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**“WATER IMMERSED INVERTED PENDULUM”: A PHYSIOMECHANICAL MODEL
OF SHALLOW WATER WALKING AT DIFFERENT DEPTHS AND SPEEDS**

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Porto Alegre

2020

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“Water immersed inverted pendulum”: a biomechanical model of shallow water walking at different depths and speeds

Dissertação apresentada ao Programa de Pós-Graduação Ciências do Movimento Humano da Escola de Educação Física, Fisioterapia e Dança da Universidade Federal do Rio Grande do Sul como requisito parcial para obtenção do título de Mestre em Ciências do Movimento Humano.

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RESUMO

Durante a caminhada, o corpo humano realiza trocas de energia mecânica como um pêndulo invertido. A cada ciclo de passada é observada essa transformação entre energia cinética horizontal e energia potencial gravitacional do centro de massa. Esse mecanismo de pêndulo invertido atua como uma estratégia de minimização de gasto energético durante a caminhada. Considerando que esse mecanismo é dependente de fatores internos e externos à tarefa de caminhada, e que foi um produto dos agentes de pressão evolutiva em nossa espécie, surge o questionamento sobre o comportamento desse mecanismo de minimização de gasto energético do pêndulo invertido durante condições dinâmicas modernas como a caminhada em água rasa: uma atividade física muito popular e disseminada para um amplo espectro de populações. O objetivo principal desta dissertação foi desenvolver um modelo fisiomecânico do comportamento do pêndulo invertido durante a caminhada em água rasa por homens adultos saudáveis. Nossa hipótese foi que o mecanismo de pêndulo invertido durante a caminhada em água rasa seria afetado pelas forças de empuxo e de arrasto, e que existiria uma profundidade ótima para o custo de transporte mínimo de caminhada devido à interação entre essas duas forças. A dissertação é dividida em quatro seções principais. **1)** Após uma apresentação geral (capítulo 1), nós introduzimos a justificativa para o objetivo principal desta dissertação (capítulo 2) e fornecemos uma base teórica para nosso modelo fisiomecânico do “pêndulo invertido molhado” da caminhada em água rasa (capítulos 3 e 4). **2)** Reportamos uma revisão sistemática (capítulo 5 – estudo 1) de estudos observacionais de variáveis fisiológicas e biomecânicas de caminhada em água rasa em comparação com a caminhada em solo seco. **3)** Com o objetivo de desenvolver um modelo fisiomecânico da caminhada em água rasa, realizamos um estudo experimental (capítulo 6– estudo 2) em que parâmetros fisiológicos, cinéticos e espaço-temporais foram analisados em quatro profundidades (joelho, quadril, umbigo e xifóide) e em cinco velocidades (0,2, 0,4, 0,6, 0,8 m/s e velocidade confortável autosselecionada) durante a caminhada em água rasa por nove homens adultos saudáveis (28 ± 8 anos, $77,7 \pm 9,2$ kg, $1,78 \pm 0,04$ m). **4)** Finalmente, as conclusões gerais da dissertação são apresentadas no capítulo 7. O “pêndulo invertido imerso na água” é um modelo fisiomecânico de caminhada em água rasa representado por um diagrama de corpo livre considerando as forças de empuxo e de arrasto atuantes sobre um pêndulo invertido imerso. O resultado

principal dessa dissertação é um valor mínimo de custo de transporte na profundidade do quadril apenas na menor velocidade de caminhada analisada (0,2 m/s), em decorrência, provavelmente, de uma relação ótima entre as forças de empuxo e de arrasto nessa condição. Nas velocidades restantes, a profundidade mais econômica de caminhada foi na profundidade do joelho. O gasto energético durante a caminhada em água rasa parece ser influenciado tanto pela profundidade e velocidade de caminhada, o que poderia ser atribuído às forças de empuxo e de arrasto. Futuros estudos testando esse modelo fisiomecânico em outras profundidades, velocidades de caminhada, populações e com um modelo de estimativa da força de arrasto aperfeiçoado são sugeridos.

Palavras-chave: locomoção; caminhada em água rasa; fisiomecânica; imersão em água, otimização.

ABSTRACT

During walking, the human body operates a mechanical energy exchange as an inverted pendulum. At each stride, there is an exchange between the forward kinetic energy and the gravitational potential energy of the center of mass. This inverted pendulum mechanism actuates as an energy saving strategy of walking. Considering that this mechanism is dependent on both intrinsic and extrinsic factors related to walking task and that these factors are product of the evolutionary pressures to our specie, arises the question of the response of the inverted pendulum energy saving during current dynamic conditions as shallow water walking (SWW): a prevalent and disseminate physical exercise to a wide range of populations. The present dissertation's main goal was to propose a biomechanical model of inverted pendulum response during SWW by healthy adult men. We hypothesized that the inverted pendulum mechanism during SWW would be affected by the buoyancy and drag forces and that would exist an optimal depth for the minimal cost of walking due to the interplay between these forces. The dissertation was divided into four main sections. **1)** After a general presentation (chapter 1), we introduced the dissertation's primary aim justification (chapter 2) and provided a theoretical basis for our "water immersed inverted pendulum" biomechanical model of SWW (chapters 3 and 4). **2)** We reported a systematic review (chapter 5 - study 1) of observational studies focusing on physiological and biomechanical responses of SWW in comparison to dry land walking. **3)** Aiming to develop a biomechanical model of SWW, we performed an experimental study (chapter 6 - study 2) where physiologic, kinetic, and spatiotemporal parameters were measured at four depths (knee, hip, umbilical, and xiphoid) and five speeds (0.2, 0.4, 0.6, 0.8 m/s, and at comfortable self-selected speed) during SWW by nine healthy adult men (28 ± 8 years, 77.7 ± 9.2 kg, 1.78 ± 0.04 m). **4)** Finally, we present the dissertation general conclusions in chapter 7. The "water immersed inverted pendulum" is an SWW biomechanical model represented by a free body diagram that considers both buoyancy and drag forces acting on an immersed inverted pendulum. The main finding was a minimum cost of transport at the hip depth during the slowest walking speed analyzed (0.2 m/s), probably due to the optimal interplay between buoyancy and drag forces at this condition. For the remaining speeds, the most economical depth was at knee. The energy expenditure during SWW seems to be influenced by both depth and walking speed, which could be

attributed to buoyancy and drag forces. Future studies testing this biomechanical model in other depths, speeds, populations, and an improved drag force estimation model are suggested.

Keywords: locomotion; shallow water walking; biomechanics; water immersion; optimization.

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LIST OF ABBREVIATIONS

- 17
- 18
- 19 **A:** area of body surface
- 20 **Ap:** projected frontal area
- 21 **B:** buoyancy force
- 22 **C:** cost of transport
- 23 **Cd:** drag coefficient
- 24 **D:** body diameter.
- 25 **DLW:** dry land walking
- 26 **DrF:** drag force
- 27 **E_{kf}:** kinetic forward energy
- 28 **F:** force
- 29 **F_h and F_{h'}:** total forces on the lateral body surfaces
- 30 **Fr:** Froude number
- 31 **F1:** total force in superior body surface
- 32 **F2:** total force on the inferior body surface
- 33 **g:** gravitational acceleration
- 34 **Gf:** gravitational force
- 35 **GRF:** ground reaction forces
- 36 **h:** height
- 37 **L:** body length characteristic
- 38 **m:** rigid body with mass
- 39 ***m_w*:** mass of water displaced
- 40 **P:** pressure
- 41 **Pg:** potential gravitational energy
- 42 **Re:** Reynolds number
- 43 **SSWS:** comfortable self-selected speed of walking
- 44 **SWW:** shallow water walking
- 45 **v:** velocity
- 46 **VO₂:** energy expenditure
- 47 **V-GRF:** vertical ground reaction force
- 48 **y:** vertical axis definition
- 49 **η:** fluid viscosity

50 ρ : specific mass

51 ρ_f : fluid specific mass

52 ρ_w : water specific mass

53

54

55

SUMMARY

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82 1. General presentation

83

84 This work is part from a research line from the Locomotion research group under
85 coordination from professor Dr. Leonardo Alexandre Peyré-Tartaruga. The main goal
86 from the group is to study energy saving mechanism during human locomotion in
87 different gaits, task conditions, environments, populations. Yet, this study was
88 developed with the co-supervision from Dra. Flávia Gomes Martinez. Her profound
89 knowledge and experience with aquatic physiotherapy were paramount to the study
90 construction in all phases. Besides, the choice to study human walking in shallow water
91 goes along my personal experience with aquatic physiotherapy.

92 This study has also received important contributions from professor Dr. Alberto
93 Enrico Minetti, helping us to establish the theoretical foundations for the
94 biomechanical model developed. His analyzes from the data were likewise
95 essential in order to expand our thoughts on the graphic construction and results
96 discussion.

97 This document has four main sections. 1) We introduced the dissertation's
98 primary aim justification (chapter 2) and provided a theoretical basis for our "water
99 immersed inverted pendulum" biomechanical model of shallow water walking
100 (SWW) (chapter 3). 2) We reported a systematic review (chapter 5 - study 1) of
101 observational studies focusing on physiological and biomechanical responses of SWW
102 in comparison to dry land walking. 3) Aiming to develop a biomechanical model of
103 SWW, we performed an experimental study (chapter 6 - study 2). 4) Finally, we present
104 the dissertation general conclusions in chapter 7.

