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Potentials for future reductions of global GHG and air pollutant emissions from circular municipal waste management systems

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Potentials for future reductions of global GHG and air pollutant emissions from circular municipal waste management systems

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9 Contributions

AGS designed the study, performed the projections, emission simulations and analysis, and prepared the manuscript. GK performed the ambient air pollution concentration calculations. ZK provided expert guidance and contributed to the revision of the manuscript. WS prepared and imported the IAE-WEO activity drivers and provided methodological advice. HH participated in the development of the research and contributed to writing the manuscript. All authors were involved in the discussion during the process.

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Recent trajectories of production and consumption patterns have resulted in massively rising quantities of 25 26 municipal solid waste (MSW). In combination with the large global quantities of mismanaged MSW these 27 increases cause detrimental effects on the environment and climate. Few analyses of the potential 28 environmental co-benefits resulting from the implementation of circular MSW management systems exist. 29 To our knowledge, no global study of possible future scenarios of MSW generation, composition, management, and associated burdens is available that explicitly considers the important differences between 30 31 urban and rural settings. To help filling this gap, we here develop a systematic approach for evaluating the 32 benefits of implementing circular MSW management systems in terms of their potentials to reduce greenhouse gas emissions (GHG) and air pollution. We also analyse their role in the pursuit of the 33 Sustainable Development Goals (SDGs). Building on the Shared Socioeconomic Pathways (SSPs), we build 34 35 two sets of global scenarios until 2050, namely baseline and mitigation scenarios. In these scenarios, we assess trajectories of future MSW generation and the impact of MSW management strategies on methane 36 (CH₄), carbon dioxide (CO₂) and air pollutant emissions. We estimate that future MSW generation could 37 increase to at least 3.7 Gt/yr and at most to 4.3 Gt/yr by 2050, depending on the respective SSP storyline. In 38 39 2050, we show that the adoption of mitigation strategies in the sustainability-oriented scenario yields earlier, 40 and major, co-benefits compared to scenarios in which inequalities are reduced but that are focused solely 41 on technical solutions. In 2050, the GHG emissions in the sustainability-oriented scenario amount to 182 Gg CO_{2eq}/yr of CH₄, to be released while CO₂, particulate matter, and air pollutants from open burning of 42 MSW can be virtually eliminated, indicating that this source of ambient air pollution can be entirely 43 44 eradicated before 2050. We conclude that significant potentials exist to reduce GHG, and air pollution if circular MSW management systems are implemented. We also demonstrate that the 6.3 target of the SDG 6 45 can only be achieved through more ambitious sustainability-oriented scenarios that limit MSW generation 46 and improve management. 47

48 Key words: Municipal waste, greenhouse gases, air pollution, methane, SDGs

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51 Global quantities of municipal solid waste (MSW) generation have grown massively over the last decades, 52 not only due to population growth but also as a result of economic growth and the consequent changes in production and consumption patterns^{1,2}. Estimates suggest that the world population generated 1.9 Gt/yr of 53 MSW in 2015 and is expected to generate about 3.5 Gt/yr of MSW in 2050³. High-income countries (in 54 55 terms of the World Bank income classification) generate more waste per capita per year than low-income 56 countries: they are responsible for 34% of the amount of MSW generated each year, even though they 57 account for just 16% of the global population⁴. These large quantities of MSW generated each year 58 necessitate the implementation of appropriate management systems if the additional associated 59 environmental and health impacts should be avoided that would emerge in the absence of suitable treatment facilities⁵. High-income countries can deploy policies and instruments to cope with the rising MSW flows 60 61 and hence have cleaner and better-organized waste management systems. Examples include the EU Waste Framework Directive 2008/98/EC⁶, the 3R's strategy in Japan⁷ and the Resource Conservation and 62 Recovery Act 1976⁸, 1986 in the United States. However, high-income countries are still mostly not 63 successful in reducing the amount of MSW generated each year⁹. By contrast, low-income countries often 64 65 lack suitable management systems, which results from the shortage of funds, poor planning, poor implementation of law and lack of technology and expertise ^{4,10,11}. Additionally, the outsourcing of resource-66 intensive production and waste exports from high-income to low-income countries exacerbates the 67 68 environmental problems resulting from inadequate waste management in many of these countries¹². Often, open burning, littering and poorly managed landfills are the main ways of waste disposal in low-income 69 70 countries⁴. Open waste burning results in the release of toxic pollutants, e.g., particulate matter (PM), black 71 carbon (BC), organic carbon (OC), carbon oxide (CO), sulphur dioxide (SO₂), among others, and greenhouse gases (GHG) including carbon dioxide (CO₂) as well as smaller amounts of methane (CH₄)¹³⁻¹⁵. Litter harms 72 73 wildlife and ecosystems, especially marine life. Global marine litter is currently recognized as one of the 74 biggest sources of ocean's pollution^{16,17}. Decomposition of organic matter in landfills can result in the release

of CH₄¹⁸, a greenhouse gas that is 28 times more potent per kg emitted than CO₂ in a 100 year timeframe¹⁹. 75 76 and is also a precursor of tropospheric ozone which alters background ozone concentration and therefore impacts human health²⁰⁻²². In addition to the negative impacts on the environment and climate, these 77 unsustainable practices have well documented adverse effects on human health^{23–25}. BC and OC, which are 78 79 components of $PM_{2.5}$, are associated with pulmonary disease, heart disease and acute lower respiratory infection $^{26-29}$. While reducing air pollution has positive health effects, the impact on the climate system is 80 81 more difficult to assess. Given the complex interaction between air pollutants and GHGs in the atmosphere, 82 polices that aim at reducing both air pollution and GHG emissions at the same time may succeed to reduce some GHG emission at the expense of reducing cooling effects from specific pollutants such as BC³⁰. 83

In the past years, research on waste has gone beyond disposal of wastes to assess the linkages between waste 84 and resource use, climate change, air and water pollution. In that context, various studies have looked at 85 86 emissions from landfills when assessing sectoral and regional contributions to GHG emissions and abatement potentials^{31–34}. Further assessments include the annual National Inventory Submissions of all 87 Parties included in the Annex I of the Convention to the UNFCCC which comprise all reporting on GHG 88 89 emissions and removals¹. Current estimates are that landfills contribute about 15% to global anthropogenic CH₄ emissions³¹. Other studies show that open burning of MSW is an important contributor to particulate 90 matter and air pollutant emissions^{14,35,36}, specifically, it contributes 11% to total global PM_{2.5} emissions and 91 6-7% to total global BC emissions ^{35,36}. BC from open burning of waste amounts to 2-10% of global CO_{2eq} 92 emissions³⁷. 93

