

Testing for the Myth of Cognitive Reserve: Are the Static and Dynamic Cognitive Reserve Indexes a Representation of Different Reserve Warehouses?

Laura Serra^{a,*}, Laura Petrosini^b, Andrea Salaris^a, Lorenzo Pica^a, Michela Bruschini^a, Carlotta Di Domenico^a, Carlo Caltagirone^c, Camillo Marra^d and Marco Bozzali^{a,e}

^a*Neuroimaging Laboratory, Santa Lucia Foundation, IRCCS, Rome, Italy*

^b*Laboratory of Experimental and Behavioural Neurophysiology, IRCCS Fondazione Santa Lucia, Rome, Italy*

^c*Department of Clinical and Behavioural Neurology, Santa Lucia Foundation, IRCCS, Rome, Italy*

^d*Institute of Neurology, Catholic University, Rome, Italy*

^e*Brighton & Sussex Medical School, CISC, University of Sussex, Brighton, Falmer East Sussex, UK*

Accepted 21 August 2019

Abstract.

Background: Cognitive reserve (CR) explains the individual resilience to neurodegeneration. Years of formal education express the static measure of reserve (sCR). A dynamic aspect of CR (dCR) has been recently proposed.

Objective: The aim of the study was to compare sCR and dCR indexes, respectively, to detect brain abnormalities in Alzheimer's disease (AD) patients.

Methods: 117 individuals [39 AD, 40 amnesic mild cognitive impairment (aMCI), 38 healthy subjects (HS)] underwent neuropsychological evaluation and a 3T-MRI. T1-weighted volumes were used for manual segmentation of the hippocampus and of the parahippocampal cortices. Years of formal education were used as an index of sCR. Partial Least Square analysis was used to decompose the variance of individual MMSE scores, considered as a dCR index. In aMCI and AD patients, the brain abnormalities have been assessed comparing individuals with high and low levels of sCR and dCR in turn. Moreover, we investigated the effect of the different CR indexes in mediating the relationship between changes in brain volumes and memory performances.

Results: sCR and dCR indexes classified differently individuals having high or low levels of CR. Smaller hippocampal and parahippocampal volumes in high dCR patients were found. The sCR and dCR indexes mediated significantly the relationship between brain abnormalities and memory in patients.

Conclusions: CR mediated the relationship between brain and memory dysfunctions. We hypothesized that sCR and dCR indexes are a representation of different warehouses of reserve not operating in parallel but forming a complex system, in which crystallized cognitive abilities and actual cognitive efficiency interact with brain atrophy impacting on memory.

Keywords: Alzheimer's disease, dynamic and static cognitive reserve, hippocampus, mild cognitive impairment, parahippocampal gyrus

INTRODUCTION

In the last thirty years, the scientific literature has been increasingly interested in the mechanisms underlying brain and cognitive reserve [1, 2]. In fact, several animal and human studies showed the

*Correspondence to: Dr. Laura Serra, Via Ardeatina 306, 00179 Rome, Italy. Tel.: +39 06 5150 1547; Fax: +39 06 5150 1213; E-mail: l.serra@hsantalucia.it.

beneficial effects of stimulant life experiences on the structure and functionality of the brain [2–4].

In accordance with these observations, the idea has been developed that an enriched brain, that is a brain that modified its neuronal structure as a consequence of complex environmental stimulations, better tolerates the neuronal damage [1, 2]. This view assumes the development of cerebral reserves [the brain reserve (BR), the cognitive reserve (CR), and finally, the neural reserve (NR)] allowing a higher efficiency of the brain networks as well as a more tuned engagement of different neural pathways despite the cerebral damage [5].

Briefly, the BR refers to the brain structure (the quantity of neurons, synapses, and dendrites) supposing that subjects with larger brain cope better with the neurological damage than those with smaller brains [1, 2]. The CR refers to the efficiency of cognitive functions assuming that individuals with higher level of CR are able both to use more efficiently the pre-existent cognitive processes and they are, also, able enlisting the alternative cognitive functions to withstand brain damages [1, 2]. Finally, the NR refers to the efficiency of brain networks, hypothesizing that subjects with higher NR engage different brain pathways increasing the efficiency of the cognitive functions to cope the cerebral damage [1, 2].

However, the identification of the best proxies' measures to assess the development of the reserves (BR, CR, and NR) needs to be clarified. Currently, two kinds of measures are typically used in the studies on the CR. The static indexes, such as education years or occupational attainment, are invariant, stable along lifespan [2]. Despite they are not directly related to cognitive functioning, the static indexes are the indexes most frequently used, being simple to manage in the research setting. The static indexes reflect crystallized cognitive ability that is intellectual ability learned or achieved over time increasing the ability to gain knowledge and experience. This is something that the subject is not born with, but rather is an ability learned throughout life experiences.

Several studies reported that subjects with memory dysfunctions and higher level of CR, as measured by education years, developed the clinical symptoms of dementia (typically Alzheimer's disease, AD) later in time than subjects with lower CR level [6–9]. Moreover, neuroimaging studies reported different structural and functional modifications of brain structures in patients with different levels of CR [5, 7, 8, 10]. In particular, structural studies reported that patients with higher level of CR needed to accumulate more

atrophy in the brain regions critical to develop AD before the symptoms of disease appeared. Typically, these regions include the hippocampus and parahippocampus [7, 11–13]. Even neuroimaging studies revealed functional connectivity changes in patients in the AD continuum with different CR levels. In particular, our recent network-based study showed both impaired and increased functional connectivity in different brain networks of amnesic mild cognitive impairment (aMCI) patients with high CR compared to aMCI patients with low CR, while no evidence of CR effect on brain functional connectivity in AD patients and healthy elderly was evidenced [5]. More recently, dynamic CR (dCR) indexes have been introduced [10, 14–16]. These measures are sensitive to the cognitive changes due to aging and typically they are conceptualized as the residual cognitive abilities (i.e., memory, general cognitive efficiency, executive functions, etc.), after the confounding factors (demographic and brain variables such as cerebral atrophy or vascular lesions) have been removed [10, 14–16]. In a recent study we showed the ability of dCR indexes to single out patients with AD from patients with aMCI [5]. More specifically, the study compared two different dCR indexes, one including the residual variance due to memory function only, and the other one including the residual variance due to both memory and general cognitive efficiency. The latter showed higher sensitivity, sensibility, and accuracy to correctly classify patients of different groups [5]. The general cognitive efficiency, estimated in terms of premorbid intelligent quotient and literacy have been previously considered as measure of CR [2]. Actually, they should be considered as static measures because they are related to cognitive ability acquired before the onset of the neurodegenerative disease. However, since we explored the cognitive efficiency measured during the course of the AD, considering it a residual measure after the effects of brain changes have been removed, even the current cognitive efficiency can be considered as a dynamic index of CR. A recent post-mortem study [17] showed that the dynamic index was a better measure of CR than the static index and that the relationship between CR and cognitive efficiency was strictly related to the presence of amyloid plaques and neurofibrillary tangles. To the best of our knowledge, no study directly compared the ability of different kinds of CR indexes to detect brain differences in patients with AD at different disease stages *in vivo*. In particular, we were interested to verify whether sCR respect to dCR indexes were more able to capture volumetric changes in the

medio-temporal lobes (MTL), a structure considered critical for AD pathophysiology. Specifically, the hippocampus and the cortices of the parahippocampal gyrus (the perirhinal, entorhinal, and parahippocampal cortex) are early damaged in AD. Indeed, the trans-entorhinal/entorhinal cortices (Braak & Braak stage I-II) are precociously affected by the neurodegenerative processes (atrophic changes) of AD, followed by the perirhinal and parahippocampal cortices (Braak & Braak stage I-II), and then by the hippocampus (Braak & Braak stage III-IV) [18].

Automated or manual segmentation methods have been proposed to assess volumetric brain changes [19–24]. In the literature, high reliability between automated and manual segmentation of the hippocampus has been described [25], while less agreement has been found for the automated and manual segmentation of the parahippocampal cortices [25]. In fact, the parahippocampal cortices show a high individual variability, and therefore automated methods are not able to completely capture this heterogeneity. In contrast, the application of the manual segmentation protocols may be more useful to assess individual differences [25].

In the literature are present several indexes to assess the sCR, such as those derived by the CRI-q [26] or by the leisure activities questionnaire previously used by Serra and co-workers [9]. However, in these kinds of instruments, the CR is a composite measure derived by several factors, such as years of formal education, occupational attainment, and leisure activities. We showed [9] the ability of a composite measure of CR to detect the risk to develop AD in patients with aMCI in association with brain abnormalities. Conversely, in the present paper we are interested to assess specifically the effect of years of formal education because it is the most frequently used measure of sCR.

In particular, the present study was aimed at investigating the ability of sCR and dCR indexes to detect volumetric changes in the MTL structures in patients with AD and aMCI. Moreover, we assessed whether sCR and dCR indexes showed a different effect in mediating the relationship between MTL volumetric changes and memory performances.

MATERIAL AND METHODS

Subjects

A cohort of 117 participants, 39 with a diagnosis of probable AD, 40 with a diagnosis of aMCI,

and 38 healthy elderly subjects (HS), was enrolled. The diagnosis of probable AD was made according to the clinical criteria of the National Institute of Neurological and Communicative Disorders and Stroke-Alzheimer's Disease and Related Disorders Association (NINCDS-ADRDA) [27]. The patients had to respond to the diagnostic criteria for major cognitive disorder [28]. The diagnosis of aMCI was performed according to current criteria [29] and the patients could be affected in either single ($n = 25$) or multiple ($n = 15$) domains. Patients with aMCI had not to respond to the diagnostic criteria for major cognitive disorder [27], showing a CDR [30] score not exceeding 0.5. To be included in the study, healthy elderly subjects (HS) had no evidence of cognitive impairment (see the Neuropsychological assessment section below).

