

# Reflections on monitoring and evaluating climate adaptation

Andreea Nowak & Todd Rosenstock  
CIFOR-ICRAF

Learning session on climate change adaptation metrics for smallholder agriculture  
The Nature Conservancy | Bill & Melinda Gates Foundation  
June 8, 2021



RESEARCH PROGRAM ON  
Climate Change,  
Agriculture and  
Food Security





# Climate adaptation (metrics) is a very cluttered space

## **MANY TOOLS**

Over 600+ climate change adaptation metrics used by stakeholders in the agriculture sector

## **MANY PURPOSES**

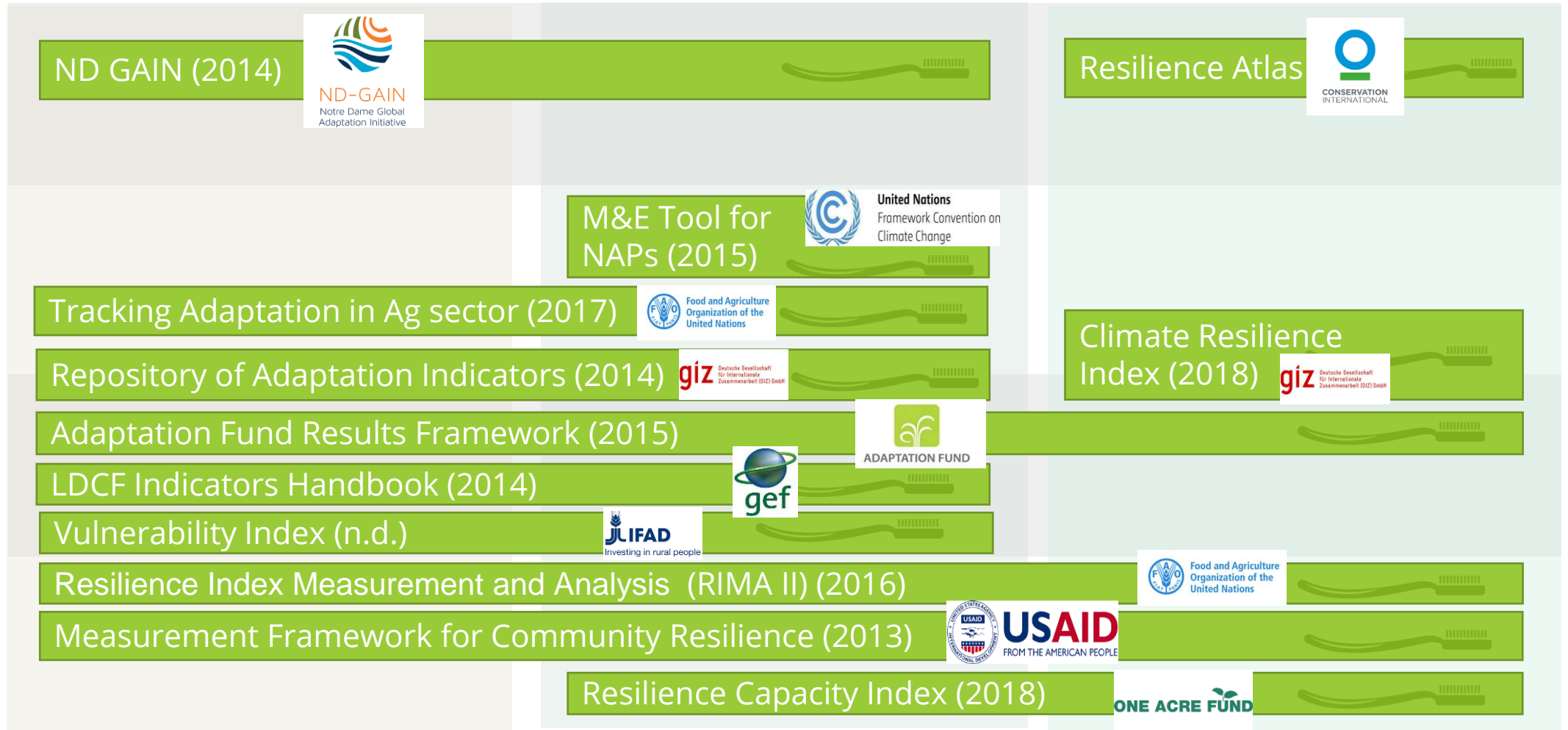
Indicators describe various adaptation objectives:  
reduce vulnerability | increase adaptive capacity | build resilience

## **MANY FUNCTIONS**

Track progress | Assess effectiveness, adequacy |  
Learn & adapt | Report (national, international) | ...



# 1 A review of 20+ adaptation frameworks reveals diverse approaches and asymmetries to adaptation metrics



Vulnerability

Adaptive capacity

Resilience

# 2 Assessment of 37 M&E systems and capacities in 5 SSA countries highlights opportunities for aligning adaptation data

Climate-smart agriculture measurement, reporting and verification in the United Republic of Tanzania



Extent of adaptation information needs covered by existing M&E systems. Insights from Tanzania

Information need (indicator)	International reporting			National M&E systems			Project M&E systems		
	CAADP RF	AU Scorecard	UN SDGs	ADSP II	ASDS II	CSA Guide	USAID FtF	IFAD RIMS	FAO RIMA II
Households resilient to climate and weather shocks, %	Dark blue	Dark blue	Grey	Grey	Grey	Grey	Grey	Grey	Light blue
Prevalence of undernourishment	Dark blue	Dark blue	Dark blue	Grey	Grey	Grey	Dark blue	Grey	Grey
Agriculture land under sustainable land management, %	Dark blue	Dark blue	Grey	Light blue	Light blue	Grey	Dark blue	Dark blue	Grey
Farmers having access to agricultural advisory services, %	Grey	Dark blue	Grey	Light blue	Dark blue	Light blue	Grey	Dark blue	Grey

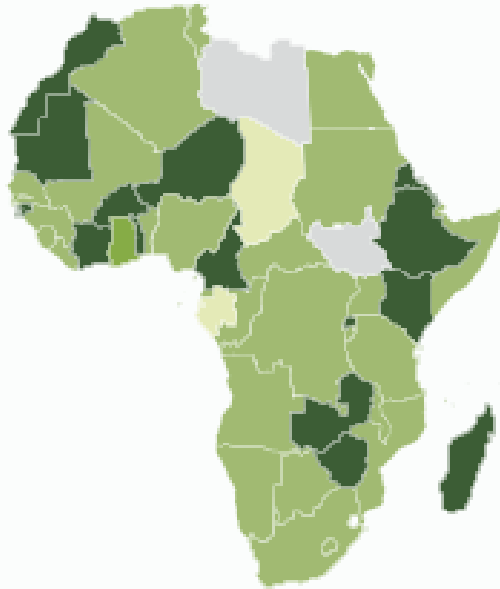
**Dark blue, perfect information:** instances where indicators included in M&E system matches with what needs to be reported

**Light blue, imperfect information:** instances where indicators match partially with reporting needs (distant proxy, different metrics, etc.)

**Grey, information gaps:** information not covered by the national/project M&E system

# 3 500+ adaptation metrics are included in NDCs and NAPs of African countries and they mainly focus on tracking outputs

Adaptation is on the agenda of most African countries; however, less than half of all NDCs and NAPs include adaptation metrics



Grey = no NDC/NAP (3 countries);  
Light green = no adaptation action/ goal (2)  
Green = yes adaptation action/ goal, no adaptation metric (29)  
Dark green = yes adaptation actions/ goals and adaptation metrics (20)

20 countries included adaptation metrics in NDC/NAP

7 set adaptation targets

4 set institutional responsibilities for data mgmt.

2 define data sources for indicators

Most adaptation metrics track results; less than a quarter are fit for measuring effects of results

■ Output ■ Outcome ■ Process

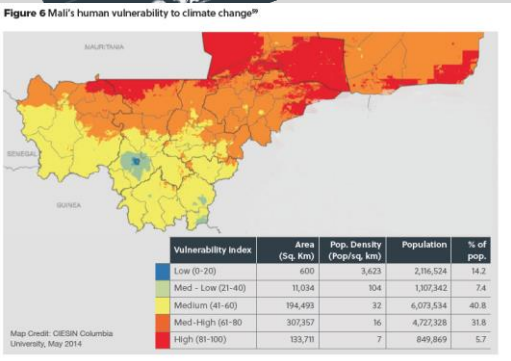
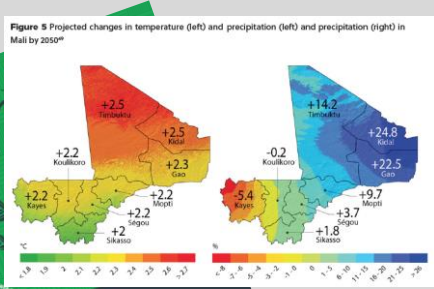
61%

24%

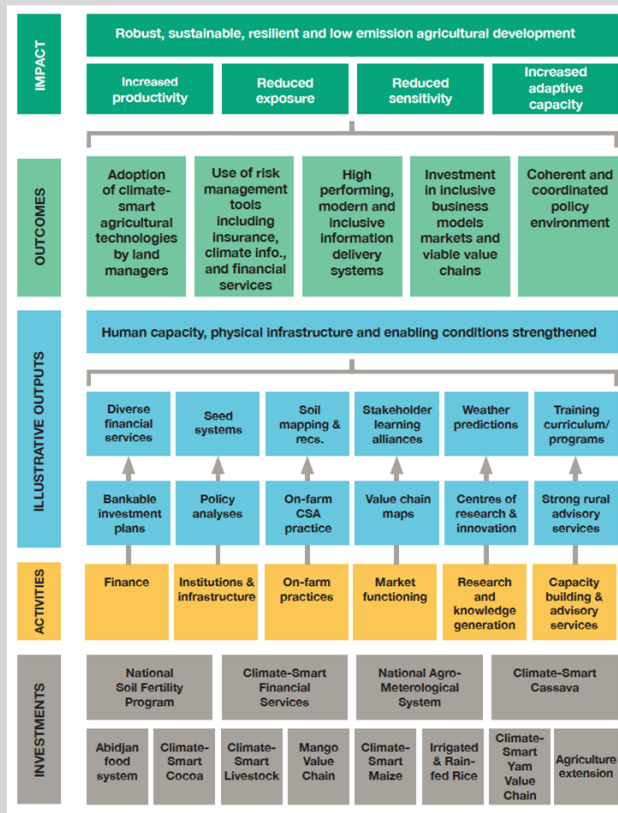
15%

# 4 Adaptation metrics alone are meaningless for long-term planning; Impact pathways address uncertain, dynamic contexts.

## Understand the context, set the vision & goals



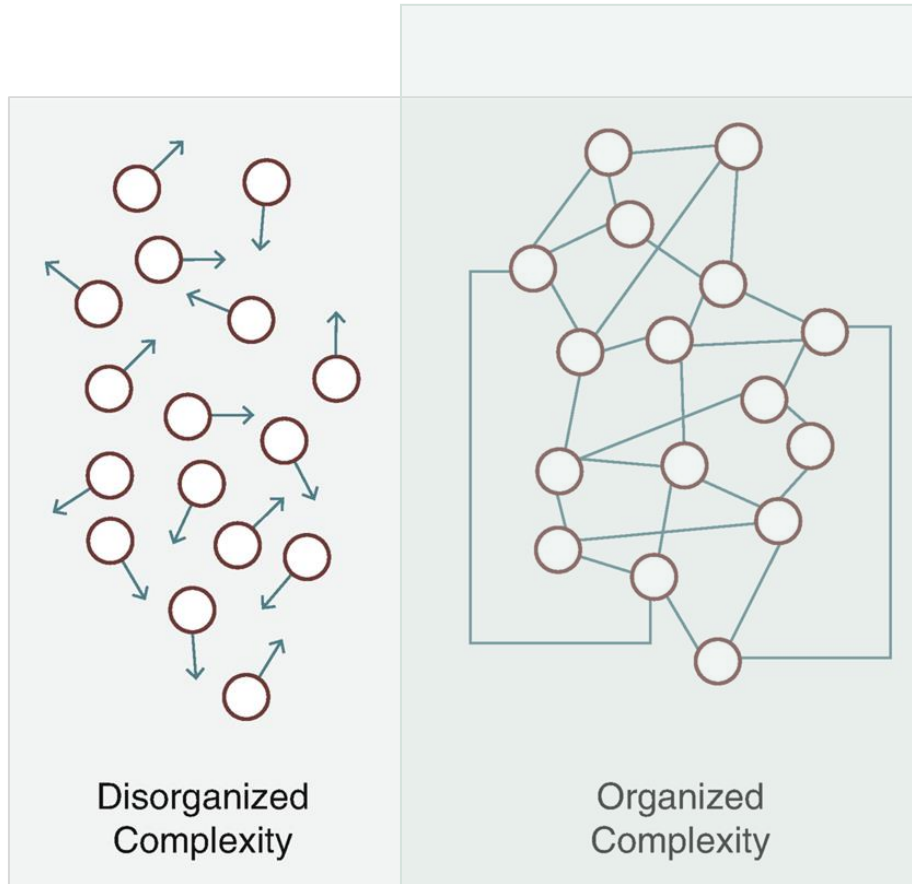
## Describe how the solutions lead to change, under which conditions



## Describe how you measure and evaluate change

RESULTS FRAMEWORK COMPONENT	INDICATOR	MEASURE	CSA OBJECTIVE	RELEVANT INVESTMENT
<b>Outcome indicators (examples by action area)</b>				
<b>Adoption of climate-smart agricultural (CSA) technologies</b>	01.1 Increased rate of producers/land managers adopting CSA technologies	% of total producers/land managers	Triple-win	Crop and livestock CSA investments
	01.2 Increased area under CSA practices and technologies	% of total agricultural land	Triple-win	Crop and livestock CSA investments
	01.3 Increased rate of producers using integrated soil fertility management (ISFM) strategies	% of total producers	Triple-win	National soil fertility program, Crop CSA investments
	01.4 Increased territory covered by	% of total land in the country	Mitigation	Crop and livestock CSA investments
<b>Use of risk management tools (e.g., insurance, climate, financial service)</b>	02.1 Targeted beneficiaries' satisfaction with risk management tools available (disaggregated by gender and tool type; referring to timeliness, usefulness and relevance of tool)	Likert scale (very unsatisfied, neutral, satisfied, very satisfied)	Resilience, Productivity	National CSA investments (soil, finance, agrometeorological, extension);
	03.1 Targeted beneficiaries' satisfaction with information services provided (disaggregated by gender and service type; referring to timeliness, usefulness, relevance and frequency of services)	Likert scale (very unsatisfied, unsatisfied, neutral, satisfied, very satisfied)	Resilience, Productivity	National CSA investments (soil, finance, agrometeorological, extension);
<b>High performing, modern and inclusive information delivery systems</b>	03.2 Improved capacity of advisory officers to deliver relevant, timely information to farmers (by information type)	qualitative scale	Resilience, Productivity	
	03.3 Improved capacity of farmers to use information (climate, soil, etc.) in farm decision-making (by information type)	qualitative scale	Resilience, Productivity	
<b>Investment in inclusive business models, markets and viable value chains</b>	04.1 Increased number and amount of investments in inclusive business models, markets and value chains (by type of investment)	#, amount (CFA)	Triple-win	CSA finance services and products, CSA crop and livestock investments
	05.1 Establishment of institutional arrangements bringing together climate information providers, agricultural research and extension, national policymakers, and farmer representatives	# of institutional arrangements	Triple-win	National agrometeo. system for CSA
<b>Coherent and coordinated policy environment</b>	05.2 Increased number and type of policies and plans incorporating climate information and predictions	# of policies, type of policies and plans	Triple-win	National agrometeo. system for CSA
	<b>Outputs/results indicators (examples)</b>			
<b>Diverse financial services</b>	r1.1 Number of national CSA financial services systems (FSS) to provide savings, credit and insurance products for agricultural producers seeking to adopt CSA practices and manage climate-related risks	# of CSA FSS in place	Resilience, Productivity	CSA finance services and products
	r1.2 Number and type of financial services available to producers (credit and financing, insurance and risk instruments, savings and payment services)	#, type of financial service	Resilience, Productivity	CSA finance services and products
	r1.3 Number of beneficiaries of available financial services (by service type)	#	Resilience, Productivity	CSA Finance services and products

# An emergent (demand-driven) approach to adaptation metrics



Metrics



Theories of change



Users, uses, capacities



# 3 recommendations for moving forward together



a reference system to guide our walk through the adaptation M&E space

coordination body taking the lead in organizing the space

investment in building capacity (financial, human, technical)



# Thank you

Andreea Nowak, [a.nowak@cgiar.org](mailto:a.nowak@cgiar.org)

Todd Rosenstock, [t.rosenstock@cgiar.org](mailto:t.rosenstock@cgiar.org)

[cifor.org](http://cifor.org) | [worldagroforestry.org](http://worldagroforestry.org)

[foreststreesagroforestry.org](http://foreststreesagroforestry.org) | [globallandscapesforum.org](http://globallandscapesforum.org) | [resilientlandscapes.org](http://resilientlandscapes.org)

The Center for International Forestry Research (CIFOR) and World Agroforestry (ICRAF) envision a more equitable world where forestry and landscapes enhance the environment and well-being for all. CIFOR-ICRAF are CGIAR Research Centers.

