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Abstract: Experimental investigations on the application of SiO2/Ag-CuO plasmonic nanofluid on direct/volumetric absorption parabolic solar collectors is presented in this article. The process variables for the preparation of nanofluid were optimised by employing the desirability function and response surface methodology (RSM). The optimisation was performed to achieve nanofluid with maximum possible thermal conductivity and solar absorptivity. The final solar radiation absorbed fraction and relative thermal conductivity noted for the optimised nanofluid was 82.84% and 1.234, respectively. The performance of the collector was evaluated at various flow rates from 60 lph to 90 lph, using water and optimised nanofluid as the heat transfer fluid. It is noted from the results that the thermal efficiency of the collector increases with the flow rate whereas, the exergy efficiency decreases for both water and nanofluid. The highest temperature difference of 11.27K was noted at 601ph for nanofluid which corresponds to a thermal efficiency of 57.47%. A maximum thermal efficiency of 64.05% was noted at 90 lph which corresponds to an enhancement of 48.19 % in comparison with water. Exergy efficiency of the nanofluid was enhanced by 9.4% at 60 lph, in comparison with water.

Research Data Related to this Submission

There are no linked research data sets for this submission. The following reason is given: Data will be made available on request

Energy and Exergy analysis of SiO₂/Ag-CuO binary nanofluid on direct absorption parabolic solar collector

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From

Dr. Shijo Thomas Assistant professor School of Materials Science and Engineering National Institute of Technology, Calicut, India

То

Editor in chief Renewable Energy

Subject: Submission of revised manuscript for consideration towards publication.

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Respected Sir

I thank you for the valuable comments from reviewer. The manuscript has been revised as suggested. The revised **manuscript and response to the reviewers** have been uploaded for favourable consideration.

Thanking you

Yours Sincerely,

Dr. Shijo Thomas

Date: 26/09/2020 Place: NIT Calicut

Reviewer #1 (revision highlighted in yellow)

a. I have one question with regard to uncertainty calculation for the thermal, energy and exergy efficiency. I would request authors kindly give a detailed calculation for the same as response to my question.

The authors noticed a calculation and typographical error in the uncertainty section. We apologise for the same and are thankful to the reviewer for correcting us. The manuscript has been revised and have been rectified. Table 2 presents the revised uncertainties. The revision could be found in page no 10 line no 227-233.

Detailed calculation of uncertainty are presented.

1. Heat gained or useful heat produced (W):

Governing equation: $Q_u = m. Cp. (T_{out} - T_{in})$ (Equation no 3 in the manuscript)

Uncertainty of Eq 3 is given by

$$\frac{\sigma Qu}{Qu} = \sqrt{\left(\frac{\sigma m}{m}\right)^2 + \left(\frac{\sigma T_{in}}{T_{in}}\right)^2 + \left(\frac{\sigma T_{out}}{T_{out}}\right)^2}$$

where

$$\sigma m = 0.000625 \frac{kg}{sec}, \qquad \sigma T_{in}, \sigma T_{out} = 0.1^{\circ}C, \quad Qu = 883.05W, \quad m = 0.025 \frac{kg}{sec}, \quad T_{in} = 30^{\circ}C,$$

 $T_{in} = 38.41^{o}C$,

Hence

$$\frac{\sigma Qu}{Qu} = \sqrt{(0.025)^2 + (0.0033)^2 + (0.0026)^2} = \pm 2.53\%$$

2. Thermal efficiency

Governing equation: $\eta_{th} = m. Cp. (T_{out} - T_{in})/(A.I)$ (Equation no 6 in the manuscript) Uncertainty of Eq 6 is given by

$$\frac{\sigma\eta_{th}}{\eta_{th}} = \sqrt{\left(\frac{\sigma m}{m}\right)^2 + \left(\frac{\sigma T_{in}}{T_{in}}\right)^2 + \left(\frac{\sigma T_{out}}{T_{out}}\right)^2 + \left(\frac{\sigma I}{I}\right)^2}$$

Where

$$\sigma m = 0.000625 \frac{kg}{sec}, \qquad \sigma T_{in}, \sigma T_{out} = 0.1^{\circ}C, \qquad \sigma I = 5 \frac{W}{m^2}, \qquad I = 850 \frac{W}{m^2}$$

Hence

$$\frac{\sigma \eta_{th}}{\eta_{th}} = \sqrt{(0.025)^2 + (0.0033)^2 + (0.0026)^2 + (0.0058)^2} = \pm 2.60\%$$

3. Exergy efficiency Governing equation: $\eta_{Ex} = 1 - \frac{T_{amb} \times S_{gen}}{\left[1 - \frac{T_{amb}}{T_{sun}}\right] Q_s}$ (Equation no 10 in the manuscript) Uncertainty of Eq 10 is given by

$$\frac{\sigma\eta_{Ex}}{\eta_{Ex}} = \sqrt{\left(\frac{\sigma m}{m}\right)^2 + \left(\frac{\sigma T_{in}}{T_{in}}\right)^2 + \left(\frac{\sigma T_{out}}{T_{out}}\right)^2 + \left(\frac{\sigma T_{amb}}{T_{amb}}\right)^2 + \left(\frac{\sigma I}{I}\right)^2}$$

Where

$$\sigma m = 0.000625 \frac{kg}{sec}, \qquad \sigma T_{in}, \sigma T_{out}, \sigma T_{amb} = 0.1^{\circ}C, \qquad \sigma I = 5 \frac{W}{m^2}, \qquad \sigma T_{amb} = 32^{\circ}C,$$

Hence

$$\frac{\sigma \eta_{Ex}}{\eta_{Ex}} = \sqrt{(0.025)^2 + (0.0033)^2 + (0.0026)^2 + (0.0031)^2 + (0.0058)^2} = \pm 2.62\%$$

Variables	Uncertainty
Flow rate	≤± 2.5 %
Solar irradiance	$\leq \pm 5.00 \text{ W/m}^2$
Heat Gained	$\leq \pm 2.53$ %
Thermal efficiency	\leq \pm 2.6 %
Exergy efficiency	\leq \pm 2.62 %

b. I hope the parabolic concentrator will heat the fluid at-least to a temperature >100 degree celsius. Why authors presented the variation in thermal conductivity only in a low temperature range?

We agree with the reviewers comment. However in the present study the maximum temperature achieved was nearly 50° C. Due to this reason the variation in thermal conductivity was analysed till 50° C.

Highlights

- Influence of SiO₂/Ag-CuO nanofluid on direct absorption parabolic collector.
- Exergy and energy analysis was performed at various flow rate
- A maximum thermal efficiency of 64.12 % was noted at 90 lph.
- Exergy efficiency decreased with flow rate whereas thermal efficiency increased.

1	Energy and Exergy analysis of SiO ₂ /Ag-CuO plasmonic nanofluid on direct absorption
2	parabolic solar collector
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9	ABSTRACT
10	Experimental investigations on the application of SiO ₂ /Ag-CuO plasmonic nanofluid
11	on direct/volumetric absorption parabolic solar collectors is presented in this article. The
12	process variables for the preparation of nanofluid were optimised by employing the
13	desirability function and response surface methodology (RSM). The optimisation was
14	performed to achieve nanofluid with maximum possible thermal conductivity and solar
15	absorptivity. The final solar radiation absorbed fraction and relative thermal conductivity
16	noted for the optimised nanofluid was 82.84% and 1.234, respectively. The performance of
17	the collector was evaluated at various flow rates from 60 lph to 90 lph, using water and
18	optimised nanofluid as the heat transfer fluid. It is noted from the results that the thermal
19	efficiency of the collector increases with the flow rate whereas, the exergy efficiency
20	decreases for both water and nanofluid. The highest temperature difference of 11.27K was
21	noted at 60lph for nanofluid which corresponds to a thermal efficiency of 57.47%. A
22	maximum thermal efficiency of 64.05% was noted at 90 lph which corresponds to an
23	enhancement of 48.19 % in comparison with water. Exergy efficiency of the nanofluid was
24	enhanced by 9.4% at 60 lph, in comparison with water.
25	

Keywords: Volumetric absorption parabolic solar collector, Binary nanofluid, Response
surface methodology, Thermal efficiency, Entropy generation.

