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# Enrolling Reactive Oxygen Species in Photon-To-Chemical Energy Conversion: Fundamentals, Technological Advances, and Applications

Irving D. Rettig

Portland State University, [idr@pdx.edu](mailto:idr@pdx.edu)

Theresa M. McCormick

Portland State University

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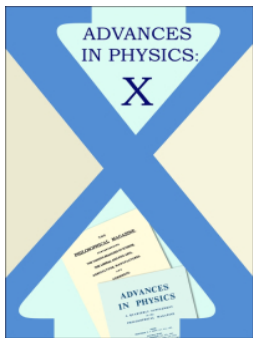
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# Enrolling reactive oxygen species in photon-to-chemical energy conversion: fundamentals, technological advances, and applications

Irving D. Rettig and Theresa M. McCormick 

Department of Chemistry, Portland State University, Portland, USA

## ABSTRACT

In theory, oxygen ( $O_2$ ) is an ideal chemical reagent because of its high relative abundance and negligible environmental toxicity. In practice however, by the nature of its ground state electronic configuration, many chemical reactions involving  $O_2$  are spin forbidden which dramatically decreases its reactivity and thus its utility in applications. More reactive forms of  $O_2$  can be achieved by changing its electronic configuration through the use of photochemical and photophysical methods. This review highlights the roll of photon-to-chemical energy conversion in two of these reactive oxygen species (ROS): superoxide ( $O_2^-$ ) and singlet oxygen ( $^1O_2$ ), which can be accessed through a number of photochemical methods and used in a variety of exciting applications. The theory behind ROS is introduced as produced using light irradiation. Then applications of these methods for chemical transformations are explored.

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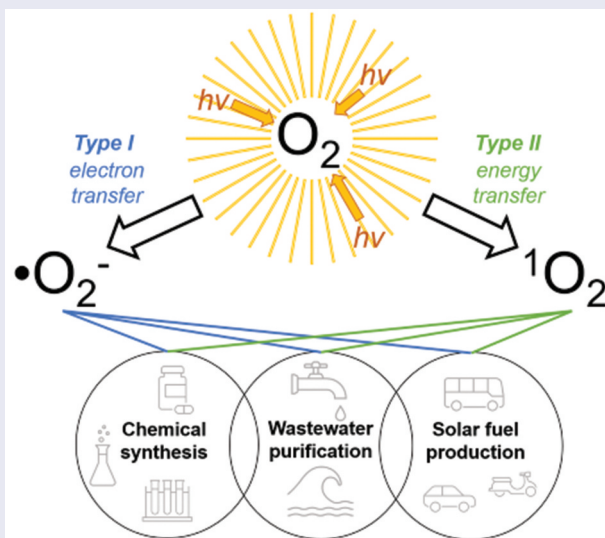
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## Keywords

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Photocatalysis;

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**CONTACT** Theresa M. McCormick  [theresa5@pdx.edu](mailto:theresa5@pdx.edu)  Portland State University, Portland, Oregon PO Box 751, 97207, USA

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## 1. Introduction

Solar energy has emerged as a front runner in sustainable fuel alternatives as part of an effort to move away from fossil fuel energy consumption. By far, solar energy is the most economical and clean alternative-energy-source, and with advancements in solar technology over the last 40 years, has resulted in light harvesting efficiencies that are up to 10 times greater than even plants [1,2]. Though the surface area that could potentially be dedicated to solar energy harvesting is vast, the dispersion and time-dependency of energy generated from solar technology is still a major problem, and often results in the use of fossil fuels to balance power grids when the sun is not shining. Current challenges in photovoltaics therefore do not lie in capturing sunlight, but in its storage and use.

The field of solar energy storage has been dominated by the use of batteries as a solution to this problem [3,4]. Though advances in battery technology have led to widespread commercial use of solar panel technology coupled with lithium ion battery storage, immense limitations on availability of lithium and long term stability necessitates new technologies. An alternative approach to solar energy storage has led to the idea of solar fuel generation, in which the sun is used to propel a chemical transformation that generates a fuel which can then be used at a later time[5]. In addition, applications of solar-to-chemical energy conversion have been utilized in green chemical synthesis, in which chemical products relevant to industrial processes can be synthesized with light.

One emergent technology is using the unique properties of reactive oxygen species (ROS) generated by light and a co-catalyst to access industrially relevant synthetic targets. Photocatalytic aerobic oxidation reactions are a promising category of solar-to-energy conversion technology because of the inexpensive and environmentally neutral combination of light and oxygen to generate an oxidant. The ROS that have gained the most traction in this field are superoxide ( $\text{O}_2^-$ ) and singlet oxygen ( $^1\text{O}_2$ ).

This review will summarize both the fundamentals of  $\text{O}_2^-$  and  $^1\text{O}_2$  generation in aerobic oxidation photocatalysis in addition to providing an overview of the applications of ROS generation in photon-to-chemical energy technologies. The low activity of atmospheric oxygen ( $^3\text{O}_2$ ) will be described and routes to ROS will be explained via theory and application specifically in aerobic oxidative transformations. We will also comment on and compare the homogeneous and heterogeneous technologies that have emerged through the study of ROS in aerobic oxidation reactions and their practical applications in large scale systems.

