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## **Missense variants in *TAF1* and developmental phenotypes: challenges of determining pathogenicity**

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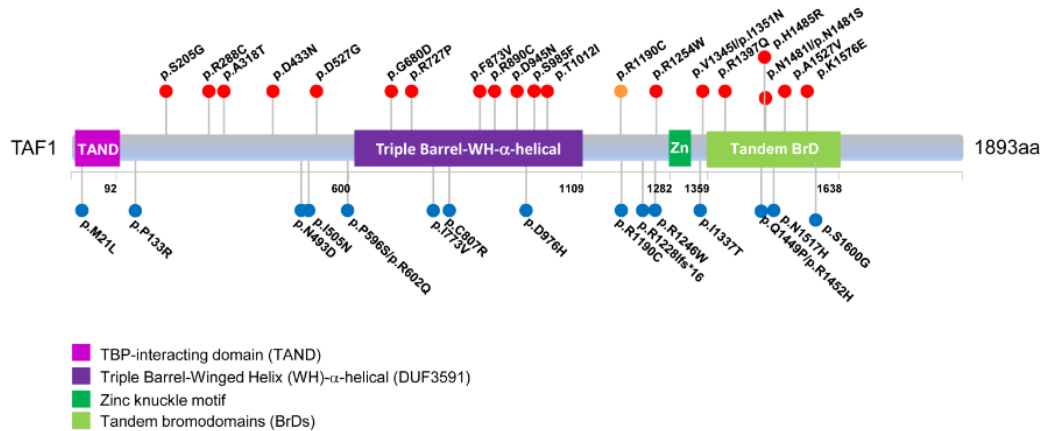
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### **Abstract (word count 196)**

We recently described a new neurodevelopmental syndrome (TAF1/MRXS33 intellectual disability syndrome) (MIM# 300966) caused by pathogenic variants involving the X-linked gene TAF1, which participates in RNA polymerase II transcription. The initial study reported eleven families, and the syndrome was defined as presenting early in life with hypotonia, facial dysmorphism, and developmental delay that evolved into intellectual disability (ID) and/or autism spectrum disorder (ASD). We have now identified an additional 27 families through a genotype-first approach. Familial segregation analysis, clinical phenotyping, and bioinformatics were capitalized on to assess potential variant pathogenicity, and molecular modelling was performed for those variants falling within structurally characterized domains of TAF1. A novel phenotypic clustering approach was also applied, in which the phenotypes of affected individuals were classified using 51 standardized Human Phenotype Ontology (HPO) terms. Phenotypes associated with *TAF1* variants show considerable pleiotropy and clinical variability, but prominent among previously unreported effects were brain morphological abnormalities, seizures, hearing loss, and heart malformations. Our allelic series broadens the phenotypic spectrum of TAF1/MRXS33 intellectual disability syndrome and the range of *TAF1* molecular defects in humans. It also illustrates the challenges for determining the pathogenicity of inherited missense variants, particularly for genes mapping to chromosome X.

## Graphical Abstract

Phenotypes associated with TAF1 variants show considerable pleiotropy and clinical variability, but prominent among previously unreported effects were brain morphological abnormalities, seizures, hearing loss, and heart malformations. Our allelic series broadens the phenotypic spectrum of TAF1/MRXS33 intellectual disability syndrome and the range of TAF1 molecular defects in humans.



**Key Words:** TAF1; transcriptomopathy; Cornelia de Lange; exome sequencing; MRXS33 intellectual disability syndrome

## Introduction

We recently identified individuals from nine families in which missense or splice-site variants in the X-linked gene *TAF1* were associated with hypotonia, developmental delay (DD), and facial dysmorphism, followed by later diagnoses of intellectual disability (ID), autism spectrum disorder (ASD), or both (Hu et al., 2015; O’Rawe et al., 2015). Given the limited number of subjects, the large size of the gene (1893 amino acids), and the fact that TAF1 (TATA-box binding protein associated factor 1) is centrally involved in global pol II transcription, we hypothesized that there could be a wider range of phenotypes associated with mutations in different regions of the TAF1 protein and that missense variant alleles mapping outside functional domains might have less detrimental phenotypic consequences. The very size and complexity of the protein, however, make it challenging to dissect the effects of missense mutations. This syndrome has also been

designated X-linked syndromic mental retardation-33 (MRXS33; MIM: 300966), caused by mutation in *TAF1* mapping to chromosome Xq13.

*TAF1* encodes the largest subunit of the basal transcription factor II D (TFIID), which directs the assembly of the RNA polymerase II (pol II) preinitiation complex (Papai et al., 2011) and is likely required for all pol II gene promoters (Warfield et al., 2017). Human TFIID is composed of TATA-binding protein (TBP) in association with 13 TBP-associated factors (TAFs). TAF1's N-terminal TAND region interacts with TBP to inhibit its DNA-binding activity; a central region interacts with the TAF7 protein; a winged-helix and a Zinc knuckle domain can interact with DNA; and the C-terminus contains tandem bromodomains (BrDs) that bind to acetylated histone tails (Jacobson et al., 2000; Vermeulen et al., 2007), which are present at active promoters. *TAF1* is extremely conserved in evolution. To date, only missense variants have been found; the lack of hemi- and homozygous loss-of-function variants in the protein coding part of the canonical *TAF1* isoform in human population databases suggests that the complete loss of *TAF1* may be embryonic-lethal. This is supported by a recent study in which complete loss of *TAF1* causes embryonic lethality in zebrafish (Gudmundsson et al., 2019). Some recent data have suggested that TAF1 might be involved in altering the morphology and function of the cerebellum and cerebral cortex (Janakiraman et al., 2019).

To acquire a more expansive allelic series, we used a genotype-first approach to identify more individuals with *TAF1* variants from twenty-seven unrelated families. This is the largest cohort of TAF1/MRXS33 intellectual disability syndrome cases amassed to date; we then applied computational algorithms and modelling approaches to interpret variant pathogenicity, including phenotypic clustering analysis. Despite this panoply of tools implemented, we were able to ascribe pathogenicity with confidence to only ten of the identified *de novo* missense rare variant alleles. The following results exemplify the strengths and limitations of current approaches to determining pathogenicity for missense variants.

