1	Exploring the relationship between soil water content and soil electrical conductivity
2	under typical land covers in the northern Loess Plateau, China
3 4	<sup>1</sup> Tuvia Turkeltaub, <sup>2</sup> Jiao Wang, <sup>1,3</sup> Qinbo Cheng, <sup>2,4</sup> Xiaoxu Jia, <sup>4</sup> Yuanjun Zhu, <sup>2,4</sup> Ming-An Shao and <sup>1</sup> Andrew Binley
5	<sup>1</sup> Lancaster Environment Centre, Lancaster University, Lancaster, UK, LA1 4YQ, UK.
6 7 8	<sup>2</sup> Key Laboratory of Ecosystem Network Observation and Modeling, Institute of Geographical Sciences and Natural Resources Research, Chinese Academy of Sciences, Beijing 100101, China
9 10	<sup>3</sup> State Key Laboratory of Hydrology, Water Resources and Hydraulic Engineering, Hohai University, Nanjing 210098, China
11 12	<sup>4</sup> State Key Laboratory of Soil Erosion and Dryland Farming on the Loess Plateau, Northwest A&F University, Yangling 712100, China
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14	* Correspondence: tuviat@bgu.ac.il; Tel.: +972-526-137-442
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#### 26 Abstract

Vegetation changes that are driven by soil conservation measures significantly affect 27 subsurface water flow patterns and soil water status. Much research on water consumption 28 and sustainability of newly introduced vegetation types at the plot scale has been done in the 29 Loess Plateau of China (LPC), typically using local scale measurements of soil water content 30 (SWC). However, information collected at the plot scale cannot readily be up-scaled. 31 Geophysical methods such as electromagnetic induction (EMI) offer large spatial coverage 32 and therefore could bridge between the scales. A non-invasive, multi-coil, frequency domain, 33 EMI instrument was used to measure the apparent soil electrical conductivity ( $\sigma_a$ ) from six 34 effective depths under four typical land-covers; shrub, pasture, natural fallow and crop, in the 35 north of the LPC. Concurrently, SWC was monitored to a depth of 4 m depth using an array 36 of 44 neutron probes distributed along the plots. The measurements of  $\sigma_a$  for six effective 37 depths and the integrated SWC over these depths, show consistent behavior. High variability 38 of  $\sigma_a$  under shrub cover, in particular, is consistent with long term variability of SWC, 39 highlighting the potential unsustainability of this land cover. Linear relationships between 40 41 SWC and  $\sigma_a$  were established using cumulative sensitivity forward models. The conductivity-SWC model parameters show clear variation with depth, despite lack of 42 appreciable textural variation. This is likely related to the combined effect of elevated pore 43 water conductivity as was illustrated by the simulations obtained with water flow and solute 44 transport models. The results of the study highlight the potential for the implementation of 45 the EMI method for investigations of water distribution in the vadose zone of the LPC, and in 46 particular for qualitative mapping of the vulnerability to excessive vegetation demands, and 47 hence unsustainable land cover. 48

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50 Keywords: land-use change, revegetation, electromagnetic induction, soil moisture,

- 51 hydrogeophysics
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#### 56 1. Introduction

Landscape alternation as conversion of natural ecosystems to agricultural lands, or 57 application of soil conservation measures as revegetation for preventing land degradation, 58 have a significant impact on soil water dynamics. The conversion of natural vegetation to 59 croplands with shallow rooting systems can increase water levels in unconfined aquifers and 60 mobilizes salts to groundwater (Hancock et al., 2008; Radford et al., 2009; Scanlon et al., 61 2009; Kurtzman and Scanlon, 2011). Afforestation or revegetation, where trees, grass and 62 shrubs are replanted, were related to depletion of soil water and reduction in groundwater 63 64 recharge fluxes (Scott and Lesch, 1997; Allen and Chapman, 2001; Zhang et al., 2008; Gates et al., 2011; Huang et al., 2013; Adane et al., 2018; Bai et al., 2020; Ouyang et al., 2021). 65 Various factors are attributed to the disturbance of the soil water status such as high water 66 demand, larger water-holding capacity of forest soils, deep roots, climate variability and 67 68 plantation of vegetation in an inadequate environment (Cramer et al., 1999; Rodriguez-Iturbe et al., 2001; Jia and Shao, 2014; Barbeta et al., 2015; Lazo et al., 2021). However, the effect 69 70 on water yield by revegetated areas is debatable and depends on different conditions (van Dijk and Keenan, 2007). Therefore, there is a growing interest in development of monitoring 71 72 methodologies to improve our knowledge of these processes (Robinson et al., 2008; Krause 73 et al., 2015).

74 The soil water content (SWC) comprises information regarding the interaction between

climate, vegetation and soil (Rodriguez-Iturbe et al., 2001; Vereecken et al., 2014).

76 Nevertheless, SWC is spatially and temporally highly variable (Western et al., 2002).

77 Remote sensing of SWC can provide valuable spatial information of SWC but only on the top

few centimeters of the soil; other methods, such as TDR and neutron probes, are limited in

79 their support volume. In contrast, geophysical methods, such as ground penetrating radar,

80 electromagnetic induction (EMI) and electrical resistivity, can be used for monitoring

subsurface water and solute dynamics at a range of temporal and spatial scales (Binley et al.,

82 2015).

