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ORIGINAL RESEARCH

# Socioeconomic Inequalities in Colorectal Cancer Survival in Southern Spain: A Multilevel Population-Based Cohort Study

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**Background:** Colorectal cancer (CRC) is the most frequently diagnosed cancer in Spain. Socioeconomic inequalities in cancer survival are not documented in Spain. We aim to study the association of socioeconomic inequalities with overall mortality and survival among CRC patients in southern Spain.

**Methods:** We conducted a multilevel population-based cohort study, including CRC cases for the period 2011–2013. The study time-to-event outcome was death, and the primary exposure was CRC patients' socioeconomic status assessed by the Spanish deprivation index at the census tract level. We used a mixed-effects flexible hazard model, including census tract as a random intercept, to derive overall survival estimates by deprivation.

**Results:** Among 3589 CRC patients and 12,148 person-years at risk (pyr), 964 patients died before the end of the follow-up. Mortality by deprivation showed the highest mortality rate for the most deprived group (96.2 per 1000 pyr, 95% CI: 84.0–110.2). After adjusting for sex, age, cancer stage, and the area of residence, the most deprived had a 60% higher excess mortality risk than the less deprived group (excess mortality risk ratio: 1.6, 95% CI: 1.1–2.3). **Conclusions:** We found a consistent association between deprivation and CRC excess

mortality and survival. The reasons behind these inequalities need further investigation in order to improve equality cancer outcomes in all social groups.

**Keywords:** socioeconomic inequalities, colorectal cancer, survival, population-based epidemiology, epidemiological methods, multilevel

#### Introduction

In 2018, there were 9.6 million deaths caused by cancer worldwide, with cancer being the second leading cause of death.<sup>1</sup> Mainly due to global aging, the incidence of cancer is expected to increase in the coming decades in Europe. The economic and societal costs of cancer are rising sharply, affecting the economic growth of western countries.<sup>2</sup> Population-based cancer registries are an essential public health resource for epidemiological surveillance and cancer control.<sup>3</sup> Cancer registries provide population-based cancer survival estimates as a general indicator of the natural history of the disease and the effectiveness of the health system.<sup>4</sup> In northern European countries, cancer registries have a long tradition of using indices of deprivation to characterize cancer socioeconomic inequalities at a geographical level.<sup>5</sup>

Socioeconomic inequalities in cancer survival are well documented worldwide.<sup>6–10</sup> In the United Kingdom, cancer patients living in wealthier areas have higher survival

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than those living in more disadvantaged areas.<sup>11</sup> However, in Spain, there are no documented socioeconomic inequalities in cancer survival.

Recently, a deprivation index was developed, based on the 2011 Spanish population census data.<sup>12</sup> In Spain, colorectal cancer (CRC) is the most frequently diagnosed cancer with a high incidence in the elderly.<sup>13,14</sup> Therefore, we aimed to study the association of socioeconomic inequalities with overall mortality and survival among CRC patients in the southern Spanish province of Granada, between 2011 and 2013.

# **Patients and Methods** Study Design, Participants, Data, and Setting

We conducted a multilevel population-based cohort study. The cohort was composed of all CRC cases identified between the period 2011–2013, including all those patients diagnosed during the same period and those who survived to 2011 from earlier cancer diagnosis in the southern Spanish province of Granada.<sup>15</sup> Data were drawn from the Granada Cancer Registry, a population-based cancer registry in southern Spain launched in 1985 and covering a population of about 922,100 inhabitants.<sup>16</sup> CRC corresponded to codes C18-C21 of the International Classification of Diseases 10th revision (ICD-10).<sup>17</sup> Patients' follow-up started at the date of their CRC diagnosis up to the end of the study on December 31<sup>st</sup>, 2013. Patients who remained alive by the end of the study were censored.