105

106 2. Introduction

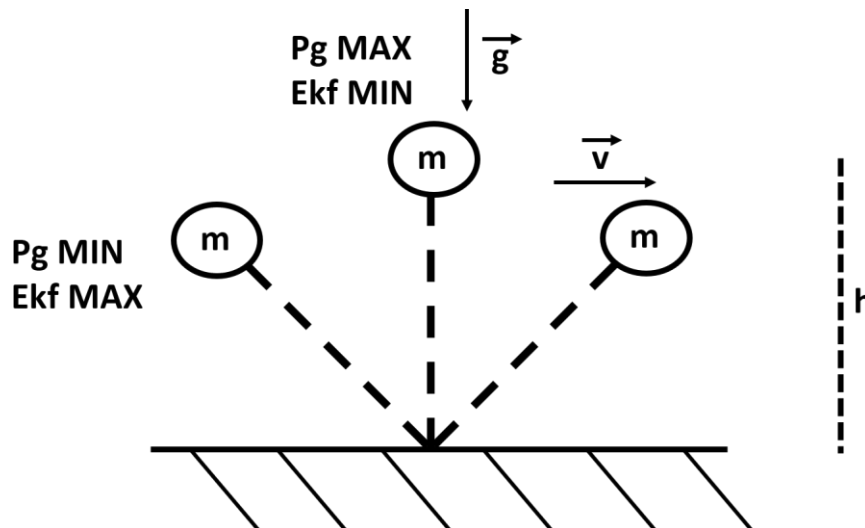
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108 During walking gait, the human body operates mechanical energy exchange as
109 an inverted pendulum. The body center of mass lays in the upper part of the pendulum,
110 around the hip, and the pendulum pivot is on the floor on the foot. At each stride, it is
111 observed an exchange between the kinetic forward energy and the gravitational
112 potential energy of the center of mass, as these energies fluctuate in phase opposition
113 (CAVAGNA, 2017).

114 The body center of mass kinetic forward energy is due to the forward velocity
 115 from the body displacement; in other words, this kinetic energy is associated to the
 116 walking speed. Conversely, the body center of mass potential gravitational energy is
 117 due to body weight (gravity acceleration multiplied by the body mass) and the body
 118 center of mass vertical position (CAVAGNA, 2017).

119 In human walking occurs a mechanical energy transference between these
 120 energies; one kinetic energy related to the actual movement state, and one potential
 121 energy related to the system state characteristics (HALLIDAY; RESNICK; WALKER,
 122 2016). Similar as occurs in a pendular movement - when the kinetic energy is at
 123 maximum, the gravitational potential is at minimum - the human body center of mass
 124 mechanical response acts as inverted pendulum (Figure 1).

125



126

127 **Figure 1** - Inverted pendulum during dry land walking. Ekf: kinetic
 128 forward energy; g: gravitational acceleration; h: height; m: rigid
 129 body with mass; Pg: potential gravitational energy; v: velocity.

130

131 This mechanical energy exchange contributes to reduce energy expenditure
 132 during walking; therefore, this inverted pendulum operates as an energy saving
 133 mechanism of walking. In order to sustain the dynamic task of walking, the locomotor
 134 system has been evolutionary adapted to interchange the mechanical energies
 135 associated with locomotion in humans, contributing to reduce the energy expenditure
 136 (metabolic energy) necessary to walk (CROMPTON; VEREECKE; THORPE, 2008).

137 Considering that the inverted pendulum is a mechanical integrative mechanism
138 that helps the organism to save metabolic energy, it can be analyzed by a
139 physiomechanical perspective. Therefore, different mechanical (as external and
140 internal mechanical work, etc.) and physiological (as cost of transport and metabolic
141 power) outcomes can be associated to the inverted pendulum due to the integrative
142 characteristic of this physiomechanical model (CAVAGNA, 2017; PEYRÉ-
143 TARTARUGA; COERTJENS, 2018; SAIBENE; MINETTI, 2003).

144 Nevertheless, the inverted pendulum mechanism operates optimally in
145 particular dynamic and environmental conditions, being affected by the speed of
146 walking, stride frequency, slope of the terrain, among other factors (CAVAGNA;
147 FRANZETTI, 1986; DI PRAMPERO, 1986; PEYRÉ-TARTARUGA; COERTJENS,
148 2018). This energy saving mechanism, therefore, is dependent of both intrinsic and
149 extrinsic conditions of the locomotor system. And these optimal dynamical points which
150 the inverted pendulum actuates seems to be evolutionary molded by the natural
151 selection of our species, as the animal body design evolves in direction of the best
152 possible structures and behaviors (ALEXANDER, 1989, 1996).

153 The inverted pendulum mechanism is an energy saving strategy of the
154 locomotor system of our species – i.e., *Homo Sapiens Sapiens*. Considering that the
155 locomotor system evolved along with all the others organic systems as
156 musculoskeletal, respiratory, postural, neural, cardiovascular (and somehow is an
157 integrative system of all of them), it can be observed that this inverted pendulum
158 mechanism has been under the same biological and evolutionary constraints that our
159 species.

160 The natural selection that designed *Homo Sapiens Sapiens* along the biological
161 evolution was the same natural selection that designed inverted pendulum. However,
162 to analyze one thing separately from another is a difficult intellectual exercise,
163 considering that this same locomotor energy saving mechanism have been important
164 for our species' evolution (CROMPTON; VEREECKE; THORPE, 2008).

165 Considering that this inverted pendulum mechanism is dependent from both
166 intrinsic and extrinsic factors related to the walking task, that it has been under the
167 same evolutionary pressure than our species, and analyzing the distinct body activities
168 that we do nowadays in comparison to our early ancestors (LIEBERMAN, 2012), some
169 questions can be raised. We can ask ourself about the response of this ancestral

170 physiomechanical mechanism during the dynamic conditions that we are submitting it
171 now.

172 One of these modern conditions of physical activity is the aquatic exercise: an
173 exercise option to several healthy conditions and populations with growing utilization
174 in the past years (SO et al., 2018). The physical proprieties from water fluid and its
175 effects on musculoskeletal and physiological systems contribute to this wide
176 application of aquatic exercise. The effects of buoyancy force on weight bearing
177 reduction and drag force on movement resistance are the main water immersion kinetic
178 characteristics that influence the aquatic exercise practice.

179 Specifically, the shallow water walking (SWW) is a type of aquatic exercise very
180 popular and disseminate to a wide range of populations (LEE; KIM, 2017; STEVENS
181 et al., 2015). The SWW is realized under the effect from buoyancy and drag forces: a
182 distinct environmental physical condition where the human locomotor system have
183 been developed.

184 In summary, the inverted pendulum mechanism is walking metabolic energy
185 saving mechanism that has been natural selected at the same biological and physical
186 conditions that our species *H. Sapiens Sapiens*, nevertheless today we experience a
187 very distinct life that our early ancestors. Therefore, may the SWW, a popular aquatic
188 physical activity, alters the inverted pendulum mechanism? This is the main question
189 of the present work (DOI: 10.17605/OSF.IO/JFYXN).

190

191 **2.1 Aims and hypothesis**

192

193 **General aim**

194 To examine the shallow water walking effects on inverted pendulum mechanism
195 through a physiomechanical model from the inverted pendulum response during
196 shallow water walking at different depths (knee, hip, umbilical, and xiphoid) and speeds
197 (0.2, 0.4, 0.6, 0.8 m/s) by healthy adult men.

198

199 **Specific aims**

200 To perform a systematic review of the literature about physiological,
201 spatiotemporal, kinetic, and muscular activity parameters during shallow water
202 walking;

203 To analyze the energy expenditure, kinetic and spatiotemporal parameters of
204 shallow water walking at different depths of immersion and speeds of walking by
205 healthy adult men;

206 To develop a biomechanical model called “water immersed inverted
207 pendulum” during shallow water walking taking in account the interplay between the
208 buoyancy and drag forces effects.

209

210 **Hypothesis**

211 Our hypothesis was that the literature systematic review would demonstrate
212 differences of physiological, spatiotemporal, kinetic, and muscular activity parameters
213 between shallow water walking and dry land walking.

214 We also hypothesized that the different depths of immersion and speeds of
215 walking would affect the energy expenditure, kinetic and spatiotemporal parameters of
216 shallow water walking.

217 In this sense, our hypothesis was that the inverted pendulum mechanism during
218 shallow water walking would be affected by the buoyancy and drag forces, and that
219 would exist an optimal point of walking cost of transport due to the interplay between
220 these forces.

221

222 **3. Walking in water: The “water immersed inverted pendulum”**

223

224 The shallow water walking (SWW) is under the effects from the physical
225 characteristics of the water fluid environment. During dry land walking, the human body
226 is also immersed in a fluid: the air. Nevertheless, when comparing air with water, there
227 are physical differences related to the interaction between the human body and the
228 surrounding fluid.