83 The link between soil electrical conductivity ( $\sigma$ ) and SWC has been the focus of attention for

some time. Gardner (1898) first proposed the use of electrical conductivity for inferring

85 SWC. Although  $\sigma$  is strongly influenced by soil water content, it is also affected by other

86 factors, such as soil texture, temperature and pore water electrical conductivity (e.g.,

87 Friedman, 2005), necessitating the development of local (site specific) relationships between

88 σ and SWC. Binley and Slater (2020) provide a comprehensive analysis of the properties and 89 states of soil that influence electrical conductivity. In Section 2 we discuss the relationship 90 between  $\sigma$  and SWC in detail, and in the context of the current study.

91 The EMI method measures the apparent bulk electrical conductivity of the soil ( $\sigma_a$ ), which is 92 the depth weighted average value of the  $\sigma$ , with no requirement to establish any contact with the soil surface. The apparent conductivity is an integrated measurement of electrical 93 94 conductivity that is governed by the depth-sensitivity pattern of the specific measurement. EMI is a relatively mobile technique allowing the measurement of  $\sigma_a$  over large scales (Abdu 95 96 et al., 2008; Robinson et al., 2012). Doolittle and Brevik (2014) review the use of EMI measurements for qualitative mapping of soil properties and soil water processes. A number 97 of studies have illustrated the potential and challenges of the EMI method for estimation of 98 99 SWC over large areas by establishing relationships between  $\sigma_a$  and SWC (Robinson et al., 2012; Nagy et al., 2013; Calamita et al., 2015; Martini et al., 2017; Altdorff et al., 2018; 100 101 Martínez et al., 2020). Although the  $\sigma_a$  - SWC relationship can indicate the integrated state of the soil water, a detailed description of the soil water state with depth is limited (Corwin and 102 103 Rhoades 1982; Hendrickx et al., 2002). Modern EMI devices are manufactured with multiple coils and multiple frequencies, enabling the simultaneous measurement of  $\sigma_a$  from multiple 104 effective depths. This permits the inversion of the measured  $\sigma_a$  values in order to obtain the 105 'real' soil conductivity,  $\sigma$ . Previous studies suggested a number of approaches to establish the 106 107  $\sigma$  - SWC relationship under field conditions for different soil types (Huang et al., 2016, 2017). They used  $\sigma$  values derived from inversion of the  $\sigma_a$  data and related these to 108 observed SWC values. The major drawback of the inversion solution is non-uniqueness, i.e. 109 multiple solutions for the same dataset. To encourage unique solutions and reduce some 110 uncertainties, various approaches are suggested such as regularization or joint inversions of 111 geophysical datasets (Constable, 1987; Linde et al., 2006). Recently, Robinet et al. (2018) 112 reported on difficulties to invert  $\sigma_a$  for the establishment of *in situ*  $\sigma$  - SWC relationships. 113 Instead, they utilized a  $\sigma_a$  forward modeling approach to develop field-based  $\sigma$  - SWC 114 relationships. 115

116 Given the potential value of EMI for mapping variation in soil water and the need to

understand the impact of land management practices, we carried out EMI measurements over

four typical land covers (Peashrub, Purple Alfalfa, millet/soybean and fallow) at a study site

in the north of the Chinese Loess Plateau. Previous studies have documented long term SWC

observations up to 4 m depth under each of the four plots (Liu and Shao, 2016; Zhao et al., 120 2017). Liu and Shao (2016) showed that the vegetation type significantly controls the vadose 121 zone water dynamics. Furthermore, Zhao et al. (2017) analyzed a 10 year record of soil water 122 variability under different land covers and revealed high temporal variability (coefficients of 123 variation up to 40% to depths of 4 meters) under Purple Alfalfa and Peashrub covers, which 124 reflect the significant water demands by these vegetation types. Earlier studies (e.g Li et al., 125 2008) have shown that water uptake under these vegetation types can extend to several 126 meters depth. From the investigation of Zhao et al. (2017), the millet and soybean (and 127 128 fallow) land covers seem to be the most sustainable in this environment. Therefore, the first objective of this study was to explore the capability of using  $\sigma_a$ , measured by EMI, to assess 129 water sustainability of particular land covers. The second objective was to explore the  $\sigma$  -130 SWC relationships in the deep vadose zone under the different land covers. Most previous 131 soil water - EMI studies have targeted relatively shallow variation in electrical conductivity; 132 here we study variation in soil water and  $\sigma$  to depths of 4m. 133