30 Nomenclature

.A	Area of parabola (m ²)	T_{in}	Inlet temperature (K)
A _p	Aperture width of parabola(m)	T _{sun}	Temperature of Sun (K)
C _p	Specific heat of working fluid	θ	Rim angle of the parabola
-	(kJ/kg.K)	σ	Uncertainty
E _{des}	Energy destruction (W)	$ au_t$	Transmittance of absorber tube
f	Focal length of the parabola (m)	r _r	Reflectivity of reflector
Ι	Solar irradiance (W/m ²)	η_{ex}	Exergy efficiency
m	mass flow rate (kg/sec)	η_{th}	Thermal efficiency
Qu	Heat gained (W)	η_{opt}	Optical efficiency of the parabola
Qs	Available direct solar energy (W)	RSM	Response surface methodology
Qo	Energy loss (W)	RTC	Relative thermal conductivity
Sgen	Entropy generation (W/K)	SRAF	Solar radiation absorbed fraction
T _{amb}	Ambient temperature (K)	S 1	Entropy generated during the
			transfer of heat to working fluid
			from solar irradiance
T _{out}	Outlet temperature (K)	S2	Entropy generated during the heat
			loss

31

32

1. Introduction

33 The persistent consumption of fossil fuels made them insufficient to meet the overwhelmingly increasing demand of energy. Stepping up the utilisation of sustainable 34 35 energy sources is a widely acknowledged optimistic solution to meet the ever augmenting 36 need for energy. Solar energy, a potential replacement to fossil fuels, provides high hope to overcome the energy crisis to a certain extent, especially in electricity generation and various 37 heating application [1]. Solar energy being a sustainable and clean source of energy is 38 gaining widespread attention for many thermal applications. A number of studies have been 39 reported based on the solar energy conversions like solar thermal conversion, photo electric 40 conversion and photo electric thermal conversion. The solar thermal convertors like dish 41 collector, linear Fresnel reflectors (LFR) and parabolic trough collector (PTC) are the most 42 preferred techniques for the medium and high temperature applications. In these techniques 43 solar radiation is concentrated to a line or a point from which it is transferred to the working 44 fluid (heat transfer fluid). Parabolic collectors are widely used for solar thermal application 45 46 due to its better performance and comparative cost effectiveness. A parabolic trough collector is equipped with three components mainly, the parabolic reflector plate equipped 47 with an absorber tube at its focal point and the working fluid inside the absorber tube. In a 48

49 typical operation of parabolic collector, solar ray is concentrated (using a parabolic reflector) towards the receiver tube placed at the focal line of the reflector, from which the converted 50 energy in the form of heat is transferred by a working fluid for various applications like water 51 heating, space heating, solar refrigeration system and even for power generation [2]. The 52 solar thermal collectors could be coupled with various thermal systems like power generators, 53 54 in order to improve the efficiency of the whole unit. Bakos and Tsechelidou [3] investigated solar trough collector coupled with the lignite fired steam power plant using a TRNSYS 55 simulation software. They found that the Rankine efficiency of the plant improved from 33% 56 57 to 37.64%. They also claim that the solar power plant could reduce the total fuel consumption and thus the CO₂ emission. 58

Apart from the design parameters of the parabolic collector, researchers now a 59 days are focusing on the modification of absorber tubes. Solar absorptivity of the absorber 60 tube is an important parameter that influences the performance of the collector [4, 23]. The 61 62 absorber tube is an intermediate between the solar radiation and the working fluid. The absorption of solar energy will heat up the absorber tube. This heat is then conducted from 63 64 the outer surface to the inner surface of the absorber tube which then is transferred to the heat transfer fluid/working fluid through convection. The intermediate heat losses through 65 66 convection and radiation from the hot absorber tube surface to ambient, results in a deterioration in the performance of the collector [5, 22, 24]. This is where the concept of 67 68 direct/volumetric absorption solar collectors gains significance by significantly reducing the thermal losses since the photo thermal conversion is directly achieved by the heat transfer 69 70 fluid/working fluid [6]. Solar radiation absorption capability of the working fluid is the metric 71 of performance of the volumetric absorption solar thermal conversion systems. The poor 72 solar absorptivity of commonly used working fluids like deionised water, ethylene glycol, 73 thermal oils, etc. renders them unfit for direct application in direct absorption collectors. 74 Improving the solar absorptivity of these fluids is an area of active research [7, 8].

Nanofluids, with enhanced optical properties, are a suitable replacement for 75 conventional heat transfer fluid in volumetric absorption solar collectors. Qin, et al. [9] made a 76 performance evaluation of novel volumetric solar absorption parabolic collector using 77 plasmonic nanofluids with constant absorption coefficient. An additional reflective coating 78 79 was given on the upper half of the receiver tube that enhances the optical path length and 80 investigations were performed by varying the receiver tube diameter. They concluded that thermal efficiency of the collector reduced with the diameter and at optimal diameter the 81 direct absorption collector exhibit better performance than the conventional collectors. The 82

83 authors also claim that direct absorption parabolic collectors are effective at low flowrate (≤0.18kg/s). As per the reports of Bhalla et al. [10] a layer of silicon envelope over the 84 nanofluids could reduce the thermal losses due to convection to the atmosphere. The 85 enhancement on temperature was nearly 3.5°C. Wang et al. [11] introduced a novel technique 86 which improved the efficiency of the direct absorption collector by introducing reverse 87 irradiation. As per their observation the temperature within the fluid was almost uniform 88 89 compared to the directed irradiated system, which establishes the influence of the nanoparticles in the fluid. However, the enhancement in the properties of nanofluid is limited 90 91 up to a critical concentration, beyond which the properties of the nanofluid drops. The reason is attributed to reduced stability of the nanofluid at higher concentrations due to the 92 agglomeration and sedimentation of the nanoparticles [28]. Recent reports [12] reveals that 93 binary nanofluids exhibits better properties as compared to conventional nanofluids, due to 94 the combined effect of two or more particles [13]. Bhalla at al. [14] investigated the influence 95 96 of Al₂O₃/Co₃O₄ binary nanofluid on direct solar absorption system and compared it with that of the surface absorption system. The authors noticed 5.4°C rise in the temperature for 97 optimum direct absorption fluid compared to the surface absorption system. The reports of 98 Chen et al. [15] reveals that improved optical properties are noted for binary nanofluid in 99 100 which a broad absorption of solar radiation was observed. Zeng and Xuan [16] reports that the plasmonic effect of noble nanoparticles exhibits high photo thermal conversion. SiO₂/Ag 101 102 is one of the commonly used plasmonic nanoparticles. However, the hybrid nanoparticles are found to be larger in size due to which the stability of the nanofluid is affected highly. As per 103 104 the reports of Keblinski et al. [17] the particles size have very high impact on stability and properties of the nanofluid. The improved effectiveness of the nanofluid is observed at lower 105 106 particle size. Thermo-optical properties of the nanofluid have very high significance in the direct absorption solar collector [29, 30]. Due to this reason it is highly recommended to 107 employ working fluid with high thermal and optical properties in volumetric absorption solar 108 collectors. From these perspectives, it is clear that the binary nanofluid in which more than 109 one nanoparticles are dispersed, is capable to achieve both. The colloidal stability of the 110 nanoparticles in the fluid is one of the main practical drawback associated with nanofluids. 111 Nevertheless, this issue can be addressed by various methods like addition of surfactants, 112 varying pH of the fluid, surface functionalization of the nanoparticles, etc. By enhancing the 113 mutual repulsion between the particles, the chance of agglomeration of the particles and 114 further sedimentation can be prevented. Zeta potential analysis is one of the method used to 115 quantify the colloidal stability of nanofluids. An absolute value of zeta potential greater the 116

30 mv is considered to yield a stable nanofluid. However, for flow applications the issue of
the stability is less pronounced since the fluid under circulation is in continuous agitation
[18].

120 In the present study the performance evaluation of the volumetric absorption collector using plasmonic SiO₂/Ag-CuO binary nanofluid is investigated experimentally. Additional 121 advantages on photo-thermal conversion of nanofluid could be observed in SiO₂/Ag particles 122 due to the plasmonic effect, the thermal transport within the nanofluid is being influenced by 123 the CuO nanoparticles. The desirability function combined with the response surface 124 methodology (RSM), a widely adopted technique in industries for multi objective response 125 process, was used to optimise the process variables involved in the study [19, 20]. The 126 experiments were conducted at National Institute of Technology Calicut (latitude: 11.3216, 127 128 longitude: 75.9336). Thermo-optical properties exhibited by the nanofluid as well as the collector efficiency and entropy generation of the collector are analysed using the optimised 129 130 SiO₂/Ag-CuO nanofluid, and compared with base fluid. Even though many lab scale studies on the optical properties of plasmonic nanofluid were reported, to the best of the author's 131 knowledge this is the first attempt that investigates the influence of a plasmonic binary 132 nanofluid on a volumetric absorption parabolic collector. 133

134 **2. Materials and methods**

135 2.1 Synthesis of SiO₂/Ag-CuO nanofluid.

SiO₂/Ag-CuO nanofluid was synthesised by two step method in which the particles 136 are added and dispersed in the water. SiO₂/Ag particle used in the fluid was prepared by 137 introducing Ag on the SiO₂ by reducing AgNO₃ with SnCl₂. CuO nanoparticles used are 138 directly purchased from Sigma Aldrich. To achieve a stable suspension, sodium dodecyl 139 sulfonate was used as surfactant. Optimisation of the concentration of nanoparticle and 140 surfactant were done using a desirability function. The detailed procedure of synthesis of 141 nanofluid and optimisation is mentioned in the earlier investigation conducted by the same 142 authors [28]. The optimised nanofluid is then used in the volumetric absorption solar 143 collector. 144

145 2.2. Design and manufacturing of experimental setup.

146 2.2.1 Parabolic reflector

The length of parabolic trough is 1500 mm and the aperture width is 1080 mm. Three troughs of dimensions 500 mm length and 1080mm aperture diameter each were fabricated using the glass wool - epoxy composite. Anodised aluminium sheets were used as the reflector. The reflector sheets were fixed on the glass wool-epoxy composite parabolic trough so that the reflector attain the parabolic trough shape. The rim angle of the parabola is 90° and Eq. 1 represents the parabolic profile of the fabricated trough.

