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Near-infrared PbS quantum dots functionalized with affibodies and ZnPP for targeted imaging and therapeutic applications

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6 targeted imaging and therapeutic applications
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12 Ali W. Al-Ani^a, Francesco Zamberlan^{a,b}, Lenny Ferreira^a,
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14 Tracey D. Bradshaw^c, Neil R. Thomas^a and Lyudmila Turyanska^{d*}
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19
20 ^a*Biodiscovery Institute, School of Chemistry, University of Nottingham, Nottingham, NG7 2RD,*
21
22 *UK, ^bSchool of Chemistry, University of Glasgow, Joseph Black Building, G12 8QQ, Glasgow,*
23
24 *UK, ^cBiodiscovery Institute, School of Pharmacy, University of Nottingham, University Park,*
25
26 *Nottingham, NG7 2RD, UK, ^dCentre for Additive Manufacturing, Faculty of Engineering,*
27
28 *University of Nottingham, University Park, Nottingham, NG7 2RD, UK*
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38 Corresponding authors: Lyudmila.Turyanska@nottingham.ac.uk

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40 Neil.Thomas@nottingham.ac.uk
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51 **Keywords:** Affibody; PbS QDs; zinc(II) protoporphyrin IX; HER2 receptor; breast cancer.
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Abstract

We report a new theranostic device based on lead sulfide quantum dots (PbS QDs) with optical emission in the near infrared wavelength range decorated with affibodies (small 6.5 kDa protein-based antibody replacements) specific to the cancer biomarker human epidermal growth factor receptor 2 (HER2), and zinc(II) protoporphyrin IX (ZnPP) to combine imaging, targeting and therapy within one nanostructure. Colloidal PbS QDs were synthesized in aqueous solution with a nanocrystal diameter of ~ 5 nm and photoluminescence emission in the near infrared wavelength range. The Z_{HER2:432} affibody, mutated through the introduction of two cysteine residues at the C-terminus (Afb2C), was used as capping ligand to form Afb2C-PbS QDs which have a high binding affinity for HER2 which is overexpressed in several types of cancer including breast cancer. Afb2C-PbS QDs were further modified by conjugation with ZnPP, which acts as an anticancer agent. The biological activity of these QDs was tested against SKBR3 (HER2-positive) and MDA-MB-231 (HER2-normal) breast cancer cells, with results showing that ZnPP-Afb2C-functionalized PbS QDs were successfully targeted to the HER2-overexpressing cancer cells and induced cell apoptosis thanks to the conjugation with ZnPP. These results expand the use of the QD nanoplatform with the formulation of novel nanomaterials for targeted delivery and combined imaging and therapy via direct surface-protein interaction.

Introduction

The development of theranostic agents for simultaneous disease detection and targeted therapy has attracted considerable attention in recent years and brought significant advances to cancer treatments.^{1,2} This has been achieved by using drug delivery vehicles, such as polymer-based nanoparticles,³ protein nanocages,⁴ or liposomes, to encapsulate imaging and therapeutic agents, and also by direct attachment of ligands to the surface of solid colloidal nanoparticles (e.g. semiconductor quantum dots (QDs), silver, gold or iron oxide nanoparticles).⁵⁻⁷ In particular, the use of semiconductor QDs offers the benefit of tunable optical emission⁸ with *in vivo* imaging potential in the near-infrared (NIR) wavelength range where absorption of biological tissues is low. This is offered by QDs based on IV-VI group elements (PbS, PbSe).⁹⁻¹³ One of the areas where NIR-emitting nanomaterials are currently being investigated for detection and treatment is the targeting of breast cancers,^{14,15} exploiting the elevated expression of estrogen receptor alpha (ER α) and/or epidermal growth factor receptor 2 (HER2). Currently, focus has shifted towards HER2-based detection and therapy as this receptor is amplified or overexpressed in up to ~ 30% of breast cancers and other malignancies notably gastric cancers, while not expressed in immunohistochemically detectable quantities in normal adult tissue cells. HER2 overexpression is associated with poor prognosis in the absence of systemic therapy.¹⁶

To date, molecules that have been explored for breast cancer-specific targeting include naturally recognized protein capsules, such as ferritin, and immunoglobulin G (IgG) antibodies.¹⁷⁻¹⁹ A promising alternative are affibodies (Afb), which can replace antibodies as the targeting functionality of biopharmaceuticals for diagnostic and therapeutic applications.^{20,21} The Z_{HER2:432} Afb is a non-immunoglobulin-based, highly specific binding protein of small size (58 amino acids, ~6.5 kDa), engineered from a 3-helix bundle Z derived from staphylococcal protein A, with a high binding affinity for HER2 (K_D ~22 pM).^{22,23} It is known for fast and reversible folding, high

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3 solubility in aqueous solutions, an attachment surface as large as an antibody antigen binding
4 pocket and for being easily expressed in high yields in *E. coli*, thus making it an ideal targeting
5 agent for cancer therapies,²⁴⁻²⁶ which is slowly making its way in theranostic applications.²⁷ The
6 immobilization of Afb's was recently demonstrated on nanoparticles, including Ag₂S and
7 InAs/InP/ZnSe core/shell/shell QDs through the use of a heterobifunctional linker conjugation on
8 PEG-capped QD surfaces, or *via* electrostatic interactions,²⁸⁻³⁰ but no direct surface attachment has
9 been reported to date.