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#### 135 **2. Method**

#### 136 **2.1 Study Site**

This study was conducted at the Shenmu Soil Erosion and Environment Experimental Station 137 (38°47'46" N, 110°21'55" E) on the northern LPC. The mean annual air temperature is 8.4 138 139  $^{\circ}$ C, the annual reference evapotranspiration (ET<sub>0</sub>) is 1020 mm and the average annual precipitation is 437 mm, 70% of which falls from July through October (climate records are 140 presented in Supporting Information). Significant soil erosion driven by wind and rainfall in 141 this region motivated the implementation of a large scale vegetation restoration, the 'Grain to 142 Green' project, to improve soil stability (Jia and Shao, 2014; Feng et al., 2016). Since 1999, 143 many farmlands were converted into forest and grassland, mainly in areas where slopes 144 exceed 15<sup>0</sup> (Liang et al., 2015). Throughout the replantation project, nonindigenous and 145 indigenous vegetation were introduced to the region (Feng et al., 2016). The study site was 146 established to understand the impact of introducing different cover types in the Loess Plateau. 147 Experimental data has indicated that the nonindigenous vegetation appear to have excessive 148 demands on soil water, keeping the soil under dry conditions and limiting soil water 149 replenishment, in addition to reducing aquifer recharge. Therefore, the sustainability of some 150 introduced land cover types is in question (Liu and Shao, 2016; Zhao et al., 2017). 151

Four adjacent plots (61 m×5 m) were established in 2004 on slopes with a uniform gradient 152 (12–14°) (Figure 1). To test the effect of different vegetation types on the dynamics of soil 153 water, three vegetation covers were introduced: "shrub" (Korshinsk Peashrub - Caragana 154 korshinskii); "grass" (Purple Alfalfa - Medicago sativa); "crop" (two-year rotation of millet 155 and soybean). A "fallow" plot was also created. This was cultivated until 2004, and 156 subsequently abandoned with no further disturbance. Different vegetation types grow over 157 this plot. For the crop plot, the soybeans/millet were sowed during May and harvested in 158 October. After harvest, the crop plot remains clear of vegetation until following May. Both 159 crops were fertilized with 120 kg ha<sup>-1</sup> N and 60 kg ha<sup>-1</sup> P<sub>2</sub>O<sub>5</sub> annually, following the 160 recommendation of the local agriculture service. The Caragana were planted at a planting 161 spacing of 70 cm×70 cm, then left alone to grow naturally, and alfalfa were planted with a 162 row spacing of 50 cm in 2004. The above-ground parts of the Alfalfa were cut in the 163 beginning of July and October every year. Note that the plots are rainfed and no irrigation is 164 applied. In order to maintain consistency with previous studies at the site, we adopt the same 165 labelling here: shrub (SL), grass (GL), fallow (FL) and crop (CL) (Figure 1). 166

Neutron-probe access tubes to 4 m depth were installed along 11 points in the centerline of 167 each plot, at 5 m intervals (Figure 1). A previous study (Liu and Shao, 2016) presented 168 analyses of soil samples at the site, indicating similarity in soil physical properties between 169 the plots. The soil is a Calcaric Regosol (FAO-UNESCO), developed from low fertility loess. 170 The soil has weak cohesion, high infiltrability, low water retention, and is prone to erosion 171 (Fu et al., 2010). The soil texture is composed of 11%-14% clay, 30%-45% silt and 45%-51% 172 sand (Liu and Shao, 2016) and can be classified as loam. Figure 2 shows example particle 173 size distribution data from two 3m deep sampling points at the site. The texture profiles 174 show remarkable similarity over 3m depth; from these and other profiles measured at the site, 175 the soil texture spatial variability is insignificant. As part of a regional deep vadose 176 investigation, a borehole was drilled to bedrock at 60 m depth in Shenmu (Jia et al. 2018). 177 Further, observations of bulk density from samples extracted from the deep vadose zone 178 179 (Figure S2). These observations reveal an increase in bulk density over the top 4m of the profile. 180

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# 182 **2.2 Data Collection**

Soil water content and apparent soil electrical conductivity ( $\sigma_a$ ) measurements were carried 183 out during three days in August and September, 2017 (Figure 3). All measurements of SWC 184 and  $\sigma_a$ , were conducted at each of the four plots, at the 11 locations in the centerline of each 185 plot. SWC measurements were made using a CNC503DR Hydro probe neutron probe 186 (Beijing Super Power Company, Beijing, China). Neutron counts were taken at an interval of 187 0.1 m in the upper 1 m and at 0.2 m intervals over 1m to 4 m. Thus in total there are 3300 188 SWC measurements. Apparent electrical conductivity measurements were made using the 189 CMD Explorer (GF Instruments, Czech Republic) electromagnetic induction (EMI) device, 190 positioned at 1m above ground level and orthogonal to the neutron probe tube. The 191 instrument is 5 m long and has a 10-kHz transmitter coil and three receiver coils at different 192 spacing from the transmitter (1.48m, 2.82m, and 4.49 m). The accuracy of measurement is 193  $\pm 4\%$  at 50 mS/m (GF Instruments, Czech Republic). The instrument is used in two types of 194 coil orientation: horizontal coplanar (HCP) and vertical coplanar (VCP). Thus, the EMI 195 device allows the collection of  $\sigma_a$  from six different effective depths. In total, there are 792 196 measurements of  $\sigma_a$ . Field tests were conducted to confirm negligible impact of the neutron 197 probe access tube on the measurements when carried out 1m above ground level. 198

If EMI measurements are made at ground level and assuming relatively uniform electrical
conductivity, it is normal practice to assume that the cumulative sensitivity patterns can be
expressed, for VCP and HCP orientation, as (McNeill, 1980):

202 
$$CS_{VCP}(z) = \left[4\left(\frac{z}{s}\right)^2 + 1\right]^{0.5} - 2\left(\frac{z}{s}\right)$$
(1)

203 and

204 
$$CS_{HCP}(z) = \left[4\left(\frac{z}{s}\right)^2 + 1\right]^{-0.5}$$
 (2)

where s is the transmitter receiver coil spacing (1.48m, 2.82m or 4.49 m).

