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A formalism to compare electrocatalysts for the oxygen reduction reaction by cyclic voltammetry with the thin-film rotating ring-disk electrode measurements

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(Article begins on next page)

Current Opinion in Electrochemistry

A Formalism to Compare Electrocatalysts for the Oxygen Reduction Reaction by CV-TF-RRDE Measurements

--Manuscript Draft--

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Corresponding Author:	Vito Di Noto, Ph.D. Universita degli Studi di Padova Dipartimento di Ingegneria Industriale Padova, Padova ITALY
Corresponding Author's Institution:	Universita degli Studi di Padova Dipartimento di Ingegneria Industriale
Corresponding Author E-Mail:	vito.dinoto@unipd.it
First Author:	Vito Di Noto, Ph.D.
Order of Authors:	Vito Di Noto, Ph.D. Gioele Pagot, Ph.D. Enrico Negro, Ph.D. Keti Vezzù, Ph.D. Pawel J. Kulesza, Ph.D. Iwona A. Rutkowska, Ph.D. Giuseppe Pace, M.S.
Abstract:	<p>This report describes a general method to correlate the features determining the performance of an electrocatalyst (EC), including the accessibility of O₂ to the active sites and the kinetic activation barrier, with the outcome of conventional electrochemical experiments. The method has been implemented for oxygen reduction reaction (ORR) ECs by cyclic voltammetry with the thin-film rotating ring-disk electrode (CV-TF-RRDE) setup. The method: (i) does not rely on the simplifications associated with the Butler-Volmer (BV) kinetic description of electrochemical processes; and (ii) does not make assumptions on the specific features of the EC, allowing to compare accurately the kinetic performance of ORR ECs with a completely different chemistry. Finally, with respect to other widespread figures of merit (e.g. , the half-wave potential $E_{1/2}$), the figure of merit here proposed i.e. , $E(j_{Pt} 5\%)$, allows for much more accurate comparisons of the kinetic performance of ECs.</p>
Author Comments:	None

**DIPARTIMENTO DI INGEGNERIA INDUSTRIALE**

Prof. Vito Di Noto
via F. Marzolo, 9
35131 Padova

tel. +39 049 8275229
fax +39 049 8275229
vito.dinoto@unipd.it
www.dii.unipd.it

CF 80006480281
P.IVA 00742430283

Sede amministrativa
via Gradenigo 6/a
35131 Padova

Sede M:
via Marzolo, 9
35131 Padova

Sede V:
via Venezia, 1
35131 Padova

To:

Dr. Patricia Evelyn Hudson,
Editorial Manager
Current Opinion in Electrochemistry

Padova, 26 June 2021

**Subject: Submission of the manuscript entitled “A
*Formalism to Compare Electrocatalysts for the Oxygen
Reduction Reaction by CV-TF-RRDE Measurements*”**

Dear Dr. Patricia Evelyn Hudson,

Please, find enclosed the submission of the paper entitled ‘*A Formalism to Compare Electrocatalysts for the Oxygen Reduction Reaction by CV-TF-RRDE Measurements*’, submitted by Vito Di Noto, Gioele Pagot, Enrico Negro, Ketì Vezzù, Pawel J. Kulesza, Iwona A. Rutkowska, Giuseppe Pace for the publication, if accepted, in the section: “*Energy Transformation 2022*” of the journal “*Current Opinion in Electrochemistry*”.

This manuscript will require reviewers that are knowledgeable in electrochemistry and its application in processes that are relevant for electrochemical energy conversion and storage devices such as metal-air batteries and fuel cells. We therefore take the liberty to propose the following reviewers:

- Prof. Krishnan Rajeshwar (Department of Chemistry and Biochemistry, The University of Texas, Arlington, United States), E-mail address: rajeshwar@uta.edu
- Prof. Nicolas Alonso-Vante (IC2MP, UMR-CNRS 7285, University of Poitiers, Poitiers, France), E-mail address: nicolas.alonso.vante@univ-poitiers.fr
- Prof. Lior Elbaz (Bar-Ilan University, Department of Chemistry, Ramat-Gan, 5290002, Israel), E-mail address: lior.elbaz@biu.ac.il

This manuscript is not under consideration for publication in other journals and has not been published elsewhere in any other format.

We thank you for your consideration and look forward to hearing from you.

Yours sincerely
Prof. Vito Di Noto



A Formalism to Compare Electrocatalysts for the Oxygen Reduction Reaction by CV-TF-RRDE Measurements

Vito Di Noto^{1,2}, Gioele Pagot^{1,3*}, Enrico Negro^{1,3}, Ketì Vezzù^{1,2}, Pawel J. Kulesza⁴, Iwona A. Rutkowska⁴, Giuseppe Pace⁵*

¹ Section of Chemistry for the Technology (ChemTech), Department of Industrial Engineering, University of Padova, Via Marzolo 9, I-35131 Padova (PD), Italy

² Consorzio Interuniversitario Nazionale per la Scienza e Tecnologia dei Materiali - INSTM, Via Marzolo 1, I-35131 Padova (PD), Italy

³ Centro Studi di Economia e Tecnica dell'Energia Giorgio Levi Cases, Via Marzolo 9, I-35131 Padova (PD), Italy

⁴ Faculty of Chemistry, University of Warsaw, Pasteura 1, PL-02-093 Warsaw, Poland

⁵ Consiglio Nazionale delle Ricerche, Istituto di Chimica della Materia Condensata e di Tecnologie per l'Energia, Italy

Corresponding authors:

*Vito Di Noto – e-mail: vito.dinoto@unipd.it; *Gioele Pagot – e-mail: gioele.pagot@unipd.it

Abstract

This report describes a general method to correlate the features determining the performance of an electrocatalyst (EC), including the accessibility of O₂ to the active sites and the kinetic activation barrier, with the outcome of conventional electrochemical experiments. The method has been implemented for oxygen reduction reaction (ORR) ECs by cyclic voltammetry with the thin-film rotating ring-disk electrode (CV-TF-RRDE) setup. The method: (i) does not rely on the simplifications associated with the Butler-Volmer (BV) kinetic description of electrochemical processes; and (ii) does not make assumptions on the specific features of the EC, allowing to compare accurately the kinetic performance of ORR ECs with a completely different chemistry. Finally, with respect to other widespread figures of merit (*e.g.*, the half-wave potential $E_{1/2}$), the figure of merit here proposed *i.e.*, $E(j_{\text{Pt}}(5\%))$, allows for much more accurate comparisons of the kinetic performance of ECs.