94 However, very few studies comprehensively assess and model MSW at the global scale. A recent study 95 estimates the global trends and environmental impacts of MSW up to 2100³ in terms of MSW generation, 96 composition, and treatment, as well as environmental impacts. Other studies look at MSW as a potential 97 source of secondary materials and energy. It is estimated that the relative contribution of energy from waste 98 and wastewater to the global primary energy demand could increase from 2% to 9% by 2040 and deliver 64

¹ https://unfccc.int/ghg-inventories-annex-i-parties/2020

EJ of energy per year (1 EJ = 10^{18} Joules) at the end of this period, if circular management systems are installed³⁸. Current estimates are that only around 13% of the global MSW generated is recycled and 5.5% composted⁴. In a trend scenario perpetuating current conditions, this share is expected to increase to 39% in 2050 (includes composting and incineration)³. Recycling of waste, including composting and anaerobic digestion, can potentially be boosted in a sustainability-oriented scenario, but so far the extent to which that could be achieved has not been quantified.

105 Clearly, these assessments provide some insights on the contribution of MSW to GHG and air pollutants 106 emissions as well as a source of energy and secondary materials. However, most of them focus on a single 107 aspect of MSW (i.e., emissions from landfills and open burning) rather than on the MSW management 108 system as such. Studies providing evidence of the potential environmental co-benefits resulting from the 109 implementation of circular MSW management systems are still scare. Furthermore, to our knowledge, no 110 global analysis exists that considers differences between urban and rural settings and assesses how MSW 111 generation, composition, management and associated environmental burdens might change under 112 alternative, plausible future scenarios. We here fill that gap. Our main motivation is to contribute to improved 113 understanding how different societal choices could transform MSW management practices in order to address global climate, pollution, and sustainability issues. To our knowledge, this is the first global study 114 115 to show how the Shared Socioeconomic Pathways (SSPs) can be translated into emission baselines (CLE) 116 and mitigation scenarios (MFR) for the MSW sector.

117 We present a new method to globally assess the current and future MSW generation in urban and rural areas and associated emissions as well as their implications for ambient $PM_{2.5}$ concentrations for a range of future 118 119 population and macroeconomic developments to 2050 using the GAINS model as framework. These are 120 represented by the five SSPs and a scenario consistent with the future macroeconomic and population pathways of the IEA's World Economic Outlook 2018³⁹. Two variant scenarios are developed for each of 121 122 the six future socioeconomic pathways; a 'Baseline - CLE' and a 'Maximum Technically Feasible Reduction 123 - MFR', in which circular municipal waste management systems are implemented globally. This means that 124 landfilling of MSW is restrained, material recycling rates are increased, technological improvements and 125 behavioral measures such as reduction of food and plastic waste generation are assumed to be implemented. 126 Emissions of CH₄, CO₂ (fossil fraction), PM2.5, BC, OC, CO, SO₂, NOx, and NMVOCs are calculated for 127 184 countries/regions (differentiating urban and rural areas) for the period 2010 - 2050. Results are presented 128 at the level of thirteen world regions and the global aggregate. Based on this comprehensive analysis, we 129 quantify the potential reduction of GHG emissions as well as particulate matter and air pollution through 130 circular MSW systems. We also assess which SDGs can be reached or will be failed under the different 131 scenario assumptions. Our detailed representation of the MSW sector and associated emissions and 132 mitigation potentials can be used as input to Integrated Assessments Models (IAMs) applied to develop 133 emission scenarios for the IPCC, support regional and local scale air pollution studies, and inform local and national governments about the likely developments, environmental consequences, and mitigation 134 135 opportunities in the MSW sector.

136 **Results**

137 Scenarios of MSW generation until 2050

138 Different socioeconomic assumptions underlying each of the SSPs lead to significant differences in future 139 MSW flows (Fig. 1). The lowest quantities of MSW generation in 2050 are expected in SSP3 and SSP4 due 140 to slow economic growth and inequalities between regions which is reflected in different consumption 141 patterns. By contrast, in the SSP5 both income and urbanization rates increase strongly, resulting in a growth 142 of the MSW generation quantities estimated at to 4296 Tg/yr. Interestingly, in a sustainability-oriented 143 scenario (SSP1) MSW generation is expected to be just 10% lower than that in the SSP5 by 2050. However, 144 when boosting the SSP1 with the adoption of measures targeted at reducing food and plastic waste 145 (SSP1 MFR), it will be possible to reduce MSW generation by an additional 20% compared to SSP5 146 quantities by 2050.

148 The amount of MSW generated, its composition as well as prevalent management systems and policies 149 strongly depend on the dynamics of population and economic activity. We parameterized the drivers of 150 MSW as follows: the most important driver of future MSW generation is GDP. Separate elasticities that 151 relate MSW/cap/yr to GDP/cap/yr are estimated for groups of countries representing four different average 152 income levels under the assumption that MSW generation and its composition are highly dependent on average national income levels. The future composition of MSW is recalculated based on the estimated 153 154 income elasticity of per-capita food waste generation to GDP/cap/yr. MSW composition fractions estimated 155 separately include food, paper, plastic, glass, metal, wood, textile, and other mixed waste.

156 Quantities and composition of MSW generated differ between rural and urban populations. Data on rural waste generation are available for a limited number of countries. For countries where data on rural MSW 157 158 generation are unavailable, rural waste generation is estimated by applying ratios of urban:rural MSW 159 generation per capita for each region that were deriving from the available information for limited number 160 of countries (see Methods). While the uncertainty of the estimate might be high, the split into urban and rural MSW quantities highlights where actions are needed to improve MSW management systems at local levels, 161 162 allowing for better quantification of impacts and consequently serves better for policy design. Our estimates suggest that urban areas are currently responsible for 70% of the global MSW generated. In 2050 urban areas 163 164 are expected to generated 80% of the total MSW while rural areas are expected generated the remaining 165 20%, i.e., MSW per capita in rural areas is expected to be 50% lower than in urban areas. In general, rural 166 per capita MSW generation is much lower than those in urban areas due to their smaller purchasing power. 167 However, in high-income countries these differences between urban and rural areas shrink over time.