In equations (1) and (2) the cumulative sensitivity will be, by definition, unity at the ground surface. As discussed by Morris (2009), measurements made with the coils above ground level result in a modified cumulative sensitivity pattern, as shown in Figure 4 for measurements made 1m above ground level. Adopting, as is common practice for EMI measurements, a definition of the depth of investigation (DOI) as the depth over which 70% of the signal is sensitive to, then for the VCP orientations we can compute a DOI of 2.7m, 3.4m and 4.5m for the three-coil spacing, and a DOI of 3.1m, 4.6m, 6.9m for the HCPorientation (see Figure 4).

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# 215 2.3 Establishment of a relationship between SWC and $\sigma$

The development of a relationship between SWC and  $\sigma$  is required in order to convert the 216 observed EMI data to SWC. Numerous models have been developed to relate  $\sigma$  to SWC. 217 Many originate from early oil reservoir studies (e.g. the well-established approaches of 218 Archie (1942) and Waxman and Smits (1968)); several approaches have targeted soils (most 219 notably Rhoades et al. (1976)). Models range from purely empirical, semi-empirical to 220 physics-based. Laloy et al. (2011) documents a valuable comparison of a range of models for 221 soils, using the term "pedo-electrical" model to differentiate this from the classical 222 petrophysics terminology. 223

Despite the range of approaches, the general structure of a  $\sigma$  - SWC model is that there should be a conducting term for the pores and a parallel contribution from conduction along the particle surface ('surface conduction'), which is intuitively linked to the proportion of fine particles, often based on clay content (see, for example, Revil and Glover (1998)). Laloy et al. (2011) show, from their comparison, that a volume averaging approach, used by Linde at al.(2006), was the most effective at fitting their experimental data. This model can be written as:

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$$\sigma = \frac{1}{F} \left[ \sigma_f \left( \frac{\theta}{\phi} \right)^n + (F - 1) \sigma_s \right], \qquad (3)$$

where *F* is the formation factor,  $\sigma_f$  is the fluid electrical conductivity,  $\theta$  is the SWC,  $\phi$  is porosity, *n* is a parameter that is controlled by the texture of the media, and  $\sigma_s$  is the surface electrical conductivity. The formation factor, *F*, is also a function of the soil texture and porosity, typically expressed as  $\phi^{-m}$ , where *m* is the commonly named cementation exponent.

A number of studies have shown that a simple linear relationship can be established between

water content and electrical conductivity (e.g., Michot et al., 2003; Calamita et al., 2012;

- Robinet et al., 2018), which is clearly equivalent to assuming n = 1 in equation (3).
- 240 Following this, we may write:

$$\sigma = a * \theta + b \tag{4}$$

242 where, if adopting equation (3), the coefficients are:

243 
$$a = \sigma_f \phi^{m-1}, \ b = (1 - \phi^m) \sigma_s.$$
 (5)

To convert the  $\sigma$  from equation 4 to  $\sigma_a$ , the forward solution of the cumulative sensitivity model is utilized, following the approach of Robinet et al. (2018). The EMI instrument measures the bulk apparent electrical conductivity ( $\sigma_a$ ), which, using the cumulative sensitivity functions in equations (1) and (2), is related to  $\sigma(z)$ . Assuming a series of layers, where the middle of each layer is the SWC depth measurement, with conductivity  $\sigma_i$ (*i*=1,2,3...*M*), the apparent conductivity for a given coil spacing, *s*, and orientation, can be expressed as:

251 
$$\sigma_a = \sigma_1 [1 - CS(z_1)] + \sum_{i=2}^{M-1} \sigma_i [CS(z_i) - CS(z_{i-1})] + \sigma_M CS(z_{M-1}), \tag{6}$$

where *M* is the lowest layer. In this study we have SWC observations to 4m depth and so the value of  $\sigma_M$  is assumed to represent the electrical conductivity at greater depths.

The approach adopted involved taking, for all land cover types, measurements of SWC at 25 depths, and converting these to 6 apparent conductivities (3 coil spacings, 2 orientations) for the 11 locations on three dates using a given value of a and b in equation (4). The optimum values of a and b that minimize the root mean square error of a sample size N, given by

258 
$$RMSE = \sqrt{\frac{1}{N} \sum \left(\sigma_{a_{(obs)}} - \sigma_{a_{(predicted)}}\right)^2}$$
(7)

where  $\sigma_{a(obs)}$  are the observed apparent conductivities and  $\sigma_{a(predicted)}$  are the predicted values for a given *a* and *b*. The optimization was carried out using the *fminsearch* function that is available on the Matlab optimization toolbox (MathWorks, 2015). This function uses the Nelder-Mead simplex algorithm (Lagarias et al., 1998).

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# 264 **2.4** Unsaturated water flow and solutes transport modelling

For the current study there are no measurements of pore water electrical conductivity. To address this, the Richards equation and the advection – dispersion equation (ADE) were used to simulate the accumulation of chloride in the vadose zone of the four land covers.