# Variables

#### Outcome and Main Exposure

The study outcome was CRC patient's vital status, and the main exposure was patients' socioeconomic status (SES) referred to the year 2011. Patients' SES was assessed by the SDI at the census tract level, developed by the social determinants of health working group of the Spanish Society of Epidemiology.<sup>12</sup> The SDI was created using data from the Spanish 2011 census conducted by the Spanish National Statistics Institute. The index includes information from six indicators mainly related to employment and education: percentage of manual workers (employed or unemployed), percentage of occasional workers (employed or unemployed), and percentage of the population with insufficient education. We used the SDI as a proxy of patients' SES. It was measured as a standardized score (ie, mean: 0, standard deviation: 1) with mean referred to the overall Spanish

population. We computed the quintiles (Q) of the SDI and contrasted Q5 (most deprived) vs Q1 (less deprived) in statistical analyses.<sup>12</sup>

#### Other variables

We included patient's age, sex, and cancer stage at diagnosis in the analysis. Age at diagnosis was categorized into five age groups. The stage at diagnosis variable was defined as the combination of clinical and pathological TNM stages at diagnosis, based on the 7th edition of the TNM manual,<sup>18</sup> and categorized into four groups.

The study was carried out in accordance with the principles of the Declaration of Helsinki. The Granada Cancer Registry complies with relevant national and European data protection and privacy regulations. The internal review board of the Andalusian School of Public Health and the ethics committee from the Department of Health of the Andalusian Regional Government approved the study (PI18/01593). The data are held by the Regional Government of Andalusia and the Andalusian Health Department.

## Statistical Analysis

We described the overall cohort of CRC patients using counts and proportions and contrasted the differences in sex, age, and cancer stage between the less (Q1) and most deprived (Q5). We then computed the CRC mortality rates per 1000 person-years, the unadjusted mortality rate ratios, with 95% confidence intervals (CIs), and the tests for trend p-values for all the variables.

We used a flexible parametric hazard modelling approach consisting of restricted cubic spline-based hazard models with three degrees of freedom and two internal knots to derive unadjusted and adjusted excess mortality (EM) risk and cancer-specific relative survival probabilities by the quintiles of deprivation.<sup>19</sup> We used as background mortality the information from the Spanish life tables and performed a complete case analysis. We used the scaled Schoenfeld residuals as a function of time in order to evaluate the presence of a time-dependent effect of the levels of deprivation and the rest of covariates.<sup>20</sup>

We fitted different models, including one variable at each time, to control for confounding (ie, models 1–3). The final model was adjusted for age, sex, and cancer stage, including the interaction between time and cancer stage (ie, fully adjusted model 4). From each model, we derived the excess mortality risk ratios (EMRRs) and 95% confidence intervals (CIs). To account for the clustering

effect at the census tract level, we fitted a multilevel mixedeffects hazard model. The model specification was the same as model 4, but the patient's census tract area of residence was included as a random intercept.<sup>21</sup> We plotted the adjusted relative survival probabilities derived from model 4 for the levels of deprivation (Q5 vs Q1). We also computed and plotted the standardized restricted mean survival time for the most and less deprived CRC patients and its difference in time (years).<sup>22,23</sup> Then, we plotted the adjusted relative survival probabilities by the quintiles of deprivation (Q5 vs Q1) standardized to the empirical distribution of sex and age at cancer diagnosis.<sup>24–26</sup>

In the sensitivity analysis, we assessed whether the SDI 2011 was correlated with the individual average of income in euros by census tract for the calendar year 2015.<sup>27</sup> We explored the differences between patients who survived to 2011 from earlier cancer diagnosis with those diagnosed between 2011 and 2013 and allowed for the delay entry of those patients diagnosed before 2011 by setting the study entry to the 01.01.2011. Finally, we explored different modelling specifications, including the interaction between the SDI and TNM stage, age, and sex.

We used Stata MP v.16.0 (StataCorp, College Station, Texas, US), including the user-written programs stpm2 v.1.7.0, standsurv v.0.44 and stmixed v.2. $0.3^{28,29}$  for statistical analyses (Supplementary File 1).

