

1	AYA Testis Cancer: the Unmet Challenge
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Abbreviations: AYA - adolescent and young adult; SMN - second malignant neo-33 plasms; CVD-cardiovascular disease; GCT- germ cell tumours; OS - overall sur-34 35 vival; SES- socio-economic status; CCS – cancer specific survival; YST – yolk sac tumour; MMGCT -mixed malignant GCT; NSGCT-non-seminomatous GCT; mRNA -36 37 messenger RNA; RPLND - retroperitoneal lymph node dissection; TCS -testicular cancer survivors; MRD- minimal residual disease; miRNA -microRNAs; BEP - cispla-38 tin, etoposide and bleomycin; MaGIC- the Malignant Germ Cell International Consor-39 tium. 40 41 7 42

- 59 Abstract

Testis cancer is considered a rare-incidence cancer but comprises the third most common cancer diagnosed within the AYA years (15-39y). Most testis cancer patients can anticipate a survival outcome in excess of 95%. However, there are subgroups of AYA patients where outcomes are considerably worse including younger adolescents, patients with certain histological subtypes, or from certain ethnic backgrounds. For those cured with chemotherapy, the toxicity of treatment and burden of late-effects is significant. Newer germ cell tumour - specific biomarkers may identify

patients that do not require further treatment interventions or may detect early recur-rence, potentially reducing the burden of treatment required for cure. International collaboration for this rare tumour is creating the forum for trial design, where these biomarker research questions are embedded. Going forward, AYA testis cancer pa-tients could benefit from having a more personalised treatment plan, tailored to risk, that minimises the overall burden of late-effects.

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- Introduction

AYA cancer patients are a unique population; presenting with age-specific cancer

- diagnoses, differing tolerances to conventional therapies and often differing survival outcomes. (1)
- As cancer constitutes the most common cause of disease-related deaths in this age-
- group, health- care provision has become focused on working towards an under-
- standing of how to provide better age-related outcomes of survival and experience of

89 care during and after treatment. ⁽²⁾

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Whilst there has been much progress, particularly with regard to how to create an
environment to accommodate the specific psychosocial needs for AYA patients,
there is still much to learn about how to provide optimal survival outcomes.

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Testicular cancer is an excellent example of this challenge. It is the most common 95 cancer diagnosed in men under age 40 years (y). ⁽³⁾ Germ cell tumours (GCT) are 96 considered to be a curable cancer, with overall survival (OS) for all patients ap-97 proaching 96%.⁽⁴⁾ However, adolescents diagnosed with a GCT are at risk of inferior 98 outcomes when compared with either affected children or older adults. ⁽⁵⁾ More re-99 cent reports suggest that the broader range of AYA patients (between age 15-39y) 100 do better than older men diagnosed with certain histological subtypes of testis can-101 cer. ⁽⁶⁾ So how can we ensure we identify and provide effective therapies to accom-102 modate these subgroups of patients who are most at risk? 103

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The peak incidence of GCT occurs at 30y, with the natural incidence of testis cancer spread across the entire AYA range. ⁽⁴⁾ There is both geographical and ethnic variation in incidence within AYA, with white men in developed nations being disproportionately affected. ^(7, 8) However, this largest group of patients also tends to have the best outcomes. Analysis of the impact of ethnicity and socioeconomic status (SES) has shown that African American and Hispanic populations have poorer cancer specific survival (CSS), even when corrected for SES. ^(8, 9) Thus, within AYA testis can-

112 cer, we can identify another 'at risk' subgroup. What we understand less well is why 113 these young men are more vulnerable to adverse outcomes. Here, we will discuss 114 whether such outcomes can be accounted for by explanations other than poor ac-115 cess to health care for those not insured:⁽¹⁰⁾ specifically whether diagnostic delays or 116 presence of more advanced disease at presentation; different histologic predomi-117 nance or biologic behaviors can explain a survival gap.