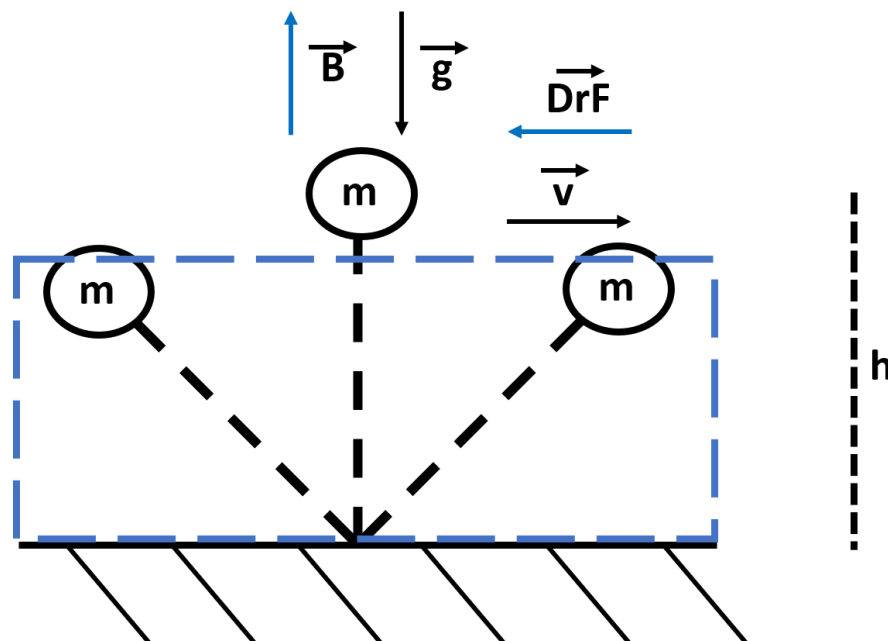
229 These differences between fluid-environment are due, mainly, the different
230 specific mass between air and water. The water has a specific mass of about 826 times
231 greater than air (HALLIDAY; RESNICK; WALKER, 2016). This greater specific mass
232 can lead to affect different aspects of SWW biomechanics.

233 During SWW, the body moves through the water and is under the effect of
234 principally two forces with higher magnitude in water fluid, than in air fluid. These forces

235 are the buoyancy (B) and drag force (DrF). The former is a hydrostatic force, while the
 236 latter is a hydrodynamic force (NUSSENZVEIG, 2002).

237 The mechanical hydrodynamic and hydrostatic characteristics of aquatic
 238 environment will exert influence on the human body while walking at shallow water,
 239 and, probably, affects the inverted pendulum mechanism (Figure 2).

240



241

242 **Figure 2** - The inverted pendulum during shallow water walking
 243 (“water immersed inverted pendulum”). B : buoyancy force; DrF : drag
 244 force; g : gravitational acceleration; h : height; m : rigid body with mass;
 245 v : velocity.

246

247 In order to better understand the possible effects of the specific physical
 248 characteristics of water environment on the inverted pendulum, we first will introduce
 249 basic concepts about the physical characteristics of B and DrF . Then, with the purpose
 250 to substantiate theoretically the “water immersed inverted pendulum” model, an
 251 analytic interpretation from SWW free body diagram (Figure 2) will be performed.

252 First, the weight reduction effects of B will be approached through the
 253 discussion of the literature findings of dry land simulated hypogravity walking. In
 254 sequence, due to the lack of specific quantitative data exploring the DrF effects, the

255 effects of DrF resistance on SWW will be discussed inside a systematic review of SWW
 256 (Study 1). Finally, the results of the experimental study of SWW in different depths and
 257 walking speeds will be presented (Study 2).

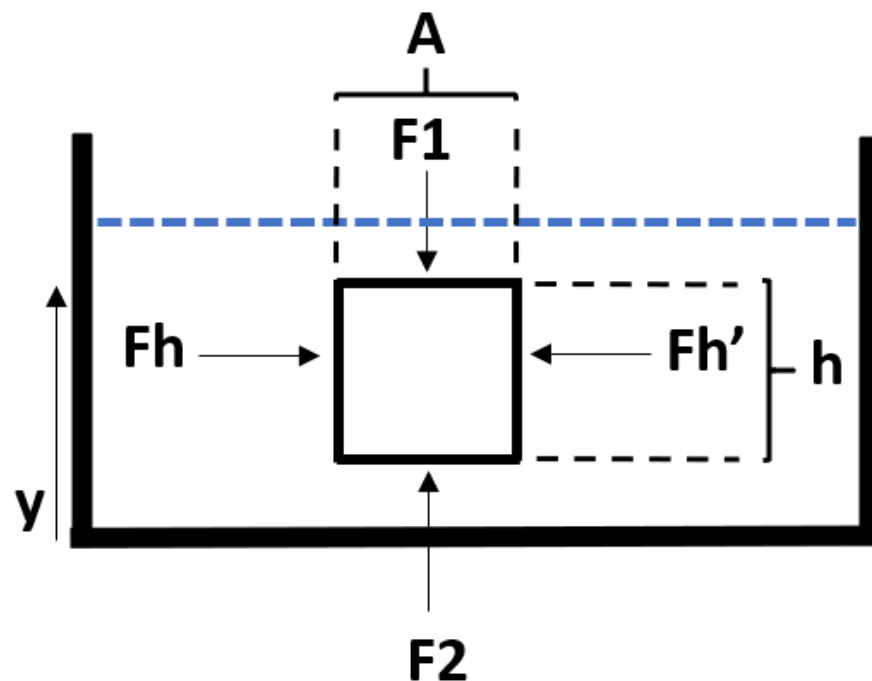
258

259 3.1 Buoyancy force

260

261 The buoyancy force (B) is a hydrostatic force of equal direction and opposite
 262 sense than the gravitational force, with a vector pointing up. When a body is immersed
 263 in a fluid it suffers simultaneously the B and the gravitational force, the effects of each
 264 one diametrically in opposition.

265 An immersed body is submitted to hydrostatic pressure from the fluid (Figure 3).
 266 The hydrostatic pressure is a force applied by the fluid molecules on the immersed
 267 body area. According to Stevin law, with higher immersion depth in relation to the fluid
 268 surface, higher the hydrostatic pressure. It follows that points equidistant from the fluid
 269 surface will suffer equal hydrostatic pressure (NUSSENZVEIG, 2002).



270

271 **Figure 3** - Hydrostatic pressure of a fluid on a cubical immersed body.

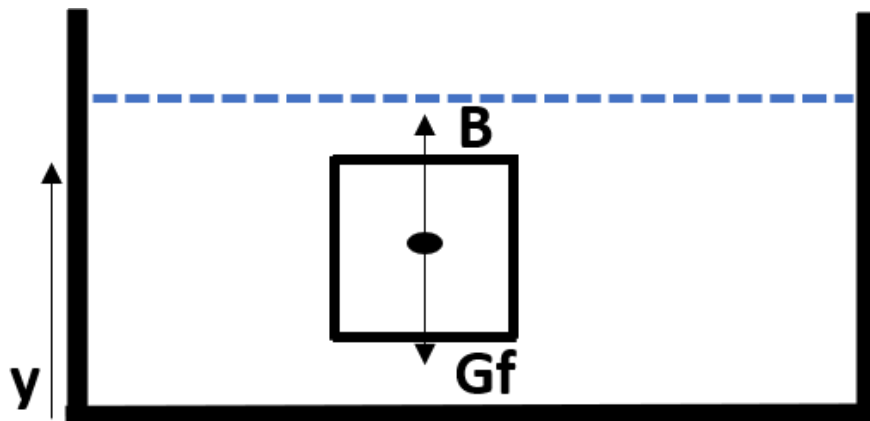
272 A: area of body surface; F1: total force in superior body surface; F2:

273 total force on the inferior body surface; F_h and F_h' : total forces on the
 274 lateral body surfaces; h : body height; y : vertical axis definition.

275

276 As it is possible to see in Figure 3, the lateral forces F_h and F_h' - equivalent in
 277 magnitude and with opposite sense – cancel each other, considering that these lateral
 278 forces are due to hydrostatic pressure. The resultant force from this hydrostatic
 279 pressure gradient, thereafter, will be the difference between the forces applied on the
 280 superior (F_1) and inferior (F_2) regions of the immersed body. The resultant force will
 281 be on vertical axis pointing up, considering that the hydrostatic pressure on the inferior
 282 region will be higher than the hydrostatic pressure on the superior region ($F_2 > F_1$).
 283 This resultant force is called B (Figure 4 and Equation 1), defined by Arquimedes'
 284 principle, and has same magnitude than the weight from the volume of fluid displaced
 285 by the immersed body (NUSSENZVEIG, 2002).

286



287

288 **Figure 4** - Buoyancy and gravitational forces applied on an
 289 immersed body. B : buoyancy force; G_f : gravitational force; y : vertical
 290 axis definition.

291

292 The B effects oppose those of gravitational force, reducing the apparent weight
 293 from an immersed body. The apparent weight is the subtraction of B from the real body
 294 weight (body mass times gravitational acceleration). In summary, higher the body
 295 volume fraction immersed, higher the fluid volume displaced, higher B magnitude,
 296 lower the body apparent weight (HALLIDAY; RESNICK; WALKER, 2016).

297 **Equation 1** - Buoyancy force.

$$298 \quad B = m_w \cdot g$$

299 where, B : buoyancy force; m_w : water mass; g : gravitational acceleration.

300

301 Equation 1 development

302 By Stevin law

$$303 \quad P_2 = P_1 + \rho_w \cdot g \cdot h$$

304 where, P_2 : hydrostatic pressure at deeper point 2; P_1 : hydrostatic pressure at
305 shallower point 1; ρ_w : water specific mass. g : gravitational acceleration; h : body
306 height.

$$307 \quad P_2 - P_1 = \rho_w \cdot g \cdot h$$

308 Therefore, the resultant force from the superficial forces applied on an immersed
309 body will be a vertical B force (Arquimedes' principle).

310 With,

$$311 \quad P = \frac{F}{A}$$

312 where, P : pressure; F : force; A : area of body surface

$$313 \quad B = P_2 \cdot A - P_1 \cdot A$$

314 Then,

$$315 \quad B = \rho_w \cdot h \cdot A \cdot g$$

316 With volume definition,

$$317 \quad B = \rho_w \cdot V \cdot g$$

318 where, V : body volume.