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Fig. 1: a. Global total MSW generation. b. Global MSW generation per capita. c. Global urban MSW generation per capita.
 Global rural MSW generation per capita

172 North America (NAM) is likely to continue having the highest average per capita MSW generation in both 173 urban and rural areas by 2050, followed by Oceania and Europe. China is expected to have the highest 174 growth in MSW generation per capita for urban and rural areas increasing by about 45% compared to 2015. The reason is the stronger economic growth expected in China over the next decade ⁴¹. India is expected to 175 176 generate about 13% less MSW than China in 2050 across all scenarios. Even though South Asia (SASIA) 177 and Latin America and Caribbean (LCAM) had similar average per capita MSW generation for both urban and rural areas in 2015, per capita MSW generation in Asia is expected to overtake LCAM in 2050 by about 178 179 15%. Even though Africa will experience the highest increase on MSW generation compared to 2015, it is



180 likely to continue having the lowest MSW generation per capita in the future (Fig. 2). Supplementary



Fig. 2: Municipal solid waste (MSW) generation rates in urban and rural areas by scenario. For high-income regions as NAM and EU28, MSW per capita will remain pretty the same independent of the underlying socio-economic pathway. However, the different pathway trajectories have a strong influence on MSW per capita generation in low, and middle-income regions.

186 Unfortunately, regions generating the highest amounts of MSW quantities per year have the lowest collection 187 rates and the poorest MSW management systems. Average MSW collection rates in Africa, India, SASIA, 188 and China are estimated to be in average of about 50% - 60%, having urban areas collection rates of \sim 70% 189 and rural areas ~40%. Moreover, the unsuitable management (i.e., disposed in dumpsites or burned without 190 air pollution controls), of the collected fraction exacerbates the already precarious situation. Based on the 191 detailed MSW activity and management strategies matrix of the GAINS model which comprises eight MSW streams and fourteen treatment technologies ³⁸, our estimates suggest that in 2015, 43% of the global MSW 192 collected ended up either in landfills (13%) that are compacted and/or covered but not meeting 193

environmental standards to prevent leakage⁴², in unmanaged landfills without any type of management 194 195 (hereafter referred as dumpsites) (21%), or was openly burned (9%) either directly at the dumpsites (including unintended fires) or in transfer stations. The remaining 29% of the collected waste was either 196 197 disposed in sanitary landfills (10%), incinerated (high quality with air pollution controls and energy 198 recovery) (7%), recycled (7%), or composted or anaerobically digested (4%), which is mostly happening in 199 high-income countries. From the uncollected fraction, around 20% is estimated to be scattered MSW with a 200 high probability of eventually reaching water courses and 10% openly burned (Fig. 3). The latter estimates 201 are based on global assessments and detailed country-level studies presented in Table 1 in the methods 202 section.



Fig. 3: Municipal solid waste (MSW) management in 2015. Urban areas in low-middle income regions have increased
 MSW collection rates in last years. However, MSW treatment has not improved at the same pace, hence most of the
 waste is dumped, scattered or is subject to open burning. Rural areas face an even more challenging situation as in low middle income regions collection rates are just about 35% - 45%. In general, high-income regions have established
 suitable MSW treatment systems in both urban and rural areas.

Despite legislation banning open burning of MSW in most of the countries, our calculations indicate that around 16 % of global MSW generated (whereof 55% collected and 45% uncollected), was openly burned, which is equivalent to 380 Tg/yr and 394 Tg/yr in 2010 and 2015, respectively. While in urban areas about 60% occurs either on transfer stations or dumpsites i.e., in the collected fraction, in rural areas is estimated

that about 80% of the burning occurs in the uncollected fraction. Rural areas often lack appropriate MSW

management systems and therefore the uncollected waste is usually subject to be dumped, scattered or openly
 burned⁴³.

216 If current MSW management strategies are maintained into the future, the expected quantities of MSW 217 disposed of in dumpsites and openly burned would rise proportionally to the increase of MSW quantities. In 218 contrast, in an ideal situation where a circular MSW management system (MFR), is implemented globally, 219 it would be probable to avoid almost all dumping and open burning of MSW in 2050, thereby eliminating 220 the environmental and health burdens associated with current management practices. Circular MSW 221 management systems include restrained landfilling of MSW, increase material recycling rates, technological 222 improvement, and implementation of behavioral measures such as reduction of food and plastic waste 223 generation.

224 Emissions to air

Our estimates indicate that current CH₄ emissions from MSW handling account for 8 % (28 Tg/yr) of the 225 226 global CH₄ anthropogenic emissions estimated at 344 Tg/yr in 2015³¹. Under the current management 227 strategies, baseline CH₄ emissions in 2050 are projected to rise by a factor between 1.7 (SSP3_CLE) and 2 228 (SSP5_CLE) over the amount observed in 2015, increasing the contribution of MSW to 13% of the projected 229 global CH₄ anthropogenic emissions estimated at 450 Tg/yr in 2050³¹. At the regional level, China, NAM, 230 LCAM, and SASIA emitted the higher CH₄ from MSW in 2015. If current conditions are maintained until 2050, then India, Middle East, Africa and SASIA will face the highest growth in CH4 emissions from MSW, 231 232 with an increase of about 60% compared to 2015 levels. The expected rise of the CH₄ emissions on those 233 regions is due to the increase of MSW generated, couple with the MSW (mis)management as scattered MSW, 234 dumpsites and precarious landfills (cover or compacted without leakage controls or gas recovery) are the 235 main options to deal with the MSW generated thereby increasing CH₄ emissions.