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The distribution and predominance of histologic subtypes of GCT varies with age. In 119 120 children <11y the most common malignant histology is yolk sac tumor (YST). 121 YST in children are generally exquisitely chemo-sensitive, and offer excellent outcomes after treatment, even in the face of advanced metastatic disease. ⁽¹¹⁾ Howev-122 er, within the AYA years, mixed malignant GCT (MMGCT) becomes the most com-123 124 mon histology.⁽⁴⁾. As age increases across the AYA range, there is relative increase in percentage of seminoma diagnoses represented, until ultimately seminoma over-125 126 takes MMGCT as the most common histological diagnosis (Figure 1). The 5 year CSS for either localized or metastatic MMGCT is less than for YST or seminoma in 127 the same age-range. (4) 128

Pure choriocarcinoma, an aggressive non-seminomatous GCT (NSGCT) subtype which may present with a high burden of disease, remains rare. Hence, histologic subtype may in part contribute to the adolescent survival gap, but does not completely account for all of the discrepancy observed. ⁽¹²⁾

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134 **Do AYA patients present later and have a higher burden of disease?**

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Localized disease is by far the most common presentation of testis cancer; approxi-135 mately four-fold more common than a presentation with either regional or distant 136 metastatic disease. ⁽⁴⁾ (Figure 2) OS from localized disease is 95%. However, in pa-137 138 tients aged between 10-15y, <50% patients present with localized disease. Of the remaining patients in this younger age-group, up to a third of patients are diagnosed 139 with metastatic disease; a much higher rate than in any other age group.⁽⁴⁾ Vener-140 141 oni et al found that adolescents had a longer symptom interval (SI) to diagnosis than children ⁽¹³⁾ For adolescents with either locally or regionally advanced GCT, delays 142 can worsen prognosis. ⁽¹⁴⁾ However the relationship between SI and overall survival 143 144 is complex, multifactorial and must also take into account the tumour's biological behavior. (15) 145

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Can we identify the relationship between histologic subtypes within AYA and the burden of disease at presentation?

Seminoma presents with localized disease in up to 80% of patients, across all ages.
⁽⁴⁾ In contrast, <50% of AYA diagnosed with a NSGCT have localized disease.⁽⁴⁾ The
MMGCT subtype, the most common form of NSGCT in adolescents, presents with
metastatic disease three-fold more commonly than patients with seminoma. ⁽⁴⁾
Among AYAs, choriocarcinoma was the histologic type with the most advanced
stage at diagnosis with up to 60% having regional or metastatic disease, but as it is
rare, it has a minimal impact on overall survival outcomes. ⁽⁴⁾

- Hence, as both histology and younger AYA age at diagnosis correlate with a greaterburden of disease, there is a consequent higher burden of therapy required for cure.
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160 How does initial burden of disease, histology and patient age relate to out-161 come for AYA?

Overall an AYA patient with either localized disease or loco-regional disease can expect OS in excess of 95%. ^{(4).} However, an AYA patient with distant metastatic disease can anticipate a considerably lower chance of survival, between 70-80%. ⁽⁴⁾ For those who succumb to disease, within the AYA range, the age at which death is most likely to occur is between 20-24y. This anomaly has not changed for over 20 years. ⁽⁴⁾

Amini et al found that patients < 20y in the U.S., were managed more aggressively 168 with surgery compared with the wider adult population, and received higher admin-169 istration rates of adjuvant chemotherapy. ⁽¹⁶⁾ Conceivably, the higher surgical inter-170 vention rate and more aggressive surgery performed, is explained by the higher in-171 cidence of NSGCT relative to seminoma in this age-group and a higher burden of 172 disease at presentation. However, AYA patients generally had less co-morbidity 173 174 recorded during treatment and more often received care in high volume centers, both factors normally associated with better outcomes. (6, 17) In contrast, AYA patients di-175 agnosed with seminoma have earlier stage disease at presentation, are treated with 176 surveillance more frequently and have a slight OS advantage over older men.^{(6),} 177 Additional factors to consider which may affect patient outcomes include the less 178 well understood role of pharmacodynamics and pharmacokinetics. Eating disorders, 179

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e.g. anorexia, bulimia, and obesity, all seen in the AYA age group, may have a prominent effect on drug distribution, sensitivity, efficacy, toxicity, and dosing. ⁽¹⁸⁾ Hence,
more intervention may translate into better outcomes, but can translate into a greater
burden of potential late-effects for an individual.

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cies?