Keywords: Comparison of kinetic performance; Oxygen Reduction Reaction; Cyclic Voltammetry with the Thin-Film Rotating Ring Disk technique; Accessibility; Activation barrier.

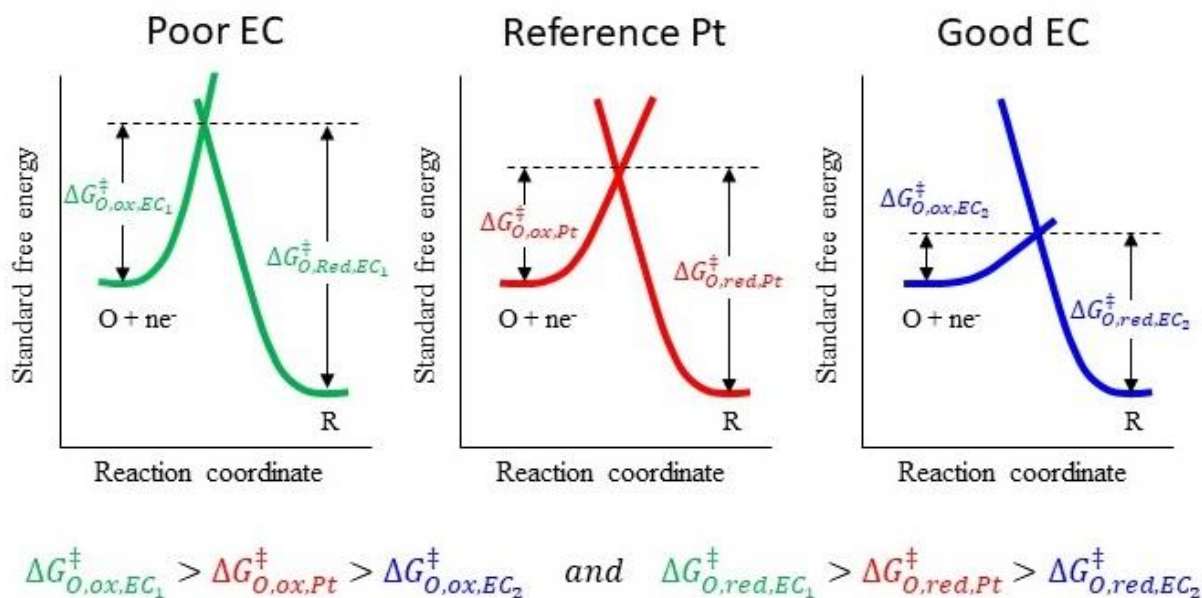
1. Introduction

The oxygen reduction reaction (ORR) is exploited in the operation of several electrochemical energy conversion and storage devices, such as metal-air batteries (*e.g.*, Li-air, Zn-air, Al-air, *etc.*) [1-5] and fuel cells (*e.g.*, proton exchange membrane fuel cells, PEMFCs; anion-exchange membrane fuel cells, AEMFCs, *etc.*) [6-10]. In these systems, the ORR is very often the slowest electrochemical process, thus yielding large overpotentials and thus degrading the overall energy efficiency of the final device. In this concern, the development of suitable electrocatalysts (ECs) able to promote the ORR is of fundamental importance. Such ECs are able to abate the activation energy (E_a) of the process, typically by introducing intermediate step reactions characterized by a lower E_a [11, 12]. The best performing ORR ECs are based on Pt or other platinum-group metals (PGMs), which unfortunately are not abundant in Earth's crust and thus are classified as critical raw materials. This supply bottleneck [13, 14] is a crucial issue, especially in the perspective of using PGM-based ECs in the fabrication of high-energy and high-power electrochemical energy conversion devices on a large scale (*e.g.*, for automotive applications). A detailed study able to shed light on the operating mechanism of an EC requires the use of a large number of complex techniques and, when studied "*in situ*", the assembly and the test of a final device. Therefore, the development of simple methods able to provide information on the crucial properties of the ECs are highly welcome. Cyclic voltammetry with the thin-film rotating ring disk electrode (CV-TF-RRDE) is one of the most powerful methods for the investigation of electrochemical systems, especially in the case of heterogeneous reactions [15]. Indeed, the CV-TF-RRDE technique allows to study the kinetics of an EC (that is typically deposited onto the tip of the working electrode) limiting as much as possible the formation of interfaces at the EC/electrolyte [15] and the influence of complex phenomena, such as the charge and mass transport events on measured parameters. Indeed, in CV-TF-RRDE measurements charge- and mass-transport phenomena are not time-dependent; thus, their analysis is quite simple. The ORR mechanism is significantly influenced by a number of conditions such as: (i) the reaction environment (*e.g.*, aqueous or organic); and (ii) the pH (*i.e.*, acidic, neutral

or alkaline) [16, 17]. In addition to the modulation of the kinetic parameters of the reaction mechanism, the local environment is also able to modify the products of the ORR and thus the type of reaction pathway. Indeed, depending on the number of electrons exchanged during the ORR: (a) in aqueous conditions, water (H_2O) or hydrogen peroxide (H_2O_2) can be formed [18, 19]; while (b) in non-aqueous media, metal oxide, peroxide, superoxide, or hydroxide species (*e.g.*, Li_2O , Li_2O_2 , LiO_2 , LiOH) are obtained [20-22].