CH₄ emissions from waste deposited of in landfills today will be generated in future years as it depends on the degradability of the organic matter¹⁸. MSW generation quantities, composition and policy adoption at

238 early stages makes a significant difference in the trends of CH₄ emissions through the years. In a world 239 implementing circular MSW management systems, the maximum diversion of MSW from dumpsites by 240 2030 is reached in SSP1_MFR with 91% less compared to the baseline. This is the result of the adoption of 241 MSW reduction measures, speedy implementation of anaerobic digestion to treat organic waste and the 242 establishment of source separated MSW collection systems to increase the recycling of materials. Total 243 elimination of this practice is expected to happen around 2035 in this sustainability-oriented scenario. The 244 adoption of measures is comparatively slower in scenarios depicting high inequalities between and within 245 countries. Therefore, the diversion of MSW from dumpsites takes more time resulting in higher future CH₄ 246 emissions. With the exception of SSP1_MFR in which CH₄ emissions are projected to decrease by 4% in 247 2030, an increase of about 1%-2% is expected to happen in all other MFR scenarios compared to the 248 corresponding CLE. The maximum CH₄ emission reduction potential by 2050 will be reached in the 249 SSP1_MFR in which CH₄ emissions are expected to decrease by 87% compared to the baseline, thus leaving 250 still 182 CO2eq of CH_4 to be released in 2050. Other scenarios are expected to release more CH_4 , namely, 251 SSP3_MFR will leave 646 CO2eq of CH₄ and SSP5_MFR 292 CO2eq of CH₄ to be emitted by 2050 which 252 is 50% and 80% lower compared to the respective CLE counterparts (Fig. 4).





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Fig. 4: Global CH₄ emissions under CLE and MFR scenarios. Faster adoption of measures improving MSW systems
 will result in an early decrease of MSW ending up in dumpsites/uncontrolled landfills and therefore brings quicker
 reductions of future CH₄ emissions from this source. Supplementary Results S2 presents a detailed analysis of the
 MFR scenarios.

259 Emissions of particulate matter and air pollutants depend on the quantities of MSW subject to open burning. 260 Our results suggest that open burning of MSW is responsible for 3.5 Tg/yr of PM_{2.5} in 2015. BC emissions are estimated to be 7% and OC 60% of the PM_{2.5} emissions. Overall, PM_{2.5} emissions from MSW account 261 262 for 8% of the total global anthropogenic PM_{2.5} emissions. Global anthropogenic BC emissions are estimated 263 at 6.0 Tg/yr (GAINS) of which, following our results, 6% are from MSW burning (see supplement Table 264 S3 for estimates for all pollutants). At the regional level, our calculations indicate that SASIA plus India, China, Africa, and LCAM emitted 89% of the particulate matter and air pollutants from MSW. India and 265 China contributed about 50% and Africa 21% and LCAM the remaining 18% to those aggregate flows in 266 267 2015. Although open burning of MSW occurs in the collected and uncollected fraction in both urban and 268 rural areas, most of emissions come from the collected MSW in urban areas. For example, in Indian cities 269 waste handlers burn waste, despite being aware of the ban, mainly due to lack of infrastructure and to prevent 270 accumulation⁴⁴. Furthermore, with the projected growth of MSW generation and if the current conditions

prevail into the future then the anticipated global emissions of particulate matter and air pollutants from MSW are expected to nearly double in 2050 for all SSPs. SASIA, India, Africa, China and LCAM are expected to be responsible for 93% of the emissions. Future emissions in the CLE scenarios will increase proportionally to the quantities of MSW open burned. Consequently, the reduction of the fraction of MSW being openly burned translates directly into the same particulate matter and air pollutants emission reduction levels (Fig. 5). In that sense, in the SSP1_MFR, SSP5_MFR and ECLIPSE_V6b_MFR scenarios will be feasible to virtually eliminate open burning and therefore this source of air pollution already in 2030 while in the other scenarios this could potentially happen 10 to 15 years later.



Fig. 5: Global amounts of MSW open burned and related emissions under CLE and MFR scenarios. Reduction fractions
 of MSW open burned result in the same reduction percentage of particulate matter and air pollutants. Supplementary
 Results S2 presents a detailed analysis of the MFR scenarios.

289 At a regional level (Fig. 6), the pre-conditions of the MSW management systems in Europe, Oceania and to 290 certain extent NAM show that the level of effort required to reduce emissions is similar across scenarios. 291 This is the result of the historical evolution on MSW management systems together with the already high-292 income level and appropriate political arrangements in most of these regions. By contrast, all other regions 293 show high variation across scenarios due to the different dynamics. When comparing the scenarios for 294 regions such as China, India, SASIA, and LCAM, we see that in a sustainability-oriented scenario 295 (SSP1_MFR) a speedier decrease in emissions is observed in urban and rural areas compared to the other 296 scenarios. Moreover, the adoption of circular MSW management systems is slower in scenarios representing 297 a world in which inequalities persist resulting in big differences between urban and rural areas. Consequently, 298 higher emissions are expected across the years.



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Fig. 6: Regional emissions of CH_4 and BC from MSW. The target of all modelled scenarios is set to reach ~100 % of MSW collection and management by 2050. The environmental co-benefits will be obtained at different levels upon the level of socio-economic development and political and institutional arrangements. The different assumptions on policy interventions are then translated into a wide range of future emissions.

As emissions from MSW burning contribute significantly to ambient $PM_{2.5}$, particularly since the sources are often low-level and spatially located close to population, the improvement of MSW management will also have benefits in ambient $PM_{2.5}$. To illustrate the possible contributions and mitigation potential from

309 this sector, we here quantify the contribution of MSW to $PM_{2.5}$ levels in different world regions. Calculations follow the approach applied in ref⁴⁵ and are briefly described in the Methods section below. Differences 310 311 between the scenarios are driven both by emission changes as well as urbanization trends. Concentrations 312 are highest in India and other South Asia and are expected to grow further under CLE following the emission 313 trends. Other developing regions show similar growth trends but lower absolute concentrations. In China, initial increases level off, peaking around 2035 (SSP1,2,3,4) or 2050 (SSP5). In Europe, North America and 314 315 Oceania, contributions from MSW burning are much lower since the combustion happens in well-controlled 316 installations and not as open burning. Gradual implementation of better practices and emission controls 317 eventually decreases concentrations to ~zero before 2050 in all MFR cases, although this is achievable at 318 different points in time depending on the SSP storyline.