153
$$Y = 0.925X^2$$
 (1)

154 The focal point of the parabola is given by equation 2

155
$$f = \frac{Ap}{2}Cot\theta + \frac{Ap^2}{16f}$$
(2)

156 Where f is focal length of the parabola, θ is the rim angle and A_p, the aperture width of the 157 parabola.

158 The dimensions of the parabolic trough are presented in Table 1.

159

Table. 1: Dimension of parabolic trough fabricated.

Parameter	Dimension
Length of parabola	1.5 m
Distance of focal point	0.272 m
Aperture width	1.05m
Aperture Area	1.575 m^2
Rim angle	90°
Outer tube inner diameter	0.035 m
Inner tube inner diameter	0.015 m

160

161 *2.2.2 Absorber Tube.*

Optical absorptivity and other dimensions of the absorber tube highly influences the thermal and optical efficiency of a parabolic solar collector. In the present system, glass-glass absorber tube made of quartz is used, which enable high transmittance, reducing the optical losses of absorber tube. Moreover, the evacuation of glass- glass annulus could reduce the convective heat losses [10]. A provision was made on the experimental setup to adjust the position of the absorber tube so as to maintain the absorber tube exactly at the focal point of the parabolic trough. Both ends of the absorber tube were sealed using Teflon coupling which
could withstand temperature up to 350°C and high temperature RTV silicon (anabond) was
used as sealant.

171 2.2.3 Solar Tracker

172 Continuous tracking of sun is mandatory for the collector to get perpendicular rays on 173 its surface. To accomplish this a solar tracker was employed. The tracker consist of a geared 174 motor which is connected to the axis of parabolic collector. The sun tracking was achieved 175 using an LDR photo resister as the sensor. The LDR sensor unit (not clear in the figure due to 176 its small size) placed on the trough is connected to geared motor unit with an intermediate 177 PCB circuit.

178 *2.2.4 Experimental procedure.*

The parabolic trough collector used in the present study is located at National Institute 179 of Technology, Calicut in the North-South direction (latitude: 11.3216, longitude: 75.9336). 180 The experiment was carried out on clear sunny days during the month of March and April. 181 182 The hydraulic cycle chosen for the study is shown in Fig 1. According to Fig 1 the nanofluid from a reservoir is pumped to the parabolic collector and then to a heat exchanging unit 183 184 (constant temperature bath). The heat exchanger cools the nanofluid and maintain a constant temperature at the inlet of absorber tube. The nanofluid from the heat exchanger is finally 185 directed to the reservoir. The flow rate of the nanofluid was varied using a valve and flow 186 meter. The inlet and outlet temperatures were noted using calibrated T-type thermocouples, 187 188 connected to a data logger (Agilent). The temperatures were noted at every 5 minutes interval from 09:45 am to 4:15 pm and average temperature for every 30 minutes were determined. 189

As mentioned in Section 2.2 the nanofluid was synthesised based on the range of concentration mentioned in Table 3 and its thermo-optical properties were measured. An optimised process variables of nanofluids were achieved that enables maximum possible solar radiation absorption and thermal conductivity. The nanofluid prepared using this optimised combination is further experimentally analysed to quantify its effect on volumetric absorption parabolic collector (VAPC). The influence of this nanofluid on VAPC at various flow rates starting from 60 lph to 90 lph, were analysed and compared with that of base fluid.





199 Fig. 1. a) Schematic of experimental setup, b) Photograph of fabricated parabolic trough

202 Mathematical formulation used for the estimation of performance parameters are listed 203 below:

204 Useful heat produced (W):

 $Q_u = m. Cp. (T_{out} - T_{in}) \tag{3}$

206 Available direct solar energy:

$$Q_s = A.I \tag{4}$$

208 Optical and thermal efficiency of the parabola was calculated using equation 5 and 6

209
$$\eta_{opt} = \tau_t r_r \tag{5}$$

210
$$\eta_{th} = \frac{Q_u}{Q_s} \tag{6}$$

211 Entropy generation (W/K):

212
$$S_{gen} = mCp \ln(\frac{T_{out}}{T_{in}}) - \frac{Q_s}{T_{sun}} + \frac{Q_o}{T_{amb}}$$
(7)

The entropy generation during the heat transfer from sun to nanofluid and inside absorber tube was estimated using Eq 7. The entropy generated due to the pressure drop during fluid flow is neglected as it was insignificant.

216
$$Q_o = Q_s - mC_p \left(T_{out} - T_{in}\right) \tag{8}$$

217 Energy destruction (W):

$$E_{des} = S_{gen} \times T_{amb} \tag{9}$$

219 Exergy efficiency:

220
$$\eta_{Ex} = 1 - \frac{T_{amb} \times S_{gen}}{\left[1 - \frac{T_{amb}}{T_{sun}}\right] Q_s}$$
(10)

221

222

223 2.4 Experimental Uncertainty Analysis

The uncertainty experimental data was estimated using the method descried by Moffat [26]. Table 2 presents the estimated uncertainty of various parameters. The calibration of thermocouple was done by employing a constant temperature bath as standard. The maximum error in the thermocouple was found to be ± 0.1 K, the uncertainty of Heat gained, thermal and exergy efficiency was calculated from the equation 11-13

229
$$\frac{\sigma Qu}{Qu} = \sqrt{\left(\frac{\sigma m}{m}\right)^2 + \left(\frac{\sigma T_{in}}{T_{in}}\right)^2 + \left(\frac{\sigma T_{out}}{T_{out}}\right)^2}$$
11
230
$$\frac{\sigma \eta_{th}}{\eta_{th}} = \sqrt{\left(\frac{\sigma m}{m}\right)^2 + \left(\frac{\sigma T_{in}}{T_{in}}\right)^2 + \left(\frac{\sigma T_{out}}{T_{out}}\right)^2 + \left(\frac{\sigma I}{I}\right)^2}$$
12
231
$$\frac{\sigma \eta_{Ex}}{\eta_{Ex}} = \sqrt{\left(\frac{\sigma m}{m}\right)^2 + \left(\frac{\sigma T_{in}}{T_{in}}\right)^2 + \left(\frac{\sigma T_{out}}{T_{out}}\right)^2 + \left(\frac{\sigma T_{amb}}{T_{amb}}\right)^2 + \left(\frac{\sigma I}{I}\right)^2}$$
13

VariablesUncertaintyFlow rate $\leq \pm 2.5 \%$ Solar irradiance $\leq \pm 5.00 \text{ W/m}^2$ Heat Gained $\leq \pm 2.53 \%$ Thermal efficiency $\leq \pm 2.6 \%$ Exergy efficiency $\leq \pm 2.62 \%$

Table. 2: Uncertainties of variables

233

232

234 **3. Result and discussion**

235 3.1 Characterisation of nanofluids

Characterisation was limited to measurement of solar absorptivity and thermal conductivity of nanofluid and morphological analysis of nanoparticles. Data obtained from the UV-vis spectrometer (Avantes) was used to estimate the solar radiation absorbed fraction (SRAF). To quantify the thermal conductivity exhibited by the nanofluids, a thermal properties analyser (KD2 pro) was employed. Morphology of the nanoparticles were analysed using the field emission scanning electron microscope (Hitachi SU 6600) and are presented in Fig 2.





245 **Fig. 2.** SEM images a) SiO₂, b) SiO₂/Ag nanoparticles.

246 3.2 Optimisation of SiO₂/Ag-CuO plasmonic binary nanofluid

The optimisation of the nanofluid is detailed in the earlier publication by the same authors [28]. Desirability approach on RSM was adopted to optimise the process variables involved in the synthesis of nanofluid. Desirability function is a widely adopted approaches 250 to optimise multi objective problems [20]. The regression equation for relative thermal conductivity and SRAF obtained from the central composite design of response surface 251 methodology (Eq. 14 and 15) was taken for the desirability approach [28]. The objective of 252 the optimisation was to maximise SRAF and thermal conductivity of the nanofluids. In this 253 approach the variables such as mass of nanoparticles like SiO₂/Ag and CuO, surfactant are in 254 the design range (between upper limit and lower limit), while the responses like thermal 255 conductivity and SRAF are set to be maximal. Table 3 presents the goal, lower and upper 256 limit and importance of each process variables. The optimal combination of process variables 257 258 was obtained as 206.3 mg of SiO₂/Ag per litre of DI water and correspondingly, 864.7 and 1996.2 mg of CuO and SDS respectively. Figure 3 shows the variation of desirability with 259 change in concentration of particles. It can be seen that, the desirability drops after 260 concentration of SiO₂/Ag particles exceeds 206.3 mg/l, which might be due to the fact that 261 beyond this concentration the stability of the nanofluid decreases resulting in a decrease in 262 thermal conductivity and SRAF. However, the desirability increased with the concentration 263 of CuO and then drops after 864.7mg/l. This could be due to the fact that, as the CuO 264 concentration increases the thermo-optical properties are found to be increased and after a 265 critical concentration the stability of the nanofluid was affected, thus decreasing the 266 267 desirability. Moreover, the stability was found to be increased with surfactant concentration due to which the desirability increases with the concentration of surfactant. The optimised 268 269 concentrations of nanoparticles were found to be stable with a zeta potential of -38.7mV. The RTC and SRAF for the optimised concentration were found to be 1.234 and 82.84% 270 271 respectively from the response equations. To confirm this experimentally, the optimised nanofluid combination was prepared and the experimental value of RTC and SRAF were 272 273 obtained as 1.231 and 81.79% respectively. Since the predicted and experimental values are comparable to each other in addition with the desirability value of one, the results are 274 reliable. The final optimised nanofluid is then taken to the parabolic collector for the analysis 275 of photo thermal conversion and entropy generation. In addition thermal conductivity of the 276 optimised nanofluid in the temperature range, 30°C to 50°C, was measured and presented in 277 the Table 4. The relative thermal conductivity (Thermal conductivity of nanofluid by thermal 278 279 conductivity of water) was also estimated.