## **Materials and Methods**

### *Clinical characterization*

Twenty-seven families were identified through a collaboration between fifteen institutions in seven countries (**Table 1**). Most individuals were initially referred to clinics for the investigation of idiopathic developmental delay and/or intellectual disability. Individuals 3, 9, 12, and 22 were identified and sequenced through the Deciphering Developmental Disorders (DDD) study (Wright et al., 2018). Individual 21 was identified in a neonatal/pediatric intensive care unit through rapid turnaround time genomic testing for patients thought likely to have an underlying genetic condition. Preliminary phenotypic information was obtained from clinical records, which ranged in level of detail from a list of key clinical features to detailed history and examination findings. Further clinical information was obtained via email communications with the probands' parents, physicians, or both. Clinical features for each subject are summarized in **Supp. File S1**. The study was performed in accordance with protocols approved by the institutional review boards of the participating institutions. Some cases were sequenced on clinical grounds, with retrospective chart review by the participating clinician. Written informed consent was obtained for publication of photographs in all cases. Patient clinical data have been obtained in a manner conforming with IRB and/or granting agency ethical guidelines.

Each individual's phenotypic features were correlated to a Human Phenotype Ontology (HPO) ID number with the aid of the Human Ontology Browser and PhenoTips. Both positive and negative features were noted, with phenotypic features classified as pertinent negatives if this was explicitly mentioned in the records; otherwise, they were classified as "unknown." The relative prevalence of each phenotype was calculated by dividing the number of individuals positive for the phenotype by the sample size. For the quantitative endophenotypic traits of head circumference, weight and height, percentiles were calculated using CDC growth charts.

*Bioinformatics methodology:*

Variants were identified using exome sequencing (ES) or genome sequencing (GS) primarily through clinical diagnostic testing. The sequencing kits and technology varied according to the laboratories/companies involved (see **Supp. File S1**), and the



variants of interest were highlighted in the molecular diagnostic test reports. For those individuals sequenced at GeneDx, the method analyzed trios using genomic DNA from the proband and both parents, and the exonic regions and flanking splice junctions of the genome were captured using the SureSelect Human All Exon V4 (50 Mb) (Individual 18), the Clinical Research Exome kit (Agilent Technologies, Santa Clara, CA) (Individuals 4, 11, 15 and 20) or the IDT xGen Exome Research Panel v1.0 (Individual 1). Massively parallel (NextGen) sequencing was done on an Illumina system with 100bp or greater paired-end reads. Reads were aligned to human genome build GRCh37/UCSC hg19 and analyzed for sequence variants using a custom-developed analysis tool. Additional details of the sequencing technology and variant interpretation protocol have been previously described (Retterer et al., 2016). The general assertion criteria for variant classification are publicly available on the GeneDx ClinVar submission page (<http://www.ncbi.nlm.nih.gov/clinvar/submitters/26957/>).

Variants were confirmed by Sanger sequencing and parental testing was carried out where possible. Polyphen-2 and SIFT scores were retrieved from the dbNSFP33a database (Liu et al., 2016). For CADD scores, we used the CADD model GRCh37-v1.4. Missense severity, PolyPhen-2, and Constraint (MPC) scores—retrieved for each variant using the official MPC values provided by the authors (Samocha et al., 2017)—were interpreted and classified according to American College of Medical Genetics (ACMG) 2015 Guidelines (Richards et al., 2015). Variants were submitted to the ClinVar database (SUB6338091).

#### *X-chromosome inactivation assay*

X-chromosome inactivation was assessed for degree of skewing by a standard clinical assay at different clinical sites, as previously described (Allen et al., 1992) utilizing the HUMARA locus at Xq12, with *TAF1* in close linkage at Xq13.1.

#### *Human Phenotype Ontology analyses*

**Supp. Table S3** summarizes the observed phenotypes for 24 affected individuals using 51 standardized phenotype terms in Human Phenotype Ontology (HPO) (Köhler et al., 2017). To explore the relationships between affected individuals, these data were analyzed using scipy and scikit-learn, the software tools in Python to perform various machine-learning tasks. First, these data were transformed into a matrix with 24 rows and 51 columns. Each number in the matrix is either 1 (for an individual having the corresponding clinical feature) or 0 (for an individual not having the corresponding clinical feature), multiplied by the information content (Sánchez et al., 2011) of corresponding HPO terms. Then the matrix is transformed into 24 rows by 24 columns using principal component analysis (PCA) and subjected to hierarchical clustering. Euclidean distance was used as the metric among the 24 individuals, and 3.7 was the threshold Euclidean distance to form a cluster. Ward's Method was used as the linkage criterion in hierarchical clustering.

#### *Molecular Modelling*

*TAF1* variants located in the TAF1-TAF7 interaction domain were mapped using the human TAF1 DUF3591-TAF7 crystal structure (resolution: 2.3 Å, PDB entry: 4RGW)(Wang et al., 2014), based on the *TAF1* reference sequence NM\_004606.4. Variants located in the TAF1 bromodomains (BrDs) were mapped using the human TAF1 crystal structure (resolution: 2.1 Å, PDB entry: 1EQF)(Jacobson et al., 2000), based on the reference sequence NM\_138923.3. The double BrD sequence NM\_138923.3 was aligned with the reference NM\_004606.4 and amino acids were labelled accordingly.

We used YASARA View (Krieger et al., 2002) to map the mutated residues on the corresponding structures, which are shown using a ribbon representation overlaid with the molecular surface. Hydrogen atoms have been added to the structures to delineate hydrogen bonds. Atoms are shown only for the mapped residues and their interacting amino acids.

Protein stability (DG) was analyzed using FoldX (Van Durme et al., 2011). After energy minimization, protein stability was expressed as the free energy difference

between the wild-type and the mutant protein (DDG). A variant is considered to destabilize the overall structure when the DDG is  $>0$ . The error margin of the FoldX stability calculation is 0.5 kcal/mol, so differences in this range are not significant.

## Results

### *TAF1* variants

Since the publication of the original cohort (O’Rawe et al., 2015), we gathered twenty-seven unrelated families with missense variants in *TAF1* who were referred for evaluation. Five *TAF1* variants were initially assessed as likely benign (LB) based on familial segregation analysis and *in silico* prediction tool results (**Table 1**, **Supp. Table S1** and **Supp. File S1**). The remaining 22 families were then numbered based on the order of the amino acid affected from the N-terminus to C-terminus of TAF1 (**Figure 1** and **Table 1**). *In silico* prediction analysis results regarding these 22 variants is provided as **Supp. Table S1**, with two families having two affected individuals, for a total of 24 individuals.

