1 2	Real-world effectiveness of BNT162b2 mRNA vaccine: A meta-analysis of large observational studies
3	Chia Siang Kow (MPharm) ^a , Syed Shahzad Hasan (PhD) ^{b,c}
4	Affiliations
5 6 7 8	^a School of Postgraduate Studies, International Medical University, Kuala Lumpur, Malaysia ^b School of Applied Sciences, University of Huddersfield, Huddersfield, United Kingdom ^c School of Biomedical Sciences & Pharmacy, University of Newcastle, Callaghan, Australia
9	Correspondence to:
10 11 12	Chia Siang Kow International Medical University, Kuala Lumpur, Malaysia Email ID: chiasiang_93@hotmail.com
13 14 15	Funding : This research did not receive any specific grant from funding agencies in the public, commercial, or not-for-profit sectors.
16	Conflicts of interest : The authors report no conflict of interest.
17	
18	
19	
20	
21	
22	
23	
24	
25	
26	
27	
28	

1 Abstract

This paper aims to summarize through meta-analyses the overall vaccine effectiveness of the BNT162b2 mRNA vaccine from observational studies. A systematic literature search with no language restriction was performed in electronic databases to identify eligible observational studies which reported the effectiveness of the BNT162b2 mRNA vaccine to prevent RT-PCR confirmed COVID-19 and adjusted for covariates. Meta-analyses with the random-effects model were used to calculate the pooled hazard ratio (HR) and pooled incidence rate ratio (IRR) at 95% confidence intervals, and the vaccine effectiveness was indicated as (pooled HR - 1) / HR or (pooled IRR - 1) / IRR. Nineteen studies were included for this meta-analysis. The meta-analysis revealed significant protective effect against RT-PCR confirmed COVID-19 ≥14 days after the first dose, with vaccine effectiveness of 55% (95% confidence interval 42-65%), and ≥7 days after the second dose, with vaccine effectiveness of 94% (95% confidence interval: 90-96%). Despite its effectiveness, reporting vaccine safety data by relevant stakeholders should be encouraged as BNT162b2 mRNA is a new vaccine that has not gained full approval. Keywords: BNT162b2, COVID-19, real-world, SARS-CoV-2, vaccine

1 Introduction

The global rollout of vaccines against severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) offers a glimmer of hope toward ending the coronavirus disease 2019 (COVID-19) pandemic. As of the time of writing, there have been with more than 577 million people worldwide received at least one dose of any COVID-19 vaccine, and 253 million people worldwide are fully vaccinated (Our World in Data 2021).

6 The phase 3 randomized controlled trial of the BNT162b2 mRNA vaccine against SARS-CoV-2 7 demonstrated the efficacy of 95% in preventing COVID-19, which has led to the emergency conditional 8 approval of the vaccine in many countries (Polack et al. 2020). However, it should be noted that the clinical 9 trial was performed in a highly controlled setting that may not simulate the real-world mass rollout of 10 COVID-19 vaccines.

Therefore, it is imperative to determine the population-level vaccine effectiveness from the mass vaccination campaigns and report data on the safety aspects of vaccines. This paper aims to summarize through meta-analyses the overall vaccine effectiveness of the BNT162b2 mRNA vaccine from large observational studies, which could be important to inform the development of the public health policy related to mass vaccination.

16 Methods

A systematic literature search with no language restriction was performed in electronic databases, including PubMed, Google Scholar, Scopus, and preprint servers (medRxiv, Research Square, SSRN), to identify eligible studies published up to June 05, 2021. The search strategy was built based on the following keywords and MeSH terms: "BNT162b2", "Pfizer", "BioNTech", "mRNA vaccine", "mRNA vaccination", and "effectiveness". The reference lists of relevant articles were also reviewed to retrieve additional studies. Two investigators (CSK and SSH) independently performed the literature screening to identify eligible studies.

Studies eligible for inclusion were observational studies of any design (case-control, case-cohort, and prospective cohort), which reported the effectiveness of the BNT162b2 mRNA vaccine to prevent RT-PCR confirmed COVID-19 (through comparison between vaccinated and unvaccinated individuals) and adjusted for covariates. For studies that utilized the same database for their investigation on vaccine effectiveness, we included those that performed the latest record analysis. We excluded randomized trials, studies that reported unadjusted effectiveness estimates, studies that reported only non-specific outcomes such as COVID-19 mortality or COVID-19 hospitalization, studies where RT-PCR did not confirm the diagnosis of COVID-19, studies that reported vaccine effectiveness against a specific variant(s) of SARS CoV-2.

3 Our outcome of interest, namely vaccine effectiveness, is defined as a relative reduction in RT-PCR risk 4 confirmed COVID-19 in vaccinated individuals compared with unvaccinated individuals (Weinberg and 5 Szilagyi 2010). Each included study was independently evaluated by two investigators (CSK and SSH), who 6 also extracted the study characteristics. Study characteristics extracted had the first author's surname, 7 study design, country, sample population, number of participants, the incidence of COVID-19 in both 8 vaccinated and unvaccinated individuals, and adjusted vaccine effectiveness estimates and covariates 9 adjusted. Two investigators (CSK and SSH) assessed the quality of included observational studies using the 10 Newcastle-Ottawa Scale, with a score of >7 indicating high quality (Wells et al., 2013).