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19 Current breast cancer therapy comprises several partially successful drugs; however, new
20 therapeutic strategies are needed, especially for metastatic and drug-resistant breast cancers.^{31,32}
21 Recently, the therapeutic anticancer activity of zinc(II) protoporphyrin IX (ZnPP) was
22 demonstrated with the inhibition of hemeoxygenase-1,^{33,34} an enzyme which is highly
23 overexpressed in cancerous tissues and exerts a cytoprotective effect by neutralizing oxidative
24 stress in cells.³⁵ At the same time, ZnPP can also induce cell apoptosis by generating singlet oxygen
25 species upon irradiation with blue light ($\lambda_{\text{ex}} = 425 \text{ nm}$), allowing for applications in cancer
26 photodynamic therapy.³⁶⁻³⁹ Despite the number of promising advances, including nano-
27 engineering of drug delivery systems⁴⁰ as well as surface decoration of QDs⁴¹ combined targeting,
28 anticancer activity and imaging within one nano-construct is yet to be achieved. Facile procedures
29 for the controllable and direct attachment of targeting and therapeutic agents onto the QDs surface
30 still remain challenging.

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47 In this work we describe a one-pot synthesis of water-soluble and biocompatible near-
48 infrared-emitting PbS QDs functionalized with Afb and ZnPP for diagnosis and therapy (Figure
49 1a). The simultaneous attachment of both Z_{HER2:432} Afb and ZnPP molecules onto a QD surface
50 will produce a novel theranostic agent that combines the benefits of selective targeting and specific
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cellular uptake induced by Afb, the NIR fluorescent bioimaging of QDs and the anticancer activity of ZnPP. The $Z_{HER2:432}$ Afb was genetically modified to introduce two specific mutations to incorporate two cysteine residues at its C-terminus – G82C and S83C. The Afb2C generated with two thiol groups allows for a bidentate interaction of the protein with the QD and consequently stronger binding to the QD surface, hence increasing the long-term stability of the QD-protein conjugate.³⁹ Afb2C-PbS QDs were further modified by conjugating ZnPP at the lysine residues of Afb2C to produce ZnPP-Afb2C-PbS QDs, which were found to be stable in solution and optically active in the NIR. The effect of these QDs on HER2-overexpressing (HER2+) SKBR3 cells was explored to confirm selectivity and activity and is reported herein. The approach reported here for the synthesis of novel nanocomposites that combine in one structure targeting, imaging and therapy is relevant for the advancement of theranostics into the clinic.

Results and discussion

Site-directed mutagenesis was performed to create a specific change on the $Z_{HER2:342}$ affibody (Afb) DNA sequence. In the pJexpress401 plasmid, the Afb2C gene was designed with an N-terminal His-tag, and two mutations (G82C and S83C) which were introduced at its C-terminus; we note that these residues were not critical for the Afb binding to HER2 (Supplementary Information, SI1). The mutant Afb2C protein was successfully expressed in BL21 (DE3) *E. coli* and purified using nickel(II)-immobilized metal affinity chromatography (IMAC) followed by further purification by size exclusion chromatography (SEC). The presence and purity of the Afb2C protein were confirmed by Tris-glycine (Tricine)-SDS-PAGE. To examine the stability of the Afb2C structure under the conditions used for QD synthesis, the secondary structure of the Afb2C protein was determined at pH 7.0 and pH 11.0 using circular dichroism (CD) spectroscopy

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3 (see Supplementary Information, Figure S2), indicating that the α -helical secondary structure of
4 the Afb2C found at pH 7.0 was retained at pH 11.0.
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8 The Afb2C was used as the capping ligand in a one-pot synthesis of PbS QDs. The QDs
9 were synthesized in aqueous solution following modified procedure reported in Refs [11, 13] with
10 molar ratio of Pb:S = 1:0.3 (Figure 1a). In this process, the presence of two cysteine sites in Afb2C
11 offers bidentate interaction with the QD surface and greater stability, as was previously
12 demonstrated for other bidentate ligands such as dihydrolipoic acid.⁴² Then, ZnPP was conjugated
13 *in situ* to Afb2C-PbS QDs by reacting the lysine residues located within the Afb2C protein with
14 the NHS-activated carboxyl groups on the protoporphyrin, forming a stable amide bond – we did
15 not investigate further which lysines had been involved in the process. Figure 1b shows the native
16 agarose gel electrophoresis spots of Afb2C-PbS QDs and the ZnPP-Afb2C-PbS QDs. Under
17 illumination with UV light ($\lambda = 300 - 400$ nm), no fluorescent bands were observed on native
18 PAGE gels of Afb2C-PbS QDs as these emit in the NIR region, while clear red fluorescence was
19 observed for ZnPP-Afb2C-PbS QDs, as expected from the presence of ZnPP, confirming
20 successful conjugation (Figure 1b). We also explain the lower migration spot for ZnPP-Afb2C-
21 PbS QDs with their faster migration compared to Afb2C-PbS QDs, due the change in net charge
22 (pI) derived from the neutralization of lysine residues after conjugation, despite their overall larger
23 size.
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45 The synthesized QDs have room temperature photoluminescence (PL) centered at λ_{PL}
46 ~ 1180 nm (Figure 1d), similar to that expected from this synthesis method with
47 thioglycerol/dithioglycerol capping ligands, and suggest that the Afb2C can be used instead of
48 dithioglycerol to provide efficient passivation of the QD surface. Significantly, attachment of
49 ZnPP does not affect the optical properties of the QDs.^{11,43} We note that the presence of both
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3 Afb2C and ZnPP on the QD surface provides more efficient surface passivation and greater
4 stability against Ostwald ripening, compared to Afb2C only passivated QDs. Both QD solutions
5 have comparable PL peak position for the fully ripened samples (Figure 1d). The TEM images
6 revealed that the PbS QDs had a spherical shape, high crystallinity and an average diameter of 5 ± 1
7 nm (Figure 1c and Supplementary Information, Figures S3, S4), with the observed size comparable
8 to that estimated from the PL peak position using model developed by Moreels *et al.*⁴⁴ Both
9 Afb2C-PbS QDs and ZnPP-Afb2C-PbS have long term colloidal stability with respect to
10 morphological and optical properties over a period of at least three months (stored under nitrogen
11 at $T = 4\text{ }^{\circ}\text{C}$).