## Results

Among 3589 CRC patients and 12,148 person-years at risk, 964 (26.9%) died before the end of 2013. After a maximum follow-up time of 10-year, the overall mortality rate was 79.3 per 1000 person-years. Overall, 32% of CRC patients were >75 years old, and 59% were male. TNM cancer stages II and III were the most frequent, with approximately one-third of the cases for each group. TNM cancer stage was the only variable with missing information (ie, 5%) (Table 1).

CRC mortality rates were higher among the most deprived (Q5), males, those with advanced age (ie, >75 years), and patients with stage IV disease (ie, TNM stage IV mortality rate: 332.5 per 1000 person-years). The most deprived CRC patients (Q5) showed the highest mortality rate compared with the other quintiles of deprivation (ie, 96.2 per 1000 person-years, 95% CI: 84.0–110.2) and four times higher mortality rate than the less deprived (Q1) (ie, mortality rate ratio: 1.4, 95% CI: 1.1–1.7). There was evidence of an increased mortality risk across the levels

Table IVital Status at 10 Years, Age, Sex, and TNM CancerStage at Cancer Diagnosis Among Colorectal Cancer Patients inGranada, Between 2011 and 2013, n = 3,589

Variables		n (%)
Vital status at 10 years		
	Alive	2,617 (73.1)
	Dead	964 (26.9)
Age at diagnosis, years		
	<50	265 (7.4)
	50–59	529 (14.7)
	60–69	953 (26.5)
	70–75	695 (19.4)
	>75	1,147(32.0)
Sex		
	Male	2,112 (58.9)
	Female	1,477 (41.1)
TNM stage at diagnosis		
	1	641 (17.9)
	П	1,107 (30.8)
	III	1,082 (30.1)
	IV	574 (16.0)
	Missing	185 (5.1)

of the categories of age, cancer stage, and deprivation (ie, test for trend p-value < 0.001) (Table 2).

Supplementary Table 1 shows CRC vital status at 10 years, sex, age and TNM cancer stage at diagnosis by deprivation (ie, Q1 and Q5 quintiles of deprivation). There was no evidence of a difference in proportions of sex and cancer stage by deprivation. However, most deprived CRC patients were older (ie, Q5 and >75: 37.2% vs Q1 and >75: 28.5%) and showed a higher proportion of mortality at 10 years (ie, Q5: 30.7% vs Q1: 24.2%).

Overall, multivariate-adjusted models showed higher EMRRs among the most deprived CRC patients compared with the less deprived. After adjustment for sex, age, TNM cancer stage at diagnosis, and accounting for patient's area of residence (ie, model 5) the most deprived CRC patients showed a 60% higher EM compared with the less deprived patients (ie, EM: 1.6, 95% CI: 1.1–2.3). The variability (ie, variance) of CRC EM explained by the census tract was of 0.78 (95% CI: 055–1.11) (Table 3).

Figure 1A shows the standardized relative survival probability by quintiles of deprivation (Q5 vs Q1). The estimated standardized relative survival probability at 10 years for the less deprived group was 0.69 (95% CI: 0.66–0.73), and for