11 Meta-analyses with the random-effects model and IVhet model were used to calculate the pooled hazard 12 ratio (HR) and pooled incidence rate ratio (IRR), at 95% confidence intervals, comparing the incidence of 13 RT-PCR confirmed COVID-19 in vaccinated participants relative to unvaccinated participants when there 14 were three or more studies with the same type of effect measure (either HR or IRR) available. The vaccine effectiveness was indicated as (pooled HR - 1) / HR or (pooled IRR - 1) / IRR or (pooled OR - 1) / OR, 15 16 together with a 95% confidence interval. We examined the heterogeneity between studies using the l^2 17 statistics and the χ^2 test, with significant heterogeneity set at >50% and P<0.10. All analyses were performed using Meta XL, version 5.3 (EpiGear International, Queensland, Australia). 18

19 Results

20 Our literature search yielded 712 abstracts. After deduplication and application of the eligibility criteria, 21 38 relevant articles were shortlisted for inclusion through full-text examination (Figure 1). Of these, 19 22 studies were excluded since they either did not report vaccine effectiveness, reported non-specific 23 outcomes such as COVID-19 mortality and COVID-19 hospitalization, or reported unadjusted effectiveness 24 estimates. Therefore, 19 studies (Angel et al. 2021; Björk et al. 2021; Cabezas et al. 2021; Chung et al. 25 2021; Dagan et al. 2021; Emborg et al. 2021; Fabiani et al. 2021; Glampson et al. 2021; Gras-Valentí et al. 26 2021; Haas et al. 2021; Hall et al. 2021; Lopez Bernal et al. 2021; Mason et al. 2021; Monge et al. 2021; 27 Pritchard et al. 2021; Regev-Yochay et al. 2021; Shrotri et al. 2021; Swift et al. 2021; Thompson et al. 2021) 28 were included for this meta-analysis; 12 studies (Chung et al. 2021; Dagan et al. 2021; Emborg et al. 2021; 29 Fabiani et al. 2021; Glampson et al. 2021; Gras-Valentí et al. 2021; Haas et al. 2021; Lopez Bernal et al. 30 2021; Mason et al. 2021; Monge et al. 2021; Regev-Yochay et al. 2021) were retrospective in design with

1 seven database reviews (Dagan et al. 2021; Emborg et al. 2021; Glampson et al. 2021; Haas et al. 2021; 2 Mason et al. 2021; Monge et al. 2021; Swift et al. 2021), three retrospective case-control studies (Chung et al. 2021; Gras-Valentí et al. 2021; Lopez Bernal et al. 2021), and two retrospective cohort studies 3 4 (Fabiani et al. 2021; Regev-Yochay et al. 2021); the remaining seven studies (Björk et al. 2021; Cabezas et 5 al. 2021; Hall et al. 2021; Menni et al. 2021; Shrotri et al. 2021; Thompson et al. 2021; Pritchard et al. 2021) 6 were prospective cohort studies (n=6) (Cabezas et al. 2021; Hall et al. 2021; Menni et al. 2021; Shrotri et 7 al. 2021; Thompson et al. 2021; Pritchard et al. 2021) and prospective database review (n=1) (Björk et al. 8 2021). The included studies (Björk et al. 2021; Dagan et al. 2021; Fabiani et al. 2021; Glampson et al. 2021; 9 Haas et al. 2021; Hall et al. 2021; Mason et al. 2021; Menni et al. 2021; Monge et al. 2021; Thompson et 10 al. 2021; Pritchard et al. 2021) were originated from 8 countries: the United Kingdom (n=6) (Glampson et al. 2021; Hall et al. 2021; Lopez Bernal et al. 2021; Mason et al. 2021; Pritchard et al. 2021; Shrotri et al. 11 2021), the United States (n=2) (Swift et al. 2021; Thompson et al. 2021), Canada (n=1) (Chung et al. 2021) 12 13 Sweden (n=1) (Björk et al. 2021), Israel (n=4) (Angel et al. 2021; Dagan et al. 2021; Haas et al. 2021; Regev-14 Yochay et al. 2021), Italy (n=1) (Fabiani et al. 2021), Denmark (n=1) (Emborg et al. 2021), and Spain (n=3) 15 (Cabezas et al. 2021; Gras-Valentí et al.; Monge et al. 2021). Study characteristics are depicted in Table 1. 16 The included studies (Angel et al. 2021; Björk et al. 2021; Cabezas et al. 2021; Chung et al. 2021; Dagan et 17 al. 2021; Emborg et al. 2021; Fabiani et al. 2021; Glampson et al. 2021; Gras-Valentí et al. 2021; Haas et al. 2021; Hall et al. 2021; Lopez Bernal et al. 2021; Mason et al. 2021; Monge et al. 2021; Pritchard et al. 18 19 2021; Regev-Yochay et al. 2021; Shrotri et al. 2021; Swift et al. 2021; Thompson et al. 2021) are deemed 20 moderate-to-good quality with Newcastle-Ottawa Scale ranging from 7 to 8.

21 The meta-analysis of eight studies (Cabezas et al. 2021; Emborg et al. 2021; Fabiani et al. 2021; Glampson 22 et al. 2021; Hall et al. 2021; Monge et al. 2021; Shrotri et al. 2021; Thompson et al. 2021) presented effect 23 measure as HR revealed significant protective effect against RT-PCR confirmed COVID-19 14 days or more after the first dose of BNT162b2 mRNA vaccine (pooled HR = 0.58; 95% confidence interval: 0.45 to 0.75; 24 25 Figure 2), where pooled estimate indicates vaccine effectiveness of 42% (95% confidence interval 25% to 26 55%). Similarly, the meta-analysis of five studies (Björk et al. 2021; Dagan et al. 2021; Haas et al. 2021; 27 Mason et al. 2021; Swift et al. 2021) which presented effect measure as IRR revealed significant protective 28 effect against RT-PCR confirmed COVID-19 14 days or more after the first dose of BNT162b2 mRNA vaccine 29 (pooled IRR = 0.47; 95% confidence interval: 0.32 to 0.68; Figure 3), where pooled estimate indicates 30 vaccine effectiveness of 53% (95% confidence interval 32% to 68%).