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24 The 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide (MTT) assay was used
25 to study the growth inhibitory effects of Afb2C-PbS QDs and ZnPP-Afb2C-PbS QDs in SKBR3
26 and MDA-MB-231 cell lines. SKBR3 is a human breast cancer cell line expressing between
27 1,000,000 and 2,000,000 HER2 per cell, whereas non-cancerous cells display $\sim 20,000$ HER2 per
28 cell.^{45,46} Consequently, the SKBR3 cell line is used as a positive control in HER2 assays and is
29 considered a suitable preclinical model for screening therapies that target HER2.^{45,46} Clinically,
30 HER2+ breast cancers develop resistance to trastuzumab (Herceptin[®]), the therapeutic antibody
31 developed to target and treat this type of breast.³² MDA-MB-231 triple negative breast cancer
32 (TNBC) cells, instead, display ~ 100 -fold lower HER2. Also lacking estrogen and progesterone
33 receptors, TNBCs are associated with poor prognosis, exhibiting highly invasive characteristics.
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Following 72 h exposure, dose-dependent growth inhibition was observed in SKBR3 cells in the presence of Afb2C-PbS QDs, with a mean GI_{50} value of $26\text{ }\mu\text{g/mL}$ (Afb2C concentration 4.5 mg/ml during synthesis), which is significantly lower than that observed for Afb2C alone and ZnPP alone ($\text{GI}_{50} > 100\text{ }\mu\text{g/mL}$, Figure 2 and Supplementary Information, Figure S5);³⁷ no growth

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3 inhibitory effects were observed in MDA-MB-231 cells < 100 $\mu\text{g/mL}$ (Figure 2 and
4 Supplementary Information, Figure S5). These results suggest that the Afb2C is recognized by
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6 HER2 receptors as predicted and leads to selective and enhanced uptake of the nanoplatform in
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8 the HER2+ SKBR3 cells. In this case the activity is due to the presence of PbS QDs, which have
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10 been shown previously to be non-toxic to normal cells (MRC-5) but to induce cytotoxic effects in
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12 cancerous cells.¹¹ Comparable results are observed following exposure to ZnPP-Afb2C-PbS QDs
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14 (Figure 2 and Supplementary Information, Figure S6). The lower GI_{50} value in SKBR3 cells
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16 confirms Afb-induced targeting. We note that the greater growth inhibition observed in MDA-
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18 MB-231 exposed to ZnPP-Afb2C-PbS, compared to Afb2C-PbS only, is likely due to extracellular
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20 activity of ZnPP conjugated on our nanoplatform, as this is able to generate ROS and inhibit
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22 hemeoxygenase-1 and acts as photosensitizer.^{34,37,38} It was shown previously that the anticancer
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24 activity of ZnPP is different in different cell lines and can be enhanced, e.g. by pre-treatment of
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26 cancer cells with agents affecting protein transportation.³⁹