319 So,

320
$$B = m_w \cdot g$$

321

322 As we wanted to demonstrate.

323

324 The application of these kinetic concepts to the human body immersed at
 325 shallow water is evident. At a deeper immersion depth, a greater water volume will be
 326 displaced, a greater B the human body will experience, and a lower apparent body
 327 weight will occur. A summary of the apparent body weight in percentage of the real
 328 body weight at different immersion depths in relation to anatomical landmarks from
 329 different studies is presented in Chart 1. Considering that different authors have
 330 analyzed different immersion depths, more than one study was used to organize this
 331 chart in order to provide a more comprehensive view of the B effect on the apparent
 332 body weight reduction in several depths of immersion.

333

334 **Chart 1** - Apparent body weight at different immersion depths in relation to anatomical
 335 landmarks.

Immersion depth	Apparent body weight (% of real body weight)
C7	8% (HARRISON & BULSTRODE, 1987)
Axillar	20% (MIYOSHI et al., 2004)
Xiphoid	43% (MACDERMID, FINK, STANNARD, 2017), 35% (HARRISON & BULSTRODE, 1987) 34,7% (ORSELLI; DUARTE, 2011),
Anterior superior iliac spine	54% (HARRISON & BULSTRODE, 1987) 52,3% (ADEGOKE et al., 2014)
Thigh	74% (MACDERMID, FINK, STANNARD, 2017)

336 Sources: ADEGOKE et al., 2014; HARRISON & BULSTRODE, 1987; MACDERMID, FINK,
337 STANNARD, 2017; MIYOSHI et al., 2004; ORSELLI; DUARTE, 2011.

338

339 **3.2 Drag force**

340

341 The drag force (DrF) is a hydrodynamic force with same direction and opposite
342 sense to the velocity vector of the immersed body, with a vector in opposite sense to
343 the displacement of the immersed body. Its application on the body, therefore,
344 generate a tendency to reduce the linear moment of the body. In conclusion, DrF is a
345 resistance force to the immersed body (FOX; MCDONALD; MITCHELL, 2018).

346 The total DrF can be divided into three components: wave DrF, frictional DrF,
347 and pressure (shape) DrF (Equation 2) (TOUSSAINT; STRALEN; STEVENS, 2002).
348 The wave DrF is due to the water surface deformation during displacements at the
349 interface between water and air. The frictional DrF is due to the fluid viscosity, effect
350 from the friction between the body surface and the fluid layers. The pressure DrF is
351 due to the pressure forces applied on the body surface and is related to the body shape
352 (FOX; MCDONALD; MITCHELL, 2018; NUSSENZVEIG, 2002; TOUSSAINT; BEEK,
353 1992).

354

355 **Equation 2** - Components of total drag force.

$$356 \quad DrF_{total} = DrF_{wave} + DrF_{frictional} + DrF_{pressure}$$

357 where, *DrF*: drag force.

358

359 The wave DrF is present during body displacements on the water surface,
360 since that at this condition the body speed is restricted by the wave formation. With the
361 increase of the body speed, the wave formation increases, raising the movement
362 resistance as a result from the wave DrF. An important parameter for the wave DrF is
363 the hull speed: a critical speed for the body displacement on the fluid surface. When
364 the body in movement approaches the hull speed, it undergoes a higher wave DrF
365 resistance, because the waves formed in its front do not have enough time to flow

366 away, generating a higher resistance. This greater resistance, ultimately, limits the
367 body speed increase (AIGELDINGER; FISH, 1995).

368 The hull speed is dependent from the waterline length; that is, the longitudinal
369 length of the body lying on the fluid surface measured along the direction of the body
370 velocity vector. Bodies with greater waterline length have greater hull speed, and,
371 therefore, will encounter a larger wave DrF only at higher absolute speeds of
372 displacement in comparison to bodies with smaller waterline length (AIGELDINGER;
373 FISH, 1995).

374 Toussaint et al. (2002) have reported a contribution of 12.1% of wave DrF to
375 total DrF during crawl swimming at 1.89 m/s. The authors estimate that for a subject
376 with 2.0 m stature, the hull speed will be 1.77 m/s; thus, the analyzed swimmers were
377 capable to swim at speeds higher than the hull speed. We do not have found, however,
378 studies analyzing the wave DrF during shallow water walking (SWW).

379 During SWW the body is at vertical position, while in swimming the body is at
380 horizontal position. The waterline length is lower at SWW in comparison to swimming.
381 One could assume, in this sense, that the hull speed will be lower during SWW than
382 swimming (1.89 m/s). And, consequently, the relative greater contribution of wave DrF
383 to total DrF will be reached at slower speeds during SWW than at swimming.

384 The relation between the relative contribution of frictional and pressure DrF to
385 total DrF can be understand by the ratio between these two forces through the
386 Reynolds number (Re). The Re (Equation 3) is a dimensionless ratio between pressure
387 and frictional forces; higher Re number means a greater pressure force magnitude in
388 relation to frictional forces. Also, at lower Re the predominant flow is laminar, while at
389 higher Re the predominant flow is turbulent (FOX; MCDONALD; MITCHELL, 2018).

390

391 **Equation 3** - Reynolds number (Re).

$$392 \quad Re = \frac{v \cdot D \cdot \rho_f}{\eta}$$

393 where, Re : Reynolds number; v : velocity; D : linear dimension; ρ_f : fluid specific
394 mass; η : fluid viscosity.

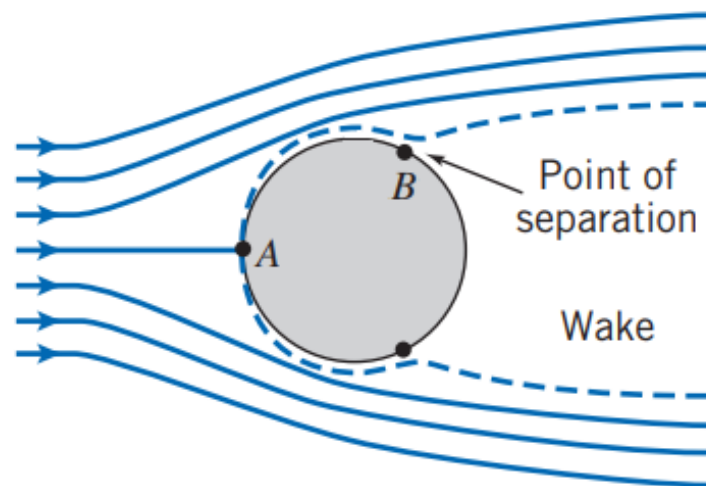
395

396 The relation between pressure DrF and frictional DrF is determined by the
397 boundary layer concept. The boundary layer is a fluid region closer to the body surface,
398 and only in this region the frictional viscous forces are important. In the more internal
399 region of this boundary layer - in other words, at fluid surface in direct contact with the
400 body – the flow speed is null. This speed gradient between the fluid layers in
401 consequence of the boundary layer is the origin of resistance by frictional forces
402 (frictional DrF) (FOX; MCDONALD; MITCHELL, 2018).

403 At higher flow speeds, with greater Re , occurs the wake phenomenon due to
404 turbulent flow (Figure 5). During the fluid flow on an immersed body surface, the fluid
405 particles suffer a deacceleration in consequence from viscosity. At greater flow speed
406 (turbulent flow) the fluid yet suffers the deacceleration due to the negative pressure
407 gradient (wake) on the posterior body region. This fluid deacceleration due to friction
408 and pressure gradient is so important, that the fluid particles reduce their speed until
409 rest on the posterior body region (FOX; MCDONALD; MITCHELL, 2018).

410 These fluid particles that reduce their speed until rest at the body posterior
411 region suffer a phenomenon of flow separation. In this condition, the boundary layer
412 detach from the body surface at the point of separation, creating a low-pressure wake
413 region. These particles that detach are moved away by the next particles. With this
414 low-pressure wake region occurs an increase of the DrF , because, further on the high
415 positive pressure on the anterior body region, this low-pressure wake region
416 contributes to exacerbate the DrF . The DrF therefore, is a force that resists the body
417 displacement through a fluid, creating the tendency to deaccelerate the body (FOX;
418 MCDONALD; MITCHELL, 2018)

419



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Figure 5 - Fluid flow on an immersed body at higher Reynold number creating a low-pressure wake region. A: high pressure point. B: point of separation of boundary layer. Figure extracted and adapted from Fox, McDonald, Mitchell (2018).

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For SWW, Newman (1992) reported Re values between 0.82×10^5 and 6.88×10^5 at a walking speed of 1.5 m/s, suggesting the predominance of turbulent flow during SWW. The pressure DrF (Equation 4), thereafter, contribute predominantly to total DrF during SWW. For the DrF analysis during SWW in the present study, we used a mathematical model proposed by Orselli & Duarte (2011) to estimate the DrF during the stride cycle; in detail, this model takes in account only the pressure DrF.

433

Equation 4 - Pressure drag force.

434

$$\text{Pressure DrF} = \frac{1}{2} \cdot Cd \cdot \rho_f \cdot Ap \cdot v^2$$

435

436

where, *DrF*: drag force; *Cd*: drag coefficient; ρ_f : fluid specific mass; *Ap*: projected frontal area; *v*: velocity.