1 Even higher vaccine effectiveness was observed 21 days or more after the first dose of BNT162b2 mRNA 2 vaccine, where the meta-analysis of six studies (Emborg et al. 2021; Fabiani et al. 2021; Glampson et al. 3 2021; Hall et al. 2021; Monge et al. 2021; Shrotri et al. 2021) which presented effect measure as HR 4 reported pooled HR of 0.42 (95% confidence interval: 0.31 to 0.57; Figure 2), and thus vaccine 5 effectiveness of 58% (95% confidence interval: 53% to 69%). Likewise, the meta-analysis of three studies 6 (Björk et al. 2021; Dagan et al. 2021; Mason et al. 2021) which presented effect measure as IRR reported 7 pooled IRR of 0.41 (95% confidence interval: 0.36 to 0.47; Figure 3), and thus vaccine effectiveness of 59% 8 (95% confidence interval: 53% to 64%).

9 The recipient of the second dose of the BNT162b2 mRNA vaccine further boosted the vaccine 10 effectiveness. The meta-analysis of three studies (Emborg et al. 2021; Fabiani et al. 2021; Hall et al. 2021) 11 which presented effect measure as HR reported pooled HR of 0.18 (95% confidence interval: 0.16 to 0.20; 12 Figure 2) 7 days or more after the second dose, and thus vaccine effectiveness of 82% (95% confidence 13 interval: 80% to 84%). Similarly, the meta-analysis of five studies (Angel et al. 2021; Björk et al. 2021; Dagan et al. 2021; Haas et al. 2021; Regev-Yochay et al. 2021) which presented effect measure as IRR 14 15 revealed significant protective effect against RT-PCR confirmed COVID-197 days or more after the second 16 dose of BNT162b2 mRNA vaccine (pooled IRR = 0.09; 95% confidence interval: 0.05 to 0.19; Figure 3), 17 where pooled estimate indicates vaccine effectiveness of 91% (95% confidence interval 80% to 96%). The 18 findings from the meta-analysis of three studies (Chung et al. 2021; Lopez Bernal et al. 2021; Pritchard et 19 al. 2021) which presented effect measure as OR, are also consistent (pooled OR = 0.19; 95% confidence 20 interval 0.09 to 0.40) and show vaccine effectiveness of 71% (7 days or more) after the second dose of 21 BNT162b2 mRNA vaccine. The meta-analysis of three studies (Hall et al. 2021; Regev-Yochay et al. 2021; 22 Thompson et al. 2021) which presented effect measure as HR reported pooled HR of 0.12 (95% confidence 23 interval: 0.08 to 0.16; Figure 2) 14 days or more after the second dose, and thus vaccine effectiveness of 24 88% (95% confidence interval: 84% to 92%). Likewise, the meta-analysis of three studies (Angel et al. 2021; 25 Haas et al. 2021; Swift et al. 2021) which presented effect measure as IRR revealed significant protective 26 effect against RT-PCR confirmed COVID-19 14 days or more after the second dose of BNT162b2 mRNA 27 vaccine (pooled IRR = 0.04; 95% confidence interval: 0.03 to 0.05; Figure 3), where pooled estimate 28 indicates vaccine effectiveness of 96% (95% confidence interval 95% to 97%).

29 Discussion

The findings of the meta-analyses align with the phase 3 randomized controlled trial (Polack et al. 2020)
 of BNT162b2 mRNA vaccine, though with a lower protective rate: 82% after the first dose (versus overall

vaccine effectiveness of 48-55% (14 through 21 days or more) after the first dose in the current study;
Figure 2) and 95% (7 days or more) after the second dose (versus overall vaccine effectiveness of 86-94%
(7 days through 14 days or more) after the second dose in the current study; Figure 3). Variability in the
protective rate between clinical trial and real-world studies could stem from the difference in the
definition of confirmed COVID-19; confirmed COVID-19 was defined in the clinical trial as the presence of
symptoms and positive RT-PCR test for SARS-CoV-2, while in the included studies, confirmed COVID-19
was defined as positive RT-PCR test for SARS-CoV-2 regardless of the presence of symptoms.

8 In addition, individuals with comorbidities (e.g., hypertension, diabetes, and obesity) who are predisposed 9 to severe COVID-19 constituted only about one-fifth of the study population in phase 3 randomized 10 controlled trial (Polack et al. 2020) of BNT162b2 mRNA vaccine. Individuals with comorbidities (e.g., 11 hypertension, diabetes, and obesity), especially those with old age, are often prioritized in the real-world 12 mass vaccination campaign. Therefore, this could explain the lack of reproducible vaccine efficacy 13 reported from the highly controlled clinical research settings compared to the real-world settings since these individuals with comorbidities mainly constituted the real-world study population. Indeed, elderly 14 15 individuals with comorbidities often have diminished immune responses to vaccines (Kwetkat and 16 Heppner 2020).

17 Nevertheless, with a 65% of real-world protective rate after the administration of the first dose of the 18 BNT162b2 mRNA vaccine, it seems reasonable to delay the administration of the second dose in an 19 attempt to allow vaccination in a higher proportion of individuals in order to reduce the risk of 20 transmission of COVID-19 to an acceptable level. Our study was limited by the fact that included studies 21 were originated in only a few countries. Therefore the generalizability of our findings is unknown, 22 especially to the countries where variants of concern of SARS-CoV-2 are circulating. Future studies should 23 aim to investigate the vaccine effectiveness against different variants of concern of SARS-CoV-2 and with 24 longer follow-ups to determine the duration of protection against COVID-19. Furthermore, the 25 effectiveness of the BNT162b2 mRNA vaccine among immunocompromised individuals as well as 26 individuals who receive treatment with immunosuppressive therapy should also be investigated since 27 they had been excluded from the participation of phase 3 randomized controlled trial (Polack et al. 2020). 28 Despite its effectiveness, reporting vaccine safety data by relevant stakeholders should be encouraged as 29 BNT162b2 mRNA is a new vaccine that has not gained full approval.