Can our understanding of biologic behavior of GCT across different ages
 guide our interventional strategies to accommodate for survival discrepan-

GCT in pre-pubertal children generally show fundamental molecular differences to
 those in adult patients, despite sharing a similar histology, which suggests that bas ing clinical management purely on chronological age or histology alone may not pro vide optimal treatment strategies for AYA patients. ⁽¹⁹⁾

Regarding genomic changes, gain of the short arm of chromosome 12 (12p) is an 193 almost universal finding in adult testicular GCT patients. (20, 21) Gain of 12p was iden-194 tified in 5/18 (27%) male pediatric GCTs in one study ⁽²²⁾ and 44% in another. ⁽²³⁾ The 195 incidence of 12p gain increased with patient age (29% <5y; 53% 5-16y) ⁽²³⁾. Thus, 196 genomic copy number imbalances distinguish GCT subgroups primarily by age, ra-197 ther than by tumor site or histology ⁽¹⁹⁾. However, for the AYA population, the prog-198 nostic significance of 12p gain and other genomic imbalances seen in GCTs is yet to 199 200 be determined. 201

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At a transcriptomic level, pediatric GCT have a distinct protein-coding (messenger 202 RNA- mRNA) gene expression program compared with adult GCTs, irrespective of 203 tumor site ⁽²⁴⁾ Furthermore, pediatric and adult tumors with comparable histology 204 205 (seminoma, YST) were also segregated by global mRNA expression profiles, lending weight to the suggestion that the clinical management of these entities should be dif-206 ferent ⁽²⁴⁾ Interestingly, a very small number of pediatric GCTs had 'adult' profiles 207 and vice versa ⁽²⁴⁾; the significance of such findings needs to be elucidated in further 208 studies, and interrogation of biospecimens from an AYA cohort will likely facilitate 209 this. An mRNA signature predictive of outcome has been reported in metastatic 210 211 NSGCT patients (median age 29y; range 15-60y), which added independent prognostic accuracy to existing risk classification systems ⁽²⁵⁾. However, translation of this 212 multi-gene signature into clinical practice will be challenging and, it remains unclear 213 214 whether this predictive model would be applicable for pediatric or younger AYA (13-24y) populations. (19, 26) 215

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217 Do AYA have suboptimal treatment strategies/cure vs. Quality of life for AYA –

218 have we got the balance right?

Adult testis cancer is viewed as the success story for a curable cancer. However, this cure is not without significant cost in the longer term. The burden of late effects is both wide in range and significant in impact for all men requiring adjuvant treatment. They include an increased risk of second malignant neoplasm (SMN); early onset cardiovascular disease (CVD); hypogonadism; infertility; peripheral neurotoxi-

city; tinnitus and hearing loss; renal toxicity; pulmonary toxicity; fatigue; and anxietyand depression.

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After mortality, fertility is the second most common concern for patients with cancer. (27) This is of particular concern for younger AYA patients diagnosed and treated for cancer at a time in life when many have not made choices around starting a family, let alone completion. The link between reduced fertility and cancer starts at diagnosis and up to to 50% of post-orchiectomy patients have been shown to have decreased sperm counts, with some patients also having low sperm motility and abnormal sperm cells. ⁽²⁸⁾

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Further components of testicular cancer therapy, namely retroperitoneal lymph node 235 236 dissection (RPLND), radiotherapy and chemotherapy, all come with differing fertility risks. For patients requiring chemotherapy for cure, the cumulative dose of cisplatin-237 238 based treatment is directly associated with the risk of infertility and achievement of paternity. (29, 30, 31,) Conversely, the use of carboplatin-based regimens, directly com-239 240 pared with cisplatin, has been shown to be associated with fourfold greater recovery to normal sperm counts. (32) The majority of AYA patients will be diagnosed with a 241 NSGCT and will receive 'adult' BEP. 242

Up to a third of patients with NSGCT metastatic disease may require consideration
of a RPLND following chemotherapy. RPLND is associated with significant potential
morbidity, both in the peri-operative period and in the long-term. In the postchemotherapy setting, the gold standard for surgery remains a bilateral template dis-

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section via an open approach. ⁽³³⁾ If the retroperitoneal postganglionic sympathetic
nerves are damaged intra-operatively, this surgical procedure carries the risk of inducing retrograde ejaculation in up to 9% of patients. ⁽³⁴⁾

Radiotherapy (RT) has played an important adjuvant role in advanced stage seminoma therapy for many years, and for most patients, there is recovery of normal
spermatogenesis within 24 months of end of treatment ^(30,35). In long-term follow-up,
when compared with a surgery-only cohort, there appears to be no significant impact
on spermatogenesis. ^(30, 35) Thus overall, RT is less likely to be implicated in any loss
of fertility for AYA patients, as fewer AYA patients will require RT.