In this report, a simple and general method is proposed in order to determine and compare suitable parameters describing the efficiency in the kinetic regime of a broad number of chemically different ECs for the ORR. This method is based on CV-TF-RRDE measurements and allows us to study and compare ECs operating in both aqueous and non-aqueous media. The method is: (a) easily transferable to a large variety of electrochemical reactions which are exploited in several energy conversion and storage systems; (b) based on a general physico-chemical formalism which allows to detect and interpret the experimental parameters which are diagnostic for a rigorous comparison of kinetic performance of different ECs. The proposed general physico-chemical framework accounts of the kinetic conversion of mass flow throughout the electrode into electrons. This target is achieved describing the redox process occurring at the electrode in terms of a general kinetic rate concept. Here no Butler-Volmer (BV) description of the process occurring at the electrode is assumed. Indeed, as clearly reported elsewhere [23], BV equation is the result of the $k_{\text{red}} = k_{\text{ox}} = k^0$ approximation, where k_{red} and k_{ox} are the elementary reduction and oxidation rate constants, respectively, and k^0 is the “*standard rate constant*” characterizing the exchange current (i_0). The motivation is that in real ECs: (a) in general it is observed that $k_{\text{red}} \neq k_{\text{ox}} \neq k^0$; and (b) to compare kinetically different materials exhibiting a very different chemistry is crucial to determine both k_{red} and k_{ox} . Central to this approach is the idea that in a redox process an EC is conceptually a material

able to reduce the energy barrier going from the reactants to the activated complex $\Delta G_{0,ox}^\ddagger$, and in the opposite process from the products into the activated complex $\Delta G_{0,red}^\ddagger$ (see Scheme 1).



Scheme 1. Energy barriers of the EC in the $O + ne^- \rightarrow R$ reduction reaction.

Another approximation which in the derivation of the BV equation resulted in the $k_{red} \neq k_{ox} \neq k^0$ condition and which is difficult to obtain in real ECs is the assumption that the bulk concentration of the oxidized reagent species (*e.g.*, O_2) is equivalent to that of the reduced products (*e.g.*, H_2O), so that the forward and backward rate constants in the ORR are coincident [23].

Owing to these motivations, herein a physico-chemical formalism is proposed which, tightly to the experimental conditions usually used in the CV-TF-RRDE measurements, rationalizes: (a) the measuring strategy adopted for the correct determination of the kinetic catalytic ability of the ECs; and (b) the method used to carry out a rigorous comparison between catalytic abilities of ECs based on completely different chemistries.

2. Description of the Formalism

The following general redox process converts an oxidized specie (Ox) into a reduced species (Red) upon exchange of n_{tot} electrons (see Eq. 1):



In the presence of a net reduction current the overall rate, v_{net} , of the process is given by:

$$v_{net} = k_{red}C_{ox}(0, t) - k_{ox}C_{red}(0, t) \quad (2)$$

where k_{red} and k_{ox} are the rate constants of reduction and oxidation processes, respectively. $C_{ox}(0, t)$ and $C_{red}(0, t)$ are the concentration of the oxidized and reduced species on the ECs surface ($x = 0$) at time t . Each rate constant k_i ($i = red, ox$) can be expressed by Arrhenius equations:

$$k_i = f_i \exp\left(-\frac{\Delta G_i^{\ddagger}}{RT}\right) \quad (3)$$

where R is the ideal gas constant, T the absolute temperature, f_i the decay rate to the products and ΔG_i^{\ddagger} the activation barrier of either the forward (reduction, $i = red$) and the backward (oxidation, $i = ox$) processes. As elsewhere described [23], when the electrode is at a potential E , the activation barriers ΔG_i^{\ddagger} ($i = red, ox$) are:

$$\begin{cases} \Delta G_{red}^{\ddagger} = \Delta G_{0,red}^{\ddagger} + \frac{\alpha n F}{RT} (E - E^{0'}) \\ \Delta G_{ox}^{\ddagger} = \Delta G_{0,ox}^{\ddagger} - \frac{(1-\alpha) n F}{RT} (E - E^{0'}) \end{cases} \quad (4)$$

where F the Faraday constant, $E^{0'}$ the formal electrode potential, $\Delta G_{0,i}^\ddagger$ ($i = \text{red}, \text{ox}$) the activation barrier at the formal electrode potential $E^{0'}$, α the symmetry factor and n the number of exchanged electrons in the rate-determining step of the redox process. By substituting Eq. 4 into Eq. 3, k_{red} and k_{ox} become:

$$\begin{cases} k_{\text{red}} = f_{\text{red}} \exp\left(-\frac{\Delta G_{0,\text{red}}^\ddagger}{RT}\right) \exp\left[-\frac{\alpha n F}{RT}(E - E^{0'})\right] \\ k_{\text{ox}} = f_{\text{ox}} \exp\left(-\frac{\Delta G_{0,\text{ox}}^\ddagger}{RT}\right) \exp\left[\frac{(1-\alpha)n F}{RT}(E - E^{0'})\right] \end{cases} \quad (5)$$

where the first two terms in each of these equations yield a product that is independent of electrode potential and which depends only on the structure, morphology and composition of the ECs.