As detailed below, MTL atrophy was assessed in all subjects to confirm that aMCI and AD patients, in turn, had an intermediate likelihood of underlying AD neuropathology according with current criteria [27, 29]. Healthy elderly subjects showing the presence of significant MTL atrophy were excluded. All recruited subjects with a Hachinski score [31] higher than 4 were excluded. Major systemic, psychiatric, and other neurological illnesses were also carefully investigated and excluded in all participants. Finally, subjects had to be right-handed, as assessed by the Edinburgh Handedness Inventory [32] to reduce the variability due to the different hemispheric dominance that affects the organization of cognitive functions.

The principal demographic and clinical characteristics of all participants are summarized in Table 1A.

The study was approved by the Ethical Committee of Santa Lucia Foundation and written informed consent was obtained from all participants before study initiation. All procedures performed in this study were in accordance with the 1964 Helsinki declaration and its later amendments or comparable ethical standards.

Neuropsychological assessment

All participants underwent an extensive neuropsychological battery including the following tests: Verbal episodic long-term memory: 15-Word List (Immediate and 15-min Delayed recall) [33]; Short Story test (Immediate and 20-min Delayed recall) [34]; Visuo-spatial episodic long-term memory: Complex Rey's Figure (Immediate and 20-min Delayed recall) [34]; Short-term memory: Digit

Table 1
Demographic and clinical characteristics of participants (Mean \pm SD)

A) Whole sample	aMCI	AD	HS	$p < 0.05$			
N	40	39	38				
age [years] ^a	69.6 \pm 8.3*	71.1 \pm 6.7 [#]	62.3 \pm 8.4	*aMCI vs. HS #AD vs. HS			
Gender (M/F) ^b	16/24	16/23	19/19				
years of formal education ^a	10.0 \pm 4.6*	9.3 \pm 4.2 [#]	13.2 \pm 2.9	*aMCI vs. HS #AD vs. HS			
MMSE score ^a	27.1 \pm 1.9*	20.7 \pm 4.5 ^{#S}	29.3 \pm 0.9	*aMCI vs. HS #AD vs. HS \$AD vs. aMCI			
CDR tot	0.6 \pm 0.8	1.2 \pm 1 ^S	–	\$AD vs. aMCI			
IADL	7.2 \pm 1.2	5.3 \pm 1.9 ^S	–	\$AD vs. aMCI			
MTA	1.9 \pm 0.8*	2.6 \pm 0.7 ^S	0.8 \pm 0.7	*aMCI vs. HS #AD vs. HS \$AD vs. aMCI			
B) sCR							
	aMCI		AD		HS		
	Low	High	Low	High	Low	High	
N	23	17	20	19	28	10	
age (y) ^a	71.8 \pm 6.8 ⁺	66.5 \pm 9.3	72.0 \pm 6.6	71.3 \pm 7.0	63.0 \pm 9.4	60.4 \pm 11.7	+Low vs. High
Gender (M/F) ^b	5/18 ⁺	11/6	8/12	8/11	15/13	4/6	+Low vs. High
Formal education (y) ^a	6.4 \pm 1.8 ⁺	14.9 \pm 2.0	5.7 \pm 2.3 ⁺	13.1 \pm 1.7	11.9 \pm 2.7 ⁺	16.8 \pm 0.6	+Low vs. High
MMSE score ^a	26.5 \pm 1.9 ⁺	28.0 \pm 1.6	19.7 \pm 3.9	21.7 \pm 5.0	29.1 \pm 0.9	29.7 \pm 0.7	+Low vs. High
CDR tot	0.5 \pm 0.0	0.5 \pm 0.0	1.1 \pm 0.8	1.4 \pm 1.2	–	–	
IADL	7.4 \pm 1.0	6.9 \pm 1.5	5.4 \pm 2.0	5.3 \pm 1.9	–	–	
MTA	1.9 \pm 0.8	2.0 \pm 0.8	2.5 \pm 0.8	2.8 \pm 0.6	0.9 \pm 0.8	0.8 \pm 0.6	
C) dCR							
	aMCI		AD		HS		
	Low	High	Low	High	Low	High	
N	12	28	29	10	3	35	
age [y] ^a	67.2 \pm 5.7	70.6 \pm 9.0	70.6 \pm 6.8	74.8 \pm 5.7	77.6 \pm 2.1	61.0 \pm 9.6	
Gender (M/F) ^b	3/9	13/15	12/17	4/6	3/0	16/19	
Formal education (y) ^a	11.7 \pm 4.7	9.3 \pm 4.4	9.3 \pm 4.1	9.1 \pm 4.9	14.3 \pm 2.3	13.1 \pm 3.0	
MMSE score ^a	26.0 \pm 2.0 ⁺	27.6 \pm 1.7	19.0 \pm 4.0 ⁺	25.5 \pm 1.4	27.3 \pm 1.1	29.4 \pm 0.7	+Low vs. High
CDR tot	0.5 \pm 0.0	0.5 \pm 0.0	1.1 \pm 0.7	1.6 \pm 1.6	–	–	
IADL	6.9 \pm 1.6	7.4 \pm 1.0	5.0 \pm 1.8 ⁺	7.2 \pm 1.3	–	–	
MTA	2.1 \pm 0.7	1.9 \pm 0.9	2.6 \pm 0.8	2.7 \pm 0.7	1.0 \pm 0.0	0.8 \pm 0.7	

^aOne-way ANOVA; ^bChi-square Yates corrected. AD, Alzheimer's disease; aMCI, amnesic mild cognitive impairment; CDR, Clinical Dementia Scale; HS, healthy subjects; IADL, Instrumental Activity of Daily Living; MMSE, Mini-Mental State Examination; MTA, Medial Temporal lobe Atrophy scale; dCR, dynamic Cognitive Reserve index; sCR, static Cognitive Reserve index.

span and the Corsi Block Tapping task forward and backward [35]; Executive functions: Phonological Word Fluency [33] and Modified Card Sorting Test [36]; Language: Naming objects subtest of the BADA (“Batteria per l’Analisi dei Deficit Afasici”, Italian for “Battery for the analysis of aphasic deficits”) [37]; Reasoning: Raven’s Colored Progressive Matrices [33]; Constructional praxis: Copy of simple drawings [33] and Copy of drawings with landmarks [33]; Copy of Complex Rey’s Figure [34].

For the specific purpose of the present study neuropsychological tests were not adjusted for age, gender, and education, but all these demographic variables were used as covariates of no interest in the analyses.

Performances at neuropsychological tests were assessed by using seventeen ANCOVAs (with age, gender, and education years as covariates of no interest). In particular, for each neuropsychological test, we compared across groups patients with AD versus patients with aMCI versus HS, then we compared subjects with high or low CR indexes (static and dynamic, separately) within diagnostic groups. To avoid the type-I error, Bonferroni’s correction was applied (p value threshold $\alpha = 0.05/17 = 0.003$).

CR indexes computation

Static CR index

As shown in Fig. 1A, to compute the static CR index (sCR) in each participant we used the years of

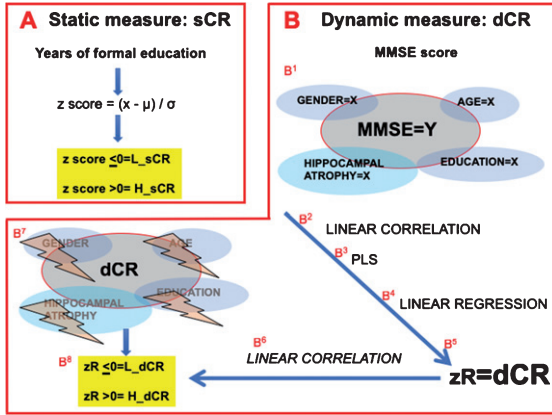


Fig. 1. Flowchart to compute static and dynamic cognitive reserve indexes in all participants. Panel A shows the flowchart used to compute sCR in all participants (please see the text for details). Panel B shows the flowchart applied to obtain dCR in the participants. Point B¹ identifies Y (MMSE score) and X (demographic and brain variables); from point B² to point B⁵ the statistical analyses used to obtain the dCR index are shown (please see the text for details). dCR, dynamic Cognitive Reserve index; sCR, static Cognitive Reserve index; MMSE, Mini-Mental State Examination; PLS, Partial Least Square analysis; zR, standardized Residuals.

formal education. As previously reported [5, 7], we divided participants on the basis of their level of formal education. Within each group, the years of formal education were transformed in z scores. Mean (μ) and standard deviation (σ) of years of formal education was first estimated in each sample. Then, for each subject, a z score representative of the individual level of formal education was calculated as follows:

$$z = (x - \mu) / \sigma \quad (1)$$

where x is the raw score (years of formal education) to be standardized.

Individuals reporting a z score ≤ 0 were considered having low static cognitive reserve (L_sCR). Conversely, individuals with a z score > 0 were considered having high static cognitive reserve (H_sCR). Table 1B summarizes the principal characteristics of all subjects divided according their sCR level.