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Hypogonadism contributes to the risk of infertility. Causes of hypogonadism include
orchiectomy itself, chemotherapy, radiotherapy and any underlying testicular dysgenesis syndrome. The additional side effects of hypogonadism include reduced
sexual functioning, depression, fatigue, loss of muscle mass and osteoporosis. Furthermore, the known association between the metabolic syndrome and CVD as a
direct result of hypogonadism adds substantially to the burden of late-effects. ⁽³⁶⁾

The risk of early onset CVD is of particular concern. The relative risk of CVD in patients treated with chemotherapy is 1.4-7.1 fold higher compared with the general population or those patients undergoing surgery alone. ^(36, 37, 38) Hypogonadism, together with chemotherapy-induced vascular injury and chemotherapy-related disturbance of metabolic homeostasis, combine ⁽³⁹⁾ to increase CVD mortality risk. In a

- population based study, Fung *et al* described patients appearing to be at most risk
 within the first year off treatment, with a calculated 5.3-fold risk of mortality. ⁽⁴⁰⁾
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272 SMN constitutes a significant cause of morbidity and mortality. Post-chemotherapy, there is an increased risk of both solid and leukemic SMNs. Kollmansberger et al re-273 ported that the 5 year cumulative incidence of secondary leukemia after a cumulative 274 etoposide dose of <2000 mg/m² and >2000 mg/m² was approximately 0.5% and 275 2.0%, respectively. ⁽⁴¹⁾ Similarly, when Fung *et al* reviewed the risk of solid tumor 276 SMN, a 1.4-fold increase risk for those who had received chemotherapy compared 277 with those who underwent surgery alone was identified. ⁽⁴²⁾ Therefore, not only the 278 choice of chemotherapy drugs, but also the dosing of these drugs, appears to be im-279 portant. 280

Long-term renal dysfunction has been directly associated with cumulative dosing of 281 cisplatin. ⁽⁴³⁾ up to 40% of testicular cancer survivors (TCS) experience symptoms of 282 peripheral neuropathy during and/or after chemotherapy. ^(44, 45) Non-fatal pulmonary 283 toxicity has been reported between 7-21% of TCS. (46, 47) Risk factors for restrictive 284 lung disease included cisplatin dose and increasing age, after adjusting for bleomy-285 cin, etoposide and vinblastine exposure. ⁽⁴⁸⁾ For TCS treated with chemotherapy, 286 there was a higher mortality rate from all respiratory diseases when compared with 287 the general population. (49) 288

Ototoxicity secondary to cisplatin may also have a significant impact on quality-oflife. ^(50, 51) Bokemeyer *et al* reported symptomatic ototoxicity in 20% of testicular cancer survivors. ⁽⁵²⁾ Tinnitus was the most reported symptom in 59% patients, but

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- 23% reported both tinnitus and hearing loss together. Dose was important, with 50%
 of patients receiving >400mg/m² cisplatin experiencing persistent ototoxicity. ⁽⁵²⁾
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One of the late effects TCS find most distressing is fatigue; it is often the most frequently reported concern in long-term follow-up. ⁽⁵³⁾ The prevalence of depression in up to 20% of TCS has been reported widely ^(54, 55, 56, and 57) with anxiety significantly associated with younger age at diagnosis. ⁽⁵⁵⁾ AYA patients are already known to be more likely to suffer psychological problems after a cancer diagnosis and greater difficulty in retaining employment or maintaining education. ^(58, 58, 60)

Many AYAs with cancer report that their cancer makes them feel 'abnormal'. $^{(61)}$ TCS describe difficulty both with romantic partnerships and support. $^{(62)}$ Anxieties around body image and masculinity arise when changes in appearance (e.g. scarring, loss of hair/body parts etc.) result in diminishment of sexual attractiveness. $^{(61, 62)}$ Bellizzi *et al*, in the AYA HOPE study, showed that over 50% of TCS reported they felt like 'damaged goods' due to surgical scars and loss of a testicle and had concerns about their ability to have children. $^{(60, 61, 62)}$

Although a cancer diagnosis may be disruptive to normal social maturation for an AYA patient ^(63, 64), TCS considered that those who had not experienced testicular cancer could not understand how the experience had shaped their life views on maturing and growing up. They considered the experience provided them with a unique, but different, outlook on life, marriage and parenthood compared with their peers. ⁽⁶¹⁾ Hence for a common cancer in this AYA age range, for patients with many life years ahead, the burden of late-effects can be profound.

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What can we do to reduce burden of therapy? Personalizing the treatment
plan.