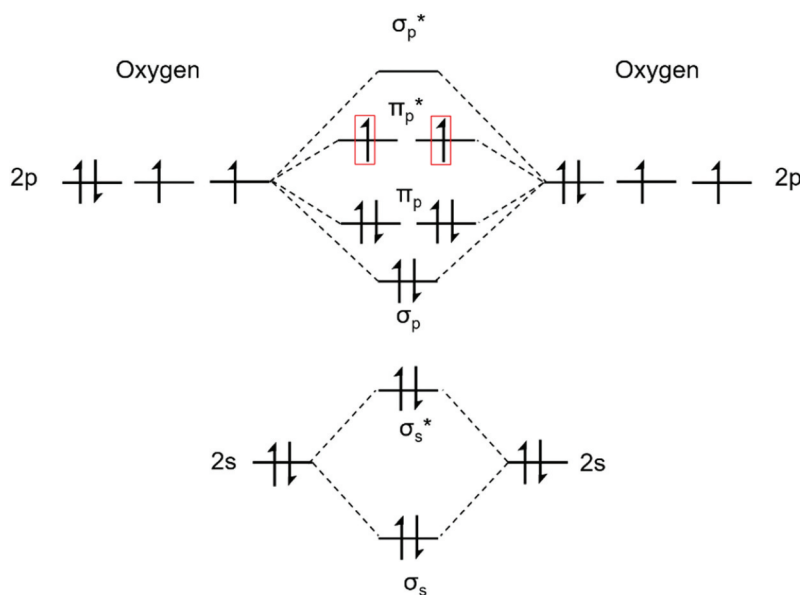
## 2. Fundamentals

### 2.1. Reactive oxygen species

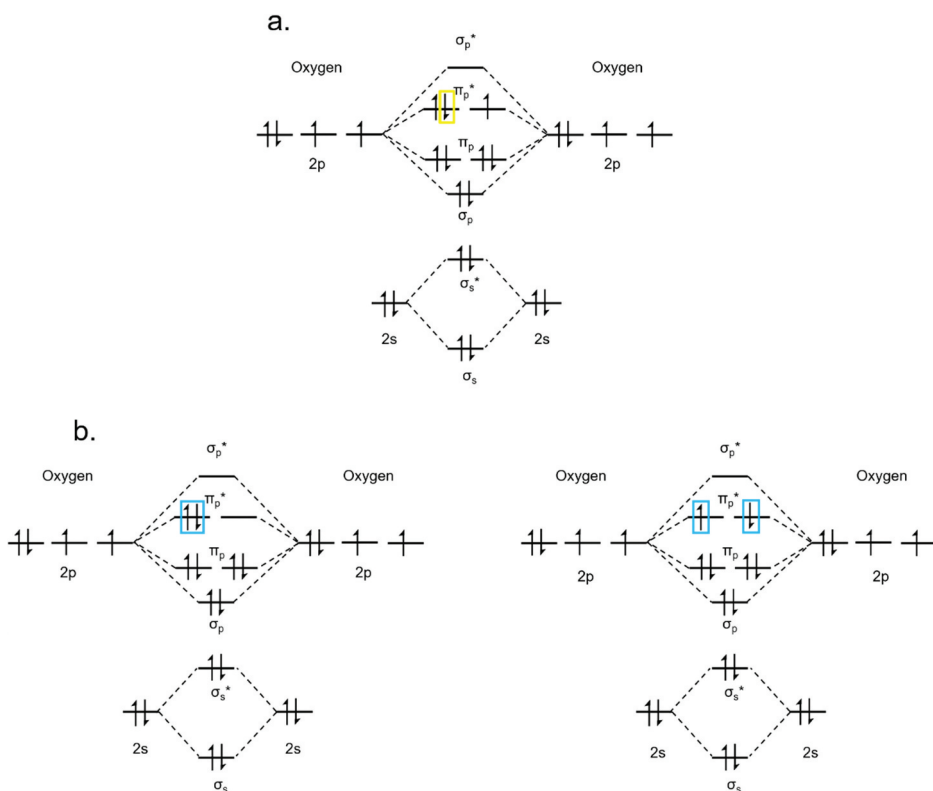
Atmospheric oxygen exists as a triplet-spin-state in the ground electronic state ( $X^3\Sigma_g^-$ )[6]. The degeneracy of the  $\pi$  orbitals of triplet oxygen ( $^3O_2$ ) can be visualized in the crude molecular orbital diagram shown in (Figure 2.1.1).

Selection rules dictate that the reaction between molecules in an electronic triplet state and a singlet state is spin forbidden. The spin selection rule states that the electronic spin state of a system must be maintained. Reactions breaking this rule are often kinetically unfavored even if they are thermodynamically probable. In synthetic chemistry applications, the disparity between triplet spin states and organic compounds with singlet spin states results in low reactivity towards oxidation. Applications involving  $^3O_2$  reactivity are not covered in the scope of this review.

Reactive oxygen species can be generated photochemically from  $^3O_2$  through energy transfer (ET) or charge transfer (CT), which occur as intermolecular photophysical processes.  $O_2^-$  is formed as a doublet spin-state with one unpaired electron (Figure 2.1.2a) via intermolecular CT from a photosensitizer (PS), a compound that upon light absorption is responsible for generating a chemical or electronic change in the acceptor molecule or material. In this case, the PS is first excited to  $PS^*$ , after which  $PS^*$  donates its excited electron into one of the two degenerate  $\pi^*$  molecular orbitals of  $^3O_2$ , resulting in the anionic species  $O_2^-$  and cationic  $PS^+$ .  $PS^+$  can be



**Figure 2.1.1.** Molecular orbital diagram of  $O_2$  in the ground triplet state, with the two unpaired electrons in the degenerate  $\pi_p^*$  orbitals outlined in red.



**Figure 2.1.2.** The molecular orbital diagrams of a)  $\text{O}_2^-$  doublet ( $n = 1$ ) configuration, with one unpaired electron in one of the  $\pi^*$  molecular orbitals and b)  $^1\text{O}_2$  triplet in the two possible electronic configurations.

returned to PS in the presence of a sacrificial electron donor or cationic electrode to make a system catalytic in  $\text{O}_2^-$  generation.

$^1\text{O}_2$  is formed via Dexter ET from sufficient orbital overlap with a  $^1\text{O}_2$  photosensitizer in the triplet excited state ( $^3\text{PS}^*$ ). Because spin conservation is maintained between  $^3\text{PS}^*$  and  $^3\text{O}_2$ , ET can occur between the two. The mechanism of Dexter energy transfer between  $^3\text{PS}^*$  and  $^3\text{O}_2$ , where  $^3\text{O}_2$  quenches the triplet excited state of PS, results in the formation of  $^1\text{O}_2$  as well as  $^1\text{PS}$ . The singlet electronic configurations of  $^1\text{O}_2$  are  $a^1\Delta_g$  (22.5 kcal mol $^{-1}$ ) and  $b^1\Sigma_g^+$  (31.5 kcal mol $^{-1}$ ) and can be depicted by a crude MO diagram (Figure 2.1.2b)[7].

Owing to its utility,  $^1\text{O}_2(a^1\Delta_g)$  has a relatively long lifetime both in the gas phase ( $\sim 1$  h) and in solution ( $1.5 \pm 0.5$  s $^{-1}$  in benzene) as compared to  $^1\text{O}_2(b^1\Sigma_g^+)$  [8,9]. This can be ascribed to the parity forbidden nature of the transition from  $a^1\Delta_g$  to  $b^1\Sigma_g^+$ , whereas the transition from  $a^1\Delta_g$  to  $b^1\Sigma_g^+$  can be experimentally observed through phosphorescent emission at 1268.7 nm[10].

## 2.2. ROS photosensitizers: Type I

Photosensitizers can be categorized based on the type of ROS they generate, and this review will focus exclusively on Type I,  $O_2^-$  producing, and Type II,  $^1O_2$  producing, photosensitizers [11]. In the simplest homogeneous systems, organic Type I photosensitizers can be used alone or in conjunction with a single electron redox mediator in order to afford  $O_2^-$ . In these cases, a peroxy-radical ( $ROO\cdot$ ) intermediate species is formed when photogenerated organic radical ( $R\cdot$ ) reacts with  $^3O_2$ . This can be achieved in basic aerated alcoholic systems through excitation of the solvent matrix with vacuum-UV photolysis or high-energy ionizing radiation [12,13]. Arguably more conveniently, ketones such as benzophenone and anthraquinone are a commonly used class of redox active aromatic photosensitizers which, when used in conjunction with UV light and primary or secondary alcohols, can produce  $O_2^-$  radicals in basic aqueous conditions [14,15]. Direct electron transfer from an organic redox active substrate to  $^1O_2$  can also afford  $O_2^-$ . Substrates including amines, phenols, sulfides, enamines, azines, and azide anions can transfer an electron to photogenerated  $^1O_2$ , resulting in  $O_2^-$  and the oxidized redox mediator [16–18].

The use of transition metal compounds and materials with the above described redox mediators have also been employed in the generation of  $O_2^-$ , and have provided a more viable route to realizing the use of visible light in  $O_2^-$  generation with longer lifetimes due to a higher resistance to photodegradation. In homogeneous photoredox catalysis, polypyridyl complexes of Ruthenium(II) and Iridium(III) are two of the most commonly used Type I photosensitizers that can engage in single electron transfer processes facilitated by a tertiary amine to generate  $O_2^-$  [19–23]. In these systems, photoinduced metal-to-ligand charge transfer (MLCT) generates the oxidized metal center,  $^*Ru(III)$  or  $^*Ir(IV)$  and a reduced ligand. Reductive quenching of the excited state complex via single electron transfer from a tertiary amine affords  $Ru(I)$  or  $Ir(II)$ . Generation of  $O_2^-$  occurs via electron transfer from the reduced complex and returns the photocatalyst to the ground state. Careful tuning of the electronic and structural properties of these organometallic photosensitizers can switch their photoreactivity, allowing them to function as Type I or Type II photosensitizers under different reaction conditions [24,25].

