

1 **A Fc-free EGFR-specific 4-1BB-agonistic trimerbody displays non-toxic broad**  
2 **anti-tumor activity in humanized murine cancer models**

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57 **Conflict of interest disclosure statement**

58 MC, AE-L and MZ are current or former employees of Leadartis. LS and L.A-V. are  
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89 **TRANSLATIONAL RELEVANCE**

90 Despite their efficacy in preclinical studies, full-length 4-1BB-agonistic IgGs have not  
91 advanced in clinical development due to their severe hepatotoxicity. In this study, we  
92 provide preclinical proof-of-concept for a humanized Fc-free tumor-specific 4-1BB-  
93 agonistic trimerbody demonstrating anti-tumor activity against a wide range of human  
94 tumors in humanized immunoavatar mice, as well as synergy with immune checkpoint  
95 blockers. This approach which may provide a way to elicit responses in most cancer  
96 patients while avoiding Fc-mediated adverse reactions. These findings demonstrate that  
97 EGFR is an effective target for the development of a broadly applicable tumor-specific  
98 4-1BB mediated immunotherapy, and support the development of the Trimerbody<sup>®</sup>-  
99 EGFR×4-1BB as a clinical candidate for treatment of advanced solid tumors.

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103 **ABSTRACT**

104 **Background** The induction of 4-1BB signaling by agonistic antibodies can drive the  
105 activation and proliferation of effector T cells and thereby enhance a T cell-mediated  
106 anti-tumor response. Systemic administration of anti-4-1BB-agonistic IgGs, although  
107 effective preclinically, has not advanced in clinical development due to their severe  
108 hepatotoxicity.

109 **Methods** Here, we generated a humanized EGFR-specific 4-1BB-agonistic trimerbody,  
110 which replaces the IgG Fc region with a human collagen homotrimerization domain. It  
111 was characterized by structural analysis and *in vitro* functional studies. We also  
112 assessed pharmacokinetics, anti-tumor efficacy, and toxicity *in vivo*.

113 **Results** In the presence of a T cell receptor signal, the trimerbody provided potent T  
114 cell costimulation that was strictly dependent on 4-1BB hyperclustering at the point of  
115 contact with a tumor antigen-displaying cell surface. It exhibits significant anti-tumor  
116 activity *in vivo*, without hepatotoxicity, in a wide range of human tumors including  
117 colorectal and breast cancer cell-derived xenografts, and non-small-cell lung cancer  
118 patient-derived xenografts associated with increased tumor-infiltrating CD8<sup>+</sup> T cells.  
119 The combination of the trimerbody with a PD-L1-blocker led to increased IFN $\gamma$   
120 secretion *in vitro* and resulted in tumor regression in humanized mice bearing  
121 aggressive triple-negative breast cancer.

122 **Conclusions** These results demonstrate the non-toxic broad anti-tumor activity of  
123 humanized Fc-free tumor-specific 4-1BB-agonistic trimerbodies and their synergy with  
124 checkpoint blockers, which may provide a way to elicit responses in most cancer  
125 patients while avoiding Fc-mediated adverse reactions.

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127

## 128 INTRODUCTION

129 Modulating immune responses using monoclonal antibodies (mAbs) is one of  
130 the most promising approaches for cancer immunotherapy (1). Probably most well-  
131 known is the mAb-mediated blockade of the programmed cell death protein 1 (PD-1)  
132 inhibitory pathway, which prevents PD-1-mediated immunosuppressive signaling in T  
133 cells and can restore effector functions to anergic tumor-infiltrating T cells (2). PD-  
134 1/PD-ligand 1 (PD-L1) axis blockade has shown long-term durable responses in a wide  
135 range of cancers, but their efficacy is limited to 10-30% of patients (3). Another  
136 immunotherapeutic approach involves the stimulation of costimulatory receptors, such  
137 as 4-1BB, with agonistic mAbs (4). 4-1BB, also known as CD137, is a member of the  
138 TNF receptor (TNFR) superfamily which can be induced on a variety of leukocyte  
139 subsets. 4-1BB is a type I single-pass transmembrane receptor with four extracellular  
140 cysteine-rich domains (CRDs) and an intracellular signaling domain (5). On T cells, 4-  
141 1BB is expressed following activation through the T cell receptor (TCR). Binding of  
142 its natural ligand [4-1BB-Ligand (4-1BBL), TNFSF9] or agonistic mAbs enhances T  
143 cell proliferation and effector functions (6-8), prevents T cell exhaustion (8), protects  
144 from programmed cell death (9, 10), and promotes memory cell differentiation, which  
145 may support persistence of tumor-specific T cells (11). Anti-4-1BB-agonistic mAbs  
146 have been explored in preclinical cancer models and shown to promote rejection of a  
147 range of poorly immunogenic tumors (12-14). However, off-tumor toxicity have been  
148 the major impediment to the clinical development of full-length anti-human 4-1BB  
149 (anti-hu4-1BB)-agonistic IgGs, and several studies suggest that the toxicity is mainly  
150 dependent on Fc-Fc $\gamma$ R interactions (15-17). The anti-hu4-1BB human IgG<sub>4</sub> urelumab  
151 (BMS-663513) caused dose-dependent liver toxicity and was implicated in two deaths  
152 (18, 19). Subsequent studies revealed that lower doses reduced liver toxicity, but at the  
153 cost of efficacy (19). The anti-hu4-1BB human IgG<sub>2</sub> utomilumab (PF-05082566) has  
154 an improved safety profile relative to urelumab, but is also a less potent 4-1BB agonist  
155 (20).

156 New strategies are being actively sought to avoid the off-tumor toxicities  
157 associated with Fc-Fc $\gamma$ R interactions while retaining the anti-tumor activity associated  
158 with 4-1BB costimulation. These approaches aim to confine 4-1BB costimulation to the  
159 tumor microenvironment and draining lymph nodes. We have recently described Fc-free  
160 tumor-specific trimerbodies targeting a tumor-associated antigen (TAA), such as EGFR

161 (epidermal growth factor receptor) (15) or CEA (carcinoembryonic antigen)(21), and  
162 murine 4-1BB in an agonistic manner. Both trimerbodies were potent costimulators *in*  
163 *vitro* and the EGFR-targeted 4-1BB-agonistic trimerbody showed enhanced tumor  
164 penetration and powerful anti-tumor activity in immunocompetent mice, while  
165 alleviating the systemic cytokine production and T cell-mediated liver toxicities that are  
166 associated with IgG-based 4-1BB agonists (15). More recently, we showed in a liver-  
167 specific human EGFR-transgenic immunocompetent mouse that systemic  
168 administration of anti-4-1BB-agonistic IgGs resulted in nonspecific immune stimulation  
169 and hepatotoxicity, whereas in mice treated with the Fc-free EGFR-specific 4-1BB-  
170 agonistic trimerbody no such immune-related adverse effects were observed (22).