30

- 1 **Funding**: This research did not receive any specific grant from funding agencies in the public, commercial,
- 2 or not-for-profit sectors.

3 References

Angel Y, Spitzer A, Henig O, Saiag E, Sprecher E, Padova H, Ben-Ami R (2021) Association Between
Vaccination With BNT162b2 and Incidence of Symptomatic and Asymptomatic SARS-CoV-2 Infections
Among Health Care Workers. JAMA jama.2021.7152.

Björk J, Inghammar M, Moghaddassi M, Rasmussen M, Malmqvist U, Kahn F (2021) Effectiveness of the
 BNT162b2 vaccine in preventing COVID-19 in the working age population-first results from a cohort
 study in Southern Sweden. Preprint. medRxiv 2021.04.20.21254636.

- Cabezas C, Coma E, Mora-Fernandez N, Li X, Martinez-Marcos M, Fina-Aviles F, Fabregas M, Hermosilla E,
 Jover A, Contel JC, Lejardi Y (2021) Effects of BNT162b2 mRNA Vaccination on COVID-19 Disease,
 Hospitalisation and Mortality in Nursing Homes and Healthcare Workers: A Prospective Cohort Study
 Including 28,594 Nursing Home Residents, 26,238 Nursing Home Staff, and 61,951 Healthcare Workers
- 14 in Catalonia. Preprint. SSRN ssrn.3815682.

Chung H, He S, Nasreen S, Sundaram M, Buchan S, Wilson S, Chen B, Calzavara A, Fell D, Austin PC, Wilson
 K. Effectiveness of BNT162b2 and mRNA-1273 COVID-19 vaccines against symptomatic SARS-CoV-2
 infection and severe COVID-19 outcomes in Ontario, Canada. Preprint. medRxiv 2021.05.24.21257744.

- Dagan N, Barda N, Kepten E, Miron O, Perchik S, Katz MA, Hernán MA, Lipsitch M, Reis B, Balicer RD (2021)
 BNT162b2 mRNA Covid-19 Vaccine in a Nationwide Mass Vaccination Setting. N Engl J Med 384:1412 1423.
- Emborg H, Valentiner-Branth P, Schelde AB, Nielsen KF, Gram MA, Moustsen-Helms IR, Chaine M, Seidelin
 UH, Nielsen J (2021) Vaccine effectiveness of the BNT162b2 mRNA COVID-19 vaccine against RT-PCR
 confirmed SARS-CoV-2 infections, hospitalisations and mortality in prioritised risk groups. Preprint.
 medRxiv 2021.05.27.21257583.
- Fabiani M, Ramigni M, Gobbetto V, Mateo-Urdiales A, Pezzotti P, Piovesan C (2021) Effectiveness of the
 Comirnaty (BNT162b2, BioNTech/Pfizer) vaccine in preventing SARS-CoV-2 infection among healthcare
 workers, Treviso province, Veneto region, Italy, 27 December 2020 to 24 March 2021. Euro Surveill
 26:2100420.
- Glampson B, Brittain J, Kaura A, Mulla A, Mercuri L, Brett S, Aylin P, Sandall T, Goodman I, Redhead J,
 Saravanakumar K (2021) North West London Covid-19 Vaccination Programme: Real-world evidence
 for Vaccine uptake and effectiveness. Preprint. medRxiv 2021.04.08.21254580.

Gras-Valentí P, Chico-Sánchez P, Algado-Sellés N, Jiménez-Sepúlveda NJ, Gómez-Sotero IL, Fuster-Pérez
 M, Cartagena-Llopis L, Sánchez-Valero M, Cerezo-Milán P, Martínez-Tornero I, Tremiño-Sánchez L,
 Nadal-Morante V, Monerris-Palmer M, Esclapez-Martínez A, MorenodeArcos-Fuentes E, Escalada Martín I, Escribano-Cañadas I, Merino-Lucas E, Rodríguez-Díaz JC, Sánchez-Payá J (2021) Efectividad de
 la primera dosis de vacuna BNT162b2 para prevenir la COVID-19 en personal sanitario [Effectiveness
 of the first dose of BNT162b2 vaccine to preventing covid-19 in healthcare personnel.]. Rev Esp Salud
 Publica 95:e202104070.

