

On-demand cold plasma activation of acetyl donors for bacteria and virus decontamination

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Antibiotics are commonly used as the first line of defense in the treatment of infectious diseases. However, the rise of antimicrobial resistance (AMR) is rendering many antibiotics less effective. Consequently, effective non-antibiotic antimicrobial strategies are urgently needed to combat AMR. This paper presents a strategy utilizing cold plasma for the “on-demand” activation of acetyl donor molecules. The process generates an aqueous-based antimicrobial formulation comprising a rich mixture of highly oxidizing molecules: peracetic acid, hydrogen peroxide and other reactive oxygen and nitrogen species. The synergistic potent oxidative action between these molecules is shown to be highly effective at eradicating common wound pathogenic bacteria (*Pseudomonas aeruginosa* and *Staphylococcus aureus*) and at inactivating a virus (SARS-CoV-2).

Antimicrobials are the most widely used therapeutic drugs worldwide. They have revolutionised medicine, farming and agriculture, and veterinary care, and are used in many other industries including commercial paint formulations. However, their overuse, particularly in the case of antibiotics, has rendered them less effective through the rise of antimicrobial resistance (AMR)¹. By 2050, AMR is forecasted to cause 10M deaths per annum resulting in a potential US\$100 trillion shock to the global economy². Consequently, there is a need for “on demand” antibiotic-free solutions for microbial eradication to eliminate the growing problem of AMR in healthcare and in our environment.

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This study describes an antibiotic-free strategy utilising the cold plasma activation of acetyl donor antimicrobial precursors. The process generates a potent antimicrobial water-based disinfectant (**Figure 1**). Cold plasma is a low-temperature (e.g. human tissue tolerable) electrically-generated partially ionised glow-discharge. When operated into air, cold plasma activates the surrounding oxygen, nitrogen and water vapor molecules, generating reactive oxygen and nitrogen species (RONS)³⁻⁷. These RONS can oxidise molecules and cells which is potentially useful for a broad range of applications including water purification, sterilization and decontamination of pathogenic microorganisms⁸. Some of these RONS have a high Henry's law constant such as hydrogen peroxide (H_2O_2) = 1.92×10^6 and peroxyntrous acid (ONOOH) = 4.8×10^6 allowing for them to be easily solubilised when delivered by cold plasma into aqueous solutions (e.g. water)⁹. In combination, H_2O_2 and ONOOH can kill microorganisms through

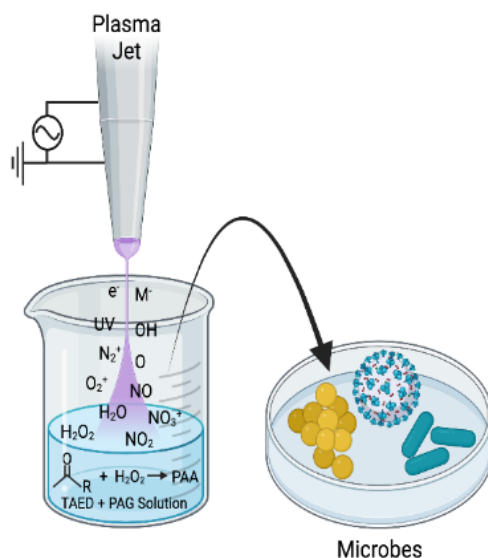


Figure 1: Pictorial overview of the cold plasma activation of an acetyl donor from TAED and PAG generating PAA, H_2O_2 and other RONS for the eradication of pathogenic microbes.

oxidative stress, exacerbated at low pH¹⁰⁻¹². However, many microorganisms have efficient antioxidant defence mechanisms that reduce the antimicrobial efficacy of cold plasma, including certain pathogenic bacteria which can release catalase – an antioxidant enzyme that neutralises H_2O_2 ¹³. To mitigate this likely problem, we investigated the use of two antimicrobial precursor acetyl donors, tetraacetyethylnediamine (TAED) and pentaacetate glucose (PAG), to amplify the antimicrobial effects of cold plasma. TAED and PAG and their by-products are readily biodegradable and are non-toxic if used at low concentrations and can be manufactured using green