171 Here, we generated and characterized a humanized EGFR-targeted 4-1BB-  
172 agonistic trimerbody (4-1BB<sup>N/C</sup>EGFR), consisting of three anti-hu4-1BB single-chain  
173 antibody fragments (scFv) and three anti-human EGFR (huEGFR) single-domain  
174 antibodies (V<sub>HH</sub>). The humanized 4-1BB<sup>N/C</sup>EGFR is structurally similar to that of the  
175 mouse trimerbody (15), costimulates human T cells *in vitro* in the presence of huEGFR,  
176 and delayed the progression of an EGFR<sup>+</sup> human colorectal cancer (CRC) and triple-  
177 negative breast cancer (TNBC) cell line-derived xenografts (CLDX) and a patient-  
178 derived xenograft (PDX) of EGFR<sup>+</sup> non-small-cell lung cancer (NSCLC), as  
179 monotherapy in immune-reconstituted mice. Furthermore, the combination of 4-  
180 1BB<sup>N/C</sup>EGFR with the immune checkpoint blocker (ICB) atezolizumab significantly  
181 improved the anti-tumor immune response, with a near-complete inhibition of tumor  
182 growth in humanized mice bearing aggressive EGFR<sup>+</sup> PD-L1<sup>+</sup> human TNBC CLDX.

183

## 184 MATERIAL AND METHODS

### 185 Mice

186 NOD.Cg-Prkdc<sup>SCID</sup>IL2rg<sup>tm1Wjl</sup>/SzJ (NSG) female mice were supplied by Charles River,  
187 Hsd:athymic Nude-Foxn1<sup>nu</sup> female mice were supplied by Envigo RMS SPAIN S.L.,  
188 and 129S4-Rag2tm1.1Flv Il2rgtm1.1Flv/J (Rag2<sup>-/-</sup> IL2R $\gamma$  null) female mice were bred  
189 in the animal facility of CIMA. Animals were maintained under specific-pathogen-free  
190 condition with daily cycles of 12 hours light / 12 hours darkness, and sterilized water  
191 and food were available ad libitum. All animal procedures conformed to European  
192 Union Directive 86/609/EEC and Recommendation 2007/526/EC, enforced in Spanish  
193 law under RD 1201/2005. Animal protocols were approved by the respective Ethics  
194 Committee of Animal Experimentation of the participant institutions (IDIPHISA,

195 imas12, CIEMAT and CIMA); they were performed in strict adherence to the guidelines  
196 stated in the International Guiding Principles for Biomedical Research Involving  
197 Animals, established by the Council for International Organizations of Medical  
198 Sciences (CIOMS). The experimental study protocols were additionally approved by  
199 local government (PROEX 094/15, 108/15, 076/19 and 166/19).

200

### 201 **Antibodies and cell lines**

202 Commercially available antibodies used in the experiments are listed in Table S1.  
203 Recombinantly produced antibodies are listed in a Table S2. HEK293 (CRL-1573),  
204 MDA-MB-231 (HTB-26), A431 (CRL-1555), NIH/3T3 (CRL-1658) and CHO-K1  
205 (CCL-61) cells were obtained from the American Type Culture Collection and cultured  
206 in Dulbecco's modified Eagle's medium (DMEM) (Lonza) supplemented with 2 mM L-  
207 glutamine, 10% (vol/vol) heat inactivated Fetal Calf Serum (FCS) (Merck Life  
208 Science), and antibiotics (100 units/mL penicillin, 100 mg/mL streptomycin) (all from  
209 Life Technologies) referred as to DMEM complete medium (DCM), at 37 °C in 5%  
210 CO<sub>2</sub> humidity. NIH/3T3 cells expressing huEGFR (3T3<sup>huEGFR</sup>) (23) were kindly  
211 provided by Dr A. Villalobo (IIBm, Madrid, Spain). The hu4-1BB-expressing HEK293  
212 cell line (HEK239<sup>hu4-1BB</sup>) was generated by transfection with the expression vector  
213 pCMV3-Flag-TNFRSF9 (SinoBiological) and selected in DCM with 500 µg/mL G418  
214 (Life Technologies). CHO-K1 Cells expressing human FcγRIIb (CD32) were from  
215 Promega (#JA2251). The cell lines were routinely screened for the absence of  
216 mycoplasma contamination by PCR using the Mycoplasma Plus TM Primer Set  
217 (Biotools B&M Labs).

218

### 219 **Construction of expression vectors**

220 To generate the SAP3.28 scFv-based N-terminal trimerbody, the DNA fragments  
221 encoding the FLAG-strep II-SAP3.28<sup>HL</sup> (V<sub>H</sub>-linker-V<sub>L</sub>) scFv was synthesized by  
222 Geneart AG and subcloned as *HindIII/NotI* into the expression vector pCR3.1-MFE23<sup>N</sup>  
223 (24) resulting in pCR3.1-FLAG-strepII-SAP3.28<sup>HL-N</sup>-myc/His. The C-terminal myc/His  
224 tag-sequence was removed by PCR from the plasmids with Fw-CMV and Stop-*XbaI*-  
225 Rev primers (Table S3). The Flag-strep II-SAP3.28<sup>HL</sup> scFv gene was subcloned as  
226 *HindIII/NotI* into a vector containing the human collagen XVIII-derived  
227 homotrimerization (TIE<sup>XVIII</sup>) domain and the anti-human EGFR single-domain antibody  
228 (V<sub>HH</sub>; EGa1) (25), resulting in the bispecific trimerbody-expressing vector pCR3.1-



229 FLAG-strepII-SAP3.28<sup>HL-N18/C18</sup>EGa1. All the sequences were verified using primers  
230 FwCMV and RvBGH (Table S3).

231

### 232 ***In vitro* 4-1BB-dependent NF- $\kappa$ B activation assay**

233 4-1BB-dependent activation of activated nuclear factor kappa-B (NF- $\kappa$ B) assay was  
234 performed on thaw-and-use (T&U) GloResponse<sup>TM</sup>NF $\kappa$ B-*luc2*/4-1BB Jurkat cells  
235 (Promega, #JA2351) according to the manufacturer's instructions (Supplementary  
236 Methods).

237

### 238 **Human PBMC and T cells activation assays**

239 Human PBMCs or isolated T cells (Supplementary Methods) ( $1.5 \times 10^5$  cells/well) were  
240 plated in triplicate in flat bottom 96-well plates, in RPMI supplemented with 10% FCS  
241 and 50  $\mu$ M  $\beta$ -mercaptoethanol (Life Technologies) and co-cultured with 45 Gy  
242 irradiated target cells (3T3 or 3T3<sup>hEGFR</sup>) at an effector/target ratio of 5:1. The anti-hu4-  
243 1BB agonists antibodies and controls were added at ten-fold serial dilutions in the  
244 presence of anti-huCD3 (OKT3) mAb at 0.05  $\mu$ g/ml. After 72 hours, cell-free  
245 supernatants were analyzed by ELISA for cytokine secretion. Irradiated EGFR<sup>+</sup>PD-L1<sup>-</sup>  
246 cells (3T3<sup>huEGFR</sup>) or EGFR<sup>+</sup>PD-L1<sup>+</sup> cells (MDA-MB-231) ( $3 \times 10^4$  cells/well) were  
247 seeded with huPBMCs ( $1.5 \times 10^5$  cells/well), activated with anti-huCD3 at 0.05  $\mu$ g/ml,  
248 in the presence of anti-PD-L1 (atezolizumab) alone (10  $\mu$ g/ml) or combined with 4-  
249 1BB<sup>N/C</sup>EGFR (1  $\mu$ g/ml). Cell-free supernatants were measured for IFN $\gamma$  after 72 hours  
250 by ELISA (Diaclone, #851560005).