- Haas EJ, Angulo FJ, McLaughlin JM, Anis E, Singer SR, Khan F, Brooks N, Smaja M, Mircus G, Pan K, Southern
 J, Swerdlow DL, Jodar L, Levy Y, Alroy-Preis S (2021) Impact and effectiveness of mRNA BNT162b2
 vaccine against SARS-CoV-2 infections and COVID-19 cases, hospitalisations, and deaths following a
 nationwide vaccination campaign in Israel: an observational study using national surveillance data.
 Lancet S0140-6736(21)00947-8.
- Hall VJ, Foulkes S, Saei A, Andrews N, Oguti B, Charlett A, Wellington E, Stowe J, Gillson N, Atti A, Islam J,
 Karagiannis I, Munro K, Khawam J, Chand MA, Brown CS, Ramsay M, Lopez-Bernal J, Hopkins S; SIREN
 Study Group (2021) COVID-19 vaccine coverage in health-care workers in England and effectiveness of
 BNT162b2 mRNA vaccine against infection (SIREN): a prospective, multicentre, cohort study. Lancet
 397:1725-1735.
- Kwetkat A, Heppner HJ (2020) Comorbidities in the Elderly and Their Possible Influence on Vaccine
 Response. Interdiscip Top Gerontol Geriatr 43:73-85.
- Lopez Bernal J, Andrews N, Gower C, Robertson C, Stowe J, Tessier E, Simmons R, Cottrell S, Roberts R, O'Doherty M, Brown K, Cameron C, Stockton D, McMenamin J, Ramsay M (2021) Effectiveness of the
- 15 Pfizer-BioNTech and Oxford-AstraZeneca vaccines on covid-19 related symptoms, hospital admissions,
- and mortality in older adults in England: test negative case-control study. BMJ 373:n1088.
- Mason TF, Whitston M, Hodgson J, Watkinson RE, Lau YS, Abdulrazeg O, Sutton M (2021) Effects of
 BNT162b2 mRNA vaccine on Covid-19 infection and hospitalisation among older people: matched case
 control study for England. Preprint. medRxiv 2021.04.19.21255461.
- Menni C, Klaser K, May A, Polidori L, Capdevila J, Louca P, Sudre CH, Nguyen LH, Drew DA, Merino J, Hu C,
 Selvachandran S, Antonelli M, Murray B, Canas LS, Molteni E, Graham MS, Modat M, Joshi AD, Mangino
 M, Hammers A, Goodman AL, Chan AT, Wolf J, Steves CJ, Valdes AM, Ourselin S, Spector TD (2021)
 Vaccine side-effects and SARS-CoV-2 infection after vaccination in users of the COVID Symptom Study
- 24 app in the UK: a prospective observational study. Lancet Infect Dis S1473-3099(21)00224-3.
- Monge S, Olmedo C, Alejos B, Lapena M, Sierra MJ, Limia A (2021) Direct and indirect effectiveness of
 mRNA vaccination against SARS-CoV-2 infection in long-term care facilities in Spain. Preprint. medRxiv
 2021.04.08.21255055.
- Moustsen-Helms IR, Emborg HD, Nielsen J, Nielsen KF, Krause TG, Molbak K, Moeller KL, Berthelsen AS,
 Valentiner-Branth P. Vaccine effectiveness after 1st and 2nd dose of the BNT162b2 mRNA Covid-19
 Vaccine in long-term care facility residents and healthcare workers–a Danish cohort study. Preprint.
 medRxiv 2021.03.08.21252200.
- 32 Our World in Data (2021) Statistics and Research: Coronavirus (COVID-19) Vaccinations 33 https://ourworldindata.org/covid-vaccinations. Accessed 29 April 2021
- Polack FP, Thomas SJ, Kitchin N, Absalon J, Gurtman A, Lockhart S, Perez JL, Pérez Marc G, Moreira ED,
 Zerbini C, Bailey R, Swanson KA, Roychoudhury S, Koury K, Li P, Kalina WV, Cooper D, Frenck RW Jr,
- 36 Hammitt LL, Türeci Ö, Nell H, Schaefer A, Ünal S, Tresnan DB, Mather S, Dormitzer PR, Şahin U, Jansen
- 37 KU, Gruber WC; C4591001 Clinical Trial Group (2020) Safety and Efficacy of the BNT162b2 mRNA Covid-
- 38 19 Vaccine. N Engl J Med 383:2603-2615.

- Pritchard E, Matthews PC, Stoesser N, Eyre DW, Gethings O, Vihta KD, Jones J, House T, VanSteenHouse
 H, Bell I, Bell JI (2021) Impact of vaccination on SARS-CoV-2 cases in the community: a population-based
- 3 study using the UK's Covid-19 Infection Survey. Preprint. medRxiv 2021.04.22.21255913.
- Regev-Yochay G, Amit S, Bergwerk M, Lipsitch M, Leshem E, Kahn R, Lustig Y, Cohen C, Doolman R, Ziv A,
 Novikov I (2021) Decreased infectivity following BNT162b2 vaccination. Preprint. SSRN ssrn.3815668.
- 6 Shrotri M, Krutikov M, Palmer T, Giddings R, Azmi B, Subbarao S, Fuller C, Irwin-Singer A, Davies D, Tut G,
- 7 Bernal JL. Vaccine Effectiveness of the First Dose of ChAdox1 nCOV-19 and BNT162b2 Against SARS-
- 8 CoV-2 Infection in Residents of Long Term Care Facilities (VIVALDI Study). Preprint. medRxiv 9 2021.03.26.21254391.
- Swift MD, Breeher LE, Tande AJ, Tommaso CP, Hainy CM, Chu H, Murad MH, Berbari EF, Virk A (2021)
 Effectiveness of mRNA COVID-19 vaccines against SARS-CoV-2 infection in a cohort of healthcare
 personnel. Clin Infect Dis:ciab361.
- Thompson MG, Burgess JL, Naleway AL, Tyner HL, Yoon SK, Meece J, Olsho LEW, Caban-Martinez AJ,
 Fowlkes A, Lutrick K, Kuntz JL, Dunnigan K, Odean MJ, Hegmann KT, Stefanski E, Edwards LJ, SchaeferSolle N, Grant L, Ellingson K, Groom HC, Zunie T, Thiese MS, Ivacic L, Wesley MG, Lamberte JM, Sun X,
 Smith ME, Phillips AL, Groover KD, Yoo YM, Gerald J, Brown RT, Herring MK, Joseph G, Beitel S, Morrill
 TC, Mak J, Rivers P, Harris KM, Hunt DR, Arvay ML, Kutty P, Fry AM, Gaglani M (2021) Prevention and
 Attenuation of COVID-19 by BNT162b2 and mRNA-1273 Vaccines. Preprint. medRxiv
 2021.06.01.21257987.
- Weinberg GA, Szilagyi PG (2010) Vaccine epidemiology: efficacy, effectiveness, and the translational
 research roadmap. J Infect Dis 201:1607-1610.