chemistry¹⁴⁻¹⁶. Plasma generated H_2O_2 reacts with the acetyl donors of TAED and PAG to produce peracetic acid (PAA) which acts in synergy with H_2O_2 and other plasma generated RONS, providing a potent broad-spectrum antimicrobial solution (**Figure 1**). PAA at the low concentration used in this study, has been shown to be non-toxic in an animal model¹⁵. Further, PAA does not easily give rise to AMR¹⁵ and is used in many industrial applications including food decontamination¹⁷, wastewater treatment¹⁸, hospital waste sterilisation¹⁹ and medical instrument disinfection²⁰. An advantage of PAA for these applications is that it does not leave harmful residues as it quickly decomposes to acetic acid, oxygen and water.

The cold plasma jet used for these experiments consisted of an internal stainless steel pin high voltage (HV) electrode sealed inside a quartz tube (inner diameter (ID) = 1.5 mm, outer diameter (OD) = 3 mm). Two cylindrical ground electrodes made up of copper were placed at positions of 56 mm and 110 mm below the tip of the HV electrode (**Figure 2(a)**). The first ground electrode helped to reduce the breakdown voltage while the second ground electrode served to increase the length of the plasma jet. The tube length of 164 mm below the HV electrode was designed to maximize the production of H_2O_2 ²¹. Plasma was ignited by purging Ar gas at 1 standard litres per minute through the tube and supplying 2.38 kV rms voltage at 23.5 kHz to the HV electrode producing a dissipated power of 2.71 W (**Figure 2(b)**, also see Supplementary

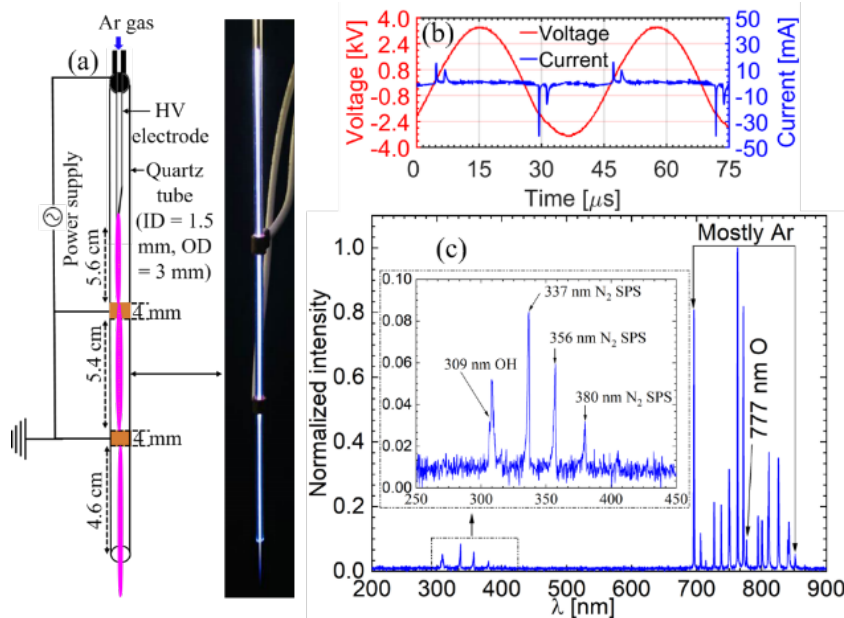


Figure 2: (a) Setup of the cold plasma jet assembly, (b) its current-voltage waveforms, and (c) optical emission spectra of the plasma discharge.