280

281 RTC = $1.11825 + (4.64016x10^{-005} \text{ x C}) + (8.23773x10^{-006} \text{ x B}) - (7.08371x10^{-005} \text{ x A}) -$ 282 (2.81400x10⁻⁰⁰⁸ x A x B) + (7.00727x10⁻⁰⁰⁸ x B x C) - (1.48865x10^{-008} x A x C) -

283
$$(1.87837 \times 10^{-008} \times C^2) - (6.43326 \times 10^{-009} \times B^2) + (3.11178 \times 10^{-008} \times A^2)$$

284 (14)
285 $SRAF = 35.2379 + (0.039759 \times C) + (0.010745 \times B) + (0.021866 \times A) - (3.34793E-006 \times A)$
286 $\times B) - (6.48624 \times 10^{-006} \times B \times C) - (6.67764 \times 10^{-006} \times A \times C) - (7.30303 \times 10^{-006} \times C^2) -$
287 $(1.95099 \times 10^{-006} \times B^2) - (1.08898 \times 10^{-005} \times A^2)$
288 (15)
289 Where A, B, and C are mass of SiO₂/Ag, CuO and SDS respectively per litre of DI water.
290



292 Fig .3. Variation of desirability function with process variables.

Table. 3: Conditions adopted during the optimisation.

Name	Goal	Lower limit	Upper limit	Importance
Concentration of	In range	100	1500	4
SiO ₂ /Ag (mg/l)				
Concentration of	In range	100	1500	4
CuO (mg/l)				
Concentration of	In range	100	2000	4
SDS (mg/l)				

 296
 Table 4. Thermal conductivity at various temperature

Temperature (°C)	Relative thermal conductivity	Thermal conductivity (W/mK)
30	1.234	0.7404
35	1.248	0.7491
40	1.262	0.7576
45	1.299	0.7794
50	1.314	0.7886

3.3 Performance of SiO₂/Ag-CuO hybrid plasmonic nanofluid on parabolic collector.

The SiO₂/Ag-CuO nanofluid used as working fluid in the parabolic collector was prepared based on the optimum process variables achieved from the procedure mentioned in 3.2. The optimised valued of mass of particles and surfactant (process variables for preparing the nanofluid) are 206.3 mg/L, 864.7mg/L and 1996.2mg/L of SiO₂/Ag, CuO and SDS respectively. The experiment was carried out on a sunny day during the month of March and April. The Average solar radiation in the experimental location was 850 W/m². The maximum radiation noted was 950W/m² which mostly occur during 12:00 pm to 2:00 pm.

Figure 4 presents the temperature profile of nanofluid and the base fluid at various 306 flow rates. The temperatures were noted from 10:00 am to 4:00 pm. As the figure says the 307 temperature difference decreases with the increase in flow rate of working fluid. A maximum 308 309 temperature difference of 11.27K was noted for the optimum nanofluid at the flow rate of 60 310 lph and 8.4K at 90 lph. The highest noted temperature difference for water was 2.61K, at 60lph. Table 4 shows the maximum temperature difference obtained for SiO₂/Ag-CuO 311 nanofluid and water at various flow rates. It is apparent that the introduction of nanoparticles 312 313 enhanced the performance of the collector by improving the optical and thermal properties of the nanofluid. The improved solar absorptivity of the nanofluid increased the solar thermal 314 315 conversion of the collector and the enhancement in thermal conductivity augmented the heat 316 transfer for nanofluids. The experiments were repeated three times and the reported values 317 are the average, to ensure the repeatability. The variation of temperature difference with solar radiation is plotted and added in the manuscript as Figure 5. As can be seen form the figure 318 319 the temperature difference increases with the solar radiation for a particular flow rate and the variation is almost linear. At a flow rate of 60 lph, the maximum temperature difference 320 obtained was 10.8 °C for 930 W/m². The minimum temperature difference observed at this 321 flow rate was 5.16 °C at a solar radiation of 720 W/m². The maximum temperature noted at 322 90 lph was 8.41 $^{\circ}$ C at 930 W/m² for which the maximum efficiency was also obtained. The 323

maximum observed temperatures were 10.21 $^{\circ}$ C and 8.96 $^{\circ}$ C at flow rates of 70 and 80 lph



325 respectively for a solar radiation of 930 W/m^2

Fig. 4. Temperature profile of nanofluid and water at various flow rates.



Fig. 5 Variation of temperature difference with radiation.



331

Fig. 6. Thermal efficiency plot of nanofluid and water at various flow rates.

The thermal efficiency of the collector was estimated using the equations 3, 4, 5 and 334 6. The transient variation of collector efficiency at various flow rate are shown in Fig. 6. The 335 direct solar irradiance is 850 W/m^2 , which is the estimated average solar radiation at the 336 location. The maximum thermal efficiencies for water are 13.29, 14.55, 14.96 and 15.86% at 337 flow rates of 60, 70, 80 and 90 lph, respectively. The corresponding values of efficiencies 338 estimated for nanofluid are 57.40, 60.41, 63.72 and 64.13% respectively. In addition, it could 339 340 be observed from Fig. 6 that the maximum efficiency was obtained during the time period of 341 12:00 pm to 2:00 pm. As mentioned before, the efficiency of the collector depends on the thermo-optical properties of the working fluid. Plasmonic SiO₂/Ag nanoparticles used in the 342 present investigation exhibited an additional improvement in the optical absorptivity of the 343 fluid which in turn resulted in better photo thermal conversion. It is reported that in 344 comparison with other nanoparticles plasmonic nanoparticles exhibit an additional self-345 heating due to the plasmonic effect, which in turn enhance the photo thermal conversion 346 efficiency of the nanofluid [16, 21]. The presence of CuO in the fluid transfers the absorbed 347

348 solar energy effectively, which is attributed to its higher thermal conductivity [17]. Reynolds number is another parameter that influences the efficiency of the collector. The heat transfer 349 350 becomes more effective as the Reynolds number/ flow rate increases which also results in the increased efficiency of the collector [25, 31]. As explained in equation (6) thermal efficiency 351 352 of the collector is defined as the ratio of useful heat produced to the available direct solar energy. As the flow rate increases the amount of useful heat carried away by the working 353 354 fluid increases. As the flowrate increases the local mixing between the fluid and solid particles and also between the fluid and the tube surface increases which results in enhanced 355 356 thermal transport and reduced thermal loss [32].



Fig. 7. Average entropy generation at various flowrates.







Figures 7 and 8 shows the entropy generation and energy destruction calculated using 361 equations 7, 8, 9 and 10. As can be seen from Figure 7, the entropy generation slightly 362 decreased with the dispersion of nanoparticles in water. The entropy generation was almost 363 constant with change in flow rate in the case of water, while it slightly increased with 364 flowrate for nanofluid. In the present study, two factors could be accounted for the entropy 365 366 generation. 1) Entropy generation due to the heat transfer from solar irradiance to the nanofluid (S1). 2) Entropy generated during to the heat loss from the nanofluid to the 367 surroundings (S2). The contribution of the two sources (S1 & S2) to entropy generation in 368 water and nanofluids at different flow rates is shown in Fig. 9. Among these two sources, the 369

370 entropy generation due to the heating up of the nanofluid as it flows through the collector tube from inlet to outlet (S1) was found to be lesser than the entropy generation due the heat 371 losses from the nanofluid (S2). At a flowrate of 90 lph the S1 for water was 72.14% lower 372 than that of nanofluid. The S1 for water was found to be less compared to nanofluid since the 373 heat gain was less in water when compared to nanofluid. However, entropy generated due to 374 the losses (S2) was found to be less compared to water and reduces with the flow rate for 375 nanofluids. At a flowrate of 90 lph the S2 for water is 81.54% higher than that of nanofluid. 376 The contribution of entropy generation due to heat losses (S2) of water being much higher 377 378 than that of nanofluid is the reason for the slight increase in overall entropy generation (S1+S2) of water with flow rate. On comparing figures 10 and 11 with Fig. 4 it can be seen 379 that, at a particular flow rate S1 increases with temperature difference whereas S2 decreases 380 (Fig 10 and 11). The higher absorption of heat by the plasmonic nanofluids results in higher 381 temperature gain of the fluid and thus contributes to S1. In spite of the high temperature rise 382 of the fluid the heat losses to the ambient is lesser in volumetric absorption systems 383 employing plasmonic nanofluids is evident from the decreasing S2 values. The variation of 384 thermal efficiency and exergy efficiency with the flow rate is presented in Fig 12. It can be 385 seen that in the case of the optimised nanofluid, the exergy efficiency shows a slight decrease 386 387 with flow rate, while thermal efficiency increases. But the exergy efficiency of the nanofluid was found to be higher than that of water with an enhancement of 9.4% at 60 lph. It could be 388 389 surmised that the energy losses associated with the volumetric absorption system reduces with the flow rate while employing nanofluid, while the generated entropy during the gain of 390 391 heat from the sun increases with the flow rate. The increase in overall generation of entropy 392 is attributed to the development of temperature drop between the top wall of the collector and 393 the outlet due to the enhanced heat gain [27]. In addition, unlike the surface absorption based parabolic collector, in volumetric absorption solar collector the working fluid directly absorbs 394 395 and convert the solar irradiance. Since the absorbing medium is in a kinematic state, the flow rate directly affects the conversion of solar energy to heat. At higher flow rate of working 396 fluid, the energy conversion might be incomplete due to the insufficient time available for the 397 energy absorption owing to the rapid motion of nanoparticles in the working fluid. 398





Fig. 9. Variation of average S1 and S2 with various flowrate.