437

4. Hypogravity walking

438

439

440

The walking in hypogravity is the walking performed in conditions where the vertical downward gravitational force effects are diminished in relation to Earth

441 normogravity. The hypogravity is the condition where the gravitational acceleration is
442 lower than from the Earth gravitational acceleration of 1.0 g (or 9.81 m/s²)
443 (LACQUANITI et al., 2017). There is a growing interest on the hypogravity locomotor
444 physiomechanics due the human space exploration (CAVAGNA; WILLEMS;
445 HEGLUND, 1998; PAVEI; MINETTI, 2016) and advantages of reduced weight bearing
446 walking in different clinical conditions (HUBLIE & DIETZ, 2013; SALE et al., 2012). Yet,
447 different walking physiomechanics is both expected theoretically (MARGARIA;
448 CAVAGNA, 1964) and observed experimentally (LACQUANITI et al., 2017; SYLOS-
449 LABINI; LACQUANITI; IVANENKO, 2014) during simulated hypogravity in comparison
450 to normal gravity conditions.

451 Gravity exerts a great influence on dry land walking, determining the inverted
452 pendulum mechanism for energy recovery during the walking stride cycles
453 (CAVAGNA; WILLEMS; HEGLUND, 1998, 2000; SYLOS-LABINI; LACQUANITI;
454 IVANENKO, 2014). At each stride, the locomotor system takes advantage of the
455 gravity downward force to fall forward and convert the body center of mass potential
456 gravitational energy (height-dependent) into kinetic energy (speed-dependent), and
457 latter this kinetic energy is used to restore the body center of mass height again
458 (CAVAGNA; WILLEMS; HEGLUND, 1998). The gravitational force importance to this
459 mechanical energy saving mechanism can be observed due to its relation with the
460 potential gravitational energy.

461 One important concept to discuss about hypogravity locomotion is the principle
462 of dynamic similarity. The dynamic similarity in locomotion states that dynamically
463 similar bodies will behave similar – i.e., will have similar gait pattern – if their dynamic
464 characteristics are similar. The principle of dynamic similarity allows the comparison of
465 different bodies at similar movement conditions, and enables to compare the same
466 body at different movement conditions. An important dynamic similarity parameter to
467 analyze movements that are affected by gravitational force is the Froude number
468 (ALEXANDER, 1989; LACQUANITI et al., 2017).

469 The Froude number (Equation 5) is a dimensionless unit that express the ratio
470 between kinetic energy and potential gravitational energy. The higher the speed of
471 locomotion, higher kinetic energy associated to the movement. The higher the
472 gravitational acceleration, higher the potential gravitational energy associated to the

473 movement. The L factor corresponds to a geometric characteristic from the body length
474 (ALEXANDER, 1989; LACQUANITI et al., 2017).

475

476 **Equation 5** - Froude number (Fr). v : velocity; g : gravitational acceleration; L : body
477 length characteristic.

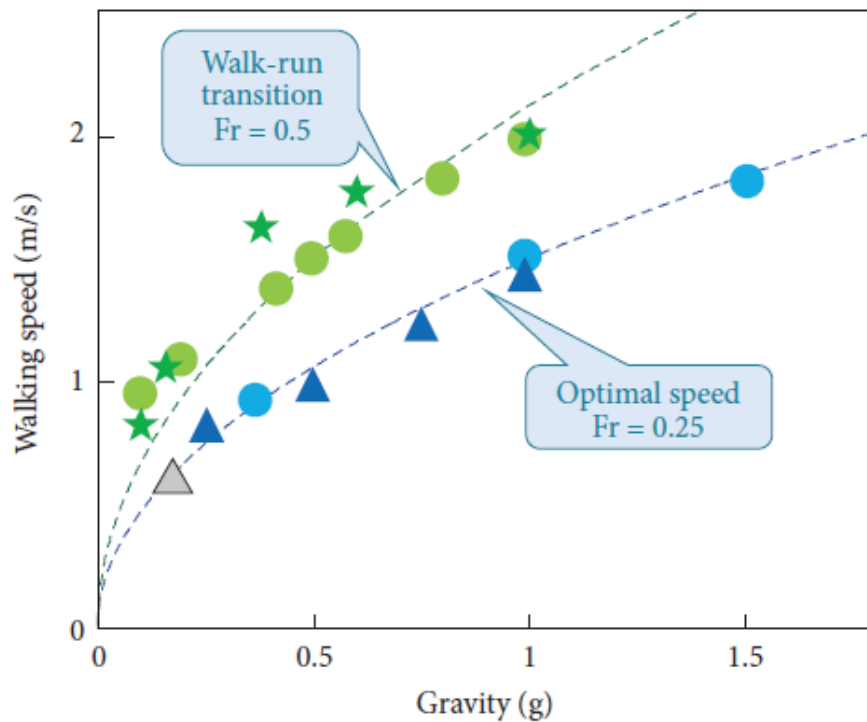
478
$$Fr = \frac{v^2}{g \cdot L}$$

479 Where, Fr : Froude number; v : velocity; g : gravitational acceleration; L : body length
480 characteristic.

481

482 The Froude number can also be associated to a ratio between centrifugal
483 (mv^2/L) and centripetal (mg) forces. In this sense, while the centripetal force is higher
484 than centrifugal (Froude number < 1), the body can maintain walking gait without an
485 aerial phase. But when the centrifugal force overcomes the centripetal (Froude number
486 > 1), an aerial phase occurs, the walking gait becomes impossible, and running is the
487 gait adopted (LACQUANITI et al., 2017). Experimentally, however, it has been
488 observed that the walking-running transition in normal gravity condition occurs at 0.5
489 Froude number (IVANENKO et al., 2011; KRAM; DOMINGO; FERRIS, 1997). At
490 simulated hypogravity, the walking-running transition occurs at a Froude number about
491 0.5, but at slower absolute speed than normal gravity condition (IVANENKO et al.,
492 2011; KRAM; DOMINGO; FERRIS, 1997); this phenomenon is well exposed by Sylos-
493 Labini et al. (2014), so here we reproduce their figure (Figure 6).

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Figure 6 - Walking-running transition and optimal walking speeds at different gravity conditions. Fr: Froude number. Each type symbol represents one study different. Blue circle: Cavagna, Willems and Heglund (1998, 2000); blue triangle: Griffin, Tolani and Kram (1999); green circle: Kram, Domingo and Ferris (1997); green star: Ivanenko et al. (2011); grey triangle: Margaria and Cavagna (1964). Figure extracted and adapted from Sylos-Labini, Lacquaniti and Ivanenko (2014).

Lower gravity conditions cause the locomotor functional repercussion of a walking speeds range narrowing. In consequence from reduced gravity, there is a reduction of the potential gravitational energy available to be converted into kinetic forward energy through pendular mechanism. Therefore, the maximum speed that the locomotor system can sustain walking gait type is diminished at simulated hypogravity, reducing the range of walking speeds (CAVAGNA; WILLEMS; HEGLUND, 2000; MARGARIA, CAVAGNA, 1964).

Also, accordingly to the principle of dynamic similarity, the simulated hypogravity affects not only the walking-running transition speed, but the optimal speed of walking as well. The optimal speed of walking is the speed which the exchange

515 between potential gravitational energy and kinetic energy is optimized; in other words,
516 the speed which the recovery is maximum (CAVAGNA; THYS; ZAMBONI, 1976;
517 CAVAGNA; WILLEMS; HEGLUND, 2000). Considering that the self-selected speed
518 (SSWS) of walking is close to the optimal speed (SAIBENE; MINETTI, 2003), Salisbury
519 et al. (2015) have found lower SSWS at simulated hypogravity conditions (0.38 and
520 0.16 g) in comparison to normal gravity (1.0 g).

521 During simulated hypogravity walking, the maximum recovery occurs at lower
522 walking speeds than at Earth gravity, and at an even walking speed the recovery is
523 lower at simulated hypogravity (CAVAGNA; WILLEMS; HEGLUND, 1998, 2000;
524 GRIFFIN; TOLANI; KRAM, 1999; PAVEI; BIANCARDI; MINETTI, 2015). This recovery
525 response modification in relation to absolute walking speed during simulated
526 hypogravity indicates an alteration from the inverted pendulum mechanism in
527 conditions where the gravitational acceleration is reduced. Nevertheless, when
528 adjusted for the gravity acceleration of each condition, Pavei, Biancardi & Minetti
529 (2015) observed that the maximal recovery was reached at similar Fr number (0.22 to
530 0.26) comparing Earth (1.0 g), Mars (0.36 g), and Moon (0.16 g) gravities.

531 In relation to the mechanical work of walking, Cavagna, Willems and Heglund
532 (2000) (1.5, 1.0, 0.4 g), Griffin, Tolani and Kram (1999) (1.0, 0.75, 0.5, 0.25 g), and
533 Pavei, Biancardi and Minetti (2015) (1.0, 0.36, 0.16 g), reported lower external
534 mechanical work with lower gravity at even walking speeds. This reduced external
535 mechanical work seems to be related to the reduced magnitude fluctuations from the
536 potential gravitational and kinetic forward energies curves during walking at simulated
537 hypogravity (CAVAGNA; WILLEMS; HEGLUND, 2000; GRIFFIN; TOLANI; KRAM,
538 1999; MARGARIA; CAVAGNA, 1964; PAVEI, BIANCARDI, MINETTI, 2015)

539 The authors (CAVAGNA, WILLEMS, HEGLUND, 2000) yet discuss that the
540 internal mechanical work seems to be independent from gravity or to decrease with it,
541 considering that the stride frequency is about the same or decreases at lower gravity
542 conditions. While Pavei, Biancardi and Minetti (2015) observed a diminished internal
543 work at simulated hypogravity, but with no differences between the simulated
544 hypogravity conditions (0.36 vs. 0.16 g). In this way, the total mechanical work during
545 walking - the sum of external and internal - will be lower at lower gravity (CAVAGNA;
546 WILLEMS; HEGLUND, 2000; PAVEI; BIANCARDI; MINETTI, 2015).