319 **Discussion**

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321 Here we present for the first time a systemic assessment of reduction potentials of GHGs and air pollutants emissions from implementing circular MSW management systems under six future socio-economic 322 323 development pathways. The assessment includes the development of two scenarios, namely baseline (CLE) 324 and maximum feasible mitigation potential (MFR) for each of the pathways. The explicit representation of 325 urban and rural MSW generation, composition and management allows for a deeper analysis of future 326 plausible management and emission trends. This study can assist national, regional, and local governments 327 in developing strategies to limit the release of emissions into the environment as well as support assessments of feasibility and progress in achieving the UN Sustainable Development Goals (SDGs). 328

Our results show that future MSW generation quantities are expected to be between 1.7 to 2 times higher in 2050 compared to current levels in all scenarios. Our results also highlight that urban areas are responsible for about 80% and will continue being responsible for the higher share of MSW generated in the future. The generally high collection rates of MSW in urban areas does not necessarily imply appropriate management. In SASIA, India, China, LCAM and Africa about 80% of the collected MSW is either dumped or openly burned. Furthermore, most of the MSW generated in rural areas is uncollected and thus ends up being illegally dumped, scattered, or openly burned resulting in several environmental impacts related to air pollution and greenhouse gas emissions and other health and environmental impacts out of the scope of this study. Our findings also indicate that in urban areas about 60% of the open burning occurs either on transfer stations or dumpsites i.e., in the collected fraction, while in rural areas is estimated that about 80% of the burning occurs in the uncollected fraction.

340 In the baseline (CLE), in which current MSW management practices persist without further policy 341 implementation, emissions to air would increase proportionately to the growth in MSW generation. We then 342 developed a set of mitigation scenarios (MFR) to assess the impacts of abatement measures compared to the corresponding baseline (CLE). The common target of our MFR scenarios is to achieve ~100% of MSW 343 344 collection and treatment by 2050 through the implementation of circular MSW management systems to 345 simultaneously tackle emissions of CH₄, CO₂, particulate matter, and air pollutants. Co-benefits are obtained 346 at different stages upon the level of socio-economic development and political and institutional 347 arrangements. Evidently, all countries would benefit from reduced MSW generation and improved 348 management in the sustainability-oriented scenario (SSP1_MFR), however, the additional benefit of 349 respective measures are especially relevant for regions generating large MSW quantities and lacking suitable 350 management systems. We show that the environmental co-benefits of avoided MSW generation combined 351 with the speedy implementation of anaerobic digestion to treat organic waste and the establishment of source 352 separated MSW collection to increase the recycling of materials (SSP1_MFR) yields major and earlier co-353 benefits in terms of reducing CH₄, particulate matter, and air pollutants. However, more ambitious 354 sustainability-oriented scenarios are crucial to meet the waste related SDGs, specially the 6.3 target which 355 aims at "By 2030, improve water quality by reducing pollution, eliminating dumping and minimizing release of hazardous chemicals and materials, halving the proportion of untreated wastewater and substantially 356 increasing recycling and safe reuse globally"⁴⁶. We demonstrate that under the current SSP1_MFR, it will 357

not be possible to totally eliminate scattered and open burning of MSW by 2030. Under this scenario the
realization of the objective will be obtained five years later i.e., in the year 2035.

360 Our analysis also suggest that in 2030, 881 Gg CO₂eq of CH₄ (GWP₁₀₀ of 28 CO₂eq¹⁹) will still be released 361 in the SSP1_CLE. Nonetheless, this is 13% lower compared to the CH₄ emissions expected in the 362 SSP2 CLE, SSP3 CLE and SSP4 CLE and 11% lower in comparison to the SSP5 CLE and 363 Eclipse_V6b_CLE. Considering that in 2030 high emissions of CO₂ from open burning of MSW would still 364 be released in SSP2 MFR, SSP3 MFR, SSP4 MFR, the total average GHG emissions (CH₄, and CO₂) in these scenarios will sum up to an average of about 1079 CO₂eq, that is 18% higher than the emissions 365 366 expected in the SSP1_MFR. In 2050, SSP1_MFR leaves 182 Gg CO₂eq of CH₄, to be released. That is 37% 367 lower than the SSP5 MFR and Eclipse V6b MFR and 3.5 times lower than the expected emissions in the 368 SSP3 MFR. These variation in emissions can make a substantial difference when considering that the world should stay below 1.5 degrees global warming i.e., the world can emit as maximum as 10 Pg CO_{2eo} /yr of all 369 370 GHGs in 2050⁴⁷.

The reduction of MSW being openly burned translates into the same reduction level of emissions of 371 372 particulate matter and air pollutants. Under the development of SSP1_MFR, SSP5_MFR and 373 ECLIPSE V6b MFR, the maximum emission reduction potential will be realized in 2030 whereas in the 374 SSP2_MFR will take 5 years more i.e., in 2040 and for the SSP3_MFR and SSP4_MFR 10 years more i.e., in 2045. At the same time, MSW combustion contributes to ambient $PM_{2.5}$ – in some world regions, this 375 376 contribution is substantial. Most low-income countries, and particularly those with already high 377 concentrations, show an increasing trend from this source under all SSPs, highlighting the importance of 378 counteracting. The positive message is that mitigation is possible and the MSW contribution to ambient 379 PM_{2.5} can be virtually eliminated by 2050. However, this will not happen by itself.

380

Comparison to other studies: Our calculations suggest that the world generated 2289 Tg/yr of MSW in 2015.
 Estimates from other studies vary from 1999³ to 2010⁴ Tg/yr for the same year. Past assessments estimated

global MSW generation between 2000⁴⁸ to 2400 Tg/yr¹⁴ in 2010. Looking at MSW generation projections, 383 384 our estimate for the SSP3 and SSP4 in 2050 are similar to the 3539 Tg/yr projected by Chen et al., 2020 (ref 385 ⁴). Our calculations suggest that although the SSP1 represents a sustainability-oriented pathway, MSW 386 quantities in the baseline are foreseen to reach 3901 Tg/yr in 2050, which is only 10% lower than the 387 expected MSW amounts in the SSP5. Our projection for MSW generation in the SSP2 is 3801 Tg/yr while ref³ estimated a MSW generation of about 3500 Tg/yr in 2050 for the same scenario. However, this estimate 388 389 is more comparable with our SSP3 and SSP4 projection. The ECLIPSE_V6b_CLE (3948 Tg/yr) is comparable to the SSP1. At the regional level, we find that India is expected to generate about 13% less 390 391 MSW than China in 2050 across all scenarios. This contrasts findings ref ⁴, in which projected MSW 392 generation in India was about 40% higher than the projection for China in 2050. However, our finding for India is in line with the projection carried out by ref⁴⁹. Furthermore, the average per capita MSW generation 393 394 in China is projected to be between 30% - 40% higher than those in India. The fact that estimates for 2010 395 are lower than those in 2015 and the variability of the results reflect on the one hand, the uncertainty of the 396 data and on the other hand the differences of the methodologies used to derive these numbers. Furthermore, Our estimate of MSW openly burned is 61% lower than the estimate of ref¹⁴, who estimated that 40% or an 397 398 equivalent of 970 Tg/yr of total MSW generated in 2010 was openly burned (whereof 64% at residential 399 sites and 36% at unmanaged dumpsites) and 57% higher than the estimate of ref^{36} , who estimated that about 115 Tg/yr- 160 Tg/yr of MSW was openly burned in 2010. Differences in estimated quantities can be 400 401 attributed to variations in the per capita MSW generation rates adopted referring partly to different data 402 sources, but also to differences in the methodology used to estimate the fraction of waste openly burned. While the assumption in ref¹⁴ refers to a fraction recommended in the IPCC (2006) guidelines, we develop 403 404 our own method which we believe better represents the complexity of the MSW sector e.g., in terms of the 405 urban-rural split and the country/region-specific MSW composition and MSW management pathways (see 406 Methods). The differences of the estimates puts a magnifying glass on the urgency to develop national 407 standardized MSW reporting systems, which in addition of being key to governments for the implementation and evaluation of MSW treatment, can serve as part of the monitoring system of GHGs, air pollution andSDGs.