The substitution of Eq. 5 into Eq. 2, also considering:

$$j_{\text{red}} = \frac{n_{\text{tot}} F}{A} \nu_{\text{net}} \quad (6)$$

where A is the area of the electrode, yields:

$$j_{\text{red}} = \frac{n_{\text{tot}} F}{A} \left[f_{\text{red}} \exp\left(-\frac{\Delta G_{0,\text{red}}^\ddagger}{RT}\right) \exp\left[-\frac{\alpha n F}{RT}(E - E^{0'})\right] C_{\text{ox}}(0, t) - f_{\text{ox}} \exp\left(-\frac{\Delta G_{0,\text{ox}}^\ddagger}{RT}\right) \exp\left[\frac{(1-\alpha)n F}{RT}(E - E^{0'})\right] C_{\text{red}}(0, t) \right] \quad (7)$$

Now, if we consider that the ORR is very sluggish, and the current density of the reverse oxidation process during ORR is negligible due to the large overpotentials, Eq. 7 becomes

$$j_{\text{red}} = \frac{n_{\text{tot}} F}{A} \left[C_{\text{ox}}(0, t) f_{\text{red}} \exp\left(-\frac{\Delta G_{0,\text{red}}^\ddagger}{RT}\right) \exp\left[\frac{\alpha n F \eta}{RT}\right] \right] \quad (8)$$

where $\eta = E^{0'} - E$ is the overpotential with respect to the formal electrode potential $E^{0'}$. For the sake of comparison, Eq. 8 can be generalized and by simple algebraic manipulations the overpotential η_i both for a benchmark EC ($i = \text{Pt}$) and for the EC under study ($i = \text{EC}$) can be expressed as:

$$\eta_i = T_{sl,i} \ln \left(\frac{j_i(\eta_i)A}{n_{tot,i} F C_{ox,Pt}(0,t) f_{red,i}} \right) + T_{sl,i} \left(\frac{\Delta G_{0,red,i}^\ddagger}{RT} \right) \quad (9)$$

$T_{sl,i} = \frac{RT}{\alpha_i n_i F}$ coincides exactly with the η_i vs. $\log(j_i)$ Tafel slope. By using Eq. 9 and considering as the “*benchmark*” a commercial state-of-the-art platinum EC, the difference in the ORR overpotentials is evaluated:

$$\eta_{EC} - \eta_{Pt} = \left[T_{sl,EC} \ln \left(\frac{j_{EC}(\eta_{EC})A}{n_{tot,EC} F C_{ox,EC}(0,t) f_{red,EC}} \right) + T_{sl,EC} \left(\frac{\Delta G_{0,red,EC}^\ddagger}{RT} \right) \right] - \left[T_{sl,Pt} \ln \left(\frac{j_{Pt}(\eta_{Pt})A}{n_{tot,Pt} F C_{ox,Pt}(0,t) f_{red,Pt}} \right) + T_{sl,Pt} \left(\frac{\Delta G_{0,red,Pt}^\ddagger}{RT} \right) \right] \quad (10)$$

Eq. 10 is a powerful tool which permits the development of a simple and straightforward method to compare in the kinetic region the ORR performance of different types of ECs with respect to that of a Pt benchmark. To achieve this target it is first necessary to select the best condition to measure the ORR kinetic parameters of the Pt benchmark. This is obtained by determining in the “*cyclic voltammetry with the thin-film rotating ring-disk electrode*” (CV-TF-RRDE) ORR profiles a current density, $j_{Pt}(5\%) = \frac{5}{100} j_{Pt,d}$, corresponding to 5% of the diffusion-limited current density ($j_{Pt,d}$) at $E \approx 0.35$ V vs. RHE (see **Figure 1**).

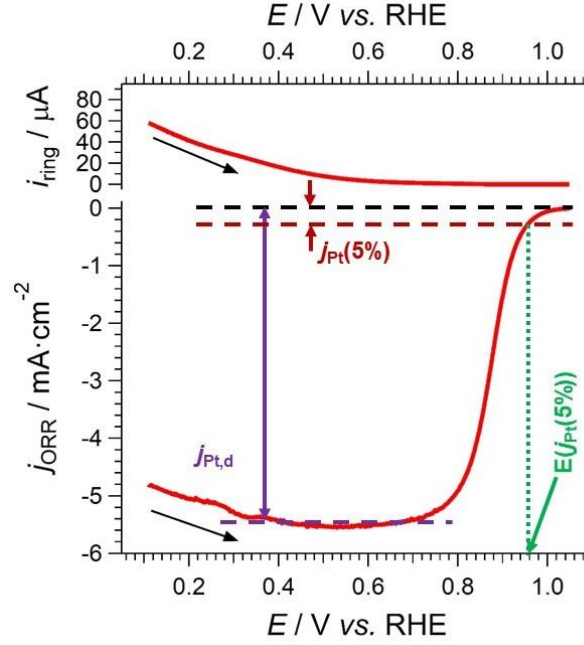


Figure 1. The concept of $E(j_{Pt}(5\%))$ value.

The motivation is clear if we consider that:

- i. the performance of an ORR EC can be evaluated by fixing in the ORR the yield in current of a specific amount of consumed O_2 ;
- ii. it is crucial to monitor the electrocatalytic kinetic parameters such as the activation energy barrier and the symmetry factor characterizing this process;
- iii. in a reference EC, the most suitable condition in the kinetic regime to convert an amount of O_2 into current is to monitor the electrode potential at $j_{Pt}(5\%)$.

