

Title Page

Vertebroplasty for acute painful osteoporotic vertebral compression fractures: an update

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Abstract

Vertebral compression fractures (VCFs) are a common cause of back pain and disability and are usually osteoporotic in nature. Therapy aims to adequately control pain and allow early mobilisation and return of function while preventing additional fractures. A proportion of patients do not achieve adequate pain relief using conservative measures alone. Unwanted adverse effects from medications may also ensue. Vertebroplasty represents an alternative treatment option for VCFs. Patients with acute VCFs (≤ 6 weeks old) may gain the most benefit from vertebroplasty as healed fractures are not as amenable to cement injection. High-quality studies have reported conflicting results regarding the use of vertebroplasty in the treatment of acute VCFs. Despite high quality evidence, varying study designs and heterogenous patient cohorts make interpretation of this data difficult. Only one sham-controlled randomised controlled trial (RCT) has evaluated vertebroplasty exclusively in patients with acute VCFs, reporting favourable results. Pooled data from RCTs also suggests vertebroplasty to be safe. This article provides a concise and critical review of the current literature regarding vertebroplasty for the treatment of acute VCFs.

INTRODUCTION

Vertebral compression fractures (VCFs) are the most common osteoporotic fracture, with a similar mortality to hip fractures.¹ Although many patients with VCFs do not seek medical attention, those who do often present with pain. In 20% of these cases, inpatient hospitalisation is required due to symptom severity.² Sufficient analgesia to allow mobilisation and return of function is the primary aim of VCF therapy. Conservative management includes pharmaceuticals, bracing, physiotherapy and long term modification of osteoporotic risk factors.³ It has been shown that conservative therapy is inadequate in many patients with VCFs and analgesia may precipitate unwanted side-effects.^{4,5} Bracing may also be poorly tolerated and has been shown to lead to adverse health outcomes.⁶

34 There has been an increasing interest surrounding the role of vertebroplasty for the treatment
35 of VCF-related back pain. Vertebroplasty involves image-guided injection of
36 polymethylmethacrylate (PMMA) into the fractured vertebra. This procedure is hypothesised
37 to provide mechanical stability and prevent further collapse and deformity. The proposed
38 mechanism of its analgesic effect is the prevention of micromotion through fracture fixation.⁷

39

40 Controversy surrounds the clinical utility of vertebroplasty. While it is well established that
41 vertebroplasty is unlikely to provide benefit for older VCFs, its use for acute VCFs is unclear
42 (VCF age ≤ 6 weeks). For the purpose of this review, we will use the term 'acute' to refer to
43 VCFs ≤ 6 weeks old. Four published sham-controlled trials have included differing
44 proportions of patients with acute VCFs, with only one of these exclusively enrolling patients
45 with VCFs ≤ 6 weeks old. This up-to-date comprehensive review summarises the current
46 literature regarding the use of vertebroplasty for acute VCFs.

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48

49 **OPEN-LABEL RANDOMISED CONTROLLED TRIALS**

50 Three open-label RCTs have evaluated the safety and efficacy of vertebroplasty in acute
51 VCFs (table 1). Rousing et al. were the first to compare vertebroplasty to conservative
52 management in a randomised setting (n=50).^{8,9} Most patients had osteoporotic fractures < 2
53 weeks old (n=40) with the remaining fracture ages between 2-8 weeks. Median fracture ages
54 in the vertebroplasty group was 8.4 days and 6.7 days in the conservative arm. Acute
55 fractures were diagnosed on plain film radiographs if a single fracture could be identified. If
56 multiple fractures were visible, magnetic resonance imaging (MRI) was used to differentiate
57 acute from chronic fractures. Volume of PMMA used was not reported. The vertebroplasty
58 group reporting significant improvement in Visual Analogue Scale (VAS) pain scores at 24
59 hours and 1 month compared to conservative management, suggesting that vertebroplasty
60 may be an appropriate treatment for patients with severe acute/subacute fracture pain. The
61 vertebroplasty group also required shorter hospital stay to achieve pain control, however this
62 group also had less significant pain at baseline. No significant difference in these scores were
63 seen at 3 or 12 months, although this trial was not powered for the 3 month endpoint.
64 Weaknesses of this study include its small single-centre nature and the missing baseline VAS
65 scores for 27% of patients. The delay between enrolment and performance of vertebroplasty
66 was also not stated.