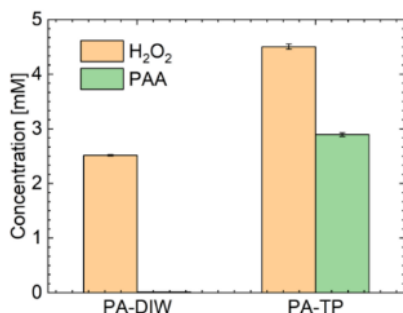
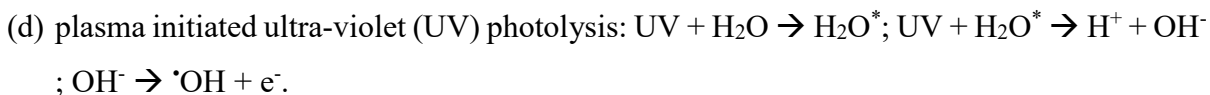
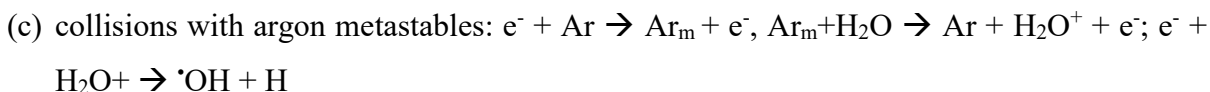
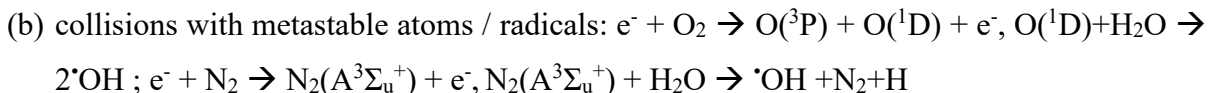
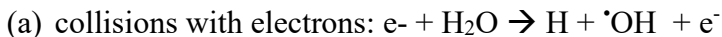


Figure 3: Concentration of H₂O₂ and PAA in the PA-DIW and PA-TP.

Information S1). Under the prescribed conditions, a plasma jet extends out of the tube to a distance of ~14 mm (**Figure 2(a)**) with an optical emission typical of highly reactive species that form RONS through downstream reactions (**Figure 2(c)** and Supplementary material S2). The average gas temperature (T_g) of the plasma jet (measured with an infrared thermometer, Fluke 62 Max) was found to be cool at 310.5 ± 3.5 K at 4 mm position outside of the tube's orifice (i.e. at the same position as the liquid targets). Optical emission spectra shown in Figure 2(c) was used to estimate the electron temperature (T_e) and vibrational temperature (T_v) of the plasma jet. T_e was calculated using collisional-radiative model²² while T_v was derived from the Boltzmann plot by analyzing the spectral bands of the nitrogen second positive system²³. The values of T_e and T_v for the plasma jet were found to be 0.73 eV and 2323 K, respectively. A high value of electron temperature as compared to relatively lower values of ion and neutral gas temperature (i.e. $T_e \gg T_v \gg T_g$) verifies the non-thermal characteristics of the plasma jet. A mixture of 2.5 mM TAED with 2.5 mM PAG in 350 μ L deionised water (DIW) was used as the antimicrobial precursor because this blended system was previously shown to produce the optimal antimicrobial efficacy compared to the molecules used alone²⁴. The antimicrobial efficacy of the plasma activated TAED-PAG (PA-TP) was compared to plasma activated DIW (PA-DIW) produced under the same plasma conditions. Statistical analysis was performed using a two-sample student *t*-test of unequal variance considering a $p < 0.05$ as significant.

The major longer-lived antibacterial agents in PA-TP are H₂O₂ and PAA. **Figure 3** (and Supplementary material S3) show the concentration of H₂O₂ and PAA in PA-TP were 4.5 mM and 2.9mM, respectively. PA-DIW had a slightly lower H₂O₂ concentration at 2.5 mM and contained no PAA because of the absence of TAED and PAG. PA-TP was more acidic at pH 2 compared to PA-DIW at pH 4.

Formation of H₂O₂ in PA-DIW and PA-TP is known to occur through several pathways. This mainly occurs through the formation of an intermittent product (hydroxyl radical, [•]OH) through:^{5,21,22}



[•]OH radicals formed through (a)-(d) subsequently recombine to form H₂O₂ as shown in the reaction: $\cdot OH + \cdot OH \rightarrow H_2O_2$. The plasma generated H₂O₂ molecules subsequently react with the acetyl donor-containing molecules to form PAA.