We implemented a calibrated unsaturated water flow model that was calibrated to long term 268 data measured at the study site (Bai et al., 2020). For detailed description of the model 269 calibration and validation results, the reader is referred to Bai et al. (2020). The unsaturated 270 water flow is described by the Richards equation: 271

272 
$$\frac{\partial \theta}{\partial t} = \frac{\partial}{\partial z} \left[ K(\psi) \left( \frac{\partial \psi}{\partial z} + 1 \right) \right] - S, \tag{8}$$

where  $\psi$  is the matric potential head [L],  $\theta$  is the volumetric water content [L<sup>3</sup> L<sup>-3</sup>], t is time 273 [T], z is the vertical coordinate [L],  $K(\psi)$  [L T<sup>-1</sup>] the unsaturated hydraulic conductivity 274 function, is a function of the matric potential head and S is a root water-uptake sink term  $[L^3]$ 275 L<sup>-3</sup> T<sup>-1</sup>]. The Richards equation was solved numerically by using the Hydrus 1D code 276 (Šimůnek et al., 2008). Simulation of the root water uptake rate (the sink term) was 277 conducted according to the model suggested by Feddes et al. (1978); parameters used for the 278 different plant type were obtained from the Hydrus 1D database (millet (crop), grass and 279 280 alfalfa (shrub)). The Mualem - van Genuchten calibrated unsaturated hydraulic functions obtained by Bai et al. (2020) were implemented in the model. 281

The ADE was applied to describe the unsaturated chloride transport in the unsaturated zone 282 of the different land covers: 283

284 
$$\frac{\partial \theta C_{Chloride}}{\partial t} = \frac{\partial}{\partial z} \left[ \theta D \frac{\partial \theta C_{Chloride}}{\partial z} \right] - \frac{\partial q C_{Chloride}}{\partial z} , \quad (9)$$

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where  $C_{Chloride}$  [M L<sup>-3</sup>] is chloride concentration in the pore-water solution, D [L<sup>2</sup> T<sup>-1</sup>] is the 285 hydrodynamic dispersion coefficient and q [L  $T^{-1}$ ] is the water flux. Turkeltaub et al. (2018) 286 suggested a representative value of 7.5 cm for the longitudinal dispersivity in the LPC. This 287 value was calculated according to sampled chloride and nitrate vadose zone profiles across 288 the LPC. 289

290 Atmospheric boundary conditions with a surface layer (assuming zero for ponding depth at the soil surface) were prescribed at the upper boundary (land surface) as rain, leaf area index 291 (LAI), potential evapotranspiration (ET<sub>0</sub>), rain chloride concentrations and the minimum 292 allowed pressure head at the soil surface (hCritA) (Šimůnek et al., 2008) at a daily temporal 293 294 resolution. To estimate the potential ET<sub>0</sub> values, reference evapotranspiration (ET<sub>ref</sub>) values were multiplied with the single crop coefficients (Kc). Kc values for millet (crop), grass, 295 alfalfa (shrub) and bare soil were based on Allen et al. (1998). The chloride concentration in 296 the rain was 1.7 mg/L (Huang et al., 2013).

The vertical root density distributions for the different covers were implemented according to the root profiles that were published by Bai et al. (2020). For the crop plot, a linear root distribution was assumed till approximately 50 cm depth (Bai et al., 2021). Under the grass and the shrub plots, the roots were distributed over 400 and 270 cm, respectively (Bai et al., 2021). For the root distribution profiles, the reader is referred to Figure S2 in the supporting information provided by Bai et al. (2020). The increase in leaf area index (LAI) during the growing season for millet, grass and alfalfa was estimated with the model of Leenhardt et al.

305 (1998), where the increase in LAI is assumed a function temperature according to:

$$LAI(T) = \frac{LAI_{max}}{[1 + e^{-b(T - T_i)}]},$$
 (10)

where  $LAI_{max}$  is the maximum LAI of the crop,  $T_i$  (<sup>0</sup>C) is the sum of temperature at the 307 inflection point of the curve, and b is a curvature parameter. The LAImax and the b parameters 308 309 were estimated using the temperature database and reported LAI curves (McVicar et al., 2005, natural grass; Wu et al., 2003, millet; Zhao et al., 2004, alfalfa). For further information 310 311 of the calculated LAI of the different plant types, the reader is referred to Figure S3 in Supporting Information. Daily climate data, covering the period 01-Jan-1961 to 31-Dec-312 313 2017, were obtained in the vicinity of the study site (State Bureau of Meteorology, 2020; http://cdc.cma.gov.cn). The simulations started in 01-Jan-1961 and ceased on the 21-Aug-314 2017 (20718 days). By running the models over a long period, the effect of the initial 315 conditions was minimized. The models performance evaluation was conducted following the 316 analysis suggested by Bai et al. (2020). Three types of statistical measures were used: (1) The 317 Nash-Sutcliffe efficiency coefficient (NSE); (2) root mean square error (RMSE); (3) mean 318 absolute percent error (MAPE). The closer NSE to 1, the better the model fit. Lower values 319 of RMSE and MAPE indicate a better fit between model and data. 320

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#### 322 **3.** Results and Discussion