Variables	Deaths/Pyr	Mortality Rate per 1000 pyr (95% CI)	Mortality Rate Ratio (95% CI)	p-value
Sex				<0.001
Male	602/6,878	87.5 (80.8–94.8)	Ref.	
Female	362/5,270	68.7 (62.0–76.1)	0.8 (0.7–0.9)	
Age at diagnosis, years				<0.001*
<50	40/1,024	39.1 (28.7–53.3)	Ref.	
50–59	74/1,997	37.0 (29.5–46.5)	0.9 (0.6–1.4)	
60–69	163/3,609	45.2 (38.7–52.7)	1.2 (0.8–1.6)	
70–75	186/2,631	70.7 (61.2–81.6)	1.8 (1.3–2.5)	
>75	501/2,886	173.6 (159.0–189.5)	4.4 (3.2–6.1)	
TNM stage at diagnosis				<0.001*
I	96/2,529	38.0 (31.1–46.4)	Ref.	
II	215/4,215	51.0 (44.6–58.3)	1.3 (1.1–1.7)	
III	238/3,867	61.5 (54.2–69.9)	1.6 (1.3–2.1)	
IV	331/995	332.7 (298.6–370.3)	8.6 (7.0–11.0)	
Quintiles of deprivation				<0.001*
Q1 (less deprived)	178/2,569	69.3 (59.8–80.2)	Ref.	
Q2	175/2,484	70.5 (60.7–81.7)	1.0 (0.8–1.2)	
Q3	198/2,458	80.6 (70.1–92.6)	1.2 (0.9–1.4)	
Q4	204/2,465	82.8 (72.1–94.9)	1.2 (1.0–1.5)	
Q5 (most deprived)	209/2,172	96.2 (84.0–110.2)	1.4 (1.1–1.7)	

**Table 2** Ten-Year Overall Mortality Rate and Mortality Rate Ratios by Sociodemographic Characteristics, TNM Cancer Stage atDiagnosis, and Quintiles of Deprivation Among Colorectal Cancer Patients in Granada, Between 2011 and 2013, n = 3,589

Note: \*Test for trend p-value.

Abbreviations: pyr, person-years; Cl, confidence interval.

the most deprived was 0.63 (95% CI: 0.57–0.70). Figure 1B shows the standardized mean survival times for the most and the less deprived CRC patients, and Figure 1C the survival gap in years as a function of time. The mean survival time at ten years was 7.6 years (95% CI: 7.1–8.0) for the most deprived CRC patients versus 8.0 years (95% CI: 7.8–8.2) for the less deprived (Figure 1B). The survival gap increased over time. At the end of the 10-year follow-up, the most deprived CRC patients, on average, lived 0.43 years (158 days) less than the less deprived patients (mean survival difference Q5 vs Q1: -0.43 years, 95% CI: [-0.78]–[-0.08]) (Figure 1C).

Figure 2 shows the adjusted relative survival probabilities for the most and less deprived CRC patients derived from model 5 and marginalized over the categories of sex and age. The most deprived patients showed lower survival probabilities than the less deprived for any combination of age and sex. Compared with men, women showed a smaller contribution to the survival gap between the most and less deprived groups.

In the sensitivity analysis, we found a strong association between the 2011 SDI and the average income per person by census tract for the calendar year 2015 (ie, Pearson correlation: -0.74, 95% CI: [-0.74]-[-0.73]) (Supplementary Figure 1).<sup>27</sup> There was no evidence of non-proportionality of the baseline hazard for the levels of deprivation (ie, test for the scaled Schoenfeld residuals p-value = 0. 706) (Supplementary Figure 2) and there was no evidence of an interaction between deprivation with age, sex, and TNM cancer stage (p-values 0.398, 0.480, and 0.385, respectively). Furthermore, our results regarding the association between deprivation and EM among CRC were consistent with different models' specifications and allowing the delayed entry for those patients diagnosed before 2011 (ie, EMRR Q5 vs Q1: 1.3, 95% CI: 1.0–2.3). Supplementary Table 2 shows the differences in the distribution of deprivation, age, sex, and TNM cancer stage among CRC patients who survived to 2011 from earlier cancer diagnosis with those diagnosed between 2011 and 2013. Both groups showed a similar distribution in the variables under study. Furthermore, in stratified sensitivity analysis assessing the consistency of the EM risk comparing the quintiles of deprivation Q5 vs Q1 for both groups, showed similar results (ie, EMRR = 1.4; 95%