Fig. 11. Instantaneous S2 of nanofluid and water at various flow rates.





Fig. 12. Thermal efficiency and exergy efficiency at various flowrate.

Table. 5. Maximum temperature difference, thermal efficiency and exergy efficiency
 obtained for nanofluid and base fluid.

Flow	Temperatu	re Difference (K)	Thermal I	Efficiency (%)	Exergy et	fficiency (%)
rate	Base	Nanofluid	Base	Nanofluid	Base	Nanofluid
(lph)	fluid		fluid		Fluid	
60	2.71	10.8	13.80	55.01	7.97	8.64
70	2.46	10.01	14.55	59.23	7.96	8.59
80	2.21	9.06	14.96	61.34	7.95	8.52
90	2.08	8.41	15.86	64.12	7.95	8.48

410

411 **4.** Conclusion

412 The study demonstrates the favourable influence of binary SiO_2/Ag -CuO nanofluid on 413 augmenting the performance of volumetric absorption parabolic solar collector. The 414 constituents in the nanofluid was optimised using the response surface methodology and 415 desirability function. Nanofluid of optimum constituents (RTC of 1.234 and SRAF of 416 82.84%) was used as the working fluid in the volumetric absorption parabolic solar collector
417 and the effect of flow rate on various performance parameters were estimated. The major
418 findings are summarised as follows:

- A maximum temperature difference of 10.8K was observed for nanofluid at 60lph and
 8.41K at 90 lph.
- SiO₂/Ag-CuO nanofluid improved the thermal performance of the collector with a maximum overall enhancement of 48.74% in thermal efficiency noted at a flow rate of 90lph.
- Increase in the flow rate leads to enhanced thermal efficiency of the collector, the
 maximum thermal efficiency of 55.01% and 64.12% were obtained at 60lph and
 90lph.
- The presence of SiO₂/Ag-CuO nanofluid reduced the entropy generation and thus
 improved the exergy efficiency of the collector. However, entropy generation
 increased with the flow rate which in turn reduced the exergy efficiency.
- Exergy efficiency of collector using nanofluid was enhanced by 8.4% at 60 lph, in
 comparison with water.

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1	Energy and Exergy analysis of SiO ₂ /Ag-CuO plasmonic nanofluid on direct absorption
2	parabolic solar collector
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9	ABSTRACT
10	Experimental investigations on the application of SiO ₂ /Ag-CuO plasmonic nanofluid
11	on direct/volumetric absorption parabolic solar collectors is presented in this article. The
12	process variables for the preparation of nanofluid were optimised by employing the
13	desirability function and response surface methodology (RSM). The optimisation was
14	performed to achieve nanofluid with maximum possible thermal conductivity and solar
15	absorptivity. The final solar radiation absorbed fraction and relative thermal conductivity
16	noted for the optimised nanofluid was 82.84% and 1.234, respectively. The performance of
17	the collector was evaluated at various flow rates from 60 lph to 90 lph, using water and
18	optimised nanofluid as the heat transfer fluid. It is noted from the results that the thermal
19	efficiency of the collector increases with the flow rate whereas, the exergy efficiency
20	decreases for both water and nanofluid. The highest temperature difference of 11.27K was
21	noted at 60lph for nanofluid which corresponds to a thermal efficiency of 57.47%. A
22	maximum thermal efficiency of 64.05% was noted at 90 lph which corresponds to an
23	enhancement of 48.19 % in comparison with water. Exergy efficiency of the nanofluid was
24	enhanced by 9.4% at 60 lph, in comparison with water.

Keywords: Volumetric absorption parabolic solar collector, Binary nanofluid, Response
surface methodology, Thermal efficiency, Entropy generation.

30 Nomenclature

.A	Area of parabola (m ²)	T_{in}	Inlet temperature (K)
A _p	Aperture width of parabola(m)	T _{sun}	Temperature of Sun (K)
C _p	Specific heat of working fluid	θ	Rim angle of the parabola
-	(kJ/kg.K)	σ	Uncertainty
E _{des}	Energy destruction (W)	$ au_t$	Transmittance of absorber tube
f	Focal length of the parabola (m)	r _r	Reflectivity of reflector
Ι	Solar irradiance (W/m ²)	η_{ex}	Exergy efficiency
m	mass flow rate (kg/sec)	η_{th}	Thermal efficiency
Qu	Heat gained (W)	η_{opt}	Optical efficiency of the parabola
Qs	Available direct solar energy (W)	RSM	Response surface methodology
Qo	Energy loss (W)	RTC	Relative thermal conductivity
Sgen	Entropy generation (W/K)	SRAF	Solar radiation absorbed fraction
T _{amb}	Ambient temperature (K)	S 1	Entropy generated during the
			transfer of heat to working fluid
			from solar irradiance
T _{out}	Outlet temperature (K)	S2	Entropy generated during the heat
			loss

31

32

1. Introduction

33 The persistent consumption of fossil fuels made them insufficient to meet the overwhelmingly increasing demand of energy. Stepping up the utilisation of sustainable 34 35 energy sources is a widely acknowledged optimistic solution to meet the ever augmenting 36 need for energy. Solar energy, a potential replacement to fossil fuels, provides high hope to overcome the energy crisis to a certain extent, especially in electricity generation and various 37 heating application [1]. Solar energy being a sustainable and clean source of energy is 38 gaining widespread attention for many thermal applications. A number of studies have been 39 reported based on the solar energy conversions like solar thermal conversion, photo electric 40 conversion and photo electric thermal conversion. The solar thermal convertors like dish 41 collector, linear Fresnel reflectors (LFR) and parabolic trough collector (PTC) are the most 42 preferred techniques for the medium and high temperature applications. In these techniques 43 solar radiation is concentrated to a line or a point from which it is transferred to the working 44 fluid (heat transfer fluid). Parabolic collectors are widely used for solar thermal application 45 46 due to its better performance and comparative cost effectiveness. A parabolic trough collector is equipped with three components mainly, the parabolic reflector plate equipped 47 with an absorber tube at its focal point and the working fluid inside the absorber tube. In a 48

49 typical operation of parabolic collector, solar ray is concentrated (using a parabolic reflector) towards the receiver tube placed at the focal line of the reflector, from which the converted 50 energy in the form of heat is transferred by a working fluid for various applications like water 51 heating, space heating, solar refrigeration system and even for power generation [2]. The 52 solar thermal collectors could be coupled with various thermal systems like power generators, 53 54 in order to improve the efficiency of the whole unit. Bakos and Tsechelidou [3] investigated solar trough collector coupled with the lignite fired steam power plant using a TRNSYS 55 simulation software. They found that the Rankine efficiency of the plant improved from 33% 56 57 to 37.64%. They also claim that the solar power plant could reduce the total fuel consumption and thus the CO₂ emission. 58

Apart from the design parameters of the parabolic collector, researchers now a 59 days are focusing on the modification of absorber tubes. Solar absorptivity of the absorber 60 tube is an important parameter that influences the performance of the collector [4, 23]. The 61 62 absorber tube is an intermediate between the solar radiation and the working fluid. The absorption of solar energy will heat up the absorber tube. This heat is then conducted from 63 64 the outer surface to the inner surface of the absorber tube which then is transferred to the heat transfer fluid/working fluid through convection. The intermediate heat losses through 65 66 convection and radiation from the hot absorber tube surface to ambient, results in a deterioration in the performance of the collector [5, 22, 24]. This is where the concept of 67 68 direct/volumetric absorption solar collectors gains significance by significantly reducing the thermal losses since the photo thermal conversion is directly achieved by the heat transfer 69 70 fluid/working fluid [6]. Solar radiation absorption capability of the working fluid is the metric 71 of performance of the volumetric absorption solar thermal conversion systems. The poor 72 solar absorptivity of commonly used working fluids like deionised water, ethylene glycol, 73 thermal oils, etc. renders them unfit for direct application in direct absorption collectors. 74 Improving the solar absorptivity of these fluids is an area of active research [7, 8].