547 About the ground reaction forces, Newman and Alexander (1993) and
548 Newman, Alexander and Webbon (1994) observed a reduced peak ground reaction
549 force values with the decrease in gravity level (1.0, 0.9, 0.67, 0.38, 0.17 g). Richter et
550 al. (2017) performed a systematic review with meta-analysis from 43 studies including
551 several biomechanical and physiological parameters of simulated hypogravity
552 locomotion, and they have observed along with the gravity acceleration reduction a
553 systematic reduction from body weight, ground reaction forces peak, rate of force
554 development, and impact forces.

555 Comparing the spatiotemporal parameters during walking at simulated
556 hypogravity, Griffin, Tolani and Kram (1999) and Pavei, Biancardi and Minetti (2015)
557 do not have found difference of stride frequency with reduction of gravity at even
558 speed, while Cavagna, Willems and Heglund (2000) reported a similar or reduced
559 stride frequency at lower gravity during walking at even speed. Newman & Alexander
560 (1993) and Newman, Alexander and Webbon (1994) also described lower stride
561 frequency and lower duty factor with lower gravity. The stride frequency results
562 discrepancies during simulated hypogravity walking between these studies could be
563 related to the weight reduction apparatus adopted by the authors, as Sylos-Labini et
564 al. (2013) already have demonstrated gait kinematic alterations due to the gravity
565 reduction simulator chosen. Namely, Griffin, Tolani and Kram (1999) and Pavei,
566 Biancardi and Minetti (2015) used trunk suspension device; Cavagna, Willems and
567 Heglund (2000) collected data during a parabolic flight; Newman and Alexander (1993)
568 and Newman, Alexander and Webbon (1994) employed underwater treadmill.

569 What concern the cost of transport (C) at simulated hypogravity conditions,
570 there seems to be an imbalance between the external mechanical work reduction and
571 the C reduction at simulated hypogravity (GRIFFIN; TOLANI; KRAM, 1999). With the
572 gravity level reduction occurs both a reduction of external mechanical work and C,
573 although the reduction of external mechanical work is more accentuated than that of
574 C.

575 Griffin, Tolani and Kram (1999) observed a reduction of 50% from the external
576 mechanical work, while the C reduced only 25% when the gravity was reduced by half
577 (1.0 vs. 0.5 g). Walking in simulated hypogravity appears to be a locomotion type of
578 relatively high C when normalized by the apparent body weight; in other words,
579 comparing even walking speed, the mechanical work curve suffers a steeper decay

580 with gravity reduction than C curve. The authors discuss that this uneven reduction of
581 external mechanical work and C could be related to fact that not only the external work
582 is a source of energy expenditure. But the work necessary to move the limbs – internal
583 mechanical work – should also be taken in account (CAVAGNA; WILLEMS;
584 HEGLUND, 2000; GRIFFIN; TOLANI; KRAM, 1999).

585 Pavei, Biancardi and Minetti (2015) have analyzed the C and both external an
586 internal mechanical work during walking at even speeds in simulated hypogravity. In
587 spite of the reduced external and internal mechanical work at lower gravity levels (0.36
588 and 0.16 g) in comparison to Earth gravity (1.0 g), the authors did not found a
589 statistically significant C reduction during simulated hypogravity walking. The
590 differences between the results of this study with others from the literature that show
591 the C reduction in simulated hypogravity - as Farley & McMahon (1992) – can be
592 related to the setup apparatus to simulate simulated hypogravity.

593 About the relation of C with speed of walking, Pavei, Biancardi and Minetti
594 (2015) also reported the maintenance of the U-shaped curve of C at simulated
595 hypogravity conditions. The authors yet found that a minimum point of C was reached
596 at similar speeds of walking in all gravity conditions (1.0, 0.36, and 0.16 g). This
597 behavior of C curve - taken in conjunction with the mechanical recovery features at
598 reduced gravity stated above - suggests that the inverted pendulum mechanism seems
599 to operate also at dry land simulated hypogravity walking.

600 In conclusion, we can observe that the gravity acceleration reduction affects
601 different aspects from the biomechanics of dry land simulated hypogravity walking,
602 with the mechanical perspective contributing to understand the narrower range of
603 walking speeds during dry land simulated hypogravity. And as it can be seen by the
604 recovery and C responses, in spite of the center of mass mechanical energies
605 alterations reported during simulated hypogravity, the inverted pendulum mechanism
606 appears to have a somewhat important function during dry land simulated hypogravity
607 walking.

608

609

610 **4.1 Bridge between dry land simulated hypogravity and shallow water** 611 **walking**

612

613 We can observe that the human walking is affected in different
614 biomechanical ways by the gravity acceleration reduction. The mechanical
615 energies response, the energies exchange, the spatiotemporal parameters, the cost of
616 transport: all these variables seem to be altered during dry land simulated hypogravity
617 walking.

618 The dry land simulated hypogravity walking discussion comes to us an
619 argumentative resource to substantiate the weight bearing reduction effects that the
620 water exerts on the “water immersed inverted pendulum”. But during the immersion in
621 water, the “water immersed inverted pendulum” is not only under the effects of a
622 gravitational acceleration attenuate force (buoyancy) but is also suffering the effects of
623 a movement resistance force (drag force).

624 Considering the individual effect of the gravity reduction on human walking
625 biomechanics, we can move forward in our exploration in order to analyze the
626 effects of shallow water immersion on this “water immersed inverted pendulum”. So
627 far, we have discussed the vertical axis of the “water immersed inverted pendulum”
628 free body diagram, considering the consequences of simulated hypogravity. But now
629 we propose the addition of the horizontal kinetic axis to our free body diagram; that is,
630 consider also the dynamic drag force resistance effects on the “water immersed
631 inverted pendulum”.

632 However, before enter into the exploration of the experimental data concerning
633 the effects of depth and speed of walking on the biomechanics of shallow water
634 walking (Chapter 6: Study 2), the very next chapter will bring the Study 1 (Chapter 5):
635 a systematic review from the literature on shallow water walking and their physiological,
636 spatiotemporal, kinetic, and muscular activity parameters.

637 If until this point we have discussed the dry land simulated hypogravity walking,
638 now we begin the specifically analyze simulated hypogravity walking during water
639 immersion.

640

641 **5. Study 1: Quantifying the acute responses of shallow water immersion on**
642 **walking physiology and biomechanics: a systematic review and meta-analysis**

643

644

645 **6.Study 2: Mechanical determinants from minimum cost of transport of shallow**
646 **water walking in humans**

647

648

649 **7.General conclusion**

650

651 The general aim of this dissertation was to examine the shallow water walking
652 effects on inverted pendulum mechanism through a physiomechanical of inverted
653 pendulum response during shallow water walking by healthy adult men. We
654 hypothesized that the inverted pendulum mechanism would be affected by the
655 buoyancy and drag forces, and that would exist an optimal point of shallow water
656 walking cost of transport due to the interplay between these forces (Figure 7).

657 To our knowledge, this is the first study to propose a physiomechanical model
658 from shallow water walking. We have analyzed this “water immersed inverted
659 pendulum” from the literature background about dry land simulated hypogravity
660 walking. In shallow water walking in addition to this simulated hypogravity condition
661 due to buoyancy force, the hydrodynamic resistance by drag force to movement is
662 presented. The “water immersed inverted pendulum” would be, therefore, this free
663 body diagram that takes in account both buoyancy and drag forces acting on an
664 immersed inverted pendulum.

665 Our systematic review indicate that SSW is a locomotion condition strongly
666 influenced by the hydrostatic and hydrodynamic forces due water immersion. Shallow
667 water walking presented higher physiologic demand in shallow water walking at waist
668 and xiphoid depths in comparison to dry land walking at even walking speed.

