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Cao, Xiaowei

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1 **Transfer of sunlight into an ice-covered lake in the central**
2 **Asian arid climate zone**

3 Xiaowei Cao¹, Peng Lu¹, Matti Leppäranta², Lauri Arvola³, Jussi Huotari³, Xiaohong
4 Shi⁴, Guoyu Li^{5*}, Zhijun Li^{1*}

5 ¹State Key Laboratory of Coastal and Offshore Engineering, Dalian University of
6 Technology, Dalian, China

7 ²Institute of Atmospheric and Earth Sciences, University of Helsinki, Helsinki, Finland

8 ³Faculty of Biological and Environmental Sciences, Ecosystems and Environment
9 Research Programme, Lammi Biological Station, University of Helsinki, Lammi, Finland

10 ⁴Water Conservancy and Civil Engineering College, Inner Mongolia Agricultural
11 University, Hohhot, China

12 ⁵State Key Laboratory of Frozen Soil Engineering, Cold and Arid Regions Environmental
13 and Engineering Research Institute, Chinese Academy of Sciences, Lanzhou, China

14 *Correspondence:* Zhijun Li <lizhijun@dlut.edu.cn>

15

16 **ABSTRACT.** Spectral albedo and light transmittance through snow, ice, and water were
17 measured in Lake Wuliangsu Hai during the winter of 2016. Data on the weather, the
18 structure of lake ice, and the geochemistry of water were also collected in the 60-day field
19 program. **The study lake is very shallow with large wetland area. Compared with polar**
20 **lakes, solar elevation at the study area is higher and snow accumulation is much lower.**
21 **Also there is more sediment in the ice. The ice was all congelation ice with a mean**

1 thickness of 36.6 cm, and the mean air temperature was $-9.6\text{ }^{\circ}\text{C}$. The broadband albedo
2 and PAR band transmittance were 0.47 and 0.12 (bare ice), 0.79 and 0.04 (new snow), and
3 0.16 and 0.14 (melting ice), respectively. The level of light allowed for photosynthesis
4 down to the bottom. The ice acted as a grey filter for the sunlight with a mean attenuation
5 coefficient of 2.1 m^{-1} . In water, the attenuation spectra reached a minimum at 563 nm, and
6 weak CDOM absorption at short wavelengths ($< 500\text{ nm}$) as well as weak chlorophyll *a*
7 peak at 660–690 nm were evident. These results expand our knowledge of the evolution of
8 ice and snow cover and their role in the lake ecology in temperate and arid areas.

9 **Keywords:** lake ice; snow; irradiance; albedo; transmittance; absorption; time series

10 **Introduction**

11 In the Northern Hemisphere, approximately half the inland surface waters freeze over
12 every year, and the period of ice cover is important in the annual cycle of lakes (Downing
13 et al. 2006; Kirillin et al. 2012). In freshwater lakes, under-ice water temperature varies
14 usually between the freezing point and the temperature of maximum density ($4\text{ }^{\circ}\text{C}$). The
15 vertical stratification shows two main layers, a cold and thin upper layer and a warm lower
16 layer (Leppäranta 2015). Owing to a lack of turbulence in completely ice-covered lakes,
17 even small concentrations of dissolved substances may have a significant influence on
18 stratification fine structure (Kirillin et al. 2012). In the polar and boreal climate zones, the
19 absence or low level of sunlight does not support photosynthesis for several months, and

1 ecosystem respiration largely determines the concentration of dissolved oxygen (Arst et al.
2 2008; Salonen et al. 2009). This may result in anoxia with harmful side-effects, such as
3 fish kill and the release of phosphorus from the sediment (Wetzel, 2001).

4 Solar radiation plays a key role in breaking up lake ice by melting at the surface and in
5 the interior, warming under-ice water, and triggering convection cells under ice
6 (Leppäranta et al. 2003, 2019; Kirillin et al. 2012; Salonen et al. 2015; Lu et al. 2018).

7 Water circulation is then closely linked to irradiance beneath the ice because solar radiation
8 is the main force driving dynamics in fully ice-covered lakes (Kirillin et al. 2012).

9 Sediments at the bottom of the lake and strong through flows are also important heat
10 sources. Solar radiation has also a major influence on the chemical and biological processes
11 under the ice (Fang and Stefan, 2009; Jakkila et al. 2009; Pulkkanen and Salonen, 2013).

12 Investigations of solar radiation have been conducted in boreal lakes (above 50 °N)
13 (Warren 1982; Arst et al. 2006, 2008; Leppäranta et al. 2003, 2010; Lei et al. 2011). These
14 lakes are normally ice-covered for 4–6 months with the maximum ice thickness around 50
15 cm with 10–20 cm of snow on top. Many studies have also been performed on Arctic sea
16 ice (e.g., Smith and Baker 1981, Grenfell and Maykut 1997, Perovich, 1998; Light et al.
17 2008; Lu et al. 2018). Although sea ice is optically different from lake ice, the results
18 provide good reference for research on lake ice. But in cold and arid areas at lower latitudes,
19 relatively little is known about the transfer of sunlight through ice into lake water, including

1 the optical properties of ice and the consequent physical and biological conditions under
2 ice.

3 In cold and arid **central Asia**, most lakes are frozen in winter down to latitudes of around
4 35 °N, but **only a** few studies have been conducted there—for example, in Lake BLH-A
5 (Shi et al. 2014, Huang et al. 2018) and in Nam Co lake (Biermann et al. 2013). Compared
6 with conditions in the boreal zone, the incoming solar radiation is high, and precipitation
7 is low **with** consequent **thin** snow **cover** on ice. These factors strengthen the difference in
8 light conditions between boreal and **central Asian** lakes in winter. **In central Asia**, intensive
9 photosynthesis occurs under ice cover throughout the winter, which affects the dissolved
10 oxygen budget (Yang et al. 2016a). These lakes located in the climatological ice margin
11 are especially vulnerable to changes in their annual ice cycle as a result of global warming.

12 This study is part of a research program on the water quality, physics, and ecology of
13 Lake Wuliangsu Hai (40°36′–41°30′ N, 108°43′–108°70′ E) in Inner Mongolia, China
14 (Song et al. 2019). Lake Wuliangsu Hai is a large but very shallow body of water, and nearby
15 deserts can transport fine sand particles onto the ice. Our goal was to measure and analyze
16 the transfer of sunlight through the lake ice to better understand factors controlling the heat
17 budget **of the lake** (Cao et al. unpublished manuscript), **under water physical conditions**
18 **and water quality, and** primary production **under ice** (Song et al. 2019). In-situ spectral
19 irradiance measurements were performed in winter 2015–2016 (“winter 2016” for short).

1 Data on the ice cover, snow, and the weather were gathered with manual and automated
2 observations, and the geochemistry of water was mapped based on manually collected
3 samples (Song et al. 2016, 2019). The three primary questions were: (i) How do the optical
4 properties of ice cover, with and without snow, change during winter? (ii) How does under-
5 ice irradiance develop during winter? (iii) Is the level of light sufficient for the growth of
6 primary producers under ice?

7 **Material and methods**

8 **The Studied Lake**

9 Lake Wuliangsu Hai covers an area of over 300 km², and is located in the Inner
10 Mongolian Plateau in Inner Mongolia, China. It is located 1019 m above sea level, and it
11 is 35.4 km long and 6.6 km wide (maximum width 12.7 km). The mean and maximum
12 depths are 1–1.5 m and 2.5–3 m, respectively. The annual mean air temperature is 7.5 °C.
13 The lake is covered with ice on average from early November to the end of March, and the
14 average annual maximum thickness of ice is 63 cm (Yang et al. 2016a). In winter 2016 the
15 measurement site was in the southeastern part of the lake (40° 49.819' N, 108° 45.935' E),
16 where the water depth was 2.2 m and the distance to the shore was about 200 m (Fig. 1).
17 During the field program, from 10 January to 10 March, 2016, solar noon at the site was
18 within 12:45 ± 15 min China Standard Time (CST = UTC + 8 hours), and the solar
19 elevation angle at noon increased monotonically from 28° to 43°.

1 **Observations**

2 The radiation measurements included **downward** and **upward** spectral irradiance above
3 the surface, and the **downward** spectral irradiance spectrum beneath the ice (Table 1). They
4 were carried out in two periods, 12 January to 2 February and 19 February to 4 March.
5 **According to the morphology of the ice surface and ice thickness, the observation period**
6 **was divided into three phases: bare ice (12 January to 4 February), new snow (19 February**
7 **to 27 February), and the melting (27 February to 5 March). There was nearly no water**
8 **inflow or outflow in the winter, so the lake water was influenced only by weak winter**
9 **circulation, sedimentation of suspended particles, and release of impurities during ice**
10 **growth and melting. These weak variations in water quality were not expected to have**
11 **much influence on the ice properties (Yang et al. 2016b).** Water samples for geochemical
12 analysis were collected on 23 January, and ice samples were collected for crystal structure
13 analysis on 21 January and **for** ice density analysis on 1 March.