410 Our estimations indicate that current CH₄ emissions from MSW handling account for 8 % (28 Tg) of the 411 global CH₄ anthropogenic emissions estimated at 344 Tg in 2015³¹. Our estimate is 17% lower than the one 412 estimated by ref³⁵ and which has been adopted within the CMIP6 project ⁵⁰. It is difficult to assess the level 413 of agreement between both studies as estimates from ref³⁵ include MSW and industrial waste while the focus 414 of this study is on MSW and the importance to properly represent the sector for climate and air pollution 415 assessments. However, comparing CH₄ emissions from MSW in the Eclipse_V5a ³⁶ to this study, we can 416 see that the estimate in the latter is 30 Tg /yr or 6% higher.

417 Recent global CO₂ emissions area assessed at of 39153 Tg/yr in 2015, whereof 130 Tg/yr or 0.33% are 418 generated from waste combustion (including industrial and municipal sources) 35,51 . Ref¹⁴ calculates CO₂ 419 emissions from open burning of MSW of 1413 Tg/yr in 2010, estimate that is around 10 to 15 times higher 420 than that from ref^{35,51} and the one from this study.

In 2010, emissions of PM_{2.5}, BC, and OC have been assessed at 6.1, 0.6 and 5.1 Tg, respectively¹⁴. Our estimates are comparatively lower to those results. In contrast, our results for particulate matter are 60% higher than those from ref ³⁶. In both cases the differences are related to the assumed quantities of MSW openly burned. Other studies^{35,51} have estimated BC and OC emissions from waste of 0.7 Tg and 4.2 Tg ³⁵, respectively (Supplementary Results S3 show a comparison of different studies for different pollutants).

426 Conclusions

427

428 Significant potentials exist to reduce GHG, and air pollution provided the implementation of circular MSW 429 management systems. The 6.3 target of the SDG 6 can only be achieved through more ambitious 430 sustainability-oriented scenarios that limit MSW generation and improve management. Similarly, these 431 kinds of scenarios can directly contribute to the achievement of other SDGs, especially SDG 7, 9, 12, 14 and 432 15. Our results highlight the importance of acting at various fronts, namely, consumers behavior, 433 technological development, technology transfer and institutional coordination. For instance, the benefits 434 from reduction of MSW generation can be jeopardized by social and economic inequalities between and 435 within regions which could restrain the adoption and implementation of measures to improve MSW 436 management systems. Furthermore, for a world focused solely on end-of-pipe solutions will be also 437 beneficial the implementation of policies targeted at reducing MSW generation. The finding is that the 438 development of measures at the consumer side will not bring the expected benefits in terms of emissions reduction if quicker and responsible actions are not taken to bring MSW management systems as an 439 440 important point in governmental agendas. Finally, we see that the majority of countries have developed some 441 kind of legislation regarding the improvement of municipal solid waste management systems, however, the 442 compliance is highly uncertain. A solid system for the reporting of MSW couple with a transparent 443 systematic follow-up of policy enforcement will help to reduce the uncertainty of the estimates as well as 444 will provide clearer insights into the efforts needed by countries to meet their climate, air pollution and SDGs 445 commitments.

446 Methods

447

448 The methodology for developing MSW generation scenarios and associated greenhouse gas and air pollutant 449 emissions involves the following five elements: 1. Socioeconomic drivers are taken from the Shared Socioeconomic Pathway (SSP) Scenarios for the five SSPs⁵² and from the World Energy Outlook and 450 451 UNDESA⁵³ for the Eclipse_V6b_CLE (Supplementary Methods S4 presents a short description of the SSPs 452 storylines). 2. The country-specific generation in per capita MSW is driven by expected growth in average per capita income as described in the Supplement of ref³⁸ and further developed in this study (Supplementary 453 Methods Fig. S2 and Fig.S3 show GDP per capita and urbanization rates). 3. Estimation of emissions draw 454 on the methodologies presented in ref ^{33,36,54}, but are extended to improve source-sector resolution and 455 456 accommodate for new, MSW sector-specific, information. 4. Implementation of the current legislation for waste management adopted before 2018. 5. Implementation of circular waste management systems are
developed in accordance with the EU's waste management hierarchy - Directive 2008/98/EC⁶. The IIASAGAINS model is used as a framework to carry out this assessment.

460 Municipal waste generation (MSW) activity and its characteristics.

461 Current MSW generation quantities, composition, collection rates, and waste management practices are 462 retrieved from several sources, including national official statistics, peer-reviewed literature, and technical 463 reports (see supplement of Gómez-Sanabria et al., 2018). The driver used to project future per capita MSW 464 generation is GDP per capita. This is linked to MSW generation using elasticities estimated following the methodology first developed in ref³³ and further developed in ref⁵⁵. This methodology is further developed 465 466 in this study (Supplementary Methods S6). Separate elasticities are estimated for groups of countries 467 representing four different average income levels under the assumption that MSW generation and its 468 composition are highly dependent on average national income levels. Furthermore, MSW composition is 469 recalculated based on the estimated income elasticity to per capita food waste generation. MSW composition 470 fractions estimated separately include food, paper, plastic, glass, metal, wood, textile, and other waste. This 471 last fraction includes ordinary mixed waste and may in some cases also include bulk waste.