## 323 **3.1 Spatio-Temporal Variability of SWC**

In Figure 5, SWC profiles for all the survey dates are shown. The movement of a drying front can be seen between the first two survey dates, followed by subsequent wetting in the third survey (following the late August rainfall event). The profiles show similarity for a given land cover type (limited horizontal variability was observed along the slope) and also the

reduced soil water content at depth for the grass and shrub cover type, due to the greater 328 water demands of such cover and the deep root penetration, which is estimated to be greater 329 than 4 m depth (Zhao et al. 2017). These are consistent with the long term study at the site of 330 Zhao et al. (2017) who also showed that water percolates to deeper parts of the vadose zone 331 under the crop cover compared to the other land covers. The significant differences in SWC 332 between the land covers, which are subjected to the same climatic conditions, and uniform 333 soil texture (Figure 2), highlights the potential negative effect on SWC due to the plantation 334 of vegetation that is unsustainable in the LPC region (Fang et al., 2016; Liu and Shao, 2016; 335 336 Zhao et al., 2017). Figure 6 summarizes the SWC data for the three survey dates, adding further illustration of the effect of land cover type on soil water availability. 337

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#### 339 **3.2** $\sigma_a$ Measurements

The apparent conductivity measurements are summarized as box and whisker plots in Figure 7. The vertical coplanar and horizontal coplanar configurations with similar depths of investigation show consistency. The plots indicate an increasing conductivity with depth across all land cover types and a clear contrast in apparent conductivity for the four land covers, particularly for the measurements over greater depths. There is a clear similarity between land cover contrasts in SWC (Figure 6) and apparent conductivity (Figure 7), particularly when we compare the shrub and grass cover to the fallow and crop cover.

Robinson et al. (2008) reported on similar variability in  $\sigma_a$  for different vegetation species. 347 They related the ranking in  $\sigma_a$  values to the relationship between plant communities and soil 348 types. The plots in the current study are, however, adjacent and major differences in soil 349 texture are not observable (Figure 2). Therefore, it can be assumed that the ranking of  $\sigma_a$  is 350 probably dominated by the water conditions in the vadose zone, influenced by the water 351 demand of the vegetation cover. We note that some discrepancy between crop and fallow 352 cover might be related to the fertilizer application for the crop (Zhao et al., 2017). Similar 353 observations were reported elsewhere (Calamita et al., 2015). Nevertheless, the  $\sigma_a$  values 354 obtained at the crop and fallow are generally higher to those obtained on the shrub and grass 355 plots, which are known to experience bigger demands on soil water status. 356

Further interpretation was suggested in previous studies regarding the statistics of the  $\sigma_a$ values (Robinson et al., 2008; Calamita et al., 2015). For the following interpretation, two

assumptions are made: 1) the  $\sigma_a$  measurements reflect the soil water conditions (as was 359 shown above) and 2) vegetation under optimal conditions would show a low coefficient of 360 variation (CV) of the SWC (Robinson et al., 2008; Zhao et al., 2017). Robinson et al. (2008) 361 showed empirically that highly skewed  $\sigma_a$  distributions and high CVs values indicate that 362 vegetation grows outside their optimal environment. The long-term investigation (over 10 363 years) of SWC time series measurements at the study site by Zhao et al. (2017) revealed a 364 decreasing trend in the coefficient of variation of SWC as follows: crop < fallow < grass < 365 366 shrub. Similarly, a high coefficient of variation was calculated for the  $\sigma_a$  measurements under the shrub cover (Table 1). Thus, following the presented analysis, we observe the same 367 ranking of variation in apparent conductivity for the deeper measurements (see Table 1). 368 Based on their observations of SWC, Zhao et al. (2017) concluded that the Korshinsk 369 Peashurb is not sustainable, in terms of SWC use, in the region. The EMI results presented 370 here may offer a means of detecting areas that might be affected by revegetated plants under 371 unsustainable conditions in the LPC. 372

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#### 374 **3.3** SWC - $\sigma$ Relationship

375 The measurements obtained in the current study enabled us to explore relationships between SWC and  $\sigma$  at the study site. As stated earlier, the approach involved compiling an aggregate 376 dataset for the site, rather than applying the model search for different cover types, since 377 there is likely to be a limited range of the data to perform the latter. Table 2 reports the linear 378 379 coefficients a and b (equation 4) obtained using the optimization process adopted here. The fit for each model is similar, approximately 1mS/m, which is within the accuracy of the 380 instrument. Power law models were also tested, however, these models did not provide any 381 further improvement in performance, which is in line with previous studies (Michot et al., 382 2003; Calamita et al., 2012). In addition, Robinet et al. (2018) noted that a better linear 383 relationship between  $\sigma_a$  and soil moisture could be obtained by using  $\sigma_a$  observations from 384 385 their deeper sensed EMI configuration.

Figure 8 shows the model fit for the six coil orientations, plotted to differentiate the four cover types. The grass and shrub cover data show the greatest departure from the 1:1 apparent conductivity, particularly at greater depths. This may be related to the relatively high salinity conditions that might prevail under these cover types due to elevated evapotranspiration.

