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# Highly Asymmetric Lamellar Nanostructures from Nanoparticle–Linear Hybrid Block Copolymers

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Highly asymmetric lamellar (A-LAM) nanostructure is one of the most important template geometries for block copolymer (BCP) lithography. However, A-LAM is unattainable from conventional BCPs, and there is no general molecular design strategy for A-LAM-forming BCP. Herein, a nanoparticle–linear hybrid BCP system is reported, which is designed based on the intramolecular crosslinking technique, as a remarkably effective platform to obtain the A-LAM morphology. The hybrid BCPs consisting of polystyrene single-chain nanoparticles and linear polylactide segments show a remarkable capability to form the A-LAM morphology in bulk, where a maximum width ratio of 4.1 between the two domains is obtained. This unusual phase behavior is attributed to the bulky and rigid characteristics of the nanoparticle block. Furthermore, the thin films of these hybrid BCPs show perpendicularly oriented A-LAM morphology on a chemically modified Si substrate, allowing promising application in the fabrication of asymmetric line-and-space nanopatterns.

1

## 2 Introduction

3 Nanolithography is an important technique used to  
4 fabricate various components in the microelectronics  
5 industry, such as integrated circuits (ICs), quantum dots, and  
6 diffraction gratings. Although the development of  
7 conventional "top-down" photolithography has enabled the  
8 remarkable miniaturization of electronic devices, current  
9 advanced technologies (*e.g.*, extreme ultraviolet lithography  
10 and multiple patterning technique) are typically expensive  
11 and/or time-consuming.<sup>1–3</sup> As a breakthrough approach to  
12 resolve the above-mentioned monetary and time  
13 consumption issues, block copolymer (BCP) lithography using  
14 microphase-separated structures via directed self-assembly  
15 has attracted considerable research attention.<sup>4–8</sup> As small  
16 features (*i.e.*, < 10 nm) are needed to satisfy the ever  
17 increasing demand for the miniaturization and integration of  
18 IC chips, one practical measure is the decrease in the sizes of  
19 the microphase-separated structures. Therefore, many  
20 research groups have focused on developing the "high  $\chi$ –low

21  $N$  BCPs", where  $\chi$  represents the Flory–Huggins interaction  
22 parameter and  $N$  is the overall degree of polymerization, to  
23 create ultrafine self-assembled nanopatterns.<sup>9–13</sup> In addition,  
24 the control over structural orientation is important for BCP  
25 lithography because the lamellar (LAM) morphology, which is  
26 typically used as a lithographic template among various types  
27 of microphase-separated morphologies, should be  
28 perpendicularly oriented in the thin film with respect to the  
29 substrate to fabricate the desired line and space (L/S)  
30 structure on the underlying substrate.<sup>14–18</sup>

31 Although recent research has focused mainly on  
32 addressing the above-mentioned aspects, achieving  
33 nonclassical microphase-separated morphologies is also a key  
34 challenge that needs to be overcome to diversify the  
35 accessible pattern geometries using BCP lithography.<sup>19,20</sup> One  
36 important geometry, particularly for the logic IC design, is the  
37 asymmetric L/S structure, wherein the space width is much  
38 larger than the line width, which can potentially be obtained  
39 from a highly asymmetric LAM (A-LAM) nanostructure.  
40 However, as the morphology of the microphase-separated  
41 structure is mainly governed by the volume fractions of the  
42 two blocks, the formation of LAM morphology occurs only  
43 near the symmetric block composition (Figure 1a). This  
44 makes it challenging to obtain the A-LAM morphology of the  
45 two phases with significantly different widths. A few  
46 pioneering studies for the fabrication of A-LAM  
47 nanostructures have recently been reported, in which  
48 specific molecular designs and/or polymer blending  
49 techniques have been employed (Figure 1b).<sup>21–23</sup> Kim *et al.*  
50 demonstrated A-LAM formation through the binary blending  
51 of compositionally asymmetric A–B and A–C BCPs, in which  
52 the minority blocks (B and C) strongly interacted with each

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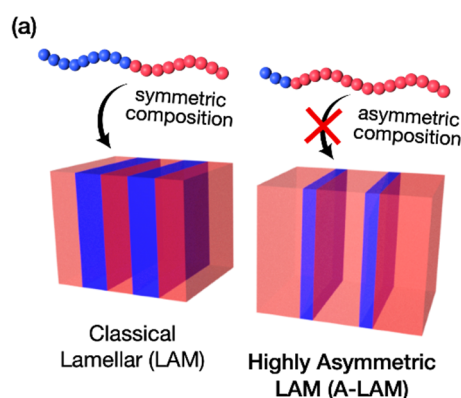
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1 other through hydrogen bonding.<sup>21</sup> In another study, Lynd *et al.* found that an A(BA)<sub>3</sub>-type miktoarm star BCP could exhibit A-LAM morphology with an extremely high degree of asymmetry by blending with the A homopolymer.<sup>22</sup> However, these approaches are not versatile enough to be applied to other BCP systems because these are based on a specific non-covalent interaction or involve time-consuming synthesis. Conversely, Cheng *et al.* designed the BCPs consisting of multiple polyhedral oligomeric silsesquioxane (POSS) derivatives and a long linear polystyrene (POSS-based multiheaded BCPs).<sup>23</sup> Although they successfully obtained A-LAM morphologies because of the bulkiness of the POSS block, it is very difficult to achieve the necessary perpendicular orientation in the thin film because of the remarkably low surface free energy of the Si-containing segment.<sup>24,25</sup> Therefore, to further advance BCP lithography, the development of a novel strategy with versatility toward various types of BCPs to achieve an A-LAM morphology while affording a perpendicular orientation in the thin film is essential.

21 Considering the previously mentioned POSS-based multiheaded BCPs, one of the key parameters by which the A-LAM morphology is stabilized is the bulkiness of the minority block at the interface. Indeed, it is known that the LAM region in the phase diagram of AB<sub>n</sub>-type miktoarm star BCPs is shifted toward the higher A-block composition because of the presence of the bulky B<sub>n</sub>-block, resulting in A-LAM morphologies.<sup>26</sup> As a new alternative method to

29 generate a bulky architecture, an intramolecular crosslinking technique is herein considered to create bulky and rigid single-chain polymer nanoparticles from linear pre-polymers bearing crosslinkable moieties.<sup>27-33</sup> For example, Meijer *et al.*<sup>34</sup> and Pomposo *et al.*<sup>35</sup> observed globule-like formations of the resulting crosslinked nanoparticles using microscopy, which were further confirmed by the small angle X-ray scattering (SAXS) measurements in solution and molecular dynamics simulations. Therefore, these previous studies allowed us to hypothesize that intramolecularly crosslinked nanoparticles could be promising candidates as the minority blocks of BCPs to achieve A-LAM morphologies.