Nanofluids, with enhanced optical properties, are a suitable replacement for 75 conventional heat transfer fluid in volumetric absorption solar collectors. Qin, et al. [9] made a 76 performance evaluation of novel volumetric solar absorption parabolic collector using 77 plasmonic nanofluids with constant absorption coefficient. An additional reflective coating 78 79 was given on the upper half of the receiver tube that enhances the optical path length and 80 investigations were performed by varying the receiver tube diameter. They concluded that thermal efficiency of the collector reduced with the diameter and at optimal diameter the 81 direct absorption collector exhibit better performance than the conventional collectors. The 82

83 authors also claim that direct absorption parabolic collectors are effective at low flowrate (≤0.18kg/s). As per the reports of Bhalla et al. [10] a layer of silicon envelope over the 84 nanofluids could reduce the thermal losses due to convection to the atmosphere. The 85 enhancement on temperature was nearly 3.5°C. Wang et al. [11] introduced a novel technique 86 which improved the efficiency of the direct absorption collector by introducing reverse 87 irradiation. As per their observation the temperature within the fluid was almost uniform 88 89 compared to the directed irradiated system, which establishes the influence of the nanoparticles in the fluid. However, the enhancement in the properties of nanofluid is limited 90 91 up to a critical concentration, beyond which the properties of the nanofluid drops. The reason is attributed to reduced stability of the nanofluid at higher concentrations due to the 92 agglomeration and sedimentation of the nanoparticles [28]. Recent reports [12] reveals that 93 binary nanofluids exhibits better properties as compared to conventional nanofluids, due to 94 the combined effect of two or more particles [13]. Bhalla at al. [14] investigated the influence 95 96 of Al₂O₃/Co₃O₄ binary nanofluid on direct solar absorption system and compared it with that of the surface absorption system. The authors noticed 5.4°C rise in the temperature for 97 optimum direct absorption fluid compared to the surface absorption system. The reports of 98 Chen et al. [15] reveals that improved optical properties are noted for binary nanofluid in 99 100 which a broad absorption of solar radiation was observed. Zeng and Xuan [16] reports that the plasmonic effect of noble nanoparticles exhibits high photo thermal conversion. SiO₂/Ag 101 102 is one of the commonly used plasmonic nanoparticles. However, the hybrid nanoparticles are found to be larger in size due to which the stability of the nanofluid is affected highly. As per 103 104 the reports of Keblinski et al. [17] the particles size have very high impact on stability and properties of the nanofluid. The improved effectiveness of the nanofluid is observed at lower 105 106 particle size. Thermo-optical properties of the nanofluid have very high significance in the direct absorption solar collector [29, 30]. Due to this reason it is highly recommended to 107 employ working fluid with high thermal and optical properties in volumetric absorption solar 108 collectors. From these perspectives, it is clear that the binary nanofluid in which more than 109 one nanoparticles are dispersed, is capable to achieve both. The colloidal stability of the 110 nanoparticles in the fluid is one of the main practical drawback associated with nanofluids. 111 Nevertheless, this issue can be addressed by various methods like addition of surfactants, 112 varying pH of the fluid, surface functionalization of the nanoparticles, etc. By enhancing the 113 mutual repulsion between the particles, the chance of agglomeration of the particles and 114 further sedimentation can be prevented. Zeta potential analysis is one of the method used to 115 quantify the colloidal stability of nanofluids. An absolute value of zeta potential greater the 116

30 mv is considered to yield a stable nanofluid. However, for flow applications the issue of
the stability is less pronounced since the fluid under circulation is in continuous agitation
[18].

120 In the present study the performance evaluation of the volumetric absorption collector using plasmonic SiO₂/Ag-CuO binary nanofluid is investigated experimentally. Additional 121 advantages on photo-thermal conversion of nanofluid could be observed in SiO₂/Ag particles 122 due to the plasmonic effect, the thermal transport within the nanofluid is being influenced by 123 the CuO nanoparticles. The desirability function combined with the response surface 124 methodology (RSM), a widely adopted technique in industries for multi objective response 125 process, was used to optimise the process variables involved in the study [19, 20]. The 126 experiments were conducted at National Institute of Technology Calicut (latitude: 11.3216, 127 128 longitude: 75.9336). Thermo-optical properties exhibited by the nanofluid as well as the collector efficiency and entropy generation of the collector are analysed using the optimised 129 130 SiO₂/Ag-CuO nanofluid, and compared with base fluid. Even though many lab scale studies on the optical properties of plasmonic nanofluid were reported, to the best of the author's 131 knowledge this is the first attempt that investigates the influence of a plasmonic binary 132 nanofluid on a volumetric absorption parabolic collector. 133

134 **2. Materials and methods**

135 2.1 Synthesis of SiO₂/Ag-CuO nanofluid.

SiO₂/Ag-CuO nanofluid was synthesised by two step method in which the particles 136 are added and dispersed in the water. SiO₂/Ag particle used in the fluid was prepared by 137 introducing Ag on the SiO₂ by reducing AgNO₃ with SnCl₂. CuO nanoparticles used are 138 directly purchased from Sigma Aldrich. To achieve a stable suspension, sodium dodecyl 139 sulfonate was used as surfactant. Optimisation of the concentration of nanoparticle and 140 surfactant were done using a desirability function. The detailed procedure of synthesis of 141 nanofluid and optimisation is mentioned in the earlier investigation conducted by the same 142 authors [28]. The optimised nanofluid is then used in the volumetric absorption solar 143 collector. 144

145 2.2. Design and manufacturing of experimental setup.

146 2.2.1 Parabolic reflector

The length of parabolic trough is 1500 mm and the aperture width is 1080 mm. Three troughs of dimensions 500 mm length and 1080mm aperture diameter each were fabricated using the glass wool - epoxy composite. Anodised aluminium sheets were used as the reflector. The reflector sheets were fixed on the glass wool-epoxy composite parabolic trough so that the reflector attain the parabolic trough shape. The rim angle of the parabola is 90° and Eq. 1 represents the parabolic profile of the fabricated trough.

153
$$Y = 0.925X^2$$
 (1)

154 The focal point of the parabola is given by equation 2

155
$$f = \frac{Ap}{2}Cot\theta + \frac{Ap^2}{16f}$$
(2)

156 Where f is focal length of the parabola, θ is the rim angle and A_p, the aperture width of the 157 parabola.

158 The dimensions of the parabolic trough are presented in Table 1.

159

Table. 1: Dimension of parabolic trough fabricated.

Parameter	Dimension
Length of parabola	1.5 m
Distance of focal point	0.272 m
Aperture width	1.05m
Aperture Area	1.575 m^2
Rim angle	90°
Outer tube inner diameter	0.035 m
Inner tube inner diameter	0.015 m

160

161 *2.2.2 Absorber Tube.*

Optical absorptivity and other dimensions of the absorber tube highly influences the thermal and optical efficiency of a parabolic solar collector. In the present system, glass-glass absorber tube made of quartz is used, which enable high transmittance, reducing the optical losses of absorber tube. Moreover, the evacuation of glass- glass annulus could reduce the convective heat losses [10]. A provision was made on the experimental setup to adjust the position of the absorber tube so as to maintain the absorber tube exactly at the focal point of the parabolic trough. Both ends of the absorber tube were sealed using Teflon coupling which
could withstand temperature up to 350°C and high temperature RTV silicon (anabond) was
used as sealant.

171 2.2.3 Solar Tracker

172 Continuous tracking of sun is mandatory for the collector to get perpendicular rays on 173 its surface. To accomplish this a solar tracker was employed. The tracker consist of a geared 174 motor which is connected to the axis of parabolic collector. The sun tracking was achieved 175 using an LDR photo resister as the sensor. The LDR sensor unit (not clear in the figure due to 176 its small size) placed on the trough is connected to geared motor unit with an intermediate 177 PCB circuit.

178 *2.2.4 Experimental procedure.*

The parabolic trough collector used in the present study is located at National Institute 179 of Technology, Calicut in the North-South direction (latitude: 11.3216, longitude: 75.9336). 180 The experiment was carried out on clear sunny days during the month of March and April. 181 182 The hydraulic cycle chosen for the study is shown in Fig 1. According to Fig 1 the nanofluid from a reservoir is pumped to the parabolic collector and then to a heat exchanging unit 183 184 (constant temperature bath). The heat exchanger cools the nanofluid and maintain a constant temperature at the inlet of absorber tube. The nanofluid from the heat exchanger is finally 185 directed to the reservoir. The flow rate of the nanofluid was varied using a valve and flow 186 meter. The inlet and outlet temperatures were noted using calibrated T-type thermocouples, 187 188 connected to a data logger (Agilent). The temperatures were noted at every 5 minutes interval from 09:45 am to 4:15 pm and average temperature for every 30 minutes were determined. 189

As mentioned in Section 2.2 the nanofluid was synthesised based on the range of concentration mentioned in Table 3 and its thermo-optical properties were measured. An optimised process variables of nanofluids were achieved that enables maximum possible solar radiation absorption and thermal conductivity. The nanofluid prepared using this optimised combination is further experimentally analysed to quantify its effect on volumetric absorption parabolic collector (VAPC). The influence of this nanofluid on VAPC at various flow rates starting from 60 lph to 90 lph, were analysed and compared with that of base fluid.