Figure 9 shows the variation in  $\sigma$  - SWC relationship parameters with depth, using a nominal 391 depth as that at which the cumulative sensitivity function CS(z) equals 0.5, i.e., the depth over 392 which 50% of the EMI measurement is sensitive to. Note that this 'halfdepth' is a nominal 393 depth, used for illustration, although it is sometimes used to guide EMI survey design (see 394 Morris, 2009). A consistent increase with depth in both a and b is seen for both coil 395 orientations. From equation (5) an increase in a could be accounted for (i) increase in pore 396 water conductivity, (ii) reduction in porosity, (iii) increase in cementation exponent, m. An 397 increase in b can also be attributed to a reduction in porosity and an increase in m, in addition 398 to an increase in surface conductivity. The observations of bulk density reveal an increase in 399 bulk density over the top 4m of the profile (Figure S2). Assuming a particle density of 2.65 400  $g/cm^3$ , this equates to a reduction in porosity from 0.50 at 0.5m depth to 0.44 at 4.5m depth, 401 i.e. a reduction by 10%. Assuming a cementation exponent, m = 2 since most porous 402 sediments have cementation exponents between 1.5 and 2.5 (Cai et al., 2017) such a 403 404 reduction in porosity can only account for a 30% increase in a. It would appear, therefore, that pore water conductivity variation with depth is a primary driver of the change in model 405 406 coefficients with depth.

Developing relationships between soil water content and electrical conductivity is constrained 407 by the influence of a range of properties, making the use of universal models somewhat 408 limited without local calibration. GF Instruments report that the measurement accuracy for 409 the CMD-Explorer is  $\pm 4\%$  and the measurement accuracy of the CNC503DR Hydro neutron 410 probe is also reported to be about 4%. The RMSE values of all the models are 10% or lower 411 than the mean of the measurements. Furthermore, the  $R^2$  and the RMSE values that were 412 reported here are comparable to previously published calibrated models (Tromp-van 413 Meerveld & McDonnell, 2009; Robinson et al., 2012; Calamita et al., 2015; Coppola et al., 414 2016; Robinet et al., 2018). Therefore, for the dataset studied here a linear  $\sigma$  – SWC model 415 was considered to be suitable. Although we recognize that given a wider range of soil water a 416 more non-linear function may be suitable (as in, for example, Robinet et al., 2018). Despite 417 this, our results show that, qualitative mapping of the impact of soil water reduction from 418 excessive crop water uptake is potentially feasible in the Loess Plateau region of China. 419

420

# 421 **3.4** Accumulation of chloride in the vadose zone

Simulated and observed SWC are plotted in Figure S4 and Figure S5 in the Supporting 422 Information. Note that the soil hydraulic functions and root vertical distributions were 423 prescribed according to Bai et al. (2020) and no further adjustments were conducted. The 424 RMSE and MAPE were similar and low for all the plots (Figure S5), while the NSE value 425 was different for each plot and showed higher efficiency for the Crop and Grass plots (Figure 426 S5). These results were comparable to the analysis presented by Bai et al. (2020). Thus, the 427 model can be considered to adequately describe the SWC dynamic under the investigated 428 plots (Bai et al., 2020). By including the longitudinal dispersivity in the model, the transport 429 430 of chloride (of rainfall origin) in the vadose zone under the different covers is revealed.

431 Figure 10 presents the calculated chloride concentrations at the end of the model runs (20<sup>th</sup>

432 September 2017). The simulated chloride concentrations under the alfalfa are nearly two

times higher compared with the fallow and six times that with the crop (millet, Figure 10).

434 Previous studies in the LPC reported soil profile information that are comparable to the

435 simulated chloride. Huang et al. (2013) showed an intensive accumulation of chloride under

alfalfa (about 6.5 times higher than under rain-fed winter wheat crop). Additional studies

437 (Gates et al., 2011; Huang et al., 2021) revealed an increase in chloride accumulation in the

438 vadose zone under similar shrub covers as in this study and under orchards in the LPC.

An earlier study by Hilhorst (2000) suggested that under dry conditions, the  $\sigma_a$  measured by 439 EMI, is more affected by the increase of pore water conductivity and less closely associated 440 to SWC. Furthermore, in semi-arid areas the climatic forcing has a major effect on deep 441 442 drainage. The level of deep drainage intensity would define the build-up of salts and their distribution in vadose zone (Scanlon et al., 2010). Recently, several studies have indicated 443 444 that the pore-water conductivity distribution in the vadose zone should be considered when establishing an *in situ*  $\sigma$  - SWC relationship in semi-arid areas (Moreno et al., 2015; Cassiani 445 et al., 2016). However, currently there are no reported field studies of *in situ* simultaneous 446 measurements of SWC,  $\sigma_a$  and pore water conductivity under semi-arid conditions. The 447 build-up of salts and associated soil salinity in the LPC vadose zone has surprisingly received 448 little attention. 449