Wells G, Shea B, O'Connell D, Peterson J, Welch V, Losos M, Tugwell P (2013) The Newcastle-Ottawa Scale
 (NOS) for assessing the quality of nonrandomised studies in meta-analyses.
 http://www.ohri.ca/programs/clinical_epidemiology/oxford.asp. Accessed 29 April 2021

Table 1: Characteristics of included studies

Study,	Design	Sample	Total			Covariates	NOS										
country			number of participants	Unvacc inated	≥14 days after dose 1	Adjust ed estimat e	Unvacc inated	≥21 days after dose 1	Adjusted estimate	Unvacc inated	≥7 days after dose 2	Adjuste d estimat e	Unvacc inated	≥14 days after dose 2	Adjusted estimate	adjustment/matching	
Hall et al, UK	Prospective multicentre	Adults (aged ≥18 years) working in publicly-funded hospitals in the United Kingdom	23,324	137.5 per 100,000 person- days	98.6 per 100,000 person- days	HR=0.4 4 (0.34- 0.57)	137.5 per 100,000 person- days	79.6 per 100,000 person- days	HR=0.44 (0.31- 0.63)	137.5 per 100,000 person- days	42.9 per 100,000 person- days	HR=0.19 (0.07- 0.51)	137.5 per 100,000 person- days	39.5 per 100,000 person- days	HR=0.14 (0.06- 0.34)	Age, sex, ethnicity, comorbidities, job role, frequency of contact with COVID-19 patients, employed in a patient facing role, and occupational exposure, period	7
Mason et al, UK	Retrospectiv e database review	Vaccinated: Individuals aged 80-83 who were not residents of care homes and had no prior history of COVID- 19 Unvaccinated: Individuals aged 76-79 who were not yet eligible for vaccination	301,462	34.0 per 100,000 persons -days	28.2 per 100,000 persons -days	IRR=0. 83 (0.63- 0.91)	30.0 per 100,000 persons -days	13.4 per 100,000 persons -days	IRR=0.45 (0.34- 0.59)	-	-	-	-	-	-	Sex, area of residence, small area deprivation, ethnic group, health status, living arrangements, seasonal influenza vaccine history since April 2020, emergency hospital stays in the previous two months	7
Björk et al, Sweden	Prospective database review	Individuals aged 18 – 64 years residing in Skåne county, Sweden, on 27 December 2020 when vaccinations started	805,741	42.0 per 100,000 persons -days	24.3 per 100,000 persons -days	IRR=0. 58 (0.37- 0.86)	42.0 per 100,000 persons -days	16.7 per 100,000 persons -days	IRR=0.40 (0.19- 0.73)	42.0 per 100,000 persons -days	6.0 per 100,000 persons -days	IRR=0.1 4 (0.06- 0.28)	-	-	-	Age, sex	7
Dagan et al, Israel	Retrospectiv e database review	Individuals insured in Clalit Health Services	1,760,152	-	-	IRR=0. 54 (0.41- 0.60)	-	-	IRR=0.40 (0.34- 0.47)	-	-	IRR=0.0 8 (0.05- 0.12)	-	-	-	Age, sex, sector, neighborhood of residence, history of influenza vaccination during the preceding 5 years, total number of coexisting conditions	8
Pritchard et al, UK	Prospective cohort study	Randomly selected individuals aged ≥16 years	373,402	-	-	-	-	-	OR=0.33 (0.28- 0.39)	-	-	OR=0.2 8 (0.21- 0.36)	-	-	-	Age, sex, ethnicity, index of multiple deprivation, working in a care-home, having a patient-facing role in health or social care, presence of long-term health conditions, household size, multigenerational	8

																household, rural-urban classification, direct or indirect contact with a hospital or care-home, smoking status, mode of travel to work, work location, visit frequency, geographic area	
Glampso n et al, UK	Retrospectiv e database review	Adults aged ≥16 years and registered with a general practitioner, or with a resident postcode, in the North West London catchment area	2,183,939	-	-	HR=0.4 2 (0.36- 0.50)	-	-	HR=0.22 (0.18- 0.27)	-	-	-	-	-	-	Age, sex, ethnicity, index of multiple deprivation, vaccination manufacturer	8
Monge et al, Spain	Retrospectiv e database review	Residents aged ≥65 years and residing in elderly homes	296,093	188.5 per 100,000 persons -day	92.4 per 100,000 persons -day	HR=0.4 9 (0.48- 0.50)	155.8 per 100,000 persons -day	59.3 per 100,000 persons -day	HR=0.38 (0.37- 0.39)	-	-	-	-	-	-	Follow-up day, previous COVID-19 (before beginning of follow-up), daily- varying 7-day SARS- CoV-2 cumulative incidence specific to the province, its quadratic term, the empirical reproduction number for that province on that date	7
Fabiani et al, Italy	Retrospectiv e cohort study	Frontline healthcare personnel employed at the local health unit that serves the entire province of Treviso in the Veneto region	9,878	103.0 per 100,000 persons -day	16.0 per 100,000 persons -day	HR=0.1 6 (0.04- 0.60)	28.0 per 100,000 persons -day	27.0 per 100,000 persons -day	HR=0.15 (0.02- 1.35)	19.0 per 100,000 persons -day	27.0 per 100,000 persons -day	HR=0.05 (0.01- 0.38)	-	-	-	Age group, sex, professional category, work context, starting week of exposure	7
Haas et al, Israel	Retrospectiv e database review	Residents of Israel (ie, the census population) aged 16 years and older	154,648	91.5 per 100,000 persons -day	34.1 per 100,000 persons -day	IRR=0. 42 (0.40- 0.45)	-	-	-	91.5 per 100,000 persons -day	3.1 per 100,000 persons -day	IRR=0.0 5 (0.04- 0.06)	91.5 per 100,000 persons -day	2.1 per 100,000 persons -day	IRR=0.04 (0.03- 0.05)	Age group, sex, calendar week	8
Swift et al, US	Retrospectiv e database review	Actively employed healthcare personnel at the Mayo Clinic	71,152	-	-	IRR=0. 22 (0.18- 0.27)	-	-	-	-	-	-	-	-	IRR=0.03 (0.02- 0.05)	Age, sex, job type, geographic location	7
Gras- Valentí et al, Spain	Retrospectiv e case-control study	Healthcare personnel at the Department of Health of General University Hospital of Alicante	268	n=31/91 (34.1%)	n=39/17 7 (22.0%)	OR=0.4 7 (0.23- 0.99)	-	-	-	-	-	-	-	-	-	Vaccination status, reason for COVID-19 testing, job role, department	7

