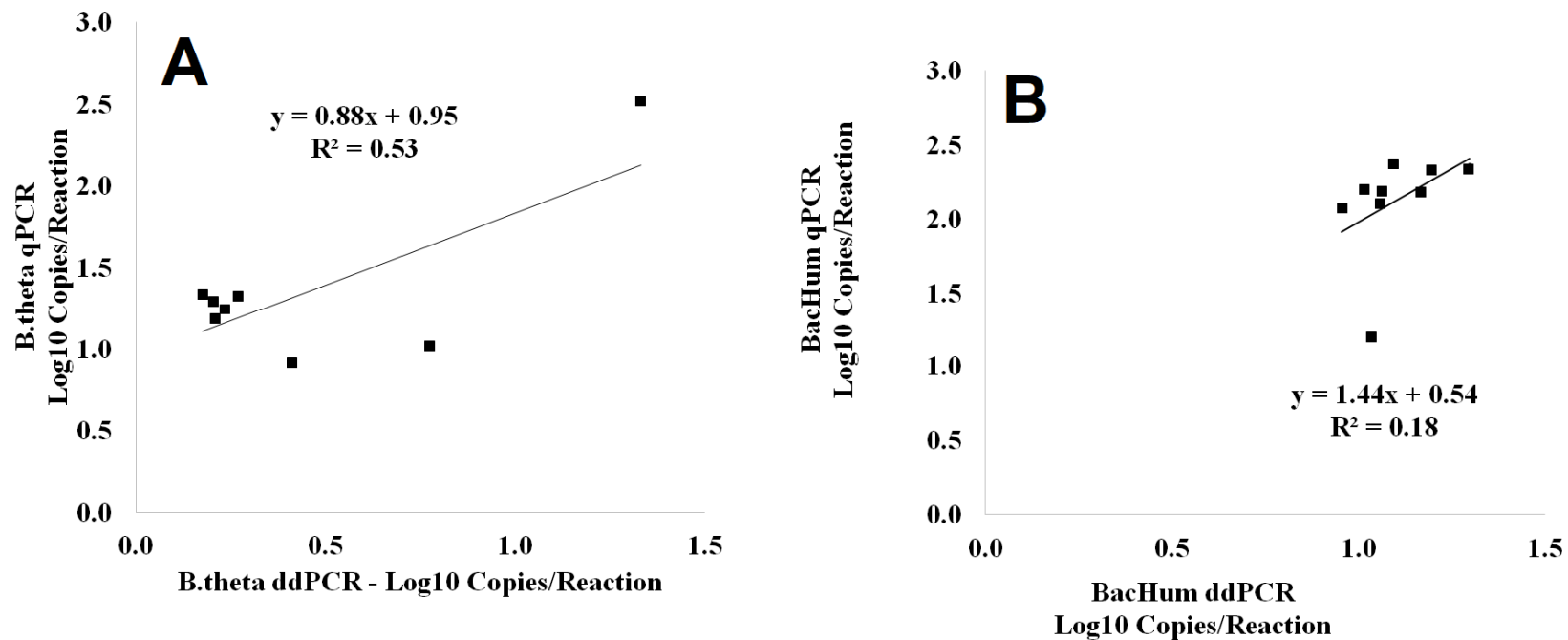
871

872 **Figure 2.** Measured concentrations of positive controls of human-*Bacteroidales* markers (B.theta and BacHum) quantified using qPCR and
 873 ddPCR compared to expected concentrations based on dilution of plasmid standards where DNA concentrations of the lowest dilutions were
 874 measured by Qubit Fluorometry. A slope of 1 and $R^2 \geq 0.99$ demonstrate a good fit between measured and expected concentrations. QPCR
 875 efficiencies of BacHum and B.theta assays are demonstrated (2A and 2B) ($\text{Efficiency} = 10^{-1/\text{slope}} - 1$). X-axis is the expected concentrations and Y-
 876 axis is the measure concentrations by qPCR (2B and 2D) or by ddPCR (2A and 2C). Measured and expected concentrations of BacHum (0 –
 877 10^8 copies/reaction) by qPCR (2A). Measured and expected concentrations of B.theta (0 – 10^8 copies/reaction) by qPCR (2B). Measured and
 878 expected concentrations of BacHum (0 – 10^4 copies/reaction) by ddPCR (2C). Measured and expected concentrations of B.theta (0 – 10^4
 879 copies/reaction) by ddPCR (2D).