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33 To investigate further the nature of growth inhibitory activity, we performed cell cycle
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35 studies in SKBR3 cells. SKBR3 cells were exposed to Afb2C-PbS QDs or ZnPP-Afb2C-PbS QDs
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37 at a concentration of $2 \times \text{GI}_{50}$ value for 24 h, 48 h, and 72 h. The flow cytometry results (Figure 3a
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39 and S7) revealed increasing populations in the pre-G1 phase with increasing exposure time. For
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41 Afb2C-PbS QDs the pre-G1 population increased from 13.3% after 24 h to 52.3% ($P < 0.0001$)
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43 after 72 h of treatment, and was accompanied by decreased G1, S and G2/M events (Figure 3b).
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45 Similar changes were observed for ZnPP-Afb2C-PbS QDs, where the cell population in pre-G1
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47 phase increased from 18.9% to 52.8% after 72 h treatment (Figure 3b). The presence of significant
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49 pre-G1 events is indicative of DNA degradation and consequent cell apoptosis, previously reported
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51 following cancer cell exposure to PbS QDs.¹¹ Resistance to apoptosis is a hallmark of human
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3 cancer,⁴⁹ thwarting successful treatment; therefore, inducing apoptosis in cancer cells is a major
4 goal of anticancer therapies. We expected that ZnPP-Afb2C-PbS QDs would exhibit augmented
5 anti-proliferative effects due to conjugation with ZnPP, however, Afb2C-PbS QDs and ZnPP-
6 Afb2C-PbS QDs had similar effects on the SKBR3 cell cycle. Our results suggest that conjugation
7 of ZnPP with Afb2C-PbS QDs decreases the affinity of Afb for HER2 receptors and consequently
8 reduces the effects of ZnPP-Afb2C-PbS QDs on HER2+ SKBR3 cells. The reduced dose of ZnPP-
9 Afb2C-PbS QDs in these cells is therefore responsible for their similar growth inhibitory effect to
10 Afb2C-PbS QDs.
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21 Further flow cytometric studies were performed to investigate the mechanism of cell death,
22 using dual propidium iodide (PI) and annexin V staining of cells. The results confirmed cell death
23 by apoptosis (early- and late-stage) for Afb2C-PbS QDs and late-stage apoptosis-detection for
24 ZnPP-Afb2C-PbS QDs following exposure to $2 \times GI_{50}$ for 24 h, 48 h and 72 h, where apoptotic
25 populations gradually increased with time to $>20\%$ (Supplementary Information, SI2). Afb2C-PbS
26 QDs induced late apoptosis in SKBR3 cells after 24 h of treatment and their effect increased by
27 44% after 72 h. In addition, SKBR3 cell necrosis was also detected after 72 h of treatment: we
28 conclude that Afb2C-PbS QDs induced SKBR3 cell apoptosis and necrosis, the major cell death
29 pathways. For ZnPP-Afb2C-PbS QDs, cells underwent necrosis after 24 h of exposure, doubling
30 to 23.1% after 72 h of treatment (Supplementary Information SI2, Figure S11). This indicates that
31 the nanocomposites have a potent anti-proliferative effect on SKBR3 cells and that they force most
32 cells to undergo necrosis bypassing programmed cell death: we conclude that conjugation of ZnPP
33 with Afb2C-PbS QDs switches SKBR3 cell fate from apoptosis to necrosis, suggesting a sudden
34 catastrophic cytotoxic effect for this nanocomposite compared to Afb2C-PbS QDs.
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3 The ability of ZnPP-Afb2C-PbS QDs to enter and localize in cells was monitored using
4 flow cytometry in SKBR3 and MDA-MB-231. Cells were incubated with ZnPP-Afb2C-PbS QDs
5 at $2 \times GI_{50}$ for 3 h. The uptake of ZnPP-Afb2C-PbS QDs was ~2-times higher in HER-2+ SKBR3
6 cells compared to MDA-MB-231 cells (Supplementary Information, SI3).
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12 Confocal microscopy imaging was used to detect intracellular fluorescence of ZnPP-
13 Afb2C-PbS QDs ($\lambda_{em} = 593$ nm, excitation with 425 nm, Figure 4 and Supplementary Information,
14 SI3) and indicated that the agent is distributed in the cell cytoplasm; the non-uniform fluorescence
15 with small brighter areas suggests uptake by endocytosis. Despite reporting significant agent
16 uptake, no detectable fluorescence was measured in MDA-MB-231 cells by confocal microscopy
17 (Supplementary Information, Figure S13): we explain this fact with the presence of ZnPP that
18 leads to cell death, as reported previously,³⁷ a consequence of high metabolic turnover and genetic
19 instability, making cells more susceptible to ROS generation and its consequences. Confocal
20 images also revealed changes in morphology of both studied cell lines following treatment with
21 ZnPP-Afb2C-PbS QDs, such as blebbing of the cell membrane, which is associated with late-stage
22 apoptosis and necrosis; that this has occurred is strongly indicated by intracellular PI accumulation
23 observed in flow cytometric assays, and corroborates an apoptotic mechanism of cell death.
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40 In our recent work, we demonstrated deep tissue imaging with near-infrared PbS QDs.¹³
41 The results in the present report on novel PbS QDs directly coated with the anti-HER2 Afb2C
42 protein and conjugated with ZnPP indicate realistic prospects for their utilization in cancer imaging
43 and therapy. The enhanced uptake demonstrated for Afb2C-functionalized nanoparticles is of
44 benefit for drug delivery and imaging of HER2-overexpressing cancer cells.⁵⁰ With the present
45 report, we have also demonstrated the possibility of using the QDs' surface for the direct
46 incorporation of other active principles within the same nanostructure for theranostic applications,
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3 which could be expanded to other agents, e.g. the therapeutic antibodies pertuzumab and
4 trastuzumab.⁵⁰
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8 In conclusion, we have produced stable near-infrared colloidal PbS QDs directly passivated
9 with a small protein Afb2C and further functionalized with ZnPP. The Afb2C provides targeting
10 to the HER2+ cells while ZnPP contributed therapeutic activity against breast cancer cells. The
11 novel theranostic ZnPP-Afb2C-PbS QDs demonstrated selective anticancer activity, targeting
12 HER2+ cells and triggering apoptotic cell death; the bioimaging application has also been reported
13 *in vitro*, and further studies are required to assess the activity of these QDs *in vivo* and to explore
14 their theranostic potential.
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26 **Materials and methods**