Lopez Bernal et al, UK	Retrospectiv e test negative case-control study	Adults aged 70 years or older in England who reported having symptoms and tested for COVID-19	80,545	n=3732 0/12669 7 (29.5%)	n=811/3 285 (24.7)	OR=0.8 4 (0.77- 0.91)	n=3732 0/12669 7 (29.5%)	n=367/2 036 (18.0%)	OR=0.61 (0.54- 0.69)	n=3732 0/12669 7 (29.5%)	n=31/24 5 (12.7)	OR=0.2 6 (0.18- 0.39)	n=3732 0/12669 7 (29.5%)	n=42/71 4 (5.9)	OR=0.17 (0.12- 0.23)	Age, period, sex, region, ethnicity, care home, index of multiple deprivation fifth	7
Angel et al, Israel	Retrospectiv e cohort study	Healthcare workers at Tel Aviv Sourasky Medical Center	6,710	-	-	-	-	-	-	Sympto matic: 149.8 per 100,000 persons -day Asympt omatic: 67.0 per 100,000 persons -day	Sympto matic: 4.7 per 100,000 persons -day Asympt omatic: 11.3 per 100,000 persons -day	Sympto matic: IRR=0.0 3 (0.01- 0.06) Asympto matic: IRR=0.1 4 (0.07- 0.31)	Sympto matic: 146.3 per 100,000 persons -day Asympt omatic: 69.9 per 100,000 persons -day	Sympto matic: 2.1 per 100,000 persons -day Asympt omatic: 4.2 per 100,000 persons -day	Symptom atic: IRR=0.02 (0.01- 0.06) Asympto matic: IRR=0.06 (0.02- 0.22)	Age, sex, employment sector, exposure risk, number of PCR tests for each health care worker in the time frame under observation	7
Chung et al, Canada	Retrospectiv e test negative case-control study	Community-dwelling adults aged ≥16 years who were tested for SARS-CoV-2 and had COVID-19 symptoms	310,880	n=5122 0/30276 1 (16.9)	n=636/8 119 (7.8%)	OR=0.4 1 (0.38- 0.45)	-	-	-	n=5122 0/30276 1 (16.9)	n=51/33 26 (1.5%)	OR=0.0 9 (0.07- 0.12)	-	-	-	Age, sex, public health unit region, biweekly period of test, number of SARS-CoV-2 tests in the 3 months prior to 14 December 2020, presence of any comorbidity that increase the risk of severe COVID-19, receipt of influenza vaccination in current or prior influenza season, neighbourhood income, essential worker, persons per dwelling, proportion of persons employed as non-health essential workers, self-identified visible minority quintiles	8
Shrotri et al, UK	Prospective cohort study	Care home residents aged ≥65 years from 310 long-term care facilities	4,274	213.9 per 100,000 persons -day	282.6 per 100,000 persons -day	HR=0.7 7 (0.37- 1.58)	213.9 per 100,000 persons -day	266.7 per 100,000 persons -day	HR=0.94 (0.50- 1.79)	-	-	-	-	-	-	Age, sex, local monthly infection incidence, bed capacity	7
Regev- Yochay et al, Israel	Retrospectiv e cohort study	Healthcare workers at Sheba Medical Center	9,650	-	-	-	-	-	-	81.9 per 100,000	29.8 per 100,000	IRR=0.2 5 (0.18- 0.34)	81.9 per 100,000	9.4 per 100,000 persons -day	HR=0.12 (0.08- 0.17)	Intensity of exposure	7