199 Fig. 1. a) Schematic of experimental setup, b) Photograph of fabricated parabolic trough

202 Mathematical formulation used for the estimation of performance parameters are listed 203 below:

204 Useful heat produced (W):

 $Q_u = m. Cp. (T_{out} - T_{in}) \tag{3}$

206 Available direct solar energy:

$$Q_s = A.I \tag{4}$$

208 Optical and thermal efficiency of the parabola was calculated using equation 5 and 6

209
$$\eta_{opt} = \tau_t r_r \tag{5}$$

210
$$\eta_{th} = \frac{Q_u}{Q_s} \tag{6}$$

211 Entropy generation (W/K):

212
$$S_{gen} = mCp \ln(\frac{T_{out}}{T_{in}}) - \frac{Q_s}{T_{sun}} + \frac{Q_o}{T_{amb}}$$
(7)

The entropy generation during the heat transfer from sun to nanofluid and inside absorber tube was estimated using Eq 7. The entropy generated due to the pressure drop during fluid flow is neglected as it was insignificant.

216
$$Q_o = Q_s - mC_p \left(T_{out} - T_{in}\right) \tag{8}$$

217 Energy destruction (W):

$$E_{des} = S_{gen} \times T_{amb} \tag{9}$$

219 Exergy efficiency:

220
$$\eta_{Ex} = 1 - \frac{T_{amb} \times S_{gen}}{\left[1 - \frac{T_{amb}}{T_{sun}}\right] Q_s}$$
(10)

221

222

223 2.4 Experimental Uncertainty Analysis

The uncertainty experimental data was estimated using the method descried by Moffat [26]. Table 2 presents the estimated uncertainty of various parameters. The calibration of thermocouple was done by employing a constant temperature bath as standard. The maximum error in the thermocouple was found to be ± 0.1 K, the uncertainty of Heat gained, thermal and exergy efficiency was calculated from the equation 11-13

229
$$\frac{\sigma Qu}{Qu} = \sqrt{\left(\frac{\sigma m}{m}\right)^2 + \left(\frac{\sigma T_{in}}{T_{in}}\right)^2 + \left(\frac{\sigma T_{out}}{T_{out}}\right)^2}$$
11

230
$$\frac{\sigma\eta_{th}}{\eta_{th}} = \sqrt{\left(\frac{\sigma m}{m}\right)^2 + \left(\frac{\sigma T_{in}}{T_{in}}\right)^2 + \left(\frac{\sigma T_{out}}{T_{out}}\right)^2 + \left(\frac{\sigma I}{I}\right)^2}$$
12

231
$$\frac{\sigma\eta_{Ex}}{\eta_{Ex}} = \sqrt{\left(\frac{\sigma m}{m}\right)^2 + \left(\frac{\sigma T_{in}}{T_{in}}\right)^2 + \left(\frac{\sigma T_{out}}{T_{out}}\right)^2 + \left(\frac{\sigma T_{amb}}{T_{amb}}\right)^2 + \left(\frac{\sigma I}{I}\right)^2}$$
13

232

Table. 2: Uncertainties of variables

Variables	Uncertainty
Flow rate	≤± 2.5 %
Solar irradiance	$\leq \pm 5.00 \text{ W/m}^2$
Heat Gained	$\leq \pm 2.53 \%$
Thermal efficiency	\leq \pm 2.6 %
Exergy efficiency	$\leq \pm 2.62 \%$

233

234 **3. Result and discussion**

235 *3.1 Characterisation of nanofluids*

Characterisation was limited to measurement of solar absorptivity and thermal conductivity of nanofluid and morphological analysis of nanoparticles. Data obtained from the UV-vis spectrometer (Avantes) was used to estimate the solar radiation absorbed fraction (SRAF). To quantify the thermal conductivity exhibited by the nanofluids, a thermal properties analyser (KD2 pro) was employed. Morphology of the nanoparticles were analysed using the field emission scanning electron microscope (Hitachi SU 6600) and are presented in Fig 2.





245 **Fig. 2.** SEM images a) SiO₂, b) SiO₂/Ag nanoparticles.

246 3.2 Optimisation of SiO₂/Ag-CuO plasmonic binary nanofluid

The optimisation of the nanofluid is detailed in the earlier publication by the same authors [28]. Desirability approach on RSM was adopted to optimise the process variables involved in the synthesis of nanofluid. Desirability function is a widely adopted approaches 250 to optimise multi objective problems [20]. The regression equation for relative thermal conductivity and SRAF obtained from the central composite design of response surface 251 methodology (Eq. 14 and 15) was taken for the desirability approach [28]. The objective of 252 the optimisation was to maximise SRAF and thermal conductivity of the nanofluids. In this 253 approach the variables such as mass of nanoparticles like SiO₂/Ag and CuO, surfactant are in 254 the design range (between upper limit and lower limit), while the responses like thermal 255 conductivity and SRAF are set to be maximal. Table 3 presents the goal, lower and upper 256 limit and importance of each process variables. The optimal combination of process variables 257 258 was obtained as 206.3 mg of SiO₂/Ag per litre of DI water and correspondingly, 864.7 and 1996.2 mg of CuO and SDS respectively. Figure 3 shows the variation of desirability with 259 change in concentration of particles. It can be seen that, the desirability drops after 260 concentration of SiO₂/Ag particles exceeds 206.3 mg/l, which might be due to the fact that 261 beyond this concentration the stability of the nanofluid decreases resulting in a decrease in 262 thermal conductivity and SRAF. However, the desirability increased with the concentration 263 of CuO and then drops after 864.7mg/l. This could be due to the fact that, as the CuO 264 concentration increases the thermo-optical properties are found to be increased and after a 265 critical concentration the stability of the nanofluid was affected, thus decreasing the 266 267 desirability. Moreover, the stability was found to be increased with surfactant concentration due to which the desirability increases with the concentration of surfactant. The optimised 268 269 concentrations of nanoparticles were found to be stable with a zeta potential of -38.7mV. The RTC and SRAF for the optimised concentration were found to be 1.234 and 82.84% 270 271 respectively from the response equations. To confirm this experimentally, the optimised nanofluid combination was prepared and the experimental value of RTC and SRAF were 272 273 obtained as 1.231 and 81.79% respectively. Since the predicted and experimental values are comparable to each other in addition with the desirability value of one, the results are 274 reliable. The final optimised nanofluid is then taken to the parabolic collector for the analysis 275 of photo thermal conversion and entropy generation. In addition thermal conductivity of the 276 optimised nanofluid in the temperature range, 30°C to 50°C, was measured and presented in 277 the Table 4. The relative thermal conductivity (Thermal conductivity of nanofluid by thermal 278 279 conductivity of water) was also estimated.

280

281 RTC = $1.11825 + (4.64016x10^{-005} \text{ x C}) + (8.23773x10^{-006} \text{ x B}) - (7.08371x10^{-005} \text{ x A}) -$ 282 (2.81400x10⁻⁰⁰⁸ x A x B) + (7.00727x10⁻⁰⁰⁸ x B x C) - (1.48865x10^{-008} x A x C) -

283
$$(1.87837 \times 10^{-008} \times C^2) - (6.43326 \times 10^{-009} \times B^2) + (3.11178 \times 10^{-008} \times A^2)$$

284 (14)
285 $SRAF = 35.2379 + (0.039759 \times C) + (0.010745 \times B) + (0.021866 \times A) - (3.34793E-006 \times A)$
286 $\times B) - (6.48624 \times 10^{-006} \times B \times C) - (6.67764 \times 10^{-006} \times A \times C) - (7.30303 \times 10^{-006} \times C^2) -$
287 $(1.95099 \times 10^{-006} \times B^2) - (1.08898 \times 10^{-005} \times A^2)$
288 (15)
289 Where A, B, and C are mass of SiO₂/Ag, CuO and SDS respectively per litre of DI water.
290



292 Fig .3. Variation of desirability function with process variables.

Table. 3: Conditions adopted during the optimisation.

Name	Goal	Lower limit	Upper limit	Importance
Concentration of	In range	100	1500	4
SiO ₂ /Ag (mg/l)				
Concentration of	In range	100	1500	4
CuO (mg/l)				
Concentration of	In range	100	2000	4
SDS (mg/l)				

 296
 Table 4. Thermal conductivity at various temperature

Temperature (°C)	Relative thermal conductivity	Thermal conductivity (W/mK)
30	1.234	0.7404
35	1.248	0.7491
40	1.262	0.7576
45	1.299	0.7794
50	1.314	0.7886

3.3 Performance of SiO₂/Ag-CuO hybrid plasmonic nanofluid on parabolic collector.

The SiO₂/Ag-CuO nanofluid used as working fluid in the parabolic collector was prepared based on the optimum process variables achieved from the procedure mentioned in 3.2. The optimised valued of mass of particles and surfactant (process variables for preparing the nanofluid) are 206.3 mg/L, 864.7mg/L and 1996.2mg/L of SiO₂/Ag, CuO and SDS respectively. The experiment was carried out on a sunny day during the month of March and April. The Average solar radiation in the experimental location was 850 W/m². The maximum radiation noted was 950W/m² which mostly occur during 12:00 pm to 2:00 pm.