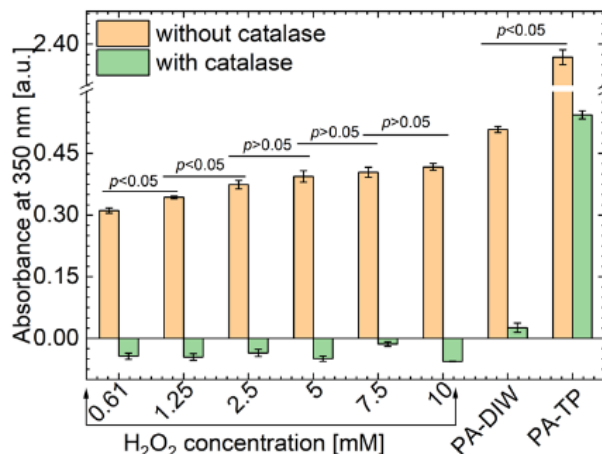


Figure 4: Oxidation of KI from varying concentrations of H₂O₂, PA-DIW and PA-TP with and without the presence of catalase.

In the next experiments, the oxidising capacity of PA-TP and PA-DIW compared to standard H₂O₂ solutions was tested through their ability to oxidise potassium iodide (KI, Supplementary material S3). The principle is based upon the oxidation of KI to produce triiodide ions with an absorbance maximum of 350 nm. TAED-PAG alone (i.e. prior to plasma activation) produces zero absorbance reading at 350 nm indicating that it does not oxidise KI (due to the absence of oxidants). **Figure 4** shows that 0.61-10mM H₂O₂ solutions readily oxidise KI. A statistically identical level of KI oxidation was achieved with 2.5-5mM H₂O₂ ($p > 0.05$), indicating that the small differences in the H₂O₂ concentrations in PA-TP (4.5mM) and PA-DIW (2.9mM)

should not be a major contributing factor to their oxidative capacity. A slightly higher level of KI oxidation was produced by PA-DIW (absorbance @ 350 nm = 0.5) compared to the equivalent 2.5mM H₂O₂ standard solution (absorbance @ 350 nm = 0.37). This result is attributed to other RONS in the PA-DIW making a further small contribution to the oxidation of KI. PA-TP produced a significantly higher level of oxidised KI (absorbance @ 350 nm = 2.4) compared to PA-DIW ($p < 0.05$) attributed to the synergistic oxidation of KI by PAA, H₂O₂ and other RONS.

The presence of 75 mg/mL catalase abolished the oxidative capacity of the standard H₂O₂ solutions and PA-DIW (**Figure 4**). This indicates that H₂O₂ was the major oxidant in PA-DIW. Conversely, catalase did not abrogate the oxidative capacity of PA-TP (absorbance @ 350 nm = 0.5). This result is attributed to the additional oxidant PAA (in PA-TP) that is not affected by the catalase.

Finally, the antimicrobial property of PA-TP and PA-DIW were assessed (Supplementary material S4). First assessment was carried out by examining their bactericidal action against common wound pathogens Gram-negative *Pseudomonas aeruginosa* (*P. aeruginosa*) and Gram-positive *Staphylococcus aureus* (*S. aureus*) in planktonic state. Optical density (OD) measurement of the bacteria broth was used to assess bacterial growth. **Figure 5(a)** shows that the final OD measured in the *P. aeruginosa* culture following treatment with the PA-DIW was lower compared to the untreated bacteria positive control ($p < 0.05$) and the same as the sterile broth negative control ($p > 0.05$), indicating that PA-DIW significantly reduced the growth of the bacteria. However, PA-DIW was not bactericidal against *S. aureus* (**Figure 5(a)**), presumably due to its more complex Gram-positive membrane structure providing a barrier against the treatment. In comparison, PA-TP treatments decreased bacterial load for *P. aeruginosa* and *S. aureus* to an undetectable level ($p > 0.05$ compared to the sterile broth). These results indicate that PA-TP is a more effective bactericidal agent compared to PA-DIW. Based on our protocols, the starting culture of 2×10^6 CFU/mL used in this assay (Supplementary material S4) would equate to $\sim 10^9$ CFU/mL, corresponding to an OD of ~ 4 for both bacteria. Therefore, we estimate that the results achieved with the PA-TP correspond to at least a 10^6 -log up to a maximum of $\sim 10^9$ -log reduction in bacterial growth. Similar trends were observed when the virucidal activities of PA-TP and PA-DIW were tested against SARS-CoV-2 (Supplementary material S5). **Figure 5(b)** shows that the PA-DIW reduced the viral load by 50%, whereas PA-TP achieved a greater reduction in viral load of $> 84\%$.