In heterogeneous systems, such as those with commonly studied photoactive materials like  $TiO_2$ ,  $Bi_2WO_6$ ,  $ZnO$ , or perovskites ( $PdI_2$ ), superoxide generation has been observed occurring at the gas-solid or liquid-solid interface. Photoinduced electron transfer to  $O_2$  at metal oxide surfaces such as these can be coupled to an electrode or a sacrificial electron donor electrolyte to effectively scavenge photogenerated holes ( $h^+$ ) to catalytically produce  $O_2^-$ . The literature in this area has been dominated by superoxide

generation by TiO<sub>2</sub>, seeing the use of photoactive powders, films, quantum dots, nanoparticles, and nanoneedles. Modifications of TiO<sub>2</sub> surfaces seek to improve rates of reduction and yield of O<sub>2</sub><sup>-</sup> by reducing electron-hole recombination rates and targeting faster charge transport, as well as more favorable light absorption properties of these materials (i.e. red-shifted absorption profiles). Some modifications include doping (both organic and metallic), self-doping, and surface modification via dye adsorption. Perhaps the most relevant application to solar fuel production by modified TiO<sub>2</sub> materials is hydrogen peroxide generation via O<sub>2</sub><sup>-</sup> production, which will be discussed below.

### 2.3. ROS photosensitizers: Type II

Type II photosensitizers possess specific photophysical characteristics that enable them to generate <sup>1</sup>O<sub>2</sub> via dynamic or static quenching mechanisms. In both cases, a type II photosensitizer has a triplet state (<sup>3</sup>PS\* or T<sub>1</sub>) that is energetically aligned with that of <sup>1</sup>O<sub>2</sub> and a long-lived triplet excited state (τ<sub>T</sub>). Though not a requirement for generating <sup>1</sup>O<sub>2</sub>, photosensitizers with a high quantum yield (Φ<sub>T</sub>) of the excited state triplet are generally favored for synthetic applications.

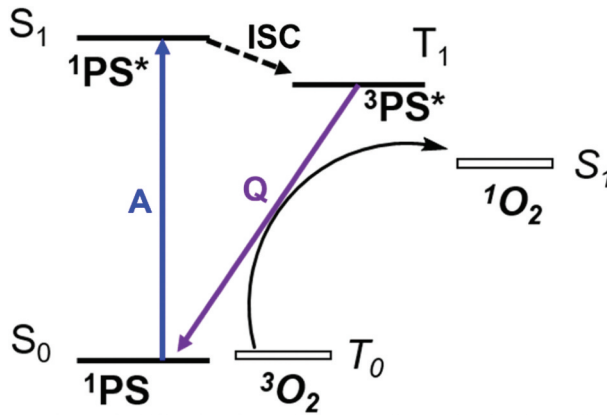
A type II photosensitizer must have an excited state high enough in energy to be able to transfer sufficient energy to <sup>3</sup>O<sub>2</sub>. The energy difference of T<sub>0</sub> → S<sub>1</sub> transition of oxygen lies in the near IR region at ~1270 nm or 0.98 eV, therefore, the energy difference between S<sub>0</sub> and T<sub>1</sub> of the PS must be ≥ 0.98 eV in order to generate <sup>1</sup>O<sub>2</sub>. In addition to this, the <sup>3</sup>PS\* must have a long enough lifetime (τ<sub>T</sub> > 1 μs) to allow for the collision between <sup>3</sup>PS\* and <sup>3</sup>O<sub>2</sub> to occur in solution phase systems. Lastly, the rate of intersystem crossing of the PS should be fast, correlating to a high probability of triplet state formation when a photon is absorbed. Energy transfer from PS to <sup>3</sup>O<sub>2</sub> is commonly visualized with a Jablonski diagram, provided in (Figure 2.3.1).

The efficiency of Type II photosensitizers in generating <sup>1</sup>O<sub>2</sub> can be described by <sup>1</sup>O<sub>2</sub> quantum yield (Φ<sub>Δ</sub>), Equation 2.3.1.

$$\Phi_{\Delta} = \Phi_T \left( \frac{k_{en}[O_2]}{k_r + k_{nr} + k_q[O_2]} \right) = \Phi_T \Phi_{en} \quad (2.3.1)$$

where Φ<sub>T</sub> is triplet quantum yield, [O<sub>2</sub>] is the dissolved O<sub>2</sub> concentration, k<sub>en</sub> is the rate of energy transfer and k<sub>r</sub>, k<sub>nr</sub>, and k<sub>q</sub> are the rates of radiative decay, non-radiative decay, and quenching, all of which dictate energy transfer efficiency. Often, the second term of equation 1 is simplified as Φ<sub>en</sub>, which describes the overall efficiency of energy transfer. The singlet oxygen quantum yields of a variety of photosensitizers, including the ones discussed in this section, are listed below in (Table 2.3.1).





**Figure 2.3.1.** Jablonski diagram of the formation of <sup>1</sup>O<sub>2</sub> from <sup>3</sup>O<sub>2</sub> quenching the excited state triplet of the photosensitizer (<sup>3</sup>PS\*). Absorption (A) in <sup>1</sup>PS forms <sup>1</sup>PS\*, which can intersystem cross (ISC) to <sup>3</sup>PS\*. Quenching (Q) of <sup>3</sup>PS\* by <sup>3</sup>O<sub>2</sub> then forms <sup>1</sup>O<sub>2</sub> and reforms <sup>1</sup>PS.

**Table 2.3.1.** Compilation of singlet oxygen yields ( $\Phi_{\Delta}$ ) from various photosensitizers.

Compound	$\lambda_{\text{ex}}$ (nm)	Solvent	Method	$\Phi_{\Delta}$
<sup>[26]</sup> Rose Bengal ( <b>RB</b> )	515	H <sub>2</sub> O	FFA	0.75
<sup>[37]</sup> Eosin B ( <b>EB</b> )	515, 620	DMSO	DMSO	0.37
<sup>[37]</sup> Eosin Y ( <b>EY</b> )	515, 620	DMSO	DMSO	0.61
<sup>[37]</sup> Fluorescein ( <b>F1</b> )	515, 620	DMSO	DMSO	0.06
<sup>[37]</sup> Methylene blue ( <b>MB</b> )	515, 620	DMSO	DMSO	0.49
<sup>[27]</sup> Toluidine Blue O ( <b>TBO</b> )	629	MeOH	TPCPD	1.00
<sup>[28]</sup> New Methylene Blue ( <b>NMB</b> )	625	D <sub>2</sub> O	rubrene	0.82
<sup>[29]</sup> Zinc phthalocyanine	670	EtOH	DPBF	0.53
<sup>[29]</sup> Zinc tetraphenylporphyrin ( <b>ZnTPP</b> )	540	C <sub>6</sub> H <sub>6</sub>	DPBF	0.94
<sup>[29]</sup> Tetraphenolporphyrin ( <b>TPP</b> )	540	C <sub>6</sub> H <sub>6</sub>	DPBF	0.73
<sup>[30]</sup> C <sub>60</sub> -OH	600	H <sub>2</sub> O	TRIL	0.06
<sup>[31]</sup> Riboflavin ( <b>RF</b> )	560	CH <sub>3</sub> OH	TRIL	0.51
<sup>[31]</sup> Lumiflavin	560	CH <sub>3</sub> OH	TRIL	0.48
<sup>[26]</sup> Anthraquinone-2-carboxylic acid ( <b>ANT-COOH</b> )	365	H <sub>2</sub> O	FFA	1.0
<sup>[26]</sup> Silica-bound Anthraquinone ( <b>ANT-Si</b> )	365	H <sub>2</sub> O	FFA	0.06
<sup>[37]</sup> [Ru(bpy) <sub>3</sub> ] <sup>2+</sup>	515, 620	DMSO	DMSO	0.66