On these bases, a given EC can be studied in the ORR with respect to the Pt benchmark by evaluating the difference in the overpotentials when they yield the same current density, *i.e.*, $j_{Pt}(5\%)$:

$$j_{EC}(\eta_{EC}) = j_{Pt}(\eta_{Pt}) = j_{Pt}(5\%) \quad . \quad (11)$$

Considering that:

$$T_{sl,i} = \frac{RT}{\alpha_i n_i F} = \frac{RT}{F} \omega_i \quad \text{with} \quad \omega_i = \frac{1}{\alpha_i n_i} = \frac{1}{\gamma_i} \quad (12)$$

ω_i is the “selectivity parameter” ($i = \text{EC}, \text{Pt}$), and $\gamma_i = \alpha_i n_i$ the transfer coefficient [24]. Since in the ORR $0 \leq n_i \leq 4$ and $0 \leq \alpha_i \leq 1$, then it results that $0 \leq \gamma_i \leq 4$ and $0.25 \leq \omega_i \leq \infty$. By substituting Eq. 11 and Eq. 12 into Eq. 10, it is possible to correlate the overpotential of the EC to that of Pt reference as follows:

$$\eta_{EC} = \eta_{Pt} + \frac{RT}{F} \left\{ (\omega_{EC} - \omega_{Pt}) [\ln(j_{Pt}(5\%)) + \ln(A) - \ln F] + \left[\ln \frac{(A_{EC})^{\omega_{EC}}}{(A_{Pt})^{\omega_{Pt}}} \right] - \left[\ln \frac{(n_{tot,EC} f_{red,EC})^{\omega_{EC}}}{(n_{tot,Pt} f_{red,Pt})^{\omega_{Pt}}} \right] - \left[\ln \frac{(c_{ox,EC}(0,t))^{\omega_{EC}}}{(c_{ox,Pt}(0,t))^{\omega_{Pt}}} \right] + \left[\frac{\omega_{EC} \Delta G_{0,red,EC}^\ddagger - \omega_{Pt} \Delta G_{0,red,Pt}^\ddagger}{RT} \right] \right\} \quad (13)$$

Eq. 13 can be re-written in the following simple form:

$$\eta_{EC} = \eta_{Pt} + \eta_{exp} + \eta_s + \eta_A + \eta_{kin} \quad (14)$$

where:

- η_{Pt} is the ORR overpotential of the Pt benchmark at $j_{Pt}(5\%)$.
- $\eta_{exp} = \frac{RT}{F} \{ (\omega_{EC} - \omega_{Pt}) [\ln(j_{Pt}(5\%)) + \ln(A) - \ln F] \}$ is the overpotential which accounts of the experimental conditions (*e.g.*, temperature, area of the electrode).
- $\eta_s = -\frac{RT}{F} \left\{ \ln \frac{(n_{tot,EC} f_{red,EC})^{\omega_{EC}}}{(n_{tot,Pt} f_{red,Pt})^{\omega_{Pt}}} \right\}$ is the “selectivity overpotential”. This depends on the total number of electrons involved in the process and on the reaction decay rate to the products. When the total number of electrons exchanged and the decay rate to the products of a given EC are smaller than the corresponding figures exhibited by the Pt benchmark, η_{EC} increases.

- $\eta_A = -\frac{RT}{F} \left[\ln \frac{(c_{ox,EC}(0,t))^{\omega_{EC}}}{(c_{ox,Pt}(0,t))^{\omega_{Pt}}} \right]$ is the “*accessibility overpotential*”. This term accounts for the actual concentration of O₂ adsorbed on the surface of the active sites of a given EC with respect to that of the Pt benchmark. When the active sites of the EC are “*more accessible*” than those of the Pt benchmark, η_A decreases. As shown below, η_A might be relevant also at very low current densities (*e.g.*, at $j_{Pt}(5\%)$), *i.e.*, in conditions when usually the issues arising from the mass transport of reactants and products are considered negligible.
- $\eta_{kin} = \left[\frac{\omega_{EC} \Delta G_{0,red,EC}^\ddagger - \omega_{Pt} \Delta G_{0,red,Pt}^\ddagger}{F} \right]$ is related to the difference in energy activation barriers between the EC and the Pt benchmark. If the energy barrier of the EC is larger than that of the Pt benchmark, η_{EC} increases.

Eq. 14 can be used to study the ORR process in a variety of environmental conditions (*e.g.*, in an acidic medium, in an alkaline medium, in an organic solvent) and involving a number of different products (*e.g.*, water, peroxide, superoxide, etc...). Finally, the selectivity parameter ω_i , *i.e.*, the parameter that describes the electrochemical behavior of the EC and of the Pt benchmark in the process of interest, can be determined experimentally from the Tafel slope of the ORR at a current density close to $j_{Pt}(5\%)$. This allows to reduce the number of undefined parameters in Eq. 13. Taken all together, Eq. 13 and Eq. 14 are crucial results to gauge which features of the EC are the most relevant in order to optimize the overall overpotential, η_{EC} , in the process of interest.

It is found that, in aqueous environments (either acidic or alkaline), a wide variety of ECs (both comprising platinum and “*Pt-free*”) exhibit in the ORR at room temperature and at very low current densities ($\approx j_{Pt}(5\%)$) a Tafel slope of the same order of magnitude (*ca.* 70 mV·dec⁻¹) [15]. As elsewhere reported, for a wide variety of ECs in these conditions the ORR is bottlenecked by the first electron transfer from the electrode to an O₂ molecule adsorbed on the active sites of the EC

[15, 24, 25]. In this case, O₂ adsorption events take place in accordance with the Temkin isotherm [15, 24, 25].

$$\omega_{Pt} = \omega_{EC} = \omega \cong 1.18 \quad (15)$$

In addition, if we consider that the free-energy surface in the vicinity of the activated state bottlenecking the ORR typically does not change significantly for different ECs [26], we can assume that $f_{red,EC} \approx f_{red,Pt}$. As a result, Eq. 13 can be rewritten as follows:

$$\begin{aligned} \eta_{EC} &= \eta_{Pt} - T_{sl} \ln \left(\frac{n_{EC,tot}}{n_{Pt,tot}} \right) - T_{sl} \ln \left(\frac{C_{ox,EC}(0,t)}{C_{ox,Pt}(0,t)} \right) + \frac{\omega}{F} (\Delta G_{0,red,EC}^{\ddagger} - \Delta G_{0,red,Pt}^{\ddagger}) = \\ &= \eta_{Pt} + \eta_s + \eta_A + \eta_{kin} \end{aligned} \quad (16)$$

Where, as described above, we can assume T_{sl} as the Tafel slope of the ORR (*ca.* 70 mV·dec⁻¹).