These new variants are shown in **Figure 1**, along with the published variants (He et al., 2015; Hu et al., 2015; Niranjana et al., 2015; O’Rawe et al., 2015; Deciphering Developmental Disorders Study, 2017; Kosmicki et al., 2017; Kahrizi et al., 2019; Okamoto et al., 2019), including those currently annotated in the Human Genome Mutation Database (HGMD) v.2019.1 (Stenson et al., 2014). Twelve variants were maternally inherited; ten variants were *de novo*, including two identified in female patients (Family 6 and 12), with significantly skewed X-chromosome inactivation (XCI) ( $>90:10$ ) in the one female (Individual 6) who could be tested. XCI studies were carried out for the mother of the two affected brothers in Family 17 and for the mothers in Families 8 and 19, showing skewing (97:3, 100:0 and 92:8, respectively). The mother in Family 3 had random X-inactivation. When performing the assay on Individual 8’s mother, the same assay was conducted with Individual 8’s DNA, which revealed that the allele transmitted to her son was the one preferentially inactivated in the mother, indicating that the direction of skewing favored the normal allele in this mother. The

other XCI assays were performed on a clinical basis only on the maternal DNA, so the direction of skewing was not ascertained for the mothers in Families 17 or 19.

None of these 22 variants were reported in males in gnomAD population variant database. Only the variants in Individuals 2 and 4 and Family 17 were present in heterozygous females, and in only 1-3 females in gnomAD for each variant (**Supp. Table S1**). Although the variant p.Ala318Thr in Individual 3 was not present in gnomAD, it was noted that the variant p.Ala318Gly was present with minor allele frequency (MAF=0.416%), in 11 homozygous females and in 212 hemizygous females (**Supp. Table S1**). This was by far the most common same-site alteration in gnomAD among these 22 *TAF1* variants, and the p.Ala318Thr variant changes a hydrophobic amino acid (Ala) to a polar uncharged amino acid (Thr), which is predicted by Polyphen-2 to be possibly damaging, in comparison to a p.Ala318Gly change (**Supp. Table S1**). All variants resulted in substitutions affecting highly conserved nucleotide and amino acid sequences (**Supp. Table S1**); CADD-scores were all above 23 (Kircher et al., 2014; Rentzsch et al., 2019), and MPC (Samocha et al., 2017) scores were  $\geq 2$  in most of them, except those in Individuals 1, 2, 3, 4, and 15. (**Supp. Table S1**). SIFT, Polyphen, gDNA phastCons, and phyloP provided supportive evidence for pathogenicity for these variants (**Supp. Table S1**). We classified variants according to the American College of Medical Genetics and Genomics and the Association for Molecular Pathology (ACMG/AMP) framework (Richards et al., 2015), using Intervar (Li and Wang, 2017), which rendered a “Likely Pathogenic” (LP) diagnosis for eleven of them, including ten of these that were *de novo* and one of them that was maternally inherited (Family 14) (**Table 1** and **Supp. Table S1**). The remaining eleven maternally inherited variants were initially classified as VUS, as there was insufficient evidence to confirm pathogenicity. In this regard, while this manuscript was under peer review, additional segregation studies were performed on Family 2, which showed that the maternal uncle of the proband has the variant and is a healthy adult, thus indicating that this variant is likely benign.

Prior genetic analysis of these research participants had included karyotyping, Fragile X testing, and/or chromosome microarray (CMA) testing, with these results being normal in the vast majority of individuals in which this information was available

(exceptions are listed in **Supp. Table S2**, see also **Supp. File S1**). This includes a paternally inherited 15q11.2 microdeletion (father is unaffected) in Individual 14-1 but a normal microarray in the affected uncle 14-2, and a *de novo* terminal deletion of at least 3.6 Mb extending from cytogenetic band 1p36.33 to 1p36.32, associated with the 1p36 microdeletion syndrome, in Individual 15. Other variants of interest that were found during the course of ES or GS are listed in **Supp. Table S2**, with the most notable among these being: 1) a *RAC1 de novo* variant, c.116A>G p.(Asn39Ser) in Individual 3, with *in silico* modeling, mouse fibroblasts spreading assays, and *in vivo* overexpression assays in zebrafish having demonstrated that this variant functions as a dominant-negative allele, resulting in microcephaly, reduced neuronal proliferation, and cerebellar abnormalities *in vivo* (Reijnders et al., 2017); 2) a *HNRNPU de novo* c.837\_839delAGA variant in Individual 11, leading to deletion of glutamic acid at position 279, with LOF mutations associated with intellectual disability (Hamdan et al., 2014; Bramswig et al., 2017; Leduc et al., 2017; Yates et al., 2017); and 3) a genetically confirmed diagnosis of Mucopolysaccharidosis type IIIA - Sanfilippo syndrome due to compound heterozygous mutations in *SGSH* in Individual 14-1. It is well known that multiple molecular diagnoses can be found during the course of ES or GS, and it is likely that the clinical presentation is a blended phenotype in any given individual (Posey et al., 2017; Karaca et al., 2018). To be conservative in our assignment of “pathogenicity” using the ACMG/AMP framework (Richards et al., 2015), however, we have included for these cases the criterion of “BP5-Variant found in a case with an alternate molecular basis for disease” in **Supp. Table S1**. For Family 3 in particular, the presence of the *RAC1 de novo* variant, in conjunction with a random X-chromosome inactivation pattern, casts doubt on possible pathogenicity for this *TAF1* variant.

We also assessed the overall frequency of variants in *TAF1* that might be involved in neurodevelopmental delay by querying the clinical databases of two large providers of clinical exome sequencing, namely GeneDx and Baylor Genetics (BG). In GeneDx, 18,256 probands with a referral indication of neurodevelopmental delay were sequenced by 26<sup>th</sup> March 2019, of which 71 cases were reported as having a variant of uncertain significance (VUS) (n=58), a likely pathogenic variant (n=12), or a likely benign variant (n=1) in *TAF1*. Zero cases were reported as “pathogenic.” Baylor Genetics (BG) had

sequenced ~ 8100 probands with neurodevelopmental deficits as of January 2019 and identified 15 *TAF1* variants, one likely pathogenic and 14 VUS.

### *Clinical Features*

Clinical features are organized by system and summarized in **Table 2** (see also **Supp. Table S2** and **Supp. File S1**). The prevalence estimates are calculated with a denominator of all 24 cases from the 22 families in which there had initially been some suspicion that these variants might be pathogenic (thus likely an underestimate given that some of the variants might turn out to be benign, such as what happened with Family 2).

In keeping with the previously reported patients with *TAF1/MRXS33* intellectual disability syndrome (O’Rawe et al., 2015), the majority of this cohort presented with hypotonia and developmental delay during infancy, followed by later diagnoses of intellectual disability and/or autism spectrum disorder. Delayed speech and language development were common. Dysmorphic facial features, which are particularly prone to observer bias, were more variable, but many patients were noted to have prominent supraorbital ridges, low-set and protruding ears, and a prominent (sometimes anteverted) nasal tip (**Figure 2**). Roughly a third of the subjects have a sacral dimple. Some form of gait disturbance was present in around a third as well. Other less common features included seizures, hearing loss, strabismus, and cardiac and genitourinary anomalies. Digital anomalies (including brachydactyly and preaxial polydactyly) were observed in three cases (**Table 2**).