Dynamic CR index

To obtain the dynamic CR index (dCR), we applied a modified version of the statistical procedures illustrated in Serra et al. [10]. In particular, as shown in Fig. 1B, in each participant we used the raw score of the Mini-Mental State Examination (MMSE) [38] as measure of general cognitive efficiency. Assuming that demographic and brain fea-

tures are independent variables (X) that may affect the MMSE score (dependent variable Y) (Fig. 1B¹), we first assessed the potential correlations between all considered variables by using linear correlation analyses (Fig. 1B²), then we used the Partial Least Square (PLS) analysis to estimate the covariance between MMSE score (Y) and the independent variables (Xs) that might explain part of the MMSE score variance. For a detailed description of PLS see Serra and co-workers [10]. Briefly, PLS is a statistical method used when many manifest and collinear factors can be hypothesized but only few underlying (named latent factors) account for most of the variation in the response. PLS extracts these latent orthogonal factors (that are part of the variance of the X). In the present case demographic variables (age, gender, and years of formal education) and the hippocampal atrophy, as measured by the Medial Temporal lobe Atrophy (MTA) scale [39] entered in the PLS analysis as independent variables. Consequently, variance in the MMSE score (dependent variable) was decomposed into orthogonal latent factors. The minimum number of latent factors (named latent scores, LTs) explaining the maximum covariance of MMSE score was retained for further analyses. Moreover, the Variable Importance in the Projection index (VIP index) was used to assess the contribution of each considered variable in the composition of MMSE score variance into the latent scores. Then, the variables showing the highest VIP ($VIP \geq 1$) were regressed from the latent scores using the linear regression model (Fig. 1B⁴). The standardized residual value of variance in MMSE score, remaining after accounting for all nuisance variables, was considered as an index of dCR (Fig. 1B⁵). Moreover, to verify the independence of dCR index as new measure of reserve, linear correlation analyses (Fig. 1B⁶) were performed between dCR index and the demographic and brain variables (Fig. 1B⁷). As for the static index subjects reporting a z score ≤ 0 were considered having low dynamic cognitive reserve (L_dCR). Conversely, individuals with a z score > 0 were considered having high dynamic cognitive reserve (H_dCR) (Fig. 1B⁸). Table 1C summarizes the principal characteristics of all subjects divided according their dCR level.

Finally, correlations between sCR and dCR indexes were calculated by using Pearson's coefficient in each group separately.

Statistical analyses were carried out in SPSS 21 (SPSS Inc., Chicago, IL).

MRI acquisition

All participants underwent an MRI examination at 3T (Magnetom Allegra, Siemens, Erlangen, Germany), including the following acquisitions: 1) dual-echo turbo spin echo [TSE] (TR = 6190 ms, TE = 12/109 ms); 2) fast-fluid attenuated inversion recovery (FLAIR) (TR = 8170 ms, TE = 96 ms, TI = 2100 ms); 3) 3D-Modified Driven Equilibrium Fourier Transform (MDEFT) scan (TR = 1338 ms, TE = 2.4 ms, Matrix = 256 × 224, n. slices = 176, thickness = 1 mm). According to the inclusion criteria, TSE and FLAIR scans were reviewed to exclude the presence of remarkable macroscopic brain abnormalities, as previously described [40].

Medial temporal lobe atrophy

The MTA [39] was employed on MDEFT images to assess the severity of atrophy in each subject. This scale provides a rating score from 0 to 4, with scores ≥ 1.5 [39] indicating significant atrophy. For each subject, we averaged the scores obtained in the right and left hemispheres to obtain a single measure of medial temporal lobe atrophy. One-way ANOVA was employed to control for between- (AD versus aMCI versus HS) and within-group differences (L_{sCR} versus H_{sCR} ; L_{dCR} versus H_{dCR} , respectively).

Volumetric assessment of the medial temporal lobe structures

None of the MDEFT volumes from all subjects was affected by macroscopic artefacts, as assessed by visual examination. In order to measure the volumes of the hippocampi and perirhinal, entorhinal, and parahippocampal cortices in the parahippocampal gyrus, on each MDEFT image we applied the manual segmentation protocols according to Pruessner's and Insausti's guidelines [41–43]. Firstly, each MDEFT image was warped to the T1-weighted MNI atlas (available in FSL), using the FMRIB's Nonlinear Image Registration Tool (FNIRT) (fsl.fmrib.ox.ac.uk/fsl/fslwiki/FNIRT/). The ROIs for the bilateral hippocampus and bilateral cortices into the parahippocampal gyrus (perirhinal, entorhinal and parahippocampal cortices) were mapped using the interactive program MANGO (<http://ric.uthscsa.edu/mango/>).

In order to adjust for the effect of brain atrophy, in each subject we normalized the volumes of the hippocampus and the cortices of the

parahippocampal gyrus for the global grey matter volume and for the length of the collateral sulcus, respectively. MDEFT volumes were pre-processed using the VBM protocol implemented in SPM8 (<http://www.fil.ion.ucl.ac.uk/spm/>), which consists of an iterative combination of segmentations and normalizations to produce a GM probability map [44, 45] in standard space (Montreal Neurological Institute, or MNI coordinates) for every subject. In order to compensate for compression or expansion which might occur during warping of images to match the template, GM maps were “modulated” by multiplying the intensity of each voxel in the final images by the Jacobian determinant of the transformation, corresponding to its relative volume before and after warping [44, 45]. GM volumes were computed from these probabilistic images for every subject. Then we calculated the mean of GM volumes (mGMvol) into each group separately, and, finally, for each subject the right and the left hippocampal volumes were normalized separately as follow:

$$\text{Normalized hippocampal volume} = \frac{\text{(raw hippocampal volume} \times \text{mGMvol)}}{\text{individual GMvol}} \quad (2)$$

The volumes of the perirhinal, entorhinal, and parahippocampal cortices depend on the length of the collateral sulcus (COS) [41, 43]. Therefore, to keep in account this bias, we first calculated the length of each portion of the COS (for the perirhinal cortex = COS_{PERI} ; for the entorhinal cortex = COS_{ENT} ; for the parahippocampal cortex = COS_{PARA}) by using MANGO. Then, we performed six different linear regressions to regress the length of each portion of the COS from the volumes of the correspondent cortex. Given the high autocorrelation between cortex and correspondent COS, the unstandardized Durbin-Watson residuals were retained for further analyses. Negative residual indicated that the observed cortical volume was smaller than predicted according with the length of the COS. Conversely, positive residual meant that the observed cortical volume was equal or bigger than predicted.

We performed MANOVAs Group (aMCI versus AD versus HS) by Side (Left versus Right) to assess significant differences in the volumes of the hippocampus and parahippocampal gyrus (perirhinal, entorhinal and parahippocampal cortices, in turn). Moreover, to isolate the effect of the CR level, both for sCR and dCR indexes, we assessed in each group separately a MANOVA CR (High CR versus Low

CR) by Side (Left versus Right) to assess significant differences in the volumes of the hippocampus and parahippocampal gyrus (perirhinal, entorhinal and parahippocampal cortices, in turn).

Impact of sCR and dCR indexes on memory performances and on hippocampal and parahippocampal atrophy

In order to assess the impact of sCR and dCR indexes on the memory deficits together with the atrophy of MTL structures, the mediation effect was estimated by using a series of mediation analyses (based on multiple regression models, performed by using PROCESS a tool of SPSS). The volumes of the hippocampus and of the cortices of the parahippocampal gyrus bilaterally were considered as independent variables, memory performances (15-Word List Immediate and 15-min Delayed recall; Short Story test Immediate and 20-min Delayed recall; Complex Rey's Figure Immediate and 20-min Delayed recall) were considered as dependent variables, and sCR and dCR were considered as mediator or covariate of no interest in turn.

RESULTS

Demographic and clinical characteristics of studied subjects

As reported in Table 1A when considering the whole sample, both groups of patients were significantly older and less educated than healthy subjects ($F_{2,114} = 12.8$, $p < 0.001$ and $F_{2,114} = 10.1$, $p < 0.001$, respectively). There were also significant differences in the MMSE scores ($F_{2,114} = 74.5$, $p < 0.0001$) and in the MTA scale ($F_{2,114} = 54.7$, $p < 0.0001$) among all groups. Conversely, there was no statistical differences in gender distribution (AD versus HS: $\chi^2 = 0.63$, d.f. = 1, $p = 0.43$; AD versus aMCI: $\chi^2 = 0.01$, d.f. = 1, $p = 0.92$; aMCI versus HS: $\chi^2 = 0.79$, d.f. = 1, $p = 0.37$). Moreover, there were significant differences in the CDR ($F_{1,62} = 7.12$, $p < 0.001$) and IADL ($F_{1,62} = 21$, $p < 0.001$) between AD and aMCI patients.

Table 1B shows the demographic characteristics of participants divided according to level of sCR index. Differences between high and low CR were considered within each group. We observed significant differences only in the aMCI group. In particular,

aMCI patients with low CR were older than those with high CR ($F_{1,38} = 4.33$, $p = 0.04$), there were more females than males (aMCI: $\chi^2 = 7.52$, d.f. = 1, $p = 0.01$), and they showed significantly lower MMSE scores ($F_{1,38} = 6.56$, $p < 0.01$). No statistical differences were observed between high versus low CR AD or HS individuals.

Table 1C shows the demographic characteristics of participants divided according to level of dCR index. In the HS group there is a remarkable imbalance between subjects with high (35 subjects) and low (3 subjects) dCR, and as a consequence, we excluded the group from further statistical analyses.

There were no significant differences in the demographic features between patients with different dCR level. In both aMCI and AD groups the patients with low dCR showed MMSE scores significantly lower than patients with high dCR (aMCI group: $F_{1,38} = 7.04$, $p = 0.01$; AD group: ($F_{1,37} = 23.95$, $p = 0.001$).

It is remarkable that sCR and dCR indexes differently classified participants as having high or low CR. In particular, in the aMCI group, sCR index classified 23 patients as having low CR and 17 as having high CR, while the dCR index classified 12 patients as having low CR and 28 as having high CR ($\chi^2 = 6.15$, d.f. = 1, $p = 0.013$); in the AD group, sCR index classified 20 patients as having low CR and 19 as having high CR, while the dCR index classified 29 patients as having low CR and 10 as having high CR ($\chi^2 = 4.45$, d.f. = 1, $p = 0.035$); Finally in the HS group, sCR index classified 28 subjects as having low CR and 10 as having high CR, while the dCR index classified 3 patients as having low CR and 35 as having high CR ($\chi^2 = 34.0$, d.f. = 1, $p < 0.001$).

In addition, when considering the matching between sCR and dCR indexes to classify similarly subjects with certain level of CR we observed that in aMCI group, 5 patients (12.5%) were classified as having low and 9 (22.5%) as having high level in both indexes; in AD group 15 patients (38.5%) were classified as having low and 5 patients (12.8%) as having high level in both indexes; finally in HS group, 2 subjects (5%) were classified as having low and 9 (24.5%) as having high level in both indexes.

We found significant negative correlations between sCR and dCR indexes both in aMCI and HS groups (aMCI: $r = -0.35$, $p = 0.025$; HS: $r = -0.63$, $p < 0.001$). No significant correlation was found in AD patients ($r = -0.15$, $p = 0.36$).

Dynamic CR index computation

Linear correlation analyses between MMSE scores and demographic and brain variables

The linear correlation analyses showed significant correlations between the raw MMSE scores and the age ($r=-0.25$, $p=0.008$), the years of formal education ($r=0.39$, $p<0.0001$), the MTA scores for the left ($r=0.39$, $p<0.0001$) and the right hippocampus ($r=0.38$, $p<0.0001$), respectively. Conversely, there was no significant correlation between MMSE scores and gender ($r=-0.13$, $p=0.15$).

Partial Least Squares and linear regression analyses

Four latent variables were extracted by PLS, as reported in the Fig. 2A (see also Table 2A). The first latent variable (LT^{1st}) explained the most of the covariance of X (57.4%) and Y (23.0%), and therefore it was retained for further analyses. The VIP index (Fig. 2B and Table 2B) and the loadings revealed that years of formal education as well as left and right MTA scores contributed for the mostly in the composition of LT^{1st} variance. Therefore, years of formal education, left and right MTA scores were regressed again from the LT^{1st} . The regression analysis (Table 2C) revealed that left MTA scores and years of formal education entered in the analysis predicting significantly the variance of the LT^{1st} . According to the Methods, the standardized residual values of the LT^{1st} were considered a proxy of dynamic CR (dCR).

Linear correlation analyses between dCR index and demographical and brain variables

There were no significant correlations between the dCR index and age ($r=-0.07$, $p=0.44$), years of formal education ($r=-0.01$, $p=0.97$), left and right MTA scores ($r=0.001$, $p=0.95$; $r=0.03$, $p=0.75$, respectively).

Neuropsychological results

When considering the whole sample, we observed the expected neuropsychological profile with AD patients showing the worst performances in all cognitive domains compared both to aMCI and HS groups. Patients with aMCI showed significantly lower scores in memory tests compared to HS (see Supplementary Table 1). When considering the groups divided according to their sCR level, there were no significant differences within groups in all

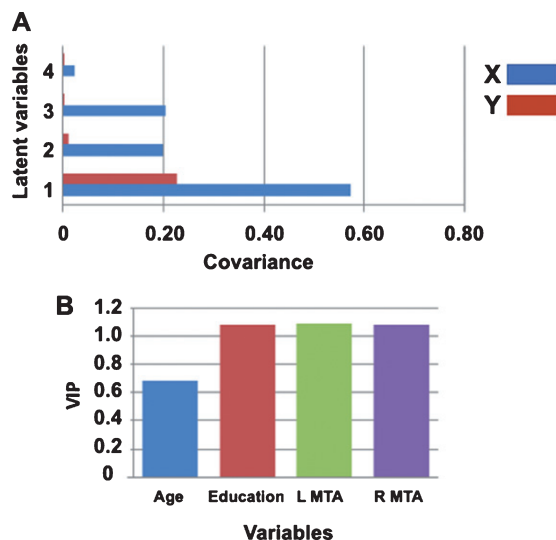


Fig. 2. Results of partial least square analyses in all participants. Panel A shows the result of Partial Least Square analysis. The first latent variable explains most of the covariance of X and Y (57.0% for x and 23.0% for Y). Panel B shows the result of the Variable Importance in the Projection index (VIP index) relatively to the first latent variable. VIP index identifies the education and the left and right MTA scores as variables more contributing to the composition of Mini-Mental State Examination score variance into the first latent variable. See text for further details. MTA, Medial Temporal Lobe atrophy scale; R, right; L, left; VIP, Variable Importance in the Projection index.

neuropsychological tests, with the only exception for the Modified Card Sorting Test. In this test, aMCI patients with low sCR showed significantly worse performance than aMCI patients with high sCR ($F_{1,38} = 10.5$, $p=0.003$). No further differences were detected (see Supplementary Table 2). When considering the dCR level, no significant differences were detected within groups (see Supplementary Table 3).

MRI

Hippocampal volumes

As reported in Fig. 3A (and in the Supplementary Table 4) when considering the whole sample, we observed the typical pattern of distribution of hippocampal volumes among diagnostic groups. Specifically, a two-way ANOVA (Group x Side) revealed a significant effect of Group ($F_{2,114} = 26.2$, $p=0.001$). *Post hoc* analyses revealed that patients with AD showed significantly smaller hippocampi than aMCI patients and HS ($p<0.001$ in both comparisons). Conversely, patients with aMCI showed no significant difference

Table 2
Partial Least Squares analysis in all participants

Panel A		Independent variable (X)		Dependent variable (Y)		R ²
Latent factors	% of Variance	% Cumulative	% of Variance	% Cumulative		
1	0.57	0.57	0.23	0.23	0.22	
2	0.19	0.77	0.01	0.24	0.22	
3	0.20	0.97	0.00	0.24	0.22	
4	0.02	1.0	0.00	0.24	0.21	

Panel B	VIP index	B-matrix	Weight	Loadings
Age	0.69	-0.03	-0.34	-0.37
Education	1.07	0.29	0.54	0.44
L MTA	1.09	0.00	0.55	0.58
R MTA	1.08	0.00	0.54	0.58

Panel C Model	Unstandardized coefficients		Standardized coefficients		p-level	95% Confidence Interval for B	
	B	Std. Error	Beta	t		Lower Bound	Upper Bound
2 (Constant)	-2.278	0.441		-5.164	0.000	-3.15	-1.40
L MTA	0.001	0.001	0.298	3.433	0.001	0.000	0.001
Education	0.067	0.020	0.290	3.342	0.001	0.027	0.107

in the hippocampal volumes in comparison to HS ($p=0.999$). Side effect ($F_{1,114}=1.18$, $p=0.28$) and Interaction ($F_{2,114}=0.68$, $p=0.50$) were not significant. Dividing the groups according to the sCR index (Fig. 3B and Supplementary Table 5), a two-way ANOVA (sCR x Side) revealed a significant effect of sCR in the AD patients in the bilateral hippocampus ($F_{1,37}=7.09$, $p=0.011$). Side effect ($F_{1,37}=0.01$, $p=0.902$) and Interaction ($F_{1,37}=0.01$, $p=0.890$) were not significant.

In the aMCI patients and HS group, two separate ANOVAs (sCR x Side) failed to reveal any significant difference. Specifically, in aMCI patients: sCR effect: $F_{1,38}=0.01$, $p=0.917$; Side effect $F_{1,38}=0.24$, $p=0.620$; Interaction $F_{1,38}=0.50$, $p=0.482$; in HS group: sCR effect: $F_{1,36}=1.93$, $p=0.172$; Side effect $F_{1,36}=1.24$, $p=0.273$; Interaction $F_{1,36}=0.97$, $p=0.329$.

When considering the dCR index (Fig. 3C and Supplementary Table 6) in AD patients a two-way ANOVA (dCR x Side) revealed a significant dCR effect in the hippocampal volumes bilaterally ($F_{1,37}=7.09$, $p=0.011$). Side effect ($F_{1,37}=0.06$, $p=0.798$) and Interaction ($F_{1,37}=0.09$, $p=0.756$) were not significant.

In aMCI patients, a two-way ANOVA (dCR x Side) failed to reveal significant effect of dCR ($F_{1,38}=2.69$, $p=0.108$), or Side ($F_{1,38}=1.54$, $p=0.696$), while Interaction almost reached the significance level ($F_{1,38}=3.72$, $p=0.06$) due to aMCI patients with high dCR level that showed, as revealed by the planned comparisons a significant volume reduction in the left hippocampus ($F_{1,38}=4.28$, $p=0.04$) in comparison to those with low dCR.