472 Quantities and composition of MSW generated by rural and urban population are different. Data on rural 473 waste generation is available for a limited number of countries, when underlying data on rural MSW 474 generation is unavailable, rural waste generation is estimated by applying different shares related to the 475 specific urban MSW generation rate per capita within specific region and using Eq. (1). This approach is 476 likely to be an improved version of the one-half rural-urban waste generation ratio used by some studies ^{4,56} 477 because it captures the differences between regions (Supplementary Methods S7 presents the adopted rural 478 urban rates for different regions).

479
$$MSW_u = MSW_t * \left(\frac{P_u}{P_u + \left(R_(r_{/u}) * P_r\right)}\right)$$
(1)

481

$$MSW_r = MSW_t - MSW_u$$

where MSW_t is total MSW generated in a country/region, MSW_u and MSW_r are MSW generated in urban and rural areas, respectively, $R_{(r)/u}$ represents rural per capita MSW generation as a fraction of the per capita urban MSW generation, and P_u and d P_r is rural are urban and rural population, respectively.

485 Open burning of MSW.

486 In countries without proper implementation of waste legislation, waste mismanagement is aggravated by poor waste separation at the source, low collection rates and low budget allocated to the waste sector ⁴⁰. In 487 488 the absence of reliable waste management systems, dumping and open burning of MSW, either at residential or dumpsites, become the only alternatives to reduce waste- volumes ^{13,14}. Total MSW openly burned is 489 490 estimated here as the sum of the fractions of uncollected MSW openly burned and collected MSW openly 491 burned at dumpsites and transfer stations in urban and rural areas. The starting point to derive the quantities of MSW openly burned is the total MSW generated in urban and rural areas. Waste amounts are then split 492 493 into collected and uncollected waste for urban and rural areas, respectively. Collected waste includes MSW 494 collected by official authorities but also (recyclable) waste collected by the informal sector. Information on collection rates is gathered from sources presented in ⁵⁵ and complemented from information available in 495 ^{4,56}. The fraction of uncollected waste is then split into scattered waste or waste openly burned. The fraction 496 of uncollected waste openly burned is assigned based on the information presented in Table 1, considering 497 498 the current implementation of waste related legislation, income level, collection rates, and urbanization rate 499 of each region. The fraction of collected MSW openly burned is estimated at 10% - 20% of the waste ending 500 up in dumpsites, partly due to self-ignition resulting from poor management and partly due to deliberate 501 burning to reduce waste volumes. In addition, a fraction of the collected waste is assumed to be burned at 502 the transfer station or before reaching the disposal site, which is the case in several developing countries ⁵⁷. Fractions of MSW openly burned, either on the streets or at dumpsites and transfer stations, are dependent 503

504 on the improvement of the MSW management systems and enforcement of the waste and air pollution 505 legislation. Improvement of waste treatment systems results in reduction of the frequency of MSW openly burned ⁵⁸. The quantification of these fractions is however highly uncertain. Literature provides a few 506 507 different methodologies to estimate the amounts of waste openly burned (Table 1). The IPCC (2006)¹⁸ 508 suggests 0.6 as a representative value for the fraction of total available waste to be burned that is actually openly burned. This assumption is used by Wiedinmyer et al., 2014 to estimate GHGs and air pollutants 509 from open burning of waste. Bond et al., (2004)⁵⁹ assumed lower rates of open burning of waste in rural 510 areas in developing countries based on the statement that most of the waste in rural areas is biodegradable. 511 512 Table 1 also shows that in many cases the default representative value of the IPCC maybe inadequate for several regions. 513

514 In general, the quantification of MSW openly burned in region *i* and year $y - MSW_{(ob)iy}$ is calculated as 515 the sum of MSW openly burned in urban areas $MSW_{(obu)}$ and MSW openly burned in rural areas $MSW_{(obr)}$ 516 applying Eq (2). (2)

517
$$MSW_{(ob)iy} = MSW_{(obu)iy} + MSW_{(obr)iy}$$

518 Where,

519
$$MSW_{(obu)iy} = [(MSW_{(u)iy} * C_{(u)iy} * (\beta_{0u} + \beta_{1u})) + (MSW_{(u)iy} * (1 - C_{(u)iy}) * \beta_{2u})]$$

520
$$MSW_{(obr)iy} = [(MSW_{(r)iy} * C_{(r)iy} * (\beta_{0r} + \beta_{1r})) + (MSW_{(r)iy} * (1 - C_{(r)iy}) * \beta_{2r})]$$

Where, $MSW_{(u)iy}$ and $MSW_{(r)iy}$ are the total amounts of MSW generated in urban and rural areas, respectively. $C_{(u)iy}$ and $Coll_{(r)iy}$ are the MSW collection rates in urban and rural areas, respectively. β_{0u} and β_{0r} represent the fractions of collected MSW openly burned on transfer stations and β_{1u} and β_{1r} represent the fractions of collected MSW openly burned at dumpsites in urban and rural areas, respectively. β_{2u} and β_{2r} are the fractions of uncollected waste openly burned in urban and rural areas, respectively.

528 Emissions of non-CO₂ greenhouse gases and air pollutants (*E*) by source (*s*) and region (*i*) are calculated in 529 GAINS using Eq (3) ⁵⁴:

530
$$E_{it} = \sum_{sit} A_{is} * ef_{sm} * Appl_{itsm}$$

531 where A_{is} is the activity data, i.e., the amount of MSW generated before management, ef_{sm} is the emission factor subject to technology m, and $Appl_{itsm}$ is the application rate of the technology m to the activity A_{is} . The 532 GAINS model matrix comprises fourteen different MSW waste management technologies including 533 534 different types of source separation, recycling and treatment, different types of solid waste disposal sites and 535 different types of incineration technologies and open burning of waste (Supplementary Methods 8). This 536 extensive characterization of alternative treatment flows allows for a detailed representation of the solid 537 waste management system and its emissions at the national/regional level. Emission factors for CH₄ and CO₂ are developed according to the 2006 IPCC Guidelines, Volume 5, Chapter 3 and Chapter 5¹⁸. PM emission 538 factors are adopted from ref ³⁶. These are 8.75 for PM_{2.5}, 5.27 for OC and 0.65 g/kg for BC. Emission factors 539 for SO₂, NOx and NMVOC are adopted from ref⁶⁰ and are consistent with ref¹⁴. These are 0.5 for SO₂, 3.74 540 for NOx, and 7.5 g/kg for NMVOC. The PM_{2.5} concentrations are obtained using the annual PM_{2.5} emissions 541 applying a simplified version of the atmospheric calculation in the GAINS model ⁴⁵. Those estimates build 542 543 on a linearized representation of full atmospheric chemistry model simulations. Here, an atmospheric 544 transfer coefficient is developed to related PM2.5 emissions to ambient PM2.5 concentrations from MSW 545 burning.