251

### 252 **Humanized colorectal cancer cell line-derived xenograft (CDLX) models**

253 HT29 cells ( $1 \times 10^6$ ), were implanted s.c. into the dorsal space of 6-week-old Rag2<sup>-/-</sup>  
254 IL2R $\gamma$  null female mice, followed by the i.p. infusion of freshly huPBMCs ( $1 \times 10^7$   
255 cells/mouse). Tumor growth was monitored by caliper measurements three times a  
256 week, and when tumors reached approximately 0.4 cm in diameter, mice were  
257 randomized to receive treatment ( $n = 7-8$ /group). Measurements were conducted in a  
258 random order by the investigator who was blinded to the treatment assignment. Mice  
259 were treated every three days with five i.p. injections of CEA<sup>N</sup> or 4-1BB<sup>N/C</sup>EGFR  
260 trimerbodies (4 mg/kg) or every week with three i.p. injections of 4-1BB IgG (4  
261 mg/kg). MDA-MB-231 cells ( $2 \times 10^6$ ), were resuspended in PBS and mixed with  
262 matrigel (30%). Cells were implanted s.c. on right dorsal flank of 6-week-old NSG

263 female mice, followed by an i.p. injection of freshly isolated huPBMCs (1 x  
264  $10^7$  cells/mouse). Tumor growth was monitored by caliper measurements three times a  
265 week. Tumor-bearing mice (0.2 cm diameter) were randomly divided into 4 groups ( $n =$   
266 5-6/group) and the investigator was blinded for treatment allocation. Mice were treated  
267 every three days with five i.p. injections of 4-1BB<sup>N/C</sup>EGFR trimerbodies (4 mg/kg), or  
268 every week with three i.p. injections of PD-L1 IgG (4 mg/kg), alone or in combination.  
269 Mice weights were measured twice a week to monitor toxicity. Mice were euthanized at  
270 any sign of distress and/or due to 10-15% of weight loss.

271

### 272 **Humanized patient-derived xenograft (PDX) model**

273 For this study, the previously amplified lung PDX TP103 was selected according to its  
274 histological type, genetic background (*EGFR* and *TP53* mutated), and huEGFR cell  
275 surface expression (26). Tumors were cut into  $\approx 50\text{-mm}^3$  pieces, and implanted s.c.  
276 through a tiny incision into the dorsal space of anesthetized 6-week-old NSG female  
277 mice. Tumor growth was monitored by caliper measurements every 3-4 days, and when  
278 tumors reached approximately 0.5 cm in diameter, mice were randomized into groups ( $n$   
279 = 6-7/group) with similar mean tumor sizes and SDs, and freshly isolated huPBMCs (1  
280  $\times 10^7$  cells/mouse) from healthy donors were i.p. infused. Mice were treated every three  
281 days with five i.p. injections of 4-1BB<sup>N/C</sup>EGFR (4 mg/kg). Mice weights were  
282 measured once a week to monitor toxicity. Mice were euthanized when the weight loss  
283 was  $\geq 10\text{-}15\%$ , when tumor size reached a diameter of 1.0 cm any dimension, when  
284 tumors ulcerated, or at any sign of mouse distress.

285

### 286 **Statistical analysis**

287 Statistical analysis was performed using GraphPad Prism Software version 6.0. In  
288 general, the *in vitro* experiments were done in triplicates and values are presented as  
289 mean $\pm$ SD from one of at least 3 separate experiments. Significant differences ( $P$  value)  
290 were discriminated by applying a two-tailed, unpaired Student's  $t$  test assuming a  
291 normal distribution.  $P$  values are indicated in the corresponding figures for each  
292 experiment. EC50 were calculated using a nonlinear regression curve (log Agonist vs  
293 normalized response-variable response). Mean tumor volume are presented for each  
294 group using a scatter plot as mean  $\pm$  SD. To assess the differences between treatment

295 groups, *P* values were determined by one-way analysis of variance (ANOVA) adjusted  
296 by the Bonferroni correction for multiple comparison tests.

297

298

## 299 **RESULTS**

### 300 **Generation and characterization of 4-1BB-agonistic humanized trimerbodies**

301 Anti-hu4-1BB trimerbodies were generated using scFv-encoding genes derived  
302 from the anti-hu4-1BB-agonistic SAP3.28 mAb (Fig. S1a), which binds to hu4-1BB  
303 CRD-1(27). The SAP3.28 IgG (hereafter referred to as 4-1BB IgG) is a chimeric  
304 molecule displaying a humanized  $V_L$  domain and a partially humanized  $V_H$  domain that  
305 preserves the murine FR3 region to retain antigen binding, and the Fc region of murine  
306 IgG<sub>1</sub>(27). Like urelumab, which recognizes the N-terminus of CRD-1 (28), 4-1BB IgG  
307 does not block the hu4-1BB receptor/hu4-1BBL interaction (Fig. S2a-c). Furthermore,  
308 we showed that the epitopes of 4-1BB IgG and urelumab do not overlap (Fig. S2d and  
309 e). We designed a SAP3.28 scFv-based anti-hu4-1BB N-terminal trimerbody (4-1BB<sup>N</sup>)  
310 by fusing the SAP3.28 scFv to the human collagen XVIII-derived homotrimerization  
311 (TIE<sup>XVIII</sup>) domain by a flexible linker (Fig. S1b and c), and a bispecific trimerbody by  
312 fusing the anti-EGFR EGa1  $V_{HH}$  antibody (25) to the C-terminus of the 4-1BB<sup>N</sup> to  
313 generate the construct called 4-1BB<sup>N/C</sup>EGFR (Fig. 1a). Both trimerbodies were purified  
314 from conditioned medium from stably transfected HEK293 cells by Strep-Tactin  
315 affinity chromatography, with proteins yields (3.5 mg/L and 4.5 mg/L, respectively) that  
316 were > 95% pure (Fig. S3a). Mass spectrometry (using MALDI-TOF, not shown)  
317 confirmed the absence of the signal sequences in the purified antibodies. SEC-MALS  
318 experiments on both 4-1BB<sup>N</sup> and 4-1BB<sup>N/C</sup>EGFR yielded major peaks with molar  
319 masses of 111 and 160 kDa, respectively (Fig. S3b and c), which are consistent with  
320 trimeric molecules. Minor peaks at smaller volumes with molar masses of 217 and 340  
321 kDa indicate the presence of dimers of trimers, as previously observed for other  
322 trimerbodies (15). Circular dichroism measurements show predominant  $\beta$ -sheet structures  
323 and cooperative thermal denaturations ( $T_m \approx 60$  °C; Fig. S3d and e). Small angle X-ray  
324 scattering (SAXS) was used to study the three-dimensional structure of both  
325 trimerbodies. The 4-1BB<sup>N</sup> trimerbody shows a flat distribution, with a well-defined  
326 TIE<sup>XVIII</sup> core in the center and the scFvs partially extended on the same plane, like the  
327 spokes on a wheel (Fig. S4 and S5; Table S4) The 4-1BB<sup>N/C</sup>EGFR trimerbody  
328 maintains the same planar configuration of 4-1BB<sup>N</sup> with its additional small-sized

329 EGFR V<sub>HH</sub> domains interspersed between the 4-1BB scFvs to resemble a six-bladed  
330 ninja star (Fig. 1b; Fig. S5; Table S4).