Variables	Model I EMRR (95% CI)	Model 2 EMRR (95% CI)	Model 3 EMRR (95% CI)	Model 4 EMRR (95% CI)	Model 5 EMRR (95% CI)
Quintiles of deprivation					
QI	Ref.	Ref.	Ref.	Ref.	Ref.
Q2	1.0 (0.7–1.4)	1.0 (0.7–1.4)	0.9 (0.7–1.3)	0.8 (0.8–1.5)	1.2 (0.8–1.8)
Q3	1.2 (0.9–1.7)	1.2 (0.9–1.7)	1.2 (0.9–1.6)	1.4 (1.0–1.8)	1.5 (1.0–2.1)
Q4	1.1 (0.8–1.6)	1.2 (0.8–1.5)	1.1 (0.8–1.6)	1.3 (0.9–1.7)	1.4 (1.0–2.0)
Q5	1.6 (1.2–2.1)	1.6 (1.2–2.1)	1.4 (1.1–1.9)	1.5 (1.1–2.0)	1.6 (1.1–2.3)
Sex					
Female		Ref.	Ref.	Ref.	Ref.
Male		1.2 (1.0–1.4)	1.2 (1.0–1.4)	1.1 (0.9–1.4)	1.2 (1.0–1.5)
Age at diagnosis					
<50			Ref.	Ref.	Ref.
50–59			0.8 (0.5–1.3)	1.1 (0.7–1.7)	1.2 (0.7–1.8)
60–69			0.9 (0.6–1.3)	1.0 (0.7–1.5)	1.2 (0.7–1.8)
70–75			1.1 (0.7–3.6)	1.4 (0.8–2.0)	1.5 (0.9–2.3)
>75			2.5 (1.8–3.6)	3.1 (2.1–4.4)	4.0 (2.7–6.1)
TNM stage at diagnosis					
				Ref.	Ref.
II				2.8 (1.1–7.1)	2.4 (1.0-6.0)
III				4.5 (1.7–11.60)	4.4 (1.8–10.4)
IV				32.7 (13.5–79.7)	44.7 (18.9–105.9)
Census tracts	-		-	-	
Variance (95% CI)					0.78 (0.55, 1.11)

Table 3 Excess Mortality Risk by Deprivation	Quintiles Adjusted for	r Sex, Age, TNM C	Cancer Stage at Diagnosis	, and Census Tract
Area in Granada, Between 2011 and 2013, n =	3,404			

**Notes:** Model 1: univariate excess mortality by quintiles of deprivation. Model 2: bivariate excess mortality by deprivation adjusted for sex. Model 3: multivariate excess mortality by deprivation adjusted for sex, age and cancer TNM stage. Model 5: multilevel multivariate excess mortality by deprivation adjusted for sex, age and cancer TNM stage accounting for the census tract level area. **Abbreviations:** EMRR, excess mortality risk ratio; CI, confidence interval.

CI: 0.8-2.3 among CRC patients who survived to 2011 from earlier cancer diagnosis, and EMRR = 1.3; 95% CI: 1.0-1.9 among those CRC patients diagnosed between 2011 and 2013).

# Discussion

We found a consistent association between deprivation and CRC EM in Granada, southern Spain. Most deprived CRC patients showed a higher EM than less deprived patients. At the end of the 10-year follow-up, the most deprived CRC patients, on average, lived 158 days less than the less deprived ones.

Our results are in line with others in the European context, where several studies assessed CRC survival based on SES.<sup>30–43</sup> In this regard, Fowler et al<sup>39</sup> conducted a study in England concluding that 90-day probability of death rose with increasing deprivation. Accordingly, in West Scotland, deprivation was independently associated

with poorer 5-year CRC excess hazard (HR: 1.25, 95% CI: 1.03–1.51, p-value: 0.024);<sup>30</sup> and in the Northern Region of England, deprivation was a predictor of overall survival in patients with CRC.<sup>31</sup> In Switzerland, Feller et al<sup>34</sup> observed a social gradient for later-stage CRC with adjusted odds ratios of 1.11 (95% CI: 0.97-1.19) and 1.28 (95% CI: 1.-08-1.50) for middle and low socioeconomic position compared to high socioeconomic position, respectively. Survival was lower in patients with CRC with a low socioeconomic position in the unadjusted model (HR: 1.18, 95% CI: 1.07-1.30). Moreover, CRC survival by SES was explored in the Swedish Rectal Cancer Registry,<sup>41</sup> and their results evidenced that income was of particular importance in stage III disease. Other epidemiological studies showed socioeconomic association with CRC screening<sup>32</sup> and CRC risk.44 Therefore, socioeconomic inequalities are independently related to cancer mortality<sup>45</sup> and have a strong impact on survival.<sup>46</sup>