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28 *DNA mutagenesis:* DNA sequence gene encoding Afb in pJexpress401 plasmid was mutated by
29 replacing glycine and serine at positions 82 and 84 respectively with cysteine using Q5® Site-
30 Directed Mutagenesis according to the manufacturer's instructions (New England Biolabs) to
31 produce Afb2C. Afb2C in pJexpress401 plasmid was amplified by transforming in the DH5 α
32 calcium competent cells and then the plasmid was extracted and purified using the Wizard Plus®
33 SV Miniprep kit (Promega).
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42 *Afb2C expression and purification:* For the Afb2C expression, isopropyl β -D-1-
43 thiogalactopyranoside (IPTG) (1 mM, Fisher Scientific) was added for 4 h at $T = 37$ °C into *E. coli*
44 BL21 (DE3) culture containing the pJexpress401:Afb2C plasmid. The Luria Bertani (LB) medium
45 supplemented with kanamycin (50 μ g /mL, Apollo Scientific) was used. Cells were collected by
46 centrifugation (2500 g, 25 min, $T = 4$ °C), resuspended in binding buffer (40 mL of 20 mM Tris
47 pH 8.3 (Fisher Scientific), 10 mM imidazole (Sigma Aldrich)), 1 mM phenyl methyl sulfoxide
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(PMSF) (Sigma Aldrich)) and sonication. The sample was then centrifugation (35000 g, 25 min, $T = 4\text{ }^{\circ}\text{C}$) and the supernatant was loaded on a 5 mL nickel column (GE Healthcare). 5mL of binding buffer (20 mM of Tris pH 8.6, 10 mM of imidazole) was used for washing; washing was repeated 3-times. Afb2C was then eluted using a linear gradient of increasing imidazole concentration up to 500 mM. The purified Afb2C was loaded into 6-8 K MWCO dialysis tubing (Spectrum Laboratories) and dialyzed against two changes of Tris buffer (2 L, 20 mM Tris pH 8.3, 1 mM DTT) for ~16 h. Tricine-SDS-gel electrophoresis was used to confirm presence of Afb2C. All fractions containing Afb2C were loaded on a size exclusion column (Superdex 75 10/300 GL) and were analyzed using Tricine-SDS-PAGE. NANO Drop A-1000 spectrophotometer was used to measure the absorbance of the samples (extinction coefficient = $8,480\text{ M cm}^{-1}$), which were used to calculate the concentration of Afb2C. The yield of purified protein was 4 mg per L of culture.

MALDI-TOF MS: Bruker Matrix Assisted Laser Desorption/Ionization (MALDI-TOF, Bruker Ultraflex III) MS was used to analyze the samples following the LP-44 kDa method. Samples were prepared by mixing 5 μL of protein with 10 μL of the saturated sinapic acid solution (molar ratio of sinapic acid to acetonitrile is 1:2, with added 0.1% (v/v) of trifluoroacetic acid). Samples were loaded onto the plate and dried at room temperature. The spectrum was analyzed using flexControl (Ultraflex) 3.0 software.

Circular dichroism (CD) spectroscopy. The Afb2C (0.5 $\mu\text{g/mL}$) was analyzed in 20 mM Tris buffer at pH 7.0 and pH 11.0 using a CD spectrometer (Chirascan Plus Spectroscopy). Samples were dialyzed with the desired buffer at $4\text{ }^{\circ}\text{C}$ overnight and the pH was adjusted using 10 mM H_2SO_4 .