14 **Irradiance.** The spectral measurements were performed using three Trios RAMSES-
15 ACC-VIS hyper-spectral radiometers (Rastede, Germany; www.trios.de), and the sampling
16 interval was 10 min. The sensors detected spectral irradiance over a band of 320 to 950 nm,
17 which covers the portion of the solar spectrum that can be transmitted through the layer of
18 ice (Perovich et al. 1993). According to the manufacturer, the sensitivity of the radiometer
19 was 0.04–0.06 mW m⁻² nm⁻¹ in the PAR band. The three radiometers were connected to a
20 TriBox3 unit powered by batteries that were recharged every two days. The set-up of the
21 sensors was based on GFRP (glass fiber-reinforced plastic) and a metal frame (Fig. 2),

1 which was fixed on the ice surface by a metal post. Owing to the relatively thin ice during
2 the installation of the instruments, a block of ice (1 m² square) was cut and added as the
3 foundation to fix the metal post (Fig. 2). The **downward** and **upward** irradiance sensors
4 were mounted on the tip of a 3-m-long horizontal arm. The vertical distance between the
5 collector of the **upward** irradiance sensor and the ice surface was 1.35 m. The under-ice
6 **downward** irradiance sensor was placed 1.75 m below the ice surface. According to the
7 average annual maximum thickness (63 cm), we reserved one meter between the ice and
8 the sensor. This distance was necessary to install and protect the sensor from the bottom of
9 the ice. A shadow in the observation area was caused by the setup itself. The influence of
10 the shadow was about 4% as calculated by the method developed by Nicolaus et al. (2010a).
11 Thus, shadow effects were ignored in the data analysis.

12 Global radiation was measured using two sensors, one for **downward** and one for **upward**
13 irradiance (TBQ-2). The measurement band was 300–3000 nm and the accuracy of the
14 sensors was 5% (http://www.jz322.net/prdouct_text.aspx?id=211). The **pyranometers** were
15 placed 1.5 m above the ice surface, and the data sampling interval was 10 min.

16 **Observations of ice, snow, and weather.** Fig. 2 shows the field site. The ultrasonic
17 rangefinder **used to record the thickness of ice** was 15 m from the spectral irradiance sensor.
18 Ice thickness was also measured by drilling every two days to test the accuracy of the
19 rangefinder. The thickness of snow under the **upward** irradiance sensor was measured daily

1 after snowfall using a ruler. Its thickness was not uniform in the footprint of the sensor, and
2 the thickness just below the sensor was recorded. Very thin snow cover was observed at
3 the beginning of the campaign, and measurements of snow thickness were commenced
4 only after snowfall on 12 February. Approximately 30 m from the irradiance site, a 6.54-
5 m-high tower was set to monitor air temperature, and wind speed and direction in the
6 surface layer (Li et al. 2009). The cloudiness was measured by daily visual observation at
7 10:00 during the observation period.

8 The structure of ice crystals was analyzed from thin sections in a cold laboratory. The
9 ice was columnar-grained, typical of congelation ice, and the grain size was described by
10 the mean diameter (D) of the columns (Durand et al. 2006):

$$11 \quad D=2 \times \sqrt{\frac{1}{n\pi} \sum_{k=1}^n A_k} \quad (1)$$

12 where A_k is the cross-sectional areas of the grains and n is the number of the full ice
13 crystals in the cross-section. The density of ice was calculated by measuring the weight
14 and volume of a cuboid ice sample. The ice sample was cut into a cube of about 10 cm ×
15 10 cm × 5 cm, and each side was measured by an electronic caliper with an accuracy of 0.1
16 mm. The weight was obtained by an electronic balance with an accuracy of 0.1 g. Then the
17 accuracy of the ice density was 0.4 % or 3.5 kg/m³. The gas content was calculated by the
18 following formula (Leppäranta, 2015):

1
$$v_a = 1 - \frac{\rho_i}{\rho_0} \quad (2)$$

2 where ρ_i is the measured density of the sample and $\rho_o = 917 \text{ kg m}^{-3}$ is the density of
3 pure ice at 0 °C (Yen, 1981). **Temperature correction for density was very small (about**
4 **0.2%) and not considered here.**

5 **Data processing**

6 The measured irradiance spectra across the 320–950 nm band showed a high noise level
7 at the upper and the lower ends, and thus we limited analyses of the spectra above the ice
8 surface to the band 350–920 nm, as proposed by Nicolaus et al. (2010a). Because radiation
9 passing through ice contains only PAR wavelengths (400–700 nm), only this band was
10 considered for underwater irradiance. Examples of the measured spectra are shown in
11 **online supplement (Fig. A-1)**. Due to problems of accuracy and noise at very low angles of
12 the sun, the daily time window was set to 8:00–18:00 local time (solar noon was close to
13 12:45), **These time limits corresponded to a solar elevation of 10° in the beginning of**
14 **March.**

15 Spectral irradiance data were interpolated to a 1-nm grid. We denote the **downward**
16 spectral irradiance above ice by $F_d(\lambda, t)$, the **upward** spectral irradiance above ice by
17 $F_u(\lambda, t)$ and the **downward** spectral irradiance in water by $F_w(\lambda, t)$, where λ is
18 wavelength and t is time. The spectral albedo $\alpha = \alpha(\lambda, t)$ and transmittance $\tau = \tau(\lambda, t)$ are

1

2
$$\alpha(\lambda, t) = \frac{F_u(\lambda, t)}{F_d(\lambda, t)}, \tau(\lambda, t) = \frac{F_w(\lambda, t)}{F_d(\lambda, t)} \quad (3)$$

3

4 In order to focus on the internal properties of the ice and water themselves, the
5 absorbance $s(\lambda, t)$ was defined as:

6

7
$$s(\lambda, t) = 1 - \alpha(\lambda, t) - \tau(\lambda, t) \quad (4)$$

8

9 The wavelength-integrated albedo, transmittance, and absorption were produced to
10 compare with global radiation measurements and examine PAR transfer for heat balance
11 and photosynthesis under ice. The flux of photons is expressed by the quantum irradiance
12 (Arst et al. 2006):

13

14
$$q(t) = \frac{1}{N_a} \int_{PAR} \frac{\lambda}{hc} F(\lambda, t) d\lambda \quad (5)$$

15

16 where N_a is Avogadro's number, h is Planck's constant, c is the velocity of light in
17 vacuum, $F(\lambda, t)$ is irradiance, and PAR index in the integral refers to integration interval
18 (400 nm, 700 nm). The unit usually chosen is $\mu\text{mol m}^{-2} \text{s}^{-1}$. Our measurements provided

1 the planar downward irradiance. According to Arst et al. (2006), the ratio of planar
2 irradiance to scalar irradiance immediately below ice in freshwater lakes is 0.4–0.6.

3 To obtain spectral irradiance at the bottom of ice, the effect of water between the sensor
4 beneath the ice and the bottom needed to be eliminated. Using the common linear law of
5 attenuation for irradiance and assuming fixed attenuation spectra for ice and water,
6 respectively, $\kappa_i(\lambda, t)$ and $\kappa_w(\lambda, t)$, we have

7

$$8 \quad F_w(\lambda) = (1 - \alpha)F_d(\lambda) \exp[-\kappa_i(\lambda)h_i - \kappa_w(\lambda)h_w] \quad (5)$$

9

10 where h_i is the thickness of ice, and h_w is the distance between the bottom of ice and the
11 underwater sensor. This can be used as a method of inversion to estimate both attenuation
12 spectra from irradiance measurements with at least two (h_i, h_w) combinations (Leppäranta
13 et al. 2010). In phase II, there were only minor changes in the ice thickness and also snow
14 was disturbing factor. In phase III, due to the melting the gas and water content in ice varied,
15 and we could not get a good result to distinct the attenuation between ice and water. In
16 phase I, the ice properties were stable and the ice thickness had the changed significantly.
17 Therefore, the irradiance spectra in phase I was chosen to determine the ice and water
18 attenuation. The average irradiance spectra at 10:00-11:00 hrs on 12 January and 3
19 February were used, with thicknesses of ice of 33.6 and 40.6 cm, respectively. The result

1 is shown in Fig. 3b. The attenuation spectrum of ice showed a high level for congelation
2 lake ice with a trend of slight decrease toward wavelengths more than 600 nm as observed
3 in a turbid lake (Arst et al. 2008). Ma et al. (2016) reported that the water transparency of
4 Wuliangshuai was stable in the winter. Therefore, the attenuation coefficient in water is
5 assumed to be constant over the winter. The estimated attenuation of coefficient of ice
6 represents the phases I-II, since the low transmittance in phase II was caused by that
7 presence of snow. For phase III this ice parameter is biased down by up to 50 % than the
8 phase I, but we cannot get more detailed information from the present data.

9 **Results**

10 **Weather and ice conditions**

11 The sky was mostly clear during the observation period. There was low cloudiness for
12 16 days, and only 3 days were fully cloudy (Fig. 4a). The daily noon level of global
13 radiation increased steadily with time from about 500 to 800 W m⁻² (Fig. 4b). Occasionally,
14 the level dropped down due to snowfall or cloud cover. Albedo was first low over the bare
15 ice surface, and then increased due to snow accumulation. At the beginning of March, the
16 albedo was low again when snow had disappeared and ice had started melting. As is shown
17 in the last sub-section, the albedo had a strong daily cycle with a sharp minimum at noon.
18 Fig. 4c shows that the temperature varied diurnally, and the lowest recorded value was -

1 24.9 °C at 10:30 hrs on 23 January while the highest (10.2 °C) was measured at 13:20 hrs
2 on 2 March. The mean daily temperature fluctuated between –21.1 °C and 4.2 °C. In the
3 last six days the air temperature decreased from 0.2 to –9.0 °C.

4 The thickness of ice measured by the ultrasonic sensor and the drilled holes agreed well
5 with each other (Fig. 4d). It steadily increased from 33.8 to 41.1 cm from 10 January to 1
6 February. It was then stable, and before the middle of February, when the air temperature
7 increased, declined to 35.9 cm. At the end of February, the thickness of ice increased to
8 38.4 cm for a short time but then rapidly decreased, in 3–10 March, from 30.2 cm to 25.4
9 cm. A series of photographs of the ice surface at the upward spectral irradiance sensor on
10 different dates are shown in the online supplement (Fig. A-2). Snow was unevenly
11 distributed on the surface mainly due to wind, and irregularities on the ice surface. At the
12 beginning of the campaign, the thickness of snow was not measured because it was less
13 than 0.5 cm, but snowfall occurred on 12 and 18 February (Fig. 4d). After the first snowfall
14 (12 February), the thickness of snow decreased by 1 cm in five days, and after the second,
15 (18 February), it decreased by 4.9 cm in 10 days.