31 According to the above hypothesis, highly asymmetric BCPs consisting of an intramolecularly crosslinked segment as the minority block and a longer linear segment as the majority block, herein referred to as "nanoparticle-linear hybrid BCPs" were fabricated (Figure 1c). Previously, we established a Ru-catalyzed intramolecular olefin metathesis reaction that was tolerant of a wide range of pre-polymers possessing double bonds on the side-chain, owing to the high reactivity and excellent functional group tolerance of the Grubbs' catalyst.<sup>36-38</sup> The current strategy therefore applies the intramolecular olefin metathesis reaction to a perpendicular LAM-forming BCP to fulfill the requirements discussed above. An established BCP system, polystyrene-*b*-poly(*rac*-lactide) (PS-*b*-PLA), was employed because it afforded some important advantages including high segregation strength between the two blocks to achieve small domain sizes<sup>39</sup> and ability to form a perpendicularly oriented LAM in the thin film when employing an appropriate underlayer.<sup>40</sup> Therefore, the BCPs consisting of intramolecularly crosslinked poly[styrene-*st*-(*p*-3-butenyl styrene)] and linear poly(*rac*-lactide) (*i.e.*, SBS(*cl*)-LA) with not only an asymmetric composition but also symmetric composition were synthesized to elucidate the self-assembly behavior of the nanoparticle-linear hybrid BCPs. Well-ordered A-LAM morphologies were created in the bulk state from the asymmetric BCPs, whereas a double gyroid structure was formed from the symmetric BCPs. Importantly, the perpendicularly oriented A-LAM morphologies could be successfully prepared on a chemically modified Si substrate, demonstrating the promising potential of BCP lithography applications.



### Strategies to attain A-LAM

#### (b) Previous Works

- A-B and A-C BCPs blend capable of hydrogen bonding
- A(BA)<sub>3</sub> miktoarm star BCP blended with A homopolymer
- POSS-based multiheaded BCP

#### (c) This Work Nanoparticle-Linear Hybrid BCP

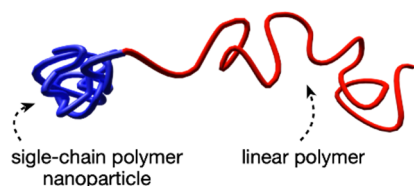


Figure 1. (a) Schematic representation of the classical LAM and A-LAM morphologies. Strategies involved in the (b) previous and (c) this work to obtain A-LAM morphology.

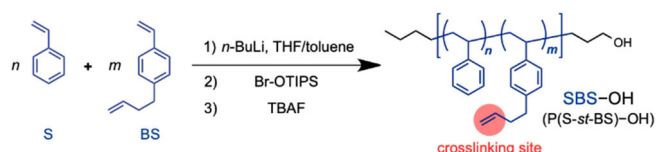
## 73 Results

### 74 Synthesis of Nanoparticle-Linear Hybrid Block Copolymers

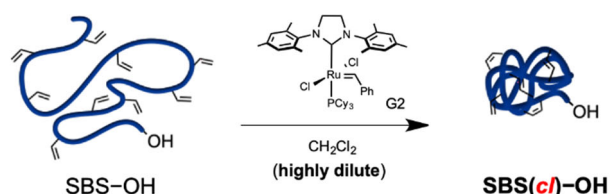
75 The initial effort was directed toward the synthesis of a series of BCPs consisting of an intramolecularly crosslinked poly[styrene-*stat*-(*p*-3-butenyl styrene)] and linear poly(*rac*-lactide) (*i.e.*, P(*S*-*stat*-BS)(*cl*)-*b*-PLAs (SBS(*cl*)-LA)). In a previous study, we established a synthetic pathway for nanoparticle-linear hybrid BCPs, which involved the preparation of a linear-linear BCP and subsequent intramolecular crosslinking of one of the blocks.<sup>38</sup> However, such a pathway can cause variations in the degree of

1 crosslinking among the samples with varied PLA chain lengths. 26  
 2 To prevent any potential effects of structural variations on 27  
 3 the resulting morphology due to intramolecular crosslinking, 28  
 4 an alternative approach to nanoparticle–linear hybrid BCP 29  
 5 formation has been developed herein, as shown in Figure 2. 30  
 6 Initially, the SBS(*cl*) block is prepared, and then the PLA chain 31  
 7 is extended from the hydroxyl chain end of the SBS(*cl*) 32  
 8 (detailed procedures are described in the Supporting 33  
 9 Information). By employing a common SBS(*cl*), a series of 34  
 10 SBS(*cl*)–LAs with varied PLA chain lengths can be synthesized, 35  
 11 while maintaining the same molecular weight and degree of 36  
 12 crosslinking of the SBS(*cl*) block, thus allowing the 37  
 13 investigation of the effects of the PLA chain length on the 38  
 14 resulting morphology.

### 1. Synthesis of polystyrene block



### 2. Intramolecular olefin metathesis



### 3. Poly(lactide) extension from SBS(*cl*)-OH

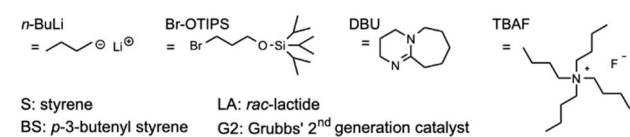
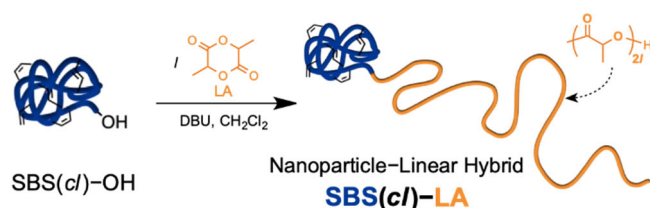


Figure 2. Preparation of nanoparticle–linear hybrid SBS(*cl*)–LA in three steps.

16  
 17 First, the hydroxyl-terminated P(*S-stat*-BS)s with varied 47  
 18 molecular weights (MWs) and BS mole fractions ( $f_{BS}$ ) (*i.e.*, 48  
 19  $S_{21}BS_8$ -OH ( $M_n = 3,600$ ,  $\bar{D} = 1.08$ ,  $f_{BS} = 0.29$ ),  $S_{33}BS_{14}$ -OH ( $M_n$  49  
 20  $= 5,760$ ,  $\bar{D} = 1.04$ ,  $f_{BS} = 0.30$ ),  $S_{65}BS_{28}$ -OH ( $M_n = 11,100$ ,  $\bar{D} =$  50  
 21  $1.04$ ,  $f_{BS} = 0.30$ ), and  $S_{47}BS_{47}$ -OH ( $M_n = 12,400$ ,  $\bar{D} = 1.04$ ,  $f_{BS} =$  51  
 22  $0.50$ ) were prepared, wherein the subscript numbers denote 52  
 23 the degree of polymerization (DP) of each monomer. Notably, 53  
 24  $S_{65}BS_{28}$ -OH and  $S_{47}BS_{47}$ -OH were designed to be different in 54  
 25  $f_{BS}$  values but comparable in DP, which allowed the