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3 *Synthesis of Afb2C-PbS QDs:* Afb2C in 70% (v/v) (NH₄)₂SO₄ was pelleted and washed
4 with Tris buffer (20 mM Tris pH 8.3) including 70% (v/v) (NH₄)₂SO₄ and pelleted
5 again to remove the DTT. The pellet was dissolved in 1.7 mL of 0.0167 M aqueous
6 solution of lead acetate trihydrate (Pb(CH₃CO₂)₂·3H₂O) (Sigma), and 1.7 mL of Tris
7 buffer (40 mM Tris pH 8.3) was added. The pH was adjusted to 11.0 using 0.1 M
8 NaOH. The reaction mixture was stirred for 30 minutes under N₂. Then 0.25 mL of 0.1
9 M Na₂S was added to the reaction mixture. The color of the solution changed to dark
10 brown indicating the formation of PbS QDs. The Afb2C-PbS QDs solution was stirred
11 for 30 minutes. Finally, the product was dialyzed against Tris buffer (20 mM Tris pH
12 11.0) overnight to remove excess reactants. The QD solutions were stored under a
13 nitrogen atmosphere at 4 °C in the dark.
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28 *Labelling of Afb2C-PbS QDs with ZnPP:* Afb2C-PbS QDs were dialyzed against PB
29 (20 mM NaH₂PO₄ pH 11.0) overnight at 4 °C. ZnPP labelling was performed modified
30 Thermo Scientific protocol. To produce the activated protoporphyrin-*N*-
31 hydroxysuccinimide ester (ZnPP-NHS), 100 μL of ZnPP in DMSO (30 mM) were
32 mixed with 12 μL of 500 mM of 1-(3-dimethylaminopropyl)-3-ethylcarbodiimide
33 hydrochloride (EDC) in MES buffer (0.1 M MES (2-[*N*-morpholino]ethanesulfonic
34 acid) pH 6). The reaction mixture was agitated at room temperature for 1 minute.
35 Following incubation, 12 μL of *N*-hydroxysuccinimide (NHS) (500 mM) in MES
36 buffer was added. The reaction mixture was agitated for further 15 minutes at room
37 temperature before the solution was centrifuged at room temperature (4000 g, 15
38 minutes) and any precipitates were discarded. Afb2C-PbS QDs were mixed with a 10-
39 fold excess of the activated ZnPP-NHS ester under ambient conditions. The reaction
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3 mixture was protected from light and was gently agitated overnight at room
4 temperature. The product was centrifuged at 5000 g for 10 minutes to remove
5 unreacted protoporphyrin and then dialyzed against PB (20 mM NaH₂PO₄ pH 8.3)
6 overnight at 4 °C. The QD solutions were stored under a nitrogen atmosphere at 4 °C in
7 the dark.
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15 *Optical and morphological characterization:* The PL of PbS QDs was analyzed using a
16 Horiba Jobin Yuon Ltd HR800 LabRam setup equipped in InGaAs detector. The
17 excitation was provided with a He-Ne laser ($\lambda = 633$ nm, $P = 10^4$ W/cm²). For
18 transmission electron microscopy (TEM) studies, PbS QDs at a concentration of 0.1
19 mg/mL were deposited on a graphene-oxide-coated Cu grid (Agar Scientific) and TEM
20 images were recorded on the JEOL2100EX microscope operating at 120 keV.
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33 *In vitro studies:* SKBR3 and MDA-MB-231 breast cancer cell lines were cultured
34 under optimum conditions in RPMI nutrient medium (Sigma-Aldrich) supplemented
35 with 10% fetal bovine serum (FBS; Sigma Aldrich), and sub-cultivated twice weekly to
36 maintain logarithmic growth. For MTT assays, cells were seeded into 96-well plates at
37 a density of approximately 3×10^3 cells/well in 180 μ L medium and allowed to adhere
38 to the plates by incubating at 37 °C for 24 h. Serial dilution of Afb2C-PbS QDs or
39 ZnPP-Afb2C-PbS QDs were prepared and 20 μ L per well was added to achieve a final
40 concentration of Afb2C from 0.1 μ g/mL to 120 μ g/mL. After 72 h exposure, MTT
41 solution (50 μ L 2 mg/mL) was added to each well and incubated for 3 h. The medium
42 was aspirated and DMSO (150 μ L) was added to dissolve the formazan crystals. The
43 absorbance was measured at 550 nm using an En Vision 2104 Multilabel microplate
44 reader (PerkinElmer).
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3 For cell cycle and Annexin V assays, cells were seeded in a 6-well plate at a density of
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5 7.5×10^4 cells/well in 2 mL of RPMI nutrient medium supplemented with 10% FBS,
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7 and allowed 24 h to adhere. The cells were treated with 2x GI_{50} concentrations of
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9 Afb2C-PbS QDs and ZnPP-Afb2C-PbS QDs for 24 h, 48 h and 72 h. The medium,
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11 containing dead cells, was collected into labelled fluorescence activated cell sorter
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13 (FACS) tubes and kept on ice. The remaining adherent cells were trypsinized with 500
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15 μ L of 1 x trypsin-EDTA before pooling with the medium containing dead cells. The
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17 cells were centrifuged at 4 °C (1200 rpm) for 5 minutes, the supernatant was removed,
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19 and the cell pellets washed with 1 mL of PBS followed by another cycle of
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21 centrifugation. The supernatant was decanted.
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26 For cell cycle assays, cell pellets were resuspended in 500 μ L of cold hypotonic
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28 fluorochrome solution (50 μ g/mL PI (Sigma-Aldrich), 0.1 mg/mL ribonuclease A
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30 (Sigma-Aldrich), 0.1% v/v Triton X-100 (Sigma-Aldrich), 0.1% w/v sodium citrate
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32 (Sigma-Aldrich) dissolved in PBS), protected from light and stored overnight at 4 °C.
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34 Samples were vortexed and analyzed on a Beckman Coulter Epics-XL MCL flow
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36 cytometer. At least 10 000 events were recorded for each sample. The results were
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38 analyzed using EXPO32 software.
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42 For annexin V assays, the Annexin V-FITC/PI (AV/PI) (BD Pharmingen) kit was used.
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44 To FACS tubes containing cell pellets, 400 μ L of 1 x annexin binding buffer and 10 μ L
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46 of PI solution were added, samples were vortexed and incubated for 10 minutes at
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48 room temperature without light. Samples were analyzed within 1 h on a Beckman
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50 Coulter Epics-XL MCL flow cytometer and at least 10 000 events were recorded for
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52 each sample. The results were analyzed using EXPO32 software.
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3 The cellular uptake of ZnPP-Afb2C-PbS QDs was studied using flow cytometry. Cells
4 were seeded at a density of 7.5×10^4 cells/well in 6 well plates in 2 mL of RPMI
5 nutrient medium and allowed 24 h to adhere. The cells were treated with 2 x GI_{50}
6 concentration of ZnPP-Afb2C-PbS QDs for 3 h. The cells were then washed (2-times 2
7 mL of PBS), trypsinized (0.5 mL 0.25% w/v trypsin-EDTA), pooled (1 mL RPMI
8 nutrient medium) and centrifuged for 5 minutes at 1200 g (Beckman Coulter Allegro).
9 The cell pellet was further washed (2x 1mL PBS), centrifuged and resuspended in 0.5
10 mL of PBS. Astrios EQ flow cytometer (Beckman Coulter) with excitation at $\lambda_{ex} = 425$
11 nm and Kaluza Flow Cytometry software were adopted for analysis .
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24 *Confocal microscopy:* Cells were seeded in an 8-well μ -slide confocal chamber (Ibidi
25 GmbH, Munich, Germany) at a density of 7.5×10^4 per well. After overnight
26 incubation, cells were treated with before treatment with 2 x GI_{50} of ZnPP-Afb2C-PbS
27 QDs. After 24 h exposure, cells were washed (3x 0.2 mL PBS), fixed (0.2 mL 4% (v/v)
28 formaldehyde, 20 minutes), then washed again (3x 0.2 mL PBS). Fixed cells were
29 stained with DRAQ5™ DNA stain, then washed (3x 0.2 mL PBS). Confocal
30 microscopy images were captured on LEICA DMI 4000 B using excitation
31 wavelengths $\lambda_{ex} = 425$ nm and $\lambda_{em} = 593$ nm. ImageJ (Fiji) software was used for image
32 analysis.
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44 *Statistical analysis:* For all experiments, $n \geq 3$ internal replicates and $n \geq 3$ independent trials were
45 conducted. Data were analyzed using one-way, two-way analyses of variance (ANOVAs), and t-
46 tests; $p < 0.05$ identified significance.
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54 **Author Contributions**
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3 AWA performed the experimental studies with support from FZ and LF. TDB, NRT and LT
4 conceptualized the research and provided project supervision. All co-authors contributed to data
5 analysis, writing of the manuscript and approved submission.
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10 11 12 13 14 **Conflicts of interest**