331         Biolayer interferometry (BLI) was used to measure the association and  
332 dissociation kinetics of 4-1BB<sup>N</sup> and 4-1BB<sup>N/C</sup>EGFR binding to hu4-1BB, and of 4-  
333 1BB<sup>N/C</sup>EGFR and the anti-EGFR ATTACK antibody (29) binding to huEGFR (Fig. 1c).  
334 The bispecific ATTACK antibody is an evolution of the tandem trimerbody format (30)  
335 which combines three EGFR-binding V<sub>HH</sub> antibodies with a single CD3-binding scFv  
336 (29). All interactions were of high affinity (with low picomolar K<sub>D</sub> values), indicating  
337 functional trivalence of the trimerbodies towards the antigens displayed on a biosensor  
338 surface (Table S5). The kinetics of huEGFR binding by these trivalent antibodies is  
339 consistent with previous studies (15, 29). In a complementary experiment, 4-1BB<sup>N</sup> and  
340 the 4-1BB<sup>N/C</sup>EGFR were first loaded onto hu4-1BB immobilized on the surface of  
341 biosensors, which were then transferred into buffer containing huEGFR. 4-  
342 1BB<sup>N/C</sup>EGFR, but not 4-1BB<sup>N</sup>, was able to bind soluble huEGFR while remaining  
343 bound to the immobilized hu4-1BB, further confirming its bivalence and its capability  
344 to bind both antigens simultaneously (Fig. 1d). Furthermore, 4-1BB<sup>N/C</sup>EGFR bound to  
345 mouse (mo-), cynomolgus (cy-) and huEGFR (Fig. S6a), as well as to cy4-1BB and  
346 hu4-1BB, but to a much lower extent to mo4-1BB (Fig. S6b). Their ability to detect  
347 hu4-1BB and huEGFR in a cellular context was analyzed by flow cytometry. The 4-  
348 1BB<sup>N/C</sup>EGFR trimerbody bound to wild-type HEK293 (EGFR<sup>+</sup>) cells, to HEK293 cells  
349 transfected to express hu4-1BB on their cell surface (HEK293<sup>hu4-1BB</sup>), and to mouse 3T3  
350 cells expressing huEGFR (3T3<sup>huEGFR</sup>) but not to wild-type 3T3 cells (Fig. S7). In  
351 contrast, the 4-1BB IgG only bound HEK293<sup>hu4-1BB</sup> cells (Fig. S7). To further assess the  
352 multivalent binding of 4-1BB<sup>N/C</sup>EGFR, we studied its capacity to inhibit proliferation  
353 and EGFR phosphorylation in A431 cells (25). Both 4-1BB<sup>N/C</sup>EGFR and cetuximab, an  
354 EGF-competitive inhibitor (31), but neither the anti-human CD20 rituximab nor the  
355 parental 4-1BB IgG, inhibited A431 proliferation, in a dose-dependent manner ( $P$   
356 =0.003 and  $P$ =0.0005, respectively, for the higher doses of both antibodies, vs.  
357 equimolar doses of control antibodies) (Fig. S8a), as well as EGFR phosphorylation  
358 (Fig. S8b).

359

360 **The Fc-free EGFR-targeted 4-1BB-agonistic humanized trimerbody significantly**  
361 **enhances T cell costimulation in the presence of EGFR-expressing cells**

362 The agonist activities of the three SAP3.28-derived antibodies and urelumab  
363 were assessed using NF- $\kappa$ B-*luc2/4-1BB* Jurkat cells (Jurkat<sup>NF- $\kappa$ B</sup>) that constitutively  
364 express hu4-1BB on the cell surface and a luciferase reporter driven by a NF- $\kappa$ B  
365 response element. Jurkat<sup>NF- $\kappa$ B</sup> reporter cells were co-cultured with target cells stably  
366 expressing either huFc $\gamma$ RIIb (CHO<sup>huFc $\gamma$ RIIb</sup>) or huEGFR (3T3<sup>huEGFR</sup>), as well as non-  
367 transfected CHO or 3T3 cells as negative controls; the expression of cell surface  
368 huFc $\gamma$ RIIb and huEGFR were demonstrated by flow cytometry (Fig. 2a and b).  
369 Titrations of bivalent (4-1BB IgG or urelumab), or trivalent (4-1BB<sup>N</sup> or 4-  
370 1BB<sup>N/C</sup>EGFR) anti-hu4-1BB antibodies were then added to the co-cultured cells. In the  
371 absence of Fc- or EGFR-mediated antibody crosslinking at the target cell surface (i.e.,  
372 in co-cultures with non-transfected CHO or 3T3 cells), 4-1BB IgG showed little to no  
373 induction over untreated Jurkat<sup>NF- $\kappa$ B</sup> cells at all tested concentrations, both anti-hu4-1BB  
374 trimerbodies showed an approximately 10-fold induction, and urelumab showed an  
375 approximately 20-fold induction (Fig. 2c and d). In the presence of Fc $\gamma$ RIIb-mediated  
376 crosslinking (i.e., using CHO<sup>huFc $\gamma$ RIIb</sup> as target cells), 4-1BB IgG induced a NF- $\kappa$ B dose-  
377 dependent activation with a 26-fold induction ( $P = 0.0008$ ) and urelumab's induction  
378 was further increased to 40-fold ( $P = 0.003$ ) (Fig. 2c). Neither trimerbody showed a  
379 Fc $\gamma$ RIIb-mediated increase in induction (Fig. 2c). The trimerbody-mediated 4-1BB  
380 signaling was significantly strengthened when target cells expressed huEGFR ( $P =$   
381 0.0008), leading to a 40-fold increase of NF- $\kappa$ B luciferase reporter activity (Fig. 2d).  
382 Induction by 4-1BB IgG, urelumab, and 4-1BB<sup>N</sup> was not affected by huEGFR  
383 expression (Fig. 2d). The negative control antibodies moIgG1, huIgG4, and CEA<sup>N</sup>, a  
384 trimerbody recognizing CEA, showed no activation (Fig. S9a and b). We then used  
385 huPBMCs or T cells from healthy donors to investigate the effect of the anti-hu4-1BB  
386 antibodies on IFN $\gamma$  secretion when co-cultured with irradiated 3T3 or 3T3<sup>huEGFR</sup> cells,  
387 both with and without a suboptimal dose of anti-huCD3 mAb. The 4-1BB<sup>N/C</sup>EGFR  
388 trimerbody had a dose-dependent activating effect on IFN $\gamma$  secretion only when  
389 huPBMCs or T cells were co-cultured with EGFR<sup>+</sup> cells; no induction was observed  
390 with EGFR<sup>-</sup> cells (Fig. 2e and f). Under these conditions, the effect of 4-1BB IgG and  
391 CEA<sup>N</sup> was minimal and independent of EGFR expression (Fig. 2e; Fig. S10). These  
392 data show that 4-1BB<sup>N/C</sup>EGFR induces strong, EGFR-dependent T cell costimulation  
393 and IFN $\gamma$  secretion that requires initial signaling through the TCR/CD3 complex (signal  
394 1). Subsequently, huPBMCs were co-cultured with irradiated EGFR<sup>+</sup>PD-L1<sup>-</sup> (3T3<sup>huEGFR</sup>)

395 or EGFR<sup>+</sup>PD-L1<sup>+</sup> (MDA-MB-231) cells (Fig. 2g) in the presence of 4-1BB<sup>N/C</sup>EGFR  
396 and the PD-L1–blocking antibody atezolizumab. When combined with a suboptimal  
397 dose of anti-huCD3 mAb, the 4-1BB<sup>N/C</sup>EGFR trimerbody significantly enhanced IFN $\gamma$   
398 secretion ( $P = 0.0007$  3T3<sup>huEGFR</sup> cells;  $P = 0.0002$  MDA-MB-231 cells) (Fig. 2h). The  
399 addition of atezolizumab significantly increased IFN $\gamma$  levels when huPBMCs were co-  
400 cultured with MDA-MB-231 cells in the presence of 4-1BB<sup>N/C</sup>EGFR ( $P = 0.02$ ) (Fig.  
401 2h).