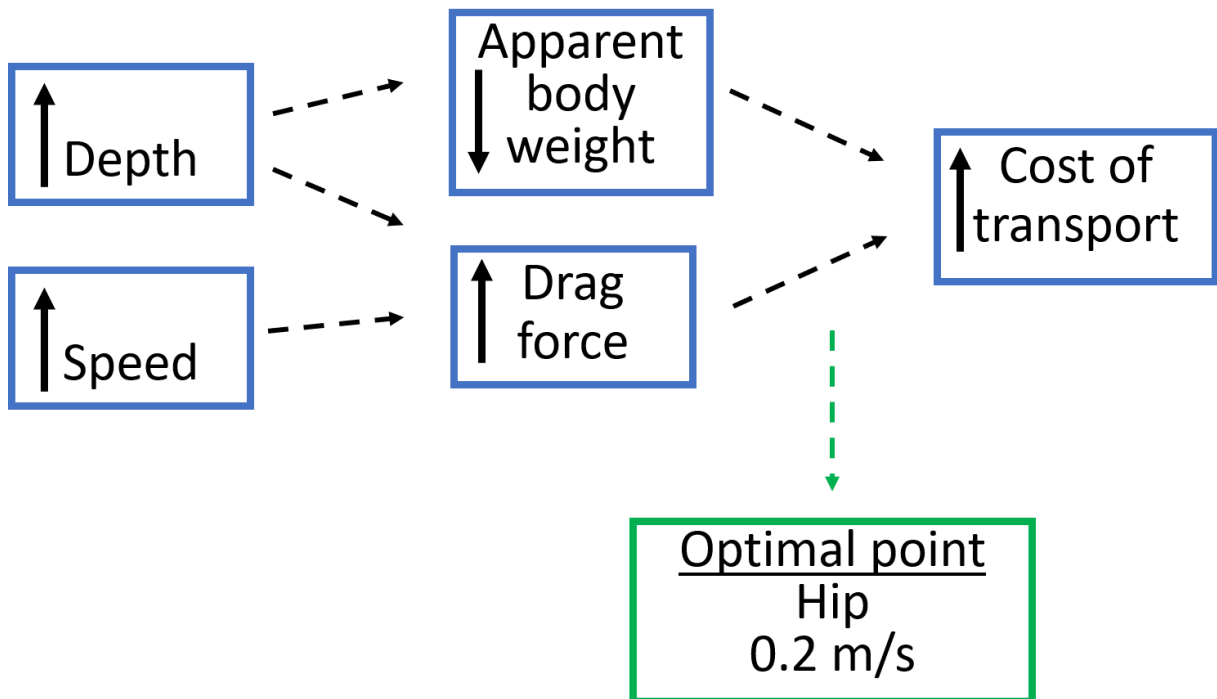
669 Concerning the physiomechanical model proposed here, the main finding is a
670 minimum cost of transport cost at hip depth during the slowest walking speed analyzed
671 (0.2 m/s), probably in consequence of the optimal interplay between buoyancy and
672 drag forces at this condition. Also, the cost of transport had a minimal value at

673 intermediary speeds only in the knee depth, resembling an U-shaped curve of cost of
 674 transport per speed; while in the other deeper depths the C presented a monotonic
 675 rise with the speed increase

676 The cost of transport during shallow water walking seems to be influenced by
 677 both depth and walking speed, what could be attributed to buoyancy and drag forces
 678 effects. Future studies testing this biomechanical model in other depths, speeds,
 679 populations, and with an improved drag force estimation model are suggested.

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683 **Figure 7** - Conceptual model for biomechanics of shallow water walking.

684

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686

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804 **Biomechanics in Sports, Caceres: University of Extremadura**, p. 279–282, 2002.

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815 **9. Annex**

816 **9.1. Annex 1 - Study 1 registry in PROSPERO**

817



818

820 **Systematic review**

821

822 **1. Review title.**

823 Give the working title of the review, for example the one used for obtaining funding. Ideally the
824 title should state succinctly the interventions or exposures being reviewed and the associated
825 health or social problems. Where appropriate, the title should use the PI(E)COS structure to
826 contain information on the Participants, Intervention (or Exposure) and Comparison groups, the
827 Outcomes to be measured and Study designs to be included.

828 Gait parameters during shallow water walking in comparison with dry land walking by adults

829 and elderly: asystematic review

830

831 **2. Original language title.**

832 For reviews in languages other than English, this field should be used to enter the title in the
833 language of thereview. This will be displayed together with the English language title.

834 Parâmetros da marcha durante caminhada em água rasa comparada com caminhada no solo

835 por adultos idosos: revisão sistemática

836 **3. Anticipated or actual start date.**

837 Give the date when the systematic review commenced, or is expected to commence.22/10/2018

838 **4. Anticipated completion date.**

839 Give the date by which the review is expected to be completed.31/12/2018

840 **5. Stage of review at time of this submission.**

841 Indicate the stage of progress of the review by ticking the relevant Started and Completed boxes.

842 Additionalinformation may be added in the free text box provided.

843 Please note: Reviews that have progressed beyond the point of completing data extraction at the
844 time of initial registration are not eligible for inclusion in PROSPERO. Should evidence of incorrect
845 status and/or completion date being supplied at the time of submission come to light, the content of
846 the PROSPERO record will be removed leaving only the title and named contact details and a
847 statement that inaccuracies inthe stage of the review date had been identified.

848 This field should be updated when any amendments are made to a published record and on
849 completion andpublication of the review. If this field was pre-populated from the initial screening
850 questions then you are notable to edit it until the record is published.

851

852 The review has not yet started: Yes

853

854 **Review stage Started Completed**

855 Preliminary searches No No

856 Piloting of the study selection process No No

857 Formal screening of search results against eligibility criteria No No

858 Data extraction No No

859 Risk of bias (quality) assessment No No

860 Data analysis No No

861 Provide any other relevant information about the stage of the review here (e.g. Funded proposal,
862 protocol not yet finalised).

863

864 6. Named contact.

865 The named contact acts as the guarantor for the accuracy of the information presented in the
866 register record. André Ivaniski Mello

867

868 Email salutation (e.g. "Dr Smith" or "Joanne") for correspondence:

869 Mr Ivaniski Mello

870

871 7. Named contact email.

872 Give the electronic mail address of the named contact. andreivaniskimello@gmail.com

873 8. Named contact address

874 Give the full postal address for the named contact.

875 Felizardo street, 750, Jardim Botânico, Porto Alegre, Rio Grande do Sul, Brazil Postal Zip: 90690-200

876 9. Named contact phone number.

877 Give the telephone number for the named contact, including international dialling code. 55 51
878 993566876

879 10. Organisational affiliation of the review.

880 Full title of the organisational affiliations for this review and website address if available. This field
881 may be completed as 'None' if the review is not affiliated to any organisation.

882 Universidade Federal do Rio Grande do Sul

883

884 Organisation web address:

885 www.ufrgs.br

886

887 11. Review team members and their organisational affiliations.

888 Give the title, first name, last name and the organisational affiliations of each member of the review
889 team. Affiliation refers to groups or organisations to which review team members belong.

890 Mr André Ivaniski Mello. Universidade Federal do Rio Grande do Sul

891 Ms Marcela Zimmermann Casal. Universidade Federal do Rio Grande do Sul
892 Dr Rochelle Costa.
Universidade Federal do Rio Grande do Sul

893 Dr Leonardo Alexandre Peyré Tartaruga. Universidade Federal do Rio Grande do Sul
894 Dr Luiz Fernando
Martins Kruehl. Universidade Federal do Rio Grande do Sul

895 Dr Flávia Gomes Martinez. Universidade Federal do Rio Grande do Sul

896

897 12.* Funding sources/sponsors.

898 Give details of the individuals, organizations, groups or other legal entities who take responsibility for
899 initiating, managing, sponsoring and/or financing the review. Include any unique identification
900 numbers assigned to the review by the individuals or bodies listed.

901 None

902

903 13. Conflicts of interest.

904 List any conditions that could lead to actual or perceived undue influence on judgements concerning
905 the main topic investigated in the review.

906 None

907

908 14. Collaborators.

909 Give the name and affiliation of any individuals or organisations who are working on the review but
910 who are not listed as review team members.

911

912 15. Review question.

913 State the question(s) to be addressed by the review, clearly and precisely. Review questions may be
914 specific or broad. It may be appropriate to break very broad questions down into a series of related
915 more specific questions. Questions may be framed or refined using PI(E)COS where relevant.

916 There are differences in gait parameters during shallow water walking in comparison with dry land
917 walking performed by adults and elderly?

918 16. Searches.

919 Give details of the sources to be searched, search dates (from and to), and any restrictions (e.g.
920 language or publication period). The full search strategy is not required, but may be supplied as a link
921 or attachment.

922 The sources that will be searched are: PubMed, EMBASE, Scopus, Pedro, and Cochrane Library. Will
923 be accepted studies published until the search date. The search will be conducted without language
924 limitations. (walk[tw] OR walking[MeSH] OR gait[MeSH]) AND ("water-based activities" [tw] OR
925 "activities, water-based" [tw] OR "water aerobics" [tw] OR "aerobics, water" [tw] OR "water aerobic
926 exercise" [tw] OR

927 "aerobic exercise, water" [tw] OR "water aerobic exercises" [tw] OR "aerobic exercises, water" [tw]
928 OR "aquatics" [tw] OR "water walking" [tw] OR "walking, water" [tw] OR "shallow water walking" [tw]
929 OR "walking, shallow water" [tw] OR "aquatic environment" [tw] OR "environment, aquatic" [tw] OR
930 "underwater treadmill" [tw] OR "water treadmill" [tw] OR "aquatic treadmill" [tw] OR aquatic [tw]
931 OR

932

933 water[MeSH] OR immersion[MeSH])

934

935 17. URL to search strategy.

936 Give a link to a published pdf/word document detailing either the search strategy or an example of a
937 search strategy for a specific database if available (including the keywords that will be used in the
938 search strategies), or upload your search strategy. Do NOT provide links to your search results.

939

940 Alternatively, upload your search strategy to CRD in pdf format. Please note that by doing so you are
941 consenting to the file being made publicly accessible.

942 Do not make this file publicly available until the review is complete

943

944 18. Condition or domain being studied.

945 Give a short description of the disease, condition or healthcare domain being studied. This could
946 include health and wellbeing outcomes.

947 Gait parameters.

948

949 19 Participants/population.

950 Give summary criteria for the participants or populations being studied by the review. The preferred
951 format includes details of both inclusion and exclusion criteria.

952 Studies involving adults and elderly will be accepted.

953

954 20. Intervention(s), exposure(s).

955 Give full and clear descriptions or definitions of the nature of the interventions or the exposures to
 956 be reviewed.

957 Walking immersed in shallow water regardless of the depth.

958

959 21. Comparator(s)/control.

960 Where relevant, give details of the alternatives against which the main subject/topic of the review
 961 will be compared (e.g. another intervention or a non-exposed control group). The preferred format
 962 includes details of both inclusion and exclusion criteria.