DPBF; diphenylisobenzofuran

DMF, dimethylfuran

TRIL, time-resolved infrared luminescence

FFA, furfuryl alcohol

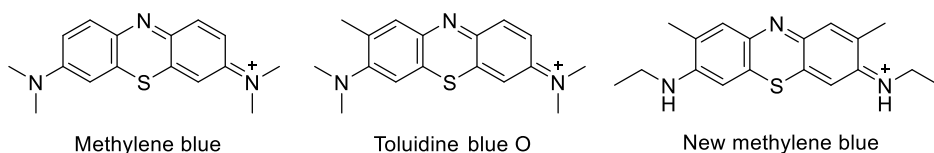
DMSO, dimethylsulfoxide

(Table 2.3.1) includes a variety of detection methods for determining  $\Phi_{\Delta}$ . <sup>1</sup>O<sub>2</sub> can be detected and quantified from its phosphorescent emission at 1270 nm using the appropriate spectroscopic methods (time-resolved infrared luminescence spectroscopy, TRIL), however, indirect methods of detection have also been developed. Compounds that scavenge <sup>1</sup>O<sub>2</sub> can be used to quantify  $\Phi_{\Delta}$  when coupled to the appropriate spectroscopic methods of product detection. Relevant to the values in (Table 2.3.1), diphenylisobenzofuran (DPBF) [32,33], dimethylfuran (DMF) [34] and furfuryl alcohol (FFA) [35,36] fall into this indirect detection method category. The change

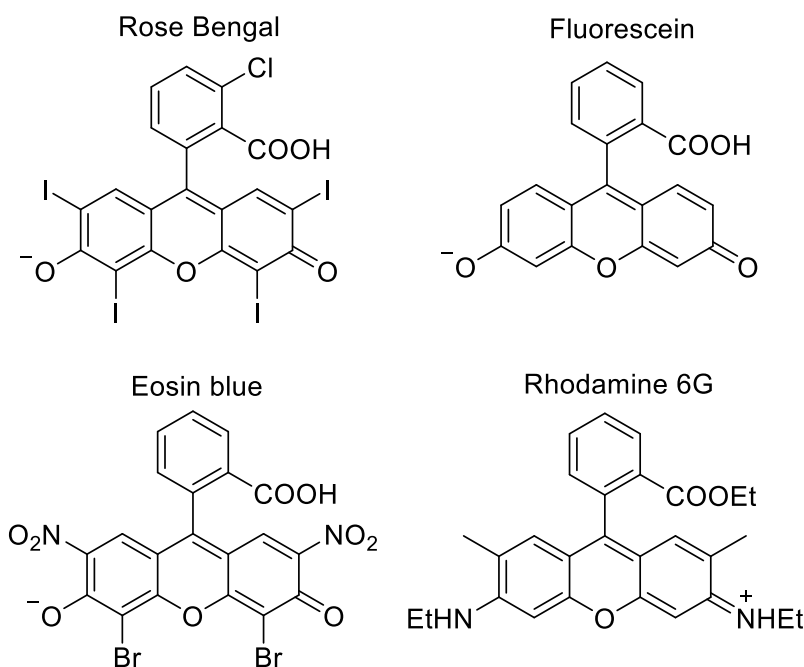
in pressure from  $^1\text{O}_2$  consumption by dimethylsulfoxide (DMSO) [37] as a  $^1\text{O}_2$  scavenger has also been recently reported as an inexpensive method of indirectly measuring  $\Phi_\Delta$ .

In homogeneous photocatalysis, both organic and metal-containing photosensitizers have been developed that can effectively produce  $^1\text{O}_2$  from  $^3\text{O}_2$ . Phenothiazinium and xanthene dyes are two of the most common types of organic singlet oxygen photosensitizers that absorb a wide range of visible light. Most recognizable of the phenothiazinium photosensitizers is methylene blue (Scheme 1) with an absorption range of 550–680 nm, giving it a deep blue color in organic solvents.

These dyes are characterized by a heteroatomic anthracene core with nitrogen and sulfur atoms located *para* to one another in the center thiazine ring. Xanthene dyes, *i.e.* Eosins and Rhodamines (Scheme 2), are another common class of organic singlet oxygen photosensitizers, which can be



**Scheme 1.** Structure of cationic phenothiazinium dyes methylene blue (left), toluidine blue O (center) and new methylene blue (right).



**Scheme 2.** Common xanthene dye derivatives including rose Bengal (top left), fluorescein (top right), eosin blue (bottom left), and rhodamine 6 G (bottom right). Counterions not pictured.

distinguished by their chalcogen containing xanthene ring located *para* to an additional phenyl moiety.

Other organic photosensitizers that have been shown to generate singlet oxygen include aromatic hydrocarbons (naphthalenes, anthracenes and biphenyls) as well as heteroaromatic compounds (quinolines and porphyrins).

As previously described, many organometallic polypyridyl-transition metal complexes also function as Type II photosensitizers. In the absence of a single electron redox mediator, the excited state photosensitizer ( $^1\text{PS}^*$ ) rapidly intersystem crosses to a metal-to-ligand charge transfer triplet state ( $^3\text{PS}^*$ ), which can then be quenched by  $\text{O}_2$  to form  $^1\text{O}_2$  via energy transfer. Ru(II), Ir(II), and Os(II) metals are most commonly used in these sensitizing complexes, though Cr(III), Pd(II), and Pt(II) complexes have also been reported to produce  $^1\text{O}_2$  with appropriate photochemical conditions. Porphyrins are also able to sensitize  $^1\text{O}_2$  in their free-base form or when coordinated to a metal center. Interesting photophysical tunability has been achieved in porphyrin and phthalocyanine derivatives coordinated to a variety of different diamagnetic and paramagnetic metals, including Zn(II), Al(III), Cu(II) and Co(II). In many cases the introduction of a coordinating metal ion to tetraphenylporphine (TPP), a common unmodified porphyrin, improved triplet lifetimes and triplet quantum yield, as well as singlet oxygen quantum yield relative to free-base TPP, improving from 0.62 to 0.65 with Zn coordination (Table 2.3.1).

Heterogeneous  $^1\text{O}_2$  generation has focused on developing immobilized singlet oxygen photosensitizers, as many photoexcited semiconductors like  $\text{TiO}_2$  and ZnO almost exclusively function as Type I photosensitizers. Immobilizing  $^1\text{O}_2$  photosensitizers has been explored due to the practical applications in technologies like wastewater purification or largescale chemical synthesis in which the photosensitizer must be removed before further processing can occur. Reduction in singlet oxygen yield is observed in these immobilized photosensitizer systems when compared to homogeneous photosensitizers, typically due to a decrease in  $\text{O}_2$  diffusion through the heterogeneous material. For this application, polymers are an attractive heterogeneous anchoring source, due to the convenience of an organic covalent attachment strategy to immobilize both organic and inorganic photosensitizers. These photosensitizer containing materials have been employed with both organic and organometallic single molecule photosensitizers. The most notable consequence of immobilizing the photosensitizer is a marked decrease in the rate of photosensitizer photobleaching – also likely a consequence of decreased  $\text{O}_2$  availability in the material – meaning that the photosensitizer containing polymer can be recycled and reused multiple times before significant loss of reactivity is observed. The trend in stability follows that of the aforementioned Type II photosensitizers.

Increased photostability is observed in Ru/Ir(bpy)<sub>3</sub> and metalloporphyrin photosensitizers immobilized on common polymers like polymethylmethacrylate (PMMA), Amberlite™, and silica when compared to organic photosensitizers like Rose Bengal.

In other heterogeneous solar energy harvesting systems, namely organic photovoltaics, quenching of O<sub>2</sub> to form <sup>1</sup>O<sub>2</sub> results in oxidative degradation of the conjugated material [38–42]. Though developing a fundamental understanding of this degradation has led to improvement of these devices, only the utility of <sup>1</sup>O<sub>2</sub> in desired oxidative transformations of reactants will be discussed in this review.

Using light to access the reactive and environmentally neutral potential of oxygen marks an exciting research direction in solar energy harvesting technologies. Though these ROS can be accessed directly with high energy radiation, photosensitizers that can convert low energy UV and visible light makes ROS reaction implementation more desirable in an industrial setting. This has been accomplished homogeneously through the development of both organic and metal-containing chromophores with favorable redox and photophysical properties, like high triplet quantum yields and long triplet lifetimes. Though homogeneous aerobic photocatalysis is an important research venue in this area, the transition from homogeneous to heterogeneous ROS generation has made application of this technology feasible at the industry level, and continues to be an important area of research that capitalizes on this unique photophysical phenomenon.