### *Clustering of affected individuals by Human Phenotype Ontology terms*

The phenotypes of 24 affected individuals were classified using 51 standardized terms in Human Phenotype Ontology (HPO)(Köhler et al., 2017) (**Supp. Table S2**). Hierarchical clustering was implemented (see methods), and as a result, the 24 individuals were divided into four main clusters (**Supp. Figure S1**). Cluster 1 had 6 individuals (most among 4 clusters) with a *TAF1* variant interpreted as “pathogenic” by ACMG/AMP criteria. The individual from Family 3 and Family 22 were in Cluster 1 who has a *TAF1* variant with “uncertain significance” according to ACMG/AMP criteria.

Based on the phenotype-clustering analysis, the *TAF1* variant in Family 3 and Family 22 might be considered likely pathogenic, although we still rate it as “uncertain” (**Supp. Table S1**), as this kind of analysis is exploratory and not currently a part of the ACMG/AMP guidelines (Richards et al., 2015). It is also noted above that Family 3 has a possible alternative molecular explanation, namely a *RAC1 de novo* variant, although oligogenic explanations (with 2 or more mutations in any one individual) are also possible.

We also summarized the HPO phenotypes for each cluster (**Table 2, Supp. Table S3 and Supp. Table S4**). An HPO phenotype is dominant in a cluster, if more than half of individuals in the cluster are positive. Some phenotypic features, such as “Global developmental delay,” “Delayed speech and language development,” “Generalized hypotonia,” “Intellectual disability,” “Feeding difficulties,” “Thin upper lip vermilion,” “Strabismus,” and “Sacral dimple” more frequent in Cluster 1. The phenotypes in Cluster 1 are most similar to the phenotype previously reported in *TAF1/MRXS33* intellectual disability syndrome (O’Rawe et al., 2015). It is possible that cluster 1 represents a more “classic” TAF syndrome, while other clusters consist of individuals with fewer features present, likely due to variable expressivity of the alleles. It is worth noting that Family 2 was in Cluster 4 (the furthest away from the originally reported core *TAF1/MRXS33* intellectual disability syndrome phenotype), and additional segregation data recently showed this maternally inherited variant to be in a healthy adult uncle, thus rendering this variant classification as Likely Benign.

#### *Variants located in the TAF1-TAF7 interaction domain*

This first set of variants (from Families 6-12) includes seven residues located in the *TAF1-TAF7* interaction domain. Based on the available crystal structure (Wang et al., 2014), it was possible to map the residues p.Gly680, p.Arg727, p.Phe873, p.Arg890 and p.Ser985. The first four residues are located on the surface of the structure (**Figure 3A**) (residues p.Asp945 and p.Thr1012 are not visible in the structure). p.Gly680 and p.Arg727 are located in linker loops following an  $\alpha$ -helix (a4) and a  $\beta$ -sheet (b3), respectively. The residues p.Phe873 and p.Arg890 are located in the winged helix of

TAF1, a structure with DNA binding function (Wang et al., 2014). The residue p.Phe873 is located within  $\beta$ -sheet b11, while p.Arg890 is located in the linker loop between  $\beta$ -sheet b12 and  $\alpha$ -helix a9. When calculating the Gibbs free energy difference (DDG) of the ID-associated substitutions, the variants p.Gly680Asp, p.Phe873Val and p.Arg890Cys do not seem to differ from the wild-type molecule (**Table 3**). The substitution of proline to arginine in position 727 is instead predicted to increase the steric hindrance in the variant area, resulting in a DDG of 1.56 (**Table 3**), suggesting that this p.(Arg727Pro) variant could destabilize the overall protein structure.

The residue p.Ser985 is buried within the crystal structure and contacts the residue p.Val987 within the same  $\beta$ -sheet (**Figure 3B**). The residue is the last amino acid of the Gly-rich motif (from p.Gly973 to Ser985), located at the C terminus of the Triple Barrel-Winged Helix (WH)- $\alpha$ -helical domain (**Figure 1**). The Gly-rich motif forms a protein-protein interaction surface, which directly contacts the TAF7 Arg-rich motif (Wang et al., 2014). In addition, the residue p.Ser985 may be involved in maintaining structural stability in this region, as it contacts the residues p.Val971 and p.Ala975, located in the neighbouring  $\beta$ -sheets and in the related linker loop, respectively (**Figure 3B**). The replacement of this residue by Phe possibly impairs the H-bond distributions in the area, losing the contact with the residue p.Ala975. Based on the structural prediction, it is likely that the Ser-Phe substitution at residue 985 affects the interaction between TAF1 and TAF7.

#### *Variants located in TAF1 double bromodomain*

The second set of variants (from Families 17-22) disrupt the double bromodomain (BrD) of TAF1, which mediates binding to acetylated lysines of histone tails (Jacobson et al., 2000). All the disease-associated residues in this domain could be mapped in the available crystal structure. The residue p.Arg1397 is located in an accessible loop region on the surface of the structure (**Figure 3C**). This substitution seems not to alter the free energy of the molecule (**Table 3**).

Residues p.Asn1481 and p.His1485 are located within BrD1, in the loop connecting  $\alpha$ -helices B and C (B-C loop), a structure that has been proposed to be



involved in the direct binding of acetylated lysines (Jacobson et al., 2000). Residue p.Asn1481 is particularly important as it anchors the acetyl group. An asparagine at this position is conserved in many BrDs and its mutation into alanine or tyrosine abrogates acetyl-lysine binding (Filippakopoulos and Knapp, 2012; Filippakopoulos et al., 2012; Flynn et al., 2015). Therefore, mutating p.Asn1481 into isoleucine or serine should also affect acetyl-lysine binding (**Figure 3D**). In contrast, residue p.His1485 has a structural function, contacting the residues p.Gln1489 and p.Gly1482. The substitution of this residue by arginine could impair this function and, based on its localization in the B-C loop and the proximity to the p.Asn1481, it is possible that the anchoring function of p.Asn1481 and the binding of the B-C loop to acetylated lysines are impaired.