67

68 VERTOS II was the first RCT to exclude patients with VCFs >6 weeks old (n=202) and was
69 the first study to support the use of vertebroplasty in acute/subacute VCFs.¹⁰ Median duration
70 of back pain in the vertebroplasty group was 29.3 days and vertebroplasty was performed at a
71 mean of 5.6 weeks after pain onset. VERTOS II reported a statistically significant
72 improvement in VAS scores at all time points (1 day, 1 week, 1, 3, 6 and 12 months) with
73 vertebroplasty compared to conservative management. Patients required significantly less
74 analgesia after 1 day and 1 month following vertebroplasty, however this benefit did not
75 persist. A significant improvement in disability and QoL was also reported in the
76 vertebroplasty group, with patients gaining an average of 120.3 pain free days (VAS \leq 3).
77 Criticisms of this study included the lack of a sham procedure and the potential for these
78 findings to be placebo-related. Post-hoc analysis also showed 60% of patients achieved
79 adequate pain control within 12 months.⁴

80

81 Only one RCT has evaluated the safety and efficacy of vertebroplasty in patients with VCFs
82 \leq 3 weeks old (n=135).¹¹ Mean duration of back pain in the vertebroplasty group was 5.5 days
83 and vertebroplasty was performed at a mean of 8.4 days after injury. At all time points over a
84 1 year period, vertebroplasty provided significantly more pain relief than conservative
85 management as measured by VAS scores. Perceived benefits were significantly greater at 1
86 year following vertebroplasty. Disability and QOL scores also significantly improved at all
87 time points during follow up. In addition to being non-blinded and having no placebo group,
88 one major limitation was that conservatively treated patients were required to lie in bed for 2
89 weeks following diagnosis. This is not usual practice given the well-established sequelae of
90 immobility.¹² Participants in the vertebroplasty group also did not receive supplementary
91 analgesia.

92

93 **BLINDED RANDOMISED CONTROLLED TRIALS**

94 Four blinded RCTs have assessed vertebroplasty in patients with acute VCFs. Two of these
95 were published concurrently in 2009 and reported unfavourable results with vertebroplasty.
96 Buchbinder et al. reported no significant difference in pain reduction, quality of life scores,
97 physical functioning or perceived improvement at any time point over a 6-month period with
98 vertebroplasty compared to the sham group (n=78).¹³ Median duration of back pain in the
99 vertebroplasty group was 9 weeks (IQR 3.8-13) and the proportion of patients with VCFs <6
100 weeks was low in both groups (32% in each). Patients with back pain up to 12 months were
101 recruited. Physical examination was not required. Despite having a target enrolment of 200

102 patients, slow recruitment led to only 78 participants after 64% of eligible participants
103 declined involvement. Additionally, two of the four enlisted hospitals withdrew meaning that
104 68% of the procedures were performed in one hospital by one radiologist, raising the
105 possibility of selection bias. Blinded RCT power analysis is usually based on detecting a 15%
106 difference in mean pain outcomes requiring 120 patients and thus this study was
107 underpowered.