A better understanding of the absolute need for surgery, radiotherapy and intensity of chemotherapy regimens could mitigate against some late-effects. Minimal residual disease (MRD) testing by highly sensitive PCR techniques has been transformative for patients with ALL; allowing a more elegant risk stratification to inform the burden of therapy ⁽⁶⁵⁾

323 The emergence of microRNAs (miRNA) as a biomarker of disease could potentially 324 help risk-stratify the burden of therapy required for cure in a way analogous to MRD 325 for ALL patients i.e. allowing understanding of 'molecular remission' and no reguirement for any further treatment intervention. MiRNAs are short, non-coding 326 RNAs that modulate protein-coding gene expression, through interactions with spe-327 cific binding sites in the 3' untranslated regions of messenger RNAs. ⁽⁶⁶⁾. MiRNAs are 328 dysregulated in cancer, acting either as oncogenes or tumor suppressor genes.⁽⁶⁷⁾ 329 In GCTs, the most striking finding was universal miR-371~373 and miR-302/367 330 cluster over-expression in all malignant tumors, regardless of patient age (pediat-331 332 ric/AYA/adult), histologic subtype (YST/ seminoma) or anatomic site (gonadal/extragonadal). ⁽⁶⁶⁾ Expression levels of just the eight main miRNAs from these two 333 clusters accurately separated >100 malignant GCTs from non-malignant samples, 334 335 suggesting that these miRNAs could offer high sensitivity and specificity as malignant GCT biomarkers (66). 336

Serum miRNAs have also been shown to be useful longitudinally for early sensitive 337 detection of malignant recurrence in stage I disease and disease-monitoring follow-338 ing initiation of chemotherapy. ⁽⁶⁸⁾ Serum miRNA testing and validation in prospective 339 340 clinical trials (e.g. the Children's Oncology Group's trial AGCT1531) is now under way, heralding an opportunity for non-invasive monitoring and reduced use of serial 341 CT scans with consequent radiation exposure during treatment and follow-up. (69) 342 343 The potential methods of decreasing the morbidity of surgery in the future are likely to involve a decrease in the extent of surgical dissection, and the increased utiliza-344 345 tion of minimally invasive approaches, particularly robot-assisted RPLND. These 346 methods are of particular significance in the AYA population. European and North American studies in high volume centers have shown that the use of modified unilat-347 eral templates for selected cases did not result in any recurrences within the field of 348 a bilateral template dissection and did not compromise oncological outcomes, exem-349 plifying that when RPLND is a fundamentally important for cure, referral to high vol-350 ume centres with experienced uro-oncology surgeons is paramount.^(70, 71) Robotic 351 352 RPLND in the post-chemotherapy setting is increasingly utilized. Small series have 353 shown significant decreases in peri-operative morbidity, rates of retrograde ejaculation and hospital length of stay without compromise of oncological outcomes alt-354 hough long-term follow-up is not vet present. (72, 73, 74) 355

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For those AYA patients where chemotherapy remains essential for cure, we have a responsibility to develop less toxic but equally effective treatment regimens. Adult BEP chemotherapy (cisplatin, etoposide and bleomycin) remains the gold standard

treatment. ⁽⁷⁵⁾ Carboplatin is a platinum agent that has not been demonstrated to 360 have the same long-term toxicity profile as cisplatin. Historically, carboplatin regi-361 362 mens have been tested against cisplatin in the hope that carboplatin may provide equally effective survival outcomes but with less morbidity. (76, 77, 78) These trials had 363 concluded it was less effective than cisplatin. Recent reviews comparing these and 364 other cisplatin and carboplatin outcomes, suggests we should re-consider its use 365 366 across all ages, as inadequate dosing and frequency of delivery could account for the discrepancy in outcomes documented in the era before the use of stem-cell fac-367 tor support. ^(79, 80) Pharmacogenomics studies may further help finesse decision mak-368 369 ing.

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372 How do we achieve this?

As a result of the above, the international GCT community needs to continue to ad-373 vocate for all GCT patients, but in particular for AYA patients with testicular cancer 374 375 where arguably much more remains unknown and unresolved. MaGIC (the Malig-376 nant Germ Cell International Consortium; https://www.magicconsortium.com/) is an 377 international collaboration comprising clinicians and scientists from pediatric, medical, gynecological, clinical oncology and allied disciplines. International platforms 378 such as MaGIC can begin to address geographic and ethnic variation in outcomes 379 and ensure biology is embedded in new trial development. This strategy should allow 380 us to locate these vulnerable subgroups of patients, reduce the burden of therapy 381 and engage the groups of patients that are most at risk of late-effects in tailored re-382

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search programs. More locally, discussion of teenage GCT patients should always
take place in a disease-specific multidisciplinary meeting with combined medical and
paediatric oncology representation. This will facilitate sharing of expertise, promote
enrolment into international trials open to AYA patients and adherence to national
guidance to support safe delivery of care and minimize treatment related mortality.