### 3. Application of ROS generation

Following their generation, ROS can be utilized in a number of different solar energy harvesting technologies that span an impressive breadth of applications. These applications mark the potential of photon-to-chemical energy harvesting that can revolutionize the roll of photochemistry in transforming a variety of industries.

#### 3.1. Fine chemical synthesis

The use of photogenerated ROS in fine chemical synthesis introduces important green synthetic methods to industrial chemical processes. As their name would suggest, ROS possess high kinetic instability, making them incredibly reactive towards organic molecules and have thus been useful in the synthesis of many organic compounds.

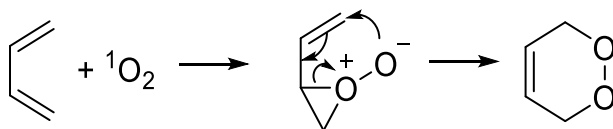
When formed, monovalent O<sub>2</sub><sup>-</sup> typically behaves as a radical anion, causing electron and/or oxygen atom transfer to form a desired product. This radical chemical behavior allows for the generation of other reactive oxygen species from O<sub>2</sub><sup>-</sup> like hydroxyl radicals (•OH), hydrogen peroxide

(H<sub>2</sub>O<sub>2</sub>) and carbon centered peroxide radicals (ROO•), further propagating reactivity and utility. Notably, the oxidation of alcohols to their corresponding aldehydes and carboxylic acids represent an important functional group transformation in organic chemistry that can be achieved using sensitized O<sub>2</sub><sup>-</sup>. The proposed multistep reaction pathway to ketone formation follows a radical mechanism. Initiation occurs between O<sub>2</sub><sup>-</sup> and the primary or secondary alcohol, followed by dismutation and α-carbon deprotonation, as well as formation of a hydroxyl radical and hydrogen peroxide as byproducts.

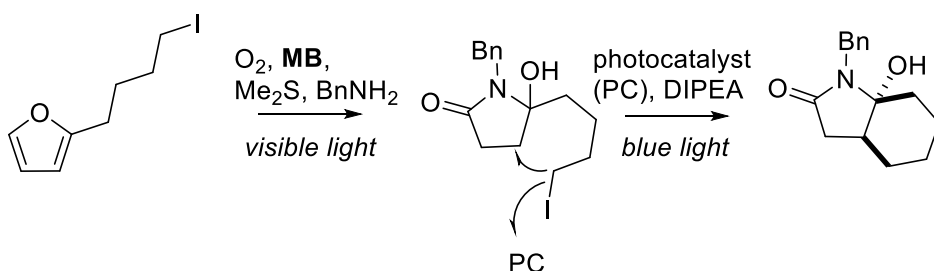
<sup>1</sup>O<sub>2</sub> has shown arguably greater synthetic utility in fine chemical production owing to its relatively high stereoselectivity and interesting reactivity, which has allowed for large scale implementation with the synthesis of unique substitution patterns. Reactivity towards dienes has been particularly useful in the generation of endoperoxides, which can be used in cascading syntheses to generate useful precursors relevant to the pharmaceutical and materials industries. The general mechanism of formation of endoperoxides is shown in (Scheme 3).

Reaction at one of the alkenes gives a dioxygen epoxide intermediate, followed by an attack of the carbon of the adjacent alkene by the anionic oxygen bound to the peroxide. Opening of the epoxide and migration of the double bond yields two new sigma bonds to the dioxygen moiety. Endoperoxide formation is not restricted to this general diene structure and can be readily formed via reaction of singlet oxygen with cyclic dienes, heterocycles and aromatic rings with applications in cascading biomimetic natural product synthesis. Endoperoxides in furans can be further hydrated to hydroperoxides and further reduced to alcohols, another easily modified functional group. An excellent example of this is in the cascade synthesis of pectenotoxins from their corresponding bi-furan derivatives, which has also been implemented in the total synthesis of Pectenotoxin-2 [43–46]. More recently, this reactivity towards furans has been used in a multi-photocatalyst cascade (Scheme 4)[47].

In this case, the singlet oxygen photosensitizer (MB) accomplishes the transformation of furyl-alkyl iodide to a pyrrolone analog with visible light followed by homolytic cleavage of the iodide via electron transfer from the photocatalyst ([Ir(ppy)<sub>2</sub>(dtbpy)]PF<sub>6</sub>). This synthesis strategy afforded the facile production of a variety of complex bioactive alkaloids.



**Scheme 3.** Formation of endoperoxide from 4 + 2 cycloaddition of <sup>1</sup>O<sub>2</sub> to a general diene.



**Scheme 4.** Formation of a general bicyclic lactam from furyl-alkyl iodide using methylene blue (MB) and an Ir(III) photocatalyst. Adapted from *Angew. Chem. Int. Ed.* **2021**, 133(8), 4381–4387.

Immobilized organometallic sensitizers have been applied to large scale synthesis of some of the aforementioned natural product targets and have relatively high product yield with facile sensitizer removal and relative ease in photocatalyst recyclability [48–50]. With the improved development of lab scale photocascades, especially with facile, one-pot methods, applications in large scale synthetic efforts will likely increase.

### 3.2. Wastewater treatment

Though the non-selective and reactive nature of  $O_2^-$  has had less utility in fine chemical synthesis than  $^1O_2$ , it has proven ideal for detoxification and decontamination of wastewater. Photocatalytic aerobic wastewater treatment has been researched with a large breadth of heterogeneous semiconductor materials including  $TiO_2$ ,  $CeO_2$ ,  $ZnO$ ,  $ZrO_2$ ,  $Fe_2O_3$ ,  $WO_3$ ,  $V_2O_5$ ,  $ZnS$ , and  $CdS$ . Though transition metals have also been explored, their susceptibility to poisoning and deactivation has resulted in minimal representation in wastewater treatment applications, but have seen use as dopants in metal oxide materials to improve visible light absorptivity [51–53].  $TiO_2$  has emerged as a front runner in this research arena, as in other areas of solar energy harvesting, because of its high abundance, low production overhead cost, high stability, and favorable photophysical and electronic properties[54].

As discussed previously in Section 2.2, electrons promoted into the conduction band of  $TiO_2$  readily reduce  $O_2$  adsorbed to the surface to generate  $O_2^-$  which can then react with a variety bioactive contaminants.  $O_2^-$  formed in situ can also react with  $H_2O$  to form other oxidizing species like  $H_2O_2$  and  $\bullet OH$ , the oxidation potentials of which are nearly double that of  $O_2^-$  alone. These oxidants expand the scope of substrates that can be decomposed by Type I photosensitizing materials, but only compounds that react with  $O_2^-$  will be discussed.

This reactivity has been particularly effective in the destruction of textile waste dyes. The three most common dyes extruded in wastewater effluent

from textile production are Acid Blue 40, Basic Yellow 15 and Direct Blue 87, all of which contain an azo moiety that is reactive towards  $O_2^-$  [55,56]. Near complete degradation of these azo dyes by photocatalytically produced  $O_2^-$  in the presence of UV light and a variety of different  $TiO_2$ -containing materials has been observed, with decolorization observed in as little as 2 minutes [57]. Similar efficiency has also been seen in  $O_2^-$  mediated degradation of rhodamines, methylene blue, acridine orange, and the reactive dye colors (red, black, and orange), making the realization of zero contaminant wastewater from the textile industry a feasible goal with this technology.