108

109 The Investigational Vertebroplasty Efficacy and Safety Trial (INVEST) also reported
110 unfavourable results with vertebroplasty compared to sham (n=131).¹⁴ Both groups reported a
111 similar improvement in pain and disability after 3 days. No significant difference in pain,
112 disability or quality of life was seen between groups after 1 month either, however there was
113 a trend towards a significantly higher rate of clinically meaningful improvement in pain
114 (>30% from baseline) with vertebroplasty (64% vs 48%, p=0.06). Mean duration of back
115 pain was 16 weeks (IQR 10-36) and patients were required to undertake 4 weeks of medical
116 therapy prior to enrolment, essentially excluding all patients with acute fractures. Forty
117 percent of participants had fractures <3 months while 36% had fractures >6 months. The
118 proportion of patients with back pain of ≤ 6 weeks is not stated. Again, patients with back
119 pain up to 12 months were recruited. MRI or radio-isotope bone scan was only performed if
120 the fracture age was uncertain meaning that radiographically occult fractures may have been
121 missed and patients with non-VCF-related pain may have been included. Seventy percent of
122 patients eligible for inclusion declined, again raising concerns about patient selection.
123 Investigators also suggested that patients in the control group likely had undetected
124 unsatisfactory pain outcomes as the 3-month crossover rate in the control group was high
125 (51%).

126

127 VAPOUR was the first double-blinded sham-controlled RCT to evaluate vertebroplasty in
128 patients exclusive with VCFs ≤ 6 weeks old.⁵ It is the only blinded trial powered to detect a
129 15% difference in patients with a VCF age of ≤ 6 weeks. VAPOUR's clinical primary
130 endpoint was a conversion from severe to mild pain at 2 weeks, with severe pain defined as
131 an NRS $\geq 7/10$ at baseline (enrolment criterion) and mild pain defined as an NRS $< 4/10$. This
132 is the only blinded trial to define a clinically significant benefit measured in individual
133 patients rather than compare mean group pain scores. Significantly more patients had an NRS
134 pain score < 4 at 10-14 days following vertebroplasty compared to sham (44% vs 21%;

135 p=0.01). This advantage persisted at all time points to 6 months, with the biggest difference
136 between groups seen at 4 weeks. Mean reduction in NRS pain was also significantly greater
137 with vertebroplasty at all time points up to 6 months. Additionally, vertebroplasty resulted in
138 significantly less analgesic use at 3 months and 6 months, a significantly improved general
139 QoL at 1 and 6 months and a significantly improved disease-specific QOL at 14 days and 6
140 months. These led to a median reduction of 5.5 hospital inpatient days in the vertebroplasty
141 group which, in a double-blinded study, must be due to improvement in pain and function.
142 Interestingly, 77% of patients had VCFs ≤ 3 weeks old. There was a trend towards
143 significance in the subgroup analysis between patients with VCFs ≤ 3 and >3 weeks, although
144 there were insufficient patient numbers in the VCF >3 week group to achieve statistical
145 significance. VAPOUR assessed 302 patients for suitability and 22% (n=34) refused to
146 participate, considerably less than that of Buchbinder et al. and INVEST. The major
147 limitation of VAPOUR was the bias towards a single centre, with 85% of procedures were
148 performed at one institution.

149

150 VERTOS IV is the most recent double-blinded sham-controlled RCT to evaluate the safety
151 and efficacy of vertebroplasty in VCFs (n=180).¹⁵ Patients were recruited via written
152 questionnaires from referrals for spinal radiographs rather than referrals for vertebroplasty.
153 Median duration of back pain prior to vertebroplasty was 6.1 weeks (IQR 4.1-8.7). Mean
154 VAS scores did not differ between groups at multiple time points between 1 day and 1 year
155 postprocedure despite both groups showing improvement. Analgesia use, QoL and disability
156 during 12-month follow up were also similar between groups. Despite the published protocol
157 listing inclusion criteria of VCF ≤ 6 weeks, a number of patients with VCFs ≤ 9 weeks were
158 included due to slow recruitment (24% of vertebroplasty group, 14% of sham group).
159 However, this is still misleading as fracture age was calculated at the time of radiography and
160 there was a 13-day delay (IQR 7-18 days) between this and intervention. As a result,
161 approximately 50% of patients had fractures over 6 weeks old at the time of vertebroplasty
162 and some are likely to be closer to 12 weeks of age.