										persons -day	persons -day		persons -day				
Emborg et al, Denmark	Retrospectiv e database review	5 priority groups: Individuals living in long-term care facilities; ≥65 years living at home requiring practical help and personal care; individuals aged 85 and older; frontline healthcare workers; individuals with high risk of severe COVID- 19	864,096	-	-	HR=0.9 3 (0.85- 1.01)	-		HR=0.58 (0.50- 0.67)	-	-	HR=0.18 (0.16- 0.21)	-	-	-	Age, sex, comorbidities, hospital admission, calendar time	7
Thompso n et al, US	Prospective cohort study	Healthcare personnel, first responders, and other essential and frontline workers in eight locations	5,969	121.9 per 100,000 persons -day	16.2 per 100,000 persons -day	HR=0.2 0 (0.10- 0.40)	-		-	-	-	-	121.9 per 100,000 persons -day	2.5 per 100,000 persons -day	HR=0.07 (0.02- 0.22)	Age, sex, race, ethnicity, health status, comorbidities, medications, household characteristics, influenza vaccination history, study week, local virus circulation, study location, occupation, number of hours worked in contact with patients or the public, number of hours in direct contact with someone with known or suspected COVID-19, percent of time wearing personal protective equipment during each of those exposure categories	7
Cabezas et al, Spain	Prospective cohort study	Nursing home residents	28,191	266.2 per 100,000 persons -day	175.8 per 100,000 persons -day	HR=0.7 7 (0.69- 0.86)	-	-	-	-	-	-	-	-	-	Age, sex	7
		Nursing home staff	26,075	138.6 per 100,000 persons -day	121.1 per 100,000 persons -day	HR=0.8 0 (0.68- 0.93)	-	-	-	-	-	-	-	-	-	Age, sex	
		Healthcare workers in nursing home	47,106	103.2 per 100,000	98.9 per 100,000	HR=0.8 5 (0.77- 0.95)	-	-	-	-	-	-	-	-	-	Age, sex	

persons persons -day -day

COVID-19 coronavirus disease 2019 HR hazard ratio IRR incidence rate ratio Newcastle-Ottawa Scale OR odds ratio

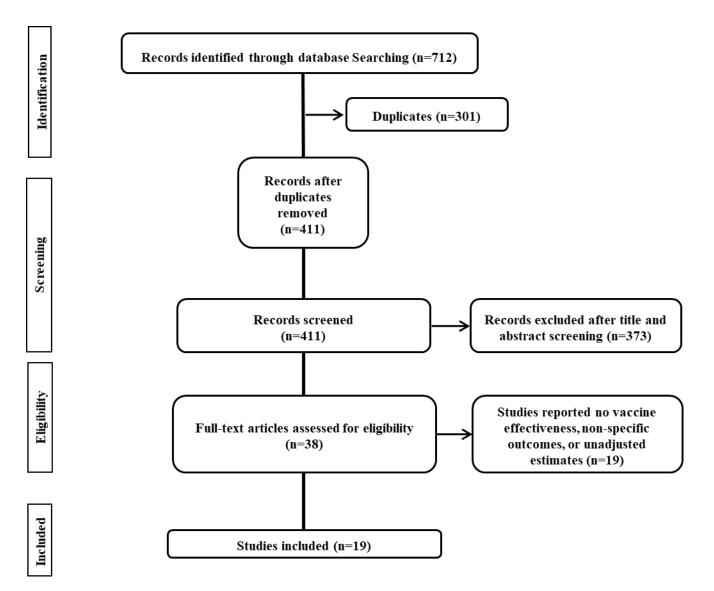


Figure 1: PRISMA (Preferred Reporting Items for Systematic Reviews and Meta-analyses) flow diagram of process of study selection.

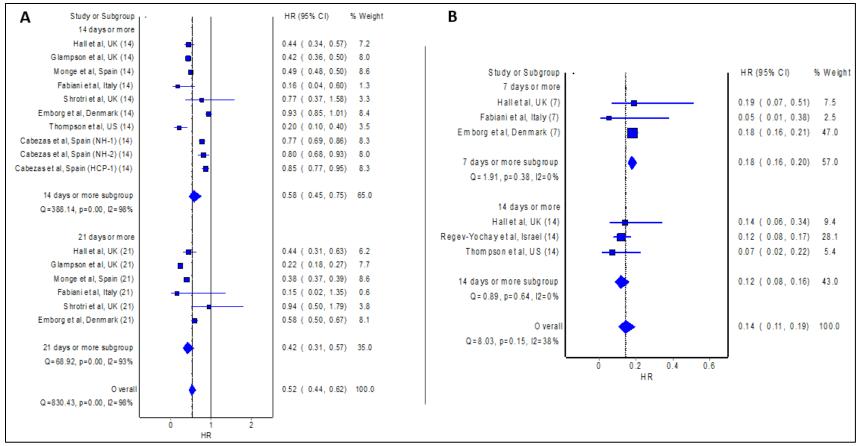


Figure 2: Pooled hazard ratio (HR) of the incidence of COVID-19 14- or 21-days post first dose of vaccine (A) and 7- or 14-days post second dose of vaccine (B) relative to no vaccination

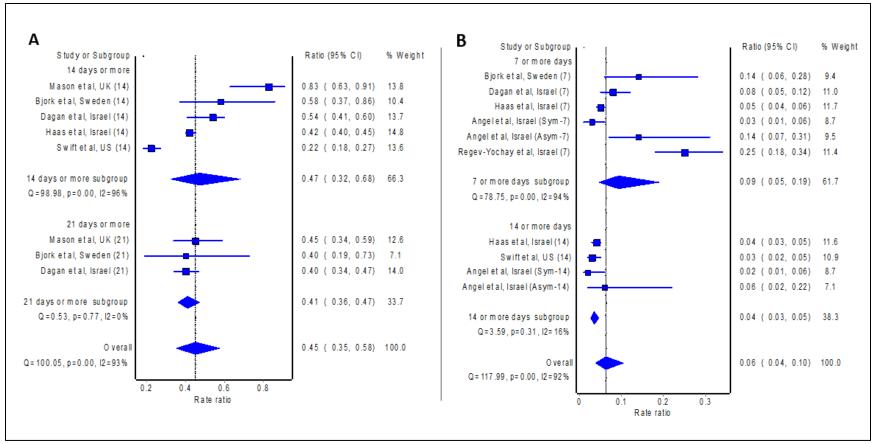


Figure 3: Pooled incident rate ratio (IRR) of the incidence of COVID-19 14- or 21-days post first dose of vaccine (A) and 7- or 14-days post second dose of vaccine (B) relative to no vaccination