Volumes of the perirhinal, entorhinal, and parahippocampal cortices

When considering AD, aMCI, and HS groups without differentiating for CR level, in the parahippocampal gyrus we observed the same pattern found in the hippocampus. In particular, when considering the whole sample there were significant main effects of Group (AD versus aMCI versus HS) for perirhinal ($F_{2,114}=10.9$, $p<0.001$), entorhinal ($F_{2,114}=35.4$, $p<0.001$), and parahippocampal ($F_{2,114}=10.6$, $p<0.001$) cortices (Fig. 4A and Supplementary Table 4). In all cases patients with AD showed reduced volumes compared to HS ($p=0.001$, in all comparisons), but not compared to aMCI patients (perirhinal cortex: $p=0.405$; entorhinal cortex: $p=0.321$; parahippocampal cortex: $p=0.787$). Moreover, aMCI patients showed smaller volumes than HS group in all cortices (perirhinal cortex: $p=0.005$; entorhinal cortex: $p<0.001$; parahippocampal cortex: $p<0.001$). When using the sCR (Fig. 4B and Supplementary Table 5) index, we did not find significant main effect of sCR (perirhinal cortex: $F_{1,37}=0.640$, $p=0.429$; entorhinal cortex: $F_{1,37}=0.758$, $p=0.390$; parahippocampal cortex: $F_{1,37}=0.582$, $p=0.451$), Side (perirhinal: $F_{1,37}=0.183$, $p=0.672$; entorhinal: $F_{1,37}=0.388$, $p=0.537$; parahippocampal cortex: $F_{1,37}=0.060$, $p=0.809$), or Interaction (perirhinal: $F_{1,37}=0.469$, $p=0.498$; entorhinal: $F_{1,37}=0.005$, $p=0.946$; parahippocampal cortex: $F_{1,37}=0.053$, $p=0.819$), in patients with AD. When considering the aMCI patients in the perirhinal cortex, we observed no significant main effect of sCR ($F_{1,38}=0.684$, $p=0.413$) or Side ($F_{1,38}=0.028$, $p=0.868$), but a significant

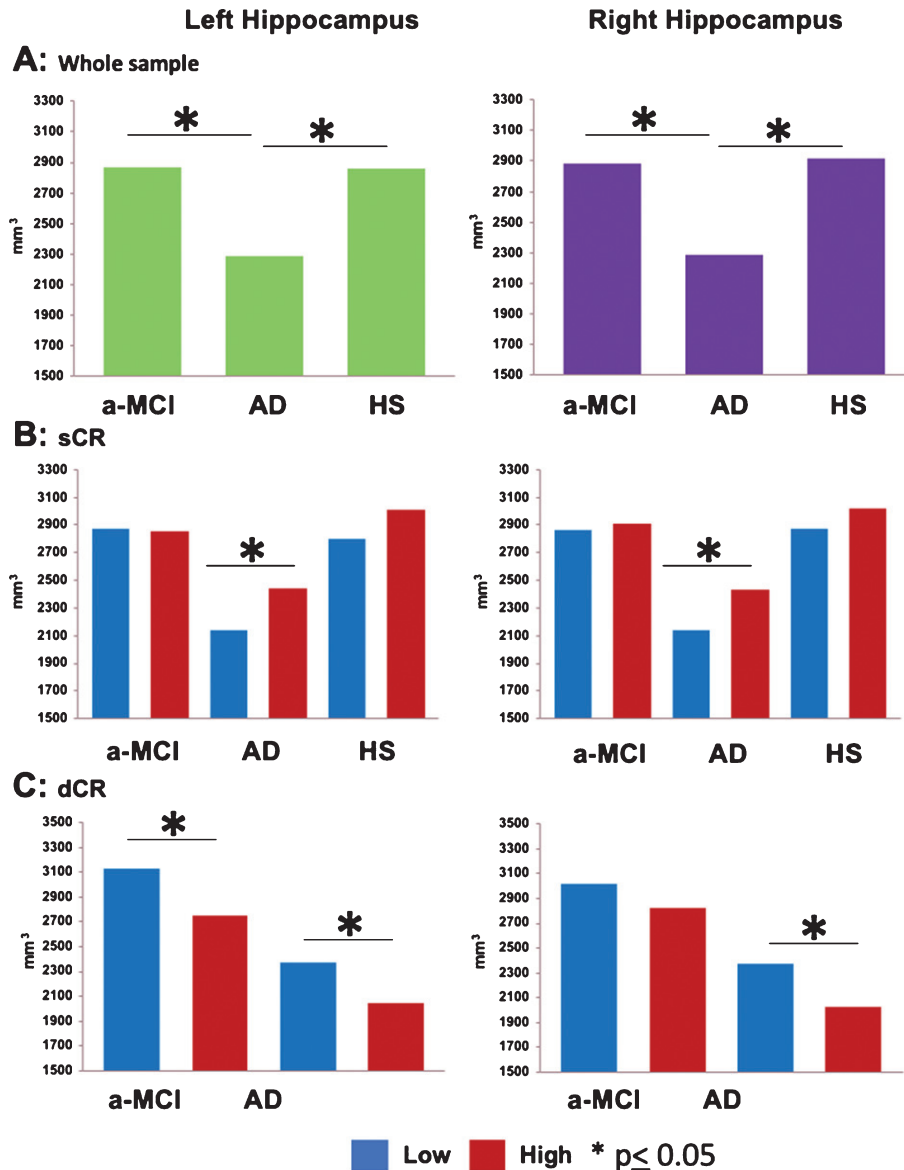


Fig. 3. Hippocampal volumes. Panel A shows the differences in the volumes of left and right hippocampus in aMCI, AD, and HS groups. Panel B and C show the differences in the volumes of the left and right hippocampus in the three groups divided according to their sCR (B) and dCR (C). The statistical comparisons between high (in red) versus low (in blue) static (or dynamic, in turn) Cognitive Reserve level have been performed within each group separately. AD, Alzheimer's disease; a-MCI, amnesic mild cognitive impairment; HS, healthy subjects. See text for further details.

Interaction was detected ($F_{1,38} = 4.527$, $p = 0.05$). This Interaction was due to aMCI patients with high sCR that showed smaller perirhinal volumes in the right hemisphere than to the left ones; conversely no difference was detected in patients with low sCR level. In the entorhinal cortex, aMCI patients did not show a significant main sCR effect ($F_{1,38} = 2.193$, $p = 0.147$). Conversely, they showed a significant Side effect ($F_{1,38} = 6.154$, $p = 0.05$), due to a smaller

volume in the right entorhinal cortex. In addition, we observed also a significant Interaction ($F_{1,38} = 7.130$, $p = 0.05$) due to aMCI patients with high sCR that showed smaller entorhinal volumes in the right hemisphere than to the left ones, conversely no difference was detected in patients with low sCR level. In the parahippocampal cortex, there was a significant main Group effect ($F_{1,38} = 10.291$, $p = 0.005$) because of patients with low sCR showed reduced volumes

compared to those with high sCR. There were not significant Side effect or Interaction ($F_{1,38} = 0.247$, $p = 0.622$; $F_{1,38} = 1.024$, $p = 0.318$, respectively). Nevertheless in HS group we did not find significant main effect of sCR (perirhinal cortex: $F_{1,36} = 0.389$, $p = 0.537$; entorhinal cortex: $F_{1,36} = 0.758$, $p = 0.390$; parahippocampal cortex: $F_{1,36} = 0.001$, $p = 0.975$), Side (perirhinal: $F_{1,36} = 0.247$, $p = 0.623$; entorhinal: $F_{1,36} = 0.794$, $p = 0.252$; parahippocampal cortex: $F_{1,36} = 0.307$, $p = 0.584$), or Interaction (perirhinal: $F_{1,36} = 2.330$, $p = 0.137$; entorhinal: $F_{1,36} = 0.252$, $p = 0.619$; parahippocampal cortex: $F_{1,36} = 0.055$, $p = 0.816$). Figure 4C and Supplementary Table 6 illustrate the results according the dCR index. In AD patients, we did not find significant dCR effect (perirhinal cortex: $F_{1,37} = 1.039$, $p = 0.315$; entorhinal cortex: $F_{1,37} = 0.281$, $p = 0.599$; parahippocampal cortex: $F_{1,37} = 2.052$, $p = 0.161$), Side (perirhinal: $F_{1,37} = 0.027$, $p = 0.870$; entorhinal: $F_{1,37} = 0.323$, $p = 0.573$; parahippocampal cortex: $F_{1,37} = 0.126$, $p = 0.725$), or Interaction (perirhinal: $F_{1,37} = 1.345$, $p = 0.254$; entorhinal: $F_{1,37} = 0.001$, $p = 0.971$; parahippocampal cortex: $F_{1,37} = 0.083$, $p = 0.775$). In aMCI patients, we did not find significant dCR effect ($F_{1,38} = 1.603$, $p = 0.213$), Side ($F_{1,38} = 0.018$, $p = 0.895$), or Interaction ($F_{1,38} = 0.714$, $p = 0.404$) in the perirhinal cortex; there were no dCR effect ($F_{1,38} = 2.739$, $p = 0.106$) or Interaction ($F_{1,38} = 1.204$, $p = 0.280$) in the entorhinal cortex; however, a significant Side effect was observed ($F_{1,38} = 4.706$, $p = 0.05$) due to the fact that the right entorhinal cortex was smaller than the left cortex both in patients with high or low dCR level. Instead, in the parahippocampal cortex aMCI patients showed a significant dCR effect ($F_{1,38} = 6.978$, $p = 0.05$) due to bilateral smaller volumes in patients with high dCR than patients with low dCR level. No Side effect ($F_{1,38} = 0.032$, $p = 0.859$) or Interaction ($F_{1,38} = 1.438$, $p = 0.238$) were detected.

Impact of sCR and dCR indexes on memory performances and hippocampal and parahippocampal atrophy

In order to reduce the inflation due to the high number of comparisons, we limited the mediation analyses only to the groups showing a significant effect of CR on brain volumes. Specifically, mediation analyses were performed in the AD and aMCI groups, separately.

In the AD patients, when considering the sCR index as mediator (and the dCR index as covariate of

no interest), we found a significant mediation effect of sCR on the right perirhinal cortex in producing the performance in the Short Story test (delayed recall) (Indirect effect: -0.14 , Lower Limit CI 95%: -0.39 ; Upper Limit CI 95%: -0.005). Conversely, when considering the dCR index as mediator (and the sCR index as covariate of no interest), we found a significant mediation effect of the left hippocampus on the performance obtained in 15-Word List (immediate recall) (Indirect effect: -0.18 , Lower Limit CI 95%: -0.37 ; Upper Limit CI 95%: -0.011).

In the aMCI group, we found a significant mediation effect of the sCR index on the right parahippocampal cortex on the performance at 15-Word List (immediate recall) (Indirect effect: -0.15 , Lower Limit CI 95%: -0.37 ; Upper Limit CI 95%: -0.006). Finally, we found a significant mediation effect of dCR index on the bilateral entorhinal cortex on the performance in Short Story test (immediate recall) (for the left entorhinal cortex: Indirect effect: -0.19 , Lower Limit CI 95%: -0.43 ; Upper Limit CI 95%: -0.015 ; for the right entorhinal cortex: Indirect effect: -0.17 , Lower Limit CI 95%: -0.39 ; Upper Limit CI 95%: -0.027). Notably, in all analyses direct effects were not detected.

DISCUSSION

The present study showed for the first time that the different CR indexes are negatively associated among them. This means that a high sCR value corresponds to a low dCR value, and vice-versa. Moreover, when considering the number of patients classified as having high or low CR, we observed significant differences in the categorization in high or low CR level depending on which index has been considered (sCR or dCR index, respectively). In particular, in aMCI and HS groups, the sCR index classified as subjects having a high CR a smaller number of subjects that did dCR index, and in AD group we observed the reverse pattern.

Therefore, it is reasonable to advance that in the healthy aging and in aMCI patients the changes in the cognitive efficiency are more able to intercept subjects with higher cognitive resources compared to the educational attainment. On the contrary, in AD patients, the static index that reflects more crystallized and more time-independent intellectual functions (e.g., semantic knowledge, proficiency, procedural skills) is more able to classify patients with different cognitive resources. Individuals with high or low static CR index according to their high

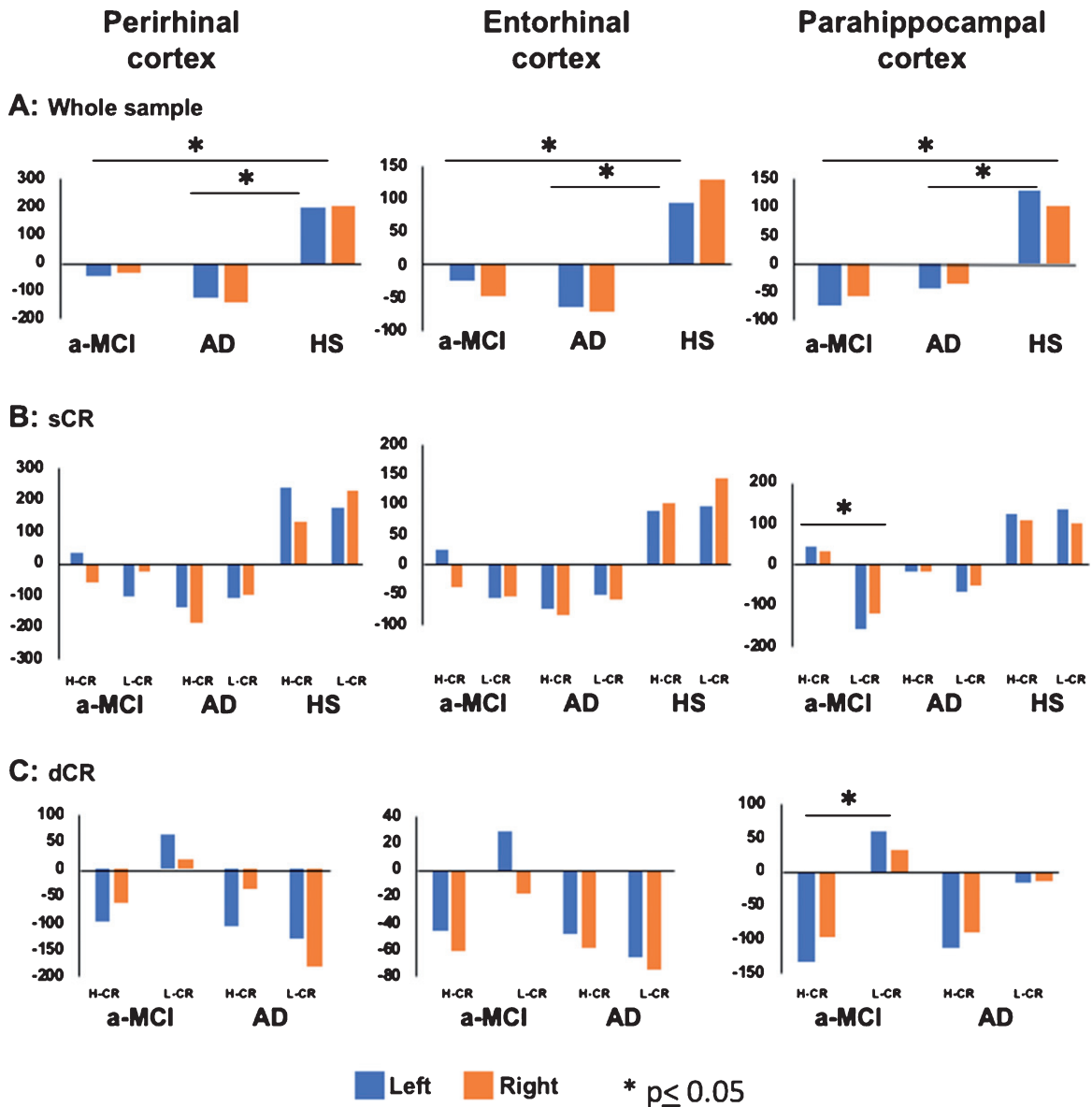


Fig. 4. Perirhinal, entorhinal, and parahippocampal volumes. Panel A shows the differences in the volumes in the left (in blue) and right (in orange) cortices of the parahippocampal gyrus (encompassing perirhinal, entorhinal, and parahippocampal cortex) in aMCI, AD, and HS groups; Panel B and C show the differences in volumes of left (in blue) and right (in orange) cortices of parahippocampal gyrus in the groups divided according to their sCR (B) and dCR (C). The statistical comparisons high versus low static (or dynamic, in turn) Cognitive Reserve level have been performed within each group separately. AD, Alzheimer's disease; a-MCI, amnesic mild cognitive impairment; HS, healthy subjects; H-CR, high cognitive reserve; L-CR, low cognitive reserve. See text for further details.

or low educational level can have high or low general cognitive efficiency. As previously showed [9], aMCI patients with high educational level and high MMSE score (the measure of general cognitive efficiency) converted to AD significantly later than patients with high education and low MMSE, while in the patients with low education did not exist difference in the conversion time to AD among patients with high or low

general cognitive efficiency. Being the dCR index a measure of the changes in the general cognitive efficiency, in the present paper we found an opposite association for sCR and dCR indexes. Interestingly, such an association is very solid in the healthy elderly, less strong but present in the aMCI patients, and totally absent in the AD patients. It is thus reasonable to hypothesize that in the healthy elderly, the

high general cognitive efficiency impacts extensively on the brain resilience and compensates better the low educational level, and that this trend is progressively lost from healthy aging to full-blown AD.

However, it is remarkable that in a certain percentage of individuals, both indexes are able to similarly classify the subjects. In particular, sCR and dCR indexes were more in accordance for detecting individuals with high CR in the aMCI and HS group; conversely, they were more in accordance to detect patients with low CR in AD group. We hypothesized that subjects receiving the same level of CR independently from the index considered presented truly that level of reserve. On the contrary, subjects changing the level of reserve were in a borderline situation. We retain the more stable subjects, a very interesting subgroup, and further studies focused on these individuals are needed. Unfortunately, in the present study, this subgroup of subjects represents a very small sample size; therefore we cannot analyze them separately.

The idea that static and dynamic indexes may represent different CR storages is supported also by the observation that the different indexes showed different ability to capture brain volumes changes in the diverse disease stages. In particular, sCR index captured changes in hippocampal volumes between subjects with high and low CR only in the AD patients. In fact, AD patients with low sCR showed smaller volume in the hippocampus bilaterally in comparison to AD patients with high sCR. When considering the effect of sCR on the parahippocampal cortex the same trend was found in the aMCI patients. However, these findings were not in accordance with the reserve hypothesis. Indeed, the reserve concept assumes that individuals with higher reserve level need to accumulate more neuropathology to express the same clinical symptoms shown by individuals with lower reserve level [1, 2]. Thus, we advance that the differences observed in our sample were not only related to a reserve effect but they were likely due to interactions with other factors different from the reserve, such as genetic background or socio-economic status, or the lifestyle or the work effort that we were unable to assess in our sample. The sCR index probably suffers from the interventions of all these factors unfortunately difficult to disentangle and whose single impact it is hard to ponder.

Conversely, according to the CR hypothesis, the dCR index is able to individuate the different volume changes in hippocampus and parahippocampal cortex in patients with high CR or low CR since the

aMCI stage. In fact, aMCI patients with high dCR showed a higher level of hippocampal and parahippocampal atrophy in comparison to aMCI patients with low dCR.

It is remarkable that the dCR index is only able to show the volumetric changes in the parahippocampal cortices since the aMCI stage. Notably, these cortices are widely connected with the hippocampus and are involved in the early AD neurodegenerative processes [18]. Studies showed amyloid-beta [18] and tau-related [46] parahippocampal abnormalities several years before the onset of clinical symptoms during AD course. Interestingly, the present findings related to dCR index reveal significant differences limited to the parahippocampal cortex, and no significant effect in the perirhinal and entorhinal cortex. A recent study [47] highlighted the different connections and functional role of the cortices of the parahippocampal gyrus. In particular, the perirhinal cortex is part of an anterior temporal network that, through the lateral part of the entorhinal cortex, projects to hippocampus forming the unrefined gist-like representation of objects and non-spatial stimuli [47]. Conversely, the parahippocampal cortex is part of a posterior medial temporal network and it projects by the medial part of the entorhinal cortex to the hippocampus, adding refined details to the cognitive representations [47]. This latter network seems to be particularly vulnerable both to the age-related alterations [47] and to neurodegeneration [18, 46]. On such a basis and considering our present findings, we advance that the changes in the posterior medial temporal network may be precociously detected by using the dCR index.

However, when exploring the relationship among CR indexes, the atrophy of MTL structures and memory performances of patients, we found a similar effect in sCR and dCR indexes. Specifically, in the patients with AD, the sCR index mediated significantly the relationship between the right perirhinal cortex and the performance of immediate recall of the Short Story test, while the dCR index mediated significantly the relationship between the left hippocampus and the performance of immediate recall of the 15-Rey's word List test. In the aMCI patients, sCR index mediated significantly the relationship between the right parahippocampal cortex and the performance of immediate recall of the 15-Rey's word List test, while the dCR index mediated significantly the relationship between the bilateral entorhinal cortex and the performance of immediate recall of the Short Story test. In all cases, the atrophy of MTL structures did not affected the memory performances directly,

but through a mediator, sCR or dCR, respectively. Such a mediation implicates that each reduction in the value of the mediator diminishes the memory score for every volumetric change of the MTL structures. It is remarkable that in patients with AD the static and dynamic CR indexes exerted a mediation effect both in the parahippocampal (namely in the perirhinal cortex) and in the hippocampal structures. Conversely, in aMCI patients the action of CR indexes on memory functions was shown only in the relationship with the parahippocampal structures. These findings denote that the CR mediates the relationship between brain atrophy and memory performances involving the majority of the MTL structures in the advanced disease stages, while an effect restricted to the parahippocampus was exerted in the early disease stages of the disease, indicating the precocious neurodegenerative process of the posterior medial network. In this context, it should be also recalled that the parahippocampus receives afferent connections from the posterior regions of brain (such as pre-cuneus, posterior cingulate cortex), areas involved in the default mode network [48], and, in turn, it projects to the entorhinal cortex and to hippocampus, all these regions playing a key role in the episodic memory system. Even functional MRI studies have indicated the role of the parahippocampus in the modulation of the connectivity into the regions involved in the episodic memory system [49, 50]. In addition, parahippocampus connectivity was found to be related to disease progression in AD patients [51].

The present results indicate that static and dynamic CR indexes differently intercept the atrophy of MTL structures, but they similarly modulate the relationship between MTL atrophy and memory performances.

More recently a longitudinal study investigated in a large cohort of individuals followed-up for 20 years the association between cognitive reserve factors and the risk for developing dementia in the presence of brain pathologies [52]. This study highlighted the protective effect exerted by CR revealing that high cognitive reserve was related with a reduction of the risk for developing dementia even in the presence of brain pathology [52].

In conclusion, overall from the literature emerges that the CR hypothesis is currently a hot topic in neuroscience that merits extensive investigation. In this viewpoint, the present paper contributes to disentangle some critical aspects highlighting that there is no direct relationship between atrophy of MTL structures and memory dysfunction, as documented by the

absence of significant direct effect in the mediation analyses. Conversely, this relationship was significantly mediated by the cognitive reserve. Although these results deserve to be further documented, we here hypothesized that static and dynamic CR indexes are a representation of different warehouses of reserve which do not operate in parallel but form a more complex system, in which crystalized cognitive abilities and the actual cognitive efficiency interact with brain atrophy impacting on memory functions.

ACKNOWLEDGMENTS

This research was supported in part by Ministero della Salute (Italian Ministry of Health) (Linea di Ricerca Corrente: Neuroriabilitazione Cognitiva, Motoria e Neuroimmagini).

Authors' disclosures available online (<https://www.j-alz.com/manuscript-disclosures/19-0716r1>).

SUPPLEMENTARY MATERIAL

The supplementary material is available in the electronic version of this article: <http://dx.doi.org/10.3233/JAD-190716>.

REFERENCES

- [1] Stern Y, Arenaza-Urquijo EM, Bartrés-Faz D, Belleville S, Cantilon M, Chetelat G, Ewers M, Franzmeier N, Kempermann G, Kremen WS, Okonkwo O, Scarmeas N, Soldan A, Udeh-Momoh C, Valenzuela M, Vemuri P, Vuoksima E; Reserve, Resilience and Protective Factors PIA Empirical Definitions and Conceptual Frameworks Workgroup (2018) Whitepaper: Defining and investigating cognitive reserve, brain reserve, and brain maintenance. *Alzheimers Dement*. doi: 10.1016/j.jalz.2018.07.219
- [2] Serra L, Gelfo F, Petrosini L, Di Domenico C, Bozzali M, Caltagirone C (2018) Rethinking the reserve with a translational approach: Novel ideas on the construct and the interventions. *J Alzheimers Dis* **65**, 1065-1078.
- [3] Gelfo F, Mandolesi L, Serra L, Sorrentino G, Caltagirone C (2018) The neuroprotective effects of experience on cognitive functions: Evidence from animal studies on the neurobiological bases of brain reserve. *Neuroscience* **370**, 218-235.
- [4] Petrosini L, De Bartolo P, Foti F, Gelfo F, Cutuli D, Leggio MG, Mandolesi L (2009) On whether the environmental enrichment may provide cognitive and brain reserves. *Brain Res Rev* **61**, 221-239.
- [5] Serra L, Mancini M, Cercignani M, Di Domenico C, Spanò B, Giulietti G, Koch G, Marra C, Bozzali M (2017) Network-based substrate of cognitive reserve in Alzheimer's disease. *J Alzheimers Dis* **55**, 421-430.
- [6] Barulli D, Stern Y (2013) Efficiency, capacity, compensation, maintenance, plasticity: Emerging concepts in cognitive reserve. *Trends Cogn Sci* **17**, 502-509.