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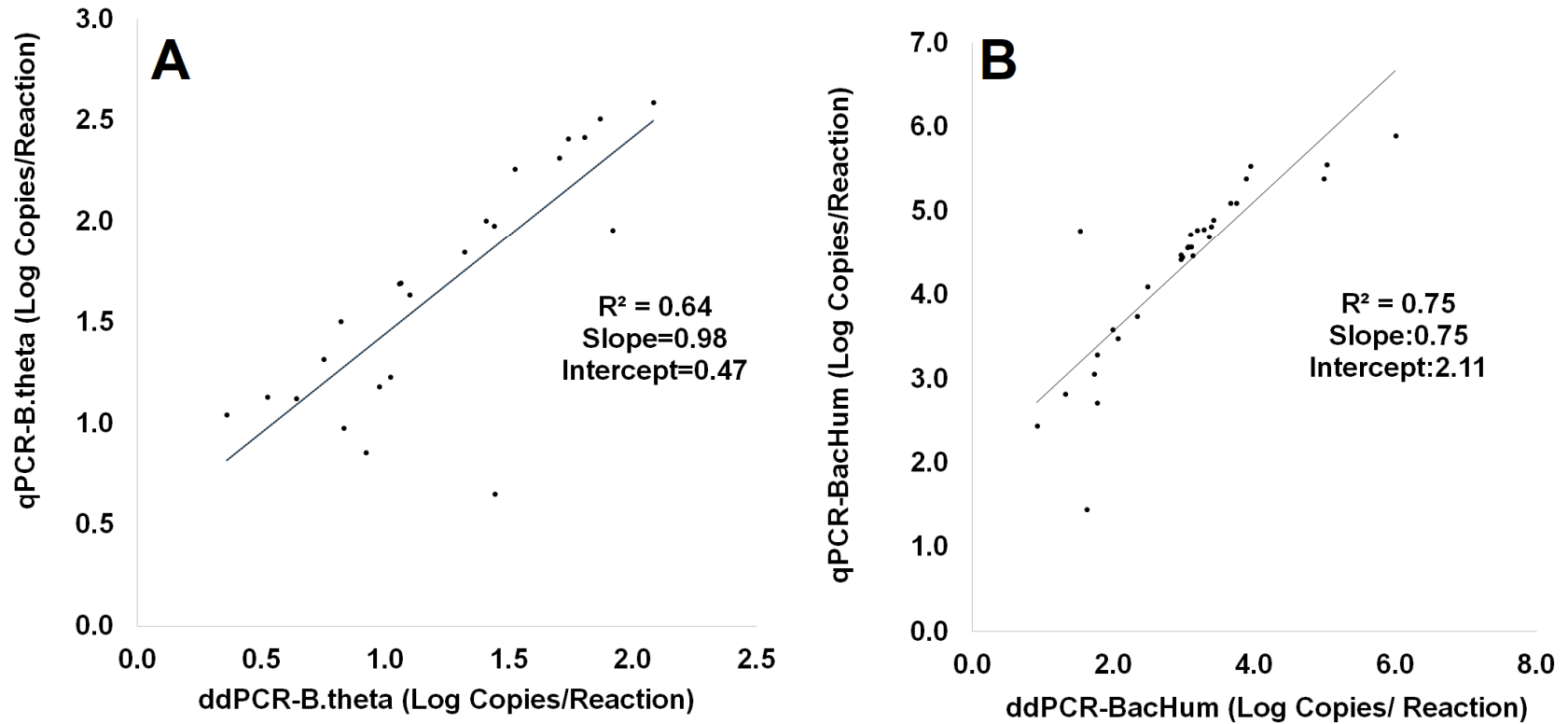
881 **Figure 3.** *In silico* analysis of variation of qPCR assay performance as a function of the concentration identified as the assay limit of
 882 detection. Higher thresholds for limits of detection are associated with decreased sensitivity and slight to marginally increased
 883 sensitivity for *B. theta* and BacHum qPCR assays, respectively. DdPCR results indicated for comparison (symbols with black
 884 border). Asterisks on the x-axis denote limits of detection at the indicated confidence threshold for ddPCR (dd) and qPCR (q).



885

886 **Figure 4.** Comparison of concentrations of human-*Bacteroidales* markers (BacHum and B.theta) in environmental samples.
 887 Comparison of concentrations of B.theta quantified by ddPCR and qPCR in environmental samples (4A). Comparison of
 888 concentrations of BacHum quantified by ddPCR and qPCR in environmental samples (4B). All graphs include slope and R^2 values.
 889 Environmental samples are expressed as log Copies/Reaction of sampled water volume and only concentrations above the limit of
 890 detection (LOD) plotted.

891



892

893 **Figure 5.** Comparison of concentrations of human-*Bacteroidales* markers in fecal composite samples. 5A, B.theta quantified by
894 qPCR and ddPCR; 5B, BacHum quantified by qPCR and ddPCR. Marker concentrations plotted as log copies/ng DNA. Graphs
895 include slope and R^2 values. All plotted values are above the limit of detection.

1 Highlights:

- 2 1. Digital droplet (dd) PCR was validated for *Bacteroidales*-based microbial source
3 tracking
- 4 2. Sensitivity of quantitative (q) PCR for *Bacteroidales* human markers in feces was
5 superior to ddPCR
- 6 3. Assay specificity and reproducibility in feces by ddPCR were greater than or nearly
7 equal to those by qPCR
- 8 4. qPCR and ddPCR platform performance may vary with assay

Declaration of interests

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests: