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Browning development in bakery products - A review

**Emmanuel Purlis** 

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1	<b>Browning development in bakery products – A review</b>
2	Emmanuel Purlis <sup>*</sup>
3	Centro de Investigación y Desarrollo en Criotecnología de Alimentos (CIDCA –
4	CONICET La Plata), Facultad de Ciencias Exactas, UNLP, 47 y 116, La Plata (1900),
5	Argentina
6	MODIAL, Facultad de Ingeniería, UNLP, 1 y 47, La Plata (1900), Argentina
7	
8	Abstract
9	This paper presents a review regarding several aspects of the development of browning
10	during baking of bakery products, mainly from an engineering point of view. During
11	baking, the formation of colour is due to the Maillard reaction, and caramelization of
12	sugars. Besides the major influence of this phenomenon on the initial acceptance of
13	products by consumers, it is the responsible for other relevant changes occurring in food
14	during baking, i.e. production of flavour and aroma compounds, formation of toxic
15	products (e.g. acrylamide), and decrease of nutritional value of proteins. As well as
16	baking, the development of browning in bakery products is a simultaneous heat and
17	mass transfer process that occurs mostly in a non-ideal system under non-ideal
18	conditions. In addition, the mechanisms of chemical reactions involved are still not
19	elucidated completely, so the process is difficult to control and represents a major
20	challenge for food engineers. Effects of browning on properties of products and
21	experimental, modelling and technological aspects of colour formation during baking
22	are reviewed.
23	Keywords: Non-enzymatic browning; Maillard reaction; Caramelization; Baking; Crust;

- 24 Acrylamide; Colour; Kinetic modelling.
- 25

<sup>\*</sup> Tel./fax: +54 221 425 4853. *E-mail address:* <u>emmanuel@cidca.org.ar</u> (E. Purlis).

#### 26 **1. Introduction**

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28 Baking of bakery products can be defined as the process which transforms dough, basically made of flour and water (other ingredients such as sugars, fat, egg, 29 30 leavening agent, and other additives will depend on each specific product), in a food 31 with unique sensorial features. In this way, the aspect and colour of food surface is 32 generally the first quality parameter evaluated by consumers and is critical in the 33 acceptance of the product, since it is associated with flavour and level of satisfaction (Pedreschi et al., 2006). Respect to bakery products, although typical (and diverse) 34 35 quality features are related to each product, surface colour together with its texture and 36 flavour are the main features considering preference of consumers, and thus can be used 37 to judge the completion of baking (Abdullah, 2008). Moreover, regulation can also 38 establish certain parameters in this aspect; e.g. in Argentina, bread crust must present a uniform yellow-gold colour (ANMAT, 2004). Therefore, understanding 39 the development of colour at product surface is a very important issue for the bakery 40 41 industry.

42 The formation of colour in bakery products during baking is widely known as 43 browning. Browning is the result of non-enzymatic chemical reactions which produce 44 coloured compounds during the baking process; such reactions are the Maillard reaction 45 and caramelization. The Maillard reaction takes place where reducing sugars and amino 46 acids, proteins, and/or other nitrogen-containing compounds are heated together, while 47 caramelization is a term for describing a complex group of reactions that occur due to 48 direct heating of carbohydrates, in particular sucrose and reducing sugars (Fennema, 49 1996). Because of chemical features (i.e. reactants and products) of the Maillard 50 reaction and caramelization, the importance of browning development during baking is

51 not only related to sensorial aspects such as colour formation and flavour generation, 52 but also to nutritional issues. In this sense, the Maillard reaction impairs the content and 53 bioavailability of amino acids and proteins (Fennema, 1996; Morales et al., 2007), and it is related to the formation of harmful compounds such as acrylamide and 54 hydroxymethylfurfural (HMF) (Gökmen et al., 2007, 2008a; Mottram et al., 2002; 55 56 Stadler et al., 2002). On the other hand, Maillard reaction products are also associated with some positive nutritional properties like antioxidant activity (Morales et al., 2009; 57 58 Yoshimura et al., 1997).

59 The occurrence of browning should not be decoupled from transport phenomena taking place in products during baking. In fact, browning reactions mainly depend on 60 temperature and water activity, as represents the availability of water for chemical 61 reactions in food. During the baking process, simultaneous heat and mass transfer 62 63 occurs within the product producing several physical, chemical, and biochemical changes besides browning, i.e. volume change (expansion and shrinkage), water 64 65 evaporation, dough/crumb transition due to protein denaturation and starch 66 gelatinization, and formation of a crust (Mondal and Datta, 2008; Sablani et al., 1998; 67 Yin and Walker, 1995). So, knowledge about transport phenomena of baking is also 68 essential to study the development of browning during the process. In particular, the 69 crust formation and its influence on baking have received much attention recently 70 (Jefferson et al., 2006; Vanin et al., 2009; Zhang et al., 2007). This clearly contributes to 71 a better understanding of colour formation, which mostly happens at surface of bakery 72 products.

During baking of bread and other products such as cake, the formation and progressive advancing of an evaporation front towards the core are responsible of generating the crust (Lostie et al., 2002a, 2002b, 2004; Purlis and Salvadori, 2009a,

76 2009b; Zanoni et al., 1993, 1994). In the outer region of the product, the water content 77 continuously decreases down to 5-10% (wet basis), while temperature rapidly increases 78 above 100 °C, tending to the oven temperature asymptotically. These variations in moisture and temperature give certain structural characteristics to the crust which avoids 79 80 dehydration of inner regions by restricting the water vapour diffusion to the oven 81 ambient (Hasatani et al., 1991; Wählby and Skjöldebrand, 2002). Regarding thin 82 products like biscuit, internal mechanisms of transport may differ from previous 83 description, but similar changes occur at surface giving the same characteristics (Ait 84 Ameur et al., 2007; Gökmen et al., 2008a).

In conclusion, browning development in bakery products is related to various 85 research areas of food science, and has implications to sensorial, nutritional, and 86 industrial (design, control and optimization) aspects, and therefore, it represents a major 87 88 challenge for food engineers. Table 1 presents a summary of the most relevant studies on the reviewed subject, regarding several aspects. The main objective of this paper was 89 90 to review the published literature on browning development in bakery products from an 91 engineering point of view. In this way, this review seeks to contribute to a better 92 understanding of this subject from a comprehensive perspective, considering sensorial 93 and nutritional aspects, measurement, modelling, and technological features that are 94 important for the baking industry.

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#### 96 **2. Effects of browning on properties of bakery products**

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98 The development of browning in bakery products is the result of the Maillard 99 reaction and caramelization of sugars. Ingredients of baked foods such as bread, cake, 100 and biscuit, i.e. carbohydrates, proteins and water, are actually the reactants for these

101 chemical reactions, which are catalyzed by a low-medium moisture level and high 102 temperature obtained at the product surface during baking (Fennema, 1996). Though the 103 objective of this paper was not to review the browning process from a chemical but 104 from an engineering point of view, a brief description of browning reactions will be 105 given in order to have an adequate background to better understand the effects of 106 browning on properties of bakery products. It is worth to note that the Maillard reaction 107 and caramelization have been extensively studied in the (food) chemistry field; for a 108 detailed discussion about this subject, the reader should be referred to specific literature 109 (Baltes, 1982; Fennema, 1996; Hodge, 1953; Kroh, 1994; Martins et al., 2001; Namiki, 110 1988).

The Maillard reaction is actually a complex network of various reactions 111 involving reactants and products with high reactivity, and its mechanism is still a 112 113 controversial issue; therefore the reaction is difficult to control (Martins et al., 2001; 114 Namiki, 1988). Basically, the reaction begins with a condensation between a reducing 115 sugar (e.g. glucose) and a compound having a free amino group of an amino acid or 116 mainly the ε-amino group of lysine in proteins. The condensation product (N-substituted 117 glycosylamine) is then rearranged to form the Amadori product (1-amino-1-deoxy-2-118 ketose) which is subsequently degraded into different compounds depending on the pH 119 of the system. At low-medium pH (4-7), HMF or furfural (when hexoses or pentoses are 120 involved, respectively) are formed via enolization, which are highly reactive compounds 121 that take part in further reactions (i.e. condensation and polymerization) leading to the 122 formation of melanoidins and other brown polymers, and aromatic substances (Martins 123 et al., 2001). A simplified scheme of the Maillard reaction is shown in Figure 1, where 124 only the pathway corresponding to the formation of colour via HMF or furfural is 125 depicted. This is the route commonly associated with browning development in bakery

products because of the pH range, and experimentally followed by HMF quantification.
Other reaction pathways (pH>7) involve sugar dehydration and fragmentation, amino
acid degradation (Strecker degradation), and finally polymerization and formation of
melanoidins. Corresponding (intermediate) reaction products include reductones, fission
products (acetol, pyruvaldehyde and diacetyl), aldehydes, aldols and N-free polymers,
and aldimines (Hodge, 1953).

132 Caramelization is also a complex group of reactions, and occurs by strongly 133 heating (i.e. temperature greater than 120 °C) of reducing carbohydrates without a 134 nitrogen-containing compound (Fennema, 1996). Kroh (1994) described a principal 135 sequence of sugar degradation reactions as follows: initial enolization, dehydration, dicarbonyl cleavage, retro aldolization, aldolization, and finally, radical reaction. From 136 these principal reactions, the key intermediates are the osuloses ( $\alpha$ -dicarbonyl 137 138 compounds) obtained after enolization and dehydration, which lead to the formation of 139 products with double bonds or unsaturated rings such as derivatives of furan, e.g. HMF, 140 and polymers (Fennema, 1996; Kroh, 1994). During baking, starch and sucrose can be 141 hydrolyzed leading to reducing sugars that can participate in both browning reactions, 142 thus the Maillard reaction and caramelization may take place simultaneously (Capuano et al., 2008). Browning reactions are fundamental for the bakery industry because they 143 144 produce changes in colour, flavour and nutritional value of products during baking. 145

146 **2.1. Quality aspects** 

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In bakery products, the surface colour is an important quality feature associated with aroma, taste, and appearance characteristics relevant from the consumers' viewpoint. In this sense, browning can be defined as the formation of typical colour, i.e.

151 yellow-gold or brown, depending on each particular product (i.e. ingredients, operating 152 conditions, product specifications). The development of browning in bakery products is 153 a dynamic process mainly influenced by temperature and water activity of the system, 154 and results from the production and accumulation of coloured compounds during 155 baking, i.e. principally HMF and melanoidins. Then, browning can be followed by 156 measuring the reaction products concentration, or alternatively, the reactants consumption. On the other hand, the concept of lightness is commonly used to describe 157 the variation of colour during baking, since lightness is a parameter of the CIE  $L^*a^*b^*$ 158 colour space ( $L^*$ , which ranges from 100 to 0 – white to black –), an international 159 160 standard for colour measurement widely used in food science (Yam and Papadakis, 2004). Aspects related to measurement of browning development are discussed in the 161 162 next section.

Before baking, dough presents values of lightness between 80 and 95 (Purlis and Salvadori, 2009c; Ramírez-Jiménez et al., 2000b; Shibukawa et al., 1989), although lower values can be measured depending on ingredients, e.g. high amount of egg and/or sugar generates a darker dough (Broyart et al., 1998); note that this discussion does not include products containing chocolate or similar coloured ingredients. In a chemical sense, HMF cannot be detected in raw dough since it is a product of browning reactions and therefore it is not present in untreated foods (Ait Ameur et al., 2006).

Then, one or two stages could be distinguished in the variation of product lightness during baking. The first stage is characterized by an enlightenment of the surface during the first minutes of baking. This phenomenon seems to be absurd since it is against browning chemistry; however, it was detected by some authors. In biscuit baking, Shibukawa et al. (1989) measured a slight increase of lightness (ca. 2.7%) at the early stage of heating (first 5-10 min) and suggested the drying of the surface to be

176 responsible. Broyart et al. (1998) also observed this phenomenon (between 7.9% and 177 11.8%) during cracker baking and suggested the initial increase of product thickness 178 (cracker spring) to explain it; a similar reason was proposed by Purlis and Salvadori 179 (2007, 2009c), who observed 1.2-3.5% more of surface lightness in bread at 5 min of 180 baking. Probably, this first enlightenment is only due to physical changes occurring at 181 the product surface at the beginning of the process. Before baking, i.e. after dough 182 preparation (and proving), the surface of dough is wrinkled, irregular, but after a few 183 minutes of heating, it turns considerably smooth due to volume increase. This change in 184 surface texture may be the reason of the observed initial enlightenment, since a smooth 185 regular surface can reflect more amount of light than a wrinkled irregular one. In this sense, this first stage is related to the method used for measuring browning 186 187 development. Certainly, reflectance or visual techniques such as colorimeter or 188 computer vision system can detect this (physical) change in contrast to chemical 189 methods, i.e. from a chemical point of view this first stage is a lag phase where the food 190 system conditions (temperature and water activity) are not sufficient for allowing the 191 formation of browning reactions products.

192 Indeed, there exist certain minimum requirements for the initiation of colour 193 formation during baking of bakery products. In general, browning is detected since 194 water activity decreases to 0.4-0.7 and temperature surpasses 105-120 °C (Table 2). 195 Under such conditions, only the surface (or crust) can show a significant change in 196 colour during baking. Actually, in bread, HMF is detected almost exclusively in the 197 crust (Capuano et al., 2008; Ramírez-Jiménez et al., 2000b). In conventional or 198 traditional processing, temperature in inner regions (or crumb) does not exceed 100 °C 199 and water content (and activity) remains almost constant until the end of baking, though 200 biscuit baking could be an exception when high oven temperature (>200-250 °C) is used

due to product thinness (Ait Ameur et al., 2007; Sablani et al., 1998). Temperature requirement is related to energy necessary to start chemical reactions, i.e. activation energy. In addition, the production of coloured compounds such as HMF always needs at least one dehydration step during the Maillard reaction (Figure 1) and caramelization (Kroh, 1994), so too much water induces an inhibition of browning reaction by the products (Ait Ameur et al., 2006; Martins et al., 2001).

207 In this way, low water activity favours the formation of colour during baking, 208 which is consistent with reported high on-set temperatures, and transport phenomena 209 involved in baking. In other words, as baking proceeds, temperature increases and water 210 activity decreases at product surface (Figure 2), and therefore, browning development is 211 accelerated leading to the formation and accumulation of colour compounds (Figure 3). Product formulation is also a critical factor for browning development. In bakery 212 213 products, sugars content and the type of sugar are the main variables affecting colour 214 formation. In general, HMF formation is increased with sugars content, but depending 215 on baking conditions, sugars degradation proceeds in different ways. For instance, at 216 oven temperatures below 300 °C, sucrose presents stability and then glucose and 217 fructose produce more HMF (Ait Ameur et al., 2007; Gökmen et al., 2007). Inversely, 218 for more drastic baking conditions, sucrose can be totally hydrolyzed, and fructose and 219 glucose released appear more reactive than pre-existing hexose in glucose and fructose 220 formulated products in producing HMF (Ait Ameur et al., 2007). On the other hand, 221 fructose can generate more HMF than glucose in any baking condition (Ait Ameur et 222 al., 2007). Another important ingredient is the type of (chemical) leavening agent; the 223 use of ammonium bicarbonate reduces the pH of dough and then accelerates the 224 degradation of sucrose and consequently the formation of HMF during baking (Gökmen 225 et al., 2008b).

226 It is difficult to suggest standard or target values for lightness or HMF 227 concentration since there exist a great diversity of bakery products and operating 228 conditions, besides consumers' preference is involved, but typical values are given in Table 3 in order to help establishing a general reference for conventional baking. 229 230 Finally, when temperature is very high and low water activity is achieved at product 231 surface, caramelization takes place producing more coloured compounds in addition to Maillard reaction products; this drastic condition is responsible for a burnt appearance 232 233 characterized by low lightness of products. This can be seen in Figures 2 and 3 for bread 234 baking; from 15-20 min under 220 °C oven temperature, surface temperature surpasses 160 °C and water activity decreases below 0.2, thus producing lightness values lower 235 236 than 60 (Purlis and Salvadori, 2009c). Some authors reported values of total colour 237 change ( $\Delta E^*$ ) between 50 and 60 as unacceptable condition for consumption regarding 238 bread baking (Ahrné et al., 2007; Zanoni et al., 1995).

239 In addition to colour development, browning reactions produce compounds that 240 contribute to flavour and aroma attributes of bakery products, which are also essential in 241 the initial judgment of consumers. In the Maillard reaction, the type of flavour 242 compound formed depends on the type of sugars and amino acids involved, while 243 temperature, time, pH and water content of the system influence the reaction kinetics 244 (Martins et al., 2001; van Boekel, 2006). Degradation (i.e. deamination and 245 decarboxylation) of amino acids by dicarbonyls (Strecker degradation) is of major 246 importance to flavour formation by the Maillard reaction, though other pathways are 247 also possible (van Boekel, 2006). Sugars degradation in absence of amino acids, i.e. 248 caramelization, also gives flavour compounds, especially related to caramel flavour 249 (Fennema, 1996; Kroh, 1994). Some characteristic (desired) compounds are 2-acetyl-1-250 pyrroline, 4-hydroxy-2,5-dimethyl-3(2H)-furanone, methional, methylpropanal, 2,3-

- butanedione, maltol and isomaltol (Fennema, 1996; Rychlik and Grosch, 1996; Vanin etal., 2009).
- 253
- 254 **2.2. Nutritional aspects**
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The development of browning also produces important effects on the nutritional 256 properties of bakery products. In the beginning of Maillard reaction, the condensation 257 258 between reducing sugars and amino acids certainly destroys the amino acids, as well as 259 melanoidins formation (Figure 1). This is of particular importance in the case of lysine, 260 an essential amino acid whose  $\varepsilon$ -amino group is the major source of primary amines in proteins and therefore suffers a significant loss of bioavailability when the Maillard 261 262 reaction occurs (Fennema, 1996). Furthermore, during browning occur oxidation and 263 destruction of other essential amino acids (methionine and tryptophan) and cross-linking 264 of proteins (also related to crust formation and setting), thus impairing digestibility of 265 proteins involved and reducing the nutritional quality of bakery products (Morales et al., 266 2007). For instance, Tsen et al. (1983) reported a decrease in protein efficiency ratio 267 (PER) of bread dough from 1.34 to 0.92 due to baking, and availability of lysine of 75% 268 for bread crust in contrast to 90% for crumb, showing the negative effect of browning 269 on the nutritional value of products.

The Maillard reaction is also associated with the formation of acrylamide, a probably carcinogenic compound (Mottram et al., 2002; Stadler et al., 2002). In 2002, significant amounts of acrylamide (150-4000  $\mu$ g kg<sup>-1</sup>) were found during cooking of carbohydrate-rich foods (Tareke et al., 2002). Actually, bakery products, together with potato products and coffee, are the most important sources of acrylamide (Claus et al., 2008). Reported values for acrylamide concentration in bread crust range between 85

and 230  $\mu$ g kg<sup>-1</sup>, for conventional baking at 200-270 °C during 10-20 min (Ahrné et al., 2007; Surdyk et al, 2004); in the case of biscuits, average content of acrylamide is between 150 and 229  $\mu$ g kg<sup>-1</sup>, approximately (Gökmen et al., 2008a). Therefore, acrylamide formation during baking has been the focus of numerous studies with the aim of understanding the reaction mechanisms involved in order to predict and control its occurrence.

282 Acrylamide formation is initiated by the condensation of reducing sugars and 283 amino acid asparagine in the first stage of the Maillard reaction (De Vleeschouwer et 284 al., 2009; Mottram et al., 2002; Stadler et al., 2002; Zyzak et al., 2003). Production of 285 acrylamide is strongly correlated with baking temperature and time, asparagine and 286 reducing sugars content, and apparently starts at 120-130 °C, so it could be only found 287 in the crust of bakery products (Ahrné et al., 2007; Becalski et al., 2003; Bråthen and 288 Knutsen, 2005; Surdyk et al., 2004). In addition, acrylamide formation is highly 289 correlated with colour development (Ahrné et al., 2007; Amrein et al., 2004; Gökmen et al., 2008a; Surdyk et al, 2004). Mitigation strategies have been proposed to reduce the 290 concentration of this toxic compound in baked foods: the use of sucrose instead of 291 292 reducing sugars (Gökmen et al., 2007), and sodium hydrogencarbonate instead of 293 ammonium hydrogencarbonate as leavening agent (Amrein et al., 2004; Graf et al., 294 2006); the addition of asparaginase (Capuano et al., 2008); steam and falling 295 temperature baking (Ahrné et al., 2007). On the other hand, HMF is also suspected to be 296 a harmful compound, so its presence is also undesired in bakery products (Gökmen et 297 al., 2008b).

298 On a positive note, some products of browning reactions are health promoting 299 substances. Reductones and melanoidins formed in browning reactions present 300 antioxidative activity based on reducing power and metal chelating capability (Baltes,

301 1982; Fennema, 1996; González-Mateo et al., 2009; Morales et al., 2009; Yoshimura et
302 al., 1997), and desmutagenic effects have been reported in the Maillard reaction
303 (Martins et al., 2001).

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#### 305 3. Measurement of browning development

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307 With the aim of predicting and controlling the development of browning during baking, it results necessary to quantify the advance of browning reactions. In this way, 308 309 the formation of colour has been measured by different experimental techniques, which 310 can be divided into two main categories: direct and indirect techniques. The first group 311 involves chemical methods that aim to measure the concentration of browning reactions 312 products (or alternatively the consumption of reactants). Conversely, the indirect approach is focused on registering the variation of colour produced by the Maillard 313 reaction and caramelization, i.e. it is related to technological applications. 314

315 Direct or chemical techniques are mostly intended to measure the concentration 316 of HMF and furfurals in products during baking. The general procedure consists in an 317 extraction method, and subsequent quantification by HPLC-UV; UV detection is carried out at 280 or 284 nm (Ait Ameur et al., 2006, 2007; Ramírez-Jiménez et al., 2000b). A 318 319 similar protocol is used for furosine determination, which is a compound formed at 320 early stages of Maillard reaction (Ramírez-Jiménez et al., 2000b). Development of 321 browning can also be followed by measuring the reactants consumption. In this sense, 322 Ait Ameur et al. (2007) quantified the degradation of sugars in biscuit baking with a 323 HPLC-RI (refractive index) detection method, after a water-ethanol extraction.

324 Indirect techniques are based on a technological or sensorial approach. The 325 traditional way of measuring the variation of colour has been the use of a colorimeter or

326 colour sensor (Ahrné et al., 2007; Ait Ameur et al., 2007; Baik et al., 2000; Broyart et 327 al., 1998; Keskin et al., 2004; Mundt and Wedzicha, 2007; Ramírez-Jiménez et al., 328 2000a, 2000b; Shibukawa et al., 1989; Zanoni et al., 1995; Zareifard et al., 2009), while computer vision systems represent a very promising tool for industrial applications 329 330 (Abdullah, 2008; Gökmen et al., 2008; Purlis and Salvadori, 2007, 2009c; Wählby and Skjöldebrand, 2002). Basically, indirect methods quantify the amount of reflected light 331 by the surface of the food, i.e. reflectance measurement, and results are given in a 332 certain colour space. In food science, colour is mostly represented by the CIE  $L^*a^*b^*$ 333 334 colour space, which is an international standard for colour measurement adopted by Commission Internationale de l'Eclairage (CIE) in 1976 (León et al., 2006). The three 335 336 parameters of this model represent the lightness of colour  $(L^*)$ , its position between red and green  $(a^*)$ , and its position between yellow and blue  $(b^*)$  (Yam and Papadakis, 337 2004). The CIE  $L^*a^*b^*$  colour system is based on the spectral sensitivity of human sight 338 339 and its adaptation to prevailing lighting conditions (Mendoza et al., 2007).

Main advantages of chemical techniques are objectivity, since a compound 340 341 concentration is being measured, and sensibility (Ramírez-Jiménez et al., 2000b). On 342 the other hand, such methods are destructive, laborious and time consuming. Inversely, 343 indirect techniques are automated, rapid and non-destructive, although they have a 344 sensorial basis. At present, computer vision is preferred over colorimeter or colour 345 sensor devices in food engineering applications, especially in the research field. This is 346 because computer vision based on image processing is a low-cost technique. In addition, 347 computer vision does not imply any contact with samples for measurement, which is 348 essential in the case of deformable materials such as dough. A major advantage of this 349 technique with respect to a conventional colorimeter is the measured area in a single 350 determination. By means of computer vision a great amount of data could be processed

351 in one step, e.g. the whole top surface of a product (see Figure 3b), while colorimeters give information about much smaller areas, e.g. 0.95 cm<sup>2</sup> for Minolta CR-300 (Japan). 352 353 Moreover, other important quality properties besides colour can be assessed by using 354 this method, i.e. size, shape, and texture of products (Zheng et al., 2006), and also can 355 be used to evaluate nutritional properties such as acrylamide formation during baking 356 (Ahrné et al., 2007; Gökmen et al., 2008a). For further information about computer 357 vision and its applications for food quality evaluation, the reader should be referred to 358 specific reviews and literature (Brosnan and Sun, 2004; Gunasekaran, 1996; León et al., 359 2006; Sun, 2008; Zheng et al., 2006).

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#### 361 4. Mathematical modelling of browning

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363 Once the browning process has been characterized in terms of product properties and operating conditions, it becomes essential for food technologists to develop a 364 mathematical model with the aim of predicting and therefore controlling the browning 365 366 development during baking, which not only affects sensorial attributes but also the 367 nutritional value of food. However, modelling this process in bakery products is a major 368 challenge, since browning reactions involve complex mechanisms that are still not well 369 elucidated, and moreover occur in a non-ideal system where simultaneous heat and 370 mass transfer takes place, producing continuous changes in temperature and water 371 activity.

Undoubtedly, the best approach to model the browning development would be to consider the actual mechanisms of reactions and transport phenomena occurring in products during baking, but this is not possible so far. Instead, the kinetic approach is widely used for modelling browning. Kinetic modelling establishes that a process can

376 be mathematically described by means of rate constants and activation energies (i.e. 377 kinetic parameters) with the aim of understanding, predicting and controlling the quality 378 changes in food processing (van Boekel, 2008). In addition, the kinetic approach is a 379 powerful tool since it is based only on the rate-determining steps of the reaction, which 380 provide control points (Martins et al., 2001). In this way, colour formation is usually 381 simplified by assuming a general mechanism of browning including both the Maillard 382 reaction and caramelization (Zanoni et al., 1995). On these concepts, some efforts have 383 been made to predict the development of browning during baking. Mostly, browning 384 models have been developed for bread and biscuit, assuming first-order kinetics with 385 the browning rate constant dependent on temperature.

386 In the case of bread, Zanoni et al. (1995) firstly proposed a mathematical model 387 to predict the browning of crust during baking. The model was set up by using ground, 388 dried bread crumb as a model system for crust. Flat and compressed discs of milled 389 crumb were dried until reaching constant weight and then heated at constant 390 temperature with a refractory plate. Several browning experiments were performed at 391 different temperatures (140 to 250 °C). A first-order kinetic model for total colour 392 difference was proposed, and the reaction rate constant was found to be temperature 393 dependent following the Arrhenius' equation. Then, Zanoni et al. (1995) applied the 394 proposed model to predict crust browning during bread baking at 200 and 250 °C, but 395 results were only acceptable for 250 °C. The authors concluded that kinetic parameters 396 obtained from isothermal experiments cannot be used for practical baking conditions, 397 and also remarked on the influence of water content on browning.

Purlis and Salvadori (2007) reported an expression for colour development
during bread baking as a function of product weight loss and baking temperature.
Experimental data were obtained for 180, 200 and 220 °C oven temperature under

401 natural and forced convection baking modes, and colour was measured directly from 402 bread samples by using a computer vision system. In this way, the development of 403 browning was followed in a non-ideal system, close to a real baking condition. 404 Acceptable results were reported for a general baking process. More recently, these 405 authors proposed another model for browning of bread during baking, but depending on 406 local temperature and water activity (Purlis and Salvadori, 2009c). This model was 407 based on a non-isothermal kinetic approach, since bread surface heating (and drying) 408 and thus browning are non-isothermal processes. So, the variation of temperature and 409 water activity during baking (obtained by numerical simulation) was included in the 410 browning model. Good results for kinetic parameter estimation and description of 411 colour development according to heat and mass transfer processes were reported.

412 Regarding biscuit baking, Brovart et al. (1998) developed a first-order kinetic 413 model to predict the lightness variation during the process. For parameter estimation 414 and model validation, baking experiments were carried out at 180-330 °C oven 415 temperature, and colour of cracker surface was measured by a reflectance method. In 416 addition, average temperature and water content of samples were registered in each 417 baking test. In this way, the variation of bulk temperature and moisture of biscuit during 418 baking could be included in the browning model. Prediction errors for lightness were 419 between 1% and 24% at the end of baking, which were partially attributed to 420 imprecision of colour measurements at high surface temperatures. Moreover, Broyart et 421 al. (1998) emphasized the limitation of the model since average parameters (i.e. 422 temperature and water content) are used to predict a surface property (i.e. lightness).

423 Also for baking of biscuit, Mundt and Wedzicha (2007) proposed a first-order 424 kinetic model based on an approach commonly used in colour-using industry (e.g. 425 textile) to relate reflectance measurements (R, G, B colour values) to concentration of

426 coloured compounds produced by browning reactions. The authors reported that water 427 activity has no effect on the kinetics of browning, though experimental data were 428 obtained at low temperature (105-130 °C). Conversely, from a chemical viewpoint, Ait 429 Ameur et al. (2006, 2007) showed that formation of HMF in biscuit follows first-order 430 kinetics, as well as colour development, and that water activity highly influences the 431 production of coloured compounds. Finally, Hadiyanto et al. (2007) proposed a zero-432 order kinetic model for the formation of melanoidins (due to Maillard reaction) during 433 baking of bakery products, where the influence of temperature and water activity was 434 taken into account. Table 4 presents some values of kinetic parameters reported in 435 literature for browning development. NP

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4.1. A general model for browning 437

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So far, it has been demonstrated that development of browning during baking 439 440 can be well described by a first-order kinetic model, with parameters depending on local 441 temperature and water activity of the product. In addition, although colour formation is 442 caused by group of complex chemical reactions, it can be simplified by assuming a 443 general mechanism of browning, and followed by using colour models related to 444 reflectance methods, for technological purposes. Finally, kinetics parameters should be 445 estimated from experiments close to actual baking conditions, i.e. a non-isothermal 446 process occurring in a non-ideal system, in order to obtain a better prediction performance (Dolan, 2003). 447

Based on these concepts, and selecting surface lightness  $(L^*)$  as browning index. 448 449 a general model for colour development during baking can be stated as follows:

$$450 \qquad \frac{dL^*}{dt} = -kL^* \tag{1}$$

451 To describe the dependence of rate constant (*k*) with temperature, the Arrhenius' law is 452 commonly used:

453 
$$k = k_0 \exp\left(-\frac{E_a}{RT}\right)$$
 (2)

where  $k_0$  is the pre-exponential factor,  $E_a$  is the activation energy, T is (absolute) 454 455 temperature, and R is the universal gas constant. However, this expression for 456 temperature dependence has significance for chemical compounds such as HMF, which involves an energy activation related to a reaction. In the case of lightness or other 457 458 colour variable representing the change of colour intensity, not directly involving chemical compounds, the activation energy concept may not be applicable (van Boekel, 459 460 2008). Instead of Arrhenius' equation, the following expression can be used to describe equally well the dependence of browning rate constant with temperature: 461

$$462 k = k_0 \exp\left(-\frac{A}{T}\right) (3)$$

463 where  $k_0$  and A are fit parameters without physical meaning.

On the other hand, the influence of water activity (or water content) of the product surface can be incorporated in different ways. For instance, Broyart et al. (1998) proposed to define the parameters of browning rate constant expression ( $k_0$  and  $E_a$  in their model) as a function of water content. Then, Purlis and Salvadori (2009c) adopted this approach to express the parameters of an Arrhenius-like expression for rate constant k (Eq. (3)) as a function of water activity:

470 
$$k_0 = k_1 + \frac{k_2}{a_w}$$
 (4)

471 
$$A = k_3 + \frac{k_4}{a_w}$$
 (5)

472 Finally, parameter estimation is required to obtain a model for browning 473 development. It is not the intention to review here the available numerical methods for 474 computing the kinetic parameters, but it would be helpful to make some considerations 475 with respect to the kinetic approach selected to develop a mathematical model. If a non-476 isothermal approach will be applied, the model will include the thermal history of the 477 product during baking (the same analysis is valid for water activity or water content). 478 So, let us consider that the browning development is described by Eqs. (1) and (3), and 479 the variation of temperature during baking has been registered. Then, an analytical 480 expression for lightness variation can not be obtained, since k depends on temperature 481 that also changes with time. Therefore, Eq. (1) must be evaluated numerically in order 482 to estimate kinetic parameters. For instance, Broyart et al. (1998) applied the Euler-483 Cauchy method, and Purlis and Salvadori (2009c) used a medium order Runge-Kutta 484 routine.

485

#### 486 **5. Technological aspects of browning**

487

488 After understanding the browning process, i.e. chemical reactions involved and 489 their effects on both sensorial and nutritional properties of products, and knowing about 490 how to measure and predict its development during baking, it would be interesting and 491 useful to analyze such phenomenon from a technological point of view. In this way, the 492 formation of colour has been correlated with other changes occurring during baking. 493 The major advantage of this approach is that colour development is usually easier to 494 monitor than other processes or reactions taking place in bakery products during baking, 495 especially nowadays with the existence of rapid detection devices such as computer 496 vision systems or colour sensors. Furthermore, surface colour is highly associated with

497 the overall quality of food, and certainly has an important effect on the consumer 498 judgment and therefore the acceptability of bakery products, since colour influences the 499 anticipated oral and olfactory sensations because of the memory of previous eating 500 experiences (Abdullah, 2008). In addition, if a computer vision system is used to 501 measure browning development, other features can be extracted simultaneously, i.e. 502 size, shape, and texture (Brosnan and Sun, 2004; Zheng et al., 2006). Computer vision 503 can be coupled to learning techniques such as fuzzy logic and artificial neural networks 504 for quality evaluation. In this way, assessment of quality attributes can be achieved 505 automatically, improving production performance besides increasing evaluation 506 accuracy (Du and Sun, 2006).

507 In particular, fuzzy logic and artificial neural networks appear as very interesting tools for food process control based on browning development, since the reasoning and 508 509 linguistic terms of operators, experts, and consumers can be taken into account (Allais 510 et al., 2007; Perrot et al., 2006). For bread baking, Kim and Cho (1997) developed 511 neural networks models and a fuzzy controller to reduce the cost for heating the oven 512 and to perform an intelligent control of the process. For the case of biscuit baking, 513 Perrot et al. (1996, 2000) applied fuzzy methods for real time quality evaluation and 514 feed-back control of the process. Another contribution to the field was made by Ioannou 515 et al. (2004a, 2004b): they presented a browning process control system that gives the 516 operator a diagnosis of the state of the product/process and proposes actions on process 517 parameters based on a decision model. As well, browning can be part of an overall 518 procedure developed for process design and optimization (Hadiyanto et al., 2007, 519 2008a, 2008b; Therdthai et al., 2002), and management of baking ovens (McFarlane, 520 1990). For these purposes, it can be useful to have a mathematical model for describing 521 colour development during baking as a function of process variables.

522 Finally, the variation of nutritional properties of products could be followed 523 through browning during baking. For instance, acrylamide formation is of major 524 concern in food processing, but its experimental determination requires a (destructive) 525 chemical and non-fast method that cannot be applied in a continuous production line. 526 Fortunately, a good correlation between browning development and acrylamide 527 formation was found in baking of biscuit (Gökmen et al., 2008) and bread (Ahrné et al., 528 2007). In this way, combining a correlation between colour and acrylamide formation, 529 and a computer vision system, a process control tool could be developed for both safety MAN 530 and quality evaluation purposes.

531

#### 532 6. Conclusion

533

534 The development of browning in bakery products during baking is a subject of major interest for food technologists. Browning affects the overall quality of food, 535 536 producing changes in sensorial attributes such as colour, flavour and aroma, global 537 acceptance, and in nutritional properties, i.e. decrease of bioavailability of proteins and 538 amino acids, formation of toxic compounds (e.g. acrylamide and HMF), and generating 539 substances with antioxidative capability. Browning is the result of the Maillard reaction 540 and caramelization, and its development depends on product formulation (amino 541 compounds, sugars and leavening agents) and operating conditions (temperature and 542 water activity). In this way, the use of real food systems instead of model systems is 543 necessary for better understanding and controlling of browning in bakery products.

544 Colour development is correlated with several changes occurring during baking, 545 which represents a major advantage for food engineers. In this way, formation of colour 546 has been measured by chemical and sensorial methods, both providing good results.

Nevertheless, it would be useful to develop a standard or universal procedure to follow colour variation during baking. A possible approach would be to calibrate a sensorial method (e.g. computer vision system or colorimeter) against a chemical technique (e.g. HMF quantification), as a function of product formulation, and finally to express the colour in standardized units (e.g. using CIE  $L^*a^*b^*$  model). In other words, an effort should be made to develop a rapid, low-cost, automated, sensible and objective method for the baking industry.

554 Finally, it has been shown that understanding the browning development gives 555 the possibility of managing the baking process in an overall way; it can be used to 556 control, optimize, and design processes and equipment for the bakery industry. For these aims, it will be useful to have a mathematical model for browning development. A 557 browning model cannot be developed from the actual mechanisms of colour formation 558 559 due to they are not elucidated yet, but the kinetic approach is a helpful alternative to 560 describe colour changes during baking. An adequate model should include the influence 561 of temperature and water activity (or water content) on browning development, and 562 kinetic parameters should be obtained under conditions close to real baking situations 563 (non-isothermal mostly) by using appropriate measurement techniques, experimental designs, and numerical methods. 564

565

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567

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- 766 Maillard browning reaction on the nutritional value of breads and pizza crusts. In
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#### 806 Figure captions

807

808 Figure 1. Simplified scheme of the Maillard reaction (pH≤7), adapted from Hodge

- 809 (1953), and Martins et al. (2001). ARP: Amadori rearrangement product; HMF:
- 810 hydroxymethylfurfural.
- 811
- 812 **Figure 2.** Temperature (a) and water activity (b) at bread surface during baking at 180
- 813 °C (squares), 200 °C (triangles), and 220 °C (circles), obtained by numerical simulation
- 814 (Purlis and Salvadori, 2009c).
- 815
- 816 Figure 3. (a) Variation of lightness of bread surface during baking at 180 °C (squares),
- 817 200 °C (triangles), and 220 °C (circles). (b) Image gallery of samples corresponding to
- 818 (a) (Purlis and Salvadori, 2009c).

### **Figure 1 – Purlis**





## Figure 3 – Purlis



#### Table 1

Principal aspects of browning development in bakery products and most relevant studies.

Aspect of browning development	Reference
Chemistry of the Maillard reaction and	Hodge (1953), Kroh (1994), Martins et al.
caramelization	(2001), van Boekel (2006)
Study of the Maillard reaction and	Ait Ameur et al. (2006, 2007), Capuano et
caramelization in bakery products	al. (2008), Gökmen et al. (2007, 2008b),
Chemistry of acrylamide formation	Ramírez-Jiménez et al. (2000b) De Vleeschouwer et al. (2009), Mottram et al. (2002), Stadler et al. (2002), Tareke et
Acrylamide formation in bakery products	al. (2002), Zyzak et al. (2003) Ahrné et al. (2007), Amrein et al. (2004), Becalski et al. (2003), Bråthen and Knutsen (2005), Gölkman et al. (2007)
	$2008_{0}$ Surduk et al. (2004)
Kinetic modelling	Browart et al. $(1008)$ Dolan $(2003)$ Purlis
Kinetie moderning	and Salvadori $(2009c)$ van Boekel $(2008)$

#### Table 2

Temperature and water activity values for initiation of browning for different bakery

products.

Product	Temperature (°C)	Water activity	Reference
Biscuit	>120		Shibukawa et al. (1989)
100% flour			$L^*$ determination
50% sugar			
20% margarine			
~20% milk			
5% eggs			
Biscuit	>105-115		Broyart et al. (1998)
100% flour			$L^*$ determination
37% sugars			
17.5% water			
16% fats			
Biscuit		<0.4-0.7	Ait Ameur et al. (2006, 2007)
100% flour			HMF determination
50% sugar syrup			
17% palm fat			
Biscuit		<0.4	Gökmen et al. (2008b)
100% flour			HMF determination
44% sugars			
40% shortening			
22% water			
1.5% leavening agents			
1.25% salt			
Bun	>110		Wählby and Skjöldebrand (2002)
100% flour			$L^*$ determination
~57% skimmed milk			
11.4% margarine			
9.7% sugar			
5.7% fresh yeast			
Bread	>120	<0.6	Purlis and Salvadori (2009c)
100% flour			$L^{*}$ determination
54.1% water			
1.6% salt			
1.6% sugar			
1.6% margarine			
1.2% dry yeast			

#### Table 3

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Some typical values of lightness ( $L^*$ ) and HMF concentration (mg kg<sup>-1</sup> dry matter) in bakery products for various baking conditions. Ranges of  $L^*$ , HMF and/or operating conditions are ordered respectively.

Product	$L^*$ or HMF	Operating conditions	Reference
Biscuit	$L^* = 40-50$	19 min, 200 °C	Shibukawa et al. (1989)
	$L^* = 55.7 - 14.4$	6 min, 240-330 °C	Broyart et al. (1998)
	$L^* = 57.1$	90 min, 180 °C	Ramírez-Jiménez et al. (2000a)
	HMF = 15.6		
	HMF = 0.49-74.6	(Commercial, unknown)	Ait Ameur et al. (2006)
Fermented dough,	$L^* = 65.6$	8-10 min, 220 °C	Ramírez-Jiménez et al. (2000a)
~10% sucrose	HMF = 151.2		
White bread	$L^* = 84.1, 77.2$	50 min, 200 °C	Ramírez-Jiménez et al. (2000b)
	HMF = 11.8, 68.8		
	$L^* = 81.6$	60 min, 200 °C	
	HMF = 40.1		
	$L^* = 81.9, 82.1$	30 min, 210 °C	
	HMF = 3.4, 15.7		
	$L^* = 83.0$	16 min, 235 °C	
	HMF = 21.8		
Bread crisp	$L^* = 80.73$	40 min, 140 °C	Capuano et al. (2008)
	HMF = 2.53		
	$L^* = 72.40$	34 min, 160 °C	
	HMF = 14.63		
	$L^* = 63.48$	25 min, 180 °C	
	HMF = 47.02		
Bun	$L^* = 52.13$	8 min, 225 °C	Esteller et al. (2006)
Muffin	$L^* = 83.9 \pm 2.8$	(Commercial, unknown)	González-Mateo et al. (2009)

#### Table 4

Kinetic models for browning development in bakery products. More details can be

found in the text (Section 4).

Product	Model description	Reference
Biscuit	First order for $L^*$ ; Arrhenius-like equation for rate	Broyart et al.
	constant	(1998)
	$k = k_0 \exp\left(-\frac{A}{T}\right) (\min^{-1})$	0
	$k_0 = 2.40 \times 10^8 + \frac{1.56 \times 10^7}{X_w}$	7
	$A = 8.13 \times 10^3 + \frac{3.90 \times 10^2}{X_w}$	
	First order for HMF; $E_a = 10.63 \text{ kJ mol}^{-1}$ (Arrhenius)	Ait Ameur et al.
	$k = 0.0028 \text{ s}^{-1}$ for 200 °C baking	(2006)
	$k = 0.0067 \text{ s}^{-1}$ for 250 °C baking	
	$k = 0.0082 \text{ s}^{-1}$ for 300 °C baking	
Bread	First order for $\Delta E$ ; Arrhenius equation for rate constant	Zanoni et al.
	$k_0 = 42000 \text{ s}^{-1}, E_a = 64151 \text{ J mol}^{-1}$	(1995)
	$\Delta E = (k_0 T_{oven} + k_1) WL, k_0 = 0.0266 \ ^{\circ}C^{-1}, k_1 = -3.4991$	Purlis and
		Salvadori (2007)
	First order for $L^{\circ}$ ; Arrhenius-like equation for rate constant	Purlis and Salvadori (2009c)
	$k = k_0 \exp\left(-\frac{A}{T}\right) (\min^{-1})$	
	$k_0 = 7.9233 \times 10^6 + \frac{2.7397 \times 10^6}{a}$	
	$A = 8.7015 \times 10^3 + \frac{49.4738}{10^3}$	
	$a_w$	
k: consta	Int rate. $E_a$ : activation energy. $\Delta E$ : total colour change. W	WL: weight loss (%).

 $T_{oven}$ : oven temperature (°C). *T*: temperature (K).  $a_w$ : water activity.  $X_w$ : water content (dry basis).