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436

## 451 4. Summary and Conclusions

The measurements of SWC in deeper parts of the vadose zone at large scales is challenging. 452 Geophysical methods such as the EMI approach might facilitate a bridge between processes 453 observed locally and at larger scales. Here, EMI was applied to measure apparent electrical 454 conductivity over six effective depths in four plots covered by typical land cover types 455 (shrub, grass, fallow and crop) in the north of the LPC. SWC were measured with neutron 456 probes from the ground surface to a depth of 4 meters. The unique loess environment in the 457 LPC, with its characteristic deep soils and relatively insignificant soil variability, reduces the 458 effect of soil texture variation on EMI readings to minimum. Moreover, for this particular 459 460 study, soil textural variation is insignificant and can be neglected. The similarity of the soil texture between all plots enabled a focus of investigation on the potential influences of 461 different cover types on the spatiotemporal variability of SWC and apparent electrical 462 conductivity. 463

An increasing trend in  $\sigma_a$  values: SL<GL<FL<CL, corresponds with the increase in average SWC in the plots. Moreover,  $\sigma_a$  values that were measured in the shrub covered plot show a relatively high variability, which is consistent with documented variability of SWC for soils under this vegetation, indicating unsustainable water conditions in the vadose zone.

468 Linear relationships between soil water content and specific-depth soil electrical conductivity ( $\sigma$ ) under the different land covers were established. The  $\sigma$  values were estimated using the 469 SWC observations, assuming a linear relationship between these variables. The analysis 470 reveals a change in model parameters with depth. Textural variation is apparently negligible 471 (to 3m depth at least), however, such variation in model parameters may be attributed, in part, 472 to changes in bulk density. Increases in pore water electrical conductivity are hypothesized as 473 a primary cause of the depth dependency of the  $\sigma$  - SWC model parameters. Simulations of 474 chloride profiles support the hypothesis that contrasts in pore water electrical conductivity 475 could exist under different crop types. Elevated pore water conductivity beneath shrub and 476 grass covers would imply even greater significance of the soil water content since these two 477 cover types exhibit lower apparent conductivity than the other two cover types. To improve 478 SWC prediction from EMI observations pore-water conductivity should be measured. 479 Nevertheless, the results presented here illustrate how excessive water demands of Korshinsk 480 Peashrub and Purple Alfalfa at the study site are revealed by their lower apparent 481 conductivity and (for the case of the shrub cover at least) their high variation in apparent 482 conductivity. Our EMI dataset reveals an immense potential for mapping, qualitatively at 483

least, areas of the Loess Plateau that are vulnerable to excessive vegetation demands, andhence unsustainable land cover.

486

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#### 779 Figures

- **Figure 1** *Layout of the four plots in the Shenmu Research site. The lower part of the*
- *photograph is downslope. The black dots in the schematic show the locations of neutron probe and EMI measurements.*
- Figure 2 Profiles of particle size distribution for two locations at the site, showing little
  spatial variability in textural properties. The locations of the shrub and fallow plots are
- *shown in Figure 1.*
- Figure 3 Daily rainfall between July 2017 and October 2017. The arrows indicate when the
  SWC and EMI surveys were conducted.
- **Figure 4** *Cumulative sensitivity functions for vertical coplanar (VCP) and horizontal*
- 789 coplanar (HCP) orientations with instrument located 1m above ground level. Arrows are
- 790 *positioned at the depth of investigation for a given coil spacing, s.*
- Figure 5 Soil water content profiles in the four plots on the three survey dates. The solid line
  is the median profile; the shaded region shows the 1st and 3rd interquartile range.
- **Figure 6** The average soil water contents under the different land covers. The horizontal line shows the median SWC, the box shows the 2nd and 3rd quartile range and the whiskers show
- *the 1st and 4th quartiles.*
- **Figure 7** Box and whisker plots of the apparent electrical conductivity ( $\sigma_a$ ) measurements
- *from six effective depths, which were obtained over the different land covers. The horizontal*
- *line shows the median SWC, the box shows the 2nd and 3rd quartile range and the whiskers*
- *show the 1st and 4th quartiles.*
- 800 Figure 8 Estimated versus observed  $\sigma_a$  for all crop cover types using the relationships in 801 Table 2. The black line in each plot is the 1:1 relationship.
- **Figure 9** Variation in  $\sigma$ -SWC relationship parameters with depth.
- **Figure 10** *Simulated chloride profiles in the vadose zone under the four land cover types.*
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**Table 1.** Coefficient of variation of apparent conductivity measurements

Coil configuration and	Crop	Fallow	Grass	Shrub
spacing				
VCP 1.48m	9.23	15.65	8.57	18.25
VCP 2.82m	8.24	6.30	6.90	13.72
VCP 4.49m	5.45	5.96	7.23	9.98
HCP 1.48m	6.70	5.81	8.90	19.05
HCP 2.82m	6.16	6.23	7.80	12.11
HCP 4.49m	6.84	7.25	8.54	10.68

**Table 2.** *Estimated relationships between soil water contents and*  $\sigma$  *for all land covers.* 

Configuration	Coil	DOI (m)	<i>a</i> (mS/m)	<i>b</i> (mS/m)	RMSE
	spacing, s				(mS/m)
	(m)				
VCP	1.48	2.7	23.7	1.7	0.7
VCP	2.82	3.4	32.3	4.1	0.8
VCP	4.49	4.5	38.9	5.7	1.0
НСР	1.48	3.1	19.6	5.2	0.8
НСР	2.82	4.6	30.3	7.8	1.0
НСР	4.49	6.9	37.5	9.2	1.3







# Figure 2





























Figure 8





Figure 10