546 Description of the scenarios.

The baseline scenarios associated with the six socio-economic pathways describe the expected developments of municipal solid waste generation and management systems under current legislation 'CLE', hereafter baseline, i.e., assuming no further policies affecting the MSW sector are adopted until 2050. In addition, for each baseline an alternative scenario is constructed, which considers full implementation of circular MSW 551 management systems globally and is referred to as the maximum technically feasible reduction 'MFR' 552 scenario, hereafter mitigation scenario. Note that the technical frontier is explored here without taking 553 account of the cost to implement various waste management strategies.

554 The MFR scenario is developed according to the SSP narratives and assumes a maximum technically feasible 555 phase-in of a waste management system that is fully consistent with the EU's waste management hierarchy 556 (Directive 2008/98/EC)⁶. This means that a first priority is given to technologies that circulate materials, 557 thereafter to technologies that recover energy, and only as a last resort to well managed landfills. The 558 following maximum recycling potentials of waste streams are applied: 90% of municipal paper and textile 559 waste and 80% of municipal plastic and wood waste can be recycled. It is further assumed that 100% of food 560 waste can be source separated and treated in anaerobic digesters with biogas recovery. These MFR potentials 561 are adopted in consonance with the socioeconomic development for each scenario. Supplementary Methods 562 S9 presents a description of the MFR management narratives specified for each scenario along with the 563 regional aggregation.

564 Uncertainty

565 Regarding uncertainty, several data inputs (activity data, emission factors, type of management) go into the 566 estimations and therefore is difficult to do a quantitative uncertainty estimation^{3,14}. Historical estimates of 567 MSW generation, collection, management, and related emissions have associated uncertainties resulting from the different definitions of MSW coupled with contradictory reported values for generation and 568 569 composition. The quality of the data suffers from inconsistencies in the definition of MSW generation across countries ⁵⁶. In some cases, amounts reported for MSW generation correspond to the gross quantities of 570 571 waste collected and in other cases to the MSW quantities left for landfill after quantities separated for treatment have been deducted ⁶¹. In developed countries, in particular in Europe, MSW covers household 572 573 waste and waste that is similar in nature and composition. In developing countries, data on waste suffers 574 from incomplete characterizations and clear definitions of the fractions and source sectors included in the

575 MSW are often lacking. These uncertainties are relatively high in developing countries compared to 576 developed countries as in various cases data availability is quite limited in the former case³. Additionally, some data reported for generation and collection refers to urban areas rather than national totals ^{4,40}, which 577 578 makes necessary to adopt assumptions based on dedicate studies for particular regions and expert knowledge 579 to arrive at reasonable national MSW generation rates and attributions to urban and rural waste amounts. 580 These uncertainties become bigger when estimating fractions of MSW openly burned as this information is 581 in most of the cases not attainable. Moving to emission factors, CH₄ emission factors are based on the IPCC Guidelines 2006¹⁸, thereby carry out the uncertainties there described. Emissions factors for air pollutants 582 583 and particulate matter depend on the composition of waste and burning conditions. Although we adopted the 584 most recognized emission factors in the scientific arena, we acknowledge that large uncertainties are related to the values (uncertainties can be seen in ref^{14}). Concerning uncertainty in projections, this is by some means 585 586 assessed by adopting alternative activity scenarios which allows the comparison of the different estimates and reflect the sensitivities of the proposed measures to input assumptions⁶³. In general, there is a global 587 need to improve information on MSW generation rates, treatment and level of policy implementation³. 588 589 Regardless of the uncertainties, we demonstrate the importance of improving global estimates of GHGs and 590 air pollutant emissions from MSW and highlight the considerable role of this sector when assessing the 591 respective mitigation potentials.

592 **Data Availability**

593 The data used for this analysis is available in the Supplementary Information and excel spreadsheet.

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730		

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734 Ethics declarations

735 The authors declare that they have not conflict of interest.

736	Supplementary	Information
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738 The supplement related to this article is available at

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744 Tables

745	Table 1. Collection of studies	quantifying	g municipal soli	id waste (MSW)	openly burned.
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Source	Scale	Assumption	Results
Sharma et al., 2019	India	Calculation of waste burned at landfills was based on a study in a landfill in Mumbai using average FRP. Fraction open burning of waste 7% - 12%	68 Tg a ⁻¹ was open burned in India in 2015
Wang et al., 2017	China	In reference to the limited literature, China's averaged proportion of open MSW burning is set to 18.0% at residential and dumpsites and 38.0% at landfills.	The proportion of open burning is estimated from 79.8% in 2000 to 57.0% in 2013
Klimont et al., 2017	Global	IPCC guidelines 2006; CEPMEIP, 2002; EAWAG, 2008; Neurath, 2003. Fraction of open burning of waste is 0.5% - 5% for developed world and 10% -20% for developing world.	Global estimation of MSW openly burned is estimated 115 Tg a ⁻¹ to 160 Tg a ⁻¹ in 2010
Wiedinmyer at al., 2014	Global	Follows IPCC guidelines 2006 in which 60% of the total waste available to be burned that is actually burned	970 Tg a ⁻¹ of waste are globally openly burned. 620 Tg a ⁻¹ at residential level and 350 Tg a ⁻¹ at dumpsites.
Hodzic et al., 2012	Mexico City	Assigned percentage of MSW burned according to socioeconomic status. Low and middle-low 60%, mid 30%, mid-high and high 20%. Based on anecdotal evidence with Mexican researchers.	The burned fraction exceeds 4 Gg day ⁻¹
Bond et al., 2004	Global	Fraction of burned waste in urban areas base on United Nations Human Settlement Programme, 2000	Worldwide 33 Tg a ⁻¹ , including 14 Tg a ⁻¹ in Asia and 5 Tg a ⁻¹ in Africa

Supplementary Files

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- MunicipalwasteGHGreductionpotentialsSupplement.pdf
- GraphssupplementGOMEZetal.xlsx