#### 402 403 **Pharmacokinetics of <sup>89</sup>Zr-labeled 4-1BB<sup>N/C</sup>EGFR trimerbody**

404 The 4-1BB<sup>N/C</sup>EGFR trimerbody retained close to 100% of its initial binding  
405 activity after 4 days in human serum at 37 °C (Fig. S11 a and b). Chelation with p-SCN-  
406 Bn-Deferoxamine (Df) of the 4-1BB<sup>N/C</sup>EGFR trimerbody did not alter its SDS-PAGE  
407 migration pattern nor compromise its binding activity (Fig. S12a and b). After  
408 radiolabeling, the RCY (radiolabeling yield) and RQP (radiochemical purity) of  
409 purified [<sup>89</sup>Zr]Zr-Df-4-1BB<sup>N/C</sup>EGFR were 40% and 95%, respectively. The AIC values  
410 were 10.97 and -22.66 for one and two compartment of [<sup>89</sup>Zr]Zr-Df-4-1BB<sup>N/C</sup>EGFR  
411 respectively, thus, the disposition of the 4-1BB<sup>N/C</sup>EGFR trimerbody was better  
412 explained through a bicompartmental model (Table S6). After intravenous  
413 administration, the elimination of [<sup>89</sup>Zr]Zr-Df-4-1BB<sup>N/C</sup>EGFR was biphasic, with a  
414 half-time of 7.3 hours for the rapid distribution phase and 66.8 hours for the slow  
415 distribution phase (Fig. 3a). The volume of distribution at steady state was 66.5 mL  
416 (2.63 L/Kg) and the plasma clearance 0.97 mL/h (37.6 mL/Kg/h). As the blood-to-  
417 plasma ratio was 0.62, the blood clearance value obtained was very low (0.062 L/Kg/h)  
418 compared with the cardiac output (21.7 L/Kg/h in mouse), which is generally desirable  
419 for developing a drug with a low dosage regimen (32).

#### 420 421 **Anti-tumor activity of the Fc-free EGFR-targeted 4-1BB-agonistic humanized** 422 **trimerbody**

423 We tested the 4-1BB<sup>N/C</sup>EGFR trimerbody for anti-tumor activity in huPBMC-  
424 driven humanized immunoavatar mouse models. Rag2<sup>-/-</sup> IL2R $\gamma$ <sup>null</sup> mice were  
425 intraperitoneally (i.p.) injected with huPBMCs and then human HT-29 CRC cells were  
426 subcutaneously (s.c.) inoculated (Fig. 3b). Transferred human T cells become activated  
427 and develop pathogenic xeno-reactivity, a process called xenograft-versus-host disease

428 (xGVHD) (33), which is a valuable model for testing immunomodulatory strategies,  
429 where the engrafted human T cells are amenable for modulation by therapeutic agents  
430 (34-36). When tumors reached approximately 0.4 cm in diameter mice were treated  
431 with five trimerbody (CEA<sup>N</sup> or 4-1BB<sup>N/C</sup>EGFR) i.p. injections at 3/4-day intervals, or  
432 three weekly equimolar doses of 4-1BB IgG, as depicted in Figure 3b. The dose and  
433 treatment schedule was designed in a similar way to what was conducted with the anti-  
434 mo4-1BB agonists in an immunocompetent model of CRC (15). The 4-1BB<sup>N/C</sup>EGFR-  
435 treated group showed a significantly slower tumor growth compared with the untreated  
436 group ( $P = 0.01$ ), and the CEA<sup>N</sup>-treated groups ( $P = 0.004$ ) (Fig. 3c). Notably, the  
437 humanized 4-1BB<sup>N/C</sup>EGFR trimerbody provided anti-tumor activity *in vivo* comparable  
438 to the 4-1BB IgG (Fig. 3c).

439 We next sought to determine whether the anti-tumor effect would also occur in  
440 an EGFR<sup>+</sup> NSCLC PDX-bearing huPBMC-driven humanized NSG mice model (TP103,  
441 Fig. 3d and e). As shown in Figure 3f, the 4-1BB<sup>N/C</sup>EGFR-treated mice showed a  
442 reduced tumor growth compared with the control group. The improved tumor growth  
443 control was accompanied of significant changes in the TIL infiltration pattern. In both  
444 groups, a diffuse infiltration of CD3<sup>+</sup> T lymphocytes surrounding and involving tumor  
445 cell nests was detected (Fig. S13). In the PBS-treated mice, there was a prevalence of  
446 CD4<sup>+</sup> T cells with a CD4/CD8 ratio of 2.8 (Fig. 3g-i). In the 4-1BB<sup>N/C</sup>EGFR-treated  
447 group, a significant increase in the number of CD8<sup>+</sup> T cells ( $P = 0.04$ ) was observed,  
448 accompanied by a reduction in the number of Foxp3<sup>+</sup> cells ( $P = 0.01$ ) (Fig. 3g-i; Fig.  
449 S13).

450 We compared the toxicity profile in huPBMC-driven humanized NSG mice  
451 treated with 4-1BB IgG or 4-1BB<sup>N/C</sup>EGFR trimerbody (6 mg/kg) once a week for 3  
452 weeks and euthanized 1 week later. The histologic study of the livers revealed that 4-  
453 1BB IgG treatment exacerbated xGVHD. Details of the liver infiltration in a  
454 representative mouse of each group of treatment are depicted in Figure 3j, showing  
455 extensive perivascular mononuclear cell infiltration in the group treated with the IgG-  
456 based 4-1BB agonist. We then studied the concentrations of human IFN $\gamma$  in serum  
457 samples collected at sacrifice. 4-1BB IgG treatment significantly increase IFN $\gamma$  levels  
458 over 4-1BB<sup>N/C</sup>EGFR treatment ( $P = 0.001$ ), where the levels were comparable to PBS-  
459 treated animals (Fig. 3k).