In addition to dyes,  $TiO_2$  generated  $O_2^-$  has been used in the treatment of phenols and phenol analogs; organic aromatic compounds containing one or more hydroxyl group deemed one of the most important petrochemical pollutants to remediate from aquatic systems [57]. In addition, the chlorination step involved typical wastewater treatment can generate chlorinated phenol derivatives, which have been shown to be even more persistent in aquatic environments [58,59]. Remediation studies have been carried out with a batch reactor containing heterogeneous  $TiO_2$ -containing materials (hollow spheres, floating composites, graphite, glass plates, steel fiber, and sand), which when exposed to near-UV radiation (250–350 nm) saw greater than 90% degradation of phenol compounds [60–64]. Typical irradiation times for degradation range from 1–2 hours, with rate dependence on the intensity of the light source and reactant-product equilibrium [65–67]. For photocatalytic aerobic applications of  $O_2$  in fine chemical synthesis, where  $O_2^-$  becomes an unwanted biproduct, phenolic compounds are often employed because of their effective and selective scavenging of  $O_2^-$  from solution [68].

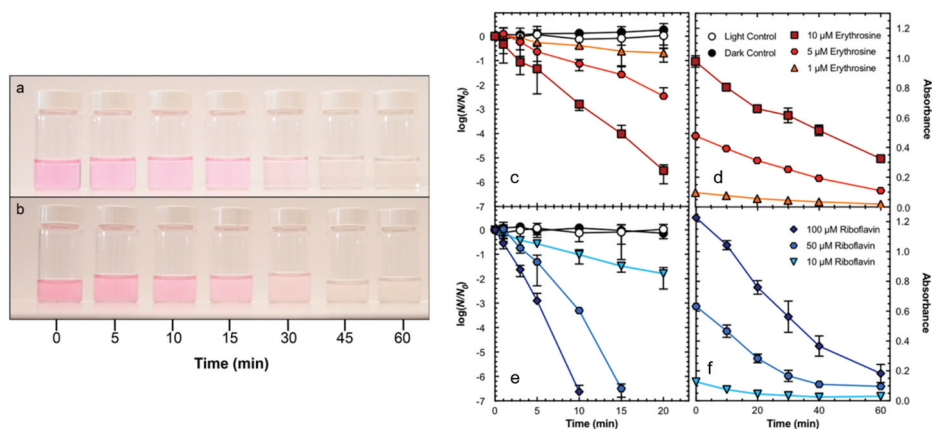
$^1O_2$  has also shown reactivity towards a variety of organic compounds associated with industrial and municipal wastewater effluent. As previously described, organic photosensitizers have shorter lifetimes in solution compared to immobilized dyes due to  $^1O_2$  induced photobleaching. While this presents a challenge to fine chemical synthesis, it has proved surprisingly useful in dye waste remediation. Dyes like Rose Bengal, methylene blue and Eosin Y are Type II photosensitizers, but are also widely used in textile and ink production, where their degradation is necessary to purify the effluent textile wastewater. As a consequence, this has led to interesting research using dyes already present in wastewater to act as remediation photocatalysts. Preliminary experiments based on this observation were accomplished over 30 years ago, but still impact a breadth of waste remediation systems today [17,68–71]. Using self-sensitizing organic waste products present in wastewater has the added benefit of not using an external photosensitizer that requires removal following decontamination.

This innovative strategy has recently been implemented in emergent point-of-use (POU) solar disinfection (SODIS) technologies. Creating simple household and community scale SODIS systems is key to providing



clean drinking water to rural populations in the developing world. This mission has been adopted by the Research Center for Nanotechnology-Enabled Water Treatment (NEWT) at Yale University, which has extensively studied the effectiveness of effluent-generated  $^1\text{O}_2$  in water purification. Type II photosensitizers have been used in SODIS because the oxidative stress caused by  $^1\text{O}_2$  is effective at killing many bacterial species. Because  $^1\text{O}_2$  photosensitizers decolorize upon photobleaching, this has been exploited to serve as an indicator of purity in POU application following successful water detoxification. Erythrosine (E) and Riboflavin (RF) are both edible food dyes that are moderately good singlet oxygen photosensitizers ( $\Phi_{\Delta}[\text{E}] = 0.63$ ,  $\Phi_{\Delta}[\text{RF}] = 0.49$ ) and have been used in personal SODIS systems [72–74]. Simulated and natural sunlight irradiation of either of these edible photosensitizers lead to >99% bacteriophage inactivation within 15 minutes. With continued irradiation, photobleaching of the dyes, as indicated by the water changing from colored to colorless, occurred within 30–60 minutes, and thus functioned as a sufficient visual indicator of full bacteriophage inactivation (Figure 3.2.1).

Similarly to  $\text{O}_2^-$ ,  $^1\text{O}_2$  can oxidatively react with phenol derivatives in a Diels-Alder like cycloaddition that eventually leads to degradation. Both organic and organometallic Type II photosensitizers immobilized on polymers have been applied to the remediation of phenol and its derivatives. In application, aggregation was a leading cause of poor  $\Phi_{\Delta}$  and rapid photobleaching of the photosensitizers, however, the addition of charged detergents remedied this problem. Complete degradation of phenols and chlorophenols



**Figure 3.2.1.** Time-dependent photobleaching color loss of 5 μM erythrosine with (a) 0 and (b) 30 Nephelometric Turbidity Units (NTU) suspended bentonite clay under natural sunlight (290 W/m<sup>2</sup>) in 500 mL PET bottles with a complex water composition, with the corresponding . Inactivation of bacteriophage MS2 (c, e) and photobleaching by loss of absorption maximum (d, f) of Erythrosine (red) and Riboflavin (blue). Reprinted figure with permission from Environ. Sci. Technol. **2018**, 52, 22, 13,361–13,369. Copyright 2018 American Chemical Society.



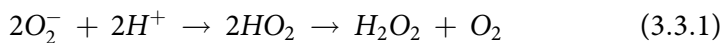
has been observed in the presence of detergent-treated immobilized Rose Bengal, methylene blue and phthalocyanine as well as Al(III), Zn(II), and Ga(III) complexes of phthalocyanines and porphyrins [75,76].

### 3.3. Hydrogen peroxide generation

Perhaps the most exciting and relevant application of ROS to solar energy harvesting is the photocatalytic aerobic generation of hydrogen peroxide ( $H_2O_2$ ) as a solar fuel. The solar fuel field is dominated by research in hydrogen ( $H_2$ ) generation via water splitting. Though  $H_2$  is a high energy density and environmentally neutral fuel option that will aid in reducing the emission of greenhouse gases long term, difficulty arises in storage and transport of this gas. In addition,  $H_2$  fuel cells have their own implementation challenges, namely that they use platinum, a rare and expensive transition metal as the catalyst, and require a structurally complex proton exchange membrane (PEM) to separate the two half reactions. In contrast,  $H_2O_2$  when used as a fuel is a 30% aqueous solution, and considerably more compatible with a liquid fuel-based economy. The unique redox behavior of  $H_2O_2$  allows peroxide fuel cells to function without the need for a membrane and uses an iron catalyst; iron being one of the most abundant and inexpensive metals. With comparable energy output to that of  $H_2$  fuel cells (1.09 eV for  $H_2O_2$  vs. 1.23 eV for  $H_2$ ),  $H_2O_2$  is arguably a more implementable carbon-free fuel alternative that will reduce dependence on fossil fuels.

Presently,  $H_2O_2$  is produced via the anthraquinone process, which is energy intensive and uses petrochemically sourced  $H_2$  as a starting material. In this process, the energy required to generate  $H_2O_2$  is greater than the energy generated from a peroxide fuel cell, and is therefore an impractical synthetic approach for generating  $H_2O_2$  as a fuel. In order to produce  $H_2O_2$  at the scale necessary to meet current fueling demands, alternative methods for  $H_2O_2$  generation must be explored. Coupling  $H_2O_2$  production to solar technology is therefore an extremely important area of research to focus on to realize this goal.