determination of the effect of crosslink density on the 41  
 microphase separation behavior. The obtained SBS–OHs 42  
 were then subjected to intramolecular olefin metathesis 43  
 reaction using Grubbs' 2<sup>nd</sup> generation catalyst (G2) 44  
 ( $[SBS-OH]_0 = 0.30 \text{ g L}^{-1}$ ,  $[G2]_0/[BS \text{ unit}]_0 = 0.01$ ), affording the 45  
 corresponding hydroxyl terminated intramolecularly 46  
 crosslinked SBS–OHs (SBS(*cl*)–OHs) with an olefin conversion 47  
 of  $\geq 85\%$  (Table S1:  $^1\text{H}$  NMR spectra, size exclusion 48  
 chromatography (SEC) traces, and infrared spectra are shown 49  
 in Figures S1–S9). The differential scanning calorimetry (DSC) 50  
 captured the increase in the glass transition temperatures 51  
 ( $T_g$ s) after the crosslinking, which also confirmed the 52  
 formation of the intramolecularly crosslinked structures 53  
 (Figure S10).<sup>36,38</sup> Although the  $T_g$  of  $S_{47}BS_{47}(cl)$ -OH could not 54  
 be clearly observable in its DSC curve likely due to the 55  
 significantly reduced chain mobility associated with the high 56  
 degree of crosslinking,<sup>41</sup> the  $T_g$  should be much higher than 57  
 those of other SBS(*cl*)–OHs. Subsequently, the ring-opening 58  
 polymerization of the *rac*-lactide was performed using 59  
 SBS(*cl*)–OHs as the macroinitiator to produce the 60  
 nanoparticle–linear hybrid SBS(*cl*)–LAs, in which the weight 61  
 fraction of the poly(*rac*-lactide) (PLA) block ( $F_{LA}$ ) was 62  
 systematically varied from 0.46 to 0.92 ( $^1\text{H}$  NMR spectra and 63  
 SEC traces are shown in Figures S11–S18). Although the final 64  
 products contain a small amount of the high molecular 65  
 weight byproducts (see the SEC traces), the percentages of 66  
 the minor peaks were found to be only less than 10% of the 67  
 total. Thus, these byproducts should have little impact on the 68  
 microphase separation behaviors.

Three series of SBS(*cl*)–LAs (*i.e.*,  $S_{21}BS_8(cl)$ -LAs, 69  
 $S_{33}BS_{14}(cl)$ -LAs, and  $S_{65}BS_{28}(cl)$ -LAs), representing the low-, 70  
 middle-, and high-MW series, respectively, as well as 71  
 $S_{47}BS_{47}(cl)$ -LA<sub>484</sub> with a higher crosslink density were 72  
 successfully obtained. In addition, the linear–linear type 73  
 $S_{65}BS_{28}$ -LA<sub>67</sub> ( $M_{n,SBS} = 11,100$ ,  $M_{n,LA} = 9,660$ ,  $F_{LA} = 0.47$ ) and 74  
 $S_{65}BS_{28}$ -LA<sub>466</sub> ( $M_{n,SBS} = 11,100$ ,  $M_{n,LA} = 46,600$ ,  $F_{LA} = 0.86$ ) were 75  
 synthesized via the PLA chain extension from the  $S_{65}BS_{28}$ -OH 76  
 macroinitiator to compare the microphase separation 77  
 behaviors between the nanoparticle–linear hybrid BCPs and 78  
 their linear–linear counterparts ( $^1\text{H}$  NMR spectra and SEC 79  
 traces are shown in Figure S19). The molecular characteristics 80  
 of the studied BCPs are summarized in Table 1.

### Microphase Separation Behavior in the Bulk State

To elucidate the microphase-separation behavior of the 81  
 SBS(*cl*)–LAs, small-angle X-ray scattering (SAXS) analysis was 82  
 performed on the bulk samples. Prior to the SAXS 83  
 measurements, the samples were thermally annealed at 84  
 150 °C ( $S_{21}BS_8(cl)$ -LAs,  $S_{33}BS_{14}(cl)$ -LAs, and  $S_{65}BS_{28}(cl)$ -LAs) or 85  
 180 °C ( $S_{47}BS_{47}(cl)$ -LA<sub>484</sub>), well above the  $T_g$  of the 86  
 corresponding SBS(*cl*) blocks, for 1 h under vacuum. The 87  
 thermogravimetric analysis for a selected BCP sample 88  
 confirmed the sufficient thermal stability at the annealing 89  
 temperature (Figure S20). The resulting morphological 90  
 characteristics of all samples are summarized in Table 1.

The SAXS results of the low-MW  $S_{21}BS_8(cl)$ -LA series 91  
 confirm the morphological transition of the resulting 92



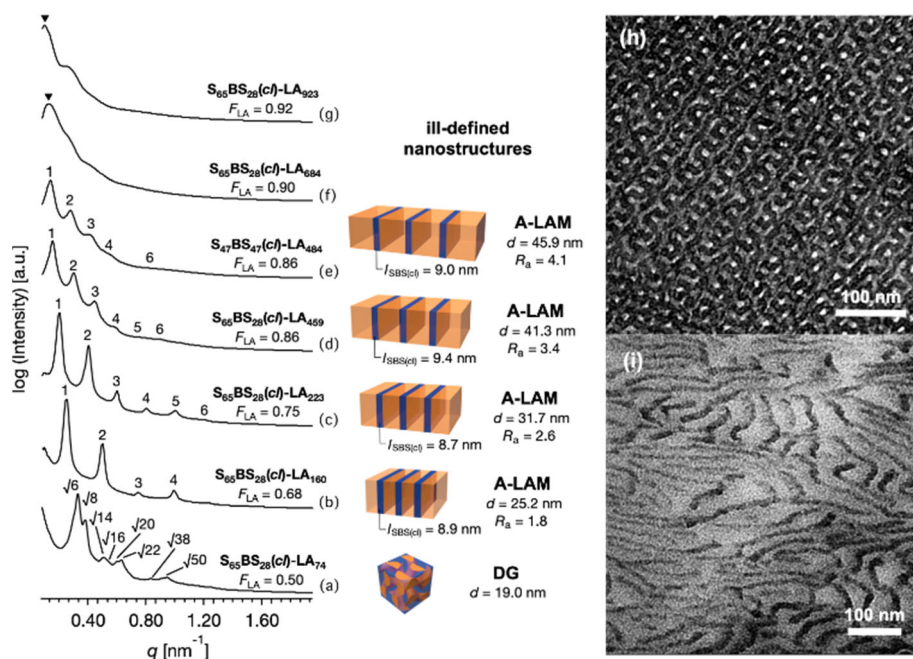


Figure 3. (a)–(g) SAXS profiles of  $S_{65}BS_{28}(cI)$ -LAs and  $S_{47}BS_{47}(cI)$ -LA<sub>484</sub> with the corresponding schematic illustrations of each morphology (SBS(*cI*) and LA domains are shown in blue and yellow, respectively). TEM images of the microtomed (h)  $S_{65}BS_{28}(cI)$ -LA<sub>74</sub> (DG, (211) plane) and (i)  $S_{47}BS_{47}(cI)$ -LA<sub>484</sub> (A-LAM). Dark and bright domains correspond to the SBS(*cI*) and LA domains, respectively.

1 nanostructures with increasing  $F_{LA}$ . The SAXS profile of the 32  
 2 lowest molecular weight  $S_{21}BS_8(cI)$ -LA<sub>20</sub> ( $F_{LA} = 0.46$ ) shows 33  
 3 only a broad scattering peak corresponding to the disordered 34  
 4 state, suggesting that the total DP of this BCP is insufficient to 35  
 5 form an ordered nanostructure (Figure S21a). The SAXS 36  
 6 profile of  $S_{21}BS_8(cI)$ -LA<sub>45</sub> ( $F_{LA} = 0.65$ ) exhibits a principal 37  
 7 scattering peak at a  $q$ -value of  $0.447 \text{ nm}^{-1}$  ( $q^*$ ) as well as 38  
 8 higher-order scattering peaks at integer multiples of  $q^*$ , 39  
 9 indicative of a LAM morphology (Figure S21b). The domain- 40  
 10 spacing ( $d$ ) of the microphase-separated structures can be 41  
 11 calculated based on the relationship,  $d = 2\pi/q^*$ , which is 14.1 42  
 12 nm for this LAM structure. The SAXS profile of  $S_{21}BS_8(cI)$ -LA<sub>75</sub> 43  
 13 with a long PLA block ( $F_{LA} = 0.74$ ) is also consistent with the 44  
 14 LAM morphology ( $d = 16.7 \text{ nm}$ ) despite an asymmetric block 45  
 15 composition (Figure S21c).  $S_{21}BS_8(cI)$ -LA<sub>115</sub> with the longest 46  
 16 PLA block ( $F_{LA} = 0.82$ ) exhibits a hexagonally close-packed 47  
 17 cylinder (HEX) morphology ( $d = 17.2 \text{ nm}$ ), as evident from the 48  
 18 scattering peaks at the relative  $q$ -values of 1:  $\sqrt{3}$ : 2:  $\sqrt{7}$ : 3 in 49  
 19 the SAXS profile (Figure S21d). The middle-MW  $S_{33}BS_{14}(cI)$ -LA 50  
 20 series shows a similar trend: a morphological transition from 51  
 21 LAM to HEX is observed upon increasing the  $F_{LA}$ , in which the 52  
 22 LAM morphology is maintained up to an  $F_{LA}$  value of 0.74 53  
 23 (Figures S21f–h). Interestingly, the SAXS profile of the 54  
 24 compositionally symmetric  $S_{33}BS_{14}(cI)$ -LA<sub>33</sub> ( $F_{LA} = 0.46$ ) 55  
 25 exhibits multiple scattering peaks at relative  $q$ -values of  $\sqrt{6}$ : 56  
 26  $\sqrt{8}$ :  $\sqrt{14}$ :  $\sqrt{16}$ :  $\sqrt{20}$ :  $\sqrt{22}$ , which is in agreement with a highly 57  
 27 ordered double gyroid (DG) morphology (Figures S21e).<sup>42</sup> 58  
 28 When the molecular weight of the SBS(*cI*) block is further 59  
 29 increased (high-MW  $S_{65}BS_{28}(cI)$ -LA series), the LAM region 60  
 30 expands toward an even higher  $F_{LA}$ . Similar to the case of 61  
 31  $S_{33}BS_{14}(cI)$ -LA<sub>33</sub>, the SAXS profile of the compositionally 62

symmetric  $S_{65}BS_{28}(cI)$ -LA<sub>74</sub> ( $F_{LA} = 0.50$ ) exhibits a scattering 32  
 pattern of the DG morphology (Figure 3a). Conversely, for the 33  
 compositionally asymmetric BCPs (*i.e.*,  $S_{65}BS_{28}(cI)$ -LA<sub>160</sub> ( $F_{LA} = 34$   
 0.68),  $S_{65}BS_{28}(cI)$ -LA<sub>223</sub> ( $F_{LA} = 0.75$ ), and  $S_{65}BS_{28}(cI)$ -LA<sub>459</sub> ( $F_{LA} = 35$   
 0.86)), self-assembly into well-ordered LAM morphologies is 36  
 supported by the appearance of more than four scattering 37  
 peaks in the SAXS profiles (Figures 3b–d). The SAXS profile of 38  
 $S_{47}BS_{47}(cI)$ -LA<sub>484</sub> ( $F_{LA} = 0.86$ ) also exhibits a pattern associated 39  
 with the LAM morphology ( $d = 45.9 \text{ nm}$ ; Figure 3e). 40  
 Importantly, the morphologies of the microtomed samples of 41  
 $S_{65}BS_{28}(cI)$ -LA<sub>74</sub> (DG) and  $S_{47}BS_{47}(cI)$ -LA<sub>484</sub> (LAM) were 42  
 visualized in the bulk using transmission electron microscopy 43  
 (TEM). For  $S_{65}BS_{28}(cI)$ -LA<sub>74</sub>, the typical pattern of the (211), 44  
 (111), and (110) planes of DG morphology is clearly observed 45  
 (Figures 3h, S22a, and S22b, respectively; the driving force of 46  
 DG formation is also discussed in the Supplementary 47  
 Information). The TEM image of  $S_{47}BS_{47}(cI)$ -LA<sub>484</sub> shows a line 48  
 pattern corresponding to the LAM morphology that consists of 49  
 dark SBS(*cI*) and bright LA phases, where the domain 50  
 widths are highly asymmetric (Figure 3i). Upon further 51  
 increasing the  $F_{LA}$  values to 0.9 or higher, the well-defined 52  
 morphology is no longer observed. Instead, the SAXS profiles 53  
 of  $S_{65}BS_{28}(cI)$ -LA<sub>684</sub> ( $F_{LA} = 0.90$ ) and  $S_{65}BS_{28}(cI)$ -LA<sub>923</sub> ( $F_{LA} = 54$   
 0.92) exhibit broad primary scattering peaks (denoted with 55  
 triangles) and weak higher-ordered peaks, indicative of the 56  
 ill-defined nanostructures without any characteristic periodic 57  
 structure (Figures 3f–g). 58

Based on all the above SAXS profiles except for 59  
 $S_{47}BS_{47}(cI)$ -LA<sub>484</sub>, a phase diagram of the nanoparticle–linear 60  
 hybrid BCP with respect to the  $F_{LA}$  and MW of the SBS(*cI*) 61  
 block ( $M_{n,SBS(cI)}$ ) was constructed (Figure 4). The previous data 62

1 for  $S_{45}BS_{20}(cl)$ -LA<sub>57</sub> ( $M_{n,SBS}(cl)} = 7,710$ ,  $M_{n,LA} = 8,250$ ,  $F_{LA} = 0.52$ ,  
 2  $f_{BS}$  of linear prepolymer = 0.31) were also included as its  
 3 molecular structure was expected to be almost identical to  
 4 the samples prepared in this study.<sup>38</sup> As expected, the LAM  
 5 window shifts toward the higher composition of the PLA  
 6 block compared to that in the typical phase diagram of  
 7 linear-linear BCPs, resulting in the A-LAM morphologies over  
 8 a wide range of block compositions.

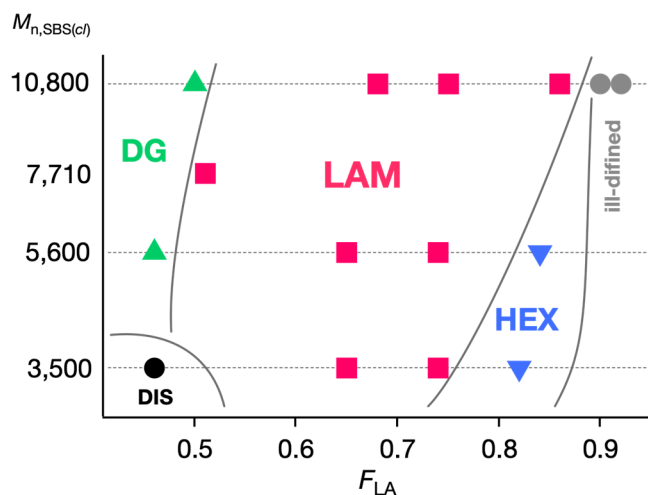


Figure 4. Experimentally constructed phase diagram for SBS(cI)-LAs. The points are plotted depending on  $F_{LA}$  and  $M_{n,SBS}(cl)}$ . LAM (red squares), DG (green triangles), HEX (blue inverted triangles), and ill-defined (gray circles) morphologies are indicated. Black circle represents the disordered state. Gray lines indicate the phase boundary and numbers in parentheses represent the  $R_a$  values.

10 As the determination of the width of each domain in A-LAM  
 11 is important for evaluating the degree of asymmetry, a

correlation function analysis of the obtained SAXS profile,  
 which has been widely employed for determining the layer  
 thickness in the crystalline-amorphous lamellar structures of  
 semi-crystalline polymers<sup>43,44</sup> and asymmetric lamellar  
 microphase-separated morphologies,<sup>23</sup> was performed  
 (details are included in the Supplementary Information;  
 Figure S23). For the low-MW  $S_{21}BS_8(cl)$ -LA<sub>45</sub> ( $M_{n,SBS}(cl)} = 3,500$ ),  
 the widths of the SBS(cI) and LA domains ( $l_{SBS}(cl)}$  and  $l_{LA}$ ,  
 respectively) are 5.3 nm and 8.8 nm, respectively, from which  
 the asymmetric ratio ( $R_a = l_{LA}/l_{SBS}(cl)}$ ) is calculated as 1.7. To  
 the best of our knowledge, the domain width of ~5 nm is the  
 smallest among the LAM morphologies reported to date for a  
 PS-PLA system. Arguably, a previous report indicates that the  
 compositionally symmetric linear-linear PS-PLA with a PS  
 block of ~3,500 g mol<sup>-1</sup> is not capable of forming an ordered  
 LAM structure (and produces the disordered state) due to an  
 insufficient overall MW.<sup>39</sup> It is therefore noteworthy that  
 obtaining such an exceptionally small LAM domain is clearly  
 due to the ability of the nanoparticle-linear hybrid SBS(cI)-LA  
 to form the A-LAM, wherein the long PLA chain contributes  
 toward increasing the MW of the BCP, and thus exceeds the  
 critical  $\chi N$  (referred to as the segregation strength). The same  
 analysis was then applied to the middle-MW series to obtain  
 domain widths and  $R_a$  values of the LAM morphologies, i.e.,  
 $R_a = 1.8$  for  $S_{33}BS_{14}(cl)$ -LA<sub>72</sub> ( $l_{SBS}(cl)} = 6.8$  nm,  $l_{LA} = 11.9$  nm) and  
 $R_a = 2.2$  for  $S_{33}BS_{14}(cl)$ -LA<sub>112</sub> ( $l_{SBS}(cl)} = 6.5$  nm,  $l_{LA} = 14.1$  nm),  
 confirming the formation of A-LAM morphologies. However,  
 the maximum  $F_{LA}$  value that allows the LAM formation is 0.74  
 for the low- and middle-MW series, which is comparable to  
 that for the miktoarm star BCP system.<sup>26</sup>

Table 1. Molecular and morphological characteristics of SBS(cI)-LAs.

Sample Name	Molecular Characteristics				Morphological Characteristics				
	$M_{n,SBS}(cl)^a$	$M_{n,LA}^a$	$F_{LA}^b$	$\mathcal{D}^c$	Morphology <sup>d</sup>	$d^f$ (nm)	$l_{SBS}(cl)^g$ (nm)	$l_{LA}^g$ (nm)	$R_a^j$
$S_{21}BS_8(cl)$ -LA <sub>20</sub>	3,500	2,930	0.46	1.05	disorder	–	–	–	–
$S_{21}BS_8(cl)$ -LA <sub>45</sub>	3,500	6,550	0.65	1.04	A-LAM	14.1	5.3	8.8	1.7
$S_{21}BS_8(cl)$ -LA <sub>70</sub>	3,500	10,100	0.74	1.05	A-LAM	16.7	– <sup>h</sup>	– <sup>h</sup>	–
$S_{21}BS_8(cl)$ -LA <sub>110</sub>	3,500	15,900	0.82	1.03	HEX	17.2	–	–	–
$S_{33}BS_{14}(cl)$ -LA <sub>33</sub>	5,600	4,750	0.46	1.06	DG	13.0	–	–	–
$S_{33}BS_{14}(cl)$ -LA <sub>72</sub>	5,600	10,400	0.65	1.07	A-LAM	18.7	6.8	11.9	1.8
$S_{33}BS_{14}(cl)$ -LA <sub>112</sub>	5,600	16,200	0.74	1.06	A-LAM	20.6	6.5	14.1	2.2
$S_{33}BS_{14}(cl)$ -LA <sub>201</sub>	5,600	28,900	0.84	1.06	HEX	22.5	–	–	–
$S_{65}BS_{28}(cl)$ -LA <sub>74</sub>	10,800	10,700	0.50	1.09	DG	19.0	–	–	–
$S_{65}BS_{28}(cl)$ -LA <sub>160</sub>	10,800	23,000	0.68	1.06	A-LAM	25.2	8.9	16.3	1.8
$S_{65}BS_{28}(cl)$ -LA <sub>223</sub>	10,800	32,100	0.75	1.06	A-LAM	31.7	8.7	23.0	2.6
$S_{65}BS_{28}(cl)$ -LA <sub>459</sub>	10,800	66,100	0.86	1.09	A-LAM	41.3	9.4	31.9	3.4
$S_{65}BS_{28}(cl)$ -LA <sub>684</sub>	10,800	98,600	0.90	1.14	ill-defined	–	–	–	–
$S_{65}BS_{28}(cl)$ -LA <sub>923</sub>	10,800	133,000	0.92	1.10	ill-defined	–	–	–	–
$S_{47}BS_{47}(cl)$ -LA <sub>484</sub>	11,800	69,800	0.86	1.07	A-LAM <sup>e</sup>	45.9	9.0	36.9	4.1

<sup>a</sup>MW of the SBS(cI) block ( $M_{n,SBS}(cl)}$ ) and LA block ( $M_{n,LA}$ ) determined using <sup>1</sup>H NMR spectroscopy (CDCl<sub>3</sub>, 400 MHz). <sup>b</sup>Weight fraction of LA block calculated from  $M_{n,LA}/(M_{n,SBS}(cl)}+M_{n,LA})$ . <sup>c</sup>Determined by SEC in THF using polystyrene standards. <sup>d</sup>Obtained after thermal annealing at 150 °C (±180 °C). <sup>f</sup>Determined by SAXS. <sup>g</sup>Determined from the correlation function analysis of SAXS profiles. <sup>h</sup>Not determined. <sup>j</sup>Asymmetric ratio of the LAM phases calculated from  $l_{LA}/l_{SBS}(cl)}$ .

For the high-MW  $S_{65}BS_{28}(cl)$ -LA series with  $M_{n,SBS}(cl)$  of 10,800, the formation of the A-LAM morphology is observed at an  $F_{LA}$  value of up to 0.86. Notably, the SAXS profile of the linear-linear type  $S_{65}BS_{28}$ -LA<sub>466</sub> with an asymmetric composition ( $F_{LA} = 0.86$ ) exhibits a scattering pattern consistent with the HEX morphology (Figure S24a). In addition,  $S_{65}BS_{28}$ -LA<sub>67</sub> with a symmetric composition ( $F_{LA} = 0.47$ ) also showed the HEX morphology (Figure S24b) whereas the crosslinked counterpart ( $S_{65}BS_{28}(cl)$ -LA<sub>67</sub>,  $F_{LA} = 0.50$ ) showed the DG one. These results clearly demonstrate that the unusual phase behavior of the nanoparticle-linear hybrid SBS(*cl*)-LAs is caused by the intramolecularly crosslinked SBS(*cl*) block. In contrast, the formation of the ill-defined nanostructures when  $F_{LA} > 0.90$  is understandable because this region is positioned near the order-disorder boundary in the phase diagram. Considering that  $S_{45}BS_{20}(cl)$ -LA<sub>57</sub> with  $F_{LA}$  of 0.52 exhibits the LAM morphology, the DG-LAM phase boundary of the high-MW  $S_{65}BS_{28}(cl)$ -LA series might exist at  $F_{LA} \approx 0.5$ , resulting in a large LAM region with  $0.5 < F_{LA} < 0.9$ . Such a wide region for possible LAM formation could not previously be achieved with the AB<sub>*n*</sub> miktoarm BCP system, even when the branching number (*n*) was increased to 5,<sup>26</sup> demonstrating the remarkable capability of the nanoparticle-linear hybrid BCPs described herein to generate the A-LAM morphology. This phenomenon is attributed to a decrease in the interfacial curvature of the domain arising from the bulkiness and rigidity of the SBS(*cl*) block. It is unfavorable for the intramolecularly crosslinked nanoparticles to be packed into a curved microdomain, such as a cylinder or sphere, due to a large packing frustration, resulting in LAM morphologies with a less curved domain interface. Based on the correlation function analysis, the  $R_a$  values for  $S_{65}BS_{28}(cl)$ -LA<sub>160</sub> ( $l_{SBS}(cl) = 8.9$  nm and  $l_{LA} = 16.3$  nm),  $S_{65}BS_{28}(cl)$ -LA<sub>223</sub> ( $l_{SBS}(cl) = 8.7$  nm and  $l_{LA} = 23.0$  nm), and  $S_{65}BS_{28}(cl)$ -LA<sub>459</sub> ( $l_{SBS}(cl) = 9.4$  nm and  $l_{LA} = 31.9$  nm) are 1.8, 2.6, and 3.4, respectively, which reveal the highly asymmetric line patterns. Notably, the suppression of the third-ordered scattering peak in the SAXS profile of  $S_{65}BS_{28}(cl)$ -LA<sub>160</sub> indicates that the width ratio between the two different phases is  $\sim 1:2$  (Figure 3b),<sup>45</sup> which is consistent with the result of the correlation function analysis ( $R_a = 1.8$ ). Interestingly, the  $l_{SBS}(cl)$  values for the A-LAM morphologies are constant among each series (*i.e.*,  $\sim 6.5$  nm and 9.0 nm for the middle-MW  $S_{33}BS_{14}(cl)$ -LA and high-MW  $S_{65}BS_{28}(cl)$ -LA series, respectively). This indicates that the  $S_{65}BS_{28}(cl)$  blocks are packed in a similar manner in the narrow microdomains, regardless of the MW of the counter LA block. The packing model for the A-LAM morphologies is discussed in detail below.

Overall, the nanoparticle-linear hybrid BCPs self-assemble into the microphase-separated structures with completely different phase behaviors from that of the typical linear diblock copolymers. Remarkably, the upper limit of  $F_{LA}$  for LAM formation increases with an increase in the MW of

the crosslinked nanoparticle block, eventually resulting in the unusual  $0.5 < F_{LA} < 0.9$  LAM region.

**Chain Packing Model in A-LAM and Effect of Crosslink Density** To clarify the driving force behind the formation of the unusual A-LAM morphologies, a chain packing model of the nanoparticle-linear hybrid BCPs based on the restrictions that did not affect the typical linear system was assumed. It is well-known that linear-linear BCPs with an asymmetric block composition are packed into a cone shape to minimize the interfacial energy and maximize the conformational entropy (Figure S25a). Importantly, the minority block chain must be stretched from its thermodynamically stable state to achieve a tapered cone-shaped packing because the chain volume must retain intact.<sup>46</sup> Upon the self-assembly of such cone-shaped molecules, a curved morphology (*e.g.*, HEX on BCC) can be formed. In contrast, it is difficult for the nanoparticle-linear hybrid BCPs with an asymmetric composition to be packed into a cone shape as the chain stretching of the nanoparticle block is restricted due to intramolecular crosslinking. As a result, the bulky nanoparticle block needs to be packed into a non-tapered space, resulting in the cylinder-shaped packing of the nanoparticle-linear hybrid BCP, which in turn self-assembles into a lamellar structure without any interfacial curvature (Figures 5a and S25b). As mentioned above, the widths of the SBS(*cl*) phases ( $l_{SBS}(cl)$ ) for the three A-LAM morphologies

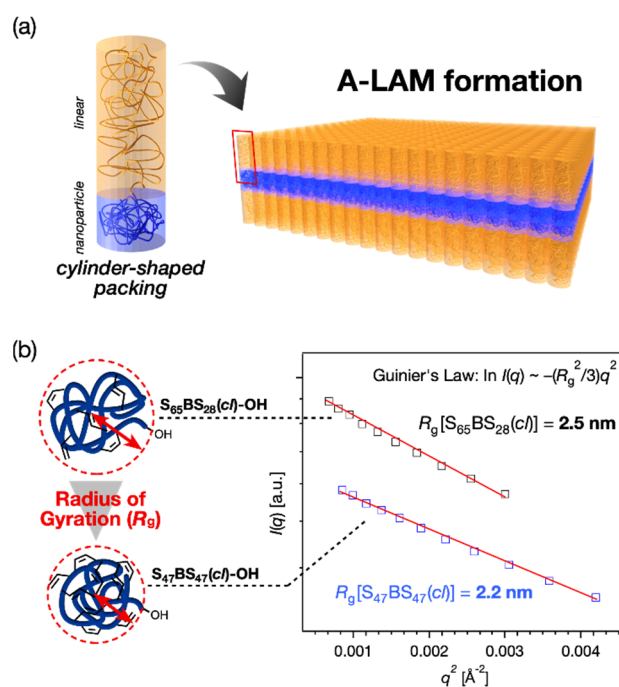


Figure 3. (a) Schematic of the cylinder-shaped packing of the nanoparticle-linear hybrid BCPs and their arrangement into the A-LAM morphology. (b) Guinier plots of the SANS data measured for  $S_{65}BS_{28}(cl)$ -OH (upper) and  $S_{47}BS_{47}(cl)$ -OH (lower). The  $R_g$  values are calculated based on the Guinier's law:  $\ln I(q) \sim -(R_g^2/3)q^2$ . The  $I(q)$  data are vertically shifted by multiplying arbitrary constants.



1 formed by  $S_{33}BS_{14}(cl)$ -LAs and  $S_{65}BS_{28}(cl)$ -LAs are comparable  
2 among each series irrespective of the PLA chain length, which  
3 is consistent with the conformation of the nanoparticle  
4 segment that is nearly unchanged from its  
5 thermodynamically stable state.

6 With the assumed chain packing model in hand, the next  
7 step was to elucidate the effect of the SBS(*cl*) block crosslink  
8 density on A-LAM formation. Correlation function analysis of  
9 the  $S_{47}BS_{47}(cl)$ -LA<sub>484</sub> ( $f_{BS} = 0.50$ ,  $F_{LA} = 0.86$ ) SAXS profile  
10 allowed the determination of the structural parameters of A-  
11 LAM ( $l_{BS}(cl)} = 9.0$  nm,  $l_{LA} = 36.9$  nm, and  $R_a = 4.1$ ), revealing a  
12 clear difference in the  $R_a$  value when compared to that of  
13  $S_{65}BS_{28}(cl)$ -LA<sub>459</sub> ( $f_{BS} = 0.30$ ,  $F_{LA} = 0.86$ ,  $R_a = 3.4$ ), which likely  
14 stemmed from the difference in crosslink density because all  
15 other molecular characteristics of  $S_{47}BS_{47}(cl)$ -LA<sub>484</sub> and  
16  $S_{65}BS_{28}(cl)$ -LA<sub>459</sub> were comparable. To further examine this  
17 issue, small-angle neutron scattering (SANS) measurements  
18 of  $S_{65}BS_{28}(cl)$ -OH and  $S_{47}BS_{47}(cl)$ -OH, as well as their linear  
19 precursors ( $S_{65}BS_{28}$ -OH and  $S_{47}BS_{47}$ -OH) in the bulk state  
20 (50% mixture in deuterated polystyrene with  $M_n$  of 8,800 g  
21 mol<sup>-1</sup>) were obtained to identify their radius of gyration ( $R_g$ )  
22 values (details are included in the Supporting Information).  
23 Figure 5b shows the Guinier plots of the two SBS(*cl*)-OH  
24 samples obtained from the corresponding SANS profiles  
25 (Figure S26a). The  $R_g$  values of  $S_{65}BS_{28}(cl)$ -OH ( $R_g[S_{65}BS_{28}(cl)]$ )  
26 and  $S_{47}BS_{47}(cl)$ -OH ( $R_g[S_{47}BS_{47}(cl)]$ ) are 2.5 and 2.2 nm,  
27 respectively, considering an approximation based on the  
28 Guinier law. The  $R_g$  values of the linear prepolymers are  
29 identical (2.9 nm, Figure S26b) because of the comparable  $N$   
30 values, thus confirming that a high crosslink density results in  
31 a further reduction in  $R_g$ , which is consistent with our  
32 previous studies.<sup>36,38</sup> In addition, the  $R_g$  values of SBS-OHs  
33 (2.9 nm) are very close to that of the polystyrene with a DP of  
34 93 in a theta solvent (2.7 nm, Supporting Information),  
35 supporting the reliability of the SANS analysis.<sup>47</sup> Using the  
36 above  $R_g$  values, the diameters ( $2R_g$ ) of the SBS(*cl*) blocks are  
37 5.0 nm and 4.4 nm, which coincide with the diameters of the  
38 cylinders packed with  $S_{65}BS_{28}(cl)$ -LA<sub>459</sub> and  $S_{47}BS_{47}(cl)$ -LA<sub>484</sub>,  
39 respectively. The difference in the cylinder packing diameters  
40 between the two BCPs likely results in a difference in the  
41 degree of stretching of the LA segments: the LA segment of  
42  $S_{47}BS_{47}(cl)$ -LA<sub>484</sub> should be packed into a cylinder with a  
43 smaller diameter, which forces the PLA chain to stretch more,

44 leading to an increased  $l_{LA}$  in comparison to that of  
45  $S_{65}BS_{28}(cl)$ -LA<sub>459</sub>. Therefore, the crosslink density (*i.e.*, chain  
46 dimensions) of the nanoparticle segment acts as the driving  
47 force to alter the degree of stretching of the counter linear  
48 segment. A clear difference in the  $R_a$  values between  
49  $S_{65}BS_{28}(cl)$ -LA<sub>459</sub> and  $S_{47}BS_{47}(cl)$ -LA<sub>484</sub> is thus observed  
50 because of this unique effect, wherein a maximum  $R_a$  of 4.1 is  
51 successfully obtained.

### Microphase Separation Behavior in the Thin Film State

54 As control over the microdomain orientation in the thin films  
55 is important for pattern transfer to the underlying substrate  
56 in BCP lithography, the fabrication of the perpendicularly  
57 oriented A-LAM using  $S_{65}BS_{28}(cl)$ -LA<sub>160</sub> and  $S_{65}BS_{28}(cl)$ -LA<sub>223</sub>  
58 was examined.<sup>48</sup> Grazing incidence SAXS (GISAXS) was  
59 performed to evaluate the morphology and structural  
60 orientation inside the thin films. A polystyrene-*random*-  
61 poly(methyl methacrylate) (PS-*r*-PMMA) underlayer was  
62 applied to the Si substrate (details are included in the  
63 Supporting Information), which was demonstrated to yield  
64 perpendicular LAM morphologies in PS-*b*-PLA thin films.<sup>40</sup> The  
65 thin films were prepared via spin-coating the BCPs (0.66 wt%  
66 in toluene, 2000 rpm for 1 min) onto the chemically modified  
67 Si substrate, resulting in ~20-nm-thick films, which were then  
68 thermally annealed at 180 °C for 30 min. The 2D GISAXS  
69 pattern of the  $S_{65}BS_{28}(cl)$ -LA<sub>160</sub> thin film exhibits intense  
70 Bragg rods (reflection stripes extending in the vertical  
71 direction) and second-order reflection spots along the in-  
72 plane direction, with relative scattering vector lengths from  
73 the specular reflection positions of 1 and 2, respectively,  
74 which strongly indicate the development of perpendicularly  
75 oriented LAM morphologies (Figure 6a-left). The analysis of  
76 the in-plane scattering profile extracted along the  $2\theta_i$   
77 direction at  $\alpha_f = 0.116^\circ$  affords a  $d$ -value of 24.7 nm, which is  
78 similar to the  $d$ -value in the bulk (25.2 nm; Figure S27a). The  
79 2D GISAXS pattern of the  $S_{65}BS_{28}(cl)$ -LA<sub>223</sub> thin film exhibits a  
80 similar diffraction pattern corresponding to the perpendicular  
81 A-LAM with a  $d$ -value of 29.9 nm ( $d$ -value in bulk = 31.7 nm;  
82 Figures 6b-left and S27b). Notably, considering that the  
83 above two patterns contain no diffraction spots in the out-of-  
84 plane directions, the LAM structures are perfectly  
85 perpendicular throughout the entire thin film depth.

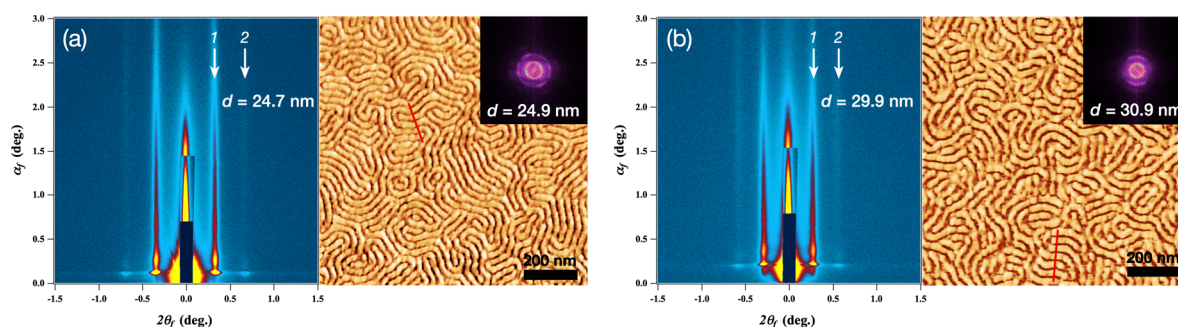


Figure 6. 2D GISAXS patterns (left) and AFM phase images (right) of (a)  $S_{65}BS_{28}(cl)$ -LA<sub>160</sub> and (b)  $S_{65}BS_{28}(cl)$ -LA<sub>223</sub> thin films on the PS-*r*-PMMA underlayer. The insets in the AFM images show the 2D FFT profiles.



Finally, the thin film surface morphologies were visualized using atomic force microscopy (AFM). The fingerprint patterns are clearly observed in the phase images of both the  $S_{65}BS_{28}(cl)$ -LA<sub>160</sub> and  $S_{65}BS_{28}(cl)$ -LA<sub>223</sub> thin films over a large area, revealing highly organized perpendicular LAM morphologies (Figures 6a-right, 6b-right, and S28). The  $d$ -values extracted from the 2D fast Fourier transform (FFT) profiles are 24.9 nm and 30.9 nm for  $S_{65}BS_{28}(cl)$ -LA<sub>160</sub> and  $S_{65}BS_{28}(cl)$ -LA<sub>223</sub>, respectively, which are in good agreement with those estimated from 2D GISAXS analysis. In particular, the line widths of the bright LA microdomains are larger than those of the dark SBS( $cl$ ) microdomains, which further supports the formation of A-LAM morphology in the thin film state. The highly-asymmetric patterns, especially for  $S_{65}BS_{28}(cl)$ -LA<sub>223</sub>, can also be confirmed from the cross-sectional profiles extracted along with the red lines in the AFM phase images (Figure S29).

Overall, perpendicularly oriented A-LAMs are successfully obtained by combining a random copolymer underlayer with a simple thermal annealing process. This strongly indicates that the nanoparticle-linear hybrid BCPs are promising for application as a next-generation BCP lithography technique. Notably, selective one-domain removal, an essential step in the lithographic process, can be potentially achieved by either the wet- or dry-etching of the PLA domain using NaOH solution<sup>49-51</sup> or O<sub>2</sub> plasma,<sup>52</sup> respectively.

## Conclusions

In summary, compositionally asymmetric nanoparticle-linear hybrid SBS( $cl$ )-LAs with a long PLA block ( $F_{LA} \sim 0.92$ ) are designed and their remarkable capability to form A-LAM morphology is demonstrated. According to the experimentally constructed phase diagram, the LAM window of the SBS( $cl$ )-LAs includes a wide range of block compositions in the high  $F_{LA}$  regime (with maxima of  $0.5 < F_{LA} < 0.9$ ), which arguably arises from the bulky and rigid conformation of the SBS( $cl$ ) block. A high crosslink density of the SBS( $cl$ ) block results in more stretching of the linear LA block in the A-LAM morphology, and a maximum  $R_a$  of 4.1 is successfully obtained. Notably, the widths of the minor SBS( $cl$ ) domains, corresponding to the line part of the L/S structure on the lithographic process, are <10 nm for all the studied MWs. Moreover, A-LAM morphologies with constant and varied widths of thin and thick domains, respectively, are successfully obtained, which is important for lithographic applications such as L/S design for a logic device that requires the space width to be controlled while maintaining the line width. Most importantly, the intensive thin film studies utilizing GISAXS and AFM demonstrate the capability of the SBS( $cl$ )-LAs to produce a perpendicularly oriented A-LAM morphology on a silicon substrate with a random copolymer underlayer. Considering that the ruthenium-catalyzed olefin metathesis reaction shows an excellent functional group tolerance and its versatility has

been previously demonstrated,<sup>36</sup> this strategy can be expanded to various BCP systems. The nanoparticle-linear hybrid BCP, which can be extended to the other BCP systems, can expand the accessible self-assembled morphologies, thus contributing to the technological advancement and innovation of BCP lithography as well as related nanomaterial sciences.

## Conflicts of interest

There are no conflicts to declare.

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