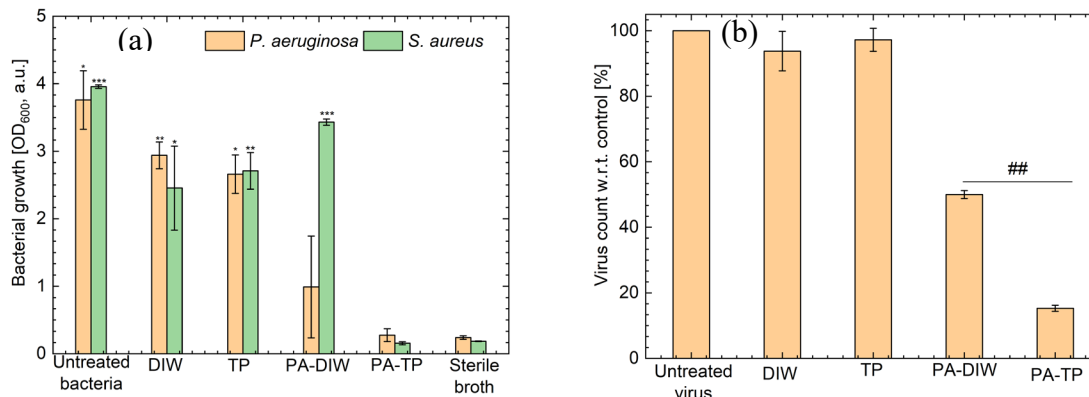


Figure 5: (a) Reduction in growth of *P. aeruginosa* and *S. aureus* by PA-DIW and PA-TP. Results were compared to untreated DIW and TP solutions. Positive control = Untreated bacteria; Negative control = Sterile broth. Following treatment, bacteria were incubated in the test solutions for 18 h. (b) Reduction in SARS-CoV-2 viral load by PA-DIW and PA-TP. Results were compared to untreated DIW and TP solutions and untreated virus as a positive control. The asterisk (*) symbols above the bars in 5(a) represent statistical significance compared to sterile broth (bacteria) with one symbol $p = 0.01-0.05$, two symbols $p = 0.001-0.01$ and three symbols $p < 0.001$. The # above PA-TP in 5(b) represents a statistical significance of $p < 0.05$ compared to PA-DIW.

Importantly, neither the DIW nor the TP solutions alone (i.e. without plasma activation) were antimicrobial against the bacteria and the virus in **Figures 5(a)** and **5(b)**. This shows that the high antimicrobial activity of PA-TP is indeed attributed to the unique combination of the cold plasma jet treatment with TP, which is activated on-demand upon plasma ignition. The test solutions used in Figure 5 were diluted 4-fold (Supplementary material S5) and this was kept constant for both bacteria and virus assays. We anticipate that a more concentrate PA-TP solution would yield a higher level of virus inactivation.

Overall, this study demonstrates how the antimicrobial effects of cold plasma can be amplified with acetyl donor molecules. The acetyl donor formulation can be configured for on-demand use either in a liquid formulation as demonstrated in this study, or potentially in a cream or gel formulation, or even within a hydrogel wound dressing activated by a small hand-held cold plasma device. Major advantages of on-demand PA-TP for these applications include the ability to produce stable formulations with a long shelf-life and without the requirement of refrigeration, and its multipronged action coupled with on-demand activation prevents prolonged exposure of microorganisms to sub-optimal concentrations of the antibacterial agents; all of which are likely to help resist the development of AMR in healthcare and in our environment.

See Supplementary material for measurement and calculation of cold plasma jet dissipated power (SI 1), optical emission spectrum from the cold plasma jet (SI 2), measurement of H₂O₂ and PAA after cold plasma activation of DIW/acetyl donors (SI 3), antibacterial assays (SI 4) and antiviral assays (SI 5).