460  
461

## 462 **The combination of 4-1BB<sup>N/C</sup>EGFR and atezolizumab induces tumor regression**

463 The therapeutic potential of combining 4-1BB<sup>N/C</sup>EGFR with the PD-L1 blocker  
464 atezolizumab was investigated in huPBMC-driven humanized NSG mice bearing  
465 human EGFR<sup>+</sup>PD-L1<sup>+</sup> MDA-MB-231 (Fig. 2g) TNBC xenografts (Fig. 4a).  
466 Atezolizumab monotherapy was able to reduce tumor growth by ~60%, while 4-  
467 1BB<sup>N/C</sup>EGFR monotherapy showed a ~90% tumor growth reduction (Fig. 4b). The  
468 combination of atezolizumab plus 4-1BB<sup>N/C</sup>EGFR resulted in an additional decrease in  
469 tumor growth (Fig. 4b). In the PBS-treated group large nests of neoplastic pleomorphic  
470 cells with intense cytokeratin (CK) expression with dense lymphocyte infiltration (Fig.  
471 4c and d) were observed. Importantly, the percentage of CK<sup>+</sup> cells was significantly  
472 lower in the 4-1BB<sup>N/C</sup>EGFR monotherapy group ( $P = 0.04$ ) and in the combination  
473 therapy group ( $P = 0.0002$ ) than in atezolizumab monotherapy group (Fig. 4c). With  
474 combination therapy, the percentage of CK<sup>+</sup> cells was at most 30% in 5 out of 6 mice  
475 and in one mouse, TNBC cells were completely eradicated (Fig. 4e). This reduction in  
476 tumor burden was associated with a significantly increased proportion of CD8<sup>+</sup> T cells  
477 in the 4-1BB<sup>N/C</sup>EGFR-treated groups ( $P = 0.03$  and  $P = 0.04$ ) (Fig. 4d and e).

478

## 479 **DISCUSSION**

480 Immune checkpoint receptors, both coinhibitory and costimulatory, are  
481 membrane molecules expressed by immune cells that regulate the activation and  
482 effector functions of T cells (37). These regulatory receptors can be manipulated by the  
483 exogenous administration of antibodies to enhance pre-existing anti-tumor immunity  
484 (38). The blockade of inhibitory checkpoints, such as CTLA-4 and PD-1/PD-L1, with  
485 antagonistic mAbs have shown remarkable efficacy in several types of cancer, with  
486 manageable toxicity profiles; however, their overall response rate remains around 30%  
487 (39). Agonistic antibodies targeting costimulatory checkpoints, such as 4-1BB, OX40,  
488 CD40, GITR, and ICOS, are able to bias T cells towards an effector outcome and  
489 overcome anergy-inducing immunosuppressive signaling in the tumor  
490 microenvironment, thus providing strong rationale to be combined with ICB (38).  
491 However, despite significant interest and effort, no such antibody has yet received  
492 regulatory approval. Among them, anti-4-1BB mAbs have shown robust anti-tumor  
493 activity in preclinical models (12). However, the clinical development of full-length IgG  
494 anti-hu4-1BB-agonistic mAbs is facing serious challenges due to low efficacy  
495 (utomilumab) or severe hepatotoxicity (urelumab) (18). We recently generated Fc-free



496 tumor-targeted murine 4-1BB-agonistic trimerbodies that induced effective anti-tumor  
497 immunity without liver toxicity in immunocompetent mice (15).

498 Here, we characterize a Fc-free bispecific humanized trimerbody which binds to  
499 human 4-1BB and EGFR. This 4-1BB<sup>N/C</sup>EGFR trimerbody is efficiently produced and  
500 structural studies showed that the trimerbody primarily forms the intended trimeric  
501 structure, with protein folding and configuration nearly identical to that of the murine  
502 trimerbody (15). The antibody domains are positioned around the human collagen  
503 XVIII homotrimerization domain in a hexagonal configuration, and the binding studies  
504 provided quantitative evidence for multivalent interactions with both human 4-1BB and  
505 EGFR. These results demonstrate the robustness of the trimerbody scaffold to generate  
506 functional multivalent and multispecific molecules with a predictable and well-defined  
507 structure.

508 The binding of three TNFRSF receptors to a single trimeric ligand nucleates  
509 receptor clustering to induce signaling, but multiple complexes are required for  
510 signaling to reach effective levels (40). Anti-4-1BB-agonistic mAbs can be classified as  
511 either strong or weak agonists. A strong agonist (e.g. urelumab) can induce signaling  
512 activation without FcγR-mediated crosslinking, while a weak agonistic (e.g.  
513 utomilumab) requires FcγR-mediated crosslinking in order to meaningfully induce 4-  
514 1BB signaling (41). Here, we demonstrate in a hu4-1BB-reporting cell line that a  
515 bivalent (IgG) anti-hu4-1BB antibody derived from the SAP3.28 antibody (27) is  
516 dependent on the presence of FcγRIIb to induce 4-1BB signaling and can therefore be  
517 classified as weak agonists. However, SAP3.28-derived trimerbodies induce partial 4-  
518 1BB signaling even without additional crosslinking, which emphasizes the relevance of  
519 trimerbody valence and stoichiometry in the context of agonizing a multimerizing  
520 receptor. Importantly, in the presence of EGFR-expressing cells, the trimerbody-4-  
521 1BB complexes are further crosslinked, resulting in increased agonistic activity that  
522 significantly exceeds that achieved by a 4-1BB IgG crosslinked by FcγRIIb-  
523 expressing cells, and was similar to that observed with FcγRIIb-cross-linked urelumab.

524 When the study was conducted on activated huPBMCs or isolated T cells, the 4-  
525 1BB<sup>N/C</sup>EGFR trimerbody did not increase IFNγ secretion above the basal levels, at any  
526 of the concentrations analyzed, in the absence of EGFR-specific crosslinking. These  
527 results demonstrate that, in contrast to the results from the Jurkat cell-based hu4-1BB  
528 reporter assay, under near physiological conditions using activated primary T cells the  
529 trimerbody-mediated 4-1BB clustering does not provide effective 4-1BB-

530 costimulation without additional EGFR-mediated crosslinking. This aspect is  
531 particularly relevant, as it shows that effective costimulation is not induced, despite  
532 saturating binding of the trimerbody to 4-1BB. This has important implications with  
533 regard to off-tumor safety issues, as these results indicate that the trimerbody is not  
534 capable of inducing 4-1BB costimulation in TAA-negative tissues.

535         The humanized EGFR-targeted trimeric 4-1BB-agonistic trimerbody exhibits  
536 improved serum stability and a circulatory half-life of nearly three days. We  
537 hypothesize that strategies aiming to reinvigorate pre-existing tumor-specific exhausted  
538 T cells (42) could benefit from an intermittent boosting strategy, to reduce systemic  
539 exposure and potential toxicity. This may be especially important when using a  
540 relatively ubiquitous TAA, such as EGFR, for targeted 4-1BB costimulation. The  
541 relatively short half-life combined with the TAA-targeted approach would allow the  
542 selective accumulation of the 4-1BB<sup>N/C</sup>EGFR trimerbody in the tumor area and in tumor  
543 cell-infiltrated lymph nodes. In fact, it has been shown that EGFR expression is  
544 related with lymph node involvement and tumor grade in CRC. Also, lymph node-  
545 involved CRCs showed higher scores of EGFR staining than control groups (43).