Residues p.Ala1527 and p.Lys1576 are located in BrD2 (**Figure 3D**). The residue p.Ala1527 is located in  $\alpha$ -helix Z and is proposed to participate in interactions with the residues p.Asp1523 and p.Ile1531 (Jacobson et al., 2000). These variants do not seem to affect overall structural stability (**Table 3**). The residue p.Lys1576 is located in the  $\alpha$ -helix A (**Figure 3D**), at the interface between the two BrDs, and it has been proposed to participate in electrostatic interactions with glutamate residues in BrD1 (1464, 1465 and 1468). Substitution of p.Lys1576 into glutamic acid could abolish the BrD1-BrD2 interaction, since it introduces a repulsive negative charge at this interface.

## Discussion

One of the most difficult challenges currently facing human genetics is determining whether or not a given missense variant in an individual with a disease is pathogenic and assigning a potential etiological molecular diagnosis. Extensive allelic heterogeneity and genotype/phenotype correlations are more readily interpretable for loss-of-function truncating variants, now that some population datasets of normal control individuals are large enough to enable case-control calculations for this class of variants (Lek et al., 2016; DeBoever et al., 2018; Karczewski et al., 2019; Van Hout et al., 2019). A population-scale study ranked *TAF1* 53<sup>rd</sup> among the top 1,003 constrained human genes (Samocha et al., 2014), indicating a critical role for this protein in normal cellular

functioning, and *TAF1* is highly conserved, with a calculated probability of loss-of-function intolerance (pLI) of 1.0 (genes with pLI  $\geq 0.9$  are considered extremely loss of function intolerant) (Lek et al., 2016). Although missense variants are present in lower numbers in normal control individuals—*TAF1* has a GnomAD (v2.1.1) calculated z-score of 5.49, with 748 missense variants expected, but only 326 observed—it is nonetheless the case that many apparently healthy individuals may still carry missense variants in *TAF1* (perhaps with favorably skewed X inactivation), and the current control datasets are not large enough to enable accurate domain-specific and/or amino acid-level calculations of case-control associations for particular missense variants. As such, it becomes essential to amass other pieces of evidence in order to classify any specific missense variant as “pathogenic” or “likely pathogenic” according to the ACMG/AMP framework (Richards et al., 2015).

It is worth highlighting that two clinical exome sequencing providers (GeneDx and Baylor Genetics) reported the vast majority of variants in *TAF1* as being of uncertain significance, either because of insufficient information or because of a mismatch between the reported phenotype(s) and those reported in OMIM. Just as with disease gene discovery, the construction of an allelic series at each disease gene locus will likely expand the utility of clinical genomic sequencing by enabling clinical interpretation of more variant alleles at a locus. It is also interesting to note that mutations in several other TFIID subunits have been implicated in both neurodevelopmental and neurodegenerative conditions (van Roon-Mom et al., 2005; Zuhlke and Burk, 2007; Hsu et al., 2014; El-Saafin et al., 2018). Pathogenic variants within *TAF2*, *TAF6* and *TAF13* are all associated with autosomal recessive ID with microcephaly (Rooms et al., 2006; Najmabadi et al., 2011; Hellman-Aharony et al., 2013; Yuan et al., 2015). *TBP* is involved in spinocerebellar ataxia type 17 (SCA17), which is a movement disorder with typically later onset (Zuhlke and Burk, 2007).

Only one of the variants investigated here has previously been reported, in two brothers who are hemizygous for the *TAF1* variant, c.3568C>T p.(Arg1190Cys)(Okamoto et al., 2019). Both brothers had global developmental delay, ID, dysmorphic features, and lower limb spasticity. The facial features in Individual 13

and these two boys are similar, with large protruding ears and upturned nasal tip. In addition, this same variant was reported in six individuals with ID and dysmorphic facial features in one large family, as part of a much larger exome sequencing study (Hu et al., 2015), and more clinical details were recently published (Gudmundsson et al., 2019). This one variant has therefore now been identified in three separate families. Individual 13 had been given a clinical diagnosis of Cornelia de Lange syndrome (CdLS), which is based on the presence of craniofacial features, growth failure, ID, and limb malformations/anomalies, which include the classical preaxial hand oligodactyly/radial ray/upper limb anomaly. According to the recently published international consensus statement on CdLS diagnosis and management (Kline et al., 2018), he does meet the criteria for non-classic CdLS, as he has an overall score of 10 points: synophrys, mild (2 points), short nose and upturned nasal tip (2 points), long, smooth philtrum (2 points), possibly downturned corners of mouth (2 points), global developmental delays (1 point), and microcephaly (1 point). In the prior cohort paper, Individual 4A had also been given a clinical diagnosis of CdLS (O’Rawe et al., 2015), and Individual 4 in this current cohort has brachydactyly (**Table 1**). Of note given the CdLS clinical diagnosis in Individuals 13 and 4A, a recent study reported homozygous variants within *TAF6* in two families with CdLS-like features including ID, microcephaly, growth failure and facial dysmorphism with arched eyebrows, synophrys, a thin upper lip and long philtrum (Yuan et al., 2015). This shared clinical gestalt between mutations in *TAF1* and homozygous mutations in *TAF6* in an autosomal-recessive disorder with CdLS-like features has led some to refer to CdLS as a transcriptomopathy (Yuan et al., 2015).

Skewed X-inactivation was seen in the mother of the two boys (Family 1) from the original paper describing *TAF1/MRXS33* intellectual disability syndrome, which was an initial clue to search for variants on the X-chromosome (O’Rawe et al., 2015). A prior study with RNA-sequencing data (Hurst et al., 2018) also revealed a 96:4 skewed X-chromosome inactivation in the mother of a child with a p.Ser1600Gly missense variant, and phased allele expression analysis confirmed extreme skewing in the mother toward the wild-type allele, covering the entire X-chromosome. It is noteworthy that X-chromosome skewing was observed in almost every affected female that could be tested, including Individual 6 and the mothers in Family 8, 17, and 19. It is likely that the

variable expression of any phenotype and penetrance of any clinical features in maternal carriers depends upon tissue-specific levels of X-chromosome inactivation. The fact that the mother of individual 3 had random X-inactivation is one argument against pathogenicity for that variant (p.Ala318Thr), and the lack of X-chromosome skewing data for Family 2 is unfortunate but the prediction is that this would be a random pattern, given that the variant is present in a healthy adult uncle.