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Supplementary Material

On-demand cold plasma activation of acetyl donors for bacteria and virus decontamination

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S1: Measurement and calculation of cold plasma jet dissipated power

The schematic of the experimental setup showing the locations for measuring voltage and current signals is presented in **Fig. S1**. Plasma was generated by using a commercially available PVM500-4000 alternating current (AC) power supply¹. AC power supply was used in this study as the breakdown voltage and energy consumption in the discharge is known to be lower than the direct current (DC) discharge². The gap distance (*g*) between the end of the quartz tube and target liquid was set to 4 mm. Voltage signals were measured using a PINTEK HVP-39 pro high voltage probe (Pingtek Electronics Co. Ltd) and current signals were measured using a Pearson current probe (Pearson Electronics Inc., model 2877). Both signals were measured at the high voltage cable (Fig. 2(b) in manuscript) and were recorded on a Siglent SDS1102CML oscilloscope (Siglent Technologies Co. Ltd). The total dissipated power (*P*) was calculated as³:

$$P = f \int_{t=0}^{t=T} I(t)V(t)dt \text{ ----- (S1)}$$

where, *I*(*t*) and *V*(*t*) represent the instantaneous values of current and voltage at a time '*t*'. The frequency (*f*) of the discharge was 23.5 kHz. Using equation (S1), the total dissipated power was calculated to be ~2.71 W.

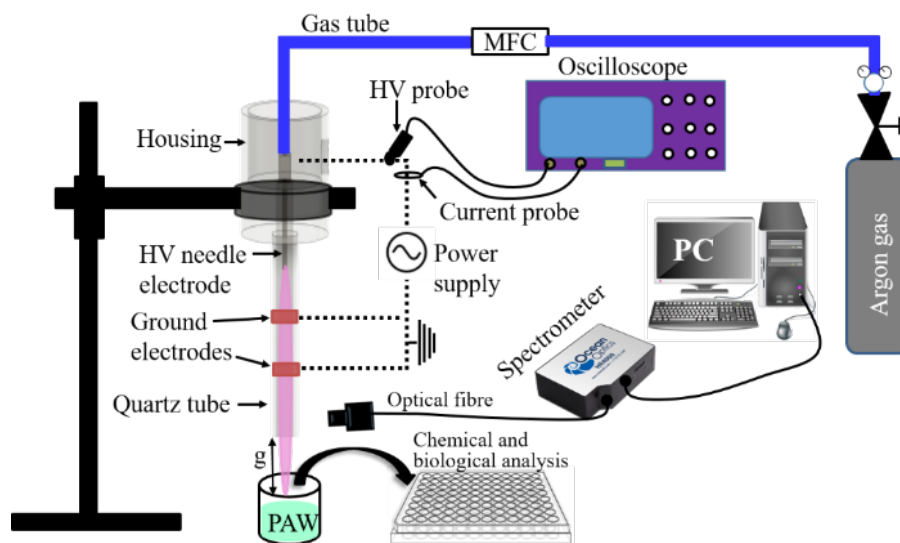


Fig. S1: Schematic of the experimental setup

S2: Optical emission spectrum from the cold plasma jet

The technique of optical emission spectroscopy (OES) can be used to identify the excited species including the short-lived reactive oxygen / nitrogen species generated during the discharge. Optical emission signals were measured at a 3 mm position below the end of the quartz tube and recorded using a factory calibrated HR4000-CG-UVNIR spectrometer (Ocean Optics Inc., resolution: 0.47 nm)

with an optical fibre of diameter 600 μm . The integration time in the spectrometer was set to 70 milliseconds. The typical OES signal of the Ar discharge is presented in Fig. 2(c) in the manuscript. A strong emission from the hydroxyl radical ($\cdot\text{OH}$) occurs at 309 nm. The $\cdot\text{OH}$ is a precursor for the formation of H_2O_2 . Emissions from excited nitrogen (nitrogen second positive system (N_2 SPS) and nitrogen first negative system (N_2 FNS) occur at several wavelengths (296 nm, 311 nm, 315 nm, 336 nm, 357 nm, 380 nm, 391 nm, etc.) and are also responsible for the formation of the $\cdot\text{OH}$ through collision with ambient water molecules^{4,5}. The region from 600 – 900 nm is dominated by excited argon species, and they also play a major role in the formation of the $\cdot\text{OH}$.