546         We have recently shown that treatment of immunocompetent transgenic mice  
547 expressing huEGFR in the liver ( $\Delta$ EGFR-tg) (44) with IgG-based anti-mouse 4-1BB  
548 agonist resulted in nonspecific immune stimulation and hepatotoxicity (22). In contrast,  
549 none of these features were observed in  $\Delta$ EGFR-tg mice treated with the Fc-free EGFR-  
550 specific anti-mouse 4-1BB-agonistic 1D8<sup>N/C</sup>EGa1 trimerbody (22), despite the fact that  
551 the anti-EGFR EGa1 V<sub>HH</sub> recognize huEGFR and moEGFR. These results further  
552 validate the safety profile of Fc-free trimerbodies in systemic cancer immunotherapy  
553 protocols. Here, we demonstrated that treatment of huPBMC-driven immunoavatar  
554 mice (36) with the anti-4-1BB-agonistic IgG resulted in enhanced activation of  
555 adoptively transferred human T cells and exacerbation of hepatic xGVHD. In contrast,  
556 treatment with the 4-1BBL<sup>N/C</sup>EGFR trimerbody induced human IFN $\gamma$  serum levels and  
557 liver infiltration similar to that observed in PBS-treated animals.

558         Despite the limitations of current mouse models for the study of human tumors,  
559 such as the development of xGVHD, and insufficient engraftment of some human  
560 immune subsets (45), we demonstrated that in in huPBMC humanized immunoavatar  
561 mouse models of human CRC and TNBC, 4-1BB<sup>N/C</sup>EGFR monotherapy provided  
562 significant anti-tumor activity. This effect was confirmed in a humanized PDX model of

563 human NSCLC where treatment with 4-1BB<sup>N/C</sup>EGFR showed a significant reduction in  
564 tumor growth, which was associated with a significant increase in the percentage of  
565 CD8<sup>+</sup> TIL and a substantial improvement of the CD8<sup>+</sup> T cell/Treg ratio, from 7.5 in the  
566 control-treated mice to 50 in the 4-1BB<sup>N/C</sup>EGFR-treated mice. EGFR can be an  
567 effective target for the development of a broadly applicable tumor-specific 4-1BB  
568 mediated immunotherapy. In most solid tumors (including lung, colorectal, prostate,  
569 pancreatic, head and neck, liver, renal, urothelial and endometrial cancers, along with  
570 glioblastoma) more than 50% of patients display a moderate to strong expression of  
571 EGFR (46). Furthermore, in humanized mice bearing aggressive EGFR<sup>+</sup> TNBC CLDX  
572 expressing high-levels of PD-L1, the combination of 4-1BB<sup>N/C</sup>EGFR with atezolizumab  
573 further improved anti-tumor activity, resulting in 1 out of 6 mice undergoing complete  
574 regression.

575         The primary anti-tumor mechanism of anti-4-1BB IgG<sub>1</sub> mAbs is the activation  
576 of CD8<sup>+</sup> T cells after co-engagement of the inhibitory FcγRIIb receptor (47). However,  
577 FcγRIIb interactions in the liver are responsible for the liver toxicity of anti-4-1BB  
578 IgG<sub>2a</sub> mAbs (48-50), which primarily act by depleting Treg cells through interactions  
579 with activating FcγR receptors (47). However, these activating FcγR interactions and  
580 the subsequent FcγR-mediated depletion of 4-1BB<sup>+</sup> cells may compromise anti-tumor  
581 immunity (41). These findings outline a very intricate scenario in which the number of  
582 CD8<sup>+</sup> TILs, Treg cells, and FcγR<sup>+</sup> cells, along with the relative abundances of activating  
583 and inhibitory FcγRs, are likely to determine patient outcomes and must be considered  
584 before deciding whether full-length IgG anti-4-1BB agonists are indicated (51). An  
585 additional consideration is the competition between administered therapeutic mAbs and  
586 endogenous immunoglobulins for FcγRs, which will affect the Fc receptor binding of  
587 anti-4-1BB agonists and thereby affect their mechanism of action (51). Recently,  
588 several IgG-based tumor-targeted 4-1BB agonists have been engineered with effector-  
589 silent Fc regions that retain FcRn-driven half-life extension while reducing binding to  
590 FcγRs (52, 53), indicating that it can be desirable for a therapeutic antibody to avoid  
591 these interactions. However, these mutations may affect mAb stability, and introduce  
592 potentially immunogenic sites (54). The trimerbody described in this study has no Fc  
593 region and thereby ensures completely FcγR-independent 4-1BB clustering and  
594 crosslinking, avoiding residual binding activities shown in “silenced” Fc regions (55),  
595 and may be more easily systematized and applied in a clinical setting.

596 Here, we show that the combination of a humanized tumor-specific Fc-free 4-  
597 1BB-agonistic trimerbody with an immune checkpoint blocker mAb resulted in a  
598 greater therapeutic index compared to either monotherapy. These results demonstrate  
599 the benefits of combination therapies using both costimulatory and ICB immunotherapy  
600 strategies, and the suitability of the trimerbody platform for enacting costimulatory  
601 strategies with high efficacy while avoiding adverse reactions mediated by the Fc  
602 region.

603

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606

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771 **Figure Legends**

772

773 **Figure 1. Design and characterization of the humanized Fc-free tumor-targeted 4-**

774 **1BB-agonistic trimerbody (4-1BB<sup>N/C</sup>EGFR).** (a) Schematic diagrams showing the

775 gene layout and domain structure, and arrangement of 4-1BB<sup>N/C</sup>EGFR in solution, as

776 determined by SAXS (b). Rigid-body fitting of the model corresponding to 4-

777 1BB<sup>N/C</sup>EGFR inside the SAXS envelope (colored in pale grey). Each chain has been

778 colored in blue, magenta and cyan. (c) Experimental sensorgrams (black lines) and

779 calculated (red lines) obtained from BLI showing the interactions between 4-1BB<sup>N</sup> and

780 4-1BB<sup>N/C</sup>EGFR trimerbodies (at 1 and 5 nM concentrations) and immobilized hu4-1BB

781 (upper panels), and those between anti-huEGFR ATTACK and 4-1BB<sup>N/C</sup>EGFR

782 trimerbody (at 1 and 5 nM concentrations) and immobilized huEGFR (lower panels).

783 The kinetic rate constants used for the calculated curves are given in Table S5. (d)

784 Simultaneous binding to both immobilized hu4-1BB and huEGFR in solution was

785 demonstrated for 4-1BB<sup>N/C</sup>EGFR (green) but not 4-1BB<sup>N</sup> (black); 5 nM of either

786 trimerbody first bound to immobilized hu4-1BB, after which the biosensors were

787 moved into 10 nM huEGFR.

788

789 **Figure 2. The 4-1BB<sup>N/C</sup>EGFR trimerbody significantly enhances *in vitro* T cell**

790 **costimulation in the presence of huEGFR-expressing cells and signal 1.** Flow

791 cytometry analysis of huFcγRIIb (CD32) expression in CHO and CHO<sup>huFcγRIIb</sup> cells (a),

792 and of huEGFR expression in 3T3 and 3T3<sup>huEGFR</sup> cells (b). Cells incubated with PE-

793 conjugated isotype control mAb are shown as grey-filled histogram. Fluorescence

794 intensity (abscissa) is plotted against relative cell number (ordinate). The numbers

795 indicate the mean fluorescence intensity (MFI). Jurkat<sup>hu4-1BB</sup> cells were co-cultured with

796 CHO or CHO<sup>huFcγRIIb</sup> cells (c) and 3T3 or 3T3<sup>huEGFR</sup> cells (d) in the presence of 10-fold

797 increasing concentrations of 4-1BB IgG, urelumab, 4-1BB<sup>N</sup> or 4-1BB<sup>N/C</sup>EGFR

798 antibodies, and after 6 hours at 37 °C luminescence determined. Data were presented as

799 fold induction relative to the values obtained from unstimulated Jurkat<sup>hu4-1BB</sup> cells.

800 Representative dose-concentration curves are presented and expressed as a mean ± SD

801 (*n* = 3). Significance was determined by unpaired Student's *t* test. Human PBMCs (e)

802 and T cells (f) (1.5 x 10<sup>5</sup>/well) isolated from healthy donors were co-cultured with

803 irradiated 3T3 or 3T3<sup>huEGFR</sup> cells at an E:T ratio of 5:1. The anti-hu4-1BB agonists

804 antibodies (4-1BB IgG or 4-1BB<sup>N/C</sup>EGFR) and controls were added at ten-fold serial

805 dilutions in the presence or absence of anti-huCD3 mAb (0.05  $\mu\text{g/ml}$ ), and IFN $\gamma$   
806 secretion was analyzed after 72 hours (mean  $\pm$  SD,  $n = 3$ ). Significance was determined  
807 by unpaired Student's  $t$  test. (g) Flow cytometry analysis of huEGFR expression (upper  
808 panel) or huPD-L1 expression (lower panel) in 3T3<sup>huEGFR</sup> and MDA-MB-231 cells.  
809 Cells incubated with PE-conjugated isotype control mAbs are shown as grey-filled  
810 histogram. (h) Irradiated EGFR<sup>+</sup>PD-L1<sup>-</sup> cells (3T3<sup>huEGFR</sup>) or EGFR<sup>+</sup>PD-L1<sup>+</sup> cells  
811 (MDA-MB-231) ( $3 \times 10^4$  cells/well) were co-cultured with huPBMCs at a 5:1 E:T ratio,  
812 activated with anti-huCD3 mAb (0.05  $\mu\text{g/ml}$ ), in the presence of anti-PD-L1 alone or  
813 combined with 4-1BB<sup>N/C</sup>EGFR. Cell-free supernatants were measured for IFN $\gamma$  after 72  
814 hours by ELISA. Data are presented as mean  $\pm$  SD ( $n = 3$ ). Significance was calculated  
815 by an unpaired Student's  $t$  test. One representative experiment out of three independent  
816 experiments were shown (a and c). If primary cells were used, then at least three  
817 different donors were tested.

818

819 **Figure 3. 4-1BB<sup>N/C</sup>EGFR trimerbody displayed significant tumor growth**  
820 **inhibition in humanized mouse models.** (a) Pharmacokinetic profile expressed as %  
821 ID/ml in plasma vs. time of <sup>89</sup>Zr-4-1BB<sup>N/C</sup>EGFR following i.v. administration. Data are  
822 shown as mean  $\pm$  SD ( $n = 2-6$ ). (b) Rag2<sup>-/-</sup>IL2R $\gamma$ <sup>null</sup> mice were inoculated s.c. with  
823 HT29 tumor cells and i.p. with freshly-isolated huPBMCs, and when tumors reached  
824 approximately 0.4 cm in diameter randomized into groups ( $n = 7-8/\text{group}$ ) with similar  
825 mean tumor sizes and SDs, and treated with PBS, five i.p. injections of CEA<sup>N</sup> or 4-  
826 1BB<sup>N/C</sup>EGFR trimerbodies (4 mg/kg) or with three i.p. injections of 4-1BB IgG (4  
827 mg/kg). (c) Average tumor volume growth of mice in each group are represented. Data  
828 are presented as the mean  $\pm$  SD. Significance was determined by one-way ANOVA  
829 adjusted by the Bonferroni correction for multiple comparison test. (d) Analysis of  
830 huEGFR expression by IHC in NSCLC PDX TP103. (e) NSG mice were s.c. inoculated  
831 with small fragments of previously amplified TP103 and when tumors reached  
832 approximately 0.5 cm in diameter randomized into groups ( $n = 6-7/\text{group}$ ) with similar  
833 mean tumor sizes and SDs, and freshly isolated huPBMCs i.p. injected. Mice were  
834 treated with PBS or 4-1BB<sup>N/C</sup>EGFR. (f) Average tumor volume growth of mice in each  
835 group are represented. Data are presented as the mean  $\pm$  SD. Significance was  
836 determined by an unpaired Student's  $t$  test. In both *in vivo* assays, mice weights were  
837 measured once a week to monitor toxicity and animals were euthanized at any sign of

838 distress and/or due to 10-15% of weight loss. Percentage of CD4<sup>+</sup> and CD8<sup>+</sup> cells (g) or  
839 FoxP3<sup>+</sup> cells (h) on tissue sections from PBS- and 4-1BB<sup>N/C</sup>EGFR-treated mice (mean ±  
840 SD, *n* = 4-5). Significance was calculated by an unpaired Student's *t* test. (i)  
841 Representative IHC staining for CD4 and CD8 is shown. Tumors were taken from the  
842 experiment shown in (c) at termination. (j) H&E staining in representative tissue  
843 sections of liver of mice treated with PBS, 4-1BB IgG, and 4-1BB<sup>N/C</sup>EGFR. Scale bars  
844 are shown. (k) Human IFN $\gamma$  serum levels of mice were studied in week four (mean ±  
845 SD, *n* = 4). Significance was calculated by an unpaired Student's *t* test.

846

847 **Figure 4. Combination of 4-1BB<sup>N/C</sup>EGFR and full-length PD-L1–blocking**  
848 **antibodies induces tumor regression in an humanized MDA-MB-231 TNBC**  
849 **xenograft model.** (a) NSG mice were inoculated s.c. with MDA-MB-231 tumor cells  
850 and injected i.p. with freshly-isolated huPBMCs, and when tumors reached  
851 approximately 0.2 cm in diameter randomized into groups (*n* = 5-6/group), and treated  
852 with PBS or 4-1BB<sup>N/C</sup>EGFR or atezolizumab alone or in combination. (b) Average  
853 tumor volume growth of mice in each group are represented. Data are presented as the  
854 mean ± SD. Mice weights were measured once a week and animals were euthanized at  
855 any sign of distress and/or due to 10-15% of weight loss. Significance was determined  
856 by one-way ANOVA adjusted by the Bonferroni correction for multiple comparison  
857 test. Percentage of cytokeratin (CK)<sup>+</sup> cells (c) and CD4/CD8 ratio of tumor-infiltrating  
858 lymphocytes (TILs) (d) on tumor sections taken from the experiment shown in (b) at  
859 termination. Data are presented as mean ± SD (*n* = 4-6). Significance was calculated by  
860 an unpaired Student's *t* test. (e) Representative low magnification images of H&E and  
861 immunohistochemically stained samples for cytokeratin, CD4 and CD8 are shown. In  
862 the combination therapy group two representative specimens are shown, with partial or  
863 complete immune-mediated eradication (IME) of TNBC cells.

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