The disproportionation mechanism of  $H_2O_2$  production from  $O_2^-$  is well understood as a biological process catalyzed in living organisms by the superoxide dismutase enzyme. Under acidic aqueous conditions,  $O_2^-$  generated in solution reacts with available protons to produce  $HO_2$  as a highly reactive intermediate, which can then react with itself to form  $H_2O_2$  and  $O_2$  (Equation 3.3.1)[77].

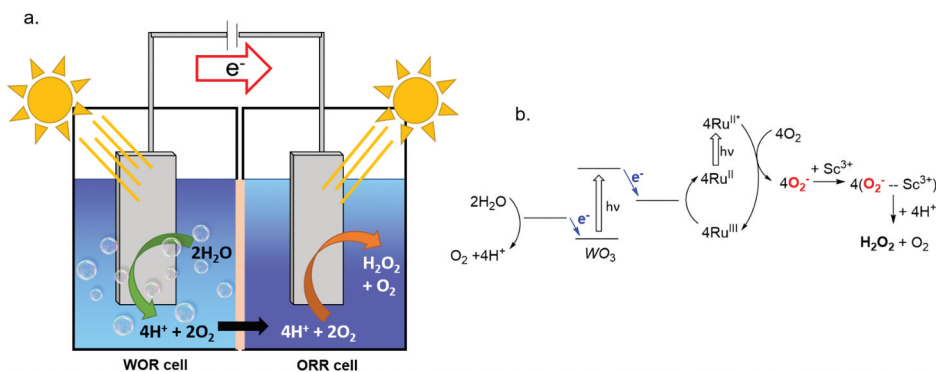


In addition to direct disproportionation, hydroxyl radicals ( $\bullet\text{OH}$ ), a common ROS product of the  $\text{TiO}_2$  oxygen reduction reaction (ORR), can recombine to generate  $\text{H}_2\text{O}_2$ . Photoactive metal oxides represent a diverse set of materials that can realize the two-electron photoreduction of  $\text{O}_2$  to generate  $\text{H}_2\text{O}_2$ . In addition, using heterogeneous materials in the application of this reaction allows for facile solution purification, as well as ease of solution concentration to meet the 30% aqueous concentration required for a peroxide fuel cell.

Both anatase and rutile unmodified  $\text{TiO}_2$  surfaces are capable of photocatalytically generating  $\text{H}_2\text{O}_2$  with irradiation in the presence of water and  $\text{O}_2$ , however, more efficient  $\text{H}_2\text{O}_2$  production has been observed with modified metal oxide materials. As noted in Section 2.2, doping of  $\text{TiO}_2$  with other compounds leads to increased photostability, improved photo-physical properties, and in many cases, improved  $\text{O}_2^-$  yield. Though this has been observed with metal ion doped materials, organic doped  $\text{TiO}_2$  has also been observed to improve  $\text{H}_2\text{O}_2$  production in photocatalytic systems. Carbon, nitrogen, sulfur doping in  $\text{TiO}_2$  have both been extensively studied in waste water purification applications to photocatalytically decompose organic and dye pollutants with  $\text{H}_2\text{O}_2$  [78–82].

In hybridized heterogeneous systems, photocatalytic  $\text{H}_2\text{O}_2$  production via  $\text{O}_2$  reduction has been coupled to water oxidation in a two-compartment cell system to further improve the sustainability of the process (Figure 3.3.1). In these systems, a water oxidation reaction (WOR) photocatalyst in one cell is responsible for 4-electron water oxidation step. The photoexcited electrons from this step are then used to regenerate the ORR photocatalyst following the formation of  $\text{O}_2^-$ .

This process has been accomplished with metal oxide semiconductors as WOR photocatalysts with various modified  $[\text{Ru}(\text{bpy})_3]^{2+}$  photosensitizers,



**Figure 3.3.1.** A) Visualization of the two-compartment cell with WOR (left) and ORR (right). B) Catalytic cycle of the photocatalytic production of  $\text{H}_2\text{O}_2$  from  $\text{H}_2\text{O}$  and  $\text{O}_2^-$  using a Ru photocatalyst ( $\text{Ru}^{\text{II}}$ ,  $\text{Ru}^{\text{II}*}$ , and  $\text{Ru}^{\text{III}}$ ) and a semiconductor photocatalyst ( $\text{WO}_3$ ) facilitated by  $\text{Sc}^{3+}$ . Adapted from RSC Adv. **2016**, 6 (48), 42,041–42,044.

which generate the  $O_2^-$  necessary to form  $H_2O_2$ [83]. In one system,  $WO_3$  and  $BiVO_4$  were compared as photoanodes in the WOR half-cell.  $BiVO_4$  significantly outperformed  $WO_3$ , maintaining a current of 0.52 eV vs. SCE from water oxidation after 15 hours of irradiation[84]. In the ORR half-cell,  $[Ru(bpy)_3]^{2+}$  could use the photogenerated electrons from the water oxidation reaction in the catalyst regeneration step following  $O_2^-$  production. In this same study,  $[Ru(Me_2phen)_3]^{2+}$  and  $[Ru((MeO)_2bpy)_3]^{2+}$  were compared as ORR catalysts to optimize the overall two-compartment cell. Both were observed to have comparable rates of electron transfer, however, UV-Vis experiments showed there was a much larger *in situ* concentration of  $Ru^{3+}$  in an acidic solution of  $[Ru((MeO)_2bpy)_3]^{2+}$  (90%) than in  $[Ru(Me_2phen)_3]^{2+}$  (22%) following continuous irradiation. This resulted in a twofold decrease in the observed photocurrent generated from  $O_2^-$  production. When combined with a Lewis acidic metal to prohibit back electron transfer from  $O_2^-$  to the photocathode, the  $BiVO_4$ ,  $[Ru((MeO)_2bpy)_3]^{2+}$  and  $Sc^{3+}$  dual compartment cell gave the best performance in photocatalytic production of  $H_2O_2$  from  $H_2O$  and  $O_2$  (Figure 3.3.1).

The use of Ni and Fe nanoparticles as WOR catalysts have also been explored in similar systems to further progress towards using earth abundant metals as a source of reactivity. Additional work has continued to apply unique materials as the WOR photoanode such as heteropolynuclear complexes, coordination polymers and transition metal decorated metal oxide surfaces coupled to  $Ru(bpy)_3$  Type I photocatalysts [85–87].

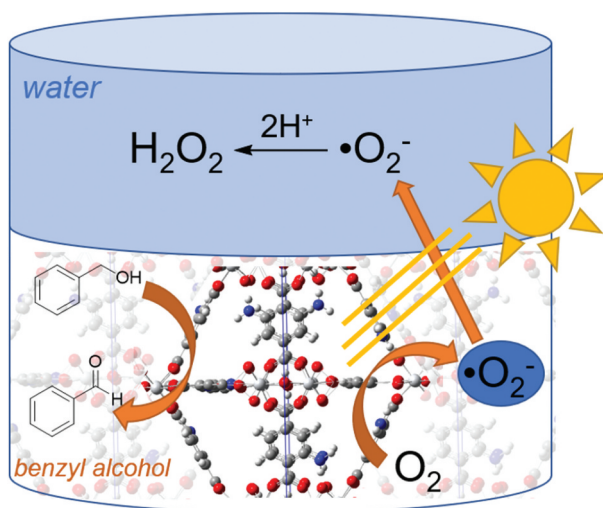
In an effort to simplify this system even further, metal organic frameworks (MOFs) have been applied to single compartment cells for  $H_2O_2$  generation. MOFs are heterogeneous crystalline coordination polymers characterized by their uniquely high porosity as well as excellent charge transfer and light harvesting properties. Efficient charge separation in MOFs have made them excellent candidates for photocatalytic applications including  $CO_2$  reduction [88], water splitting (ORR [89,90] and WOR [91–93]), and fine chemical synthesis [94,95]. With the more recent shift in focus on solar fuels going beyond photocatalytic  $H_2$  production, photoactive MOF research has also expanded to  $H_2O_2$  generation with unique design strategies for implementation.