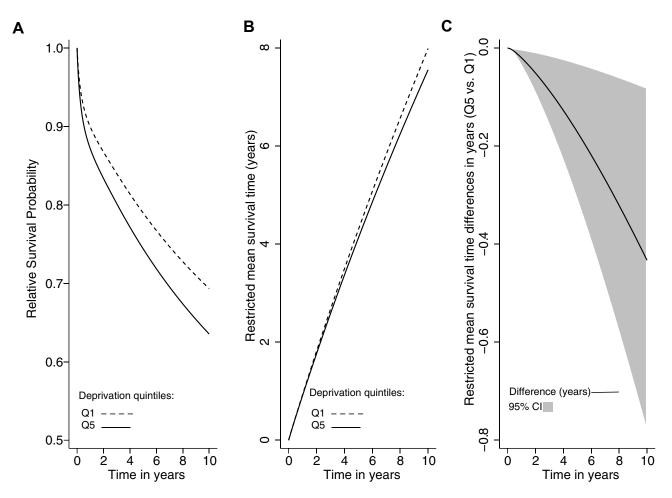


Figure I Relative survival probability, restricted mean survival time, and restricted mean survival time differences in years by levels of deprivation (Q5 vs Q1) among colorectal cancer patients in Granada, between 2011–2013, n = 3582.

Notes: (A) Relative survival probability by the quintiles of deprivation. Solid line: Q5 and dashed line: Q1. (B) Restricted mean survival time in years by the quintiles of deprivation. Solid line: Q5 and dashed line: Q1. (C) Restricted mean survival time differences in years by the quintiles of deprivation (Q5 vs Q1). Solid line: differences, grey shaded area: 95% CI.

There is evidence showing difficulties in accessing CRC screening among the most deprived patients.<sup>32</sup> This has been shown to increase the probability of late cancer diagnosis (stage IV) or lower the probability of early cancer detection (stage I) among the most deprived CRC patients.<sup>47</sup> However, our study showed no evidence of an association between TNM stage and deprivation. We argue that the absence of CRC screening in the province of Granada might have affected the survival gap that we found between the most and less deprived groups. However, several studies analysing the impact of SES in the participation of the screening programs found controversial results supporting the need for further evidence.<sup>48–</sup>

<sup>50</sup> Despite the absence of screening in the province of Granada, the reasons behind these inequalities need further investigation in order to improve equality outcomes in all social groups.

In our study, we also found that women showed a smaller contribution to the survival gap between the most and less deprived groups. It aligns with the results of Antunes et al<sup>37</sup> in Portugal where they found a pattern of worse 5- and 10-year net survival among male patients in the most deprived groups (survival gap between the most and least deprived groups: -5% both at 5 and 10 years) but not such a clear pattern in female patients. It might be due to sex differences lifestyles such as drinking, smoking, and other cancer-related risk factors.