163

164 META-ANALYSES

165 The first two published placebo-controlled RCTs were combined in a 2011 meta-analysis by
166 Staples et al.¹⁶ By publishing concurrently and meta-analysing, the power issue of these
167 papers individually was improved however their inherent limitations remained.
168 Unsurprisingly, this analysis reported no significant difference between placebo and

169 vertebroplasty groups with respect to pain, disability or health status at any time point up to 1
170 month. Subgroup analysis again reported no difference between groups based on pain >6
171 weeks, severe pain at baseline or mild/moderate pain at either the two weeks/one week or one
172 month time points. Staples et al. also reported no difference between groups with pain ≤6
173 weeks, however this study was underpowered for this analysis (25 vertebroplasty patients,
174 power for 15% difference required 60 patients). A 2012 meta-analysis of 9 published
175 prospective trials found that the efficacy of vertebroplasty on pain relief for patients with
176 acute VCFs was greater than that of non-operative therapy at 1 to 29 days and at 90 days.¹⁷
177 Several other meta-analysis have supported the use of vertebroplasty, however these have not
178 reported on outcomes relating specifically to acute VCFs.¹⁸⁻²¹

179
180 The first Cochrane review for vertebroplasty was published in 2015.²² This also detailed the
181 review protocol which has not been updated and still applies to the more recent Cochrane
182 review published in 2018. This included 21 trials and declared that high- to moderate-quality
183 evidence suggested vertebroplasty provides no clinically important benefits with respect to
184 pain, disability, QoL or treatment success after 1 month.²³ No mention was made of these
185 outcomes after this time point. Subgroup analysis also suggested that VCF age did not impact
186 the efficacy of vertebroplasty (≤6 weeks vs >6 weeks). VERTOS IV was the dominant
187 weight in this analysis and the entire vertebroplasty group from this trial was included in the
188 subgroup analysis for VCFs ≤6 weeks despite approximately half these patients having
189 VCFs >6 weeks old. This review also lists VERTOS IV as fracture duration <9 weeks which
190 is false. Consequently, approximately one quarter of this subgroup actually had VCFs >6
191 weeks old and thus this conclusion is misleading. The safety of vertebroplasty was again
192 deemed to be unclear. A revised Cochrane review released in June declared that
193 vertebroplasty provided ‘little clinical benefit’ in treating VCFs despite including the same
194 trials as the original review after receiving complaints about the original report (discussed
195 below).²⁴

197 **CONTROVERSY**

198 The quality of evidence assessing the clinical utility of vertebroplasty is variable. The
199 interpretation of these results is challenging due to the disparate clinical variables of each
200 blinded trial and the difficulty associated with comparing open-label and blinded RCTs.
201 These are summarised in table 2. VAPOUR is the only double-blinded sham-controlled RCT
202 to support the use of vertebroplasty in acute VCFs. It also possesses a unique patient cohort.

203 Participants were older and had more severe pain compared to other trials, with a
204 substantially higher pain score (NRS ≥ 7) required for inclusion. It is the only blinded trial to
205 list osteoporosis as inclusion criteria and the majority of participants were inpatients. Fracture
206 age at enrolment was also considerably less as this study is the only blinded RCT to truly
207 exclude patients with VCFs >6 weeks old.

208

209 VAPOUR used more PMMA cement compared to other RCTs, likely reflecting how the
210 volume of PMMA accepted by bone without undue resistance is higher in fresher fractures.
211 The principle of the VAPOUR trial was to support the bone top to bottom and side to side
212 which requires larger PMMA volumes, particularly in more acute fractures (“vertebral fill
213 technique”).⁵ Attempting to inject this volume into older fractures is not possible and not
214 recommended and it is the distribution of cement, not the volume, which is the technical end-
215 point. The smaller volumes in the 2009 trials reflects the chronicity of fractures, which resist
216 PMMA injection after healing.