963 Walking in dry land.

964

965 22. Types of study to be included.

966 Give details of the types of study (study designs) eligible for inclusion in the review. If there are no
 967 restrictions on the types of study design eligible for inclusion, or certain study types are excluded,
 968 this should be stated. The preferred format includes details of both inclusion and exclusion criteria.

969 Observational and clinical trials (or interventional) studies will be included.

970

971 23. Context.

972 Give summary details of the setting and other relevant characteristics which help define the inclusion
 973 or exclusion criteria.

974

975 24. Main outcome(s).

976 Give the pre-specified main (most important) outcomes of the review, including details of how the
 977 outcome is defined and measured and when these measurements are made, if these are part of the
 978 review inclusion criteria.

979

980 The following variables will be accepted:

981

982 Kinematic and spatiotemporal: articular range of movement, walking speed, cadence, step
 983 length, stride length, stride duration, stance time, asymmetry between limbs
 984 Kinetics :
 984 ground reaction forces.

985 Mechanics: internal, external and total work, mechanical power, mechanical efficiency.

986 Neuromuscular: muscle activity.

987 Physiological: energy expenditure, energy cost, oxygen consumption, respiratory-exchange
 988 ratio, minute ventilation, heart rate, blood pressure, rating of perceived exertion.

989

990 25. Additional outcome(s).

- 991 List the pre-specified additional outcomes of the review, with a similar level of detail to that required
 992 for main outcomes. Where there are no additional outcomes please state 'None' or 'Not applicable'
 993 as appropriate to the review
- 994 None.
- 995
- 996 26. Data extraction (selection and coding).
- 997 Give the procedure for selecting studies for the review and extracting data, including the number of
 998 researchers involved and how discrepancies will be resolved. List the data to be extracted.
- 999 The selection of the included studies will be made by two independent reviewers accordingly to pre-
 1000 established inclusion and exclusion criteria. In case of discrepancies, a third field experienced
 1001 reviewer will be consulted.
- 1002 The data extraction will be made by two independent reviewers. The extracted data from the
 1003 included studies are the follow: authors, year of publication, sample number, sample characteristics
 1004 (age, gender), depth of immersion during walking on shallow water, velocity of walking, bio
 1005 mechanical and physiological variables (mean \pm sd) evaluated during walking.
- 1006 27. Risk of bias (quality) assessment.
- 1007 State whether and how risk of bias will be assessed (including the number of researchers involved
 1008 and how discrepancies will be resolved), how the quality of individual studies will be assessed, and
 1009 whether and how this will influence the planned synthesis.
- 1010 The risk of bias assessment of the included studies will be made based on the checklist of Down and
 1011 Black (The feasibility of creating a checklist for the assessment of the methodological quality both of
 1012 randomised and non-randomised studies of health care interventions. J. Epidemiol. Community
 1013 Health. 1998 ; 52 :
 1014 377-84).
- 1015
- 1016 28. Strategy for data synthesis.
- 1017 Give the planned general approach to synthesis, e.g. whether aggregate or individual participant data
 1018 will be used and whether a quantitative or narrative (descriptive) synthesis is planned. It is
 1019 acceptable to state that a
 1020 quantitative synthesis will be used if the included studies are sufficiently homogenous.
- 1021
- 1022 Standardized mean differences with 95% confidence intervals will be calculated comparing the
 1023 outcomes between the water and dry land conditions. A meta-analysis will be executed if sufficient
 1024 data will be available and methodological homogeneity between the studies will be present.
- 1025 Forest plot distribution will be developed to present findings for similar outcomes domains, and
 1026 when there was numerical data available for at least two studies reporting the same outcome.
- 1027 Authors will be contacted through emails for unreported data. Results will be presented as means
 1028 standardized differences and calculations will be performed using random effects models. Statistical

1029 heterogeneity of treatment effects among studies will be evaluated by Cochran's Q test and I²
 1030 inconsistency test, and values above 50% indicate high heterogeneity. Values of $\alpha = 0.05$ will be
 1031 considered statistically significant and all analysis will be performed using Comprehensive Meta-
 1032 Analysis Software version 3.3.070.

1033

1034 29. Analysis of subgroups or subsets.

1035 Give details of any plans for the separate presentation, exploration or analysis of different types of
 1036 participants (e.g. by age, disease status, ethnicity, socioeconomic status, presence or absence or co-
 1037 morbidities); different types of intervention (e.g. drug dose, presence or absence of particular
 1038 components of intervention); different settings (e.g. country, acute or primary care sector,
 1039 professional or family care); or different types of study (e.g. randomised or non-randomised).

1040 Not planned.

1041

1042 30. Type and method of review.

1043 Select the type of review and the review method from the lists below. Select the health area(s) of
 1044 interest for your review.

1045 Type of review

1046 Meta-analysis

1047 Yes

1048

1049 Systematic review

1050 Yes

1051

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1053 Health area of the review

1054 Musculoskeletal

1055 Yes

1056

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1058 31. Language.

1059 Select each language individually to add it to the list below, use the bin icon to remove any added in
 1060 error.English

1061 There is not an English language summary

1062

1063 32. Country.

1064 Select the country in which the review is being carried out from the drop down list. For multi-
1065 national collaborations select all the countries involved.

1066 Brazil

1067

1068 33. Other registration details.

1069 Give the name of any organisation where the systematic review title or protocol is registered (such as
1070 with The Campbell Collaboration, or The Joanna Briggs Institute) together with any unique
1071 identification number assigned. (N.B. Registration details for Cochrane protocols will be automatically
1072 entered). If extracted data will be stored and made available through a repository such as the
1073 Systematic Review Data Repository (SRDR), details and a link should be included here. If none, leave
1074 blank.

1075

1076 34. Reference and/or URL for published protocol.

1077 Give the citation and link for the published protocol, if there is one Give the link to the published
1078 protocol.

1079 Alternatively, upload your published protocol to CRD in pdf format. Please note that by doing so you
1080 are consenting to the file being made publicly accessible.

1081 No I do not make this file publicly available until the review is complete

1082 Please note that the information required in the PROSPERO registration form must be completed in
1083 full even if access to a protocol is given.

1084

1085 35. Dissemination plans.

1086 Give brief details of plans for communicating essential messages from the review to the appropriate
1087 audiences.

1088

1089 Do you intend to publish the review on completion?

1090 Yes

1091

1092 36. Keywords.

1093 Give words or phrases that best describe the review. Separate keywords with a semicolon or new
1094 line. Keywords help PROSPERO users find your review (keywords do not appear in the public record
1095 but are included in searches). Be as specific and precise as possible. Avoid acronyms and
1096 abbreviations unless these are in wide use.

1097

- 1098 Gait
- 1099 Walking
- 1100 Water
- 1101 Biomechanics
- 1102
- 1103 37.Details of any existing review of the same topic by the same authors.
- 1104 Give details of earlier versions of the systematic review if an update of an existing review is being
1105 registered,including full bibliographic reference if possible.
- 1106
- 1107 38.* Current review status.
- 1108 Review status should be updated when the review is completed and when it is published. For
1109 newregistrations the review must be Ongoing.
- 1110 Please provide anticipated publication dateReview_Ongoing
- 1111 39. Any additional information.
- 1112 Provide any other information the review team feel is relevant to the registration of the review.
- 1113
- 1114 40. Details of final report/publication(s).
- 1115 This field should be left empty until details of the completed review are available.Give the link to the
1116 published review.
- 1117

1118 **9.2 Annex 2: Study 2 registry at Open Society Foundations**

1119

1120 **Title**

1121 "Wet inverted pendulum": A biomechanical model of shallow water walking at different depths
1122 and speeds

1123 **Research question**

1124 There are differences in metabolic, kinetic, and kinematic parameters during shallow water
1125 walking by healthy adults at different depths and speeds?

1126 **Aims**

- 1127 1. To compare the cost of transport, heart rate, rating of perceived effort, drag force
1128 resistance, spatiotemporal, and lower limb angular parameters during walking in shallow
1129 water at xiphoid, umbilical, hip, and knee depths at the speeds of 0.2, 0.4, 0.6, 0.8 m/s by
1130 healthy adults.
- 1131 2. To propose a biomechanical model called wet inverted pendulum, estimating the
1132 buoyancy and drag forces

1133 **Hypothesis**

- 1134 1. The cost of transport of shallow water walking will have a minimal value at intermediary
1135 speeds.
- 1136 2. The cost of transport behavior would be related to the interplay between buoyancy and
1137 drag forces

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1152 10.Appendix**1153 10.1 Appendix 1: Study 1 search terms**

1154

1155 (walk[tw] OR walking [Mesh] OR gait [Mesh]) AND (“water-based activities” [tw] OR
1156 “activities, water-based” [tw] OR “water aerobics” [tw] OR “aerobics, water” [tw] OR
1157 “water aerobic exercise” [tw] OR “aerobic exercise, water” [tw] OR “water aerobic
1158 exercises” [tw] OR “aerobic exercises, water” [tw] OR “aquatics” [tw] OR “water
1159 walking” [tw] OR “walking, water” [tw] OR “shallow water walking” [tw] OR “walking,
1160 shallow water” [tw] OR “aquatic environment” [tw] OR “environment, aquatic” [tw] OR
1161 “underwater treadmill” [tw] OR “water treadmill” [tw] OR “aquatic treadmill” [tw] OR
1162 aquatic [tw] OR water [Mesh] or immersion [Mesh])