S3: Measurement of H_2O_2 and PAA after plasma activation of DIW/acetyl donors

The concentration of H_2O_2 in the plasma activated water / acetyl donor solutions was measured using a commercially available o-phenylenediamine (OPD, CAS number: 95-54-5, SigmaAldrich Corporation) and horseradish peroxidase (HRP, CAS number: 9003-99-0, SigmaAldrich Corporation) detection system. In the presence of HRP, OPD reacts with H_2O_2 to form 2-3-diaminophenazine with an absorbance maximum of 450 nm that can be measured spectrophotometrically. A tablet of OPD was dissolved in 10 mL of DIW and 20 μL of 2 mg/mL HRP was added into the solution. A 5 μL volume of PA-DIW, PA-TP (or different concentrations of H_2O_2 , CAS number: 7722-84-1, SigmaAldrich) were added into wells of a 96-well plate containing 195 μL of the OPD / HRP solution and incubated for 15 minutes at ambient temperature in the dark. The absorbance values were measured with a plate reader (Synergy LX, Biotek) at $\lambda = 450$ nm. The absorbance reading is proportional to the concentration of H_2O_2 and the obtained line of best fit [Fig. S2] was used to estimate the H_2O_2 concentration formed in the PA-DIW and PA-TP.

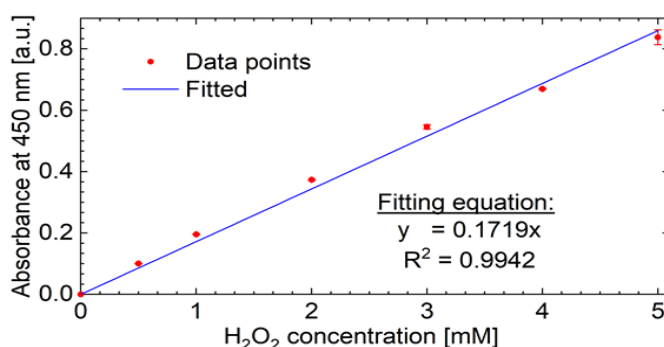


Fig. S2: Calibration curve used to measure the concentration of H_2O_2 in PA-DIW and PA-TP.

Measurement of the PAA concentration in the PA-TP was performed using the following reagents: (a) potassium iodide (CAS number: 7681-11-0, Sigma Aldrich), (b) catalase (CAS number: 9001-05-02, Sigma Aldrich), (c) TAED (CAS number: 10543-57-4, Sigma Aldrich), (d) PAG (CAS number: 604-68-2, Sigma Aldrich), and (e) PAA (Product code: 10302633, Fisher Scientific). Any H_2O_2 present in the PA-DIW or PA-TP is quenched by catalase and remaining species (mainly PAA in PA-TP) result in the

oxidation of KI at 350 nm. To verify this principle, we first studied the inhibition of different concentrations of H₂O₂ (Fig. 4 in manuscript) with catalase. The H₂O₂ concentrations used in this experiment (0.6 – 10 mM) were within the same H₂O₂ concentration range present in the PA-DIW or PA-TP (Fig. 3 in manuscript). The protocol used to obtain Fig. 4 in the manuscript involved mixing different concentrations of H₂O₂ (volume: 100 µL) in either 100 µL of DIW (without catalase) or with catalase (concentration: 75 mg/mL, volume: 100 µL) in an Eppendorf tube. This mixture was incubated for 15 minutes at room temperature. Afterwards, 20 µL of the solution was transferred to 180 µL of 100 mM KI and the solution was incubated for an additional 15 minutes in the dark before measuring the absorbance ($\lambda = 350$ nm, Synergy LX, Biotek).