16 The ice was columnar grained, with crystal size increasing distinctly from top to bottom
17 (Fig. 5). The mean diameter of the cross-section of the ice crystal was 1.2–4.4 cm. The
18 density of ice, measured on 1 March, ranged vertically from 890 to 910 kg m⁻³. The gas
19 content, estimated from density, varied between 1% and 3 %, which is high for congelation

1 lake ice in winter (Leppäranta et al. 2015).

2 Geochemistry data on ice and water were collected on 23 January. The detailed results
3 were given in Song et al. (2019). We consider the role of impurities on light transfer here
4 (Table 2): CDOM (colored dissolved organic matter), chlorophyll a, and suspended matter.
5 The estimated CDOM absorption coefficient was 0.002 m^{-1} at 440 nm in ice. The
6 concentration of suspended matter was 175.7 mg/L in water, 3.5 times as much as in ice
7 (49.9 mg/L). The chlorophyll content was 1.14 $\mu\text{g/L}$ in the ice and 0.13 $\mu\text{g/L}$ in the water,
8 their corresponding ratio was about 8.7. This very shallow lake was turbid and eutrophic
9 (Quan et al. 2019, Du et al. 2019), and had very weakly brown color.

10 Irradiance flux and albedo

11 Fig. 6 shows daily variations in spectral irradiance and albedo plotted from 8:00 to 18:00
12 hrs on each day, within ± 5 hours from the solar noon. Beyond this period, the solar
13 elevation was lower than 10° .

14 The daily means around the solar noon were chosen to analyze the spectral data. On days
15 with clear sky, the spectral peak of the mean incident irradiance increased from 546.7 to
16 774.9 $\text{mW m}^{-2} \text{ nm}^{-1}$, but the level was reduced by 50% on cloudy days. In phase I, the
17 mean spectral albedo decreased from 0.59 to 0.34 along with a reduction in snow cover. In
18 phase II, due to snowfall, the albedo increased rapidly with a mean and standard deviation
19 of 0.76 ± 0.18 , respectively. In the last phase, the albedo quickly decreased from 0.64 to
20 0.15 because the high temperature caused rapid melting of snow and liquid water appeared

1 on the ice surface.

2 To get more details of the spectrum, three typical days were selected for further analysis
3 of the irradiance spectra in different phases (Fig. 7): 25 January, 20 February, and 3 March.
4 The incident irradiance gradually increased with time (peak values from 846.1 to 1116.4
5 $\text{mW m}^{-2} \text{ nm}^{-1}$), whereas the shapes of the curves did not change much. The maximum
6 incident irradiance occurred at 479 nm and the oxygen absorption peak at 759 nm was
7 clearly visible (Keilin and Hartree, 1949). From 12 to 25 January in phase I, the albedo
8 decreased with the reduction in snow cover on the ice surface (from very thin snow to bare
9 surface), but its spectral distribution was flat. The overall level, however, was largely
10 different. In this phase, the low temperature (average, $-10.4 \text{ }^\circ\text{C}$) kept the snow dry.
11 Therefore, the thickness, area, and grain structure of snow as well as ambient light
12 conditions affected the albedo. In phase II, the albedo increased to 0.76–0.82 due to
13 snowfall, and an especially large increase was observed in the ultraviolet A band (350–400
14 nm). In phase III, with an increase in the daily average air temperature from -10.4 to $0.2 \text{ }^\circ\text{C}$,
15 and as ice and snow began melting, the spectral albedo dropped sharply to 0.15–0.21 on 3
16 March.

17 Fig. 8a shows the results of the broadband. In phase I, the broadband albedo decreased
18 from 0.60 to 0.47 in the presence of no or a very thin layer of snow. After the second
19 snowfall in phase II, the broadband albedo reached 0.79, and in phase III sharply declined

1 from 0.65 to 0.16. The broadband radiation was about 80% of the global radiation. The
2 broadband albedo and albedo from global radiation showed the same temporal evolution,
3 but the former was higher with a mean offset of 0.21 ± 0.12 . The PAR albedo had the same
4 temporal evolution as the broadband albedo with an offset of only 0.002 ± 0.003 .

5 **Transmittance of light through ice and water**

6 The transmittance of light through ice and water can be determined using the attenuation
7 coefficient in Fig. 3. The results are shown partly in Figs. 6 and 7 together with data on the
8 albedo. In phase I, the mean transmittance increased from 0.067 to 0.120 (ice), and from
9 0.034 to 0.049 (ice and water) owing to the decreasing snow cover. Snowfall drastically
10 reduced transmittance in phase II, to 0.048 for ice and 0.009 for ice and water. In phase III,
11 the mean transmittance of ice increased from 0.060 to 0.133, and the transmittance from
12 the full ice and water layer to the underwater sensor increased from 0.009 to 0.042 with the
13 melting of ice and snow.

14 In spectral transmittance (Figs. 7c and e) on three typical days, the maximum occurred
15 at 574 nm for both ice and water. The peak value of transmittance through ice was 0.197
16 in phase I, decreased to 0.073 in phase II after the snowfall, and increased back to 0.284
17 during the melting period. The spectral transmittance values of the ice and water layer were
18 only slightly different between phases I and III, at 0.083 and 0.088 respectively. But in
19 phase II, with snowfall, the level sharply reduced to 0.015. For the ice absorbance, the

1 maximum also occurred in phase III, and the average value was 0.67 for ice and 0.77 for
2 ice and water. In phase II, due to the high albedo that caused by the snow cover, the
3 absorbance was the lowest of the three phases, and the average value was 0.16 (ice) and
4 0.20 (ice and water). In phase I, the average absorbance was 0.44 and 0.49 respectively.

5 Fig. 8b shows the distribution of the incident PAR for the albedo, absorption, and
6 transmittance of ice. In phase I, absorption ranged from 0.34 to 0.54, and transmittance was
7 0.07–0.12. In phase II, the mean absorption and transmittance decreased to 0.19 and 0.04,
8 respectively, due to snow cover. In the last phase, PAR absorption quickly increased to 0.70
9 because of the increased liquid water content of ice. The transmittance increased little from
10 0.06 to 0.14. Overall, the transmittance did not vary much, and the main variation was in
11 the balance between absorption and reflectance.

12 Spectral irradiance was transformed to PAR quantum irradiance using Eq. (4). The
13 downward quantum PAR irradiance was $113.9 \pm 89.4 \mu\text{mol m}^{-2} \text{s}^{-1}$ at the bottom of the
14 ice and $21.8 \pm 17.4 \mu\text{mol m}^{-2} \text{s}^{-1}$ at the underwater sensor in phase I. After the snowfall in
15 phase II, it decreased to $30.2 \pm 16.9 \mu\text{mol m}^{-2} \text{s}^{-1}$ (ice bottom) and $6.5 \pm 3.6 \mu\text{mol m}^{-2} \text{s}^{-1}$
16 (underwater sensor), and, in phase III increased back to $183.2 \pm 113.4 \mu\text{mol m}^{-2} \text{s}^{-1}$ (ice
17 bottom) and $34.7 \pm 20.2 \mu\text{mol m}^{-2} \text{s}^{-1}$ (underwater sensor). In the water below ice, the
18 estimated scalar quantum irradiance was twice the downward planar irradiance (Arst et al.
19 2006).

20 In phases I and III, the level of light was more than $20 \mu\text{mol m}^{-2} \text{s}^{-1}$ at the lake bottom

1 (0.5 m from the underwater sensor) around solar noon. This represents the level of
2 irradiance usually considered sufficient for primary production, expressed as euphotic
3 depth equal to 1 % of the incident irradiance (Reynolds et al. 2006). Indeed, growing macro
4 fauna were observed at the bottom. But in phase II, primary production was limited. The
5 ratio of the PAR quantum irradiance to irradiance power was approximately $4.6 \mu\text{mol J}^{-1}$
6 in air, as follows from the direct integration of Eq. (5) because sunlight is nearly white in
7 the PAR band. The spectrum of light was modified in its transmission through ice and water,
8 and, consequently, the ratio changed to range from 4.6 to $4.8 \mu\text{mol J}^{-1}$ at the underwater
9 sensor. For comparison, Reinart et al. (1998) found ratios between $4.8\text{--}5.5 \mu\text{mol J}^{-1}$ in a
10 large set of lakes in north Europe. Downward irradiance reaching the bottom of the lake
11 was evaluated using the estimated attenuation coefficients of water. It was on average 12.7
12 $\mu\text{mol m}^{-2} \text{s}^{-1}$, and ranged from 3.3 to $25.9 \mu\text{mol m}^{-2} \text{s}^{-1}$.

13 **Daily cycle of light transfer**

14 The daily cycle is important for Lake Wuliangshuai because the solar elevation reached
15 $30\text{--}42^\circ$ at noon during the winter and the sky was mostly clear, thus rendering considerably
16 the contributions of direct solar radiation to the melting of ice. By comparison, the solar
17 elevation is $10\text{--}25^\circ$ less in boreal lakes.

18 The daily cycle of the spectral downward irradiance, albedo, transmittance, and

1 absorption on March 2 are shown in Fig. 9. The albedo was higher in the morning than in
2 the afternoon. There are two main reasons for this phenomenon. First, the high solar
3 elevation led to greater solar radiation on the surface of ice at noon and, second, the high
4 level of radiation and sediments on the ice surface caused melting that produced liquid
5 water in the surface layer. The increase in the content of liquid water reduced the albedo.
6 At nighttime, the ice and snow surface froze again, causing a high albedo in the morning.
7 This is different from lake ice at high latitude and sea ice in the Arctic. Leppäranta et al.
8 (2010) measured the albedo in Lake Vendysrskoe ($62^{\circ} 10' - 20' \text{ N}$) during the melting period,
9 and found that the albedo was the minimum at solar noon, and maximum in the morning
10 and evening. The albedo of Arctic sea ice was observed to be nearly unchanged during a
11 polar day in summer by Lei et al. (2010).

12 Actually, in all phases there was an obvious and similar diurnal behavior with maximum
13 in the morning and minimum in the evening. In phases II and III the air temperature was
14 close to or above zero to create moisture enough to put the albedo down. In phase I, the
15 diurnal behavior was not obvious as that in phase II and III. It was mainly caused by the
16 low air temperature, stable ice properties and a local effect along with the sun's azimuth
17 angle. The daily cycle of the spectral albedo in three phases is shown in the online
18 supplement (Fig. A-3)

19 Transmittance and absorption were higher at noon and in the afternoon than in the

1 morning (Figs. 9c, d), and this trend was opposite to that of the albedo. The mean
2 transmittance and absorption were 0.084 and 0.441, respectively, at 8:00. They were close
3 at 13:00 and 18:00 hrs, with transmittance values of 0.121 and 0.129 and absorption values
4 of 0.610 and 0.642, respectively. Transmittance peaked at approximately 550 nm and was
5 skewed toward longer wavelengths.

6 Fig. 10 shows the daily cycle of the **downward**, **upward**, and transmitted PAR irradiance
7 in phase III. The maximum **downward** and **transmitted irradiance** occurred at 13:00, with
8 values of 300.79 and 36.80 W m⁻², respectively. The **upward** PAR irradiance **peaked**
9 slightly earlier at about 11:30, and the irradiance was 87.58 W m⁻². The earlier occurrence
10 was caused by surface melting that increased surface water content and reduced the albedo.

11 **Discussion**

12 **Albedo and transmittance**

13 Compared with high-latitude boreal and tundra lakes, Lake Wuliangshuai has a shorter
14 ice season and limited accumulation of snow owing **to** its low latitude and arid climate. Fig.
15 11 shows a comparison of the spectral albedo and transmittance of lake ice for two lakes
16 in Finland at 61° N (Lei et al. 2011), and observations **of** Arctic sea ice at different stages
17 of melting (Nicolaus et al. 2010b). **The snow and ice conditions at these sites are**
18 **summarized on the online appendix Table 1.**

1 The shapes of the spectral albedo in the three phases of Lake Wuliangsuhai were similar
2 to those of the two Finnish lakes. For the bare ice period (phase I), **the maximum albedo**
3 **was 0.26 in Iso Valkjärvi and 0.51 in Wuliangsuhai.** This difference was caused by the thin
4 snow cover in Wuliangsuhai and the fine granular ice in the top layer of Iso Valkjärvi (Lei
5 et al. 2011). In the presence of snow cover (phase II), the maximum albedo was high in
6 both lakes (0.76 for Iso Valkjärvi and 0.82 for Wuliangsuhai). During the melting period
7 (phase III), the maximum albedo decreased to 0.20 in both Wuliangsuhai and Vesijärvi.
8 The same value was due to a thin layer of water on the ice surface. The maximum value of
9 lake ice transmittance was 0.41 (Iso Valkjärvi) and 0.20 (Wuliangsuhai) during the bare ice
10 period (phase I). This difference was also caused by the thin snow cover on Wuliangsuhai.
11 The snow cover (phase II) caused low ice transmittance (0.02 Iso Valkjärvi for and 0.07
12 for Wuliangsuhai). During phase III, the maximum transmittance increased in both lakes,
13 to 0.41 in Vesijärvi and 0.28 in Wuliangsuhai. From the shapes of the curves of spectral
14 transmittance, Vesijärvi had higher transmittance at 400–550 nm than at 550–700 nm, but
15 the maximum transmittance occurred at 500–600 nm in Wuliangsuhai. This was possibly
16 caused by sediment on the ice surface in Lake Wuliangsuhai.

17 Compared with Wuliangsuhai, the albedo and transmittance of sea ice were very
18 different. The maximum albedo was higher than that for Wualiangsuhai in the three phases,
19 especially for the bare ice and melting periods. In phase I, this difference was caused by

1 the snow–ice in the surface layer of sea ice (Nicolaus et al. 2010b). In phase III, this
2 occurred because there was no **melt ponds** at the sea ice site (Nicolaus et al. 2010b), but a
3 thin layer of water was present in Wuliangshuai. The transmittance of sea ice was low in
4 the three phases (0.071, 0.003, and 0.117). There are two reasons: the difference in the
5 physical properties of ice and ice thickness, which was six times higher at the sea ice site
6 (Table 5).

7 **Attenuation coefficients**

8 The light attenuation spectrum of dry ice had large uncertainties at the ultraviolet and
9 infrared boundaries owing to the low level of signals in underwater data (Fig. 3). The
10 spectral curve sloped down toward longer wavelengths across the PAR band, likely owing
11 to the suspended matter. The CDOM level was low in ice but the concentration of
12 suspended matter was high (Table 2). There was no sign of the chlorophyll *a* peak in ice
13 transmittance, as expected owing to its low level, while this peak was evident in water.

14 The estimated light attenuation spectrum of water is also shown in Fig. 3. It was identical
15 in magnitude to light attenuation in ice. This high level likely occurred owing to the high
16 concentration of suspended matter (Table 2). The chlorophyll *a* signal was also observed
17 in the spectrum. **Fig. 10** shows the decomposition of the attenuation curve due to the effects
18 of pure water and optically active substances. Pure water had a significant impact only in

1 the red and near-infrared bands, whereas CDOM was important at wavelengths smaller
2 than 500 nm. For CDOM, normal exponentially decreasing absorption with wavelength
3 was assumed. The difference between the measured spectrum and the “pure water + CDOM”
4 curve was mostly due to suspended matter, which exhibited attenuation due to absorption
5 and scattering from 2 m^{-1} to 1 m^{-1} across the PAR band (see, e.g., Arst et al. 2008). Overall,
6 the spectrum shows that this is a turbid lake with low levels of CDOM (or low level of
7 color), and has high primary production under ice.

8 **Data quality**

9 Previous studies (e.g., Nicolaus et al. 2010a; Lei et al. 2012) have shown that the Trios
10 instruments applied in this study for the measurement of spectral irradiance **are** suitable for
11 long-term installation in cold regions. The impact of the setup used in our measurements
12 was estimated to be smaller than 0.5%, and smaller than the setup used by Nicolaus et al.
13 (2010a) in the Arctic, where they had a 2.8%–7.7% downward bias in the measured spectral
14 **upward** irradiance. Another problem in our setup was that snow accumulated around the
15 **instrument platform** due to wind. **The mean offset of the broadband albedo and albedo from**
16 **global radiation was 0.21 ± 0.12 . Nicolaus et al. (2010b) also reported this value for Arctic**
17 **sea ice, but their offset was 0.11 ± 0.05 . The difference is likely owing to local variations**
18 **in the ice surface and snow cover at our site (Fig. 2).**

1 We used the arm under ice to fix the sensor for underwater measurement, like Mobley
2 et al. (1998), and Light et al. (2008). The arm significantly reduced the influence of
3 shadowing. However, to protect the sensor, a one-meter distance between the bottom of the
4 ice and the underwater sensor was required. To separate light transfer in ice from that in
5 water, an inversion method was employed. The results provide a representative attenuation
6 spectrum for ice and water, but this separation did not provide time-dependent information
7 for the two attenuation spectra. These spectra were used to estimate the amount of light
8 just beneath the ice, and the total attenuation of ice and the layer of water from the bottom
9 of ice to the sensor was based on measurements. The attenuation spectrum of water
10 depended on the quality of water and incident irradiance. There are no prior measurements
11 of light transfer in Wuliangshuai Lake to compare ours with. In future measurements, the
12 problem should be solved by reducing the distance between the sensor and the bottom of
13 the ice and adding another sensor in the water.

14 Another factor affecting the underwater measurements was the growth of algae and
15 accumulation of sediments on the optical sensors. We did not clean the underwater sensor
16 during the observation period because previous experience in the lake revealed that there
17 were no obvious sediments on the sensor after picking it up, and significant impact on the
18 ice surface properties cannot be avoided with breaking the ice layer during the data
19 collection. An idealized solution to this issue is an automated cleaning operation together

1 with an underwater online camera, and it is under consideration for our investigations in
2 future.

3 **Conclusions**

4 In this study, a field investigation of the transfer of sunlight through lake ice was
5 conducted in Lake Wuliangsu Hai, Inner Mongolia, in the winter of 2016. This lake in the
6 central Asian arid climate zone is different from boreal and polar lakes. The measurements
7 consisted of observations of the weather and ice conditions, spectral irradiance, and the
8 geochemistry of ice and water. The purpose was to examine the evolution of the spectral
9 albedo and the transmittance of lake ice during the winter, and primary production in the
10 water body of the lake.

11 The results revealed that the albedo and transmittance of lake ice were mainly affected
12 by surface conditions, such as snow and water. The broadband albedo and PAR band
13 transmittance were in three phases as follows: 0.47 and 0.12 (bare ice), 0.79 and 0.04 (new
14 snow), and 0.16 and 0.14 (melting period). The estimated planar quantum irradiance
15 reaching the bottom of the lake was approximate $12.7 \mu\text{mol m}^{-2} \text{s}^{-1}$, which is sufficient for
16 primary production. The solar elevation and sediment on the surface of ice caused daily
17 variation in the albedo and transmittance of lake ice. The estimated light attenuation
18 spectrum showed that the lake is a turbid lake with a low level of CDOM.

1 In this first step of a research program on the ecological state of Wuliangsu Hai Lake, we
2 now understand how sunlight is transmitted through ice and water in this lake. We collected
3 more comprehensive data at Wuliangsu Hai in subsequent winters. In future analysis, these
4 data will be employed to assess models of radiation transfer and analyze the mass balance
5 of frozen lakes in the **central Asian** arid climate zone. The results can also help examine
6 the use of high-resolution optical satellite data for the remote sensing of ice-covered lakes.
7 The findings in this study together with knowledge from previous measurements of boreal
8 lakes will improve our understanding of the response of ice-covered lakes to global changes
9 in climate.

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1 **Figure legends**

2 Fig. 1. Location of Lake Wuliangsu hai, Inner Mongolia, the field site in winter 2016
3 marked by red star.

4 Fig. 2. Position of equipment (date 27 January 2016) and schematic diagram of the set-
5 up of the three RAMSES sensors.

6 Fig. 3. The ice + water attenuation spectra in three phases (at 11:00 on 12 January, 19
7 and 27 February), and the estimated attenuation spectra of ice and water in phase I.

8 Fig. 4. (a) Cloudiness, (b) Global radiation and albedo at noon (13:00), (c) Air
9 temperature and the mean daily air temperature during the observation period, and (d) Ice
10 and snow thickness.

11 Fig. 5. (a) Vertical ice crystal structure under polarized light (left) and ice sample under
12 normal light (right), (b) profile of the mean diameter of ice crystals in the horizontal plane
13 and (c) profile of ice density and gas content.

14 Fig. 6. Time series of (a) incident solar irradiance, (b) albedo, (c) transmittance of lake
15 ice, (d) transmittance of ice and a water layer beneath ice, and (e) cloudiness in tenths. The
16 spectrum is shown for 8:00–18:00 each day. The white areas are missing data due to
17 malfunction of Tribox3.

18 Fig. 7. The spectral results for three typical days in different phase at solar noon: 25
19 January (solar elevation SE = 30.3°), 20 February (SE = 38.3°), and 3 March (SE = 42.4°).
20 (a) Incident solar irradiance, (b) Albedo, (c) Transmittance of ice, (d) Absorbance of ice,

1 (e) Transmittance of ice and water, and (f) Absorbance of ice and water.

2 Fig. 8. (a) Broadband and PAR albedo. (b) PAR albedo and absorption and transmittance
3 relative to the incident PAR irradiance.

4 Fig. 9. Incident irradiance, albedo, transmittance and absorption of different hrs at 8, 10,
5 13, 15 and 18 hrs on 2 March. At the end points the solar elevation was about 10°. At 20
6 and 4 hrs and between the incident irradiance was zero.

7 Fig. 10. Daily cycle of downward, upward and transmitted PAR irradiance on 2 March.

8 Fig. 11. The spectral albedo and transmittance of ice in lakes Wuliangsu Hai, Iso Valkjärvi
9 and Vesijärvi (Lei et al. 2011), and Arctic (Nicolaus et al. 2010b) in different phases (blue
10 for phase I, black for phase II, and red for phase III).

11 Fig. 12. Decomposition of light attenuation spectra for Lake Wuliangsu Hai. Pure water
12 shows standard pure water absorption curve (Smith and Baker, 1981), and CDOM was
13 added based on the observed value of 0.004 m⁻¹ (at 440 nm).

14 Fig. A-1. The incident (left) and transmitted (right) solar irradiance on 12 January. The
15 lines show the limits of the band taken for the analyses (broadband, 350–920 nm, and PAR,
16 400–700 nm).

17 Fig. A-2. Ice surface conditions under the downward irradiance sensor (in the red square)
18 on different dates in winter 2016.

19 Fig. A-3. The diurnal cycle of the albedo in three phases and the solar noon was about

1 13:00.

1 **Tables**

2 **Table 1.** Solar radiation and complementary measurements at Lake Wuliangshuai in
 3 winter 2016. Manual visual observations were recorded daily, and recordings by the
 4 instruments were continuous.

Quantity	Sensor (manufacturer)	Range	Accuracy	Time
Spectral irradiance	Trios (Rastede, Germany)	320–950 nm band (3 nm resolution)	±3%	12 Jan to 2 Feb and 19 Feb to 4 Mar
Global radiation	TBQ-2 (JST, China)	300–3000 nm band	±5%	
Ice thickness (ultrasonic)	WUUL-I (WHU, China)	10–200 cm	±0.2 cm	
Air temperature	PTS-3 (JST, China)	–40–+80 °C	±0.04 °C	10 Jan to 10 Mar
Wind speed	PC-2FB (JST, China)	0.1-30 m/s	±0.4 m/s	
Wind direction	EC-9X1A (JST, China)	0-360°	±0.6 °	
Cloudiness	Visual	0-10/10	±2/10	Every day at 10:00 am.

5

6

1 **Table 2.** Geochemistry data of ice and water measured on 23 Jan, 2016. CDOM was not
2 measured in winter 2016 but estimated from values of subsequent winter, assuming the
3 same ice/water ratio for CDOM as for nutrients in 2016 (total nitrogen and phosphorus).

4

Quantity	Ice	Water	Ice/water ratio
Suspended matter (mg/L)	49.9	175.7	0.28
Chlorophyll- <i>a</i> (µg/L)	0.14	1.13	0.12
Total nitrogen (mg/L)	0.37	0.70	0.53
Total phosphorus (mg/L)	0.032	0.064	0.50
CDOM (m ⁻¹) , at 440 nm	(0.002)	(0.004)	(0.5)

5

6

1 **Table 3.** Statistics of broadband (BB, 320–950 nm) and PAR (400–700 nm) irradiance
 2 fluxes, albedo, transmittance at solar noon, and ratio q/F of quantum irradiance to
 3 irradiance power in water from spectral radiometers in winter 2016. Irradiance power in W
 4 m^{-2} , quanta in $\mu mol m^{-2} s^{-1}$.

	Maximum		Minimum		Mean	SD
Incident (BB)	470.0	(01 Mar)	163.1	(20 Feb)	357.5	89.4
Incident (PAR)	300.2	(01 Mar)	105.8	(20 Feb)	229.9	57.5
Albedo (BB)	0.80	(20 Feb)	0.15	(05 Mar)	0.56	0.17
Albedo (PAR)	0.80	(20 Feb)	0.16	(05 Mar)	0.55	0.16
Absorption by ice PAR	0.70	(05 Mar)	0.17	(20 Feb)	0.36	0.14
Ice transmittance (PAR)	0.14	(05 Mar)	0.04	(20 Feb)	0.08	0.03
Ice and water transmittance (PAR)	0.04	(28 Jan)	0.01	(20 Feb)	0.03	0.01
Quantum irradiance just below ice	295.9	(05 Mar)	32.1	(19 Feb)	142.6	85.9
Quantum irradiance 1.35 m below ice	55.0	(05 Mar)	6.9	(19 Feb)	27.5	15.9
q/F	4.68	05 Mar	4.62	20 Feb	4.64	0.02

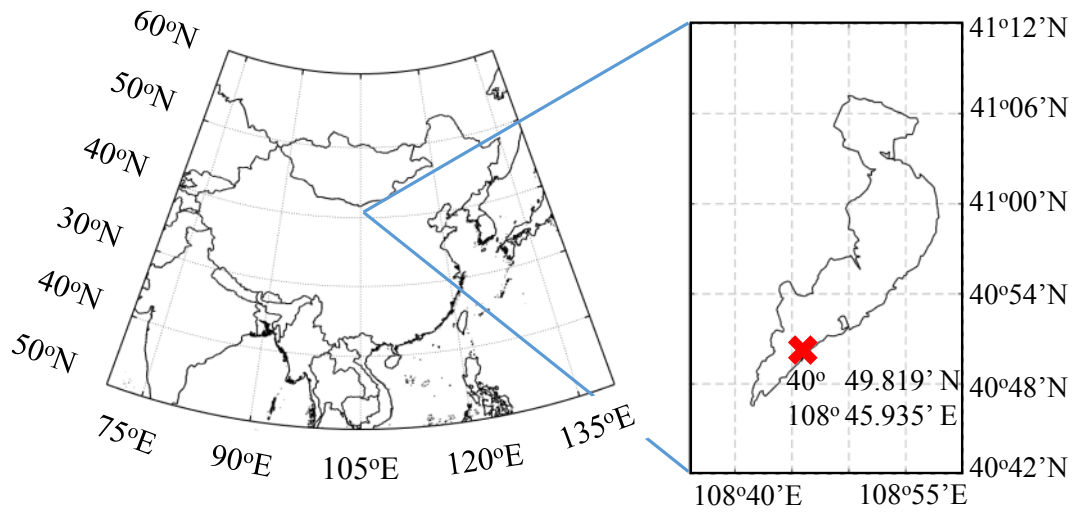
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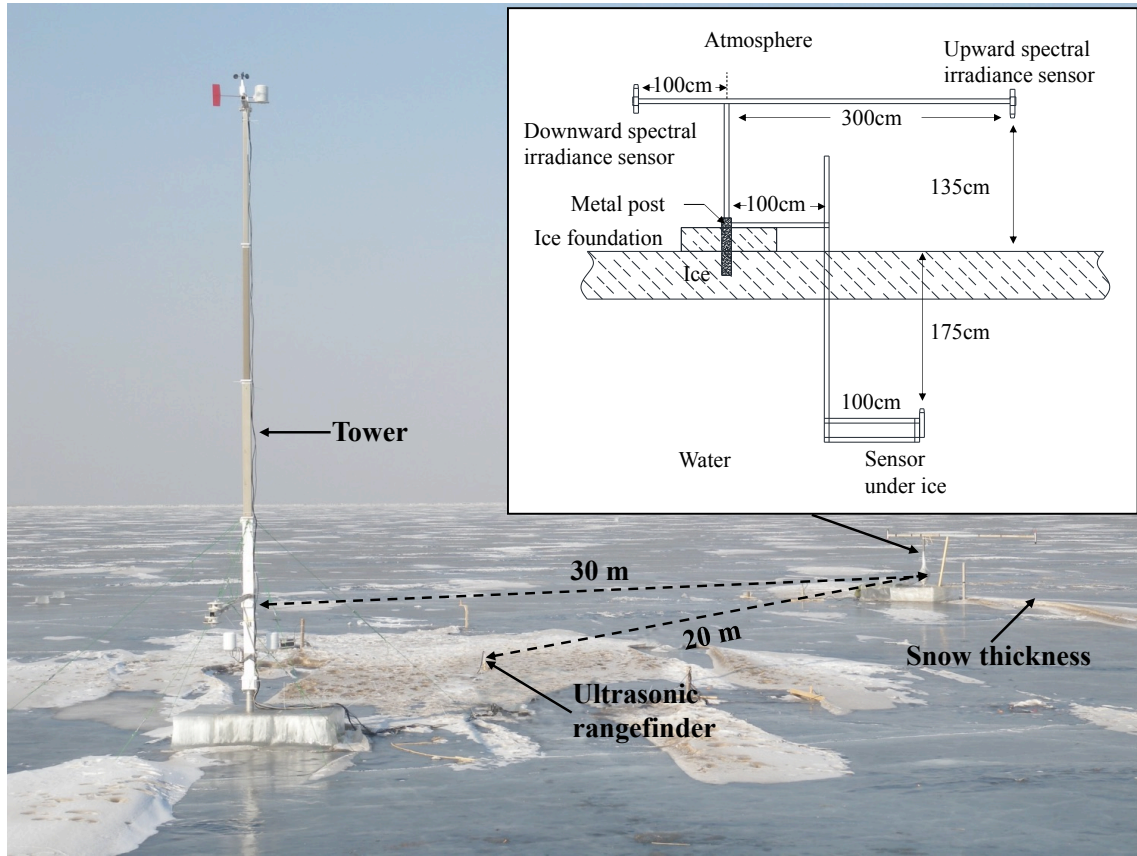
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1 **Figures**



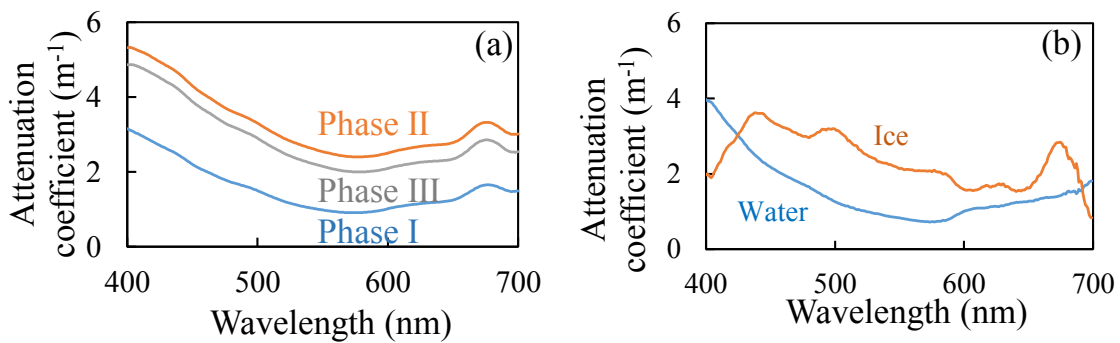
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Fig. 1. Location of Lake Wuliangshuai, Inner Mongolia, the field site in winter 2016 marked by red star.



1
 2 **Fig. 2.** Position of equipment (date 27 January 2016) and schematic diagram of the set-up
 3 of the three RAMSES sensors.

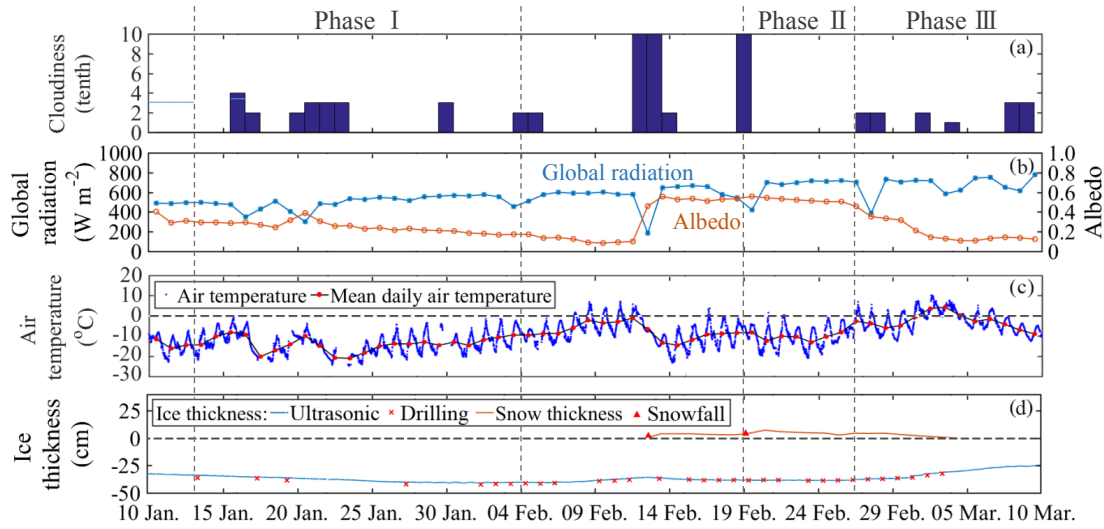
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 6 **Fig. 3.** The ice + water attenuation spectra in three phases (at 11:00 on 12 January, 19 and
 7 27 February) (a), and the estimated attenuation spectra of ice and water in phase I (b).

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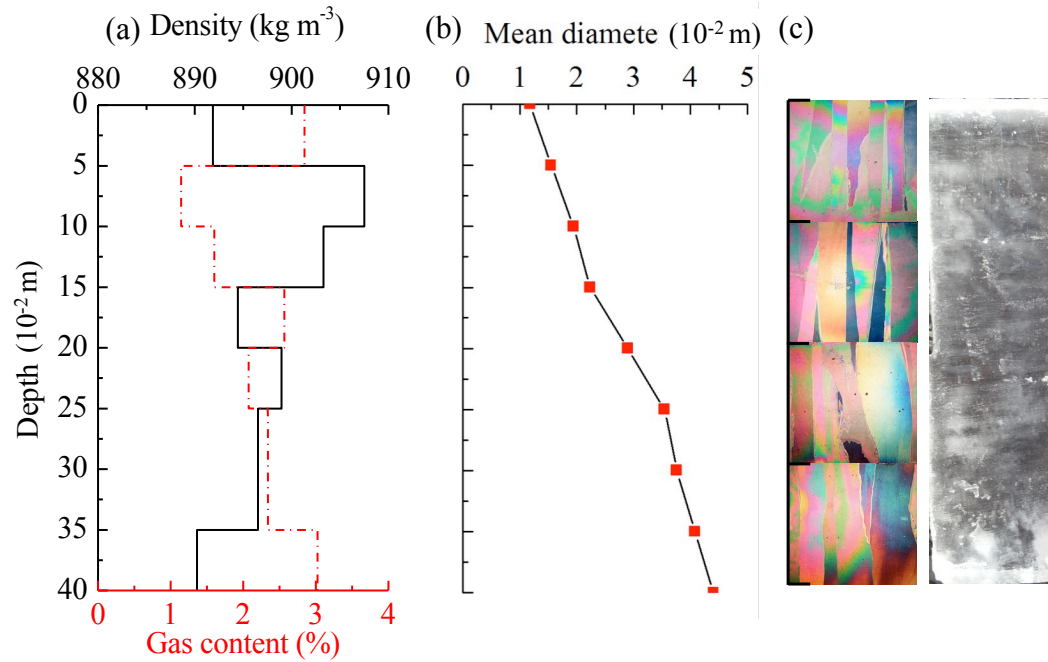


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3 **Fig. 4.** (a) Cloudiness, (b) Global radiation and albedo at noon (13:00), (c) Air
4 temperature and the mean daily air temperature during the observation period, and (d) Ice
5 and snow thickness.

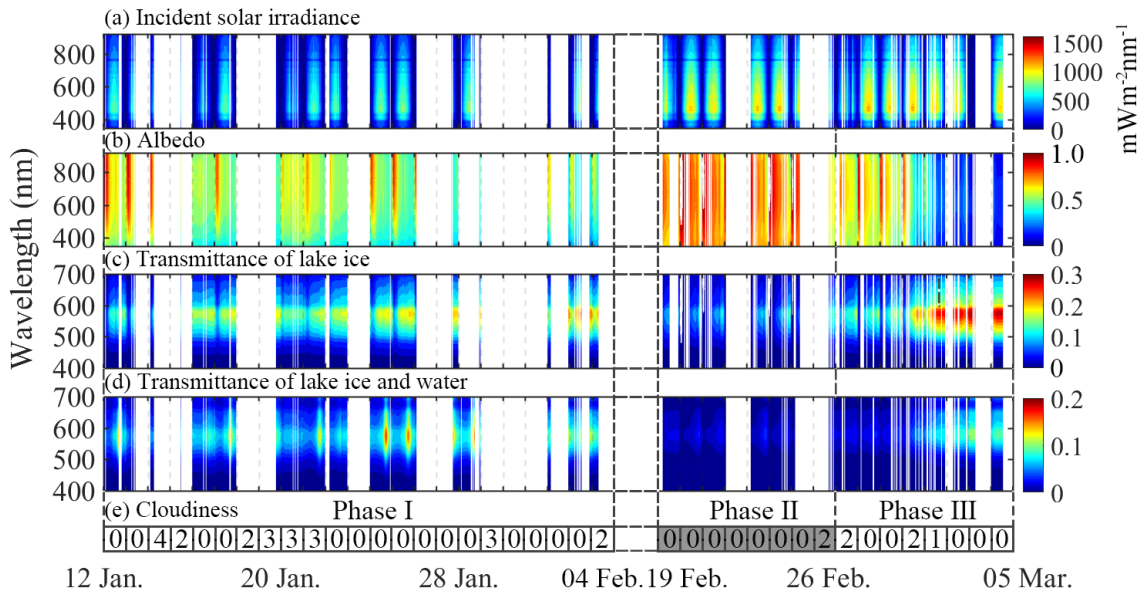
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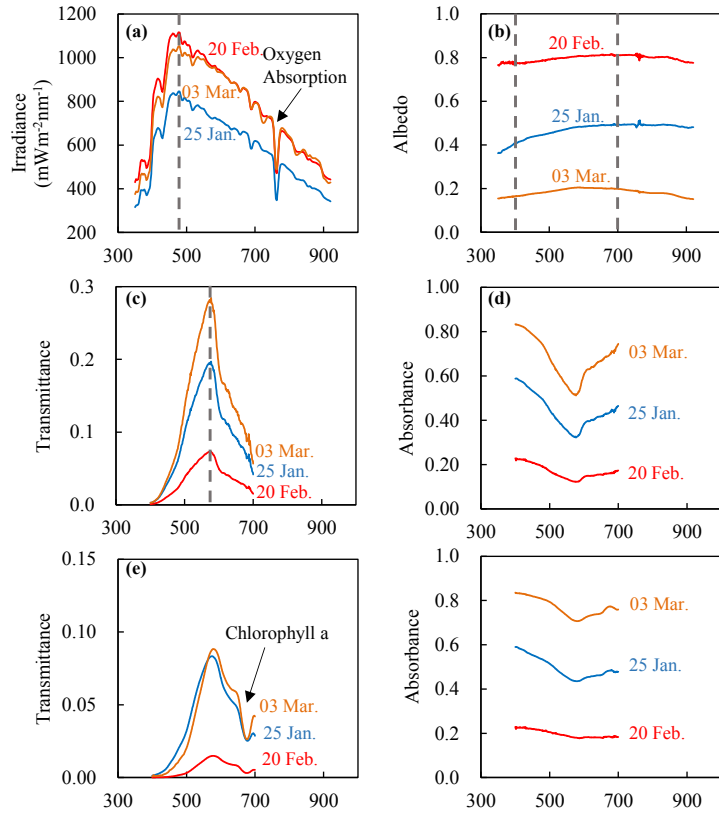
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 2 **Fig. 5.** (a) Vertical ice crystal structure under polarized light (left) and ice sample under
 3 normal light (right), (b) profile of the mean diameter of ice crystals in the horizontal
 4 plane and (c) profile of ice density and gas content.

5



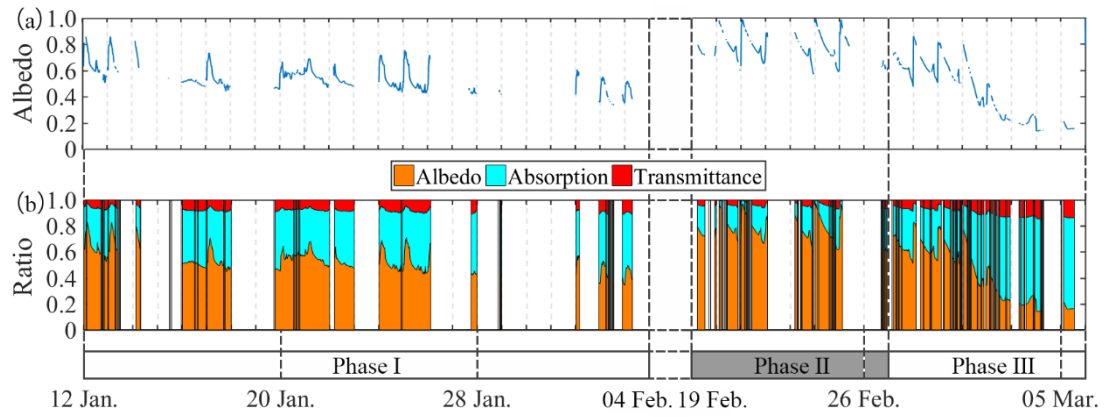
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 7 **Fig. 6.** Time series of (a) incident solar irradiance, (b) albedo, (c) transmittance of lake

1 ice, (d) transmittance of ice and a water layer beneath ice, and (e) cloudiness in tenths.
 2 The spectrum is shown for 8:00–18:00 each day. The white areas are missing data due to
 3 malfunction of Tribox3.



6
 7 **Fig. 7.** The spectral results for three typical days in different phase at solar noon: 25 January
 8 (solar elevation SE = 30.3°), 20 February (SE = 38.3°), and 3 March (SE = 42.4°). (a)
 9 Incident solar irradiance, (b) Albedo, (c) Transmittance of ice, (d) Absorbance of ice, (e)
 10 Transmittance of ice and water, and (f) Absorbance of ice and water.

11



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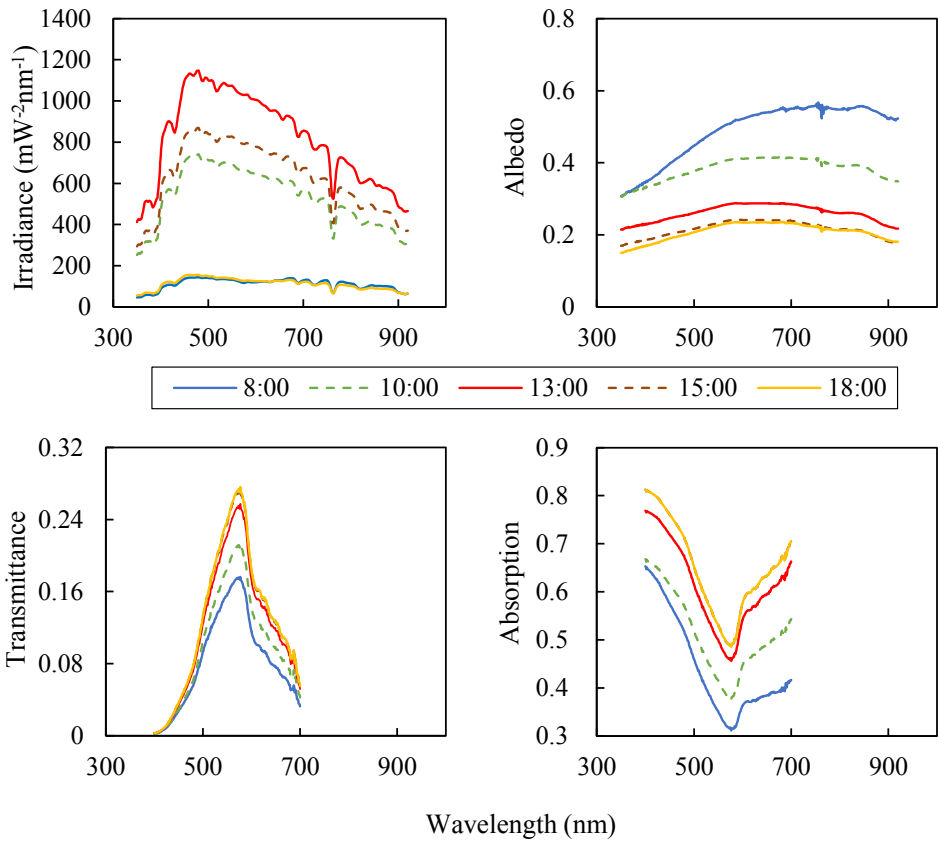
2 **Fig. 8.** (a) Broadband and PAR albedo. (b) PAR albedo and absorption and transmittance

3 relative to the incident PAR irradiance.

4

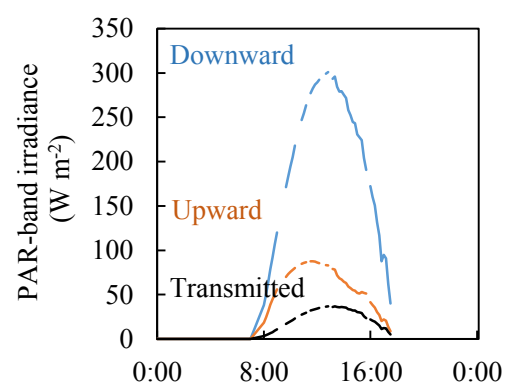
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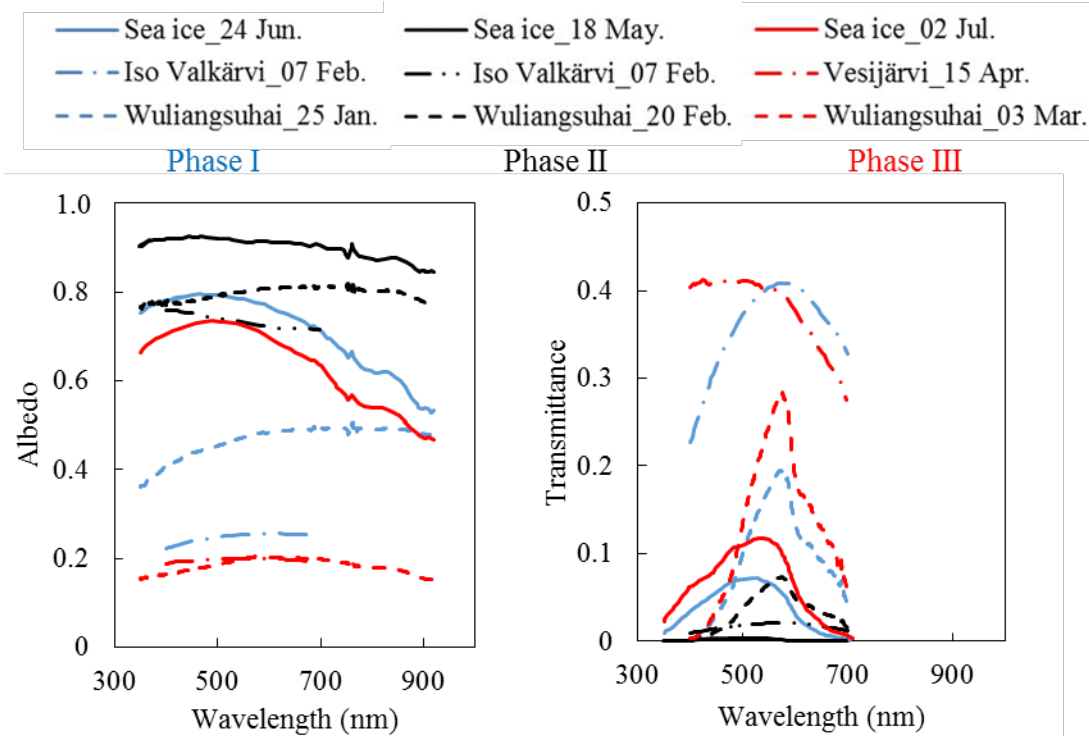


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 2 **Fig. 9.** Incident irradiance, albedo, transmittance and absorption of different hrs at 8, 10,
 3 13, 15 and 18 hrs on 2 March. At the end points the solar elevation was about 10° . At 20
 4 and 4 hrs and between the incident irradiance was zero.

5



6
 7 **Fig. 10.** Daily cycle of downward, upward and transmitted PAR irradiance on 2 March.



1

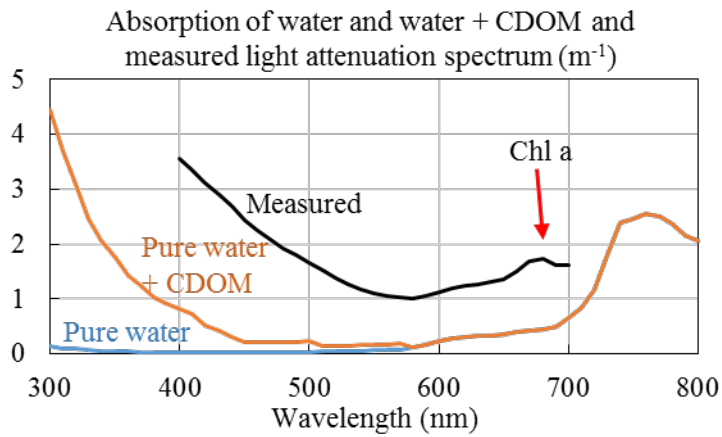
2 **Fig. 11.** The spectral albedo and transmittance of ice in lakes Wuliangsohai, Iso Valkjärvi
 3 and Vesijärvi (Lei et al. 2011), and Arctic (Nicolaus et al. 2010b) in different phases (blue
 4 for phase I, black for phase II, and red for phase III).

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2 **Fig. 12.** Decomposition of light attenuation spectra for Lake Wuliangshuai. Pure water
 3 shows standard pure water absorption curve (Smith and Baker, 1981), and CDOM was
 4 added based on the observed value of $0.004 m^{-1}$ (at 440 nm).

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1 **Online supplement:**

2

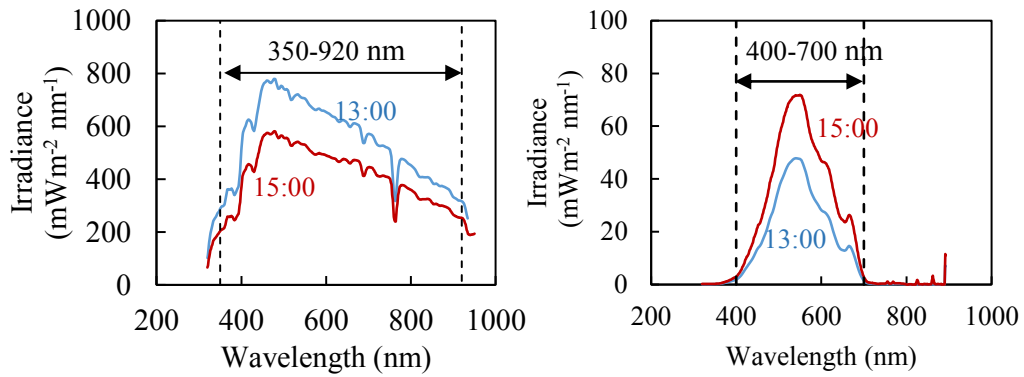
3 **Table A-1.** Locations, times of observation, and ice and snow conditions at field sites of
 4 the comparative study. The data for Arctic sea ice were from Nicolaus et al, 2010b) and
 5 those on the lakes from Lei et al. (2011).

Phase	Date	Site	Location	Thickness (cm)		Mean water depth (m)
				Ice	Snow	
I	24/6/2007	Arctic sea ice	88° 10' N 56° 40' E	219.4	--	--
	07/2/2009	Iso Valkjärvi	61° 11' N 25° 06' E	32.0	--	3.1
II	18/5/2007	Arctic sea ice	88° 20' N 105° 00' E	220.2	6.4	--
	07/2/2009	Iso Valkjärvi	61° 11' N 25° 06' E	32.0	15.0	3.1
III	02/7/2007	Arctic sea ice	88° 10' N 56° 40' E	218.6	--	--
	15/4/2009	Vesijärvi	60° 59' N 25° 38' E	34	--	6.0

6

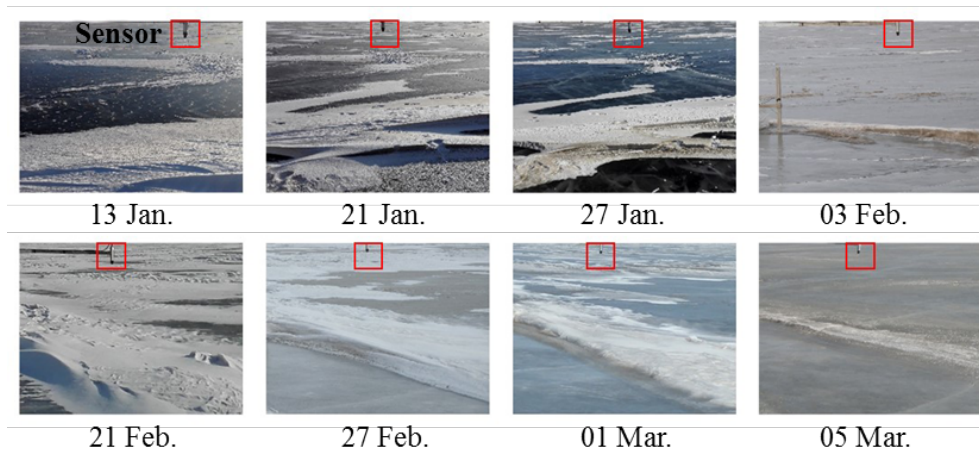
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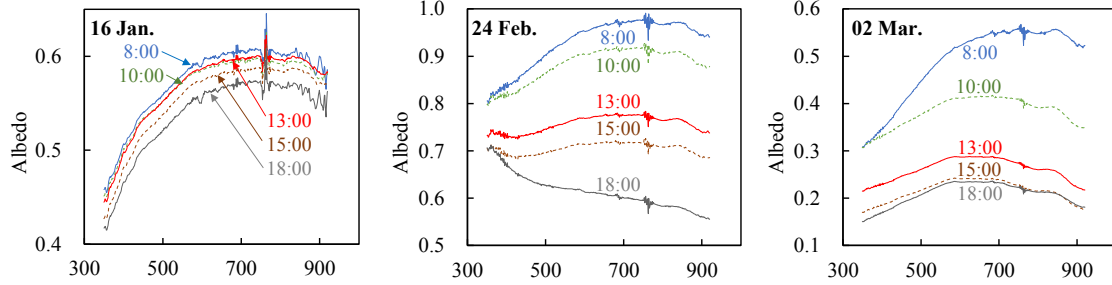
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Fig. A-1. The incident (left) and transmitted (right) solar irradiance on 12 January. The lines show the limits of the band taken for the analyses (broadband, 350–920 nm, and PAR, 400–700 nm).



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Fig. A-2. Ice surface conditions under the downward irradiance sensor (in the red square) on different dates in winter 2016.



1

2 **Fig. A-3.** The diurnal cycle of the albedo in three phases and the solar noon was about

3 13:00.

4