Figure 4 presents the temperature profile of nanofluid and the base fluid at various 306 flow rates. The temperatures were noted from 10:00 am to 4:00 pm. As the figure says the 307 temperature difference decreases with the increase in flow rate of working fluid. A maximum 308 309 temperature difference of 11.27K was noted for the optimum nanofluid at the flow rate of 60 310 lph and 8.4K at 90 lph. The highest noted temperature difference for water was 2.61K, at 60lph. Table 4 shows the maximum temperature difference obtained for SiO₂/Ag-CuO 311 nanofluid and water at various flow rates. It is apparent that the introduction of nanoparticles 312 313 enhanced the performance of the collector by improving the optical and thermal properties of the nanofluid. The improved solar absorptivity of the nanofluid increased the solar thermal 314 315 conversion of the collector and the enhancement in thermal conductivity augmented the heat 316 transfer for nanofluids. The experiments were repeated three times and the reported values 317 are the average, to ensure the repeatability. The variation of temperature difference with solar radiation is plotted and added in the manuscript as Figure 5. As can be seen form the figure 318 319 the temperature difference increases with the solar radiation for a particular flow rate and the variation is almost linear. At a flow rate of 60 lph, the maximum temperature difference 320 obtained was 10.8 °C for 930 W/m². The minimum temperature difference observed at this 321 flow rate was 5.16 °C at a solar radiation of 720 W/m². The maximum temperature noted at 322 90 lph was 8.41 $^{\circ}$ C at 930 W/m² for which the maximum efficiency was also obtained. The 323

maximum observed temperatures were 10.21 $^{\circ}$ C and 8.96 $^{\circ}$ C at flow rates of 70 and 80 lph



325 respectively for a solar radiation of 930 W/m^2

Fig. 4. Temperature profile of nanofluid and water at various flow rates.



Fig. 5 Variation of temperature difference with radiation.



331

Fig. 6. Thermal efficiency plot of nanofluid and water at various flow rates.

The thermal efficiency of the collector was estimated using the equations 3, 4, 5 and 334 6. The transient variation of collector efficiency at various flow rate are shown in Fig. 6. The 335 direct solar irradiance is 850 W/m^2 , which is the estimated average solar radiation at the 336 location. The maximum thermal efficiencies for water are 13.29, 14.55, 14.96 and 15.86% at 337 flow rates of 60, 70, 80 and 90 lph, respectively. The corresponding values of efficiencies 338 estimated for nanofluid are 57.40, 60.41, 63.72 and 64.13% respectively. In addition, it could 339 340 be observed from Fig. 6 that the maximum efficiency was obtained during the time period of 341 12:00 pm to 2:00 pm. As mentioned before, the efficiency of the collector depends on the thermo-optical properties of the working fluid. Plasmonic SiO₂/Ag nanoparticles used in the 342 present investigation exhibited an additional improvement in the optical absorptivity of the 343 fluid which in turn resulted in better photo thermal conversion. It is reported that in 344 comparison with other nanoparticles plasmonic nanoparticles exhibit an additional self-345 heating due to the plasmonic effect, which in turn enhance the photo thermal conversion 346 efficiency of the nanofluid [16, 21]. The presence of CuO in the fluid transfers the absorbed 347

348 solar energy effectively, which is attributed to its higher thermal conductivity [17]. Reynolds number is another parameter that influences the efficiency of the collector. The heat transfer 349 350 becomes more effective as the Reynolds number/ flow rate increases which also results in the increased efficiency of the collector [25, 31]. As explained in equation (6) thermal efficiency 351 352 of the collector is defined as the ratio of useful heat produced to the available direct solar energy. As the flow rate increases the amount of useful heat carried away by the working 353 354 fluid increases. As the flowrate increases the local mixing between the fluid and solid particles and also between the fluid and the tube surface increases which results in enhanced 355 356 thermal transport and reduced thermal loss [32].



Fig. 7. Average entropy generation at various flowrates.







Figures 7 and 8 shows the entropy generation and energy destruction calculated using 361 equations 7, 8, 9 and 10. As can be seen from Figure 7, the entropy generation slightly 362 decreased with the dispersion of nanoparticles in water. The entropy generation was almost 363 constant with change in flow rate in the case of water, while it slightly increased with 364 flowrate for nanofluid. In the present study, two factors could be accounted for the entropy 365 366 generation. 1) Entropy generation due to the heat transfer from solar irradiance to the nanofluid (S1). 2) Entropy generated during to the heat loss from the nanofluid to the 367 surroundings (S2). The contribution of the two sources (S1 & S2) to entropy generation in 368 water and nanofluids at different flow rates is shown in Fig. 9. Among these two sources, the 369

370 entropy generation due to the heating up of the nanofluid as it flows through the collector tube from inlet to outlet (S1) was found to be lesser than the entropy generation due the heat 371 losses from the nanofluid (S2). At a flowrate of 90 lph the S1 for water was 72.14% lower 372 than that of nanofluid. The S1 for water was found to be less compared to nanofluid since the 373 heat gain was less in water when compared to nanofluid. However, entropy generated due to 374 the losses (S2) was found to be less compared to water and reduces with the flow rate for 375 nanofluids. At a flowrate of 90 lph the S2 for water is 81.54% higher than that of nanofluid. 376 The contribution of entropy generation due to heat losses (S2) of water being much higher 377 378 than that of nanofluid is the reason for the slight increase in overall entropy generation (S1+S2) of water with flow rate. On comparing figures 10 and 11 with Fig. 4 it can be seen 379 that, at a particular flow rate S1 increases with temperature difference whereas S2 decreases 380 (Fig 10 and 11). The higher absorption of heat by the plasmonic nanofluids results in higher 381 temperature gain of the fluid and thus contributes to S1. In spite of the high temperature rise 382 of the fluid the heat losses to the ambient is lesser in volumetric absorption systems 383 employing plasmonic nanofluids is evident from the decreasing S2 values. The variation of 384 thermal efficiency and exergy efficiency with the flow rate is presented in Fig 12. It can be 385 seen that in the case of the optimised nanofluid, the exergy efficiency shows a slight decrease 386 387 with flow rate, while thermal efficiency increases. But the exergy efficiency of the nanofluid was found to be higher than that of water with an enhancement of 9.4% at 60 lph. It could be 388 389 surmised that the energy losses associated with the volumetric absorption system reduces with the flow rate while employing nanofluid, while the generated entropy during the gain of 390 391 heat from the sun increases with the flow rate. The increase in overall generation of entropy 392 is attributed to the development of temperature drop between the top wall of the collector and 393 the outlet due to the enhanced heat gain [27]. In addition, unlike the surface absorption based parabolic collector, in volumetric absorption solar collector the working fluid directly absorbs 394 395 and convert the solar irradiance. Since the absorbing medium is in a kinematic state, the flow rate directly affects the conversion of solar energy to heat. At higher flow rate of working 396 fluid, the energy conversion might be incomplete due to the insufficient time available for the 397 energy absorption owing to the rapid motion of nanoparticles in the working fluid. 398





Fig. 9. Variation of average S1 and S2 with various flowrate.







Fig. 11. Instantaneous S2 of nanofluid and water at various flow rates.





Fig. 12. Thermal efficiency and exergy efficiency at various flowrate.

Table. 5. Maximum temperature difference, thermal efficiency and exergy efficiency
 obtained for nanofluid and base fluid.

Flow	Temperatu	re Difference (K)	Thermal I	Efficiency (%)	Exergy et	fficiency (%)
rate	Base	Nanofluid	Base	Nanofluid	Base	Nanofluid
(lph)	fluid		fluid		Fluid	
60	2.71	10.8	13.80	55.01	7.97	8.64
70	2.46	10.01	14.55	59.23	7.96	8.59
80	2.21	9.06	14.96	61.34	7.95	8.52
90	2.08	8.41	15.86	64.12	7.95	8.48

410

411 **4.** Conclusion

412 The study demonstrates the favourable influence of binary SiO_2/Ag -CuO nanofluid on 413 augmenting the performance of volumetric absorption parabolic solar collector. The 414 constituents in the nanofluid was optimised using the response surface methodology and 415 desirability function. Nanofluid of optimum constituents (RTC of 1.234 and SRAF of 416 82.84%) was used as the working fluid in the volumetric absorption parabolic solar collector
417 and the effect of flow rate on various performance parameters were estimated. The major
418 findings are summarised as follows:

- A maximum temperature difference of 10.8K was observed for nanofluid at 60lph and
 8.41K at 90 lph.
- SiO₂/Ag-CuO nanofluid improved the thermal performance of the collector with a maximum overall enhancement of 48.74% in thermal efficiency noted at a flow rate of 90lph.
- Increase in the flow rate leads to enhanced thermal efficiency of the collector, the
 maximum thermal efficiency of 55.01% and 64.12% were obtained at 60lph and
 90lph.
- The presence of SiO₂/Ag-CuO nanofluid reduced the entropy generation and thus
 improved the exergy efficiency of the collector. However, entropy generation
 increased with the flow rate which in turn reduced the exergy efficiency.
- Exergy efficiency of collector using nanofluid was enhanced by 8.4% at 60 lph, in
 comparison with water.

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Conflicts of interest

The authors hereby declare that there has no conflict of interest

Author contributions

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