We mapped the variants onto available crystal structures of domains within TAF1, but many of the novel ones fall in regions outside of known crystal structures. Of seven *TAF1* missense variants previously reported (O’Rawe et al., 2015), four fell within domains predicted to be important for TAF7 binding. Three of these were located in the Triple Barrel-Winged Helix (WH)- $\alpha$ -helical domain. Five of the variants described here also fall within this evolutionarily highly conserved region. A recent study described a second DNA-binding module in the C-terminal half of TAF1, encoded by a newly characterized conserved zinc knuckle domain (Curran et al., 2018). Mutation within this zinc knuckle reduces TFIID binding to promoters, which in turn leads to a decrease in transcription and cell viability. The TAF1 zinc knuckle therefore appears to play a role in transcription initiation. None of the *TAF1* variants described in this cohort, previously published patients, or reported in ClinVar fall within this domain, which spans residues 1261-1300. It is therefore not known whether mutations within this region are compatible with survival or, if they are, whether the clinical phenotype is similar to that seen in patients described to date. Identification of disease variants falling outside of the currently characterized functional domains may lead to discovery of novel functions for *TAF1*.

Although there is substantial overlap in the phenotype between the patients in our cohort, the range of dysmorphic features, neurological problems and congenital anomalies is quite broad; moreover, the facial gestalt is currently nonspecific for a recognizable pattern. Whether this is due to allelic heterogeneity, locus heterogeneity for a second site suppressor, other epistatic interactions, genetic background, or environmental influences remains unknown. Phenotypic variability among TAF1/MRXS33 intellectual disability syndrome probands is expected, as it is common

for syndromes whose pathogenesis is linked to mutations in large genes, or in genes with multiple interacting partners spanning many functional domains, to vary widely in their phenotypic effect. Indeed, in a recent study of a Chinese patient cohort with West syndrome, two individuals were found to have maternally inherited *TAF1* missense variants (Peng et al., 2018). However, whether *TAF1* is a candidate gene for this classic form of early infantile epileptic encephalopathy warrants further investigation as one of the *TAF1* variants c.4771G>A was present in a hemizygote in gnomAD.

Interestingly, reduced TAF1 expression has also been associated with X-Linked Dystonia Parkinsonism (XDP), a disorder found exclusively in patients with Filipino ancestry, and characterized by progressive dystonia and Parkinson-like symptoms such as tremor and rigidity (Evidente et al., 2002; Lee et al., 2011; Pasco et al., 2011; Aneichyk et al., 2018). XDP is a neurodegenerative disease associated with an antisense insertion of a SINE-VNTR-*Alu* (SVA)-type retrotransposon within an intron of *TAF1* (Nolte et al., 2003; Makino et al., 2007; Domingo et al., 2015). It was recently shown that there is polymorphic variation in the length of a hexanucleotide repeat domain, (CCCTCT)<sub>n</sub>, in the SVA, and that the number of repeats in these cases ranges from 35 to 52, showing a highly significant inverse correlation with age at disease onset (Bragg et al., 2017) and disease expressivity (Westenberger et al., 2019). In addition, *TAF1* mRNA is subjected to alternative splicing, including neuron-specific splicing of micro-exon 34' to produce the NTAf1 isoform (Makino et al., 2007). It is conceivable that reduced or increased expression of *TAF1* might have more dramatic neurologic effects than that seen with missense variants.

In summary, we have constructed a detailed allelic series to characterize potential contributions to clinical phenotypic outcome and assist with interpretation of *TAF1* variants in other families. We are far from a 'saturation mutagenesis' view of the *TAF1* gene, however, and the clinical consequences of individual variants remain difficult to predict. The continued accumulation of clinical and molecular data is critical to understanding the contribution of specific variants to *TAF1*-related phenotypes. Future research using *in vitro* and *in vivo* functional studies will be needed to elucidate the consequences of these variants.

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## **Conflict of Interest**

G.J.L served on advisory boards for GenePeeks, Inc., Seven Bridges Genomics, Inc., and Fabric Genomics, Inc. P.B.A serves on an advisory board for Illumina, Inc. J.R.L. has

stock ownership in 23andMe, is a paid consultant for Regeneron, and is a co-inventor on multiple United States and European patents related to molecular diagnostics for inherited neuropathies, eye diseases, and bacterial genomic fingerprinting. The other authors declare no conflicts of interest. NA, AB, GD, and MGS are employees of GeneDx, Inc.

### **Data Availability Statement**

The data that support the findings of this study are available on request from the corresponding author. The data are not publicly available due to privacy restrictions.

### **Supplementary Information**

Four tables and full case reports

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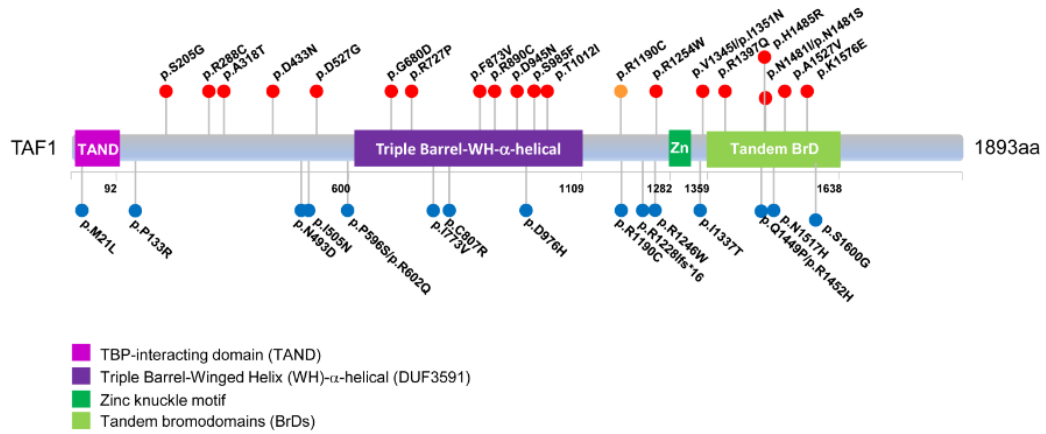
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**Figures**