3. Impact on η_{EC} of the different overpotentials at E(j_{Pt}(5%))

In Eq. 16 η_s , η_A , and η_{kin} play a crucial role in the modulation of the magnitude of η_{EC} at j_{Pt}(5%). To gauge the relative impact of these overpotentials on η_{EC} , the profiles of η_s , η_A , and η_{kin} are simulated adopting the following general conditions. For the η_s of the Pt benchmark at E(j_{Pt}(5%)) it is assumed a total number of 4 electrons ($n_{tot} = 4$). For the other ECs, $n_{tot,EC}$ can typically range between 2 (ORR EC yielding only H₂O₂) and 4 (ORR EC yielding only water). It should be noted that in organic solvents the ORR can also yield the superoxide ion, corresponding to the exchange of only one electron [20]. Hence, in general the following boundary conditions are adopted:

$$0.5 \leq \left(\frac{n_{EC,tot}}{n_{Pt,tot}} \right) \leq 1 \quad (17)$$

For the evaluation of η_A , it is reasonable to admit that the density of O₂ adsorbed on the surface of the active sites: (i) depends on the composition, structure and morphology of the EC; and (ii) is different from that of the Pt benchmark. On these bases, it is reasonable to assume that:

$$0.01 \leq \left(\frac{c_{ox,EC}(0,t)}{c_{ox,Pt}(0,t)} \right) \leq 5 \quad (18)$$

For the evaluation of η_{kin} , studies elsewhere reported estimate for the ORR on a Pt nanoparticle an activation barrier on the order of 1.13 eV (*ca.* 109 kJ·mol⁻¹) [27]. Starting from this $\Delta G_{0,red,Pt}^\ddagger$ value for the benchmark, in the simulation of η_{kin} it is reasonable to consider that $\Delta G_{0,red,EC}^\ddagger$ ranges within the following boundary conditions:

$$\begin{aligned} \Delta G_{0,red,Pt}^\ddagger - 50\% \cdot \Delta G_{0,red,Pt}^\ddagger &\leq \Delta G_{0,red,EC}^\ddagger \leq \Delta G_{0,red,Pt}^\ddagger + 50\% \cdot \Delta G_{0,red,Pt}^\ddagger \text{ i.e.} \\ 54.51 \text{ kJ} \cdot \text{mol}^{-1} &\leq \Delta G_{0,red,EC}^\ddagger \leq 163.5 \text{ kJ} \cdot \text{mol}^{-1} . \end{aligned} \quad (19)$$

The results of the simulations are displayed in **Figure 2**. The value of T_{sl} is set to 70 mV·dec⁻¹, corresponding to $\omega = 1.18$ (see Eq. 15).

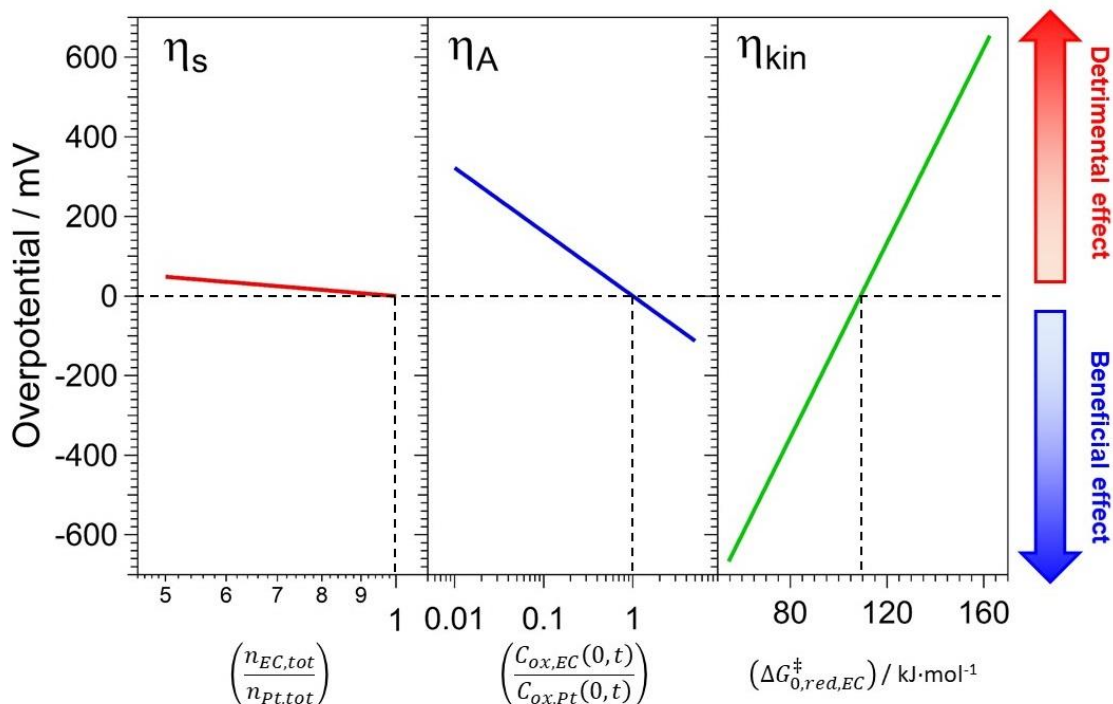


Figure 2. Simulation on boundary conditions expressed by Eq. 17, Eq. 18 and Eq. 19 of η_s (left panel), η_A (center panel) and η_{kin} (right panel) values, respectively.