- [7] Serra L, Cercignani M, Petrosini L, Basile B, Perri R, Fadda L, Spanò B, Marra C, Giubilei F, Carlesimo GA, Caltagirone C, Bozzali M (2011) Neuroanatomical correlates of cognitive reserve in Alzheimer disease. *Rejuvenation Res* **14**, 143-151.
- [8] Bozzali M, Dowling C, Serra L, Spanò B, Torso M, Marra C, Castelli D, Dowell NG, Koch G, Caltagirone C, Cercignani M (2015) The impact of cognitive reserve on brain functional connectivity in Alzheimer's disease. *J Alzheimers Dis* **44**, 243-250.
- [9] Serra L, Musicco M, Cercignani M, Torso M, Spanò B, Mastropasqua C, Giulietti G, Marra C, Bruno G, Koch G, Caltagirone C, Bozzali M (2015) Cognitive reserve and the risk for Alzheimer's disease: A longitudinal study. *Neurobiol Aging* **36**, 592-600.
- [10] Serra L, Bruschini M, Di Domenico C, Gabrielli GB, Marra C, Caltagirone C, Cercignani M, Bozzali M (2017) Memory is not enough: The neurobiological substrates of dynamic cognitive reserve. *J Alzheimers Dis* **58**, 171-184.
- [11] Resende EPF, Rosen HJ, Chiang K, Staffaroni AM, Allen I, Grinberg LT, Carmona KC, Guimarães HC, Carvalho VA, Barbosa MT, de Souza LC, Caramelli P (2018) Primary school education may be sufficient to moderate a memory-hippocampal relationship. *Front Aging Neurosci* **10**, 381.
- [12] O'Shea DM, Langer K, Woods AJ, Porges EC, Williamson JB, O'Shea A, Cohen RA (2018) Educational attainment moderates the association between hippocampal volumes and memory performances in healthy older adults. *Front Aging Neurosci* **10**, 361.
- [13] Aslaksen PM, Bystad MK, Ørbo MC, Vangberg TR (2018) The relation of hippocampal subfield volumes to verbal episodic memory measured by the California Verbal Learning Test II in healthy adults. *Behav Brain Res* **351**, 131-137.
- [14] Reed BR, Mungas D, Farias ST, Harvey D, Beckett L, Widaman K, Hinton L, DeCarli C (2010) Measuring cognitive reserve based on the decomposition of episodic memory variance. *Brain* **133**, 2196-2209.
- [15] Zahodne LB, Manly JJ, Brickman AM, Siedlecki KL, DeCarli C, Stern Y (2013) Quantifying cognitive reserve in older adults by decomposing episodic memory variance: Replication and extension. *J Int Neuropsychol Soc* **19**, 854-862.
- [16] Zahodne LB, Manly JJ, Brickman AM, Narkhede A, Grifith EY, Guzman VA, Schupf N, Stern Y (2015) Is residual memory variance a valid method for quantifying cognitive reserve? A longitudinal application. *Neuropsychologia* **77**, 260-266.
- [17] Malek-Ahmadi M, Lu S, Chan Y, Perez SE, Chen K, Mufson EJ (2017) Static and dynamic cognitive reserve proxy measures: Interactions with Alzheimer's disease neuropathology and cognition. *J Alzheimers Dis Parkinsonism* **7**, 390.
- [18] Braak H, Braak E (1991) Neuropathological staging of Alzheimer-related changes. *Acta Neuropathol* **82**, 239-259.
- [19] Fox NC, Warrington EK, Stevens JM, Rossor MN (1996) Atrophy of the hippocampal formation in early familial Alzheimer's disease. A longitudinal MRI study of at-risk members of a family with an amyloid precursor protein 717Val-Gly mutation. *Ann N Y Acad Sci* **777**, 226-232.
- [20] Reiman EM, Uecker A, Caselli RJ, Lewis S, Bandy D, de Leon MJ, De Santi S, Convit A, Osborne D, Weaver A, Thibodeau SN (1998) Hippocampal volumes in cognitively normal persons at genetic risk for Alzheimer's disease. *Ann Neurol* **44**, 288-291.
- [21] Jack CR Jr, Petersen RC, Xu YC, O'Brien PC, Smith GE, Ivnik RJ, Boeve BF, Waring SC, Tangalos EG, Kokmen E (1999) Prediction of AD with MRI-based hippocampal volume in mild cognitive impairment. *Neurology* **52**, 1397-1403.
- [22] Visser PJ, Scheltens P, Verhey FR, Schmand B, Launer LJ, Jolles J, Jonker C (1999) Medial temporal lobe atrophy and memory dysfunction as predictors for dementia in subjects with mild cognitive impairment. *J Neurol* **246**, 477-485.
- [23] Du AT, Schuff N, Amend D, Laakso MP, Hsu YY, Jagust WJ, Yaffe K, Kramer JH, Reed B, Norman D, Chui HC, Weiner MW (2001) Magnetic resonance imaging of the entorhinal cortex and hippocampus in mild cognitive impairment and Alzheimer's disease. *J Neurol Neurosurg Psychiatry* **71**, 441-447.
- [24] Shen L, Saykin AJ, Kim S, Firpi HA, West JD, Risacher SL, McDonald BC, McHugh TL, Wishart HA, Flashman LA (2010) Comparison of manual and automated determination of hippocampal volumes in MCI and early AD. *Brain Imaging Behav* **4**, 86-95.
- [25] Fung YL, Ng KET, Vogrin SJ, Meade C, Ngo M, Collins SJ, Bowden SC (2019) Comparative utility of manual versus automated segmentation of hippocampus and entorhinal cortex volumes in a memory clinic sample. *J Alzheimers Dis* **68**, 159-171.
- [26] Nucci M, Mapelli D, Mondini S (2012) Cognitive Reserve Index questionnaire (CRIq): A new instrument for measuring cognitive reserve. *Aging Clin Exp Res* **24**, 218-226.
- [27] McKhann GM, Knopman DS, Chertkow H, Hyman BT, Jack CR Jr, Kawas CH, Klunk WE, Koroshetz WJ, Manly JJ, Mayeux R, Mohs RC, Morris JC, Rossor MN, Scheltens P, Carrillo MC, Thies B, Weintraub S, Phelps CH (2011) The diagnosis of dementia due to Alzheimer's disease: Recommendations from the National Institute on Aging-Alzheimer's Association workgroups on diagnostic guidelines for Alzheimer's disease. *Alzheimers Dement* **7**, 263-269.
- [28] American Psychiatric Association (APA) (2013) *Diagnostic and Statistical Manual of Mental Disorders*, 5th edn. American Psychiatric Association.
- [29] Albert MS, DeKosky ST, Dickson D, Dubois B, Feldman HH, Fox NC, Gamst A, Holtzman DM, Jagust WJ, Petersen RC, Snyder PJ, Carrillo MC, Thies B, Phelps CH (2011) The diagnosis of mild cognitive impairment due to Alzheimer's disease: Recommendations from the National Institute on Aging-Alzheimer's Association workgroups on diagnostic guidelines for Alzheimer's disease. *Alzheimers Dement* **7**, 270-279.
- [30] Hughes CP, Berg L, Danziger WL, Coben LA, Martin RL (1982) A new clinical scale for the staging of dementia. *Br J Psychiatry* **40**, 566-572.
- [31] Hachinski VC, Iliff LD, Zilhka E, Du Boulay GH, McAllister VL, Marshall J, Ross Russell RW, Symon L (1975) Cerebral blood flow in dementia. *Arch Neurol* **32**, 632-637.
- [32] Büsch D, Hagemann N, Bender N (2010) The dimensionality of the Edinburgh Handedness Inventory: An analysis with models of the item response theory. *Laterality* **15**, 610-628.
- [33] Carlesimo GA, Caltagirone C, Gainotti G (1996) The Mental Deterioration Battery: Normative data, diagnostic reliability and qualitative analyses of cognitive impairment. The Group for the Standardization of the Mental Deterioration Battery. *Eur Neurol* **36**, 378-384.

- [34] Carlesimo GA, Buccione I, Fadda L, Graceffa A, Mauri M, Lo Russo S, Bevilacqua G, Caltagirone C (2002) Standardizzazione di due test di memoria per uso clinico: Breve Racconto e Figura di Rey. *Nuova Riv Neurol* **12**, 1-13.
- [35] Monaco M, Costa A, Caltagirone C, Carlesimo GA (2013) Forward and backward span for verbal and visuo-spatial data: Standardization and normative data from an Italian adult population. *Neurol Sci* **34**, 749-754.
- [36] Nocentini U, Di Vincenzo S, Panella M, Pasqualetti P, Caltagirone C (2002) La valutazione delle funzioni esecutive nella pratica neuropsicologica: Dal Modified Card Sorting Test al Modified Card Sorting Test-Roma Version. Dati di standardizzazione. *Nuova Riv Neurol* **12**, 14-24.
- [37] Miceli G, Laudanna A, Burani C, Capasso R (1991) *Batteria per l'analisi dei deficit afasici. Ass.ne per lo sviluppo delle ricerche neuropsicologiche*. Berdata, Milano.
- [38] Folstein MF, Folstein SE, McHugh PR (1975) "Mini-mental state". A practical method for grading the cognitive state of patients for the clinician. *J Psychiatr Res* **12**, 189-198.
- [39] Scheltens P, Launer LJ, Barkhof F, Weinstein HC, van Gool WA (1995) Visual assessment of medial temporal lobe atrophy on magnetic resonance imaging: Interobserver reliability. *J Neurol* **242**, 557-560.
- [40] Serra L, Cercignani M, Lenzi D, Perri R, Fadda L, Caltagirone C, Macaluso E, Bozzali M (2010) Grey and white matter changes at different stages of Alzheimer's disease. *J Alzheimers Dis* **19**, 147-159.
- [41] Insausti R, Juottonen K, Soininen H, Insausti AM, Partanen K, Vainio P, Laakso MP, Pitkänen A (1998) MR volumetric analysis of the human entorhinal, perirhinal, and temporopolar cortices. *AJNR Am J Neuroradiol* **19**, 659-671.
- [42] Pruessner JC, Li LM, Serles W, Pruessner M, Collins DL, Kabani N, Lupien S, Evans AC (2000) Volumetry of hippocampus and amygdala with high-resolution MRI and three-dimensional analysis software: Minimizing the discrepancies between laboratories. *Cereb Cortex* **10**, 433-442.
- [43] Pruessner JC, Köhler S, Crane J, Pruessner M, Lord C, Byrne A, Kabani N, Collins DL, Evans AC (2002) Volumetry of temporopolar, perirhinal, entorhinal and parahippocampal cortex from high-resolution MR images: Considering the variability of the collateral sulcus. *Cereb Cortex* **12**, 1342-1353.
- [44] Ashburner J, Friston KJ (2001) Why voxel-based morphometry should be used. *Neuroimage* **14**, 1238-1243.
- [45] Ashburner J, Friston KJ (2005) Unified segmentation. *Neuroimage* **26**, 839-851.
- [46] Delacourte A, David JP, Sergeant N, Buée L, Watzel A, Vermersch P, Ghozali F, Fallet-Bianco C, Pasquier F, Lebert F, Petit H, Di Menza C (1999) The biochemical pathway of neurofibrillary degeneration in aging and Alzheimer's disease. *Neurology* **52**, 1158-1165.
- [47] Burke SN, Gaynor LS, Barnes CA, Bauer RM, Bizon JL, Roberson ED, Ryan L (2018) Shared functions of perirhinal and parahippocampal cortices: Implications for cognitive aging. *Trends Neurosci* **41**, 349-359.
- [48] Raichle ME (2015) The brain's default mode network. *Annu Rev Neurosci* **38**, 433-447.
- [49] Aminoff E, Gronau N, Bar M (2007) The parahippocampal cortex mediates spatial and nonspatial associations. *Cereb Cortex* **17**, 1493-1503.
- [50] Ward AM, Schultz AP, Huijbers W, Van Dijk KR, Hedden T, Sperling RA (2014) The parahippocampal gyrus links the default-mode cortical network with the medial temporal lobe memory system. *Hum Brain Mapp* **35**, 1061-1073.
- [51] Liu J, Zhang X, Yu C, Duan Y, Zhuo J, Cui Y, Liu B, Li K, Jiang T, Liu Y (2016) Impaired parahippocampus connectivity in mild cognitive impairment and Alzheimer's disease. *J Alzheimers Dis* **49**, 1051-1064.
- [52] Xu H, Yang R, Qi X, Dintica C, Song R, Bennett DA, Xu W (2019) Association of lifespan cognitive reserve indicator with dementia risk in the presence of brain pathologies. *JAMA Neurol*. doi: 10.1001/jamaneurol.2019.2455