Furthermore, we found the highest 10-year EM and survival gap among older male patients (>75 years). We argue that among older CRC male patients, socioeconomic inequalities can interact with other factors related to treatment decision-making (eg, health literacy, comorbidities, functional status, and social support).<sup>51,52</sup> For instance, the marital status may play an essential role in cancer-survival

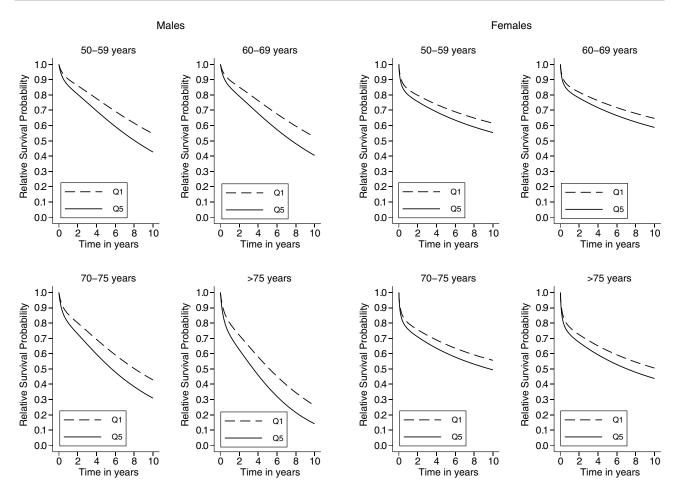


Figure 2 Sex-specific relative survival probability by deprivation (Q5 vs Q1) and age at cancer diagnosis among colorectal cancer patients in Granada, between 2011–2013, n = 3,582.

among older men as single compared to married people have elevated odds of being diagnosed at later stages<sup>34</sup> and also have an increased risk of CRC death.<sup>41,53</sup>

The SDI is limited, as it did not include information regarding the average income by census tracts. It is mainly an index reflecting levels of deprivation by education and employment. However, in sensitivity analysis, we found a strong correlation between the SDI 2011 and the average income by census tract for the year 2015. Furthermore, the SDI is an ecological variable that we used as a proxy for individual SES status. Classically, SES in populationbased cancer research has been measured at an ecological level. However, it has led to mild associations between SES and cancer survival outcomes, mainly due to the ecological fallacy.<sup>54</sup> This measurement bias might have affected the strength of the association we found towards the null, and further studies could try to include individual SES information. Our analytical approach partially addressed this issue, reducing modelling overdispersion due to the inclusion of the census tracts as a random intercept in the model. However, to compute the EM we used life tables stratified by age and sex, but not by deprivation, and it might have introduced some bias. Nevertheless, in sensitivity analysis results contrasting the overall and the relative setting did not show strong differences suggesting a homogeneous effect of deprivation on overall and cancer-specific mortality by deprivation. In order to assess the direction and the amount of this bias, further studies using life tables stratified by deprivation are needed in Spain.

Other limitations are related to the setting of time. All the analysis variables were referred at baseline (ie, the time of cancer diagnosis), including the quintiles of deprivation (exposure) for all cancer patients diagnosed between 2011 and 2013. However, for CRC patients who survived to 2011 from early cancer diagnosis, deprivation was not assessed at their cancer diagnosis. Thus, in sensitivity analysis allowing for the delayed entry to the 01.01.2011, we showed consistent results for the association between deprivation and EM. Furthermore, these patients showed a slightly lower proportion of TNM stage IV at diagnosis than CRC cases diagnosed between 2011 and 2013. However, in the stratified analysis, we show that the association between deprivation and EM remained consistent. Finally, our study is limited to only one Spanish province, but more studies are needed to generalize our results to the rest of the country.

In conclusion, there is a consistent survival gap between the most and less deprived CRC patients in Granada, southern Spain, characterized by a higher EM due to cancer in the most deprived group. These differences were more pronounced in males than females, patients with advanced age, and patients diagnosed with stage IV. Public health policies and preventive strategies are needed to reduce socioeconomic inequalities and the observed survival gap between the most and less deprived CRC patients in Granada, southern Spain.

### **Data Sharing Statement**

The data are held by the Regional Government of Andalusia and the Andalusian Health Department. The code used in analyses is provided as a supplementary file.

### **Author Contributions**

MALF designed the study, developed the analysis, and wrote the article. All authors contributed to data analysis, drafting or revising the article, gave final approval of the version to be published, and agree to be accountable for all aspects of the work.

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#### **Disclosure**

The authors have declared that no competing interests exist.

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