217

218 Vertebroplasty Cochrane reviews have relied exclusively on the meta-analysis of blinded
219 trials to draw its conclusions. Meta-analyses possess the benefit of statistically analysing
220 larger patient populations over multiple sites. This is particularly useful when individual
221 studies are underpowered as seen with Buchbinder et al. and INVEST. However, analysed
222 studies should possess similar patient populations receiving similar treatments. When patient
223 groups are heterogenous the conclusion is less robust. These Cochrane reviews have
224 combined VAPOUR with clinically different trials, despite protocol specifying that
225 heterogeneous trials would be analysed individually.²⁵ Differences in baseline pain,
226 hospitalisation status, timing of intervention, presence and severity of osteoporosis and
227 vertebroplasty technique are crucial differences between included trials. Additionally, when
228 combining heterogenous studies, the dominant weighting from one trial, as seen with
229 VERTOS IV, is compounded further. For studies with conflicting results and heterogenous
230 cohorts, it is often more appropriate to analyse studies individually. This also allows for more
231 targeted patient selection in clinical practice. Despite a letter of complaint to Cochrane
232 addressing these issues and more, they remained in the November 2018 update.²⁶

233

234 **SAFETY**

235 Safety outcomes can be summated from both blinded and open-label RCTs. These confirm

236 that the risks are small. Nevertheless, a number of clinically important adverse events may
237 occur with vertebroplasty. These include spinal cord compression, neurological deficits,
238 cement embolism and osteomyelitis.²⁷ Recent Society of Interventional Radiology (SIR)
239 guidelines report major complications as <1%.²⁸ The most common complication with
240 vertebroplasty is cement extravasation. The reported incidence of local extravasation is
241 41.2% (with 98% considered minor) and distant cement embolus is 0.1%.²⁹ Cement
242 embolism is usually asymptomatic but rates as high as 26% have been reported.³⁰ In addition
243 to cement extravasation rarely being problematic, it may be so that the incidence of PMMA
244 extravasation reduces with more acute fractures and the use of newer, high viscosity PMMA.

245

246 Whilst it is thought that an increased cement volume aims to stabilise an acutely collapsed
247 fracture, this is at the expense of a greater risk for cement extravasation.³¹ Despite using a
248 larger volume of cement, VAPOUR's serious complication rate was still below standard
249 stipulated in SIR guidelines. However, care should be taken when injecting large volumes of
250 cement as the optimal volume is still an ongoing area of research.³²⁻³⁴

251

252 Patients who undergo conservative therapy may also be at harm from further collapse,
253 deformity and neurological compromise. Two patients each in VAPOUR's control group and
254 Yang et al.'s conservatively managed arm required surgical decompression after suffering
255 interval vertebral collapse and retropulsion with resultant spinal cord compression. One of
256 these patients suffered significant permanent neurological sequelae. In addition, some
257 suggest that vertebroplasty leads to an increased risk of adjacent vertebral fractures due to the
258 biomechanical effects of cement stiffness.^{35,36} Meta-analyses have not found an increased risk
259 of adjacent vertebral fractures after vertebroplasty.³⁷⁻³⁹

260 **CONCLUSION**

261 Vertebroplasty represents a contentious management option for people with acute VCFs.
262 Much of the literature surrounding vertebroplasty for VCFs ≤ 6 weeks is limited by varying
263 study designs, small sample sizes and heterogenous cohorts. All RCTs evaluating
264 vertebroplasty exclusively in patients with acute VCFs found it to be superior to conservative
265 treatment or placebo, including a high-quality sham-controlled RCT. Despite recent Cochrane
266 reviews, it may be that vertebroplasty has clinical value in treating acute VCFs, particularly
267 in patients with severe pain.