**Figure 1. TAF1 functional motifs and the variants identified in the study cohort.**

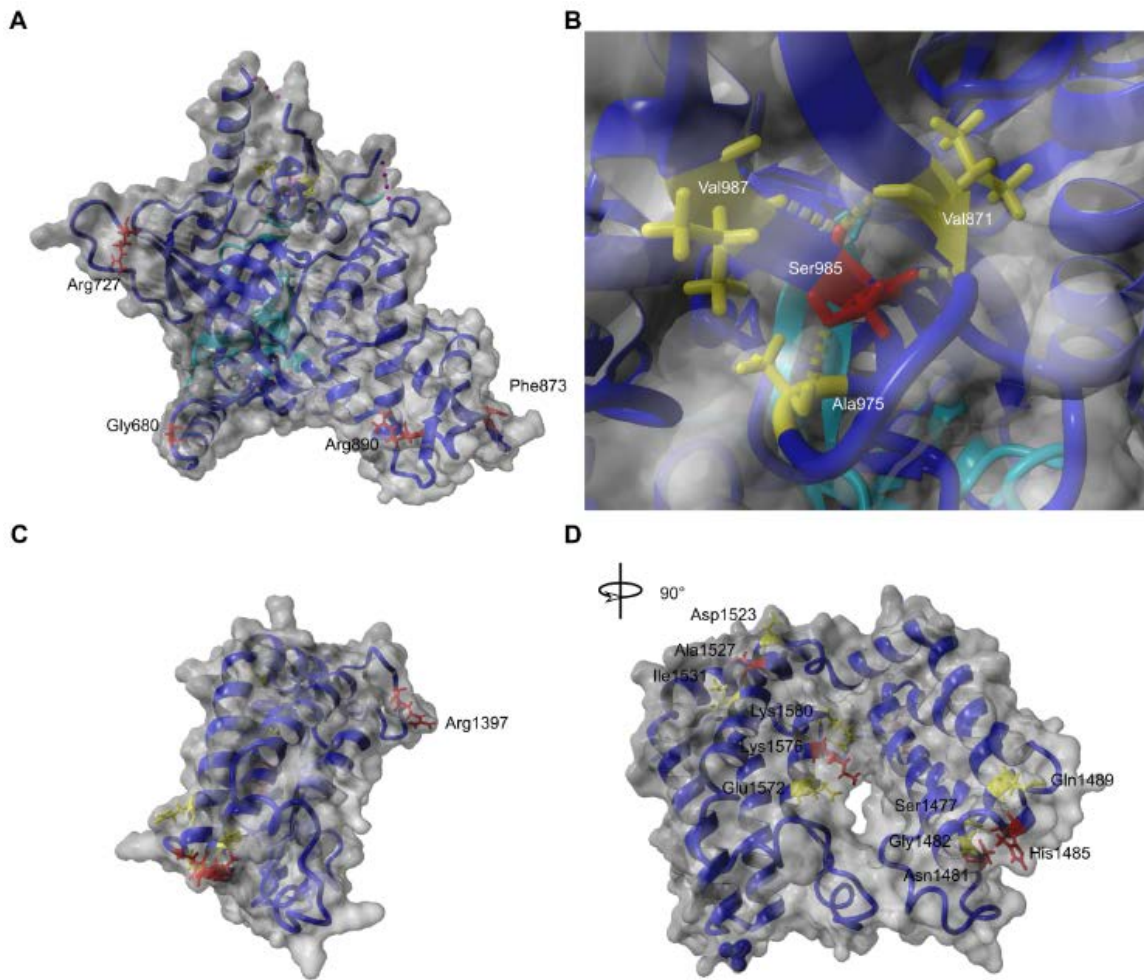
Solid red circles in the top indicate new variants identified in this study, and the solid orange circle indicates a previously reported variant that is also present in the current study cohort. Solid blue circles listed below indicate previously reported variants. Boxed parts indicate structural TAF1 domains of known functions (RefSeq: NM\_004606.4).



**Figure 2. Photographs of individuals with *TAF1* variants.** Facial features are quite variable, but many individuals had prominent supraorbital ridges, low-set and protruding ears, and usually a prominent (and sometimes anteverted) nasal tip.



**Figure 3. Molecular modelling of *TAF1* variants.** TAF1 mutations located in the TAF1-TAF7 interaction domain are mapped in the crystal structure deposited by Wang et al., 2014 (PDB: 4RGW) (panels A and B). Mutations located in the double BrDs are mapped using the structure deposited by Jacobson et al., 2000 (PDB: 1EQF) (panels C and D). The TAF1 molecule is depicted in blue, the TAF7 molecule in cyan (panels A and B). TAF1 residues altered in patients with intellectual disability are indicated in red and their interacting amino acids are in yellow.





**Table 1. *TAF1* variants in 24 Individuals from 22 families**

Individual ID	Gender	Inheritance	nucleotide change (NM_004606.3)	Predicted amino acid change	Genomic coordinates ChrX(GRCh37)	CA DD score	MP C score	Classification
1	Male	Maternal	c.613A>G	p.(Ser205Gly)	g.70596880A>G	23.7	1.73	Uncertain
2	Male	Maternal	c.862C>T	p.(Arg288Cys)	g.70597540C>T	27.4	1.93	Likely benign
3	Male	Maternal	c.952G>A	p.(Ala318Thr)	g.70597630G>A	26.8	1.78	Uncertain
4	Male	Maternal	c.1297G>A	p.(Asp433Asn)	g.70598758G>A	23.6	0.92	Uncertain
5	Male	<i>De novo</i>	c.1580A>G	p.(Asp527Gly)	g.70601752A>G	29.3	2.08	Likely pathogenic
6	Female	<i>De novo</i> (skewed X inactivation)	c.2039G>A	p.(Gly680Asp)	g.70603843G>A	28.5	2.08	Likely pathogenic
7	Male	Maternal	c.2180G>C	p.(Arg727Pro)	g.70603984G>C	35	2.11	Uncertain
8	Male	Maternal (skewed X inactivation)	c.2617T>G	p.(Phe873Val)	g.70608216T>G	26.2	3.25	Uncertain

		on in mother)						
9	Male	<i>De novo</i>	c.2668C>T	p.(Arg890 Cys)	g.70608626C>T	34	3.33	Likely pathogenic
10	Male	Maternal	c.2833G>A	p.(Asp945 Asn)	g.70609507G>A	29.2	2.50	Uncertain
11	Male	<i>De novo</i>	c.2954C>T	p.(Ser985Phe)	g.70612531C>T	28.6	2.91	Likely pathogenic
12	Female	<i>De novo</i> (skewed X inactivation)	c.3035C>T	p.(Thr1012 Ile)	g.70612768C>T	27.2	2.81	Likely pathogenic
13	Male	<i>De novo</i>	c.3568C>T	p.(Arg1190 Cys)	g.70617204C>T	33	3.14	Likely pathogenic
14 (1)	Male	Maternal	c.3760C>T	p.(Arg1254 Trp)	g.70618501C>T	26.2	2.80	Likely pathogenic
14 (2)	Male	<i>De novo</i>	c.3760C>T	p.(Arg1254 Trp)	g.70618501C>T	26.2	2.80	Likely pathogenic
15	Male	<i>De novo</i>	c.4033G>A	p.(Val1345 Ile)	g.70621564G>A	26.2	1.55	Likely pathogenic
16	Male	Maternal	c.4052T>A	p.(Ile1351 Asn)	g.70621583T>A	28.5	3.96	Uncertain

17 (1)	Male	Maternal (skewed X inactivation in mother)	c.4190G>A	p.(Arg1397 Gln)	g.70627446G>A	24.1	2.09	Uncertain
17 (2)	Male	Maternal (skewed X inactivation in mother)	c.4190G>A	p.(Arg1397 Gln)	g.70627446G>A	24.1	2.09	Uncertain
18	Male	<i>De novo</i>	c.4442A>T	p.(Asn1481 Ile)	g.70627999A>T	31	3.15	Likely pathogenic
19	Male	Maternal (skewed X inactivation in mother)	c.4442A>G	p.(Asn1481 Ser)	g.70627999A>G	25.3	2.51	Uncertain
20	Male	<i>De novo</i>	c.4454A>G	p.(His1485 Arg)	g.70641168A>G	23.5	2.69	Likely pathogenic
21	Male	<i>De novo</i>	c.4580C>T	p.(Ala1527 Val)	g.70643034C>T	27.7	2.23	Likely pathogenic
22	Male	Maternal	c.4726A>G	p.(Lys1576 Glu)	g.70643914A>G	24	2.80	Uncertain
BENIGN 1	Male	Maternal, present in unaffected brother	c.1251_1253del	p.(Leu418del)	g.70598712_70598714del	22.6	0.63	Likely benign

BENIGN 2	Male	Maternal, absent in brother with quadrigia	c.1825A>G	p.(Ile609Val)	g.70602710A>G	22.6	0.63	Likely benign
BENIGN 3	Male	questionable: found in unaffected father	c.2365A>G	p.(Arg789Gly)	g.70607189A>G	23.7	1.15	Likely benign
BENIGN 4	Male	Maternal, not found in a separate affected sibling	c.5364G>C	p.(Glu1788Asp)	g.70680558G>C	14.95	0.94	Likely benign
BENIGN 5	Male	Maternal, seen in gnomAD in 3 males (0.0021%)	c.5659A>T	p.(Ser1887Cys)	g.70683873A>T	27.8	0.86	Likely benign

**Table 2. Phenotypic findings observed in 24 individuals with *TAF1* variants from 22 families**

HPO ID	Phenotype	Positive Count	Prevalence (% Positive/ (Negative+Unknown))
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<b>Developmental</b>			
HP:0001263	Global developmental delay	23	95.8%
HP:0000750	Delayed speech and language development	22	91.7%
HP:0002194	Delayed gross motor development	12	66.7%
HP:0001288	Gait disturbance	7	29.2%
HP:0007010	Poor fine motor coordination	2	8.3%
<b>Neurological</b>			
HP:0001249	Intellectual disability	14	63.7%
HP:0001250	Seizures	6	25.0%
HP:0002079	Hypoplasia of the corpus callosum	5	22.7%
HP:0002119	Ventriculomegaly	5	22.7%
<b>Behavioral</b>			
HP:0000729	Autistic behavior	4	16.7%
HP:0100716	Self-injurious behavior	3	12.5%
HP:0100023	Recurrent hand flapping	2	8.3%

<b>Feeding</b>			
HP:0011968	Feeding difficulties	15	62.5%
HP:0002020	Gastroesophageal reflux	5	20.8%
<b>Growth</b>			
HP:0001511	Intrauterine growth retardation	7	29.2%
HP:0008897	Postnatal growth retardation	7	29.2%
<b>Craniofacial</b>			
HP:0000252	Microcephaly	10	41.7%
HP:0000219	Thin upper lip vermilion	6	25%
HP:0000316	Hypertelorism	5	20.8%
HP:0000278	Retrognathia	5	20.8%
HP:0000490	Deep-set eye	5	20.8%
HP:0000414	Bulbous nose	5	20.8%
HP:0000486	Strabismus	5	20.8%
HP:0000431	Wide nasal bridge	4	16.7%
HP:0005469	Flat occiput	4	16.7%
HP:0000218	High palate	3	16.7%

HP:0000343	Long philtrum	3	12.5%
HP:0002307	Drooling	3	12.5%
HP:0000463	Anteverted nares	2	8.3%
<b>Hearing</b>			
HP:0000407	Sensorineural hearing impairment	5	20.8%
HP:0000410	Mixed hearing impairment	2	8.3%
<b>Musculoskeletal</b>			
HP:0001290	Generalized hypotonia	17	70.8%
HP:0004322	Short stature	10	41.7%
HP:0000960	Sacral dimple	8	33.3%
HP:0000921	Missing ribs	4	16.7%
HP:0002650	Scoliosis	3	12.5%
HP:0001763	Pes planus	3	12.5%
HP:0001382	Joint hypermobility	2	8.3%
HP:0000768	Pectus carinatum	2	8.3%
HP:0000767	Pectus excavatum	2	8.3%
HP:0002808	Kyphosis	2	8.3%

HP:0001385	Hip dysplasia	2	8.3%
HP:0001770	Toe syndactyly	1	4.2%
HP:0010442	Polydactyly	1	4.2%
HP:0001156	Brachydactyly	1	4.2%
<b>Cardiac</b>			
HP:0001629	Ventricular septal defect	6	25.0%
HP:0001631	Atrial septal defect	2	4.2%
HP:0001680	Coarctation of the Aorta	1	4.2%
HP:0001636	Tetralogy of Fallot	1	4.2%
<b>Genitourinary</b>			
HP:0000028	Cryptorchidism	7	29.2%
HP:0000047	Hypospadias	4	16.7%

**Table 3:** Predicted stability values for wild-type and mutated molecules

Molecule and substitution	DG	DDG
TAF1-TAF7	-58.66	/



TAF1 p.Gly680Asp-TAF7	-55.49	-3.17
TAF1 p.Arg727Pro-TAF7	-60.22	1.56
TAF1 p.Phe873Val-TAF7	-56.97	-1.69
TAF1 p.Arg890Cys-TAF7	-58.44	-0.22
TAF1 p.Ser985Phe-TAF7	-48.65	-10.01
TAF1 double bromodomain	-28.51	/
TAF1 double bromodomain p.Arg1397Gln	-27.67	-0.84
TAF1 double bromodomain p.Asn1481Ile	-24.38	-4.13
TAF1 double bromodomain p.Asn1481Ser	-27.32	-1.19
TAF1 double bromodomain p.His1485Arg	-28.23	-0.28

TAF1 double bromodomain p.Ala1527Val	-28.21	-0.30
TAF1 double bromodomain p.Lys1576Glu	-27.47	-0.04

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