Figure 2 demonstrates that η_s has little impact on the overall overpotential of the EC. In detail, it results that the ORR overpotential characterizing an EC able to reduce O_2 exclusively to hydrogen peroxide ($n_{tot} = 2$) is only *ca.* 48 mV larger than that of an EC able to convert O_2 exclusively to water ($n_{tot} = 4$). The impact of the accessibility overpotential (η_A) on η_{EC} is much more relevant. Indeed, with respect to the Pt benchmark, a tenfold decrease of the O_2 density on the electrode surface of the EC would trigger an increase in the overpotential of *ca.* 160 mV. At $j_{EC}(5\%)$, η_{kin} is the most important contribution to η_{EC} . Indeed, if the ORR activation barrier of an EC is only 25 $\text{kJ}\cdot\text{mol}^{-1}$ larger than that of the Pt benchmark, the ORR overpotential increases by more than 300 mV. This is the difference commonly existing experimentally between the best ORR Pt-based ECs and most of the poor “Pt-free” ECs.

4. Application of the formalism to real cases

The framework described in Section 3 is implemented by means of conventional ORR measurements in the CV-TF-RRDE setup. A detailed discussion of the CV-TF-RRDE setup, of the approaches that are adopted to bind the EC to be tested on the RRDE tip and of the rationale underlying the selection of the experimental parameters (*e.g.*, temperature, rotation rate of the RRDE, composition of the support electrolyte) is outside the scope of this work and can be found in the literature [28]. The data analysis starts by determining the ORR faradic currents adopting the procedures described elsewhere [15]. *iR* correction is carried out, allowing for the accurate determination of the electrode potential accounting for the ohmic drops in the system. In conventional aqueous media, both under acidic and alkaline media, $j_{\text{Pt,d}}$ is *ca.* $6 \text{ mA}\cdot\text{cm}^{-2}$ as the RRDE is spun at 1600 rpm [29, 30]. Accordingly in these conditions, that are adopted herein, $j_{\text{Pt}}(5\%)$ is typically on the order of *ca.* $0. \text{ mA}\cdot\text{cm}^{-2}$. This latter value is: (i) large enough to ensure that the errors introduced upon the removal of the capacitive currents are small; and (ii) small enough to justify the neglect of the corrections due to mass transport arising from the RRDE experimental setup. In conclusion $j_{\text{Pt}}(5\%)$ matches with the desired ORR kinetic current density, thus ensuring the accuracy of the framework described in Section 3 upon implementation in practical systems [15]. **Figure 1** shows the determination of $E(j_{\text{Pt}}(5\%))$ from CV-TF-RRDE profiles. **Figure 3(a)** summarizes the $E(j_{\text{Pt}}(5\%))$ of different “*model*” ORR ECs in an alkaline environment (0.1 M KOH). This is meant to mimic the operating conditions that the EC would find at the cathode of an anion-exchange membrane fuel cell (AEMFC) or of a metal-air battery. These ECs, which are selected representatives among the extremely broad variety of materials reported in the literature [31, 32], consist of: (i) a conventional Pt/C benchmark with 10 wt% of Pt supported on Vulcan XC-72R; (ii) a “*Pt-free*” EC of the type reported elsewhere [8]; and (iii) an advanced ORR EC comprising active sites based on a Pt-Ni alloy [33]. The “*Pt-free*” EC and the advanced ORR EC comprising active sites based on a Pt-Ni alloy were obtained in our laboratory following the synthesis reported elsewhere [8, 33].

For the sake of comparison, **Figure 3(b)** displays for the same ECs also the potential at half wave, $E_{1/2}$. $E_{1/2}$ is the typical figure of merit commonly adopted in the literature for the comparison of the performance of different ORR ECs [34, 35]. The values of $E(j_{\text{Pt}(5\%)})$ and $E_{1/2}$ are reported in Table 1 and shown in **Figure 3(a)** and **Figure 3(b)**, respectively.

Table 1. Comparison between $E(j_{\text{Pt}(5\%)})$ and $E_{1/2}$ for a variety of “model” ORR ECs^(a)

Electrocatalyst	$E(j_{\text{Pt}(5\%)}) / \text{mV vs. RHE}$	$E_{1/2} / \text{mV vs. RHE}$
Pt/C ref.	955	873
“Pt-free” EC	903	822
Pt-Ni alloy EC	983	895

^(a)Voltammograms measured at 20 mV/sec; temperature = 298 K; support electrolyte = 0.1 M KOH; rotating speed 1600 rpm; $E_{\text{ring}} = 1.2 \text{ V vs. RHE}$.