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Trial	Rousing (2009 and 2010)	VERTOS II (2010)	Yang (2016)
Minimum age (years)	>65	≥50	≥70
Fracture age	≤8 weeks	≤6 weeks	≤3 weeks
Minimum pain	Severe enough to impair independence	VAS score ≥5	VAS score ≥5
T-score	Nil	T-score ≤-1.0	T-score ≤-1.0
MRI/SPECT	N	Y	Y
Control arm	Medical treatment, physiotherapy, bracing	Medical treatment	Bed rest for ≥ 2 weeks, medical treatment, physiotherapy, bracing
Number of patients	50 (V: 26, C: 24)	202 (V: 101, C: 101)	107 (V: 56, C: 51)
Mean/median fracture age	V: 8.4 days, C 6.7 days	V: 29.3 days, C: 26.8 days	V: 5.5 days, C: 5.6
Mean/median fracture age at performance of vertebroplasty	Not mentioned	5.6 weeks	8.4 days
Mean T-score	Not mentioned	V: -3.0, C: -3.0	V: -3.3, C: -3.2
Preprocedural imaging	X-ray +/- MRI/SPECT CT (if >1 fracture on x-ray)	X-ray, MRI	X-ray, MRI
Mean cement volume	Not mentioned	4.1ml	4.5ml
Inpatients included (Y/N; %)	Y; not mentioned	N; 0%	Not mentioned
Mean initial pain score	VAS scale	VAS scale	VAS scale

	V; 7.5, C: 8.8	V: 7.8, C: 7.5	V: 7.5, C: 7.7
Results	Significant improvement in VAS scores with vertebroplasty at 24 hours and 1 month, no significant difference between groups at 6 or 12 months	Significant improvement in VAS scores at all time points with vertebroplasty (1 day, 1 week, 1, 3, 6 and 12 months)	Significant improvement in VAS scores at all time points with vertebroplasty (1 day, 1 week, 1, 3, 6 and 12 months)
Vertebroplasty-related complications	Nil	2 pain-related vasovagal episodes, 1 asymptomatic pulmonary cement embolus	Nil
Conservative therapy-related complications	Nil	Nil	2 patients experienced vertebral collapse and spinal cord compression requiring surgical decompression

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Table 1. Non-blinded RCTs evaluating vertebroplasty in patients with acute VCFs.

Trial	Buchbinder et al	INVEST	VAPOUR	VERTOS IV
Minimum age (years)	None	50	60	50
Minimum pain score	None	NRS pain ≥ 3	NRS pain score ≥ 7	VAS pain score ≥ 5
Fracture age	≤ 12 months	≤ 12 months	≤ 6 weeks	≤ 12 weeks
Mean Age	V: 74.2, C: 78.9	V: 73.4, C: 74.3	V: 80, C: 81	V: 74.7, C: 76.9
Preprocedure MRI/SPECT CT	Y	Only if fracture age uncertain	Y	Y
Inpatients included (Y/N; %)	Y; not mentioned	Excluded	Y; V: 34 (56%), C: 34 (58%)	Not mentioned
T scores	Lumbar T score < 2.5 : V: 21, C: 21	Not mentioned	Mean lumbar T score: V: -4.1, C: -4.5	Mean lumbar T score: V: -2.4, C: -2.4
Mean fracture age	All patients: 11.7 weeks V: 9 weeks, C: 9.5 weeks (median)	V: 16 weeks, C: 20 weeks All patients: 22.5 weeks	V: 2.8 weeks, C: 2.4 weeks	V: 43 days, C: 36 days
Proportion with fracture age ≤ 6 weeks	32%	Not mentioned	All	Unclear

Mean initial pain score	NRS scale V: 7.4, C: 7.1	NRS scale V: 6.9, C: 7.2	NRS scale V: 8.6, C: 8.6	VAS scale V: 7.7, C: 7.9
Mean cement volume	2.8ml	Not reported	7.5ml	5.1ml

Table 2. Key clinical differences between sham-controlled RCTs evaluating vertebroplasty in patients with acute VCFs.

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