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16 The authors declare no conflicting interests.
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20 21 **Acknowledgments**

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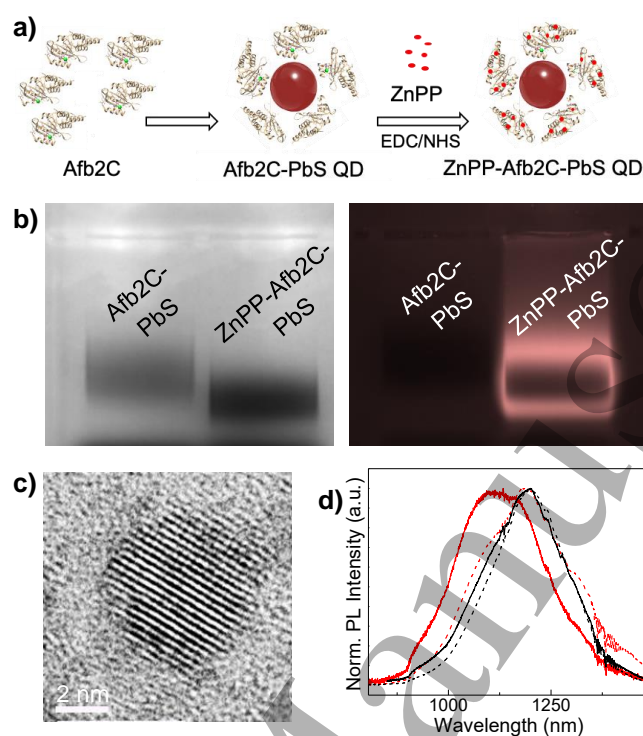


Figure 1. **a)** A cartoon of the formation of ZnPP-Afb2C-PbS QDs. **b)** A native agarose gel electrophoresis of Afb2C-PbS and ZnPP-Afb2C-PbS QDs; (right) the gel under UV light ($\lambda_{\text{ex}}=300\text{-}400\text{ nm}$) and (left) gel under visible light. The agarose gel was prepared with a low percentage agarose (0.5% w/v), and the samples were electrophoresed at 100 V for 1 hours. **c)** A representative HR TEM image of Afb2C-PbS QDs. **d)** Room temperature PL spectra of PbS QDs capped with Afb2C (red) and with ZnPP-Afb2C (black) for freshly prepared samples (continuous line) and following five weeks of storage at 4 °C (dashed line).

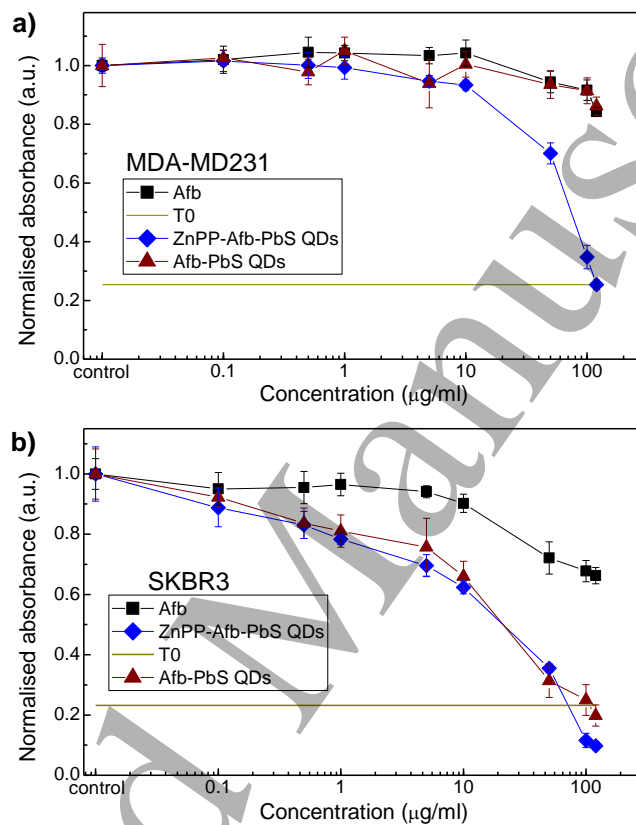


Figure 2. Results of the MTT assays for **a)** MDA-MB-231 and **b)** SKBR3 cells following 72 hours treatment with Afb₂C alone, Afb₂C-PbS QDs and ZnPP-Afb₂C-PbS QDs. $n = 6$; experiments were repeated 3 times, and all data point are show with standard deviation.

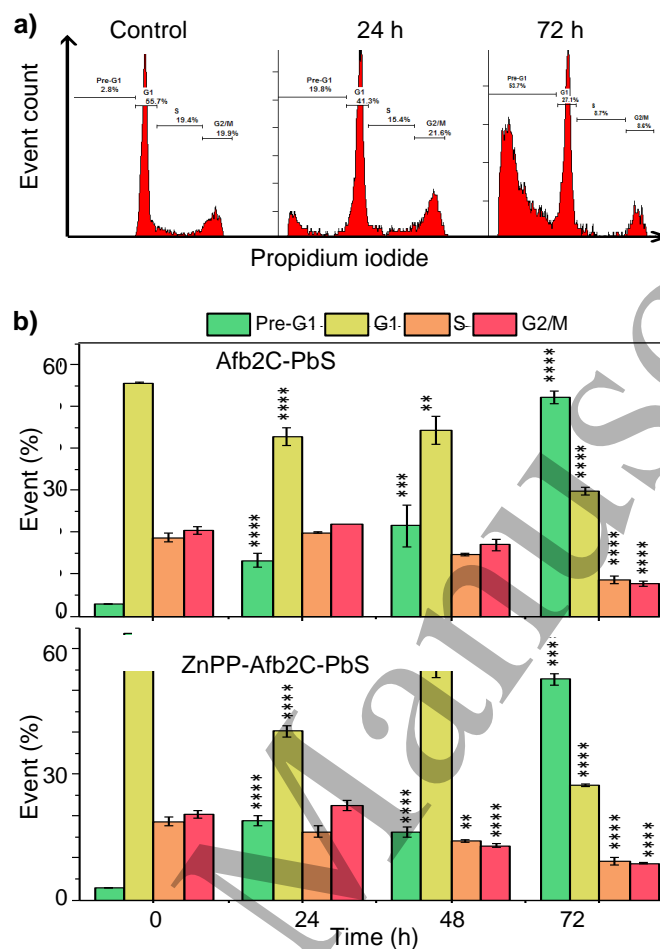


Figure 3. Cell cycle analysis for SKBR3 cells. SKBR3 cells were seeded at a density of 7.5×10^4 cells/well in 6-well plates and incubated for 24 hours before treatment with either Afb2C-PbS QDs and ZnPP-Afb2C-PbS QDs at $2x GI_{50}$ for 24 hours, 48 hours, and 72 hours. * indicates significant difference compared to control ** ($P < 0.1$), *** ($P < 0.001$) and **** ($P < 0.0001$). $n = 2$; experiments were repeated 3 times. **a)** Histograms show the time-dependent response of control (untreated) cells and 24 h, 48 h, and 72 h exposure to Afb2C-PbS QDs. **b)** Programmed cell death analysis of SKBR3 cells following exposure to Afb2C-PbS QDs and ZnPP-Afb2C-PbS QDs.

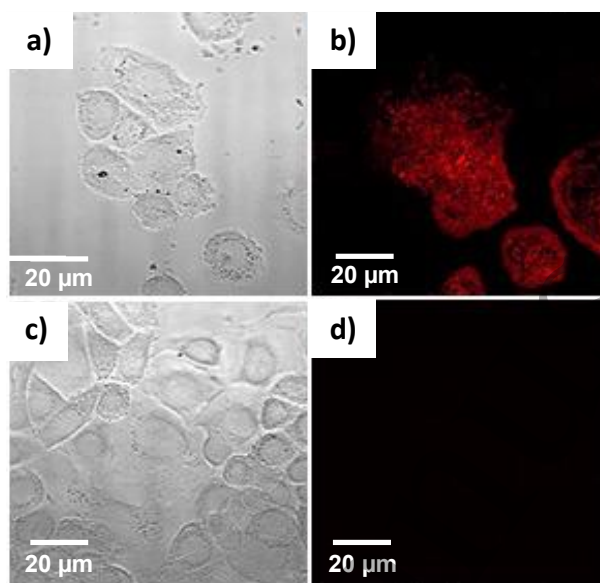


Figure 4. Confocal images. **a)** is the brightfield view while **b)** shows the fluorescence emitted from SKBR3 cells after exposure to ZnPP-Afb2C-PbS QDs for 3 h. Fluorescence was not observed from untreated SKBR3 cells, where **c)** is the brightfield channel and **d)** the fluorescence one. Cells were seeded at a density of 10×10^3 cells/well in 8-well plate and treated with ZnPP-Afb2C-PbS QDs at $2 \times GI_{50}$. For both experiments, ZnPP was excited at 425 nm and the emitted light was detected at 594 nm.