The PAA concentration in the PA-TP was determined using a calibration curve of the PAA induced oxidation of KI. PAA in aqueous solution is known to dissociate into acetic acid (CH₃COOH) and H₂O₂. It was confirmed that CH₃COOH does not contribute to the oxidation of KI in our experiments, and that the use of 75mg/mL catalase quenches all H₂O₂ in the dissociated PAA solution. The calibration curve was constructed by first preparing different concentrations of PAA (0.5 mM – 8 mM). The diluted PAA solutions (volume: 100 µL) were mixed with catalase (concentration: 75 mg/mL, volume: 100 µL) in an Eppendorf tube and incubated for 15 minutes. Afterwards, 20 µL of the liquid from the mixture was transferred to 180 µL of 100 mM KI and the solution was incubated for an additional 15 minutes before measuring the absorbance at 350 nm. The resulting calibration curve is shown in **Fig. S3**.

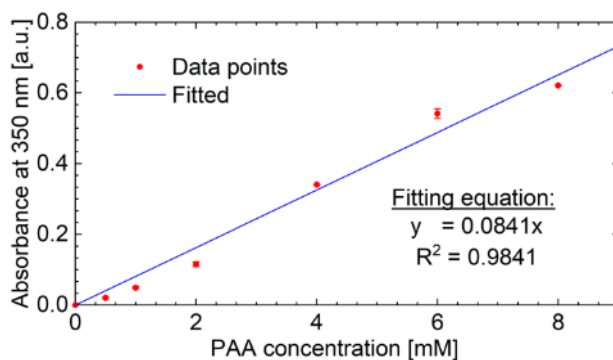


Fig. S3: Calibration curve used to measure the concentration of PAA in PA-DIW and PA-TP.

S4: Antibacterial assays

Staphylococcus aureus (H560) and *Pseudomonas aeruginosa* (PAO1) were grown in 10 mL of tryptic soy broth (TSB) and Luria-Bertani (LB) broth, respectively, at 37°C for 18 h. The cultures were spun at 10000 rpm and washed and resuspended in phosphate buffered saline (PBS). 10 mL of overnight culture was then added into 10 mL of bacterial broth to make a subculture (2×10^6 CFU/mL). 100 µL volume aliquots of the bacteria subculture were added into wells of a 96-well plate followed by addition of 100 µL of the “test” solutions (PA-DIW, PA-TP) (to achieve a 1:2 dilution). Further 1:2

dilution of the test solutions was carried out using the bacteria subculture solution to achieve a final dilution of 1:4. Bacteria were grown statically for 18 h at 37°C. Absorbance was recorded at 600 nm which corresponded to solution turbidity that is directly related to the concentration of bacteria. Bacterial growth was assessed relative to the negative control sterile broth.

S5: Antiviral assay

All experiments using SARS-CoV-2 were performed in a Biosafety Level 3 Facility at The Lancaster University. The SARS-CoV-2 was propagated in Vero-E6 cells (African green monkey kidney) constitutively expressing human angiotensin-converting enzyme 2 (ACE2) receptors as described by Pyke et al⁶. These cells were maintained in Dulbecco's modified Eagle medium (DMEM) supplemented with 10% heat-inactivated foetal calf serum (FCS), 100U of penicillin and 100g of streptomycin per mL and incubation at 37°C with 5% CO₂. A plaque assay was used to quantify the virus titre from three independent experiments. The "test" solutions were diluted 1:2 (50 µL of PA-DIW or PA-TP in 50 µL DIW) and mixed with 50 µL of viral inoculum (maintained at a concentration of 1×10⁶ PFU/mL) to achieve a final dilution of 1:4 of the test solutions. Afterwards, the solutions were incubated at room temperature for 15 minutes. The virus-test solution mixtures were mixed by pipetting up and down several times, and titrated using 10-fold serial dilutions (3 replicates of each) in 12-well culture plates seeded with confluent monolayers of Vero-E6 cells in FCS-free medium, and then incubated for 1 h at 37°C. Afterwards, cells were washed twice with PBS before adding 2X modified eagle's medium (MEM)+4% fetal bovine serum (FBS) containing 2% methylcellulose and incubated at 37°C. 72 h hours post infection, the media was removed, and cells were washed with PBS. Cells were fixed in 4% paraformaldehyde for 1 h at room temperature. Cells were stained with 0.3% crystal violet in distilled water to visualise and count the plaques.

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