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391 Conclusion

The incidence of testicular cancer is increasing across all ages within the AYA spectrum (13-39y). Compared with older adult patients, AYA patients present with a higher burden of disease and with more NSGCT histologies which require more intensive treatment for cure; this inevitably translates into an increased burden of late effects. By contrast, for men aged >40y, the prevalence remains stable. Thus, for those patients with the most life years left to live, the numbers of patients requiring the most morbid treatment are increasing.

The identified miRNA signature, applicable across all patient ages, offers the potential for a universal test for diagnosis and disease-monitoring. Although the genomic and protein-coding gene molecular differences observed between pediatric and adult GCTs ⁽¹⁹)

¹ may well be triggered by puberty, most, but not all, AYA GCT are likely to be 'adult'
 tumors biologically, the lack of focus on this cohort to date makes this largely an as sumption. Consequently, clinical management based simply on chronological patient

406	age may well be suboptimal. Future research focused on AYAs, particularly the
407	younger AYA group (13-24y), may alleviate these challenges and facilitate more per-
408	sonalized clinical management including removal of disparities in access to health
409	care as an issue. Moreover, such work may also allow more accurate prognostic risk
410	groups to be defined and assist the development of novel therapies that have in-
411	creased efficary in poor-prognosis tumors and/or cause less long-term toxicity in
412	good-prognosis patients. All this will be best achieved within a collaborative, interna-
413	tional forum.
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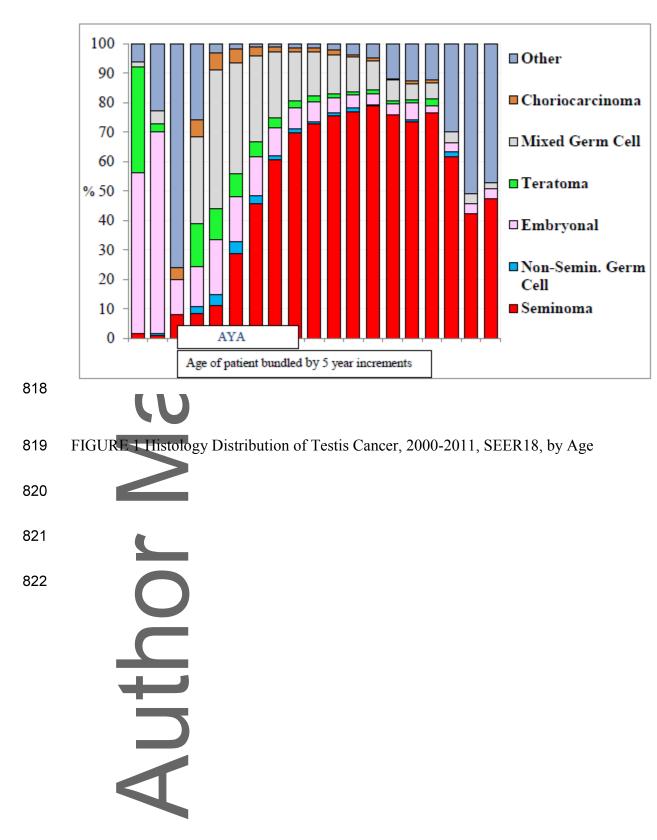
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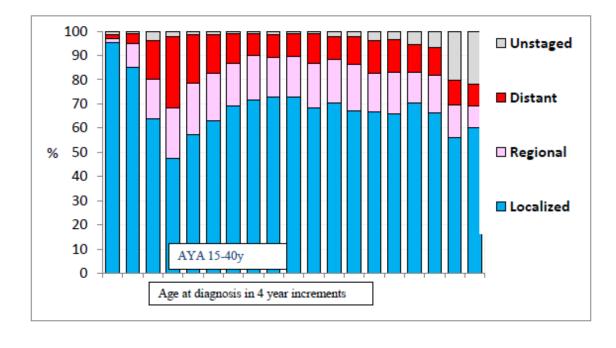
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FIGURE 2 Distribution of Stage of Testis Cancer, SEER 18, 2000-2011, by Age

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