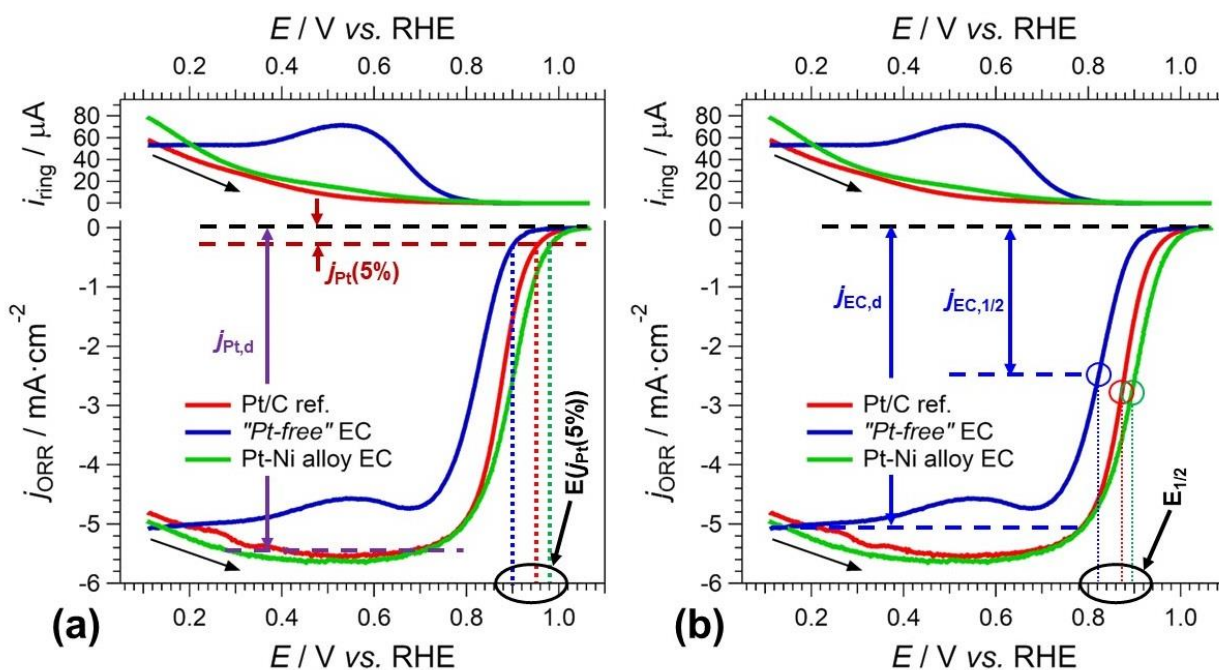


Figure 3. (a) Determination of $E(j_{\text{Pt}(5\%)})$ values for selected ORR ECs. (b) Determination of $E_{1/2}$ values of selected ORR ECs.

For the selected EC, the current density at which $E_{1/2}$ is determined depends on the specific value of $j_{\text{EC},d}$ and on several factors including the transport phenomena and, in accordance with the Levich

analysis [23], the total number of electrons exchanged during the ORR. **Figure 3** shows that, with respect to both the Pt/C benchmark and the Pt-Ni alloy EC, the “*Pt-free*” EC produces a significant larger amount of H₂O₂ thus lowering the total number of exchanged electrons and consequently j_d . In addition, the current density ($j_{EC,1/2}$) at $E_{1/2}$ is *ca.* 10 times larger than that of $j_{Pt(5\%)}$. This has two important consequences:

- i. The corrections that are necessary to determine the ORR kinetic current densities in a RRDE setup are significant: specifically, $j_{k,ORR} = 2 \cdot j_{EC,1/2}$, hence the correction to the measured current density is equal to 100%. In this range, even small errors in the determination of $j_{EC,d}$ affect significantly the accuracy of $j_{k,ORR}$ [15].
- ii. $\frac{c_{ox,EC}(0,t)}{c_{ox,Pt}(0,t)} \neq 1$, since at large current densities the morphology of the ECs can easily lead to a different accessibility of O₂ to the active sites caused by mass transport issues. The contribution to the η_{EC} of resulting accessibility overpotential, η_A , is very significant (see **Figure 2**).

From these considerations, it is clear that $E_{1/2}$ is a very “*rough*” and unsuitable figure of merit to adopt in order to compare the pure catalytic ORR activity of ECs. Indeed, in ECs, owing to their different composition, structure and morphology with respect to reference material, the contribution of η_s and η_A to the $E_{1/2}$ is relevant. Taking all together, $E(j_{Pt(5\%)})$ is an accurate figure of merit to gauge the “*pure*” kinetic performance in the ORR of an EC as it is immediately correlated to the crucial catalytic feature of the material, *i.e.* the activation free energy barrier of the EC.

5. Conclusions

This report describes a very general method to compare accurately the catalytic activity of different ECs. This method is based on a formalism which does not involve the usually-adopted Butler-

Volmer (BV) description of electrocatalytic processes. This formalism takes into account that: (i) the rate constants of the elementary reduction and of the reverse oxidation processes at the interface during the EC operation can be different; and (ii) the concentration on the electrode of the oxidized and of the reduced species involved in the ORR are typically different. In principle, the method can be applied to most processes involved in the operation of electrochemical energy conversion and storage devices, on the condition that they trigger overpotentials larger than 100-150 mV. Hence, the formalism could be applied to diverse processes such as the ORR, the oxygen evolution reaction (OER) and the methanol oxidation reaction (MOR), both in an aqueous and in a non-aqueous environment. It does not make any assumption on the specific features of the EC including the morphology and the chemical composition. The proposed formalism compares the potential of an EC with that of a benchmark EC both tested exactly in the same conditions and producing the same current density. Specifically, the method consists in the study of the CV-TF-RRDE profiles at a current density which is equal to 5% of the diffusion-limited ORR current density of a Pt benchmark ($j_{\text{Pt}}(5\%)$). In this condition the measured electrode potential $E(j_{\text{Pt}}(5\%))$ is correlated with the crucial features of an EC, such as: (i) the number of electrons exchanged in the ORR; (ii) the accessibility of O_2 to the active sites; and (iii) the kinetic activation barrier for the ORR. This correlation retains its accuracy regardless of the specific features of the EC, including the morphology and the chemical composition. It is also demonstrated that, with respect to other figures of merit discussed in the literature such as $E_{1/2}$, $E(j_{\text{Pt}}(5\%))$ is a much more accurate and quantitative parameter to gauge the ORR kinetics of ECs. In summary the method here reported, by simple CV-TF-RRDE measurements, provides useful parameters able to shed light and identify which features characterizing an ORR EC need to be optimized for application into a practical electrochemical device.

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**** Outstanding interest**

*** Special interest**

Declaration of interests

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests: