

# Skeletal muscle health in aging

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# Skeletal muscle health in aging A focus on mitochondria, metabolism and physical performance

# Dissertation

to obtain the degree of Doctor at the Maastricht University, on the authority of the Rector Magnificus, Prof. dr. Rianne M. Letschert in accordance with the decision of the Board of Deans, to be defended in public on Wednesday, October 6, 2021, at 13:00 hours.

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# TABLE OF CONTENTS

Chapter 1	General Introduction and Outline	7
Chapter 2	Skeletal muscle mitochondrial network dynamics in human metabolic disorders and aging	17
Chapter 3	Impact of aging and exercise on skeletal muscle mitochondrial capacity, energy metabolism, and physical function	45
Chapter 4	Healthy aging and muscle function are positively associated to NAD <sup>+</sup> abundance in humans	105
Chapter 5	NAD <sup>+</sup> -precursor supplementation with L-tryptophan, nicotinic acid, and nicotinamide does not affect mitochondrial function or skeletal muscle function in physically compromised older adults	139
Chapter 6	Type II muscle fibre properties are not associated with balance recovery following large perturbations during walking in young and older adults	175
Chapter 7	General discussion	209
Appendices	Samenvatting Summary Sommario Impact Dankwoord About the author List of publications	229



# CHAPTER 1 General introduction and outline



#### WORLD'S AGING POPULATION

People live longer than ever before and as a result, the world is expected to experience a significant global population aging over the coming years (1,2). Essentially every country in the world is encountering growth in the proportion of older persons in its population. In 2019, 703 million people were aged over 65 years in the global population, and this number is predicted to double to 1.5 billion in 2050 (3). The share of the worldwide population aged over 65 years increased from 6 percent in 1990 to 9 percent in 2019 and is expected to rise further to 16 percent in 2050, meaning that by that time, one in six people worldwide will be aged 65 years or older (3). This increase in human longevity reflects the remarkable advances achieved in healthcare, nutrition, and biomedicine over the past century. Nevertheless, the increase in lifespan is not paralleled by similar gains in health span since chronological age is a significant risk factor for chronic diseases such as cancers, diabetes, and cardiovascular/respiratory diseases (4-8). As a result, the globally aging population implicates significant challenges for health, long-term care, and welfare systems. Aging is also associated with a loss of independence, and new solutions are urgently needed to sustain self-management at higher ages (1-3,9,10). Understanding and targeting the aging process itself, rather than treating the symptoms of age-related pathologies, might be the most effective strategy to prevent or counteract aging-related health issues. One of the main processes with aging is the progressive loss of muscle mass and physical function, known as sarcopenia (11). Sarcopenia is often associated with impaired mobility, greater tendency to fall, loss of independence, functional limitations, metabolic impairments, and increased risk of chronic diseases and causal mortality (12-18).

Unhealthy aging also relates to frailty, which is defined as a loss of physical function and an increased susceptibility to adverse health outcomes (19,20). Although the biology of frailty is not yet well understood, skeletal muscle health is critical to prevent physical frailty and to maintain health at a higher age (19,21,22). Frailty is linked to the loss of muscle mass and muscle strength, and longitudinal studies show that in adults aged over 75 years, muscle mass is lost at a rate of 0.64–0.70% per year in women and 0.80–0.98% per in men, while in women men aged over 18 years this on average is 0.37% per year and 0.47% per year, respectively. Even more prominent, muscle strength is lost at a rate of 2.5–3% and 3–4% per year in adult women and men, respectively. The loss of strength is 2–5 times faster than the loss of muscle mass and is a more consistent risk for disability (7). In addition, skeletal muscle is also a major site of metabolic activity that regulates protein, lipids, and carbohydrates homeostasis. For instance, skeletal muscle is responsible for the large majority of post-prandial glucose disposal (23,24), and failure in these metabolic processes can lead to perturbations in glucose homeostasis, including hyperglycemia and insulin resistance. Insulin sensitivity is a significant determinant for the risk of many chronic metabolic diseases.

Moreover, aging is one of the major risk factors for chronic metabolic disorders such as obesity, type 2 diabetes, and cardiovascular diseases (25). A functional mitochondrial network in skeletal muscle tissue is essential to sustain the metabolic demands and maintain insulin sensitivity by regulating fuel utilization and energy expenditure. Interestingly, several (pre-) clinical studies suggest that improvements in various aspects of mitochondrial function are linked to the preservation of muscle mass, metabolism, and muscle function (26–29), putting mitochondria at the center of muscle health and physical function.

### ROLE OF MITOCHONDRIA AND PHYSICAL ACTIVITY IN AGING

Mitochondria are highly dynamic organelles that play an essential role in adjusting cellular energy production to metabolic demands (30). In parallel with the progressive loss of muscle function, a decrease in skeletal muscle mitochondrial respiratory activity and dysregulation of mitochondrial network dynamics have been shown with advancing age (31-36). Experimental evidence has liked aberrant mitochondrial quality control to elevated reactive oxygen species emission and activation of apoptotic pathways thought to contribute to sarcopenia's pathophysiology (37). Furthermore, mitochondrial dysfunction has recently been indicated as an underlying factor for low physical function (38), slower walking speed (39-41), fatigability (42), and loss of exercise efficiency with older age (43). However, the age-associated decline in mitochondrial function in humans might not be entirely attributable to aging per se. It may also be explained, in part, by an agerelated decline in physical activity (44,45). Thus, evidence indicates a negative relationship between age and physical activity (46), and only 23.5% of the aging population succeeds in meeting the WHO recommended physical activity levels of at least 150 minutes of moderate-intensity aerobic physical activity per week (47).

#### Chapter 1

Irrespective of the role of mitochondria in influencing physical function and muscle health with aging, physical exercise is a powerful tool to protect against aginginduced deterioration of muscle health (48) and the onset of numerous age-related chronic diseases (49,50).

### THESIS OUTLINE

The present thesis aims to investigate the role of skeletal muscle mitochondria and physical activity in the age-related loss of physical function and metabolic health. Such information is instrumental in revealing promising therapeutic strategies to promote healthy aging. In recent years it has become clear that mitochondria do not operate as individual organelles but as dynamic networks. As such, mitochondrial function is not only defined by intrinsic bioenergetic capacity but also includes the ability of mitochondria to move and physically interact with each other in dynamic reticular networks. This plasticity in mitochondrial morphology enables the mitochondria to rapidly adapt to dynamic changes in the cell's metabolic demands and is fundamental to a wide variety of cellular functions (51). Recent evidence suggests that aberrant changes in mitochondrial network dynamics occur with aging and this evidence is examined in the review of **chapter 2** of this dissertation.

Considering these age-related changes in mitochondrial network dynamics, it has been suggested that the decline in mitochondrial capacity might explain the accelerated loss in muscle health with age. However, it remains unclear to which extent age-related physical inactivity and chronological age *per se* contribute to the decline in mitochondrial function and physical function during aging. To investigate this relationship between mitochondrial and physical function, in **chapter 3**, we extensively analyzed mitochondrial capacity and muscle function in young and older adults with similar habitual physical activity levels. Moreover, we studied older adults with a range of habitual physical activity levels and muscle function, i.e. trained older adults, older adults with normal physical activity levels, and physically impaired older adults.

A large number of preclinical studies have linked alterations within mitochondrial metabolism to the aging process. As mitochondria play a crucial role in energy metabolism homeostasis, mitochondrial dysfunction might explain age-related metabolic complications such as cardiovascular diseases, obesity, and type 2 diabetes (52,53). Furthermore, chronological age is associated with distinct plasma

metabolic profiles (54,55), providing interesting leads to identify pathways that may affect lifespan. However, since the rate at which physiological function decline with age is highly variable among individuals (56), these metabolic profiles do not necessarily reflect the age-related decline in functional health outcomes and hence may not mirror the biological age. To further identify the metabolic changes in skeletal muscle related to chronological age, in chapter 4 we studied the muscle metabolome in young vs. older adults. Moreover, the impact of biological age on the skeletal muscle metabolome was examined by investigating different groups of older individuals representing healthy, normal and impaired aging. This examination revealed a strong decline of the nicotinamide dinucleotide (NAD<sup>+</sup>) with age, which was exacerbated with impaired aging and nearly entirely prevented in healthy aging. indicating NAD<sup>+</sup> as a promising therapeutic target to promote healthy aging. To further explore the therapeutic potential of NAD<sup>+</sup> targeting, chapter 5 of this investigates the efficacy of a nutritional NAD<sup>+</sup> dissertation pre-cursor supplementation on mitochondrial function in older adults with impaired physical function.

One of the most critical consequences of aging is the loss of gait and balance control, inducing an increased risk of falls and fall-related consequences such as fractures, further functional decline, immobility, and causal mortality (57). The age-related decline in muscle mass seems to be primarily attributable to atrophy of type II muscle fibers, contributing to muscle weakness (58–60). It is commonly assumed that muscle strength and power are essential factors for coping with large balance disturbances. Nevertheless, muscle strength assessment and training usually only moderately correlate to basic balance tests and falls (61–63). To better understand the impact of muscle aging on mobility and balance control, **chapter 6** focuses on the role of muscle fiber type composition in the ability to cope with large balance disturbances in young and older adults. Finally, in **chapter 7** the findings of all the parts of this thesis are summarized and discussed in a broader perspective, and the overall conclusions are presented.

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# **CHAPTER 2**

# Skeletal muscle mitochondrial network dynamics in metabolic disorders and ageing.

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Trends in Molecular Medicine (2021)

## ABSTRACT

With global demographics trending towards an ageing population, the numbers of individuals with an age-associated loss of independence is increasing. A key contributing factor is loss of skeletal muscle mitochondrial, metabolic, and contractile function. Recent advances in imaging technologies have demonstrated the importance of mitochondrial morphology and dynamics in the pathogenesis of disease. In this review, we examine the evidence for altered mitochondrial dynamics as a mechanism in age and obesity-associated loss of skeletal muscle function, with a particular focus on the available human data. We highlight some of the areas where more data are needed to identify the specific mechanisms connecting mitochondrial morphology and skeletal muscle dysfunction.

**Keywords:** Metabolic disease, sarcopenia, ageing, mitochondria, mitochondrial dynamics

### Skeletal muscle in age and age-associated diseases

Increases in human longevity illustrate the remarkable advances achieved in biomedicine, nutrition and healthcare over the past century. Yet as lifespan has increased, gains in healthspan - defined as the period of life spent in good health, free from the chronic diseases and disabilities of aging [1] - have stagnated [2]. With the proportion of elderly among the global population set to reach >20% in the next decades [3], the imperative to find new solutions to the problem of age-associated loss of independence has become increasingly relevant.

One of the main obstacles to improvements in healthspan is the contemporaneous rise in overweight and obesity in the global population. Although overweight and obesity have been associated with an increase in premature death, the impact of these on mortality has declined such that recent evidence suggests that elderly individuals with overweight or mild obesity now have a life expectancy similar to normal weight elderly [4]. However, this group is at increased risk of disability and spend more years with limitations in physical function compared to normal weight elderly [5, 6].

Deterioration in skeletal muscle mass, metabolic fitness, and contractile vigour are fundamental to the progression of both metabolic disease and age-associated loss of independence (Figure 1). Increased adiposity and ectopic lipid deposition, whether in the presence of obesity or not, contribute to skeletal muscle insulin resistance [7], are common features of ageing [8-10], and strong predictors of both metabolic disease progression [11, 12] and muscle strength and mass [9, 10]. Increasing adiposity blunts the effectiveness of insulin and amino acid stimulation of muscle protein synthesis [13]. Muscle, the primary target tissue for insulin stimulated glucose disposal, is a key regulator of whole-body glucose homeostasis. As such, reduced muscle mass is also associated with reduced energy expenditure [14]. Age-related decline in both physical function and metabolic health can be mitigated, in large part, by increased physical activity levels and exercise training. Thus, the underlying pathology is one of adaptation to chronic environmental stimuli and allostatic loading rather than an obligatory consequence of ageing [7,8]. Progressive deterioration in mitochondrial capacity has been linked to age- and obesity- associated muscle defects though there remains little consensus on a specific mechanistic pathway to

explain this association. Recent developments in understanding the dynamic behaviour of mitochondrial networks and quality control pathways have opened up new prospective avenues of mitochondrial involvement in physical and metabolic decline. In this review we will examine the evidence for the presence of aberrant mitochondrial network dynamics in the pathogenesis of age-associated skeletal muscle dysfunction, with a focus, where available, on evidence from human research.



**Figure 1: Reciprocal relation between muscle mass and metabolic homeostasis.** Both a sedentary behaviour and an excess energy intake during aging negatively influence body composition by increasing fat mass. Excess adiposity is associated with increased ectopic lipid deposition leading to lipotoxicity and consequent mitochondrial dysfunction. Mitochondrial dysfunction has been implicated in the onset of sarcopenia and insulin resistance. Sarcopenia and insulin resistance likely interact whereby loss of muscle mass results in reduced energy expenditure and reduced capacity for glucose uptake while insulin resistance may induce anabolic resistance to insulin and amino acids and reduce insulin inhibition of muscle protein breakdown. Collectively these mechanisms further exacerbate adiposity in a positive feedback loop resulting a progressive decline in metabolic and functional fitness in aged muscle.

# Skeletal muscle mitochondria are an important target for increasing healthspan

One of the early consequences of chronic lipotoxicity (see Glossary) in both metabolic disease and ageing is a reduction in mitochondrial content and capacity [9]. However, the extent to which reduced mitochondrial capacity contributes to impaired muscle function in obesity and ageing is debated. Classically, mitochondrial function has been viewed through the prism of mitochondrial density and intrinsic bioenergetic capacity, however fresh insights into the varied functions of mitochondria necessitates an expansion of the concept. In the present view, mitochondrial function also includes the ability of mitochondria to move and physically interact with each other in dynamic reticular networks. Mitochondrial network architecture, regulated by the processes of fusion and fission (Figure 2), varies widely among cell types, and morphology is intrinsically linked to the energetic and functional aspects of a cell. Thus, in dividing cells, and in cells with a high mitochondrial turnover, mitochondrial fission predominates and morphology shifts towards a "fragmented" network [15]. On the other hand, skeletal muscle which requires rapid conductance of energy potentials forms a vast interconnected reticulum that acts as a power grid to distribute membrane potential according to energetic requirements [16, 17, 18], though the degree of networking may be less apparent in humans compared to mice [16]. Mitochondrial morphology and dynamics have been linked to a variety of cellular processes including cell division [19], regulation of gene expression [20], apoptosis [14, 21] and autophagy [22], removal of damaged mitochondria (mitophagy) [23], calcium homeostasis [24], production of reactive oxygen species (ROS) [25], and regulation of cellular bioenergetic capacity and efficiency [26]. Mitochondrial morphology also responds to the nutrient status of cells with fragmentation observed in conditions of high nutrient availability and fusion predominating to maintain higher bioenergetic efficiency where nutrients are scarce [27]. The major proteins that regulate mitochondrial morphology are described in Table 1. Given the ubiquity of cellular functions in which mitochondrial dynamics participate it is hardly surprising that dysregulation of mitochondrial network dynamics has been implicated in an increasing number of disease states [23, 28], including metabolic disease [25, 26] and age-associated functional decline [29].



Figure 2: Putative pathways in response to dysregulated mitochondrial dynamics in age-related decline in muscle function and metabolism

Figure 2: Putative pathways in response to dysregulated mitochondrial dynamics in age-related decline in muscle function and metabolism Depicted are some of the identified putative pathways which may explain the contribution of alteration in mitochondrial morphology to the decline in metabolic and functional fitness in aged muscle. Drp1 knockout animals represent a model of excessive fusion. Excessive fusion leads to the ER-stress mediated downregulation of FGF21 (1). Lower levels of FGF21 result in reduced mitophagy and autophagy, which result in excessive levels of mitochondrial DNA mutations, leading to inefficient mitochondria, a reduced bioenergetic efficiency, and eventually muscle weakness and a higher risk for lipotoxicty-induced insulin resistance. ER-stress also induces the expression of the atrogenic genes and Atrogin 1 (2) and MuRF1 (2), which, together with the mtDNA damage induced ROS-mediated (4) muscle inflammation, enhances muscle atrophy also resulting in a reduced muscle mass and consequent insulin resistance. Opa1 depletion represents a model for excessive fission and a fragmented network. The fragmented network results in activation of the atrogenic forkhead box O's (FOXOs) (5), increased stress on the ER (6), increased ROS (7), NfkB activation (8), and increased expression of several pro-inflammatory genes (9). ER-stress (6) leads to upregulation of MuRF1 expression and both the activation of FOXO (5) and increased ER-stress (6) upregulates the expression of MuRF1 and Atrogin 1, leading to muscle atrophy mediated decline in muscle mass and consequent insulin resistance. ROS (7) additionally mediates the upregulation of BNIP3 and Parkin which both result in excessive mitophagy and muscle atrophy. The ROS-mediated (7) activation of Parkin also leads to a decline in Mfn2 (10), which impairs muscle protein synthesis, resulting in muscle atrophy, a reduced muscle mass and consequent insulin resistance. Also, a decline in Mfn2 (10) leads to a decline in mTOR and consequently a decline in autophagy, resulting in defective mitochondria, increased mtDNA mutation and muscle inflammation. In parallel, increased ROS production (7), NFkB activation (8), and increased expression of proinflammatory genes (9) stimulate muscle tissue inflammation, together resulting in muscle weakness and insulin resistance. Yme1l depletion stimulates the expression of the S-Opa1, leading to aberrant mitochondrial cristae (11), which activates AMPK and FOXO (5), both stimulating the expression of MuRF1 and Atrogin 1 and leading to an atrogenic mediated reduction in muscle mass and increased insulin resistance. Both excessive fusion and excessive fission can impair muscle function and metabolic health. Abbreviations: Drp1 = Dynamin related protein 1: ER = endoplasmic reticulum: FGF21 = Fibroblast growth factor 21: DNA = deoxyribonucleic acid: MuRF1 = muscle RING-finger protein-1; mtDNA = mitochondrial deoxyribonucleic acid; ROS = reactive oxygen species; Opa1 = Optic atrophy 1; FOXOs = forkhead box O's; NFkB = nuclear factor kappa-lightchain-enhancer of activated B cells; BNIP3 = BCL2 Interacting Protein 3; Mfn2 = Mitofusin-2; YME1I = ATP-dependent zinc metalloprotease YME1L; S-Opa1 = Optic atrophy 1 (short form); AMPK = adenosine monophosphate-activated protein kinase.

Protein		Location	Function	
Fusion proteins				
Mfn1	Mitofusin-1	Outer mitochondrial membrane	Outer mitochondrial membrane fusion	[93]
Mfn2	Mitofusin-2	-Outer mitochondrial membrane -Endoplasmic reticulum	Outer mitochondrial membrane fusion -Facilitating mitochondrial calcium influx from ER -ER-Mitochondria contact site tethering Facilitates mitophagy as a Parkin receptor	[94] [95] [96] [97]
L-Opa1 (long form)	Optic atrophy 1	Inner mitochondrial membrane	Inner mitochondrial membrane fusion	
S-Opa1 (short form)	Optic atrophy 1	Mitochondrial intermembrane space	Cristae morphogenesis (together with L-Opa1)	[98]
YME1L	ATP-dependent zinc metalloprotease YME1L	Inner mitochondrial membrane	Cleavage of L-Opa1 into S-Opa1	
Oma1	Zinc metallopeptidase	Inner mitochondrial membrane	Cleavage of L-Opa1 during mitochondrial depolarization	
Fission proteins				
Drp1	Dynamin related protein 1	Outer mitochondrial membrane	Mitochondrial fission	
Fis 1	Mitochondrial fission 1	Outer mitochondrial membrane	Putative Drp1 receptor; may facilitate Drp1-mediated mitochondrial fission	
Mff	Mitochondrial fission factor	Outer mitochondrial membrane	Mitochondrial receptor for Drp1; facilitates Drp1-mediated mitochondrial fission	[99]
MiD49	Mitochondrial dynamics protein of 49 kDa	Outer mitochondrial membrane	Mitochondrial receptor for Drp1; may regulate the DRP1 and maintain its inactive state until fission is required	
MiD51	Mitochondrial dynamics protein of 51 kDa	Outer mitochondrial membrane	Mitochondrial receptor for Drp1; facilitates Drp1-mediated mitochondrial fission (Fis 1 and Mff independent)	-

# Table 1: Major fusion and fission proteins that regulate mitochondrial morphology

### Mitochondrial network morphology in metabolic disorders

There is a growing consensus that obesity and metabolic disease are associated with mitochondrial network fragmentation in skeletal muscle. Increased mitochondrial network fragmentation was first demonstrated in skeletal muscle of Zucker Diabetic Fatty rats [30]. Similarly, obese mice that are deficient for leptin receptors and mice with high fat diet (HFD)-induced obesity were found to exhibit smaller and shorter mitochondria in skeletal muscle compared to lean mice [31]. In line with these findings, reduced propagation of mitochondrial matrix-targeted photoactivatable green fluorescent protein was observed in skeletal muscle of mice fed a high fat diet compared to chow fed controls [32], indicating a reduction in the degree of mitochondrial networking. Importantly, the presence of increased mitochondrial fragmentation in skeletal muscle has also been observed in humans with obesity and type 2 diabetes (T2D) compared to a lean reference group. This morphological phenotype was restored 1.5-years after **bariatric surgery** [33]. Similarly, mitochondrial capacity in patients undergoing laparoscopic gastric banding surgery was normalized 1-year post-surgery, which was independent of changes in mitochondrial content [34]. Taken together, these studies suggest an interdependency of mitochondrial form and function in obese patients. In young healthy humans, induction of insulin resistance by lipid infusion resulted in skeletal muscle mitochondrial fragmentation in association with activation of DRP1 by phosphorylation at serine 616 (Drp1<sup>ser616</sup>) [35]. Strikingly, this effect was observed without reduction in mitochondrial capacity or content, which suggests that functional defects may be secondary to chronic lipotoxicity. Primary myotubes cultured from skeletal muscle biopsies obtained from severely obese non-diabetic and T2D participants retained a more fragmented mitochondrial network, relative to young lean healthy individuals [36]. This change in mitochondrial network was associated with increased Drp1<sup>ser616</sup>. Moreover, the number of fragmented mitochondria in cultured myotubes from the same population was reduced at 7 months after Rouxen-Y gastric bypass (RYGB) [37] concomitant with reductions in Drp1<sup>ser616</sup>. The retention of these morphological characteristics in primary myotubes despite identical cell culture conditions suggests the involvement of transcriptional programming and is therefore not solely an acute response to a positive energy balance and lipotoxicity.

# Regulation of skeletal muscle mitochondrial morphology in metabolic disorders

#### Mitochondrial Fusion

Protein expression studies also provide insights into the role of mitochondrial dynamics in metabolic disorders. Several lines of evidence support negative transcriptional regulation of mitochondrial fusion as an underlying component of mitochondrial fragmentation in metabolic disease. Reduced mitofusin-2 (MFN2) mRNA and protein expression is observed in skeletal muscle in obese rodents relative to lean controls [30, 32, 38, 39] and also in humans [30, 40, 41]. Repression of MFN2 in a rat muscle cell line decreased pyruvate and palmitate oxidation [30], increased production of ROS, and increased c-Jun N-terminal kinases (JNK) and nuclear factor kappa-light-chain-enhancer of activated B cells (NFkB) signaling in association with reduced glucose uptake and insulin signaling (Figure 2). Conversely, MFN2 gain of function reduced ROS production and restored insulin signaling [42, 43]. Similarly, in humans MFN2 mRNA correlated with glucose oxidation and increases in mRNA levels following bariatric surgery were positively correlated with the percentage change in glucose oxidation between fasted and insulin stimulated conditions during a hyperinsulinaemic-euglycaemic clamp procedure [40]. In healthy premenopausal women, MFN2 protein expression was associated with ROS emitting capacity in permeabilized skeletal muscle fibers [44]. Expression of the inner mitochondrial membrane fusion protein Optic Atrophy-1 (OPA1), along with the mitochondrial fission protein FIS1, was highest in athletes when compared to individuals with type 2 diabetes [45]. Enhanced mitochondrial networking was also apparent in the athletes [45] perhaps indicating that athletes exhibit enhanced mitochondrial turnover through both mitochondrial fusion and fission, although with fusion the predominant factor in the resting homeostatic state. Provision of a eucaloric diet along with aerobic exercise training in older obese individuals induced a significant weight loss and resulted in increased skeletal muscle Optic Atrophy-1 (OPA1) [46]. This further supports a pro-fusion mitochondrial phenotype in response to exercise and a negative energy balance. In addition, as in a rat model [47], RYGB surgery in obese patients increased skeletal muscle MFN2 gene expression at 3- and 12 months post-surgery in obese individuals [48]. However, in contrast to above findings, several studies reported that skeletal muscle mitochondrial fusion gene and/or protein expression were not altered in human obesity [49] or T2D [50]. Moreover, single fiber analysis of skeletal muscle in morbid obesity revealed that the amount of both MFN1/2 was in fact higher in muscle fibers that presented fragmented networks [33]. An alternative explanation for these discrepancies may relate to the non-canonical functions of MFN2 such as involvement in lipid droplet [51] and Sarcoplasmic reticulum (SR)- mitochondria contact sites [52]. In particular, dysregulated SR-mitochondria interactions have been implicated in metabolic disease in animals and humans [51, 53, 54]. Moreover, a recent study [55] demonstrated that in healthy individuals fat oxidation was affected by both mitochondrial size and SR-mitochondria contact sites. It is therefore worth acknowledging that alterations in fusion proteins may also influence metabolic disease independent of their effects on mitochondrial morphology.

#### Mitochondrial Fission

Besides reduced fusion, excessive mitochondrial fission has also been implicated in skeletal muscle metabolic dysfunction. Similar to humans [35], in C2C12 cells and rodent muscle excess lipids resulted in mitochondrial fragmentation and reduced glucose uptake [31]. This was attenuated by inhibition of DRP1 [31]. Conversely, exercise training in obese humans results in a reduction in DRP1 activation which was correlated to improvements in insulin sensitivity and fat oxidation [56]. Along the same lines, expression of FIS1 was elevated in muscle of obese and T2D humans, and reduced by diet and exercise, and RYGB. DRP1-mediated mitochondrial fragmentation has also been implicated in ceramide-induced H<sub>2</sub>O<sub>2</sub> production, impaired mitochondrial bioenergetics, and insulin resistance in C2C12 myotubes [57]. Recent evidence from liver cells support a role for DRP1 adaptor protein MFF in mediating ceramide-induced metabolic dysfunction [58]. Ceramide species, particularly C16:0 ceramides, are a major lipotoxic lipid class and are thought to be significant mediators of insulin resistance [59]. These data further support a link between lipotoxicity and mitochondrial fragmentation in skeletal muscle metabolic dysfunction observed in metabolic disorders.

Obviously, mitochondrial morphology is defined by a balance between the rates of fusion and fission and it may be that mitochondrial morphology *per se* modulates substrate metabolism and insulin sensitivity regardless of whether shifts in

#### Chapter 2

morphology are driven by alterations in either fission or fusion. Development of biomarkers of mitochondrial morphology in bigger datasets encompassing healthy and obese participants is therefore essential to advance our understanding of the role of mitochondrial dynamics in metabolic disorders. In this context, focusing on the ratio between fusion and fission protein expression rather than the individual proteins, may prove to be a useful strategy. Several attempts to this effect have been made such as OPA1-FIS1 [46] or MFN2-FIS1 [60], however further validation may be necessary. Nonetheless, imaging remains the gold standard for determining mitochondrial morphology, and advances in imaging techniques have been instrumental in enhancing our understanding of mitochondrial networks in skeletal muscle. Mitochondria in skeletal muscle observed by electron microscopy appear in tightly packed clusters, with many mitochondria grouped together in subsarcollemal regions and appearing in doublets in the intermyofibrillar regions of the muscle. 3D imaging of rodent muscle, and more recently human muscle, indicate that skeletal muscle relies on the formation of nanotunnels between mitochondria for the efficient transmission of membrane potential and transfer of mitochondrial DNA (mtDNA) and proteins[16]. Nanotunneling is reduced in obese mice [32], but data in skeletal muscle of obese humans is lacking. Nonetheless, this discovery represents an additional challenge to defining the relationship between mitochondrial dynamics and mitochondrial morphology in human disease as the finding of increased fragmentation, despite increased mitochondrial nanotunneling, observed in humans with primary mtDNA mutations illustrates [16].

# Aberrant mitochondrial network morphology is a hallmark of skeletal muscle myopathy

Mitochondrial morphology has long been associated with myopathy [61]. Indeed, abnormalities in muscle mitochondrial structure became a diagnostic factor for inherited skeletal muscle myopathies [62] in the latter third of the 20<sup>th</sup> century. Whilst it is beyond the scope of the current review to provide an comprehensive overview of inherited and developed myopathies with a mitochondrial defect, it is nonetheless instructive to highlight some instances which illustrate a clear link between abberant mitochondrial morphology and skeletal muscle myopathy. In humans, mutations in the MFN2 gene result in Charcot-Marie-Tooth type 2A, a neuropathic disorder which is characterized by progressive muscle atrophy [63]. Autosomal dominant optic

atrophy (ADOA) mutations in the *OPA1* gene results in muscle wasting associated with reductions in ATP generating capacity and increased mtDNA mutations in skeletal muscle [64]. More recently a novel myopathy caused by a homozygous nonsense mutation in the *MIEF2* gene encoding the mitochondrial dynamics protein of 49 kDa (MID49) was associated with increased fusion, mitochondrial elongation, and aberrant cristae structure [65]. In cancer **cachexia** and chemotherapy-induced cachexia, *DRP1*, *OPA1*, and *MFN2* gene expression were dramatically suppressed [66, 67].

### Mitochondrial Morphology in Ageing Muscle

Sarcopenia is the age-associated loss of muscle mass and strength. In ageing, muscle mass begins to decline as early as age 40 at a rate of up to 1% per year while strength declines even more rapidly [68]. In aged muscle, reports from rodent studies suggest that aged mitochondria are smaller in size, while others suggest an increase in mitochondrial networking. Moreover, aged skeletal muscle displays impaired mitochondrial energetics [69] and increased mitochondrial-mediated apoptosis [70]. However, 2D imaging of human skeletal muscle has identified the presence of giant mitochondria in aged muscle indicating that there may be multiple mechanisms at play in the regulation of mitochondrial morphology in aged skeletal muscle. Interestingly, giant mitochondria in cultured myoblasts display both reduced levels of OPA1 and impaired capacity in fusion and autophagy [71]. In addition, muscle of aged mice also displayed abnormal mitochondrial structure and cristae formation (Figure 2) [72, 73]. These morphological alterations associate with reduced muscle cross-sectional area and reduced grip strength concomitant with reduced levels of OPA1 and DRP1 protein expression [73]. Consistent with this finding, grip strength is reduced in mice with a muscle-specific OPA knockout [74]. The discrepancies in mitochondrial morphologies reported in studies of ageing may relate to non-linear changes in fission and fusion over the lifespan [75]. These morphological alterations correlated with changes in muscle function evidenced at the different stages of mice life. Taken together these data illustrate a potential causal relationship between mitochondrial morphology and age-associated diseases of muscle weakness.

#### Mitochondrial dynamics and age-associated sarcopenia

Several studies have indicated similar mitofusin gene [76] and protein [10, 77, 78] expression in skeletal muscle biopsies of young versus old volunteers. In addition, skeletal muscle OPA1 expression was unchanged [10] or decreased [77, 79] in elderly skeletal muscle, however the degree to which these elderly participants were sarcopenic is not apparent. In a recent study [80], MFN2 protein expression was lower in elderly patients with sarcopenia relative to non-sarcopenic elderly. This suggests that clearer characterization of sarcopenia status, or long term prospective studies in elderly, may be needed to determine the degree to which altered mitochondrial fusion is related to age-associated physical decline in humans. In spite of this, data from pre-clinical studies provide robust support for a link between altered mitochondrial fusion and age-related decline in muscle health. A reduction in both MFN1 and MFN2 has been demonstrated in aged mice, while global Mfn2 knockout in mice resulted in mitochondrial dysfunction, increased oxidative stress, a reduction in ambulatory activity, and reduced muscle cross-sectional area. Notably Mfn2 knockout in mice resulted in muscle atrophy by downregulation of protein synthesis via the mTOR pathway and an accumulation of autophagosomes (Figure 2) [81]. This is indicative of a reduction in autophagy, the cellular mechanism that maintains tissue quality by removal of damaged cellular components. Indeed, reduced autophagy is a common feature of muscle atrophy [82, 83] and ageing [84]. and has been linked to reduced MFN2 in muscle cells [85]. MFN2 repression was also associated with the accumulation of defective mitochondria despite increased mitophagy signaling via ROS-dependent upregulation of BNIP3 and Parkin (Figure 2) [81]. Interestingly, Parkin mediated ubiguitination and degradation of MFN2 also induces muscle disuse atrophy through excessive mitochondrial fragmentation and mitophagy (Figure 2). This can be rescued by increasing mitochondrial mass through overexpression of the mitochondrial biogenesis activator Peroxisome proliferator-activated receptor gamma coactivator 1-alpha (PGC-1a) [86].

Opa1 depletion leads to reduced grip strength and muscle atrophy in rodents via elevated production of ROS [87], enhanced expression of pro-inflammatory genes [87], activation of the atrogenic forkhead box O's (FOXOs) class of transcription factors [88], and increased muscle inflammation characterized by NFkB activation (**Figure 2**). Moreover, depletion of the OPA1 cleavage factor ATP-dependent zinc

metalloprotease YME1L (YME1L) in myotubes results in accumulation of the OPA1 short form and the activation of AMP-activated protein kinase (AMPK) and FOXO3, thereby leading to increased muscle RING-finger protein-1 (MuRF1) (Figure 2) [88]. Reduced OPA1 has also been implicated in skeletal muscle atrophy via both ER-stress mediated translational inhibition and upregulation of atrogenic genes such as MuRF1, Atrogin 1 and Fibroblast growth factor 21 (FGF21) in rodent models (Figure 2) [79]. Collectively, these data illustrate how down-regulation of pro-fusion proteins in skeletal muscle can activate catabolic systems and ultimately cause muscle mass loss. However, whether these findings translate to age-associated declines in muscle protein synthesis and sarcopenia in human ageing remains an open question.

The evidence supporting increased mitochondrial fission in skeletal muscle sarcopenia in humans is limited, with studies showing similar protein expression of DRP1 and FIS1 in young and old sedentary adults [10, 77] and following 10 days of bed rest in older adults [89]. Nonetheless, there is evidence for both knockout and overexpression of Drp1 resulting in muscle atrophy, albeit through activation of separate atrogenic pathways (Figure 2) [90]. Intriguingly, muscle-specific Opa1 and Drp1 DKO mice had a less severe phenotype than Opa1 knockout mice, despite persistent mitochondrial dysfunction. This effect was primarily through a blunted atrogenic transcriptional activity and reduced FGF21, though at the cost of translational inhibition via increased ER stress and reduced autophagy and mitophagy (Figure 2) [90]. In contrast, data in mouse cardiomyocytes [91] and C. elegans suggests that co-deletion of fission and fusion protein expression results in decreases in lifespan and metabolic health. Nonetheless, these data highlight the need to maintain the appropriate balance between fission and fusion for preservation of skeletal muscle mass and function. Interestingly, muscle cells incubated with palmitate lose skeletal muscle integrity via modulation of mitochondrial fission gene expression, pro-inflammatory cytokines, and atrogenes, yet this effect was attenuated by co-incubation with oleate [92]. This suggests that the fatty acidmediated mitochondrial redox state is a significant factor in the regulation of myotube atrophy. This finding is consistent with the effects of lipids on skeletal muscle mitochondrial fragmentation in insulin resistance in cells and animals [31] and humans [35] and therefore highlights the potential interconnectedness between

muscle mitochondrial dynamics in both skeletal muscle metabolic function and quality.

### **Concluding Remarks**

There is growing evidence for impaired mitochondrial network dynamics in the aetiology of skeletal muscle health in metabolic disorders and ageing. Yet what this review highlights is that there remains a scarcity of detailed mechanistic studies, particularly in human models. Such studies will be essential for understanding the role of altered mitochondrial networks in the pathogenesis of muscle dysfunction and for developing new therapeutics to target mitochondrial dynamics in human disease. Nonetheless, new technologies that have emerged including advances in imaging technology with increasing application to human tissue have shed new light on the organization of mitochondrial networks in muscle; these approaches open the possibility for deeper insights into the role of skeletal muscle mitochondrial dynamics in metabolic disorders and ageing.

#### GLOSSARY

**Lipotoxicity:** The deleterious effects of lipid accumulation in non-adipose tissue. **Mitochondrial Fusion:** The physical merging of the outer and then the inner mitochondrial membranes of two originally distinct mitochondria.

**Mitochondrial Fission:** The division of a single mitochondria into two or more independent structures.

Apoptosis: Programmed cell death

**Autophagy** (macroautophagy): The process in which cellular contents are degraded by lysosomes or vacuoles and recycled.

Mitophagy: The selective degradation of mitochondria by autophagy.

**Reactive oxygen species (ROS):** are oxygen-containing radicals such as superoxide anion (O2–), hydrogen peroxide (H2O2), and hydroxyl radical (HO•) that can be generated by aerobic metabolism. ROS may serve as cell signaling molecules for normal biologic processes, however excessive production of ROS can result in damage to multiple cellular organelles and processes.

**Type 2 diabetes (T2D):** Type 2 diabetes mellitus is a metabolic disorder that results in hyperglycaemia due to reduced effectiveness of the hormone insulin (insulin resistance) and an inability of the pancreas to produce enough insulin to over come insulin resistance.

Bariatric surgery: covers a variety of weight loss surgeries including

Laparoscopic gastric banding surgery: is a surgical procedure that involves the placement of an adjustable belt around the upper portion of the stomach using a laparascope. The belt limits the expansion of the stomach conferring increased satiety in the patient.

**Roux-en-Y gastric bypass (RYGB):** is a surgical procedure that involves creating a small gastric pouch connected to a roux limb which bypasses a large portion of the small intestine. This results in the food bypassing the majority of the stomach, the duodenum, and the first 40 to 50 cm of jejunum.

**Mitochondrial nanotunnels:** thin double-membrane protrusions that connect the matrices of non-adjacent mitochondria.

**Cachexia:** A complex metabolic syndrome associated with underlying illness and characterized by loss of muscle with or without loss of fat mass.

Sarcopenia: is the age-associated loss of muscle mass and strength.

### **CLINICIANS CORNER**

- Mitochondria are multifaceted organelles that participate in a variety of cellular functions far beyond simple energy provision.
- The ability of mitochondria to adapt to environmental stimuli and support metabolism and cellular homeostasis is governed by shifts in mitochondrial morphology, however increasing evidence suggests that aberrant mitochondrial morphology is present in numerous pathological conditions, including metabolic disease and age-associated skeletal muscle dysfunction. In addition, animal studies suggest that dysregulation of mitochondrial morphology may be mechanistically linked to pathogenesis
- Currently there are no pharmaceutical agents available to target these pathways, however, diet and exercise, and bariatric surgery in humans and animals have been effective at normalizing mitochondrial morphology and dynamics in association with improved body composition and metabolic outcomes.

Table 2. Summary of literature linking mitochondrial morphology and dynamics in humans to age- and obesity- associated skeletal muscle dysfunction.

Participants	Treatment/intervention	Mitochondrial Morphology	Fusion gene/protein expression	Fission gene/protein expression	Functional Outcomes	Refs
Morbid obesity with and without T2D vs Lean Controls		↑ Fragmentation in obese groups	↑ Mfn1/2 in Obese	↑ Drp1 in Obese and T2D	Reduced insulin sensitivity in obese and T2D	[33]
	Roux-en-Y Gastric Bypass	↓ Fragmentation in some obese and T2D patients		↓ Fis1	•	
Young Healthy	Lipid Infusion	↑ Fragmentation	No Change	↑ Drp1 <sup>ser616</sup>	<ul> <li>Reduced insulin sensitivity.</li> <li>No change in mitochondrial capacity.</li> <li>Reduced mitochondrial membrane potential.</li> </ul>	[35]
Cultured Myotubes from severely obese and T2D patients vs young lean healthy		↑ Fragmentation in Obesity and T2D		↑ Drp1 <sup>ser616 in</sup> Obesity and T2D		[36]
Cultured Myotubes from severely obese and T2D patients	Roux-en-Y Gastric Bypass	↓ Fragmentation following surgery		↓ Drp1 <sup>ser616</sup> following surgery		[37]
Obese and T2D vs lean controls			↓ Mfn2 in Obese and T2D			[30]
Severely Obese Females	Bariatric Surgery		↓ Mfn2 mRNA following weight loss		<ul> <li>↓ Mfn2 mRNA correlated with improved insulin sensitivity</li> </ul>	[40]
Elderly with Heart Failure vs Healthy age-matched controls			↓ Mfn2 in Patients with Heart Failure		<ul> <li>Mfn2 was positively associated with exercise performance</li> </ul>	[41]

Participants	Treatment/intervention	Mitochondrial Morphology	Fusion gene/protein expression	Fission gene/protein expression	Functional Outcomes	Refs
Healthy Premenopausal Women	Hyperinsulinemic- euglycemic clamp		No Change	No Change	Association between Mfn2     expression and ROS	[44]
Lean Healthy, Obese, T2D, Athletes		↑ Fragmentation in T2D relative to athletes	OPA1 highest in athletes and lowest in T2D.	Fis1 highest in athletes and lowest in T2D.		[45]
Older Obese individuals	12wk diet and exercise		↑ OPA1 following intervention			[46]
Morbidly obese women	Roux-en-Y Gastric Bypass		↓ Mfn2 mRNA following weight loss surgery		<ul> <li>Association between Increased MFN2 and glucose uptake following surgery</li> </ul>	[48]
Obese vs age matched lean			No Difference	No Difference		[49]
T2D patients vs weight matched controls			No Difference	Drp1 mRNA (DNM1L) was lower in the T2D group		[50]
Older Obese individuals	12wk exercise		↑OPA1 mRNA after exercise training	↓ Drp1 <sup>ser616</sup>	• Association between changes in Drp1ser616 and improvements in insulin sensitivity and fat oxidation	[56]
Young active, Young sedentary, Middle- aged sedentary, and Older sedentary			↑ OPA1 and Mfn2 in Young active	↑ Fis1 and Drp1 in Young active ↓ Drp1 in Middle aged sedentary	<ul> <li>Negative relationship between Fusion and fission proteins with BMI</li> </ul>	[10]

Participants	Treatment/intervention	Mitochondrial Morphology	Fusion gene/protein expression	Fission gene/protein expression	Functional Outcomes	Refs
Young Sedentary, High Functioning Elderly, Low Functioning Eldery			↓ OPA1 with age, no effect of activity	No Difference		[77]
Young Men vs Old Men	12wk exercise		No Difference between groups at baseline; Mfn1/2 increased following exercise training in both groups	No Difference between groups at baseline; Fis1 increased following exercise training in both groups		[78]
Young Sedentary, Sedentary Seniors (sarcopenic), Senior Sportsmen			↓ Mfn 1/2 and OPA1 in Sedentary Seniors compared to Young Sedentary and Senior Sportsmen	↓ Drp1 in Sedentary Seniors compared to Young Sedentary and Senior Sportsmen		[79]
Elderly patients with Hip Fracture divided into sarcopenic and non sarcopenic groups			↓ Mfn 2 in sarcopenic elderly	No change		[80]
Older adults	10 days bed rest followed by 8wk Resistance Training		No change with bedrest or resistance training.	No change with bedrest or resistance training.		[89]

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Impact of aging and exercise on skeletal muscle mitochondrial capacity, energy metabolism, and physical function

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# Chapter 3

# Abbreviations

6MWT	6-minute walk test
<sup>31</sup> P-MRS	<sup>31</sup> Phosphorus Magnetic Resonance Spectroscopy
ANOVA	Analysis of variance
EEE	Exercise energy expenditure
GEE	Gross exercise efficiency
GIR	Glucose infusion rate
HPA	High-intensity physical activity
Ю	Physically impaired older adults
LPA	Low-intensity physical activity
MoS	Margin of Stability
MRI	Magnetic Resonance Imaging
NEE	Net exercise efficiency
0	Older adults
OXPHOS	Oxidative Phosphorylation
PA	Physical activity
PCr	Phosphocreatine
Pert	Perturbation
PGC-1α	Peroxisome proliferator-activated receptor gamma co-activator $1 \boldsymbol{\alpha}$
REE	Resting energy expenditure
RER	Respiratory exchange ratio
SPPB	Short physical performance battery
то	Trained older adults
Y	Young adults

# ABSTRACT

The relationship between the age-associated decline in mitochondrial function and its effect on skeletal muscle physiology and function remain unclear. In the current study, we examined to what extent physical activity contributes to the decline in mitochondrial function and muscle health during aging and compared mitochondrial function in young and older adults, with similar habitual physical activity levels. We also studied exercise-trained older adults and physically impaired older adults. Aging was associated with a decline in mitochondrial capacity, exercise capacity and efficiency, gait stability, muscle function, and insulin sensitivity, even when maintaining an adequate daily physical activity level. Our data also suggest that a further increase in physical activity level, achieved through regular exercise training, can largely negate the effects of aging. Finally, mitochondrial capacity correlated with exercise efficiency and insulin sensitivity. Together, our data support a link between mitochondrial function and age-associated deterioration of skeletal muscle.

#### INTRODUCTION

A large portion of the world's population is of middle to older age, and in high-income countries, the proportion of people over the age of 60 is growing faster than any other age group (1). Since aging is associated with a variety of comorbidities resulting in rapidly increasing health care costs, this demographic change is one of the major societal challenges of the current decade (2).

One of the distinctive features of aging is the progressive loss of muscle mass and physical function, collectively known as sarcopenia (3). The loss of skeletal muscle mass and tissue function has been related to mobility impairments (4), such as difficulties walking short distances or standing up from a chair (5,6), an increased risk of falls (7,8), physical frailty (9), and metabolic impairments (10), ultimately leading to a loss of physical independence and increased care need (11). Improving balance and mobility are important factors for public health in older adults in order to reduce fall-related consequences such as fractures, further functional decline, immobility, and death (12).

In parallel with the progressive loss of muscle function, mitochondrial respiratory activity in human skeletal muscle has been shown to decrease with advancing age in healthy men and women (13,14). Furthermore, protein levels of the mitochondrial master regulator peroxisome proliferator-activated receptor gamma co-activator 1 $\alpha$  (PGC-1 $\alpha$ ) were found to correlate with walking speed in healthy older adults (15). Some preclinical studies indeed suggest that the reduction in muscle mitochondrial function may underlie the decline in muscle health during aging. Thus, an accelerated manifestation of sarcopenia is seen in transgenic mice lacking the antioxidant enzyme superoxide dismutase 1 (SOD1), which are characterized by both a diminished mitochondrial bioenergetic function and an induction of mitochondrial abnormalities have been associated with muscle fiber splitting and atrophy (17). Therefore, it is tempting to speculate that augmenting mitochondrial function could be a potential strategy to counteract aging-associated decline in physical function.

Although some human studies have addressed age-related alterations in muscle mitochondrial function in relation to the decline in skeletal muscle function (5,18–21), the available data in humans is scarce and the few available studies often focus on either the decline in muscle function or concentrate primarily on the mitochondrial

alterations. Additionally, the age-associated decline in mitochondrial function is not completely attributable to aging per se and may also be explained, in part, by an age-related decline in physical activity (PA) (19,20). Decreased PA can adversely affect mitochondrial capacity (24), while exercise training stimulates mitochondrial biogenesis through increases in the master regulator PGC-1 $\alpha$  (25). Older adults are more inactive with advancing age which in turn decreases functional fitness (26). Therefore, it remains unclear to what extent chronological age and physical inactivity contribute to the decline in mitochondrial function and muscle health during aging.

To delineate these relationships, we conducted a cross-sectional study with detailed phenotyping in groups of young versus older human participants, with a range in oxidative capacity and physical function. The first aim of the study was to assess if mitochondrial function is reduced in older compared to young participants with a similar level of habitual PA, and to examine how mitochondrial function relates to muscle function. To this end, we performed a wide array of assessments of skeletal muscle function (including strength, volume, insulin sensitivity, gait stability and adaptability, exercise capacity, and exercise efficiency) as well as in and ex vivo measurements to characterize mitochondrial capacity. The second aim of the study was to investigate the potential of regular exercise training in older adults for the maintenance of mitochondrial function, and if this is associated with maintained muscle health. Using the methods described above, the older adults with normal PA levels were also compared to older adults with a high PA level (trained individuals) and older adults with low physical function (physically impaired individuals, low PA). We also performed correlation analyses between skeletal muscle mitochondrial function, physical function, and muscle health during aging.

#### RESULTS

# Mitochondrial function and muscle health decrease with age

To assess the effect of age on mitochondrial function and muscle health, we compared young (Y) versus older (O) individuals with comparable levels of PA. Table 1 summarizes the main participant characteristics. Average age was 24 (3) years for the young individuals (Y) and 71 (4) years for the older participants (p < 0.001). Sex distribution was identical between the two groups (8 male and 9 female

participants in both groups, p > 0.999). Older individuals had an increased BMI (p = 0.007) and an increased body fat percentage (p = 0.007) as compared to young individuals. Fat free mass (FFM) was similar in young adults and old individuals and (p = 0.471). Both age groups performed a comparable amount of steps per day (p = 0.146) and showed no significant difference in time spent on high PA level or low PA level (p = 0.496 and p = 0.737, respectively) indicating comparable levels of PA in daily life between young and older participants. Furthermore, no significant differences were observed in the balance test, the 4-meter walk test, and chair-stand test, assessed during screening as part of the short physical performance battery (SPPB, p > 0.05).

	Young, normal	Older adults, normal
	physical activity (Y)	physical activity (O)
Ν	17	17
Gender F/M <sup>†</sup>	8/9	8/9
Age (years)	24 (3)*	71 (4)
BMI (kg m <sup>-2</sup> )	22.7 (2.9)*	25.8 (3.3)
Body weight (kg)	69.0 (10.2)	74.0 (11.9)
Fat mass (%)	24.4 (8.2)*	33.1 (9.2)
Fat mass (kg)	16.8 (6.1)*	24.5 (7.9)
Fat free mass (kg)	52.2 (9.9)	49.5 (10.8)
Steps/day	9,983 (2,781)	10,207 (2,832)
HPA time (% of wake time)	2.2 (1.2)	2.2 (1.2)
LPA time (% of wake time)	11.4 (2.4)	11.7 (2.6)
SPPB 4m walk speed (m s <sup>-1</sup> )	1.1 (0.2)	1.1 (0.2)
SPPB Chair-stand test (s)	9.1 (2.6)	10.1 (1.4)

 Table 1 Participant body composition, physical activity, and physical function

Data are presented as mean (SD) \* indicates significant difference between 2 groups (p < 0.05 two-sided, independent samples t-test). <sup>†</sup> Sex distribution across groups was tested by  $\chi^2$  test (p > 0.999). Body composition and physical activity could not be measured in one participant of the Y group due to the implications of the SARS-CoV-19 crisis. BMI = body mass index; HPA = high-intensity physical activity; LPA = low-intensity physical activity; SPPB = short physical performance battery.

# Lower muscle strength, volume, and endurance in older adults

During the 6-minute walk test (6MWT), older adults covered ~9% less distance, in comparison with the young participants (p = 0.032, Figure 1a). Older adults also had a lower cardiorespiratory fitness, as indicated by a ~26% lower maximal aerobic capacity (VO<sub>2</sub>max), in comparison with the young individuals (p < 0.001, Figure 1b). Likewise, maximal power output was lower in older individuals as compared to young

(p < 0.001, Figure 1c). In addition, a lower isokinetic muscle strength was observed in older adults as compared to young (p < 0.001, Figure 1d). In line with the similar FFM, muscle volume, measured in the upper leg by MRI, was comparable between young and older individuals (p = 0.151, Figure 1e).

# Reduced exercise efficiency in older adults

Besides maximal exercise capacity, we also assessed exercise efficiency and substrate utilization during a submaximal exercise test. Resting energy expenditure was found to be comparable between young and old (p = 0.275, Figure 1f) and no differences were observed in respiratory exchange rate (RER) between old and young, both when measured during the submaximal exercise (p = 0.624 Figure 1g) and resting conditions (p = 0.852, Figure 1g). Both gross (p < 0.001) and net (p < 0.001) exercise efficiency were found to be lower in the older individuals as compared to the young participants (Figure 1h).



Figure 1 Effect of aging on muscle health and exercise efficiency.

Figure 1 Effect of aging on muscle health and exercise efficiency. a Walking distance during the 6MWT performed on the Caren-system (Y, n = 14; O, n = 17). b Maximum rate of oxygen consumption measured during graded cycling exercise corrected for FFM (Y, n = 16; O, n = 17). c Maximal power output measured during graded cycling test (Y, n = 16; E, n = 17). d Muscle strength expressed as the extension and flexion peak torque during an isokinetic protocol on the Biodex system and corrected for fat-free mass (Y, n = 16; O, n = 17). e Upper leg muscle volume measured by MRI (Y, n = 17; O, n = 17). f Resting energy expenditure adjusted for fat-free mass (Y, n = 15; O, n = 17). **q** Respiratory exchange rate measured before, in resting conditions, and during submaximal exercise (Y. n = 15; O, n = 17). h Exercise efficiency measured during the submaximal cycle test and expressed as gross efficiency and net efficiency (Y, n = 15; O, n = 17). Dark grey bars represent the young individuals (Y), light grey bars represent the older individuals (O). FFM could not be measured in one Y due to the implications of the SARS-CoV-19 outbreak. For the same reason, 6MWT data and muscle volume data are missing in the same Y participant. Another participant from Y did not perform the 6MWT due to scheduling issues. The reported 6MWT distance from one Y participant was invalid and therefore excluded for analysis. One Y participant failed to complete the submaximal cycle test due to exhaustion. In another Y participant, the test could not be performed due to the implications of the SARS-CoV-19 outbreak. Values are presented as mean ± SD (with individual data points), \* denotes significant differences between the two groups (p < 0.05, two-sided, independent samples t-test). 6MWT = 6-minute walk test; VO<sub>2</sub>max = maximal oxygen flow; Nm = newton meters; FFM = fat-free mass; RER = respiratory exchange rate; REE = resting energy expenditure; EE = exercise efficiency.

# Lower whole-body insulin sensitivity in older adults

To further characterize skeletal muscle health, insulin sensitivity was determined during a hyperinsulinemic-euglycemic clamp. During the clamp, the M-value required to maintain euglycemia, was ~22% lower in older in comparison with young individuals (p = 0.050, Figure 2a), suggesting a lower whole body insulin sensitivity in older adults. Plasma insulin levels during the clamp were different between young and older adults (Y: 75.61 (9.83) mU l<sup>-1</sup> vs. O: 88.29 (10.73) mU l<sup>-1</sup>), p = 0.001). Peripheral insulin sensitivity, defined as the insulin-stimulated glucose uptake (Rd) corrected for differences in insulin and glucose levels (S<sub>i</sub>), was also significantly lower in older vs. young individuals when expressed per kg body weight (O: 0.050 (0.028) µmol kgBW<sup>-1</sup> min<sup>-1</sup> vs. Y: 0.073 (0.024) µmol kgBW<sup>-1</sup> min<sup>-1</sup>, p = 0.014). This difference was largely retained when S<sub>i</sub> was corrected for FFM, although it no longer reached statistical significance (p = 0.069, Figure 2b). This may suggest that a small part of the difference in peripheral insulin sensitivity between young and old adults is related to lean mass. Insulin-mediated suppression of endogenous glucose production (%EGP suppression, p = 0.850, Figure 2c) was not different between

young and old. RER in basal conditions was also comparable between older and young individuals (p = 0.174, Figure 2d). Likewise, upon insulin stimulation, RER was found to be equal between the older and young groups (p = 0.740, Figure 2d). As a result, the change in RER upon insulin stimulation (known as metabolic flexibility) was also not different between older and young individuals (0.10 (0.05) vs. 0.09 (0.03), respectively, p = 0.603).



**Figure 2** Effect of aging on insulin sensitivity and substrate selection. **a** Whole-body insulin sensitivity based on the M-value measured during a hyperinsulinemic-euglycemic clamp. **b** Insulin-stimulated glucose uptake, corrected for plasma insulin and glucose levels ( $S_i$ ) and expressed per kg FFM. **c** EGP suppression, calculated as the percentage insulin-suppressed EGP from the basal EGP **d** Respiratory exchange ratio measured before, in resting conditions (Basal), and during insulin stimulation (Insulin). Dark grey bars represent the young individuals (Y, n = 17), light grey bars represent older individuals (O, n = 15). One participant from O was excluded for analysis due to a violation of the protocol instructions. For one O participant, tracer data could not be analyzed. Values are presented as mean  $\pm$  SD (with individual data points), p = 0.05 denotes a significant difference between the two groups (two-sided, independent samples t-test). M-value = Mean glucose infusion rate; BW = body weight; S<sub>i</sub> = insulin-stimulated glucose disposal; FFM = fat-free mass; EGP = endogenous glucose production.

#### Less gait stability but comparable adaptability in older adults

To further determine physical function, walking stability was examined via step variability during unperturbed walking trials at multiple speeds on a treadmill and via stability of the body configuration (margin of stability; MoS; (27)) in response to treadmill belt acceleration perturbations causing forward balance loss during walking (see Methods for protocol details). Compared to young, older participants had a larger step length variability during unperturbed walking ( $F_{(1, 30)} = 7.077$ , p = 0.012; significant pairwise comparisons at 1.2, 1.4, and 1.6 m s<sup>-1</sup>; Supplementary Figure 1), but no other significant differences were found. During the walking perturbation assessments, group comparisons for the entire perturbation trial revealed a significant difference in the margin of stability (MoS) during the first perturbation (F(1, 28) = 7.7, p = 0.010) but not the second and ninth perturbations, indicating that older adults initially perform poorer than young adults, but the ability of older adults to adapt gait in response to repeated perturbations is intact (Supplementary Figure 2a). More detailed results regarding gait stability and stepping behavior can be found in the supplementary notes and accompanying figures.

#### Lower ex vivo and in vivo mitochondrial function in older adults

Skeletal muscle mitochondrial capacity was determined both ex vivo in permeabilized muscle fibers and in vivo via non-invasive assessment of PCr recovery rates using <sup>31</sup>P-MR spectroscopy. In permeabilized muscle fibers, mitochondrial state 2 respiration (i.e., respiration in the presence of substrate alone) was similar between young and older adults on most substrate combinations, apart from malate + octanoyl-carnitine, which showed lower respiration rates in older individuals (MO, p = 0.044, Figure 3a). ADP-stimulated (state 3) respiration, fueled by complex I-linked substrates (malate + glutamate, MG, p = 0.032, Figure 3b), was lower in older participants whereas state 3 respiration upon a lipid substrate (MO, Figure 3c) was not significantly different between young and old (p > 0.05). ADPstimulated respiration upon parallel electron input to both Complex I and II was also lower in older compared to young individuals. Thus, state 3 respiration upon malate + octanoyl-carnitine + qlutamate (MOG, p = 0.014, Figure 3d), malate + octanoylcarnitine + glutamate + succinate (MOGS, p = 0.006, Figure 3d) and malate + glutamate + succinate (MGS, p = 0.077, Figure 6d) was  $\sim 11-15\%$  lower in the older adults as compared to the young individuals, although the latter did not reach

#### Chapter 3

statistical significance. Maximal FCCP-induced uncoupled respiration, reflecting the maximal capacity of the electron transport chain, was ~17% lower in older adults compared to young (State 3u, p = 0.008, Figure 3e). State 4o respiration, reflecting mitochondrial proton leak, was similar between the young and older individuals (p = 0.379, Figure 3f). The negligible increase in oxygen consumption upon cytochrome C (2.30 (3.24) vs. 2.27 (2.26) % in young vs. old, respectively) underscores the viability and quality of the muscle fibers and was similar in both groups (p = 0.976).

Assessment of in vivo mitochondrial function revealed a ~16% lower PCr recovery rate constant in older adults as compared to young (p = 0.003, Figure 3g), further confirming a decreased mitochondrial oxidative capacity in older individuals. The exercise-induced PCr depletion and end-exercise pH were similar between young and old (p > 0.05) and pH remained above 6.9, indicating no substantial exercise-induced acidification in either group.

To complement the in vivo and ex vivo mitochondrial function measurements, mitochondrial content was estimated by mitochondrial Oxidative Phosphorylation (OXPHOS) protein expression. However, young and older adults displayed a similar expression of each of the five OXPHOS proteins (p > 0.05, Figure 3h).



Figure 3 Effect of aging on skeletal muscle mitochondrial function and density

Figure 3 Effect of aging on skeletal muscle mitochondrial function and density. a Mitochondrial respiration upon substrates only (state 2) (Y, n = 15; O = 17). **b** ADPstimulated respiration (state 3) respiration fueled by Complex I-linked substrates (Y, n = 15: O = 17). c State 3 upon a lipid substrate (Y, n = 15; O = 17). d Maximal ex vivo state 3 respiration upon parallel electron input into Complex II and I (Y, n = 15; O = 17). e Maximal uncoupled respiration upon FCCP (Y, n = 15; O = 17). f Mitochondrial respiration uncoupled from ATP synthesis (state 4o) (Y, n = 15; O = 17).  $g^{31}P-MRS$  in vivo assessment of mitochondrial oxidative capacity ( $\kappa$ ) (Y, n = 15; O, n = 16). h Mitochondrial protein expression of oxidative phosphorylation (OXPHOS) complex I, complex II, complex III, complex IV, and complex V (Y, n = 15; O, n = 17). Dark grey bars represent the young individuals (Y); light grey bars represent older individuals (O). In one Y no biopsy was performed due to technical issues and, in another Y, due to the implications of the SARS-CoV-19 outbreak. For the latter reason, also PCr recovery data is missing in the same Y participant. PCr data from one O has been excluded from analysis due to a pH decline below 6.9. PCr data from a Y participant has been excluded due to issues regarding the analysis. Values are presented as mean ± SD (with individual data points), \* denotes significant differences between the two groups (p < 0.05, two-sided, independent samples ttest). M = malate; O = octanoyl-carnitine; G = glutamate; S = succinate;  $\kappa$  = phosphocreatine resynthesizes rate constant.

#### Exercise training affects mitochondrial function and muscle health

Next, we compared muscle function and mitochondrial capacity of the same older adults with normal PA (O, n = 17) to trained older adults (TO, n = 19) as well as to older adults with a low physical function (IO, n = 6). Table 2 summarizes the main participant characteristics of these three older groups. Sex distribution was comparable across the groups (p = 0.982). Average age was 71 (4) years for the older participants with normal PA (O) and 72 (5) years for the physically impaired older adults (IO), whereas TO were slightly younger at 68 (2) years in comparison with O (p = 0.023). In accordance with their training status, TO displayed a lower BMI and a lower body fat mass percentage as compared to both O (p = 0.046 and p= 0.050, respectively) and IO (p = 0.024 and p = 0.021, respectively). FFM was comparable (p > 0.05) across the three groups. TO displayed a higher average step count per day as compared to O (p = 0.050) and IO (p = 0.004), further underscoring their active lifestyle. TO also spent a significantly higher proportion of their waking time at a high intensity level of PA compared to O (p = 0.049) and IO (p = 0.005). Proportion of the waking time spent at a low intensity level of PA was similar between TO and O and between TO and IO (p > 0.05) and higher in O compared to IO (p = 0.038). As anticipated, IO performed significantly poorer on the chair-stand test as compared to O (p = 0.015) and TO (p = 0.001). Walking speed during the 4meter walk test was also lower in IO when compared to TO (p = 0.009) but not to O (p = 0.227).

	Older adults, normal physical activity (O)	Trained older adults (TO)	Physically impaired older adults (IO)
Ν	17	19	6
Gender F/M <sup>†</sup>	8/9	8/11	3/3
Age (years)	71 (4)	68 (2) <sup>b</sup>	72 (5) <sup>a,b</sup>
BMI (kg m <sup>-2</sup> )	25.8 (3.3) <sup>a</sup>	23.6 (1.9) <sup>b</sup>	27.0 (2.3) <sup>a</sup>
Body weight (kg)	74.0 (11.9)	68.3 (8.8)	79.0 (7.2)
Fat mass (%)	33.1 (9.2)	26.2 (7.7) <sup>b</sup>	37.4 (9.0) <sup>a</sup>
Fat mass (kg)	24.5 (7.9) <sup>a</sup>	17.6 (7.1) <sup>b</sup>	29.4 (7.9) <sup>a</sup>
Fat free mass (kg)	49.5 (10.8)	50.7 (9.7)	49.6 (10.8)
Steps/day	10,207 (2,832)	13,815 (5,934) <sup>b</sup>	6,608 (1,765) <sup>a</sup>
HPA time (% of wake time)	2.2 (1.2) <sup>a</sup>	5.3 (3.9) <sup>b</sup>	1.0 (0.6) <sup>a</sup>
LPA time (% of wake time)	11.7 (2.6) <sup>a</sup>	10.8 (2.9)	8.3 (1.5) <sup>b</sup>
SPPB 4m walk speed (m s <sup>-1</sup> )	1.1 (0.2)	1.3 (0.2) <sup>a</sup>	1.0 (0.3) <sup>b</sup>
SPPB Chair-stand test (s)	10.1 (1.4)	9.0 (2.1) <sup>a</sup>	13.30 (4.8) <sup>b</sup>

Table 2 Participant body composition, physical activity, and physical function.

Values are presented as mean (SD). Age and HPA time were analyzed by two-sided Kruskal-Wallis tests followed by Bonferroni correction; other data were analyzed by one-way ANOVA with Tukey's post-hoc test. <sup>a,b</sup> Groups that do not share the same letter are significantly different from each other (p < 0.05). <sup>†</sup> Sex distribution across groups was tested by  $\chi^2$  tests (p = 0.982). BMI = body mass index; HPA = high-intensity physical activity; LPA = low-intensity physical activity; SPPB = short physical performance battery.

# Higher muscle strength, volume, and endurance in trained older adults

During the 6MWT, IO covered ~22% less distance compared to the O group (p = 0.013, Figure 4a) and ~29% less distance compared to the TO group (p = 0.005, Figure 4a). Not surprisingly, the trained older individuals displayed the highest cardiorespiratory fitness levels, as exemplified by an average VO<sub>2</sub>max which was ~1.2-fold and ~1.4-fold higher in comparison with O (p = 0.003) and IO (p = 0.004), respectively (Figure 4b). Accordingly, maximal power output was higher in TO as compared to O and IO (p < 0.001 and p < 0.001 respectively, Figure 4c). TO participants also showed the highest muscle strength, whereas muscle strength was lowest in the physically impaired older adults. Thus, isokinetic extension peak torque (Figure 4d) was ~24% and ~27% lower in the IO group as compared to O (p = 0.026) and TO (p = 0.006), respectively. Furthermore, the isokinetic flexion peak

torque (Figure 4d) was found to be highest in the TO group compared to the O group (~1.1-fold, p = 0.046) and IO group (~1.5-fold, p < 0.001). Muscle volume, measured in the upper leg by MRI, was not significantly different between the three groups (p > 0.05, Figure 4e), which was in accordance with the observed FFM.



# Aging and Skeletal Muscle and Mitochondrial Health

**Figure 4** Effect of exercise training and physical impairment on muscle health and exercise efficiency in older adults.

Figure 4 Effect of exercise training and physical impairment on muscle health and exercise efficiency in older adults. a Walking distance during the 6MWT performed on the Carensystem (O, n = 17; TO, n = 17; IO, n = 6). **b** Maximum rate of oxygen consumption measured during graded cycling exercise (O, n = 17; TO, n = 19; IO, n = 6). c Maximal power output measured during graded cycling test (O, n = 17; TO, n = 19; IO, n = 6). d Muscle strength expressed as the extension and flexion peak torque during an isokinetic protocol on the Biodex system and corrected for fat-free mass (O, n = 17; TO, n = 19; IO, n = 6). e Upper leg muscle volume measured by MRI (O, n = 17; TO, n = 18; IO, n = 6). f Resting energy expenditure adjusted for FFM (O, n = 17; TO, n = 19; IO, n = 5), a Respiratory exchange rate measured before, in resting conditions, and during submaximal exercise (O, n = 17; TO, n = 19; IO, n = 5). h Exercise efficiency measured during a submaximal cycle test and expressed as gross efficiency and net efficiency (O, n = 17; TO, n = 19; IO, n = 5). Light grey bars represent normally active older adults (O); dark grey bars represent trained older adults (TO); white bars represent physically impaired older adults (IO). Upon the advice of the responsible medical doctor, one IO participant did not perform a maximal and submaximal. One TO participant did not perform the 6MWT due to scheduling issues. The reported 6MWT distance from another TO was invalid and therefore excluded for analysis. Data presented in panels a and c were analyzed by two-sided Kruskal-Wallis tests followed by Bonferroni correction. Data presented in panels b, d-h were analyzed by one-way ANOVA with Tukey's post-hoc test. Values are presented as mean ± SD (with individual data points), \* denotes significant differences between two groups (p < 0.05). 6MWT = 6-minute walk test distance;  $VO_2max = maximal aerobic capacity; Nm = newton$ meters; FFM = fat-free mass; RER = respiratory exchange rate; REE = resting energy expenditure; EE = exercise efficiency.

#### Higher exercise efficiency in trained older adults

Resting energy expenditure was comparable across the three older adult groups (p > 0.05, Figure 4f) and RER was also similar between the groups (p > 0.05, Figure 4g). Furthermore, during the 1-hour submaximal cycling test at 50% of  $W_{max}$ , no differences were observed in RER in TO as compared to O and IO (p > 0.05, Figure 4g). TO showed a higher gross exercise efficiency in comparison to O (p = 0.001) and in comparison with IO (p < 0001, Figure 4h) Likewise, the net exercise efficiency was higher in TO compared to both the O individuals (p = 0.006) and the IO group (p = 0.002, Figure 4h).

# Higher whole-body insulin sensitivity in trained older adults

Glucose infusion rate during the clamp (M-value) was ~1.3-fold higher in TO when compared to O (p = 0.023, Figure 5a), indicating a higher whole-body insulin sensitivity in TO. Peripheral insulin sensitivity (S<sub>i</sub>) was also higher in TO compared to O when expressed per kg body weight, which tended to be significant (TO: 0.066 (0.025) µmol kgBW min<sup>-1</sup> vs. O: 0.050 (0.028) µmol kgBW min<sup>-1</sup>, respectively, p =

0.072). When S<sub>i</sub> was corrected for FFM, no significant difference was observed between TO and O (p = 0.165, Figure 5b). Insulin-mediated suppression of EGP (Figure 5c) was similar in TO and O. Also RER was comparable between TO and O, both in the basal state (p = 0.839, Figure 5d) and upon insulin stimulation (p = 0.353, Figure 5d). As a result, metabolic flexibility was also comparable between TO and O (0.11 (0.04) vs. 0.10 (0.05), respectively, p = 0.261).



**Figure 5** Effect of exercise training on insulin sensitivity and substrate selection in older adults. **a** Whole-body insulin sensitivity based on the M-value measured during a hyperinsulinemic-euglycemic clamp. **b** Insulin-stimulated glucose uptake corrected for plasma insulin and glucose levels ( $S_i$ ) and expressed per kg FFM **c** EGP suppression, calculated as the percentage insulin-suppressed EGP from the basal EGP. **d** Respiratory exchange ratio measured before, in resting conditions (Basal), and during insulin stimulation (Insulin). Light grey bars represent normally active older adults (O, n = 15); dark grey bars represent trained older adults (TO, n = 19). Because only 3 IO participants could undergo the clamp, these results were not considered. One O participant tracer data could not be analyzed. Values are presented as mean  $\pm$  SD (with individual data points), \* denotes significant differences between the two groups (p < 0.05 two-sided, independent samples t-test). M-value = Mean glucose infusion rate; BW = body weight; S<sub>i</sub> = insulin-stimulated glucose disposal; FFM = fat-free mass; EGP = endogenous glucose production.

# Comparable gait stability in normally active and trained older adults

When comparing O, TO and IO, no significant differences were observed for gait variability during unperturbed walking (Supplementary Figure 3 and 4). During the gait stability assessments, when considering the entire perturbation trial (Supplementary Figure 5a), MoS was not significantly different between O and TO for perturbation 1, 2 and 9, indicating no significant stability or stepping behavior difference between O and TO. More detailed results regarding the gait variability, gait stability, and adaptability can be found in the supplementary notes and accompanying figures.

## Higher mitochondrial function in trained older adults

In permeabilized muscle fibers, mitochondrial state 2 respiration was significantly higher in the TO individuals compared to O on all of the substrate combinations studied (Figure 6a, p < 0.05). Also, ADP-stimulated (state 3) respiration on complex I-linked substrates as well as a lipid substrate was higher in TO compared to O. Thus, state 3 respiration fueled by malate + glutamate (MG) and malate + octanoylcarnitine (MO) were ~1.2-fold and ~1.4-fold higher in TO as compared to O (p = 0.049 and p < 0.001, respectively (Figure 6b–c), ADP-stimulated respiration upon parallel electron input to both Complex I and II was also higher in TO compared to O. Thus, state 3 respiration upon malate + octanoyl-carnitine + glutamate (MOG, p = 0.001), malate + octanoyl-carnitine + glutamate + succinate (MOGS, p = 0.002) and malate + glutamate + succinate (MGS, p = 0.018) was ~1.2-1.3-fold higher in TO compared to O (Figure 6d). Maximal FCCP-induced uncoupled respiration was ~1.4-fold higher in TO compared to O (state 3u, p = 0.001, Figure 6e). State 4o (leak) respiration was higher in the TO group compared to both O ( $\sim$ 1.3-fold, p = 0.006, Figure 14f) and IO individuals (~1.3-fold higher, p = 0.026, Figure 6f). Across the different respiratory states, oxygen consumption in permeabilized muscle fibers derived from IO appeared to be comparable to the respiration rates observed in O. However, due to the small sample size and large variation of the IO group, the differences between the IO and TO groups did not reach statistical significance in all respiratory states except for state 4o.

The negligible increase in oxygen consumption upon cytochrome C (2.61 (1.85) % vs. 2.27 (2.26) % vs. 0.33 (1.54) %, in TO vs. O vs. IO, respectively) underscores the

viability and quality of the muscle fibers and was similar between the study groups (p > 0.05).

Similar to the observed mitochondrial respiration rates in permeabilized muscle fibers, the TO group also displayed a ~1.2-fold and ~1.3-fold higher PCr recovery rate constant as compared to O and IO, respectively, although these differences did not reach statistical significance (TO vs. O p = 0.169; TO vs. OI, p = 0.135; O vs. IO p = 0.815; Figure 6g). The exercise-induced PCr depletion and pH was similar across the three study groups (p > 0.05) and pH remained above 6.9 indicating no substantial exercise-induced acidification.

Protein expression of OXPHOS complex I and III was higher in TO compared to O (p = 0.010 and p = 0.042, respectively, Figure 6h). Furthermore, protein expression of complex II (p = 0.003 and p = 0.025, respectively) and complex IV (p < 0.001 and p = 0.003, respectively) was higher in TO compared to both O and IO.



**Figure 6** Effect of exercise training and physical impairment on skeletal muscle mitochondrial respiration and density in older adults.

Figure 6 Effect of exercise training and physical impairment on skeletal muscle mitochondrial respiration and density in older adults. a Mitochondrial respiration upon substrates only (state 2) (O, n = 17; TO, n = 19; IO, n = 6), **b** ADP-stimulated respiration (state 3) fueled by Complex I-linked substrates (O, n = 17; TO, n = 19; IO, n = 6). c State 3 respiration upon a lipid substrate (O, n = 17; TO, n = 19; IO, n = 6). d Maximal ex vivo state 3 respiration upon parallel electron input into Complex I and II (O, n = 17; TO, n = 19; IO, n = 6). e Maximal uncoupled respiration upon FCCP (O, n = 17; TO, n = 19; IO, n = 6). f Mitochondrial respiration uncoupled from ATP synthesis (state 4o) (O, n = 17; TO, n = 19; IO, n = 6), **q** In vivo <sup>31</sup>P-MRS estimate of mitochondrial oxidative capacity  $\kappa$  (O, n = 16; TO, n = 18; IO, n = 6). h Mitochondrial protein expression of oxidative phosphorylation (OXPHOS) complex I, complex II, complex III, complex IV, and complex V (O, n = 17; TO, n = 18; IO, n = 6). Light grey bars represent normally active older adults (O); dark grey bars represent trained older adults (TO); white bars represent physically impaired older adults (IO). PCr data from one O participant has been excluded from analysis due to a pH decline below 6.9. PCr from a TO participant has been excluded due to issues regarding the analysis. From one TO not enough muscle tissue was available for the OXPHOS analysis. Data presented in panels a and g were analyzed by two-sided Kruskal-Wallis tests followed by Bonferroni correction. Data presented in panels b-f and h were analyzed by one-way ANOVA with Tukey's post-hoc test. Values are presented as mean ± SD (with individual data points), \* denotes significant differences between two groups (p < 0.05). M = malate: O = octanoylcarnitine: G = glutamate: S = succinate:  $\kappa$  = phosphocreatine resynthesis rate constant

#### Mitochondrial and muscle function and exercise efficiency are related

To further explore the relationship between mitochondrial energetics, muscle quality and physical function, correlations were performed based on the combined data from the entire study cohort. Pearson correlation outcomes are summarized in Supplementary Table 2 and illustrated in Figure 7. As anticipated, correlations were observed between the different measures for mitochondrial function and oxidative capacity (ex vivo respiration, in vivo PCr recovery rate and VO2max, Figure 7a). Furthermore, measures for ex vivo mitochondrial capacity – but not in vivo PCr recovery rate constant - were found to significantly correlate with 6MWT, M-value and steps per day as markers for walking performance, insulin sensitivity, and physical activity, respectively (Supplementary Table 2, Figure 7b-c). Both step length variability and double support time variability correlated with measures of in vivo and ex vivo mitochondrial function (Supplementary Table 2, Figure 7e-f). Additionally, ex vivo mitochondrial coupled respiration rates were found to correlate significantly with gross exercise efficiency (Supplementary Table 2, Figure 7d). Interestingly, gross and net exercise efficiency showed the strongest correlations with multiple aspects of muscle health including endurance, physical function, muscle strength, insulin

#### Chapter 3

sensitivity, gait stability, and physical activity, as illustrated by the significant correlations with VO<sub>2</sub>max, 6MWT, the chair-stand test, isokinetic extension, M-value, S<sub>i</sub>, recovery steps after perturbation, and steps per day (Supplementary Table 2, Figure 7g-h), respectively.

Partial correlation analyses adjusted for age, sex, and BMI are shown in Table 3. Also, after adjustment, correlations were observed between the different measures for mitochondrial function and oxidative capacity (ex vivo respiration, in vivo PCr recovery rate and VO<sub>2</sub>max).

Interestingly, maximal ex vivo mitochondrial respiration rates were found to significantly correlate with steps per day, a marker for PA. In addition, ex vivo mitochondrial capacity – but not in vivo PCr recovery rate constant – were significantly correlated with gait stability assessed by double step support variability and insulin sensitivity as assessed by the M-value. Moreover, ex vivo mitochondrial coupled respiration rates were found to correlate significantly with gross exercise efficiency. Interestingly, gross and net exercise efficiency showed, also after adjustment, the strongest correlations with multiple aspects of muscle health including endurance, physical function, insulin sensitivity, and PA. The correlation between exercise efficiency and step variability was no longer present after adjustment for age and BMI.



Figure 7 Bivariate correlations between mitochondrial function and physical muscle function.

Figure 7 Bivariate correlations between mitochondrial function and physical muscle function. a Correlation between maximal exercise capacity and maximal coupled mitochondrial respiration, b Correlation between physical activity and maximal coupled mitochondrial respiration. c Correlation between whole-body insulin sensitivity and maximal uncoupled mitochondrial respiration. d Correlation between gross exercise efficiency and maximal uncoupled mitochondrial respiration. e Correlation between step variability and maximal uncoupled mitochondrial respiration f Correlation between step variability and in vivo PCr recovery rate constant. q Correlation between walking performance and gross exercise efficiency. h Correlation between SPPB chair-stand test and gross exercise efficiency. Dark grey diamonds indicate young normally active individuals (Y), light grey squares indicate normally active older adults (O), white triangles indicate physically impaired older adults individuals (IO), and dark grey circles indicate trained older adults (TO). \* indicates the correlation is significant at the 0.05 level (2-tailed, p < 0.05); Best-fit trend line and 95% confidence intervals are included. MOGS3 = state 3 respiration upon malate + octanoylcarnitine + glutamate + succinate: 3u = state 3 uncoupled respiration upon FCCP;  $\kappa =$ phosphocreatine resynthesis rate constant; 6MWT = 6-minute walk test; VO<sub>2</sub>max = maximal aerobic capacity; FFM = fat-free mass; Nm = newton meters; M-value = Mean glucose infusion rate: r = Pearson correlation coefficient

Measurement	Variables		6MWT	<sup>†</sup> Chair-	VO <sub>2</sub> max	Isokinetic	Isokinetic	M-value	Si	Daily	#Step	#Double	Gross
			(m)	stand test	(ml min <sup>-1</sup>	extension	flexion	(µmol kg⁻¹	(µmol	steps	length	support	efficiency
				(s)	kgFFM⁻¹)	(Nm	(Nm	min⁻¹)	kgFFM <sup>-1</sup>	(counts	variability	time	(%)
						kgFFM⁻¹)	kgFFM⁻¹)		min <sup>-1</sup> )	day⁻¹)		variability	
Mitochondrial function	Maximal coupled respiration	r	0.165	-0.084	0.416*	0.049	-0.054	0.253	0.062	0.363*	-0.094	-0.293*	0.232
	MOGS3 (pmol s <sup>-1</sup> mg <sup>-1</sup> )	р	0.256	0.548	0.002	0.727	0.699	0.080	0.673	0.009	0.534	0.048	0.101
	Maximal uncoupled	r	0.109	-0.140	0.512*	0.077	-0.036	0.317*	0.169	0.422*	-0.170	-0.378*	0.304*
	respiration (pmol s <sup>-1</sup> mg <sup>-1</sup> )	р	0.458	0.318	<0.001	0.584	0.798	0.026	0.250	0.002	0.258	0.010	0.030
	PCr recovery rate constant κ	r	0.191	-0.037	0.363*	0.035	-0.024	0.238	0.124	0.305*	-0.216	-0.271	0.233
	(S <sup>-1</sup> )	р	0.194	0.795	0.009	0.807	0.866	0.103	0.406	0.032	0.150	0.068	0.103
Exercise efficiency	Gross efficiency (%)	r	0.597*	-0.478*	0.570*	0.110	0.052	0.424*	0.224	0.361	-0.132	-0.152	
		р	<0.001	<0.001	<0.001	0.434	0.710	0.002	0.121	0.009	0.383	0.315	
	Net efficiency (%)	r	0.521*	0.418*	0.470*	0.003	-0.040	0.397*	0.235	0.273	-0.103	-0.215	
		р	<0.001	0.002	<0.001	0.983	0.778	0.004	0.104	0.052	0.494	0.152	

Table 3 Partial analysis to explore the relationships between energetics and physical muscle function

Data are from all groups (total n > 51). Correlations are adjusted for <sup>†</sup>age, sex, and BMI.\* indicates the partial correlation is significant at the 0.05 level (two-sided, p < 0.05); <sup>†</sup> indicates variables that were log transformed; <sup>#</sup> indicates the correlations corrected for only <sup>†</sup>age and BMI. MOGS3 = state 3 respiration upon malate + octanoyl-carnitine + glutamate + succinate; 6MWT = 6-minute walk test; VO<sub>2</sub>max = maximal oxygen flow; FFM = fat-free mass; Nm = newton meters; M-value = mean glucose infusion rate; S<sub>i</sub> = insulin-stimulated glucose uptake, r = partial correlation coefficient.

# DISCUSSION

Skeletal muscle mitochondrial function has been shown to decline with age and may underlie the decline in muscle health and performance during aging. Although the origins of reduced mitochondrial function with age are a matter of ongoing debate. recent data suggest that PA levels may be a key determinant of mitochondrial energetics in aging (6). Although the data presented here support a role for PA in mitigating the negative effects of sedentary behavior in older adults, we nevertheless show that older adults with normal PA when compared to young adults with equivalent habitual PA levels, display lower mitochondrial capacity despite comparable mitochondrial content. Additionally, the older participants had lower muscle strength, aerobic capacity, exercise efficiency, and gait stability compared to similarly active young adults. Conversely, trained older participants exhibited higher mitochondrial capacity than older adults with normal PA and older adults with impaired physical function, which was associated with improved functional outcomes. Notably, the increase in mitochondrial capacity in the trained older adults was largely accounted for by an increase in mitochondrial content. These data suggest a role for aging-associated reductions in mitochondrial function independent of PA levels or mitochondrial content and may be a contributory factor in physical function decline. These effects appear to be mitigated by exercise training-induced increases in mitochondrial content.

In this study, the young and older adult groups were not engaged in structured exercise activities and both recorded approximately 10,000 steps daily. This is well above the average daily step count reported for older untrained adults in previous studies (6,28) and indicates an overall active lifestyle and adequate levels of PA for a healthy adult (29). Despite similar habitual PA, walking performance and maximal aerobic capacity was lower in the older adults compared to the young controls. These findings are in line with previous observation from a study comparing adults < 65 years and > 65 years, which observed the peak VO<sub>2</sub> and 400 m gait speed to be lower with higher age (5).

With an average of more than 12,500 steps per day and at least 3 exercise sessions per week, the trained older participants in the current study are considered to be

highly physically active, whereas physically impaired older adults averaged less than 7,500 steps per day, reflecting a sedentary lifestyle (29). The differences in habitual PA levels between the groups of older adults were reflected in walking performance and maximal aerobic capacity, confirm previous evidence (6,20) and support the notion that at advanced age, increasing PA by exercise training can help to preserve physical function and performance.

We could not detect a statistical difference in muscle volume between young and older adults with similar levels of PA, indicating that habitual PA may be an important factor in the age-associated loss in muscle volume that has been observed previously (30). In our study, however, training status did not affect muscle volume in older adults, although this may be explained by the fact that the cohort consisted primarily of endurance-trained athletes and endurance training is less likely to improve lean mass compared to strength training (31). Despite the lack of difference in muscle volume, muscle strength was lower in older participants and differences in muscle strength corresponded to habitual PA stratification applied to the three older adults groups, which is in agreement with previous comparisons between active and sedentary older adults (6,32).

Exercise efficiency refers to the energetic cost of physical activities such as walking and decreases in exercise efficiency likely contribute to the age-related inefficiency of locomotion and daily activities (21). As expected, lower net exercise efficiency was observed in the older participants compared to young adults in the present study, underlining an increased energy expenditure during exercise in the presence of comparable resting metabolic rate. This observation is in line with the findings from Distefano et al. (6), showing a lower exercise efficiency in older adults when compared to young adults with comparable levels of PA (~8,500 vs. ~10,500 steps per day, respectively).

The relative difference of ~15% in exercise efficiency in the trained older adults compared to the older adults with normal PA are similar to two previous studies suggesting that exercise training interventions have the potential to reverse age-related reductions by increasing exercise efficiency up to ~30% in sedentary (33) and endurance-trained volunteers (34). These findings are further supported by a

#### Chapter 3

cross-sectional study observing a higher exercise efficiency in athletic adults when compared to sedentary older adults (20). Interestingly, mitochondrial dysfunction has been implicated as a key factor in the reduced exercise efficiency with age which indicates mitochondria as the target for intervention to reverse this source of debilitation in older adults (20,21). We observed a strong positive correlation between maximal mitochondrial capacity and gross exercise efficiency suggesting that mitochondrial capacity is indeed a determinant of exercise efficiency in aging.

Older adults responded in a less effective manner to the first perturbation when compared to the young participants, underlining the higher risk of falls in this population (7), even when PA is comparable. This observation confirms numerous other studies demonstrating age related differences in the ability to cope with such perturbations (for example: (35,36)). As PA was comparable between young and older adults in this study, it might be inferred that an increased fall risk with age cannot be entirely attributed to a decrease in PA, as is often suggested. During unperturbed walking, most spatiotemporal parameters and their variability were not affected by age, indicating that perhaps steady-state walking is more readily preserved with PA. Nevertheless, this only seems to be confirmed to a certain extent, as the trained older adults in the current study did not have significantly different unperturbed gait outcomes, compared to the normally active older adults.

One previous study reported that in a mixed group of older and younger adults, those who were recreational runners performed better on a forward falling task than the non-active participants (37). This pattern was not observed in the current study, as there were no clear differences between the TO and O groups on the perturbation tasks. It could be argued that this may be partly due to the fact that the O group actually had quite a high habitual PA but this did not seem to result in a lack of difference to the young adults. Another possibility is that the current gait perturbation task, which is more dynamic and in which it is practically impossible to predict and prepare for the perturbations, is simply more complex than the 'forward lean and release task' in such a way that high levels of PA do not benefit the performance of the task as much.

The ability to improve stability recovery following repeated gait perturbations was preserved in older adults with normal PA when compared to young adults.

Furthermore, both the O and TO groups significantly reduced their required recovery steps by the ninth perturbation. These results confirm many previous reports of adaptability to gait perturbations being preserved in older age and reinforce the potential value of perturbation-based balance training in this population (38–41). However, it does appear that exercise training in older participants does not lead to a better ability to adapt to perturbations. A recent review paper (82) highlighted that reduced adaptability to perturbations is mainly seen in populations with disrupted sensory and nervous systems. As the O and TO participants of the present study were in good health, adaptability may have already been sufficient in both groups, with little room for improvement through physical activity.

In the current study, we found that both in vivo PCr recovery rate constant and ex vivo mitochondrial respiration in skeletal muscle was lower in older compared to young adults. It is important to note that in the present study the decrease in mitochondrial function has been observed in older adults with PA levels being well above the general recommendations (42). These results suggest that maintaining a healthy level of PA is not sufficient to prevent an age-related decline in mitochondrial function. These findings are in line with the age-related decline in the mitochondrial ATP production rate (MAPR) observed in young and older adults who exercised less than 30 min a week (14,43). An even more prominent age-related decline in MAPR was observed comparing young and older adults who were similarly endurancetrained (43), further hinting at an independent, aging-induced decline in skeletal muscle mitochondrial function. Nevertheless, findings of the present study are in contrast with previous observations by Distefano et al. (6,22), who found no chronological age effect on mitochondrial function in deeply phenotyped young and older adults. This discrepancy could be explained by gender differences, as we included 9 male and 8 female volunteers in both groups while Distefano et al. included 8 male and 2 female participants in each group. Further research is needed to clearly define the effect of aging on skeletal mitochondrial function at different levels of PA and examine if that is different in male versus female volunteers (44).

Interestingly, ex vivo ADP-stimulated mitochondrial respiration in permeabilized muscle fibers was  $\sim 15\%$  lower in older adults when compared to young adults, whereas trained older adults displayed a  $\sim 30\%$  higher respiration in comparison to
#### Chapter 3

older adults with normal PA. These findings support the retainment of skeletal muscle plasticity in response to exercise with aging and indicate that mitochondrial respiratory function can be preserved through exercise (6,43). In line with the present study, other reports indicate that exercise intensity is an important determinant of ameliorating age-related biological functions since rigorous exercise programs (such as high-intensity interval training) - but not modest aerobic activity was able to prevent age-related decline in mitochondrial respiration (45). In contrast with ex vivo assessed mitochondrial respiration results, in vivo mitochondrial function, assessed by the <sup>31</sup>PCr recovery rate constant, was not found to be different between the older adult groups. This discrepancy could be explained by the fact that <sup>31</sup>P-MRS may be confounded by limiting systemic factors such as adequate perfusion and oxygen delivery during and after exercise (46), and other characteristics of the microenvironment. Interestingly, mitochondrial density, estimated by OXPHOS protein levels, appeared to be similar between young and older participants, indicating that the age-related loss in mitochondrial function is not explained by a lower mitochondrial mass. In trained older adults, however, OXPHOS proteins were higher, suggesting that increased levels of mitochondrial content do underlie the positive effect of exercise training on mitochondrial function in older individuals. These results suggest that aging and exercise training affect mitochondrial function via distinct mechanisms. We did not observe a difference in either ex vivo or in vivo skeletal muscle mitochondrial capacity in physically impaired older individuals as compared to healthy older adults with normal PA. Due to the small sample size of this group, however, these outcomes should be interpreted with caution.

To further explore the relation between mitochondrial oxidative capacity and multiple aspects of muscle health, we subjected the entire cohort (n = 59) to correlative analyses. We found that besides ex vivo mitochondrial capacity also in vivo mitochondrial function associated with maximal aerobic capacity and exercise efficiency, which further supports that mitochondrial energetics are likely important factors in loss of muscle function with aging. Similar results have recently been found by Distefano et al. (6) and Gonzalez-Freire et al. (47). Moreover, mitochondrial function correlated with measures of gait variability, indicating that mitochondrial function potentially influences the efficiency and consistency of the gait pattern.

Interestingly, we found that exercise efficiency correlated strongly with mitochondrial respiratory capacity, as well as with physical function parameters such as the 6MWT and the chair stand test. Taken together, our data support a role for mitochondrial capacity and in maintaining muscle function and indicate that exercise efficiency may be a good proxy for skeletal muscle mitochondrial capacity and muscle health.

The isolated impact of aging on skeletal muscle is difficult to unravel from the many other factors that change concurrently with aging, including decreased PA and increased adiposity (48). Despite similar levels of habitual of PA in the current study, body fat was higher and (whole-body) insulin sensitivity was lower in the older participants when compared to young. In comparison with older adults with normal PA. trained older adults displayed higher levels of whole-body insulin sensitivity. underscoring the ability of exercise training to improve insulin sensitivity and glucose uptake, also at an older age. However, since young and trained older adults also featured a lower adiposity, differences in body composition may (partly) mediate the observed differences in insulin sensitivity, independent of mitochondrial function. Increased adiposity in older participants has also been negatively associated with muscle function, independent of muscle mass (49). Furthermore, unhealthy aging is characterized by an augmented accumulation of intramyocellular lipids (6,28), which could influence muscle function (6) and insulin sensitivity (50). Moreover, differences in BMI and body composition, inherent to the different study group characteristics, have been suggested to also influence skeletal muscle mitochondrial capacity (22,51). Taken together, our data indicate that body fat accumulation with increasing age may be a contributing factor in potentiating the loss of muscle quality in older adults and suggest that high-intensity physical activity may be necessary to minimize changes in body composition over the lifespan and to mitigate muscle aging.

Our results suggest that, despite maintaining an adequate physical activity level, aging is associated with low in vivo and ex vivo mitochondrial capacity, maximal aerobic capacity, exercise efficiency, gait stability, muscle strength, insulin sensitivity, physical function, and increased body fat. Nevertheless, increasing physical activity through regular exercise training partially protects against these age-related declines in (mitochondrial) oxidative capacity and muscle health. Finally, we showed that mitochondrial capacity was positively associated with exercise

#### Chapter 3

efficiency and insulin sensitivity, supporting the idea that mitochondria represent a promising therapeutic target to negate the aging-associated deterioration of skeletal muscle health in order to preserve physical function and performance.

#### METHODS

#### **Participants**

Fifty-nine participants, including 17 young (9 male and 8 female) and 42 older individuals (23 male and 19 female), were recruited in the community of Maastricht and its surroundings through advertisements placed on the Maastricht University campus, in newspapers, supermarkets, and at sports clubs. The study was conducted in accordance with the principles of the declaration of Helsinki and approved by the Ethics Committee of the Maastricht University Medical Center+. All participants provided their written informed consent, and the study was registered at clinicaltrials.gov with identifier NCT03666013.

Prior to inclusion, all participants underwent a medical screening that included a medical questionnaire, a physical examination by a physician, and an assessment of physical function by means of the Short Physical Performance Battery, which includes a standing balance test, a 4-m walk test, and a chair-stand test. The SPPB score was calculated according to the cut-off points determined by Guralnik et al. (52). A sitting blood pressure measurement and an electrocardiogram (ECG) were also performed. After the screening procedure, participants were assigned to the following study groups: young individuals with normal physical activity (Y, 20-30 years), older adults with normal physical activity (O, 65-80 years), trained older adults (TO, 65-80 years) and physically impaired older adults (IO, 65-80 years). Participants were considered normally physically active if they completed no more than one structured exercise session per week, whereas participants were considered trained if they engaged in at least three structured exercise sessions of at least 1 hour each per week for an uninterrupted period of at least the past year. Participants were classified as older adults with impaired physical function (IO) in case of an SPPB score of  $\leq$  9. Upon inclusion, further details on habitual physical activity levels were obtained during the study using accelerometry (ActivPAL).

Exclusion criteria were contra-indications for MRI examination, uncontrolled hypertension, the use of medication that could interfere with the results of the study,

medical history of cardiovascular disease, type 2 diabetes mellitus, or other health problems that may hamper the safety of the individual during participation. Impairments in parameters of liver and kidney function were examined via determination of plasma aspartate aminotransferase (ASAT), alanine aminotransferase (ALAT), gamma-glutamyltransferase (γGT), bilirubin, and creatine.

#### Experimental design

This cross-sectional study was conducted at the Maastricht University Medical Centre+, The Netherlands, between September 2017 and March 2020.

The detailed assessment of muscle health consisted of various measurements divided over five study visits, equally distributed over a period of 5 weeks to allow for sufficient recovery between each visit and prevent interference between the various measurements (Supplementary Table 1). During their participation, participants were instructed to maintain their habitual diet and physical activity pattern, and in the three days preceding the test days, participants refrained from strenuous physical activity.

#### Hyperinsulinemic-euglycemic clamp

A hyperinsulinemic-euglycemic clamp was performed to assess peripheral insulin sensitivity, as previously described (53). Briefly, participants reported to the laboratory at 8 AM after an overnight fast from 10 PM. A fasted blood sample was taken and subsequently, a primed-continuous infusion of d-[6.6-2H2]-glucose  $(0.04 \text{ ml kg}^{-1} \text{ min}^{-1})$  was initiated (54). After 3 hours (t = 180 min), infusion of insulin (40 mU m<sup>-2</sup> min<sup>-1</sup>) was started for a period of 2.5 hours. Based on the continuously monitored plasma glucose concentrations, the glucose infusion rate (GIR) was adjusted to maintain a steady-state plasma glucose level of approximately 5.0 mmol  $L^{-1}$ . Subsequently, whole-body insulin sensitivity was determined by calculating the M-value (mg kg<sup>-1</sup> min<sup>-1</sup>). During the last 30 minutes of basal sampling (t = 150-180 min) and during the last 30 min of the insulin infusion (t = 300-330min), blood samples were collected and indirect calorimetry (Omnical, IDEE, Maastricht, The Netherlands) was performed. Based on the measured oxygen and carbon dioxide concentrations, substrate oxidation rates were calculated using equations with the assumption that protein oxidation was negligible (55). Steele's single pool nonsteady state equations were used, allowing small differences in glucose concentrations to calculate glucose appearance (Ra) and (insulin-stimulated) glucose disposal (Rd) (54). The change in Rd (from baseline to clamp steady-state)

#### Chapter 3

was corrected for plasma insulin and glucose levels during the clamp  $(S_i)$ , as described in Remie et al. (53). Endogenous glucose production (EGP) was calculated as Ra minus exogenous glucose infusion rate.

Three individuals in the IO group were excluded from participation in the clamp on the grounds of safety. As a result, the small number (n = 3) remaining made a comparison unviable and we therefore excluded the IO group from the final insulin sensitivity comparisons.

#### Maximal aerobic capacity

Maximal aerobic capacity ( $\dot{V}O_2max$ ) was assessed with concurrent ECG during a graded cycling test until exhaustion, as described previously (56). Briefly, after a warming-up period of 5 minutes at 50 Watt, the power output was increased every 2.5 minutes by 50 Watt until levels above 80% of the predicted maximal heart rate (= 220 years – age) were observed. After this point, the test continued, and power output was increased every 2.5 minutes by 25 Watt until the participant could no longer pedal above 60 revolutions per minute. Consumed O<sub>2</sub> and expired CO<sub>2</sub> were measured continuously throughout the test using indirect calorimetry (Omnical, IDEE, Maastricht, The Netherlands) to determine  $\dot{V}O_2max$ .

#### Habitual physical activity

Habitual physical activity was determined using an ActivPAL3 monitor (PAL Technologies, Glasgow, Scotland) for a consecutive period of 5 days, including two weekend days. The monitor was wrapped and attached to the skin on the anterior aspect of the upper leg using Tegaderm ( $3M^{TM}$ ) in a waterproof fashion; non-wear was therefore not an issue. Data were uploaded using the software provided by ActivPAL and processed using customized software written in MATLAB R2013b (MathWorks, Natick, MA, USA). Besides the total amount of steps per day, the total stepping time was calculated in proportion to waking time per day. Stepping time (i.e. physical activity) was further classified into high-intensity physical activity (HPA; minutes with a step frequency > 110 steps min<sup>-1</sup> in proportion to waking time) and lower-intensity physical activity (LPA; minutes with a step frequency  $\leq 110$  steps min<sup>-1</sup> in proportion to waking time) and lower-intensity physical activity (LPA; minutes with a step frequency to waking time) (42). Waking time was determined according to Van der Berg et al. (57).

#### **Body composition**

Body composition (fat and fat-free mass) was determined at 8 AM after an overnight fast from 10 PM the previous evening using air displacement plethysmography (BodPod®, COSMED, Inc., Rome, Italy).

#### **Muscle strength**

Muscle contractile performance was measured using the Biodex System 3 Pro dynamometer (Biodex® Medical Systems, Inc., Shirley, NY, USA). For the measurements, the participants were stabilized in the device with shoulder, leg, and abdominal straps to prevent compensatory movement. The test was performed with the left leg in all participants. To measure maximal muscle strength, each participant performed 30 consecutive knee extension and flexion movements (range of motion 120 degrees s<sup>-1</sup>). The peak torque of each extension and flexion was recorded and maximal isokinetic knee-extensor and knee-flexor torque was defined of the highest peak torque and corrected for fat-free mass (Nm kgFFM<sup>-1</sup>).

#### Resting energy expenditure

Resting energy expenditure (REE) and substrate utilization were measured at 8 AM, after an overnight fast from 10 PM the preceding evening. Gas exchange was measured by open-circuit respirometry with an automated ventilated hood system for 45 minutes. During the measurement, participants lay on a bed in a supine position. Data from the first 5 and last 5 minutes were omitted. REE data was adjusted for fat-free mass by calculating 'REE residuals', essentially according to Ravussin et al. (58).

#### Submaximal exercise test and exercise energy expenditure

After assessing resting energy expenditure, participants performed a 1-hour submaximal exercise bout in the fasted state on an electronically braked cycle ergometer. To reach equal levels of exercise intensity, submaximal cycle test was performed at 50% of  $W_{max}$  as measured during the maximal aerobic cycling test. Participants were instructed to pedal at a controlled cadence between 60 and 70 revolutions per minute. To calculate exercise energy expenditure (EEE) and substrate oxidation, O<sub>2</sub> consumption and CO<sub>2</sub> production were recorded using indirect calorimetry for 15 minutes at two time points (t = 15 min and t = 45 min). The submaximal cycle test was performed at, on average, 109 (29) Watt versus 74 (23) Watt in young versus older adults, respectively (p < 0.001). The TO individuals

performed the submaximal cycle test at higher absolute power (on average 97 (28) Watt) in comparison with O (74 (23) Watt, p = 0.030) and IO (60 (26) Watt, p = 0.017).

#### Calculations of energy expenditure and exercise efficiency

Energy expenditure was measured in rest, upon insulin stimulation during the hyperinsulinemic-euglycemic clamp, and during the submaximal cycle test using indirect calorimetry. The Weir equation (59) was used to calculate whole-body energy expenditure from measurements of O<sub>2</sub> consumption and CO<sub>2</sub> production. Carbohydrate and fat oxidation rates were calculated using the non-protein equations by Péronnet and Massicotte (55).

During submaximal exercise test, gross energy efficiency (GEE) was computed as the power output (watts converted to kJ min<sup>-1</sup>) over exercise energy expenditure (in kJ min<sup>-1</sup>) during the 1-hour submaximal bike test and expressed as a ratio (Eq. 1) as described previously (60). Mean values of work, VO<sub>2</sub>, VCO<sub>2</sub> were averaged over 15-minute periods at two time points (t = 15 min and t = 45 min). Data from the first 5 min and last 2 min were omitted.

Eq 1: GEE (%) = 
$$\left(\frac{\text{Work} (kJ \min^{-1})}{\text{EEE}(kJ \min^{-1})}\right)$$
. 100

Net energy efficiency (NEE) was measured from the submaximal test as power output (watts converted to kJ min<sup>-1</sup>) over EE during exercise (EEE) minus resting EE (REE) (Eq. 2) as described by (60). REE was measured on the same day as described above.

Eq 2: NEE (%) = 
$$\left(\frac{\text{Work} (kJ \min^{-1})}{\text{EEE}(kJ \min^{-1}) - \text{REE}(kJ \min^{-1})}\right)$$
. 100

#### Skeletal muscle biopsy

Prior to the submaximal exercise test, a muscle biopsy was taken from the *m. vastus lateralis* under local anesthesia (1.0% lidocaine without epinephrine) according to the Bergström method (61). Part of the biopsy was immediately placed in an ice-cold preservation medium (BIOPS, OROBOROS Instruments, Innsbruck, Austria) and used to measure ex vivo mitochondrial oxidative capacity. The remaining part of the

muscle biopsy was immediately frozen in melting isopentane and stored at -80°C until further analysis.

#### Western blot analysis

Mitochondrial content was assessed by mitochondrial OXPHOS protein expression using western blot analyses in Bioplex-Iysates of human muscle tissue as previously described (62). Equal amounts of protein were loaded 4–12% Bolt gradient gels (Novex, Thermo Fisher Scientific, Bleiswijk, The Netherlands). Proteins were transferred to nitrocellulose with the Trans-Blot Turbo transfer system (Bio-Rad Laboratories). Primary antibodies contained a cocktail of mouse monoclonal antibodies directed against human OXPHOS (dilution 1:10,000; ab110411, Abcam, Cambridge, UK). The hOxPHOS proteins were detected using secondary antibodies conjugated with IRDye680 or IRDye800 and were quantified with the CLx Odyssey Near-Infrared Imager (Li-COR, Westburg, Leusden, The Netherlands).

#### Ex vivo high-resolution respirometry

Permeabilized skeletal muscle fibers were immediately prepared from the muscle tissue collected in the preservation medium, as described previously (63). Subsequently, the permeabilized muscle fibers (~2.5 mg wet weight) were analyzed for mitochondrial function using an oxygraph (OROBOROS Instruments, Innsbruck, Austria), according to Hoeks et al. (63). To prevent oxygen limitation, the respiration chambers were hyper-oxygenated up to ~400  $\mu$ mol L<sup>-1</sup> O<sub>2</sub>. Subsequently, two different multi-substrate/inhibition protocols were used in which substrates and inhibitors were added consecutively at saturating concentrations. State 2 respiration was measured after the addition of malate (4 mmol L<sup>-1</sup>) plus octanoyl-carnitine (50  $\mu$ mol L<sup>-1</sup>) or malate (4 mmol L<sup>-1</sup>) plus glutamate (10 mmol L<sup>-1</sup>). Subsequently, an excess of 2 mmol L<sup>-1</sup> of ADP was added to determine coupled (state 3) respiration. Coupled respiration was then maximized with convergent electron input through Complex I and Complex II by adding succinate (10 mmol L<sup>-1</sup>). Finally, the chemical uncoupler carbonylcyanide-4-(trifluoromethoxy)-phenylhydrazone (FCCP) was titrated to assess the maximal capacity of the electron transport chain (state 3u respiration) or oligomycin (2 µg ml<sup>-1</sup>) was added to assess the respiration not coupled to ATP synthesis (state 40 respiration). The integrity of the outer mitochondrial membrane was assessed by the addition of cytochrome C (10 µmol L-<sup>1</sup>) upon maximal coupled respiration. If cytochrome C increased oxygen consumption

> 15%, the measurement was excluded to assure the viability and quality of the muscle mitochondrial measurement. All measurements were performed in quadruplicate.

#### Magnetic resonance spectroscopy (Muscle volume and PCr recovery)

All magnetic resonance (MR) experiments were performed on the same day on a 3T whole body MRI scanner (Achieva 3T-X; Philips Healthcare, Best, The Netherlands). To standardize food intake, participants consumed a light lunch at noon and remained fasted until completion of all MR experiments. Participants reported to the university at 2:30 PM and were seated in the waiting room for at least 30 minutes to minimize the possible effect of prior muscle activity. At 3 PM, participants were positioned supine in the MR scanner to determine muscle volume with a series of T1-weighted images of the upper leg (slice thickness = 10.0 mm, no gap between slices, in-plane resolution =  $0.78 \times 0.78$  mm). A custom-written MATLAB 2016a script (The Mathworks Inc. Natick, MA, USA) was used to semi-automatically segment adipose tissue and muscle and quantify muscle volume. The muscle segmentation was performed in the consecutive slices between the lower end of the *m. rectus femoris* and the lower end of the *m. gluteus maximus*.

Subsequently, phosphorus magnetic resonance spectroscopy (<sup>31</sup>P-MRS) was performed to measure in vivo mitochondrial function in m. vastus lateralis as previously described (64), using a 6 cm surface coil. A series of 150 unlocalized <sup>31</sup>Pspectra was acquired using the following parameters: single acquisitions (NSA = 1); repetition time (TR) = 4000 ms; spectral bandwidth = 3000 Hz; number of points = 1024. The 150 spectra were divided into 10 spectra at rest, 70 spectra during kneeextension exercise, and 70 spectra during recovery. Exercise within the scanner was performed to an auditory cue (0.5 Hz) in a custom-built knee-extension device with adjustable weight. The intensity was chosen to correspond to 50-60% of the predetermined maximum weight (determined on a separate day). Spectra were analyzed with a custom-made MATLAB 2016a script. PCr, ATP, and inorganic phosphate peaks were fitted, and pH was determined. The PCr recovery was fitted with a mono-exponential function and the rate constant ( $\kappa$  in s<sup>-1</sup>) was determined as previously described (64). The rate constant  $\kappa$  of PCr resynthesis is almost entirely dependent on ATP produced by oxidative phosphorylation and can therefore be used as a parameter of in vivo oxidative capacity (65).

### Walking performance and unperturbed and perturbed walking stability

To further determine physical function, walking performance and stability were quantitatively assessed during a self-paced 6MWT, during multiple fixed-speed gait trials, and during a repeated balance disturbance trial. All tasks were measured using a dual-belt, force plate-instrumented (1000 Hz) treadmill within a virtual environment (Computer Assisted Rehabilitation Environment Extended, CAREN; Motekforce Link, Amsterdam, The Netherlands) and a 12-camera motion capture system (100 Hz; Vicon Motion Systems, Oxford, UK).

The 6MWT began with an explanation and one familiarization session (including two "start-ups" to let participants become accustomed to the self-paced function) on the CAREN prior to completing the recorded 6MWT. The 6-minute walk distance was taken to represent performance (66). To assess gait variability, the means and coefficients of variation of step time, step length, step width, and double support time were measured during fixed-speed trials. This session again began with familiarization trials, followed by recorded trials (2-3 minutes – minimum of 120 steps) at speeds of 0.4–1.8 m s<sup>-1</sup> in 0.2 m s<sup>-1</sup> steps (67). These trials were then analyzed to calculate a stability-normalized walking speed for each participant individually for use in the gait perturbation trial, set for a margin of stability (MoS – see below; (27)) of 0.05 m as described previously (68), ensuring a comparable baseline gait stability for all groups (69).

The perturbation trial included 3 to 4 minutes of unperturbed walking, followed by 10 unannounced unilateral treadmill belt acceleration perturbations (every 30 to 90 seconds) as reported previously (38). The first perturbations to each limb were analyzed (Pert1<sub>R</sub> and Pert2<sub>L</sub>), representing novel disturbances, as well as the ninth perturbation (final left leg perturbation; Pert9<sub>L</sub>) to indicate adaptation in gait following eight repeated perturbations. The anteroposterior MoS at foot touchdown were calculated (27), adapted for our validated reduced kinematic model (70). The MoS was calculated for the following steps: the mean MoS of the eleventh to second last step before each perturbation (Base); the final step before each perturbation (Pre); and the first eight recovery steps following each perturbation (Post1–8).

The number of steps needed to return to pre-perturbation stability was determined by calculating the number of steps within 0.05m of the MoS value of Base for each individual, counting back from the eighth recovery step, using custom written R code (R version 3.6.0).

#### Chapter 3

For all three gait tasks, initial data processing was conducted in MATLAB 2016a. The three-dimensional coordinates of the markers were filtered using a low pass second-order Butterworth filter (zero-phase) with a 12Hz cut-off frequency. Foot touchdown and toe-off were detected using a combined method of force plate data (50 N threshold) and foot marker data, as described in detail previously (67). Only three individuals in the IO group were able to complete the perturbation trial; as such the IO group was excluded from the perturbation analyses.

#### Statistics

Statistical analyses were performed using SPSS 26.0 (IBM, Chicago, IL, USA). Data are reported as mean (SD) unless stated otherwise. Analyses were performed for n = 59 unless specified otherwise in the table and the figure descriptions. The distribution of sex across groups was determined by  $\chi^2$  test. In relation to the first research aim, differences between Y and O were tested by two-sided, independent samples t-test. To address the second research aim, group differences between O, TO, and IO were determined by means of a one-way analysis of variance (ANOVA) with Tukey's post-hoc test or by a two-sided Kruskal-Wallis test with Bonferroni correction, as appropriate. Of note: the same group of older adults with normal physical activity (O) was included in both comparisons.

GraphPad Prism version 8.02 for Windows was used for statistical analysis of the gait tasks (GraphPad Software Inc., La Jolla, California, USA). For the fixed-speed gait trials, mixed-effects models using the restricted maximum likelihood method with group (Y and O or O and TO) and walking speed (repeated measure: 0.4, 0.6, 0.8, 1.0, 1.2, 1.4, and 1.6 m s<sup>-1</sup>) as factors were conducted for the mean gait parameters and their variability. For the perturbation trials, two-way repeated measures ANOVAs with group (Y and O or O and TO) and step (repeated measures; Base, Pre, Post1– 8) as factors were conducted for perturbations Pert1<sub>R</sub>, Pert2<sub>L</sub> and Pert9<sub>L</sub> with Dunnett's or Sidak's tests for multiple comparisons. Regarding the number of recovery steps required, Wilcoxon matched-pairs signed rank tests were used to test for differences between the perturbations with the groups and Mann-Whitney tests were used to compare the groups to each other.

To assess associations between variables, we conducted bivariate Pearson or Spearman correlation and partial correlation analyses corrected for sex, age, and BMI. For all tests p < 0.05 was considered statistically significant.

#### Data availability

Source data for all figures and tables have been provided with this paper (Supplementary Data). All other data supporting the findings of this study are available from the corresponding author upon reasonable request.

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#### Author contributions

L.G. designed and performed the experiments, analyzed the data, and wrote the manuscript. N.J.C., C.M., C.E.F., L.B., Y.M.H.B., J.M., J.A.J., E.M-K., G.S., and B.H., assisted during the experiments, the data analysis, and reviewed and edited the manuscript. V.B.S., J.v.d.B., M.C.E.B., K.M., P.S., and J.H. contributed to the design of the study, interpretation of the data, and reviewed and edited the manuscript.

#### **Conflict of interest**

The authors declare no competing interests. Author M.C.E.B is affiliated with Friesland-Campina and author J.v.d.B is affiliated Danone Nutricia Research. Friesland-Campina and Danone Nutricia Research are sponsors of the TiFN program and partly financed the project. They had no role in data collection and analysis and decision to publish. Author M.C.E.B and J.v.d.B declare that they have no conflict of interest.

#### SUPPLEMENTARY MATERIAL FOR CHAPTER 3

#### Comparable gait variability during unperturbed walking in older adults

Fourteen out of fifteen young participants completed all walking speed trials, with one person not able to walk at 1.8 m s<sup>-1</sup> for two minutes. Twelve of seventeen old participants completed all speeds, with five unable to complete the 1.8 m s<sup>-1</sup> speed. These differences between groups in the walking speeds generally align with the 6MWT results. When compared to young, older participants had a larger step length variability ( $F_{(1, 30)} = 7.077$ , p = 0.012; significant pairwise comparisons at 1.2, 1.4, and 1.6 m s<sup>-1</sup>; Supplementary Figure 1), but no other significant differences were found.

## Less gait stability but comparable adaptability during balance disturbances in older adults

All participants were able to recover from the gait perturbations without harness assistance. When considering group differences for the entire perturbation trial, the first perturbation (Pert1<sub>R</sub>) had a significantly larger effect on the margin of stability (MoS) in the older adults compared to the young participants (Pert1<sub>B</sub>:  $F_{(1, 28)} = 7.7$ , p = 0.010). For the second (Pert2<sub>L</sub>) and ninth (Pert9<sub>L</sub>) perturbations, no significant group differences were observed (Pert2<sub>L</sub>:  $F_{(1, 29)} = 0.6$ , p = 0.435; Pert9<sub>L</sub>:  $F_{(1, 29)} =$ 0.001, p = 0.976), indicating that older adults initially perform poorer than young adults, but the ability of older adults to adapt gait in response to repeated perturbations is intact. Furthermore, significant step by age group interaction effects on MoS were found for Pert1<sub>R</sub> and Pert2<sub>L</sub> but not Pert9<sub>L</sub> (Pert1<sub>R</sub>:  $F_{(9, 252)} = 6.3$ , p < 0.001; Pert2<sub>L</sub>:  $F_{(9, 261)} = 3.539$ , p < 0.001; Pert9<sub>L</sub>:  $F_{(9, 261)} = 1.413$ , p = 0.182), indicative of different age-related recovery stepping behavior in the first two but not ninth perturbation. For all perturbations, the older adults' recovery steps, in comparison to the young adults, were more frequently different to Base and these differences also occurred in later recovery steps (significant results indicated by \* in Supplementary Figure 2a).

When considering group differences at step level, the MoS in the first and second step after  $Pert1_R$  and in the first step after  $Pert2_L$  were observed to be significantly different between young and old (significant results indicated by # in Supplementary Figure 2a). These results indicate that the largest age group effect is seen in the first 1–2 recovery steps of the first two perturbations

The young group required averages of 5.7 (1.8), 5.7 (1.6), and 3.6 (2.1) recovery steps and the older group required averages of 6.2 (2.4), 6.2 (2.1), and 4.2 (2.1) recovery steps for Pert1<sub>R</sub>, Pert2<sub>L</sub>, and Pert9<sub>L</sub>, respectively (Supplementary Figure 2b). Both the young and older group required significantly fewer recovery steps during Pert9<sub>L</sub> than both Pert1<sub>R</sub> and Pert2<sub>L</sub> (Supplementary Figure 2b). No significant group differences were observed in the number of recovery steps for each perturbation.

#### Comparable gait variability during unperturbed walking in trained older adults

In O, twelve out of seventeen participants completed all speeds, with five participants unable to complete the 1.8 m s<sup>-1</sup> speed. Of TO, all eighteen participants could complete all speeds. For IO, one participant completed all speeds, one completed all except 1.8 m s<sup>-1</sup>, two reached 1.4 m s<sup>-1</sup>, and one reached 1.2 m s<sup>-1</sup>. These differences between groups in the walking speeds successfully achieved generally align with the 6MWT results. When comparing O and TO, no significant differences were observed for gait variability, including step time, step length, step width, and double support (Supplementary Figure 3).

A second set of similar analyses were conducted for the speeds 0.4 m s<sup>-1</sup> up to 1.2 m s<sup>-1</sup> including the O, TO, and IO groups. Here, a significant effect of group was found for double support time variability ( $F_{(2, 37)} = 4.045$ , p = 0.0258), with the IO group showing (non-significantly) more variability than the other two groups. No other group effects or pairwise comparisons were significant. Data shown in Supplementary Figure 4 also indicate non-significant increases in step width, step time variability, and (to a lesser extent) step length variability in IO. Together, the results indicate a less stable gait in IO, despite the insignificant findings due to the small sample size.

#### Comparable gait stability and adaptability in trained older adults

During the gait stability assessments, all measured participants were able to recover from the gait perturbations without harness assistance. When considering the entire perturbation trial (Supplementary Figure 5a), MoS was not significantly different between O and TO for Pert1<sub>R</sub>, Pert2<sub>L</sub>, and Pert9<sub>L</sub> (Pert1<sub>R</sub>:  $F_{(1, 32)} = 0.066$ , p = 0.8; Pert2<sub>L</sub>:  $F_{(1, 32)} = 0.019$ , p = 0.892; Pert9<sub>L</sub>:  $F_{(1, 33)} = 0.95$ , p = 0.338). Furthermore, no significant step by group interaction effects on MoS were found for Pert1<sub>R</sub>, Pert2<sub>L</sub>, or

Pert9<sub>L</sub> (Pert1<sub>R</sub>:  $F_{(9, 288)} = 0.62$ , p =0.784; Pert2<sub>L</sub>:  $F_{(9, 288)} = 0.88$ , p = 0.544; Pert9<sub>L</sub>:  $F_{(9, 297)} = 1.64$ , p = 0.102). These results indicate no significant stability or stepping behavior difference between O and TO. No significant differences in MoS between the groups at step level were observed (Supplementary Figure 5a).

Following Pert1<sub>R</sub>, Pert2<sub>L</sub>, and Pert9<sub>L</sub>, O required 6.2 (2.4), 6.2 (2.1), and 4.2 (2.1) recovery steps, respectively (Figure 13b). Following the same perturbations, TO required 6.1 (2.2), 5.2 (2.1), and 4.4 (2.5) recovery steps, respectively (Supplementary Figure 5b). O participants required significantly fewer recovery steps during Pert9<sub>L</sub> than both Pert1<sub>R</sub> and Pert2<sub>L</sub>, while the TO adults required significantly fewer recovery steps during Pert9<sub>L</sub> than both Pert1<sub>R</sub> and Pert2<sub>L</sub>, while the TO adults required significantly fewer recovery steps during Pert9<sub>L</sub> than Pert1<sub>R</sub> (Supplementary Figure 5b). No significant group differences on number of recovery steps for each perturbation were revealed. Three participants of the IO group started and completed the perturbation trial, while three IO participants were unable to walk fast enough to maintain the stability-normalized walking speed. Therefore, they were not included in the previous analyses. Following Pert1<sub>R</sub>, Pert2<sub>L</sub>, and Pert9<sub>L</sub>, IO required 7.6, 6.0, and 4.6 recovery steps, respectively, with all three IO participants reducing their number of required recovery steps.





Effect of aging on gait variability at different walking speeds (0.4–1.6 m s<sup>-1</sup>). **a-d** Absolute values for step time, step length, step with, and double support time. **e-h** Coefficients of variation (CV) of step time, step length, step width, and double support time. Dark grey bars represent the young individuals (Y, n = 14); light grey bars represent older individuals (O, n = 17). One Y participant was excluded due to issues in data collection and two Y participants were not measured (one due to technical issues and one due to SARS-CoV-19 restrictions). Mixed-effects models using the restricted maximum likelihood method with age group and walking speed (repeated measure) as factors were conducted with pairwise Šídák's multiple comparisons test between groups at each speed. Values are presented as mean ± SD (with individual data points), \* denotes age group effect denotes significant age group effect (p < 0.05) and # with line indicates pairwise differences between the two groups (p < 0.05).



Effect of aging on gait stability. a Mean and SD (with individual data points) of the anteroposterior margins of stability during the first, second, and ninth perturbations (Pert1<sub>R</sub>, Pert2<sub>1</sub>, and Pert9<sub>1</sub>, respectively), including unperturbed walking prior to each perturbation (Base), the final step prior to each perturbation (Pre) and the first eight recovery steps following the perturbations (Post1 - 8) for young and older adults. Two-way repeated measures ANOVAs with group and step (repeated measures) as factors with within group pairwise comparisons to Base and between group pairwise comparisons were conducted. Dark grey\* and light grey\* indicate significant difference to Base for the young (Y, dark grey dots, n = 12) and older (O, light grey dots, n = 13) groups, respectively (p < 0.05, adjusted using Dunnett's multiple comparisons test). # indicates significant difference between the young and older groups (p < 0.05; adjusted using Šídák's multiple comparisons test). **b** The number of recovery steps (means and individual values) required by the young (dark grey dots, n = 12) and older adults (light grey dots, n = 13) for the first, second, and ninth perturbations (Pert1<sub>R</sub>, Pert2<sub>L</sub>, and Pert9<sub>L</sub>, respectively). <sup>1,2</sup> indicate significant within-group difference to Pert1<sub>R</sub> and Pert2<sub>L</sub>, respectively (p < 0.05, Wilcoxon matched-pairs signed rank tests). One Y participant was excluded due to an issue in data collection and two Y participants were not measured (one due to technical issues and one due to SARS-CoV-19 restrictions). Due to a technical issue with the treadmill, the data from the first perturbation of one of the O adults were excluded from the analysis.



Effect of exercise training on gait variability at different walking speeds (0.4–1.6 m s<sup>-1</sup>). **a-d** Absolute values for step time, step length, step with and, double support time. **e-h** Coefficients of variation (CV) of step time, step length, step width, and double support time. Light grey bars represent normally active older adults (O, n = 17); dark grey bars represent trained older adults (TO, n = 18). One TO participant was not measured due to scheduling difficulties. Mixed-effects models using the restricted maximum likelihood method with group and walking speed (repeated measure) as factors were conducted with pairwise Šídák's multiple comparisons test between groups at each speed. Values are presented as mean  $\pm$  SD (with individual data points).



Effect of exercise training and physical impairment on gait variability at different walking speeds  $(0.4-1.2 \text{ m s}^{-1})$ . **a-d** Absolute values for step time, step length, step width, and double support time. **e-h** Coefficients of variation (CV) of step time, step length, step width, and double support time. Light grey bars represent normally active older adults (O, n = 17); dark grey bars represent trained older adults (TO, n = 18); white bars represent physically impaired older adults (IO, n = 5). One TO and one IO participant were not measured due to scheduling difficulties. Mixed-effects models using the restricted maximum likelihood method with group and walking speed (repeated measure) as factors were conducted with pairwise Šídák's multiple comparisons test between groups at each speed. Values are presented as mean  $\pm$  SD (with individual data points), \* denotes significant group effect (p < 0.05).



Effect of exercise training on gait stability. a Means and ± SD (with individual data points) of the anteroposterior margins of stability during the first, second, and ninth perturbations (Pert1<sub>R</sub>, Pert2<sub>L</sub>, and Pert9<sub>L</sub>, respectively), including unperturbed walking prior to each perturbation (Base), the final step prior to each perturbation (Pre) and the first eight recovery steps following the perturbations (Post1 - 8) for normally active older and trained older adults. Two-way repeated measures ANOVAs with group and step (repeated measures) as factors with within group pairwise comparisons to Base and between group pairwise comparisons were conducted. Dark grey \* and light grey \* indicate significant difference to Base for the O (light grey dots, n = 13) and TO (dark grey, dots n = 15) groups, respectively (p < 0.05, adjusted using Dunnett's multiple comparisons test). **b** The number of recovery steps (means and individual values) required by the normally active older (light grey dots, n = 13) and trained older adults (dark grey, dots n = 15) for the first, second, and ninth perturbations (Pert1<sub>R</sub>, Pert2<sub>L</sub>, and Pert9<sub>L</sub>, respectively). <sup>1,2</sup> indicate significant within-group difference to Pert1<sub>R</sub> and Pert2<sub>L</sub>, respectively (p < 0.05, Wilcoxon matched-pairs signed rank tests). One TO participant was not measured due to scheduling difficulties. Due to a technical issue with the treadmill, the data from the first perturbation of one of the O participants and from the second perturbation of one of the TO participants were excluded from the analyses. Only three individuals in the IO group were able to complete the perturbation trial, as such we compared only O versus TO.

#### **Supplementary Tables**

#### Supplementary Table 1 Study outline.

Screening	Visit 1	Visit 2	Visit 3	Visit 4	Visit 5	
	Week 1	Week 2	Week 3	Week 4	Week 5	
Medical questionnaire	1-step hyperinsulinemic	6MWT (Caren)	Body-composition	Resting metabolic rate	Muscle volume (MRI)	
	euglycemic		(bodpod)			
Physical examination		VO <sub>2</sub> max cycle test	Gait variability and	Muscle biopsy	In vivo PCr recovery	
			dynamic balance test		( <sup>31</sup> P-MRS)	
			(Caren)			
Physical function		<sup>31</sup> P-MRS pre-test	Muscle strength	Ex vivo respirometry		
(SPPB)			(Biodex)			
				Submaximal cycle test		

Visit #1 consisted of a 1-step hyperinsulinemic euglycemic clamp. On visit #2, participants completed a 6-minute walk test (6MWT) and a VO<sub>2</sub>max measurement. Furthermore, an ActivPAL accelerometer was provided to determine free-living physical activity over a period of 5 days. On visit #3, participants completed a body composition measurement, a dynamic balance test, and quadriceps strength tests. On study visit #4, fasting blood samples were drawn, and resting energy expenditure was determined by indirect calorimetry followed by the muscle biopsy procedure. Participants then completed the submaximal exercise test on a cycle ergometer. On visit #5, participants completed the magnetic resonance imaging (MRI)/magnetic resonance spectroscopy (MRS)

Measurement	Variables	6MW1	<sup>†</sup> Chair-	VO <sub>2</sub> max	Isokinetic	Isokinetic	M-value	Si	Daily	#Recover	Step	Double	Gross
		(m)	stand	(ml min <sup>-1</sup>	extension	flexion	(µmol kg-	(µmol	steps	y steps	length	support	efficiency
			test (s)	kgFFM <sup>-1</sup> )	(Nm	(Nm kg	<sup>1</sup> min <sup>-1</sup> )	kgFFM <sup>-1</sup>	(counts	Pert1	variability	time step	(%)
					kgFFM⁻¹)	FFM <sup>-1</sup> )		min⁻¹)	day⁻¹)			variability	
Mitochondrial	Maximal coupled	r 0.335'	-0.111	0.436*	0.080	0.126	0.379*	0.174	0.326*	0.105	-0.177	-0.258	0.345*
function	respiration												
	MOGS3	p 0.015	0.415	0.002	0.558	0.355	0.006	0.221	0.014	0.473	0.209	0.065	0.011
	(pmol s <sup>-1</sup> mg <sup>-1</sup> )												
	Maximal	r 0.310'	-0.146	0.512*	0.102	0.150	0.407*	0.232	0.372*	0.035	-0.228	-0.324*	0.402*
	uncoupled												
	respiration	p 0.025	0.282	<0.001	0.454	0.269	0.003	0.101	0.005	0.812	0.103	0.019	0.003
	(pmol s <sup>-1</sup> mg <sup>-1</sup> )												
	PCr recovery rate	r 0.240	-0.075	0.482*	0.178	0.105	0.228	0.144	0.258	-0.124	-0.283*	-0.313*	0.315*
	constant k (s <sup>-1</sup> )												
		p 0.090	0.587	0.001	0.193	0.444	0.108	0.318	0.057	0.402	0.044	0.025	0.021
Exercise	Gross efficiency	r 0.679'	-0.485*	0.711*	0.349*	0.356*	0.495*	0.337*	0.268*	-0.368*	-0.277*	-0.193	
efficiency	(%)												
		p <0.00	1 <0.001	<0.001	0.008	0.007	<0.001	0.015	0.046	0.008	0.044	0.166	
	Net efficiency	r 0.596'	0.454*	0.623*	0.276*	0.261	0.480*	0.356*	0.207	-0.349*	-0.253	-0.249	
	(%)												
		p <0.00	1 <0.001	<0.001	0.039	0.052	<0.001	0.009	0.127	0.013	0.068	0.072	

Supplementary Table 2 Bivariate analysis to explore the relationships between energetics and physical muscle function.

Data are from all groups (total n > 51). \* indicates the correlation is significant at the 0.05 level (two-sided, p < 0.05); † indicates variables that were log transformed. MOGS3 = state 3 respiration upon malate + octanoyl-carnitine + glutamate + succinate; 6MWT = 6-minute walk test;  $VO_2max = maximal oxygen flow; FFM$ , = fat-free mass; Nm = newton meters; Pert1 = first perturbation during the balance challenge; M-value, = mean glucose infusion rate;  $S_i$  = insulin-stimulated glucose uptake; r = pearson or #spearman correlation coefficient as appropriate

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# Healthy aging and muscle function are positively associated with NAD<sup>+</sup> abundance in humans

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## ABSTRACT

Skeletal muscle is greatly affected by aging, resulting in a loss of metabolic and physical function. However, the underlying molecular processes and how (lack of) physical activity is involved in age-related metabolic decline in muscle function in humans is largely unknown. Here we investigated the muscle metabolome comparing young to older adults, whereby the older adults were either exercise-trained, had normal physical activity levels, or were physically impaired. Intriguingly, nicotinamide adenine dinucleotide (NAD<sup>+</sup>) was one of the most prominent metabolites to decline with age in human skeletal muscle, in line with preclinical models. This decline was exacerbated in impaired older individuals and was nearly fully prevented in the exercise-trained older individuals. NAD<sup>+</sup> was so intimately tied to muscle health levels that a correlation existed between muscle NAD<sup>+</sup> content, average steps-per-day, and mitochondrial and muscle functioning. Our work demonstrates the importance of exercise to promote healthy aging of human muscle and suggests a crucial role of NAD<sup>+</sup> therein

#### Keywords

Healthy longevity, muscle aging, metabolomics, exercise, NAD+

## Abbreviations

KYNA	Kynurenic acid
KYNU	Kynurenine
NaAD	Nicotinic acid adenine dinucleotide
NAD <sup>+</sup>	Nicotinamide adenine dinucleotide
NAM	Nicotinamide
NaMN	Nicotinic acid mononucleotide
NAMPT	Nicotinamide phosphoribosyltransferase
NMN	Nicotinamide mononucleotide
NR	Nicotinamide riboside
NRH	Dihydronicotinamide riboside
PARPs	Poly(ADP-ribose) polymerases
PBMC	Peripheral blood mononuclear cell
PPP	Pentose phosphate pathway
PRPP	Phosphoribosyl pyrophosphate
QA	Quinolinic acid
SPPB	Short physical performance battery
Trp	L-Tryptophan
UPLC-HRMS	Ultra-high performance liquid chromatography high-resolution
	mass spectrometry

#### INTRODUCTION

Over the past few decades, remarkable achievements in healthcare have led to a dramatic increase in the average life expectancy in most economically developed countries<sup>1,2</sup>. However, this increased lifespan is not paralleled by an increase in health span, as aging is accompanied by the development of various age-related pathologies including metabolic disorders<sup>3</sup>. Understanding and targeting the aging process itself, rather than treating the symptoms of these age-related pathologies, may be the most effective strategy to prevent or counteract aging-related health decline<sup>4</sup>.

The development of many age-related diseases has been associated with disturbances in mitochondrial metabolism, a hallmark of the aging process<sup>5</sup>. In that context, we and others have previously shown in both muscle and liver that pathways involved in oxidative phosphorylation (OXPHOS), fatty acid oxidation, and mitochondrial biogenesis are impaired in aged mice<sup>6,7</sup>. In addition, we also reported that aged *C. elegans* are characterized by a prominent accumulation of most fatty acid species, which appeared to be controlled, at least in part, by the mitochondrial metabolic regulator AMPK<sup>8</sup>. Together, these preclinical studies highlight that alterations in various metabolic pathways, particularly within mitochondrial metabolism, are linked to the aging process and could therefore explain age-related metabolic complications.

Skeletal muscle is highly affected by the aging process<sup>9</sup> and age-induced loss of skeletal muscle mass and function have been related to mobility impairments<sup>10,11</sup>, an increased risk of falls<sup>12,13</sup> and physical frailty<sup>14</sup>. Aging is also associated with a decline in muscle metabolism, as exemplified by a decrease in insulin sensitivity, metabolic inflexibility, increased oxidative damage, and the occurrence of skeletal muscle mitochondrial dysfunction<sup>15–17</sup>. On the other hand, regular exercise positively impacts muscle metabolism and function, and regular exercise training has been shown to protect against aging-induced deterioration of muscle health<sup>18,19</sup>.

Based on these considerations, the aims of the present study were to investigate the muscle metabolome comparing young and aged individuals, and to identify how

differences in the aged individuals may be associated to muscle and mitochondrial function. This comparison was expanded upon by evaluating changes in three groups of older individuals recruited according to their 'muscle health' states; aged individuals who 1) were exercise-trained ('trained' older adults), 2) who possessed normal physical activity abilities ('normal' older adults), or 3) who displayed an impaired physical function ('impaired' older adults). Our results show that nicotinamide adenine dinucleotide (NAD<sup>+</sup>) was one of the most depleted metabolites with age and that age-related NAD<sup>+</sup> depletion followed a 'healthy aging' trend, whereby physically impaired older individuals were marked by significantly lower NAD<sup>+</sup> levels and exercise-trained older individuals could maintain NAD<sup>+</sup> abundance to levels nearly as high as in the young. Furthermore, we found that in the older adults NAD<sup>+</sup> muscle abundance positively correlated with muscle and mitochondrial health parameters. Taken together, these results provide a first-time account that NAD<sup>+</sup> declines in aging human muscle and that NAD<sup>+</sup> abundance is directly associated to the healthy aging state of the individual.

#### RESULTS

#### The metabolome of human muscle aging

In order to better understand which metabolites were associated to aging and to establish a 'healthy aging' muscle signature, we turned to an extensively characterized cohort of young and aged individuals, which we previously established (clinicaltrials.gov identifier NCT03666013). This mixed-gender cohort consisted of individuals that were either young (n=12) or belonged to one of three aged groups; trained older adults (n=17), older adults with normal physical activity levels (n=17), or physically impaired older adults (n=6). Participants were assigned to different study groups based on age, their levels of self-reported physical exercise training, and their levels of physical function. Participants were considered normally physically active if they completed no more than one structured exercise session per week. Older participants were considered exercise-trained if they engaged in at least three structured exercise sessions of at least one hour each per week for an uninterrupted period of at least one year prior to inclusion. Older participants were classified as physically impaired in case of a Short Physical Performance Battery (SPPB) score of  $\leq$  9 (Table S1). Upon inclusion, further details on habitual physical activity levels were obtained using accelerometry. Over the course of five days, young individuals took an average of ~10K steps per day and spent 2.5% of their active time in highintensity activities (Figure 1A). The normal older adults group possessed similar (~10K steps/day and 2.2% active time in high-intensity activities), ensuring that changes observed between these groups were age-related rather than fitnessrelated. Meanwhile, trained older adults were more active (~13K steps/day on average) with more time spent in high-intensity activities (5.2%), whereas the physically impaired older adults displayed a lower average daily step count (~6K) and a lower amount of their active time spent in high-intensity activities (1.0%) (Figure 1A).

Using the muscle biopsies collected from each participant in these groups, we performed ultra-high performance liquid chromatography coupled to high-resolution mass spectrometry (UPLC-HRMS)-based metabolomics (Table S2). The results were used to establish a baseline understanding of the differences occurring

between young and older adults with normal and equivalent levels of physical activity, taking into account all 137 metabolites we were able to annotate. Comparing the muscle metabolomes of these two groups using a principal component analysis, we found a general separation between the groups to exist within the first two principal components, suggesting aging to impart large changes in the muscle metabolome (Figure S1A).

To better understand what metabolites were contributing to the differences occurring with aging, we compared the accumulated and depleted metabolites (Figure 1B, Figure S1B). We found a clear signature of changes to occur with normal aging (Figure 1B). Intriguingly, when considering the top five metabolites either accumulating or depleting in older vs. young adults with normal and equal physical activity, we found older adults to possess higher levels of ophthalmic acid, an marker<sup>20,21</sup>. and dihydroxyacetone-phosphate oxidative stress and 3methoxytyramine, two metabolite families negatively associated to mitochondrial respiration<sup>22,23</sup>. Moreover, we found a significant age-related decline of NAD<sup>+</sup> to occur with aging. Together these changes suggest an age-related change in oxidative metabolism in skeletal muscle (Figure 1C).



#### Figure 1: The metabolome of human muscle aging

(A) Study participants consisted of either young or older adults, whereby older adults were segmented into three categories: either 'exercise-trained,' 'normally physically active,' or 'physically impaired' with respect to their muscle health (Table S1). Group averages are presented for BMI, steps-per-day, and high-intensity physical active time, (B) Volcano plot of fold change (x-axis, log2 scale) versus p-value (y-axis, -log10 scale) for older adults compared to young individuals with equal physical activity levels ('young' vs 'normal older adults')., illustrating significantly depleted (blue) or accumulated (red) metabolites with age. The horizontal line indicates significance (p<0.05). Significance was determined using an empirical Bayes moderated t-test. (C) Top 5 accumulating and depleted metabolites in normal older adults compared to young, depicted as a heatmap with higher (red) or lower (blue) relative abundances of metabolites.

#### NAD<sup>+</sup> abundance is directly related to healthy aging muscle

Having established the metabolic changes occurring with aging in muscle, which pointed towards alterations in oxidative metabolism, we next asked which of these changes were not only linked to aging but were also related to muscle health levels. To do so, we ranked metabolites based on the degree to which their abundance level followed a health trend with the four groups, from young adults to trained older adults, to normally active older adults, to physically impaired older adults. This approach was designed to highlight metabolites that may decrease with normal aging, with a decline that can be either (partially) prevented by regular exercise training or exacerbated by belonging to a physically impaired aging group. Plotting these correlations against the normal aging changes served to highlight the agerelated changes that are important also on a health and exercise related axis. Remarkably, we found that changes in normal older adults and changes that follow a 'health trend' are highly correlated, implying that most metabolic changes that occur with age in the muscle can be reversed with regular exercise training (Figure 2A).

In line with this observation, we found that NAD<sup>+</sup> was not only one of the most depleted molecules in older adults when compared to young individuals, (Figure 1C) but also displayed the strongest association to healthy aging (Figure 2A). Indeed, age-related NAD<sup>+</sup> (Figure 1B) was exacerbated in the impaired older adults (Figure 2B, Figure S2A). Furthermore, according to the Venn diagram analysis, a significant age-related decline in NAD<sup>+</sup> content was only found in common between the normal aging and the impaired aging groups (Figure S2B). Meanwhile, possessing an athletic lifestyle resulted in only a negligible age-related decline of NAD<sup>+</sup> in the trained older adults when compared to NAD<sup>+</sup> levels in the young (Figure 2B, Figure S2B).

Interestingly, we found ophthalmic acid, a marker of oxidative stress and one of the top-five accumulated metabolites (Figure 1C), to display the opposite trend as observed for NAD<sup>+</sup>, namely, an increase in older adults compared to young that became exacerbated in physically impaired older adults and was attenuated in trained older adults (Figure 2A, Figure 2C). In addition, we found that oxiglutathione, another marker of oxidative stress, followed the same trend as ophthalmic acid (Figure 2A), suggesting that NAD<sup>+</sup> depletion with aging may occur in parallel with increased ROS production.


#### Figure 2: Muscle NAD<sup>+</sup> levels relate to muscle health during aging

(A) Comparison of: (x-axis) the correlation a metabolite has to the four muscle health groups (young adults, trained older adults, older adults with normal physical activity levels, and physically impaired older adults), where the size of point on the graph indicates p-value of the correlation (-log10 scale), and correlation and significance were determined using Pearson's product-moment correlation coefficient, and (y-axis) the fold change the metabolite undergoes in older vs. young adults with normal physical activity, where the color of the point indicates the p-value of aging fold change (with directionality represented as either increasing, red, or decreasing, blue) and significance was determined using an empirical Bayes moderated t-test. The metabolite's name is depicted when the aging fold change had a significance of p<0.05. The graph reveals NAD<sup>+</sup> to be both depleted in aging and to follow the strongest correlation to a healthy aging trend. (B) Abundance levels of NAD<sup>+</sup> in the four muscle health groups. (C) Abundance levels of ophthalmic acid in the four muscle health groups. Significance was determined using an empirical Bayes moderated t-test to test (\* p<0.05, \*\* p<0.01, \*\*\* p<0.001, n.s. = not significant).

# Modulation of the NAD<sup>+</sup>-pathway with aging

Our untargeted metabolomics data pointed towards oxidative metabolism as a central player in aging muscle. Having observed that NAD<sup>+</sup> was both declined in

normal aging and also possessed the strongest correlation to healthy aging, we next asked how the NAD<sup>+</sup>-pathway itself was modulated in our older adults relative to the young. To do so, we considered all NAD<sup>+</sup>-related metabolites (Figure S3A-L) and mapped out how they changed in each older adult group relative to young, onto the NAD<sup>+</sup> synthesis pathway (Figure 3). The depletion of NAD<sup>+</sup>, observed per aged group, was mirrored by a depletion of NADH, although to a lesser extent. Furthermore, we observed a lack of modulation of NADP<sup>+</sup> and NADPH in the aged groups, which points towards an aging and exercise-dependent modulation of NAD(H), but not of their phosphorylated forms.

Having established NAD(H)'s associations to healthy aging, we next turned our attention to other metabolites involved in NAD<sup>+</sup> *de novo* synthesis and recycling. We found a strong correlation between kynurenic acid accumulation and the healthy aging trend (Figure 3, Figure S3B). Kynurenic acid is produced by kynurenine aminotransferase (KAT)-mediated irreversible transamination of kynurenine, a downstream metabolite of tryptophan in the NAD<sup>+</sup> *de novo* synthesis pathway, or through ROS-mediated oxidative degradation of kynurenine<sup>24</sup>. This latter explanation is in line with our previously noted increase in the oxidative damage markers ophthalmic acid and oxiglutathione<sup>20,21</sup> in the older adults (Figure 2A, Figure 2C), supporting the hypothesis that kynurenic acid is produced via ROS-mediated degradation of kynurenine.

# Chapter 4



#### Figure 3: Major NAD<sup>+</sup> metabolites and changes in healthy muscle aging

Changes in the three older adult groups (trained, normally active, and physically impaired) relative to young are depicted for each NAD<sup>+</sup>-related metabolite in the map. The color indicates the fold change (blue=decreased, red=increased), the size indicates the p-value of the significance (-log10 scale), and the shape denotes a significance threshold (square, p<0.05). Significance was determined using an empirical Bayes moderated t-test.

# NAD<sup>+</sup> metabolism is related to mitochondrial function and muscle health

Our cohort possessed extensive phenotyping of muscle health parameters, which offered an unprecedented opportunity to better understand how the age-related decline of NAD<sup>+</sup> related to various outcomes of muscle health, an unexplored domain in human physiology. To address this, we performed a cross correlation of the abundances of NAD<sup>+</sup>-related metabolites to muscle-related parameters previously measured (clinicaltrials.gov identifier NCT03666013) in three older adult groups (Figure S4A). Phenotypical parameters consisted of molecular measurements of mitochondrial abundance (OXPHOS subunit abundances), mitochondrial respiration (oxygen consumption measurements in permeabilized muscle fibers), and in vivo mitochondrial function (PCr recovery), in addition to physiological parameters, including muscle energetics (net exercise efficiency %),

muscle volume (MRI), muscle strength (isokinetic function), and habitual physical activity (accelerometry) (Figure S4B). By comparing and focusing our analyses on the metabolite abundances that correlate or anti-correlate with these molecular and physiological parameters, we reasoned that a correlation network could map the landscape of NAD<sup>+</sup>-related interactions associated with healthy muscle aging.

This approach revealed that a network existed that strongly linked NAD<sup>+</sup> metabolites to aging muscle function. Intriguingly, within this network kynurenic acid acted as a hub of negative associations, while NAD<sup>+</sup> was positively associated with many functional parameters of the muscle (Figure 4A). For example, we found that NAD<sup>+</sup> abundance had a strong positive association to maximum ADP-stimulated (state 3) mitochondrial respiration (Figure 4B). Likewise, and quite remarkably, NAD<sup>+</sup> abundance in muscle was also correlated to the average daily step count (Figure 4C). Meanwhile, when considering negative correlations, we observed that kynurenic acid was inversely associated with isokinetic muscle strength (Figure 4D) and kynurenine was inversely associated with net exercise efficiency (Figure 4E). Taken together, this approach revealed a first-time view of the significant relationship the NAD<sup>+</sup> pathway has to the healthy aging muscle functioning of older individuals.

Numerous preclinical studies have indicated NAD<sup>+</sup> depletion as the primary cause of disease and disability during aging<sup>25</sup>. While we have seen that boosting NAD<sup>+</sup> metabolism in humans only induced very minor physiological changes<sup>26–28</sup>, increasing NAD<sup>+</sup> in animal models improved, amongst others, muscle recovery, endurance capacity, mitochondrial function, oxidative metabolism, insulin sensitivity, and lipid profiles, and extended lifespan<sup>17,29–35</sup>. In line with these preclinical studies, our NAD<sup>+</sup>-related metabolite and muscle physiology network supports that NAD<sup>+</sup> abundance is indeed an indicator of muscle health status in aging individuals.



(A) Network of significant associations between molecular-physiological parameters (light blue nodes) and NAD<sup>+</sup>-related metabolites (dark blue nodes). Positive associations are in orange and negative associations in blue, where correlation and significance was determined in the older adults using Pearson's product-moment correlation coefficient (p<0.05). (B-E) Examples of correlations within the network, highlighting the positive associations between (B) NAD<sup>+</sup> and mitochondrial respiration, and (C) NAD<sup>+</sup> and average daily steps, and highlighting the negative associations between (D) Kynurenic acid and muscle strength and (E) Kynurenine and exercise efficiency.

#### CONCLUSIONS AND FUTURE PERSPECTIVES

In the current study we investigated age-related muscle metabolomic changes comparing young and aged individuals. We identified how metabolomic differences in aged individuals relate to muscle and mitochondrial function by evaluating changes across a healthy (muscle) aging trend. Out of the 137 detected metabolites, NAD<sup>+</sup> was one of the most depleted metabolites upon aging, a finding which itself fills a void in the literature between preclinical animal research and clinical human research. Namely, to date, very few studies have established that NAD<sup>+</sup>, which declines in laboratory animals, also declines in human tissues<sup>36</sup>. Moreover, our study found that not only does NAD<sup>+</sup> decline with age, but also that its decline is associated with the health state of the aged individual. Specifically, it is more severely depleted in the impaired older adults, exercised-trained older adults nearly fully prevent this age-related loss, and NAD<sup>+</sup> abundance was directly positively

associated with mitochondrial respiration and physiological muscle functioning when considering all individual older adults.

In this study, the young and normal aging groups were not engaged in structured exercise activities, and both recorded approximately 10,000 steps daily. Although this is well above the general recommendations<sup>37</sup>, our results suggest that maintaining a healthy physical activity level in older people comparable to the young is not sufficient to prevent an age-related decline in NAD<sup>+</sup>. However, endurance-trained older participants who had 12,500 steps/day and performed at least three exercise training sessions per week, did not show the decline in NAD<sup>+</sup> levels that occurred during normal aging. In contrast, physically impaired older adults in our study averaged less than 7,500 steps/day, reflecting a more sedentary lifestyle relative to the other participants<sup>38</sup>. The differences in habitual physical activity levels between the aging groups were directly reflected in NAD<sup>+</sup> metabolism, whereby quite strikingly, daily step counts correlated strongly with muscle NAD<sup>+</sup> levels. Taken together, our results demonstrate that the best therapy to maintain youthful and physiologically important levels of NAD<sup>+</sup> during aging may simply involve highly structured exercise regimes, though this may not be realistic for all aging individuals.

While an age-related decline of NAD<sup>+</sup> and the benefits of boosting NAD<sup>+</sup> levels have been extensively demonstrated in model organisms<sup>17,29–35</sup>, relatively little evidence exists relating NAD<sup>+</sup> to aging and health in humans<sup>36</sup>. To our knowledge, to date, an age related decline in NAD<sup>+</sup> levels in humans has only been demonstrated to occur in the brain (using magnetic resonance spectroscopy)<sup>39,40</sup> and pelvic skin samples (measured spectrophotometrically)<sup>41</sup>. Here we further demonstrate that NAD<sup>+</sup> declines with human aging in skeletal muscle. Interestingly, it has previously been shown in human skeletal muscle that levels of nicotinamide phosphoribosyltransferase (NAMPT), the rate-limiting enzyme in the NAD<sup>+</sup> salvage pathway, decreases with aging and that both aerobic and resistance exercise training can increase NAMPT levels<sup>42</sup>. While the study was not designed to directly measure NAD<sup>+</sup>, the findings are in line with ours, suggesting that an aging lifestyle filled with exercise is efficient in influencing NAD<sup>+</sup> metabolic pathways.

Transcriptomic and metabolomic studies in human skeletal muscle have extensively been studied in the context of frailty and sarcopenia, with key findings demonstrating changes in mitochondrial function, muscle growth, and cell turnover<sup>43–45</sup>. One study has demonstrated that NAD<sup>+</sup> (measured enzymatically) and mitochondrial oxidative capacity is decreased in sarcopenic older men relative to age-matched controls<sup>46</sup>. While the study was not designed to investigate whether older adults had lower levels of NAD<sup>+</sup> than young individuals in the first place, their findings are in line with our findings that impaired older adults have lower NAD<sup>+</sup> levels compared to normal older adults. Taken together, our work complements these recent findings and incorporates both young and old individuals, possessing both athletic and impaired aging states, to solidify our understanding of NAD<sup>+</sup> in skeletal muscle healthy aging.

In summary, our study advances our understanding of the relevance of NAD<sup>+</sup> metabolism to human muscle aging, characterizing NAD<sup>+</sup> metabolism in extensively phenotyped young and older adults. Specifically, novel findings of our study include that (i) aging is associated with a depletion in skeletal muscle's NAD<sup>+</sup> abundance, occurring despite older adults maintaining adequate physical activity, (ii) lower NAD<sup>+</sup> levels relate to impaired aging and a high intensity athletic aging lifestyle results in muscle NAD<sup>+</sup> levels similar to those seen in the young, and (iii) NAD<sup>+</sup> metabolism strongly correlates with human skeletal muscle health during aging as assessed through mitochondrial and physical functioning, whereby the average daily step count an older adult takes directly associates with their muscle NAD<sup>+</sup> levels. Together this work affirms the relation of NAD<sup>+</sup> with overall health status in aging individuals and highlights the NAD-pathway as a promising target to promote healthy aging in humans.

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#### **Conflict of interest**

FrieslandCampina and Danone Nutricia Research are sponsors of the TIFN program and partly financed the project that led to human sample collection. They had no role in data collection, analysis, and decision to publish.

# Author contributions

G.E.J., L.G., P.S., J.H., R.H.H. conceived the study. L.G. designed and performed the human clinical trial and experiments. G.E.J designed and performed the bioinformatics analyses. R.Z-P, B.V.S and M.v.W. performed the metabolomics analyses. J.M.W.G. and J.d.V.d.B reviewed the manuscript. G.E.J., L.G., R.Z-P, P.S. R.H.H and J.H. interpreted the results and wrote the manuscript with contributions from all other authors.

# METHODS

#### Human subjects and procedures

Fifty-two participants, including 12 young (7 male and 5 female) and 40 older individuals (23 male and 17 female) were recruited in the community of Maastricht and its surroundings through advertisements at Maastricht University, in local newspapers, supermarkets, and at sports clubs. The study protocol was approved by the institutional Medical Ethical Committee and conducted in agreement with the declaration of Helsinki. All participants provided their written informed consent, and the study was registered at clinicaltrials.gov with identifier NCT03666013. Physiological data from this clinical trial has been reported in our previous study as part of a different analysis (manuscript accepted, *Nature Communications 2021*). Prior to inclusion, all subjects underwent a medical screening that included a physical examination by a physician and an assessment of physical function using the Short Physical Performance Battery (SPPB), comprised of a standing balance test, a 4-m walk test, and a chair-stand test. After the screening procedure, participants were assigned to the following study groups: Young individuals with normal physical activity (Y, 20 - 30 years), older adults with normal physical activity

(NA, 65 – 80 years), athletic older adults (AA, 65 – 80 years) and physically impaired older adults (IA, 65 – 80 years). Participants were considered normal, physically active (NA) if they completed no more than one structured exercise session per week. Participants were considered trained (AA) if they engaged in at least 3 structured exercise sessions of at least 1 hour each per week for an uninterrupted period of at least one year. Participants were classified as older adults with impaired physical function (IA) in case of an SPPB score of  $\leq$  9. The SPPB score was calculated according to the cut-off points determined by<sup>47</sup>. Subject characteristics are summarized in Table S1.

#### Muscle biopsy

At 9 AM, after an overnight fast from 10 PM the preceding evening, a muscle biopsy was taken from the *m. vastus lateralis* under local anesthesia (1.0% lidocaine without epinephrine) according to the Bergström method<sup>48</sup>. Part of the biopsy was immediately placed in an ice-cold preservation medium (BIOPS, OROBOROS Instruments, Innsbruck, Austria) and used for the preparation of permeabilized muscle fibers and measurement of *ex vivo* mitochondrial oxidative capacity, as described earlier <sup>49,50</sup>. The remaining part of the muscle biopsy was immediately frozen in melting isopentane and stored at  $-80^{\circ}$ C until further analysis.

#### Mitochondrial respiration

Permeabilized muscle fibers (~2.5 mg wet weight) were used for the assessment of mitochondrial capacity using a 2-chamber oxygraph (OROBOROS Instruments), according to<sup>51</sup>. To prevent oxygen limitation, the oxygraph chambers were hyper-oxygenated up to ~400  $\mu$ mol/L O<sub>2</sub>. Subsequently, two different multi-substrate/inhibition protocols were used in which substrates and inhibitors were added consecutively at saturating concentrations. State 2 respiration was measured after the addition of malate (4 mmol/L) plus octanoyl-carnitine (50  $\mu$ mol/L) or malate (4 mmol/L) plus glutamate (10 mmol/L). Subsequently, an excess of 2 mmol/L of ADP was added to determine coupled (state 3) respiration. Coupled respiration was then maximized with convergent electron input through Complex I and Complex II by adding succinate (10 mmol/L). Finally, the chemical uncoupler carbonylcyanide-4-(trifluoromethoxy)-phenylhydrazone (FCCP) was titrated to assess the maximal capacity of the electron transport chain (state 3u respiration). The integrity of the

outer mitochondrial membrane was assessed by the addition of cytochrome C (10  $\mu$ mol/L) upon maximal coupled respiration. If cytochrome C increased oxygen consumption > 15%, the measurement was excluded to assure the viability and quality of the respiration measurement. All measurements were performed in quadruplicate.

# Mitochondrial content

Mitochondrial content was assessed by mitochondrial OXPHOS protein expression using western blot analyses in Bioplex-Iysates of human muscle tissue as previously described<sup>52</sup>. Equal amounts of protein were loaded onto 4–12% Bolt gradient gels (Novex, Thermo Fisher Scientific, Bleiswijk, The Netherlands). Proteins were transferred to a nitrocellulose membrane using the Trans-Blot Turbo transfer system (Bio-Rad Laboratories, Hercules, CA, USA). Primary antibodies contained a cocktail of mouse monoclonal antibodies directed against structural subunits of human OXPHOS (dilution 1:10,000; ab110411, Abcam, Cambridge, UK). The hOxPHOS proteins were detected using secondary antibodies conjugated with IRDye680 or IRDye800 and were quantified with a CLx Odyssey Near-Infrared Imager (Li-COR, Westburg, Leusden, The Netherlands).

# Magnetic resonance imaging and spectroscopy (Pcr recovery and muscle volume)

All MR experiments were performed on a 3T whole-body MRI scanner (Achieva 3T-X; Philips Healthcare, Best, The Netherlands). Participants were positioned supine in the MR scanner to determine muscle volume with a series of T1-weighted images of the upper leg (slice thickness = 10.0 mm, no gap between slices, in - plane resolution = 0.78 x 0.78 mm). A custom-written MATLAB 2016a script (The Mathworks Inc. Natick, MA, USA) was used to segment adipose tissue and muscle and quantify muscle volume semi-automatically. The muscle segmentation was performed in consecutive slices between the lower end of the *m. rectus* femoris and the lower end of the *m. gluteus maximus*. Phosphorus magnetic resonance spectroscopy (<sup>31</sup>P-MRS) was performed to measure *in vivo* mitochondrial function in *m. vastus lateralis,* as previously described <sup>53</sup>, using a 6 cm surface coil. A series of 150 unlocalized <sup>31</sup>P-spectra was acquired using the following parameters: single acquisitions (NSA = 1); repetition time (TR) = 4000 ms; spectral bandwidth = 3000 Hz; number of points =

1024. The 150 spectra were divided into 10 spectra at rest, 70 spectra during kneeextension exercise, and 70 spectra during recovery. Exercise within the scanner was performed to an auditory cue (0.5 Hz) in a custom-built knee-extension device with adjustable weight. The intensity was chosen to correspond to 50–60% of the predetermined maximum weight. Spectra were analyzed with a custom-made MATLAB 2016a script. PCr, ATP, and inorganic phosphate peaks were fitted, and pH was determined. The PCr recovery was fitted with a mono-exponential function and the rate constant (k in s<sup>-1</sup>) was determined as previously described<sup>53</sup>. The rate constant k of PCr resynthesis is almost entirely dependent on ATP produced by oxidative phosphorylation and can be used as a parameter of *in vivo* oxidative capacity<sup>54</sup>.

# Muscle function (exercise efficiency)

Exercise efficiency was measured during a 1-hour submaximal exercise bout in the fasted state on an electronically-braked cycle ergometer. The submaximal cycle test was performed at 50% of Wmax, as determined during a maximal aerobic cycling test. To calculate exercise energy expenditure (EEE),  $O_2$  consumption and  $CO_2$  production were recorded using indirect calorimetry for 15 minutes at two timepoints, T = 15 and T = 45 (Omnical, IDEE, Maastricht, The Netherlands). EEE was calculated as the average of T = 15 and T = 45. Before the submaximal exercise test, resting energy expenditure (REE) was measured using indirect calorimetry. The Weir equation<sup>55</sup> was used to calculate whole-body energy expenditure (EE). Net energy efficiency was computed as power output (watts converted to kJ/min) over EE during exercise (EEE) minus resting EE (REE) (Eq. 1) as described by<sup>56</sup>.

Eq 1: NEE (%) = (Work (kJ/min)/ (EEE (kJ/min) – REE (kJ/min)) \*100

#### Muscle strength

Muscle contractile performance was measured using the Biodex System 3 Pro dynamometer (Biodex® Medical Systems, Inc., Shirley, NY, USA). The participants were stabilized in the device with shoulder, leg, and abdominal straps to prevent compensatory movement for the measurements. The test was performed with the left leg in all participants. To measure maximal muscle strength, each participant performed 30 consecutive knee extension and flexion movements (range of motion 120 degrees/s). The peak torque of each extension and flexion was recorded. The maximal isokinetic knee-extensor and knee-flexor torque was defined as the highest peak torque and corrected for body weight (Nm/kg) and muscle volume (Nm/m<sup>3</sup>).

#### Habitual physical activity

Habitual physical activity was determined in all participants using an ActivPAL monitor (PAL Technologies, Glasgow, Scotland) for a consecutive period of 5 days, including two weekend days. Besides the total amount of steps per day, the total stepping time was calculated in proportion to waking time, determined according to<sup>57</sup>. Stepping time (i. e., physical activity) was then further classified into high-intensity physical activity (HPA; minutes with a step frequency > 110 steps/min in proportion to waking time) and lower-intensity physical activity (LPA; minutes with a step frequency  $\leq$  110 steps/min in proportion to waking time)<sup>37</sup>.

# Metabolomics

Metabolomics was performed as previously described, with minor adjustments<sup>58</sup>. In a 2 mL tube, the following amounts of internal standard dissolved in water were added to each sample of approximately 5 mg of freeze-dried muscle tissue: adenosine-<sup>15</sup>N<sub>5</sub>-monophosphate (5 nmol), adenosine-<sup>15</sup>N<sub>5</sub>-triphosphate (5 nmol), D<sub>4</sub>-alanine (0.5 nmol), D7-arginine (0.5 nmol), D3-aspartic acid (0.5 nmol), D3-carnitine (0.5 nmol), D4-citric acid (0.5 nmol), <sup>13</sup>C1-citrulline (0.5 nmol), <sup>13</sup>C6-fructose-1,6diphosphate (1 nmol), guanosine-<sup>15</sup>N<sub>5</sub>-monophosphate (5 nmol), guanosine-<sup>15</sup>N<sub>5</sub>triphosphate (5 nmol), <sup>13</sup>C<sub>6</sub>-glucose (10 nmol), <sup>13</sup>C<sub>6</sub>-glucose-6-phosphate (1 nmol), D<sub>3</sub>-glutamic acid (0.5 nmol), D<sub>5</sub>-glutamine (0.5 nmol), D<sub>5</sub>-glutathione (1 nmol), <sup>13</sup>C<sub>6</sub>isoleucine (0.5 nmol), D<sub>3</sub>-lactic acid (1 nmol), D<sub>3</sub>-leucine (0.5 nmol), D<sub>4</sub>-lysine (0.5 nmol), D<sub>3</sub>-methionine (0.5 nmol), D<sub>6</sub>-ornithine (0.5 nmol), D<sub>5</sub>-phenylalanine (0.5 nmol), D<sub>7</sub>-proline (0.5 nmol), <sup>13</sup>C<sub>3</sub>-pyruvate (0.5 nmol), D<sub>3</sub>-serine (0.5 nmol), D<sub>6</sub>succinic acid (0.5 nmol), D5-tryptophan (0.5 nmol), D4-tyrosine (0.5 nmol), D8-valine (0.5 nmol). After adding the internal standard mix, a 5 mm stainless-steel bead and polar phase solvents (for a total of 500 µL water and 500 µL MeOH) were added and samples were homogenized using a TissueLyser II (Qiagen, Hilden, Germany) for 5 min at a frequency of 30 times/sec. Chloroform was added for a total of 1 mL to each sample before thorough mixing. Samples were then centrifuged for 10 minutes at 18.000g. The top layer, containing the polar phase, was transferred to a new 1.5

mL tube and dried using a vacuum concentrator at 60°C. Dried samples were reconstituted in 100 µL 3:2 (v/v) methanol:water. Metabolites were analyzed using a Waters Acquity ultra-high performance liquid chromatography system coupled to a Bruker Impact II™ Ultra-High Resolution Qq-Time-Of-Flight mass spectrometer. Samples were kept at 12°C during analysis and 5 µL of each sample was injected. Chromatographic separation was achieved using a Merck Millipore SeQuant ZICcHILIC column (PEEK 100 x 2.1 mm, 3 µm particle size). Column temperature was held at 30°C. Mobile phase consisted of (A) 1:9 (v/v) acetonitrile:water and (B) 9:1 (v/v) acetonitrile:water, both containing 5 mmol/L ammonium acetate. Using a flow rate of 0.25 mL/min, the LC gradient consisted of: 100% B for 0-2 min, reach 0% B at 28 min, 0% B for 28-30 min, reach 100% B at 31 min, 100% B for 31-32 min. Column re-equilibration is achieved at a flow rate of 0.4 mL/min at 100% B for 32-35 min. MS data were acquired using negative and positive ionization in full scan mode over the range of m/z 50-1200. Data were analyzed using Bruker TASQ software version 2.1.22.3. All reported metabolite intensities were normalized to dry tissue weight, as well as to internal standards with comparable retention times and response in the MS. Metabolite identification has been based on a combination of accurate mass, (relative) retention times and fragmentation spectra, compared to the analysis of a library of standards (Sigma-Aldrich MSMLS).

# Statistical analyses and data visualization

Data was processed and analyses were performed with R version 3.5.1<sup>59</sup> and Bioconductor version 3.7<sup>60</sup>. Data was processed in part with the R package dplyr version 1.0.2<sup>61</sup>. Principal Component Analysis (PCA) was performed using the R package MixOmics version 6.6.2<sup>62</sup>. Significance was assessed using an empirical Bayes moderated t-test on log2 transformed data within limma's linear model framework, taking participant groups into account<sup>63,64</sup>. Networks were constructed and visualized using igraph version 1.2.4.2<sup>65</sup>. Unless implemented through an aforementioned R package or base R graphics, visualization of data was performed using ggplot2 version 3.2.1<sup>66</sup>, ggpubr v 0.2.5<sup>67</sup>, ggrepel version 0.8.1<sup>68</sup>, with colors from RColorBrewer version 1.1-2<sup>69</sup>.

# Data availability

Metabolomics data are available as supplementary materials accompanying this manuscript as both summary statistics and processed abundance values per individual.

Young adults, normal physical activity	Trained older adults	Older adults, normal physical activity	Physically impaired older adults
20-30 years old	65-80 years old		
Normal physical activity Max 1h exercise	Highly physically active ≥ 3 times exercise	Normal physical activity Max 1h exercise per week	
per week	per week		
Normal physical function			Impaired physical function
SPPB score >9			SPPB score ≤9

# SUPPLEMENTARY MATERIAL FOR CHAPTER 4

Table S1: Inclusion criteria per study group. Participants were assigned to different study groups based on age, self-reported exercise levels, and physical function. Participants were considered normally physically active if they completed no more than one structured exercise session per week. Participants were considered exercise-trained if they engaged in at least three structured exercise sessions of at least 1 hour each per week for an uninterrupted period of at least one year prior to inclusion. Physical function was measured using the Short Physical Performance Battery, which includes a standing balance test, a 4-m walk test, and a chair-stand test. The SPPB score was calculated according to the cut-off points determined by (Guralnik et al. 1994). Participants were classified as physically impaired in case of an SPPB score of  $\leq 9$ .



# Figure S1: Global metabolomics changes of human muscle aging

(A) Principal Component Analysis (PCA) of metabolomes of young and older individuals possessing equal physical activity levels ('young' vs 'normal older adults'). (B) Volcano plot of fold change (x-axis, log2 scale) versus p-value (y-axis, -log10 scale) for older adults compared to young individuals with equal physical activity levels, illustrating significantly depleted (blue) or accumulated (red) metabolites with age. The horizontal line indicates significance (p<0.05). Significance was determined using an empirical Bayes moderated t-test.



# Figure S2: Comparison of age-related changes in each aging group

(A) Volcano plots of fold change (x-axis, log2 scale) versus p-value (y-axis, -log10 scale) for trained older adults (top), and physically impaired older adults (bottom) compared to young individuals, illustrating significantly depleted (blue) or accumulated (red) metabolites with age. Line indicates significance (p<0.05). Significance was determined using an empirical Bayes moderated t-test. (B) Venn diagram of the overlap of significantly accumulating or depleting metabolites in each aged group (trained older adults, older adults with normal physical activity levels, physically impaired older adults) compared to young individuals (p < 0.05).

Chapter 4



**Figure S3: NAD<sup>+</sup>-related metabolites of healthy aging groups (A-L)** Abundance levels of NAD<sup>+</sup>-related metabolites in the four muscle health groups, for **(A)** ADP-ribose, **(B)** Kynurenic acid, **(C)** Kynurenine, **(D)** Methyl-NAM, **(E)** NAD<sup>+</sup>, **(F)** NADH, **(G)** NADP<sup>+</sup>, **(H)** NADPH, **(I)** Nicotinamide mononucleotide (NMN), **(J)** Dihydronicotinamide riboside (NRH), **(K)** Ribose-5P, and **(L)** Tryptophan. Significance was determined using an empirical Bayes moderated t-test (\* p<0.05, \*\* p<0.01, \*\*\* p<0.001, n.s. = not significant).



# Figure S4: Correlation of molecular-physiological phenotypes with metabolites involved in NAD<sup>+</sup> synthesis

(A) Correlation matrix comparing the paired metabolome (dark blue) and muscle health parameters (light blue) in the older adults (Pearson's product-moment correlation coefficient). The scale ranges from blue (negative correlation), to yellow (no correlation), to red (positive correlation). Inset and cartoon: correlation between metabolites and muscle health parameters are used to reconstruct the network. (B) Abbreviations and measurements of the molecular-physiological phenotypes assessed in this analysis. Note: MOGS3, state 3 respiration upon malate + octanoyl-carnitine + glutamate + succinate, MGS3, state 3 respiration upon malate + glutamate + succinate

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# CHAPTER 5

NAD<sup>+</sup> - precursor supplementation with L-tryptophan, nicotinic acid, and nicotinamide does not affect mitochondrial function or skeletal muscle function in physically compromised older adults

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# Abbreviations

<sup>1</sup> H-MRS	<sup>1</sup> H-Magnetic resonance spectroscopy
ADP	Adenosine diphosphate
ADPr	Adenosine diphosphate riboside
AMPK	5' adenosine monophosphate-activated protein kinase
ATP	Adenosine triphosphate
BMI	Body mass index
BMR	Basal metabolic rate
CytC	Cytochrome C
DBP	Diastolic blood pressure
ETC	Electron transport chain
FCCP	Carbonylcyanide-4-(trifluoromethoxy)-phenylhydrazone
FFA	Free fatty acids
GEE	Gross exercise efficiency
INT	Intervention
MAP	Mean arterial pressure
NA	Nicotinic acid
NAAD	Nicotinic acid adenine dinucleotide
NAD(H)	Nicotinamide adenine dinucleotide
NADP(H)	Nicotinamide adenine dinucleotide phosphate
NAM	Nicotinamide
NE	Niacin equivalent
NEE	Net exercise efficiency
NR	Nicotinamide riboside
PCr	Phosphocreatine
QA	Quinolinic acid
SBP	Systolic blood pressure
SPPB	Short physical performance battery
TG	Triglycerides
L-Trp	L-Tryptophan
RER	Respiratory exchange ratio
UCP1	Uncoupling protein 1

# ABSTRACT

**Background:** Boosting nicotinamide dinucleotide (NAD<sup>+</sup>) via supplementation with niacin equivalents has been proposed as a potential modality capable of promoting healthy aging and negating age-dependent decline of skeletal muscle mass and function.

**Objective:** We investigated the efficacy the efficacy of NAD<sup>+</sup>-precursor supplementation (tryptophan, nicotinic acid, and nicotinamide) on skeletal muscle mitochondrial function in physically compromised older adults.

**Methods:** A randomized, double-blind, controlled trial was conducted in fourteen (female/male: 4/10) community-dwelling, older adults with impaired physical function (age: 72.9 (4.0) y; BMI: 25.2 (2.3) kg/m<sup>2</sup>). Participants were supplemented with 207.5 mg niacin equivalents/day (intervention, INT) and a control product (CON) that did not contain niacin equivalents, each for 32 days. The primary outcomes tested were mitochondrial oxidative capacity and exercise efficiency and was analyzed by means of paired student's T-test. Secondary outcomes such as NAD<sup>+</sup> concentrations were analyzed accordingly.

**Results:** Following supplementation, skeletal muscle NAD<sup>+</sup> concentrations (7.5 (1.9) vs 7.9 (1.6) AU in intervention (INT) vs control (CON)) were not significantly different compared to the control condition, whereas skeletal muscle methyl-nicotinamide (MeNAM) levels were significantly higher under NAD<sup>+</sup>-precursor supplementation (INT 0.098 (0.063) vs CON 0.025 (0.014); p = 0.001), suggesting increased NAD<sup>+</sup> metabolism. Conversely, both ADP-stimulated (INT 82.1 (19.0) vs CON 84.0 (19.2); p = 0.716) and maximally uncoupled mitochondrial respiration (INT 103.4 (30.7) vs CON 108.7 (33.4); p = 0.495) did not improve under NAD<sup>+</sup>-precursor supplementation; neither did net exercise efficiency during the submaximal cycling test (INT 20.2 (2.77) vs CON 20.8 (2.88); p = 0.342).

**Conclusions:** Our findings are consistent with previous findings on NAD<sup>+</sup> efficacy in humans and we show in community-dwelling, older adults with impaired physical function that NAD<sup>+</sup>-precursor supplementation through L-tryptophan, nicotinic acid, and nicotinamide does not improve mitochondrial or skeletal muscle function.

# INTRODUCTION

Aging has been defined as the time-dependent decline of function (1), with one of the most striking features of the aging process being the progressive loss of skeletal muscle mass (sarcopenia), and in turn, the decline of skeletal muscle physical function (2). The loss of skeletal muscle mass and function leads to mobility impairments, increased risk of falls, physical frailty, and metabolic impairments (3). Hence, a compromised physical function increases the risk of dependency in activities of daily living and care needs. With society confronted with an ever-aging population, a large effort of research has focused on identifying strategies to promote healthy aging.

Interestingly, the loss of skeletal muscle function is paralleled by an age-dependent decline in mitochondrial function (1), an established hallmark of aging that is considered to be a driving force behind skeletal muscle aging (4-6). Therefore, promoting mitochondrial metabolism may be a promising strategy to negate the metabolic and functional disturbances seen in skeletal muscle during aging (7).

One pathway that has received much attention in this context is the nicotinamide adenine dinucleotide (NAD<sup>+</sup>) - sirtuin (SIRT)-axis (8-11). The SIRT enzyme family is comprised by NAD<sup>+</sup>-dependent deacetylases involved in the regulation of mitochondrial metabolism (12) and act as sensors to the bioavailability of NAD<sup>+</sup> (13). The co-enzyme NAD<sup>+</sup> and its reduced form NADH are critical to the cellular redox potential and are the predominant electron donor in the electron transport chain (ETC). NAD<sup>+</sup>, however, is the rate-limiting substrate in SIRT activity, and NAD<sup>+</sup> bioavailability has been shown to decline with increasing age in mice (4, 10) and humans (14, 15). The human body derives NAD<sup>+</sup> from various dietary sources, as it can be synthesized *de novo* from the essential amino-acid L-tryptophan (L-Trp), or from niacin compounds such as nicotinic acid (NA) through the Preiss-Handler pathway or salvaged from nicotinamide (NAM) and nicotinamide riboside (NR) (16). From a dietary perspective, NR is a trace element, whereas L-Trp, NA, and NAM are more abundantly available in our diets (17).

Preclinical work in murine models of aging has demonstrated the efficacy of supplementing with NAD<sup>+</sup>-precursors, such as NR and nicotinamide mononucleotide (NMN), to negate the effects of aging-induced by declining NAD<sup>+</sup> levels (4, 18),

promote longevity (18), and improve energy metabolism, body weight, and mitochondrial oxidative metabolism (11). Following suit, several human intervention studies have focused on the use of the NAD<sup>+</sup>-precursor NR to improve cardiovascular health in middle-aged to older adults (19), to combat metabolic dysfunction in middle-aged obese individuals (20, 21), and to improve skeletal muscle metabolism in older adults (22). Although these studies provide some evidence on the efficacy of supplementation with NAD<sup>+</sup>-precursors in older adults to increase NAD<sup>+</sup> levels in blood and skeletal muscle through NR supplementation, evidence stemming from studies involving older adults with a compromised physical function and focusing on skeletal muscle function are still lacking.

Furthermore, other than through supplementation with high doses of NR or NA, there is only limited evidence discerning NAD<sup>+</sup>-precursor supplementation at lower doses. Dietary supplements bearing more resemblance of increased dietary intake may also has the potency to increase NAD<sup>+</sup> bioavailability in humans (23, 24). Moreover, increasing NAD<sup>+</sup> synthesis from L-Trp by inhibiting the ACMSD enzyme also leads to activation of SIRT1 and its downstream health benefits (25). Here, we aim to elucidate the efficacy of NAD<sup>+</sup>-precursor supplementation through a novel combination of L-Trp, NA, and NAM on skeletal muscle mitochondrial function in community-dwelling, older adults with impaired physical function.

#### METHODS AND MATERIALS

#### Participants

Fourteen physically compromised, older adults (65 years and older, BMI  $20 - 30 \text{ kg/m}^2$ ) were recruited from the general population in the vicinity of Maastricht through advertisements. The study was conducted in accordance with the principles of the declaration of Helsinki and approved by the Ethics Committee of the Maastricht University Medical Center+. All participants provided written informed consent and the study was registered at clinicaltrials.gov (NCT03310034).

All participants (4 females, 10 males) were Caucasian, non-smokers, and generally healthy as predetermined by a medical questionnaire and physical examination by a physician, with only 7 participants using prescription medication, with amongst

others: anti-hypertensives, statins, and proton pump inhibitors (summarized in Table 1). Impaired physical function of the participants was defined as a walking distance of 465 meters or less as assessed during a six-minute walk test (6MWT). Thus, during a clocked 6-minute session, participants were instructed to walk as far as possible without running, over a 20-meter flat surface track. The cut-off used to define impaired physical function was based on the median 6MWT walking distance (personal communication with Prof. Dr. Ir. C.P.G.M. de Groot, Dept. Of Agrotechnology and Food Sciences, Human Nutrition & Health, Wageningen University and Research) of the pre-frail subgroup of the NU-AGE cohort (26). Habitual physical activity was assessed and analyzed by Baecke's Habitual *Physical Activity* Questionnaire (27). Exclusion from participation was primarily based on the following: excessive alcohol and/or drug abuse, physical activity > 1 hour/week, inability to complete the required measurements, type 2 diabetes, significant allergies or intolerances concerning the study products, and medication use known to hamper the participant's safety during the study procedures.

The study was conducted between November 2017 and February 2020. Conduction of the study protocol was hampered and curtailed prematurely during the SARS-CoV-2 (COVID-19) virus outbreak in the Netherlands in accordance with the imposed governmental restrictions in early 2020. Ultimately, 13 participants completed the study protocol, with the fourteenth participant completing only the first research period and wash-out of the study protocol.

Participant characteristics	
Sex (female / male)	4 / 10
Age (years)	72.9 (4.0)
Height (cm)	170 (6)
Weight (kg)	72.9 (7.1)
Body Mass Index (kg/m <sup>2</sup> )	25.2 (2.3)
Systolic Blood Pressure (mmHg)	144 (12)
Diastolic Blood Pressure (mmHg)	84 (6)
Heart rate (beats per minute)	63 (10)
6-minute walking distance (m)	393 (53)
Maximal aerobic capacity (L/min)	2.31 (0.66)
Maximal cycling resistance (Watt)	176 (63)
Blood parameters	
Hemoglobin (mmol/L)	9.1 (0.6)
Creatinine (µmol/L)	88 (18)
eGFR CKD-EPI	71.6 (10.1)
Alkaline Phosphatase (U/L)	74 (22)
γGT (U/L)	23 (9)
AST (U/L)	23 (5)
ALT (U/L)	21 (5)
Bilirubin (µmol/L)	11.5 (5.0)
Cholesterol (mmol/L)	5.1 (0.7)
HDL-Cholesterol (mmol/L)	1.7 (0.5)
LDL-Cholesterol (mmol/L)	2.9 (0.6)
Triglycerides (mmol/L)	1.03 (0.32)
Free Fatty Acids (mmol/L)	0.47 (0.11)
Hb1Ac (%)	5.4 (0.3)
Glucose (mmol/L)	5.1 (0.6)
Baecke Physical Activity Index	7.26 (1.34)
Work Index	1.62 (0.98)
Sport Index	2.25 (0.49)
Leisure Index	3.39 (0.41)
Medication used (n)	· · ·
Statin	1
Proton pump inhibitor	1
Thrombocyte aggregation inhibitor	1
Calcium and Vitamin D	2
Thyromimetic	1
Anti-hypertensive drugs	3
Alfa blocker	1
NSAID	1
Benzodiazepine	1

#### Table 1 Baseline participant characteristics at screening.

Data is presented as mean (SD) for n = 14. Abbreviations: ALT, alanine transaminase; AST, aspartate transaminase; eGRF CKD-EPI, estimated glomerular filtration rate chronic kidney disease epidemiology collaboration; Hb1Ac, glycated hemoglobin; NSAID, nonsteroidal anti-inflammatory drug;  $\gamma$ GT, gamma-glutamyltransferase.

# Study design

We conducted a double-blind, randomized, controlled, cross-over trial in 13 physically compromised, older adults. Primary outcome parameters were *ex* and *in vivo* mitochondrial function and resting and submaximal exercise energy expenditure. Secondary outcome parameters were glucose tolerance, ectopic fat content, acetylcarnitine levels and physical function. All other outcome measures were exploratory. Each study period consisted of 32 days of supplementation with either the intervention product (INT) or control (CON), separated by at least 5 weeks of wash-out. We chose this intervention duration, based on previous studies in our lab with other nutritional supplements, such as resveratrol, that showed effects on metabolic outcome parameters (28). During the entire study, participants were instructed to maintain habitual physical activity levels and dietary choices and no dietary restrictions were imposed. An overview of the study design is presented in Figure 1.



**Figure 1 (A)** Study timeline and **(B)** study protocol depicting a CONSORT flow diagram of participant inclusion in the study. Abbreviations: B, baseline measurements preceding randomization; COVID-19, coronavirus disease 2019; M, end of study period measurements on days 29, 31, and 33

# Supplementation protocol

The intervention product (INT) was composed of a whey protein powder as a source of tryptophan, to which NA and NAM were added. In total, the equivalent of 207.5 mg/day niacin equivalents (NE) of NAD<sup>+</sup>-precursors was supplemented through L-Trp (210 mg/day at a 60:1 conversion rate (29, 30)), NA (4 mg/day), and NAM (200 mg/day). The control product (CON) was composed of an amino acid powder mixture resembling whey protein, but lacking L-Trp, NA, and NAM. Participants received the dietary supplements in a single daily serving, to be ingested during breakfast after dissolving the powder in 200 mL of orange juice. Production and packaging of the supplements were done by Danone Research and approved by the Toxicology and Safety department of Danone Research.

# Measurements

# Baseline testing

At baseline, participants underwent a maximal cycling test determining maximal aerobic capacity (VO<sub>2</sub>max) and maximal power output (Wmax) on an electronically braked cycle ergometer (Lode Excalibur, Groningen, The Netherlands), as described previously (31). O<sub>2</sub> consumption and CO<sub>2</sub> production were measured using indirect calorimetry (Omnical, IDEE, Maastricht, the Netherlands), and the respiratory exchange ratio (RER) was monitored as a verification of the participant's maximal effort.

# Day 1 – Start of supplementation

Following the baseline cycling test, participants were randomly allocated to the intervention or control arm and supplementation was initiated. Participants then reported to our facility on Day 1 and 15 to measure blood pressure, to provide a venous blood sample, and to fill out the RAND-36 Health Survey 1.0 questionnaire (32, 33). The venous blood sample was analyzed for standard clinical lab values in the Maastricht Hospital. The participants were randomized in blocks of 4 using the website Randomizer.org for randomization by an independent researcher.

Blood pressure was measured in sitting position on the non-dominant arm with an oscillometric cuff (Omron M6 Comfort, OMRON Healthcare Europe B.V., Hoofddorp, the Netherlands) in triplicate from which mean arterial pressure (MAP) was estimated from the systolic blood pressure (SBP) and diastolic blood pressure (DBP)

mean values of the measurement and calculated as MAP = [( $2/3 \times DBP$ ) + ( $1/3 \times SBP$ )].

# Day 29 – Hepatic lipid content

On day 29, participants reported to our facility in the fasted state at 7 AM to undergo proton magnetic resonance spectroscopy (<sup>1</sup>H-MRS) for the quantification of hepatic lipid content (intrahepatic lipid, IHL) with a 3T whole-body MR system (Achieva 3Tx; Philips Healthcare, Best, the Netherlands), as described previously (34). To prevent motion artifacts, participants were asked to breathe in the rhythm of the spectroscopic measurement. Subsequently, the water signal dominating the proton spectra was suppressed using frequency-selective pre-pulses and the spectra was fitted to quantify the lipid peak. The lipid/water ratio was used as a parameter of intrahepatic lipid content, as previously reported (34).

Next, a venous blood sample was acquired, the RAND-36 Health Survey 1.0 questionnaire was repeated and the short physical performance battery (SPPB) (35) was performed to assess physical function of the lower extremities. Lastly, blood pressure was measured as described previously under *Day 1*.

# Day 31 – Muscle volume

The participants underwent another MRI session at 5 PM on Day 31 to determine the muscle volume of the upper leg. Henceforth, the participant was positioned in the 3T MRI scanner (Achieva 3Tx; Philips Healthcare, Best, the Netherlands) in the supine position, feet first. T2 weighted MRI measurements were acquired covering the from the patella to the *art. coxae*, allowing muscle volume to be determined using 3D segmentation.

# Day 33 – Submaximal cycling test and skeletal muscle biopsy

On the last day of the study period (Day 33), participants reported to the research facility in the fasted state (from 10 PM the evening before). Body composition was measured by means of air displacement plethysmography (BodPod®, COSMED, Rome, Italy). Subsequently, indirect calorimetry through a ventilated hood system was used to determine the basal metabolic rate (BMR) and respiratory exchange ratio (RER) over a period of 30 minutes.

Next, a skeletal muscle biopsy was obtained from the *m. vastus lateralis* according to the Bergström method (36) with a side-cutting needle under local anesthesia using 1.0% lidocaine (10 mg/mL) without epinephrine, from which a portion was kept in a preservation medium and freshly used to prepare permeabilized muscle fibers according to Hoeks *et al.* (37). Subsequently, *ex vivo* high-resolution respirometry was performed using a two-chamber Oxygraph (OROBOROS Instruments, Innsbruck, Austria) using a multiple substrate/inhibitor titration protocol to extensively characterize the mitochondrial capacity of skeletal muscle tissue, as previously reported (38). Measurements were performed in quadruplicate and the integrity of the outer mitochondrial membrane was assessed by the addition of cytochrome c (CytC) upon maximal coupled respiration (State 3) and deemed intact if the response to the CytC addition remained under 10%, hence 16 out of 100 individual measurements were excluded.

Following the muscle biopsy, participants were submitted to a submaximal exercise session of one hour on an electrically braked cycling ergometer (Lode Excalibur, Groningen, The Netherlands) at 50% of their Wmax achieved in the maximal cycling test at baseline. indirect calorimetry was measured during the second and fourth quarter of the one-hour session.

# Calculations

From the indirect calorimetry data, energy expenditure and substrate oxidation rates were calculated using Weir's formula under the assumption of negligible protein oxidation (39). In addition, gross exercise efficiency (GEE) was calculated as  $[W_{ext} / E_{tot}]$  and net exercise efficiency (NEE) as  $[W_{ext} / (E_{tot} - E_{rest})]$  (40) from the submaximal exercise indirect calorimetry data.

# **Biochemical analyses**

#### Plasma metabolites

Using EDTA plasma, glucose (Horiba, Montpellier, France), insulin (Crystal Chem, Elk Grove Village, Illinois, USA), total free fatty acids (FFA) (Wako, Neuss, Germany), and triglycerides (TG) (Sigma, Saint Louis, Missouri, USA) concentrations were determined in samples collected on Day 1 and 29 by means enzymatic quantification with the ABX Pentra C400 (Horiba, Montpellier, France). All time points

of one participant were measured in the same run with insulin and FFA levels measured in duplicates.

#### Acylcarnitine analysis

2-4 mg of freeze-dried muscle tissue was used to analyze skeletal muscle acylcarnitines, as previously described (41). Quantitative determination of the propylated acylcarnitines in the medium was performed using tandem mass spectrometry in an Acquity UPLC System (Waters) coupled to a Quattro Premier XE Tandem Quadrupole Mass Spectrometer (Waters). The internal standards d3-0, d3-3, d3-6, d3-8, d3-10 and d3-16 carnitine were purchased from Dr. Herman J. ten Brink (Vrije Universiteit Medical Hospital, Amsterdam, The Netherlands).

# Enzymatic cyclic assay for quantitative NAD determination

1-5 mg of freeze-dried muscle tissue were homogenized in 250  $\mu$ L of 2M HClO<sub>4</sub> using a TissueLyser II (Qiagen) bead mill for 5 minutes at 30 pulses per second. After centrifugation at 16000g, 100  $\mu$ L of the acidic supernatant were neutralized by addition of 150  $\mu$ L 2M/0.6M KOH/MOPS and centrifuged again to remove precipitated salts. NAD<sup>+</sup> content was determined using an enzymatic spectrophotometric cycling assay based on the coupled reaction of malate and alcohol dehydrogenases, as previously described (42). Enzymes used for NAD<sup>+</sup> determination (alcohol dehydrogenase from yeast, malate dehydrogenase from pig heart, and glutamate oxaloacetic transaminase from pig liver) were purchased from Sigma.

#### Metabolomics procedure

2-4 mg of freeze-dried muscle tissue was used for metabolomics analysis as previously described (20). Briefly, metabolite analysis was carried out in an Aquity UPLC system (Waters) coupled to an Impact II<sup>TM</sup> Ultra-High Resolution Qq-Time-Of-Flight mass spectrometer (Bruker). Chromatographic separation of the compounds was achieved using a SeQuant ZIC-cHILIC column (PEEK 100 x 2.1 mm, 3  $\mu$ m particle size, Merck, Darmstadt, Germany) at 30 °C. The LC method consisted in a gradient running at 0.25 mL/min from 100% mobile phase B (9:1 acetonitrile:water with 5 mM ammonium acetate pH 6.8) to 100% mobile phase A (1:9 acetonitrile:water with 5 mM ammonium acetate pH 6.8) in 28 minutes, followed by
are-equilibration step at 100% B of 5 minutes. MS data were acquired both in negative and positive ionization modes in full scan mode over the range of m/z 50-1200.

### Statistical analyses

The variation in the primary outcome mitochondrial state 3 respiration on a combination of malate, octanoyl-carnitine, glutamate and succinate ( $\sigma = ~8 \text{ pmol}^* \text{ mg}$  wet tissue<sup>-1\*</sup>min<sup>-1</sup>) was established previously during a pre- and post-intervention high-resolution respirometry (43). We have previously shown that the difference in mitochondrial function between healthy and insulin resistance volunteers is ~ 20%. To detect a difference of ~10% increase in mitochondrial function ( $\mu = ~6.0 \text{ pmol}^* \text{ mg}$  wet tissue<sup>-1\*</sup>min<sup>-1</sup>), which we believe is physiologically relevant in this population and which is achievable with a short-term nutritional intervention as demonstrated previously (28), 14 participants must be included with a power ( $\pi$ ) of 80% and a two-sided significance level ( $\alpha$ ) of 0.05.

Statistical analysis was performed in SPSS 26 for Mac OS (IBM® SPSS® Statistics, USA) and graphs were made in Prism 8.4.2 for Windows (GraphPad Software, Inc., USA). Descriptive statistics were reported for all data parameters as mean  $\pm$  standard deviation (SD), unless otherwise stated. Normality of the data distributions were evaluated with the Kolmogorov-Smirnov normality test and deemed significant when p < 0.05. The paired Students t-test was used to compare the results of the intervention to the control, or the equivalent Wilcoxon signed-rank test was used if normality was not met. In the event of missing values, the values were not replaced and the participant in question did not contribute to the analysis of that outcome, hence all analyses encompass n = 13, unless stated otherwise. No carry-over or order effect was detected. In addition, Pearson correlation coefficients were computed. Bonferroni corrections were imposed to correct for multiple testing of single outcome measures. Moreover, due to multiplicity of the primary outcomes the type 1 error was corrected for using a Bonferroni correction as (alpha / n). Statistical significance was achieved if the p-value < 0.05.

### RESULTS

# NAD<sup>+</sup>-precursor supplementation increased methyl-nicotinamide but not NAD<sup>+</sup> bioavailability in skeletal muscle

Compliance to the supplement use was estimated by the fraction of sachets returned compared to the number of sachets provided to the participants. For the supplementation with precursors (INT), 100% (100%) of all sachets were consumed, compared to 99% (range, min-max: 97-100%) in the control condition (CON). Overall, the products were well tolerated, with only one participant reporting cold sores (*herpes labialis*), which resolved without intervention. Subject characteristics at the start of the study are summarized in Table 1. No carry-over or order effect was detected for any of the primary outcome parameters.

In order to determine the efficacy of the NAD<sup>+</sup>-precursor supplementation, the concentration of NAD<sup>+</sup> as well as several metabolites of the NAD<sup>+</sup> synthesis pathways were measured in skeletal muscle tissue, obtained from the *m. vastus lateralis*. These analyses showed that NAD<sup>+</sup> levels were similar between INT and CON (p = 0.123, Figure 2).

From the *de novo* biosynthesis pathway, L-Trp, kynurenine, and guinolinic acid (QA) did not differ between INT and CON ( $p \ge 0.05$ , n = 11, Figure 2). Synthesis of NAD<sup>+</sup> from nicotinic acid (NA) through the Preiss-Handler pathway leads to synthesis of nicotinic acid adenine dinucleotide (NAAD), which was not different between NAD and CON (p = 0.262, n = 11. Figure 2), NAD<sup>+</sup> can also be salvaged from NMN, NAM. and NR, but also in these precursors no differences between INT and CON were observed ( $p \ge 0.05$ , n = 11, Figure 2). Consumption of NAD yields NAM and ADPr, with no differences observed in ADPr levels between INT and CON (p = 0.413, n =11, Figure 2). Conversely, the concentration of the primary breakdown product of NAM, methyl-NAM (MeNAM), which is also commonly described as a marker for increased NAD turnover (22), was measured and revealed significantly higher MeNAM concentrations in INT compared to CON (p = 0.001, Figure 2). Alternatively, NAD<sup>+</sup> can be phosphorylated into nicotinamide dinucleotide phosphate (NADP<sup>+</sup>), in which we did not observe a difference between conditions (p = 0.833, n = 11, Figure 2). The respective reduced forms, NADH and NADPH, also did not differ between conditions (p = 0.389, p = 0.365 respectively, n = 11, Figure 2).



**Figure 2** NAD<sup>+</sup> metabolome in skeletal muscle tissue obtained from older adults with compromised physical function administered supplemental niacin equivalents and placebo, each for 32 days. The y-axis defines the AUC (AUC<sup>\*</sup> IS AUC<sup>-1</sup>) per mg of dry tissue used in the MS analysis. Data are displayed as means  $\pm$  SDs, n = 11. \*P < 0.01. Abbreviations: ADPr, adenosine diphosphate riboside; AUC, area under curve; CON, control; INT, NAD intervention; IS, internal standard; MeNAM, methyl-nicotinamide; NA, nicotinic acid; NAAD, nicotinic acid adenine dinucleotide; NAM, nicotinamide; NMN, nicotinamide mononucleotide; NR, nicotinamide riboside.

# Skeletal muscle acylcarnitine levels were unaffected by NAD<sup>+</sup>-precursor supplementation

We previously showed that NR supplementation in humans altered acylcarnitine levels in skeletal muscle (20). Therefore, acylcarnitine levels were determined in the skeletal muscle tissue and species were categorized in subgroups, being free (C0), independent (C2), short-chain (C3-C5), medium-chain (C6-C12), and long-chain (C13-C20) acylcarnitines. Ultimately, no differences were observed in the concentrations of acylcarnitine subgroups between INT and CON ( $p \ge 0.05$ , Figure 3ABCD, n = 11).



**Figure 3** Skeletal muscle acylcarnitine levels in older adults with compromised physical function administered supplemental niacin equivalents and placebo. **(A)** Acylcarnitine levels in skeletal muscle for free (C0) and independent species (C2). Acylcarnitine levels for **(B)** short chain, **(C)** medium chain, and **(D)** long chain species (LC). Data are displayed as means  $\pm$  SDs, n = 11

Chapter 5

### Body composition was not affected by NAD<sup>+</sup>-precursor supplementation

Body weight did not differ between INT (73.0 kg (68.6, 77.5) and CON (72.9 kg (68.6, 77.2), p = 0.812). Also, body composition was unaffected by NAD<sup>+</sup>-precursor supplementation as fat percentage was not different for INT and CON (34.2% (29.3, 39.2) and 33.3% (27.6, 38.9) respectively, p = 0.121).

# NAD<sup>+</sup>-precursor supplementation did not improve overall *ex vivo* mitochondrial respiration capacity

To determine skeletal muscle mitochondrial capacity, we performed ex vivo highresolution respirometry on permeabilized muscle fibers obtained from skeletal muscle biopsies. In the presence of substrates alone (State 2, Figure 4A), oxygen flux was similar in INT and CON, although a slight but significant difference was observed upon malate + glutamate (MG, p = 0.010) between INT and CON. Also, the assessment of ADP-stimulated (State 3) respiration fueled by complex I-linked substrates (MO: Figure 4B, p = 0.882) did not reveal any differences between INT and CON. If anything, state 3 respiration upon malate + glutamate tended to be lower in INT vs. CON (MG: p = 0.054, Figure 4C). State 3 respiration upon parallel electron input into complex I and II showed no differences between INT and CON with the substrate combinations malate + octanovl-carnitine + glutamate (MOG, p =0.753), malate + octanoyl-carnitine + glutamate + succinate (MOGS, p = 0.716), or malate + glutamate + succinate (MGS, p = 0.388, Figure 4D). Maximal uncoupled respiration (State 3U), a reflection of the maximal capacity of the electron transport chain, did not differ between INT and CON (p = 0.495, Figure 4E). Lastly, mitochondrial proton leak (State 40) was assessed through the addition of oligomycin and did not differ between INT and CON (p = 0.888, Figure 4F). CytC responses were on average 1.32% for INT and 0.73% for CON and did not differ between conditions (p = 0.368).



#### NAD<sup>+</sup>-Precursor Supplementation in Older Adults

**Figure 4** States of mitochondrial respiration of skeletal muscle tissue obtained from older adults with compromised physical function administered supplemental niacin equivalents and placebo, each for 32 days. (A) State 2 respiration. (B) State 3 respiration under ADP stimulation with lipid substrates. (C) State 3 respiration with substrates focusing on Complex I. (D) State 3 respiration with parallel substrates focus on Complex I and II. (E) Uncoupled respiration under FCCP addition. (F) State 4o respiration uncoupled from ATP synthase. Values are represented as means  $\pm$  SDs, n = 13. \*P < 0.05. Abbreviations: FCCP, carbonylcyanide-4-(trifluoromethoxy)-phenylhydrazone; G, glutamate; M, malate; O, octanoyl-carnitine; S, succinate.

# NAD<sup>+</sup>-precursor supplementation did not affect plasma substrate concentrations

Plasma samples obtained on Day 29 of each research period were analyzed for the concentrations of glucose, insulin, FFA, and TG. Glucose concentrations did not differ for INT (5.87 mmol/L (5.57, 6.16) and CON (5.76 mmol/L (5.52, 6.01), p = 0.223). Correspondingly, insulin levels were not different between INT (9.41 mU/L (4.18, 14.7) and CON (9.12 mU/L (5.40, 12.8), p = 0.807). Concentrations of FFA showed no differences between INT (496  $\mu$ mol/L (397, 595) and CON (512  $\mu$ mol/L (416, 609), p = 0.812). Lastly, plasma TG levels were not different between INT (0.830 mmol/L (IQR 0.675, 1.03) and CON (0.990 mmol/L (IQR 0.610, 1.43), p = 0.226).

### Basal metabolic rate and exercise efficiency were not altered by NAD<sup>+</sup>precursor supplementation

Basal metabolic rate and fasting RER were similar in INT and CON (p = 0.824 and p = 0.297, respectively, Figure 5A and B). As a result, neither carbohydrate nor lipid oxidation in the fasted state was different between INT and CON (p = 0.588, Figure 5C and p = 0.787, Figure 5D, respectively).

Besides assessment in resting conditions, substrate utilization was also determined during a submaximal cycling test. All participants completed the first 30 minutes of the cycling test, however, 6 out of 14 participants could not proceed with the cycling test at the set resistance and either decided to cease testing (n = 2) or required the resistance to be reduced (n = 4) in order to complete the remaining 30 minutes of the test. Therefore, we only report parameters measured in the first half-hour of the cycling test.

Besides substrate utilization, also gross (GEE) and net (NEE) exercise efficiency were also evaluated during the submaximal cycling test. Total energy expenditure during cycling did not differ between INT and CON (p = 0.103, Figure 6E), nor did cycling RER, carbohydrate oxidation, or lipid oxidation rates differ between INT and CON (p = 0.366, p = 0.186, p = 0.466 respectively, Figure 6F-H). GEE did not differ between conditions (p = 0.419, Figure 6I). NEE (i.e. GEE corrected for the BMR,) was also not different between conditions (p = 0.636, Figure 6J).



**Figure 5** Whole-body indirect calorimetry of older adults with compromised physical function administered supplemental niacin equivalents and placebo. (A) Basal metabolic rate and (B) respiratory exchange ratio, with corresponding (C) carbohydrate oxidation rate and (D) lipid oxidation rate. (E) Submaximal exercise energy expenditure with corresponding (F) respiratory exchange ratio with corresponding (G) carbohydrate and (H) lipid oxidation rates. (I) Gross and (J) net exercise efficiency from submaximal cycling test. Data are represented as means ± SDs, *n* = 13. Abbreviations: CO, control; INT, NAD intervention.

### Intrahepatic lipid content and muscle volume were not affected by NAD<sup>+</sup>precursor supplementation

Intrahepatic lipid content was measured non-invasively by <sup>1</sup>H-MRS and did not differ between INT (2.8% (0.8, 4.8) and CON (2.7% (1.1, 4.3), p = 0.624). Muscle volume of the *m. vastus lateralis*, assessed by MRI, was not different for INT (1700 cm<sup>3</sup> (1440, 1960) compared to CON (1710 cm<sup>3</sup> (1450, 1980), n = 11, p = 0.450).

### NAD<sup>+</sup>-precursor supplementation did not affect blood pressure

SBP and DBP were measured throughout each study period to evaluate the effect of NAD<sup>+</sup>-precursor supplementation on this parameter. Summary of the data is presented in Table 2. No differences were observed between INT and CON in SBP on Day 1 (p = 0.821), Day 15 (p = 0.741), and Day 29 (n = 12, p = 0.877). DBP did not differ between conditions on Day 1 (p = 0.551), Day 15 (p = 0.733), and Day 29 (n = 12, p = 0.433). Lastly, MAP was estimated from the acquired SBP and DBP values and was not different for INT and CON on Day 1 (p = 0.653), Day 15 (p = 0.976), and Day 29 (n = 12, p = 0.738).

	Intervention	Control	P-value
SBP (mmHg)			
Day 1	140	141	0.821
	(131, 148)	(131, 151)	
Day 15	140	139	0.741
	(131, 149)	(129, 148)	
Day 29	136	137	0.877
	(128, 145)	(130, 143)	
DBP (mmHg)			
Day 1	82.9	84.3	0.551
	(77.6, 88.2)	(80.0, 88.6)	
Day 15	82.9	83.8	0.733
	(78.1, 87.8)	(78.5, 89.0)	
Day 29	81.1	79.4	0.433
	(75.1, 87.0)	(74.8, 84.1)	
MAP (mmHg)			
Day 1	102	103	0.653
	(97, 107)	(97, 109)	
Day 15	102	102	0.976
	(97, 107)	(96, 108)	
Day 29	99.4	98.5	0.738
	(93.5, 105)	(94.0, 103)	

### Table 2 Blood pressure in older adults with compromised physical function administered supplemental niacin equivalents and placebo, each for 32 days.

Values are presented as means (95% CIs), n = 13 or 12 (Day 29).

Abbreviations: DBP, diastolic blood pressure; MAP, mean arterial pressure; SBP, systolic blood pressure.

### SPPB performance did not improve under NAD<sup>+</sup>-precursor supplementation

The SPPB was used as a clinical measure of physical functioning. Scores for the balance test, the walking speed, and the chair-rise test were similar in INT and CON (p > 0.999, p = 0.500, and p = 0.489, respectively). The overall score on the SPPB was also not different between INT (11.0 (IQR 10.00, 11.5) and CON (11.0 (IQR 9.50, 12.0), p > 0.999).

# Perceived physical functioning was positively influenced by NAD<sup>+</sup>-precursor supplementation

The RAND-36 Health Survey 1.0 questionnaire was used as a measure of perceived health status. Although measured physical functioning was unaffected by NAD<sup>+</sup>-precursor supplementation, significantly higher scores were observed for the perceived physical functioning domain in INT (92.0 (IQR 90.0, 100) as compared to CON (90.0 (IQR 86.3, 93.8), n = 12, p = 0.031, Figure 6). However, the other 8 out of 9 domains of the RAND-36 did not show any differences between INT and CON on Day 29 ( $p \ge 0.05$ , Figure 6).



**Figure 6** RAND-36 Health Survey 1.0 questionnaire of older adults with compromised physical function administered supplemental niacin equivalents and placebo over 8 domains on Day 29. All domains can be scored between 0 and 100, with higher scores being better. Data are represented as means  $\pm$  SDs, n = 12, \*P < 0.05.

### DISCUSSION

Mitochondrial dysfunction is an established hallmark of aging and together with declining NAD<sup>+</sup> levels, these factors are thought to drive the age-dependent decline in skeletal muscle physical function. Here, we hypothesized that supplementation with the NAD<sup>+</sup>-precursors L-Trp, NA, and NAM, in a dose that could be achieved through increased dietary intake, would improve mitochondrial oxidative capacity in community-dwelling, older adults with impaired physical function. We tested this hypothesis by conducting a double-blind, randomized, controlled, cross-over trial with detailed metabolic phenotyping. We show that supplementing with L-Trp, NA, and NAM does elevate MeNAM concentrations in skeletal muscle tissue, but in discordance with our hypothesis, we found no improvement in mitochondrial respiration capacity in older adults with an impaired physical function.

In the current study, we did not observe an improvement in mitochondrial respiratory capacity in skeletal muscle under NAD+-precursor supplementation compared to the control condition. We have previously shown that the NAD<sup>+</sup>-precursor acipimox was able to improve mitochondrial function in type 2 diabetes patients (44). However, the lack of effect of NAD<sup>+</sup>-precursor supplementation in the current study is in accordance with similar recent observations with NR supplementation in obese individuals (45, 46) and in older adults (22), and extends this observation to physically compromised older adults. Along the same lines, SPPB performance, energy expenditure, substrate utilization, and exercise efficiency were all unaffected by NAD<sup>+</sup>-precursor supplementation. These findings are in agreement with Martens et al. (19), who investigated the effect of NR supplementation in healthy, lean, middle-aged to older adults on parameters of physical function such as resting energy expenditure, maximum aerobic capacity, and body composition, and found no differences between NR and placebo. In accordance, Elhassan et al. (22) showed that three weeks of NR supplementation does not increase handgrip strength in older adults. In contrast to our previous finding that NR supplementation resulted in a minor but significant reduction in fat mass in middle-aged obese humans (45), we did not find any effect on body composition upon NAD<sup>+</sup>-precursor supplementation in the current study. Also, muscle volume was similar in both conditions. Interestingly, although no improvements in physical function were detected upon NAD<sup>+</sup>-precursor supplementation, perceived physical function was improved upon NAD<sup>+</sup>. Possibly,

subtle changes in physical function did occur, which were not detected by our outcome parameters. However, given the small number of volunteers in our study and the fact that this conclusion was based on one out of 9 domains of the RAND-36 survey, one should be cautious in interpreting this result. Future studies should determine both perceived versus actual physical function in (NAD<sup>+</sup>) supplementation studies.

Blood pressure has also been reported to be susceptible to NAD<sup>+</sup>-precursor supplementation in humans (19). However, we did not observe a change in SBP, DBP, or MAP under NAD<sup>+</sup>-precursor supplementation. This is in agreement with our previous NR supplementation study in healthy obese humans, which revealed no improvements in SBP, DBP, or MAP by performing 36-hour blood pressure measurements (45). These data, however, conflict with previous findings from Martens *et al.* (19) showing improvements in SBP and DBP in healthy middle-aged to older adults following 6 weeks of NR supplementation, which may be explained by discrepancies in the methodologies used to measure blood pressure, such as the higher dose used and duration of the supplementation.

Several studies have demonstrated the ability of NAD<sup>+</sup>-precursor supplementation to reduce IHL content in mice (47-49). Moreover, Dollerup *et al.* (21) have reported the tendency for IHL content to decline in obese humans following 12 weeks of NR supplementation. Although this observation did not reach statistical significance, the majority of the NR supplemented group (13 out of 20 participants) had an IHL content greater than 5% prior to supplementation. Here, we did not observe a beneficial effect of NAD<sup>+</sup>-precursor supplementation on IHL content. This is possibly due to the already low IHL content in our cohort, with only two participants displaying IHL contents greater than 5%, which is representative of non-alcoholic fatty liver disease (NAFLD) (50), thereby limiting the potential for a reduction in IHL content.

It was previously shown that aging is associated with reduced intracellular NAD<sup>+</sup> levels in skeletal muscle in humans (14, 15). It is now generally accepted that NAD<sup>+</sup> metabolism is a main pathway in the regulation of mitochondrial function in skeletal muscle and would be a target for intervention to stimulate mitochondrial function and thereby prevent age-related decline in physical muscle function. In this light, Pirinen

et al. have shown that a titration protocol of 250 mg/day to 1000/day mg of niacin over 4 weeks is able to rescue mitochondrial respiration in adult-onset mitochondrial myopathy patients (51), demonstrating the potential and efficacy of NAD<sup>+</sup>-precursor supplementation in humans with pre-existent conditions. Notably, the window of opportunity for NAD<sup>+</sup>-precursor supplementation in this population with niacin deficiency by far exceeds the possibilities in populations who are not niacin deficient. Here, we studied a group of community-dwelling, older adults with an impaired physical function and, in measuring the NAD<sup>+</sup> levels in skeletal muscle tissue, we found that NAD<sup>+</sup> concentrations in skeletal muscle remained unaffected upon supplementation. We supplemented with three separate NAD<sup>+</sup>-precursors, being L-Trp, NA, and NAM, aiming to take advantage of their respective pathways to contribute to the overall NAD<sup>+</sup> pool, as illustrated in Figure 2. It has previously been shown that NAM supplementation to cells and mice leads to extended lifespan and healthspan, respectively (52, 53). Furthermore, NAM supplementation has been shown to improve glucose metabolism in a rodent model of obesity and type 2 diabetes (54). Recently, de novo biosynthesis of NAD<sup>+</sup> from L-Trp has received more attention as a viable dietary source of NAD<sup>+</sup> (25). Katsyuba et al. demonstrated that upon the inhibition of the  $\alpha$ -amino- $\beta$ -carboxymuconate- $\epsilon$ -semialdehyde decarboxylase (ACMSD) enzyme in liver and kidney, the conversion of L-Trp to NAD<sup>+</sup> has the potential to induce SIRT1 activation and, thereby, improve mitochondrial function (25). Here, we did not observe an increase in metabolites belonging to the kynurenine pathway from L-Trp to QA and subsequently to NAAD, a suggested sensitive biomarker of NAD<sup>+</sup>-precursor supplementation efficacy (55). It is possible that the majority of the L-Trp ingested was consumed by the ACSMD enzyme instead of allowing for spontaneous cyclisation of ACSM into QA and further enzymatic conversion to NAAD and ultimately NAD<sup>+</sup>. However, this remains speculative, as this can only be investigated by acquiring liver tissue, which we have not done in this study. Therefore, more human studies are needed to examine the effects of L-Trp and NAM, given at higher doses, on metabolic health outcomes.

Moreover, we opted to use a low dose of 4 mg of NA daily in order to limit the occurrence of flushing due to its vasoactive properties (56). NAAD is the point of convergence for L-Trp and NA metabolism towards NAD<sup>+</sup> synthesis. However, NAAD concentrations did not increase in skeletal muscle, suggesting either an

insufficient dose or the preference of skeletal muscle tissue for another NAD<sup>+</sup>precursor. Additionally, Liu et al. have shown through isotope-tracer methodology that L-Trp, NA, and NAM are the predominant physiological circulatory NAD<sup>+</sup>precursors (57). Labeling of L-Trp and NA showed that these precursors are converted to NAD<sup>+</sup> in the liver and subsequently excreted into the circulation as NAM, and that NAM exchange from the circulation to skeletal muscle tissue is slow, in addition to skeletal muscle tissue exhibiting a low turnover rate of NAD<sup>+</sup> (57). This may possibly explain why we did not observe an increase in NAM in skeletal muscle tissue in this study, or any other metabolite of the NAD<sup>+</sup>-precursors used in our supplementation protocol. Herein, our results contrast with observations of increasing NAAD in skeletal muscle made by us and others previously when supplementing with high doses of NR in obese (45) and aged individuals (22), suggesting that NAAD may be a sensitive, yet specific biomarker for NR supplementation efficacy.

The concentrations of NAD<sup>+</sup> in skeletal muscle tissue observed in both conditions of the current study appear to be comparable to concentrations found previously by us in a group of healthy, middle-aged, obese individuals supplemented with 1000 mg/day of NR, and demonstrating unresponsive NAD<sup>+</sup> levels to NR supplementation compared to placebo (20). This raises the question whether NAD<sup>+</sup> levels per se are determinants of efficacy of NAD<sup>+</sup>-precursor supplementation, or that fluxes of NAD<sup>+</sup> are more reliable measures of efficacy. We found that the NAD<sup>+</sup> concentrations in skeletal muscle tissue were unresponsive to supplementation under differing supplementation strategies in two different populations (the present study and (20)) and observed increased levels of MeNAM in both studies. This observation is also in line with the observations of Elhassan et al. (22) in NR supplemented older adults showing increased MeNAM levels in skeletal muscle, admit unchanged NAD+ concentrations. From the above, one could speculate that the concentration of NAD+ in skeletal muscle tissue is likely to be near the homeostatic maximum in humans in the absence of disease or niacin deficiency, as illustrated by the study of Pirinen et al. (51). This has also been proposed in the 'second hit' theory by Elhassan et al. (22), in which NAD<sup>+</sup> levels may only decline if chronological age is struck by a second trigger leading to metabolic stress, such as physical inactivity or (chronic) disease. In accordance with this reasoning, we previously showed that supplementation with the synthetic NA-analogue Acipimox increased skeletal muscle

mitochondrial respiration capacity in individuals with T2DM, who are characterized by a relatively low mitochondrial capacity compared to BMI-matched healthy controls (44). From the above, it could be concluded that there is potential little room for improvement in skeletal muscle NAD<sup>+</sup> levels in healthy individuals through supplementation with NAD<sup>+</sup>-precursors, with as a consequence, little or no effect on downstream targets such as mitochondrial function, such as observed in the current study.

We acknowledge that this study is susceptible to limitations. The participants may represent the 'cream of the crop' in terms of older adults with an impaired physical function. As per the 6MWT distances recorded during the screening, the average walking speed in this cohort is 1.09 m/s, fitting of a healthy older (58) and prefrail population (59). Although individual 6MWT performance met our inclusion criterium, performance on the maximal cycling test yielded a larger variation in overall maximum cycling performance leading to a more heterogeneous cohort than expected. Walking performance is a good predictor of physical function and frailty, co-morbidity, and death (58), but it is hard to distinguish whether walking performance is limited by muscle function or may be limited by other health problems; i.e. a decreased walking performance does not necessarily have to translate to a reduced cycling performance, as joint issues could be the limiting factor and may be less prominent during cycling compared to walking. Secondly, the selected dose of 207.5 mg/day NE (niacin equivalents), although being 12-15 times the RDA for vitamin B-3, may be insufficient to elevate NAD<sup>+</sup> levels in humans. The recommended daily allowance (RDA) of niacin for adult humans is set at 16 mg NE for males and 14 mg for females (17). However, no guidelines exist for older adults specifically. To date, only a small number of studies have looked into the NAD+ boosting ability of lower doses of NAD<sup>+</sup>-precursors in humans (23, 24), and found that doses of 100 mg/day, 250 mg/day, and 300 mg/day NE are able to increase plasma NAD<sup>+</sup> metabolite levels over baseline, such as NAM and MeNAM. However, these studies did not investigate intracellular levels in target tissues such as skeletal muscle. Here, we have supplemented older adults with 207.5 mg NE per day, a level of supplementation emulating increased dietary intake, and show that this is insufficient to increase levels of NAD<sup>+</sup> and its metabolites in skeletal muscle tissue but does increase skeletal muscle MeNAM levels, suggesting an increase in skeletal muscle NAD<sup>+</sup> metabolism. Further, the duration of the intervention was set at 32 days, which may be relatively short for health benefits to surface. However, we have shown that 30 days of resveratrol supplementation in obese individuals improves mitochondrial respiration capacity (28), supporting the notion that short-term interventions can positively affect human metabolism. Lastly, we cannot exclude that the sample size of the study was too small, although we have previously shown with a similar cross-over design and the methodology used that differences upon nutritional supplementation can be observed with similar sample size. Also, the chance of making a type I error due to testing of multiple study outcomes cannot be excluded.

In summary, we investigated the efficacy of NAD<sup>+</sup>-precursor supplementation on mitochondrial function aiming to combat the age-dependent decline in skeletal muscle function. We show in community-dwelling, older adults with impaired physical function that of L-Trp, NA, and NAM did increase MeNAM levels in skeletal muscle tissue but did not improve mitochondrial function and skeletal muscle function. Notwithstanding, further research is merited to elucidate the full potential of NAD<sup>+</sup>-precursor supplementation in specific groups with more prominent metabolic dysfunction, such as (morbidly) obese and T2DM individuals. Future studies must also tailor their interventions towards the tissues targeted, optimizing delivery, and taking the fate of the chosen NAD<sup>+</sup>-precursor into account.

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### **Duality of interest**

The authors declare that there is no duality of interest associated with this manuscript.

### **Contribution statement**

N.J.C., L.G., J.d.V., R.H., J.G., B.H., J.H., and P.S. designed research; N.J.C., L.G., C.E.F., and Y.M.H.B. performed research; N.J.C., E.K.M., Y.M.H.B., V.B.S.H., B.H., R.Z.P., R.H.H., J.H., and P.S. analyzed samples and data. N.J.C., J.H., and P.S. wrote the manuscript and all authors critically reviewed the manuscript. P.S. is the guarantor of this work and, as such, had full access to all the data in the study and takes responsibility for the integrity of the data and the accuracy of the data analysis. P.S. is the corresponding author of this work. All authors approved the version to be published.

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# **CHAPTER 6**

### Type II muscle fibre properties are not associated with balance recovery following large perturbations during walking in young and older adults

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### ABSTRACT

Falls among older adults are often attributed to declining muscle strength with ageing. Associations between muscle strength and balance control have been reported, but the evidence for, and key mechanisms of resistance exercise in fall prevention are unclear. No studies have directly examined the relationship between muscle fibre characteristics and reactive balance control. Here, we address whether or not Type II muscle fibre characteristics associate with reactive balance during walking in young and older adults with varying muscle fibre type composition. We analyse muscle biopsy-derived fibre characteristics and stability during a treadmill-based walking perturbation (trip-like) task of healthy young adults, healthy, normally active older adults, trained older adults and physically impaired older adults. We find no significant associations between Type II muscle fibre properties and reactive balance during walking, indicating that practitioners and researchers should consider more than just the muscle tissue properties when assessing and intervening on fall risk.

### INTRODUCTION

Loss of muscle mass in humans after the age of 50 years is largely attributable to declines in lower body muscle mass [1], which has implications for mobility in older age. Specifically, the leg extensor muscle-tendon groups critical for gait [2-4] show reduced muscle strength and altered tendon mechanical properties in older, compared to younger adults [5-8]. Lower limb muscle strength [9-12], power [11,13,14] and quality [15,16] have been associated with daily life falls incidence in older adults, although findings are not unequivocal [12,13,17]. The majority of falls in community-dwelling older adults occur following large balance disturbances (like trips and slips) during walking [18-25]. Small to moderate associations have been reported between lower limb muscle strength and balance recovery performance following laboratory-based lean-and-release [26-30], trip [31-34] and slip [35,36] perturbations simulating common causes of falls. Regarding interventions, there is weak or mixed evidence that general strength training alone can improve balance [37-39] and reduce falls [40], and whether a direct cause-effect relationship between muscle strength and balance performance exists has been questioned [41].

The loss in muscle strength and function with increasing age may occur due to muscle fibre atrophy and muscle fibre loss [42-45]. Age-related decline in muscle mass seems to be primarily attributable to an atrophy of specifically Type II muscle fibres [46-51]. Older age is also associated with a change in the expression of myosin heavy chain isoforms (MHC) in favor of slow MHC I, reflecting the shift towards a slower fibre type profile (Type I) and the selective atrophy of Type II fibres [51-53]. One study on older female participants with and without hip fractures reported that age and hip fracture history had significant individual and accumulating effects on Type II muscle fibre size (decreasing with age and fall-related hip fracture) [46]. Encouragingly, physical activity may prevent or slow this age-related atrophy of Type II fibres [49] and it has been reported that increases in muscle mass as a result of resistance exercise in old age is attributable to hypertrophy of Type II fibres specifically (and almost exclusively)[48]. In contrast, in endurance-trained older adults, greater proportions of Type I fibres have been observed when compared to strength-trained older adults, untrained older adults and untrained young individuals [54-56].

Together, these data suggest that muscle fibre characteristics are an important factor in balance performance and falls. Despite this, there are no studies, to our knowledge, directly examining the relationship between muscle fibre characteristics and reactive balance control in the literature. In this study, we address for the first time whether or not Type II muscle fibre characteristics associate with reactive balance during walking in young and older adults with varying muscle fibre type composition. To do this, we analyse muscle biopsy-derived fibre characteristics and stability during a treadmill-based gait perturbation task of healthy young adults, healthy, normally active older adults, trained older adults and physically impaired older adults. Based on previous studies, we hypothesised that there would be a small to moderate relationship between Type II muscle fibre characteristics (size and proportion) and stability recovery following large perturbations during walking (number of recovery steps needed following a novel perturbation). We additionally explored these relationships after repeated perturbations to examine the potential role of Type II muscle fibre characteristics in adaptability of stability recovery responses.

### METHODS

### Participants

The data and analyses in the current secondary analysis were part of a larger study (n=59; **chapter 3**), which was approved by the institutional Medical Ethical Committee, conducted in accordance with the declaration of Helsinki and registered at clinicaltrials.gov (NCT03666013). All participants provided their written informed consent. Some data have been reported in our previous publication addressing different research questions (**chapter 3**). For the current secondary analysis, the data of all participants who underwent muscle biopsies and completed the walking perturbation experiment in the larger study were included. This resulted in a sample of 52 participants including 14 young (7 male and 7 female) and 38 older (22 male and 16 female) individuals. Due to this being a secondary analysis, no formal power calculation was conducted to determine the sample size. However, sample sizes of 46 and 47 will achieve approximately 80% power with a significance level of 0.05 to detect Pearson and Spearman Correlation Coefficients of 0.4, which we consider reasonable for the purpose of the current analysis.

Prior to inclusion, all participants underwent a medical screening that included a medical questionnaire, a physical examination by a physician, and an assessment of physical function by means of a Short Physical Performance Battery [57]. After screening, participants were assigned to the following groups: Young individuals with normal physical activity (Y, 20 - 30 years), older adults with normal physical activity (O, 65 - 80 years), trained older adults (TO, 65 - 80 years) and older adults with impaired physical function (IO, 65 - 80 years). Participants were considered normally physically active if they completed no more than one structured exercise session per week, whereas participants were considered trained if they engaged in at least 3 structured exercise sessions of at least 1 hour each per week for an uninterrupted period of at least one year. Participants were classified as older adults with impaired physical function (IO) in case of an SPPB score of  $\leq 9$ .

### Body Composition and Muscle Volume

Body composition (fat and fat-free mass) was determined at 8 AM after an overnight fast from 10 PM the previous evening using air displacement plethysmography (BodPod®, COSMED, Inc., Rome, Italy) [58]. To measure muscle volume, a 3T whole body MRI scanner was used (Achieva 3T-X; Philips Healthcare, Best, The Netherlands). Participants were positioned in the supine position in the MR scanner (feet first) to determine muscle volume of the upper leg using the body coil. Subsequently, a series of T1-weighted images were acquired of the upper leg (slice thickness of 10mm, no gap between slices, in-plane resolution of 0.78 x 0.78mm). A custom-written MATLAB script was used to automatically segment adipose and muscle tissue. Thereby each image was normalised, and a histogram calculated. Histogram peaks corresponding to muscle tissue grey values were summed up, resulting in the count of muscle pixels. Muscle volume was calculated by voxel size times the number of muscle pixels: Vol muscle =Vox size\*N muscle pixels. Every slice was reviewed individually and in case of a clear notable deviation, a manual threshold correction for muscle grey values was performed. The muscle segmentation was performed in the consecutive slices between the starting point (tendon attachment) of the *m. rectus femoris* and of the *m. gluteus maximus*.

### Habitual physical activity

Habitual physical activity was estimated using an ActivPAL activity monitor (PAL Technologies, Glasgow, Scotland) for five consecutive days, including two weekend days. The total amount of steps per day was measured, as well as the total stepping time in proportion to waking time, determined according to van der Berg et al. [59]. Stepping time (i. e., physical activity) was then further classified into high-intensity physical activity (HPA; minutes with a step frequency >110 steps/min in proportion to waking time) [60].

### Muscle Strength

Maximum voluntary knee extension and flexion torque was measured using the Biodex System 3 Pro dynamometer (Biodex® Medical Systems, Inc., Shirley, NY, USA). Participants were stabilized with shoulder, leg, and abdominal straps to prevent compensatory movement and the test was performed with the left leg in all participants. Participants performed three 5s maximal extensions and flexions with a 30s rest period in between trials. The knee position was fixed at a 70° angle. Maximal voluntary isometric knee-extensor and knee-flexor torque was defined as the average of the highest two out of three peak torques.

Participants also performed 30 consecutive extension and flexion movements (range of motion 120 degrees/s). The peak torque of each extension and flexion was recorded and the maxima were defined as the highest peak. For both protocols, maximal torque was recorded in Newton-meters and corrected for bodyweight (Nm/kg).

### Muscle Biopsy and Fibre Typing

A biopsy specimen was taken from the vastus lateralis muscle under local anesthesia (1.0% lidocaine without epinephrine), according to the Bergström method [61]. Muscle tissue was immediately frozen in melting isopentane cooled with liquid nitrogen for immunohistochemistry and stored at -80°C degrees until analysis. Fresh 5µm-thick cryosections were treated for five minutes with 0.25% Triton X-100 in phosphate-buffered saline and incubated for 45 minutes at room temperature with a

mouse monoclonal MHC1-antibody (A.4840; Developmental Studies Hybridoma Bank, lowa City, IO) and with the basal membrane marker laminin (L9393; Sigma, Zwijndrecht, The Netherlands). Thereafter, sections were incubated for 45 minutes with the appropriate secondary antibodies qoat anti-mouse ΙqΜ AlexaFluor488 (A21042; Invitrogen Thermo Fisher, Breda, The Netherlands) and goat anti-rabbit IgG AlexaFluor555 (A21428; Invitrogen Thermo Fisher) and mounted with Mowiol. All sections were captured using a Nikon E800 fluorescence microscope (Nikon Instruments, Amsterdam, The Netherlands [62]) and images were analyzed in ImageJ [63]. Cell membranes were background corrected and threshold was set manually to create a binary image. Subsequently, the original cell membrane image (laminin staining) was added to the binary image of the cell membranes to check whether all cell membranes in the binary image were closed. If not, cell membranes were closed manually. Fibre size was determined by measuring the cross-sectional area (CSA) and fibre type distribution was expressed as a percentage with respect to the number of fibres and as a percentage of CSA (% fibre type I/II area relative to total CSA).

### Stability during Perturbed Walking

The walking measurements were conducted using the Computer Assisted Rehabilitation Environment Extended (CAREN; Motekforce Link, Amsterdam). The system includes a dual-belt force plate-instrumented treadmill (1000Hz), a 12 camera Vicon Nexus motion capture system (100Hz; Vicon Motion Systems, Oxford, UK) and a 180° virtual environment providing optic flow. A safety harness connected to an overhead frame was worn by participants at all times. Six retroflective markers were attached to anatomical landmarks (C7, sacrum, left and right trochanter and left and right hallux).

Participants were already accustomed to the CAREN setup, as they had previously completed an instrumented six-minute walk test as part of the larger study. To further ensure participants were comfortable and familiarised to the measurement setup and conditions, the perturbation measurement session began with unrecorded fixed-speed walking trials of two minutes at speeds of 0.4m/s up to 1.8m/s in 0.2m/s increments. Following a break, the same fixed-speed walking trials were repeated

### Chapter 6

and recorded. During another break, the data from these trials were used to calculate a stability-normalised walking speed for each participant individually for use in the subsequent walking perturbation trial, which was set for a margin of stability (MoS; see below; [64]) of 0.05m as described previously [65], ensuring a comparable baseline walking stability for all groups [66,67]. Next, participants completed the perturbation trial which started with three to four minutes of unperturbed walking at the stability-normalised walking speed, followed by 10 unannounced unilateral treadmill belt acceleration perturbations (every 30 to 90 seconds). The first and tenth accelerations were applied to the right leg, while the second to ninth accelerations were applied to the left leg as described previously [66,67]. Participants were told that they would complete a walking balance challenge and to try to continue walking as normally as possible. The participants were unaware of the specifics of the perturbations and no warnings or cues were given during the trial. For the current study, the first perturbations to each limb were analysed (Pert1<sub>R</sub> and Pert2<sub>L</sub>), representing novel disturbances, as well as the ninth perturbation (final left leg perturbation; Pert9<sub>L</sub>) to indicate adaptation in gait following eight repeated perturbations.

Data processing was conducted in MATLAB (2016a, The MathWorks, Inc., Natick). The three-dimensional coordinates of the markers were filtered using a low pass second order Butterworth filter (zero-phase) with a 12Hz cut-off frequency. Foot touchdown and toe-off were detected using a combined method of force plate data (50 N threshold) and foot marker data [68], as described in detail previously [69]. The anteroposterior MoS at foot touchdown were calculated as the anteroposterior distance between the anterior boundary of the base of support (BoS) and the extrapolated centre of mass (X<sub>COM</sub>) [64], adapted for our validated reduced kinematic model [70] and treadmill walking [71], in the same manner as our previous studies [65-67]. The MoS was calculated for the following steps: the mean MoS of the eleventh to second last step before each perturbation (Base); the final step before each perturbation (Pre); and the first eight recovery steps following each perturbation (Post1-8). In order to determine the number of steps to recover to baseline stability (up to the eighth recovery step), two methods were used. The first, as used in our previous study [67], used a 0.05m threshold – if the recovery step MoS value was within 0.05m of the Base MoS, this was heuristically considered not meaningfully

different to unperturbed baseline walking. The second method, rather than using a standard value for all participants, used an individual threshold of three standard deviations of the 10 pre-perturbation steps used to calculate Base. This accounted for those individuals with either much lower or higher variability in their unperturbed walking for whom the 0.05m threshold might have been inappropriate. As there is no accepted standard for establishing the number of recovery steps, we have included and analysed both outcomes.

### Statistics

Normality for all data was checked with Shapiro-Wilk tests, which determined whether parametric or non-parametric analyses would be used. Group differences in the parameters were assessed using either one-way ANOVAs with Tukey's multiple comparisons tests or Kruskal-Wallis tests with Dunn's multiple comparisons tests. All analyses involving the number of recovery steps included analyses using the standard 0.05m threshold (Standard Threshold) and the individualised, three standard deviation threshold (Individual Threshold). Spearman correlations were conducted to assess the relationships between the recovery steps required by participants during Pert1<sub>R</sub>, Pert2<sub>L</sub> and Pert9<sub>L</sub> and the muscle fibre properties (percentage of Type II fibres, mean Type II fibre CSA and the percentage of CSA taken up by Type II fibres). Spearman correlations were also conducted for the recovery steps required and the muscle volume and strength measures. Correlations with  $Pert1_{R}$  and  $Pert2_{L}$  were used to determine associations with balance recovery following a novel perturbation (similar unexpected and unfamiliar situation to how falls occur in daily life) whereas associations with Pert9 were used to determine associations with adaptability of the stability recovery responses.  $\alpha$  was set at 0.05. Correlation coefficients were interpreted as follows: 0-0.1: Negligible; 0.1-0.3: Small; 0.3-0.5: Moderate: 0.5 and above: Large [72], although these may be overestimates in comparison to the field of gerontology, where there is evidence that Pearson's r = 0.12, 0.20, and .032 may better represent small medium and large effects [73]. Statistical analyses were conducted, and figures were prepared using GraphPad Prism version 9 for Windows (GraphPad Software, LLC, San Diego, California, USA).

### RESULTS

### Participant Characteristics

Of the 52 participants who were included in this study, one participant was excluded due to problems in both walking motion capture (noise and marker gaps) and muscle biopsy (not enough tissue), and a further three participants were excluded due to issues with the muscle biopsy (not enough useable tissue was collected). The remaining 48 participants' data were included in the analyses and their characteristics, arranged per group, are displayed in Table 1. Note that we did not specifically aim to have groups of a specific size, as the groups themselves were not related to our primary aims. Rather we wanted to achieve a sample that included a wide range of muscle fibre type characteristics and stability recovery performance that could provide insight into the associations between these parameters. Due to technical issues, walking data from Pert1<sub>R</sub> for one participant of group O, walking data for one participant of group TO and MRI-derived muscle volume data for one participant of group TO were excluded.

Groups O and Y possessed similar physical activity levels (~10K steps/day and similar active time in high-intensity activities; Table 1). Group TO, in comparison were more active (~13K steps/day and 4.9% active time was at high intensity; Table 1) and were mainly involved in endurance sports and training, whereas Group IO were less active (~7.5K steps/day and 1.6% active time at high intensity; Table 1).

	Young, normal physical activity (Y)	Older adults, normal physical activity (O)	Physically impaired older adults (IO)	Trained older adults (TO)
Ν	12	16	3	17
Male	7	8	2	11
Age [years]	23.9 (2.2)	70.6 (3)	69.7 (4.2)	67.8 (2.4)
BMI [kg/m²]	22.4 (3.3)	25.8 (3.4)	28.4 (1.8)	23.8 (1.8)
Height [cm]	176 (7.4)	169 (11.3)	171 (4.4)	171.2 (7.2)
Weight [kg]	69.3 (11.5)	74.0 (12.3)	82.9 (2.4)	70 (7.5)
Fat mass [%]	22.6 (8.4)	33.3 (9.5)	37 (10.7)	25.9 (8.1)
SPPB 4m walk	1.1 (0.2)	1.2 (0.2)	1.0 (0.3)	1.3 (0.2)
SPPB chair test	9.2 (2.7)	10.2 (1.4)	13.7 (7.1)	8.9 (2.1)
Steps/day	10487 (3134)	10007 (2885) <sup>‡</sup>	7594 (1851)	13604 (6218)
HPA time/wake time (%)	2.6 (1.7)*	2.1 (1.2)*	1.6 (0.1)	4.9 (3.9)

 Table 1: Participant characteristics, presented as mean (SD).

BMI: body mass index. SPPB: short physical performance battery.HPA: high intensity physical activity. \*: One participant excluded due to data processing issues. <sup>‡</sup>: Two participants excluded due to data collection and analysis issues.

### Type I and II Muscle Fibre Characteristics

In order to evaluate the muscle fibre type characteristics of the muscle biopsy samples, a mean (SD) of 454(260) muscle fibres were analysed per participant. Representative fluorescence microscope images used for fibre type analyses are displayed in Fig. 1. In order to explore the range in the characteristic, one-way ANOVAs were applied and did not reveal a significant group effect on Type I or II muscle fibre percentage (F(3, 44)=2.8, P=0.051), Type I fibre CSA (F(3, 44)=0.8, P=0.5) or Type II fibre CSA (F(3, 44)=1.9, P=0.14), although group TO tended to have lower Type II fibre percentage (Fig. 1). A one-way ANOVA did reveal a significant effect of group on the percentage of total CSA taken up by Type I and II fibres (F(3, 44)=4.5, P=0.007), with Tukey's multiple comparisons tests revealing significantly lower values for group TO vs. Y (adjusted P=0.008) and O (adjusted P=0.036), as indicated in Fig. 1. While group comparisons were not always significant, these data confirm a range of muscle fibre type characteristics were

present in our analysed participants, that there were no significant differences between groups Y and O and that group TO have an altered fibre type composition favouring Type I fibres. As can be seen in Fig. 1, Type II fibre mean CSA appeared to be lower in all older adult groups compared to group Y, despite a non-significant one-way ANOVA. *Post hoc* Mann Whitney tests comparing group Y (n=12, Median=5477 $\mu$ m<sup>2</sup>) with all older participants collapsed into one group (n=36, Median=3509 $\mu$ m<sup>2</sup>) and with Group O (n=16, Median=3500  $\mu$ m<sup>2</sup>) revealed significant differences in Type II fibre mean CSA (U=114, P=0.014 and U=49, P=0.029, respectively).



**Figure 1: Top Panel:** Representative fluorescence microscope images used for fibre type analyses from young adults (Y), older adults with normal physical activity (O), trained older adults (TO) and physically impaired older adults (IO). Basal membrane (laminin; red); type 1 fibres (MHC1; green) and type 2 fibres (no stain / black). Each participant shown has the median value of average fibre CSA within their group. **Bottom Panel:** Group median and individual Type I and II muscle fibre characteristics. \*: P=0.036 \*\*: P=0.008

### Muscle Volume, Strength Characteristics and Perturbation Recovery Steps

A one-way ANOVA did not reveal a significant effect of group on upper leg muscle volume (F(3, 43)=0.74, P=0.35). A significant group effect on peak isometric knee extension torque was found (F(3, 44)=3.06, P=0.038) with significantly lower values for group O vs. Y (adjusted P=0.0287). Kruskal-Wallis tests did not reveal a significant group effect on peak isometric knee flexion torque (H(3)=4.68, P=0.197) but did reveal significant group effects on peak isokinetic knee extension (H(3)=25.96, P<0.0001) and flexion (H(3)=25.98, P<0.0001) torque, with significantly greater peak isokinetic knee extension torque in group Y vs. O (adjusted P<0.0001), vs. IO (adjusted P=0.0024) and vs. TO (adjusted P=0.0178) and significantly greater peak isokinetic knee flexion torque in group Y vs. O (adjusted P<0.0001) and vs. IO (adjusted P=0.0022). Similar to the muscle fibre type characteristics, these data confirm a range of muscle strength values in our analysed participants. The muscle volume and strength data are displayed in the supplemental figures 1 and 2.

Kruskal-Wallis tests did not reveal a significant group effect on the number of recovery steps for any perturbation or recovery step threshold (Fig. 2; Standard Threshold: H(3)=2.35, P=0.5, H(3)=1.2, P=0.75 and H(3)=2.66, P=0.45 for  $Pert1_R$ ,  $Pert2_L$  and  $Pert9_L$ , respectively; Individual Threshold: H(3)=4.6, P=0.2, H(3)=2.68, P=0.44 and H(3)=0.36, P=0.95 for  $Pert1_R$ ,  $Pert2_L$  and  $Pert9_L$ , respectively). However, as can be seen in Figure 2, a large range of numbers of recovery steps was observed across the participants.


**Figure 2:** Group median and individual values for the number of recovery steps determined by the standard and individual thresholds for all the first perturbation to each leg (Pert1<sub>R</sub> and Pert2<sub>L</sub>) and the final left leg perturbation (Pert9<sub>L</sub>) for young adults (Y), older adults with normal physical activity (O), trained older adults (TO) and physically impaired older adults (IO).

## No Associations between Type II Fibre Characteristics and Balance Recovery

To test our hypothesis of a relationship between Type II muscle fibre characteristics (size and proportion) and stability recovery (number of recovery steps needed following a novel perturbation), Spearman correlations were conducted between these outcomes. These revealed no significant associations between the number of recovery steps following Pert1<sub>R</sub> and Pert2<sub>L</sub> and the three Type II fibre characteristics: Type II fibre percentage, Type II fibre CSA and the percentage of total CSA taken up by Type II fibres (Table 2).

To provide further context for the muscle fibre characteristic associations, associations between the number of recovery steps and upper leg muscle volume and torque were also analysed. These Spearman correlations revealed no significant between upper leg muscle volume and the number of recovery steps following Pert1<sub>R</sub> and Pert2<sub>L</sub> (Supplement Table 1). They revealed no significant associations between peak isometric and isokinetic knee extension and flexion torque and the

#### Chapter 6

number of recovery steps following Pert1<sub>R</sub> and one (of 8) moderate, significant association for Pert2<sub>L</sub> (significant for Peak Isometric Knee Flexion Torque; Supplement Table 1). Note that to provide further insight into these relationships, we also ran *post hoc* Spearman correlations between the fibre type characteristics and the muscle volume and torque outcomes, which revealed significant correlations between all pairs of muscle volume and torque outcomes, four (out of five) significant correlations between Type II fibre CSA and the muscle volume and torque outcomes but no significant correlations between Type II muscle fibre content or percentage of CSA from Type II fibres and the muscle volume and torque outcomes (Supplementary Table 2).

## No Associations between Type II Fibre Characteristics and Adapted Balance Recovery

To explore the potential role of Type II muscle fibre characteristics in adaptability of stability recovery responses, associations between the recovery steps after eight repeated perturbations to the left leg (Pert9<sub>L</sub>) and the Type II muscle fibre characteristics were conducted. Spearman correlations revealed no significant associations between the number of recovery steps following Pert9<sub>L</sub> and the three Type II fibre characteristics: Type II fibre percentage, Type II fibre CSA and the percentage of total CSA taken up by Type II fibres (Table 2).

Again, to provide additional information, associations between the  $Pert9_{L}$  recovery steps and muscle volume and torque were also conducted. In general agreement with the fibre type correlations, Spearman correlations revealed no significant associations between upper leg muscle volume and the number of recovery steps following  $Pert9_{L}$ , and only one (of 8) moderate, significant associations between peak isometric and isokinetic knee extension and flexion torque and the number of recovery steps following  $Pert9_{L}$  (Significant for Peak Isometric Knee Flexion Torque; Supplement Table 1).

		Pert1 <sub>R</sub>		Per	rt2∟	Pert9∟	
		Standard Threshol d	Individual Threshol d	Standard Threshol d	Individual Threshol d	Standard Threshol d	Individual Threshol d
Type II Fibre Content [%]	Spearman r	0.127	0.159	0.202	-0.036	0.043	-0.111
	95% CI	-0.175 to 0.407	-0.143 to 0.434	-0.100 to 0.469	-0.327 to 0.262	-0.252 to 0.331	-0.391 to 0.187
	Р	0.395	0.286	0.174	0.812	0.771	0.452
Type II Fibre Mean CSA [µm²]	Spearman r	-0.026	-0.027	0.103	0.056	-0.214	-0.048
	95% CI	-0.319 to 0.271	-0.320 to 0.270	-0.198 to 0.387	-0.243 to 0.345	-0.476 to 0.083	-0.336 to 0.247
	Р	0.861	0.856	0.490	0.710	0.144	0.745
CSA % from Type II Fibres	Spearman r	0.143	0.120	0.194	-0.125	-0.085	-0.161
	95% CI	-0.159 to 0.420	-0.182 to 0.401	-0.107 to 0.463	-0.405 to 0.177	-0.367 to 0.213	-0.433 to 0.137
	Р	0.338	0.422	0.191	0.404	0.568	0.273

Table 2: Spearman Correlation Results for Recovery Steps and Type II Fibre Characteristics

#### DISCUSSION

In this study, we aimed to provide the first data on whether or not Type II muscle fibre characteristics associate with reactive balance during walking in young and older adults with varying muscle fibre type composition. We hypothesised that there would be a small to moderate relationship between Type II muscle fibre characteristics (size and proportion) and stability recovery (number of recovery steps needed following a novel perturbation). We conducted correlation analyses on our data from 48 young and older participants, with varying physical activity levels, muscle fibre type characteristics, muscle volume and strength, as well as stability recovery performance, and were not able to confirm this hypothesis, as we did not observe such correlations. The results of our secondary, exploratory correlations with muscle volume and strength generally aligned with the fibre type characteristic results, since associations between these parameters and stability recovery were also virtually absent.

The current study found no significant differences in muscle fibre size in older adults compared to younger adults. Thus, we found no age-related differences between young and older participants who were not engaged in structured physical exercise but possessed comparable physical activity levels (~10K steps/day) well above the general recommendation [60]. These results appear in contrast to previous studies reporting a substantial decrease in muscle fibre size, especially in Type II fibres, with age [47,48,50]. However, most of these studies did not measure and control for an age-related decrease in physical activity [47,48,50], which could explain muscle fibre atrophy [56]. In line with our data, St-Jean-Pelletier et al. [49] observed an agerelated decrease in muscle Type II fibre size in sedentary middle-aged and older adults (~7K vs. ~6.3K steps/day on average) but not in physically active middleaged and older adults (~12.9K vs. ~12.6K steps/day). These results further support the hypothesis that muscle fibre atrophy is not simply a function of ageing per se but might also be driven by age-related physical inactivity and immobilization. However, it should be kept in mind that while the ANOVA was not significant, our post hoc analyses comparing the young adults with all older adults and with group O each found a significant effect of age on Type II fibre CSA (Hedges q=0.82 and q=0.84. respectively).

In the present study, the relative proportion of Type I muscle fibres was larger in endurance-trained older adults when compared to older adults with normal physical activity levels and young individuals. Although individual studies have shown conflicting findings regarding muscle fibre type distribution between young and older endurance-trained individuals [74,75], our results are in line with evidence indicating greater proportions of Type I fibres in endurance-trained older adults when compared to untrained older adults and untrained young individuals [54-56]. While evidence suggests long-term strength training provides a strong stimulus for the preservation of the structural and mechanical characteristics of skeletal muscle during ageing [51,76], it remains unclear to what extent aerobic training alone can counteract these age-related changes [74,77,78]. However, endurance athletes may benefit from other adaptations that play a role in stability. One previous study reported that in a mixed group of older and younger adults, those who were recreational runners performed better on a forward falling task than the non-active participants, despite similar muscle strength values [28]. The authors suggested that

runners frequently manage the stability of their body position during running and may therefore be better able to perform such destabilising tasks [28]. In our study, of the 17 participants in group TO, eight participated in regular running and a further two participated in badminton and tennis, which also involve multidirectional stepping and change of direction, and these individuals may have compensated their reduction in Type II fibre size and number with relatively more refined stability control.

The literature is currently mixed regarding the role of muscle strength in balance and falls [9-13,17,37-40]. Despite this, small to moderate associations between lower limb muscle strength and balance recovery performance following laboratory-based perturbations [26-36] led us to our hypothesis of a small to moderate relationship between Type II muscle fibre properties and balance recovery performance from a sudden walking perturbation. Our results suggest that the muscle fibre properties themselves are not critical factors for reactive balance recovery during walking, due to the lack of correlations, the inconsistent direction of the (non-significant) correlations, as well as the fact that the trained older group did not require a significantly different number of recovery steps, despite a significantly different proportion of the muscle fibre CSA coming from Type II fibres. In order to check if the younger adults' data were unduly influencing these results, we repeated the Spearmen correlation analyses post hoc with only the older participants included and these demonstrated similar results, with only one of the 18 associations being significant (Supplementary Table 3). Our collective muscle volume and strength correlation results were also mostly not statistically significant. These results may, to some extent, explain some of the mixed findings in the literature. Strength testing or training that primarily targets the muscle tissue properties and neglects other factors (such as motor coordination, response/movement speed, functionally relevant movements, among others; see Tieland et al. [44] and Hunter et al. [79] for reviews) may not capture critical elements of muscle function relevant for reactive balance control. That being said, the success of the recovery actions may not critically depend on maximal muscle strength at all. For example, one biomechanical modelling study found that, for backward balance loss, there is guite some overlap in the feasible regions for balance recovery during simulations with strength characteristics of young and older adults and recovery was only limited by strength during very short or very long recovery steps [80]. This is also supported by other studies demonstrating that older adults can improve their balance recovery responses to a level similar to young adults when repeatedly exposed to perturbations (acutely and over longer time periods), without changes in muscle strength [34,81]. As falls among community-dwelling older adults usually occur during dynamic movement [18-25], specificity of both strength and balance assessment and training should be key considerations in fall prevention.

#### Study Limitations

Some limitations of the current study should be considered. Regarding the walking perturbation protocol, our participants were informed that they would complete a walking balance challenge but were unaware of the specifics of the perturbations and no warnings or cues were given during the trial. However, beneficial effects of increased awareness of perturbations on stability recovery performance following trips have been shown [82,83], even when the specifics are unknown. This may have resulted in better balance recovery performance than might be expected in natural settings. Regarding our outcome measure, the number of recovery steps, this is not a standard measure of balance recovery following perturbations to walking. However, no gold-standard outcome measure exists for this purpose. Other possibilities would be to use specific biomechanical variables such as the margin of stability or trunk lean at a specific time point. However, due to the heterogeneity in the balance recovery responses to such perturbations, using such specific measures at specific time points has limitations when trying to capture "overall" balance recovery during walking. We feel that the current measure gives a more general overall indicator that is more robust to individual differences in response behaviour. It should also be kept in mind that our muscle biopsies came from the vastus lateralis muscle which is not necessarily the most critical muscle for gait [2-4] (although it likely plays an important role in leg extension and energy absorption during the initial recovery steps) nor entirely representative of the fibre type properties from other lower limb muscles [84-86]. Finally, one potential limitation is the exploratory nature of some of the correlations and the lack of a priori correction for multiple comparisons. In general, despite the relatively high number of correlations performed, the lack of significance and the inconsistency in the direction of the

correlations leads us to believe that our conclusions are justified and not dependent on spurious correlations (significant or not).

## Conclusions

The current results indicate that Type II muscle fibre properties (proportion and dimensions) are not significantly associated with reactive balance recovery following perturbations to walking in young and older adults. Upper leg muscle volume and knee flexion and extension torque also failed to show a significant relationship with reactive balance recovery. These results have implications for muscle strength testing and training in fall prevention, as it appears that the muscle tissue properties are not key factors for balance recovery.

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## **Author Contributions**

CM: Conceptualisation, Data Curation, Formal Analysis, Investigation, Methodology, Visualisation, Writing – Original Draft, Writing – Reviewing & Editing.

LG: Conceptualisation, Data Curation, Funding Acquisition, Investigation, Project Administration, Writing – Original Draft, Writing – Reviewing & Editing.

GS: Investigation, Visualisation, Writing - Reviewing & Editing.

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AG: Investigation, Software, Writing - Reviewing & Editing.

KM: Conceptualisation, Resources, Supervision, Writing – Reviewing & Editing.

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#### **Competing Interests**

The authors declare no competing interests. Danone Nutricia Research, Friesland Campina, the Netherlands Organisation for Scientific Research and the Top-sector Agri&Food are sponsors of the TIFN program and partly financed the project. They had no role in data collection and analysis, and the decision to publish.

#### SUPPLEMENTARY MATERIAL FOR CHAPTER 6



**Supplement Fig. 1**: Group median and individual upper leg muscle volume for young adults (Y), older adults with normal physical activity (O), trained older adults (TO) and physically impaired older adults (IO).



**Supplement Figure 2:** Group median and individual values for peak isometric and isokinetic knee extension and flexion torque for young adults (Y), older adults with normal physical activity (O), trained older adults (TO) and physically impaired older adults (IO). \*: P<0.05 \*\*P<0.01 \*\*\*\*:P<0.0001

**Supplement Table 1:** Spearman correlation results for upper leg muscle volume, peak isometric and isokinetic knee extension and flexion torque and  $Pert1_R$ ,  $Pert2_L$  and  $Pert9_L$ 

		Pert1 <sub>R</sub>		Per	t2∟	Pert9∟	
		Standard Threshold	Individual Threshold	Standard Threshold	Individual Threshold	Standard Threshold	Individual Threshold
	Spearman r	-0.1226	-0.01128	-0.01661	-0.1461	-0.1766	-0.1825
Upper Leg Muscle Volume	95% CI	-0.4061 to 0.1825	-0.3086 to 0.2881	-0.3134 to 0.2832	-0.4259 to 0.1592	-0.4484 to 0.1251	-0.4532 to 0.1191
	Р	0.417	0.9407	0.9127	0.3327	0.235	0.2195
	Spearman r	0.1023	0.09993	-0.06967	-0.2268	-0.03795	-0.1397
Peak Isometric Knee Extension Torque	95% CI	-0.1989 to 0.3858	-0.2012 to 0.3838	-0.3575 to 0.2302	-0.4892 to 0.07325	-0.3264 to 0.2570	-0.4148 to 0.1589
	Р	0.4939	0.5039	0.6417	0.1252	0.7979	0.3437
	Spearman r	-0.01427	0.002994	-0.2043	-0.3262	-0.2399	-0.3902
Peak Isometric Knee Flexion Torque	95% CI	-0.3081 to 0.2821	-0.2924 to 0.2979	-0.4711 to 0.09667	-0.5668 to - 0.03440	-0.4971 to 0.05612	-0.6125 to - 0.1108
	Р	0.9242	0.9841	0.1683	0.0252	0.1006	0.0061
	Spearman r	-0.1622	-0.2081	-0.2041	-0.06516	-0.1998	-0.1164
Peak Isokinetic Knee Extension Torque	95% CI	-0.4365 to 0.1396	-0.4741 to 0.09277	-0.4709 to 0.09691	-0.3535 to 0.2345	-0.4647 to 0.09799	-0.3950 to 0.1819
	Р	0.276	0.1604	0.1688	0.6635	0.1734	0.4308
	Spearman r	-0.08	-0.1216	-0.2476	-0.07478	-0.1597	-0.07469
Peak Isokinetic Knee Flexion Torque	95% CI	-0.3665 to 0.2204	-0.4023 to 0.1800	-0.5058 to 0.05127	-0.3620 to 0.2254	-0.4317 to 0.1388	-0.3589 to 0.2222
	Р	0.593	0.4155	0.0933	0.6174	0.2781	0.6139

Supplement Table 2: Spearman correlation matrix for upper leg muscle volume, peak isometric and isokinetic knee extension and flexion torque, Type II fibre content, Type II fibre mean CSA and CSA percentage from Type II fibres

		Upper Leg Muscle Volume	Peak Isometric Knee Extension Torque	Peak Isometric Knee Flexion Torque	Peak Isokinetic Knee Extension Torque	Peak Isokinetic Knee Flexion Torque	Type II Fibre Content [%]	Type II Fibre Mean CSA [μm²]	CSA % from Type Il Fibres
Upper Leg Muscle Volume	Spearman r								
	95% CI								
	P								
Peak Isometric	Spearman r	0.405412							
Knee Extension	95% CI	0.1252 to 0.6257							
Torque	P	0.0047							
Peak Isometric	Spearman r	0.533534	0.646						
Knee Flexion Torque	95% CI	0.2829 to 0.7159	0.4361 to 0.7891						
	Р	0.0001	<0.0001						
Peak Isokinetic	Spearman r	0.631707	0.608	0.528					
Knee Extension	95% CI	0.4137 to 0.7812	0.3839 to 0.7642	0.2790 to 0.7105					
Torque	Р	<0.0001	<0.0001	0.0001					
Peak Isokinetic	Spearman r	0.490634	0.670	0.538	0.877				
Knee Flexion	95% CI	0.2286 to 0.6864	0.4697 to 0.8045	0.2914 to 0.7171	0.7858 to 0.9305				
Torque	Р	0.0005	<0.0001	0.0001	<0.0001				
Turne II Filme	Spearman r	-0.04845	0.043	0.175	0.045	-0.118			
Content [%]	95% CI	-0.3388 to 0.2503	-0.2523 to 0.3308	-0.1234 to 0.4443	-0.2508 to 0.3322	-0.3961 to 0.1806			
	Р	0.7464	0.7723	0.2342	0.7639	0.4257			
Type II Fibre Mean CSA [μm²]	Spearman r	0.570421	0.282	0.297	0.564	0.405	-0.018		
	95% CI	0.3310 to 0.7408	-0.01117 to 0.5302	0.005062 to 0.5418	0.3256 to 0.7350	0.1284 to 0.6235	-0.2946 to 0.2610		
	Р	<0.0001	0.0523	0.0406	<0.0001	0.0043	0.8970		
CSA % from Type II Fibres	Spearman r	0.066374	0.187	0.297	0.185	0.048	0.855	0.331	
	95% CI	-0.2334 to 0.3546	-0.1114 to 0.4540	0.005538 to 0.5421	-0.1132 to 0.4526	-0.2473 to 0.3355	0.7566 to 0.9153	0.05832 to 0.5575	
	Р	0.6576	0.2038	0.0403	0.2082	0.7449	<0.0001	0.0155	

**Supplementary Table 3**: Spearman Correlation Results for Recovery Steps and Type II Fibre Characteristics in the Older Participants.

	Pert1 <sub>R</sub>		Pert2⊾		Pert9⊾	
	Standard Threshold	Individual Threshold	Standard Threshold	Individual Threshold	Standard Threshold	Individual Threshold
Spearman r	0.2188	0.3559	0.1725	-0.1154	-0.08924	-0.2365
95% CI	-0.1335 to 0.5220	0.01544 to 0.6224	-0.1805 to 0.4861	-0.4404 to 0.2362	-0.4143 to 0.2560	-0.5315 to 0.1098
Р	0.2066	0.0359	0.3217	0.5090	0.6048	0.1650
Spearman r	0.008247	0.001569	0.06739	-0.009371	-0.2108	-0.08795
95% CI	-0.3350 to 0.3496	-0.3409 to 0.3437	-0.2814 to 0.4005	-0.3506 to 0.3340	-0.5119 to 0.1364	-0.4132 to 0.2572
Р	0.9625	0.9929	0.7005	0.9574	0.2172	0.6100
Spearman r	0.2514	0.3135	0.1707	-0.2258	-0.1783	-0.3070
95% CI	-0.09948 to 0.5467	-0.03227 to 0.5923	-0.1823 to 0.4847	-0.5273 to 0.1263	-0.4866 to 0.1693	-0.5840 to 0.03403
Р	0.1452	0.0667	0.3269	0.1922	0.2980	0.0686
	Spearman r 95% Cl P Spearman r 95% Cl P Spearman r 95% Cl P	Per   Standard Threshold   Spearman r 0.2188   95% Cl -0.1335 to 0.5220   P 0.2066   Spearman r 0.008247   95% Cl -0.3350 to 0.3496   P 0.9625   Spearman r 0.9625   Spearman r 0.2514   95% Cl -0.09948 to 0.5467   P 0.1452	Pert1 <sub>R</sub> Standard Threshold Individual Threshold   Spearman r 0.2188 0.3559   95% Cl -0.1335 to 0.5220 0.01544 to 0.6224   P 0.2066 0.0359   Spearman r 0.008247 0.001569   95% Cl -0.3350 to 0.3496 -0.3409 to 0.3437   P 0.9625 0.9929   Spearman r 0.2514 0.3135   95% Cl -0.09948 to 0.5467 -0.03227 to 0.5923   P 0.1452 0.0667	Pert1 <sub>R</sub> Pert1 <sub>R</sub> Standard Threshold Individual Threshold Standard Threshold   Spearman r 0.2188 0.3559 0.1725   95% Cl -0.1335 to 0.5220 0.01544 to 0.6224 -0.1805 to 0.4861   P 0.2066 0.0359 0.3217   Spearman r 0.008247 0.001569 0.06739   95% Cl -0.3350 to 0.3496 -0.3409 to 0.3437 -0.2814 to 0.4005   P 0.9625 0.9929 0.7005   Spearman r 0.2514 0.3135 0.1707   95% Cl -0.09948 to 0.5467 -0.03227 to 0.5923 -0.1823 to 0.4847   P 0.1452 0.0667 0.3269	Pert1 <sub>R</sub> Pert2 <sub>L</sub> Standard Threshold Individual Threshold Standard Threshold Individual Threshold   Spearman r 0.2188 0.3559 0.1725 -0.1154   95% Cl -0.1335 to 0.5220 0.01544 to 0.6224 -0.1805 to 0.4861 -0.4404 to 0.2362   P 0.2066 <b>0.0359</b> 0.3217 0.5090   Spearman r 0.008247 0.001569 0.06739 -0.009371   95% Cl -0.3350 to 0.3496 -0.3409 to 0.3437 -0.2814 to 0.4005 -0.3506 to 0.3340   P 0.9625 0.9929 0.7005 0.9574   Spearman r 0.2514 0.3135 0.1707 -0.2258   95% Cl -0.09948 to 0.5467 -0.03227 to 0.5923 -0.1823 to 0.4847 -0.5273 to 0.1263   P 0.1452 0.0667 0.3269 0.1922	$\begin{array}{c c c c c c c c c c c c c c c c c c c $

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#### Chapter 7

Although advanced chronological age is one of the most critical risk factors for many chronic diseases, the rate at which physiological functions and overall health status decline with age - defined as biological age - is highly variable among individuals (1). In contrast to the quantitative measure of chronological age, biological aging is more qualitative and can be influenced by factors including genetic background, lifestyle, nutrition, socio-economic status, and environmental influences such as access to health care (2,3). The biological processes involved in aging are complex and are predominantly driven by damage acquired by cells and tissues over time (4), thereby defining aging as the progressive decline of function through the loss of physiological integrity (5).

Frailty is a late-life syndrome resulting from cumulative decline across various physiological reserves. An older adult with frailty has an increased vulnerability to stressors and consequently develops adverse health-related outcomes such as disability, increased dependency, comorbidities, and mortality (6-8). Deterioration of the musculoskeletal system is the main component of frailty, but also other physical domains, including cognitive, psychological, and social domains, can be affected (8). Physical frailty often involves sarcopenia, which is defined as decreased muscle mass and function, including muscle strength and physical performance (9). Sarcopenia has implications on mobility, the risk of falls, and the onset of insulin resistance, type 2 diabetes and obesity (5,10). In turn, the development of frailty and sarcopenia can be accelerated under the influence of metabolic disturbances, nutritional deficiencies, lack of physical activity, and comorbidities (9), together leading to a vicious cycle. Skeletal muscle mass starts to decline with age from around the age of 40 years (11), and even more prominent is the age-related decline in muscle strength, which is two to five times faster than the decline in muscle mass and is a more consistent risk for disability (12). To better understand the aging process, the present thesis aimed to investigate the age-related changes in the skeletal muscle and its consequences on physical performance and metabolic health. In chapter 3, irrespective of lean mass, muscle strength was remarkably lower in older adults than in young individuals. The age-related decline in muscle mass seems to be attributable to muscle fiber atrophy and muscle fiber loss of especially type II fibers, contributing to muscle weakness (13-15). Nevertheless, despite changes in muscle strength, we did not observe age-related differences in

muscle fiber characteristics between young and older participants who, important to mention, had comparable levels of physical activity (**chapter 3**). The discrepancy could be explained by the fact that previous studies did not measure and control for an age-related decrease in physical activity (13–15), which could explain muscle fiber atrophy (16). These results suggest that muscle fiber atrophy is not simply a function of aging *per se* but might also be driven by age-related physical inactivity and immobilization.

One of the most critical consequences of age-related disability is the increased risk of falls leading to further functional decline and physical impairments (17). As such, numerous exercise training programs in older adults aim to prevent the loss of gait stability and improve physical independence. It is commonly assumed that muscle strength and power are essential factors for coping with large balance disturbances. Nevertheless, muscle strength training programs usually only moderately improve balance and fall management (18–20). In addition, in **chapter 6**, no correlations were observed between muscle fiber characteristics, muscle volume and strength, and stability recovery performance in young and older participants with varying physical activity levels. These results suggest that muscle tissue properties *per se* are not key factors for balance recovery and a multi-domain intervention might be demanded to mitigate physical aging.

## Mitochondrial dysfunction and aging

Because mitochondria are essential regulators of processes such as ATP provision, oxidative stress, proteostasis, apoptosis, inflammation, and Ca<sup>2+</sup> handling (21–24), impairments in mitochondrial function potentially impact the progression of sarcopenia and accelerate aging. Indeed, mitochondrial function is widely studied in relation to aging, as exemplified by numerous studies covering various aspects of mitochondrial capacity and function in human skeletal muscle.

First of all, studies have focused on the effect of age on (markers of) mitochondrial density. Thus, using citrate synthase (CS) activity as a marker of cellular oxidative capacity and mitochondrial density (25), several studies reported reductions in mitochondrial density with age (26,27). In contrast to these findings, we did not show a significant difference in mitochondrial density in older adults compared to young

#### Chapter 7

individuals, based on OXPHOS protein levels (**chapter 3**). Also, other studies reported no age-related differences in mitochondrial content based on CS activity and OXPHOS protein expression (28,29). However, despite a comparable mitochondrial density, we observed that mitochondrial respiratory capacity was lower in older adults than young individuals (**chapter 3**), supporting the idea that mitochondrial function can change with age in the absence of perceptible changes in mitochondrial respiratory capacity in older adults is in line with previous clinical work (31–33), other studies observed no chronological age effect on mitochondrial respiration (28,34,35). Factors like age-related changes in physical activity may explain these differences between studies.

Besides mitochondrial content and (intrinsic) respiratory capacity, recent studies (36–40) have highlighted the importance of mitochondrial morphology and turnover for proper mitochondrial function (reviewed in **chapter 2**). Mitochondrial integrity relies on the efficiency of quality control processes, and their mitochondrial network is regulated by continuous fusion, fission, and mitophagy (41-43). While the activation of the mitochondrial biogenesis pathway leads to an increase in mitochondrial content, e.g. as a result of exercise training, it is also vital to eliminate any mitochondrial segments that have outlived their usefulness via mitophagy in order to maintain or improve the quality of the mitochondrial pool (44–46). Limited animal and human studies have examined mitochondrial guality control processes in muscle aging, with inconsistent results, and therefore warrant further investigation. Thus, some studies described increased fission in muscle tissue of older rats, indicated by smaller mitochondria and increased expression of fission proteins FIS1 and DRP1 (47), whereas other studies indicated an increased fusion, illustrated by a larger mitochondrial reticulum size, along with concomitant increases in the fusion proteins OPA1 and MFN2 increased fusion in aged mice (48). Furthermore, other studies reported no differences in mRNA levels for mitochondrial fission protein Fis1 and fusion protein Mitofusin 1 (*Mfn1*) between young and old sedentary individuals (49,50). The muscle tissue available from the young and older adults studied in chapter 3 may help to understand age-related changes through assessment of fission, fusion, and mitophagy proteins and their relationship with muscle health and physical function. Moreover, combining the measurement of these regulatory

proteins with confocal microscopy could shed further light on age-related alterations in the regulation of the finely tuned mitochondrial network. Nonetheless, it should be stressed that these experiments would only provide a snapshot of mitochondrial connectivity, whereas we know from previous studies that the network is highly dynamic and already displays changes over the course of a day (51)

# Potential confounders of the relationship between aging and mitochondrial capacity

Some of the contradictory associations between aging and skeletal muscle mitochondrial capacity and integrity, particularly in humans, could relate to heterogeneous participant characteristics such as physical activity, body fat content/distribution, and insulin sensitivity (28,52). In other words, the isolated impact of aging on skeletal muscle mitochondrial function is difficult to unravel from the many other factors that change concurrently with aging (53).

Aging has been associated with an increased abdominal white adipose tissue mass (54,55). In chapter 3 we also observed that body fat content was different between young and older adults and may therefore contribute to the reduction in mitochondrial function and physical capacity. Aging-related adiposity is also linked with increased fat deposition in skeletal muscle (56). Thus, in the m. vastus lateralis of older adults, intramyocellular lipid (IMCL) accumulation has been observed by proton magnetic resonance spectroscopy (<sup>1</sup>H-MRS) (57) as well as by histological analysis of muscle biopsies (58,59). Moreover, larger IMCL droplets have been linked to decreased mitochondrial content in muscle fibers from older adults, and lipid droplet morphology likely contributes to age-related reductions in mitochondrial function and lipid metabolism (52). Also, reduction in peroxisome proliferatoractivated receptor gamma coactivator 1a (PGC-1a) expression and disruption of mitochondrial dynamics and autophagy have been linked to lipid accumulation in muscle fibers during aging (60). Nevertheless, it remains to be established to which extent IMCL per se mediates the decline in mitochondrial function and if changes in mitochondrial capacity are a cause or consequence of increased adiposity.

In addition to changes in body composition, aging is generally associated with decreased physical activity levels, and previous studies suggested that mitochondrial function is not affected by chronological aging *per se* but rather by the age-related

decrease in physical activity (34,61,62). Sedentary, older individuals exhibit impaired mitochondrial function, possible loss of membrane potential, and increased oxidative stress (63.64). These deleterious changes promote the loss of muscle endurance and strength associated with age and impair life guality (64–66). Interestingly though. our findings described in chapter 3 indicate that even when maintaining an adequate daily physical activity level, reflected by ~10 000 steps per day, a decline in mitochondrial function and muscle health at older age is inevitable. However, it should be stressed that this does not exclude moderate physical activity as an important factor in preventing more severe deterioration of skeletal muscle mitochondrial capacity and muscle function at older age. Older adults with low(er) physical activity levels and impaired physical function were underrepresented in our cohort and, likely, this group still benefits from increases in moderate physical activity. Indeed, in larger cohorts of older adults, the number of steps per day correlated with the prevention of falls (67) and all-cause mortality (68). Nonetheless, only participants with an even higher physical activity level, achieved through regular physical exercise training, were able to negate the effects of aging on skeletal muscle mitochondrial capacity and muscle function in our study (chapter 3). In line with these results, modest aerobic activity, reported as ~3.5 h/week of leisure-time activity, fails to prevent the age-related decrease in mitochondrial respiration and insulin sensitivity. Simultaneously, rigorous exercise programs such as high-intensity interval training can normalize mitochondrial respiration in older adults (69,70), underscoring the importance of intensity of exercise as a determinant of ensuring healthy aging.

## Can physical exercise training prevent biological aging?

While physical activity is defined as any bodily movement produced by skeletal muscles resulting in energy expenditure, physical exercise specifically refers to planned, structured, repetitive, and purposive activity to improve or maintain physical fitness (71). Given its whole-body, health-promoting nature, physical exercise is one of the most powerful tools to prevent functional decline and the onset of numerous age-related chronic diseases (72,73). As regular exercise training promotes independence in older adults, it is a key component of successful aging (74).

Moreover, the 2018 Physical Activity Guidelines emphasize the importance of regular exercise as a medical therapy in older individuals (75). Benefits of exercise training in older adults have been demonstrated in multiple diseases and disorders, including cancer, type 2 diabetes, peripheral artery disease, hypertension, osteoporosis, dyslipidemia, obesity, cognitive impairment, depression, anxiety, and sleep disorders (75). An important goal of exercise interventions in older adults is to preserve skeletal muscle function to prevent physical disability (76). For example, resistance training in aged individuals increases muscle mass and strength, preventing sarcopenia and age-related muscle weakness (77). Furthermore, endurance training improves aerobic capacity and physical function, supporting independence in daily living activities (78). Moreover, exercise can also prevent age-associated intermuscular adipose tissue infiltration and the associated development of insulin resistance and poor muscle function (79).

As mentioned above, we found (**chapter 3**) that older adults who regularly perform exercise training display an improved skeletal muscle mitochondrial capacity and muscle function compared to non-exercising older individuals. This was accompanied by an increased insulin sensitivity and more favorable body composition, with a lower percentage of overweight observed amongst the trained older adults compared to the normally active and inactive older adults included in this study. Physical exercise is well known to increase mitochondrial content and function, largely through stimulation of PGC-1 $\alpha$  activity (80,81). Furthermore, exercise can improve the efficiency of mitochondria through remodeling of the mitochondrial network resulting from an improved balance between mitochondrial biogenesis, fusion, fission and mitophagy (82–85). Moreover, in response to repeated bouts of endurance exercise, several studies have presented increases in autophagy and mitophagy markers within the muscle (45), essential in maintaining a healthy mitochondrial pool.

Despite the extensively recognized benefits of exercise training in maintaining physical function during aging, only 23.5% of the aging population succeeds in meeting the WHO recommended physical exercise levels of at least 150 minutes of moderate-intensity aerobic physical exercise per week (86). Furthermore, highly structured exercise regimes may not be realistic for all older individuals, especially when they are affected by comorbidities and have a poor physical function. Even

#### Chapter 7

when older adults engage in carefully controlled exercise training regimens, the training response is heterogeneous (87,88). In older exercise responders, a large interindividual variability was observed in the improvement of functional performance assessed by either maximal oxygen uptake (VO<sub>2</sub>max) and walking speed after endurance training or by knee extensor strength after resistance training (88). Moreover, a fraction of older participants does not respond or even declines in function following exercise training and shows apparent adverse effects on cardiometabolic parameters such as resting systolic blood pressure, triglycerides, and insulin levels (87,88). Although specific mechanisms have been proposed to explain the decreased response to exercise in older adults, including the age-related decline in the bone-derived hormone osteocalcin (89), there are likely multiple factors at play, which deserve more investigation.

## Exercise responsiveness and NAD<sup>+</sup>

The variability in exercise training responsiveness might relate to the downregulation of nicotinamide adenine dinucleotide (NAD<sup>+</sup>) and the NAD<sup>+</sup> metabolome at older age. NAD<sup>+</sup> is a pyridine nucleotide that serves as an essential cofactor and substrate for many critical cellular processes involved in DNA repair, oxidative phosphorylation and ATP production, epigenetically modulated gene expression, immunological functions and intracellular calcium signaling (90). NAD<sup>+</sup> content in skeletal muscle in the resting state is positively correlated with the oxidative capacity of skeletal muscle fibers (90). Exercise requires a higher NAD<sup>+</sup> recycling rate to cope with the increased energetic demand, and hence changes in NAD<sup>+</sup> levels may provide a logical connection between exercise-induced metabolic stress and muscle adaptation (91). More specifically, exercise induces local energy deprivation and increases NAD+ levels, activating the NAD<sup>+</sup>-dependent deacetylase SIRT1 and other sirtuins that control the physiological responses to exercise. SIRT1, in turn, activates PGC-1 $\alpha$  to induce mitochondrial biogenesis and fatty acid oxidation (92). Decreased NAD+ levels in aged mice have been linked to reduced activity of SIRT1 and mitochondrial activity (93–95), suggesting that inadequate exercise responses could be related to the observed decline in NAD<sup>+</sup> upon aging.

Both underproduction and overconsumption of NAD<sup>+</sup> may contribute to NAD<sup>+</sup> depletion in aging. The rate-limiting enzyme in NAD<sup>+</sup> biosynthesis is nicotinamide phosphoribosyl transferase (NAMPT) (96,97) and NAMPT was shown to be negatively correlated with age in human skeletal muscle, indicating a possible agerelated decline in NAD<sup>+</sup> synthesis (98). NAD<sup>+</sup> overconsumption results from an overactive response to DNA damage by poly (ADP ribose) polymerases (PARPs) and an age-related increase in CD38, as shown in murine and human muscle tissue (95,96,99). Numerous animal studies have indicated NAD<sup>+</sup> depletion as the primary cause of disease and disability during aging (100). Nevertheless, very few studies have established that NAD<sup>+</sup> also declines with aging in human tissues (101). In chapter 4, we therefore performed a metabolomic analysis in skeletal muscle biopsies. We identified NAD<sup>+</sup> as one of the top 3 metabolites decreasing with age in skeletal muscle tissue in older adults compared to young adults with similar and adequate physical activity levels. Moreover, NAD<sup>+</sup> was more severely depleted in physically impaired older adults, whereas exercise-trained older adults were nearly fully protected against this age-related loss of NAD<sup>+</sup>. Interestingly, we also found strong correlations between muscle NAD<sup>+</sup> content and the subjects' daily step count, mitochondrial capacity, and muscle function. Our results affirm the relation of NAD+ with muscle health in aging human individuals and highlight the NAD<sup>+</sup>-pathway as a promising target to promote healthy aging, possibly in combination with exercise training.

Indeed, based on a large number of preclinical studies in invertebrate lab models and mice, NAD<sup>+</sup>-based therapeutics have been proposed as one of the most promising strategies to counteract physiological aging (96,100–102). More specifically, supplementation of the NAD<sup>+</sup> precursor nicotinamide mononucleotide (NMN) in aging mice attenuated age-related decline of muscle strength, mitigated the age-associated decline in energy metabolism, insulin sensitivity and lipid metabolism, and increased lifespan and healthspan (102). In addition, treatment with the NAD<sup>+</sup> precursor nicotinamide riboside (NR) in aged mice prevented muscle stem cell senescence, improved mitochondrial function and increased lifespan (103). Another strategy to increase NAD<sup>+</sup> bioavailability is by inhibiting the NAD<sup>+</sup> consuming enzymes CD38 en PPAR, reducing enzymatic competition, and enhancing SIRT1 activity. Long-term inhibition of the NAD<sup>+</sup> consuming enzyme

#### Chapter 7

PARP in mice enhanced SIRT1 activity, which was accompanied by improved mitochondrial function and enhanced energy expenditure and endurance performance (104). Nevertheless, evidence on the efficacy of NAD<sup>+</sup> supplementation in improving physical function in humans is limited. NAD<sup>+</sup> can be derived from various dietary sources as it can be synthesized (1) de novo from the essential amino acid L-tryptophan, (2) through the Preiss-Handler pathway from vitamin B3 compounds such as nicotinic acid (NA, niacin), or (3) through the salvage pathway from nicotinamide, NMN, and NR (105). Chapter 5 investigated the efficacy of NAD<sup>+</sup>-precursor supplementation with L-tryptophan, nicotinic acid, and nicotinamide in older adults with an impaired physical function. However, NAD<sup>+</sup> precursor supplementation did neither affect skeletal muscle mitochondrial respiratory capacity nor physical function. These findings are in line with data from Elhassan et al. (106), who supplemented healthy, older adults with a high dose of NR and also did not observe any alterations in mitochondrial respiration capacity and muscle strength. In fact, several other studies, performed in obese individuals (107,108), middle-aged participants (109), and older men (110), failed to establish beneficial effects of NR supplementation on mitochondrial capacity and metabolic health.

Based on these mixed findings in animals vs. humans, NAD<sup>+</sup> precursor supplementation alone might not be sufficient to improve in physical performance. Considering that the positive effects of regular exercise training may be limited in older adults by the dysregulated NAD<sup>+</sup> metabolome, it is conceivable that the combination of exercise training with NAD<sup>+</sup> precursor supplementation could potentiate exercise therapy in older adults. Preclinical studies on the combination of NR (48,49) or NMN (113) with exercise training have reported mixed results. These studies were conducted mainly on young animals and used different exercise protocols. Nevertheless, NR has a good safety profile and showed beneficial effects in individuals with impaired baseline health status, as shown in patients with myopathies (114). Additional well-designed preclinical studies and RCTs in humans are warranted to test the combination of NAD<sup>+</sup> precursor supplementation and exercise in improving the homeostasis of the NAD<sup>+</sup> metabolome, mitochondrial function and exercise tolerance in older adults with moderate physical function.

Taken together, physical exercise training is a powerful tool to prevent the decline in physical integrity with age but might not be feasible and equally effective for all older

individuals. Nutritional supplementation of NAD<sup>+</sup> precursors might facilitate and optimize the adaptive process to exercise training in older adults, and as such, may boost the efficacy of exercise therapy for healthy aging.

#### **Concluding remarks**

This thesis aimed to study skeletal muscle mitochondria's role in the age-related loss of physical function. Based on the results, we conclude that, despite maintaining recommended daily physical activity levels, aging is associated with a decline in mitochondrial capacity, NAD<sup>+</sup> levels, muscle health, and physical function. Higher levels of physical activity, achieved through high-intensity regular exercise training, partially prevent the effects of aging on NAD<sup>+</sup> abundance, mitochondrial function, and muscle health. The observed correlations between mitochondrial capacity and exercise efficiency and insulin sensitivity highlight the role of mitochondrial dysfunction in accelerated aging and deterioration of skeletal muscle health. Moreover, NAD<sup>+</sup> metabolism strongly correlates with skeletal muscle health during aging as assessed through mitochondrial and physical functioning, affirming the relation of NAD<sup>+</sup> with overall health status, especially in older adults, and emphasizing the NAD-pathway as a promising target to promote healthy aging in humans. Nevertheless, NAD<sup>+</sup> supplementation with NAD<sup>+</sup>-precursors alone did not improve mitochondrial function and muscle health. Moreover, we conclude that despite differences in fiber type distribution across the different age groups, muscle tissue properties per se are not key factors for balance recovery, highlighting that fall prevention training should include the multiple aspects of physical decline with aging.

Taken together, mitochondria represent a significant target in the strategy to extend healthspan. To this point, exercise remains the most effective behavioral medicine for improving mitochondrial health, not only in muscle but also in other tissues. Further elucidation of mitochondrial turnover mechanisms and NAD<sup>+</sup> metabolism in muscle could offer potential therapeutic targets to promote healthy aging in humans.

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# **APPENDICES**

Samenvatting

Summary

Sommario

Impact

Dankwoord

About the author

List of publications



## SAMENVATTING

## Spiergezondheid en veroudering: een focus op mitochondriën, metabolisme en fysieke functie.

Mensen leven langer dan ooit tevoren en ondanks de voortdurend verbeterende levensverwachting en gezondheidszorg, is het behoud van een goede levenskwaliteit gedurende deze extra jaren niet vanzelfsprekend. Eén van de meest opvallende processen bij het ouder worden is de progressieve afname van spiermassa en spierkracht. Dit verlies van spierkwaliteit is gaat gepaard met functionele beperkingen en het verlies van onafhankelijkheid in dagelijkse activiteiten, maar ook met metabole stoornissen en een groter risico op chronische ziekten. Eén van de veronderstelde oorzaken van het verlies van spiergezondheid tijdens veroudering is de afname van de mitochondriële capaciteit. Dit proefschrift beoogt een beter inzicht te krijgen in de rol van het mitochondriële metabolisme in de afname van de spiergezondheid tijdens veroudering. Daarbij is tevens aandacht voor verschillende andere factoren die tijdens het verouderingsproces vaak gelijktijdig veranderen, zoals een afname van fysieke activiteit en veranderingen in lichaamssamenstelling. Inzichten in het proces van spierveroudering op fysiologisch en moleculair niveau kunnen bijdragen aan de verdere ontwikkeling van optimale strategieën om ook op oudere leeftijd een goede (spier)gezondheid en levenskwaliteit te behouden.

Mitochondriën zijn geen op zichzelf staande organellen, maar vormen strak gereguleerde, dynamische netwerken. Om te kunnen voldoen aan de fluctuerende energiebehoeftes van de cel worden deze netwerken voortdurend opgebouwd, afgebroken en onderworpen aan strenge kwaliteitscontroles. Om de gepaste morfologie en functie te behouden, kunnen slecht functionerende delen van mitochondriële netwerken worden afgesplitst en afgebroken via een proces dat mitofagie wordt genoemd. Daarnaast kunnen nieuwgevormde mitochondriën fuseren met andere mitochondriën om zo het mitochondriële netwerk aan te passen aan de fysiologische benodigdheden van de cel. Een goede dynamiek van het netwerk is essentieel voor het behoud van een optimale mitochondriële functie. **Hoofdstuk 2** bestudeert de beschikbare literatuur over verstoringen in de regulatie van mitochondriële netwerken bij veroudering. Deze literatuurstudie laat zien dat een

afwijkende mitochondriële dynamiek en verminderde kwaliteitscontrole gerelateerd zijn aan de gezondheid van skeletspieren bij veroudering. De conclusie is ook dat er meer humane studies nodig zijn om de onderliggende mechanismen te begrijpen en nieuwe therapieën te ontwikkelen om de verstoorde mitochondriële dynamiek bij ziekte en veroudering aan te pakken.

Of de afname van de mitochondriële functie tijdens het ouder worden verklaard wordt door de toenemende (chronologische) leeftijd of meer door een leeftijdsgebonden afname in fysieke activiteit is nog onduidelijk. In hoofdstuk 3 werd daarom het effect van leeftijd op mitochondriële functie en spiergezondheid onderzocht aan de hand van een gedetailleerde fenotypische karakterisering van jongere en oudere personen met vergelijkbare niveaus van fysieke activiteit. Daarnaast werd de invloed van fysieke activiteit op het verouderingsproces onderzocht door een vergelijking te maken in spierfunctie en spiergezondheid tussen getrainde ouderen, ouderen met een normaal niveau van fysieke activiteit en ouderen met een verminderde fysieke functie. Het vergelijken van deze groepen laat zien dat veroudering geassocieerd is met een afname van de mitochondriële functie. inspanningsefficiëntie, inspanningsvermogen, loopstabiliteit. spierfunctie en insulinegevoeligheid. Opvallend genoeg zien we deze afname in ouderen ondanks dat het fysiek activiteitsniveau vergelijkbaar is met dat van jongeren. Tevens voldoen beide groepen qua activiteit aan de algemene aanbevelingen, namelijk een gemiddelde van 10000 stappen per dag. Enkel een forse verhoging van de fysieke activiteit, door middel van regelmatig en intensief te trainen, blijkt de effecten van veroudering op de mitochondriële functie en spierfunctie tegen te kunnen gaan. Daarnaast onderschrijven de waargenomen correlaties tussen mitochondriële capaciteit en inspanningsefficientie en insulinegevoeligheid, de rol van mitochondria bij de afname van de spiergezondheid tijdens veroudering. Deze resultaten identificeren de mitochondria als een potentieel therapeutisch doelwit om spierveroudering tegen te gaan en om de fysieke functie te kunnen behouden.

Om beter te begrijpen waarom de mitochondriële functie afneemt met de leeftijd, werden in **hoofdstuk 4** de metabole verschillen in het spierweefsel van de proefpersonen uit hoofdstuk 3 verder onderzocht. Het niveau van 137 metabolieten

(metabole signaalstoffen) werd gedetecteerd en vergeleken in jongeren, ouderen met een normale fysieke functie, getrainde ouderen en ouderen met een verminderde fysieke functie en 65 metabolieten bleken te veranderen met veroudering. Van de metabolieten die verlaagd waren in ouderen ten opzichte van jongeren, was de afname van nicotinamide adenine dinucleotide (NAD<sup>+</sup>) het meest prominent. NAD<sup>+</sup> is een essentieel metaboliet dat onder andere nodig is voor verschillende cellulaire processen die betrokken zijn bij oxidatieve fosforylering en energieproductie in de mitochondriën. Interessant is dat **hoofdstuk 4** ook aantoont dat deze afname in NAD<sup>+</sup> sterker aanwezig is bij ouderen met een verminderde fysieke functie en dat het NAD<sup>+</sup> niveau bij fysiek getrainde ouderen bijna volledig vergelijkbaar is met dat van de jongeren. NAD<sup>+</sup> en andere belangrijke metabolieten van het NAD<sup>+</sup> metabolisme blijken ook sterk te correleren met de mitochondriële functie, het gemiddeld aantal stappen per dag en de spiergezondheid. Deze resultaten tonen de potentie aan van inspanningstraining om gezond ouder te worden en suggereren een cruciale rol van NAD<sup>+</sup> hierin.

Een mogelijke manier om het aan veroudering gerelateerde verlies in NAD<sup>+</sup> tegen te gaan is door middel van de suppletie van NAD+- precursoren via de voeding. In hoofdstuk 5 werd het effect onderzocht van een 32 dagen durende suppletie met NAD<sup>+</sup>-precursoren (bestaande uit L-tryptofaan, nicotinezuur en nicotinamide) op de mitochondriële functie en spiergezondheid in ouderen met een lagere fysieke functie. In de controle arm namen alle deelnemers gedurende 32 dagen tevens een controlemiddel, zonder NAD<sup>+</sup> precursoren. Hierbij was niet bekend in welke volgorde zij de NAD<sup>+</sup> supplementen en het controlemiddel ontvingen. De studieresultaten tonen aan dat de NAD<sup>+</sup> concentraties in de skeletspier niet significant verschillen in vergelijking met de controleconditie. Echter is de concentratie van het afbraakproduct methyl-nicotinamide significant hoger na suppletie met NAD+precursoren wat lijkt te wijzen op een verhoging van het NAD+ metabolisme. De mitochondriële respiratie capaciteit blijkt niet te verbeteren door suppletie met NAD+precursoren en de inspanningsefficiëntie en spierfunctie blijven onveranderd. Deze resultaten zijn vergelijkbaar met andere studieresultaten over de werkzaamheid van NAD<sup>+</sup>-precursoren via de voeding in mensen. Kortom, de inname van extra NAD<sup>+</sup>precursoren bestaande uit L-tryptofaan, nicotinezuur en nicotinamide verhoogt de

Samenvatting

methylnicotinamide niveaus in de spieren, maar verbetert de mitochondriële capaciteit en spiergezondheid niet in ouderen met een verminderde fysieke functie.

Eén van de meest kritieke complicaties van veroudering is het verlies van evenwichtscontrole en stabiliteit, wat leidt tot een verhoogd risico op vallen en valgerelateerde gevolgen zoals breuken, verdere functionele achteruitgang en immobiliteit. Over het algemeen wordt aangenomen dat spiermassa en kracht essentiële factoren zijn om met grote evenwichtsverstoringen om te gaan. Echter bliikt spierkrachttraining slechts een matiq effect te hebben op de evenwichtscontrole. De leeftijdsgebonden afname van spiermassa wordt voornamelijk toegeschreven aan atrofie van type II spiervezels wat leidt tot een afname van de spierkracht. In hoofdstuk 6 onderzochten we of de karakteristieken van de type II spiervezels al dan niet geassocieerd zijn met de evenwichtscontrole van jonge en oudere personen. Om dit te doen werd de dynamische stabiliteit gemeten tijdens een evenwichtsverstoring op een loopband en werden de spiervezels gekarakteriseerd in spierbiopsies van de jongvolwassenen, normaal actieve ouderen, getrainde ouderen en ouderen met een verminderde spierfunctie. Ondanks de grote variatie in spiervezelverdeling, spiermassa en spierkracht over de verschillende groepen, blijken deze spiereigenschappen niet te correleren met de stabiliteit tijdens deze evenwichtsverstoringen. Dit geeft aan dat valpreventie interventies niet enkel zouden moeten focussen op het verbeteren van de kwaliteit van het spierweefsel, maar dat mogelijk ook de andere verouderingsprocessen moeten worden aangepakt om functionele achteruitgang in ouderen te voorkomen.

Op basis van dit proefschrift kunnen we concluderen dat ondanks het handhaven van de aanbevolen hoeveelheid dagelijkse lichaamsbeweging, veroudering gepaard gaat met een afname van de mitochondriële capaciteit, NAD<sup>+</sup> metabolisme, spiergezondheid, loopstabiliteit en fysieke functie. Enkel hogere niveaus van fysieke activiteit, bereikt door regelmatig en intensief te trainen, kunnen de effecten tegen gaan van veroudering op het NAD<sup>+</sup> metabolisme, mitochondriële functie en spiergezondheid. Een beter begrip van de regulatie van mitochondriële functie en de rol van NAD<sup>+</sup> metabolisme in de spier bieden mogelijk therapeutische aanknopingspunten om de achteruitgang in fysieke functie tegen te gaan en op een gezonde manier ouder te worden.

## SUMMARY

## Skeletal muscle health in aging: A focus on mitochondria, metabolism, and physical performance

People are living longer than ever before and despite continuously improving life expectancy and healthcare, maintaining a good quality of life during these extra years is not a matter of course. One of the most striking processes of aging is the progressive decline in muscle mass and strength. This loss of muscle quality is associated with functional limitations and loss of independence in daily activities, as well as metabolic disorders and a greater risk of chronic disease. One of the hypothesized causes of the loss of muscle health during aging is the decrease in mitochondrial capacity. This thesis aims to gain a better understanding of the role of mitochondrial metabolism in the decline of muscle health during aging. Attention is also paid to several other factors that often change simultaneously during the aging process, such as a decrease in physical activity and changes in body composition. Insights into the process of muscle aging on a physiological and molecular level can contribute to the further development of to maintain good (muscle) health and quality of life in old age.

Mitochondria form tightly regulated, dynamic networks. To meet the fluctuating energy needs of the cell, these networks are continuously built, broken down, and subjected to strict quality controls. To maintain appropriate morphology and function, malfunctioning portions of mitochondrial networks can be cleaved off and broken down through a process called mitophagy. In addition, newly formed mitochondria can fuse with other mitochondria to adapt the mitochondrial network to the physiological needs of the cell. Good network dynamics are essential for maintaining optimal mitochondrial function. **Chapter 2** examines the available literature on disturbances in the regulation of mitochondrial networks in aging. This literature review shows that aberrant mitochondrial dynamics and impaired quality control are related to skeletal muscle health in aging. It also concludes that more human studies are needed to understand the underlying mechanisms and develop new therapies to address the disrupted mitochondrial dynamics in disease and aging.

Whether the decrease in mitochondrial function during aging is explained by increasing (chronological) age or by an age-related reduction in physical activity is

still unclear. Therefore, in chapter 3 the effect of age on mitochondrial function and muscle health was investigated by detailed phenotypic characterization of younger and older individuals with comparable levels of physical activity. In addition, the influence of physical activity on the aging process was investigated by comparing muscle function and muscle health between trained older adults, older adults with normal levels of physical activity, and older adults with reduced physical function. Comparing these groups shows that aging is associated with a decrease in mitochondrial function, exercise capacity, exercise efficiency, gait stability, muscle function, and insulin sensitivity. Remarkably, we see this decrease in older adults despite the fact that the physical activity level is comparable to that of young people. Both groups also meet the general recommendations in terms of activity, namely an average of 10,000 steps per day. Only a substantial increase in physical activity, through regular and intensive training, appears to counteract the effects of aging on mitochondrial and muscle function. In addition, the observed correlations between mitochondrial capacity and exercise efficiency, and insulin sensitivity support the role of mitochondria in the decline in muscle health during aging. These results identify the mitochondria as a potential therapeutic target to counteract muscle aging and maintain physical function.

To better understand why mitochondrial function declines with age, in **chapter 4** the metabolic differences in the muscle tissue of the subjects from chapter 3 were further investigated. The level of 137 metabolites (metabolic signaling substances) was detected and compared in young people, the older adults with normal physical function, trained older adults, and older adults with impaired physical function, and 65 metabolites were found to change with aging. Of the metabolites decreased in the older adults compared to the young, the decrease in nicotinamide adenine dinucleotide (NAD<sup>+</sup>) was most prominent. NAD<sup>+</sup> is an essential metabolite required for various cellular processes involved in oxidative phosphorylation and energy production in the mitochondria. Interestingly, **chapter 4** also shows that this decrease in NAD<sup>+</sup> is more pronounced in older adults are almost completely comparable to that of the young. NAD<sup>+</sup> and other metabolites of NAD<sup>+</sup> metabolism have also been found to correlate strongly with mitochondrial function, average steps

per day, and muscle health. These results demonstrate the potential of exercise training for healthy aging and suggest a crucial role of NAD<sup>+</sup> in this.

A possible way to counteract the aging-related loss in NAD<sup>+</sup> is through dietary supplementation of NAD<sup>+</sup> precursors. In **chapter 5**, the effect of a 32-day supplementation with NAD<sup>+</sup> precursors (consisting of L-tryptophan, nicotinic acid, and nicotinamide) on mitochondrial function and muscle health in older adults with lower physical function was investigated. In the control arm, all participants also took a control product, without NAD<sup>+</sup> precursors, for 32 days. It was not known in which order they received the NAD<sup>+</sup> supplements and the control. The study results show that the NAD<sup>+</sup> concentrations in skeletal muscle do not differ significantly compared to the control condition. However, the concentration of the degradation product methyl-nicotinamide is significantly higher after supplementation with NAD+ precursors, which seems to indicate an increase in NAD<sup>+</sup> metabolism. The mitochondrial respiratory capacity does not appear to be improved by supplementation with NAD<sup>+</sup> precursors and the exercise efficiency and muscle function remain unchanged. These results are comparable to other study results on the efficacy of dietary NAD<sup>+</sup> precursors in humans. In short, the intake of additional NAD<sup>+</sup> precursors consisting of L-tryptophan, nicotinic acid, and nicotinamide increases methylnicotinamide levels in muscles but does not improve mitochondrial capacity and muscle health in older adults with a reduced physical function.

One of the most critical complications of aging is loss of balance control and stability, leading to an increased risk of falls and fall-related consequences such as fractures, further functional decline, and immobility. It is generally believed that muscle mass and strength are essential factors for dealing with significant imbalances. However, muscle strength training appears to have only a moderate effect on balance control. The age-related decrease in muscle mass is mainly attributed to the atrophy of type II muscle fibers leading to a decrease in muscle strength. In **chapter 6** we investigated whether or not the characteristics of the type II muscle fibers are associated with balance control in young and older participants. Dynamic stability was measured during a balance disturbance on a treadmill, and muscle fibers were characterized in muscle biopsies from the young adults, normally active older adults, trained older adults, and older adults with impaired muscle function. Despite the large variation in muscle fiber distribution, muscle mass, and muscle strength across

the different groups, these muscle properties do not appear to correlate with stability during these imbalances. This indicates that fall prevention interventions should not only focus on improving muscle tissue quality but may also need to address other aging processes to prevent functional decline in older adults.

Based on this thesis, we can conclude that despite maintaining the recommended amount of daily physical activity, aging is associated with a decrease in mitochondrial capacity, NAD<sup>+</sup> metabolism, muscle health, gait stability, and physical function. Only higher levels of physical activity, achieved through regular and intense exercise, can counteract the effects of aging on NAD<sup>+</sup> metabolism, mitochondrial function, and muscle health. A better understanding of the regulation of mitochondrial function and the role of NAD<sup>+</sup> metabolism in muscle may provide therapeutic leads to counteract the decline in physical function and age in a healthy way.

## SOMMARIO

## Salute dei muscoli scheletrici nell'invecchiamento: un focus su mitocondri, metabolismo e prestazioni fisiche

Le persone vivono più a lungo che mai e, nonostante il continuo miglioramento dell'aspettativa di vita e dell'assistenza sanitaria, mantenere una buona qualità della vita durante tutti questi anni non è scontato. Uno dei processi più eclatanti dell'invecchiamento, è il progressivo declino della massa muscolare e della forza. Questa perdita di qualità muscolare, è associata a limitazioni funzionali e perdita di indipendenza nelle attività quotidiane, nonché a disturbi metabolici e ad un maggior rischio di malattie croniche. Una delle cause ipotizzate della perdita di salute muscolare durante l'invecchiamento, è la diminuzione della capacità mitocondriale. Questa tesi, mira ad ottenere una migliore comprensione del ruolo del metabolismo mitocondriale nel declino della salute muscolare durante l'invecchiamento. Viene prestata attenzione anche a diversi altri fattori, che spesso cambiano contemporaneamente durante il processo di invecchiamento, come la diminuzione dell'attività fisica e le variazioni della composizione corporea. Approfondimenti sul processo di invecchiamento muscolare a livello fisiologico e molecolare, possono contribuire all'ulteriore sviluppo di strategie ottimali per mantenere una buona salute (muscolare) e una buona qualità di vita in età avanzata.

I mitocondri, sono reti dinamiche strettamente regolate. Per soddisfare le fluttuazioni del fabbisogno energetico della cellula, queste reti vengono continuamente costruite, scomposte e sottoposte a severi controlli di qualità. Per mantenere una morfologia e una funzione appropriate, le parti malfunzionanti delle reti mitocondriali possono essere scisse e scomposte attraverso un processo chiamato mitofagia. Inoltre, i mitocondri di nuova formazione, possono fondersi con altri mitocondri per adattare la rete mitocondriale ai bisogni fisiologici della cellula. Una buona dinamica della rete è essenziale per mantenere una funzione mitocondriale ottimale. Il capitolo 2, esamina la letteratura disponibile sui disturbi nella regolazione delle reti mitocondriali nell'invecchiamento. Questa revisione della letteratura, mostra che le dinamiche mitocondriali aberranti e il controllo di qualità alterato, sono correlati alla salute del muscolo scheletrico nell'invecchiamento. Si afferma inoltre, che sono necessari ulteriori studi sull'uomo per comprendere i meccanismi sottostanti e sviluppare nuove

Sommario

terapie per affrontare le dinamiche mitocondriali interrotte nella malattia e nell'invecchiamento.

Non è ancora chiaro se la diminuzione della funzione mitocondriale durante l'invecchiamento sia spiegata dall'aumento dell'età (cronologica) o, piuttosto, da una diminuzione dell'attività fisica correlata all'età. Pertanto, nel capitolo 3, è stato studiato l'effetto dell'età sulla funzione mitocondriale e sulla salute muscolare. mediante una dettagliata caratterizzazione fenotipica di individui più giovani e più anziani con comparabili livelli di attività fisica. Inoltre, è stata studiata l'influenza dell'attività fisica sul processo di invecchiamento, confrontando la funzione muscolare e la salute muscolare tra anziani che fanno spesso sport, anziani con normali livelli di attività fisica e anziani con funzione fisica ridotta. Il confronto di questi gruppi, mostra che l'invecchiamento è associato a una diminuzione della funzione mitocondriale, della capacità di esercizio, dell'efficienza dell'esercizio, della stabilità dell'andatura, della funzione muscolare e della sensibilità all'insulina. Sorprendentemente, vediamo guesta diminuzione negli anziani, nonostante il fatto che il livello di attività fisica sia paragonabile a quello dei giovani. Entrambi i gruppi, soddisfano anche le raccomandazioni generali in termini di attività, ovvero una media di 10.000 passi al giorno. Solo un sostanziale aumento dell'attività fisica, attraverso un allenamento regolare e intensivo, sembra contrastare gli effetti dell'invecchiamento sulla funzione mitocondriale e muscolare. Inoltre, le correlazioni osservate tra capacità mitocondriale, efficienza dell'esercizio e sensibilità all'insulina, supportano il ruolo dei mitocondri nel declino della salute muscolare durante l'invecchiamento. Questi risultati, identificano i mitocondri come un potenziale bersaglio terapeutico, per contrastare l'invecchiamento muscolare e mantenere la funzione fisica.

Per capire meglio perché la funzione mitocondriale diminuisce con l'età, nel **capitolo 4** sono state ulteriormente studiate le differenze metaboliche nel tessuto muscolare dei soggetti del capitolo 3. Il livello di 137 metaboliti (sostanze di segnalazione metabolica), è stato rilevato e confrontato nei giovani, negli anziani con funzione fisica normale, negli anziani allenati e negli anziani con funzione fisica compromessa e, 65 metaboliti, sono stati trovati a cambiare con l'invecchiamento. Dei metaboliti diminuiti negli anziani rispetto ai giovani, la diminuzione della nicotinamide adenina dinucleotide (NAD<sup>+</sup>) è stata la più notevole. NAD<sup>+</sup>, è un metabolita essenziale

richiesto per vari processi cellulari coinvolti nella fosforilazione ossidativa e nella produzione di energia nei mitocondri. È interessante notare, che il capitolo 4 mostra anche che questa diminuzione di NAD<sup>+</sup> è più pronunciata negli anziani con funzione fisica compromessa e che i livelli di NAD<sup>+</sup> negli anziani allenati fisicamente, sono quasi completamente paragonabili a quelli dei giovani. È stato anche scoperto che il NAD<sup>+</sup> e altri metaboliti del metabolismo del NAD<sup>+</sup> sono fortemente correlati con la funzione mitocondriale, i passi medi al giorno e la salute muscolare. Questi risultati dimostrano il potenziale dell'esercizio fisico, per un invecchiamento sano e suggeriscono un ruolo cruciale del NAD<sup>+</sup> in questo.

Un modo possibile per contrastare la perdita di NAD<sup>+</sup> correlata all'invecchiamento, è attraverso l'integrazione alimentare di precursori di NAD<sup>+</sup>. Nel capitolo 5, è stato studiato l'effetto di un'integrazione di 32 giorni con precursori NAD+ (costituiti da Ltriptofano, acido nicotinico e nicotinamide), sulla funzione mitocondriale e sulla salute muscolare negli anziani con funzione fisica inferiore. In una delle fasi, tutti i partecipanti hanno anche assunto un prodotto di controllo, senza precursori NAD+ per 32 giorni. Non era noto in guale ordine ricevessero gli integratori NAD<sup>+</sup> e i prodotti di controllo. I risultati dello studio, mostrano che le concentrazioni di NAD+ nel muscolo scheletrico, non differiscono significativamente rispetto alla condizione di controllo. Tuttavia, la concentrazione del prodotto di degradazione metilnicotinammide è significativamente più alta dopo l'integrazione con precursori di NAD<sup>+</sup>, il che sembra indicare un aumento del metabolismo del NAD<sup>+</sup>. La capacità respiratoria mitocondriale, non sembra essere migliorata dall'integrazione con precursori NAD<sup>+</sup> inoltre, l'efficienza dell'esercizio e la funzione muscolare rimangono invariate. Questi risultati sono paragonabili ai risultati di altri studi sull'efficacia dei precursori NAD<sup>+</sup> nella dieta nell'uomo. In breve, l'assunzione di precursori NAD<sup>+</sup> aggiuntivi costituiti da L-triptofano, acido nicotinico e nicotinamide aumenta i livelli di metilnicotinamide nei muscoli, ma non migliora la capacità mitocondriale e la salute muscolare negli anziani con una funzione fisica ridotta.

Una delle complicanze più critiche dell'invecchiamento, è la perdita del controllo dell'equilibrio e della stabilità, che porta ad un aumento del rischio di cadute e delle conseguenze ad esse correlate, come fratture, ulteriore declino funzionale e, qualche volta, immobilità. Si ritiene generalmente, che la massa muscolare e la forza siano fattori essenziali per affrontare squilibri significativi. Tuttavia, l'allenamento

della forza muscolare sembra avere solo un effetto moderato sul controllo dell'equilibrio. La diminuzione della massa muscolare legata all'età, è principalmente attribuita all'atrofia delle fibre muscolari di tipo II che porta ad una diminuzione della forza muscolare. Nel **capitolo 6**, abbiamo studiato se le caratteristiche delle fibre muscolari di tipo II, sono associate o meno al controllo dell'equilibrio in soggetti giovani e anziani. La stabilità dinamica, è stata misurata durante un disturbo dell'equilibrio su un tapis roulant e le fibre muscolari sono state caratterizzate in biopsie muscolari di giovani adulti, anziani normalmente attivi, anziani allenati e anziani con funzione muscolare compromessa. Nonostante la grande variazione nella distribuzione delle fibre muscolari, nella massa muscolare e nella forza muscolare tra i diversi gruppi, queste proprietà muscolari non sembrano essere correlate alla stabilità durante questi squilibri. Ciò indica che gli interventi di prevenzione delle cadute, non dovrebbero concentrarsi solo sul miglioramento della qualità del tessuto muscolare, ma potrebbero anche dover affrontare altri processi di invecchiamento per prevenire il declino funzionale negli anziani.

Sulla base di questa tesi, possiamo concludere che, nonostante il mantenimento della quantità raccomandata di attività fisica quotidiana, l'invecchiamento è associato a una diminuzione della capacità mitocondriale, del metabolismo NAD<sup>+</sup>, della salute muscolare, della stabilità dell'andatura e della funzione fisica. Solo livelli più elevati di attività fisica, raggiunti attraverso un esercizio fisico intenso e regolare, possono contrastare gli effetti dell'invecchiamento sul metabolismo NAD<sup>+</sup>, sulla funzione mitocondriale e sulla salute muscolare. Una migliore comprensione della regolazione della funzione mitocondriale e del ruolo del metabolismo NAD<sup>+</sup> nel muscolo, può fornire indicazioni terapeutiche per contrastare il declino della funzione fisica e dell'età in modo sano.

## IMPACT

## What is the main objective of the thesis, and what are the most important results and conclusions?

Between 1990 and 2019, the number of people older than 80 has tripled, and this number is expected to triple again by 2050 (1). This enormous accomplishment of increasing life expectancy illustrates the remarkable advances achieved in biomedicine and healthcare but comes at a cost though, since many diseases such as type 2 diabetes and cardiovascular diseases are more prevalent at an older age (2). Moreover, in proportion, there are increasingly more older adults in the population than young individuals, an imbalance known as the 'silver tsunami,' which implicates increased healthcare costs and significant social challenges. If we consider the aging human body, skeletal muscle is prominently affected. Age-induced loss of lean muscle mass and function is known as sarcopenia and leads to disabilities and increased dependency and care needs. A better understanding of the aging process itself, rather than focusing on age-associated disease, can help to develop strategies to target the aging process and maintain people's health during those extra years of life.

In the cells of the human body, the mitochondria are organelles that maintain cellular energy levels necessary for all vital processes. As a result, mitochondria are also known as the cell's powerhouses. In muscle cells, healthy mitochondria regulate various metabolic processes and provide energy for muscle contractions. It is well documented that with aging, muscle mitochondrial function declines, which is paralleled by a loss in muscle strength and mass. Importantly, this reduction in muscle health translates into a loss of physical independence and an increased risk for metabolic disease. If and how age-related alterations in mitochondrial function and muscle health (causally) relate to each other is however not well established in humans. This thesis aimed to better understand the aging process in skeletal muscle by investigating the role of mitochondrial metabolism in the age-related loss of physical performance.

It has become increasingly clear that mitochondria do not operate as individual organelles but physically and functionally connect into dynamic networks. These networks are constantly built, restored, and subjected to quality control mechanisms

to assure efficient mitochondrial function. Growing evidence indicates that altered mitochondrial dynamics and impaired quality control influence skeletal muscle health in aging and metabolic disease. In **chapter 2** this evidence was reviewed in a literature study on the role of abnormal mitochondrial network dynamics in the age-related loss of muscle health. However, more - especially human - studies are needed to understand the implications and underlying mechanisms in order to develop new therapeutics to target mitochondrial dynamics in human disease. An important first step in this context is to develop suitable techniques to study these dynamic processes properly.

To better understand the relationship between skeletal muscle mitochondrial metabolism and muscle health, we, in **chapter 3**, extensively measured mitochondrial capacity and muscle function in young and older adults. We found that aging is associated with a decline in mitochondrial capacity, muscle health, and physical function. Interestingly, this decline was observed in older adults despite the fact that they performed more than 10000 steps-per-day, which is above the general physical activity recommendations. Since we also studied trained older adults in this study, we could also show that only a further increase in physical activity levels, achieved through regular high-intensity exercise training, was able to prevent the effects of aging on muscle health. Furthermore, we found a correlation between mitochondrial function and muscle health, indicating that maintaining mitochondrial function at older age is important to promote healthy aging.

To further understand why mitochondrial function declines when we age, we next studied the muscle tissue of the young and older participants and compared their metabolic profiles, using untargeted metabolomics (**chapter 4**). The most prominent change we observed was an age-related decline in NAD<sup>+</sup>, an essential metabolite that is needed in the mitochondria to generate energy. Interestingly, this decline in NAD<sup>+</sup> was exacerbated in older individuals with a lower physical function and was nearly entirely prevented in the exercise-trained older adults. NAD<sup>+</sup> also strongly correlated to the average steps-per-day and mitochondrial and muscle functioning, demonstrating the importance of exercise to age healthier and suggesting a crucial role of NAD<sup>+</sup> therein.

A strategy to potentially alleviate the age-related depletion of NAD<sup>+</sup> in the mitochondria is by stimulating NAD<sup>+</sup> synthesis through nutritional supplementation of NAD<sup>+</sup> precursors. In this context, **chapter 5** explored the effectiveness of NAD<sup>+</sup> precursors supplementation (consisting of tryptophan, vitamin B3, and nicotinamide) on NAD<sup>+</sup> levels, mitochondrial function, and muscle health in older adults with reduced physical function. Nevertheless, the extra intake of these NAD<sup>+</sup> precursors appeared not to be sufficient to boost mitochondrial function and failed to improve physical function.

One of the most critical consequences of age-related decrease in physical function is the loss of balance control leading to an increased risk of falls and fall-related effects such as fractures, further functional decline, immobility, and causal mortality. Therefore, fall prevention therapy is essential to promote healthy aging. The reduction in muscle strength and mass is assumed to be the main reason for the age-related loss in the ability to cope with balance disturbances. Nevertheless, in **chapter 6**, no strong correlations were observed in young and older adults between muscle characteristics and balance control. These results indicate that fall prevention therapy in older individuals should not only focus on improving muscle quality, but a multi-domain intervention might be necessary.

Taken together, results from this dissertation indicate the importance of mitochondrial function and NAD<sup>+</sup> metabolism in muscle aging and suggest that physical exercise training remains the most powerful tool to improve mitochondrial function and to age in a healthy way. A better understanding of the role of NAD<sup>+</sup> in muscle metabolism and the mitochondrial quality control mechanism can reveal promising therapeutic targets to promote healthy aging.

#### What is the contribution of the research results to science and society?

The studies described in this thesis add to the existing knowledge on changes that occur in skeletal muscle while human age. With this new knowledge, we add some pieces to the puzzle and contribute to the clarification of the complex process of aging. Our results may help to identify new targets and approaches in order to prevent age-related disability and help people live a long healthy life.

Impact

Due to the vital role of skeletal muscle in daily life activities, the deterioration of muscle mass and function (sarcopenia) leads to a decreased quality of life, increased hospitalization rates and the loss of independence. Over the coming decades, the prevalence of sarcopenia is expected to increase drastically in Europe, and these trends will not only be a burden for the older population. They will impose a tremendous financial load on society as a whole in terms of increased healthcare costs, which are expected to double for European countries such as The Netherlands (3). To make healthcare affordable and preserve a good quality of life, it is crucial to maintain people's health and physical independence at older age. A better understanding of the aging process itself can help us identify strategies to promote healthy aging and significantly impact society.

#### To whom are the research results relevant?

The research results of this thesis are relevant to different stakeholders. First of all, these results are of interest to researchers working in the field of aging and aging-related diseases, as they contribute to a better understanding of how skeletal muscle metabolism and function change as we age. With this knowledge, researchers can investigate new targets and explore new avenues aimed at growing old in a healthy way. The new insights into the relationship between impaired muscle health and mitochondrial metabolism are also interesting to the food industry, as mitochondrial metabolism may be sensitive to nutritional intervention. This opens up new opportunities to develop nutritional strategies to prevent the age-related loss of physical function. The research results also support clear benefits of exercise training for older adults, which allow better recommendations, explanations, and motivations on how to maintain a healthy lifestyle. These recommendations can be adopted by the government and/or healthcare in general. Finally, these studies are of interest to the general public as they provide leads on how to preserve a healthy muscle function and a high quality of life while aging.

Several communication strategies are applied to inform the various relevant stakeholders. The results are used for original scientific articles which have been submitted to international, peer-reviewed journals. Once these articles are published, the knowledge from these studies is shared with scientists worldwide. The studies have also been presented at several conferences and symposia, which increases the visibility of the results and thereby contributes to new insights and ideas for future research. In addition, during regular expert meetings and project-based seminars, the results have been shared regularly with the industrial partners and collaborating universities within the TIFN Mitochondrial Health project. Being part of this TIFN consortium enhanced collaborations between academia and industry, thereby fostering translational research. Finally, the results can be communicated during general lectures to increase public awareness of the importance of healthy aging

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## **ABOUT THE AUTHOR**



Lotte Grevendonk was born on May 27th, 1991, in Lommel, Belgium. In 2014 she graduated with a Master's degree in Biomedical Sciences at Hasselt University. During her junior internship, she was involved in clinical and molecular research on the effect of exercise therapy on patients with multiple sclerosis. For her Master's thesis project, she was selected for an international training program in the Epidemiology, Epigenetics and Toxicology Lab of Prof. Bollati at the University of Milan. There she performed her research project in the framework of the

Environage birth cohort of Prof. Nawrot, investigating mitochondrial oxidative DNA damage caused by particulate air pollution exposure during pregnancy. Afterwards, she worked at the Laboratory of Genetics of Complex Neurological Disorders of Doctor Martinelli Boneschi at the San Raffaele Scientific Institute in Milan. In this research group, she was involved in the identification of relevant genetic variants in multiple sclerosis.

In September 2016, she started her PhD research at the Department of Nutrition and Movement Sciences at Maastricht University (NUTRIM, School of Nutrition and Translational Research in Metabolism), under the supervision of Dr. Joris Hoeks and Prof. Dr. Patrick Schrauwen. During her PhD, within the Diabetes and Metabolism Research Group, Lotte focused on the relationship between skeletal muscle mitochondrial metabolism and muscle health in older adults. As described in this thesis, her research aimed to understand the aging processes in the muscles better and investigate how healthy aging can be promoted. Upon finalization of her thesis, since May 2021, Lotte is continuing her scientific career as a research coordinator at the Department of Anesthesiology at Maastricht University Medical Center.

### LIST OF PUBLICATIONS

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