As previously stated, the nature of the highly conjugated organic linkers of light active MOFs allows for efficient electron transfer to a variety of substrates. Typically, light active MOFs with these favorable characteristics have a ligand to metal charge transfer (LMCT) excited state, wherein a redox active metal or metal cluster can act as a single electron reductant. Pioneering work by Yamashita and coworkers showed that  $O_2^-$  could be readily produced by photocatalytic electron transfer from MIL-125-NH<sub>2</sub>, and in the presence of acidic solution,  $O_2^-$  would then disproportionate to  $H_2O_2$ . Following peroxide formation, the photocatalyst can be regenerated

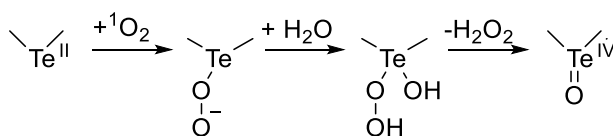
with an appropriate anode or sacrificial electron donor, but arguably more interesting is when it can be paired with an industrially relevant organic oxidative transformation, resulting in the photocatalytic production of two important chemical products. This has been accomplished by the Yamashita group and others applying benzyl alcohol oxidation to photocatalytic  $\text{H}_2\text{O}_2$  production in MOFs. One particularly interesting implementation of this used MIL-125- $\text{NH}_2$  modified with hydrophobic alkyl chains (MIL-125-Rn) to create a biphasic system for separation of the two photocatalytic transformations and isolation of  $\text{H}_2\text{O}_2$  (Figure 3.3.2)[96].

In this two-phase solution of benzyl alcohol and water,  $\text{H}_2\text{O}_2$  produced from  $\text{O}_2^-$  migrated to the water layer from the organic layer, while the MIL-125-Rn remained in the organic layer and was readily reduced following photoinduced electron transfer by benzyl alcohol to generate benzaldehyde. In addition to ease of separation, this system also helped inhibit the photocatalytic reduction of  $\text{H}_2\text{O}_2$  by the photocatalyst, resulting in near fuel level concentrations of aqueous peroxide.

$\text{H}_2\text{O}_2$  production via singlet oxygen generation has been considerably less explored. In practice, using  $^1\text{O}_2$  to afford  $\text{H}_2\text{O}_2$  would be exceptionally useful. The energy transition associated with  $^1\text{O}_2$  generation means that there is no need for a sacrificial electron donor to regenerate the photocatalyst or protect from back electron transfer, which greatly affect the performance of Type I photocatalysts. In biological systems, the mechanism of  $\text{H}_2\text{O}_2$  production via reaction of  $^1\text{O}_2$  and water catalyzed by antibodies has been termed the antibody catalyzed water oxidation pathway (ACWOP)[97]. Extensive kinetic isotopic labeling experiments and molecular dynamics (MD) simulations have led to



**Figure 3.3.2.** Photocatalytic  $\text{H}_2\text{O}_2$  production utilizing the two-phase benzyl alcohol (bottom) and water (top) system. Adapted from *Angew. Chem.* **2019**, 131, 5456–5460.



**Scheme 5.** Reaction of  $^1\text{O}_2$  with a heterocyclic Te center to form  $\text{H}_2\text{O}_2$  and the oxidized Te species, a telluroxide.[101].

a proposed mechanism for ACWOP, in which the antibody uses  $\text{H}_2\text{O}$  as an electron source, generating a  $\text{H}_2\text{O}_3$  dimer prior to formation of  $\text{H}_2\text{O}_2$  and  $^1\text{O}_2$  or  $^3\text{O}_2$  [98,99]. Removed from biological systems however, exhaustive literature survey of this area has only afforded  $\text{H}_2\text{O}_2$  production from  $^1\text{O}_2$ , water and light in a handful of unique photocatalytic systems.

Tellurium containing compounds and chromophores have exhibited unique reactivity in generating  $\text{H}_2\text{O}_2$  from externally sensitized or self-sensitized  $^1\text{O}_2$ . Within a heteroaromatic framework, tellurium exhibits nucleophilic character in a + 2 oxidation state. As such, in the presence of water and  $^1\text{O}_2$ , Te(II) oxidizes to Te(IV), an oxidant species.  $\text{H}_2\text{O}_2$  is generated as a biproduct of this oxidation. Most interestingly, this has been observed in self-sensitizing tellurium chromophores, specifically tellurapyrylium and tellurorhodamine dyes, which act as both a Type II photosensitizer and catalyst for the generation of  $\text{H}_2\text{O}_2$  [100--102]. The general mechanism of  $\text{H}_2\text{O}_2$  production by tellurium-containing dyes is shown in (Scheme 5), where the tellurium active center is highlighted.

Following generation of self-sensitized  $^1\text{O}_2$ ,  $^1\text{O}_2$  oxidatively adds to the Te center, generating a peroxide intermediate. Hydration of the telluroperoxide forms a hydroxy peroxytellurane, which then forms an equivalent of  $\text{H}_2\text{O}_2$  upon formation of the telluroxide[101].

In tellurapyrylium dyes, it has been shown that a second equivalent of  $\text{H}_2\text{O}_2$  can be produced upon thermal reduction of Te(IV) to Te(II), generating two equivalents of  $\text{H}_2\text{O}_2$  in a single catalytic turnover without the use of a sacrificial electron donor[100]. The interesting and diverse chemistry obtained through the photocatalytic oxidation and reduction of tellurium chromophores is still relatively unexplored, especially in the realm of photocatalytic  $\text{H}_2\text{O}_2$  generation and presents a promising avenue for the use of  $^1\text{O}_2$  in solar fuel production.

#### 4. Conclusions

ROS present a challenging and interesting venue of research to explore photon-to-chemical energy storage that will aid in the move away from a solely petrochemical energy economy. In particular,  $\text{O}_2^-$  and  $^1\text{O}_2$  are two ROS that have seen an increased use in photocatalysis due to their diverse reactivity and ease of photogeneration. Both homogeneous and heterogeneous chromophores have been developed to sensitize  $\text{O}_2^-$  or  $^1\text{O}_2$ .

Type I photosensitizers generate  $O_2^-$  via electron transfer from the photosensitizer or photoanode to oxygen in the ground state,  $^3O_2$ , simultaneously generating a photoexcited hole ( $h^+$ ) that must then be reduced to regenerate the photocatalyst. Both the  $O_2^-$  and  $h^+$  generated upon excitation with light have been used in applications of waste water purification and fine chemical synthesis, wherein *in situ* oxidation and reduction can occur at the sites of charge separation. Heterogeneous applications of  $O_2^-$  in wastewater purification, fine chemical synthesis, and solar fuel production has been dominated by modified  $TiO_2$  systems because of ideal photophysical and electronic properties as well as facile photocatalyst removal and recyclability. In some instances, particularly solar fuel production, Type I photocatalysts have been merged with photochemical water oxidation technologies, to make the process of  $O_2^-$  generation even more environmentally sustainable.

Type II photosensitizers undergo Dexter energy transfer to generate  $^1O_2$  from  $^3O_2$  regenerating the singlet state photosensitizer upon  $O_2$  quenching. Homogenous photosensitizers considerably outperform their material counterparts in  $^1O_2$  yield which has led to a well-established research field in anchoring photosensitizers to redox active materials.  $^1O_2$  has also been used in conjunction with  $O_2^-$  in wastewater purification for its reactivity towards aromatic and heteroaromatic contaminants. In fine chemical synthesis, photogenerated  $^1O_2$  has allowed researchers to access synthetically difficult natural products that contain endoperoxide motifs. The full extent of the utility of  $^1O_2$  in the production of  $H_2O_2$  as a solar fuel has yet to be explored, despite its many advantages over  $O_2^-$  in application in this research.

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## ORCID

Theresa M. McCormick  <http://orcid.org/0000-0003-1745-2911>

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